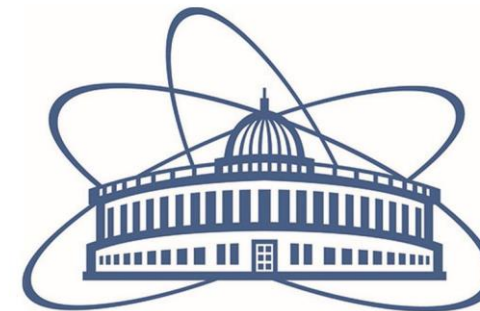


# $\Lambda$ polarization in heavy-ion collisions at moderately relativistic energies

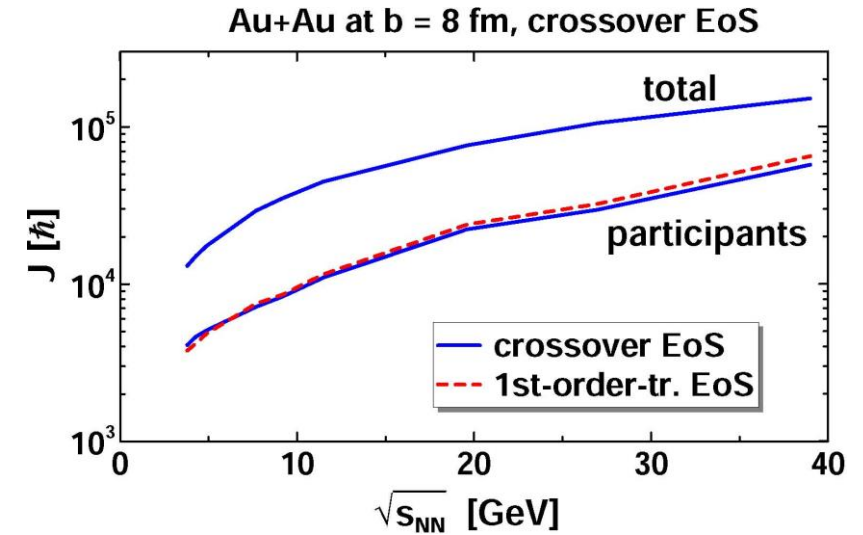
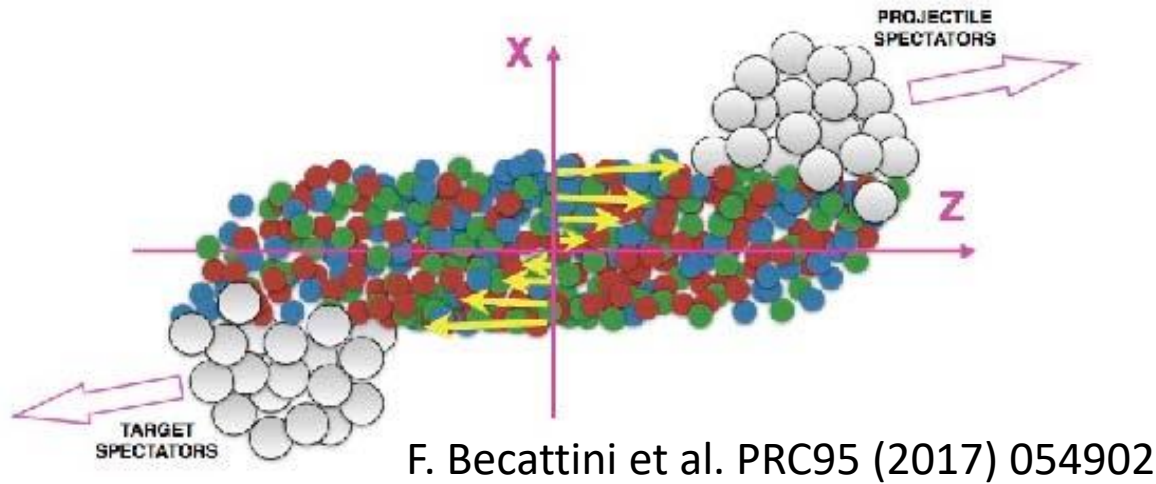
Yuri B. Ivanov



JOINT INSTITUTE  
FOR NUCLEAR RESEARCH

10th International Conference on New Frontiers in Physics (ICNFP 2021)  
from August 23 to September 2, 2021, Kolymbari, Crete, Greece

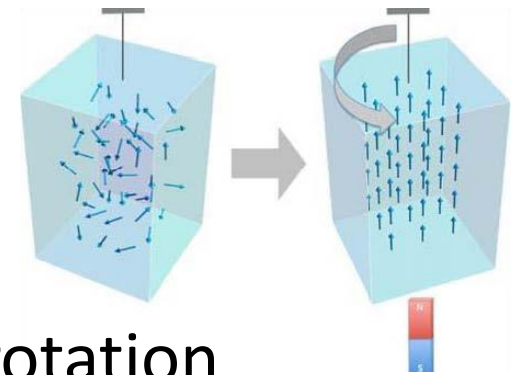
# Vortical motion of nuclear matter



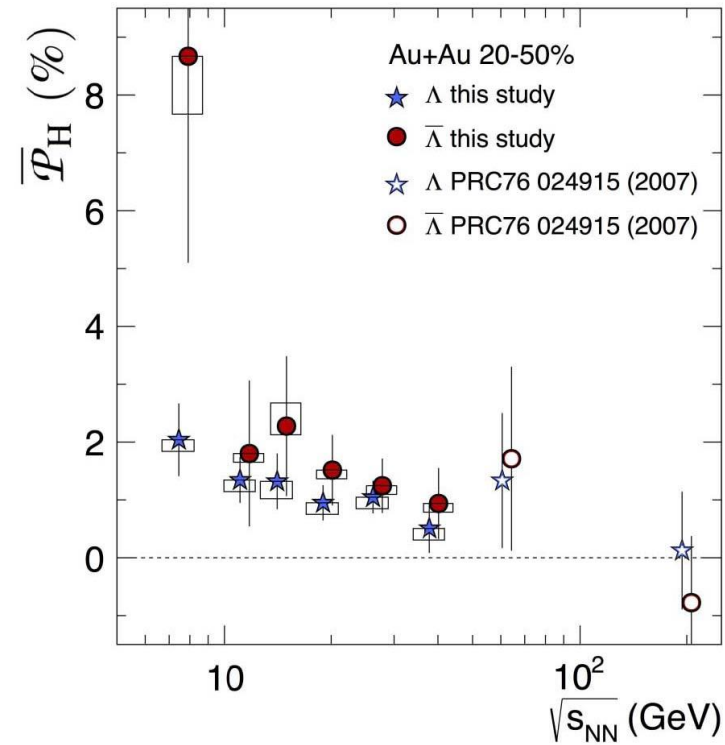
Vortical motion:  $\boldsymbol{\omega} = (1/2) \nabla \times \mathbf{v} = \mathbf{Vorticity}$

Relativistic Vorticity =  $\omega_{\mu\nu} = \frac{1}{2} (\partial_\nu u_\mu - \partial_\mu u_\nu)$

- Angular momentum  $\rightarrow$  spin polarization
- Similarly to Barnett effect (1915): magnetization by rotation



# Polarization Measurements



## STAR

- ✓ Global  $\Lambda$  and anti- $\Lambda$  polarization [[Nature 548, 62 \(2017\)](#)]
- ✓ Local polarization of hyperons along the beam direction [[PRL 123, 132301 \(2019\)](#)]
- ✓ Measurement of global spin alignment of vector Mesons [[NPA 1005 \(2021\) 121733](#)]
- ✓ Global polarization of  $\Xi$  and  $\Omega$  hyperons at 200 GeV [[2012.13601](#)]

## At moderately relativistic energies

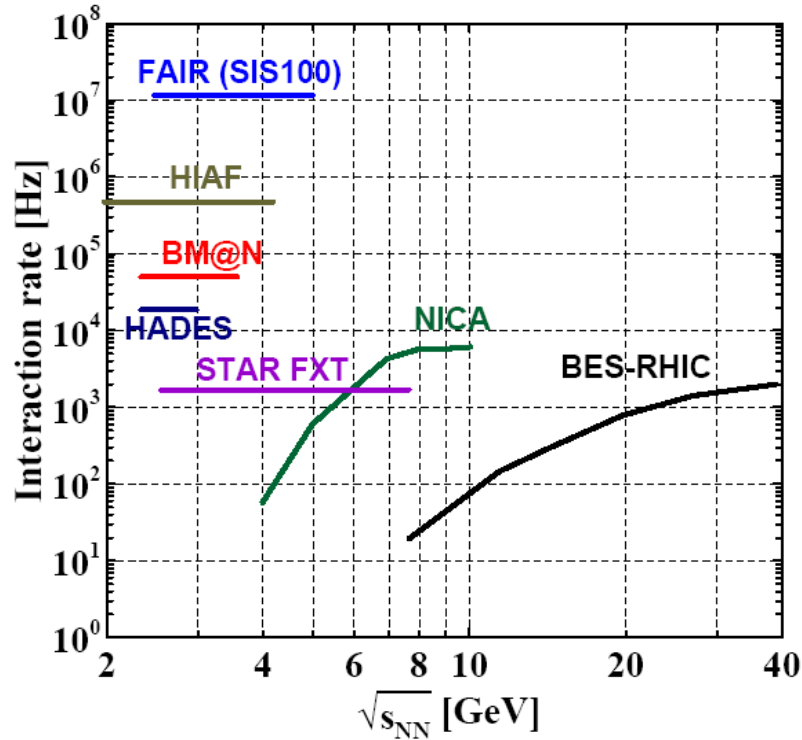
- HADES:  $\Lambda$  Polarization at 2.4 GeV [[Springer Proc.Phys. 250 \(2020\) 435](#)]
- STAR-FXT:  $\Lambda$  Polarization at 3 GeV [[2108.00044 \[nucl-ex\]](#)]
- NICA: planned in approx. 2025

# Motivations

Study of

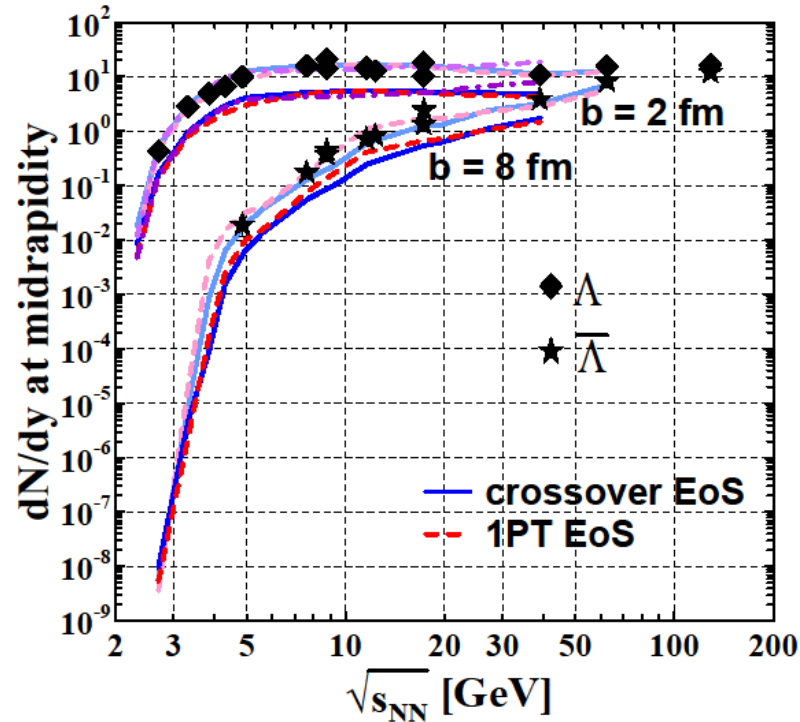
- ✓ **vortical motion in heavy-ion collisions**
  
- ✓ **mechanism of angular-momentum transfer from orbital one to spin**
  - **Thermodynamic approach** [F. Becattini, et al.]  *Discussed below*
  - Chiral Vortical Effect [Vilenkin (1979); Son and Zhitnitsky (2004)]
  - “Lagrangian approach” [D. Montenegro, L. Tinti and G. Torrieri]

# Feasibility of polarization measurements



CBM, *Eur.Phys.J.A* 53 (2017) 3, 60

Threshold collision energies, above which measurements are feasible.



**STAR and HADES experience**

global polarization:

$$(dN/dy)(\text{interaction rate}) \geq 1 \text{ s}$$

local polarization:

$$(dN/dy)(\text{interaction rate}) \geq 10^4 \text{ s}$$

**3FD simulations**

Facility	BM@N	HIAF	FAIR	NICA
$\sqrt{s_{NN}}$ [GeV]	2.3 – 3.5	2.3 – 4	2.7 – 4.9	4 – 11
global $\Lambda$ , $\sqrt{s_{NN}} \gtrsim$	2.3 GeV	2.3 GeV	2.7 GeV	4 GeV
global $\bar{\Lambda}$ , $\sqrt{s_{NN}} \gtrsim$	no	3.5 GeV	3 GeV	5 GeV
local $\Lambda$ , $\sqrt{s_{NN}} \gtrsim$	2.7 GeV	2.5 GeV	2.7 GeV	6 GeV
local $\bar{\Lambda}$ , $\sqrt{s_{NN}} \gtrsim$	no	no	4 GeV	no

# 3-Fluid Dynamics (3FD)

**Target-like fluid:**  $\partial_\mu J_t^\mu = 0$   $\partial_\mu T_t^{\mu\nu} = -F_{tp}^\nu + F_{ft}^\nu$   
 Leading particles carry bar. charge exchange/emission

**Projectile-like fluid:**  $\partial_\mu J_p^\mu = 0$ ,  $\partial_\mu T_p^{\mu\nu} = -F_{pt}^\nu + F_{fp}^\nu$

**Fireball fluid:**  $J_f^\mu = 0$ ,  $\partial_\mu T_f^{\mu\nu} = F_{pt}^\nu + F_{tp}^\nu - F_{fp}^\nu - F_{ft}^\nu$   
 Baryon-free fluid Source term Exchange  
 The **source term** is delayed due to a formation time  $\tau$

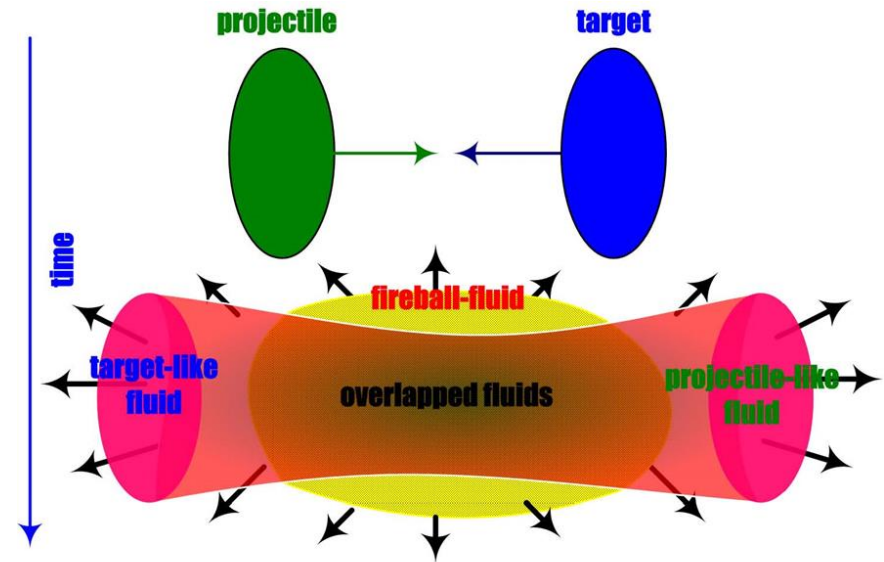
**Total energy-momentum conservation:**

$$\partial_\mu (T_p^{\mu\nu} + T_t^{\mu\nu} + T_f^{\mu\nu}) = 0$$

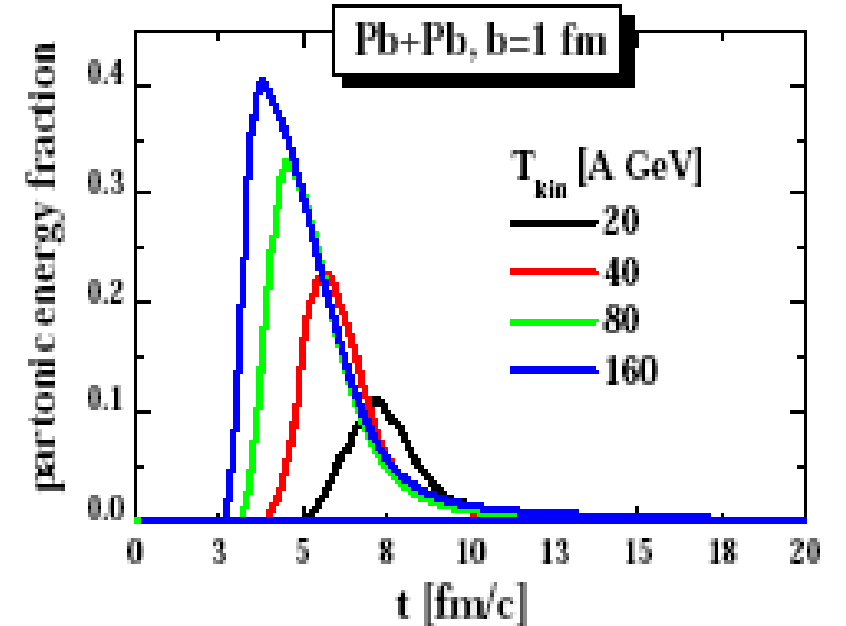
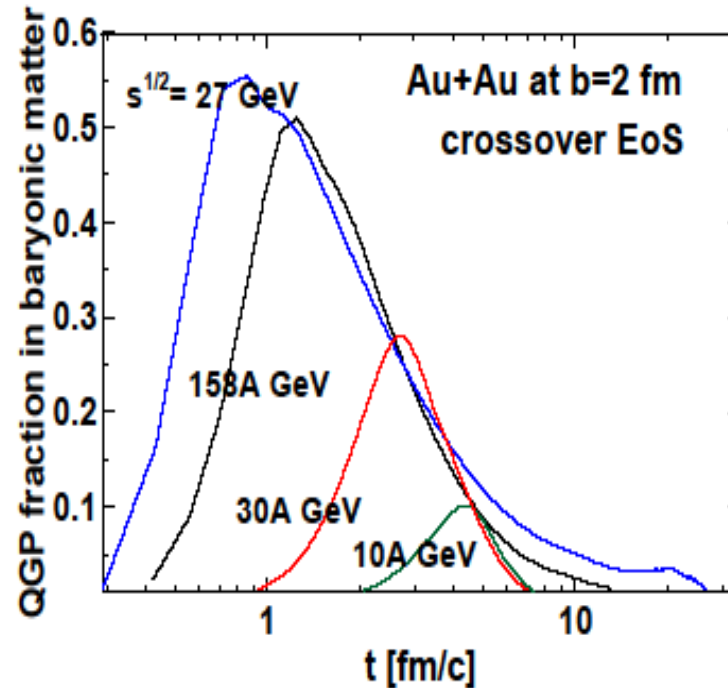
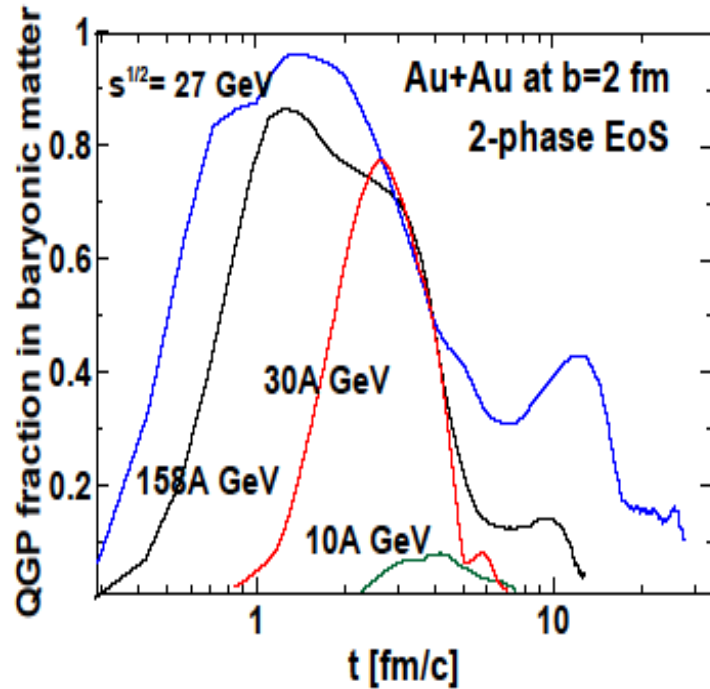
YI, Russkikh, Toneev, PRC 73, 044904 (2006)

**Physical Input**

- ✓ Equation of State
- ✓ Friction
- ✓ Freeze-out energy density  $\mathcal{E}_{\text{frz}} = 0.4 \text{ GeV/fm}^3$



# QGP Transition in bulk



**Deconfinement transition starts at top AGS energies.**

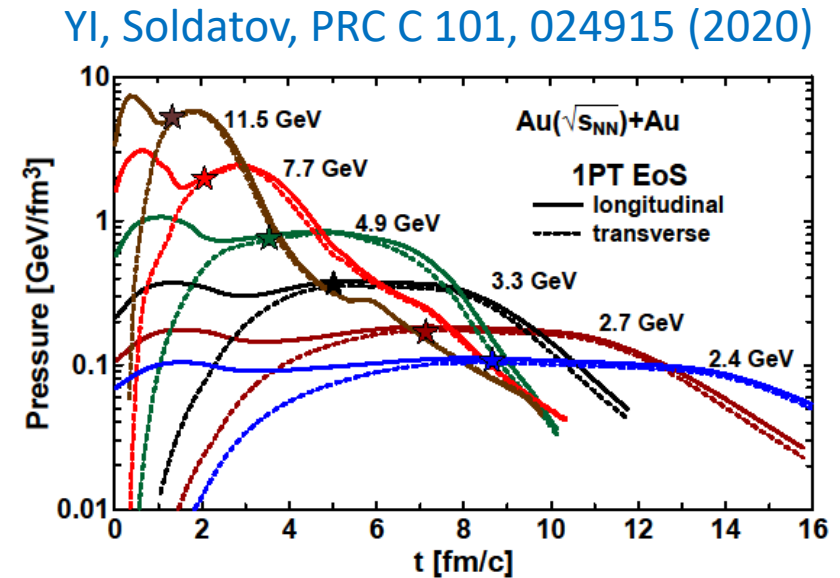
PHSD: Cassing&Bratkovskaya,  
NPA 831, 215 (2009).

Alternative viewpoint: Seck, Galatyuk, et al., arXiv:2010.04614 [nucl-th]  
Dilepton Signature of a First-Order Phase Transition already at 1-2A GeV.

# Equilibration at low energies

- Thermodynamic approach
  - Chiral Vortical Effect
- Require equilibrium

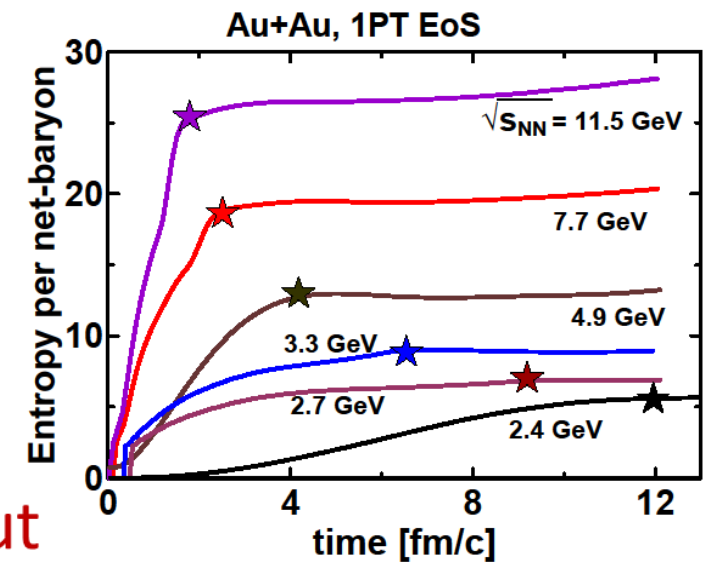
Longitudinal and transverse pressure in the center  
 Mechanical equilibration time (★) is comparatively long



Freeze-out is mechanically equilibrium.  
 This of prime importance for the models.

Chemical equilibration (★)  
 (and hence thermalization) takes longer

YI, Soldatov, EPJA 52 (2016) 12, 367



The system is thermalized at the freeze-out stage,  
 although it can be reached right before the freeze-out



# Thermodynamic approach to polarization

**Spin is in thermal equilibrium with other degrees of freedom**

[F. Becattini, et al., Ann. Phys. 338, 32 (2013)]

Chemical potential for angular momentum  $\varpi_{\mu\nu} = \frac{1}{2}(\partial_\nu\beta_\mu - \partial_\mu\beta_\nu)$  = Thermal Vorticity

$$\beta_\mu = u_\mu / T = \text{4-velocity/Temperature}$$

Mean spin vector of a spin of  $\Lambda$  particle in a relativistic fluid

$$S^\mu = \frac{1}{8m_\Lambda} \frac{\int d\Sigma_\lambda p^\lambda n_\Lambda p_\sigma \varepsilon^{\mu\nu\rho\sigma} \varpi_{\rho\nu}}{\int d\Sigma_\lambda p^\lambda n_\Lambda}$$

$n_\Lambda$  = Fermi-Dirac distribution function, integration over freeze-out hypersurface

**Formulation in terms of frozen-out hadronic matter!**

# Observable global polarization

$$\mathbf{P}_\Lambda^\mu = \langle \mathbf{S}_\Lambda^\mu \rangle / S_\Lambda \quad \text{Polarization of } \Lambda \text{ particle, } S_\Lambda = 1/2$$

Polarization is measured **in the rest frame (\*)** of  $\Lambda$  particle  $\mathbf{S}_\Lambda^* = \mathbf{S}_\Lambda - \frac{\mathbf{p}_\Lambda \cdot \mathbf{S}_\Lambda}{E_\Lambda (E_\Lambda + m_\Lambda)} \mathbf{p}_\Lambda$

$$\mathbf{P}_\Lambda = \frac{1}{2m_\Lambda} \left\langle \left( E_\Lambda - \frac{1}{3} \frac{\mathbf{p}_\Lambda^2}{E_\Lambda + m_\Lambda} \right) \boldsymbol{\omega}_{zx} \right\rangle \quad \text{Global polarization is directed along the y axis}$$

# Thermodynamic global polarization in 3FD

$$P_\Lambda = \frac{1}{2m_\Lambda} \left\langle \left( E_\Lambda - \frac{1}{3} \frac{\mathbf{p}_\Lambda^2}{E_\Lambda + m_\Lambda} \right) \varpi_{zx} \right\rangle \quad \text{averaging with } \Lambda \text{ distribution function, } n_\Lambda$$

**Approximations made in [YI, PRC 103, L031903 (2021)]:**

**Approximation 1:** feed-down from decays of  $\Sigma^0$ ,  $\Sigma^*$ , ... is neglected

**Approximation 2:** Averaging with energy density,  $\varepsilon$ , in stead of  $n_\Lambda$

**Approximation 3:** Averaging of (...) and  $\varpi_{zx}$  are decoupled

$$\mathbf{P}_\Lambda \simeq \frac{\langle \varpi_{zx} \rangle}{2} \left( \mathbf{1} + \frac{2}{3} \frac{\langle \mathbf{E}_\Lambda \rangle - m_\Lambda}{m_\Lambda} \right)$$

**Approximation 4:** Averaging over central region  $[z_{\text{left}}, z_{\text{right}}]$  confined by  $|y| < \Delta y_h / 2$

**Hydrodynamical rapidity:**  $y_h(z, t) = \frac{1}{2} \ln \frac{\langle u^0 + u^3 \rangle}{\langle u^0 - u^3 \rangle}$   $\Delta y_h(t) = y_h(z_{\text{right}}, t) - y_h(z_{\text{left}}, t)$ .

# Freeze-out for polarization calculation

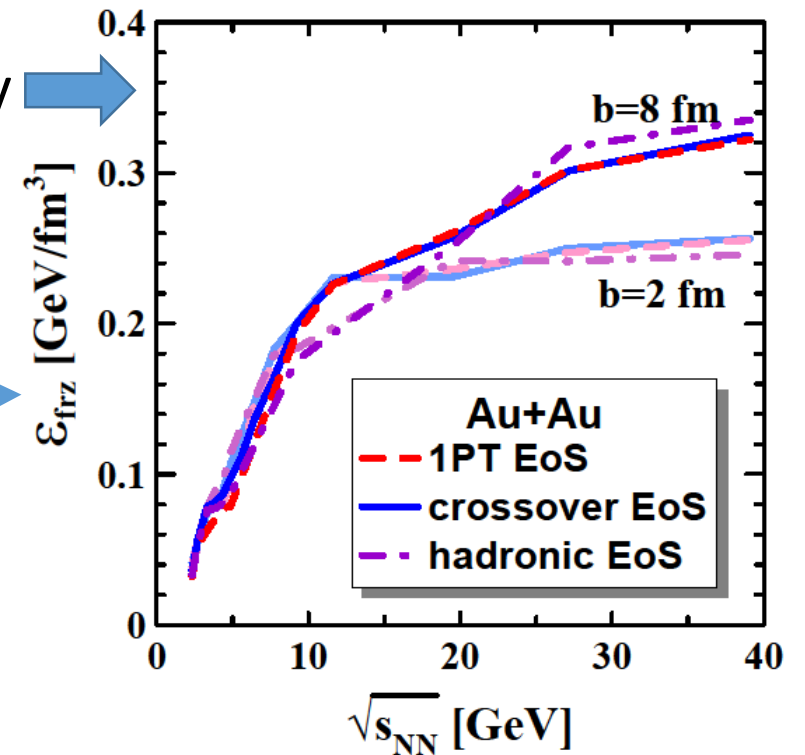
Usually it is a **local freeze-out**, i.e. cell-by-cell.

The freeze-out procedure starts when the **local energy density**  $< 0.4 \text{ GeV}/\text{fm}^3$ :

- (1) This criterion should be met **in the cell and in eight surrounding cells**.
- (2) At least one of the surrounding cells is empty (**border with vacuum**).

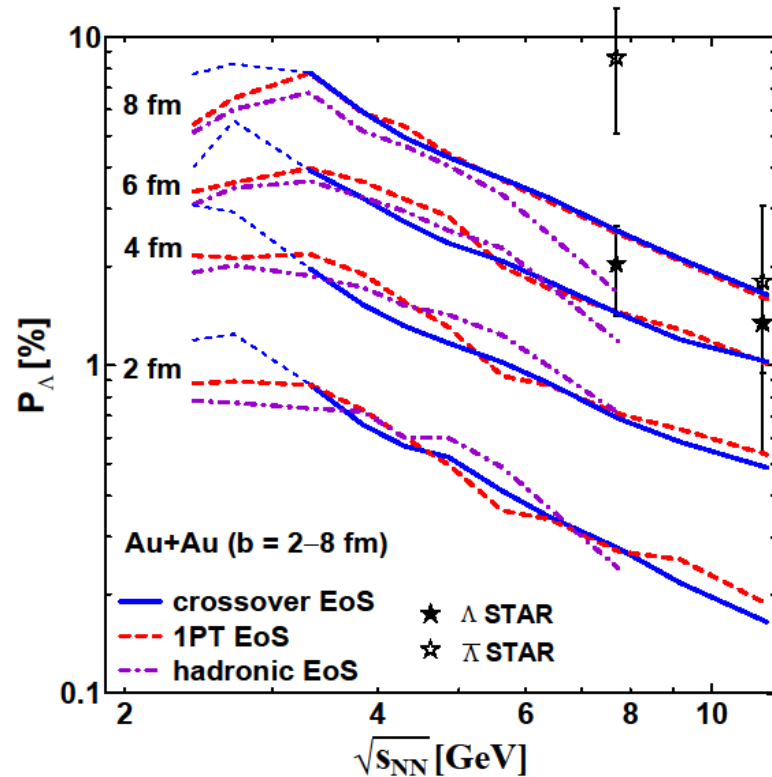
Therefore, the actual mean freeze-out energy density

**For the polarization calculation  
global freeze-out at  $\epsilon_{\text{frz}}$   
in the central region**



# Thermodynamic polarization at moderate energies

YI, PRC 103, L031903 (2021)

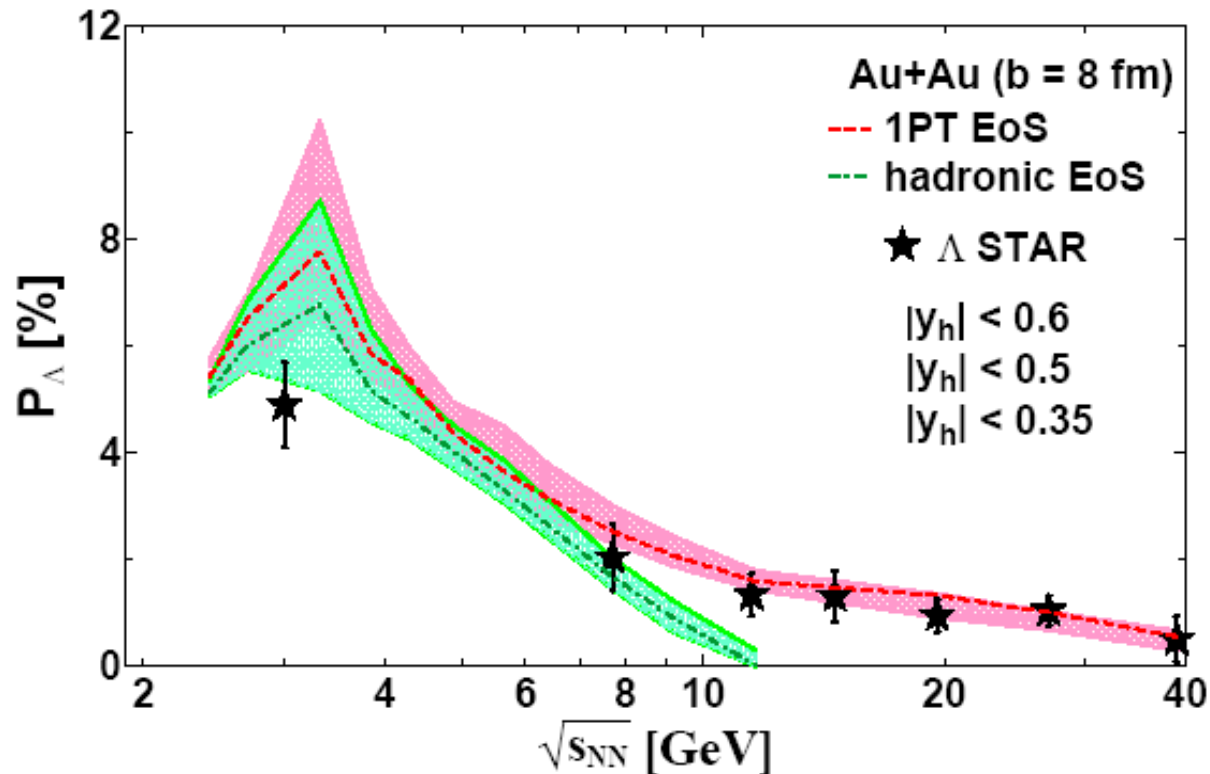


**Polarization reaches a maximum or a plateau (depending on EoS and centrality) at  $\sqrt{s_{NN}} \approx 3$  GeV.**

# Rapidity window dependence

$|y_h| < 0.6$  upper border,  $|y_h| < 0.5$  center line,  $|y_h| < 3.5$  lower border

YI, PRC 103, L031903 (2021)



**Global polarization increases with increasing width of rapidity window around the midrapidity**

# Thermodynamic global polarization in 3FD

$$P_{\Lambda} = \frac{1}{2m_{\Lambda}} \left\langle \left( E_{\Lambda} - \frac{1}{3} \frac{\mathbf{p}_{\Lambda}^2}{E_{\Lambda} + m_{\Lambda}} \right) \overline{\omega}_{zx} \right\rangle \text{ averaging with } \Lambda \text{ distribution function, } n_{\Lambda}$$

Approximations made in **new run of calculations**:

~~Approximation 1~~: feed-down from decays of  $\Sigma^0$  and  $\Sigma^*$  is **taken into account**

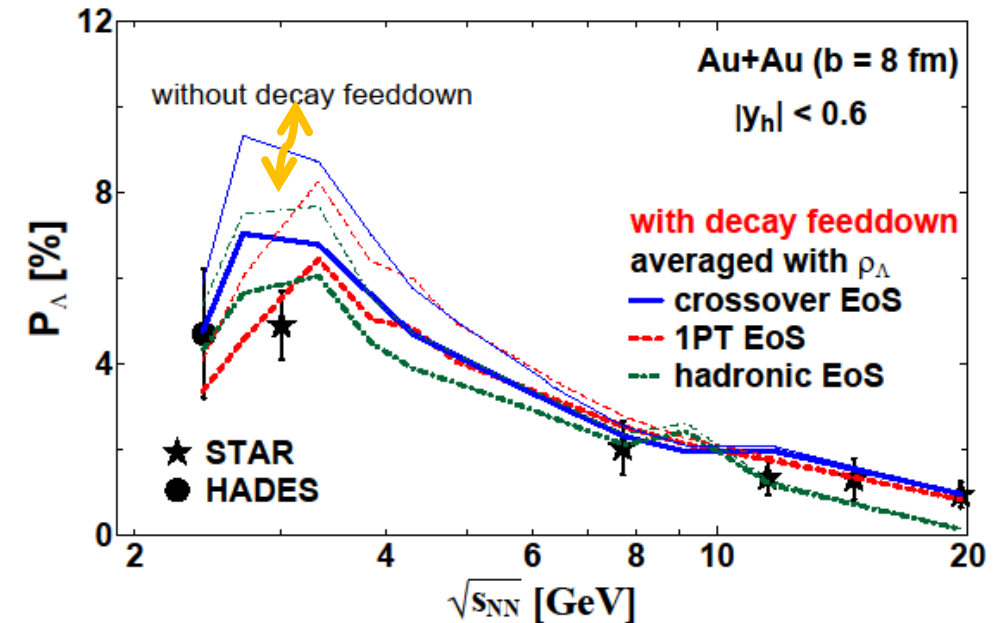
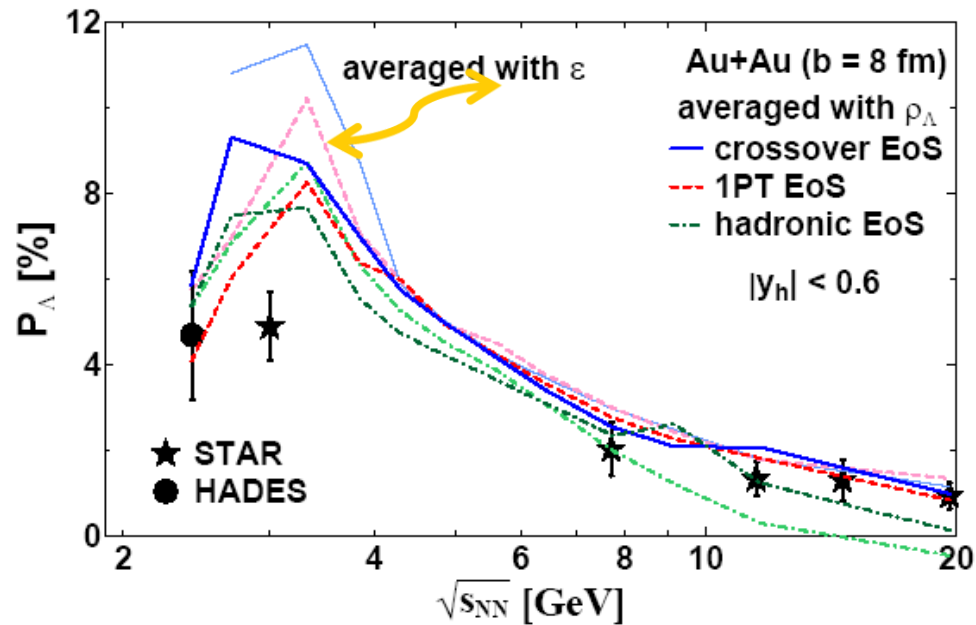
~~Approximation 2~~: Averaging with  $\Lambda$  **density,  $\rho_{\Lambda}$** , in stead of  $n_{\Lambda}$ . This is better than  $\epsilon$ .

~~Approximation 3~~: Averaging of (...) and  $\overline{\omega}_{zx}$  are **not decoupled in  $P_{\Lambda}$**

**Approximation 4**: Averaging over central region  $[z_{\text{left}}, z_{\text{right}}]$  confined by  $|y| < \Delta y_h / 2$

**Hydrodynamical rapidity**:  $y_h(z, t) = \frac{1}{2} \ln \frac{\langle u^0 + u^3 \rangle}{\langle u^0 - u^3 \rangle}$   $\Delta y_h(t) = y_h(z_{\text{right}}, t) - y_h(z_{\text{left}}, t)$ .

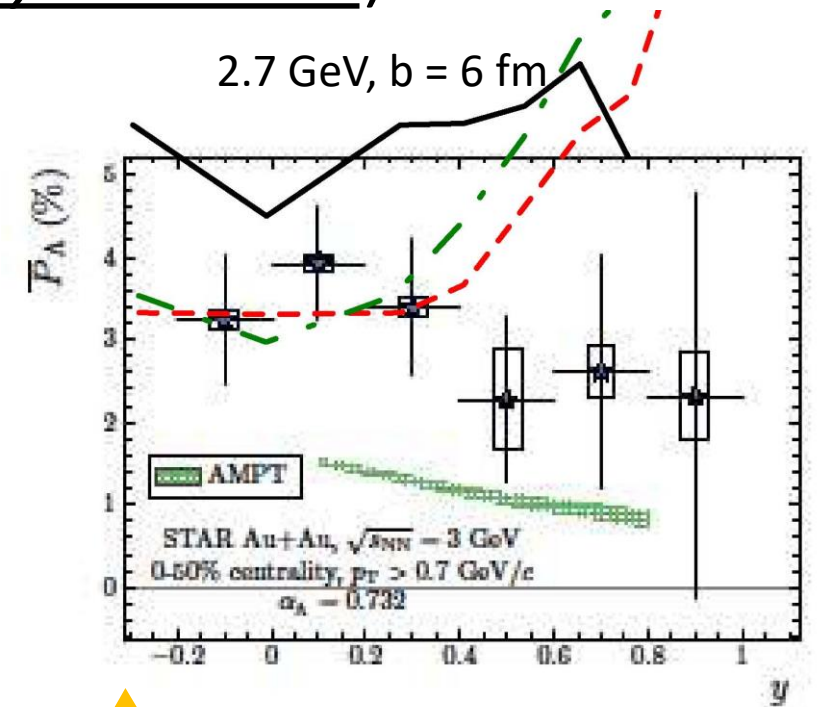
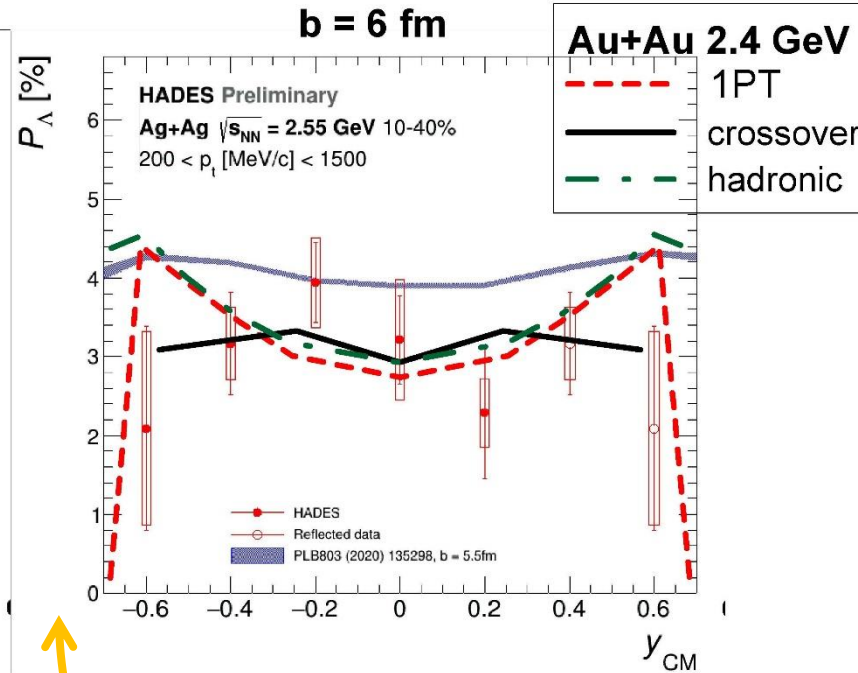
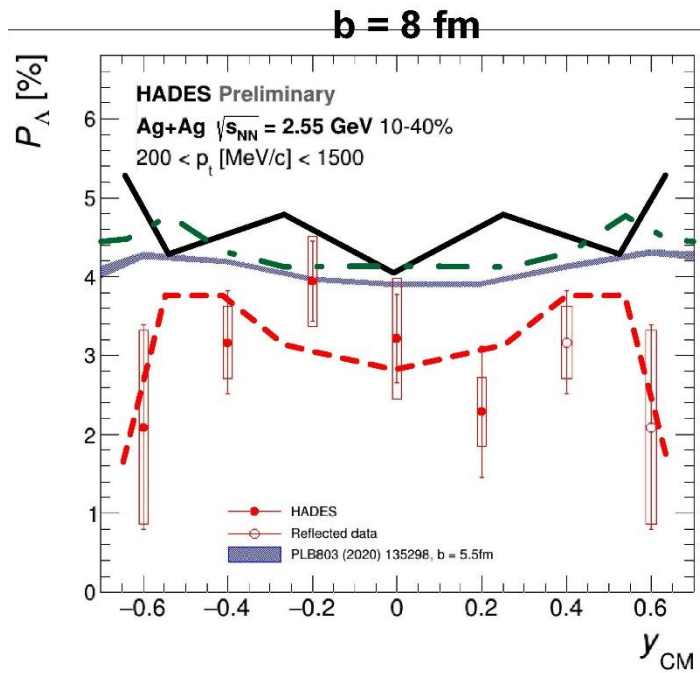
# Global polarization in **new run of 3FD calculations** *preliminary results*



- ✓ Averaging with  $\Lambda$  density,  $\rho_\Lambda$  in stead of  $\varepsilon$ , noticeably reduces  $P_\Lambda$  at  $\sqrt{s_{NN}} < 4$  GeV
- ✓ Decay feed-down noticeably reduces  $P_\Lambda$



# Rapidity dependence (*preliminary results*)



STAR-FXT: 2108.00044

<https://indico.cern.ch/event/985652/contributions/4305142/attachments/2246397/3812486/SQM2021-Kornas.pdf>

✓ 3FD:  $\Lambda$  polarization increases from midrapidity to forward/backward rapidities

✓ 3FD does not contradict HADES data, but contradicts to STAR-FXT data. acceptance???

# Summary

- ✓ Prerequisite for polarization models: **freeze-out stage is thermalized**
- ✓ 3FD:  **$\Lambda$  polarization rises with collision energy decrease at  $\sqrt{s_{NN}} \leq 7.7$  GeV** (experimentally observed)
- ✓ 3FD:  **$\Lambda$  polarization reaches a maximum at  $\sqrt{s_{NN}} \leq \approx 3$  GeV** (experimentally looks like a plateau; acceptance?)
- ✓ 3FD:  **$\Lambda$  polarization increases from midrapidity to forward/backward rapidities** (STAR-FXT: experimentally decreases; acceptance?)
- ✓ **Decay feeddown noticeably reduces  $P_\Lambda$  at  $\sqrt{s_{NN}} < 8$  GeV**

# Backup

# Nuclotron-based Ion Collider Facility (NICA)

Dubna 2020



MultiPurpose Detector (MPD)

Au+Au

$$\sqrt{s_{NN}} = 4 - 11 \text{ GeV}$$

Bi(A=209) beam 2022

Au beam is planned later

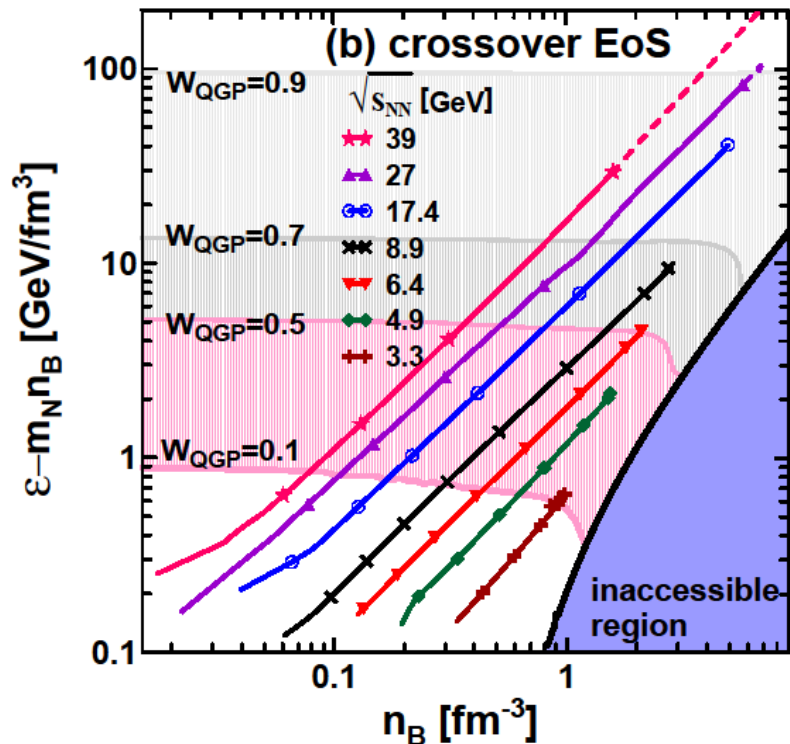
Data taking at MPD 2023

**Polarization measurements  
are planned (approx. 2025)**

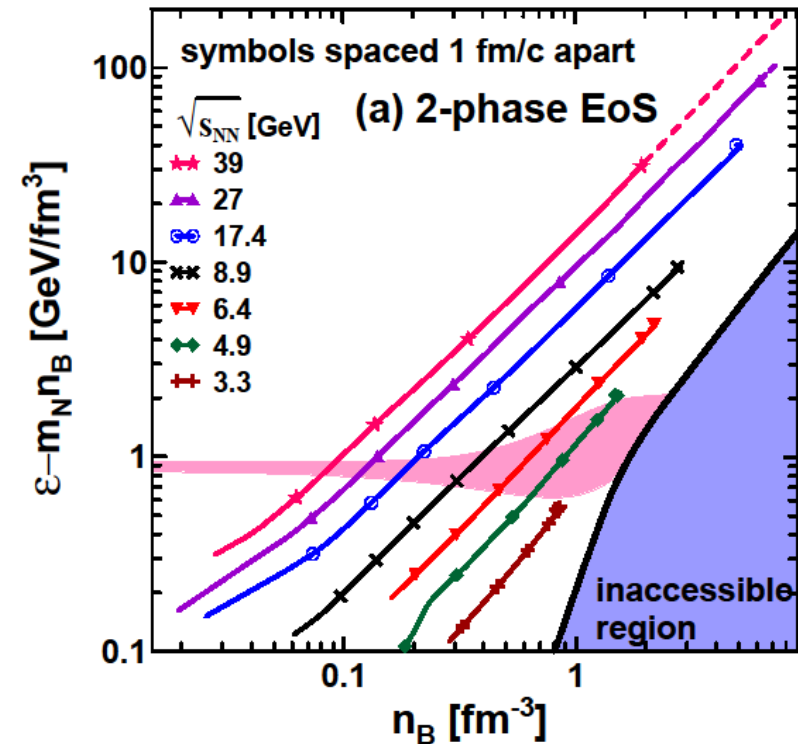
# QGP Transition in central region [Y.I., Phys.Rev.C 87 (2013) 6, 064904]

$|x| \leq 2 \text{ fm}$ ,  $|y| \leq 2 \text{ fm}$  and  $|z| \leq \gamma_{\text{cm}} 2 \text{ fm}$ ,  $\gamma_{\text{cm}}$  = Lorentz factor of initial motion in cm frame

**EoS's:** Khvorostukhin, Skokov, Redlich, Toneev, EPJ C48, 531 (2006)



Slow crossover EoS  
lattice QCD: fast crossover



deconfinement transition starts at top AGS energies in both cases.

# Chiral vortical effect (CVE)

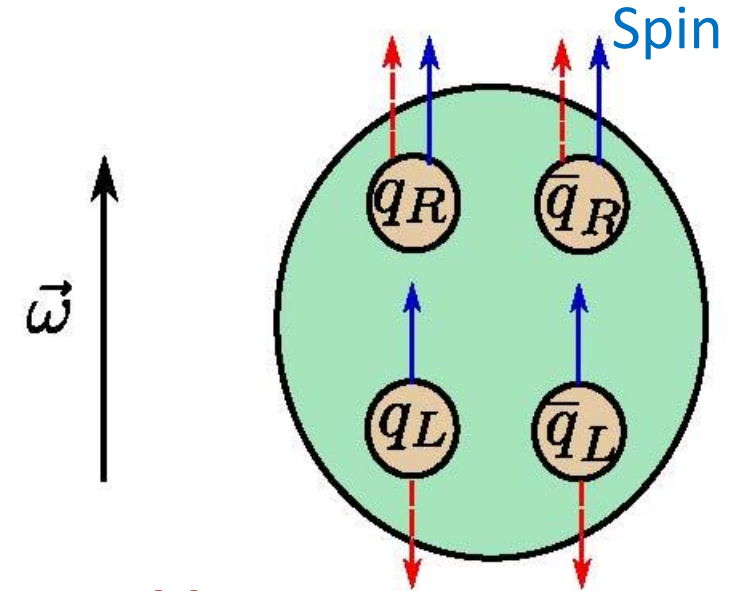
Axial current

$$J_5^\nu(x) = -N_c \left( \frac{\mu^2}{2\pi^2} + \kappa \frac{T^2}{6} \right) \epsilon^{\nu\alpha\beta\gamma} u_\alpha \omega_{\beta\gamma}$$

induced by vorticity  $\omega_{\mu\nu} = \frac{1}{2} (\partial_\nu u_\mu - \partial_\mu u_\nu)$

Vilenkin, PRD 20, 1807 (1979); 21, 2260 (1980).

Son and Zhitnitsky, PRD 70, 074018 (2004)



Momentum

Gao, et al., PRL 109 (2012) 232301

$\frac{\mu^2}{2\pi^2}$  = axial anomaly term is topologically protected

$\kappa \frac{T^2}{6}$  = holographic gravitational anomaly

Landsteiner, Megias, Melgar, Pena-Benitez, JHEP 1109, 121 (2011) [Gauge-gravity correspondence]

Lattice QCD results in  $\kappa = 0$  in confined phase and  $\kappa \leq 0.1$  in deconfined phase

[Braguta, et al., PRD 88, 071501 (2013); 89, 074510 (2014)]

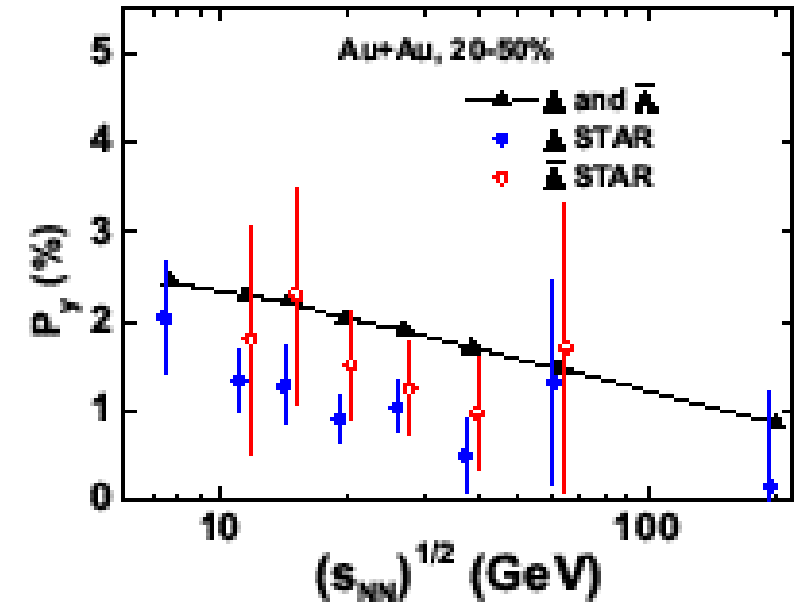
# Chiral vortical effect (CVE): Coalescence

## Coalescence-like hadronization:

quarks coalesce into hadrons,  
keeping their polarization.

$\Lambda$  --  $\bar{\Lambda}$  polarization splitting is not explained

Only BES-RHIC energies were studied



Sun and Ko, PRC 96, 024906 (2017)

# Axial-vortical-effect (AVE):

Axial-charge conservation at hadronization

$$P_{\Lambda} = \int d^3x (J_{5s}^0 / u_y) / (N_{\Lambda} + N_{anti-K^*})$$
$$P_{anti-\Lambda} = \int d^3x (J_{5s}^0 / u_y) / (N_{anti-\Lambda} + N_{K^*})$$

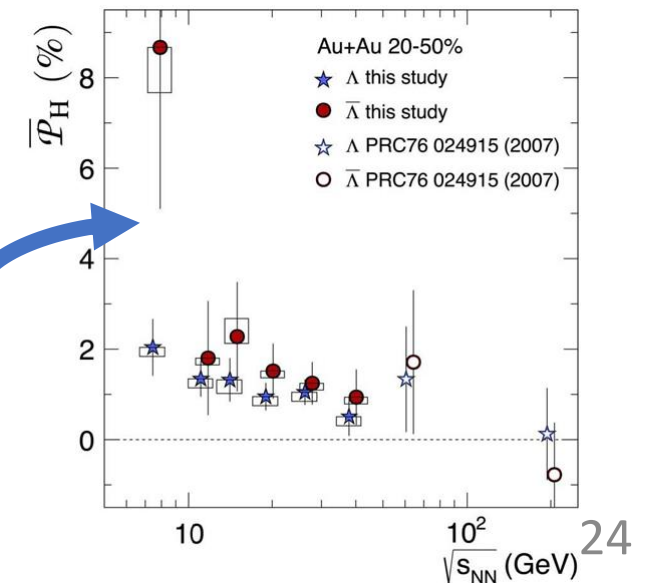
$u_y$  results from boost to the local rest frame of the matter

Sorin and Teryaev, PRC 95, 011902 (2017)

$P_{\Lambda}$  and  $P_{anti-\Lambda}$  are quite different

Therefore,

$\Lambda$  --  $\bar{\Lambda}$  splitting can be addressed

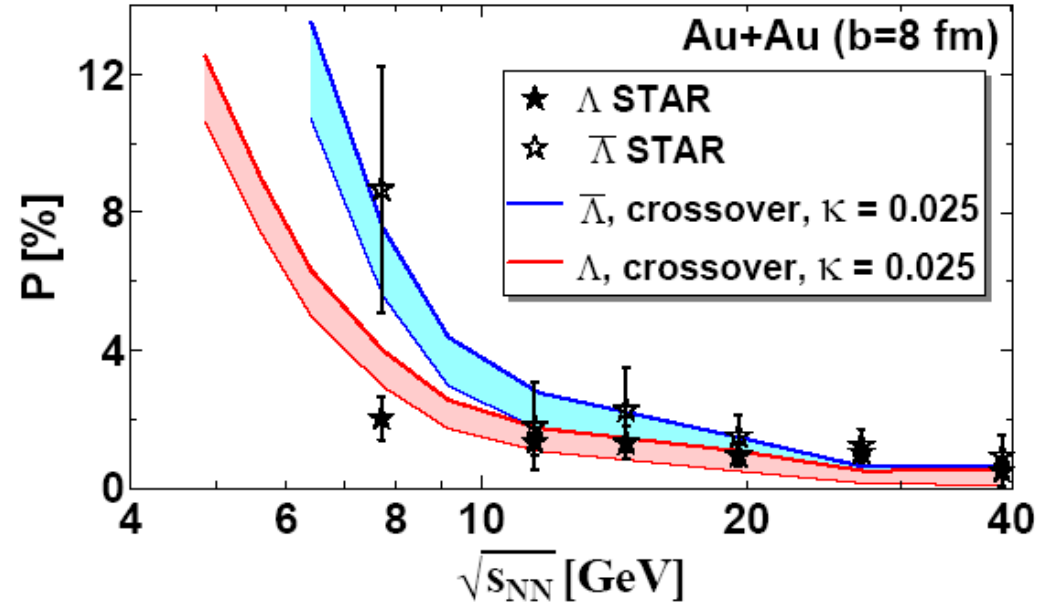
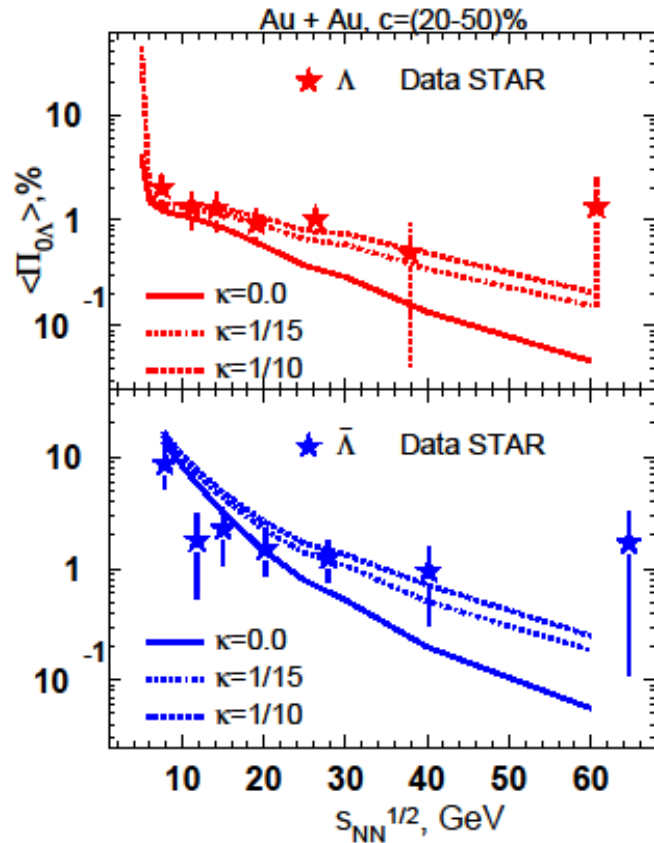




# Axial-vortical-effect (AVE) polarization

Baznat, Gudima, Sorin, Teryaev,  
PRC 97, 041902 (2018)

YI, PRC 102 (2020) 4, 044904



$\Lambda$  --  $\bar{\Lambda}$  splitting  
is explained

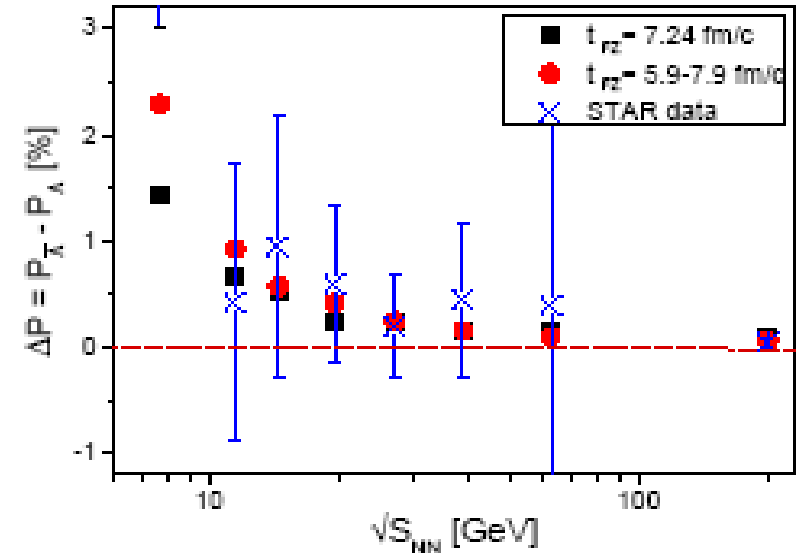
- **CVE and AVE are hardly applicable below NICA range**
- because the chiral symmetry is spontaneously broken.

# $\Lambda$ -- $\bar{\Lambda}$ polarization splitting: possible solutions

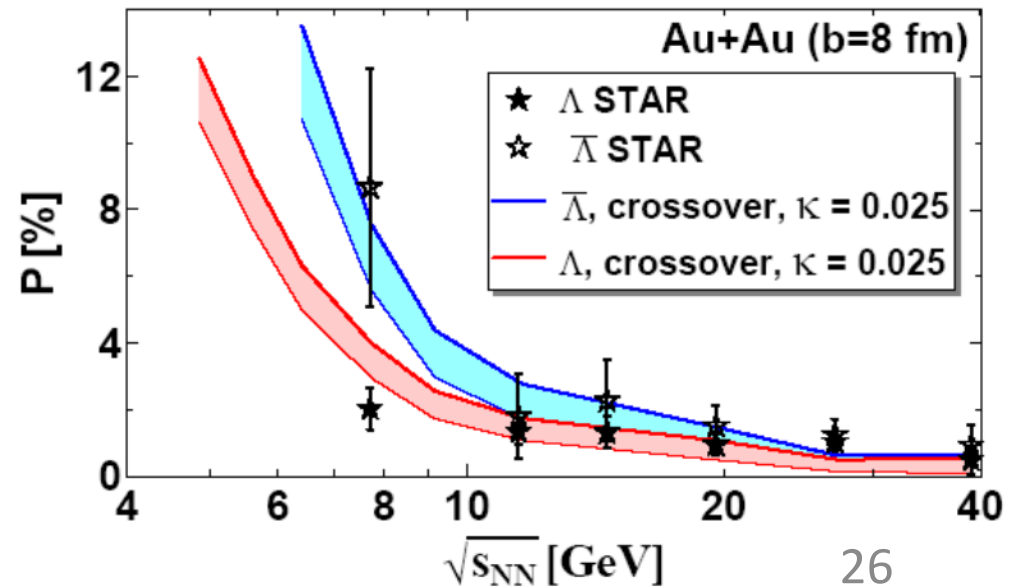
Interaction mediated by massive vector and scalar bosons (Walecka-like model)

Csernai, Kapusta, Welle, PRC 99, 021901 (2019)

Xie, Chen, Csernai, EPJC 81, 12 (2021) 



AVE naturally explains the  $\Lambda$  --  $\bar{\Lambda}$  splitting



# Problem: $\Lambda$ -- $\bar{\Lambda}$ polarization splitting

In the standard thermodynamic approach this splitting is either very small

or simply small, if different freeze-out for  $\Lambda$  and  $\bar{\Lambda}$  is taken into account,

Vitiuk, Bravina and Zabrodin, *Phys. Lett. B* 803, 135298 (2020)

while exp. difference is large at 7.7 GeV, although error bars for  $\bar{\Lambda}$  are also large.

