

In-medium effects in strangeness production in heavy-ion collisions at (sub-)threshold energies

Elena Bratkovskaya

(GSI Darmstadt & Uni. Frankfurt)

in collaboration with

Taesoo Song, Laura Tolos, Joana Wirth, Jörg Aichelin

(GSI, Barcelona Uni., TU München, SUBATECH)



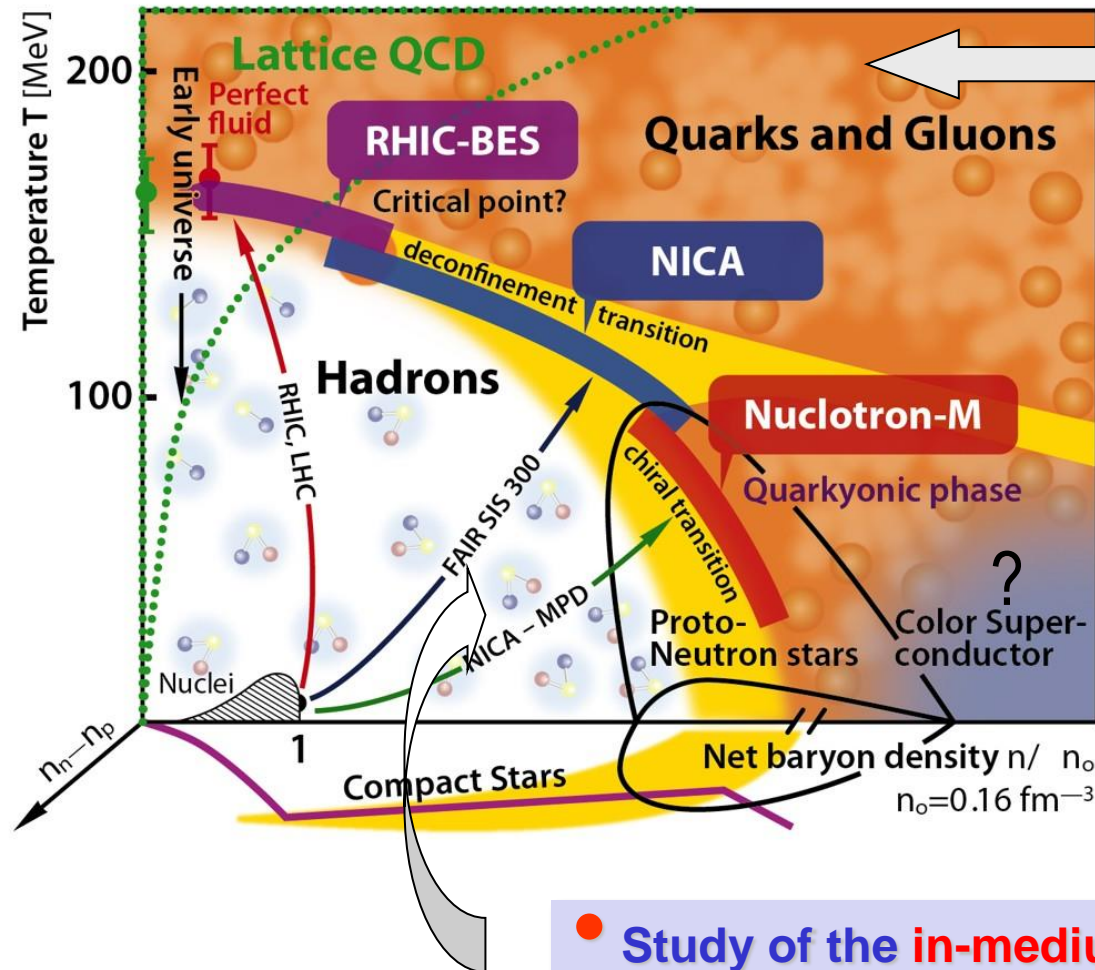
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Physics (ICNFP 2020)

Kolymbari, Greece, 23 August - 3 September 2021

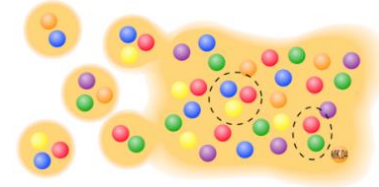


The ,holy grail' of heavy-ion physics:

The phase diagram of QCD



- Search for the **critical point**



- Study of the **phase transition** from hadronic to partonic matter – **Quark-Gluon-Plasma**

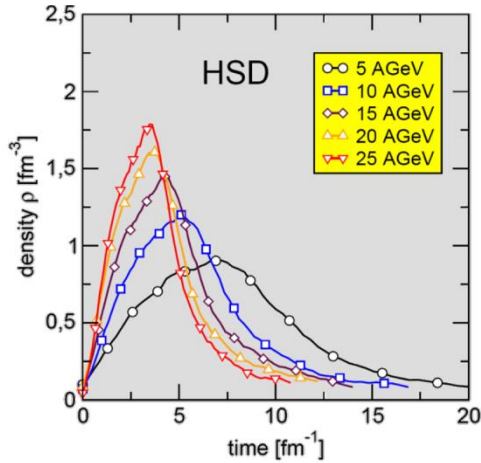
- Search for signatures of **chiral symmetry restoration**

- Search for the **critical point**

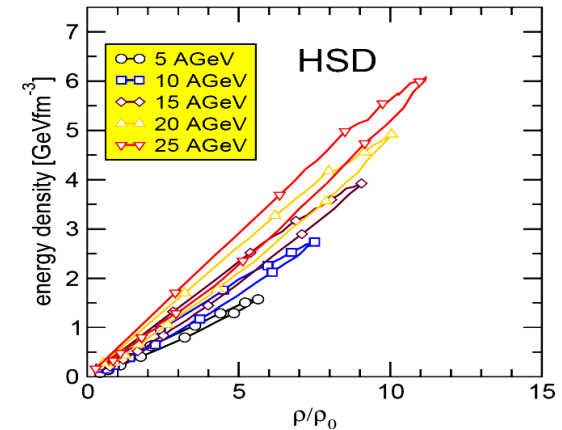
- Study of the **in-medium** properties of hadrons at high baryon density and temperature

Dense and hot matter created in HICs

Time evolution of baryon density ρ



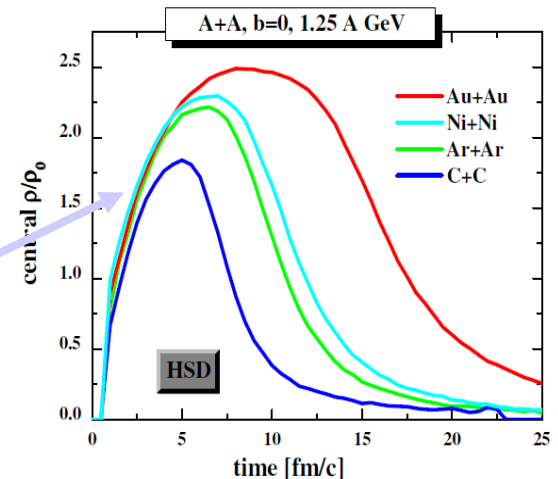
Energy density vs. ρ/ρ_0



Large energy and baryon densities (even above critical $\varepsilon > \varepsilon_{\text{crit}} \sim 0.5 \text{ GeV}/\text{fm}^3$) are reached in the central reaction volume at CBM and BM@N/NICA energies ($> 5 \text{ A GeV}$)
 → a phase transition to the **QGP**

- **At SIS energies:** baryon density in central A+A collisions at 1.25 A GeV:
 - increases with nuclear size up to $2.5 \rho_0$
 - the reaction time is larger for heavy systems

→ **Highly dense matter is created already at SIS energies!**



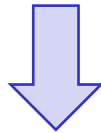
In-medium effects

The hadrons - in particular **strange mesons (K, Kbar and K*)** - modify their properties in the dense and hot nuclear medium due to the strong interaction with the environment

Models:

□ chiral SU(3) model, chiral perturbation theory, relativistic mean-field models: KN-potential → ,dropping' of K⁻ mass and ,enhancement' of K⁺ mass

Kaplan and Nelson, PLB 175 (1986) 57;
Weise, Brown, Schaffner, Krippa, Oset, Lutz, Mishra, ... et al.

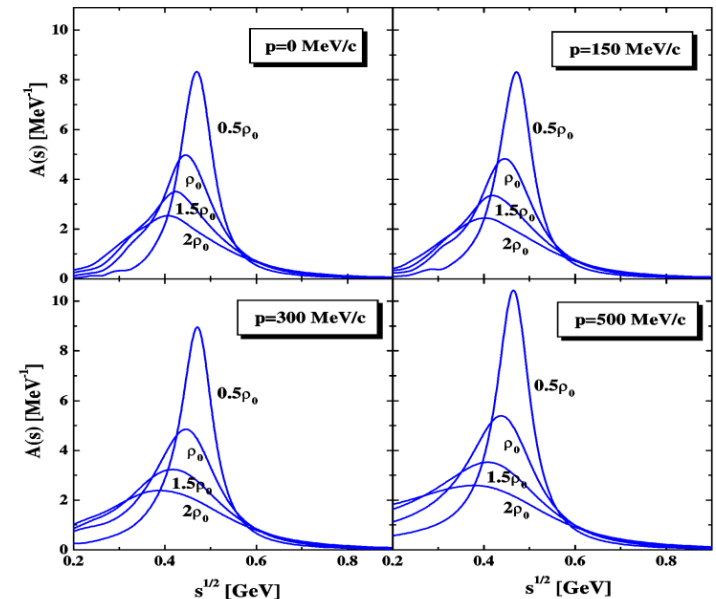
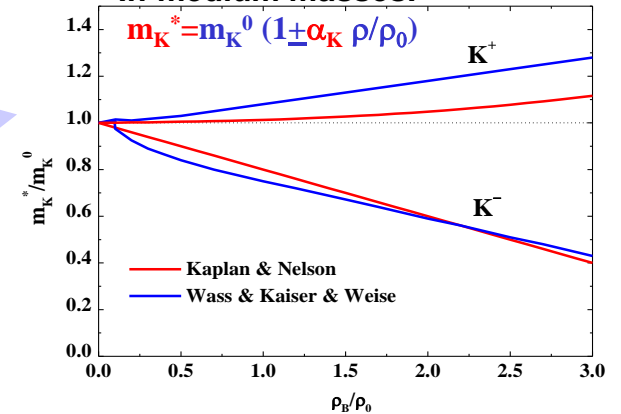


□ self-consistent coupled-channel approach - G-matrix:

→ momentum, density and temperature dependent spectral function of antikaons A(p_K,ρ,T): in-medium modification of the real and imaginary part of the self-energy (mass and width)

Tolos et al., NPA 690 (2001) 547

In-medium masses:



Strangeness production in NN vs. AA

- ❖ How to observe experimentally in-medium effects in strangeness production?
- ❖ How to quantify K^+, K^- in-medium properties (potentials and spectral functions)?

→ Study **strange meson ($K, Kbar$) production in A+A at (sub-)threshold energies**

□ NN collisions:

$NN \rightarrow NNK^+K^-$

$NN \rightarrow NYK^-$

no K^+, K^- production if $s^{1/2} < s^{1/2}_{th}$

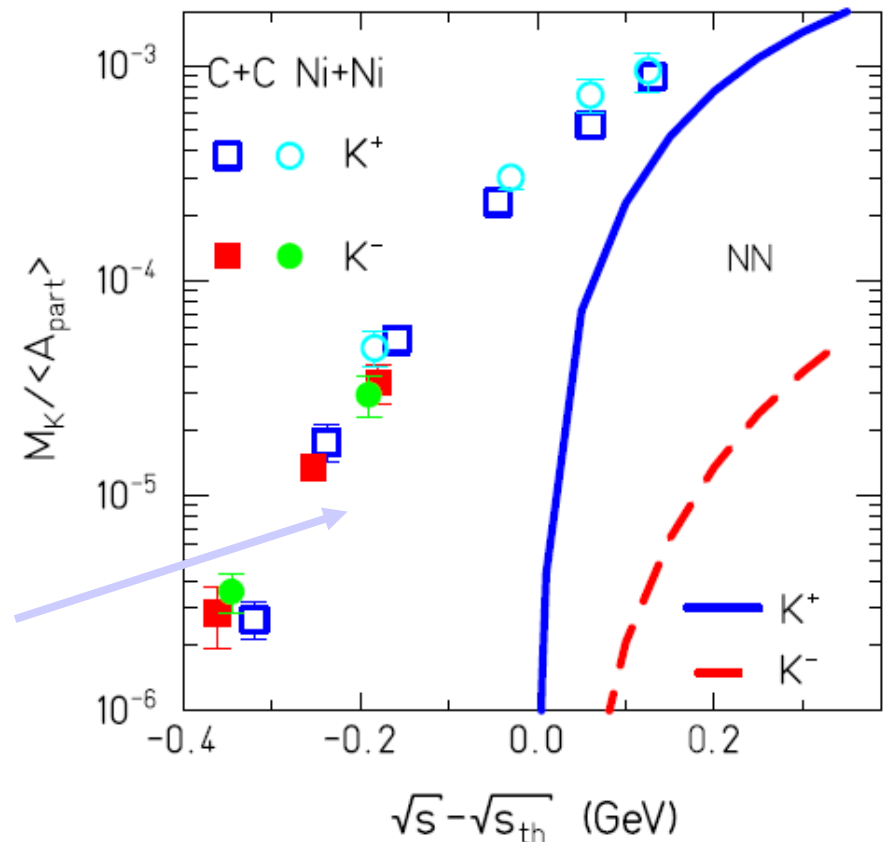
E.g.:

$N+N \rightarrow N+\Lambda+K^+$ requires

$\Delta E = 2M_N - (M_K + M_\Lambda + M_N) = 670 \text{ MeV}$

□ AA collisions:

experimental observation of K^+, K^- production below the NN-threshold !



Near threshold strangeness production in AA

I. Strangeness production channels at low energies

- baryon-baryon collisions:**

$$B + B \rightarrow B + Y + K$$

$$B + B \rightarrow B + B + K + \bar{K}$$

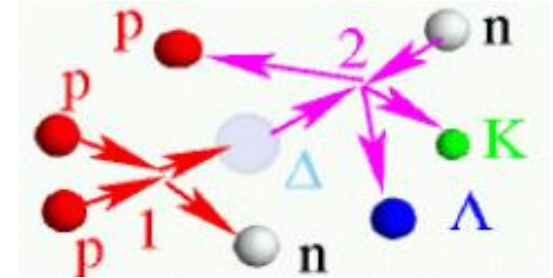
$$B + Y \rightarrow B + B + \bar{K}$$

$$K = (K, K^0)$$

$$\bar{K} = (K^-, \bar{K}^0)$$

$$B = (N, \Delta, \dots)$$

$$Y = (\Lambda, \Sigma)$$



Plots by C. Hartnack

- meson-baryon collisions:**

$$\pi + B \rightarrow B + K + \bar{K}$$

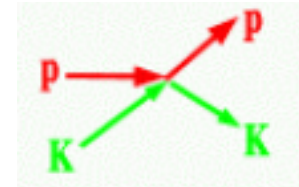
$$\pi + Y \rightarrow B + \bar{K}$$
- meson-meson collisions:**

$$\pi + \pi \rightarrow K + \bar{K}$$
- resonance decays:**

$$K^* \rightarrow \pi + K, \dots, \phi \rightarrow K + \bar{K}$$

...

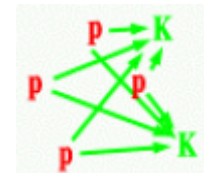
dominant channel for low energy K^- production!

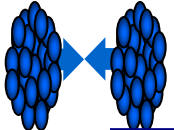


II. Strangeness rescattering

= (quasi-)elastic scattering with baryons and mesons

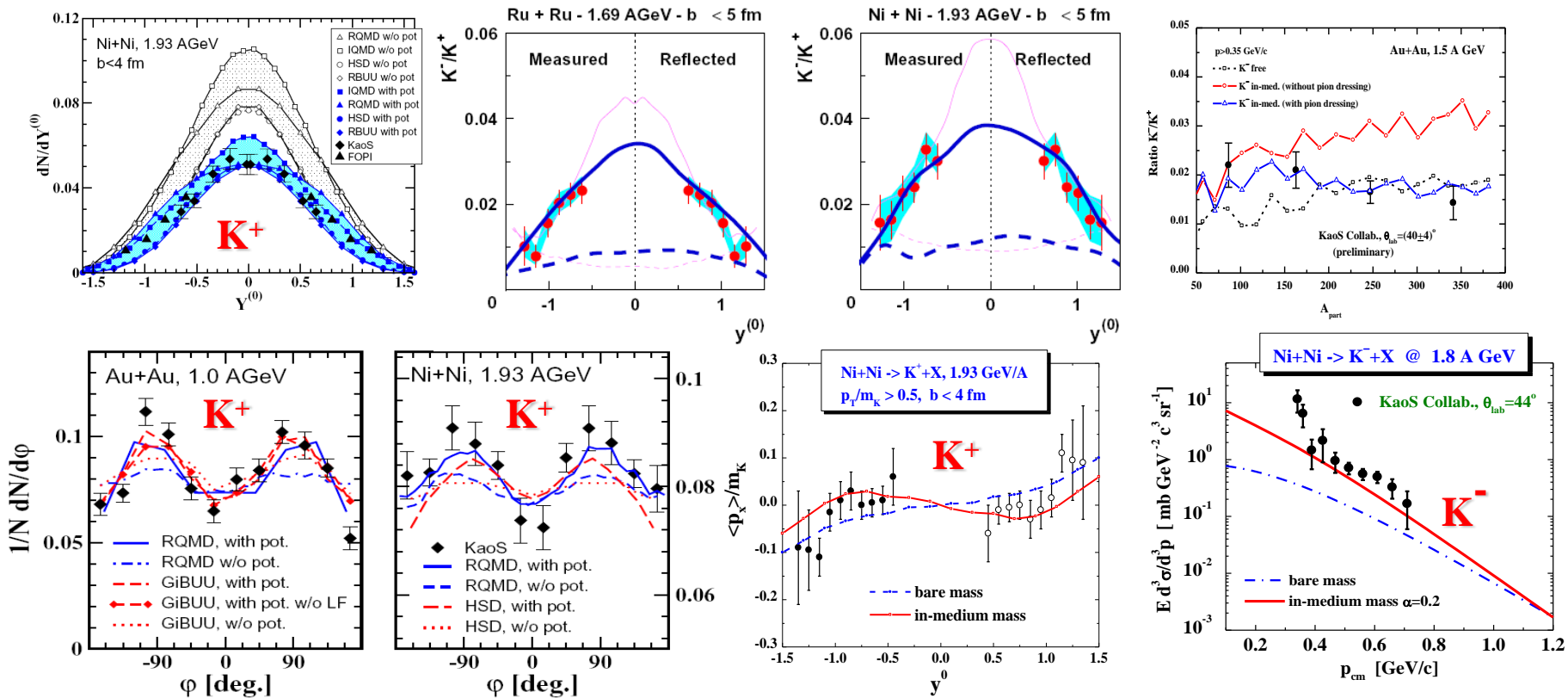
The production cross sections and self-energies of K, Kbar are **modified in the nuclei medium** !





... long history ...

Transport models vs. experimental data - highlights



Heavy-ion experiments at SIS energies (FOPI, KaoS, HADES):

Observables: invariant yield, rapidity spectra, ratios, flow, angular distr. →

- Moderate **repulsive** potential for K^+
- Stronger **attractive** potential for K^-

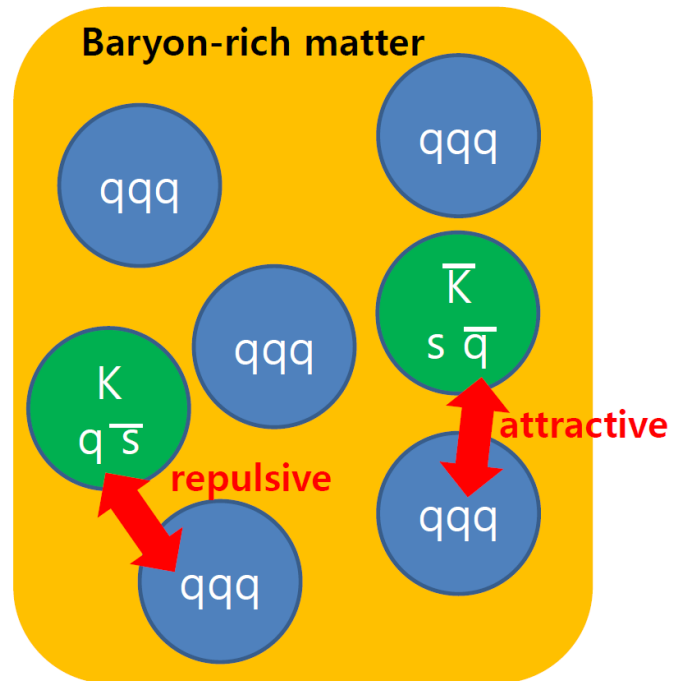


- Is it a final answer?
 - No! A consistent theoretical description of the variety of observables is not yet achieved!

In-medium effects:

I. Kaons – repulsive potential

II. Antikaons – G-matrix



I. Kaons - repulsive potential

□ modification of kaons $K=(K^+, K^0)$ in the dense and hot medium:

Repulsive potential in nuclear matter:

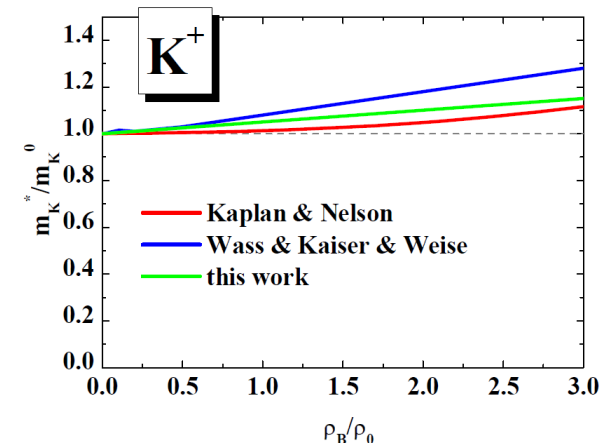
$$V_K = 25 \text{ MeV} \times (\rho/\rho_0)$$

Single-particle energy of the kaon in nuclear matter is approximated in the nonrelativistic limit by

$$\mathcal{E} = \sqrt{m_K^2 + p^2 + \text{Re } \Sigma} \simeq E_K + \frac{\text{Re } \Sigma}{2E_K} = E_K + V_K$$

V_K is related to **an increase of the effective mass**:

$$\begin{aligned} m_K^* &= \sqrt{m_K^2 + \text{Re } \Sigma} = \sqrt{m_K^2 + 2E_K V_K} \\ &\simeq m_K \left(1 + \frac{E_K V_K}{m_K^2} \right) \simeq m_K \left(1 + \frac{25 \text{ MeV}}{m_K} \frac{\rho}{\rho_0} \right) \end{aligned}$$



II. Antikaons: a coupled-channel G-matrix approach

- modification of antikaons $\bar{K}=(\bar{K},\bar{K}^0)$ in the dense and hot medium: based on a self-consistent and unitary coupled-channel approach
 → **G-matrix** (the latest edition*)

Basic ideas:

SU(3) meson-baryon chiral Lagrangian, which incorporates the **s-** and **p-waves** of the antikaon-nucleon interaction

* Improved!

$$L = \langle \bar{B}i\gamma^\mu \nabla_\mu B \rangle - M \langle \bar{B}B \rangle + \frac{1}{2}D \langle \bar{B}\gamma^\mu \gamma_5 \{u_\mu, B\} \rangle + \frac{1}{2}F \langle \bar{B}\gamma^\mu \gamma_5 [u_\mu, B] \rangle,$$

Spin 1/2+ SU(3) baryon octet

$$B = \begin{pmatrix} \frac{1}{\sqrt{2}}\Sigma^0 + \frac{1}{\sqrt{6}}\Lambda & \Sigma^+ & p \\ \Sigma^- & -\frac{1}{\sqrt{2}}\Sigma^0 + \frac{1}{\sqrt{6}}\Lambda & n \\ \Xi^- & \Xi^0 & -\frac{2}{\sqrt{6}}\Lambda \end{pmatrix}$$

$$\nabla_\mu B = \partial_\mu B + [\Gamma_\mu, B],$$

$$\Gamma_\mu = \frac{1}{2}(u^\dagger \partial_\mu u + u \partial_\mu u^\dagger),$$

$$U = u^2 = \exp(i\sqrt{2}\Phi/f),$$

$$u_\mu = iu^\dagger \partial_\mu U u^\dagger,$$

SU(3) pseudo-scalar meson octet

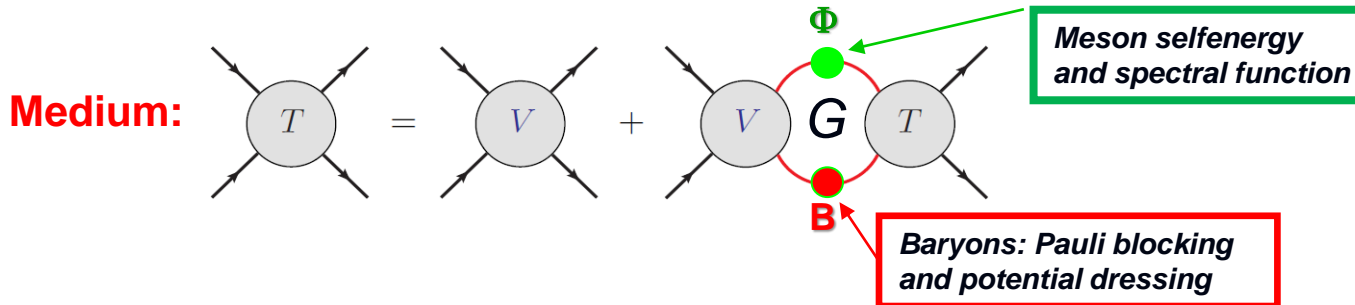
$$\Phi = \begin{pmatrix} \frac{1}{\sqrt{2}}\pi^0 + \frac{1}{\sqrt{6}}\eta & \pi^+ & K^+ \\ \pi^- & -\frac{1}{\sqrt{2}}\pi^0 + \frac{1}{\sqrt{6}}\eta & K^0 \\ K^- & \bar{K}^0 & -\frac{2}{\sqrt{6}}\eta \end{pmatrix}$$

1) **1st G-matrix** (based on the Jülich meson-exchange model): L. Tolos et al., NPA 690 (2001) 547

2) * **Improved** (based on SU(3) mB chiral Lagrangian): D. Cabrera, L. Tolos, J. Aichelin, E.B., PRC90 (2014) 055207

The coupled-channel G-matrix approach

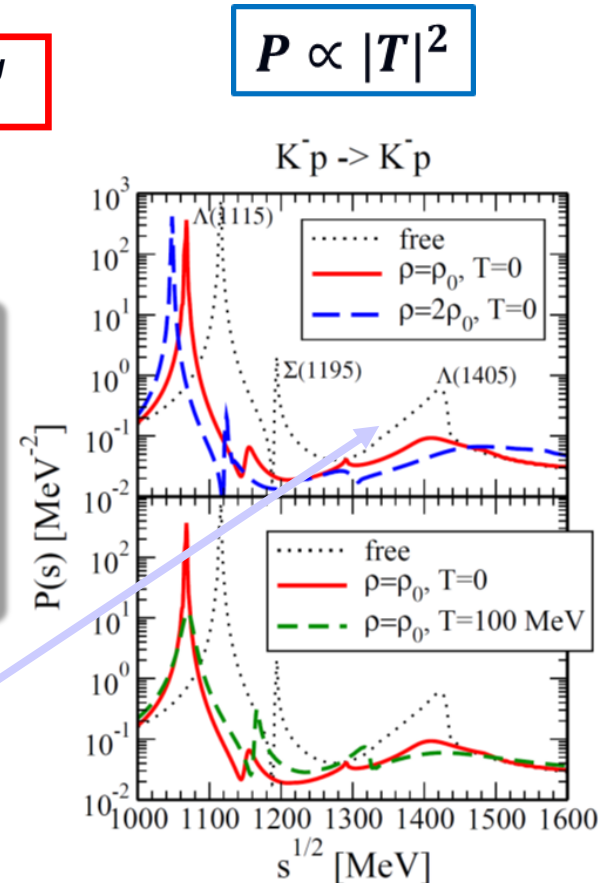
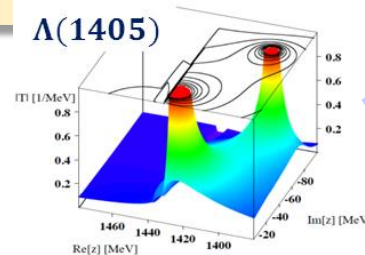
Solution of the Bethe-Salpeter equation in coupled channels:



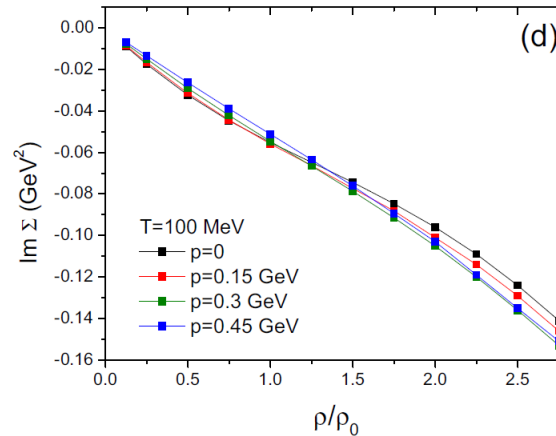
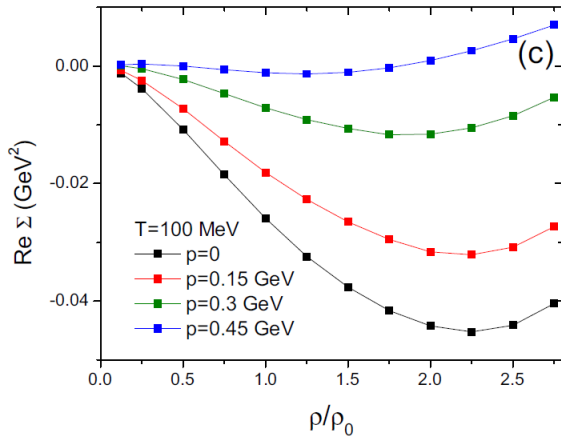
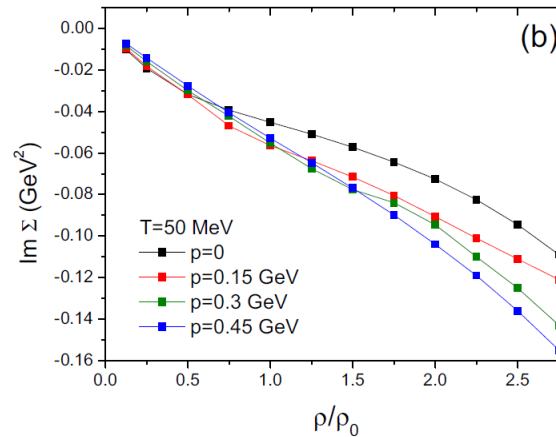
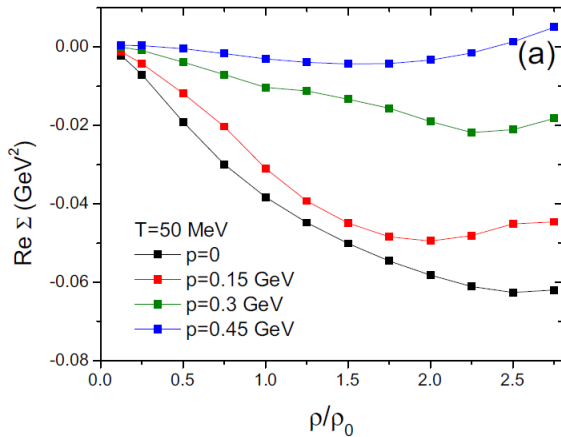
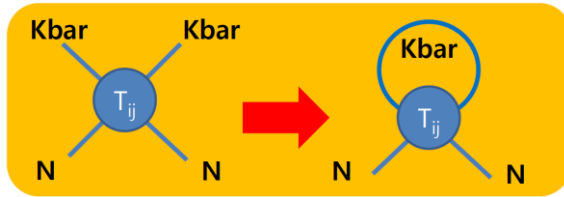
$$T_{ij}(\rho, T) = V_{ij} + V_{il} G_l(\rho, T) T_{lj}(\rho, T)$$

Coupled-channels [full $SU(3)$ basis, isospin $I = 0, 1$]

- $S = -1$: $K^-p, \bar{K}^0n, \pi^0\Lambda, \pi^0\Sigma^0, \eta\Lambda, \eta\Sigma^0, \pi^+\Sigma^-, \pi^-\Sigma^+, K^+\Xi^-, K^0\Xi^0, K^-n, \pi^0\Sigma^-, \pi^-\Sigma^0, \pi^-\Lambda, \eta\Sigma^-, K^0\Xi^-$
- $S = +1$: $K^+p; K^+n, K^0p$



The self-energy of Kbar (K^- , $K0bar$)



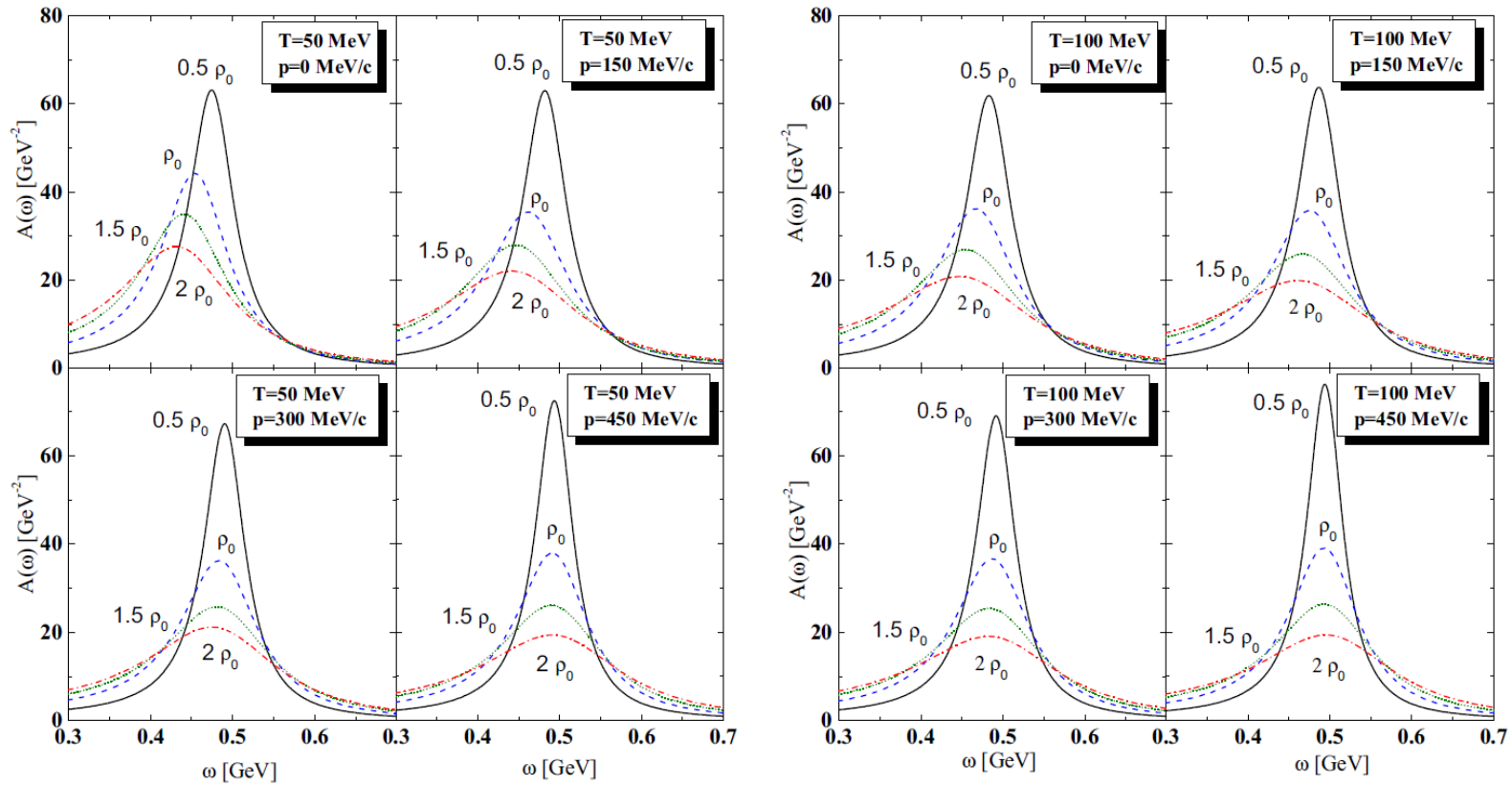
- Real & imaginary self-energies decrease with nuclear density for static antikaons ($p=0$) (black lines)
- Temperature effect is weak
- The **real part** of self-energy decreases with increasing antikaon momentum p
- The **imaginary part** of self-energy depends on p only weakly
- improved G-matrix (with p -wave in SU(3) chiral Lagrangian): potential ($\text{Re } \Sigma$) is more shallow at finite p

Spectral function of antikaon

$$A_{\bar{K}}(\omega, \mathbf{k}) = \frac{-2 \text{Im} \Sigma_{\bar{K}}}{(\omega^2 - \mathbf{k}^2 - m_{\bar{K}}^2 - \text{Re} \Sigma_{\bar{K}})^2 + (\text{Im} \Sigma_{\bar{K}})^2}$$

T=50 MeV

T=100 MeV



- **Broadening** of spectral function with density
- Relatively weak T and p dependence of $\text{Im}\Sigma$

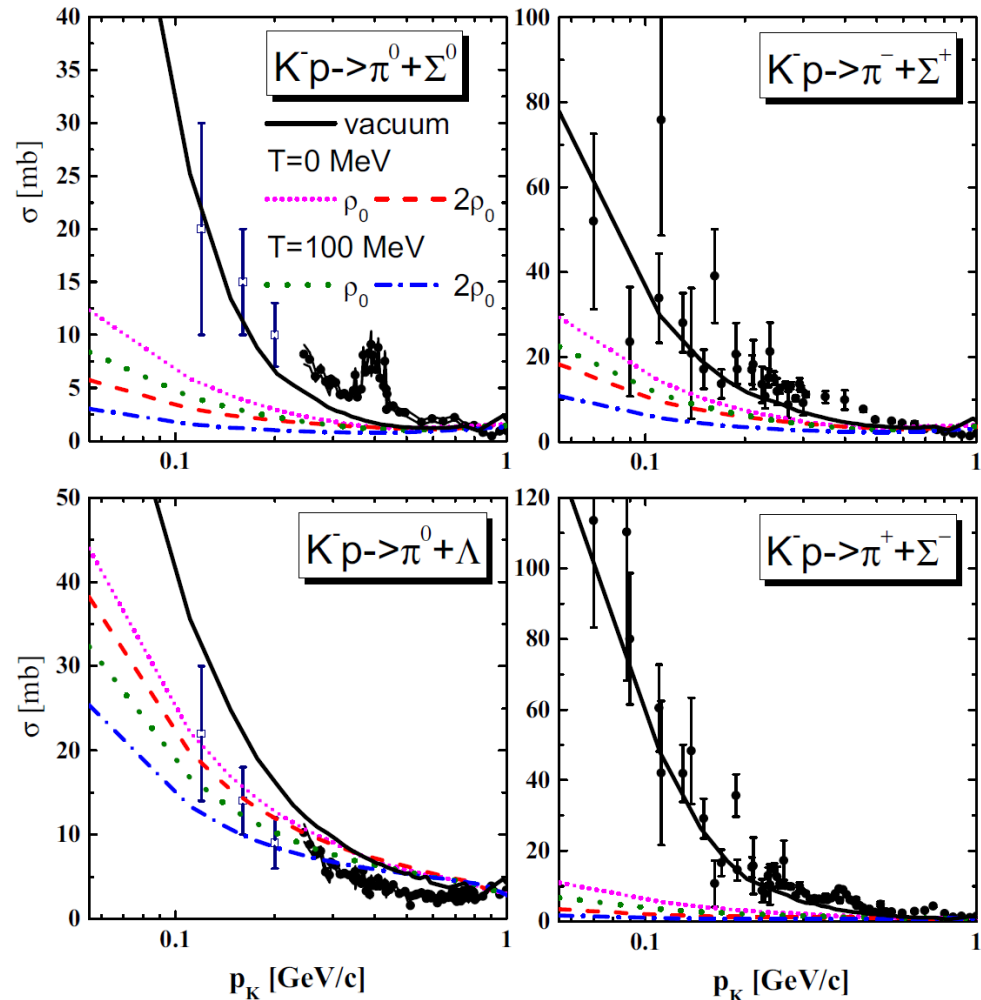
In-medium interaction cross sections

In-medium interaction cross sections:

$$\frac{d\sigma_{ij}}{d\Omega}(\sqrt{s}) = \frac{1}{16\pi^2} \frac{M_i M_j}{s} \frac{q_j}{q_i} \times \left\{ |T_{ij}^s + (2T_{ij+}^p + T_{ij-}^p) \cos \theta|^2 + |T_{ij+}^p - T_{ij-}^p|^2 \sin^2 \theta \right\},$$

in-medium transition amplitudes

- Free cross sections ($T=0, \rho=0$) are comparable with the experimental data from elementary collisions
- Cross sections **decrease with increasing nuclear density**, partly due to Pauli blocking



In-medium K, Kbar production cross sections

□ Kaon production

K production is **suppressed**: $\Delta m_K > 0$ – shift of threshold to larger $s^{1/2}$:

$$\sigma_{NN \rightarrow NYK}(\sqrt{s}) \rightarrow \sigma_{NN \rightarrow NYK^*}(\sqrt{s} - \Delta m_K) \quad \text{where } \Delta m_K = m_K^* - m_K$$

□ Antikaon production

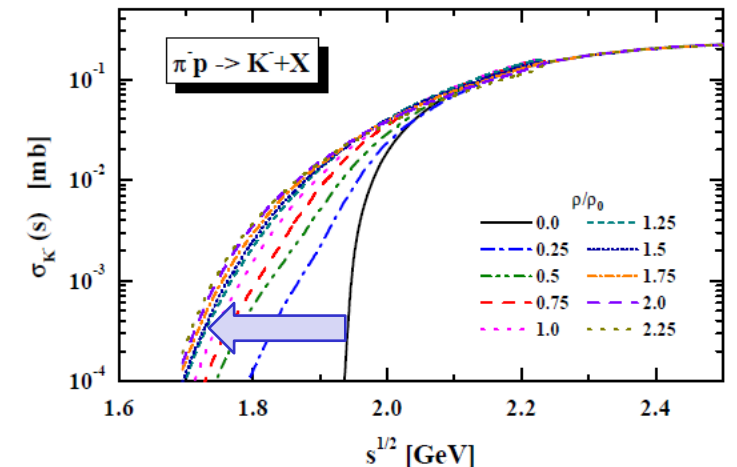
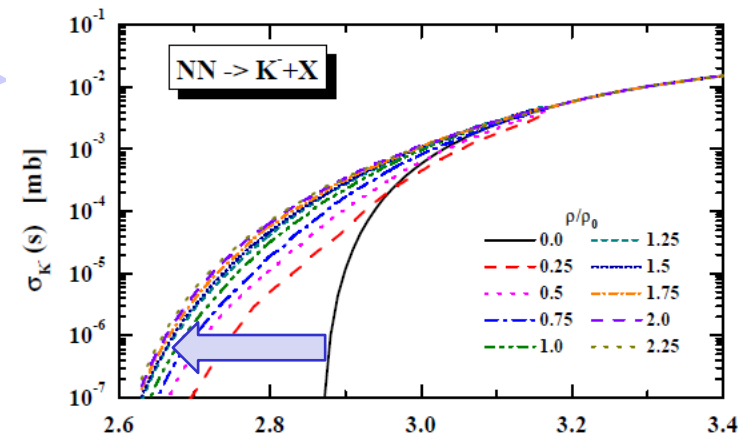
\bar{K} production is **enhanced**:

$\Delta m_{\bar{K}} < 0$ – shift of threshold to smaller $s^{1/2}$

$$\sigma_{\bar{K}}^*(\sqrt{s}) = \int_0^{(\sqrt{s}-m_4)^2} \frac{dm^2}{2\pi} A(m^2) \sigma_{\bar{K}}(\sqrt{s} - \Delta m_{\bar{K}})$$

□ Production of antikaon and kaon pairs (e.g.: $N \pi \rightarrow N K \bar{K}$, $\Phi \rightarrow K \bar{K}$, ...) :

$$\sigma_{K\bar{K}}^*(\sqrt{s}) = \int_0^{(\sqrt{s}-m_4)^2} \frac{dm^2}{2\pi} A(m^2) \sigma_{K\bar{K}}(\sqrt{s} - \Delta m_K - \Delta m_{\bar{K}})$$



**Dynamical description of in-medium
effects:**

**Off-shell transport theory for strongly
interacting systems**

Transport description of strongly interacting systems

Many-body theory :

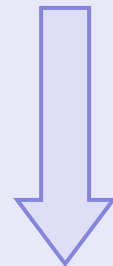
Strong interactions → **large width** = short life-time

→ broad spectral functions → **quantum objects**

- How to describe the **dynamics of broad** strongly interacting quantum states in **transport theory**?

Mandatory for the description of strongly-interacting matter and **in-medium effects**!

□ **semi-classical BUU**



First-order gradient expansion of quantum **Kadanoff-Baym equations** for Green function

□ **generalized transport equations**
= **off-shell transport approach!**

(Anti)kaon off-shell propagation

On-shell propagation for **kaon**
(normal BUU type)

$$\frac{dr_i}{dt} = \frac{\partial H}{\partial p_i} = \frac{p_i}{E},$$

$$\frac{dp_i}{dt} = -\frac{\partial H}{\partial r_i} = -\nabla V_K(r)$$

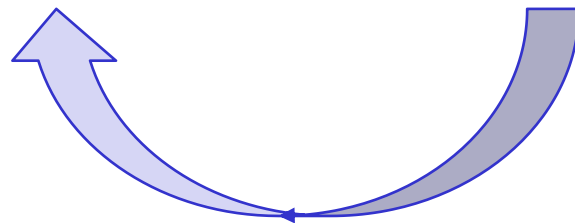
where $i = 1, 2, 3$.

Off-shell propagation for **antikaon** within
generalized Cassing-Juchem EoM based on
Kadanoff-Baym Equations

$$\frac{dr_i}{dt} = \frac{1}{1-C} \frac{1}{2E} \left[2p_i + \nabla_p \text{Re } \Sigma + \frac{M^2 - M_0^2}{\text{Im } \Sigma} \nabla_p \text{Im } \Sigma \right]$$

$$\frac{dp_i}{dt} = \frac{-1}{1-C} \frac{1}{2E} \left[\nabla_r \text{Re } \Sigma + \frac{M^2 - M_0^2}{\text{Im } \Sigma} \nabla_r \text{Im } \Sigma \right]$$

$$\frac{dE}{dt} = \frac{1}{1-C} \frac{1}{2E} \left[\partial_t \text{Re } \Sigma + \frac{M^2 - M_0^2}{\text{Im } \Sigma} \partial_t \text{Im } \Sigma \right]$$



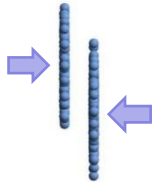
Equivalent in the limit $\text{Im}\Sigma, \nabla_p \text{Re}\Sigma \rightarrow 0$



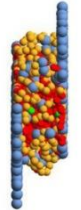
Parton-Hadron-String-Dynamics (PHSD)



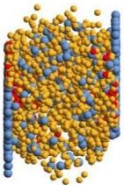
Initial A+A
collision



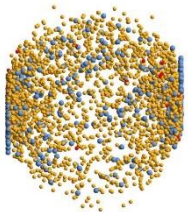
Partonic phase



Hadronization



Hadronic phase

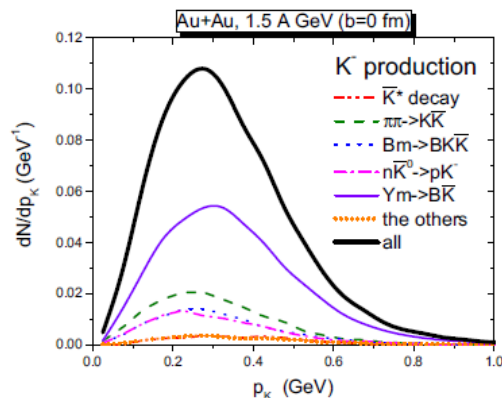
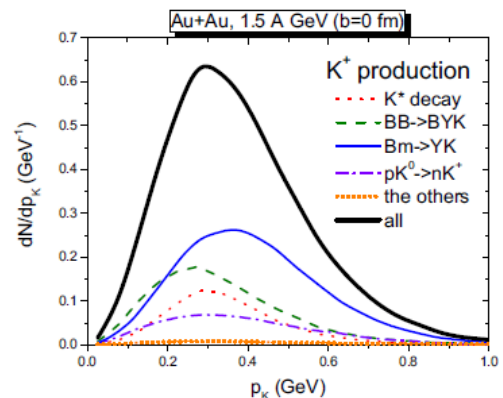
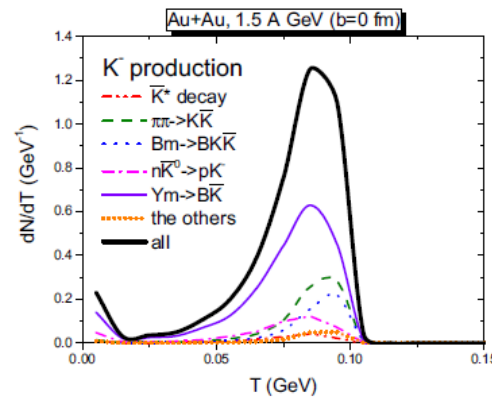
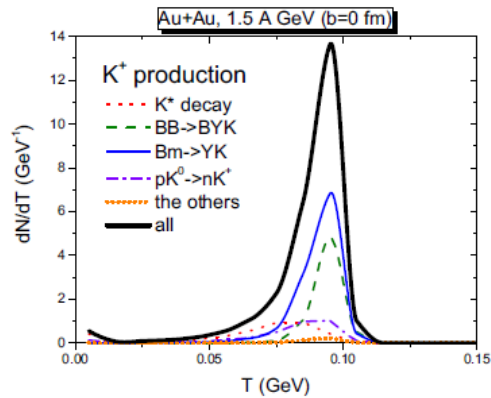
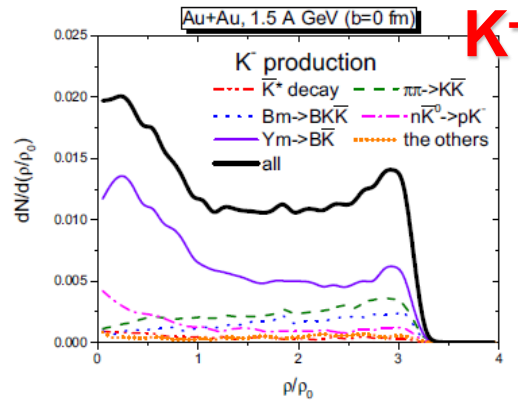
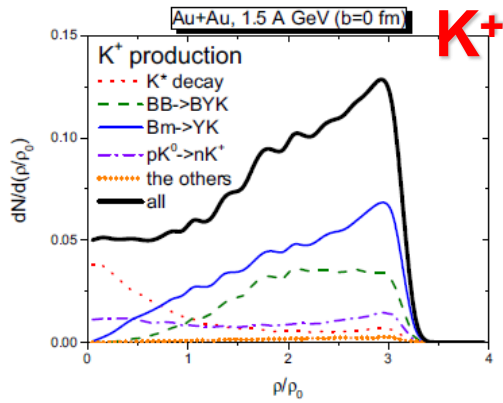


PHSD is a non-equilibrium microscopic transport approach for the description of **strongly-interacting hadronic and partonic matter** created in heavy-ion collisions

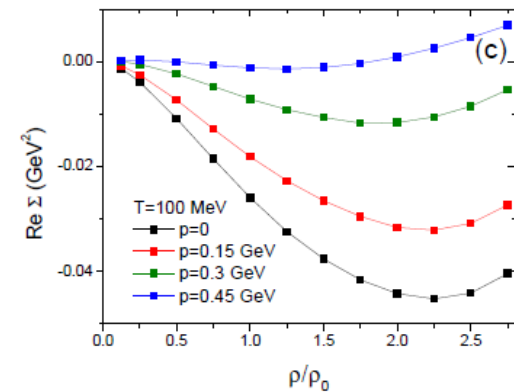
- **Dynamics:**
based on the solution of **generalized off-shell Cassing-Juchem transport equations** derived from Kadanoff-Baym many-body theory
- **Generalized off-shell collision integral** for $n \leftrightarrow m$ reactions:
applied here for strangeness production:
 $B+B \leftrightarrow B+Y+K$, $B+m \leftrightarrow B+K+Kbar$

Dynamics of strangeness at SIS energies

ρ , T , p distributions of (anti)kaon production

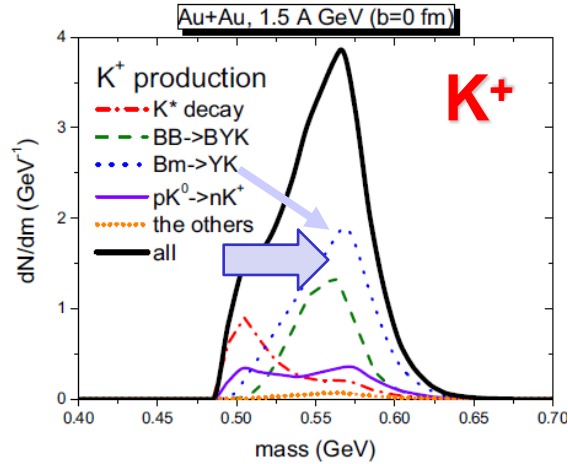


- K is produced at larger densities and higher temperatures than $Kbar$
- Momenta of produced K and $Kbar$ in nuclear matter are similarly peaked around $p=200-400$ MeV; at that momenta $Re\Sigma$ is small

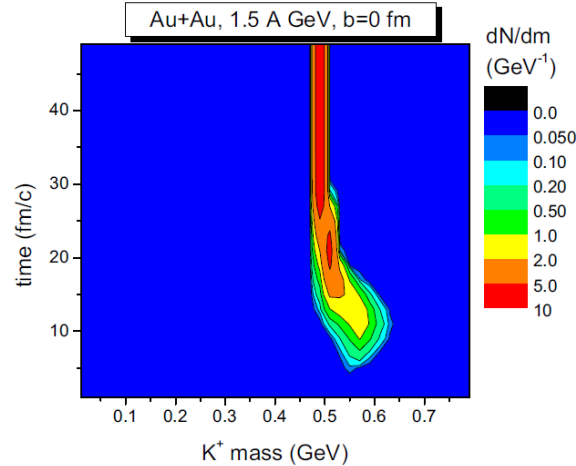


Time evolution of produced (anti)kaons

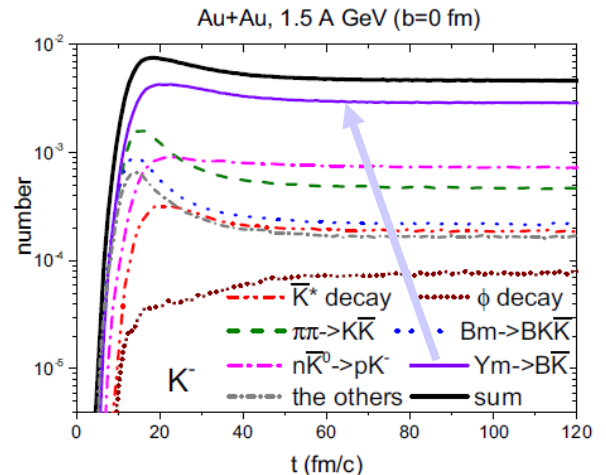
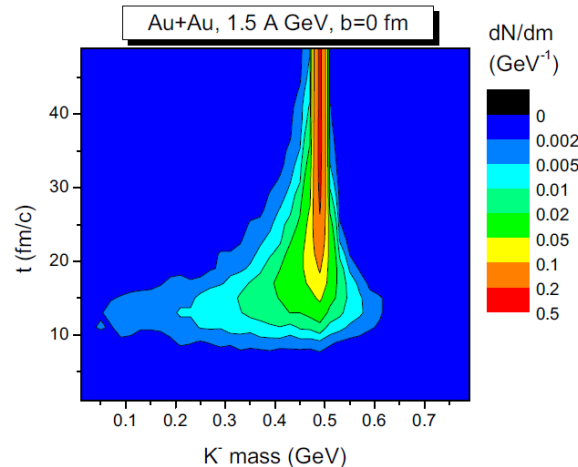
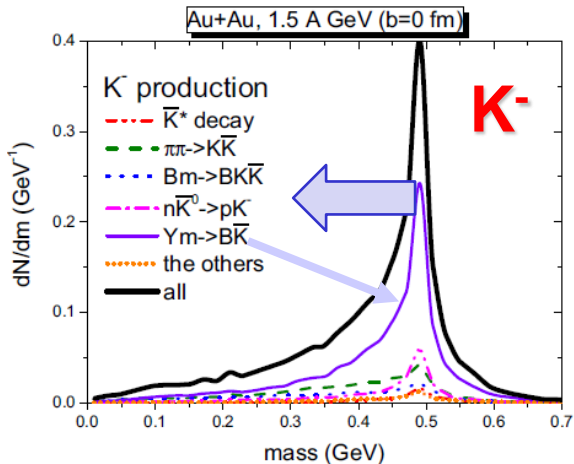
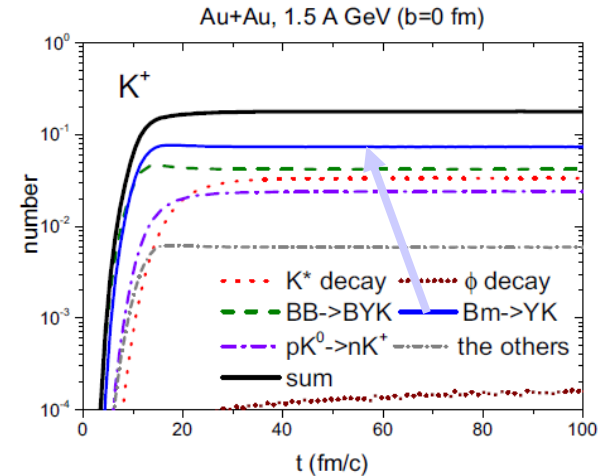
Mass distribution of K^+ , K^- at the production points



Time evolution of the K^+ , K^- masses



Channel decomposition of the K^+ , K^- production vs. time



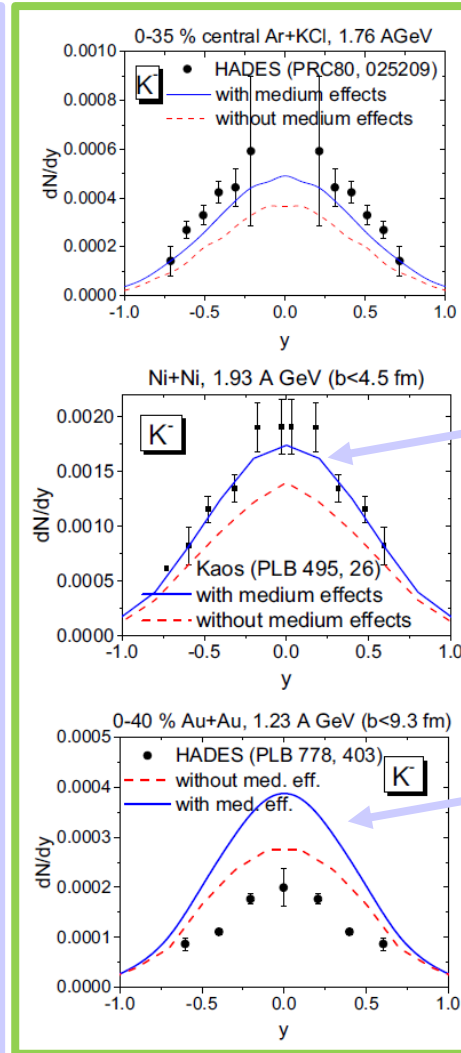
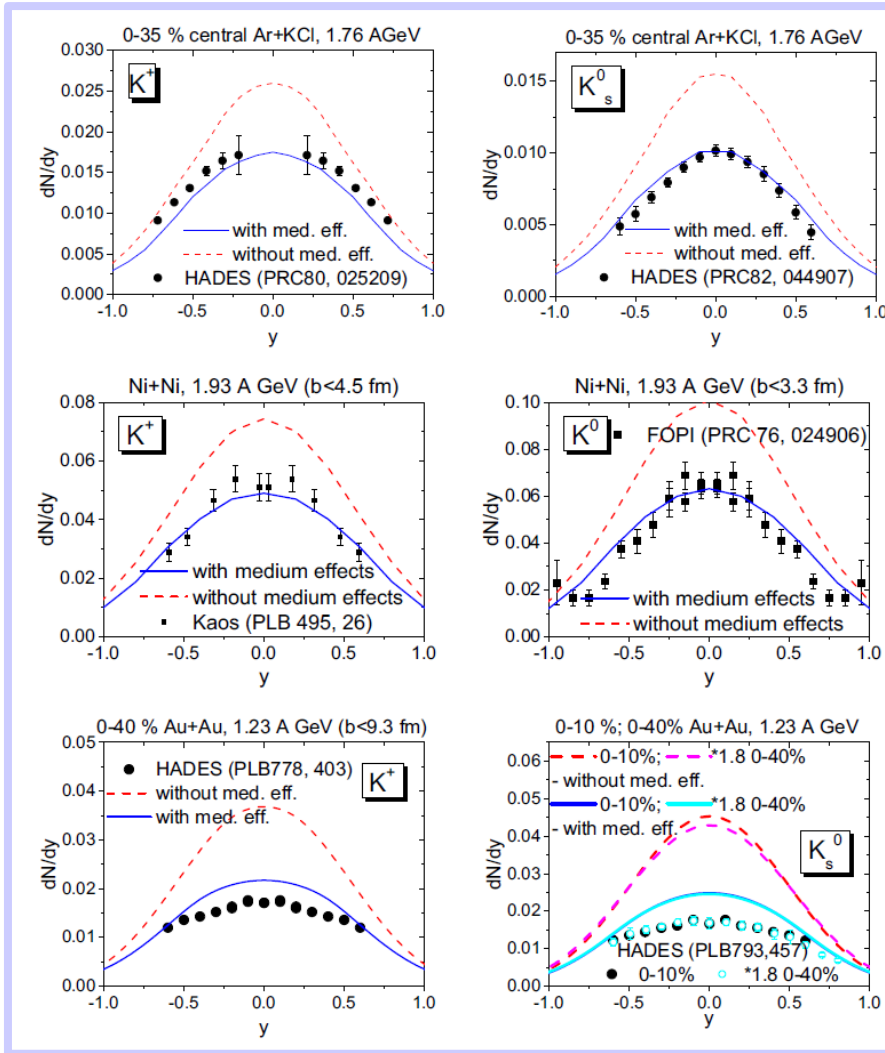
Comparison with experimental data at SIS energies

Rapidity distributions of (anti)kaons

T. Song et al., PRC 103, 044901 (2021)

K⁺

K⁻



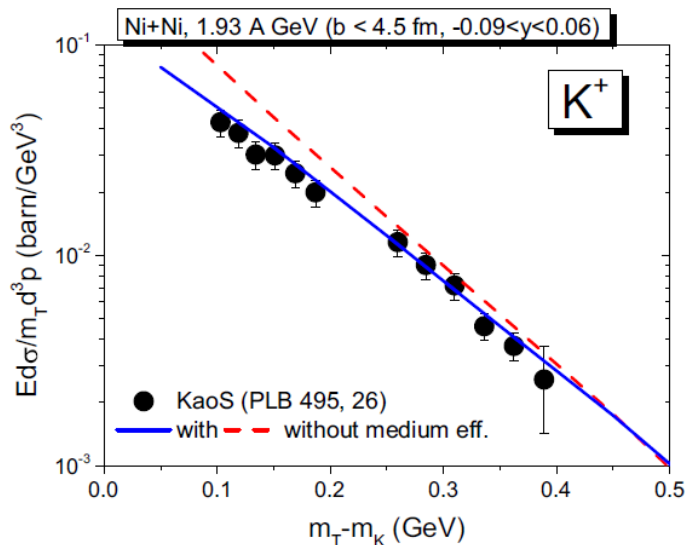
Good agreement with FOCI and KaoS data for K⁺ and K⁻ for light and heavy A+A systems, as well as with HADES data for semi-heavy systems

Tension with HADES data for K⁻ for Au+Au at 1.23 A GeV

□ Nuclear matter effects **suppress** kaon production

□ Nuclear matter effects **enhance** antikaon production

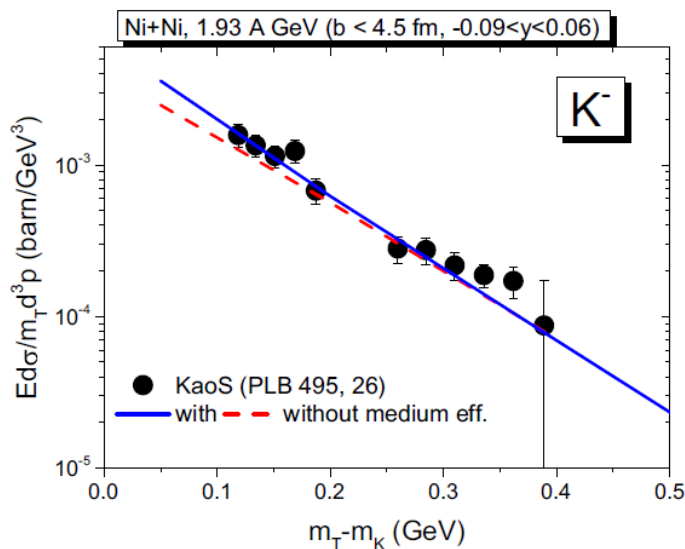
m_T spectra of (anti)kaons in central Ni+Ni collisions at 1.93 A GeV



In-medium effects:

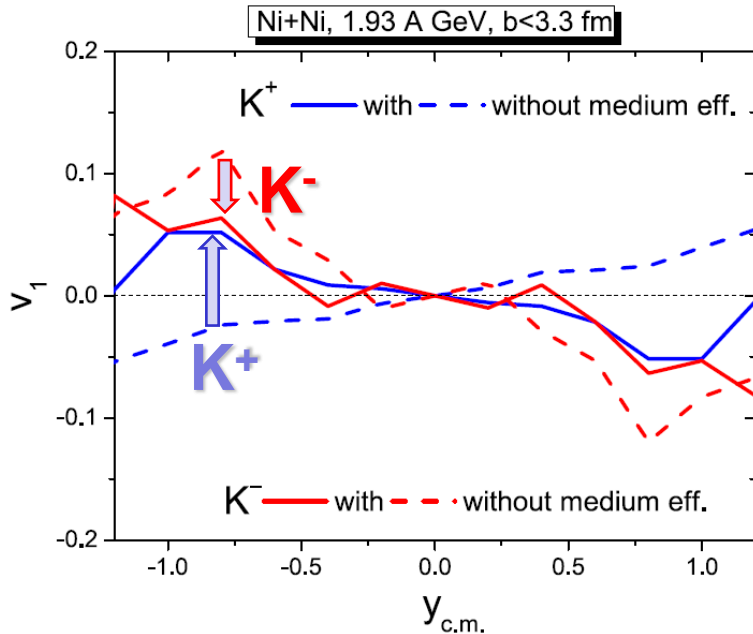
- suppresses kaon production
- hardens kaon spectrum
- enhances antikaon production
- softens antikaon spectrum since for $M < M_0$, $\text{Re}\Sigma \rightarrow 0$ and

$$\frac{dp_i}{dt} \approx -\frac{1}{2E} \frac{M^2 - M_0^2}{\text{Im}\Sigma} \nabla_r \text{Im}\Sigma,$$

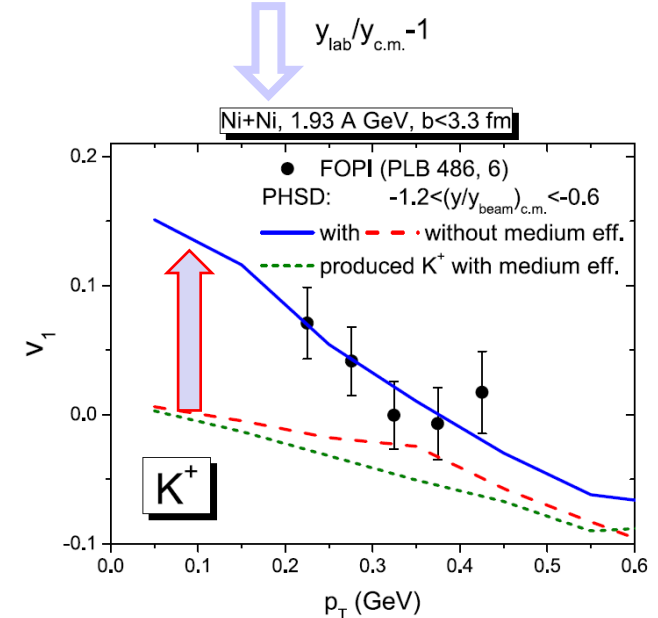
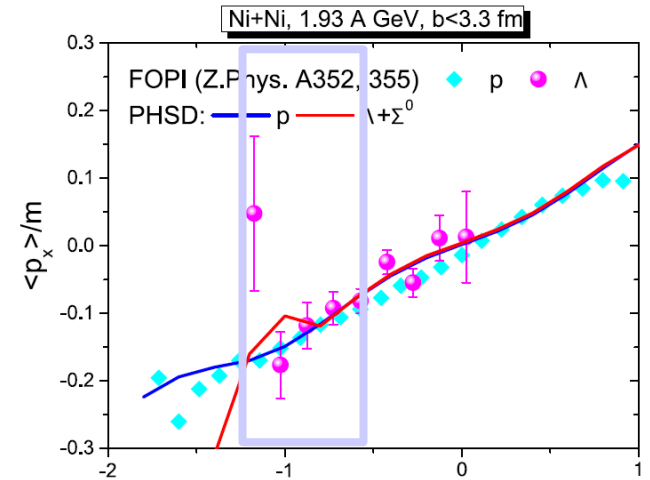


Directed flow (v_1)

$$\frac{dN(p_T, y)}{d\phi} = C[1 + 2v_1(p_T, y) \cos \phi + 2v_2(p_T, y) \cos(2\phi) + \dots]$$

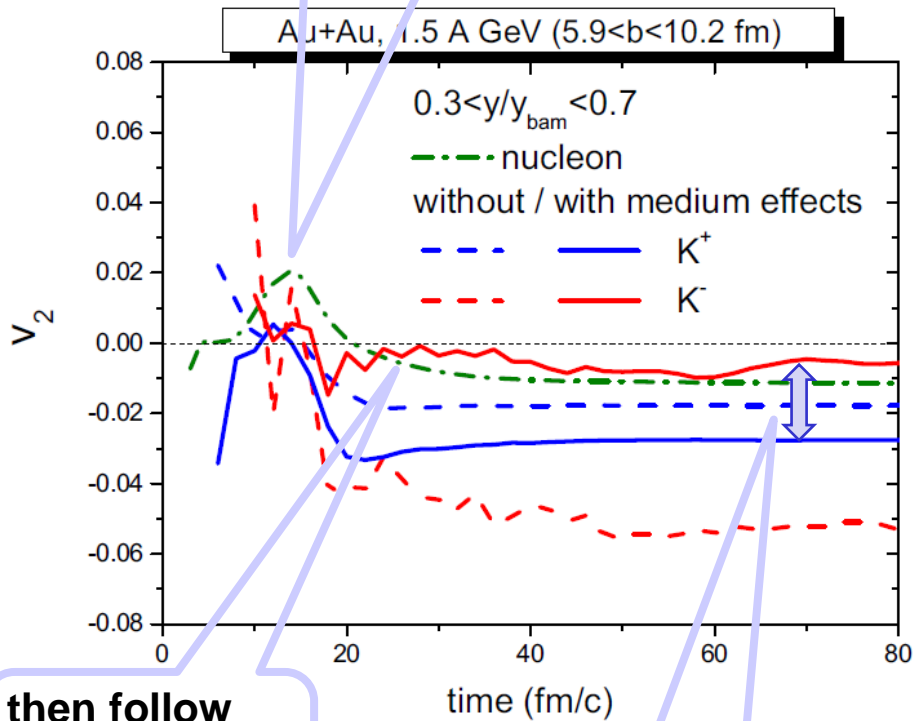


- v_1 of initial kaon follows that of nucleons while kaon is mostly produced by NN scattering
- repulsive force pushes v_1 of kaons upward
- attractive force pulls down v_1 of antikaon



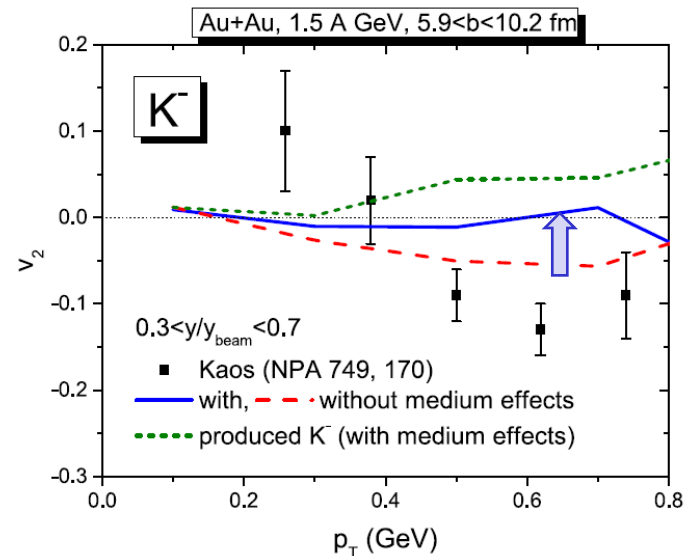
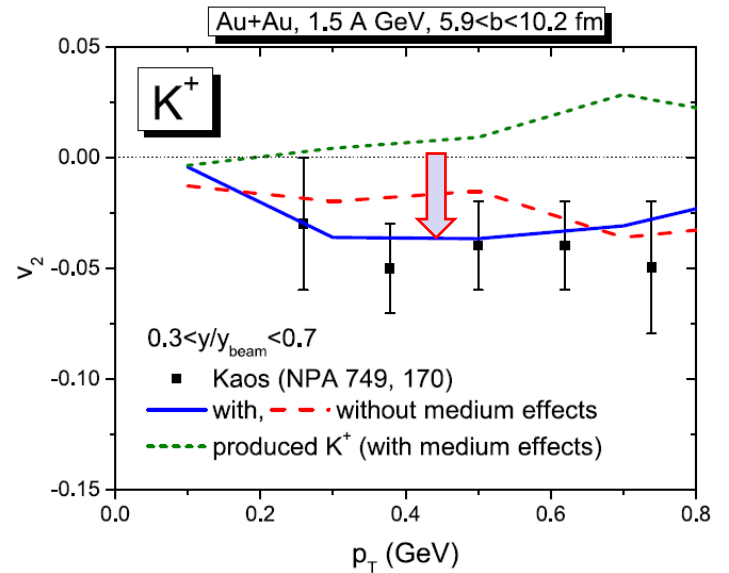
Elliptic flow (v_2)

Most of (anti)kaons are produced when v_2 of nucleons >0



then follow negative v_2 of nucleons

In-medium effects split v_2 of K^+ , K^-



Equation of State (EoS) of nuclear matter

Skyrme potential

$$U(\rho) = a \left(\frac{\rho}{\rho_0} \right) + b \left(\frac{\rho}{\rho_0} \right)^\gamma$$

where $a = -153$ MeV, $b = 98.8$ MeV, $\gamma = 1.63$.

Compression modulus K :

$$K = -V \frac{dP}{dV} = 9\rho^2 \left. \frac{\partial^2 (E/A)}{\partial \rho^2} \right|_{\rho_0}$$

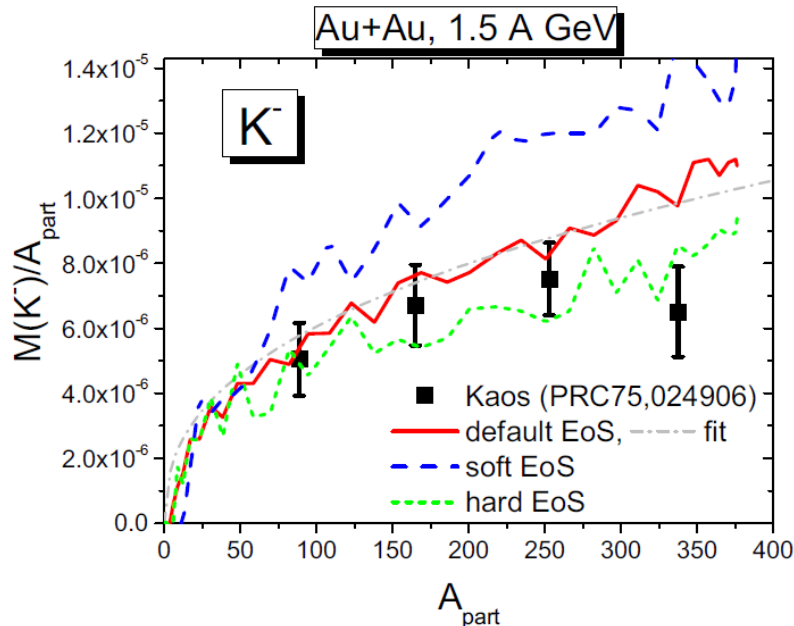
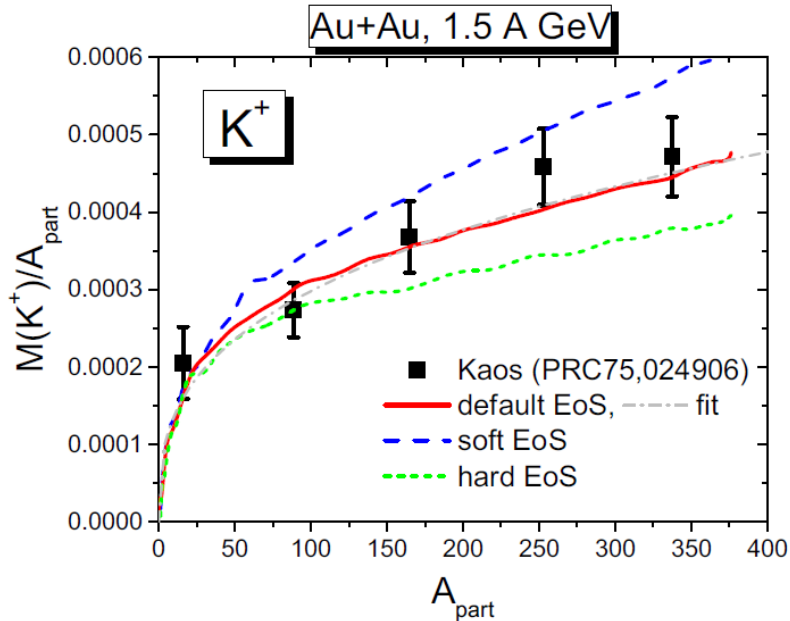
Hard EoS: K=380 MeV

→ hard to be compressed,
less NN collisions to produce (anti)kaons

Default EoS: K=300 MeV

Soft EoS: K= 210 MeV

→ easy to be compressed,
more NN collisions to produce (anti)kaons





Summary

Strangeness production in heavy-ion collisions at (sub-)threshold energies is investigated within the **Parton-Hadron-String Dynamics (PHSD)** transport approach with off-shell propagation based on Kadanoff-Baym equations and detailed balance for $2 \leftrightarrow 3$ interactions

In-medium effects are realized by a **G-matrix approach for antikaons** and by a linear **repulsive nuclear potential for kaons**

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Findings:

- ❑ The **repulsive kaon nuclear potential** increases the threshold energy for kaon production → suppression of kaon production, **hardening** of m_T spectra
- ❑ The **broadening of Kbar spectral function** in a medium decreases the threshold energy for antikaon production → enhancement of Kbar production, **softening** of m_T spectra
- ❑ **Modification of v_1, v_2** of (anti-)kaons due to the in-medium effects
- ❑ **Selectivity to EoS:** soft EoS enhances and hard EoS suppresses the production of (anti)kaons; moderate EoS ($K=300$ MeV) reproduces experimental data better within the PHSD
- ❑ ... still tension in description of HADES data for Au+Au at 1.23 A GeV
Further robust experimental data are needed (HADES, CBM, BMN,...)!

... not yet the end of the 'strange'
adventure in the medium !



Thank you for your attention!