



# FPF constraints on Effective Field Theory

## 2nd Forward Physics Facility Meeting

Zahra Tabrizi

Virginia Tech

27-28 May 2021



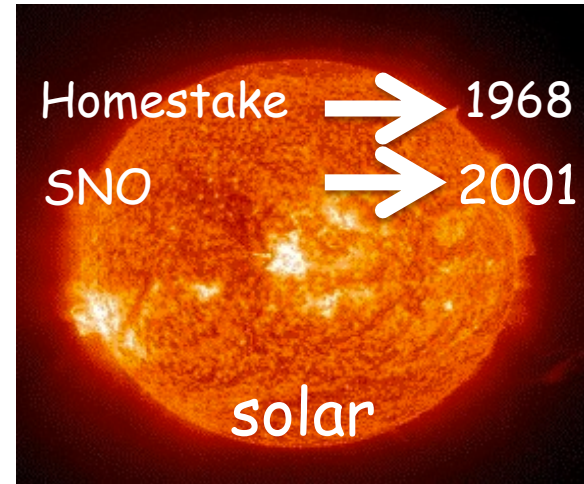
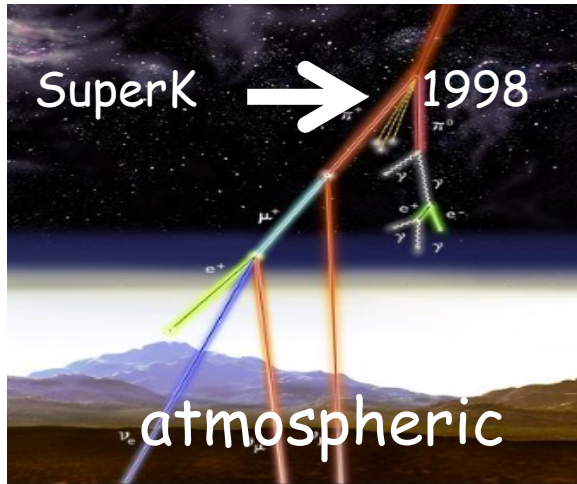
**“EFT at FASER $\nu$ ”**,

A. Falkowski, M. Gonzalez-Alonso, J. Kopp, Y. Soreq, Z. Tabrizi,

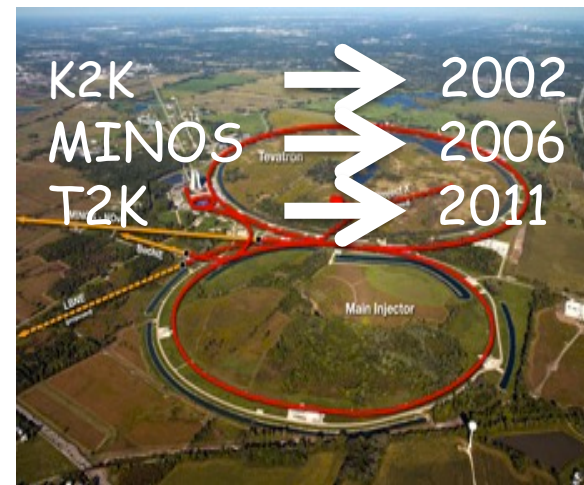
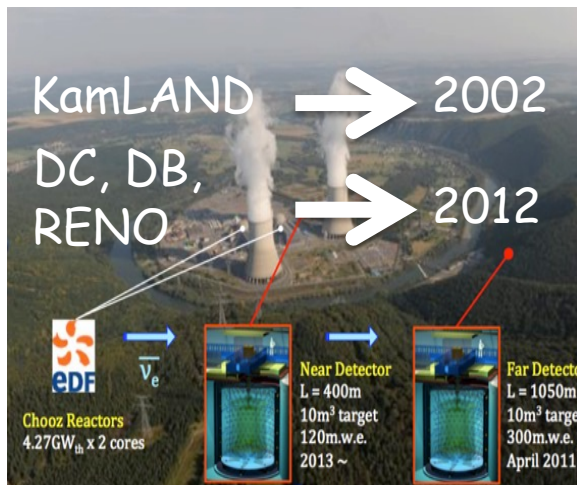
[[arXiv: 2104.15136](https://arxiv.org/abs/2104.15136) [hep-ph]]

# Neutrinos are massless in the SM!

However in nature.....

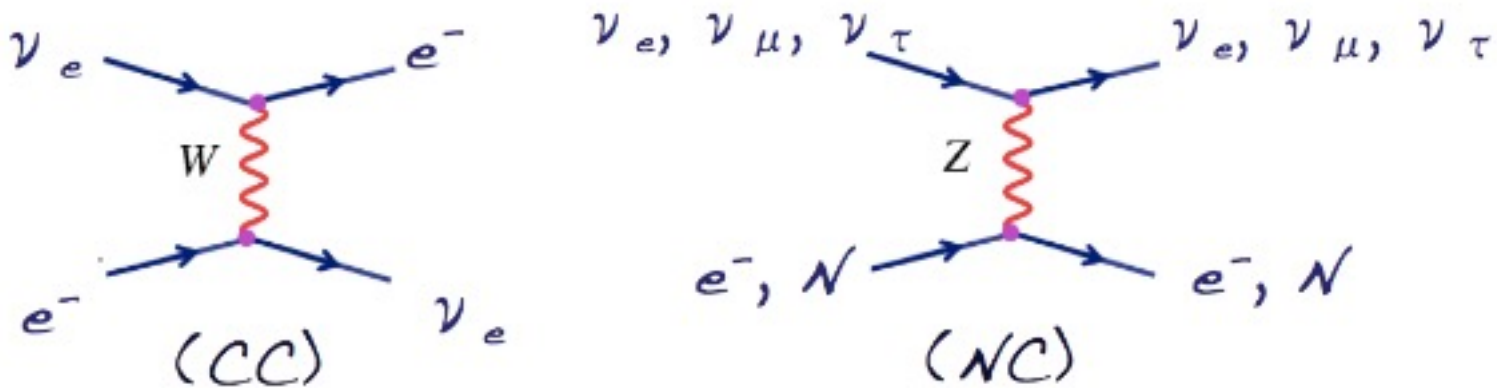


Neutrino oscillation needs masses and mixing!



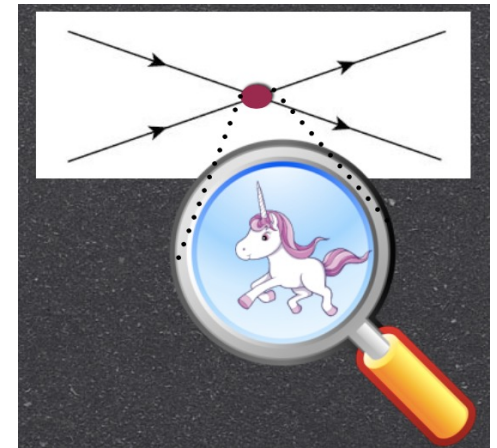
Oscillation experiments are sensitive not only to neutrino masses and mixing, but also to how neutrinos interact with matter.

- Coherent CC and NC forward scattering of neutrinos



New effective 4-fermion interactions between leptons and quarks may give observable effects in neutrino production, propagation, and detection.

How to use EFT language to “systematically” explore new physics beyond the neutrino masses and mixing in neutrino experiments?

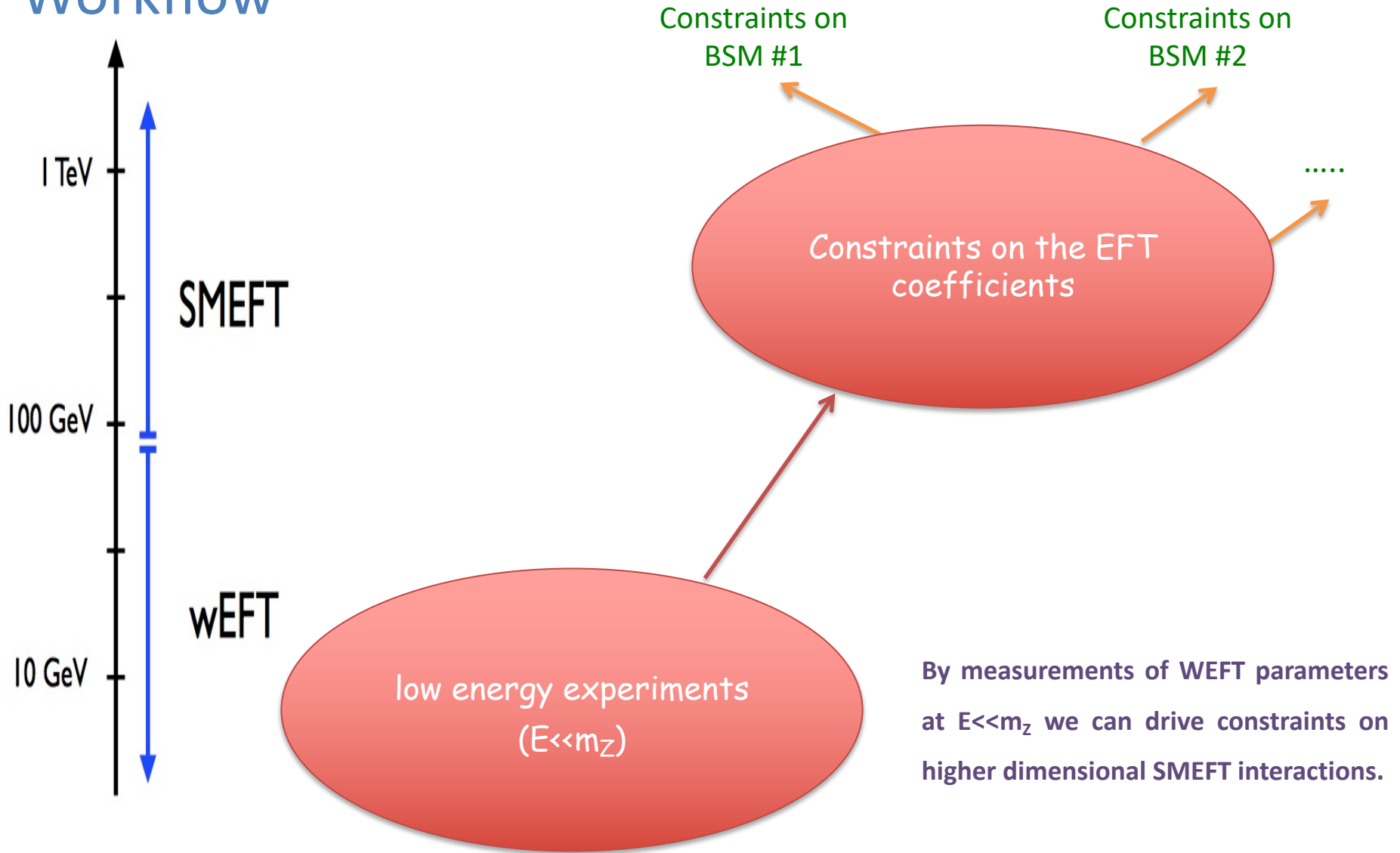


# Why EFT?

- Wealth of low-energy observables probing different aspects of particle interactions are described within one consistent framework.
- Constraints from different observables can be meaningfully compared.
- Results obtained in the language of EFT can be translated into constraints on particular new physics models.

**The point is that one can probe very heavy particles, often beyond the reach of present colliders, by precisely measuring low-energy observables.**

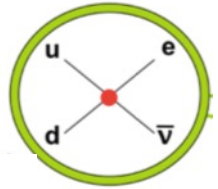
# Workflow



# Approach:

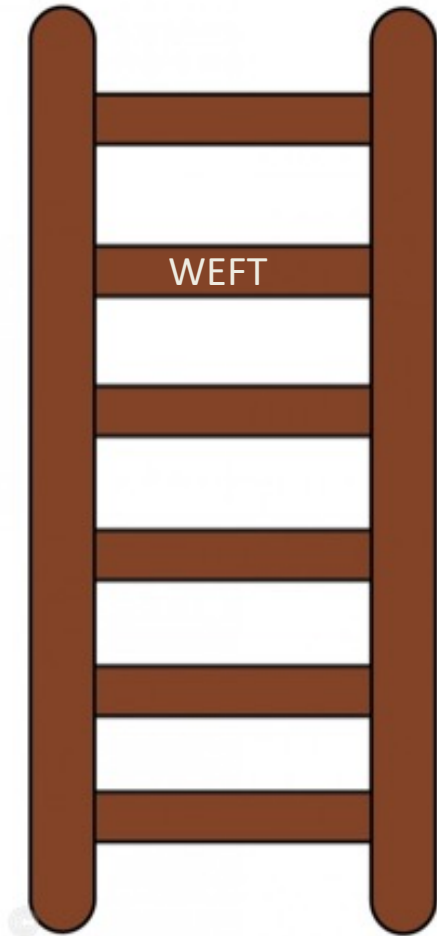
$$E \ll m_Z$$

- In particular, considering the CC interactions of neutrinos.
- At this scale heavy particles such as W and Z bosons, Higgs and top can be integrated out from the SMEFT, leading to Weak EFT (WEFT).



$$\begin{aligned} \mathcal{L}_{\text{WEFT}} \supset & -\frac{2V_{ud}}{v^2} \left\{ [\mathbf{1} + \epsilon_L]_{\alpha\beta} (\bar{u}\gamma^\mu P_L d)(\bar{\ell}_\alpha \gamma_\mu P_L \nu_\beta) \right. \\ & + \epsilon_R]_{\alpha\beta} (\bar{u}\gamma^\mu P_R d)(\bar{\ell}_\alpha \gamma_\mu P_L \nu_\beta) \\ & + \frac{1}{2} [\epsilon_S]_{\alpha\beta} (\bar{u}d)(\bar{\ell}_\alpha P_L \nu_\beta) - \frac{1}{2} [\epsilon_P]_{\alpha\beta} (\bar{u}\gamma_5 d)(\bar{\ell}_\alpha P_L \nu_\beta) \\ & \left. + \frac{1}{4} [\hat{\epsilon}_T]_{\alpha\beta} (\bar{u}\sigma^{\mu\nu} P_L d)(\bar{\ell}_\alpha \sigma_{\mu\nu} P_L \nu_\beta) + \text{h.c.} \right\} \end{aligned}$$

- Apart from the SM-like V-A interactions ( $1+\epsilon_L$ ), right-handed ( $\epsilon_R$ ), scalar ( $\epsilon_S$ ), pseudoscalar ( $\epsilon_P$ ), and tensor ( $\epsilon_T$ ) interactions are allowed.



# EFT at Oscillation Experiments:

A. Falkowski, M. González-Alonso, ZT  
arXiv: 1910.02971, JHEP (2020)...

$$R_{\alpha\beta} = \Phi_{\alpha}^{\text{SM}} \sigma_{\beta}^{\text{SM}} \sum_{k,l} e^{-i \frac{L \Delta m_{kl}^2}{2E_{\nu}}}$$

$$\times [U_{\alpha k}^* U_{\alpha l} + p_{XL} (\epsilon_X U)_{\alpha k}^* U_{\alpha l} + p_{XL}^* U_{\alpha k}^* (\epsilon_X U)_{\alpha l} + p_{XY} (\epsilon_X U)_{\alpha k}^* (\epsilon_Y U)_{\alpha l}]$$

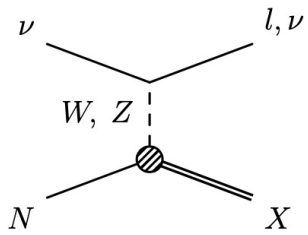
$$\times [U_{\beta k} U_{\beta l}^* + d_{XL} (\epsilon_X U)_{\beta k} U_{\beta l}^* + d_{XL}^* U_{\beta k} (\epsilon_X U)_{\beta l}^* + d_{XY} (\epsilon_X U)_{\beta k} (\epsilon_Y U)_{\beta l}^*]$$

Production and detection coefficients, depend on amplitudes

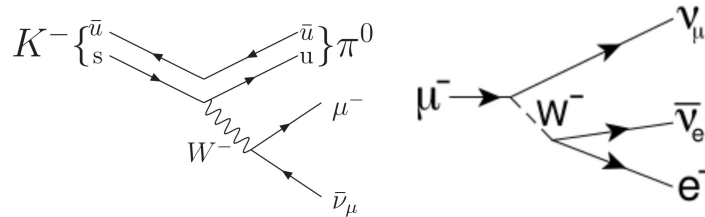
$$U_{\text{PMNS}} \parallel \begin{array}{c} \nu_e \\ \nu_{\mu} \\ \nu_{\tau} \end{array} \begin{bmatrix} \blacksquare & \blacksquare & \blacksquare \\ \blacksquare & \blacksquare & \blacksquare \\ \blacksquare & \blacksquare & \blacksquare \end{bmatrix} \begin{array}{c} \nu_1 \\ \nu_2 \\ \nu_3 \end{array}$$

One needs to calculate these coefficients for different production and detection processes.

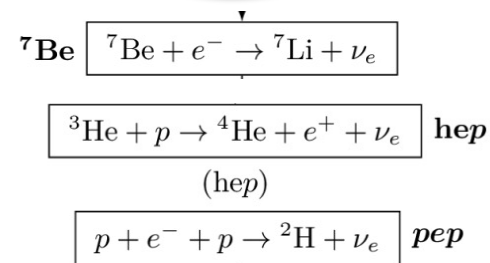
DIS: FASERv



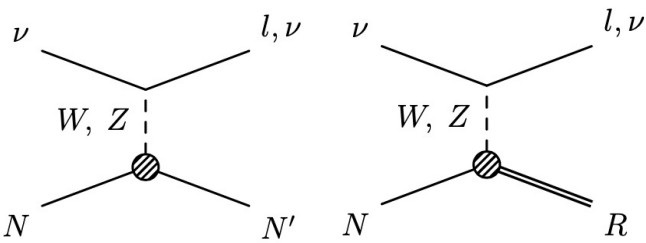
Kaon/Muon decay:  
ISODAR, KDAR



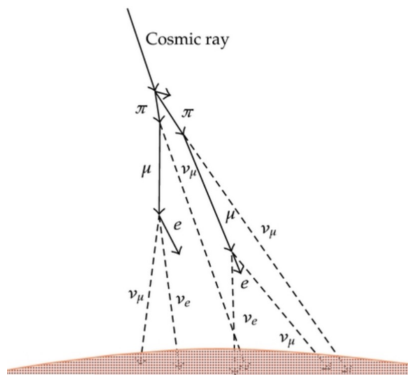
Solar neutrinos:  
Borexino



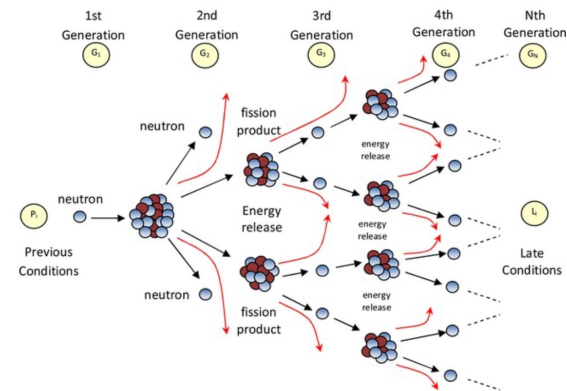
QE,  
Resonances:  
MINOS, NOvA,  
DUNE



Atmospheric  
Neutrinos:  
IceCube



Beta decay and  
IBD: Reactor  
Experiments



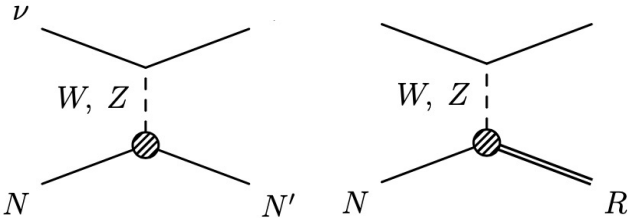


DIS: FASERv

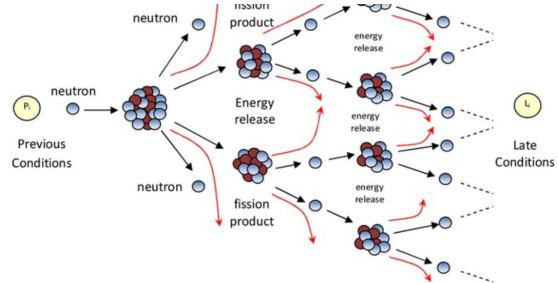
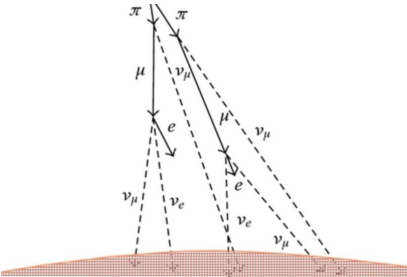
Kaon/Muon decay:

Solar neutrinos:

Well...



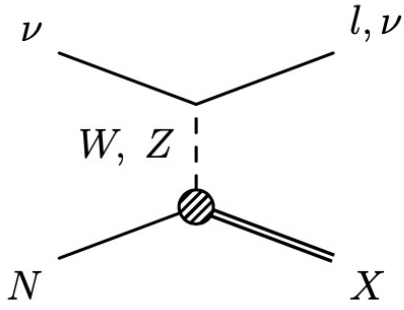
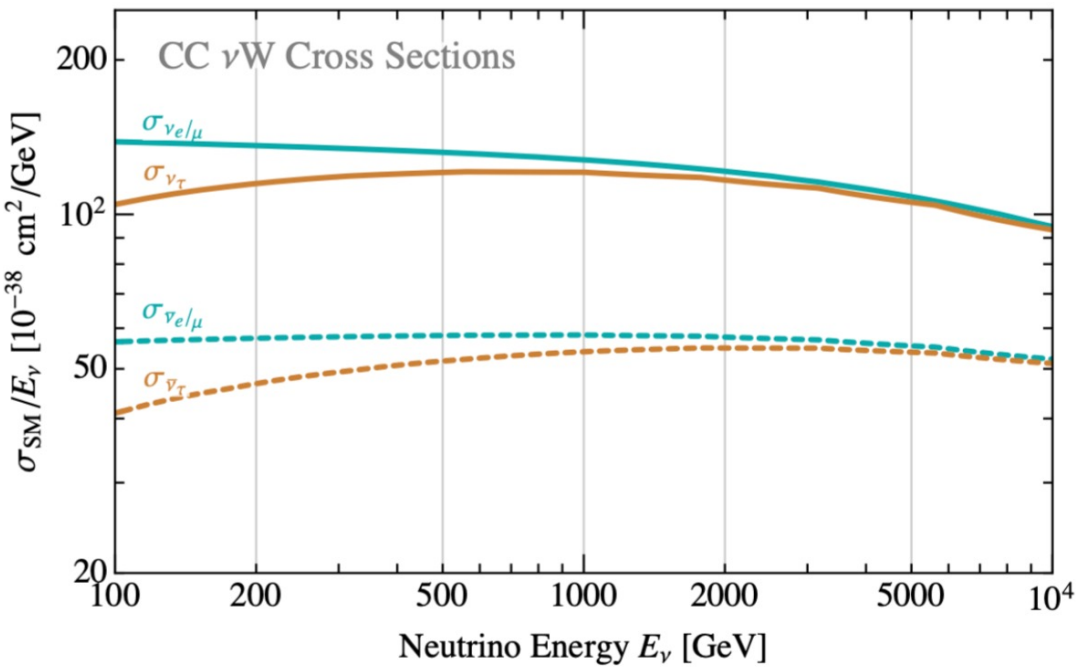
5/28/21



# EFT at FASERv

A. Falkowski, M. González-Alonso, J. Kopp, Y. Soreq, ZT  
arXiv: 2104.15136

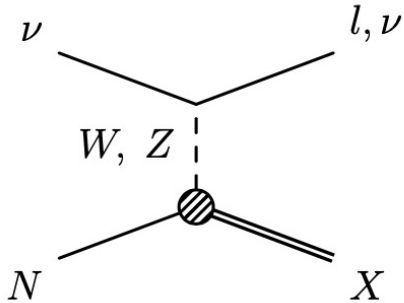
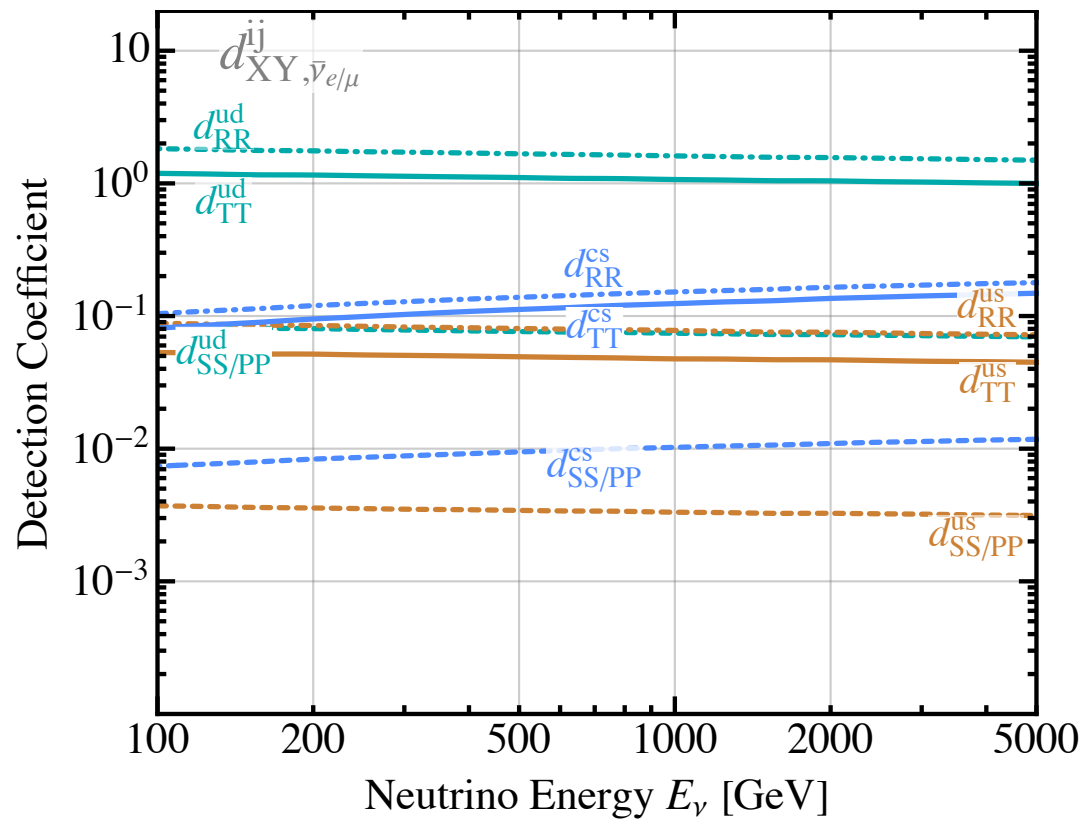
## Why FASERv?



DIS detection, easy to include NP  
(compared with QE and Resonances)

# EFT at FASER $\nu$

A. Falkowski, M. González-Alonso, J. Kopp, Y. Soreq, ZT  
arXiv: 2104.15136



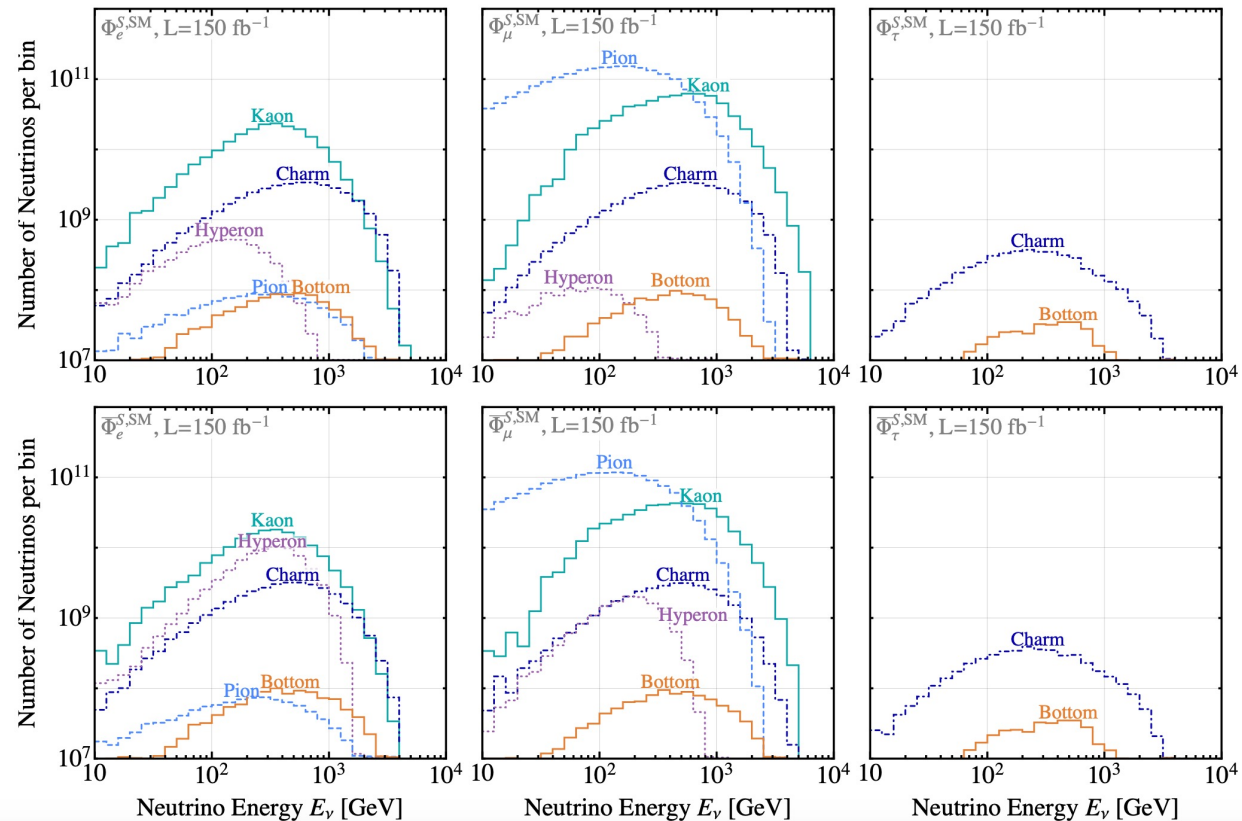
- No new physics at the linear order!
- Good sensitivity to the right handed and tensor interactions.

# EFT at FASERν

Thanks to Felix, also based on arXiv:2105.08270

## Why FASERν?

- Several production modes
- Pion and Kaon decays are the dominant ones
- All (anti)neutrino flavors are available



| Generators    |                | FASERν                |                           |                             |
|---------------|----------------|-----------------------|---------------------------|-----------------------------|
| light hadrons | heavy hadrons  | $\nu_e + \bar{\nu}_e$ | $\nu_\mu + \bar{\nu}_\mu$ | $\nu_\tau + \bar{\nu}_\tau$ |
| SIBYLL        | SIBYLL         | 1343                  | 6072                      | 21.2                        |
| DPMJET        | DPMJET         | 4614                  | 9198                      | 131                         |
| EPOS-LHC      | Pythia8 (Hard) | 2109                  | 7763                      | 48.9                        |
| QGSJET        | Pythia8 (Soft) | 1437                  | 7162                      | 24.5                        |

# Leptonic Pion Decay:

A. Falkowski, M. González-Alonso, ZT  
arXiv: 1910.02971

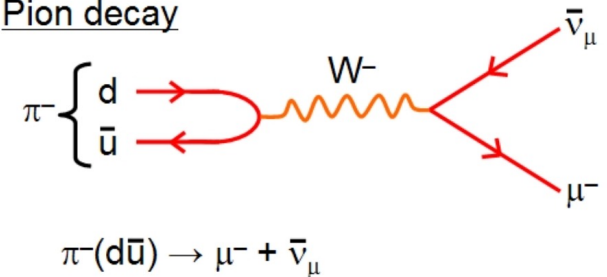
Due to the pseudoscalar nature of the pion, it is sensitive only to axial ( $\epsilon_L - \epsilon_R$ ) and pseudo-scalar ( $\epsilon_P$ ) interactions.

$$p_{LL} = -p_{RL} = 1, \quad p_{PL} = -p_{PR} = -\frac{m_\pi^2}{m_\mu(m_u + m_d)},$$

$$p_{RR} = 1, \quad p_{PP} = \frac{m_\pi^4}{m_\mu^2(m_u + m_d)^2}.$$

~-27

Pion decay



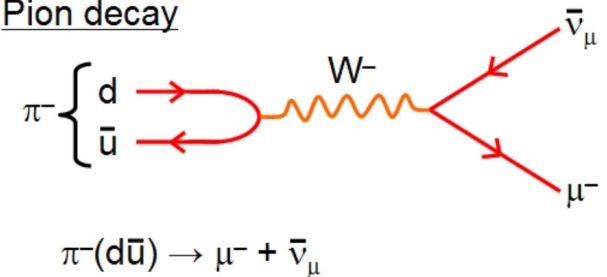
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$$p_{LL} = -p_{RL} = 1, \quad p_{PL} = -p_{PR} = -\frac{m_\pi^2}{m_\mu(m_u + m_d)},$$
$$p_{RR} = 1, \quad p_{PP} = \frac{m_\pi^4}{m_\mu^2(m_u + m_d)^2} \sim 700!$$

Pion decay



- We will have a great chiral enhancement for the pseudoscalar.

# Kaon Decay:

A. Falkowski, M. González-Alonso, J. Kopp, Y. Soreq, ZT  
arXiv: 2104.15136

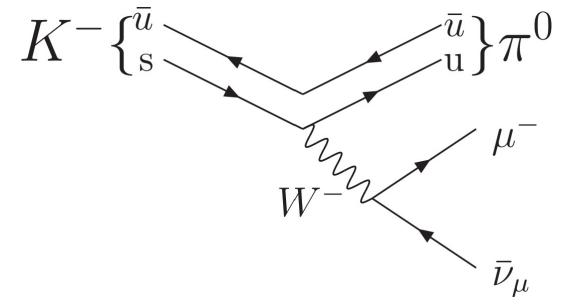
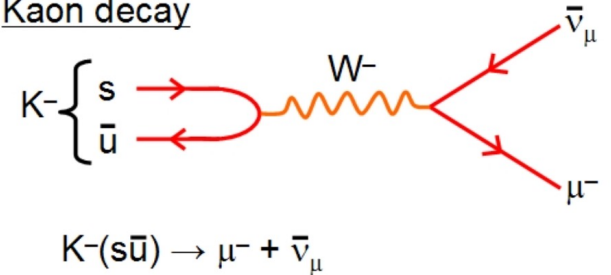
Both 2-body and 3-body kaon decays contribute:

$$P_{XY,\alpha}^{S,jk} \equiv \frac{\int dE_S \frac{\phi_S(E_S)}{E_S} \sum_i \beta_i^S(E_S) \int d\Pi_{P_i'} A_{X,\alpha}^{S_i,jk} A_{Y,\alpha}^{S_i,jk*}}{\int dE_S \frac{\phi_S(E_S)}{E_S} \sum_{i'j'k'} \beta_{i'}^S(E_S) \int d\Pi_{P_{i'}'} |A_{L,\alpha}^{S_i,j'k'}|^2}$$

Energy distribution of  $K^\pm$ ,  $K_L$  or  $K_S$

Thanks to Felix

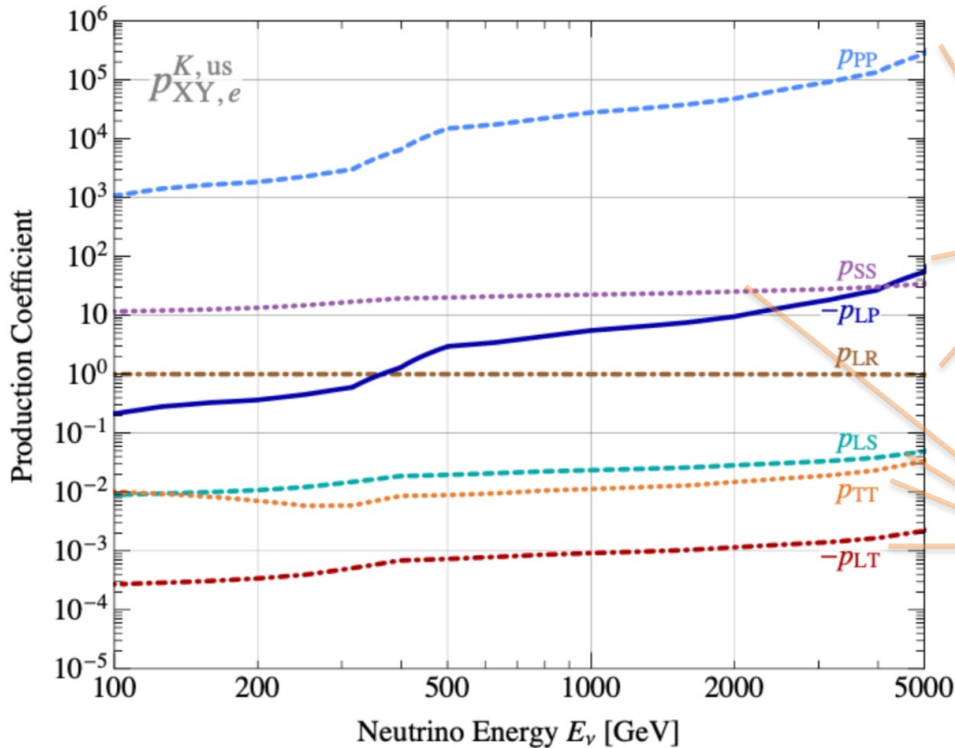
Kaon decay



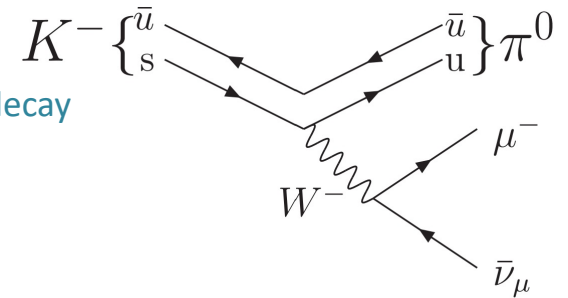
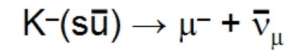
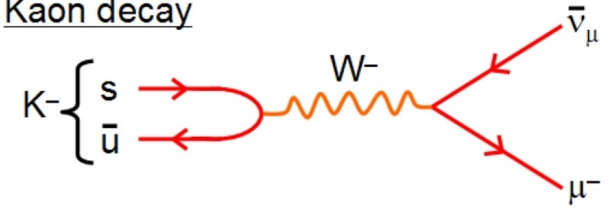
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Kaon decay



From 2-b decay

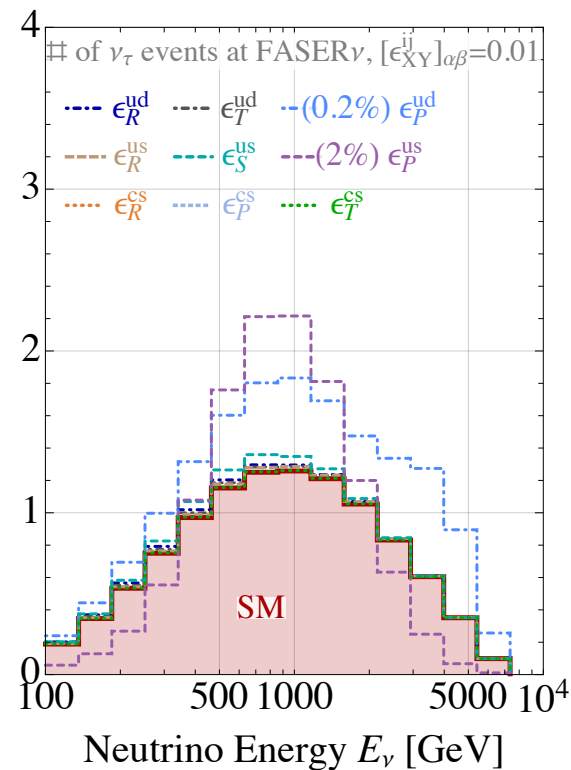
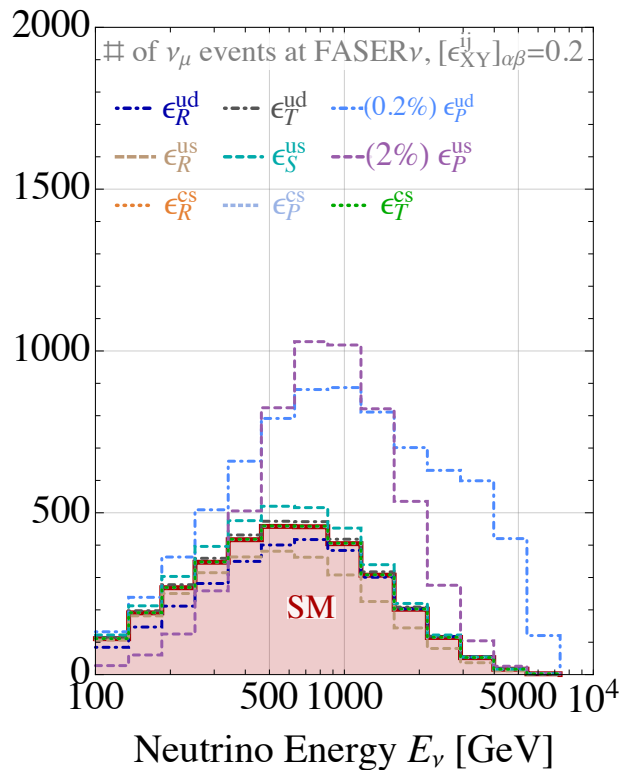
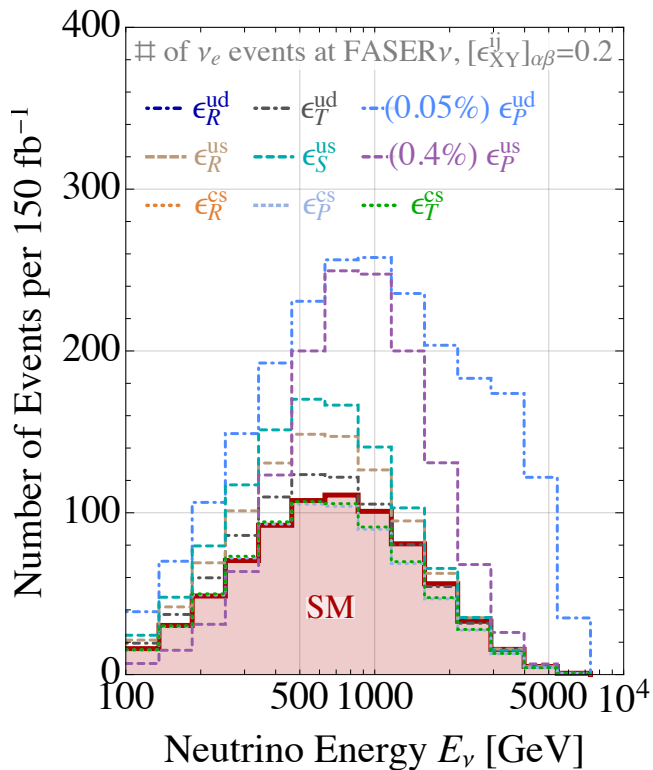
From 3-b decay

We see ``more'' chiral-enhancement for the decay into electrons!!!



# EFT at FASER $\nu$

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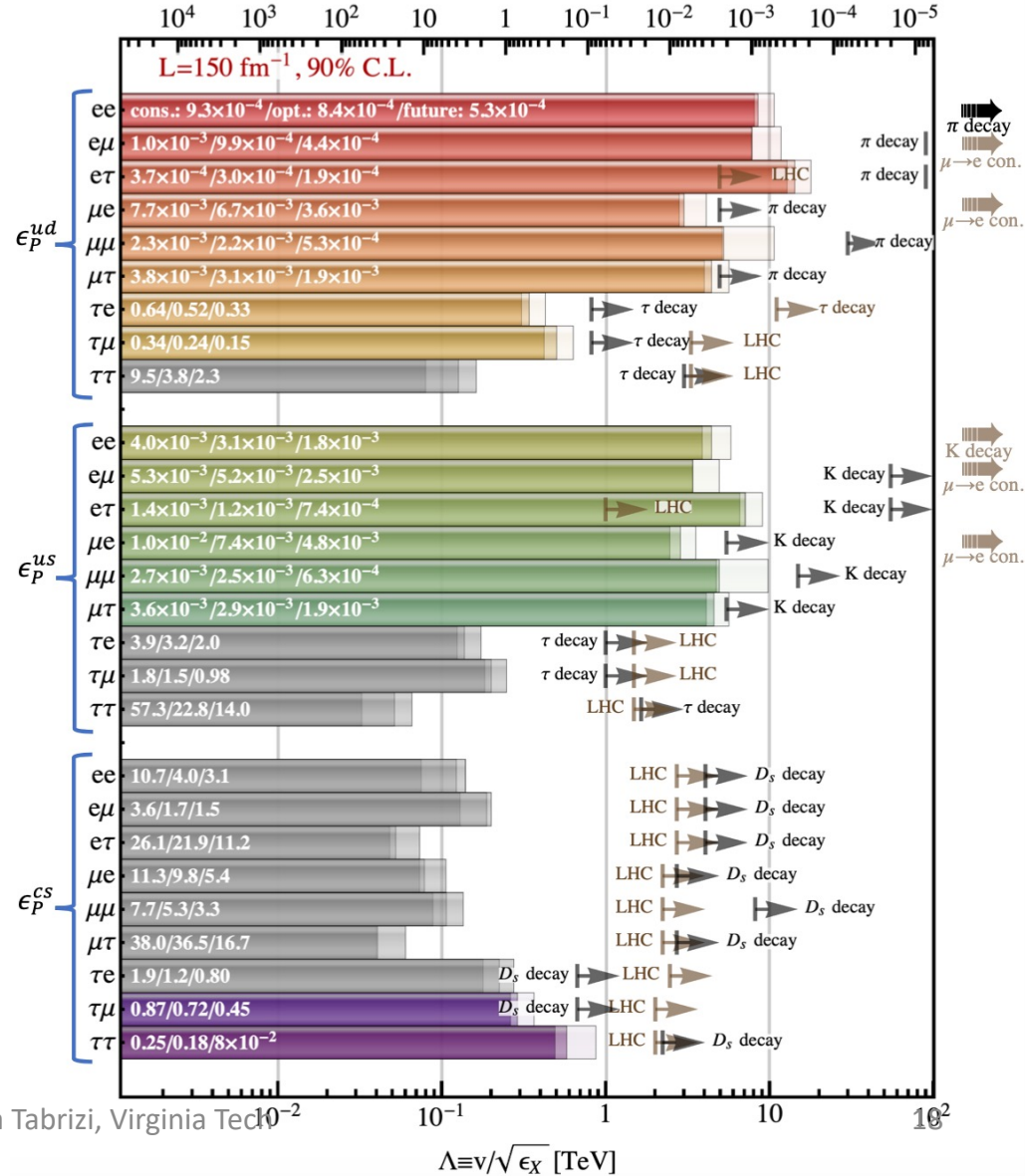


# RESULTS

## Turning on one interaction at a time: Pseudo-Scalar

A. Falkowski, M. González-Alonso, J. Kopp, Y. Soreq, ZT  
arXiv: 2104.15136

Optimistic (5%, 10%, 15%) and Pessimistic (30%, 40%, 50%), uncertainties on electron muon and tau neutrinos



- The rates scale linearly wrt volume:

$$\text{diagonal } \epsilon \sim (V_2/V_1)^{1/2}$$

$$\text{off-diagonal } \epsilon \sim (V_2/V_1)^{1/4}$$

- 20 times larger lum. gives  $\sim 4$  (2) times better sensitivity for (off-)diagonal elements

- Can we have a larger detector?

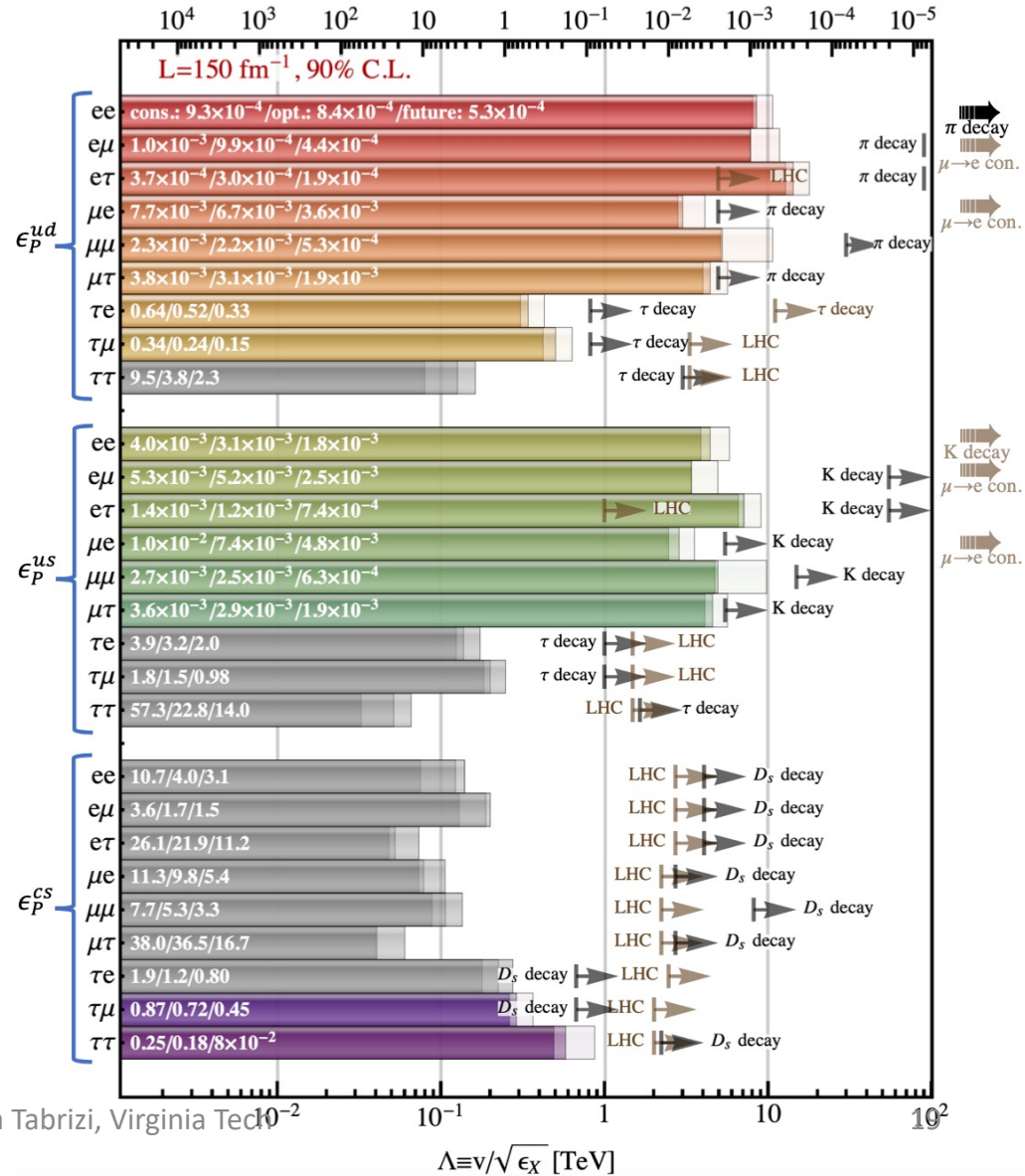
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- We need all the three flavors to probe all the elements!
- We need to detect  $\tau$  neutrinos, so we need emulsion plates.



## Conclusion:

- We have proposed a systematic approach to neutrino oscillations in the SMEFT framework.
- We applied the formalism to FASERv experiment, however the formalism can be readily extended to other types of neutrino experiments.
- Constraints of the order of  $10^{-3}$  can be derived for pseudo-scalar interaction at FASERv.
- We compared the constraints with other experiments.



Thanks for your attention

# Backup slides

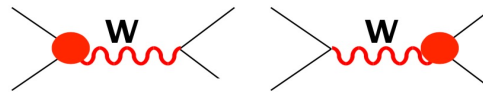
# Approach:

$$E > m_Z$$

- If BSM particles are much heavier than the Z boson mass and the EWSB is linearly realized, then the relevant effective theory above the weak scale is the so-called SMEFT.
- It has the same particle content and local symmetry as the SM, but differs by the presence of higher-dimensional (non-renormalizable) interactions in the Lagrangian.

$$\mathcal{L}_{\text{SM EFT}} = \mathcal{L}_{\text{SM}} + \frac{1}{\Lambda_L} \mathcal{L}^{D=5} + \frac{1}{\Lambda^2} \mathcal{L}^{D=6}$$

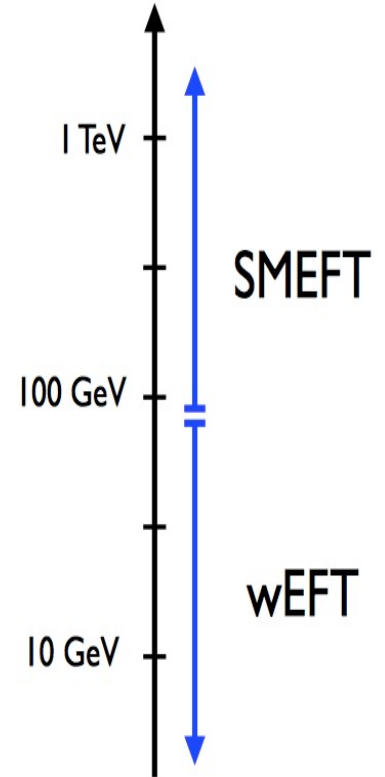
- The SMEFT framework allows one to describe effects of new physics beyond the SM in a model independent way



$$\begin{aligned}
 O_{lq}^{(3)} &= (\bar{l}\gamma^\mu\sigma^a l)(\bar{q}\gamma_\mu\sigma^a q) \\
 O_{qde} &= (\bar{l}e)(\bar{d}q) + \text{h.c.} \\
 O_{lq} &= (\bar{l}_a e)\epsilon^{ab}(\bar{q}_b u) + \text{h.c.} \\
 O_{lq}^t &= (\bar{l}_a\sigma^{\mu\nu}e)\epsilon^{ab}(\bar{q}_b\sigma_{\mu\nu}u) + \text{h.c.}
 \end{aligned}$$

## Matching WEFT and SMEFT parameters:

$$\begin{aligned}
 [\epsilon_L]_{\alpha\beta} &\approx \frac{v^2}{\Lambda^2 V_{ud}} \left( V_{ud} [c_{HI}^{(3)}]_{\alpha\beta} + V_{jd} [c_{Hq}^{(3)}]_{1j} \delta_{\alpha\beta} - V_{jd} [c_{lq}^{(3)}]_{\alpha\beta 1j} \right) \\
 [\epsilon_R]_{\alpha\beta} &\approx \frac{v^2}{2\Lambda^2 V_{ud}} [c_{Hud}]_{11} \delta_{\alpha\beta}, \\
 [\epsilon_S]_{\alpha\beta} &\approx -\frac{v^2}{2\Lambda^2 V_{ud}} \left( V_{jd} [c_{lequ}^{(1)}]_{\beta\alpha j1}^* + [c_{ledq}]_{\beta\alpha 11}^* \right), \\
 [\epsilon_P]_{\alpha\beta} &\approx -\frac{v^2}{2\Lambda^2 V_{ud}} \left( V_{jd} [c_{lequ}^{(1)}]_{\beta\alpha j1}^* - [c_{ledq}]_{\beta\alpha 11}^* \right), \\
 [\hat{\epsilon}_T]_{\alpha\beta} &\approx -\frac{2v^2}{\Lambda^2 V_{ud}} V_{jd} [c_{lequ}^{(3)}]_{\beta\alpha j1}^*,
 \end{aligned}$$



- All  $\epsilon_x$  arise at  $O(\Lambda^{-2})$  in the SMEFT, thus they are equally important.
- No off-diagonal right handed interactions in SMEFT.

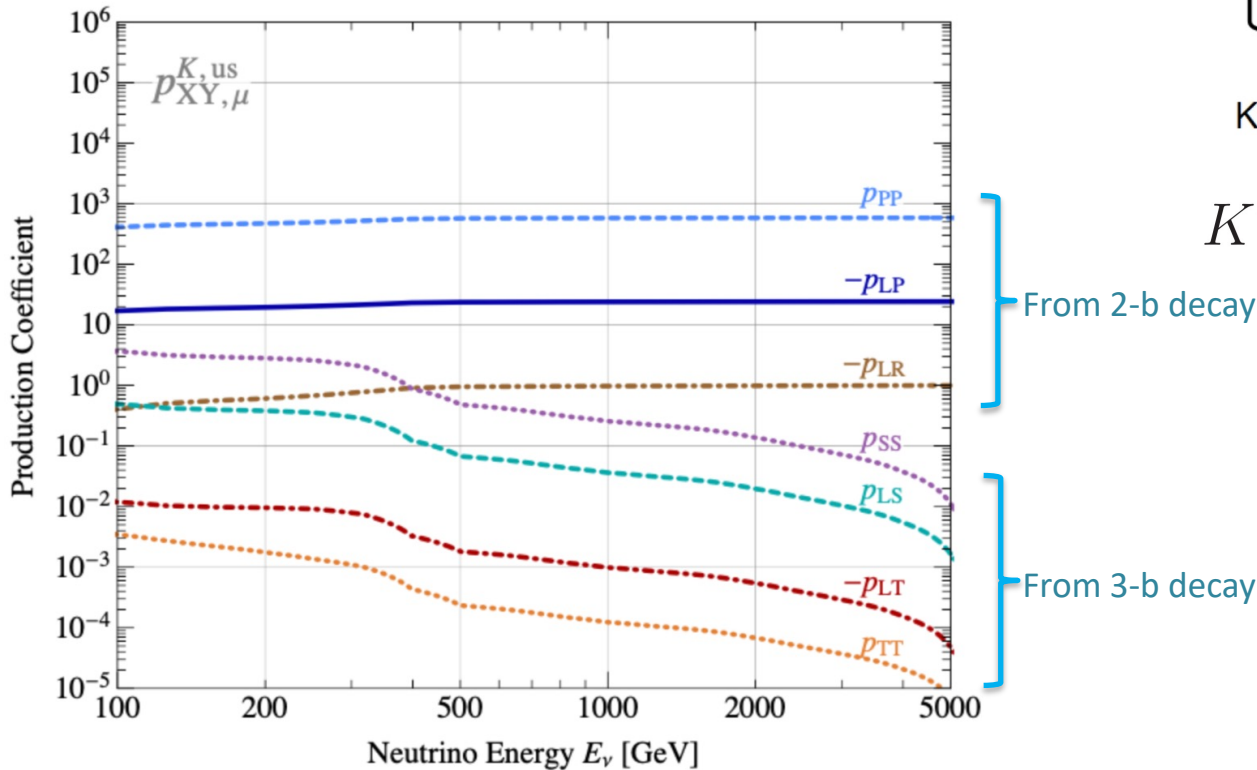
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JHEP 05 (2019) 173



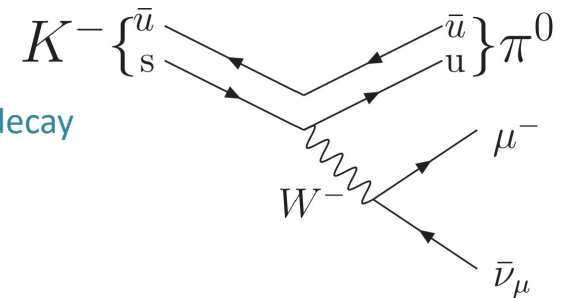
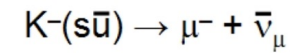
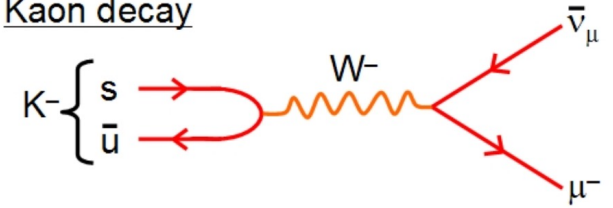
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A. Falkowski, M. González-Alonso, J. Kopp, Y. Soreq, ZT  
arXiv: 2104.15136

Both 2-body and 3-body kaon decays contribute:



Kaon decay



We see chiral-enhancement for the decay into muons!

# EFT at FASERv

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arXiv: 2104.15136

(pseudo)probability:

$$\tilde{P}_{\alpha\beta}|_{L=0} \simeq \underbrace{\left(1 + 2 \sum_{X,j,k} p_{XL,\alpha}^{jk} |\epsilon_{X,\alpha\beta}^{jk}| \cos \phi_{X,\alpha\beta}^{jk}\right) \delta_{\alpha\beta}}_{\text{Only the diagonal elements at the linear order}} + \underbrace{\sum_{X,Y,j,k} |\epsilon_{X,\alpha\beta}^{jk}|^2 p_{XY,\alpha}^{jk} + \sum_{X,Y,r,s} |\epsilon_{X,\beta\alpha}^{rs}|^2 d_{XY,\beta}^{rs}}_{\text{Off diagonal elements at the quadratic order}},$$

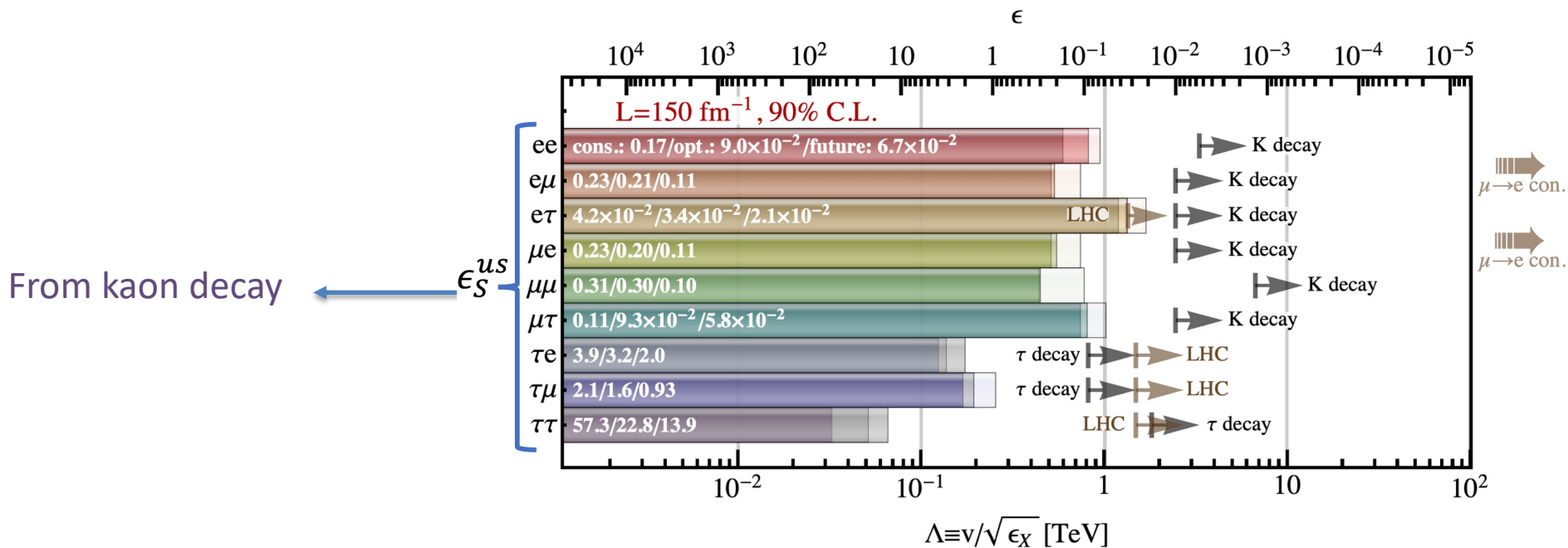
No oscillation, only zero-distance effect!

# RESULTS

## Turning on one interaction at a time: Scalar

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Optimistic (5%, 10%, 15%) and Pessimistic (30%, 40%, 50%), uncertainties on electron muon and tau neutrinos



# RESULTS

## Turning on one interaction at a time: Pseudo-Scalar

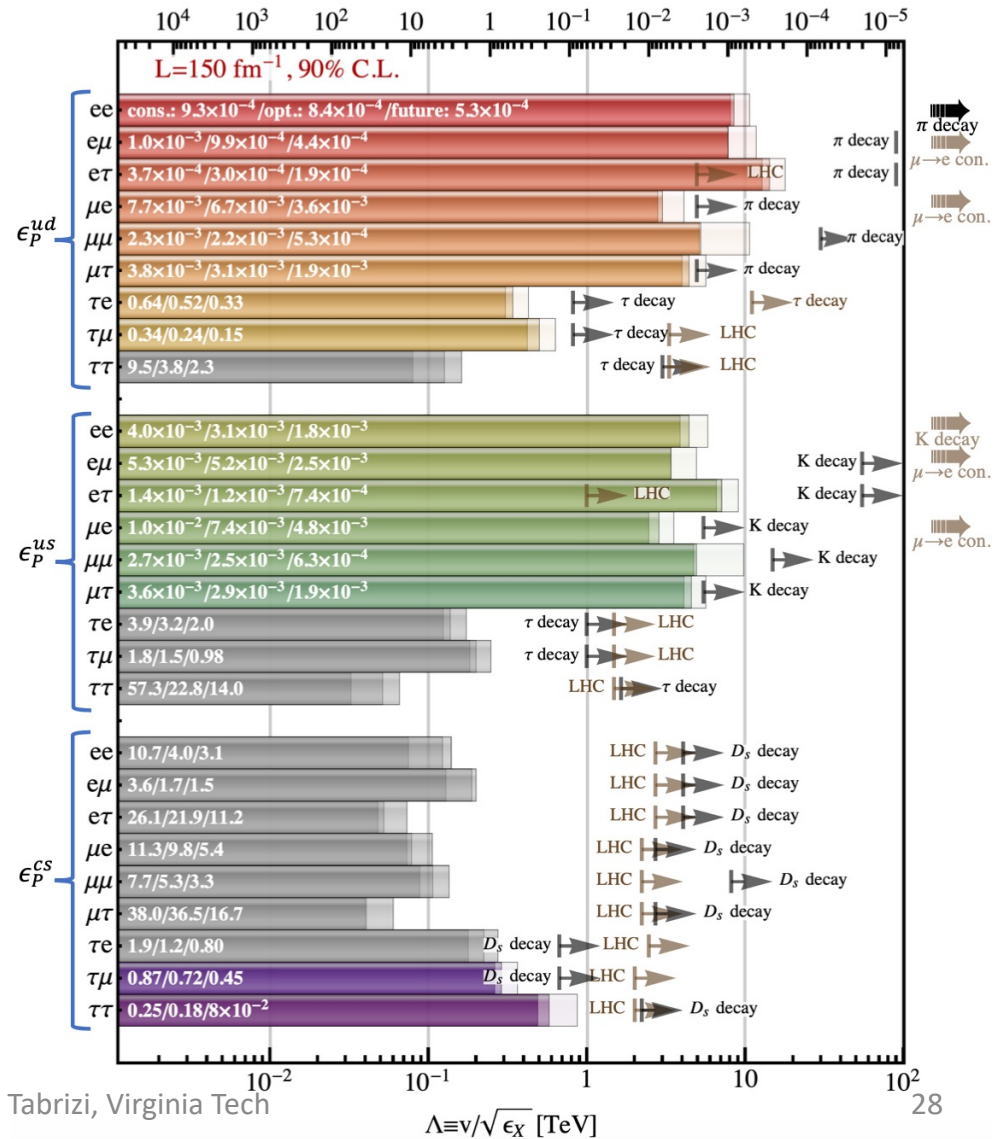
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Optimistic (5%, 10%, 15%) and Pessimistic (30%, 40%, 50%), uncertainties on electron muon and tau neutrinos

From pion decay

From kaon decay

From charm decay



# RESULTS

## Turning on one interaction at a time: Tensor

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Optimistic (5%, 10%, 15%) and Pessimistic (30%, 40%, 50%), uncertainties on electron muon and tau neutrinos

From DIS

From charm decay and DIS

