



# The impact of top-quark modelling in $tt+DM$ searches at the LHC

arXiv:2108.01089

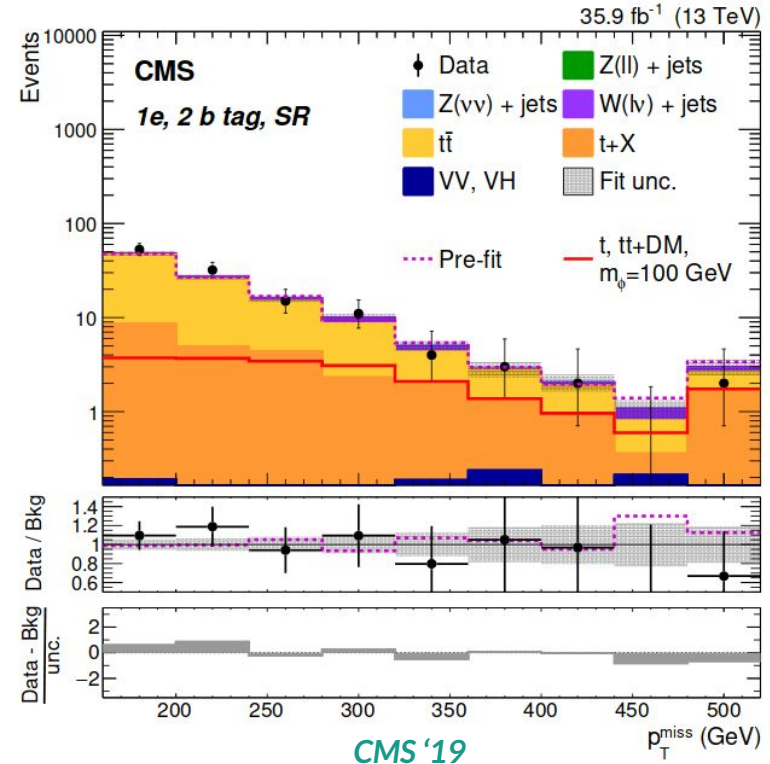
Jonathan Hermann • 15.09.2021

In collaboration with: Małgorzata Worek

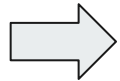
# Introduction

- Ongoing search for DM includes CMS & ATLAS
- Need precise predictions (NLO, off-shell) for a plethora of background processes
- DM signals most prominent in distribution tails
  - > This is the region where NLO and off-shell corrections are generally large
- Problem: calculations very involved

-> Are these corrections actually necessary for DM searches at the LHC?



# Setup



Calculate signal strength exclusion limits to quantify the relevance of these effects

**Signal:**  $pp \rightarrow b\bar{b}e^+\mu^-\nu_e\bar{\nu}_\mu\chi\bar{\chi}$  (tt+DM)

- > Spin-0 s-channel mediator model, DMsimp implementation [Backović, Krämer, Maltoni, Martini, Mawatari, Pellen '15](#)
- > generated with MadGraph [Alwall, Frederix, Frixione, Hirschi, Maltoni, Mattelaer, Shao, Stelzer, Torrielli, Zaro '14](#)
- > always kept at NLO with LO decays

**Background:**  $pp \rightarrow b\bar{b}e^+\mu^-\nu_e\bar{\nu}_\mu$  (tt) [Bevilacqua, Czakon, van Hameren, Papadopoulos, Worek '11](#)

$pp \rightarrow b\bar{b}e^+\mu^-\nu_e\bar{\nu}_\mu\nu_\tau\bar{\nu}_\tau$  (ttZ) [Bevilacqua, Hartanto, Kraus, Weber, Worek '19](#)

- > generated with HELAC-NLO [Bevilacqua, Czakon, Garzelli, van Hameren, Kardos, Papadopoulos, Pittau, Worek '11](#)
- > Compare LO & NLO as well as NWA & full off-shell

# Modelling of unstable particles

## Full off-shell treatment:

- All diagrams & interferences included
- Breit-Wigner distribution for unstable particles

$$\frac{d\sigma}{dp^2} \sim \frac{1}{(p^2 - m^2)^2 + m^2\Gamma^2}$$

$$\frac{\Gamma}{m} \rightarrow 0$$



## Narrow-width approximation (NWA):

- Only double-resonant diagrams included
- Delta distribution for unstable particles

$$\frac{d\sigma}{dp^2} \sim \frac{\pi}{m\Gamma} \delta(p^2 - m^2)$$

Subprocess	Total number of diagrams	Number of diagrams in NWA
$gg \rightarrow b\bar{b}\mu^- \bar{\nu}_\mu e^+ \nu_e \bar{\nu}_\tau \nu_\tau$	1174	8
$q\bar{q} \rightarrow b\bar{b}\mu^- \bar{\nu}_\mu e^+ \nu_e \bar{\nu}_\tau \nu_\tau$	582	4
$gg \rightarrow b\bar{b}\mu^- \bar{\nu}_\mu e^+ \nu_e$	47	3
$q\bar{q} \rightarrow b\bar{b}\mu^- \bar{\nu}_\mu e^+ \nu_e$	40	1

# Exclusion limits - Effects of selection cuts

Process	Order	Scale	$\sigma_{\text{uncut}}$ [fb]	$\sigma_{\text{cut}}$ [fb]	$\sigma_{\text{cut}}/\sigma_{\text{uncut}}$	Events for $L = 300 \text{ fb}^{-1}$
$t\bar{t}$ NWA	LO	$H_T/4$	1061	0	0.0%	0
	LO	$E_T/4$	984	0	0.0%	0
	LO	$m_t$	854	0	0.0%	0
	NLO	$H_T/4$	1097	0	0.0%	0
	NLO, LO dec	$H_T/4$	1271	0	0.0%	0
$t\bar{t}Z$ NWA	LO	$H_T/3$	0.1223	0.0130	11%	47
	LO	$E_T/3$	0.1052	0.0116	11%	42
	LO	$m_t + m_Z/2$	0.1094	0.0134	12%	48
	NLO	$H_T/3$	0.1226	0.0130	11%	47
	NLO, LO dec	$H_T/3$	0.1364	0.0140	10%	50
$t\bar{t}$ Off-shell	LO	$H_T/4$	1067	0.0144	0.0013%	17
	LO	$E_T/4$	989	0.0131	0.0013%	16
	LO	$m_t$	861	0.0150	0.0017%	18
	NLO	$H_T/4$	1101	0.0156	0.0014%	19
$t\bar{t}Z$ Off-shell	LO	$H_T/3$	0.1262	0.0135	11%	49
	LO	$E_T/3$	0.1042	0.0115	11%	41
	LO	$m_t + m_Z/2$	0.1135	0.0140	12%	50
	NLO	$H_T/3$	0.1269	0.0134	11%	48

-> About 1/4 of events missing in NWA

## Cuts:

$$M_{T2,W} > 90 \text{ GeV}$$

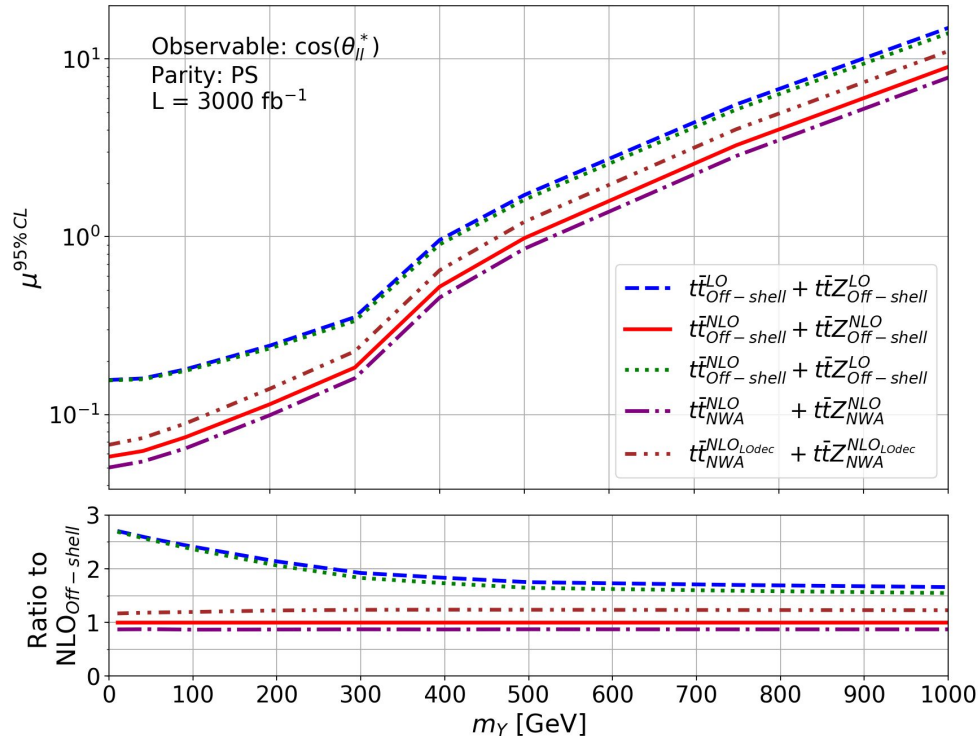
$$p_{T,\text{miss}} > 150 \text{ GeV}$$

$$C_{em} > 130 \text{ GeV}$$

$$C_{em} = M_{T2,W} + 0.2 \cdot (p_{T,\text{miss}} - 200 \text{ GeV})$$

[Haisch, Pani, Polesello '17](#)

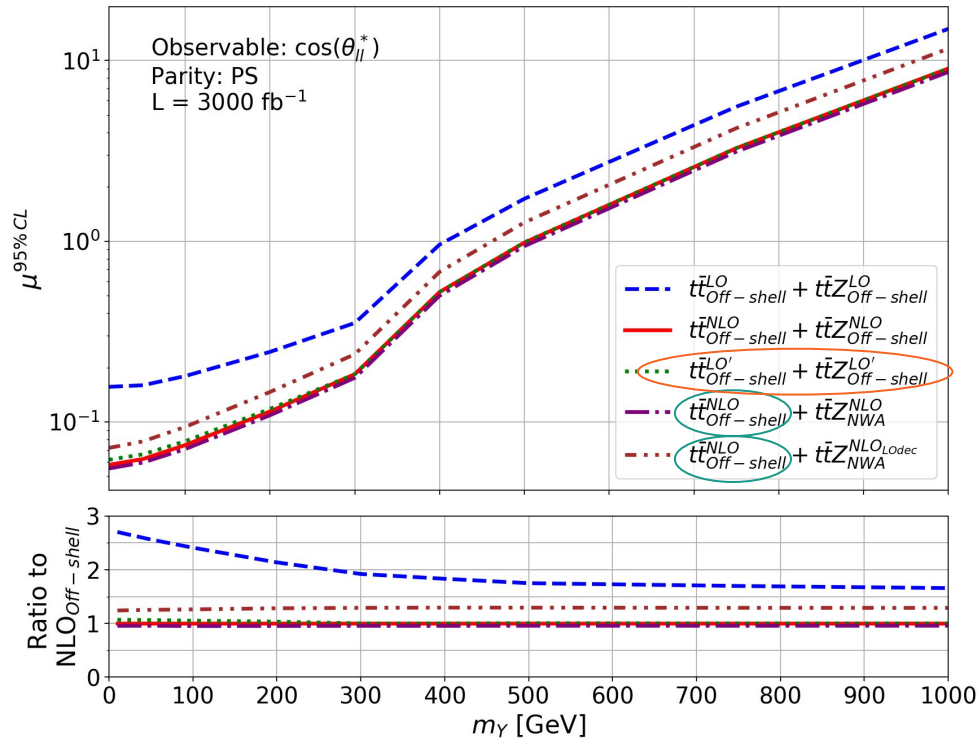
# Exclusion limits - Modelling



- LO inadequate due to large uncertainties, even if only using it for  $t\bar{t}Z$
- NLO with LO decays still too large due to large uncertainties and overestimated normalization
- In NWA,  $t\bar{t}$  contribution is zero
- Full NWA results are too good due to missing off-shell  $t\bar{t}$  contribution

[JH, Worek, arXiv:2108.01089](#)

# Exclusion limits - Modelling (2)



- Include off-shell  $t\bar{t}$  in all cases  
-> differences almost vanish  
-> off-shell effects not that relevant for  $t\bar{t}Z$
- $\text{LO}' = \text{LO}$  with NLO uncertainties  
-> only smaller uncertainties relevant, not shape distortion

[JH, Worek, arXiv:2108.01089](#)

# Exclusion limits - Additional analyses

- Choice of observable:
  - Compared five different observables
  - Pseudoscalar mediator:  $\cos(\theta_{ll}^*) = \tanh(|\eta_{l_1} - \eta_{l_2}|/2)$  provides most stringent limits
  - Scalar mediator:  $M_{T2,t}$  for light mediators,  $\cos(\theta_{ll}^*)$  for heavier ones
- Scale choice:
  - Important, even at NLO
  - Fixed scale leads to less stringent limits -> use dynamical scale
- Luminosity:
  - Increasing the luminosity improves the limits
  - Modelling effects larger for large luminosity but general behavior is the same



# Conclusions



- Use full(!) NLO for  $t\bar{t}$  and  $t\bar{t}Z$  (NLO for production and decays)
- Include off-shell effects for  $t\bar{t}$ , otherwise the contribution vanishes
- For  $t\bar{t}Z$  using the NWA is enough in most cases
- $\cos(\theta_{ll}^*)$  provides the most stringent limits for most considered model configurations
- Choose a dynamical scale
- Larger Luminosity always helps



**Thank you for your  
attention!**



# Backup

# Spin-0 s-channel simplified DM model

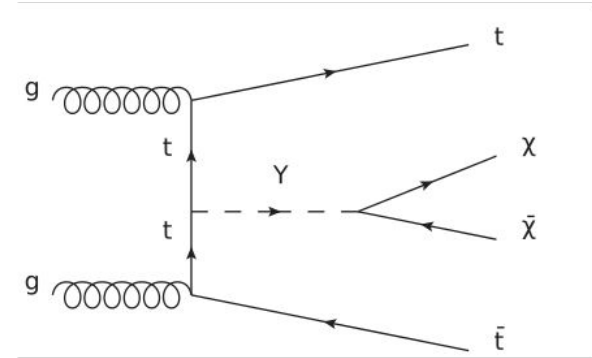
- Two new particles:

- Fermionic DM particle
- Scalar or Pseudoscalar mediator  
→ couples SM to dark sector

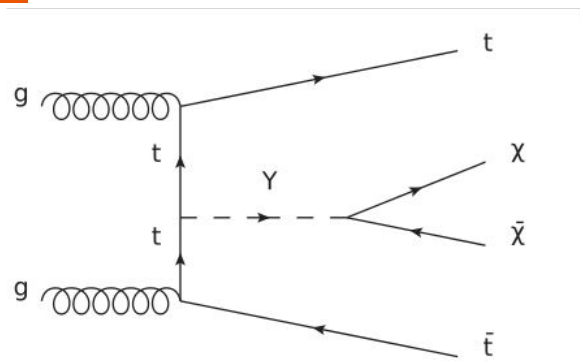
- Minimal flavor violation:

- Flavor symmetry only broken by masses  
→ mediator-quark couplings proportional to Yukawa coupling

- No mixing between SM Higgs and scalar mediator



# Spin-0 s-channel simplified DM model



$$\mathcal{L}_S \supset -g_\chi Y_S \bar{\chi}\chi - \frac{1}{\sqrt{2}} Y_S \sum_q (g_q y_q \bar{q}q)$$

Mediator couplings

$$g_\chi = g_q = 1$$

Yukawa-coupling

$$y_q = \sqrt{2} \frac{m_q}{v}$$

- Four new parameters:  $\{g_q, g_\chi, m_\chi, m_Y\}$   
 → use  $m_\chi = 1 \text{ GeV}$
- Implementation:
  - DMsimp [Backović et al., 2015]

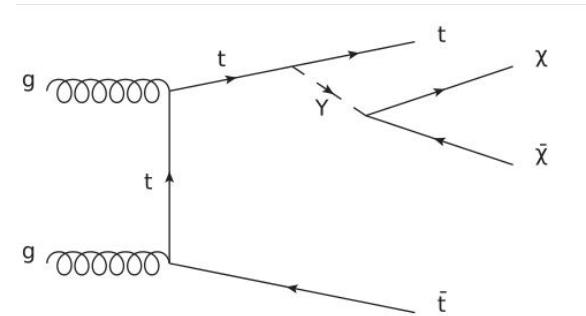
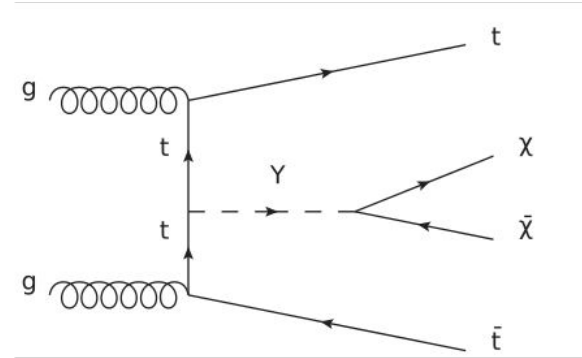
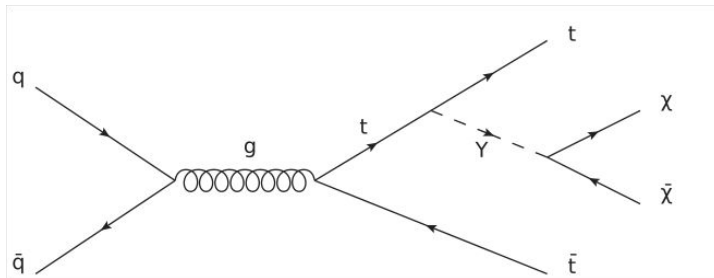
# Spin-0 s-channel simplified DM model

Yukawa-coupling

$$y_q = \sqrt{2} \frac{m_q}{v}$$



Mediator produced in association with top pair



# Spin-0 s-channel simplified DM model

Yukawa-coupling

$$y_q = \sqrt{2} \frac{m_q}{v}$$

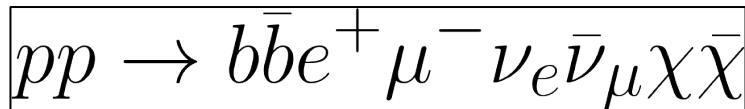


Mediator produced in association with top pair

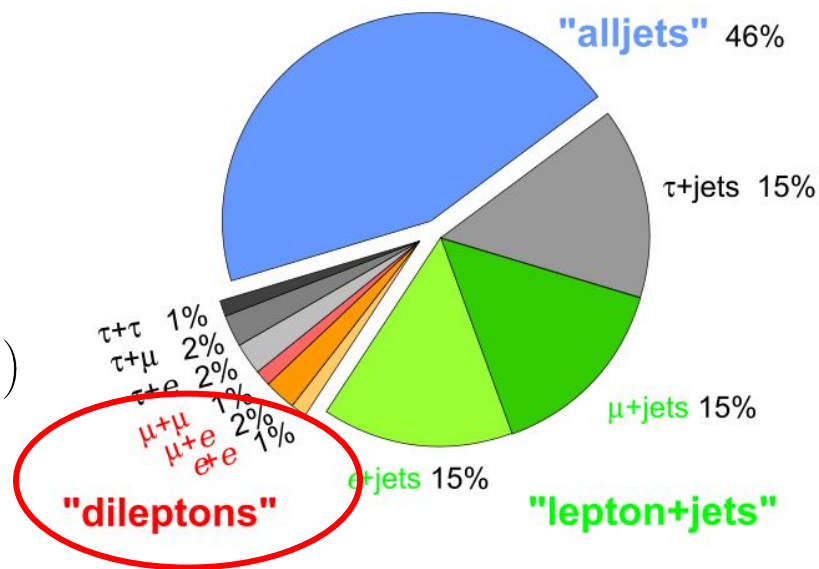
## Why di-lepton channel?

- Experimentally clean
- Access to leptonic variables  $\Delta\phi_{ll}$  and  $\cos(\theta_{ll})$   
→ CP discriminant

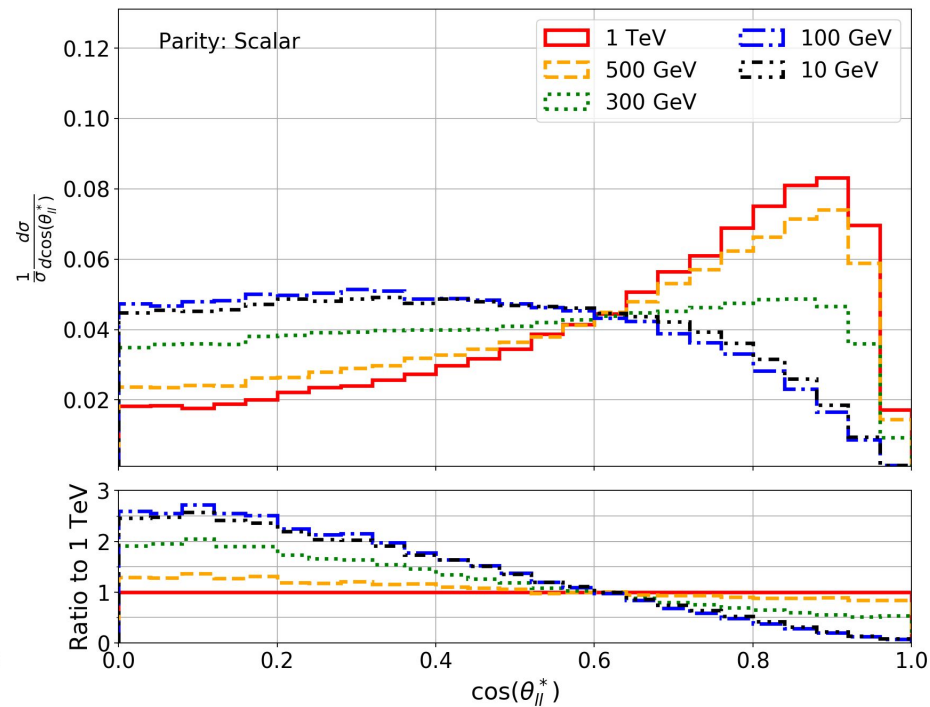
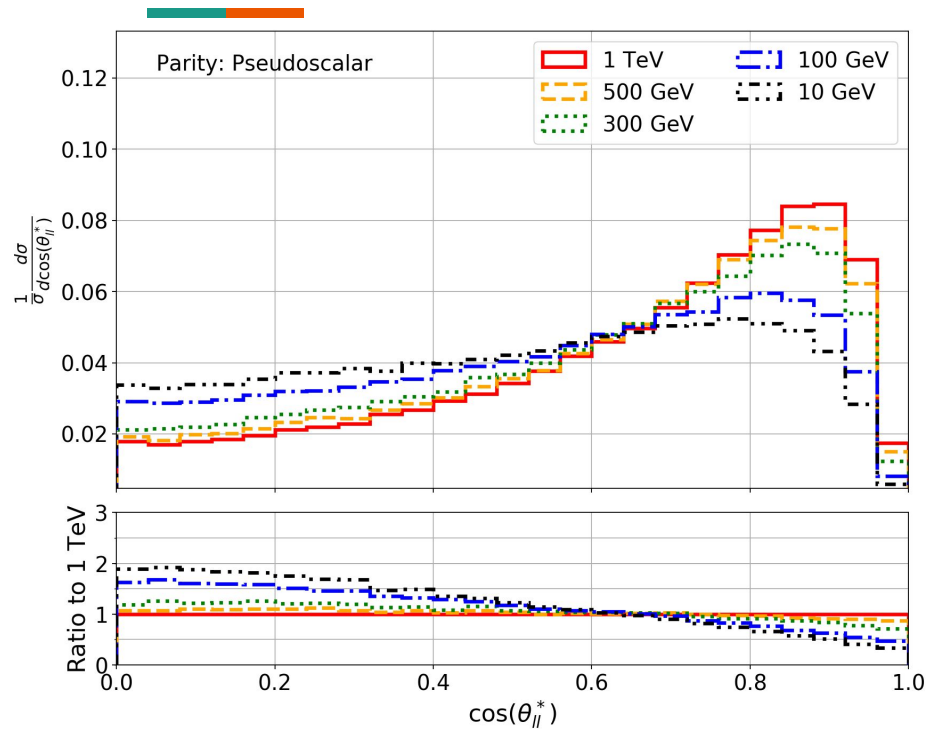
For the LHC @ 13 TeV:



## Top Pair Branching Fractions

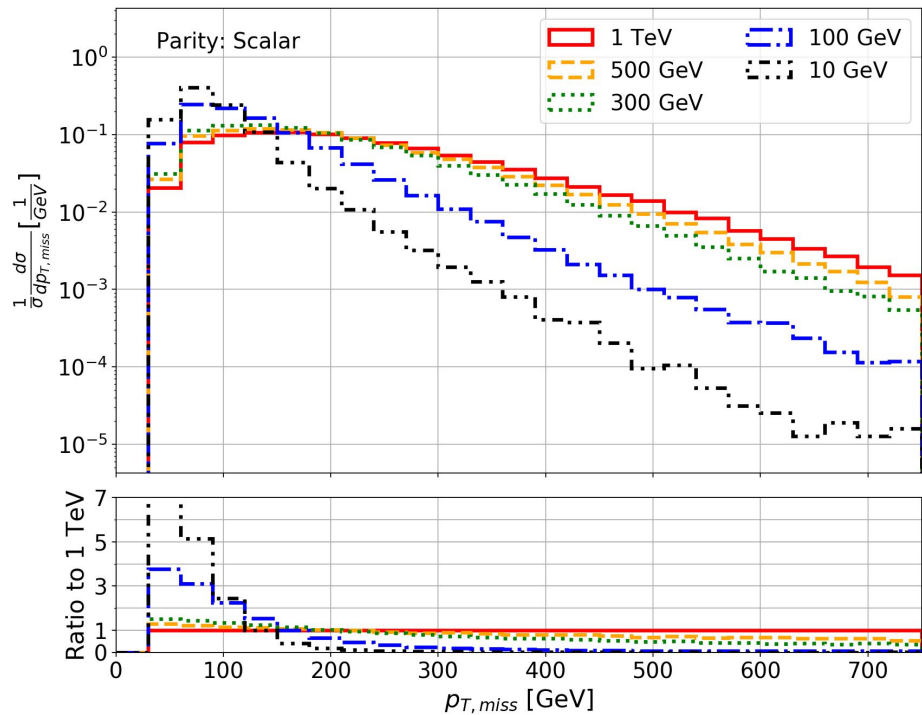
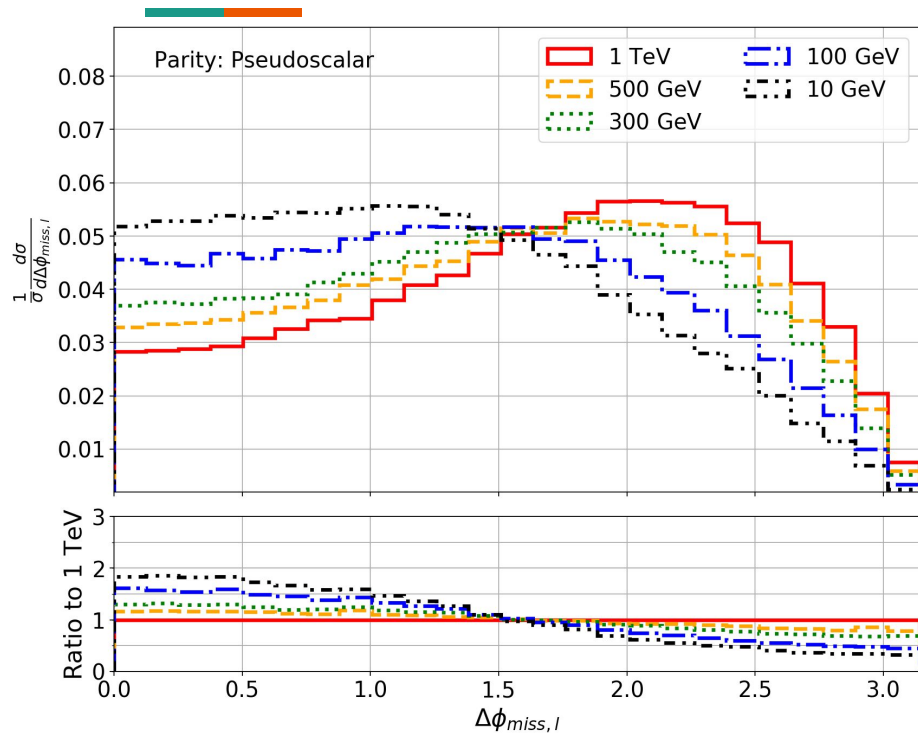


# DM distributions





# DM distributions



# Signal strength exclusion limits - Likelihood

## Likelihood:

$$L(X|\lambda(\mu, \nu)) = \prod_i P_i(X_i|\lambda_i) \cdot \pi_i^{\text{PDF}}(\tilde{\nu}_i^{\text{PDF}}, \nu_i^{\text{PDF}}) \cdot \pi_i^{\text{scale}}(\tilde{\nu}_i^{\text{scale}}, \nu_i^{\text{scale}})$$

→ **Prediction:**  $\lambda = \{\lambda_i\}$

→ **Data:**  $X = \{X_i\}$

→ **Poisson distributions**

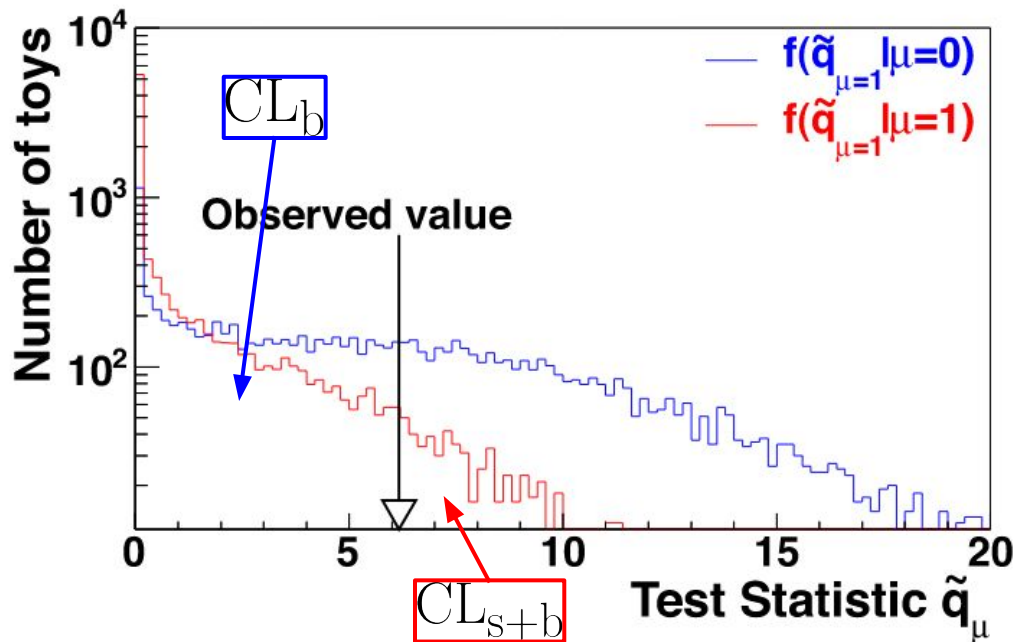
→ relative statistical uncertainty  $\sim 1/\sqrt{\lambda_i}$

→ **Log-normal distributions**

→ PDF and scale uncertainty

# Signal strength exclusion limits - PDF

$$\tilde{q}_\mu = -2 \log \left( \frac{L(X|\lambda(\mu, \hat{\nu}_\mu))}{L(X|\lambda(\mu', \hat{\nu}_{\mu'}))} \right)$$



$$CL_s = \frac{CL_{s+b}}{1 - CL_b}$$

# Setup - Input

---

## Parameters:

$$\Gamma_W = 2.0988 \text{ GeV}, \quad \Gamma_Z = 2.50782 \text{ GeV}$$

$$G_\mu = 1.166378 \times 10^{-5} \text{ GeV}^{-2}, \quad m_W = 80.385 \text{ GeV}, \quad m_Z = 91.1876 \text{ GeV}$$

$$\Gamma_t^{\text{LO,NWA}} = 1.50174 \text{ GeV}, \quad \Gamma_t^{\text{LO,FW}} = 1.47848 \text{ GeV}, \quad m_t = 173.2 \text{ GeV}$$

---

## Scales:

$$H_T = p_{T,b} + p_{T,\bar{b}} + p_{T,e^+} + p_{T,\mu^-} + p_{T,\text{miss}}$$

$$\mu_0^{t\bar{t}} = H_T/4, \quad \mu_0^{t\bar{t}Z} = H_T/3, \quad \mu_0^{\text{DM}} = H_T/3$$

---

## PDFs:

CT14, MMHT14, NNPDF3.0

# Setup - Inclusive cuts

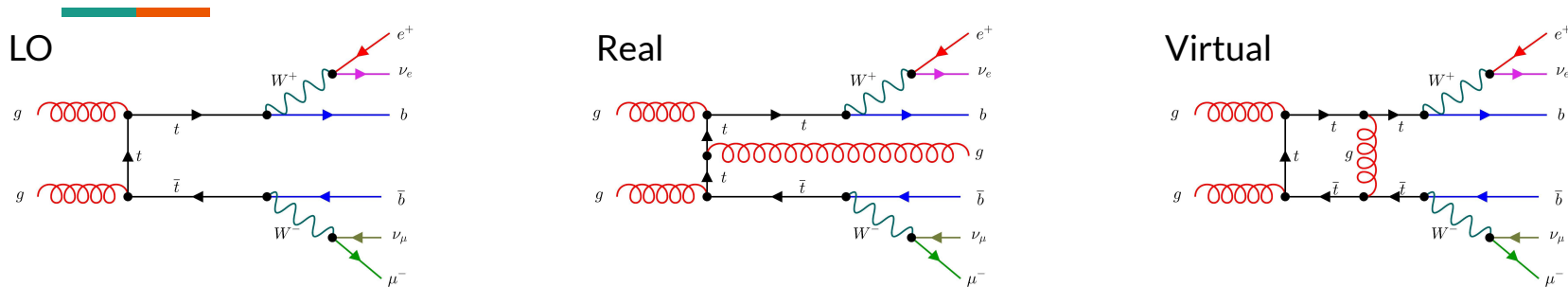
---

$$p_{T,b} > 40 \text{ GeV}, \quad |\eta_b| < 2.5, \quad \Delta R_{b\bar{b}} > 0.4$$

$$p_{T,l} > 30 \text{ GeV}, \quad |\eta_l| < 2.5, \quad \Delta R_{lb} > 0.4, \quad \Delta R_{ll} > 0.4$$

$$p_{T,\text{miss}} > 50 \text{ GeV}$$

# Importance of correct modelling - NLO corrections

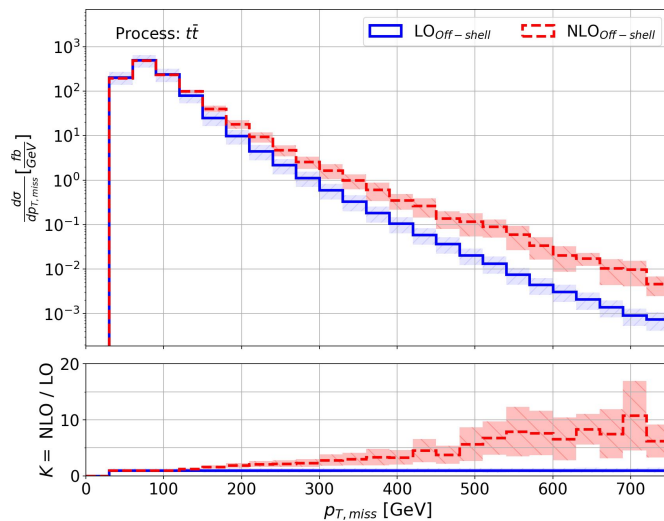


Diagrams created with FeynGame

[Harlander, Klein, Lipp '20](#)

## NLO effects:

- Reduced scale uncertainties
- Modified distribution shapes and normalization
- Largest effects in distribution tails!



[JH. Worek,](#)  
[arXiv:2108.01089](#)

# Importance of correct modelling - Off-shell effects

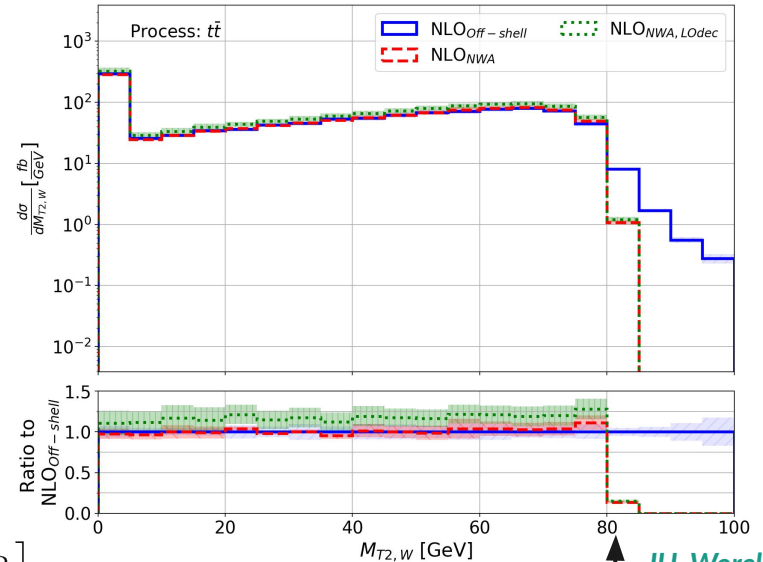
## Off-shell effects:

- Only small effects on normalization and angular distributions
- Modified distribution shapes for some dimensionful observables
- Largest effects in distribution tails and around kinematic edges

$$M_{T2,W}^2 = \min_{\substack{\nu_1 \\ \mathbf{p}_{T1} + \mathbf{p}_{T2} = \mathbf{p}_{T,miss}}} \left[ \max \left\{ M_T^2 \left( \mathbf{p}_{T1}^{l_1}, \mathbf{p}_{T1}^{\nu_1} \right), M_T^2 \left( \mathbf{p}_{T2}^{l_2}, \mathbf{p}_{T2}^{\nu_2} \right) \right\} \right]$$

Lester, Summers '99; Barr, Lester, Stephens '03

with  $M_{T,W} \leq m_W$  in the NWA



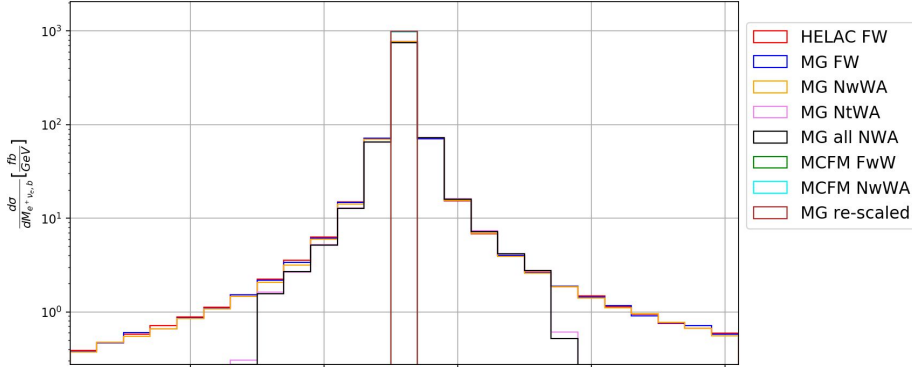
JH, Worek, arXiv:2108.01089

Cut-off

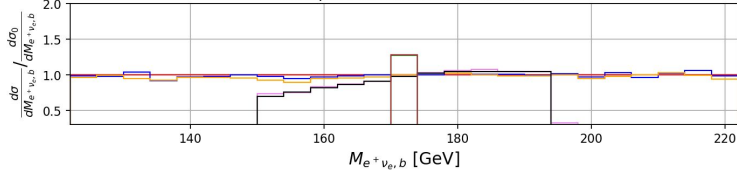
# The SM background - unstable particles



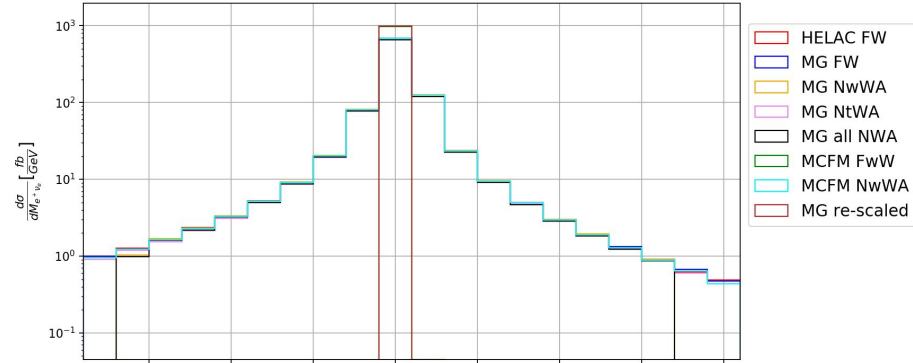
Invariant mass of the (reconstructed) top-quark



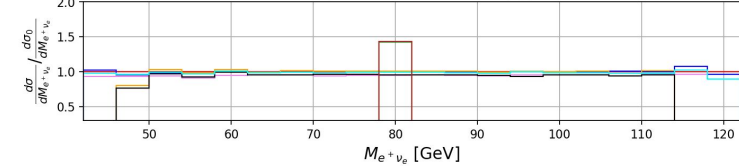
Comparison to HELAC FW ( $\sigma_0$ )



Invariant mass of the (reconstructed)  $W^+$



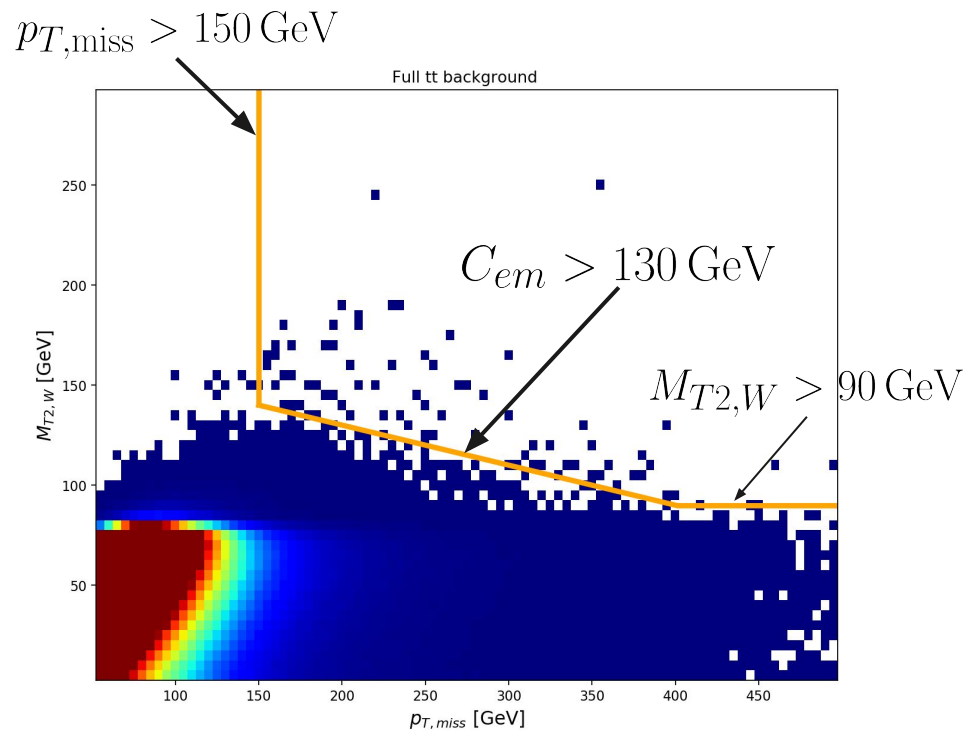
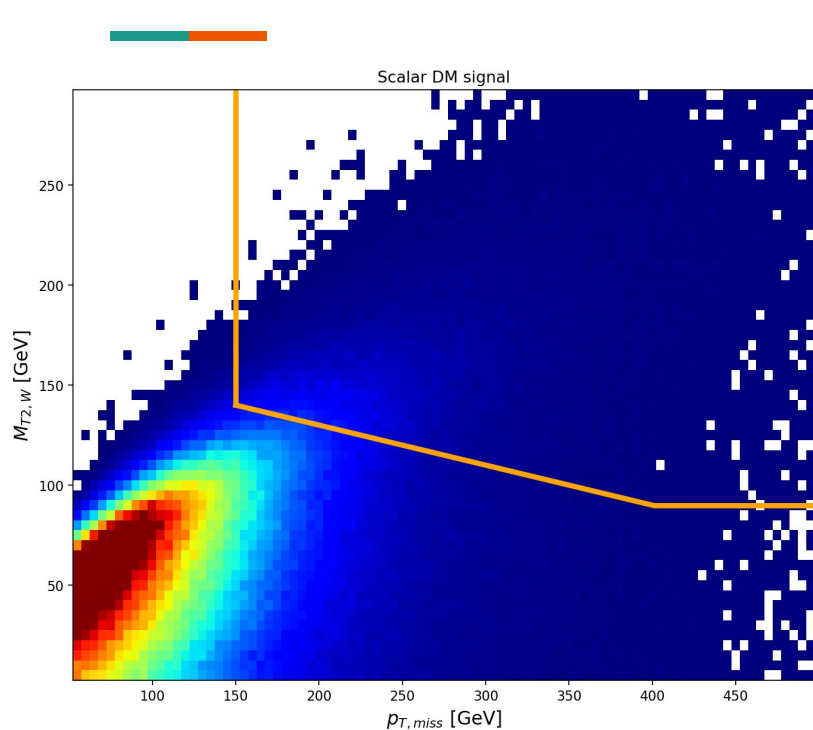
Comparison to HELAC FW ( $\sigma_0$ )



Used programs: HELAC-Phegas [Cafarella et al., 2009] / HELAC-NLO [Bevilacqua et al., 2013]  
 MCFM [Campbell and Neumann, 2019]  
 MadGraph\_aMC@NLO [Alwall et al., 2014]

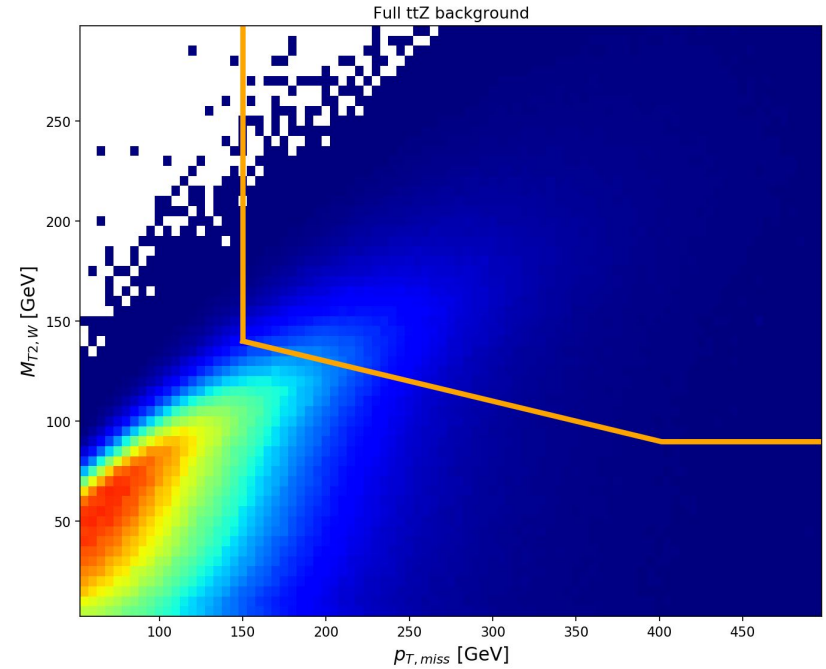
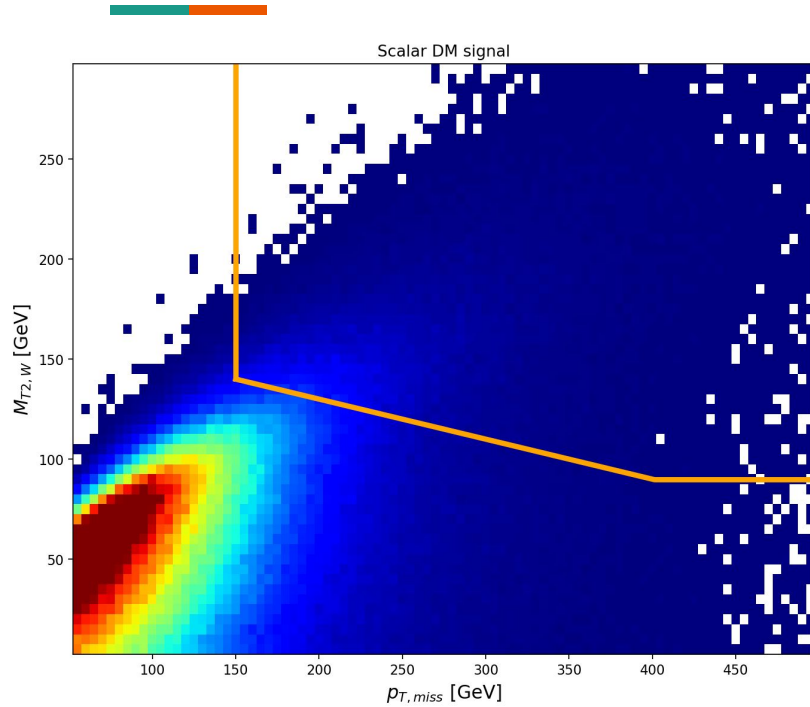


# Event selection



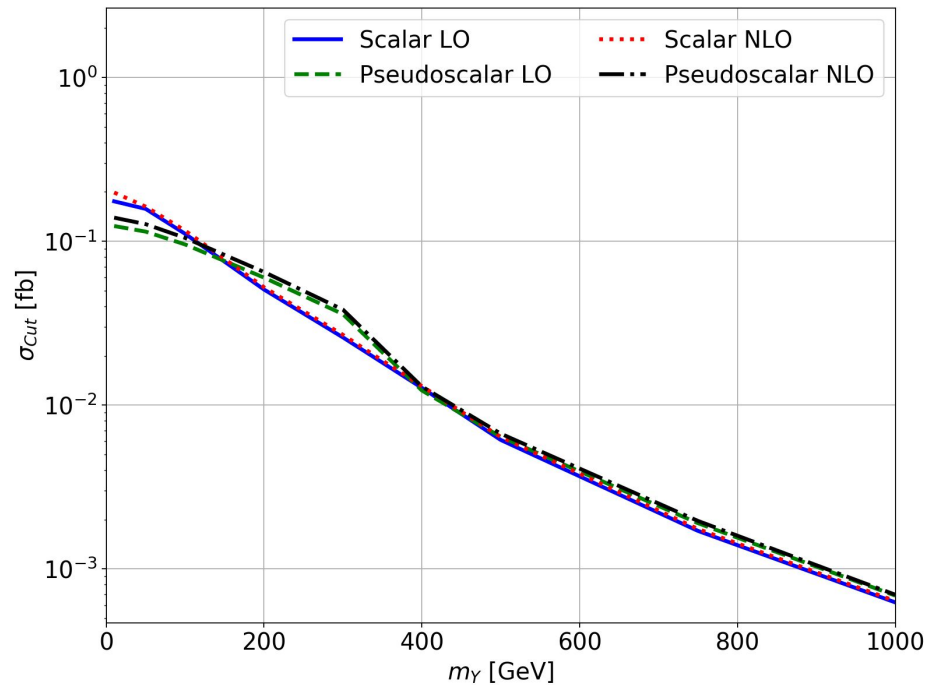
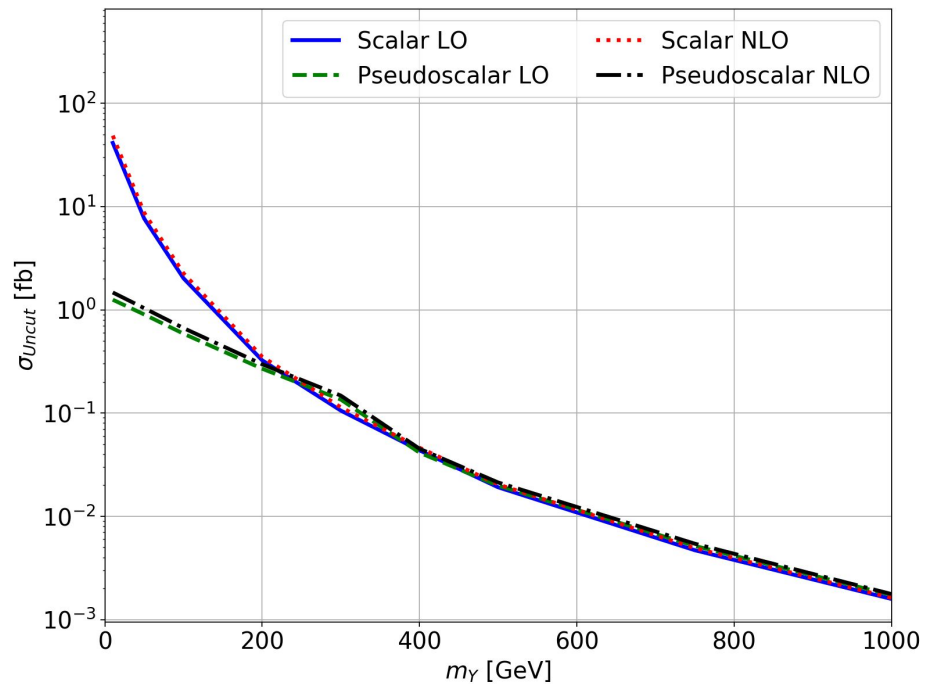
Define linear combination:  $C_{em} = M_{T2,W} + 0.2 \cdot (p_{T,miss} - 200 \text{ GeV})$  [Haisch et al., 2017]

# Event selection

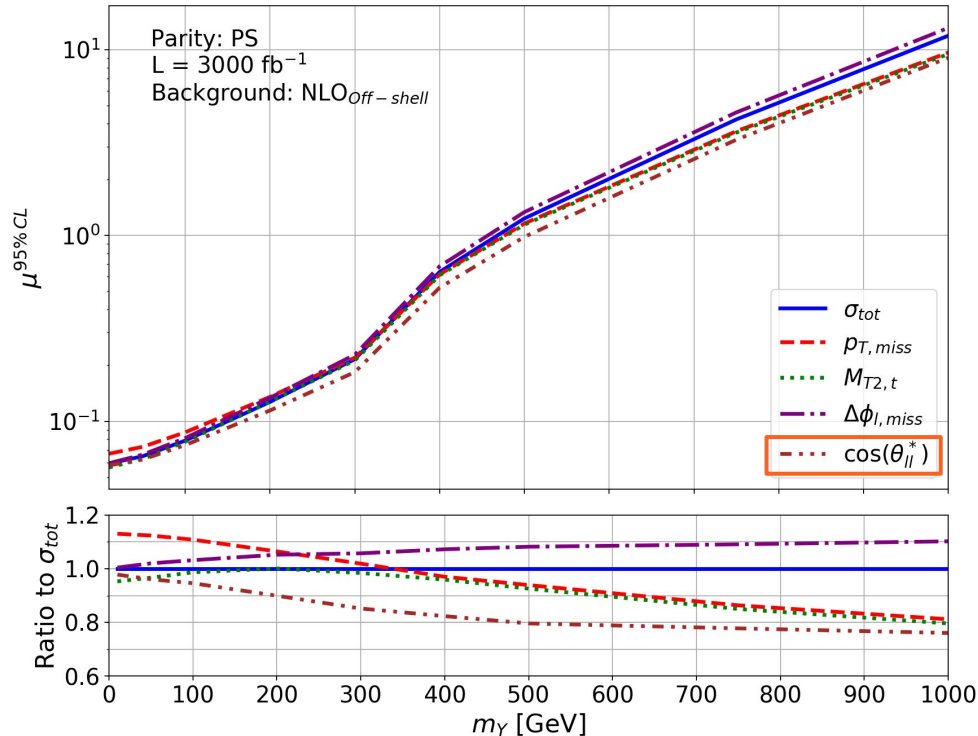


**Problem:**  $t\bar{t}Z$  looks very similar to DM signal

# Effects of cut selection



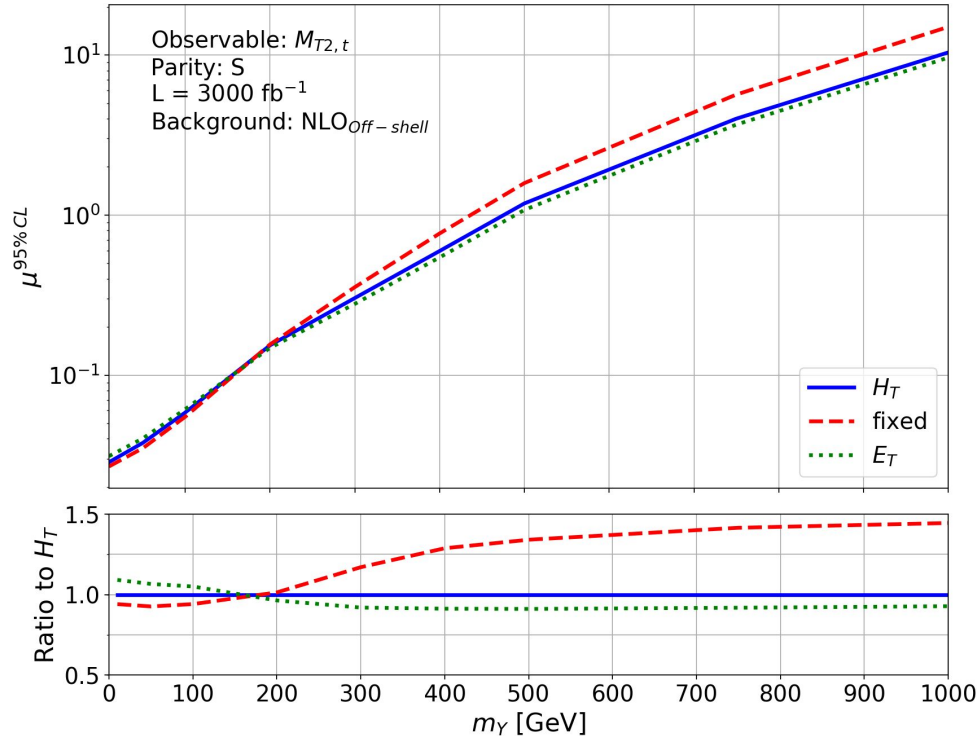
# Exclusion limits - Choice of observable



- Five bins for all observables (except integrated fiducial cross section)
- $\cos(\theta_{ll}^*) = \tanh(|\eta_{l_1} - \eta_{l_2}|/2)$  generally best observable, i.e. provides most stringent limits
- For light scalar mediators one should use  $M_{T2, t}$  instead
- $\Delta\phi_{l, \text{miss}}$  even worse than the normalization in most cases

[JH, Worek, arXiv:2108.01089](#)

# Exclusion limits - Scale choice



- Scale choice even important at NLO for some observables
- One should use a dynamical scale
- Reason: large scale uncertainties for the fixed scale

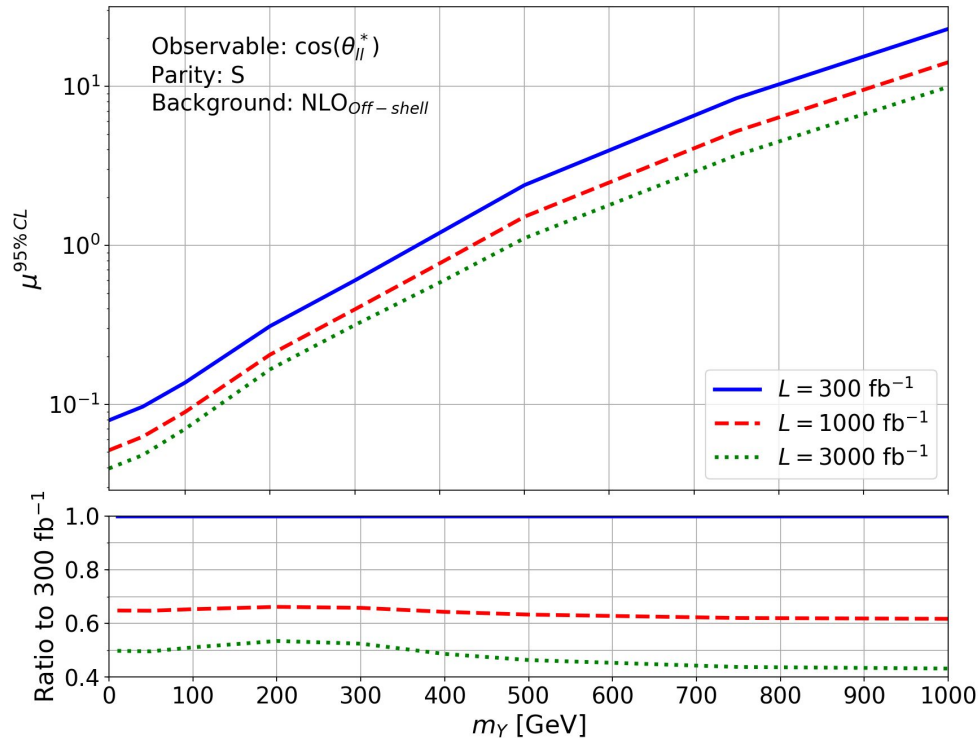
Scale Setting	$\mu_0^{\text{DM}}$	$\mu_0^{t\bar{t}}$	$\mu_0^{t\bar{t}Z}$
fixed	$m_t + m_Y/2$	$m_t$	$m_t + m_Z/2$
$E_T$	$E_T/3$	$E_T/4$	$E_T/3$
$H_T$	-	$H_T/4$	$H_T/3$
Default	$E_T/3$	$H_T/4$	$H_T/3$

[JH, Worek, arXiv:2108.01089](#)

$$E_T = \sum_{i=t,\bar{t}(Z,Y)} \sqrt{M_i^2 + p_{T,i}^2} \quad \text{and}$$

$$H_T = p_{T,b} + p_{T,\bar{b}} + p_{T,\mu^-} + p_{T,e^+} + p_{T,\text{miss}}$$

# Exclusion limits - Luminosity

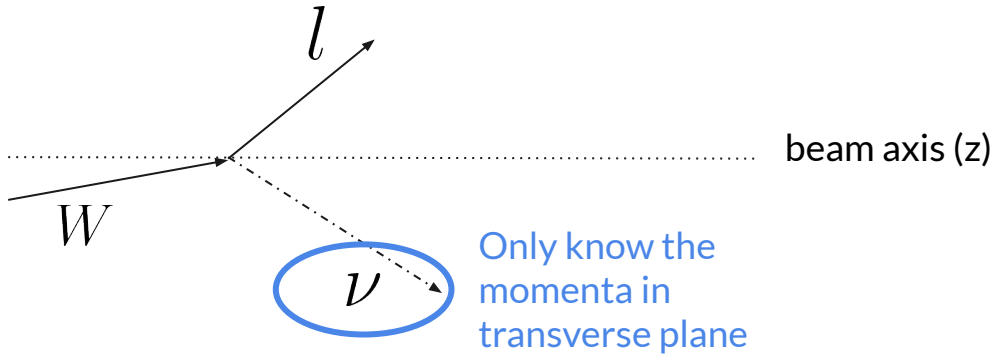


- Larger Luminosity means smaller statistical uncertainties  
-> more stringent limits
- More significant impact for differential distributions
- Previous comparisons with smaller Luminosity: same structure but smaller differences

[JH, Worek,](#)  
[arXiv:2108.01089](#)

# The 'stransverse' mass - idea

**Transverse mass:** reconstruct mass of particle with partly invisible final state



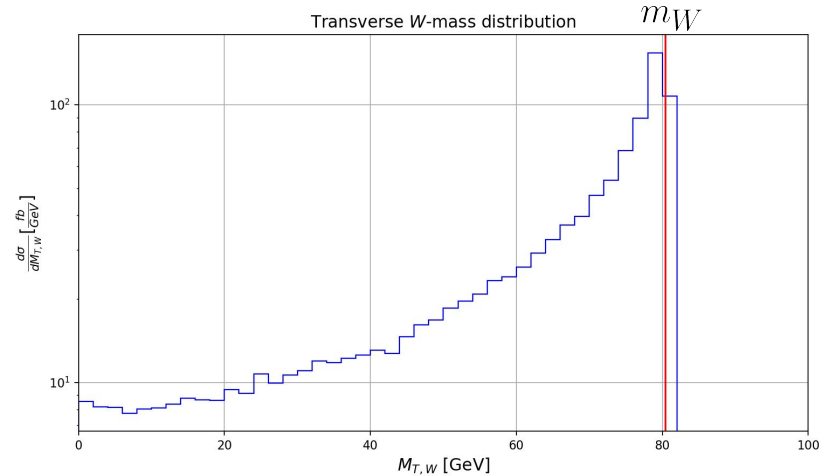
➔ Define mass & momenta in transverse plane

$$p_T = (E_T, p_x, p_y, 0) \quad \text{where} \quad E_T = \sqrt{p_{T,x}^2 + p_{T,y}^2}$$



$$M_T^2 = (p_T^l + p_T^\nu)^2 \leq m_W^2$$

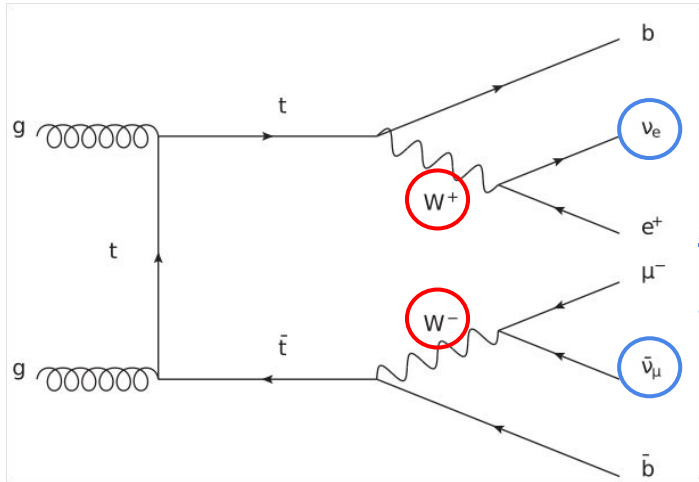
**Reconstruct the W mass:**



# The 'stransverse' mass - idea & definition

**'Stransverse' mass:**  
 generalization for **two** particles with  
 partly invisible final state

$$M_{T2,W}^2 = \min_{\mathbf{p}_T^{\nu_1} + \mathbf{p}_T^{\nu_2} = \mathbf{p}_{T,\text{miss}}} \left[ \max\{M_T^2(\mathbf{p}_T^{l_1}, \mathbf{p}_T^{\nu_1}), M_T^2(\mathbf{p}_T^{l_2}, \mathbf{p}_T^{\nu_2})\} \right]$$



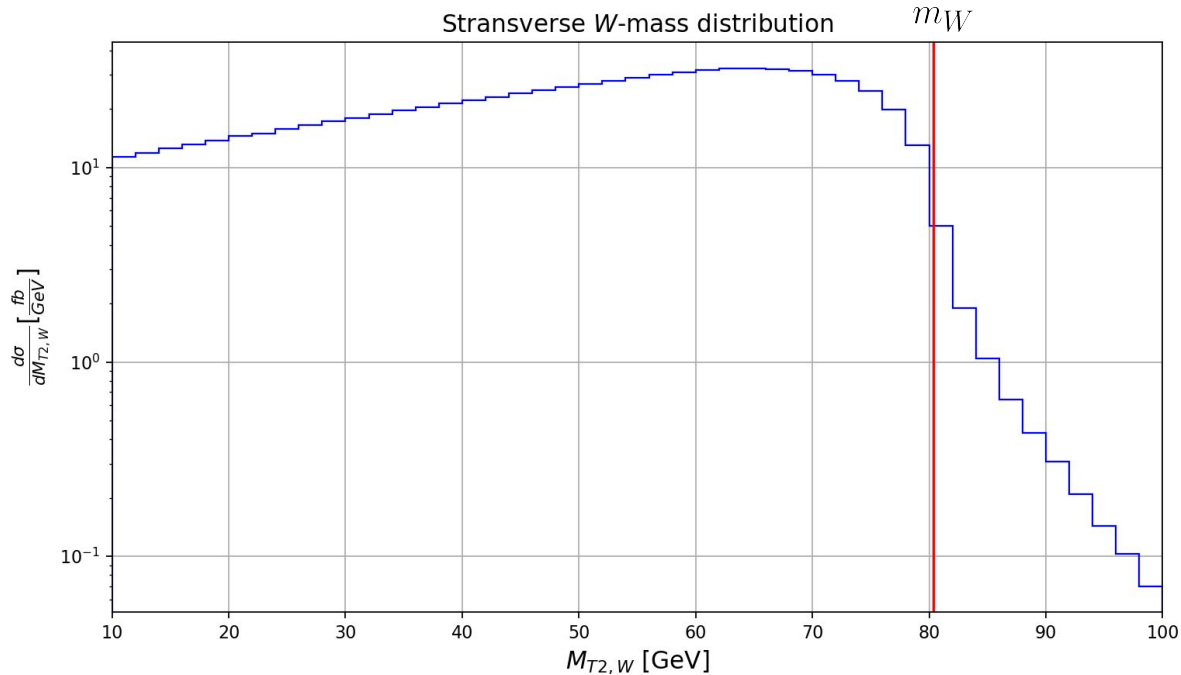
Only know the sum of the momenta in transverse plane



Minimize over all missing momentum combinations



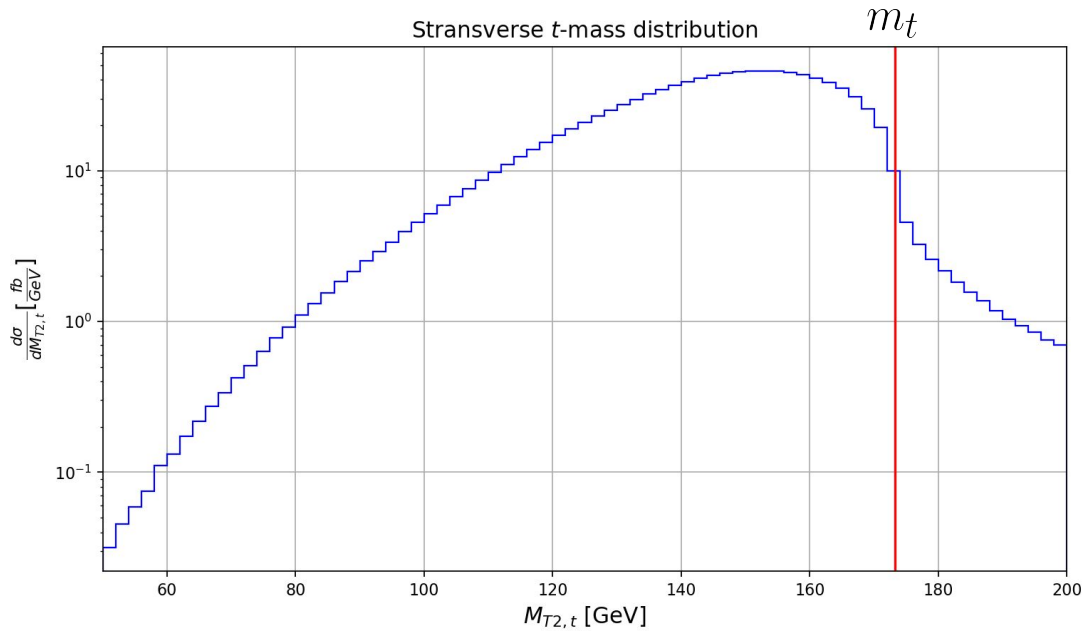
# The 'stransverse' mass - distribution



Not a 'hard' cut-off but drop-off is clearly visible

# The 'stransverse' mass - distribution

We can do the same for the top quarks:



- Use b-jet + lepton instead of lepton as visible, massive 'particle'
- Problem: which jet is associated with which lepton?
  - take minimum of invariant b-jet + lepton mass combinations
  - minimize the sum of the two invariant masses to avoid combining one lepton with both b-jets

# The 'stransverse' mass - definition

$$M_{T2}^2 = \min_{\mathbf{p}_T^{\nu_1} + \mathbf{p}_T^{\nu_2} = \mathbf{p}_{T,\text{miss}}} \left[ \max \{ M_T^2 \left( \mathbf{p}_T^{(lb)1}, \mathbf{p}_T^{\nu_1} \right), M_T^2 \left( \mathbf{p}_T^{(lb)2}, \mathbf{p}_T^{\nu_2} \right) \} \right]$$

$$\text{where } M_T^2 \left( \mathbf{p}_T^{(lb)i}, \mathbf{p}_T^{\nu_i} \right) = M_{(lb)i}^2 + 2 \left( E_T^{(lb)i} E_T^{\nu_i} - \mathbf{p}_T^{(lb)i} \cdot \mathbf{p}_T^{\nu_i} \right)$$

Lepton + b-jet combinations chosen such that  $M_{(lb)1} + M_{(lb)2}$  is minimal