

# Associated top quark production: $pp \rightarrow t(t)+X$ where $X = cc, bb, j, jj$ in ATLAS and CMS

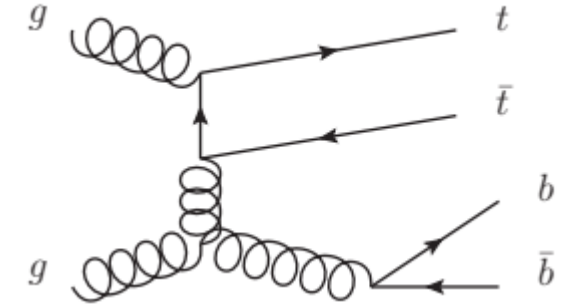
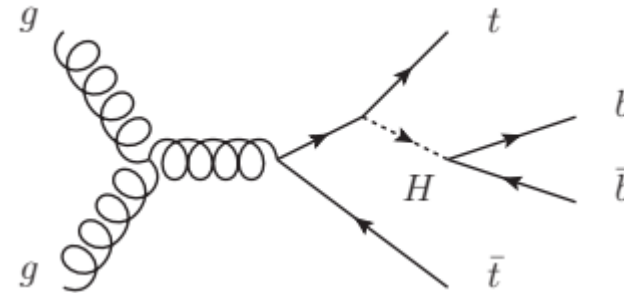
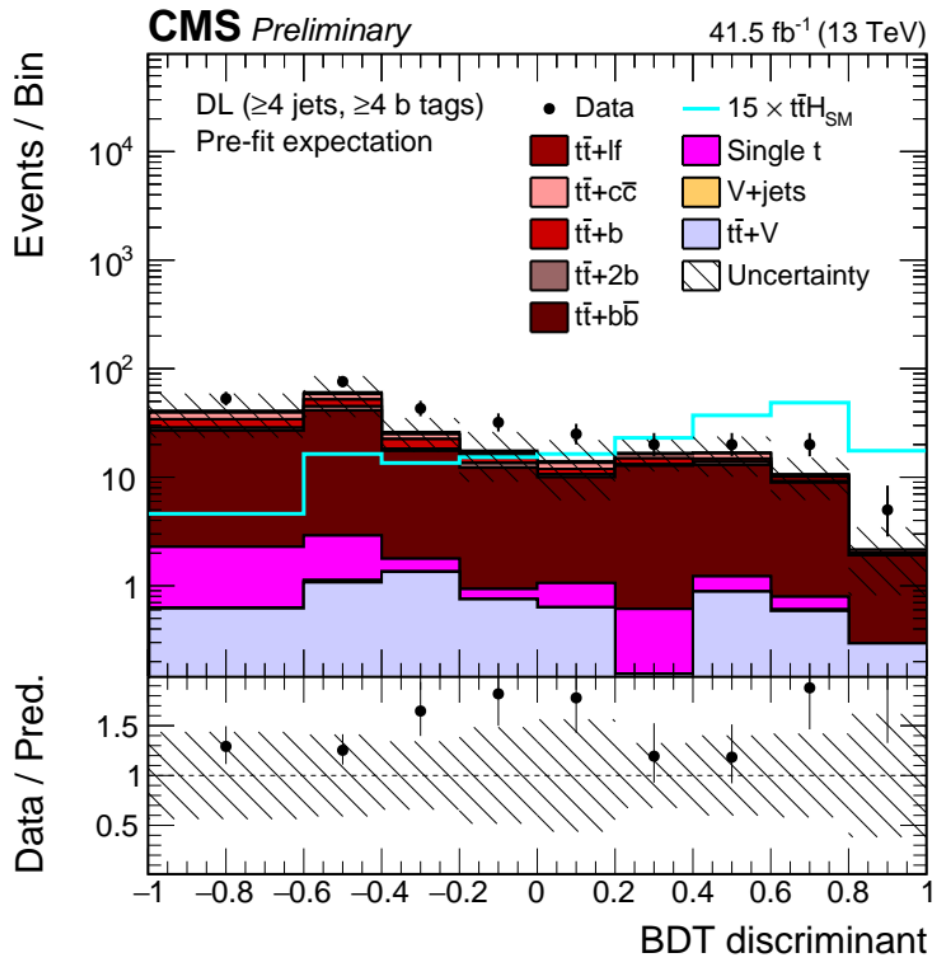
**Andrej Saibel** on behalf of the ATLAS and CMS collaborations  
TOP Conference 2021, 14. September

HELMHOLTZ SPITZENFORSCHUNG FÜR  
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# Introduction

CMS-PAS-HIG-18-030



## • tt+jets as background

- Dominant background for  $t\bar{t}H$  and  $t\bar{t}V$  processes
- $\sigma(t\bar{t}+jets) \gg \sigma(t\bar{t}H, t\bar{t}V)$
- High jet multiplicity in final state
  - Simple reconstruction often not possible (combinatorics)
  - Multivariate methods highly dependent on modeling

## • tt+heavy flavor as signal

- Four massive quarks in final state
- Scale uncertainty:  $> 30\%$  at next-to-leading order
- Discrepancies in  $t\bar{t} + b$ -jets modeling observed
  - e.g. [Yellow Report 4](#)

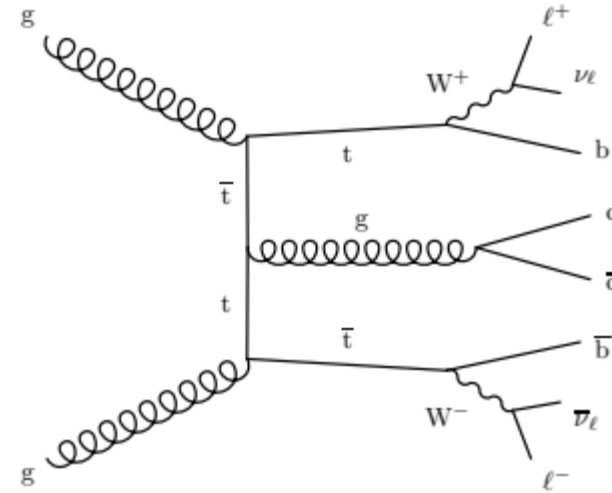
# $t\bar{t}+b\bar{b}$ and $t\bar{t}+c\bar{c}$ Measurements at 13 TeV

- **Motivation**

- Test of QCD
- Understanding of multi-scale processes
- Background modeling for SM and BSM processes

- **Important systematic uncertainties**

- Jet flavor identification, Jet Energy Scale, ME scale, ME-PS matching, statistical uncertainty



## ATLAS Measurement

- $t\bar{t}+b\bar{b}$  2l and l+jets ( $36.1 \text{ fb}^{-1}$ ) JHEP 04 (2019) 046
  - Inclusive and **differential** fiducial cross sections

## CMS Measurements

- $t\bar{t}+b\bar{b}$  2l and l+jets  $35.9 \text{ fb}^{-1}$  JHEP 07 (2020) 125
- $t\bar{t}+b\bar{b}$  all-jet  $35.9 \text{ fb}^{-1}$  PLB 803 (2020) 135285
- First  **$t\bar{t}+c\bar{c}$**  measurement PLB. 820 (2021) 136565
  - $41.5 \text{ fb}^{-1}$

# ATLAS Measurement of $\sigma(\bar{t}\bar{t}+\bar{b}\bar{b})$

JHEP 04 (2019) 046

$L = 36.1 \text{ pb}^{-1}$



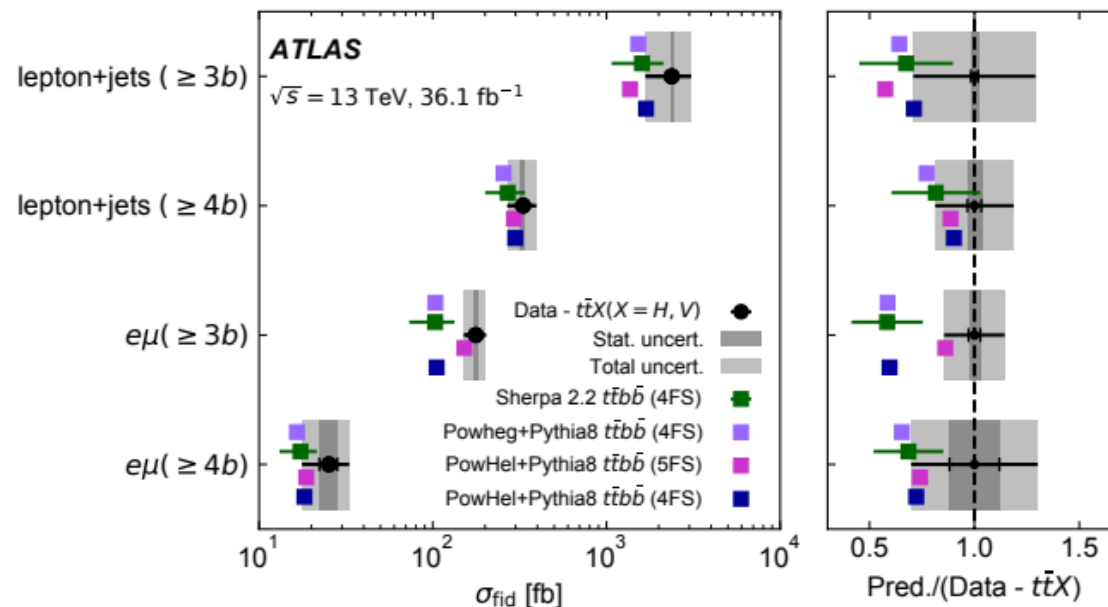
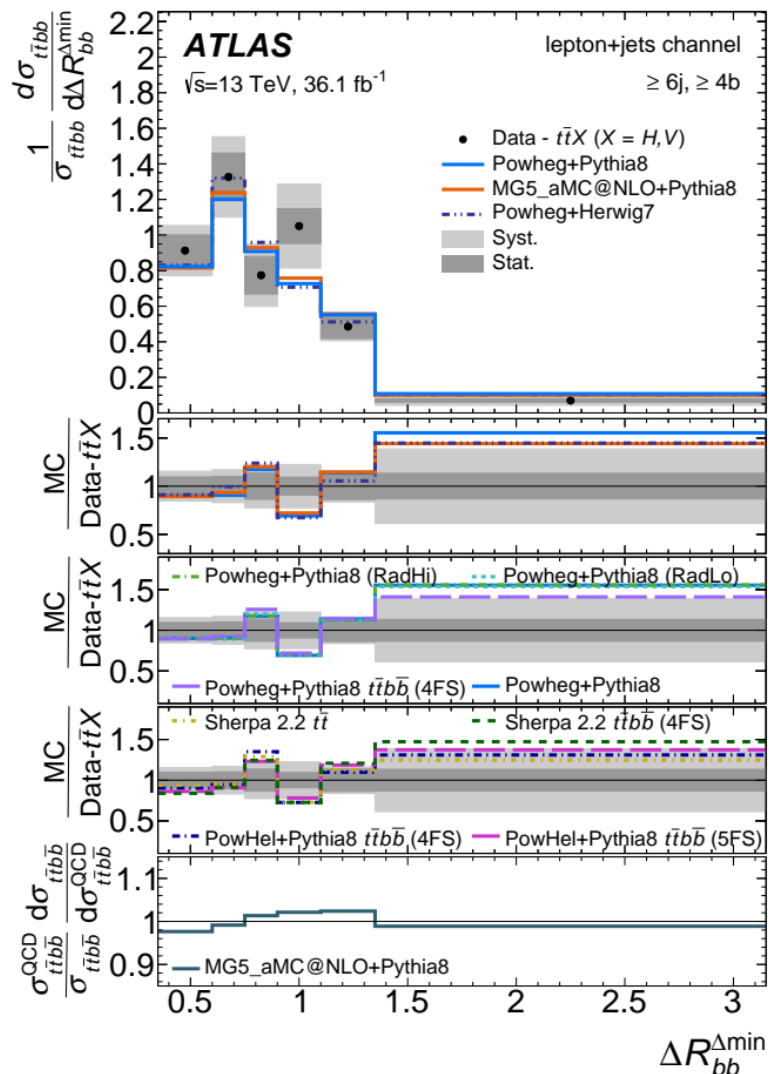
Inclusive and differential  $\sigma(\bar{t}\bar{t}+\bar{b}\bar{b})$  measurement in fiducial phase space  $e\mu$  and  $l$ +jets channels

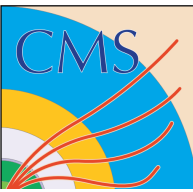
## Inclusive $\sigma(\bar{t}\bar{t}+\bar{b}\bar{b})$

- Non- $\bar{t}\bar{t}$  backgrounds estimated from MC
- Template fit to  $b$  tag distribution  $\rightarrow \bar{t}\bar{t}+\bar{b}\bar{b}, \bar{t}\bar{t}+\bar{c}\bar{c}, \bar{t}\bar{t}+l\bar{l}$
- $\bar{t}\bar{t}H(\bar{b}\bar{b})$  and  $\bar{t}\bar{t}V(\bar{b}\bar{b})$  treated as signal and subtracted after fit

## Normalized differential $\sigma(\bar{t}\bar{t}+\bar{b}\bar{b})$

- Particle level measurements of  $b$  tagged jet distributions
- No jet origin assignment
- Overall agreement of shapes between measurement and predictions

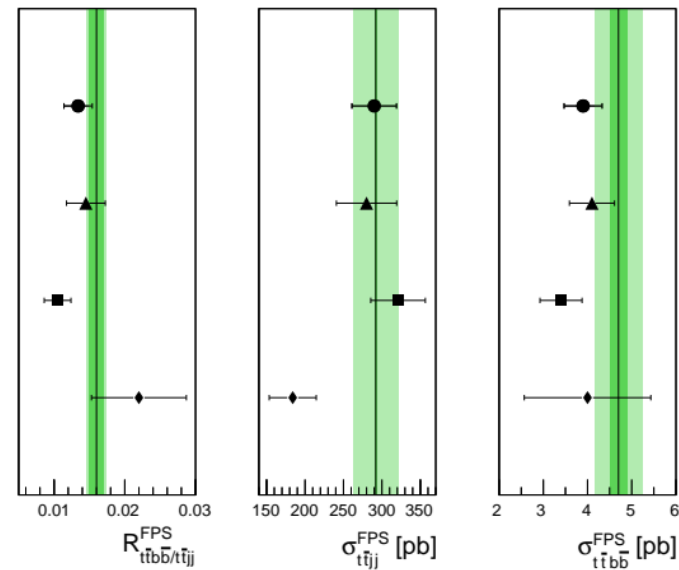
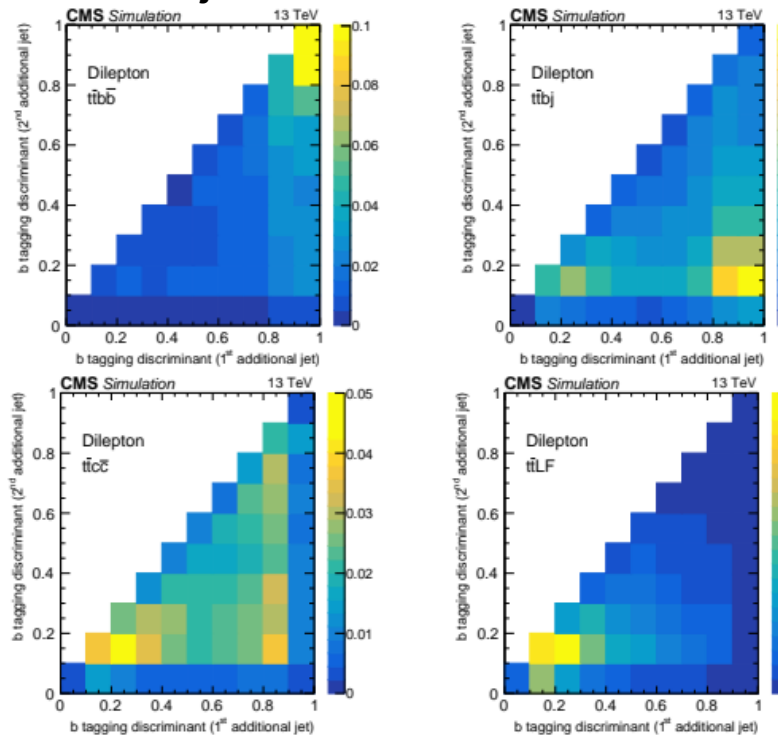
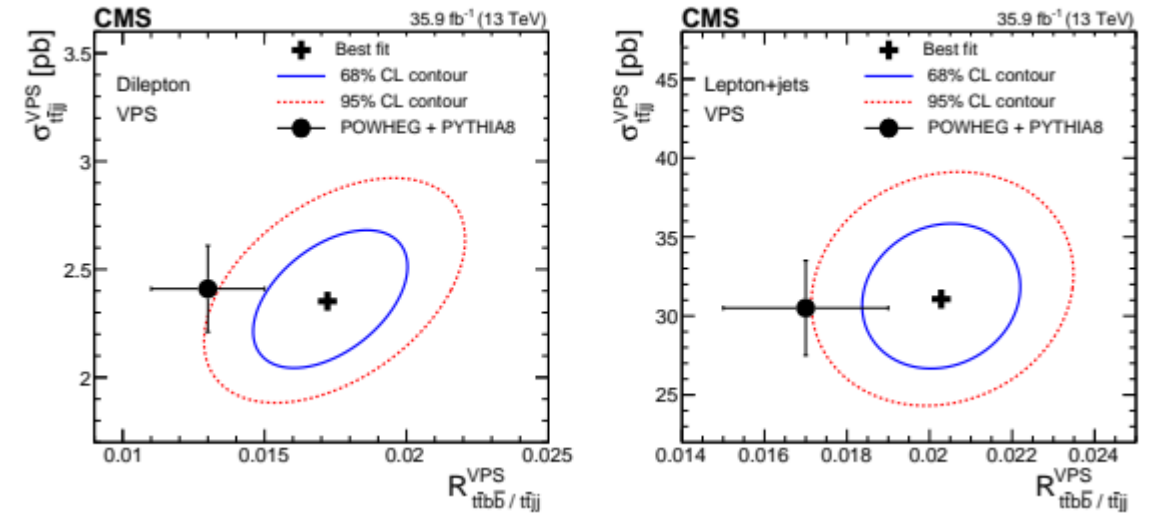




# Measurement of $\sigma(\bar{t}t+bb)$ 2l and l+jets

L = 35.9 fb<sup>-1</sup>

- Fiducial and full phase spaces
- $\sigma(\bar{t}t+bb)$  extracted from  $R(\bar{t}t+bb/\bar{t}t+jj)$ 
  - Ratio reduces systematic uncertainties
- **Jet origin assignment** (jets from top/additional jets)
  - **2l channel:** 3<sup>rd</sup> and 4<sup>th</sup> highest jet b tag value
  - **l+jets channel:** kinematic fit
- **Likelihood fit** to highest vs 2<sup>nd</sup> highest b tag scores of additional jets



**CMS** 35.9 fb<sup>-1</sup> (13 TeV)

Full phase space (FPS)  
 $p_T^{\text{jet}} > 20$  GeV

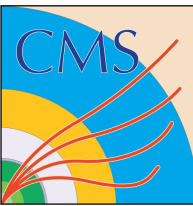
Lepton+jets

Measurement

- Stat. Total
- POWHEG + PYTHIA8
- MG\_aMC@NLO + PYTHIA8 5FS [FxFx]
- POWHEG + HERWIG++
- CMS (2015)

**Precision:**  
12-13%

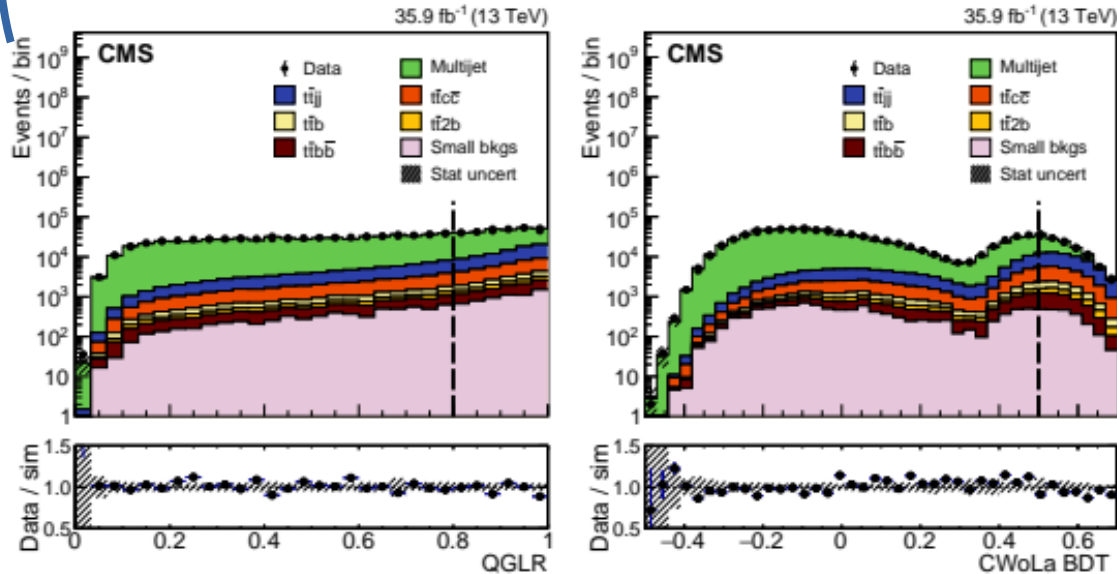
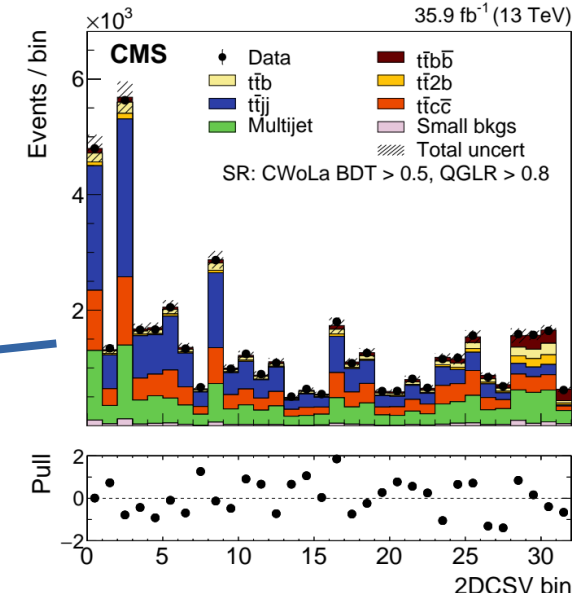
**Deviation:**  
1-2 $\sigma$



L = 35.9 fb<sup>-1</sup>

# Measurement of $\sigma(\text{t}\bar{\text{t}}+\text{b}\bar{\text{b}})$ All-Jets

- **Challenging due to**
  - High jet multiplicity, large QCD multi-jet background
- **Advantages of all-jets channel**
  - Large branching ratio, reconstructable final state
- **Multivariate Analysis Techniques**
  - Quark-Gluon-Likelihood,  $\text{t}\bar{\text{t}}$  and QCD multi-jet separation
  - Jet origin assignment with BDT
- **Likelihood fit to 2D CSV (enrolled)**
  - Highest and 2<sup>nd</sup> highest b tag score of additional jets
  - QCD background estimation from control region (CR 1-3)



CMS

$\text{t}\bar{\text{t}}\text{b}$  all-jet

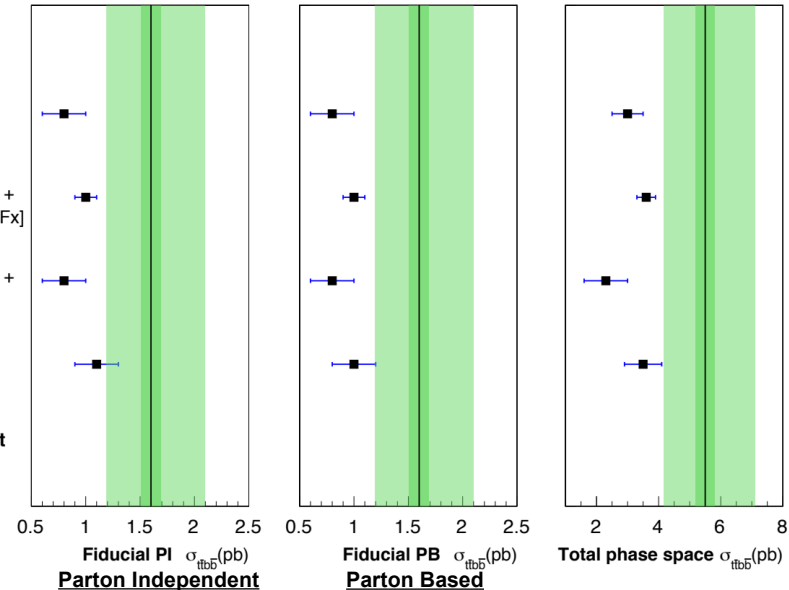
$\text{t}\bar{\text{t}}\text{-jets}$ :  
POWHEG + HERWIG++

$\text{t}\bar{\text{t}}\text{-jets}$ :  
MG5\_aMC@NLO + PYTHIA8 5FS [FxFx]

$\text{t}\bar{\text{t}}\text{b}$ :  
MG5\_aMC@NLO + PYTHIA8 4FS

$\text{t}\bar{\text{t}}\text{-jets}$ :  
POWHEG + PYTHIA8

| Measurement  
■ Total unc  
■ Stat unc



**Precision:**  
23-28%

**Deviation:**  
1-2 $\sigma$

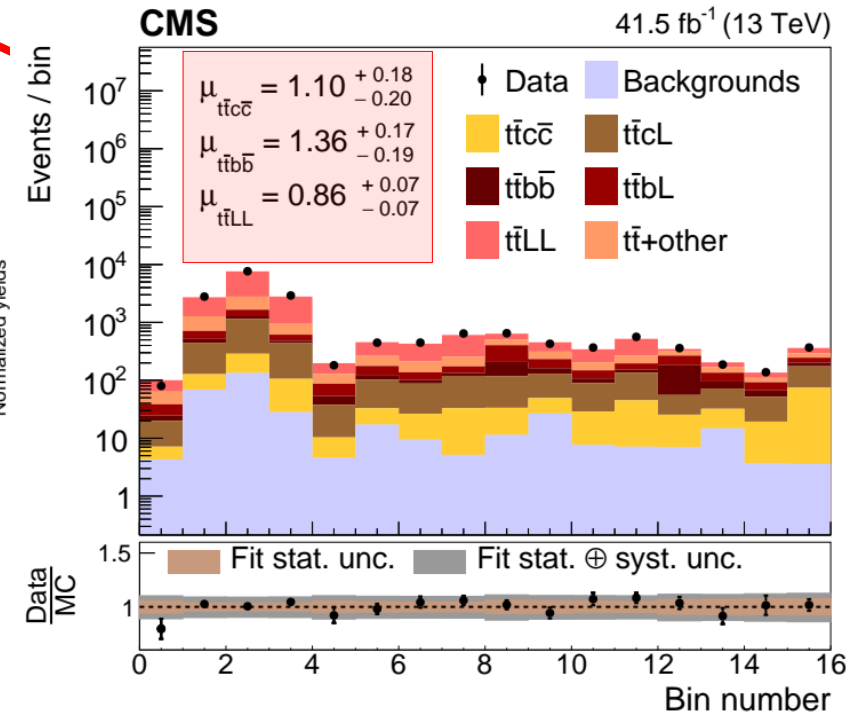
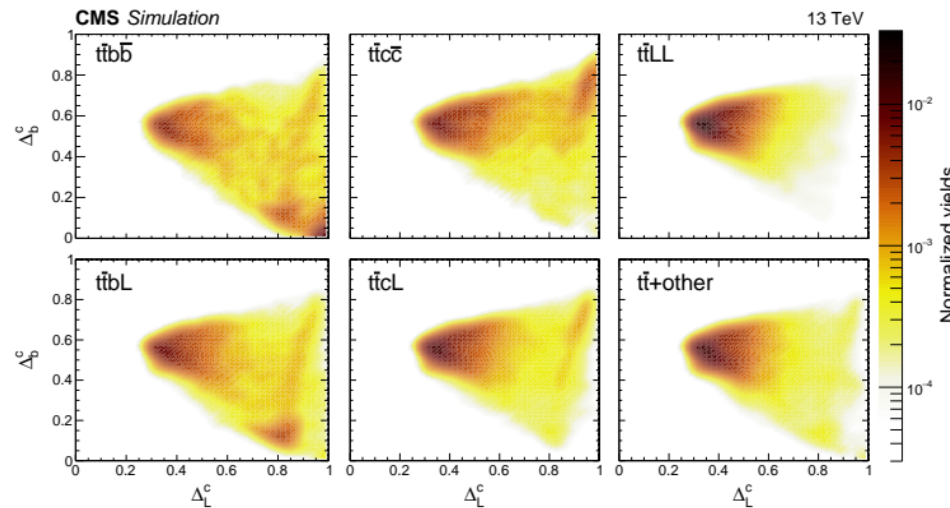
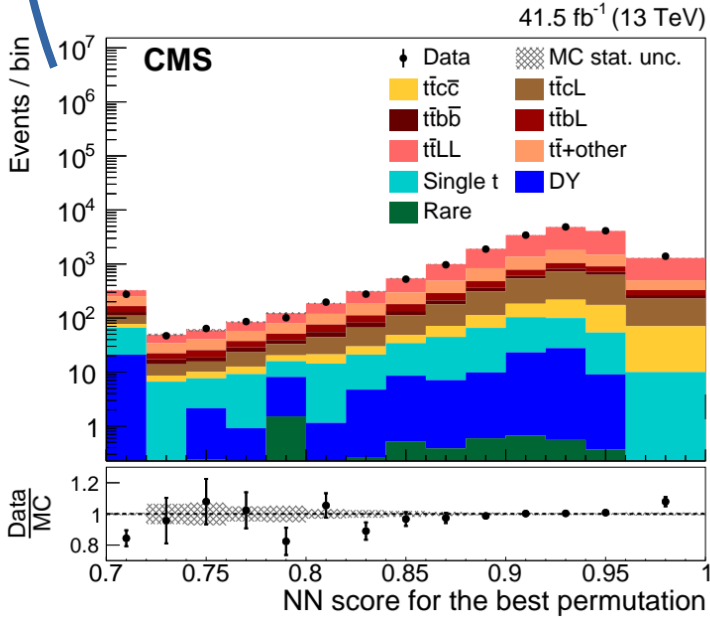
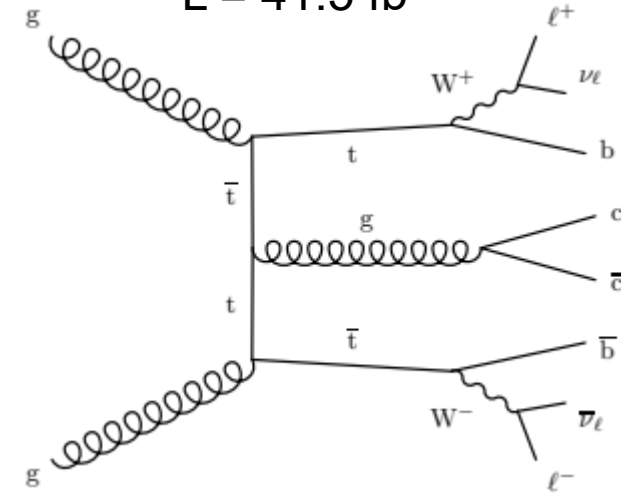




$L = 41.5 \text{ fb}^{-1}$

# Inclusive $\sigma(\bar{t}t + c\bar{c})$ Measurement (2I)

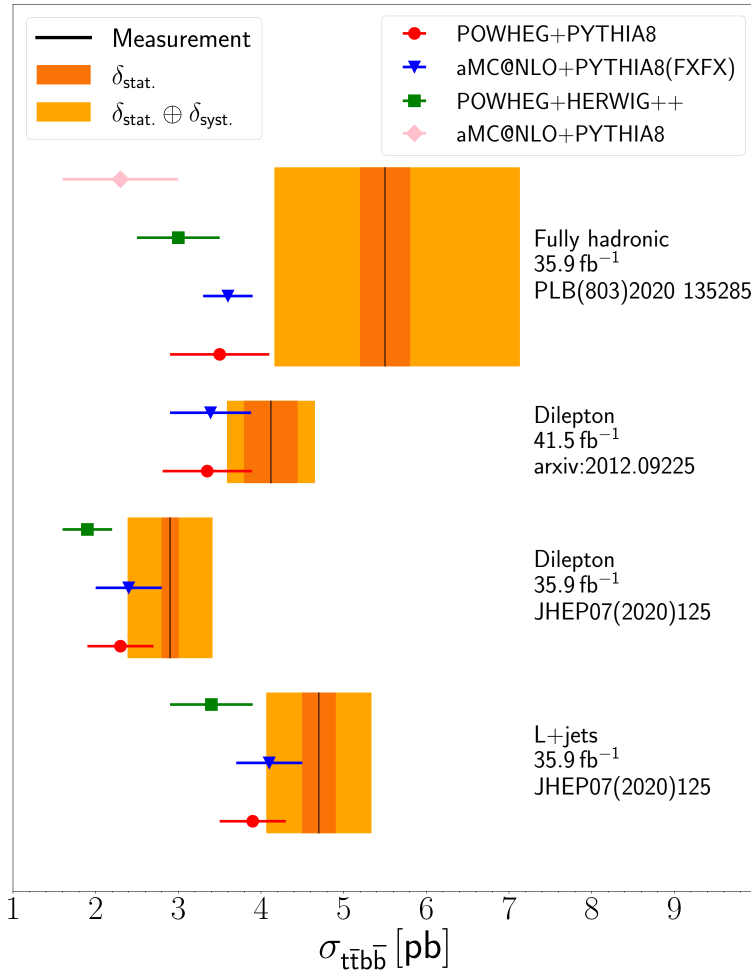
- Measurement of  $\sigma(\bar{t}t + b\bar{b})$ ,  $\sigma(\bar{t}t + c\bar{c})$ ,  $\sigma(\bar{t}t + ll)$ 
  - **First measurement of  $\sigma(\bar{t}t + c\bar{c})$**
- Challenging c-jet identification  $\rightarrow$  DeepCSV CMS-PAS-BTV-20-001
- Using Neural Network for jet origin assignment
- Multi-class NN for  $t\bar{t} + b\bar{b}$ ,  $t\bar{t} + c\bar{c}$ ,  $t\bar{t} + ll$  separation
- Likelihood fit to enrolled NN response
- **All results compatible with prediction in 1-2 $\sigma$** 
  - Powheg+Pythia8 normalized to NNLO+NNLL



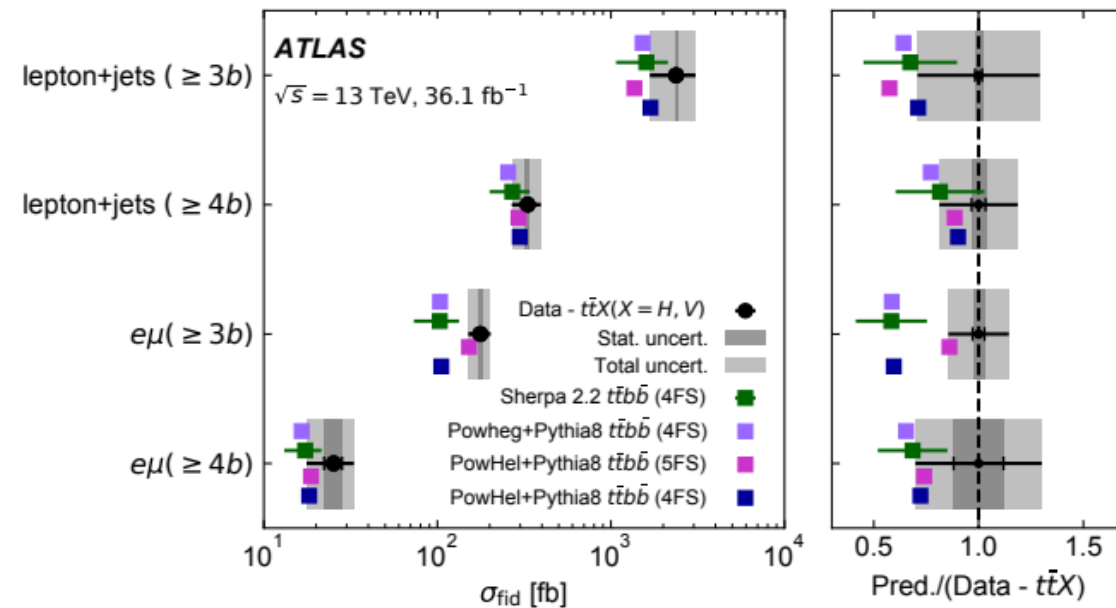
# Status of $t\bar{t}+b\bar{b}$ measurements

CMS Preliminary

June 2021



- $\sigma(t\bar{t}+b\bar{b})$  measured in all decay channels
- Fiducial and full phase spaces
- Measured cross sections in agreement across decay channels
- Simulations consistently underpredict data
  - Simulation scaled to NNLO+NNLL  $t\bar{t}$  cross section
- 2l, l+jets measurements are more precise than the predictions





# Summary

- Presented  $t\bar{t}+X$  ( $b\bar{b}$ ,  $c\bar{c}$ ,  $jj$ ) measurements from the ATLAS and CMS collaboration
  - Analyses show consistent results across all channels and phase spaces
  - Underprediction of  $1-2\sigma$  by all MC simulations
  - **First measurement of  $\sigma(t\bar{t}+c\bar{c})$**  in agreement with prediction
- **Prospects for full Run II ( $137 \text{ fb}^{-1}$ ):**
  - More precise total and differential cross section measurements

**Thank you for your attention!**

**BACKUP**

# ATLAS Measurement of $\sigma(t\bar{t}+b\bar{b})$

Source	Fiducial cross-section phase space			
	$e\mu$		lepton + jets	
	$\geq 3b$ unc. [%]	$\geq 4b$ unc. [%]	$\geq 5j, \geq 3b$ unc. [%]	$\geq 6j, \geq 4b$ unc. [%]
Data statistics	2.7	9.0	1.7	3.0
Luminosity	2.1	2.1	2.3	2.3
Jet	2.6	4.3	3.6	7.2
$b$ -tagging	4.5	5.2	17	8.6
Lepton	0.9	0.8	0.8	0.9
Pile-up	2.1	3.5	1.6	1.3
$t\bar{t}c$ fit variation	5.9	11	-	-
Non- $t\bar{t}$ bkg	0.8	2.0	1.7	1.8
Detector+background total syst.	8.5	14	18	12
Parton shower	9.0	6.5	12	6.3
Generator	0.2	18	16	8.7
ISR/FSR	4.0	3.9	6.2	2.9
PDF	0.6	0.4	0.3	0.1
$t\bar{t}V/t\bar{t}H$	0.7	1.4	2.2	0.3
MC sample statistics	1.8	5.3	1.2	4.3
$t\bar{t}$ modelling total syst.	10	20	21	12
Total syst.	13	24	28	17
Total	13	26	28	17

	$e\mu$ [fb]		lepton + jets [fb]	
	$\geq 3b$	$\geq 4b$	$\geq 5j, \geq 3b$	$\geq 6j, \geq 4b$
Measured	181 $\pm 5$ (stat) $\pm 24$ (syst)	27 $\pm 3$ (stat) $\pm 7$ (syst)	2450 $\pm 40$ (stat) $\pm 690$ (syst)	359 $\pm 11$ (stat) $\pm 61$ (syst)
$t\bar{t}X$ ( $X = H, V$ ) MC	4	2	80	28
Measured - $t\bar{t}X$	177	25	2370	331
SHERPA 2.2 $t\bar{t}b\bar{b}$ (4FS)	$103 \pm 30$	$17.3 \pm 4.2$	$1600 \pm 530$	$270 \pm 70$
POWHEG+PYTHIA 8 $t\bar{t}b\bar{b}$ (4FS)	104	16.5	1520	260
POWHEG+PYTHIA 8 $t\bar{t}b\bar{b}$ (5FS)	152	18.7	1360	290
POWHEG+PYTHIA 8 $t\bar{t}b\bar{b}$ (4FS)	105	18.2	1690	300

# Measurement of $\sigma(\bar{t}t + b\bar{b})$ 2l and l+jets

Source	$R_{\bar{t}t\bar{b}b/t\bar{t}jj}^{VPS}$ [%]		$\sigma_{\bar{t}t\bar{t}j}^{VPS}$ [%]	
	Dilepton	Lepton+jets	Dilepton	Lepton+jets
Lepton uncertainties				
Trigger	<0.1	0.2	1.0	0.5
Lepton identification	0.6	0.2	1.1	1.3
Lepton energy scale	—	<0.1	—	0.1
Jet uncertainties				
Jet energy resolution (JER)	0.4	0.3	0.3	0.7
Jet energy scale (JES)	1.5	1.2	2.9	3.6
b tagging uncertainties				
c-flavor btag (lin.)	2.2	2.0	1.0	0.3
c-flavor btag (quad.)	0.7	1.2	0.3	0.2
Heavy-flavor btag	4.0	0.1	0.5	0.9
Heavy-flavor btag (lin.)	0.9	0.4	1.5	0.5
Heavy-flavor btag (quad.)	2.0	0.3	1.5	0.8
Light-flavor btag	4.9	0.9	5.5	4.9
Light-flavor btag (lin.)	0.1	0.2	0.3	1.1
Light-flavor btag (quad.)	0.7	0.7	0.1	1.4
Theoretical uncertainties				
Initial-state radiation (ISR)	1.0	2.2	2.5	1.2
Final-state radiation (FSR)	0.8	0.7	2.5	5.9
ME-PS matching	0.5	<0.1	1.8	1.9
Underlying event tune (UE)	1.5	1.5	0.4	1.4
$\mu_F/\mu_R$ scales (ME)	0.1	0.4	0.1	1.4
top- $p_T$	0.2	0.4	1.6	0.3
Ratio $R_{\bar{t}t\bar{b}b/t\bar{t}jj}^{MC}$	1.4	0.2	1.3	0.7
Other uncertainties				
Pileup	0.7	0.2	1.3	0.1
Backgrounds	0.3	2.0	0.7	1.2
Simulated sample size	1.5	2.8	0.1	2.2
Luminosity	0.2	0.5	2.6	3.1
Total	8.0	5.5	8.8	10.0

	$R_{\bar{t}t\bar{b}b/t\bar{t}jj}$	$\sigma_{\bar{t}t\bar{t}j}$ [pb]	$\sigma_{\bar{t}t\bar{b}b}$ [pb]
Dilepton channel (VPS)			
POWHEG + PYTHIA8	$0.013 \pm 0.002$	$2.41 \pm 0.21$	$0.032 \pm 0.004$
Measurement	$0.017 \pm 0.001 \pm 0.001$	$2.36 \pm 0.02 \pm 0.20$	$0.040 \pm 0.002 \pm 0.005$
Dilepton channel (FPS)			
POWHEG + PYTHIA8	$0.014 \pm 0.003$	$163 \pm 21$	$2.3 \pm 0.4$
MG_aMC@NLO + PYTHIA8 5FS [FxFx]	$0.015 \pm 0.003$	$159 \pm 25$	$2.4 \pm 0.4$
POWHEG + HERWIG++	$0.011 \pm 0.002$	$170 \pm 25$	$1.9 \pm 0.3$
Measurement	$0.018 \pm 0.001 \pm 0.002$	$159 \pm 1 \pm 15$	$2.9 \pm 0.1 \pm 0.5$
Lepton+jets channel (VPS)			
POWHEG + PYTHIA8	$0.017 \pm 0.002$	$30.5 \pm 3.0$	$0.52 \pm 0.06$
Measurement	$0.020 \pm 0.001 \pm 0.001$	$31.0 \pm 0.2 \pm 2.9$	$0.62 \pm 0.03 \pm 0.07$
Lepton+jets channel (FPS)			
POWHEG + PYTHIA8	$0.013 \pm 0.002$	$290 \pm 29$	$3.9 \pm 0.4$
MG_aMC@NLO + PYTHIA8 5FS [FxFx]	$0.014 \pm 0.003$	$280 \pm 40$	$4.1 \pm 0.4$
POWHEG + HERWIG++	$0.011 \pm 0.002$	$321 \pm 36$	$3.4 \pm 0.5$
Measurement	$0.016 \pm 0.001 \pm 0.001$	$292 \pm 1 \pm 29$	$4.7 \pm 0.2 \pm 0.6$

# Measurement of $\sigma(\bar{t}t + b\bar{b})$ All-Jets

Source	FPS PI (%)	FPS PB (%)
Simulated sample size	+15 -11	+15 -11
Quark-gluon likelihood	+13 -8	+13 -8
b tagging of b quark	$\pm 10$	$\pm 10$
JES and JER	+5.1 -5.2	+5.0 -5.4
Integrated luminosity	+2.8 -2.2	+2.4 -2.2
Trigger efficiency	+2.6 -2.1	+2.5 -2.2
Pileup	+2.3 -2.0	+2.2 -1.9
$\mu_R$ and $\mu_F$ scales	+13 -9	+13 -9
Parton shower scale	+11 -8	+11 -8
UE tune	+9.0 -5.3	+9.0 -5.2
Colour reconnection	$\pm 7.2$	$\pm 7.1$
Shower matching ( $h_{\text{damp}}$ )	+4.3 -2.8	+3.8 -2.7
$\bar{t}t\bar{c}c$ normalization	+3.2 -4.4	+2.9 -4.5
Modelling of $p_T$ of top quark	$\pm 2.5$	$\pm 2.4$
PDFs	+2.2 -2.0	+2.2 -2.0
Total	+28 -23	+28 -23

	FPS PI (pb)	FPS PB (pb)	TPS (pb)
Measurement	$1.6 \pm 0.1^{+0.5}_{-0.4}$	$1.6 \pm 0.1^{+0.5}_{-0.4}$	$5.5 \pm 0.3^{+1.6}_{-1.3}$
POWHEG ( $\bar{t}t$ )	$1.1 \pm 0.2$	$1.0 \pm 0.2$	$3.5 \pm 0.6$
POWHEG ( $\bar{t}t$ ) + HERWIG++	$0.8 \pm 0.2$	$0.8 \pm 0.2$	$3.0 \pm 0.5$
MADGRAPH5_aMC@NLO (4FS $\bar{t}t\bar{b}b$ )	$0.8 \pm 0.2$	$0.8 \pm 0.2$	$2.3 \pm 0.7$
MADGRAPH5_aMC@NLO (5FS $\bar{t}t$ +jets, FxFx)	$1.0 \pm 0.1$	$1.0 \pm 0.1$	$3.6 \pm 0.3$

# Inclusive $\sigma(\bar{t}\bar{t}+\bar{c}\bar{c})$ Measurement (2I)

Sources	Systematic uncertainty (%)				
	$\Delta\sigma_{\bar{t}\bar{t}\bar{c}\bar{c}}$	$\Delta\sigma_{\bar{t}\bar{t}\bar{b}\bar{b}}$	$\Delta\sigma_{\bar{t}\bar{t}\bar{L}\bar{L}}$	$\Delta R_c$	$\Delta R_b$
Jet energy scale	4.0	3.2	4.7	2.8	2.1
Jet energy resolution	2.3	1.0	0.9	2.5	1.3
c tagging calibration	7.0	3.2	2.5	7.3	3.5
Lepton identification and isolation	0.8	1.0	1.3	0.6	0.3
Trigger	2.0	2.0	2.0	< 0.1	< 0.1
Pileup	0.3	0.2	0.3	0.5	< 0.1
Total integrated luminosity	2.3	2.4	2.3	< 0.1	< 0.1
$\mu_R$ and $\mu_F$ scales in ME	3.3	6.2	2.1	3.8	6.8
PS scale	0.4	1.6	0.3	0.5	1.6
PDF	0.3	0.1	0.1	0.2	0.1
ME-PS matching	7.1	5.7	3.5	2.6	1.5
Underlying event	1.9	2.3	1.1	0.5	0.9
b fragmentation	0.4	1.9	0.8	0.3	2.4
c fragmentation	4.6	< 0.1	< 0.1	3.9	0.7
$\bar{t}\bar{t}L(cL)/\bar{t}\bar{t}\bar{b}\bar{b}(c\bar{c})$ and $\bar{t}\bar{t}$ +other/ $\bar{t}\bar{t}\bar{L}\bar{L}$	2.4	1.8	1.1	1.8	1.5
Efficiency (theoretical)	2.4	2.1	2.0	< 0.1	< 0.1
Simulated sample size	3.2	2.6	1.1	3.1	2.5
Background normalization	0.5	0.7	0.6	0.1	0.1
Total	13.7	11.4	8.2	10.9	9.2

	Result	POWHEG	MADGRAPH5_aMC@NLO
Fiducial phase space			
$\sigma_{\bar{t}\bar{t}\bar{c}\bar{c}}$ [pb]	$0.207 \pm 0.025 \pm 0.027$	$0.187 \pm 0.038$	$0.189 \pm 0.032$
$\sigma_{\bar{t}\bar{t}\bar{b}\bar{b}}$ [pb]	$0.132 \pm 0.010 \pm 0.015$	$0.097 \pm 0.021$	$0.101 \pm 0.023$
$\sigma_{\bar{t}\bar{t}\bar{L}\bar{L}}$ [pb]	$5.15 \pm 0.12 \pm 0.41$	$5.95 \pm 1.02$	$6.32 \pm 0.94$
$R_c$ [%]	$3.01 \pm 0.34 \pm 0.31$	$2.53 \pm 0.18$	$2.43 \pm 0.17$
$R_b$ [%]	$1.93 \pm 0.15 \pm 0.18$	$1.31 \pm 0.12$	$1.30 \pm 0.16$
Full phase space			
$\sigma_{\bar{t}\bar{t}\bar{c}\bar{c}}$ [pb]	$10.1 \pm 1.2 \pm 1.4$	$9.1 \pm 1.8$	$8.9 \pm 1.5$
$\sigma_{\bar{t}\bar{t}\bar{b}\bar{b}}$ [pb]	$4.54 \pm 0.35 \pm 0.56$	$3.34 \pm 0.72$	$3.39 \pm 0.66$
$\sigma_{\bar{t}\bar{t}\bar{L}\bar{L}}$ [pb]	$220 \pm 5 \pm 19$	$255 \pm 43$	$261 \pm 37$
$R_c$ [%]	$3.36 \pm 0.38 \pm 0.34$	$2.81 \pm 0.20$	$2.72 \pm 0.19$
$R_b$ [%]	$1.51 \pm 0.11 \pm 0.16$	$1.03 \pm 0.08$	$1.03 \pm 0.09$