

# Single and Double Higgs processes: Interpretation in the $\kappa_\lambda$ and EFT frameworks

Jorge de Blas

University of Granada



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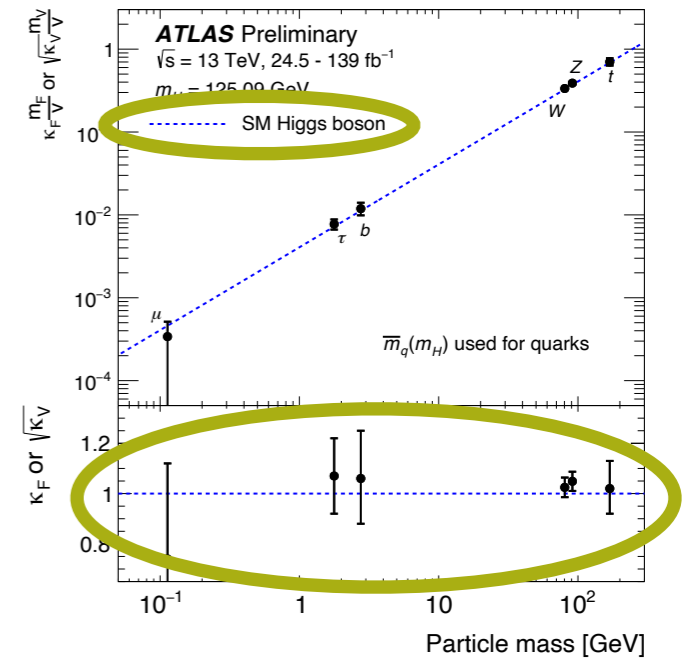
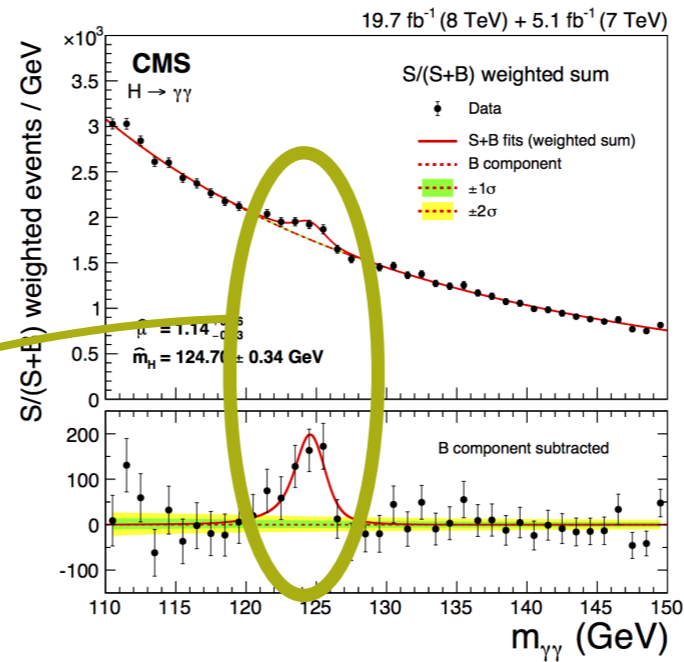
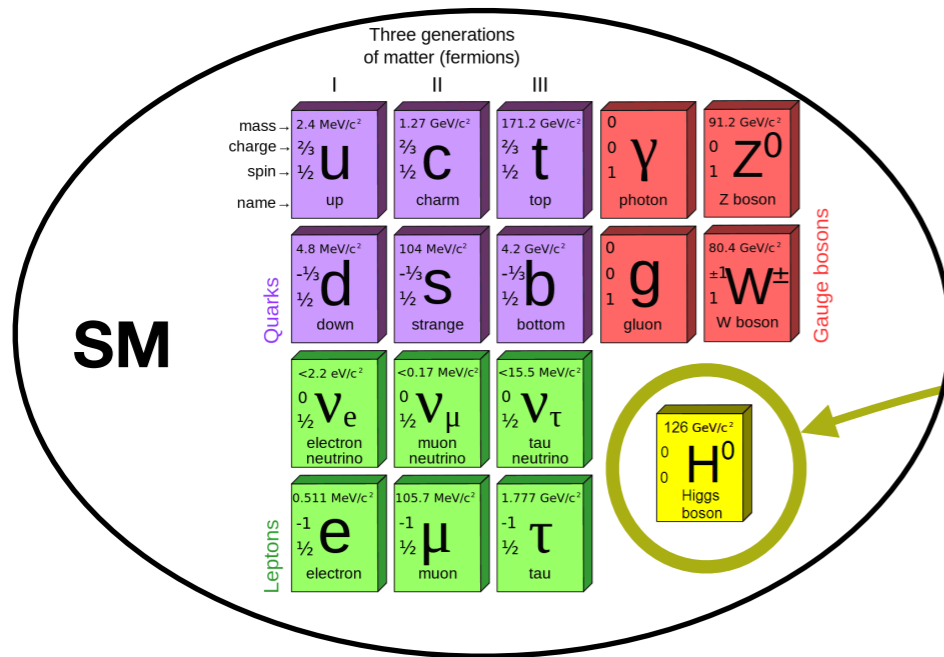
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de Granada



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Económica, Industria, Conocimiento y Universidades  
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# Introduction

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  - ✓ We have all the particles predicted by the SM...



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  - ✓ We have all the particles predicted by the SM...plus the measurement of the Higgs mass completes the list of inputs needed to compute all interactions in the SM Lagrangian...

$$\begin{aligned}\mathcal{L}_{\text{SM}} = & -\frac{1}{4}G_{\mu\nu}^A G^{A\ \mu\nu} - \frac{1}{4}W_{\mu\nu}^a W^{a\ \mu\nu} - \frac{1}{4}B_{\mu\nu}B^{\mu\nu} + \\ & + \bar{l}_{Li} i \not{D} l_{Li} + \bar{q}_{Li} i \not{D} q_{Li} + \bar{e}_{Ri} i \not{D} e_{Ri} + \bar{u}_{Ri} i \not{D} u_{Ri} + \bar{d}_{Ri} i \not{D} d_{Ri} + \\ & + (D_\mu \phi)^\dagger D^\mu \phi - V(\phi) - \left( \hat{y}_{ij}^e \bar{e}_{Ri} \phi l_{Lj} + \hat{y}_{ij}^d \bar{d}_{Ri} \phi q_{Lj} + \hat{y}_{ij}^u \bar{u}_{Ri} \tilde{\phi}^\dagger q_{Lj} + \text{h.c.} \right)\end{aligned}$$

**The crucial question is:**

***Do all interactions predicted by the SM agree with the EXP measurements?***

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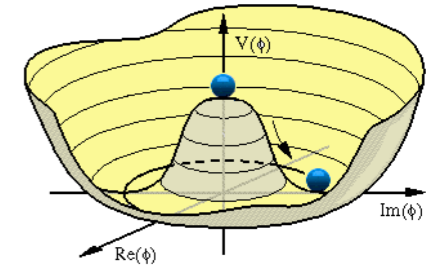
**Several of the SM particle interactions have not been measured directly yet, in particular, those entering in the scalar potential**

$$V(\phi) = -\mu_\phi^2 |\phi|^2 + \lambda_\phi |\phi|^4 \longrightarrow V(h) = \frac{1}{2}m_h^2 h^2 + \lambda_3 v h^3 + \frac{1}{4}\lambda_4 h^4$$

$$\lambda_3^{\text{SM}} = \lambda_4^{\text{SM}} = \lambda_\phi = \frac{G_\mu m_h^2}{\sqrt{2}} \approx 0.129$$

# Introduction

- Why are these self-interactions important?
  - ✓ It characterises the structure of the Higgs potential
    - ⇒ Does EWSB follow from a Ginzburg- Landau  $\phi^4$  potential?



- ✓ Test the validity of the SM. If not SM-like ⇒ Access to information about new physics (BSM)

- ▶ Naturalness?

$$\Delta M_h^2 = \text{---} \textcircled{\text{SM}} \text{---} + \text{---} \textcircled{\text{New}} \text{---} \sim 0$$

- ▶ Sizable deviations expected, e.g., in models of composite Higgs or models with Higgs portal interactions

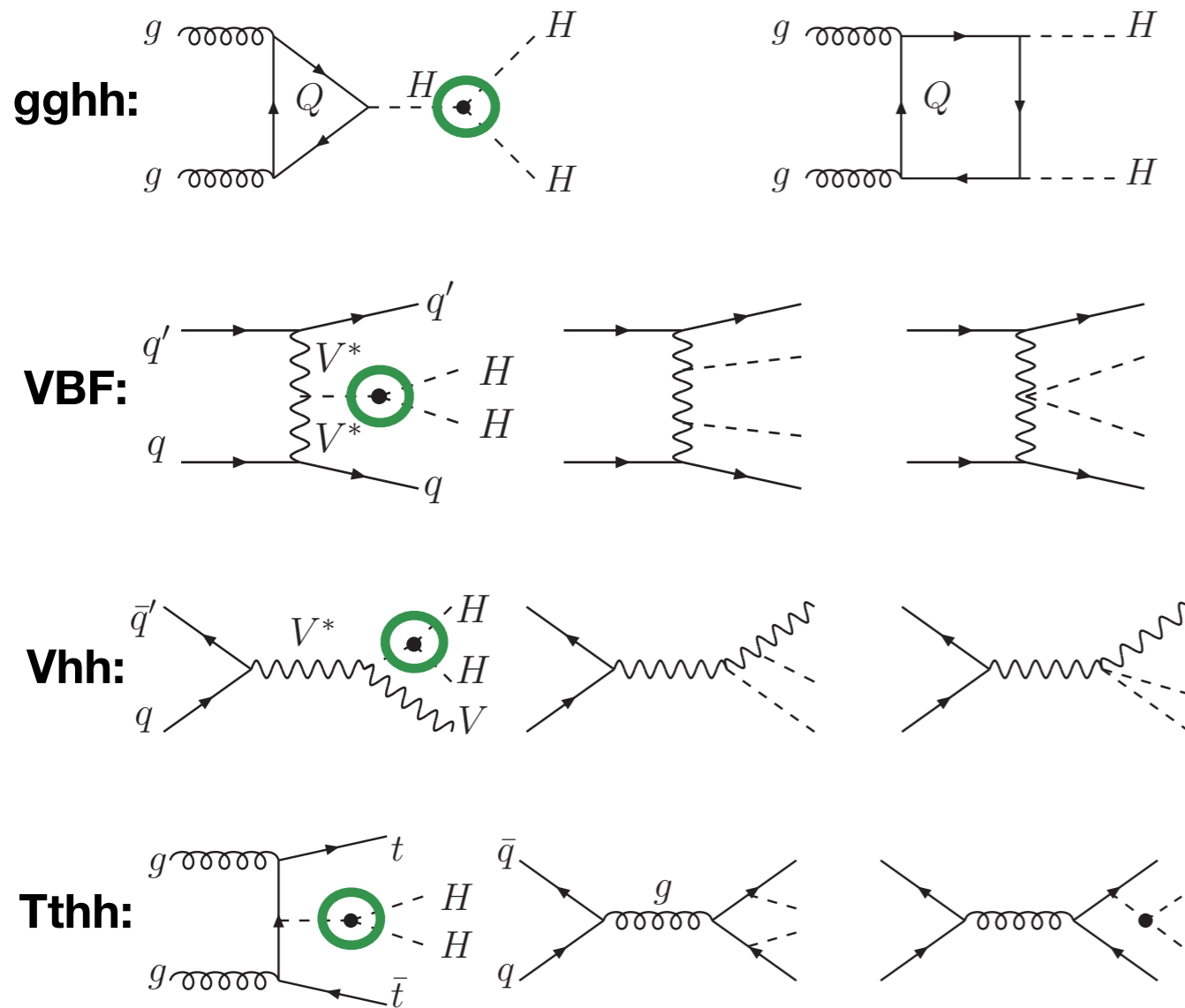
- ✓ Control the properties of the electroweak phase transition (EWPT)

- ▶ (Electroweak) Baryogenesis?
- ▶ Models predicting strong 1st order transition typically predict  $\mathcal{O}(1)$  deviations from SM

- OK, so how can we learn from the Higgs self-coupling at the LHC?

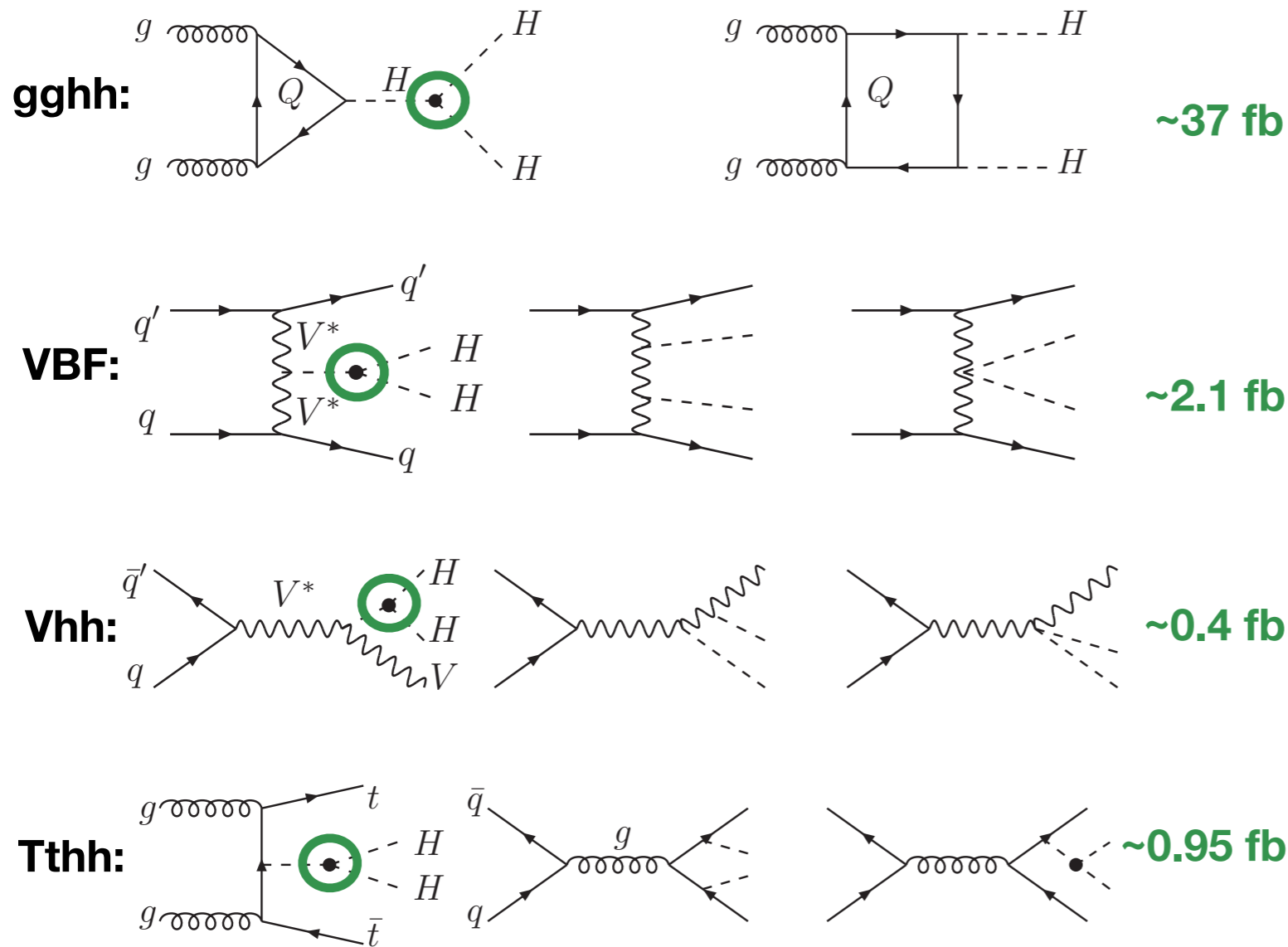
# LHC probes of the Higgs trilinear coupling

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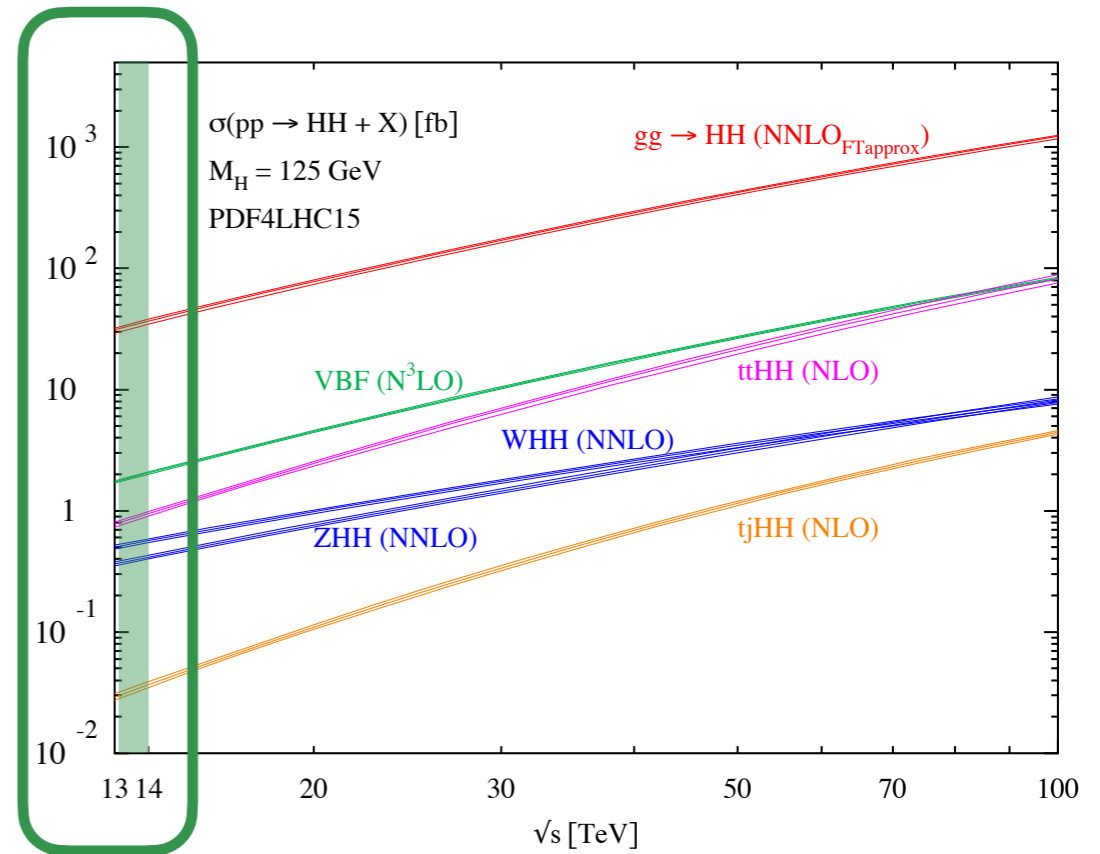


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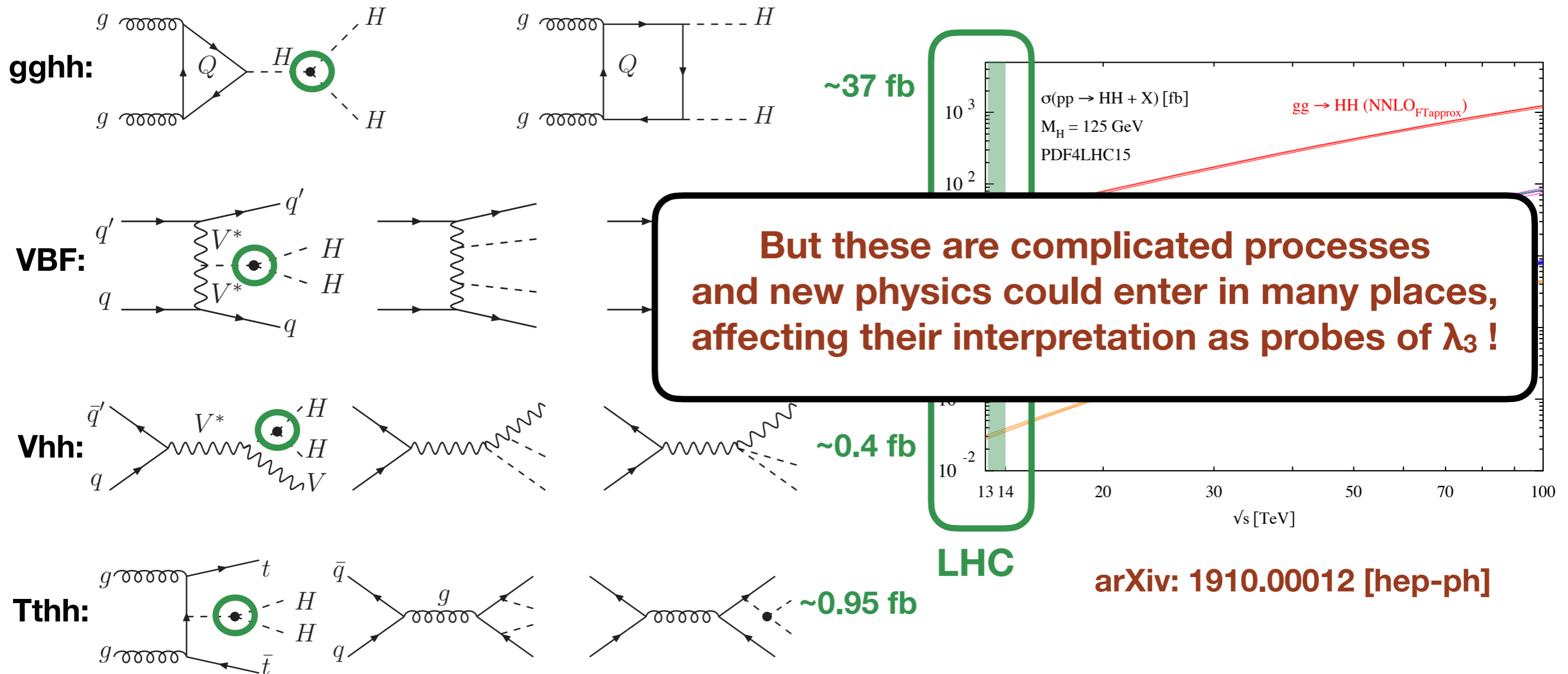


LHC

arXiv: 1910.00012 [hep-ph]

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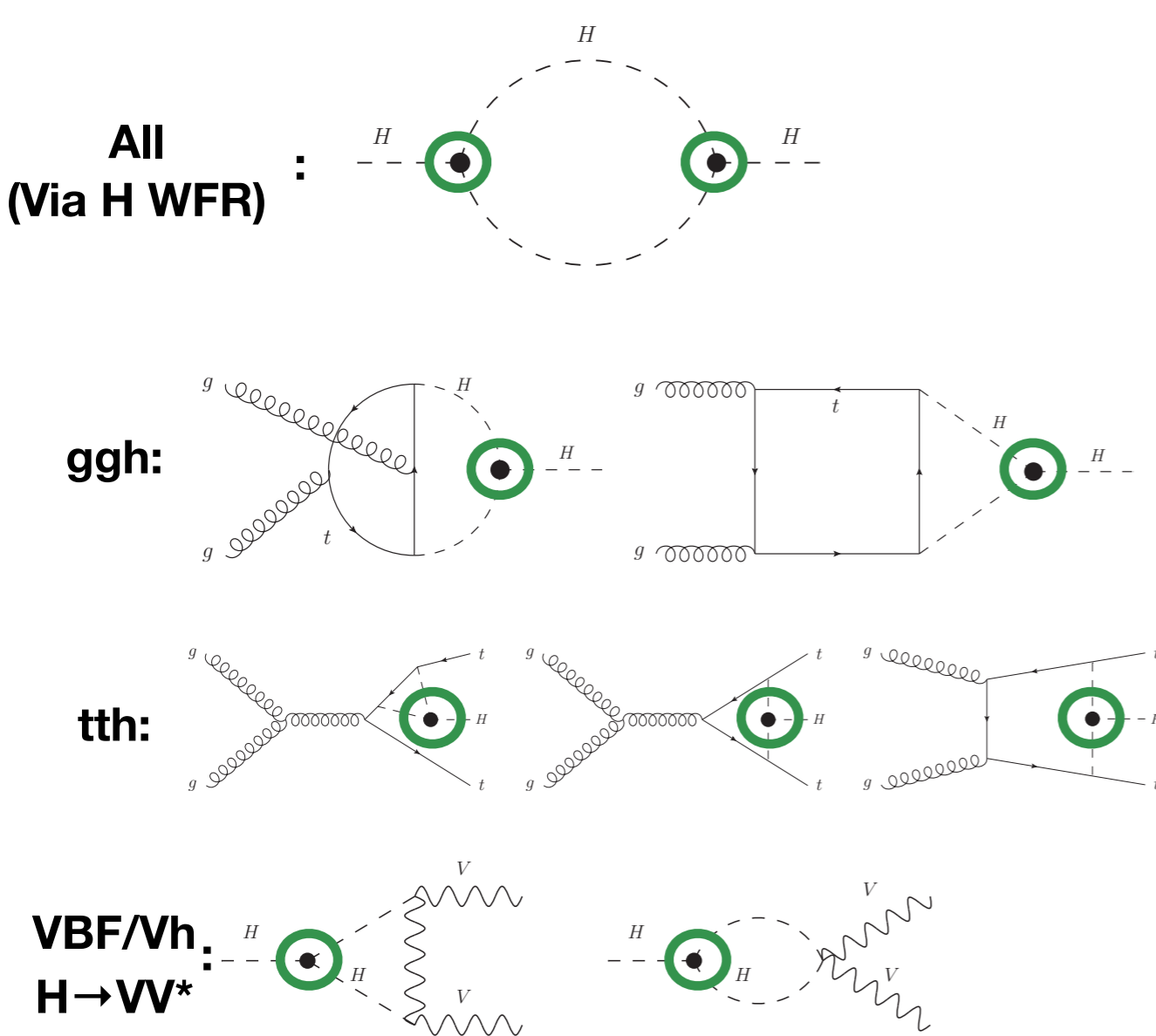




# LHC probes of the Higgs trilinear coupling

- How do we measure these self-interactions at the LHC?
  - ✓ The indirect way....Via loop effects in single-Higgs processes \*

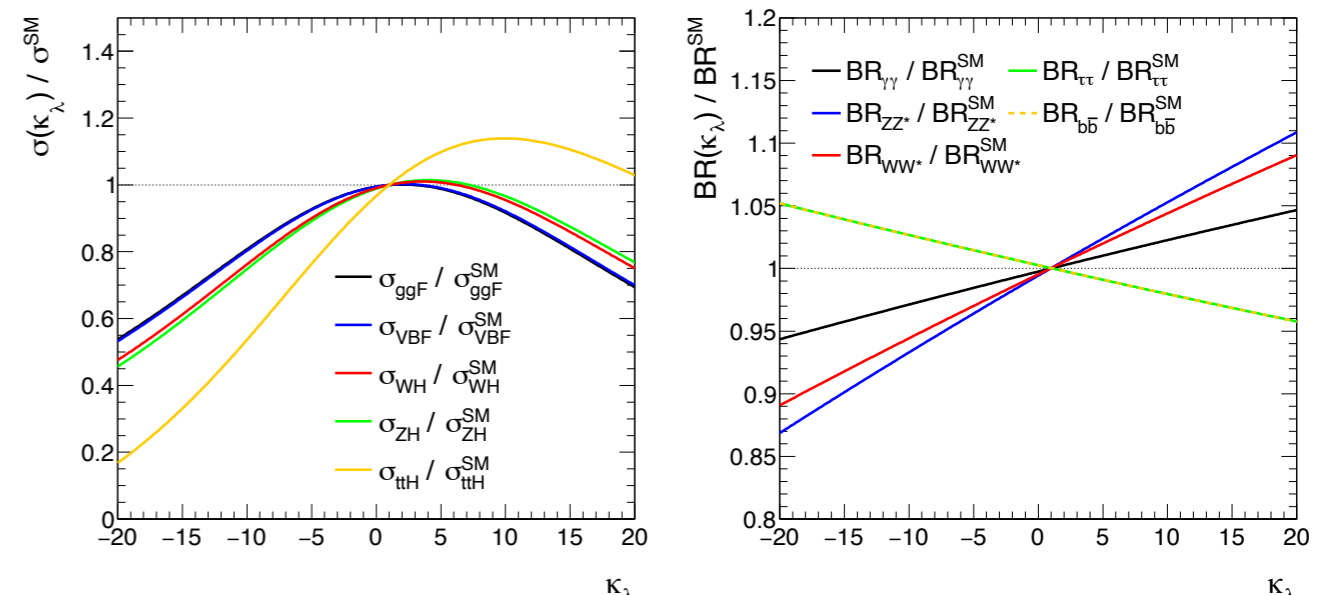
M. Gorbahn et al., JHEP 10 (2016) 094; G. Degrandi et al., JHEP 12 (2016) 080



$$\delta\Sigma_{\lambda_3} = (\kappa_\lambda - 1)C_1 + (\kappa_\lambda^2 - 1)C_2$$

( $\Sigma$ :  $\sigma_{X \rightarrow H}$ ,  $\Gamma_{H \rightarrow X}$ )

Process specific                      Universal



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See also the recent paper [arXiv: 2111.12589 \[hep-ph\]](https://arxiv.org/abs/2111.12589) for a similar approach using off-shell H production

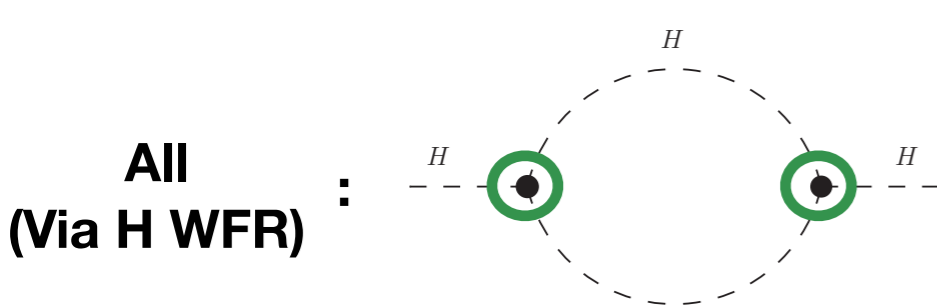
\* First suggested in the context of future e<sup>+</sup>e<sup>-</sup> Higgs factories by:

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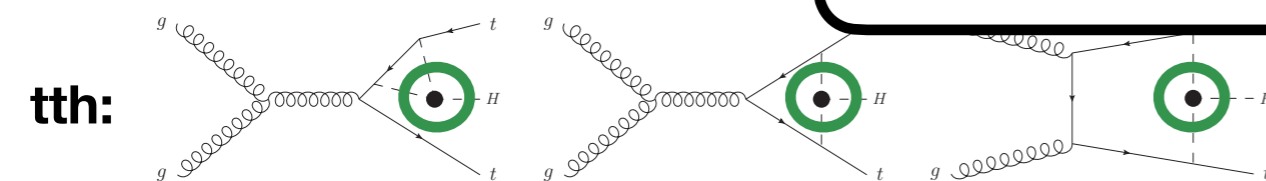
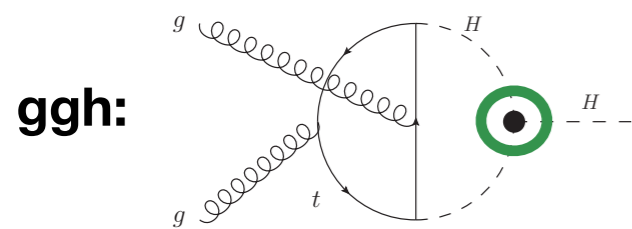
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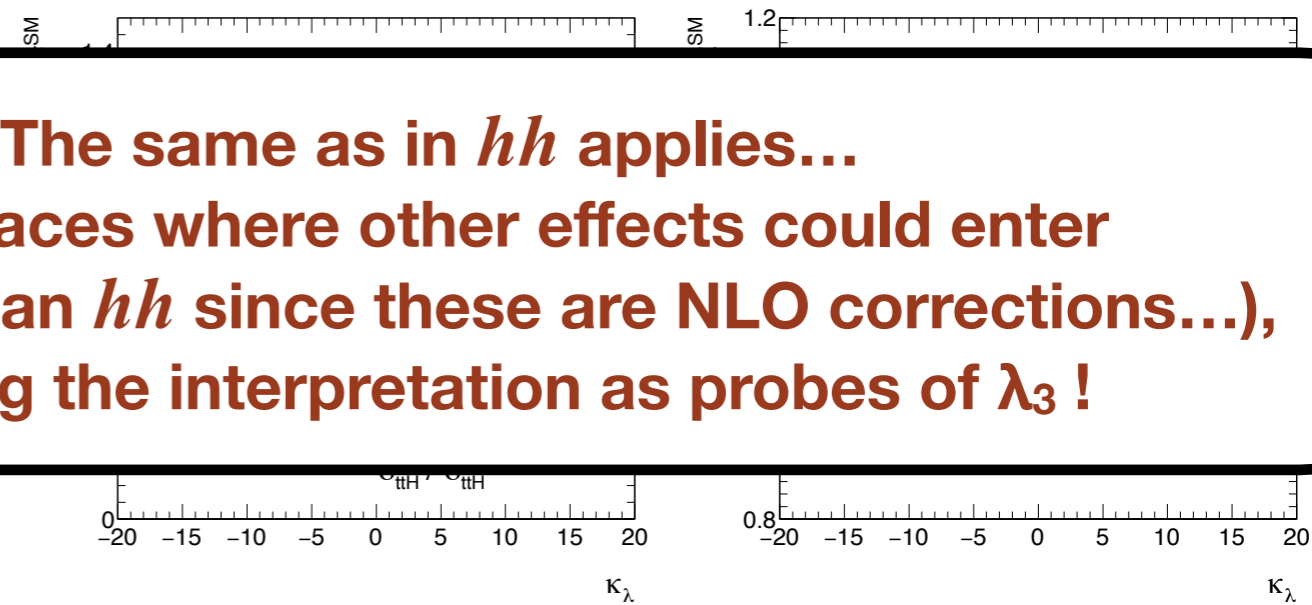


$$\delta\Sigma_{\lambda_3} = (\kappa_\lambda - 1)C_1 + (\kappa_\lambda^2 - 1)C_2$$

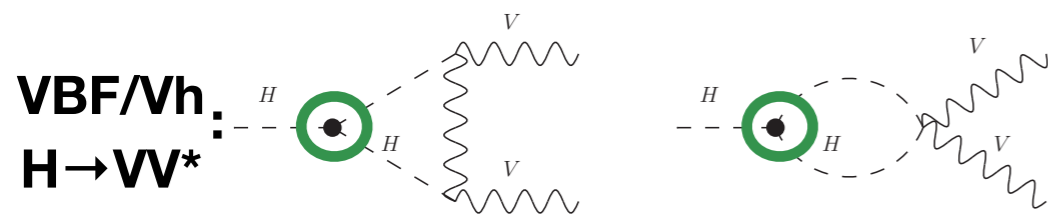
( $\Sigma$ :  $\sigma_{X\rightarrow H}$ ,  $\Gamma_{H\rightarrow X}$ )



The same as in *hh* applies...  
 Many places where other effects could enter (even more than *hh* since these are NLO corrections...), affecting the interpretation as probes of  $\lambda_3$  !



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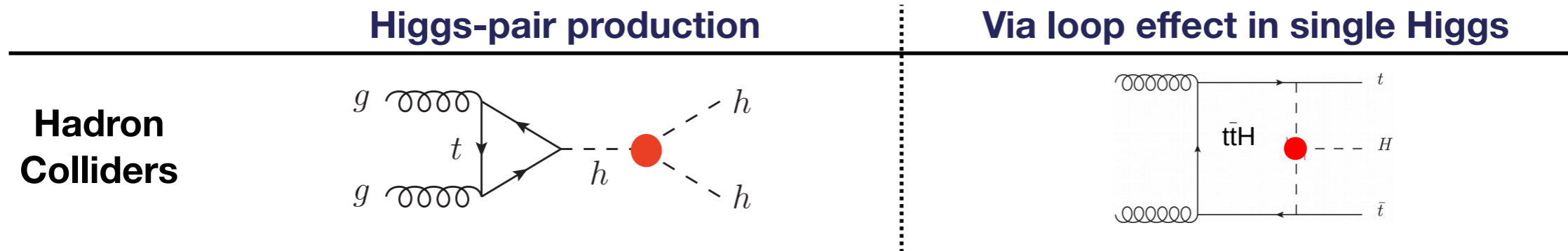
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# LHC probes of the Higgs trilinear coupling

- Two main ways of extracting the **Higgs Trilinear at the LHC**



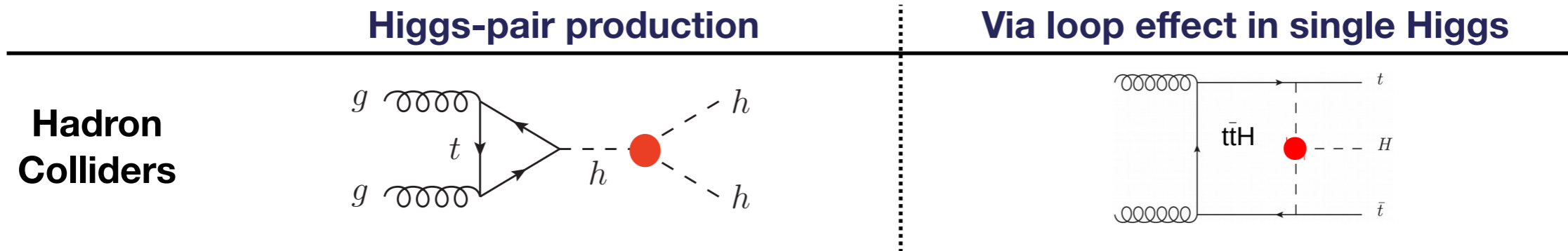
**How to interpret these measurements/determinations?**

**It depends on what you want to learn...**

**The answer sets the interpretational theory framework and that always requires some assumptions...**

# LHC probes of the Higgs trilinear coupling

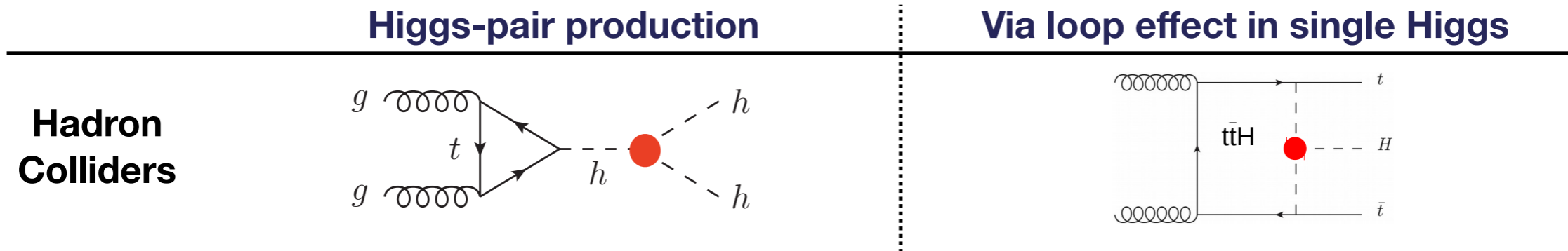
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	di-Higgs	single-H
exclusive	<p><b>1. di-H, excl.</b></p> <ul style="list-style-type: none"> <li>• Use of <math>\sigma(HH)</math></li> <li>• only deformation of <math>\kappa\lambda</math></li> </ul>	<p><b>3. single-H, excl.</b></p> <ul style="list-style-type: none"> <li>• single Higgs processes at higher order</li> <li>• only deformation of <math>\kappa\lambda</math></li> </ul>
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- Everything is assumed to be SM-like except for deviations of the Higgs trilinear parameterised by:

$$\kappa_\lambda \equiv \frac{\lambda_3}{\lambda_3^{\text{SM}}}$$

- Used in most experimental analyses due to its simplicity
- Useful to test of validity of SM hypothesis...
- ...but, without extra info, not so much from the point of view of BSM interpretation:
  - ✓ e.g. using single-Higgs processes: *Are there models that can predict large deviations in  $\lambda_3$  WITHOUT introducing large corrections to other single H couplings?*

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**The answer is YES e.g. Higgs portal models...**

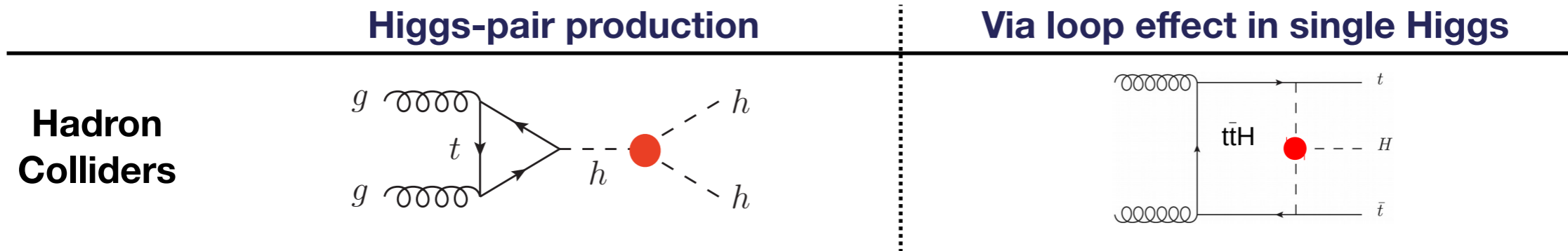
**but this interpretation does not apply to other models (if bounds are weak)**

**For instance, composite Higgs models predict, generically,  
both single and triple Higgs couplings  $\sim v^2/f^2$**

**Similar considerations apply to other models motivated by  
“naturalness” unless in very particular limits**

# LHC probes of the Higgs trilinear coupling

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It is better to try to be more “Global”



# LHC probes of the Higgs trilinear coupling

- A natural extension of the “exclusive”  $\kappa_\lambda$  approach that is being adopted by the experimental group in single-Higgs analyses adds coupling modifiers for the other SM Higgs interactions (in analogy to the  $\kappa$  framework used in Run I)

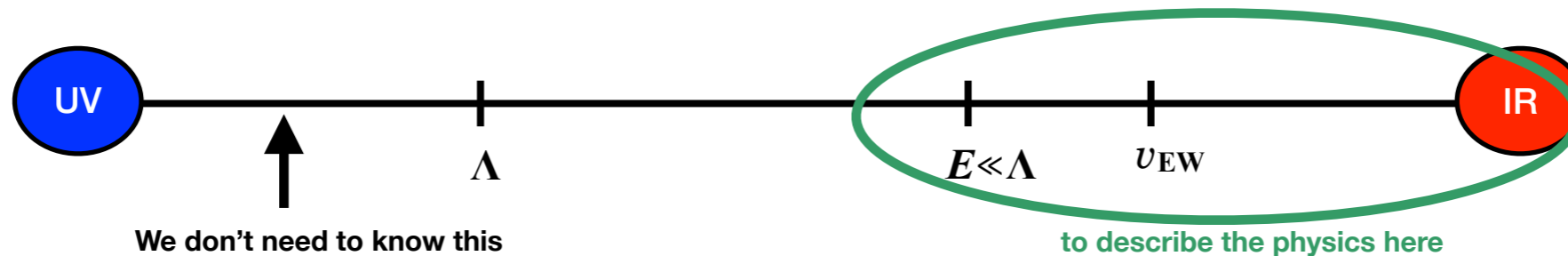
$$\mu_i(\kappa_\lambda, \kappa_i) = \frac{\sigma^{\text{BSM}}}{\sigma^{\text{SM}}} = Z_H^{\text{BSM}}(\kappa_\lambda) \left[ \kappa_i^2 + \frac{(\kappa_\lambda - 1) C_1^i}{K_{\text{EW}}^i} \right]$$
$$\mu_f(\kappa_\lambda, \kappa_f) = \frac{\text{BR}_f^{\text{BSM}}}{\text{BR}_f^{\text{SM}}} = \frac{\kappa_f^2 + (\kappa_\lambda - 1) C_1^f}{\sum_j \text{BR}_j^{\text{SM}} \left[ \kappa_j^2 + (\kappa_\lambda - 1) C_1^j \right]}$$
$$Z_H^{\text{BSM}}(\kappa_\lambda) = \frac{1}{1 - (\kappa_\lambda^2 - 1) \delta Z_H}$$

See S. Manzoni's Talk on Wednesday

- Doing this consistently from a theory point of view, without introducing a particular model, leads to the use of EFTs...
  - ✓ Model independent (within assumptions)
  - ✓ Well-defined way of computing things (though not without issues, e.g. EFT truncation uncertainty, ...)
  - ✓ It may help in clarifying in which cases the “exclusive”  $\kappa_\lambda$  approach is a good approximation

# Effective Field Theories

- The philosophy of Effective Field Theories:



- We are interested in exploring BSM deformations without being “attached” to any particular model (no reason to do so)... What is reasonable to assume?

✓ QFT

✓ At low-energies the particle content seem to match the SM one

- ▶ No new particles with masses  $\sim v_{EW}$  showing up in direct searches (Though this possibility cannot be completely excluded and much lighter particles also possible)

✓ Similarly, SM gauge invariance seems to work well...  
(With respect to current precision... )

- This is actually enough to build an Effective Field Theory, which provides a robust theory framework to interpret experimental indirect tests of new physics

# Effective Field Theories

- EFT provide a phenomenological tool to parameterise BSM deformations in a model-independent way (consistent with some general assumptions)
- Two EFTs consistent with the SM particles and symmetries at low energies, differing in the treatment of the scalar sector:
  - ✓ The non-linear/Higgs EFT (HEFT):  $h$  singlet and not related to GB of EWSB
  - ✓ The SM EFT (SMEFT):  $h$  part of a  $SU(2)_L$  doublet

$$\mathbf{SM} \subset \mathbf{SMEFT} \subset \mathbf{HEFT}$$

- In short:
  - ✓ **HEFT:** when there are light BSM states (compared to EW scale) or BSM sources of symmetry breaking
  - ✓ **SMEFT:** when heavy new states (compared to EW scale)

See: R. Alonso, E. E. Jenkins, A. Manohar, JHEP 08 (2016) 10, arXiv: 1605.03602 [hep-ph]  
T. Cohen, N. Craig, X. Lu, D. Sutherland, JHEP 03 (2021) 237, arXiv: 2008.08597 [hep-ph]  
for a geometrical interpretation of the differences between HEFT and SMEFT

# Effective Field Theories: SMEFT

- SMEFT:** SM particles and symmetries at low energies, with the Higgs scalar in an  $SU(2)_L$  doublet + mass gap with new physics (entering at scale  $\Lambda$ )

$$\mathcal{L}_{UV}(?) \xrightarrow{E \ll \Lambda} \mathcal{L}_{\text{Eff}} = \sum_{d=4}^{\infty} \frac{1}{\Lambda^{d-4}} \mathcal{L}_d = \mathcal{L}_{\text{SM}} + \frac{1}{\Lambda} \mathcal{L}_5 + \frac{1}{\Lambda^2} \mathcal{L}_6 + \dots$$

$$\mathcal{L}_d = \sum_i C_i^d \mathcal{O}_i \quad [\mathcal{O}_i] = d \longrightarrow \left(\frac{q}{\Lambda}\right)^{d-4}$$

- LO SMEFT Lagrangian** (assuming B & L)  $\Rightarrow$  Dim-6 SMEFT: 2499 operators

Warsaw basis operators  
(Neglecting flavour)

Operator	Notation	Operator	Notation
$(\bar{l}_L \gamma_\mu l_L) (\bar{l}_L \gamma^\mu l_L)$	$\mathcal{O}_{ll}^{(1)}$	$(\bar{q}_L \gamma_\mu T_A q_L) (\bar{q}_L \gamma^\mu T_A q_L)$	$\mathcal{O}_{qq}^{(8)}$
$(\bar{q}_L \gamma_\mu q_L) (\bar{q}_L \gamma^\mu q_L)$	$\mathcal{O}_{qq}^{(1)}$	$(\bar{l}_L \gamma_\mu \sigma_a l_L) (\bar{q}_L \gamma^\mu \sigma_a q_L)$	$\mathcal{O}_{lq}^{(3)}$
$(\bar{l}_L \gamma_\mu l_L) (\bar{q}_L \gamma^\mu q_L)$	$\mathcal{O}_{lq}^{(1)}$		
$(\bar{e}_R \gamma_\mu e_R) (\bar{e}_R \gamma^\mu e_R)$	$\mathcal{O}_{ee}$	$(\bar{d}_R \gamma_\mu d_R) (\bar{d}_R \gamma^\mu d_R)$	$\mathcal{O}_{dd}^{(1)}$
$(\bar{u}_R \gamma_\mu u_R) (\bar{u}_R \gamma^\mu u_R)$	$\mathcal{O}_{uu}^{(1)}$	$(\bar{u}_R \gamma_\mu T_A u_R) (\bar{d}_R \gamma^\mu T_A d_R)$	$\mathcal{O}_{ud}^{(8)}$
$(\bar{u}_R \gamma_\mu u_R) (\bar{d}_R \gamma^\mu d_R)$	$\mathcal{O}_{ud}^{(1)}$	$(\bar{e}_R \gamma_\mu e_R) (\bar{d}_R \gamma^\mu d_R)$	$\mathcal{O}_{ed}$
$(\bar{e}_R \gamma_\mu e_R) (\bar{u}_R \gamma^\mu u_R)$	$\mathcal{O}_{eu}$		
$(\bar{l}_L \gamma_\mu l_L) (\bar{e}_R \gamma^\mu e_R)$	$\mathcal{O}_{le}$	$(\bar{q}_L \gamma_\mu q_L) (\bar{e}_R \gamma^\mu e_R)$	$\mathcal{O}_{qe}$
$(\bar{l}_L \gamma_\mu l_L) (\bar{u}_R \gamma^\mu u_R)$	$\mathcal{O}_{lu}$	$(\bar{l}_L \gamma_\mu l_L) (\bar{d}_R \gamma^\mu d_R)$	$\mathcal{O}_{ld}$
$(\bar{q}_L \gamma_\mu q_L) (\bar{u}_R \gamma^\mu u_R)$	$\mathcal{O}_{qu}^{(1)}$	$(\bar{q}_L \gamma_\mu T_A q_L) (\bar{u}_R \gamma^\mu T_A u_R)$	$\mathcal{O}_{qu}^{(8)}$
$(\bar{q}_L \gamma_\mu q_L) (\bar{d}_R \gamma^\mu d_R)$	$\mathcal{O}_{qd}^{(1)}$	$(\bar{q}_L \gamma_\mu T_A q_L) (\bar{d}_R \gamma^\mu T_A d_R)$	$\mathcal{O}_{qd}^{(8)}$
$(\bar{l}_L e_R) (\bar{d}_R q_L)$	$\mathcal{O}_{ledq}$		
$(\bar{q}_L u_R) i\sigma_2 (\bar{q}_L d_R)^T$	$\mathcal{O}_{qud}^{(1)}$	$(\bar{q}_L T_A u_R) i\sigma_2 (\bar{q}_L T_A d_R)^T$	$\mathcal{O}_{qud}^{(8)}$
$(\bar{l}_L e_R) i\sigma_2 (\bar{q}_L u_R)^T$	$\mathcal{O}_{lequ}$	$(\bar{l}_L u_R) i\sigma_2 (\bar{q}_L e_R)^T$	$\mathcal{O}_{qelu}$

Operator	Notation	Operator	Notation
$(\phi^\dagger \phi) \square (\phi^\dagger \phi)$	$\mathcal{O}_{\phi \square}$	$\frac{1}{3} (\phi^\dagger \phi)^3$	$\mathcal{O}_\phi$
$(\phi^\dagger i \overleftrightarrow{D}_\mu \phi) (\bar{l}_L \gamma^\mu l_L)$	$\mathcal{O}_{\phi l}^{(1)}$	$(\phi^\dagger i \overleftrightarrow{D}_\mu^a \phi) (\bar{l}_L \gamma^\mu \sigma_a l_L)$	$\mathcal{O}_{\phi l}^{(3)}$
$(\phi^\dagger i \overleftrightarrow{D}_\mu \phi) (\bar{e}_R \gamma^\mu e_R)$	$\mathcal{O}_{\phi e}^{(1)}$	$(\phi^\dagger i \overleftrightarrow{D}_\mu^a \phi) (\bar{q}_L \gamma^\mu \sigma_a q_L)$	$\mathcal{O}_{\phi q}^{(3)}$
$(\phi^\dagger i \overleftrightarrow{D}_\mu \phi) (\bar{q}_L \gamma^\mu q_L)$	$\mathcal{O}_{\phi q}^{(1)}$	$(\phi^\dagger i \overleftrightarrow{D}_\mu \phi) (\bar{d}_R \gamma^\mu d_R)$	$\mathcal{O}_{\phi d}^{(1)}$
$(\phi^\dagger i \overleftrightarrow{D}_\mu \phi) (\bar{u}_R \gamma^\mu u_R)$	$\mathcal{O}_{\phi u}^{(1)}$		
$(\phi^T i \sigma_2 i D_\mu \phi) (\bar{u}_R \gamma^\mu d_R)$	$\mathcal{O}_{\phi ud}$		
$(\bar{l}_L \sigma^{\mu\nu} e_R) \phi B_{\mu\nu}$	$\mathcal{O}_{eB}$	$(\bar{l}_L \sigma^{\mu\nu} e_R) \sigma^a \phi W_{\mu\nu}^a$	$\mathcal{O}_{eW}$
$(\bar{q}_L \sigma^{\mu\nu} u_R) \tilde{\phi} B_{\mu\nu}$	$\mathcal{O}_{uB}$	$(\bar{q}_L \sigma^{\mu\nu} u_R) \sigma^a \tilde{\phi} W_{\mu\nu}^a$	$\mathcal{O}_{uW}$
$(\bar{q}_L \sigma^{\mu\nu} d_R) \phi B_{\mu\nu}$	$\mathcal{O}_{dB}$	$(\bar{q}_L \sigma^{\mu\nu} d_R) \sigma^a \phi W_{\mu\nu}^a$	$\mathcal{O}_{dW}$
$(\bar{q}_L \sigma^{\mu\nu} \lambda^A u_R) \tilde{\phi} G_{\mu\nu}^A$	$\mathcal{O}_{uG}$	$(\bar{q}_L \sigma^{\mu\nu} \lambda^A d_R) \phi G_{\mu\nu}^A$	$\mathcal{O}_{dG}$
$(\phi^\dagger \phi) (\bar{l}_L \phi e_R)$	$\mathcal{O}_{e\phi}$	$(\phi^\dagger \phi) (\bar{q}_L \phi d_R)$	$\mathcal{O}_{d\phi}$
$(\phi^\dagger \phi) (\bar{q}_L \tilde{\phi} u_R)$	$\mathcal{O}_{u\phi}$		
$(\phi^\dagger D_\mu \phi) ((D^\mu \phi)^\dagger \phi)$	$\mathcal{O}_{\phi D}$	$\phi^\dagger \phi \tilde{B}_{\mu\nu} B^{\mu\nu}$	$\mathcal{O}_{\phi \tilde{B}}$
$\phi^\dagger \phi B_{\mu\nu} B^{\mu\nu}$	$\mathcal{O}_{\phi B}$	$\phi^\dagger \phi \tilde{W}_{\mu\nu}^a W^{a\mu\nu}$	$\mathcal{O}_{\phi \tilde{W}}$
$\phi^\dagger \phi W_{\mu\nu}^a W^{a\mu\nu}$	$\mathcal{O}_{\phi W}$	$\phi^\dagger \sigma_a \phi \tilde{W}_{\mu\nu}^a B^{\mu\nu}$	$\mathcal{O}_{\tilde{W} B}$
$\phi^\dagger \sigma_a \phi W_{\mu\nu}^a B^{\mu\nu}$	$\mathcal{O}_{WB}$	$\phi^\dagger \phi \tilde{G}_{\mu\nu}^A G^{A\mu\nu}$	$\mathcal{O}_{\phi \tilde{G}}$
$\phi^\dagger \phi G_{\mu\nu}^A G^{A\mu\nu}$	$\mathcal{O}_{\phi G}$		
$\varepsilon_{abc} W_\mu^a \nu W_\nu^b \rho W_\rho^c \mu$	$\mathcal{O}_W$	$\varepsilon_{abc} \tilde{W}_\mu^a \nu W_\nu^b \rho W_\rho^c \mu$	$\mathcal{O}_{\tilde{W}}$
$f_{ABC} G_\mu^A \nu G_\nu^B \rho G_\rho^C \mu$	$\mathcal{O}_G$	$f_{ABC} \tilde{G}_\mu^A \nu G_\nu^B \rho G_\rho^C \mu$	$\mathcal{O}_{\tilde{G}}$

# Effective Field Theories: SMEFT

- **SMEFT:** SM particles and symmetries at low energies, with the Higgs scalar in an  $SU(2)_L$  doublet + mass gap with new physics (entering at scale  $\Lambda$ )

$$\mathcal{L}_{\text{UV}}(?) \xrightarrow{E \ll \Lambda} \mathcal{L}_{\text{Eff}} = \sum_{d=4}^{\infty} \frac{1}{\Lambda^{d-4}} \mathcal{L}_d = \mathcal{L}_{\text{SM}} + \frac{1}{\Lambda} \mathcal{L}_5 + \frac{1}{\Lambda^2} \mathcal{L}_6 + \dots$$

$$\mathcal{L}_d = \sum_i C_i^d \mathcal{O}_i \quad [\mathcal{O}_i] = d \longrightarrow \left(\frac{q}{\Lambda}\right)^{d-4}$$

- **LO SMEFT Lagrangian** (assuming B & L)  $\Rightarrow$  Dim-6 SMEFT: 2499 operators

Operator	Notation	Operator	Notation	Operator	Notation	Operator	Notation
----------	----------	----------	----------	----------	----------	----------	----------

Only a relatively small subset is relevant for the description of Higgs measurements

$\sim O(20-30)$  operators depending on flavour assumptions

( $h^3$  in SMEFT:  $\kappa_\lambda = 1 - 2 \frac{C_\phi v^4}{m_h^2 \Lambda^2} + \dots$  with  $\frac{C_\phi}{\Lambda^2} (\phi^\dagger \phi)^3$ )

Higgs part of doublet  $\Rightarrow$  SMEFT Higgs interactions depend on  $(v+h)^n$

$\Rightarrow$  Correlation of single and multi-Higgs couplings, e.g.

$$\frac{C_{\phi G}}{\Lambda^2} (\phi^\dagger \phi) G_{\mu\nu} G^{\mu\nu} \rightarrow \frac{C_{\phi G}}{\Lambda^2} (2vh + h^2) G_{\mu\nu} G^{\mu\nu}$$

*hgg and hhgg couplings controlled by same operator*

Warsaw basis operators  
(Neglecting flavour)

# Effective Field Theories: HEFT

- **HEFT:** SM particles and symmetries at low energies, but does not assume relation between the Higgs scalar and the Goldstone bosons of EWSB (non-linear EWSB)
- **Leading order HEFT Lagrangian ( $L=0$  in chiral ( $\chi$ ) dimensions):**

$$\begin{aligned} \mathcal{L}_{\text{LO}} = & -\frac{1}{2} \text{Tr} [G_{\mu\nu} G^{\mu\nu}] - \frac{1}{2} \text{Tr} [W_{\mu\nu} W^{\mu\nu}] - \frac{1}{4} B_{\mu\nu} B^{\mu\nu} \\ & + i\bar{q}_L \not{D} q_L + i\bar{\ell}_L \not{D} \ell_L + i\bar{u}_R \not{D} u_R + i\bar{d}_R \not{D} d_R + i\bar{e}_R \not{D} e_R \\ & + \frac{v^2}{4} \text{Tr} [D_\mu U^\dagger D^\mu U] (1 + F_U(h)) + \frac{1}{2} \partial_\mu h \partial^\mu h - V(h) \\ & - \frac{v}{\sqrt{2}} [\bar{q}_L Y_u(h) U P_+ q_R + \bar{q}_L Y_d(h) U P_- q_R + \bar{\ell}_L Y_e(h) U P_- \ell_R + \text{h.c.}] \end{aligned}$$

$$\begin{aligned} [\text{bosons}]_\chi &= 0 \\ [\psi\psi]_\chi = [\partial]_\chi = [g_{\text{weak}}]_\chi &= 1 \\ [\Delta\mathcal{L}]_\chi &= 2L + 2 \\ U &= \exp(2i \frac{G_a}{v} T_a) \\ V(h), F_U(h), Y_\psi(h) & \text{polynomials in } h \end{aligned}$$



Terms relevant for  
single and double Higgs processes

$$\begin{aligned} \Delta\mathcal{L}_{\text{LO}}^{h, hh} = & 2 \left( m_W^2 W_\mu^+ W^{-\mu} + \frac{1}{2} m_Z^2 Z_\mu Z^\mu \right) \left( c_V \frac{h}{v} + c_{VV} \frac{h^2}{v^2} \right) - \sum_\psi m_\psi \bar{\psi} \psi \left( c_\psi \frac{h}{v} + c_{\psi\psi} \frac{h^2}{v^2} \right) \\ & + c_{hhh} \frac{m_h^2}{2v^2} h^3 \quad \rightarrow (h^3 \text{ in HEFT: } \kappa_\lambda = c_{hhh}) \end{aligned}$$

Modifications of SM couplings  
(like  $\kappa$  framework)

Single and double  $h$   
couplings unrelated

# Effective Field Theories: HEFT

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**Modifications of SM couplings  
(like  $\kappa$  framework)**

**Single and double  $h$   
couplings unrelated**

$$\Delta\mathcal{L}_{\text{NLO}}^{h, hh} \supset \frac{g_s^2}{16\pi^2} \text{Tr} [G_{\mu\nu} G^{\mu\nu}] \left( c_g \frac{h}{v} + c_{gg} \frac{h^2}{v^2} \right) + \frac{e^2}{16\pi^2} F_{\mu\nu} F^{\mu\nu} \left( c_\gamma \frac{h}{v} + c_{\gamma\gamma} \frac{h^2}{v^2} \right) + \dots$$

**NLO local terms to properly  
parameterise corr. to  
SM rad. processes  
(Different than  $\kappa$  framework)**

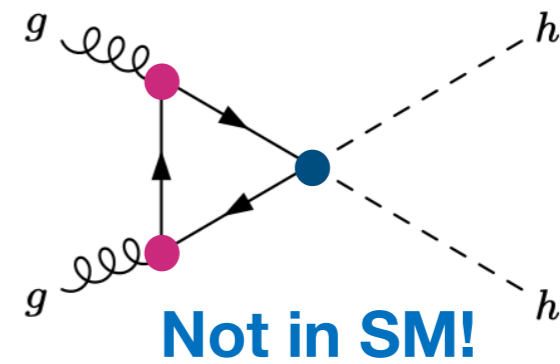
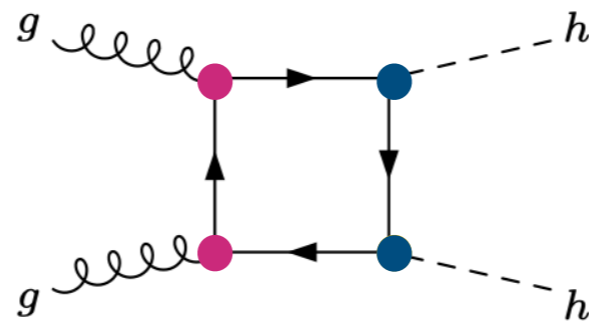
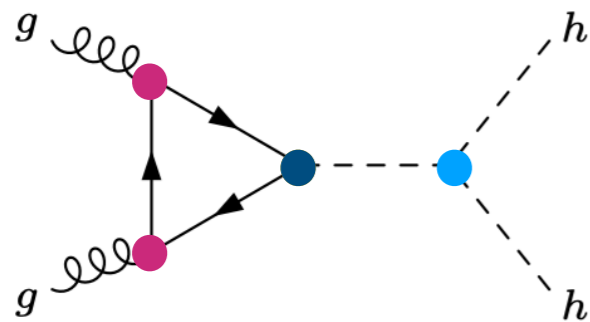
***EFT interpretation of  
new physics in  $\kappa_\lambda$  via  $hh$***



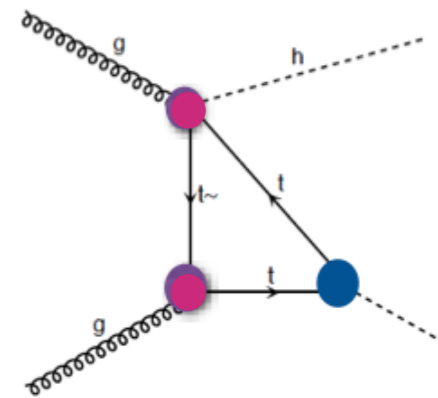
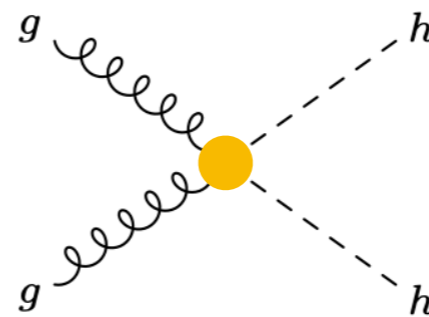
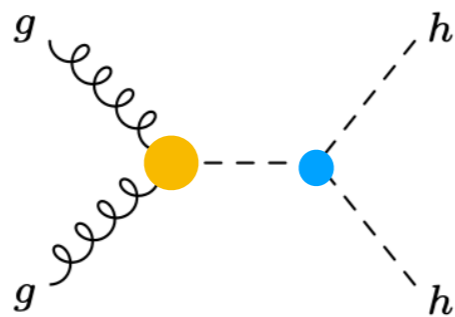
# Double Higgs in the SMEFT

- More than just modifications of SM Higgs couplings, e.g.  $gghh$

$$\Delta\mathcal{L}_{gghh}^{\text{SMEFT}} = \frac{C_{\phi\Box}}{\Lambda^2} \phi^\dagger \phi \Box \phi^\dagger \phi + \frac{C_{\phi D}}{\Lambda^2} |\phi^\dagger D_\mu \phi|^2 + \frac{C_\phi}{\Lambda^2} (\phi^\dagger \phi)^3 + \frac{C_{\phi G}}{\Lambda^2} \phi^\dagger \phi G_{\mu\nu}^A G^{A\mu\nu} + \left( \frac{C_{u\phi,33}}{\Lambda^2} \phi^\dagger \phi \bar{q}_L^3 \tilde{\phi} t_R + \frac{C_{tG}}{\Lambda^2} \bar{q}_L^3 \tilde{\phi} \sigma^{\mu\nu} T_A t_R G_{\mu\nu}^A + \text{h.c.} \right)$$



Not in SM! →

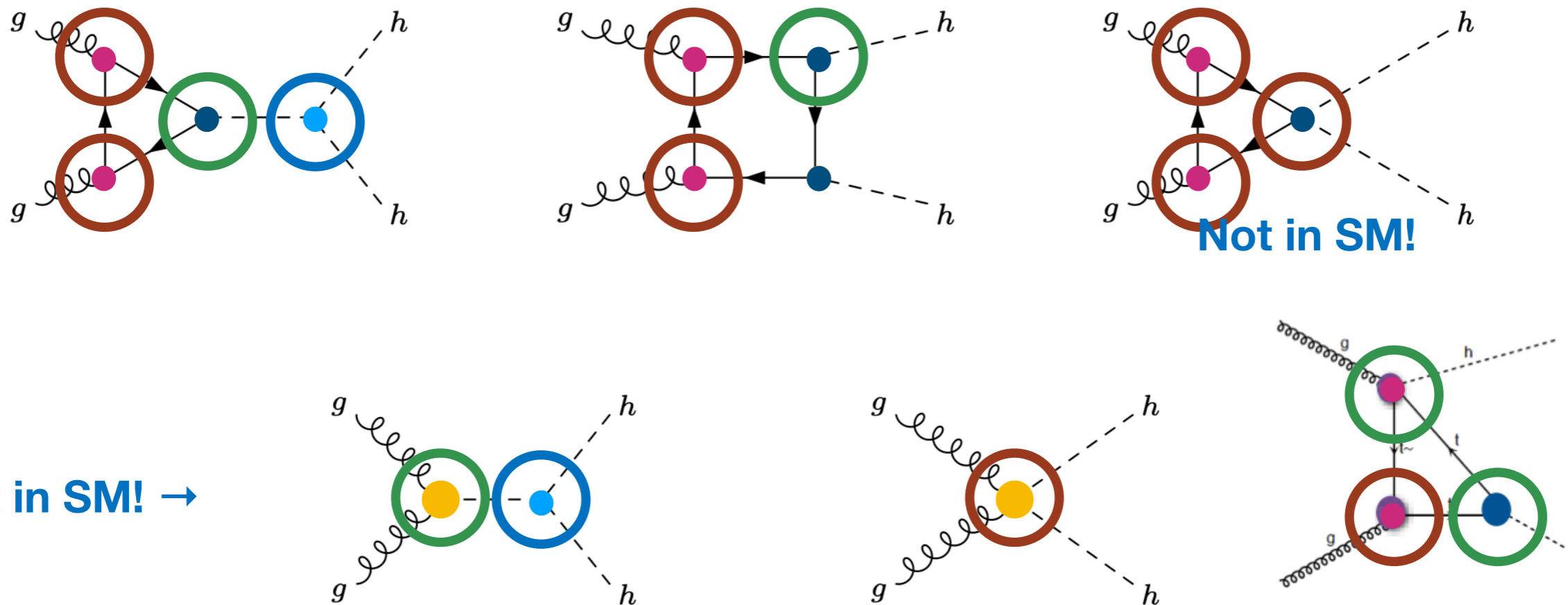


From R. Gröber's talk

# Double Higgs in the SMEFT

- More than just modifications of SM Higgs couplings, e.g.  $ggghh$

$$\Delta\mathcal{L}_{gggh}^{\text{SMEFT}} = \frac{C_{\phi\Box}}{\Lambda^2} \phi^\dagger \phi \Box \phi^\dagger \phi + \frac{C_{\phi D}}{\Lambda^2} |\phi^\dagger D_\mu \phi|^2 + \frac{C_\phi}{\Lambda^2} (\phi^\dagger \phi)^3 + \frac{C_{\phi G}}{\Lambda^2} \phi^\dagger \phi G_{\mu\nu}^A G^{A\mu\nu} + \left( \frac{C_{u\phi,33}}{\Lambda^2} \phi^\dagger \phi \bar{q}_L^3 \tilde{\phi} t_R + \frac{C_{tG}}{\Lambda^2} \bar{q}_L^3 \tilde{\phi} \sigma^{\mu\nu} T_A t_R G_{\mu\nu}^A + \text{h.c.} \right)$$



From R. Gröber's talk

Directly accessible at LO in single- $h$  processes

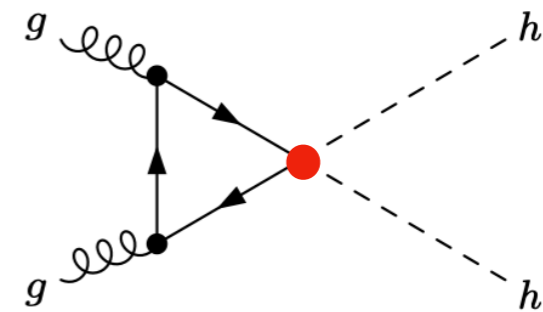
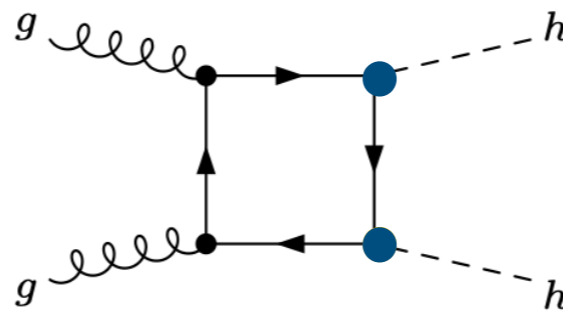
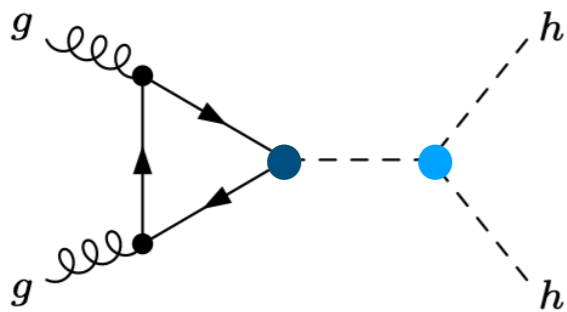
Related to the same operators  $\Rightarrow$  Directly accessible at LO in single- $h$  processes

Model-independent extraction of trilinear requires a combination of  $hh$  with  $h$   
 (A full global fit, however, requires also combination with EW and Top)

# Double Higgs in the HEFT

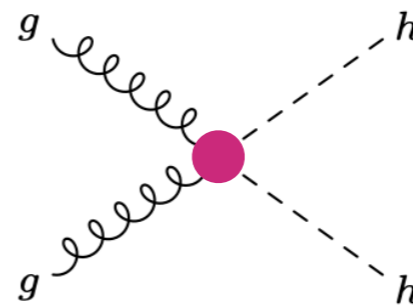
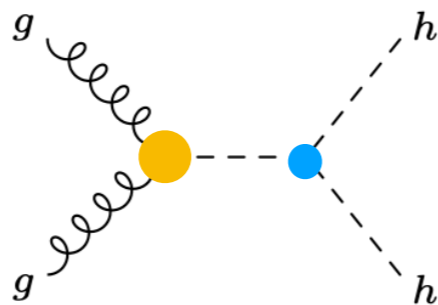
- More than just modifications of SM Higgs couplings, e.g.  $gghh$

$$\Delta\mathcal{L}_{gghh}^{\text{HEFT}} \supset \frac{g_s^2}{16\pi^2} \text{Tr} [G_{\mu\nu} G^{\mu\nu}] \left( c_g \frac{h}{v} + c_{gg} \frac{h^2}{v^2} \right) - m_t \bar{t}t \left( c_t \frac{h}{v} + c_{tt} \frac{h^2}{v^2} \right) + c_{hhh} \frac{m_h^2}{2v^2} h^3$$



Not in SM!

Not in SM! →

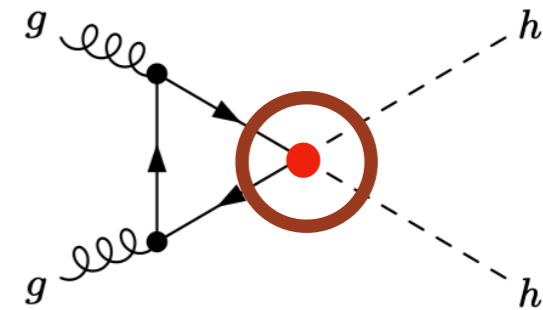
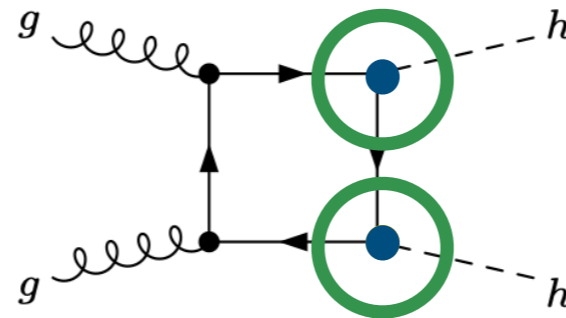
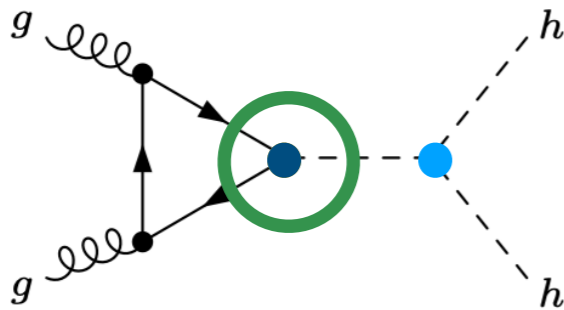


From R. Gröber's talk

# Double Higgs in the HEFT

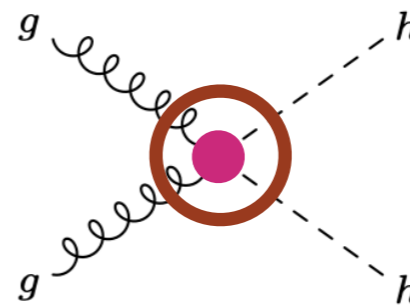
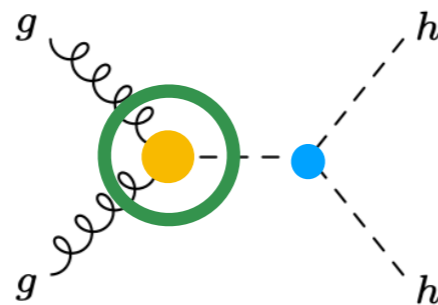
- More than just modifications of SM Higgs couplings, e.g.  $gg hh$

$$\Delta \mathcal{L}_{gg hh}^{\text{HEFT}} \supset \frac{g_s^2}{16\pi^2} \text{Tr} [G_{\mu\nu} G^{\mu\nu}] \left( c_g \frac{h}{v} + c_{gg} \frac{h^2}{v^2} \right) - m_t \bar{t} t \left( c_t \frac{h}{v} + c_{tt} \frac{h^2}{v^2} \right) + c_{hhh} \frac{m_h^2}{2v^2} h^3$$



Not in SM!

Not in SM! →



Directly accessible at LO in single- $h$  processes

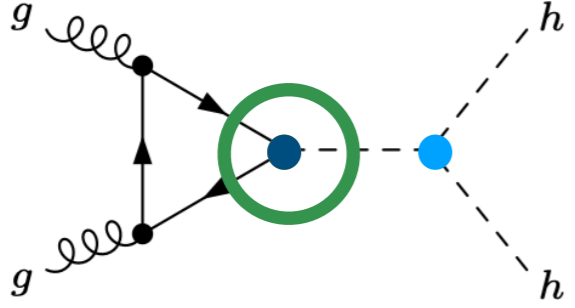
Not correlated with operators entering at LO in single  $h$ ... LO only in  $hh$ !

From R. Gröber's talk

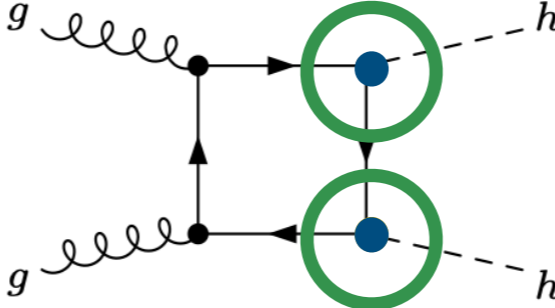
# Double Higgs in the HEFT

- More than just modifications of SM Higgs couplings, e.g.  $gghh$

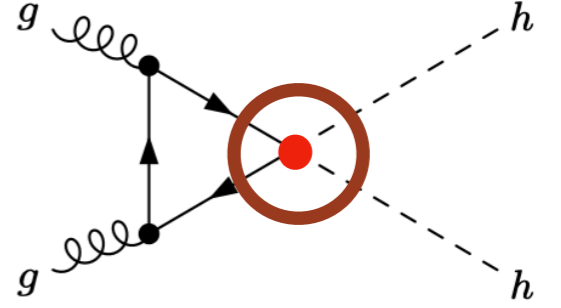
$$\Delta\mathcal{L}_{gghh}^{\text{HEFT}} \supset \frac{g_s^2}{16\pi^2} \text{Tr} [G_{\mu\nu} G^{\mu\nu}] \left( c_g \frac{h}{v} + c_{gg} \frac{h^2}{v^2} \right) - m_t \bar{t}t \left( c_t \frac{h}{v} + c_{tt} \frac{h^2}{v^2} \right) + c_{hhh} \frac{m_h^2}{2v^2} h^3$$



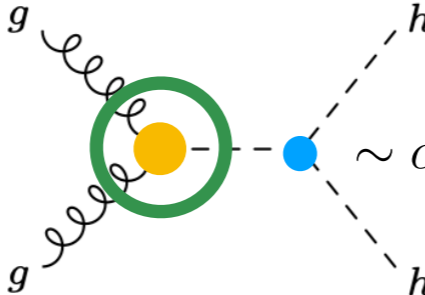
$$\sim c_t c_3 \frac{\alpha_s}{4\pi} y_t^2 \frac{m_h^2}{\hat{s}} \left( \log \frac{m_t^2}{\hat{s}} + i\pi \right)^2$$



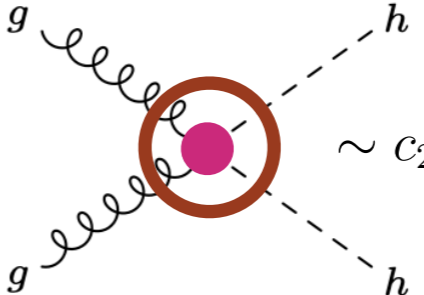
$$\sim c_t^2 \frac{\alpha_s}{4\pi} y_t^2$$



$$\sim c_{2t} \frac{\alpha_s}{4\pi} y_t^2 \left( \log \frac{m_t^2}{\hat{s}} + i\pi \right)^2$$



$$\sim c_g c_3 \frac{\alpha_s}{4\pi} \frac{m_h^2}{v^2}$$



$$\sim c_{2g} \frac{\alpha_s}{4\pi} \frac{\hat{s}}{v^2}$$

Directly accessible at LO in single- $h$  processes

Not correlated with operators entering at LO in single  $h$ ... LO only in  $hh$ !

Need to extract  $h^3$  together with the  $tth$  and  $gghh$  couplings from  $hh$ ...

⇒ Use differential information/Explore kinematics ?

Statistics may be a limiting factor here...

From R. Gröber's talk

# Double Higgs in the HEFT

- More than just modifications of SM Higgs couplings, e.g.  $gghh$

$$\Delta\mathcal{L}_{gghh}^{\text{HEFT}} \supset \frac{g_s^2}{16\pi^2} \text{Tr} [G_{\mu\nu} G^{\mu\nu}] \left( c_g \frac{h}{v} + c_{gg} \frac{h^2}{v^2} \right) - m_t \bar{t}t \left( c_t \frac{h}{v} + c_{tt} \frac{h^2}{v^2} \right) + c_{hhh} \frac{m_h^2}{2v^2} h^3$$

$$\Delta\mathcal{L}_{gghh}^{\text{SMEFT}} = \frac{C_{\phi\Box}}{\Lambda^2} \phi^\dagger \phi \Box \phi^\dagger \phi + \frac{C_{\phi D}}{\Lambda^2} |\phi^\dagger D_\mu \phi|^2 + \frac{C_\phi}{\Lambda^2} (\phi^\dagger \phi)^3 + \frac{C_{\phi G}}{\Lambda^2} \phi^\dagger \phi G_{\mu\nu}^A G^{A\mu\nu} \\ + \left( \frac{C_{u\phi,33}}{\Lambda^2} \phi^\dagger \phi \bar{q}_L^3 \tilde{\phi} t_R + \frac{C_{tG}}{\Lambda^2} \bar{q}_L^3 \tilde{\phi} \sigma^{\mu\nu} T_A t_R G_{\mu\nu}^A + \text{h.c.} \right)$$

From R.

## SMEFT $\subset$ HEFT

HEFT includes a “sibling” of **this SMEFT operator** but is NLO:

$$\frac{g_s y_t}{16\pi^2} \bar{t} \sigma^{\mu\nu} T_A t G_{\mu\nu}^A \left( c_{tg} + c_{tgh} \frac{h}{v} \right)$$

Note, however, that SMEFT operator is only expected to be generated at 1-loop by BSM, and could have also been neglected here by NDA

**ALL SMEFT effects are in HEFT**

**+ decorrelation of Higgs couplings (already at LO)**

**Even if NLO, including dipoles in HEFT analyses would facilitate projecting “shape” studies into SMEFT**

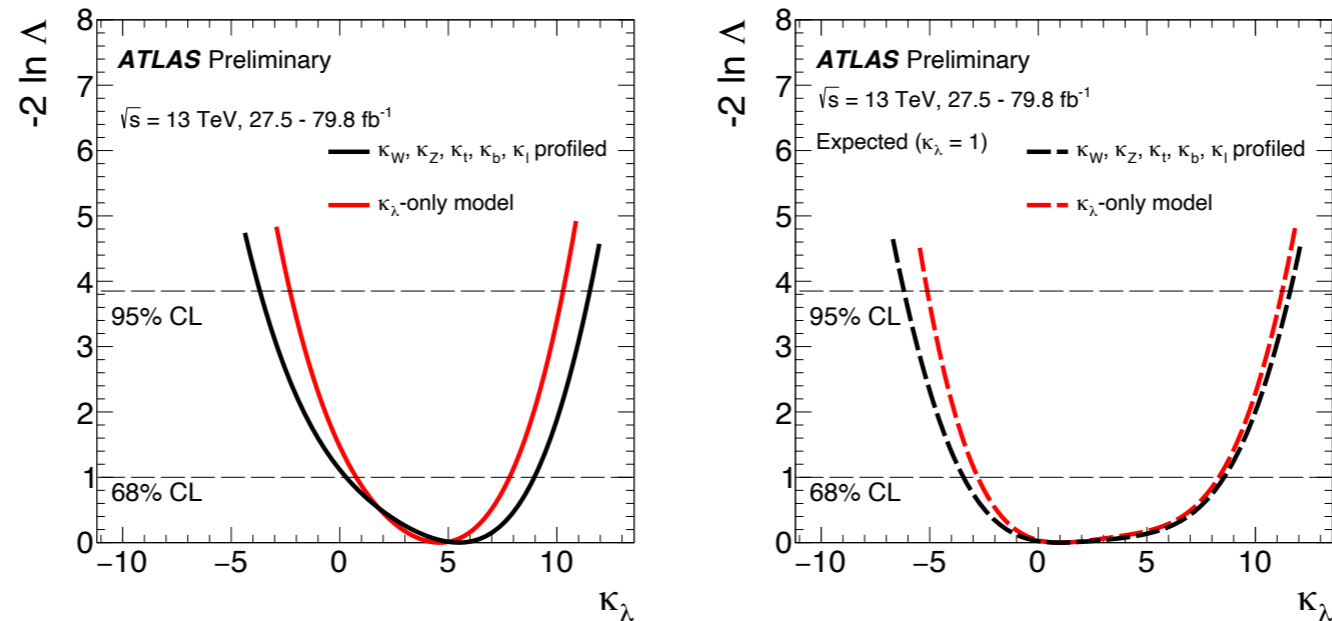
**Statistics may be a limiting factor here...**

***EFT interpretation of  
new physics in  $\kappa_\lambda$  via single  $h$  and interplay***

# $\kappa_\lambda$ from single Higgs in the SMEFT

- Some results from Wednesday's talk by S. Manzoni:

- Fit simultaneously several coupling modifiers:  $\kappa_\lambda, \kappa_W, \kappa_Z, \kappa_\ell, \kappa_b, \kappa_t$
- Test of BSM models that can modify at the same time  $\kappa_\lambda$  and other  $H$  couplings.



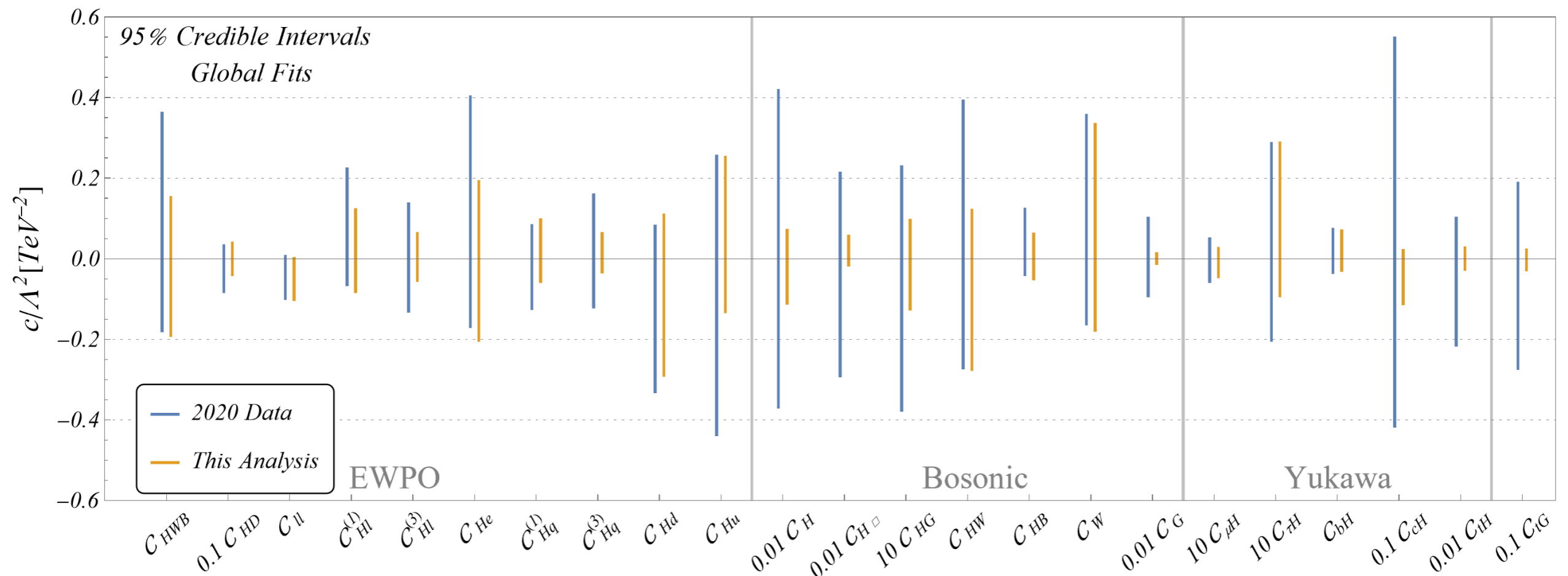
$\kappa_W$	$\kappa_Z$	$\kappa_t$	$\kappa_b$	$\kappa_{lep}$	$\kappa_\lambda$	$\kappa_\lambda$ [95% C.L.]
${}_{-1\sigma}^{+1\sigma}$	${}_{-1\sigma}^{+1\sigma}$	${}_{-1\sigma}^{+1\sigma}$	${}_{-1\sigma}^{+1\sigma}$	${}_{-1\sigma}^{+1\sigma}$	${}_{-1\sigma}^{+1\sigma}$	
1	1	1	1	1	$4.6_{-3.8}^{+3.2}$	$[-2.3, 10.3]$
$1.03_{-0.08}^{+0.08}$	$1.10_{-0.09}^{+0.09}$	$1.00_{-0.11}^{+0.12}$	$1.03_{-0.18}^{+0.20}$	$1.06_{-0.16}^{+0.16}$	$5.5_{-5.2}^{+3.5}$	$[-3.7, 11.5]$
$1.00_{-0.08}^{+0.08}$	$1.00_{-0.08}^{+0.08}$	$1.00_{-0.12}^{+0.12}$	$1.00_{-0.19}^{+0.21}$	$1.00_{-0.15}^{+0.16}$	$1.0_{-4.5}^{+7.6}$	$[-6.2, 11.6]$

- A step towards results that are interpretable in larger class of BSM models...
- Even more general studies available in the literature (from the theory side)



# $\kappa_\lambda$ from single Higgs in the SMEFT

- Several theory papers have studied the extraction of  $\kappa_\lambda$  from “semi-global” fits in the SMEFT including the different couplings that enter at LO and combining  $h+hh$ :

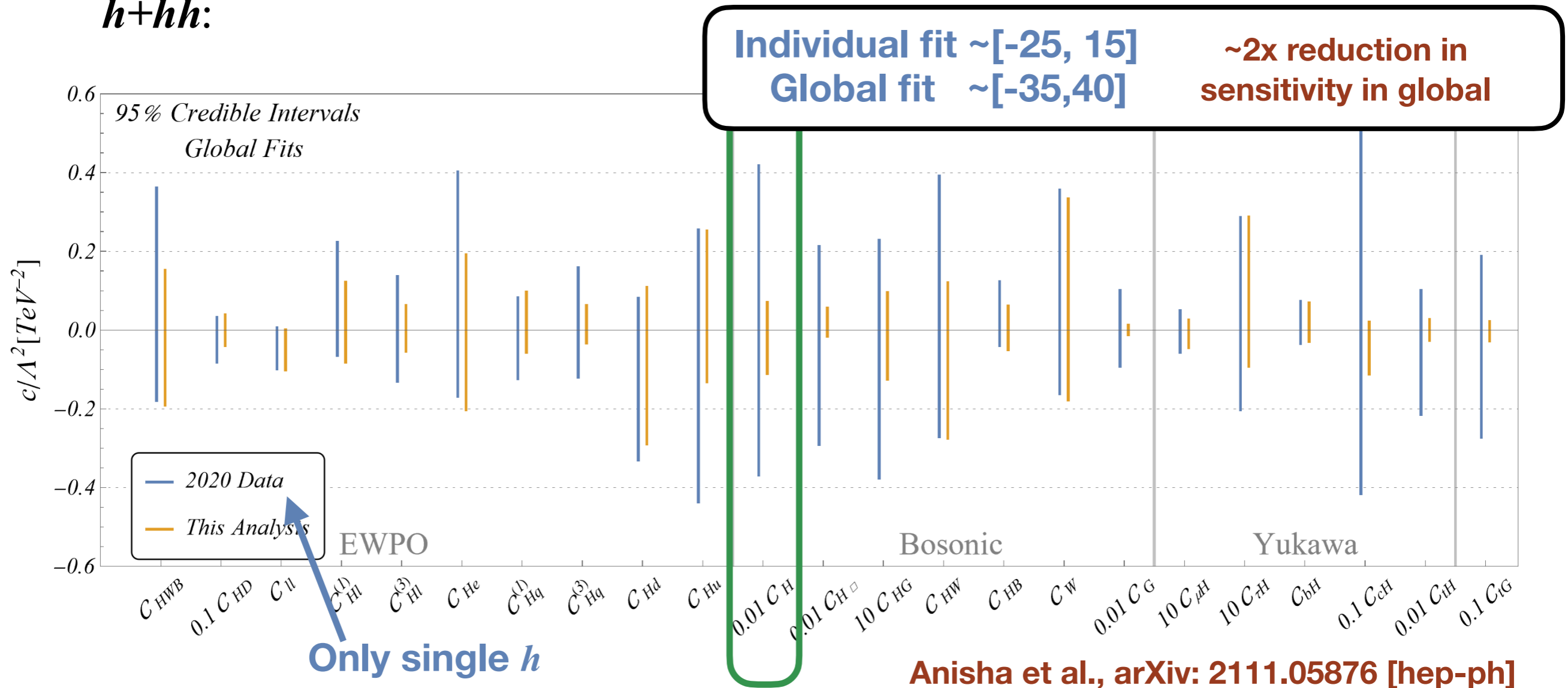


Anisha et al., arXiv: 2111.05876 [hep-ph]

See also S. Di Vita et al., JHEP 09 (2017) 069 [arXiv: 1704.01953 [hep-ph]]

# $\kappa_\lambda$ from single Higgs in the SMEFT

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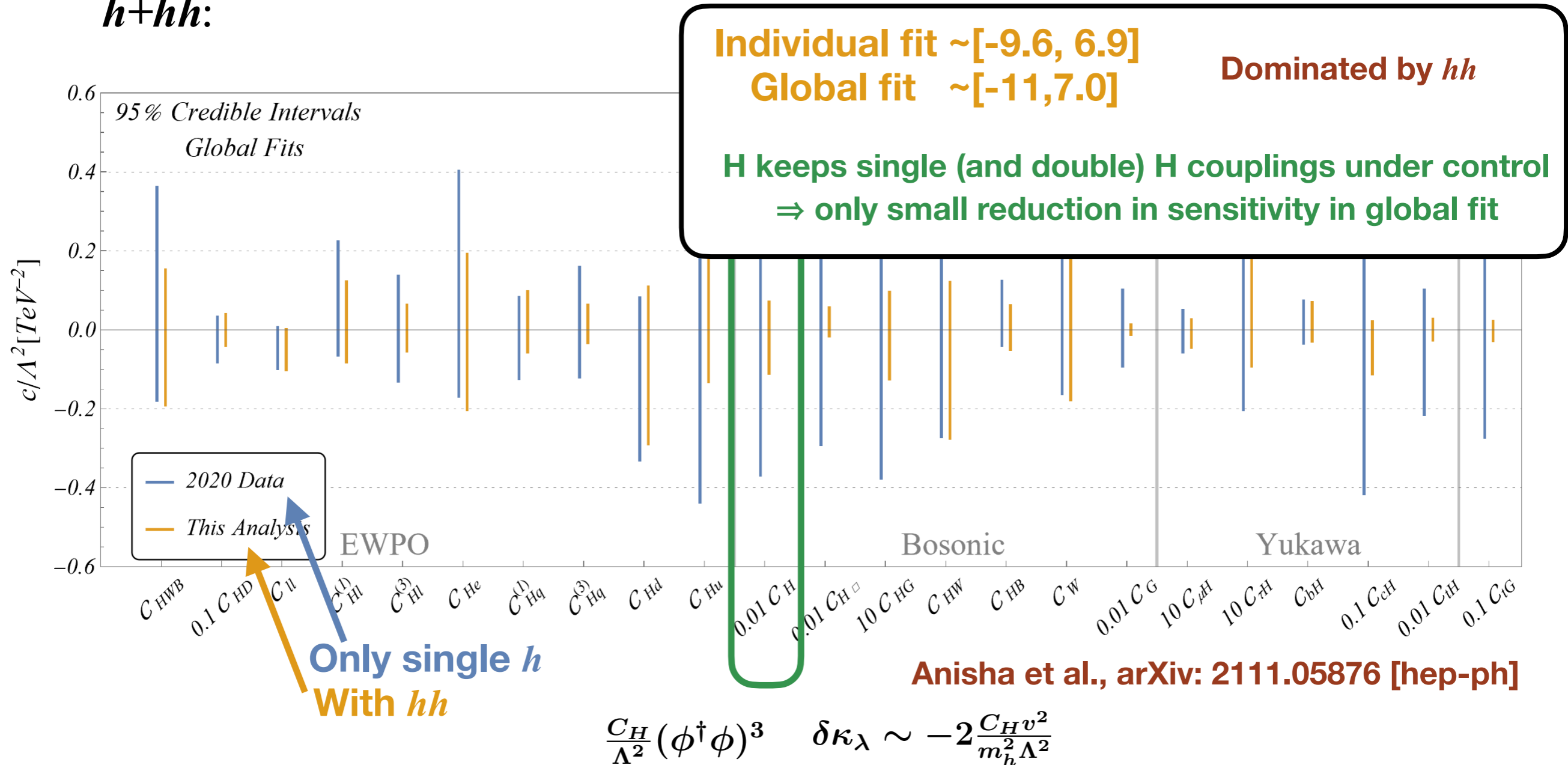


$$\frac{C_H}{\Lambda^2} (\phi^\dagger \phi)^3 \quad \delta\kappa_\lambda \sim -2 \frac{C_H v^2}{m_h^2 \Lambda^2}$$

See also S. Di Vita et al., JHEP 09 (2017) 069 [arXiv: 1704.01953 [hep-ph]]

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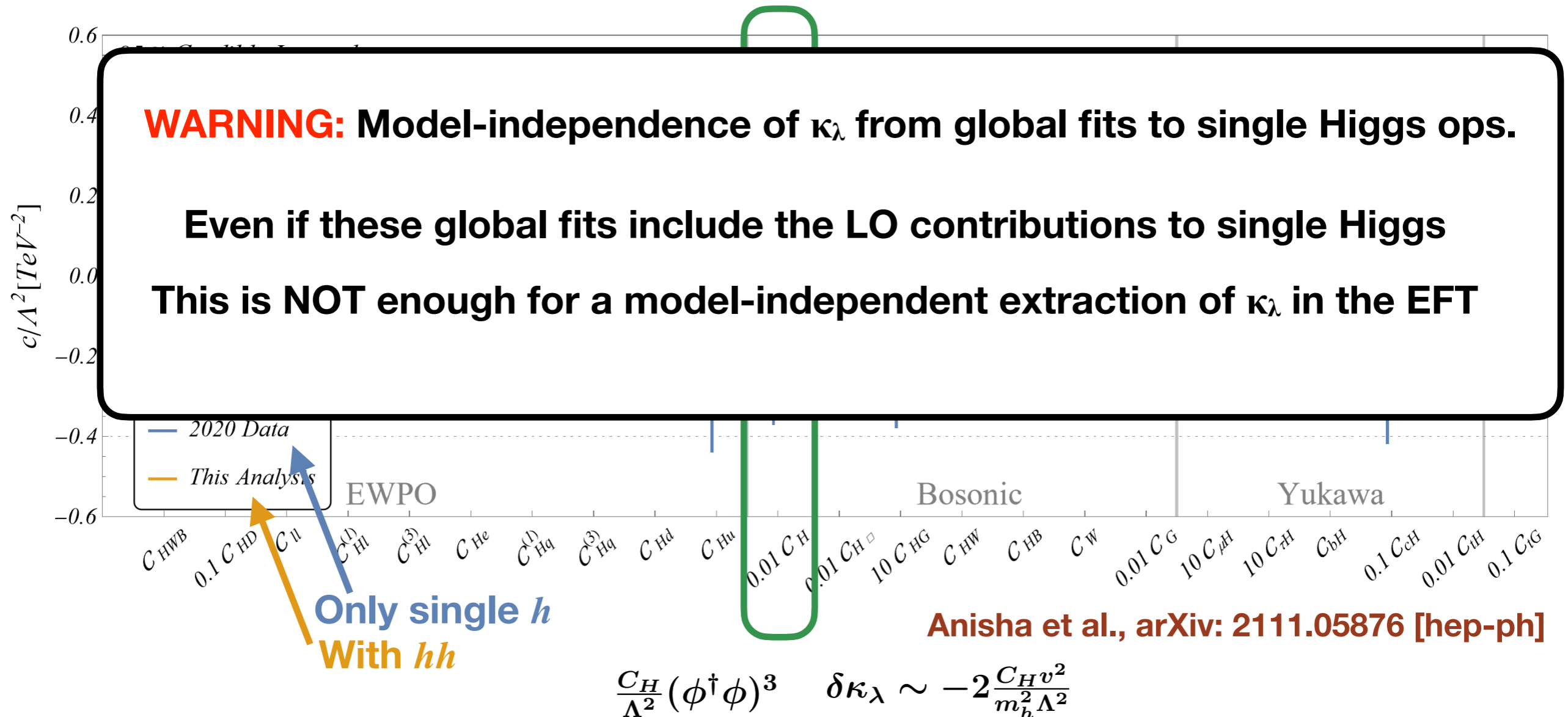
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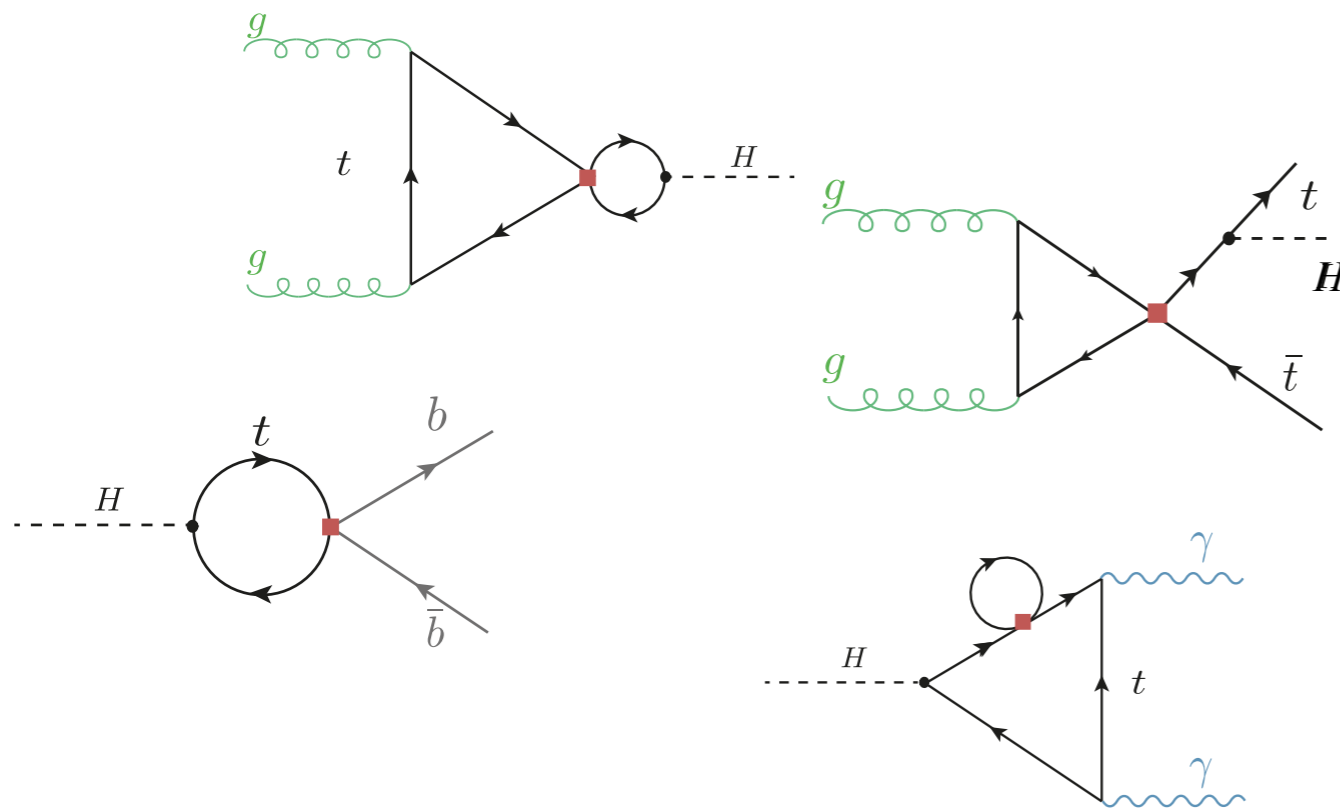


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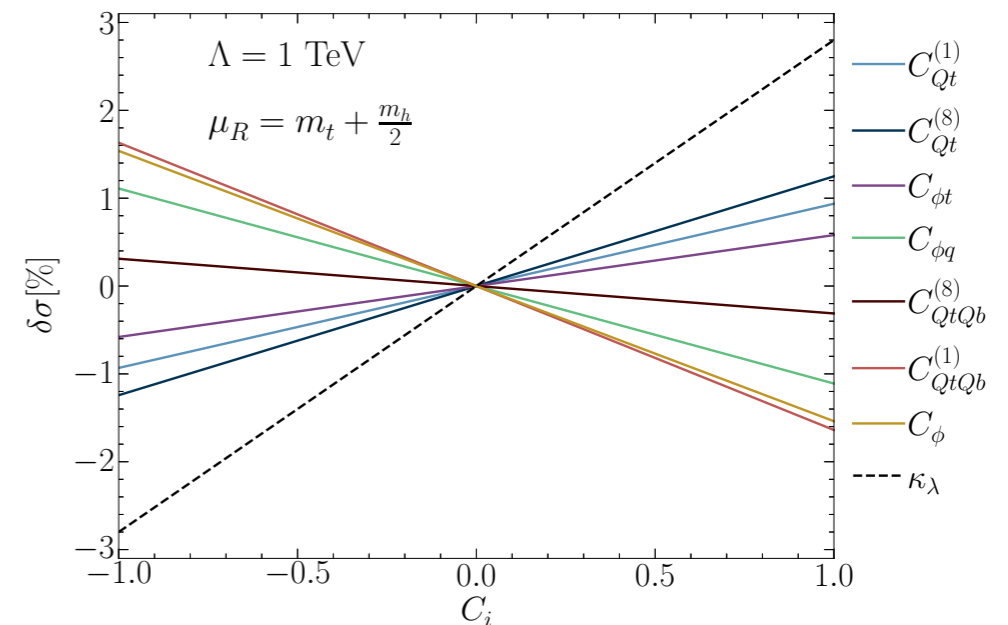
- The extraction of the **Higgs Trilinear at the LHC** can be “contaminated” by other poorly constrained SMEFT operators not entering at LO...

**e.g. 4-Top operators enter in  $ggF$ ,  $tth$ ,  $h \rightarrow bb$  and  $h \rightarrow \gamma\gamma$  @ NLO**  
**(same order in perturbation theory as Higgs trilinear)**  
**and experimental bounds are weak**



$$\frac{C_{Qt}^{(1)}}{\Lambda^2} (\bar{Q}_L \gamma_\mu Q_L) (\bar{t}_R \gamma^\mu t_R) + \frac{C_{Qt}^{(8)}}{\Lambda^2} (\bar{Q}_L T^A \gamma_\mu Q_L) (\bar{t}_R T^A \gamma^\mu t_R)$$

$$\frac{C_{QtQb}^{(1)}}{\Lambda^2} (\bar{Q}_L t_R) i\sigma_2 (\bar{Q}_L^T b_R) + \frac{C_{QtQb}^{(8)}}{\Lambda^2} (\bar{Q}_L T^A t_R) i\sigma_2 (\bar{Q}_L^T T^A b_R)$$



**$ttH$ :** A simple estimation of the Leading Log contributions via the RGE shows the contribution of 4-heavy quark operators can be significant

L. Alasfar, J.B., R. Gröber, In preparation

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	$h \rightarrow gg$	$m_h$	$5.92 \cdot 10^{-3}$	$2.69 \cdot 10^{-3}$
	$h \rightarrow \gamma\gamma$	$m_h$	$-1.77 \cdot 10^{-3}$	$-0.80 \cdot 10^{-3}$
	$t\bar{t}h$ 13 TeV $t\bar{t}h$ 14 TeV	$m_t + \frac{m_h}{2}$	$-4.20 \cdot 10^{-1}$ $-4.29 \cdot 10^{-1}$	$2.24 \cdot 10^{-3}$ $2.24 \cdot 10^{-3}$
$\mathcal{O}_{Qt}^{(8)}$	ggF	$\frac{m_h}{2}$	$1.29 \cdot 10^{-2}$	$3.61 \cdot 10^{-3}$
	$h \rightarrow gg$	$m_h$	$7.91 \cdot 10^{-3}$	$3.59 \cdot 10^{-3}$
	$h \rightarrow \gamma\gamma$	$m_h$	$-2.36 \cdot 10^{-3}$	$-1.07 \cdot 10^{-3}$
	$t\bar{t}h$ 13 TeV $t\bar{t}h$ 14 TeV	$m_t + \frac{m_h}{2}$	$6.53 \cdot 10^{-2}$ $7.30 \cdot 10^{-2}$	$4.41 \cdot 10^{-3}$ $4.41 \cdot 10^{-3}$
$\mathcal{O}_{QtQb}^{(1)}$	ggF	$\frac{m_h}{2}$	$2.75 \cdot 10^{-2}$	$8.91 \cdot 10^{-3}$
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	$h \rightarrow b\bar{b}$	$m_h$	$-6.94 \cdot 10^{-1}$	$-1.53 \cdot 10^{-1}$
$\mathcal{O}_{QtQb}^{(8)}$	$t\bar{t}h$ 13 TeV $t\bar{t}h$ 14 TeV	$m_t + \frac{m_h}{2}$	$-3.04 \cdot 10^{-3}$ $-2.2 \cdot 10^{-3}$	$0.88 \cdot 10^{-3}$ $0.88 \cdot 10^{-3}$
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	$t\bar{t}h$ 13 TeV $t\bar{t}h$ 14 TeV	$m_t + \frac{m_h}{2}$	$1.89 \cdot 10^{-3}$ $2.31 \cdot 10^{-3}$	$1.12 \cdot 10^{-3}$ $1.12 \cdot 10^{-3}$
	$t\bar{t}h$ 13 TeV $t\bar{t}h$ 14 TeV	$m_t + \frac{m_h}{2}$	$0.64 \cdot 10^{-3}$ $0.43 \cdot 10^{-3}$	$0.31 \cdot 10^{-3}$ $0.31 \cdot 10^{-3}$
$\mathcal{O}_{tt}$	$t\bar{t}h$ 13 TeV $t\bar{t}h$ 14 TeV	$m_t + \frac{m_h}{2}$	$7.50 \cdot 10^{-3}$ $6.44 \cdot 10^{-3}$	$3.64 \cdot 10^{-3}$ $3.64 \cdot 10^{-3}$

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Degrassi et al. '16

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**Sizable effects in ggF (dominant at LHC)...**

**... and  $t\bar{t}h$  (strongest dependence on  $C_\phi$ )...**

L. Alasfar, J.B., R. Gröber, In preparation



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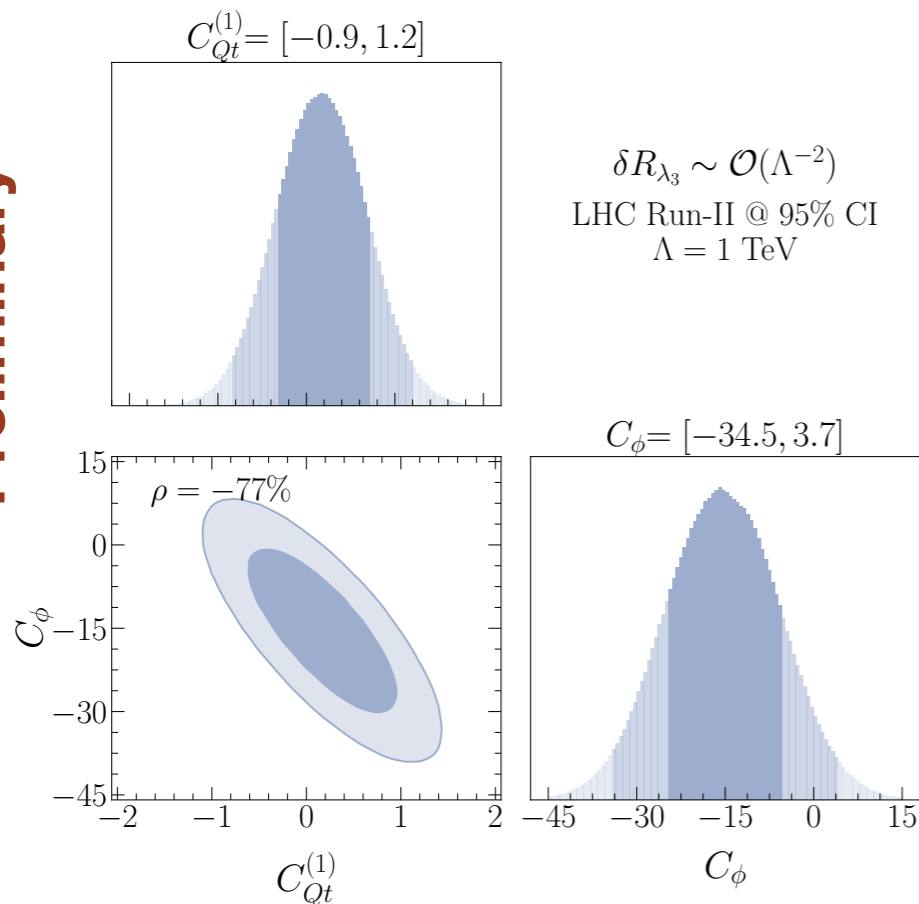
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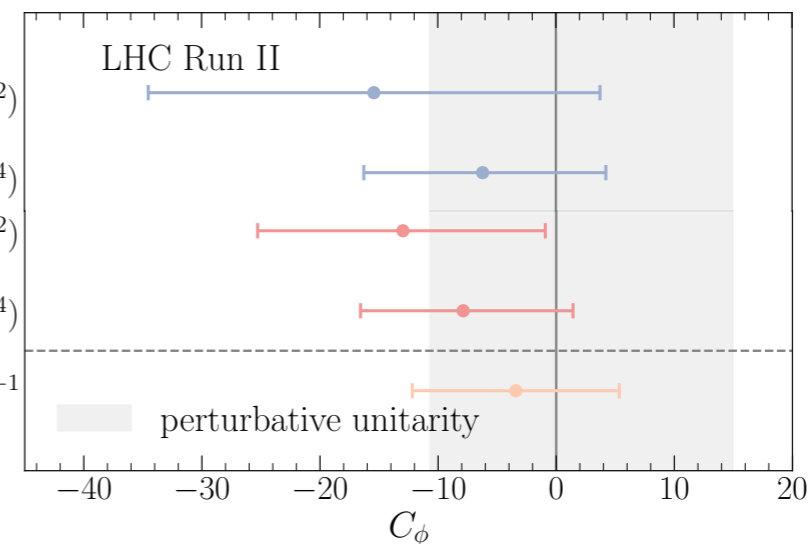
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Preliminary



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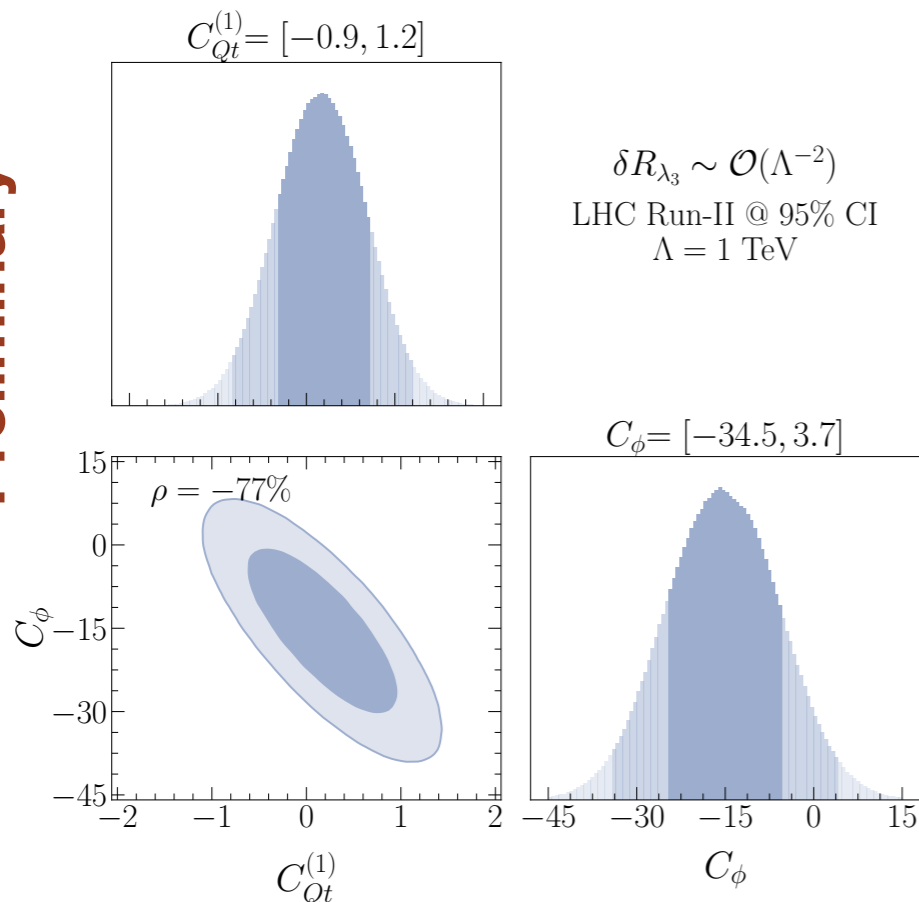
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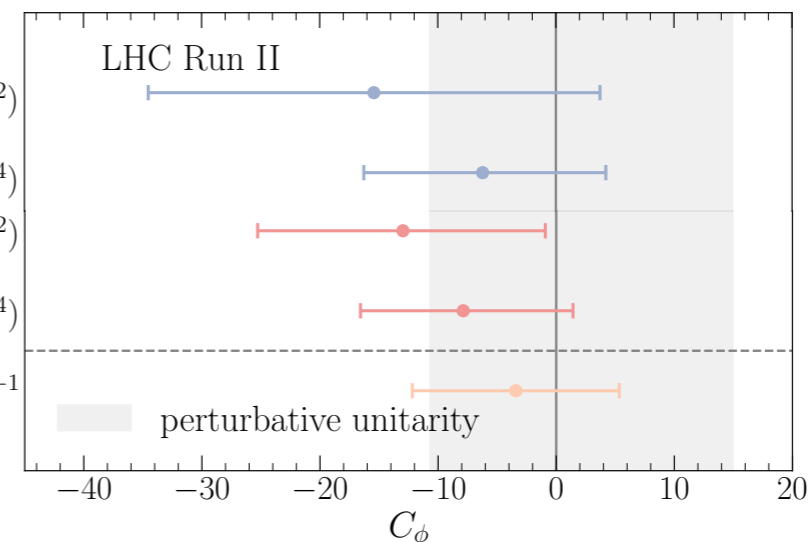
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**Sizable NLO effects from 4-top!**

**⇒ Non-negligible correlation**

**⇒ Poor bounds on 4-top operators**

**complicates extraction of the Higgs trilinear from single-Higgs at LHC**

L. Alasfar, J.B., R. Gröber, In preparation

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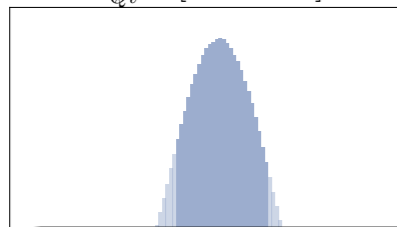
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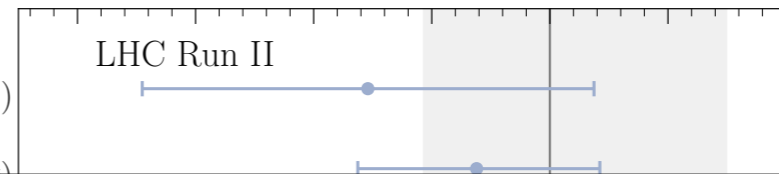
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LHC Run-II @ 95% CI  
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**Model-independent extraction of  $h^3$  in SMEFT requires including, not only operators entering at LO, but also those contributing at NLO and that are poorly constrained**

Preliminary

$C_\phi$

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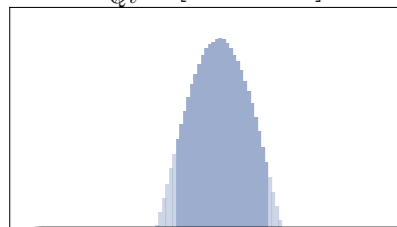
**We computed the full NLO effects to LHC Higgs processes coming from 4-heavy-quark operators and studied impact in the extraction of  $h^3$**

**Example: fit to LHC run-2 data of**

$$\frac{C_{Qt}^{(1)}}{\Lambda^2} (\bar{Q}_L \gamma_\mu Q_L) (\bar{t}_R \gamma^\mu t_R) \quad \& \quad \frac{C_\phi}{\Lambda^2} (\phi^\dagger \phi)^3$$

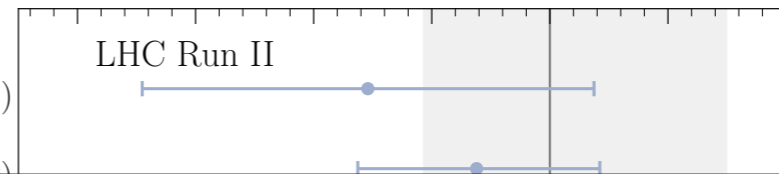
$$\delta\kappa_\lambda = -2 \frac{C_\phi v^4}{m_h^2 \Lambda^2}$$

$$C_{Qt}^{(1)} = [-0.9, 1.2]$$



$\delta R_{\lambda_3} \sim \mathcal{O}(\Lambda^{-2})$   
LHC Run-II @ 95% CI  
 $\Lambda = 1 \text{ TeV}$

$C_{Qt}^{(1)}, \delta R_{\lambda_3} \sim \mathcal{O}(\Lambda^{-2})$



$\langle C_\phi \rangle$	95% CI
-15.4	[-34.5, 3.7]
6.2	[16.2, 4.2]

**Model-independent extraction of  $h^3$  in SMEFT requires including, not only operators entering at LO, but also those contributing at NLO and that are poorly constrained**

**The same applies to HEFT  
(in fact, even worse there:  $hhXX$  enter in e.g. Higgs WFR...  
...which only enter at LO in  $hh...$ )**

**complicates extraction of the Higgs trilinear from single-Higgs at LHC**

L. Alasfar, J.B., R. Gröber, In preparation

Preliminary

$C_\phi$

# *Conclusions*

# Conclusions

- Exclusive  $\kappa_\lambda$  studies: simple but restricted use
  - ✓ ... can learn more (model-independence) by considering more “global” BSM deformations of the processes...
  - ✓ ... plus one can always go from the global case to the exclusive one whenever it applies
  - ✓ Under which circumstances it can be considered a good approximation?
- Global model-independent studies of BSM deformations on  $h$  and  $hh$  processes
  - ⇒ Effective Field Theories
  - ✓ Allow a proper combination of  $h$  and  $hh$  (and other processes)
  - ✓ HEFT or SMEFT?
    - ▶ SMEFT  $\subset$  HEFT so a fully global HEFT analysis (matching the different power counting) could always be projected into the SMEFT...
    - ▶ ...but HEFT seems to have too much freedom for such a study with LHC data... SMEFT correlations between processes facilitates things considerably...

# Conclusions

- Interplay of LHC  $h$  and  $hh$  processes:
  - ✓ Single  $h$  (from a global fit: H/EW/Top) needed to constrain interactions entering in  $hh$  production
    - ▶ SMEFT: Enough to single out modifications of  $h^3$  as the only “free” d.o.f. to be determined from  $hh \Rightarrow$  exclusive  $\kappa_\lambda$  approx. seems OK for  $hh$  (given current precision)
    - ▶ HEFT: Not so simple...  $hh$ -SM interactions are also free d.o.f. ... and only enter (at LO) in  $hh...$   $\Rightarrow$  Use kinematics?
  - ✓ Single Higgs determination of  $h^3$  can be useful as a consistency check with  $hh$  results:
    - ▶ But  $hh$  typically outperforms this determination
    - ▶ Careful with “model-independence”: being a NLO effect in single Higgs, one needs to make sure all EFT d.o.f. entering at NLO and that are not properly constrained are included