



# Topological origin of Mass and Spin

I. Zahed

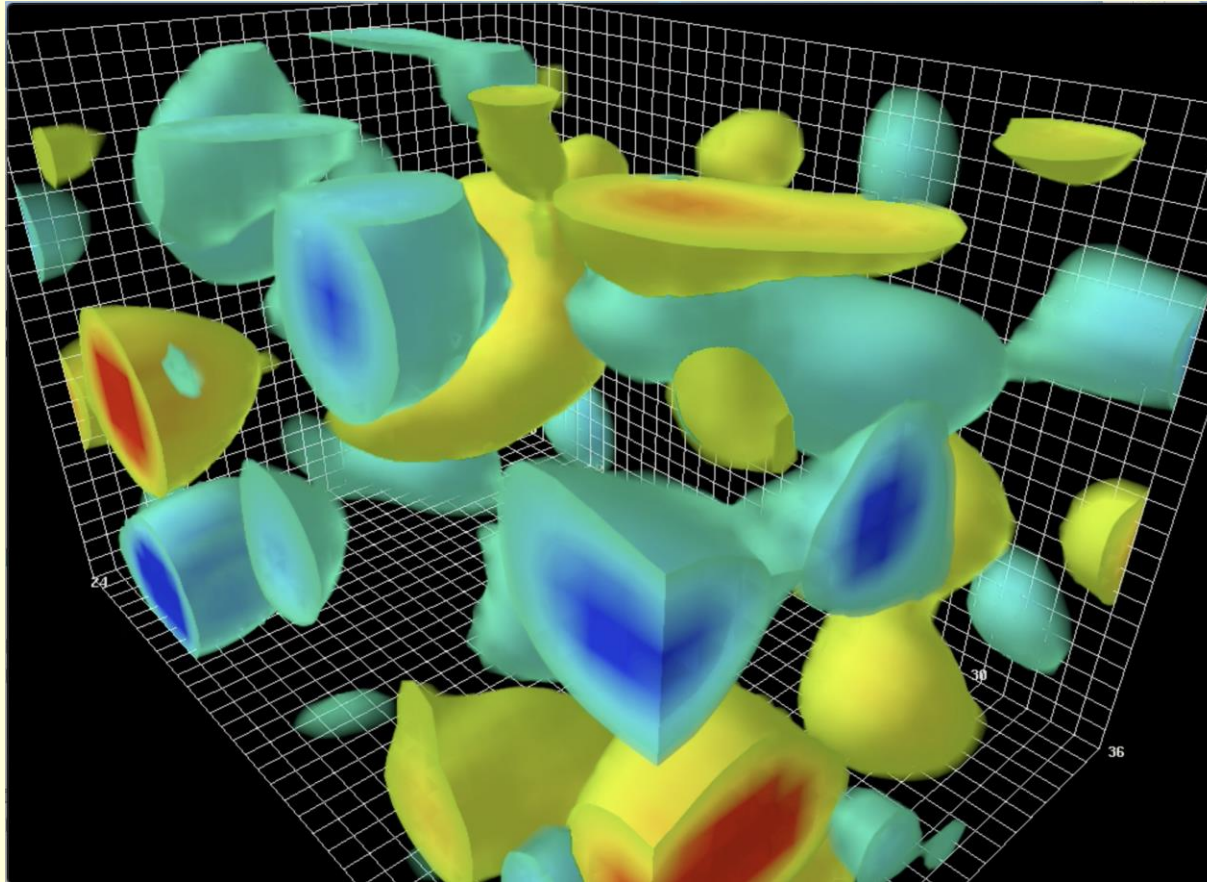
ACHT 21'

# Outline

- Vacuum
- Mass
- Spin
- Summary

Vacuum

# YM vacuum topologically active: primordial glue!



Leinweber 03'

Instantons and anti-instantons

# QCD Instantons : few facts

$$E = B = \frac{\sqrt{48}}{\rho^2} \approx 2.5 \text{ GeV}^2$$

$$S_I = \frac{8\pi^2}{g_s^2} = \frac{2\pi}{\alpha_s} \approx 6\pi \gg 1$$

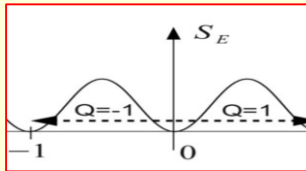
Many:

$$n_{I+\bar{I}} = 1/R^4 \approx 1 \text{ fm}^{-4}, \quad \rho \sim 1/3 \text{ fm} \sim 1/(0.6 \text{ GeV})$$

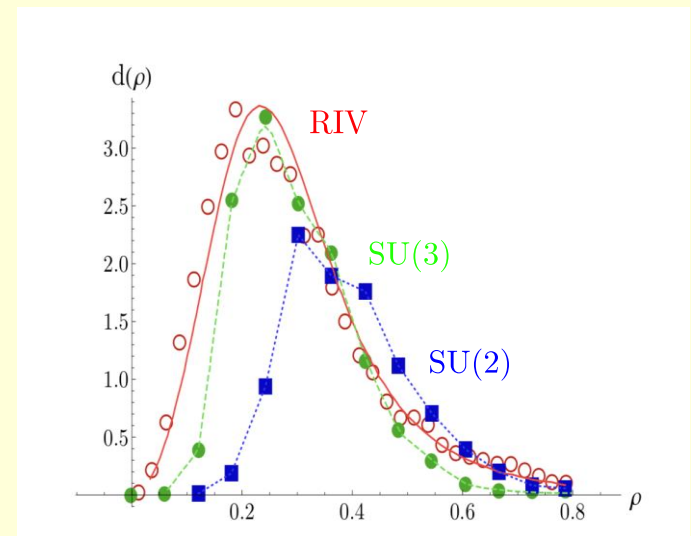
Small parameter:

$$\kappa = 4\pi\rho^4/R^4 \sim 10^{-1}$$

Belavin et al. 75'



$$\text{RIV} : d[\rho] \approx \frac{(\rho\Lambda)^{\beta_0}}{\rho^5} e^{-\# \rho^2/R^2}$$

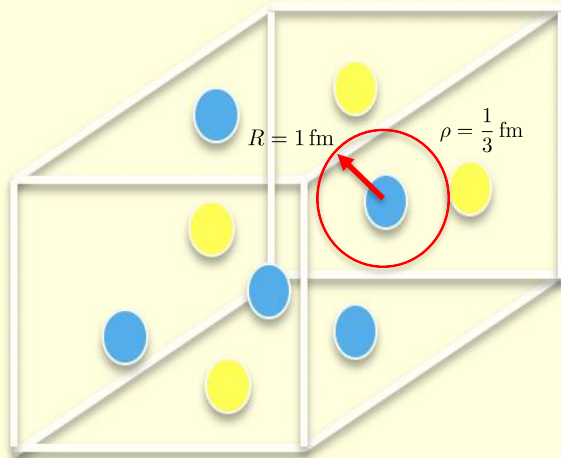


Michael-Spencer 95'

Schafer-Shuryak 98'

# Instanton Vacuum: Primordial Glue

@ 0.6 GeV



$$\langle N_{\pm}/V \rangle = \bar{N}/2V$$

$$\langle F^2/(32\pi^2) \rangle = (N_+ + N_-)/V \equiv N/V = 1/\text{fm}^4$$

$$\langle F\tilde{F}/(32\pi^2) \rangle = (N_+ - N_-)/V \equiv \tilde{N}/V = 0$$

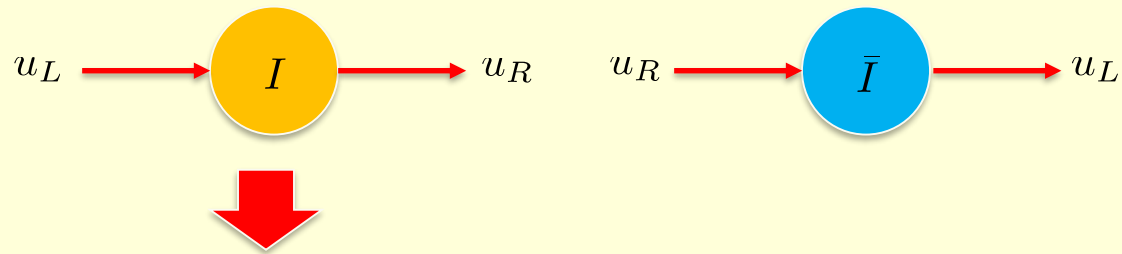
$$\mathbb{Q}(N_+, N_-) = \left[ e^{\frac{bN}{4}} \left( \frac{\bar{N}}{N} \right)^{\frac{bN}{4}} \right] \left[ \frac{1}{(2\pi\bar{N})^{\frac{1}{2}}} e^{-\frac{\bar{N}^2}{2\bar{N}}} \right]$$

$$\frac{\langle (N - \bar{N})^2 \rangle_{\mathbb{Q}}}{\bar{N}} = \frac{4}{b} = \frac{12}{11N_c}$$

$$\frac{\langle (\tilde{N} = N_+ - N_-)^2 \rangle}{\bar{N}} = \frac{\chi_{\mathbb{Q}}}{\bar{N}} \sim 1$$

Diakonov-Petrov 86', Shuryak 88', Nowak-Verbaarschot-Zahed 88', ...

# Topological origin of mass: LR mixing and zero modes



$$(i\partial + A_I)\psi_I = 0 \quad \text{Atiyah-Patodi-Singer 63'}$$

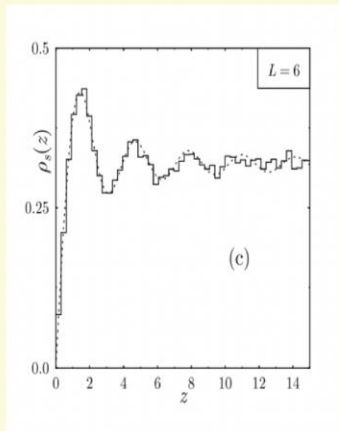
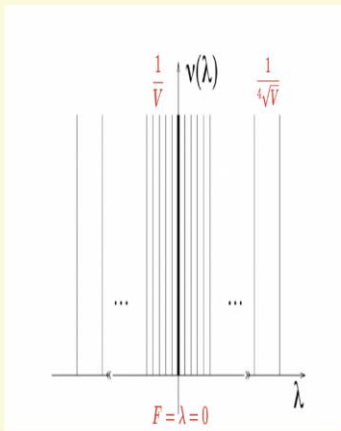
$$\psi_{iI}^\alpha(p) = \sqrt{2}\varphi'(p)(\hat{p}\epsilon U)_i^\alpha \equiv \sqrt{2} \left[ \pi\rho^2 \left( I_0 K_0(z) - I_1 K_1(z) \right)'_{z=\rho p/2} \right] (\hat{p}\epsilon U)_i^\alpha \quad \text{'t Hooft 76'}$$

$$n_I \left\langle u_R^\dagger(p) p \left[ \sqrt{2}\varphi'(p)\hat{p}\epsilon U \right] \frac{1}{m} \left[ \sqrt{2}\varphi'(p)U^\dagger\epsilon\hat{p} \right] p u_L(p) \right\rangle_U + (I, L) \leftrightarrow (\bar{I}, R)$$

$$M_u(p) = \tilde{\kappa} \frac{|p\varphi'(p)|^2}{m\rho^4} \rightarrow \frac{\sqrt{\tilde{\kappa}}}{\sqrt{2}\rho^2} \frac{|p\varphi'(p)|^2}{\|q\varphi'^2\|}$$

Diakonov-Petrov 86', Shuryak 88', Nowak-Verbaarschot-Zahed 88', ...

# Lattice evidence for this mechanism



Wittig in book 20'

$$(iD[A] + im)q_n[A] = (\lambda_n[A] + im)q_n[A]$$

$$\nu(\lambda) = \lim_{m \rightarrow 0} \lim_{V \rightarrow \infty} \frac{1}{V} \left\langle \sum_n \delta(\lambda - \lambda_n[A]) \right\rangle_A \equiv \frac{1}{V} \frac{1}{\Delta\lambda}$$

$$\langle \bar{\psi}\psi \rangle = -\pi\nu(0) \equiv -\sigma_C \quad \text{Banks-Casher 80'}$$

$$\sigma_C^n = \langle (\bar{\psi}\psi)^n \rangle_C$$

$$\nu_s(z = N\lambda) = \frac{z}{2} \left( J_{N_f}^2(z) - J_{N_f+1}(z)J_{N_f-1}(z) \right)$$

Verbaarschot-Zahed 93'



# Running mass versus lattice

$$\frac{M(p)}{M(0)} = |p\varphi'(p)|^2 = |z(I_0(z)K_0(z) - I_1(z)K_1(z))'|_{z=\rho p/2}^2$$

$$M(0) = (2\pi\rho)^2 \frac{|\langle q^\dagger q \rangle|}{N_c}$$

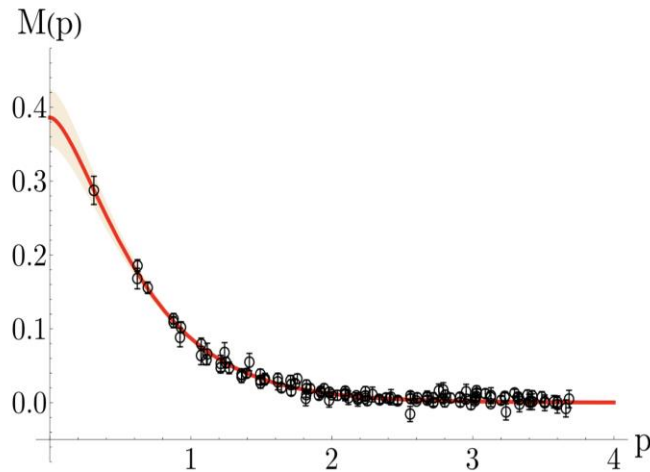
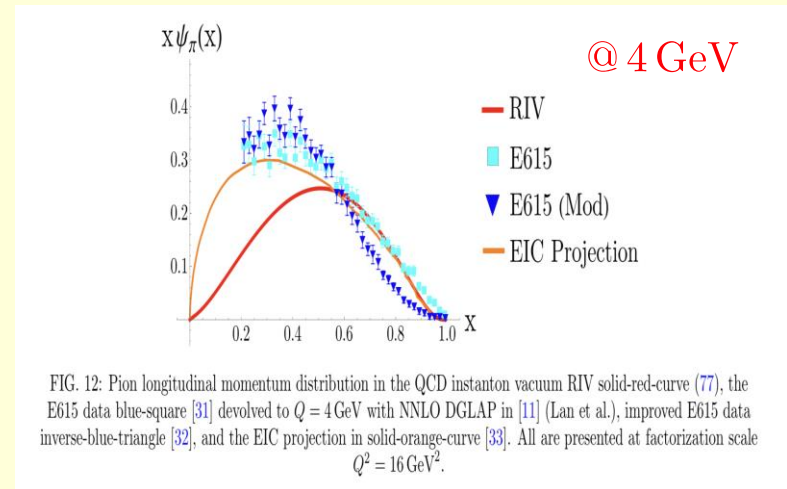
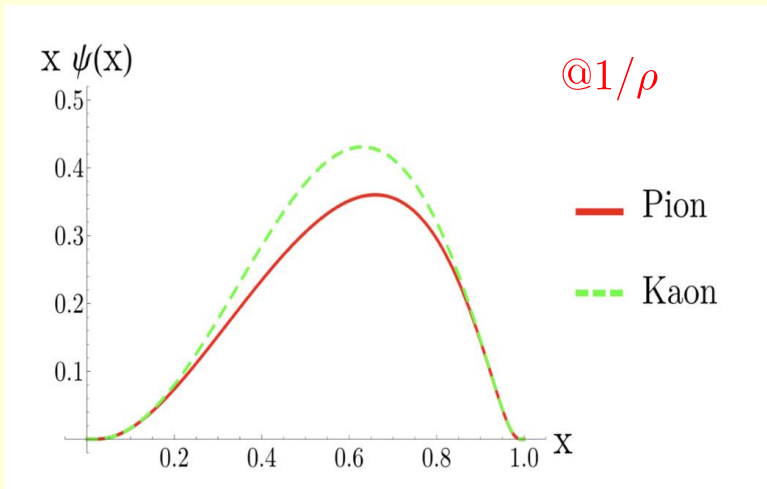


FIG. 2: Momentum dependence of the instanton induced effective quark mass in singular gauge (13) at LO (solid-curves), compared to the effective quark mass measured on the lattice in Coulomb gauge [21] (open-circles). The unit scale is GeV. We obtain a fitted parameter intervals  $M(0) = 383 \pm 39$  MeV and  $\rho = 0.313 \pm 0.016$  fm.

Lattice: Bowman et al. 04'

Diakonov-Petrov 86'  
 Shuryak 88'  
 Nowak-Verbaarschot-Zahed 88'  
 Poblitsa 89'  
 ....  
 Kock-Liu-Zahed 20'

# Measurable: Pion and Kaon PDF



E615: Conway et al. 89'

EIC: Agilar et al. 19'

$$\psi_{f/P}^0(x) \rightarrow \frac{2N_c}{f_P^2} \int_{k_\perp \geq M(0, m_f)} \frac{d^2 k_\perp}{(2\pi)^3} \frac{\theta(x\bar{x}) k_\perp^2}{(k_\perp^2 - x\bar{x}m_P^2)^2} M^2(k_\perp / \lambda_P \sqrt{x\bar{x}})$$

RIV: Kock-Liu-Zahed 20'

# Pion and Kaon TMD

@1/ $\rho$

21

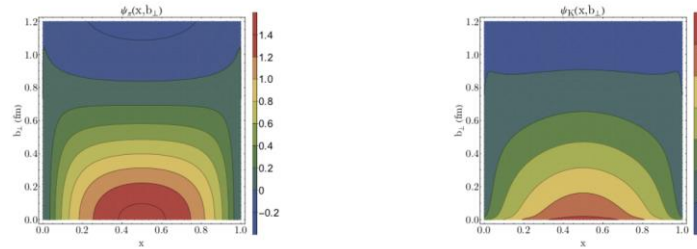


FIG. 14: Pion and Kaon transverse spatial distribution from the QCD instanton vacuum (87) with physical masses and at renormalization scale  $Q_0 = 631$  MeV.

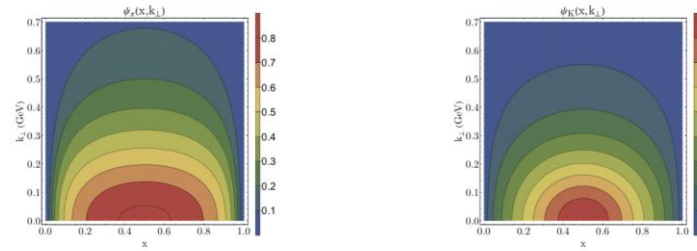


FIG. 15: Pion and Kaon transverse momentum distribution from the QCD instanton vacuum (87) with physical masses and at renormalization scale  $Q_0 = 631$  MeV.

$$\psi_\pi^0(x, k_\perp) \rightarrow \frac{2N_c}{f_\pi^2} \frac{1}{(2\pi)^3} \frac{\theta(x\bar{x}) (k_\perp^2 + M^2(0))}{(k_\perp^2 + M^2(0) - \bar{x}x m_\pi^2)^2} M^2 \left( \frac{\sqrt{k_\perp^2 + M^2(0)}}{\lambda\sqrt{x\bar{x}}} \right)$$

Kock-Liu-Zahed 20'

**Mass**

# Ji Mass

$$E^a = \pm i B^a$$

$$H_G = \int d^3x \bar{T}_G^{00} = \int d^3x \frac{1}{2} (E^2 + B^2) \quad \mathcal{O}(\kappa^2)$$

$$H_Q = \int d^3x \bar{T}_Q^{00} = \int d^3x \left( \frac{1}{2} \bar{\psi} \gamma \cdot i \overleftrightarrow{D} \psi \right)$$

$$H_A = \int d^3x \hat{T}_A^{00} = \int d^3x \frac{1}{4} \left( \frac{\beta(g^2)}{4g^4} F^2 \approx -\frac{b}{32\pi^2} F^2 \right) \quad \mathcal{O}(\kappa)$$

$$\sigma_{\pi N} \sim 50 \text{ MeV} \quad H_m = \int d^3x \bar{T}_G^{00} = \int d^3x m \bar{\psi} \psi$$

$$M_N = \frac{\langle P | H_G + H_Q + H_A + H_m | P \rangle}{\langle P | P \rangle} \equiv M_G^N + M_Q^N + M_A^N + M_m^N$$

Ji 95'

# Epoxy or $F^2$ in Nucleon

$$\frac{\langle P|F^2|P\rangle}{\langle P|P\rangle} = \lim_{T \rightarrow \infty} \frac{\langle J_P^\dagger(T)F^2J_P(-T)\rangle_C}{\langle J_P^\dagger(T)J_P(-T)\rangle}$$

Diakonov-Polyakov-Weiss 95', Kacir-Prakash-Zahed 96'

$$\frac{V}{32\pi^2} \frac{\langle P|F^2|P\rangle}{\langle P|P\rangle} \approx \langle (N - \bar{N})^2 \rangle_{\mathbb{P}} \frac{\partial}{\partial \bar{N}} \text{Log} \left( \lim_{T \rightarrow \infty} \langle J_P^\dagger(T)J_P(-T)\rangle \right)$$



$\frac{4N}{b}$  Vacuum compressibility

$$\frac{V}{2T} \frac{-b}{32\pi^2} \frac{\langle P|F^2|P\rangle}{\langle P|P\rangle} = 4 \frac{\partial M_N}{\partial \text{Log} \bar{N}} = M_{\text{inv}}$$

$$M_N = M_{\text{inv}} + \sigma_{\pi N} = C \left( \frac{\bar{N}}{V} \right)^{\frac{1}{4}} + \bar{C} m \left( 1 + \mathcal{O}(mR) \right)$$

$$\frac{\langle P|T_\mu^\mu|P\rangle}{2M_N} = M_{\text{inv}} + \frac{\langle P|m\bar{\psi}\psi|P\rangle}{2M_N} = M_N$$

# Ji Mass Sum Rule

$$\begin{aligned}\frac{M_Q^N}{M_N} &\approx \frac{3}{4} \frac{1}{1+\kappa} \left(1 - \frac{\sigma_{\pi N}}{M_N}\right) \approx 64\% \\ \frac{M_G^N}{M_N} &\approx \frac{3}{4} \frac{\kappa}{1+\kappa} \left(1 - \frac{\sigma_{\pi N}}{M_N}\right) \approx 7\% \\ \frac{M_A^N}{M_N} &= \frac{1}{4} \left(1 - \frac{\sigma_{\pi N}}{M_N}\right) \approx 24\% \\ \frac{M_m^N}{M_M} &= \frac{\sigma_{\pi N}}{M_N} \approx 5\%\end{aligned}$$

@ 0.6 GeV

LATTICE-Kentucky: 35%+35%+24% +5%=100% @ 2 GeV

$$\begin{aligned}\frac{M_Q^\pi}{m_\pi} &\approx \frac{3}{8} \frac{1}{1+\kappa} \approx 34\% \\ \frac{M_G^\pi}{m_\pi} &\approx \frac{3}{8} \frac{\kappa}{1+\kappa} \approx 3\% \\ \frac{M_A^\pi}{m_\pi} &= \frac{1}{8} \approx 13\% \\ \frac{M_m^\pi}{m_\pi} &= \frac{1}{2} \approx 50\%\end{aligned}$$

@ 0.6 GeV


Spin





# Ji Spin

$$\vec{J}_Q = \frac{1}{2}\vec{\Sigma} + \vec{L}_Q = \int d^3x \left( \frac{1}{2}\bar{\psi}\vec{\gamma}\gamma^5\psi + \psi^\dagger(\vec{x} \times i\vec{D}[A])\psi \right)$$

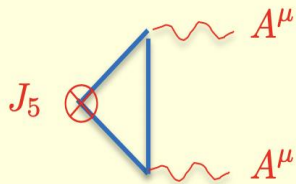
$$\vec{J}_G = \int d^3x \left( \vec{x} \times (\vec{E}^a \times \vec{B}^a) \right) \quad E^a = \pm iB^a$$

  
 $\mathcal{O}(\kappa^2)$

Ji 97' 
$$\vec{J}_N = \frac{\langle P|\vec{J}|P\rangle}{\langle P|P\rangle} \equiv \frac{1}{2}\vec{\Sigma}_Q^N + \vec{L}_Q^N + \vec{J}_G^N$$

   
 $\mathcal{O}(\kappa) \quad \mathcal{O}(\kappa^2)$

# Intrinsic spin and U(1) axial anomaly



$$\partial_\mu \bar{\psi} \gamma^\mu \gamma^5 \psi = \frac{N_f}{16\pi^2} F \tilde{F} + 2m \bar{\psi} i \gamma^5 \psi$$

$$\Sigma_Q^N \approx \frac{N_f V_3}{32\pi^2} \frac{\langle P | F \tilde{F}(0) | P \rangle}{s_v^\uparrow m_N \langle P | P \rangle}$$

Adler-Bardeen-Jackiw 69'

$$s_v^\uparrow = \chi_\uparrow^\dagger (\vec{\sigma} \cdot \vec{v}) \chi_\uparrow$$

Altarelli 88', Carlitz-Collins-Mueller 88', ...

# $F\tilde{F}$ in Nucleon

$$\frac{\langle P|F\tilde{F}(0)|P\rangle}{\langle P|P\rangle} = \lim_{T \rightarrow \infty} \frac{\langle J_P^\dagger(T)F\tilde{F}(0)J_P(-T)\rangle_C}{\langle J_P^\dagger(T)J_P(-T)\rangle}$$

$$\frac{V}{32\pi^2} \frac{\langle P|F\tilde{F}|P\rangle}{\langle P|P\rangle} \approx \langle \tilde{N}^2 \rangle_{\mathbb{Q}} \frac{\partial}{\partial \tilde{N}} \text{Log} \left( \lim_{T \rightarrow \infty} \langle J_P^\dagger(T)J_P(-T)\rangle \right)$$

$\chi_{\mathbb{Q}} \bar{N} \sim \bar{N}$  Vacuum susceptibility

$$\frac{V_3}{32\pi^2} \frac{\langle P|F\tilde{F}|P\rangle}{m_N \langle P|P\rangle} \approx -\langle \tilde{N}^2 \rangle_{\mathbb{Q}} \left( \frac{\partial \text{Log} \tilde{m}_N}{\partial \tilde{N}} \right)_{\tilde{N}=0}$$

Diakonov-Polyakov-Weiss 95', Kacir-Prakash-Zahed 96'

# Nucleon intrinsic quark spin

$$n_I \left\langle u_R^\dagger(p) p \left[ \sqrt{2} \varphi'(p) \hat{p} \epsilon U \right] \frac{1}{m} \left[ \sqrt{2} \varphi'(p) U^\dagger \epsilon \hat{p} \right] p u_L(p) \right\rangle_U + (\bar{I}, L \leftrightarrow R)$$

$$M_u(p) \left( \frac{N}{\bar{N}} u^\dagger(p) u(p) - \frac{\tilde{N}}{\bar{N}} u^\dagger(p) \gamma^5 u(p) \right) \rightarrow u^\dagger(p) \left[ M_u(p) \left( 1 - \frac{\tilde{N}}{\bar{N}} \gamma^5 \right) \right] u(p)$$

$$N = u^\dagger[ud]_0 \rightarrow \tilde{m}_N \approx m_N - M_u(0) s_v^\uparrow \frac{\tilde{N}}{\bar{N}}$$

$$\frac{V_3}{32\pi^2} \frac{\langle P | F \tilde{F} | P \rangle}{m_N s_v^\uparrow \langle P | P \rangle} \approx \left( \frac{\chi_Q}{\bar{N}} \right) \left( \frac{M_u(0)}{m_N} \right)$$

Zahed 21'

# Ji Spin sum rule

@ 0.6 GeV

$$\begin{aligned}\frac{\frac{1}{2}\Sigma_Q^N}{S_N} &\approx \frac{N_f \chi_Q}{3 \bar{N}} \approx 60\% \\ \frac{L_Q^N}{S_N} &\approx \frac{1}{1+\kappa} \left(1 - \frac{N_f \chi_Q}{3 \bar{N}}\right) \approx 36\% \\ \frac{J_G^N}{S_N} &\approx \frac{\kappa}{1+\kappa} \left(1 - \frac{N_f \chi_Q}{3 \bar{N}}\right) \approx 4\%\end{aligned}$$

COMPASS: (30-40)%

Zahed 21'

LATTICE-Cyprus: 40%+42%+17% =100% @ 2 GeV

LATTICE-Kentucky: 25%+47%+28% =100% @ 2 GeV

# Summary

- Vacuum : topologically active
- Mass : topo-compressibility
- Spin : topo-susceptibility