

Role of inhomogeneities in the flattening of the quantum effective potential

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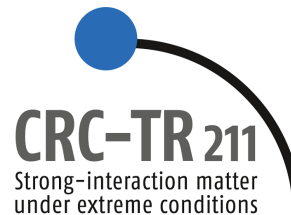
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2021, 23rd of April, ACHT 2021

- Spontaneous symmetry breaking
- Constrained Monte Carlo simulations
- Summary

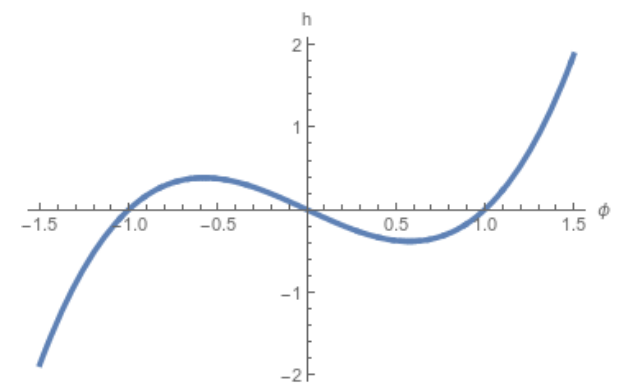
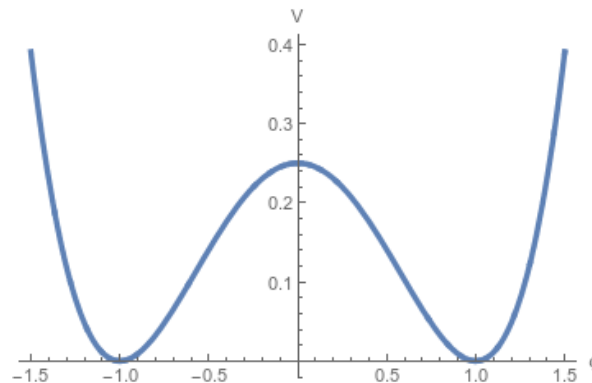
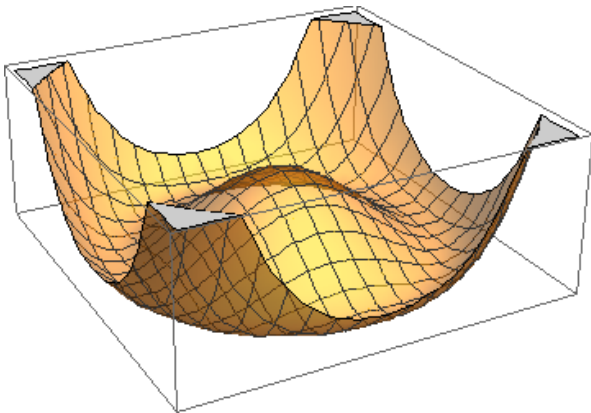


Spontaneous symmetry breaking

- Consider the 3D $O(2)$ symmetric scalar model

$$S = \int_{d^3x} \frac{(\partial_\mu \vec{\varphi})^2}{2} + \frac{m^2 \vec{\varphi}^2}{2} + \frac{g}{4!} (\vec{\varphi}^2)^2.$$

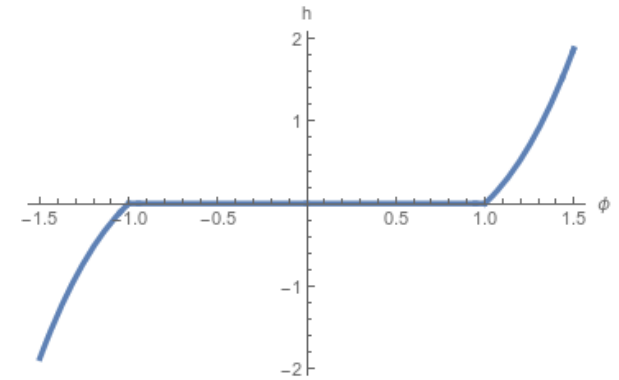
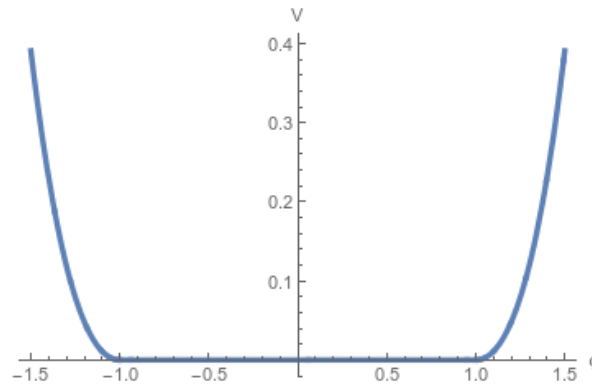
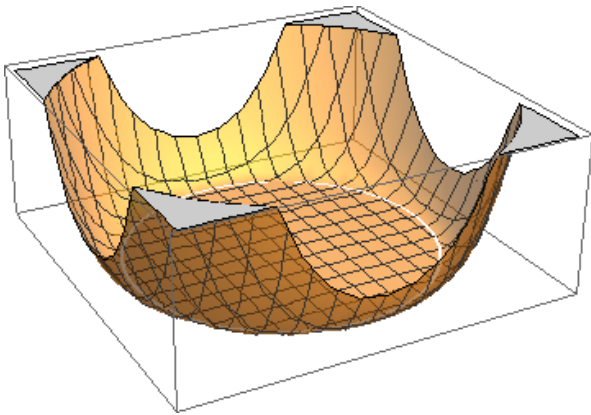
- If $m^2 < 0$ the classical potential has **infinitely many degenerate minima** on a circle of radius $\varphi_{\text{cl}} = \sqrt{-6m^2/g}$.



- Classically, there are **zero-mass GS-bosons** going around the **circle**, and **massive** excitations in the **radial** direction (see e.g. [Rivers, Path Integral Methods in QFT](#)).

Spontaneous symmetry breaking

- In QFT the **effective potential** ($\gamma(\bar{\phi})$) is needed to find the **ground state**.
- Due to its **convexity** we end up with



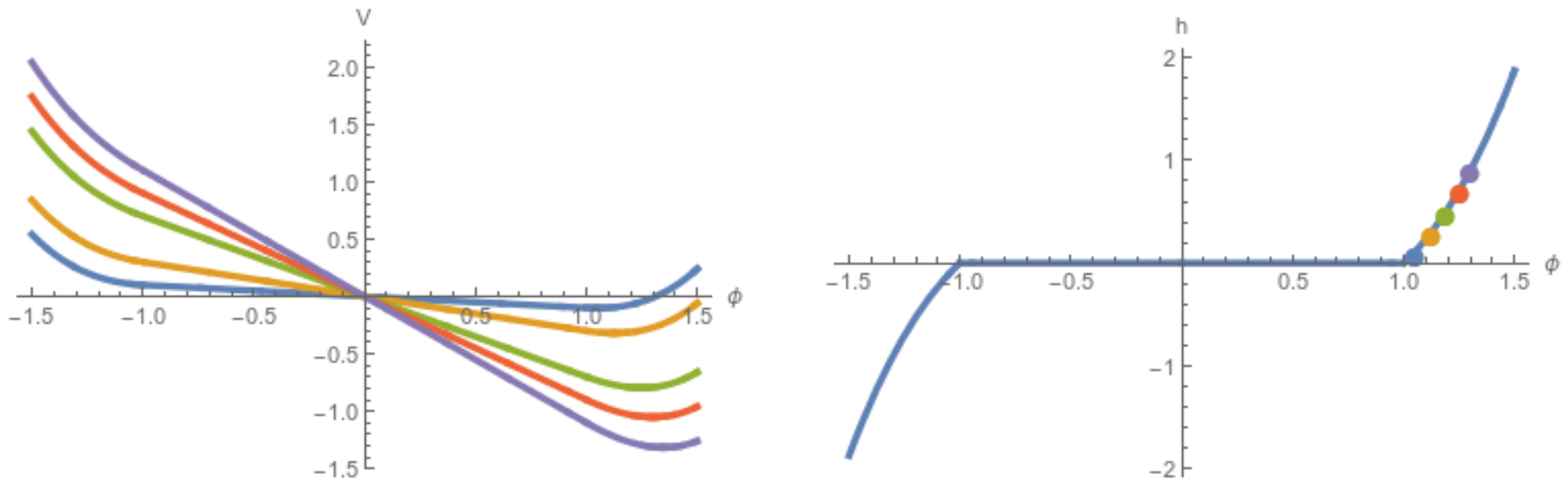
- The **degeneracy** of the ground state is **enhanced**, $\frac{d\gamma(\bar{\phi})}{d\bar{\phi}} = 0$ in a region.
- The textbook way to a well defined groundstate is: **”SSB is a possible limit of linear symmetry breaking when the breaking parameter goes to zero.”**
Zinn-Justin, QFT and Critical Phenomena

The textbook limit

- General linear **symmetry breaking** source term:

$$S_h = S - \int_{d^3x} \vec{h}(x) \vec{\varphi}(x) .$$

- Consider the effective potential $\gamma \Rightarrow$ restrict to $\vec{h}(x) = (h, 0)$ **constant**.
- Theory with SSB is then defined as the $h \rightarrow 0$ limit of the **infinite volume** theory.



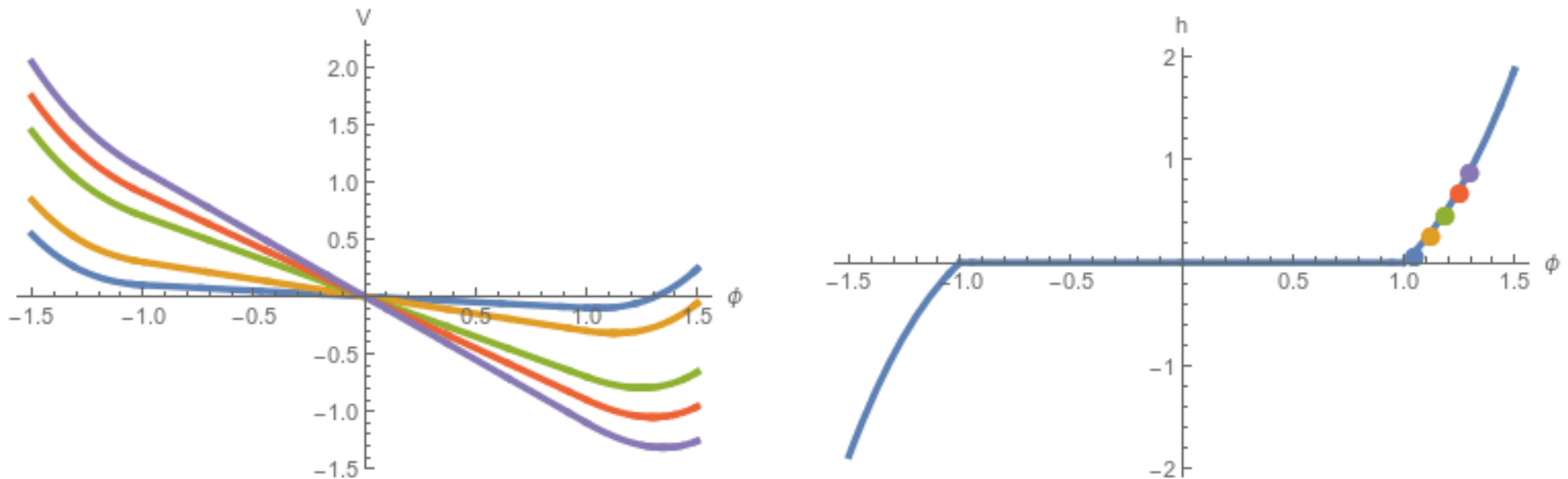
- $\bar{\phi}_{\min} := \lim \langle \varphi \rangle \neq 0$ will be on the **edge** of the flat region.
- Everything behaves well, the problem of a flat potential is cured, end of story.

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Or is it?

The textbook limit

1. We implicitly took a **double limit** procedure: $\lim_{h \rightarrow 0} \lim_{V \rightarrow \infty}$. Changing the order leads to $\langle \varphi \rangle = 0$ for all V . How do we **access the flat region** of the potential?
2. What drives the flattening, what **configurations dominate the path integral**?

Accessing the flat region, constrained potential

Define the **constrained** effective potential

$$\exp(-V\Omega(\bar{\phi})) = \int \mathcal{D}\varphi \exp(-S[\varphi]) \delta\left(\int \varphi - V\bar{\phi}\right).$$

- In the **infinite volume** limit (and only there) agrees with $\gamma(\bar{\phi})$.
O’Raifeartaigh et al., NPB 271 (1986)
- Markov chain Monte Carlo techniques can be constructed which **respect** the constraint.
Fodor et al., PoS LATTICE2007 056 (2007)
- Analogous to changing from **canonical** (fixed h) to **microcanonical** (fixed $\bar{\phi}$) ensemble.
- h can be recovered as

$$h = \frac{d\Omega(\bar{\phi})}{d\bar{\phi}} = m^2\bar{\phi} + \frac{g}{6V} \left\langle \int_x \varphi^3(x) \right\rangle_{\bar{\phi}}.$$

Constrained simulations

- We carry out constrained MC simulations on 3D lattices of size L^3 with **periodic** boundary conditions.
- In analogy to using $\vec{h} = (h, 0)$ we constrain both field directions:

$$V^{-1} \int \varphi_1 = \bar{\phi} \quad \text{and} \quad V^{-1} \int \varphi_2 = 0.$$

- We only study volume dependence towards the **infinite volume** limit, not the lattice spacing dependence.
- Based on the canonical (fixed h) simulations we expect homogeneous configurations for $\bar{\phi} \geq \bar{\phi}_{\min}$. But what about $\bar{\phi} < \bar{\phi}_{\min}$?
- Difficulty: find a simple observable which signals inhomogeneities and is not washed out by translational and rotational invariance.

Constrained simulations

Looking at **typical configurations**:

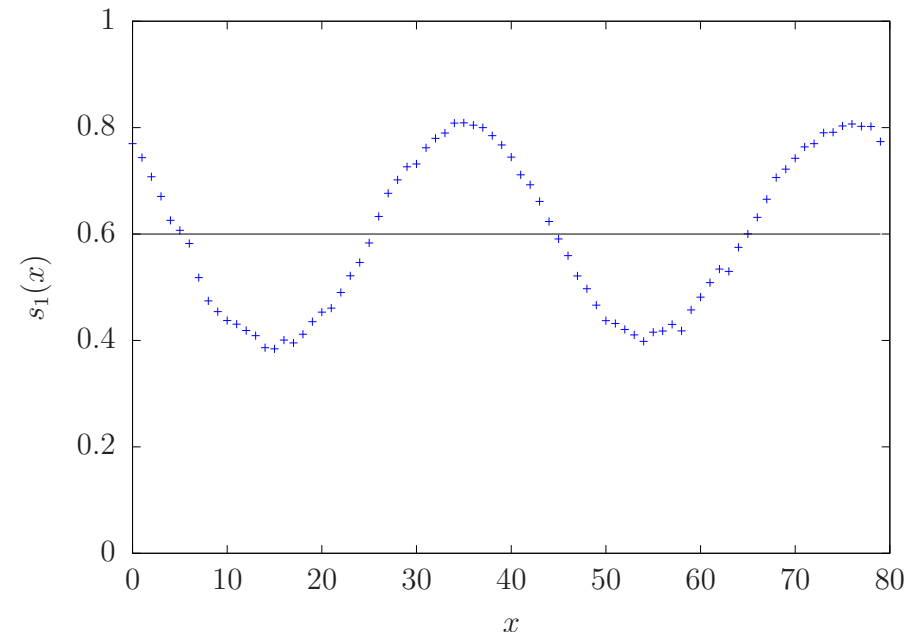
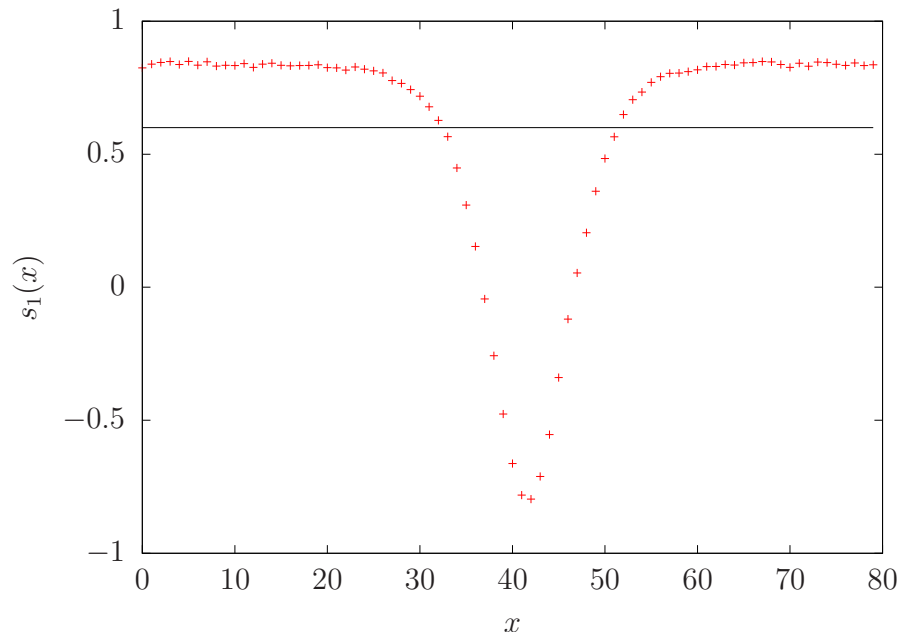
- Here slice averages

- See GIF-s for more details.

$$\vec{s}(x) = \frac{1}{L^2} \sum_{y,z \in L} \vec{\varphi}(x, y, z).$$

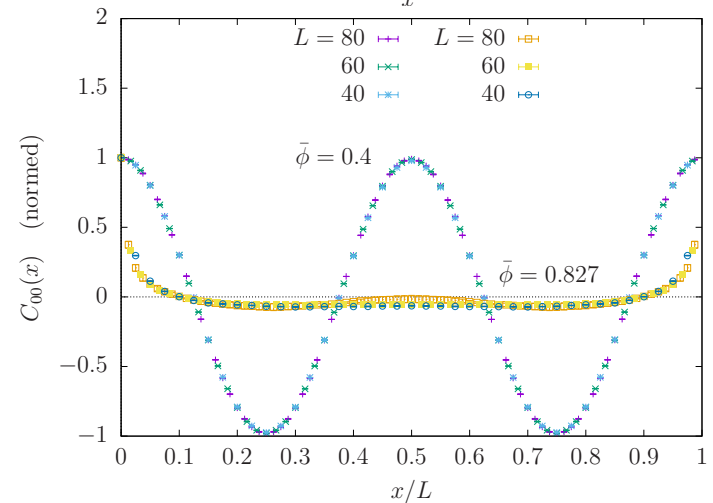
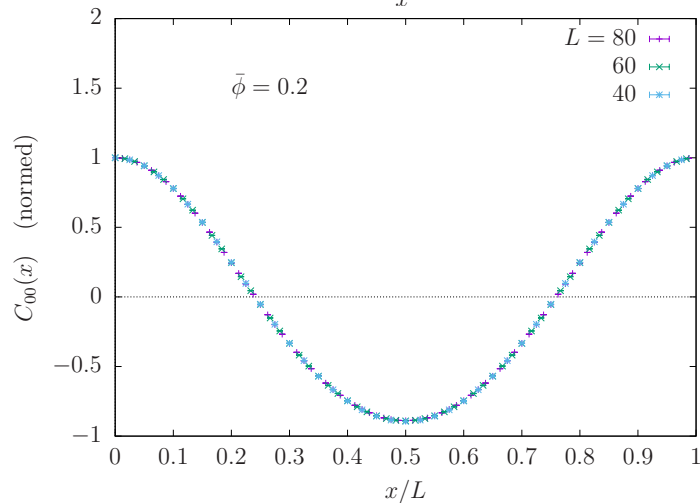
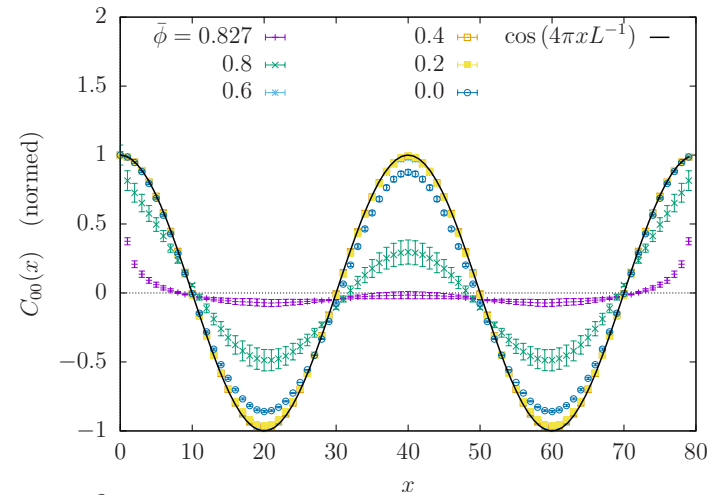
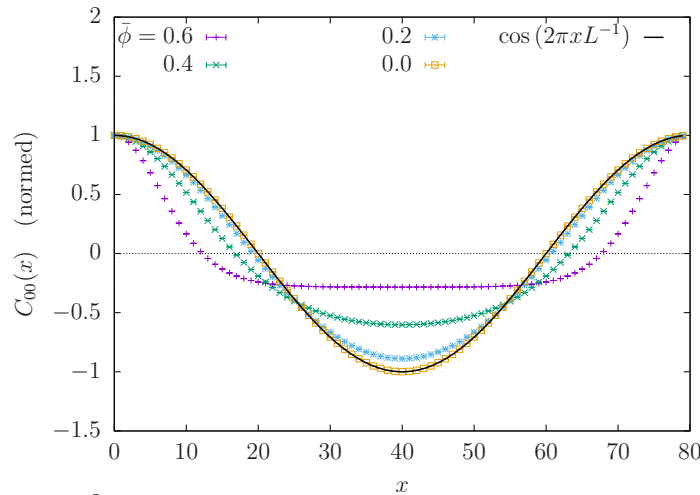
Two dominant types of configurations emerge for $\bar{\phi} < \bar{\phi}_{\min}$:

- a **topological**: the field **winds around** the full $O(2)$ space;
- a **non-topological**: the field **oscillates** in $O(2)$ space.



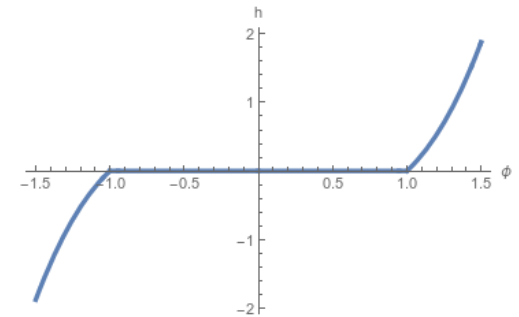
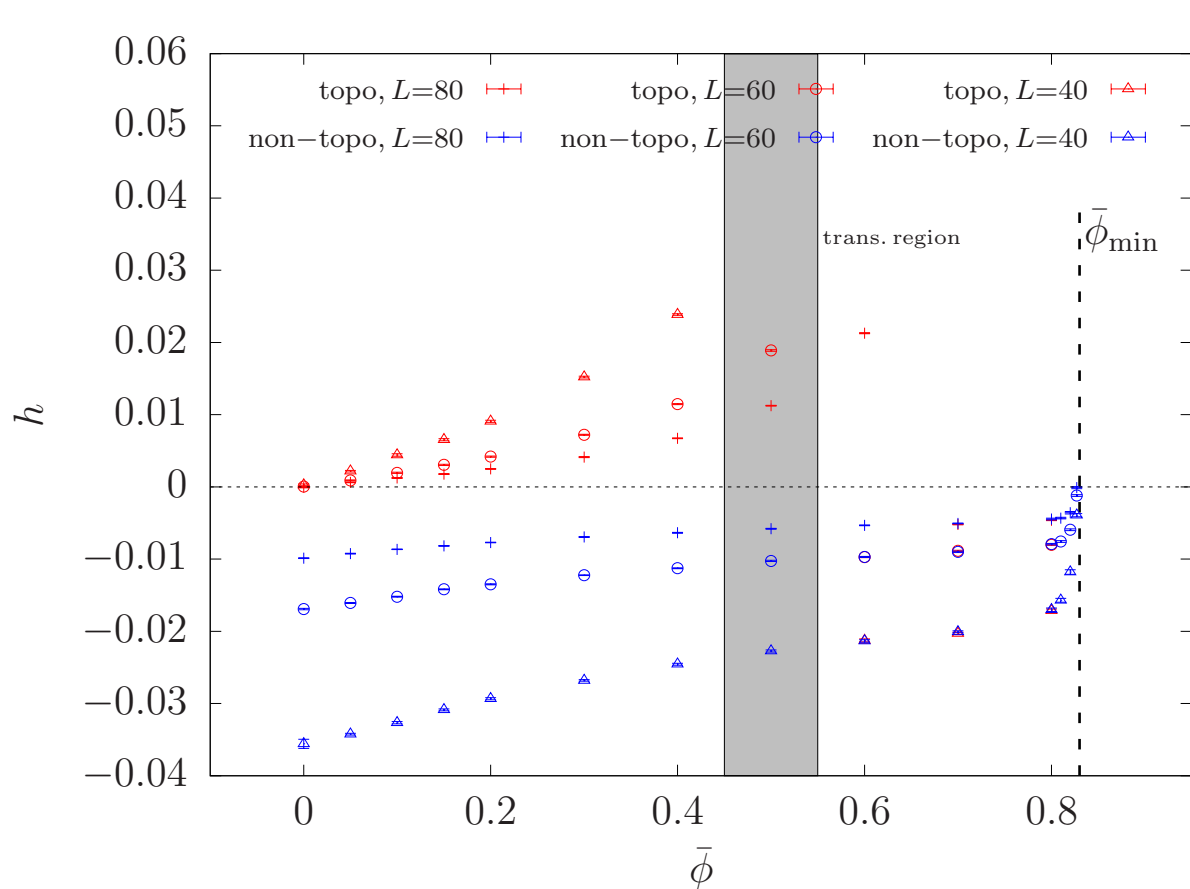
Constrained simulations

Ensemble averaged slice correlators:
$$C_{ij}(\Delta x) = \frac{1}{L} \left\langle \sum_{x \in L} s_i(x + \Delta x) s_j(x) \right\rangle_{\bar{\phi}} .$$



- **Topological** cfgs **disappear** for $\bar{\phi} > \bar{\phi}_c$.
- **Non-topological** cfgs **continuously connect** to homogeneous cfgs.
- Both can be loosely interpreted as **connecting different vacua**.
- Trivial volume dependence: length scale proportional to L .

Constrained simulations



- To obtain $\Omega(\bar{\phi})$, $h(\bar{\phi})$ should be integrated.
- Lowest energy cfg **changes** as a function of $\bar{\phi}$.
- At finite volume there is a transition from **topological** to **non-topological**.
- At infinite volume both set of curves tend to zero.

Summary

We wanted to understand 2 questions:

1. How to access the flat region of the effective potential?
2. What configurations cause the flatness?

We found the following answers:

1. One can construct and simulate the constrained potential which coincides with the effective potential in the $V \rightarrow \infty$ limit.
2. The flat region is dominated by inhomogeneous spin wave configurations (**topological** or **not** depending on $\bar{\phi}$).