

# Interpreting top quark LHC measurements in SMEFT

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14<sup>th</sup> International Workshop on Top Quark Physics, TOP2021

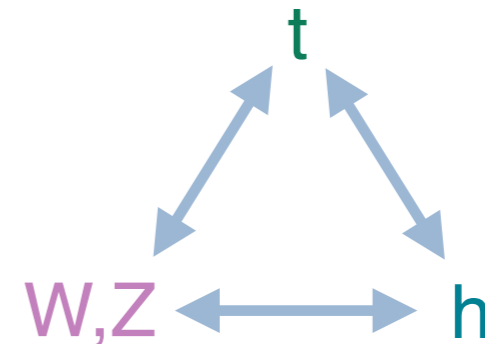
15<sup>th</sup> September 2021

# New physics through tops

What is the origin of electroweak symmetry breaking?

Who are the main players?

- Higgs boson, EW gauge bosons & **top quark**
- Most **massive**  $\Leftrightarrow$  **strongly coupled** to the Higgs



*see Andrea's keynote talk*

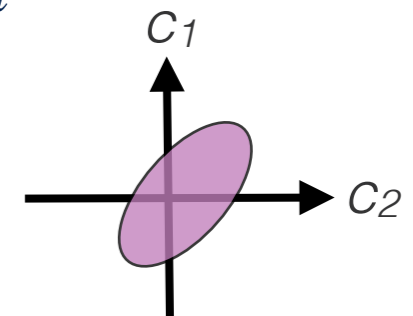
**Indirect:** new physics is heavy  $\Rightarrow$  modifies top “properties”

- Precision measurements seeking *new physics via new interactions*
- *Complementary* to direct searches

Established framework: **SMEFT**  $\mathcal{L} = \mathcal{L}_{SM} + \sum_i \frac{c_i}{\Lambda^{D-4}} \mathcal{O}_i^D$

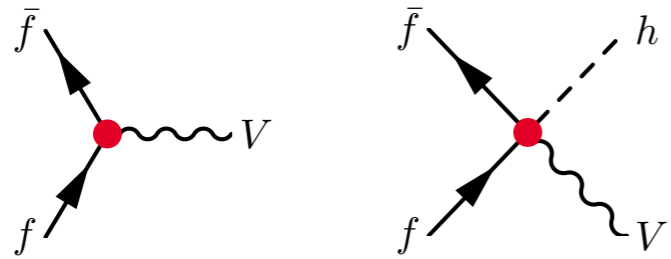
Wealth of top quarks produced at the LHC

- Measure the parameters of the SM up to dimension 6



# Top operator glossary

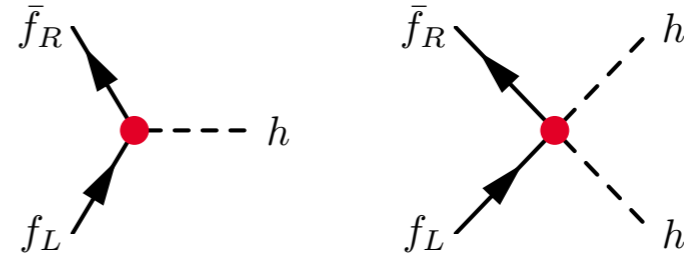
currents  $i(\varphi^\dagger \overleftrightarrow{D}^\mu \varphi)(\bar{Q}\gamma^\mu Q)$



$C_{\phi f}$

- Shift SM  $f\bar{f}V$  couplings
- $f\bar{f}Vh$  contact interactions

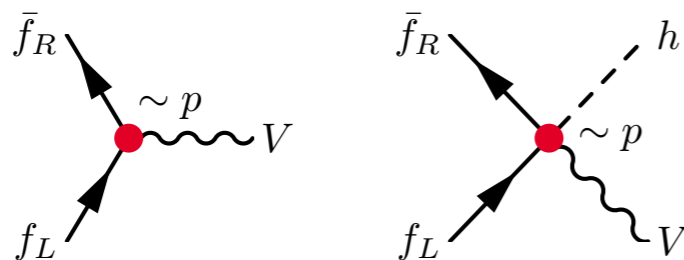
Yukawa  $(\bar{q} t \tilde{\varphi})(\varphi^\dagger \varphi)$



$C_{t\phi}$

- Decouple  $m_t$  &  $y_t$
- $t\bar{t}hh(h)$  contact interactions

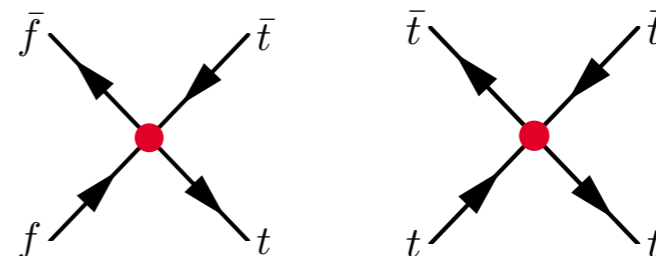
dipole  $(\bar{q} \sigma_{\mu\nu} t \tilde{\varphi})V^{\mu\nu}$



$C_{tV}$

- Chirality flipping  $f\bar{f}V$  couplings
- $f\bar{f}V(V)h$  contact interactions
- $W, B$  &  $G$  fields

4 fermion  $(\bar{q}\gamma_\mu q)(\bar{Q}\gamma^\mu Q)$



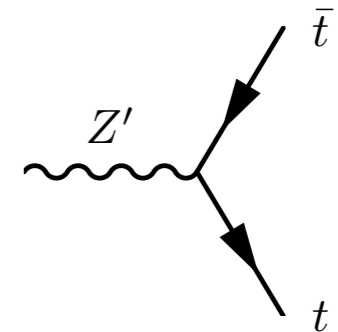
$C_{ft}$

- Contact interactions
- 2-heavy-2-light or 4-heavy
- Numerous ( $\sim O(20)$  w/ top)

# The importance of top data

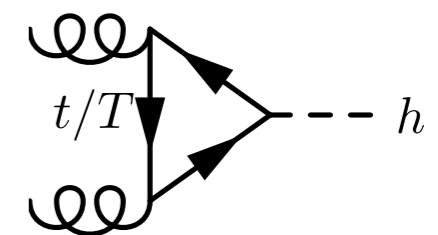
By itself: individual bounds; top data alone

- Determine top quark properties/interactions
- Probe heavy new physics that couples preferentially to tops



Globally: marginalised; top, Higgs, diboson, LEP, ... data

- Influence determination of other couplings in EW sector,...
- Probe more realistic models connected to the EWSB puzzle



Model independence  $\Leftrightarrow$  Global approach

- We don't know what operators new physics will generate
- Patterns & correlations among operators/observables are key
- Ultimate goal: complete SMEFT likelihood confronted with all HEP data
- Interpret in UV models ( $Z'$ , MSSM,...)/scenarios (top-philic, composite  $t_R$ ...)

# Fits: status & developments

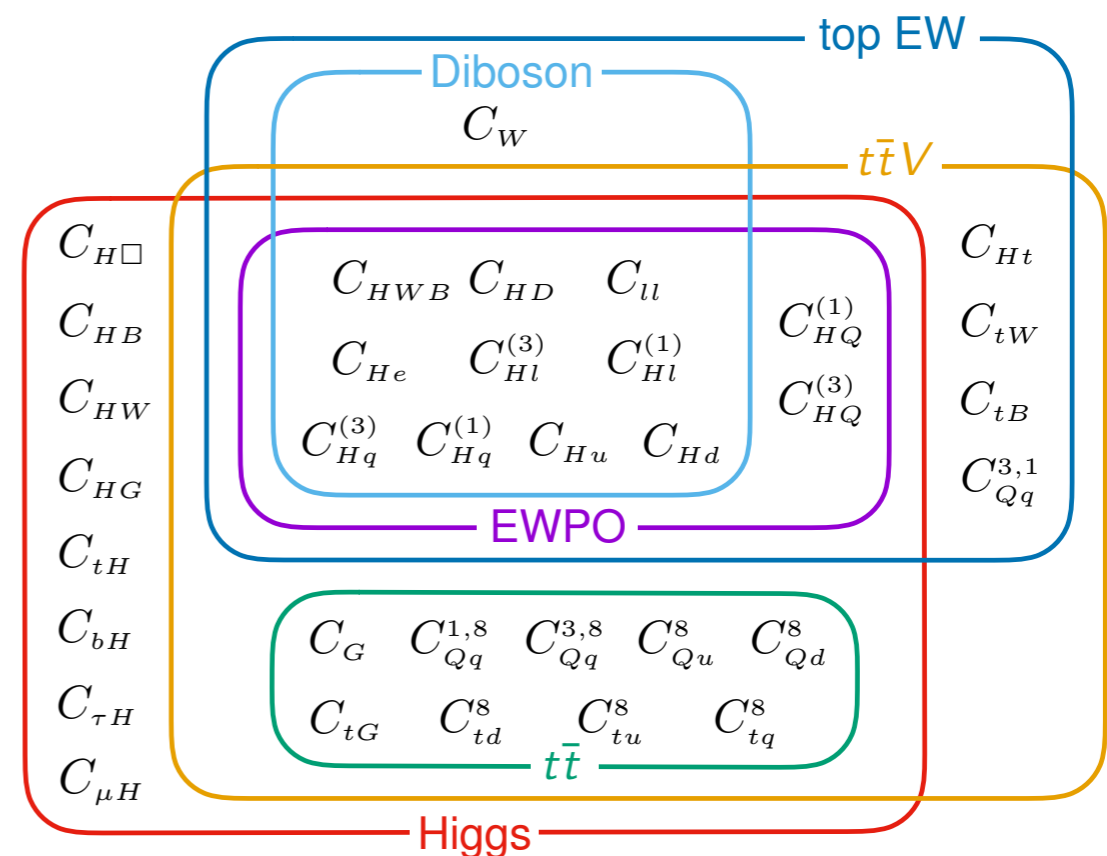
Many SMEFT interpretations in experimental analyses

- Almost every experimental talk so far in this workshop!

## Global interpretations

- **Size:** 100s of data points & 10s of operators
- **Precision:** Inclusion of NLO QCD corrections & loop sensitivity
- **Breadth:** First combinations of top, Higgs & EW precision data

[Ellis, Madigan, KM, Sanz, You; JHEP 04 (2021) 279]



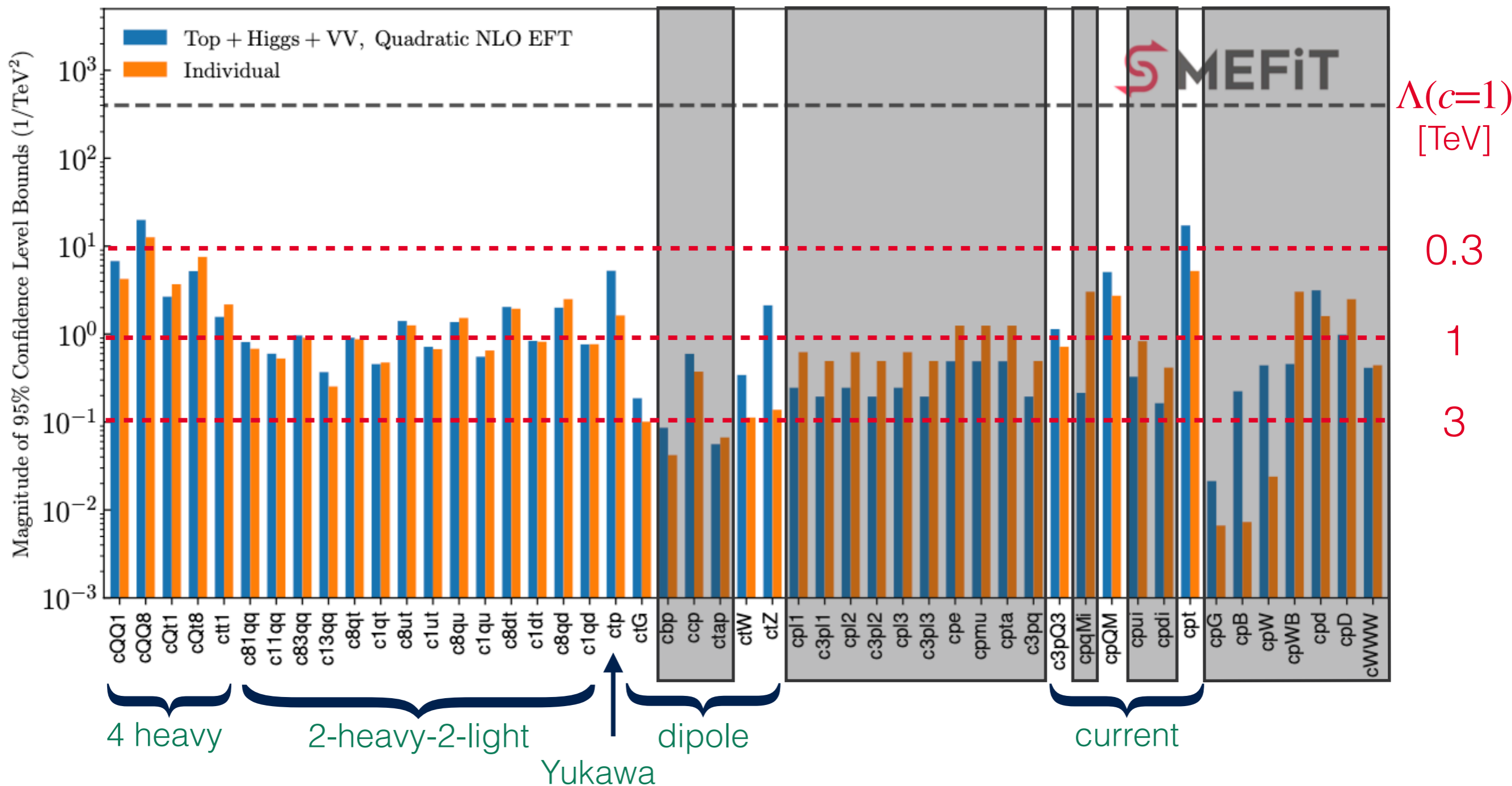
## Take home message

- Top sector probed around TeV scale
- NLO effects can be significant
- EW top couplings weakly constrained
- EFT validity should be studied

# Status

Top, Higgs & Diboson w/ 'perfect' EWPO

- NLO QCD
- top loop sensitivity



# NLO vs LO

*SMEFTatNLO*

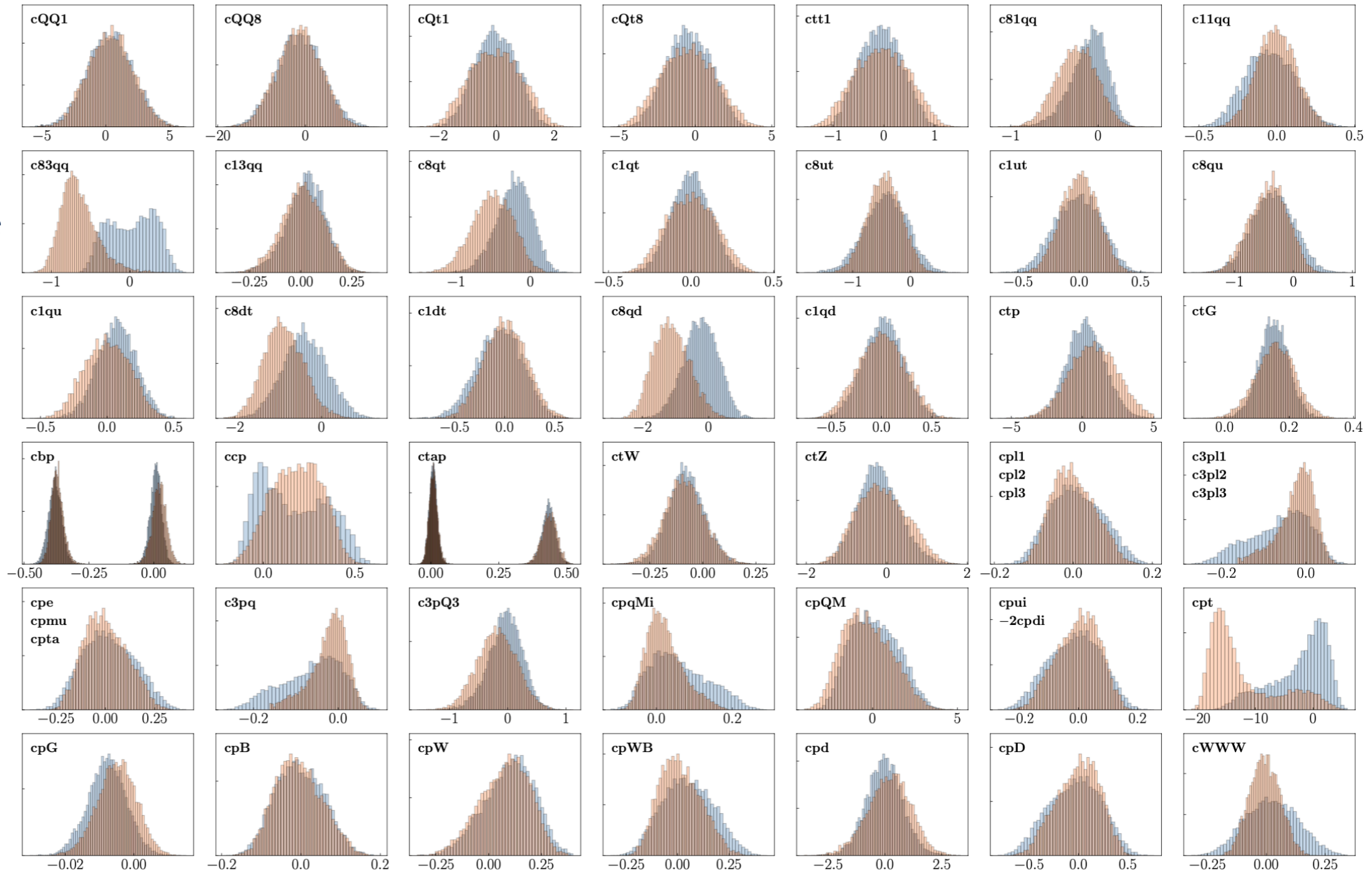
<http://feynrules.irmp.ucl.ac.be/wiki/SMEFTatNLO>

[Degrande et al.; PRD 103 (2021) 9, 096024]

■ Top + Higgs + VV, Quadratic NLO EFT
 ■ Top + Higgs + VV, Quadratic LO EFT

*Top is coloured*

*Non-trivial  
QCD corrections*



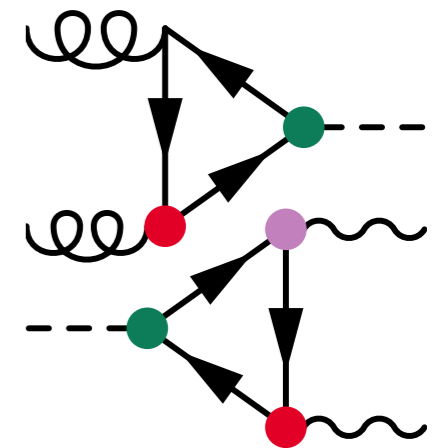
# Loop sensitivity

Not just higher precision: new **loop-induced** sensitivity

- Especially relevant for top loops: most **strongly coupled** particle
- **Weakly constrained** directions meet **precisely measured** observables
- Large allowed Wilson coefficients overcome loop factors

Example: top couplings in  $hVV$  vertex

- Yukawa, **current** & **dipole** couplings in  $gg \rightarrow h$  &  $h \rightarrow \gamma\gamma/Z\gamma$
- (Weakly) constrained at tree-level by  $t\bar{t}\gamma/Z/H$  &  $t\bar{t}$



SMEFiT: individual bounds **dominated by Higgs data!**

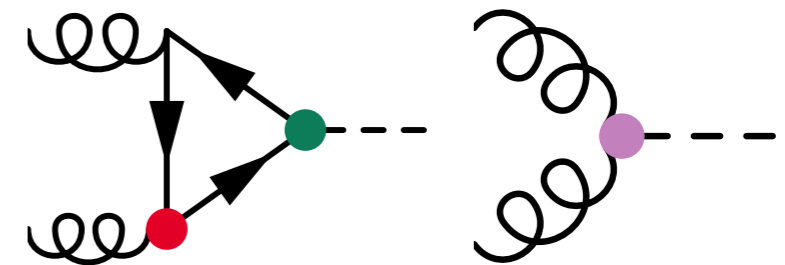
- Weak dipoles &  $Z\bar{t}t$  current operators  $(C_{tW}, C_{tZ}, C_{\varphi Q}^{(-)}, C_{\varphi Q}^3, C_{\varphi t})$
- Also contributions to  $gg \rightarrow Zh/ZZ/Z\gamma/WW$
- Complementary **direct sensitivity** from non-top data



# Top-Higgs interplay

Top data indirectly improves Higgs coupling measurements

- $gg \rightarrow h$  has 3 relevant new interactions
- Yukawa, **dipole** & **contact** term
- Degeneracy in coefficient/theory space



[Maltoni, Vryonidou & Zhang; JHEP 1610 (2016) 123]

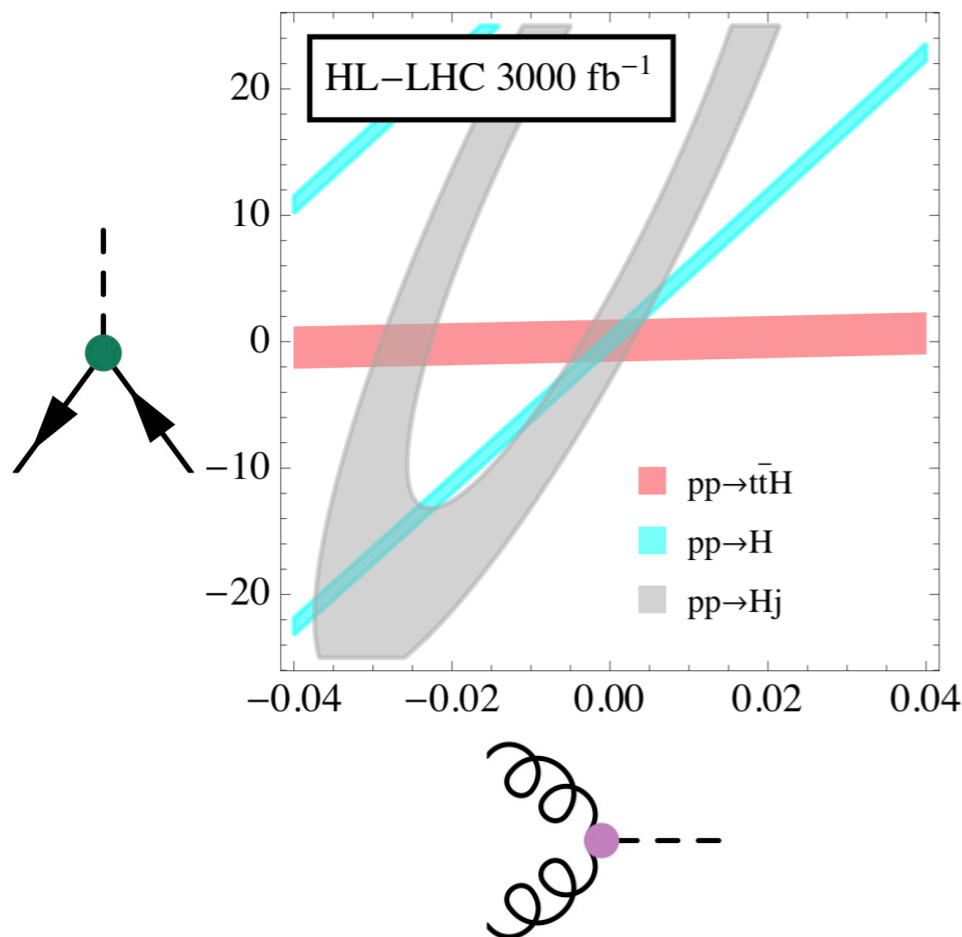
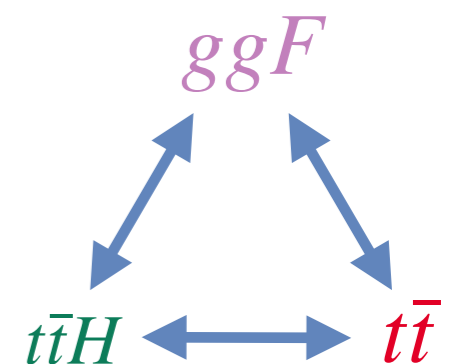
**Cannot rule out heavy particles in the loop**

$t\bar{t}$  and  $t\bar{t}h$  data can help

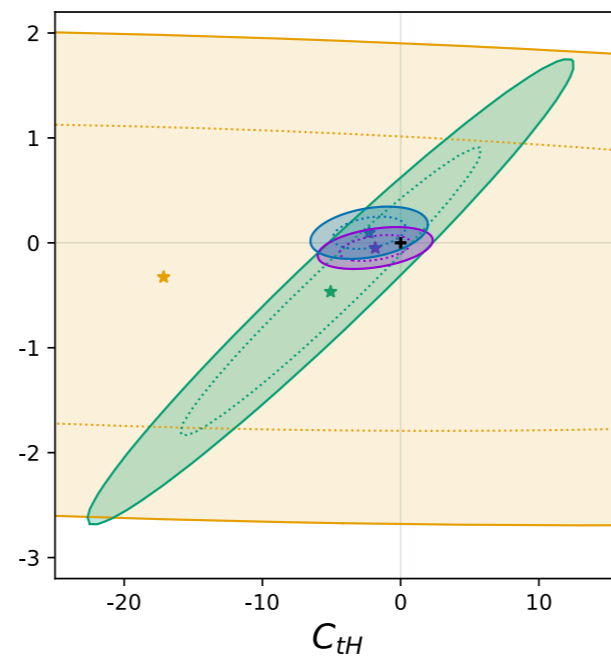
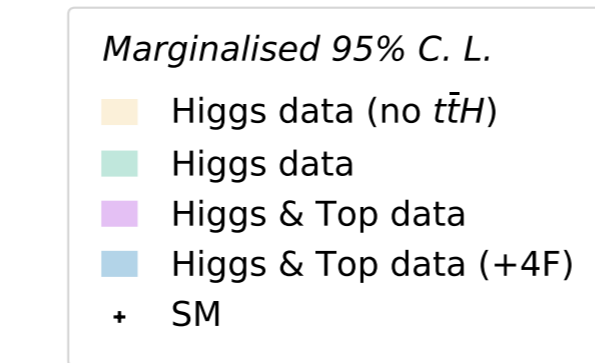
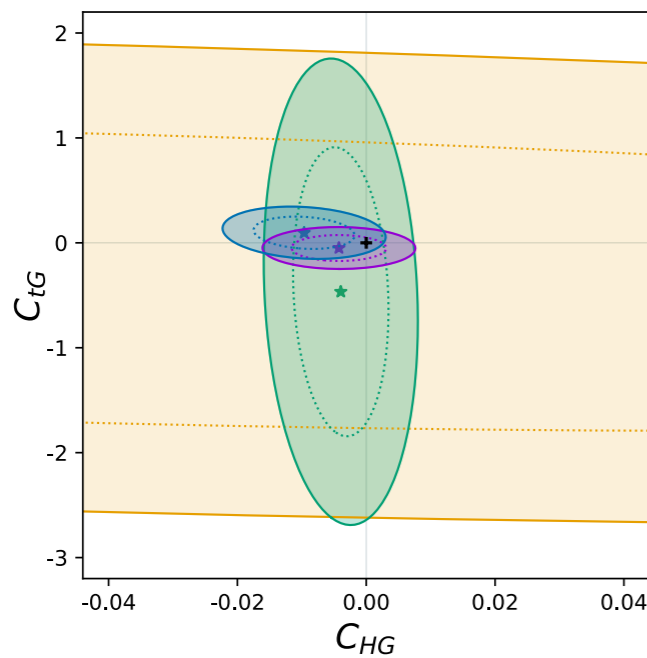
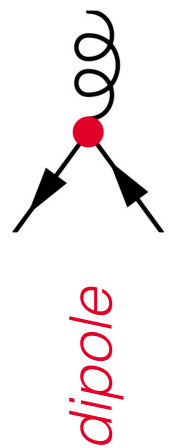
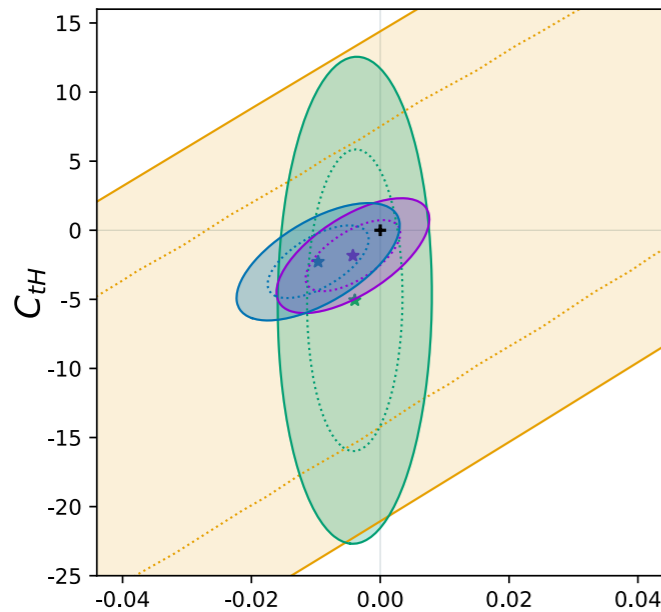
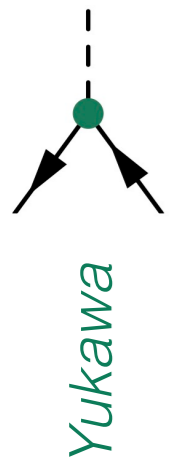
- Constrain **dipole** & **Yukawa**, respectively

What about 4 fermion ops.?

- Do they limit ultimate sensitivity?



# Top-Higgs interplay



Fit: Higgs SS & STXS  $\mathcal{O}(\Lambda^{-2})$

8 Higgs operators +  $C_{tG}$

- Marginalised confidence regions
- Significant impact of  $t\bar{t}H$  &  $t\bar{t}(V)$

Now add in  $t\bar{t}$  4F operators

+  $C_{Qq}^{3,8}, C_{Qq}^{1,8}, C_{Qu}^8, C_{Qd}^8, C_{tq}^8, C_{tu}^8, C_{td}^8$

- Relatively mild impact
- Preferred  $t\bar{t}$  phase space is different

$C_{tG}$  : low  $m_{t\bar{t}}$  J. Erdmann's

4F : high  $m_{t\bar{t}}$  talk today

- Able to constrain them independently

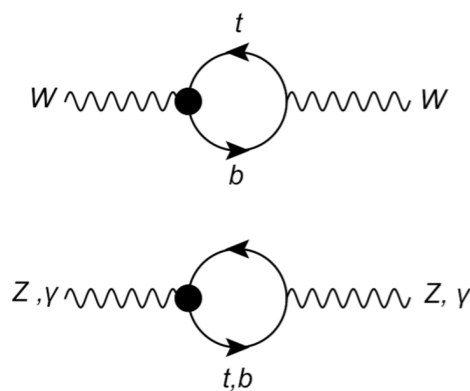
**Top data is crucial!**

Interpreting LHC top data in SMEFT

# More loop sensitivity

Several other processes have been studied

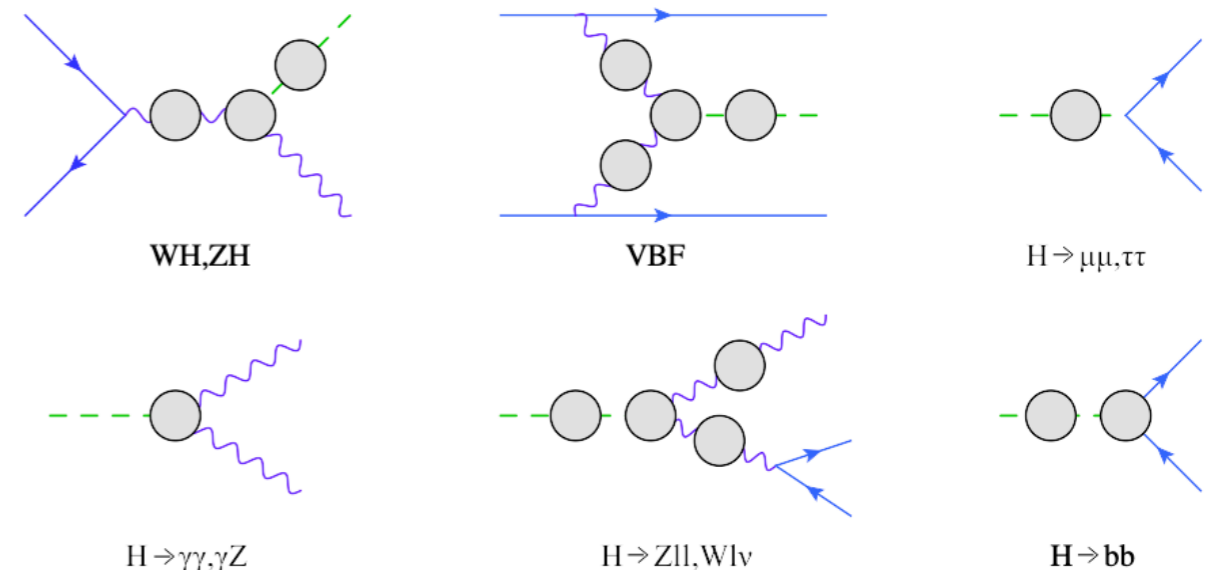
## Z-pole observables



[Zhang, Greiner & Willenbrock; PRD 86 (2012) 014024]

## EW Higgs production & decay

[Zhang & Vryonidou; JHEP 08 (2018) 036]



Not yet fully combined in a fit

- NLO EW calculations
- Next frontier in precision SMEFT fits
- Impact of 4F operators not calculated
- Automated technology emerging
- Strengthen correlations between top, EWPO & Higgs data

Others? Diboson, Drell-Yan, ...

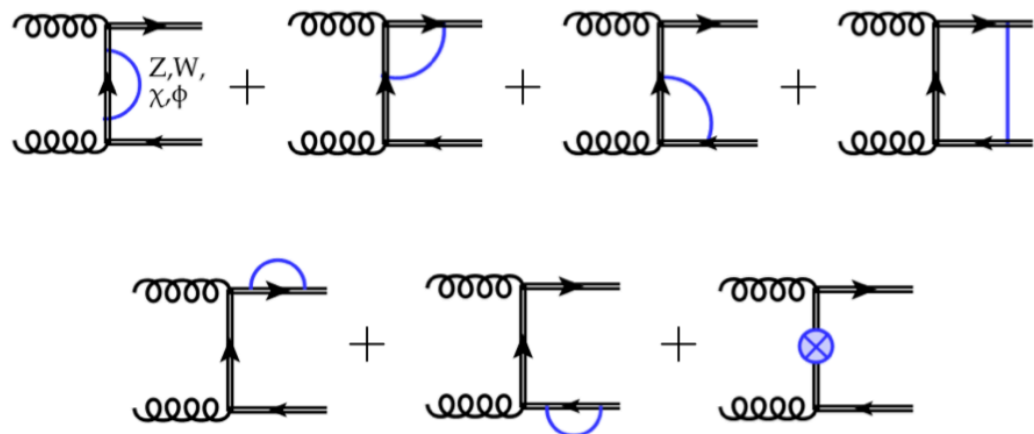
# Loop sensitivity in top data

Need precision measurements  $\Rightarrow t\bar{t}$  production

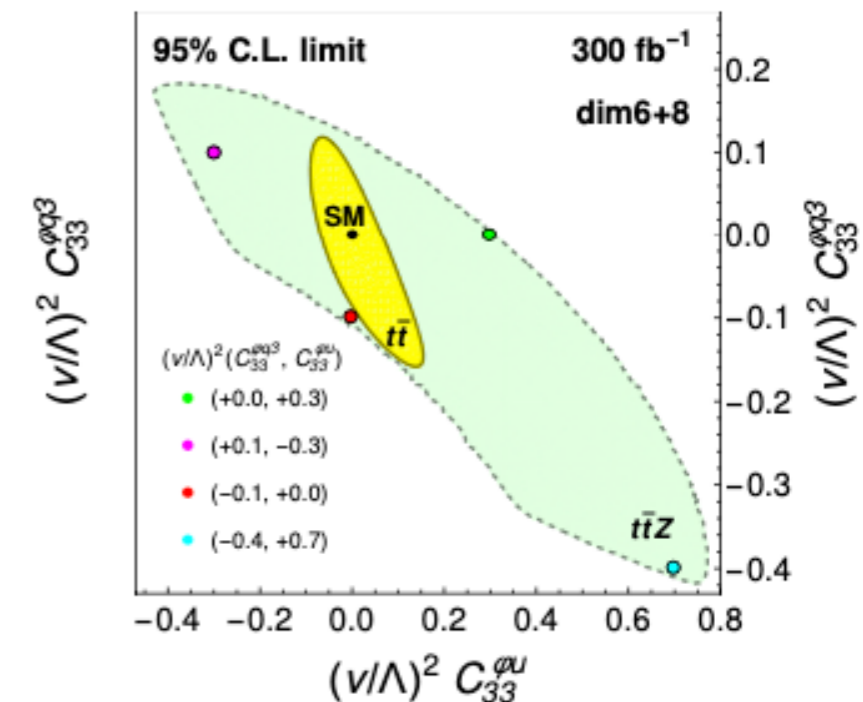
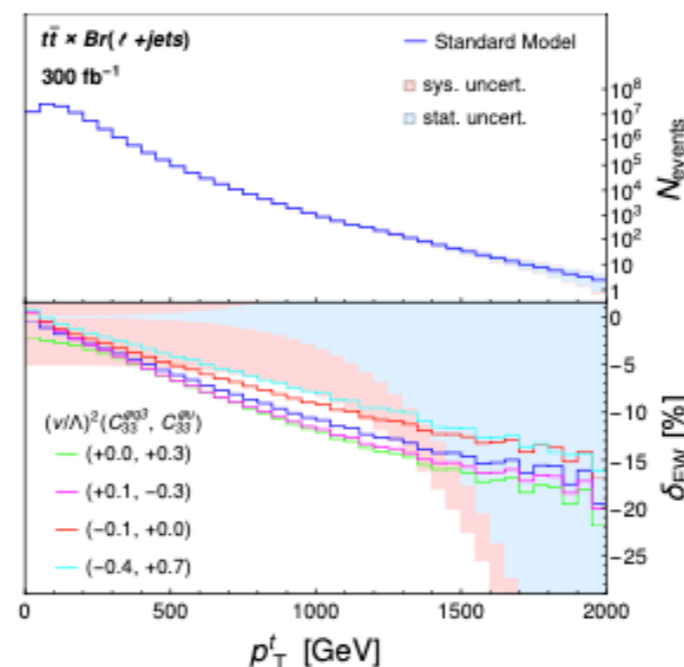
- Few-percent-level precision at LHC

EW top couplings: loops of tops & EW gauge bosons

- Enhanced at high energy by logarithms of  $\hat{s}/m_V^2$



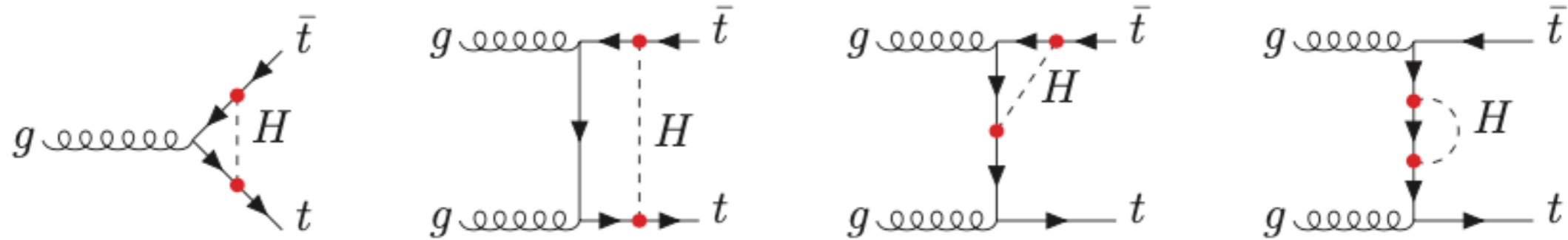
[Martini & Schulze; JHEP 04 (2020) 017]



Promising sensitivity to current operators

- Better than  $t\bar{t}Z$  prospects using  $\Delta\phi_{\ell\ell}$  distribution with 300 fb<sup>-1</sup>

# $y_t$ in $t\bar{t}$

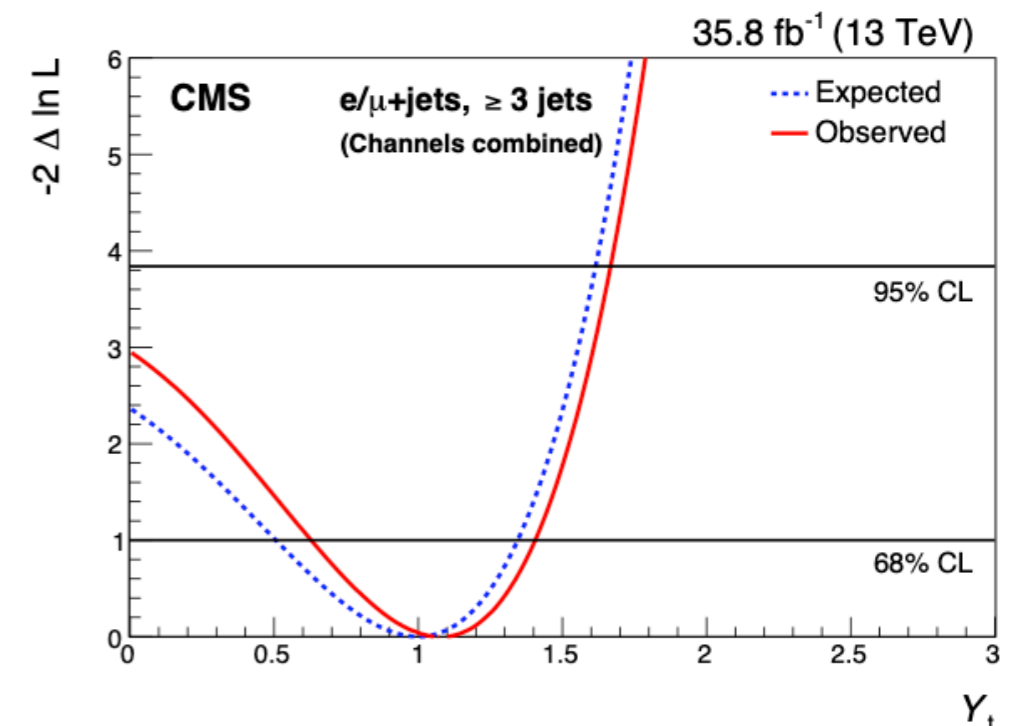


## Top Yukawa coupling

- Electroweak corrections to  $t\bar{t}$  known for  $\sim 15$  years
- Proposal to constrain  $y_t$  recently carried out by CMS
- Double differential  $(m_{t\bar{t}}, |\Delta y_{t\bar{t}}|)$  measurement

[Kühn, Scharf & Uwer;  
PRD 91 (2015) 1, 014020]  
[PRD 100, 072007 (2019)]

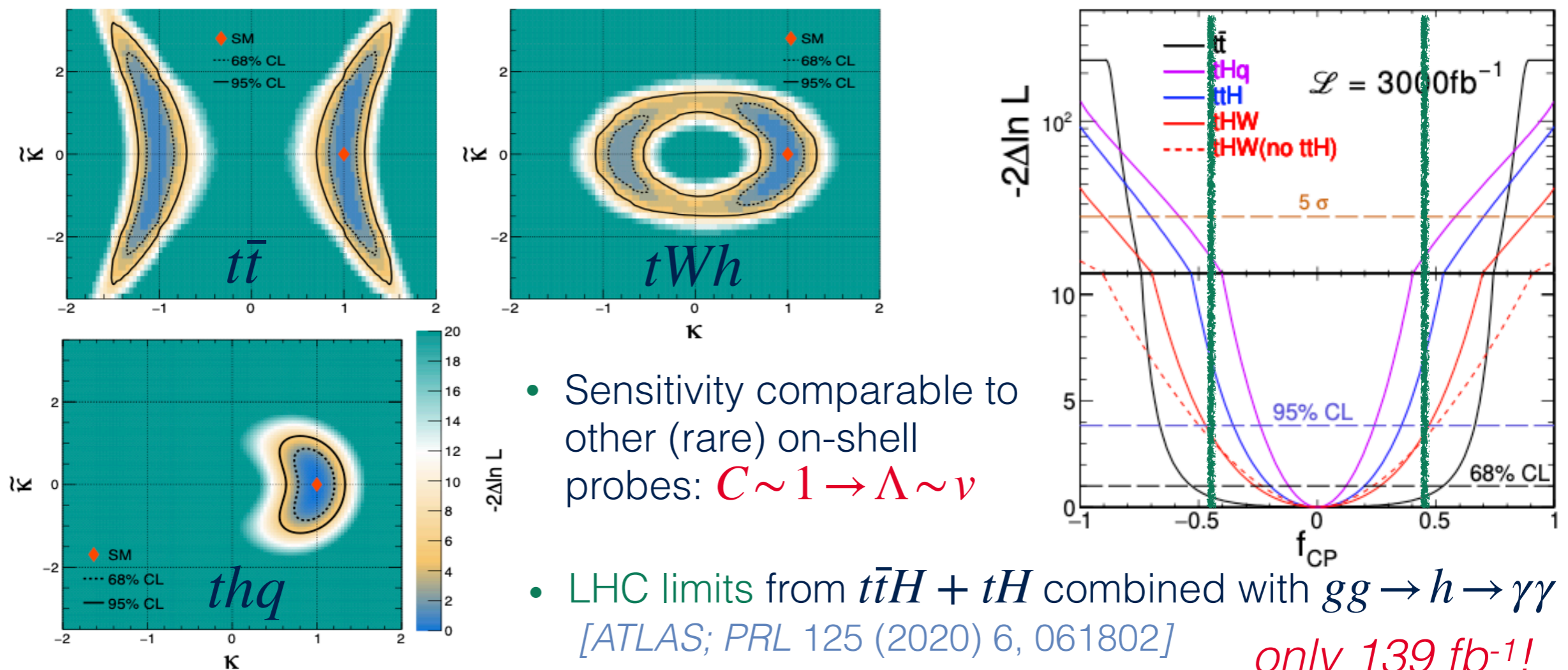
Channel	Best fit $Y_t$		95% CL upper limit	
	Expected	Observed	Expected	Observed
3 jets	$1.00^{+0.66}_{-0.90}$	$1.62^{+0.53}_{-0.78}$	$<2.17$	$<2.59$
4 jets	$1.00^{+0.50}_{-0.72}$	$0.87^{+0.51}_{-0.77}$	$<1.88$	$<1.77$
$\geq 5$ jets	$1.00^{+0.59}_{-0.83}$	$1.27^{+0.55}_{-0.74}$	$<2.03$	$<2.23$
Combined	$1.00^{+0.35}_{-0.48}$	$1.07^{+0.34}_{-0.43}$	$<1.62$	$<1.67$



# $\tilde{y}_t$ in $t\bar{t}$

Recently extended to include CP-odd component

$$-\frac{m_t}{v}\bar{\psi}_t(\kappa + i\tilde{\kappa}\gamma_5)\psi_t H \iff \kappa = 1 - \frac{v}{\sqrt{2}m_t}\frac{v^2}{\Lambda^2}\text{Re}[C_{tt}^{u\varphi}], \quad \tilde{\kappa} = -\frac{v}{\sqrt{2}m_t}\frac{v^2}{\Lambda^2}\text{Im}[C_{tt}^{u\varphi}]$$



- Sensitivity comparable to other (rare) on-shell probes:  $C \sim 1 \rightarrow \Lambda \sim v$

- LHC limits from  $t\bar{t}H + tH$  combined with  $gg \rightarrow h \rightarrow \gamma\gamma$  [ATLAS; PRL 125 (2020) 6, 061802] *only 139 fb<sup>-1</sup>!*  
[CMS; PRL 125 (2020) 6, 061801]

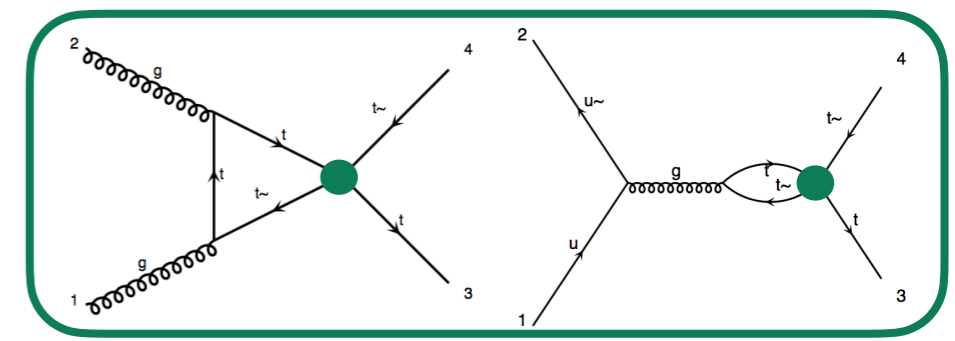
# 4 tops in $t\bar{t}$

Weakly constrained by  $t\bar{t}t\bar{t}$

Operator	Expected $C_k/\Lambda^2$ ( $\text{TeV}^{-2}$ )	Observed ( $\text{TeV}^{-2}$ )
$\mathcal{O}_{tt}^1$	$[-2.0, 1.9]$	$[-2.2, 2.1]$
$\mathcal{O}_{QQ}^1$	$[-2.0, 1.9]$	$[-2.2, 2.0]$
$\mathcal{O}_{Qt}^1$	$[-3.4, 3.3]$	$[-3.7, 3.5]$
$\mathcal{O}_{Qt}^8$	$[-7.4, 6.3]$	$[-8.0, 6.8]$

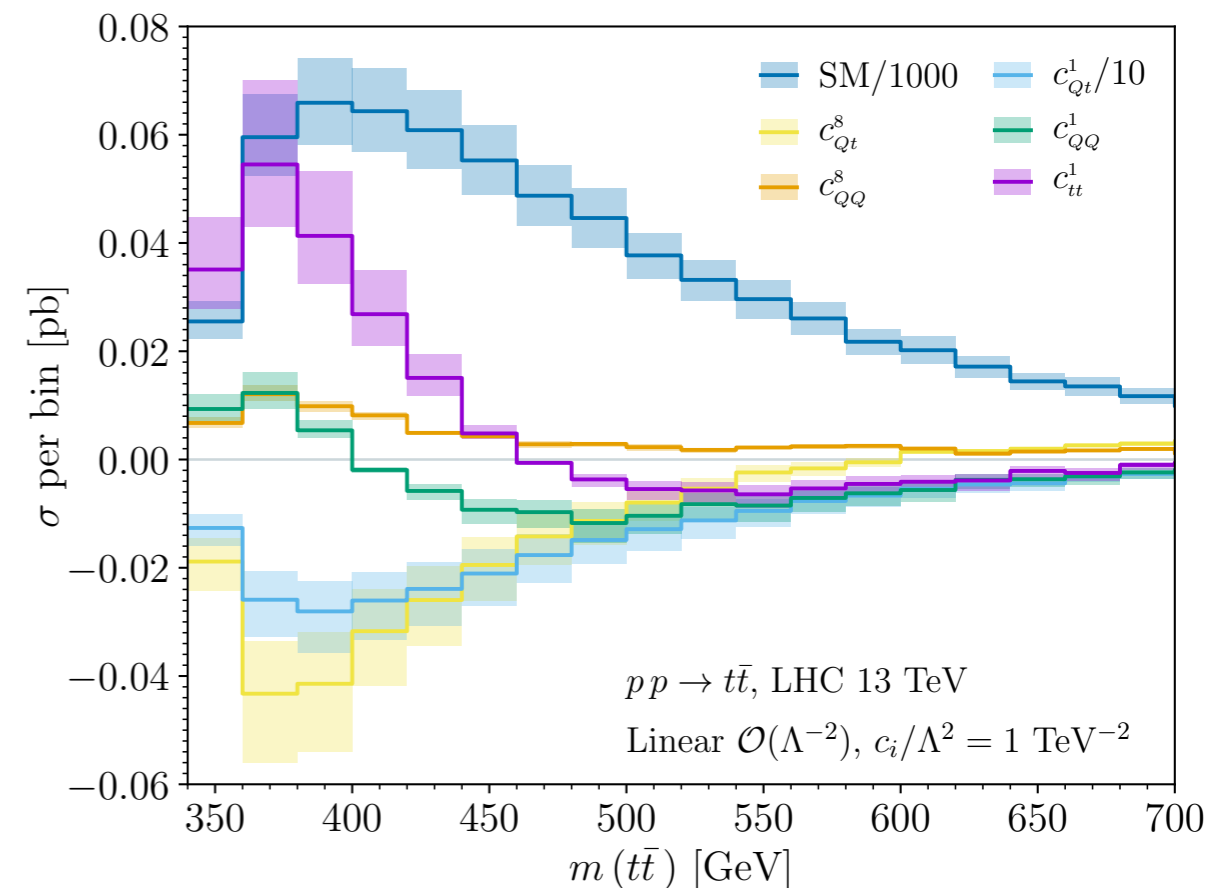
[CMS; JHEP 11 (2019) 082]

- $q\bar{q} \rightarrow t\bar{t}$ : mixing with  $q\bar{q}t\bar{t}$  ops.  
 $(\bar{t}\gamma^\mu t)(\bar{t}\gamma_\mu t) \rightarrow (\bar{t}\gamma^\mu T_A D^\nu t) G_{\mu\nu}^A$
- $gg(b\bar{b}) \rightarrow t\bar{t}$ : finite(small) contribution
- 1-2 orders smaller than  $q\bar{q}t\bar{t}$  (tree) ops.
- Large contribution for  $c_{Qt}^1$  - similar size to  $q\bar{q}t\bar{t}$  operators!
- Main effect near threshold  $\sim$  percent level effect assuming current bound  $\sim 3.5$



$\sigma(pp \rightarrow t\bar{t})$  [pb],  $c_i/\Lambda^2 = 1 \text{ TeV}^{-2}$

$c_i$	$\mathcal{O}(\Lambda^{-2})$		$\mathcal{O}(\Lambda^{-4})$	
	LO	NLO	LO	NLO
$c_{QQ}^8$	$0.0586^{+27\%}_{-25\%}$	$0.125^{+10\%}_{-11\%}$	$0.00628^{+13\%}_{-16\%}$	$0.0133^{+7\%}_{-5\%}$
$c_{Qt}^8$	$0.0583^{+27\%}_{-25\%}$	$-0.107(6)^{+40\%}_{-33\%}$	$0.00619^{+13\%}_{-16\%}$	$0.0118^{+8\%}_{-5\%}$
$c_{QQ}^1$	$[-0.11^{+15\%}_{-18\%}]$	$-0.039(4)^{+51\%}_{-33\%}$	$0.0282^{+13\%}_{-16\%}$	$0.0651^{+5\%}_{-6\%}$
$c_{Qt}^1$	$[-0.068^{+16\%}_{-18\%}]$	$-2.51^{+29\%}_{-21\%}$	$0.0283^{+13\%}_{-16\%}$	$0.066^{+5\%}_{-6\%}$
$c_{tt}^1$	×	$0.215^{+23\%}_{-18\%}$	×	×

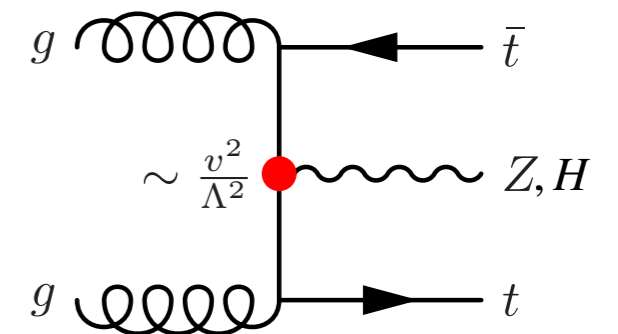


# High energy & multiplicity

Improving sensitivity = collect more data. **Is it enough?**

$t\bar{t}X$  for Yukawa & neutral current operators

- EFT effect  $\propto v^2/\Lambda^2$ , no energy growth (SM-kinematics)
- EFT  $\times$  SM interference often **suppressed**  
*[Azatov et al.; PRD 95 (2017) no. 6, 065014]*



$$\mathcal{A} \sim \mathcal{A}_{SM} \left( \boxed{1 + c_i \frac{v^2}{\Lambda^2}} + \boxed{c_j \frac{v E}{\Lambda^2} + c_k \frac{E^2}{\Lambda^2}} \right) \quad \text{'Energy helps accuracy'}$$

*[Farina et al.; PLB 772 (2017) 210-215]*

**Rate** measurements will become systematics dominated  
 Increasingly **high-energy** measurements scale with lumi.

There will always be **some** scattering amplitude that displays **maximal ( $E^2$ )** growth w.r.t the SM



# Finding the right process

Exploit Goldstone equivalence theorem:  $\partial^\mu G \leftrightarrow V_L^\mu$

$C_{Ht} i(H^\dagger \overleftrightarrow{D}_\mu H)(\bar{t}_R \gamma^\mu t_R) \Rightarrow$

$t W_L \rightarrow t W_L$   
 $t Z_L \rightarrow t h$   
 $b W_L \rightarrow t Z_L$   
 $b W_L \rightarrow t h$

**Unitarity non-cancellations in scattering amplitudes**



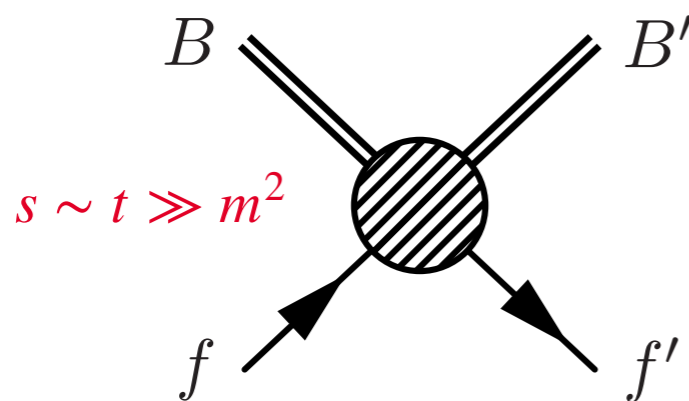
**Non-renormalisable contact interactions with Goldstones**

*c.f. 'Higgs without Higgs'*

[Henning et al.; PRL 123 (2019) 181801]

**Less vevs, more legs! (AKA multiplicity)**

High energy EW top scattering



	Single-top	Two-top ( $t\bar{t}$ )
w/o Higgs	$b W \rightarrow t (Z/\gamma)$	$t W \rightarrow t W$ $t (Z/\gamma) \rightarrow t (Z/\gamma)$
w/ Higgs	$b W \rightarrow t h$	$t (Z/\gamma) \rightarrow t h$ $t h \rightarrow t h$

# EW top scattering

Energy-growing  
interference

*gauge/higgs operators*  $\Leftarrow \Rightarrow$  *top operators*

	$\mathcal{O}_{\varphi D}$	$\mathcal{O}_{\varphi \square}$	$\mathcal{O}_{\varphi B}$	$\mathcal{O}_{\varphi W}$	$\mathcal{O}_{\varphi WB}$	$\mathcal{O}_W$	$\mathcal{O}_{t\varphi}$	$\mathcal{O}_{tB}$	$\mathcal{O}_{tW}$	$\mathcal{O}_{\varphi Q}^{(1)}$	$\mathcal{O}_{\varphi Q}^{(3)}$	$\mathcal{O}_{\varphi t}$	$\mathcal{O}_{\varphi tb}$
$bW \rightarrow tZ$	$E$	–	–	–	$E$	$E^2$	–	$E^2$	$E^2$	$E$	$E^2$	$E$	$E^2$
$bW \rightarrow t\gamma$	–	–	–	–	$E$	$E^2$	–	$E^2$	$E^2$	–	–	–	–
$bW \rightarrow th$	–	–	–	$E$	–	–	$E$	–	$E^2$	–	$E^2$	–	$E^2$

*single-top*

	$\mathcal{O}_{\varphi D}$	$\mathcal{O}_{\varphi \square}$	$\mathcal{O}_{\varphi B}$	$\mathcal{O}_{\varphi W}$	$\mathcal{O}_{\varphi WB}$	$\mathcal{O}_W$	$\mathcal{O}_{t\varphi}$	$\mathcal{O}_{tB}$	$\mathcal{O}_{tW}$	$\mathcal{O}_{\varphi Q}^{(1)}$	$\mathcal{O}_{\varphi Q}^{(3)}$	$\mathcal{O}_{\varphi t}$
$tW \rightarrow tW$	$E$	$E$	–	$E$	$E$	$E^2$	$E$	$E$	$E^2$	$E^2$	$E^2$	$E^2$
$tZ \rightarrow tZ$	$E$	$E$	$E$	$E$	$E$	–	$E$	$E^2$	$E^2$	$E$	$E$	$E$
$tZ \rightarrow t\gamma$	–	–	$E$	$E$	$E$	–	–	$E^2$	$E^2$	–	–	–
$t\gamma \rightarrow t\gamma$	–	–	$E$	$E$	$E$	–	–	$E$	$E$	–	–	–

*two-top  
w/o Higgs*

	$\mathcal{O}_{\varphi D}$	$\mathcal{O}_{\varphi \square}$	$\mathcal{O}_{\varphi B}$	$\mathcal{O}_{\varphi W}$	$\mathcal{O}_{\varphi WB}$	$\mathcal{O}_W$	$\mathcal{O}_{t\varphi}$	$\mathcal{O}_{tB}$	$\mathcal{O}_{tW}$	$\mathcal{O}_{\varphi Q}^{(1)}$	$\mathcal{O}_{\varphi Q}^{(3)}$	$\mathcal{O}_{\varphi t}$	$\mathcal{O}_{\varphi tb}$
$tZ \rightarrow th$	$E$	–	$E$	$E$	$E$	–	$E$	$E^2$	$E^2$	$E^2$	$E^2$	$E^2$	–
$t\gamma \rightarrow th$	–	–	$E$	$E$	$E$	–	–	$E^2$	$E^2$	–	–	–	–
$th \rightarrow th$	$E$	$E$	–	–	–	–	$E$	–	–	–	–	–	–

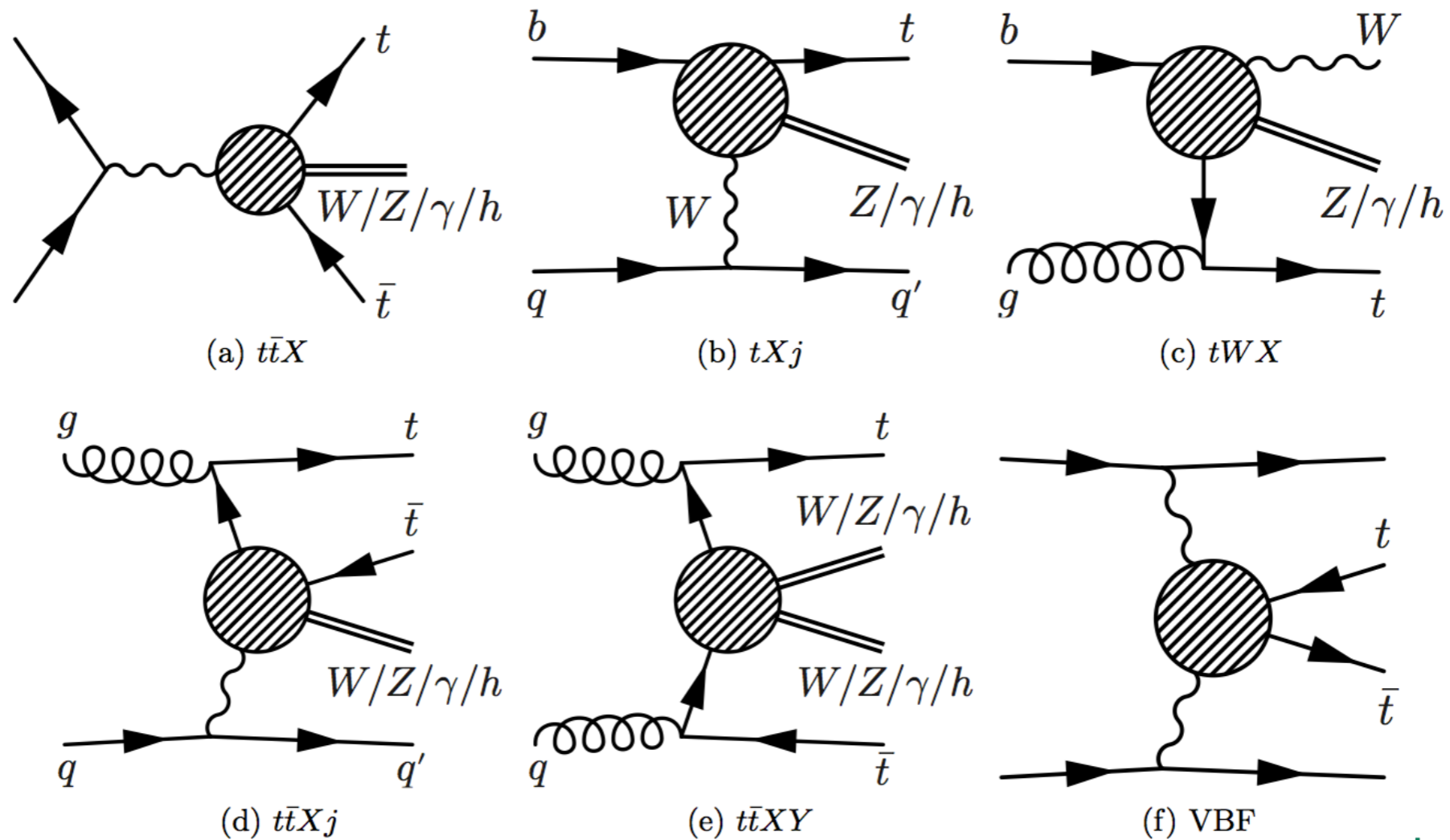
*two-top  
w/ Higgs*

Most top operators show max growth somewhere

- Interfering growth *rare*, only in *longitudinal* configurations (c.f. helicity selection)

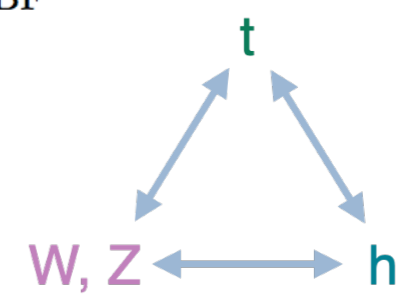
# Embedding the amplitudes

Collider processes: **high multiplicity, EW top production**



Couplings { top EW  
Higgs  
triple gauge

⇒ Heart of EWSB sector



# Top EW scattering pheno

	$tWj$	$tZj$	$t\gamma j$	$tWZ$	$tW\gamma$	$thj$	$thW$
$bW \rightarrow tZ$	✓	✓		✓			
$bW \rightarrow t\gamma$	✓		✓		✓		
$bW \rightarrow th$						✓	✓

*single-top*

	$t\bar{t}W(j)$	$t\bar{t}WW$	$t\bar{t}Z(j)$	$t\bar{t}\gamma(j)$	$t\bar{t}\gamma\gamma$	$t\bar{t}\gamma Z$	$t\bar{t}ZZ$	$VBF$
$tW \rightarrow tW$	✓	✓						✓
$tZ \rightarrow tZ$			✓				✓	✓
$tZ \rightarrow t\gamma$			✓	✓		✓		✓
$t\gamma \rightarrow t\gamma$				✓	✓			✓

*two-top  
w/o Higgs*

	$t\bar{t}h(j)$	$t\bar{t}Zh$	$t\bar{t}\gamma h$	$t\bar{t}hh$
$tZ \rightarrow th$	✓	✓		
$t\gamma \rightarrow th$	✓		✓	
$th \rightarrow th$				✓

*two-top  
w/ Higgs*

Talk by Hesham El Faham in  
YSF on Friday

# Prospects & challenges

EW top scattering: promising avenue for fingerprinting EWSB

- Go beyond rate measurements & access energy growth/unitarity violation
- Increasingly high energy & multiplicity processes: future-proof
- Rare EW top modes: probe complimentary directions in SMEFT space
- Some already measured or within LHC reach ( $t\bar{t}Wj$ ,  $tHj$ ,  $tWZ$ , ...)
- Others challenging, dedicated pheno studies required

Sig.	Bkg.
$t\bar{t}Z(\ell^+\ell^-)$	$t\bar{t}W$ , $t\bar{t}H$ , $tZj$ , $WZ$ ,...
$t\bar{t}H(b\bar{b})$	$t\bar{t}Z$ , $t\bar{t}b\bar{b}$ , $t\bar{t}W$ , $tZj$ ,...
$t\bar{t}H(\gamma\gamma)$	$t\bar{t}$ , $b\bar{b}H$ , $tHj$ , $tHW$
$t\bar{t}H(\tau^+\tau^-)$	$t\bar{t}W(W)$ , $t\bar{t}Z$ ,...
$tZj$	$t\bar{t}V$ , $tHj$ , $tHW$ , $tZW$ ,...
$tHj$	$t\bar{t}H$ , $t\bar{t}Z$ , $t\bar{t}b\bar{b}$ , $t\bar{t}W$ , $tZj$ ,...
$t\bar{t}\bar{t}$	$t\bar{t}W$ , $t\bar{t}Z$ , $t\bar{t}H$ ,...

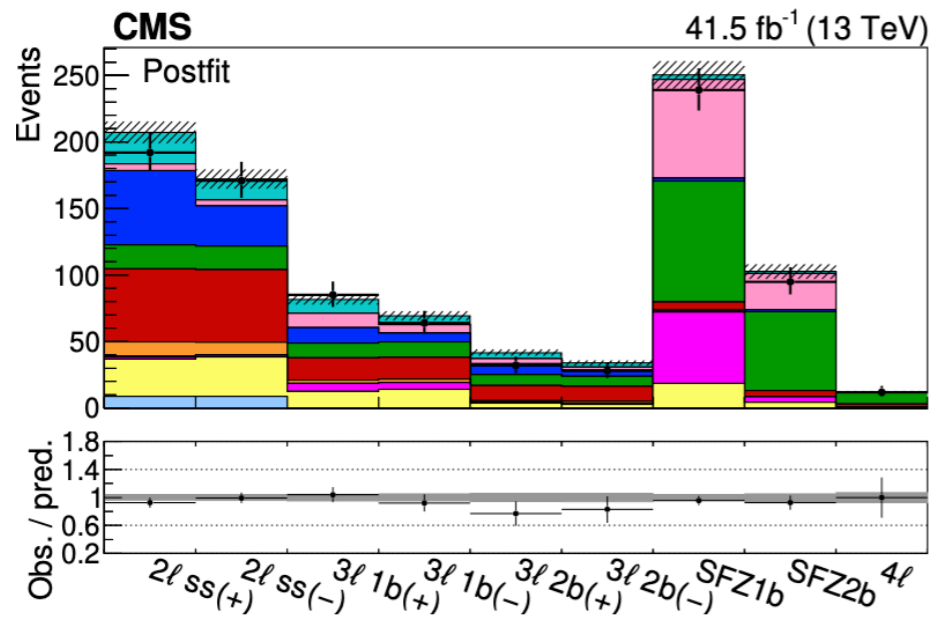
Sig/Bkg. overlap  $\Rightarrow$  global measurements

- SMEFT contributes everywhere... blurs the lines
- Challenging to incorporate into global likelihood
- From individual to simultaneous measurements
- Signal regions based on final state properties

# Global measurements

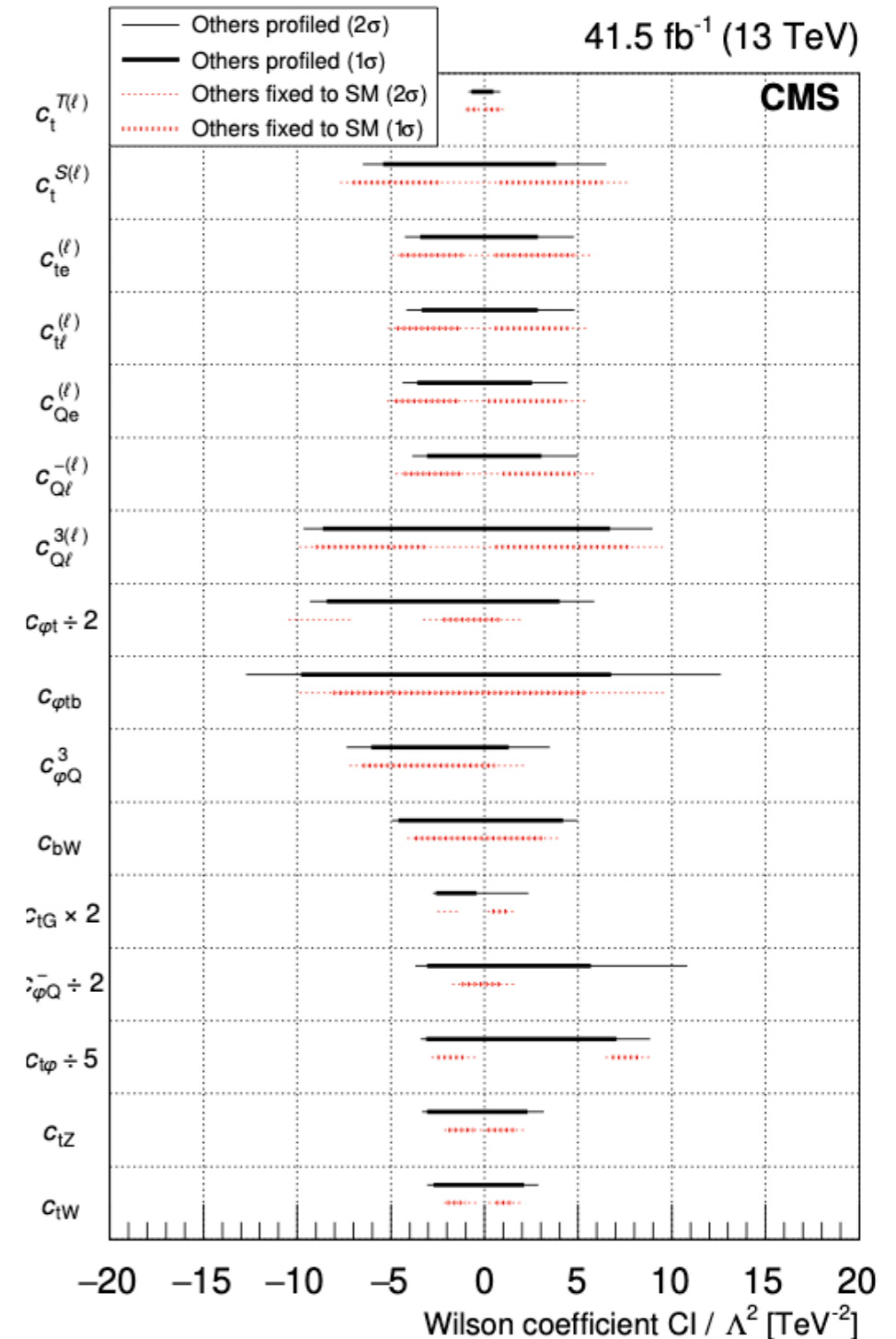
Several examples in top analyses

- $t\bar{t}H + tH(+tWH)$ ,  $t\bar{t}\gamma + tW\gamma$ , ...
- The first truly global measurement:



Operators:  
 currents  
 dipoles  
 $t\bar{t}l\bar{l}$  4 fermion

- Signal categories: # leptons, SS/SF, # b-jets, ...
- Future: expand categories & probe high energy region



# Conclusions

The future is bright for top physics in SMEFT

- Global SMEFT analyses are **rapidly expanding** & probing model space
- New precision tools available (**SMEFTatNLO**): **NLO** & **loop-induced** effects
- Being incorporated into experimental interpretations
- Rare EW top production: **high energy & high multiplicity**
- Towards **global measurements** for **global fits**

Things I couldn't mention!

- Future direction: global study on CP violating operators in top data
- Fantastic progress in UV model interpretations of global fits
- Automated matching tools available *[Ethier et al.; arXiv:2105.00006]*
- Very important for testing validity *[Ellis, Madigan, KM, Sanz, You; JHEP 04 (2021) 279]*  
*[Brivio et al.; arXiv:2108.01094]*

Backup

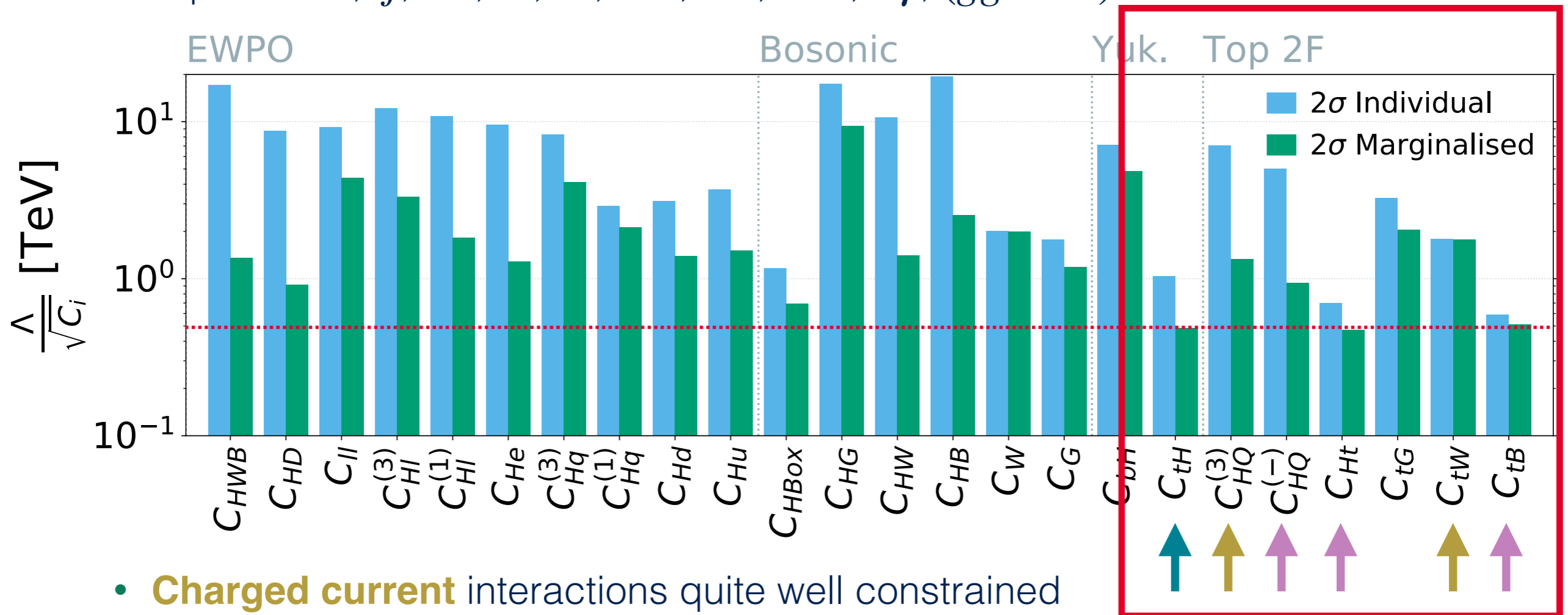




# How poorly?

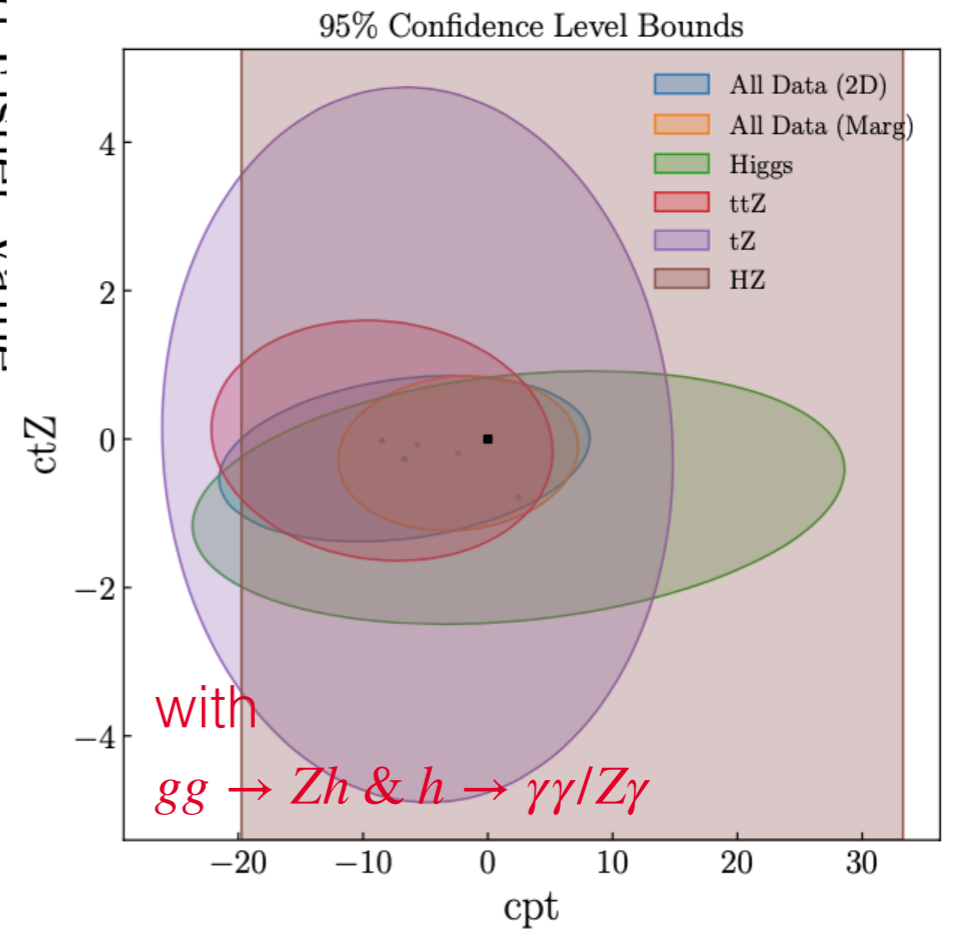
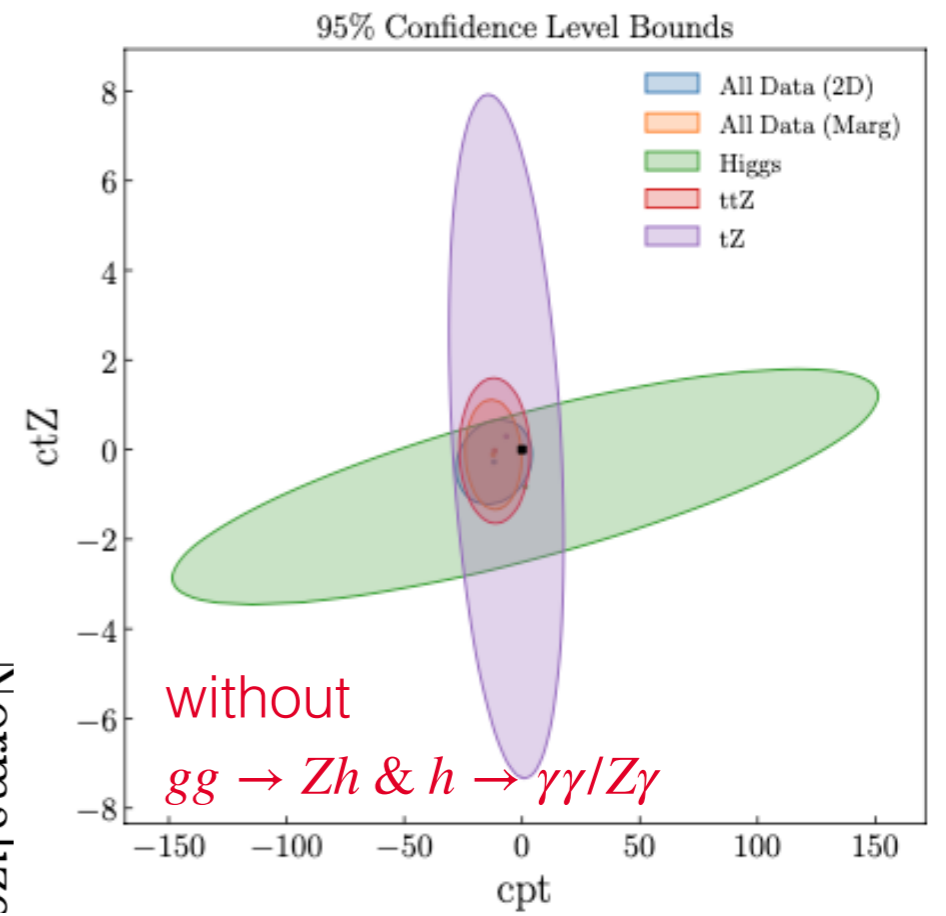
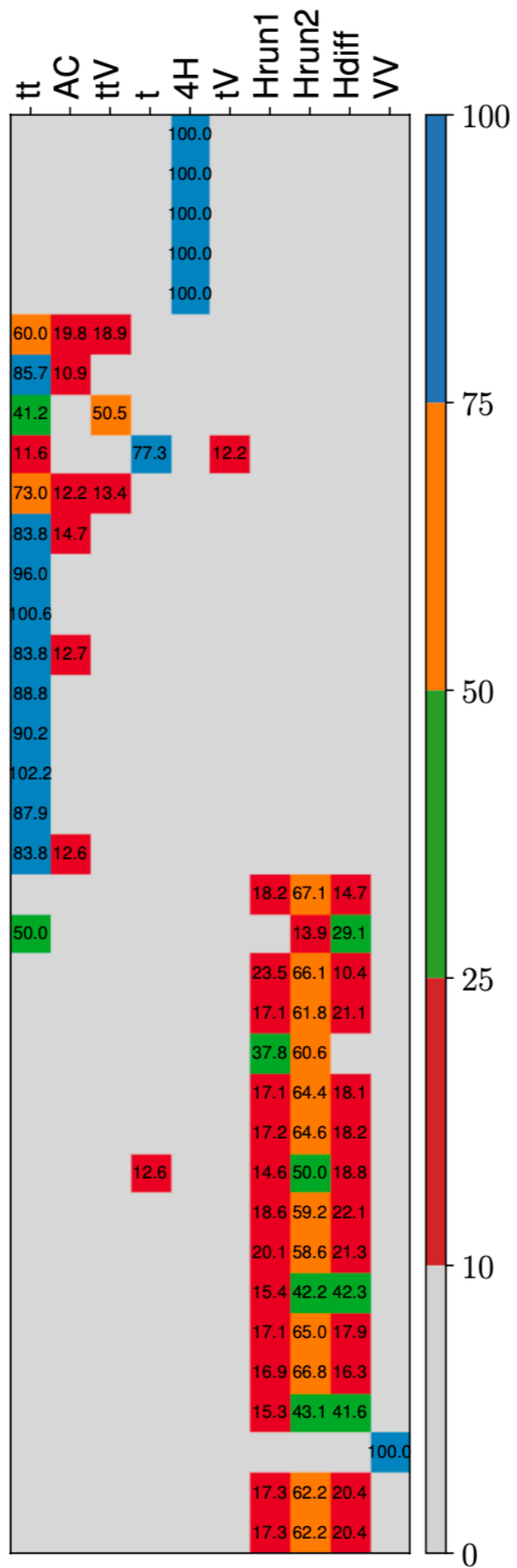
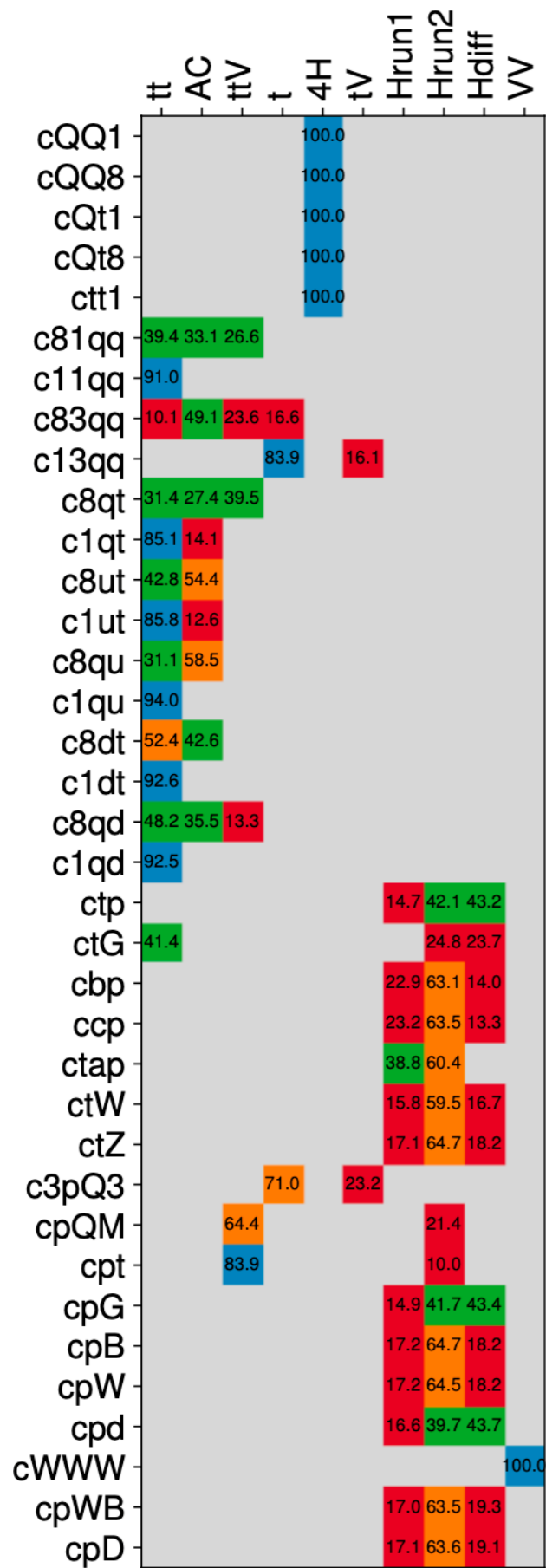
Global SMEFT fit to EWPO, Higgs, Diboson, top (34 d.o.f.)

- Top data:  $t\bar{t}$ ,  $tj$ ,  $tW$ ,  $t\bar{b}$ ,  $tZ$ ,  $t\bar{t}H$ ,  $t\bar{t}Z$ ,  $t\bar{t}W$ ,  $t\bar{t}\gamma$ , ( $gg \rightarrow h$ )



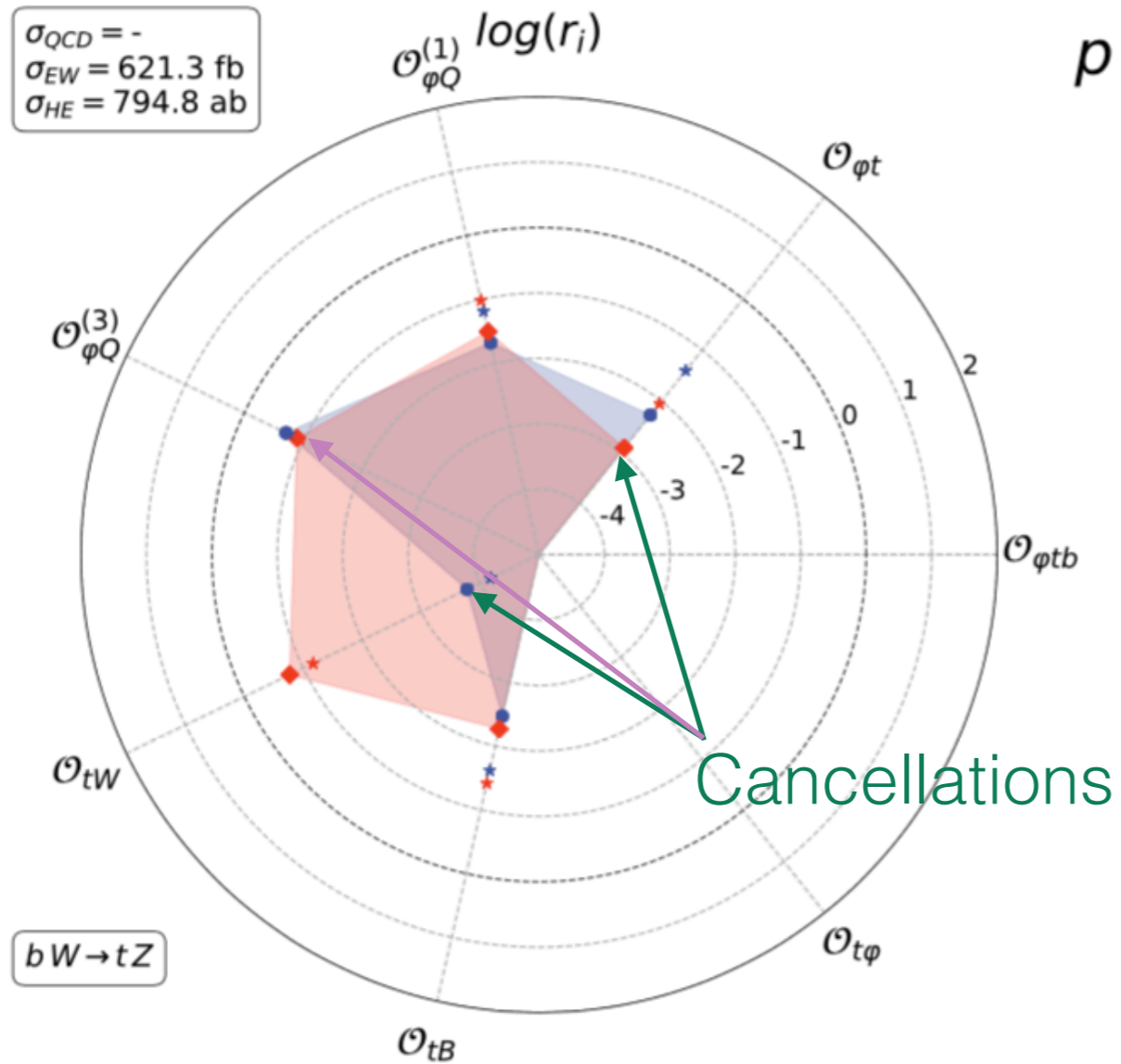
- **Charged current** interactions quite well constrained
- **Yukawa** and **neutral current** are among the worst

**How can we improve?**



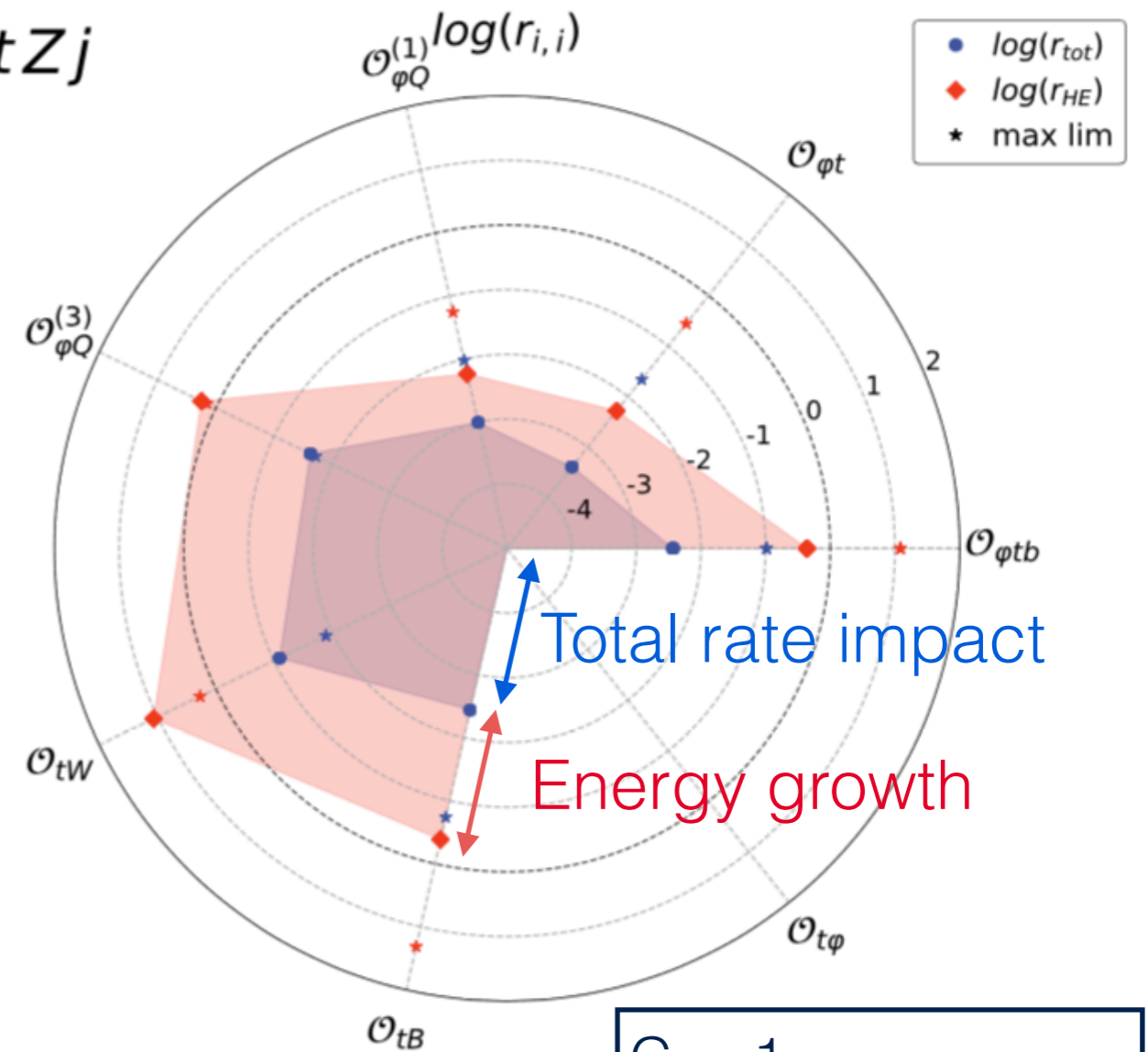
# $tZ$ radar plot

interference/SM



square/SM

$pp \rightarrow tZj$

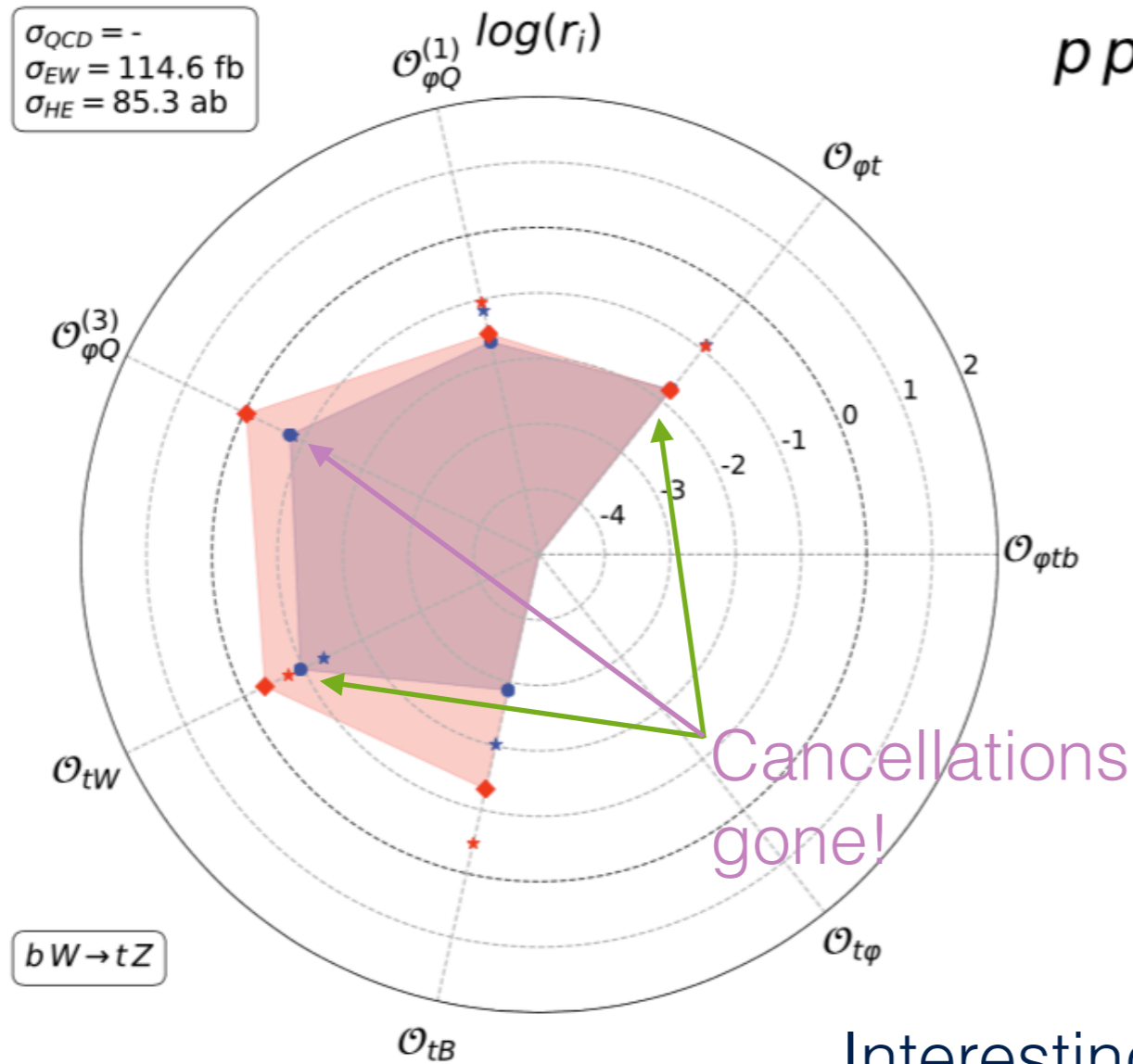


Expected growth from  $2 \rightarrow 2$  absent!

$C_i = 1$   
 Inclusive  
 $p_T(Z) > 500 \text{ GeV}$

# $tZ$ radar plot

interference/SM

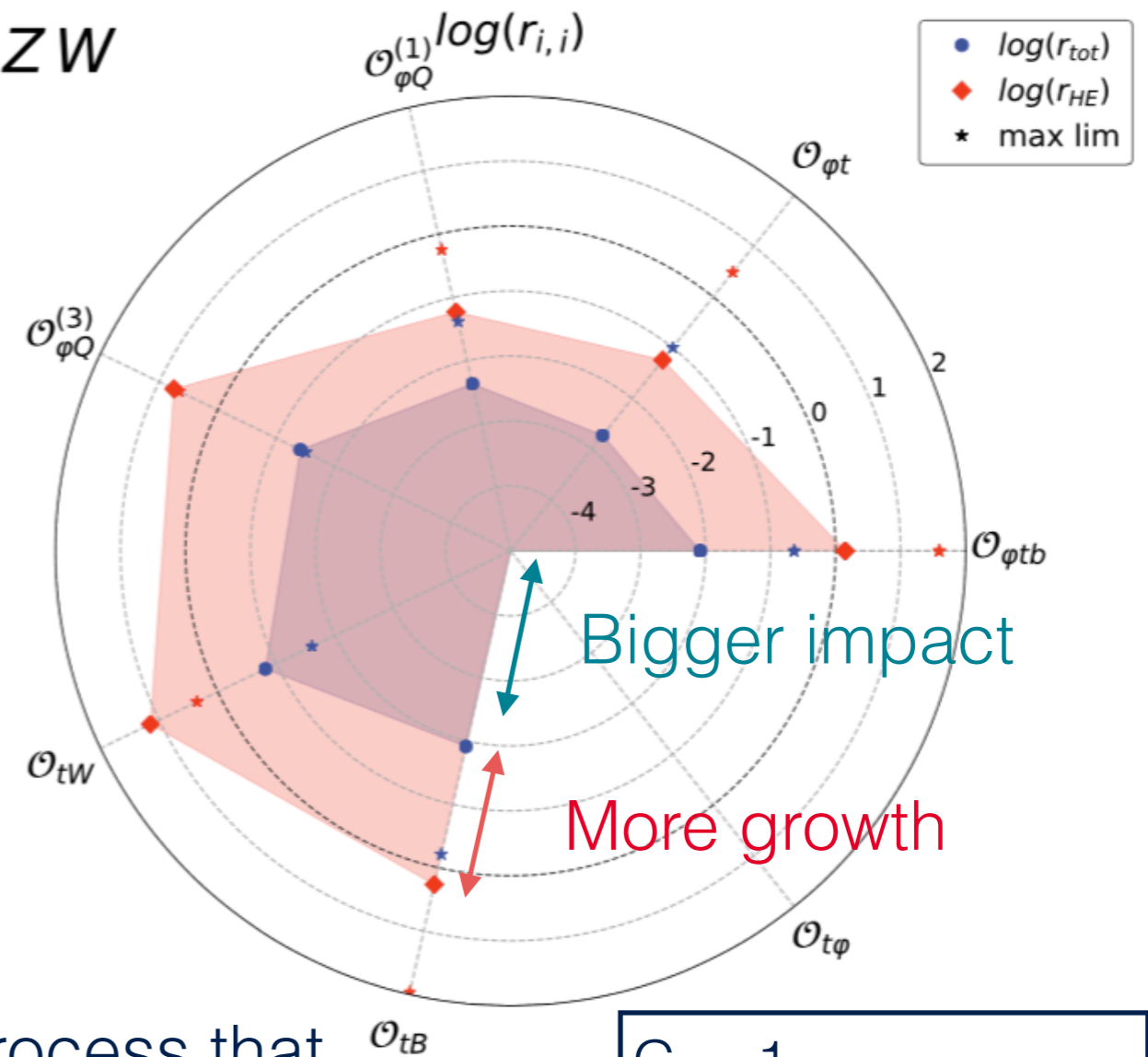


Expected growth is there!

Cancellations gone!

square/SM

$pp \rightarrow tZW$



Interesting process that should be accessible at the LHC

$C_i = 1$   
 Inclusive  
 $p_T(W,Z) > 500 \text{ GeV}$

# Charged current operator

