

# Dynamical Coupled Channels Theory for nucleon resonances

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- Conference Link: <a href="https://indico.cern.ch/event/1026059/">https://indico.cern.ch/event/1026059/</a>
- My contact: <u>doring@gwu.edu</u>. Please write me for any questions or access to material upon which this lecture is based
- Work supported by:



Department of Energy, DOE DE-AC05-06OR23177 & DE-SC0016582



HPC support by JSC grant jikp07



National Science Foundation Grant No. PHY 2012289



#### **Literature & Resources**

- Part on quantum mechanical scattering: Some pictures & formulas taken from
  - Helmut Haberzettl, "Quantum Mechanics with Introduction to Quantum Field Theory", Lecture Notes, to be published; indicated as [HZ] (helmut@gwu.edu)
- Example codes in Mathematica, partially coming from my lectures at GW on computational physics:
  - Dropbox
     https://www.dropbox.com/sh/7h9bxxcvu124z2x/AAAiL2S5ISj8yVGYGiKejFxSa?
     dl=0
- Several slides borrowed from Maxim Mai [MM] and Deborah Rönchen [DR]
- References are hyperlinks; usually, only reviews with didactic components are cited (this is a lecture, <u>not</u> a review)
- What this lecture is
  - highlight of interesting aspects of arguable relevance with some useful links
  - ....and what it isn't (systematic & self-contained)
  - But, still, with some explicit derivations and in-depth examples & connections



#### **Content**

#### 1. Scattering basics:

- 1. Scattering theory basics & application to spherical well
- 2. Mathematica animation & example code (bound state vs. resonances)
- 3. Resonances as poles: Analytic continuation & the meson baryon amplitude

#### 2. Phenomenology of resonances:

- 1. Spectrum of excited baryons from experiment: missing (?) resonances
- 2. A dynamical-coupled channel model
- 3. Statistical aspects: Model selection

#### 3. Three-body aspects for dynamical coupled-channel models

- 1. Three-body unitarity for the construction of amplitudes
- 2. Analytic continuation for three-body amplitudes



# **Skipped content (Spare slides)**

- Causality: Why are poles on the second Rieman sheet?
- Analyticity: Mandelstam variables and plane
- Crossing symmetry: Representations of the pion-nucleon amplitude
- Roy(-like) equations
- Application of DCC-like amplitudes in lattice QCD: three body resonances

Scattering energy named in this talk:  $W = z = E = \sqrt{\sigma}$ 



# Interesting light baryons

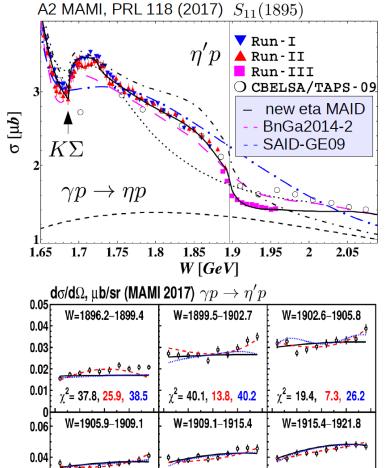
 $\Delta(1232)3/2^-$ First excited baryon discovered Standard Breit-Wigner (BW) resonance [Crede]

 $N(1440)1/2^+$ , "Roper" Enigmatic; absent in many Lattice QCD and quark model calculations; non-BW [Burkert]  $\Lambda(1405)$ Two pole structure complicated production [Mai]

 $N(1535)1/2^-,\ N(1650)1/2^-$ Nearby, overlapping resonances with same quantum numbers  $N(1900)3/2^+$ Recently discovered in large experimental baryon searches for "missing resonance"



#### Resonances or not?



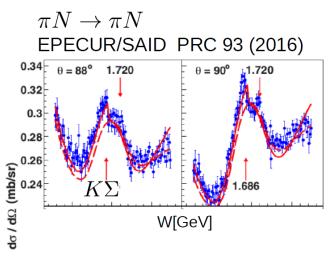
 $\chi^2$ = 12.0, 10.9, 14.9

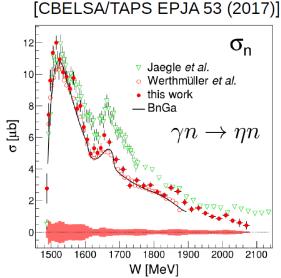
 $\cos \theta$ 

 $\chi^2$ = 8.9, 3.0, 7.2

0.02

 $\chi^2$ = 11.0, 2.0, 9.2





PLB785 (2018):

No narrow resonance

3/2 narrow Resonance

5/2 narrow Resonance

Data: A2.Mami PRL 118 (2017)



# 1.1. QM Scattering: Basics

- Radiation condition:  $\psi_{\mathbf{k}}^{(+)}(\mathbf{r}) \stackrel{r \to \infty}{\longrightarrow} e^{i\mathbf{k}\cdot\mathbf{r}} + \frac{e^{ikr}}{r}f(\theta)$
- Scattering amplitude & partial-wave (PW) expansion:

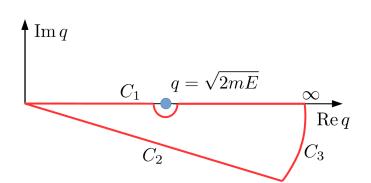
$$f(\theta) = \sum_{\ell=0}^{\infty} (2\ell + 1) t_{\ell} P_{\ell}(\xi) , \quad t_{\ell} = \frac{1}{k \cot \delta_{\ell} - ik}$$

ı Legendre polynomials  $P_{\ell}$  and  $\xi = \cos \theta$ .

Lippmann-Schwinger equation (LSE)

$$T(\mathbf{p}', \mathbf{p}) = V(\mathbf{p}', \mathbf{p}) + \int d^3q V(\mathbf{p}', \mathbf{q}) \frac{1}{E - \frac{q^2}{2m} + i\epsilon} T(\mathbf{q}, \mathbf{p})$$

PW-projected LSE



$$T_{\ell}(p',p) = V_{\ell}(p',p) + \int_{0}^{\infty} dq \, q^{2} \, \frac{V_{\ell}(p',q)}{E - \frac{q^{2}}{2m} + i\epsilon} \, T_{\ell}(q,p)$$

 $ightharpoonup ext{Re} q$  • Solve, e.g., by contour deformation



#### How to solve the LSE

• Example code in Mathematica: Implementation of Haftl-Tabakin scheme [Haftl]

$$T_{\ell}(p',p) = V_{\ell}(p',p) + \int_{0}^{\infty} dq \, q^{2} \, \frac{V_{\ell}(p',q)}{E - \frac{q^{2}}{2m} + i\epsilon} \, T_{\ell}(q,p)$$

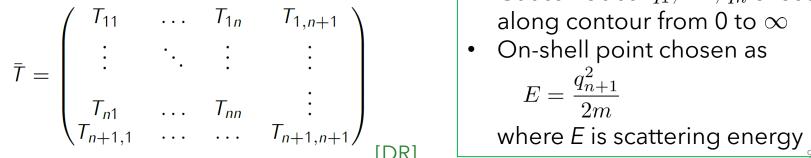
• Gauss integration  $\int f(x)dx \approx \sum_{i=1}^n f(x_i)w_i$  for n off-shell momenta and one on-shell momentum n+1

$$\bar{V} = \begin{pmatrix} V_{11} & \dots & V_{1n} & V_{1,n+1} \\ \vdots & \ddots & \vdots & \vdots \\ V_{n1} & \dots & V_{nn} & \vdots \\ V_{n+1,1} & \dots & \dots & V_{n+1,n+1} \end{pmatrix} \qquad \bar{G} = \begin{pmatrix} \frac{q_1^2 w_1}{z - E_1} & 0 & \dots & 0 \\ 0 & \ddots & \vdots & \vdots \\ \vdots & & \frac{q_n^2 w_n}{z - E_n} & \vdots \\ 0 & \dots & \dots & 0 \end{pmatrix} \qquad (z = E)$$

$$\bar{G} = \begin{pmatrix} \frac{q_1 w_1}{z - E_1} & 0 & \dots & 0 \\ 0 & \ddots & & \vdots \\ \vdots & & \frac{q_n^2 w_n}{z - E_n} & \vdots \\ 0 & \dots & \dots & 0 \end{pmatrix}$$

• Gauss nodes 
$$q_1,\ldots,q_n$$
 chosen along contour from 0 to  $\infty$ 

$$E = \frac{q_{n+1}^2}{2m}$$





### How to solve the LSE (2)

$$T_{\ell}(p',p) = V_{\ell}(p',p) + \left[ \int_{0}^{\infty} dq \, q^2 \, \frac{V_{\ell}(p',q)}{E - \frac{q^2}{2m} + i\epsilon} \, T_{\ell}(q,p) \right]$$

Discretize the integral:  $\int dq \ q^2 \ V(p',q) \ G(q,E) \ T(q,p) \rightarrow \bar{V} \bar{G} \bar{T}$ 

Gauss integration  $\int f(x)dx \approx \sum_{i=1}^n f(x_i)w_i$  for n off-shell momenta and one on-shell momentum n+1

$$\bar{V}\bar{G}\bar{T} = \begin{pmatrix} \sum_{i=1}^{n} V_{1i} \frac{q_{i}^{2}w_{i}}{z - E_{i}} T_{i1} & \dots & \sum_{i=1}^{n} V_{1i} \frac{q_{i}^{2}w_{i}}{z - E_{i}} T_{in} & \sum_{i=1}^{n} V_{1i} \frac{q_{i}^{2}w_{i}}{z - E_{i}} T_{i,n+1} \\ \vdots & \ddots & \vdots & \vdots \\ \sum_{i=1}^{n} V_{ni} \frac{q_{i}^{2}w_{i}}{z - E_{i}} T_{i1} & \dots & \sum_{i=1}^{n} V_{ni} \frac{q_{i}^{2}w_{i}}{z - E_{i}} T_{in} & \vdots \\ \sum_{i=1}^{n} V_{n+1,i} \frac{q_{i}^{2}w_{i}}{z - E_{i}} T_{i1} & \dots & \sum_{i=1}^{n} V_{n+1,i} \frac{q_{i}^{2}w_{i}}{z - E_{i}} T_{i,n+1} \end{pmatrix}$$

[DR]



## How to solve the LSE (3)

• On-shell → on-shell for physical amplitude

$$T_{ik} = V_{ik} + \sum_{j=1}^{n} V_{ij} \frac{q_j^2 w_j}{z - E_j} T_{jk} \qquad \text{off-shell} \rightarrow \text{off-shell}$$

$$T_{n+1,k} = V_{n+1,k} + \sum_{j=1}^{n} V_{n+1,j} \frac{q_j^2 w_j}{z - E_j} T_{jk} \qquad \text{off-shell} \rightarrow \text{on-shell}$$

$$T_{i,n+1} = V_{i,n+1} + \sum_{j=1}^{n} V_{ij} \frac{q_j^2 w_j}{z - E_j} T_{j,n+1} \qquad \text{on-shell} \rightarrow \text{off-shell}$$

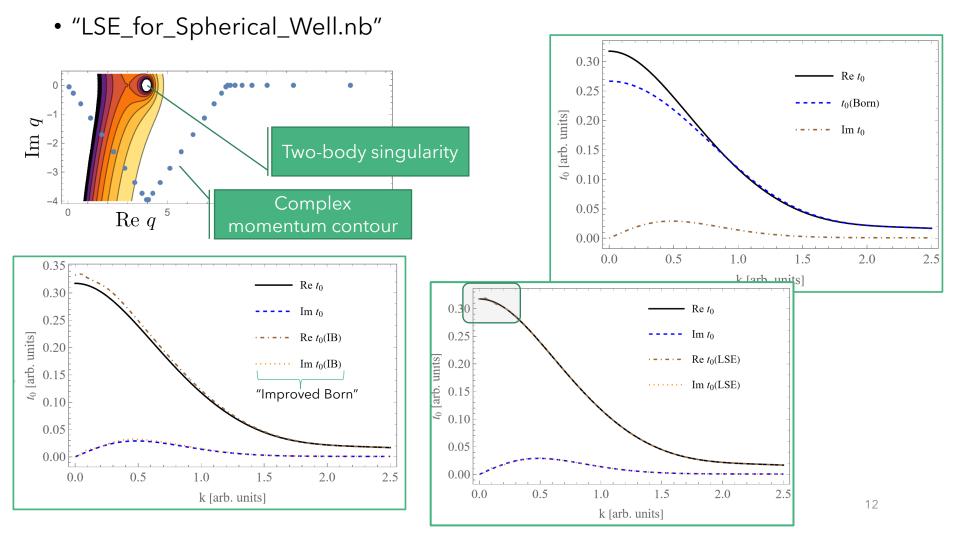
$$T_{n+1,n+1} = V_{n+1,n+1} + \sum_{j=1}^{n} V_{n+1,j} \frac{q_j^2 w_j}{z - E_j} T_{j,n+1} \qquad \text{on-shell} \rightarrow \text{on-shell}$$

We can now invert the matrix:

$$\bar{\mathsf{T}} = (\mathbb{1} - \bar{\mathsf{V}}\bar{\mathsf{G}})^{-1}\bar{\mathsf{V}}$$

# **CompPhys-project**

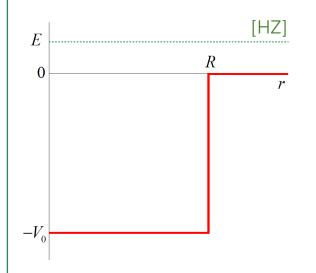
Spherical well with LSE compared to analytic solution



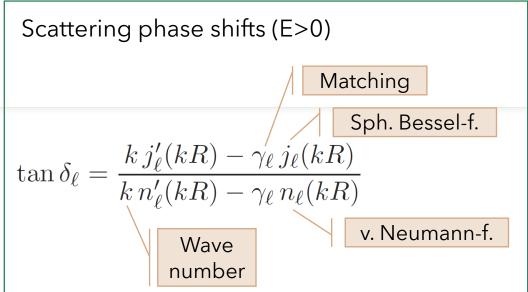


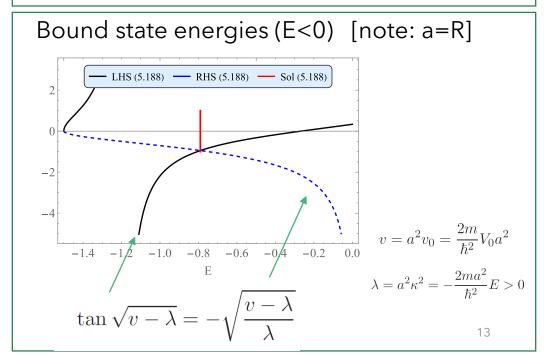
# **Spherical well**

Potential in radial coordinates:



$$V(r) = \begin{cases} -V_0 & \text{for } r < R, \\ 0 & \text{for } r > R, \end{cases}$$







## **Breit-Wigner Resonances**

• Small energy 
$$kR \ll 1$$
  $\tan \delta_\ell \approx \frac{\ell - \gamma_\ell R}{\ell + 1 + \gamma_\ell R} \; \frac{(kR)^{2\ell+1}}{(2\ell+1)!!(2\ell-1)!!}$ 

Expansion around the pole:

$$\tan \delta_{\ell} \approx \frac{1}{(E - E_{\rm R})g'_{\ell}(E_{\rm R})} \frac{(kR)^{2\ell+1}}{[(2\ell - 1)!!]^2}$$

Or:

$$an \delta_{\ell} pprox - rac{\Gamma_{\ell}}{2(E - E_{
m R})} \quad ext{where} \quad \Gamma_{\ell} = -rac{2(kR)^{2\ell + 1}}{g'_{\ell}(E_{
m R})[(2\ell - 1)!!]^2}$$

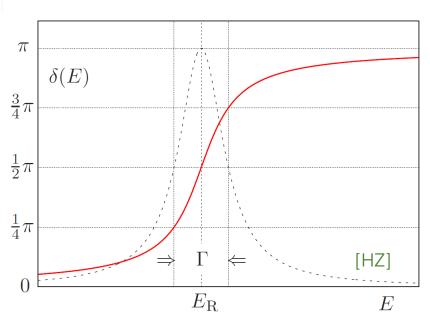
For t-matrix and cross section:

$$t_{\ell} \approx \frac{1}{k} \frac{\frac{\Gamma_{\ell}}{2}}{E_{\mathrm{R}} - E - i \frac{\Gamma_{\ell}}{2}}$$

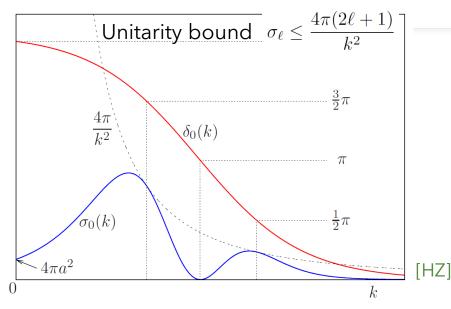
$$t_{\ell} \approx \frac{1}{k} \frac{\frac{\Gamma_{\ell}}{2}}{E_{\rm R} - E - i\frac{\Gamma_{\ell}}{2}} \qquad \sigma \approx \frac{4\pi}{k^2} (2\ell_0 + 1) \frac{\frac{\Gamma_{\ell_0}^2}{4}}{(E - E_{\rm R})^2 + \frac{\Gamma_{\ell_0}^2}{4}}$$



#### **BW resonances and Ramsauer-Townsend**



$$an \delta_{\ell} pprox -rac{\Gamma_{\ell}}{2(E-E_{
m R})}$$



#### S-wave, low energy:

$$f(\theta) \xrightarrow{k \to 0} t_0 P_0 = t_0 = \frac{\sin \delta_0}{k} e^{i\delta_0} \approx -a e^{i\delta_0}$$

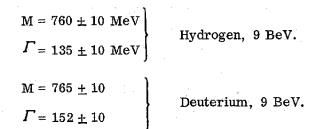
$$\sigma_0(k) = \frac{4\pi}{k^2} \sin^2 \delta_0(k)$$

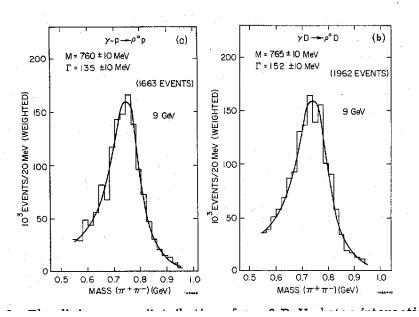


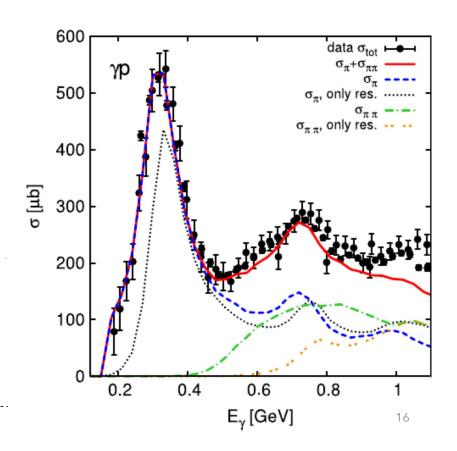
# **Typical Breit-Wigner resonances**

**ρ**-meson photoproduction

Δ-baryon photoproduction









# **Deficiencies of Breit-Wigner**

- Breit-Wigner resonances are an idealized case
  - No background (see Laurent expansion previous slide)
  - Reaction dependent: Shape changes in different channels
  - No energy-energy dependent width in simplest BW form. Width MUST be energy dependent even for S-wave (unitarity)
  - Adding Breit-Wigner resonances violates unitarity
  - Close-by threshold have an influence (Generalization: Flatté)

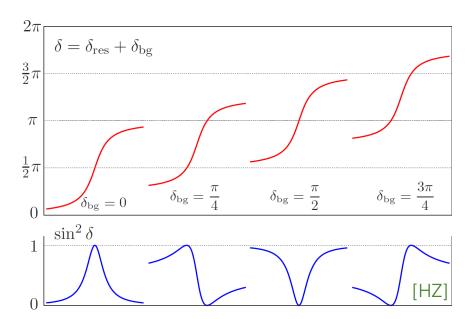
$$A_i \sim \frac{M_R \sqrt{\Gamma_0 \Gamma_i}}{M_R^2 - E^2 - i M_R (\Gamma_1 + \Gamma_2)}, \quad i = 1, 2 \qquad 1: \ \pi \eta, \ 2: \ \bar{K} K$$
 
$$\Gamma_1 = g_1 k_1, \quad k_1 = \frac{1}{2E} \sqrt{[E^2 - (m_\eta + m_\pi)^2][E^2 - (m_\eta - m_\pi)^2]} \qquad \Gamma_2 = g_2 k_2 \quad k_2 = \sqrt{\frac{E^2}{4} - m_K^2}$$
 [Lesniak]

- Coupled-channel environment respected
- Unitarity respected (as long as no other background is added;)
- Example of "analytic continuation":  $k_2$  is complex below  $\bar{K}K$  threshold!



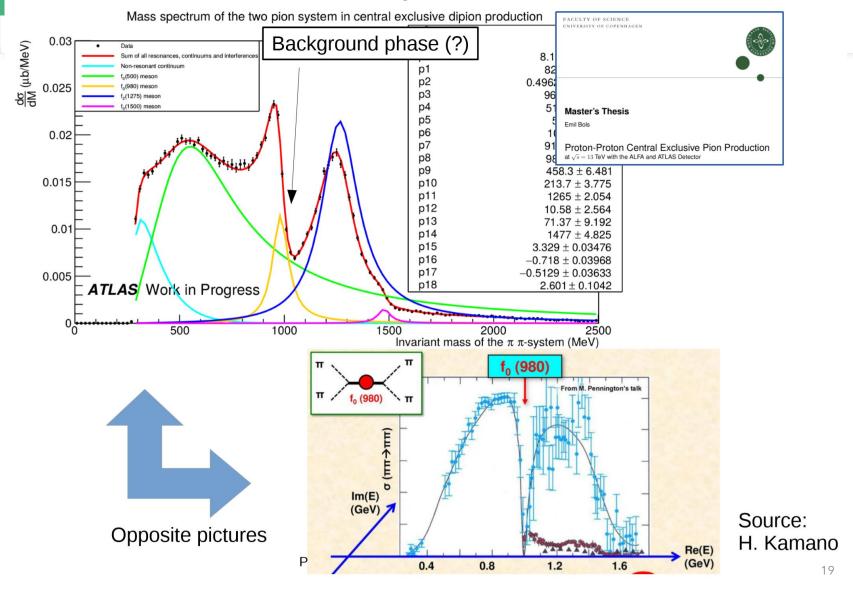
# **Background**

- Refers to non-resonant contributions to scattering amplitude (= physical effects), not experimental background
  - Sometimes resonances and background are added at the level of cross sections, but, of course, they add at level of amplitudes (interference)
- Resonances are by no means bumps in cross sections:





## More complicated cases





#### 1.2 from resonances to bound state

#### A computational physics exercise:

In this exercise you will learn about analytic properties of the scattering amplitude. First, have a look at this video – you will produce something similar. The exercise serves to get intuition about scattering/bound state problems and the underlying analytic structure in terms of singularities that manifest themselves as resonances and bound states – and how one transforms into the other as the potential depth changes. For simplicity, you may set  $\hbar = m = 1$  in the entire problem. This is also done in the video. Note: here we look at the S-wave only.

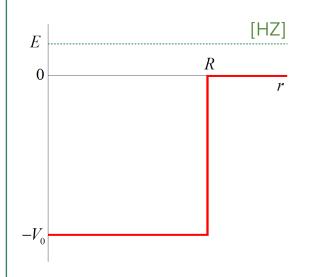
Our example is the spherical square well. We want to make an animation that shows the partial-wave amplitude  $t_0(k)$  as a function of  $k \in \mathbb{C}$ . Treating the problem in the complex k-plane is slightly simpler because there is only one Riemann sheet while the complex  $E = \hbar^2 k^2/(2m)$ -plane has two Riemann sheets.

- 1. Bound state problem: From topic 5, solve the bound-state problem numerically for a well that allows for at least one S-wave bound state. Check the bound state condition to make sure the state exists. Make a plot in which you show the RHS and LHS of Eq. (5.188) for illustration.
- 2. The power of analyticity: Bound state energies are pole positions of  $t_0$  on the positive imaginary k-axis. For the same well as before, search numerically for poles and confirm that their positions (or, position if you have a well with only one bound state) coincide with the bound state energies determined in 1.
- 3. Pole trajectories: Trace the pole movements ("trajectories") in the complex k-plane by plotting  $\log |t_0|(k)$  for different  $0 < V_0 < V_{\text{max}}$  (make an animation). The logarithm only serves to make poles more visible in the contour plot. This would look like in the video, but you do not have to look for poles for every value of  $V_0$  which is quite cumbersome and takes a lot of time. However, do the animation like in that video, i.e, complex plane to the left and phase shift to the right, to see what effects poles have on the phase shift. Choose the maximal depth of the well,  $V_{\text{max}}$ , such that there you have at least two bound states.

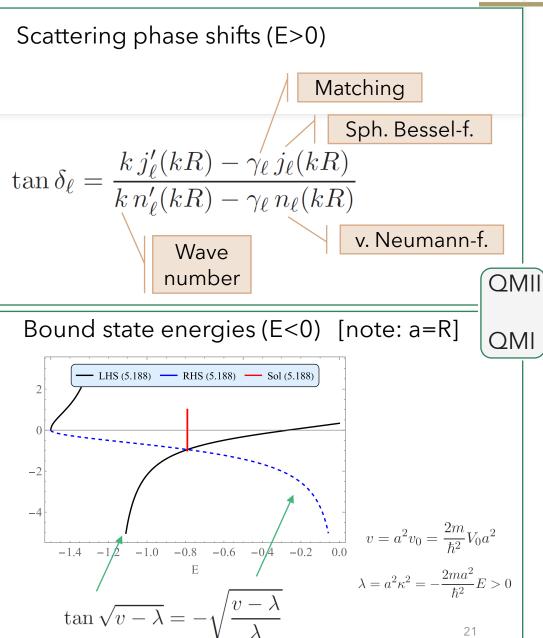


#### **Reminder:**

Potential in radial coordinates:

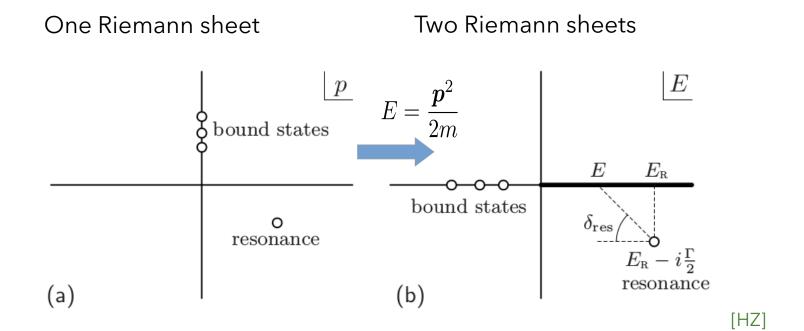


$$V(r) = \begin{cases} -V_0 & \text{for } r < R, \\ 0 & \text{for } r > R, \end{cases}$$





# Complex momentum vs. energy plane



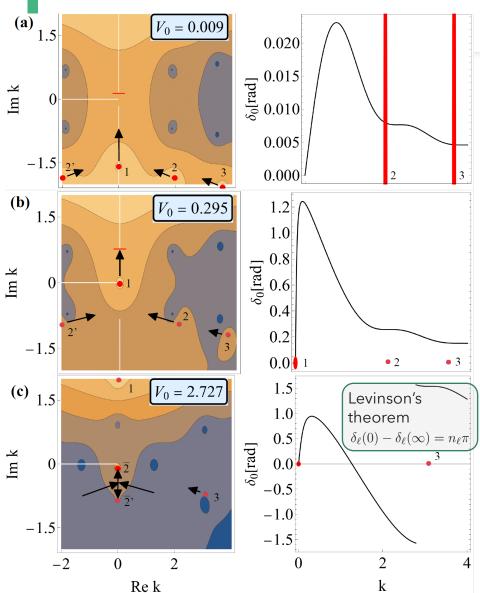


#### Resonances and bound states





#### From resonances to bound states



**Left column:** S-wave T-matrix,  $|t_0|$ , in the complex-momentum plane (arb. units). **Right column:** phase shift.

(a) For a shallow potential, there is no bound state, but only virtual state 1 and resonances 2 and 3.

In (b), infinite scattering length is reached which motivates a discussion of universality. [Braaten]

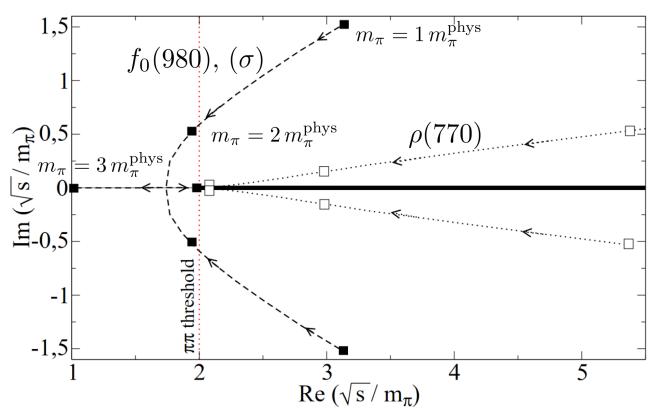
In (c), pole 1 became a deeply bound state. Pole 2 and its mirror pole 2' have met on the imaginary k-axis and then separated again as virtual states  $\bar{2}$  and  $\bar{2}'$ , with  $\bar{2}$  on its way to become a bound state and  $\bar{2}'$  a deeper-bound virtual state. Such intriguing S-wave pole trajectories have only been discovered ten years ago.

[Hanhart et al., <u>080142871</u>]



# Chiral trajectories of light mesons

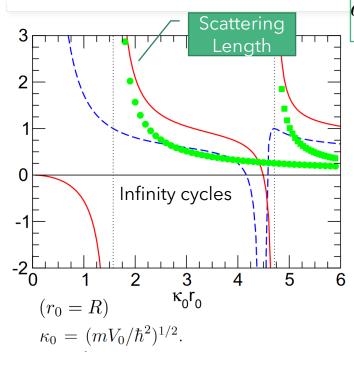
 Quark-mass dependence as predicted from "Inverse amplitude method" with one-loop ChPT [Hanhart et al., 0801.2871]



- Axes:  $\sqrt{s}$  vs. k
- Resonances → Virtual state → bound state
- But rho-resonance: rather featureless conversion to bound state
- Wide scalar mesons are not at all conventional Breit-Wigner resonances
- Prominent molecular component [Morgan/Pennington] [Baru] [Guo]



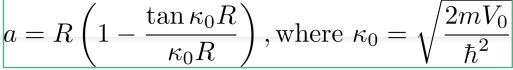
#### Feshbach resonances [Braaten]



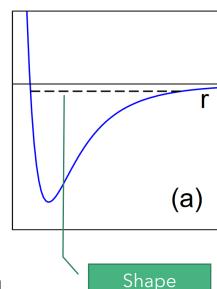
**↑** a(B)

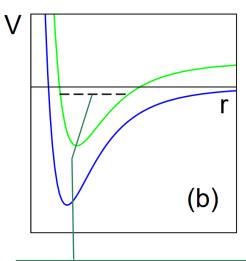
Scattering length

a



Mechanisms to generate large scattering length





Shape resonance

Feshbach resonance: bound state in a weakly-coupled, closed channel

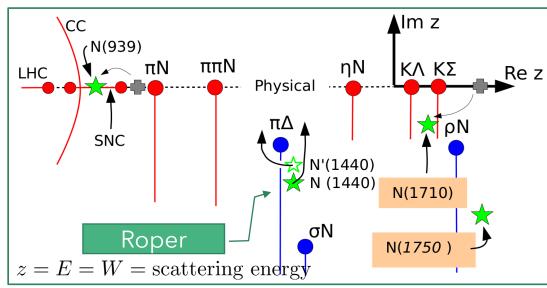


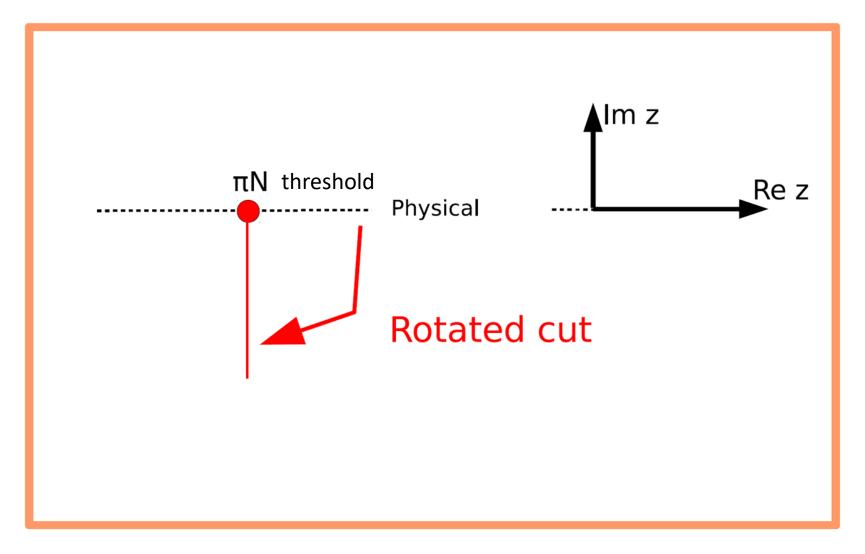
## 1.3 Baryon resonances as poles

[see spare slides on crossing symmetry and causality]

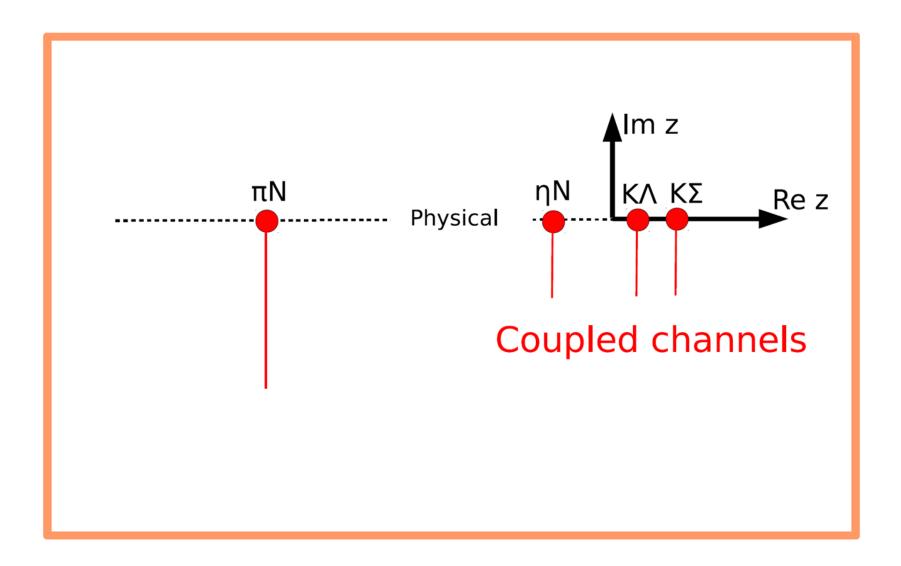
- Defining resonances as poles in amplitudes at complex energies resolves all mentioned problems
  - Real part of pole position Mass
  - 2x Imaginary part of pole position → Width

  - Next goal: What is this?
    - Red: Real thresholds
    - Blue: sub-channel thres.
    - Why is Roper double?
    - What happens below threshold?

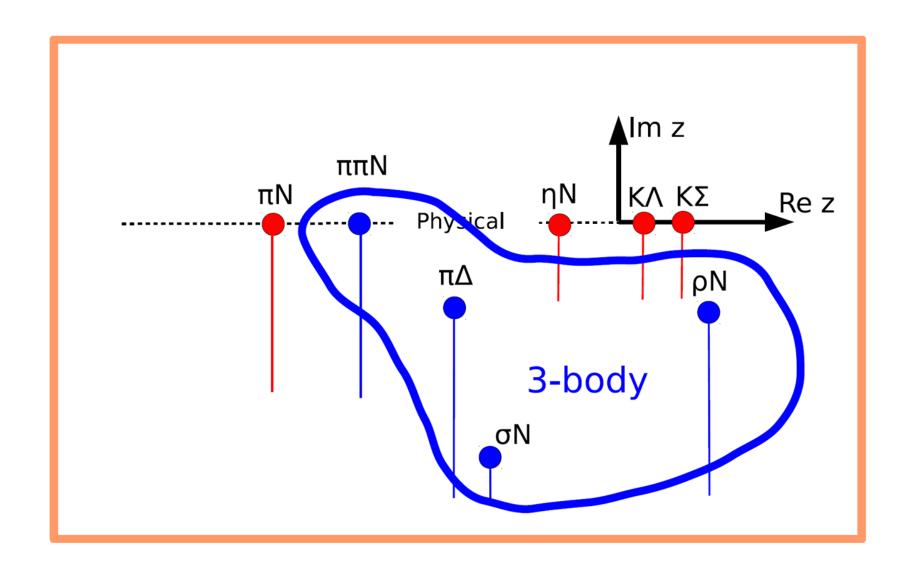


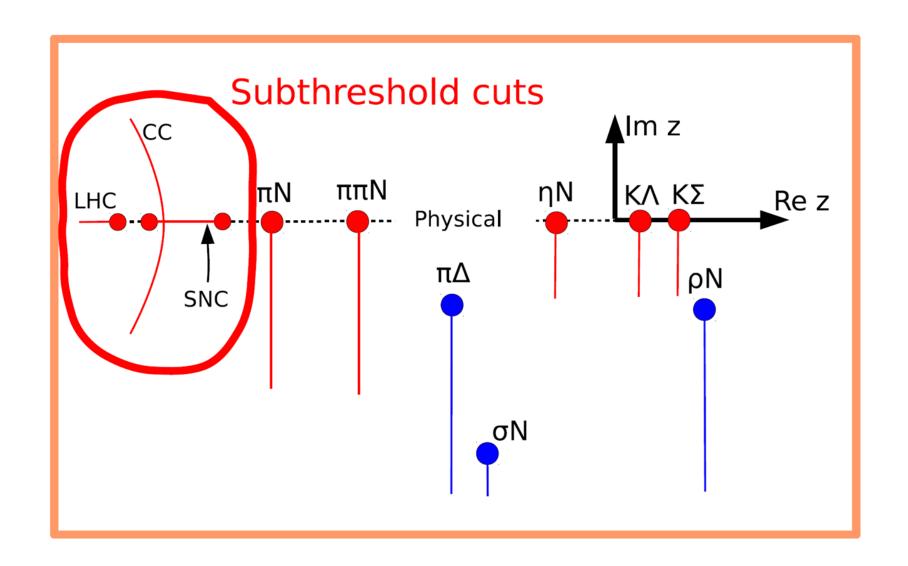


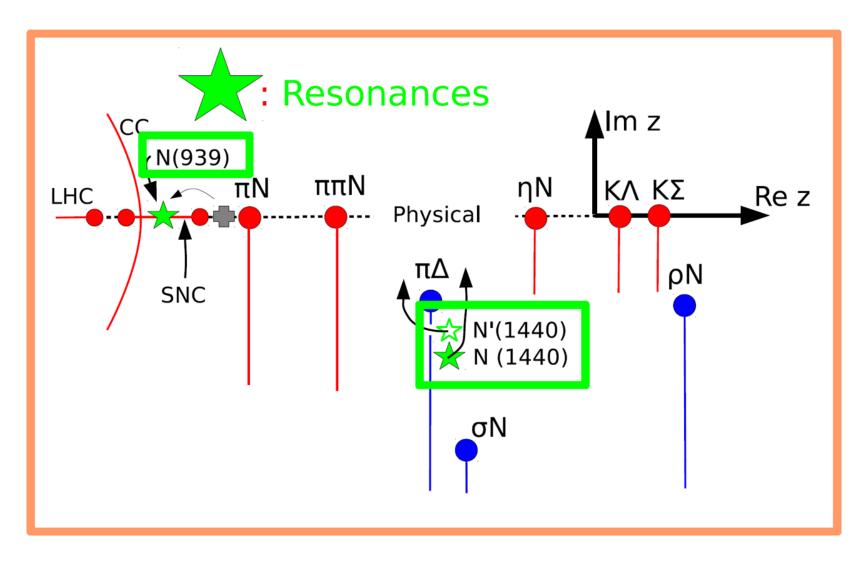
- Thresholds are fixed by kinematics
- Position of cuts are by convention



• And many others:  $\omega N$ ,  $\eta$ 'N,...

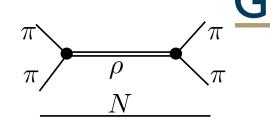


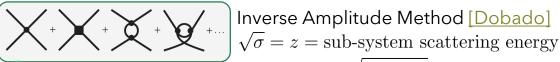




- The nucleon is a bound state in the P11 partial wave
- The Roper resonance N(1440) is very unusual and non-Breit-Wigner

# **Analytic continuation (2B)**

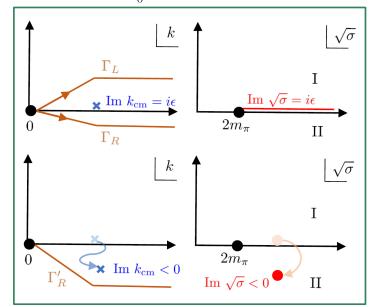




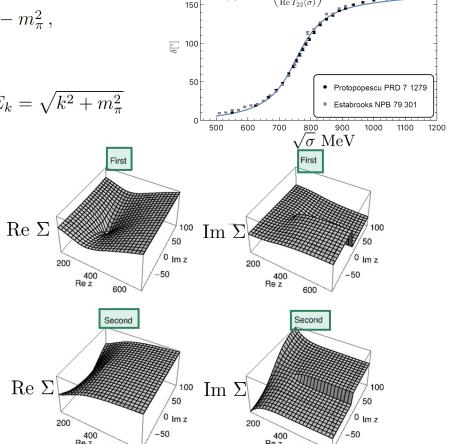
$$\sqrt{\sigma} = z = \text{sub-system scattering energy}$$

$$T_{22}(\sigma) = \tilde{v}(k_{\rm cm})\tau(\sigma)\tilde{v}^*(k_{\rm cm}), \ k_{\rm cm} = \sqrt{\frac{\sigma}{4} - m_{\pi}^2},$$

$$\Sigma = \int_{0}^{\infty} \frac{\mathrm{d}k \, k^{2}}{(2\pi)^{3}} \, \frac{1}{2E_{k}} \, \frac{\sigma^{2}}{\sigma'^{2}} \underbrace{\tilde{v}(k)^{*} \tilde{v}(k)}_{\sigma - 4E_{k}^{2} + i\epsilon} \qquad E_{k} = \sqrt{k^{2} + m_{\pi}^{2}}$$



"Adiabatic" contour deformation (remember for 3-body case later!)





#### Threshold effects in S-wave

$$T_{22}(\sigma) = \tilde{v}(k_{\rm cm})\tau(\sigma)\tilde{v}^*(k_{\rm cm}), \ k_{\rm cm} = \sqrt{\frac{\sigma}{4}} - m_\pi^2 \,,$$
 
$$\tau^{-1}(\sigma) = K^{-1} - \Sigma \,,$$
 
$$\Sigma = \int_0^\infty \frac{{\rm d} k \, k^2}{(2\pi)^3} \, \frac{1}{2E_k} \, \frac{\sigma^2}{\sigma'^2} \, \frac{\tilde{v}(k)^* \tilde{v}(k)}{\sigma - 4E_k^2 + i\epsilon} \qquad -50$$
 
$$\Sigma = \int_0^\infty \frac{{\rm d} k \, k^2}{(2\pi)^3} \, \frac{1}{2E_k} \, \frac{\sigma^2}{\sigma'^2} \, \frac{\tilde{v}(k)^* \tilde{v}(k)}{\sigma - 4E_k^2 + i\epsilon} \qquad -50$$
 
$$\Sigma = -100$$
 
$$\Sigma = -100$$
 
$$\Sigma = -200$$
 
$$-250$$
 
$$\Sigma = 2$$
 
$$\Sigma = -200$$
 
$$\Sigma = -200$$



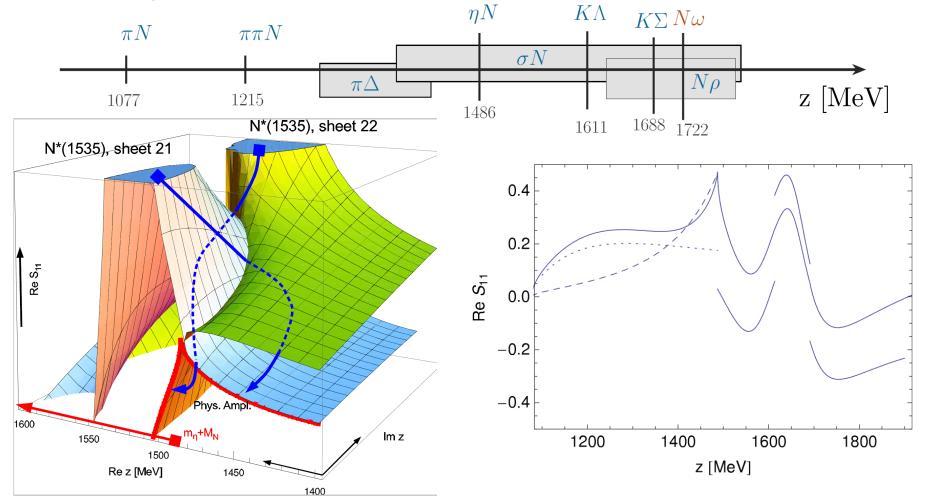
# Classification of analytic structures

- Thresholds, ✓ Triangle singularities
- Classification of poles:
  - Resonances (2<sup>nd</sup> sheet, above threshold)  $\Delta(1232), \rho(770), \ldots$
  - Bound states (1st sheet, below threshold) N, d
  - Virtual states (2<sup>nd</sup> sheet, below threshold): threshold ✓ enhancements
  - Shadow poles (distant unphysical sheets), sometimes visible as enhanced cusps ("shoulder" of a resonance)  $N(1535)1/2^-$
  - Quasibound states: Bound w.r.t. a channel that opens at higher masses + strong coupling to that channel; open w.r.t. to another channel to which the state couples rather weakly.  $N(1535)1/2^-,\ \Lambda(1405)1/2^-$
  - Resonances with two-pole structure  $\Lambda(1405)1/2^-$  ?



# **Shadow poles**

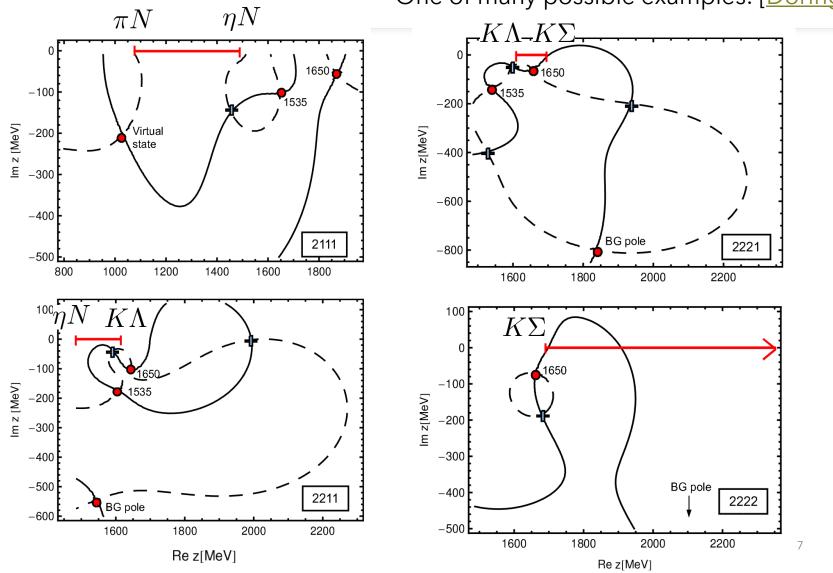
- Replica of a regular resonance pole on a hidden sheet
- Visible through "shoulder" only
- Example: N(1535) close to the  $\eta N$  threshold





## **Quasibound state - S11 partial wave**

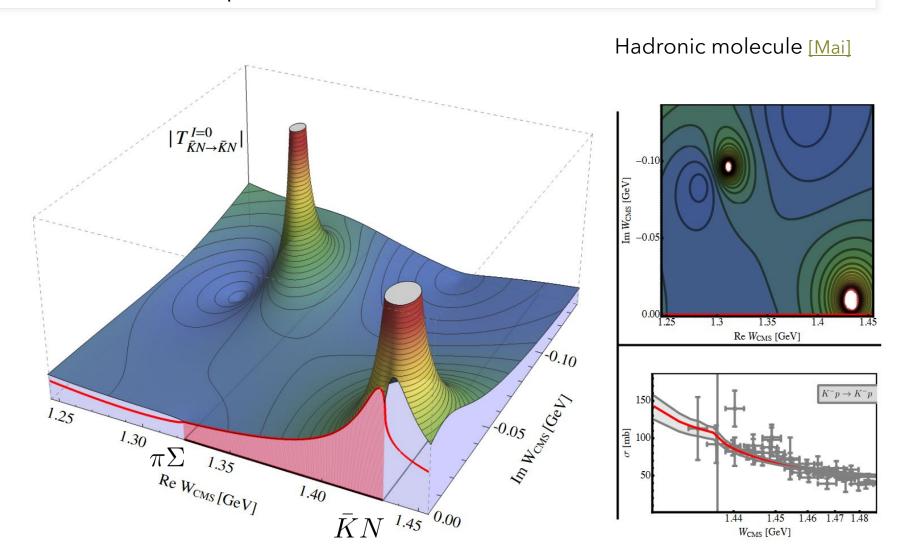
One of many possible examples: [Doring]





#### Two-pole structures: $\Lambda(1405)$

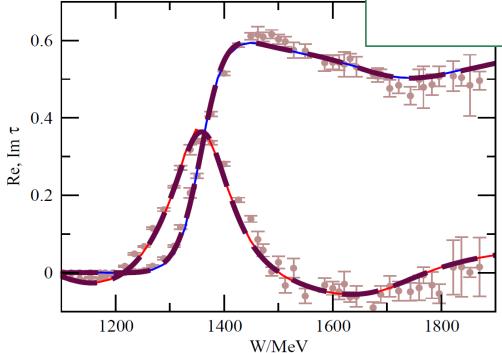
• Two resonance poles (almost) on the <u>same</u> Riemann sheet





Two-pole structure & complex threshold:

Roper [SAID] Im z N(939) ππΝ πΝ ηN ΚΛ ΚΣ LHC Re z **Physical** • Or rather shadow **π**ρΝ **SNC** pole? Debatable... N'(1440) N (1440) N(1710)  $\sigma N$ N(1750) 0.6

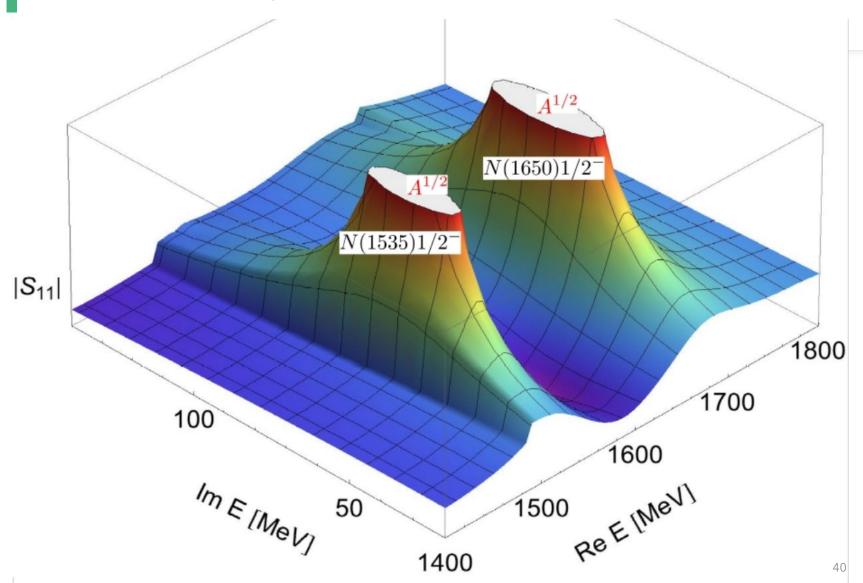


**Strategy**: To reliably extract resonances from data....

- manifestly include all known analytic structures into the model amplitude before fitting to data
- Respect unitarity, analyticity,...



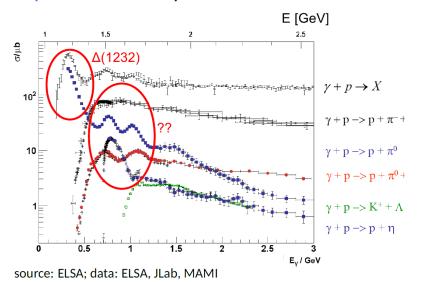
## Two nearby resonances





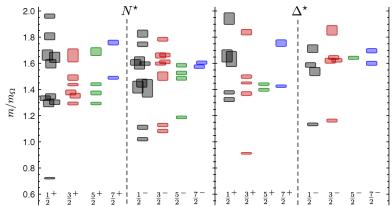
## 2. Phenomenology of resonances2.1 Spectrum of excited baryons

#### **Experimental** study of hadronic reactions



Theoretical predictions of excited hadrons e.g. from lattice calculations:

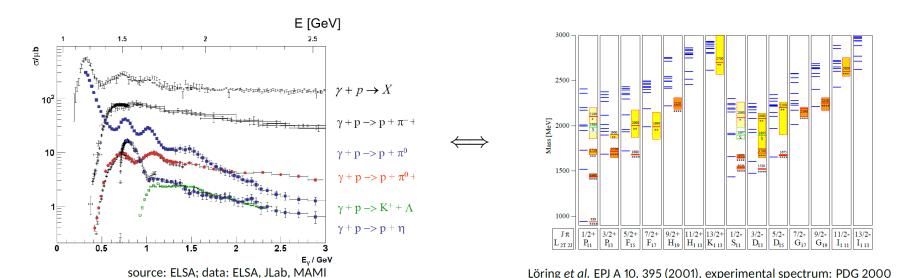
(with some limitations)



 $m_{\pi} = 396 \,\text{MeV} \, [\text{Edwards et al., Phys.Rev. D84 (2011)}]$ 



#### From experimental data to the resonance spectrum



#### Different modern analyses frameworks:

- unitary isobar models: unitary amplitudes + Breit-Wigner resonances

  MAID, Yerevan/JLab, KSU, JM model (πN& ππN)
- (multi-channel) K-matrix: GWU/SAID, BnGa (phenomenological), Gießen (microscopic Bgd)
- dynamical coupled-channel (DCC): 3d scattering eq., off-shell intermediate states ANL-Osaka (EBAC), Dubna-Mainz-Taipeh, Jülich-Bonn
- other groups: JPAC (high energies), Mainz-Tuzla-Zagreb PWA (MAID + fixed-t dispersion relations, L+P), Gent, truncated PWA

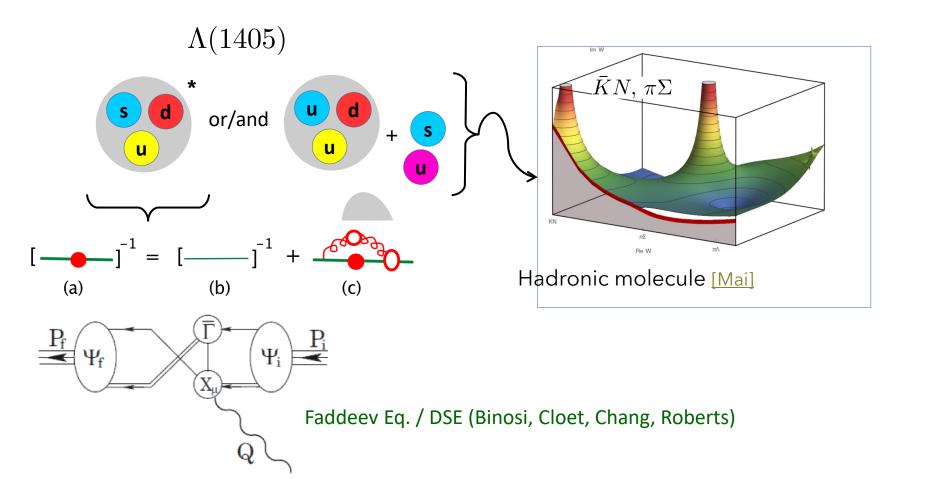
#### **QCD** at low energies

Non-perturbative dynamics

How many are there?

What are they?

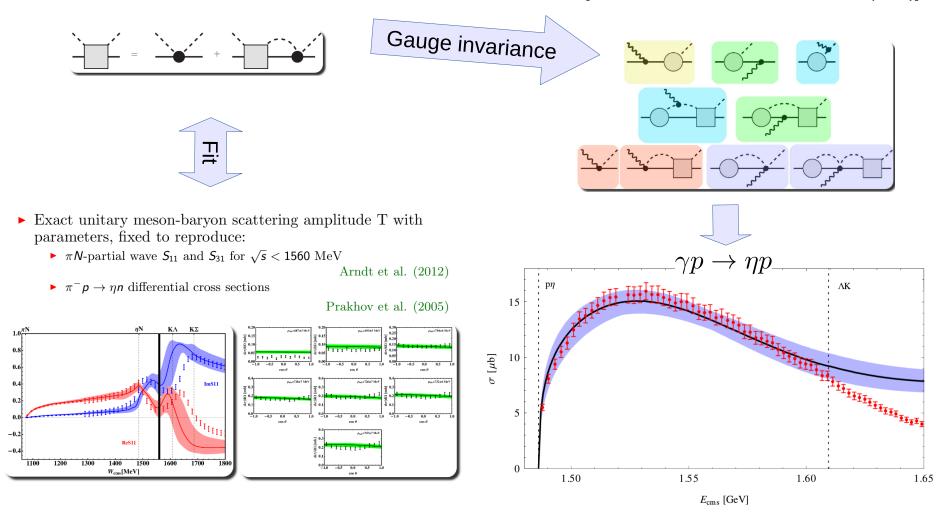
- → mass generation & confinement
- → rich spectrum of excited states
- → missing resonance problem)
- → 2-quark/3-quark, hadron molecules, ...



Using ONLY meson-baryon degrees of freedom (no explicit quark dynamics):

#### Manifestly gauge invariant approach based on full BSE solution

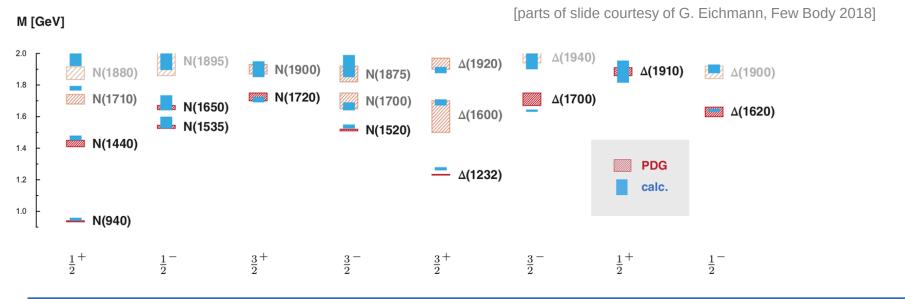
[Ruic, M. Mai, U.-G. Meissner PLB 704 (2011)]

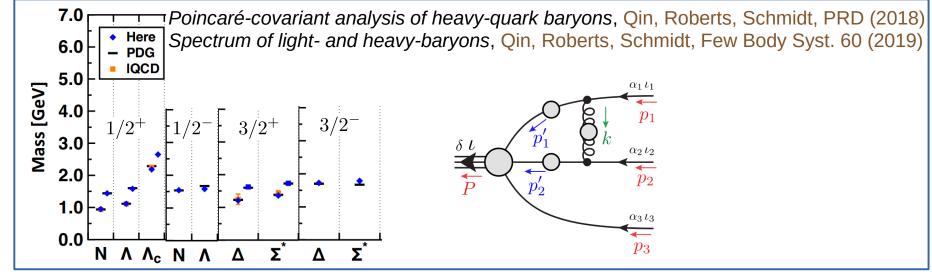


→ Making the "Missing resonance problem" worse ?!

#### Results in dynamical quark picture

Quark-diquark with reduced pseudoscalar + vector diquarks: GE, Fischer, Sanchis-Alepuz, PRD 94 (2016)



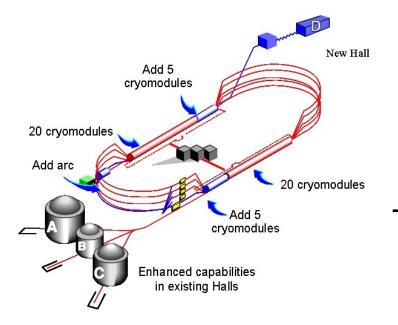


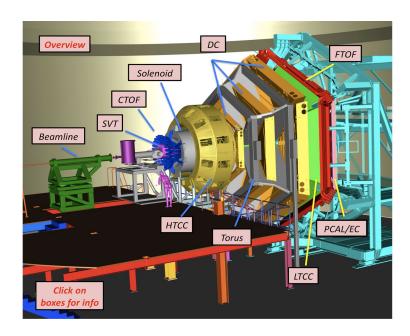


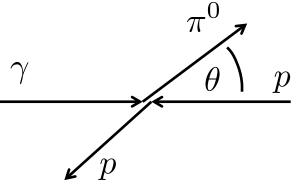
## **Photoproduction experiments**

(Jlab, Mami, Elsa, GRAAL,...)







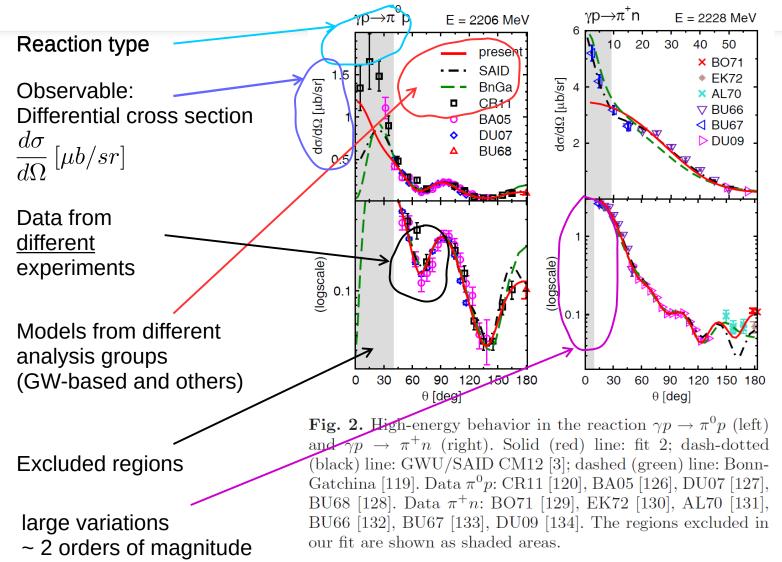


Degrees of freedom

- Energy
- Scattering angle
- Polarizations

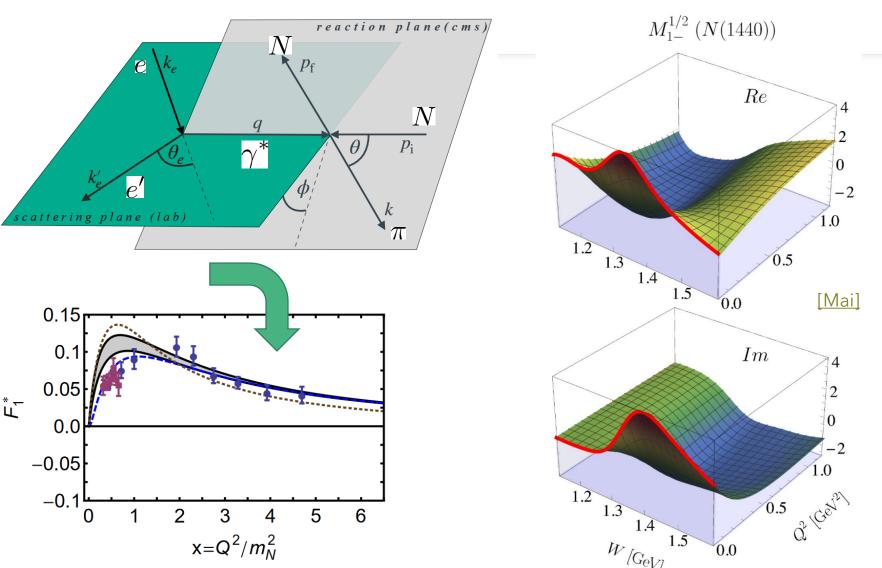


## **Typical data situation**





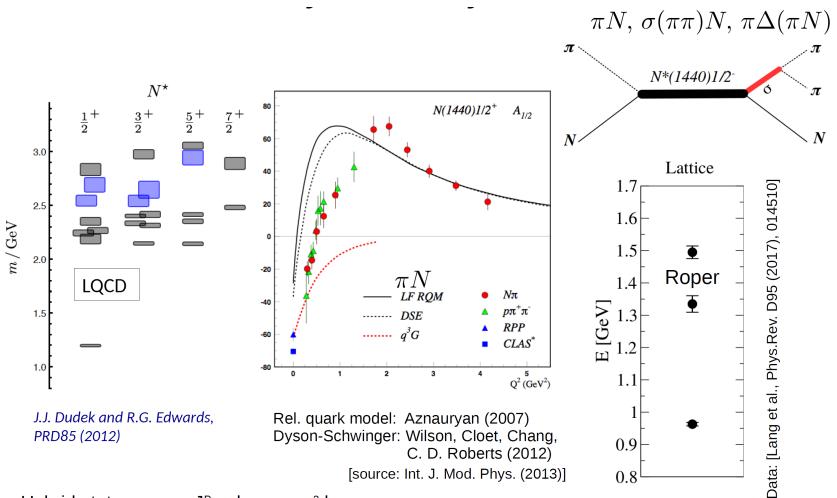
#### **Electroproduction reveals resonance structure**



Proton-Roper Transition [Burkert] [Segovia]



## Hybrid baryons & 1<sup>st</sup> lattice results



Hybrid states: same J<sup>P</sup> values as q<sup>3</sup> baryons. Identification? Measure Q<sup>2</sup> dependence of electro-couplings (**CLAS 12**)



#### 2.2. Dynamical coupled-channel approaches

- ANL-Osaka (former: EBAC)
- Dubna-Mainz-Taipei model [<u>Tiator</u>]
- Jülich-Bonn [Rönchen]/Jülich-Bonn-Washington (latest edition with electroproduction, [Mai])
- ... (there are more!)
- Characteristics:
  - Direct fit to data (pion & photon-induced)
  - Simultaneous fit to data on different final states
  - Integral scattering equation as needed for proper treatment of three-body channels ( $\pi\pi N$ ): One does need two independent integrations for 3B kinematics



#### JBW DCC approach (Jülich-Bonn-Washington)

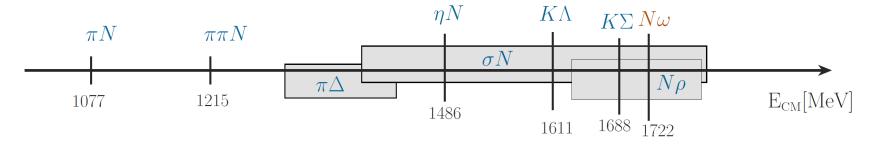
Dynamical coupled-channels (DCC): simultaneous analysis of different reactions

#### The scattering equation in partial-wave basis

$$\langle L'S'p'|T^{IJ}_{\mu\nu}|LSp\rangle = \langle L'S'p'|V^{IJ}_{\mu\nu}|LSp\rangle +$$

$$\sum_{\gamma,L''S''}\int_{0}^{\infty}dq \quad q^{2} \quad \langle L'S'p'|V^{IJ}_{\mu\gamma}|L''S''q\rangle \frac{1}{E-E_{\gamma}(q)+i\epsilon} \langle L''S''q|T^{IJ}_{\gamma\nu}|LSp\rangle$$

• channels  $\nu$ ,  $\mu$ ,  $\gamma$ :



Compare with Lippman-Schwinger equation:

$$T_{\ell}(p',p) = V_{\ell}(p',p) + \int_{0}^{\infty} dq \, q^{2} \, \frac{V_{\ell}(p',q)}{E - \frac{q^{2}}{2m} + i\epsilon} \, T_{\ell}(q,p)$$



#### JBW DCC approach (Jülich-Bonn-Washington)

#### The scattering equation in partial-wave basis

$$\langle L'S'p'|T^{IJ}_{\mu\nu}|LSp\rangle = \langle L'S'p'|V^{IJ}_{\mu\nu}|LSp\rangle +$$

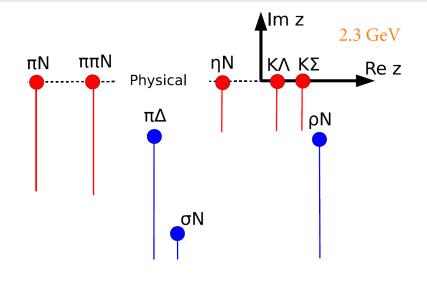
$$\sum_{\gamma,L''S''} \int_{0}^{\infty} dq \quad q^{2} \quad \langle L'S'p'|V^{IJ}_{\mu\gamma}|L''S''q\rangle \frac{1}{E - E_{\gamma}(q) + i\epsilon} \langle L''S''q|T^{IJ}_{\gamma\nu}|LSp\rangle$$

#### 3-body $\pi\pi N$ channel:

- $\blacksquare$  parameterized effectively as  $\pi\Delta$ ,  $\sigma N$ ,  $\rho N$
- $\pi N/\pi\pi$  subsystems fit the respective phase shifts

branch points move into complex plane

Inclusion of branch points important to avoid false resonance signal!



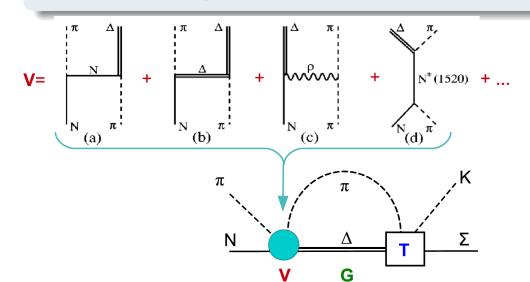


#### JBW DCC approach (Jülich-Bonn-Washington)

#### The scattering equation in partial-wave basis

$$\langle L'S'p'|T^{IJ}_{\mu\nu}|LSp\rangle = \langle L'S'p'|V^{IJ}_{\mu\nu}|LSp\rangle +$$

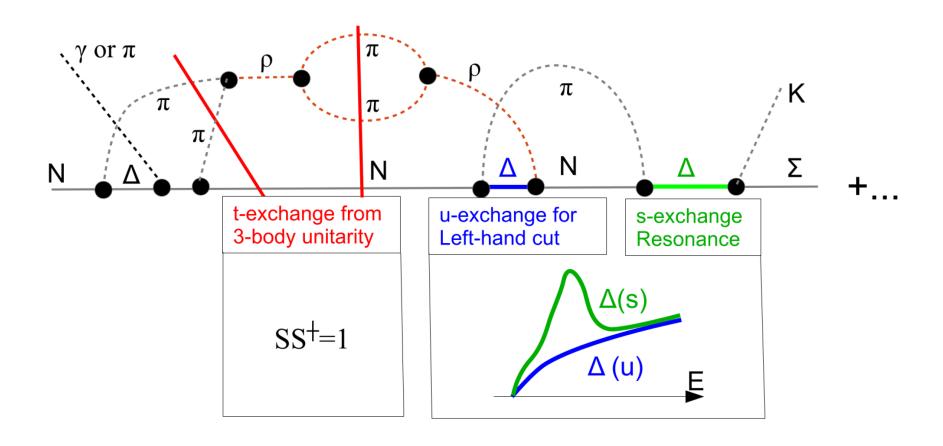
$$\sum_{\gamma,L''S''}\int_{0}^{\infty}dq \quad q^{2} \quad \langle L'S'p'|V^{IJ}_{\mu\gamma}|L''S''q\rangle \frac{1}{E - E_{\gamma}(q) + i\epsilon} \langle L''S''q|T^{IJ}_{\gamma\nu}|LSp\rangle$$



- potentials V constructed from effective  $\mathcal{L}$
- s-channel diagrams:  $T^P$  genuine resonance states
- t- and u-channel: T<sup>NP</sup>
   dynamical generation of poles
   partial waves strongly correlated
- contact terms



#### **Another Visualization**





## **Channel space**

• Jülich-Bonn-Washington approach has the same channel space as ANL/Osaka (former EBAC) approach

$\overline{\mu}$	$J^P =$	$\frac{1}{2}^{-}$	$\frac{1}{2}^{+}$	$\frac{3}{2}$ +	$\frac{3}{2}^{-}$	$\frac{5}{2}$	$\frac{5}{2}$ +	$\frac{7}{2}$	$\frac{7}{2}^{-}$	$\frac{9}{2}$	$\frac{9}{2}$ +
1	$\pi N$	$S_{11}$	$P_{11}$	$P_{13}$	$D_{13}$	$D_{15}$	$F_{15}$	$F_{17}$	$G_{17}$	$G_{19}$	$H_{19}$
2	$\rho N(S=1/2)$	$S_{11}$	$P_{11}$	$P_{13}$	$D_{13}$	$D_{15}$	$F_{15}$	$F_{17}$	$G_{17}$	$G_{19}$	$H_{19}$
3	$\rho N(S = 3/2,  J - L  = 1/2)$		$P_{11}$	$P_{13}$	$D_{13}$	$D_{15}$	$F_{15}$	$F_{17}$	$G_{17}$	$G_{19}$	$H_{19}$
4	$\rho N(S = 3/2,  J - L  = 3/2)$	$D_{11}$		$F_{13}$	$S_{13}$	$G_{15}$	$P_{15}$	$H_{17}$	$D_{17}$	$I_{19}$	$F_{19}$
5	$\eta N$	$S_{11}$	$P_{11}$	$P_{13}$	$D_{13}$	$D_{15}$	$F_{15}$	$F_{17}$	$G_{17}$	$G_{19}$	$H_{19}$
6	$\pi\Delta( J-L =1/2)$		$P_{11}$	$P_{13}$	$D_{13}$	$D_{15}$	$F_{15}$	$F_{17}$	$G_{17}$	$G_{19}$	$H_{19}$
7	$\pi\Delta( J-L =3/2)$	$D_{11}$	—	$F_{13}$	$S_{13}$	$G_{15}$	$P_{15}$	$H_{17}$	$D_{17}$	$I_{19}$	$F_{19}$
8	$\sigma N$	$P_{11}$	$S_{11}$	$D_{13}$	$P_{13}$	$F_{15}$	$D_{15}$	$G_{17}$	$F_{17}$	$H_{19}$	$G_{19}$
9	$K\Lambda$	$S_{11}$	$P_{11}$	$P_{13}$	$D_{13}$	$D_{15}$	$F_{15}$	$F_{17}$	$G_{17}$	$G_{19}$	$H_{19}$
10	$K\Sigma$	$S_{11}$	$P_{11}$	$P_{13}$	$D_{13}$	$D_{15}$	$F_{15}$	$F_{17}$	$G_{17}$	$G_{19}$	$H_{19}$



## S-, t- and u-channel exchanges

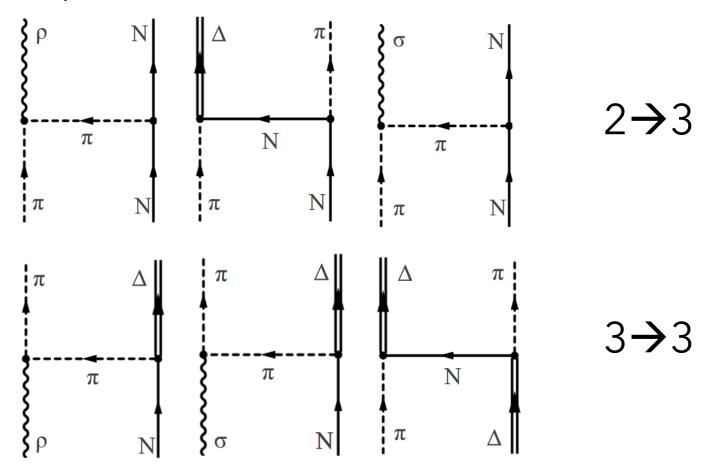
- 21 *s*-channel states (resonances) coupling to  $\pi N$ ,  $\eta N$ ,  $K\Lambda$ ,  $K\Sigma$ ,  $\pi \Delta$ ,  $\rho N$ .
- *t* and *u*-channel exchanges ("background"):

	$\pi N$	ρΝ	ηΝ	$\pi\Delta$	σΝ	ΚΛ	ΚΣ
$\pi N$	$N,\Delta,(\pi\pi)_{\sigma},$ $(\pi\pi)_{\rho}$	$N, \Delta, Ct., $ $\pi, \omega, a_1$	N, a <sub>0</sub>	Ν, Δ, ρ	Ν, π	$\Sigma, \Sigma^*, K^*$	$\Lambda, \Sigma, \Sigma^*, K^*$
ρΝ		Ν, Δ, Ct., ρ	-	Ν, π	-	-	-
ηΝ			N, f <sub>0</sub>	-	-	Κ*, Λ	$\Sigma, \Sigma^*, K^*$
$\pi\Delta$				Ν, Δ, ρ	π	-	-
σΝ		Is there a	system		Ν, σ	-	-
ΚΛ		behind th				$\Xi, \Xi^*, f_0,$ $\omega, \phi$	Ξ, Ξ*, ρ
ΚΣ							$\Xi, \Xi^*, f_0,$ $\omega, \phi, \rho$



## $2 \rightarrow 3$ and $3 \rightarrow 3$ body unitarity

• See last part of this lecture: Unitarity requires certain transition amplitudes



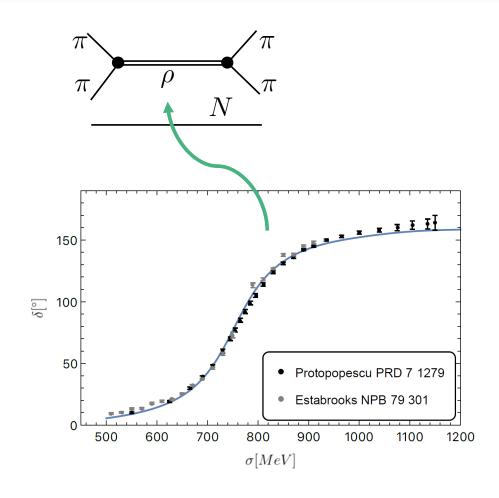


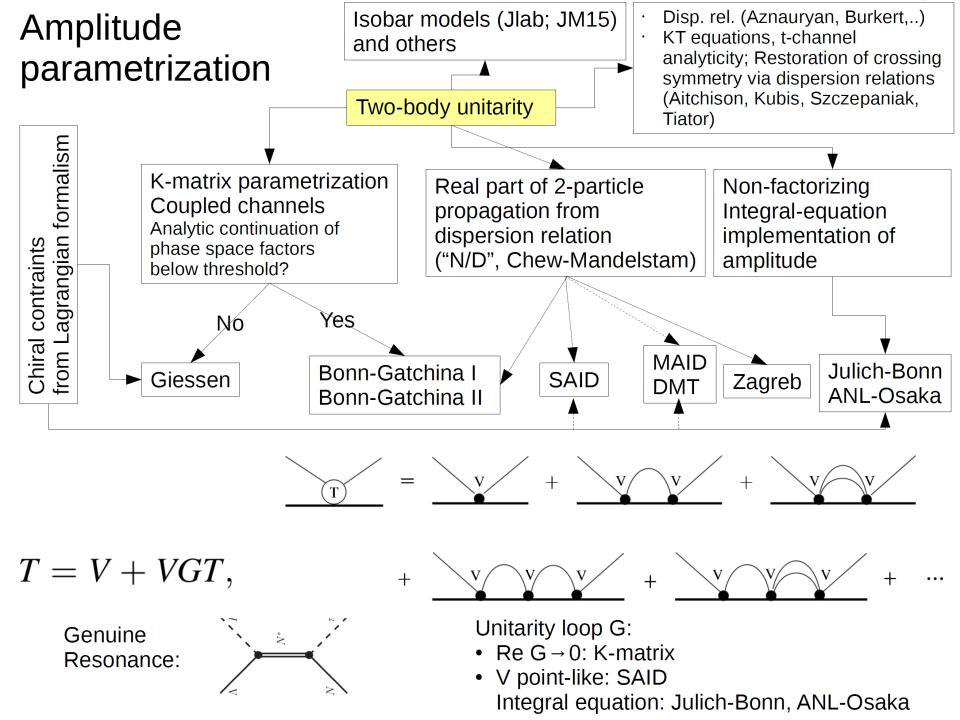
## Three-body channels $\sigma N, \pi \Delta, \rho N$

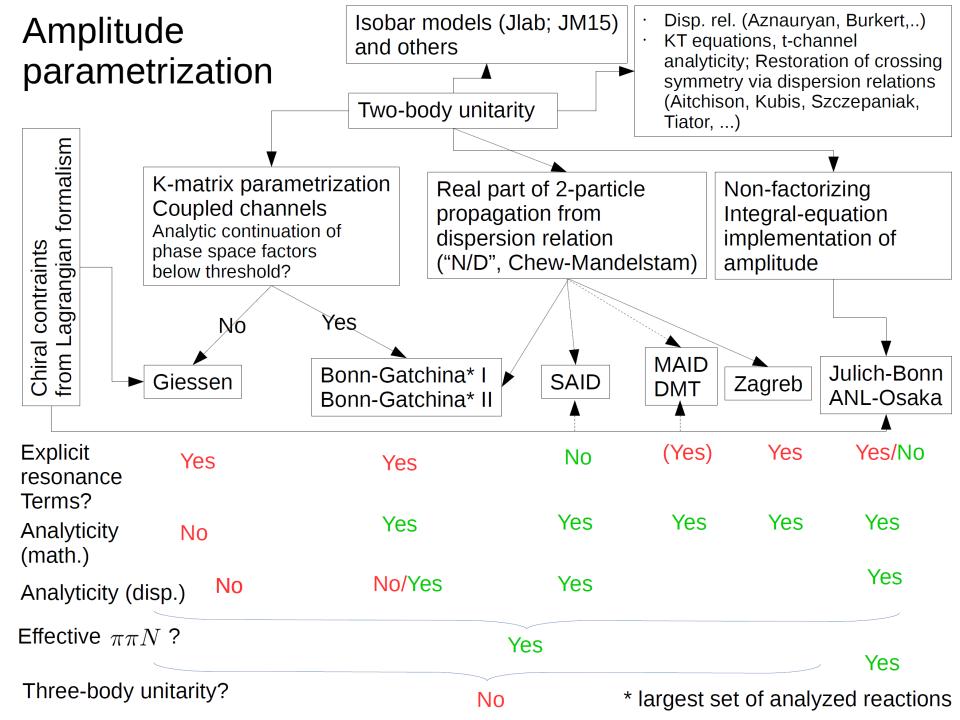
Resonant sub-channels

Fit 2→2 amplitude to 2→ 2
 scattering data

 Include as sub-channel in 3-body amplitude:2-body input depends only on onshell 2→2 scattering







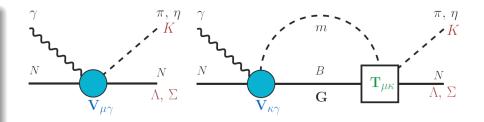


#### Coupling of the photon (1)

• Direct parametrization of multipoles....

#### Multipole amplitude

$$M_{\mu\gamma}^{IJ}={}^{}V_{\mu\gamma}^{IJ}+\sum_{\kappa}T_{\mu\kappa}^{IJ}G_{\kappa}{}^{}V_{\kappa\gamma}^{IJ}$$
 (partial wave basis)



$$m = \pi, \eta, K, B = N, \Delta, \Lambda$$

 $T_{\mu\kappa}$ : Jülich hadronic T-matrix  $\to$  Watson's theorem fulfilled by construction  $\to$  **analyticity of** T: extraction of resonance parameters

**Photoproduction potential:** approximated by energy-dependent polynomials (field-theoretical description numerically too expensive)

$$\mathbf{V}_{\mu\gamma}(E,q) = \underbrace{\gamma}_{N} \underbrace{\gamma}_{B} \underbrace{\gamma}_{B} \underbrace{\gamma}_{\mu}^{N^{*},\Delta^{*}} \underbrace{\gamma}_{\mu}^{a} \underbrace{\gamma}_{B} \underbrace{\gamma}_{\mu}^{a}(q) P_{\mu}^{\mathsf{NP}}(E) + \sum_{i} \underbrace{\gamma}_{\mu;i}^{a}(q) P_{i}^{\mathsf{P}}(E) \underbrace{F - m_{i}^{b}}_{i}$$



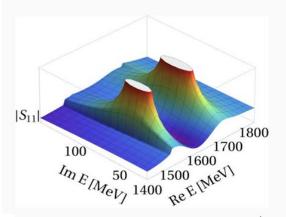
## Coupling of photon (2)

• ... vs. gauge-invariant photon interaction [Haberzettl]

- Requires to make amplitude explicitly covariant
- Requires to calculate many tree level amplitudes
- Requires to calculate many photon-(higher-spin) resonance couplings from Lagrangians
- Realized in Julich-Bonn model [<u>Huang</u>] and EBAC/ANL-Osaka [<u>Kamano</u>]
- EBAC also analyzed two-pion final states

## Resonance Couplings (typical outcome)

Resonance states: Poles in the T-matrix on the  $2^{nd}$  Riemann sheet



[D. Roenchen, M. D., U.-G. Meißner, EPJ A 54, 110 (2018)

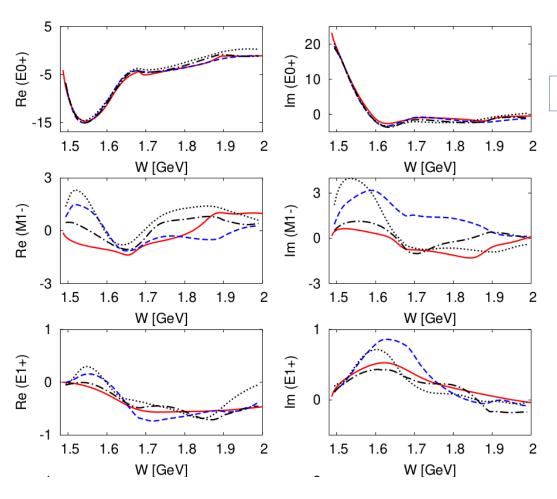
- $Re(E_0) = \text{``mass''}, -2Im(E_0) = \text{``width''}$
- elastic  $\pi N$  residue  $(|r_{\pi N}|, \theta_{\pi N \to \pi N})$ , normalized residues for inelastic channels  $(\sqrt{\Gamma_{\pi N}\Gamma_{\mu}}/\Gamma_{\text{tot}}, \theta_{\pi N \to \mu})$
- photocouplings at the pole:  $\tilde{A}^h_{pole} = A^h_{pole} e^{i\vartheta^h}$ , h = 1/2, 3/2

Inclusion of  $\gamma p \to K^+ \Lambda$  in JüBo ("JuBo2017-1"): 3 additional states

	$z_0$ [MeV]	$rac{\Gamma_{\pi N}}{\Gamma_{ m tot}}$	$\frac{\Gamma_{\eta N}}{\Gamma_{tot}}$	$\frac{\Gamma_{K\Lambda}}{\Gamma_{\text{tot}}}$
N(1900)3/2+	1923 — <i>i</i> 108.4	1.5 %	0.78 %	2.99 %
N(2060)5/2 <sup>-</sup>	1924 — <i>i</i> 100.4	0.35 %	0.15 %	13.47 %
$\Delta(2190)$ :1/2+	2191 - i  103.0	33.12 %		

- N(1900)3/2+: s-channel resonances, seen in many other analyses of kaon photoproduction (BnGa), 3 stars in PDG
- N(2060)5/2<sup>-</sup>: dynamically generated, 2 stars in PDG, seen e.g. by BnGa
- $\Delta(2190)1/2^+$ : dyn. gen., no equivalent PDG state

# Current state in $\eta$ photoproduction: Multipoles from different groups



From: **EtaMAID2018** [Tiator et al., EPJA54 (2018)] Analyzes:

$$\begin{array}{c}
\gamma p \to \eta p \\
\gamma p \to \eta' p \\
\gamma n \to \eta n \\
\gamma n \to \eta' n
\end{array}$$

#### EtaMAID2018

BnGa [PLB 772 (2017)]
<u>JuBo</u> (dotted) [EPJA 54 (2018)]
KSU [1804.06031]

Review: Krusche, Wilkins, [Prog.Part.Nucl.Phys. 80 (2014)]



## **Ambiguities & complete experiment**

- Does the measurement of a set of observables allow to determine the partial-wave amplitudes (up to one global undetermined phase)?
- $^{\bullet}$  Polynomial expansion of cross section: Assume only  $\ell=0,1$  exist. Then:

$$\frac{d\sigma}{d\Omega} = \frac{1}{k^2} \left[ \sin^2 \delta_0 + 6 \sin \delta_0 \sin \delta_1 \cos(\delta_1 - \delta_0) \cos \theta + 9 \sin^2 \delta_1 \cos^2 \theta \right] \quad (*)$$

• Assume experimental cross section is well described by A, B, C, where

$$\frac{d\sigma}{d\Omega} = \frac{1}{k^2} \left[ A + B\cos\theta + C\cos^2\theta \right]$$

- Sign ambiguity: (\*) does not change if signs of both  $\delta_0, \, \delta_1$  are changed.
- Generalization to systems with spin (usually, photoproduction):
  - "Complete experiment" (up to a global, energy-dependent phase)
  - "Complete truncated-partial-wave experiment"

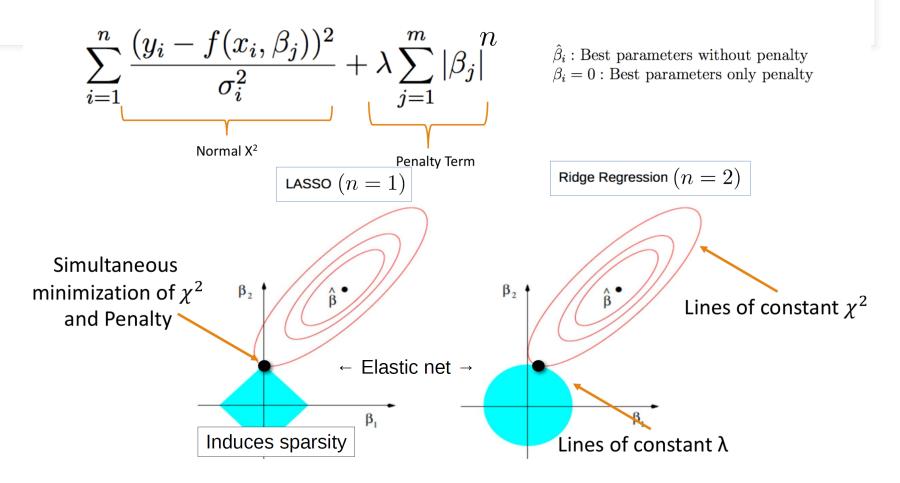


#### 2.2 One statistical aspect [Landay]

- How many resonances does one need to describe a given data set?
- Search for a "minimal set" (Occam's razor)
- Too many hypothesis to test in fits (all combinations of all condidates)
- Automatized methods → Model selection techniques
  - "Least absolute shrinkage and selection operator" (LASSO) creates a whole family of models automatically from smaller to larger complexity
  - Additional criteria help to select the minimal model (usually weighing the chisquare against degrees of freedom)



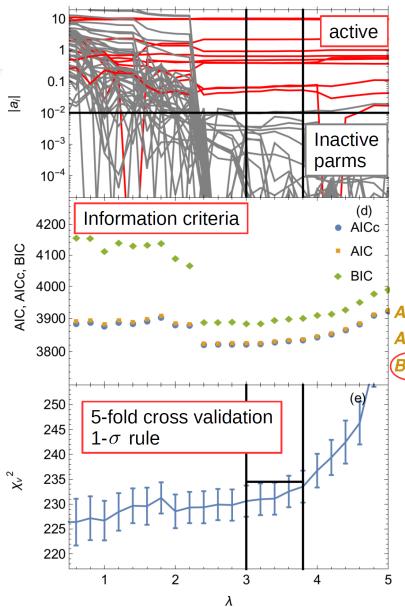
#### **LASSO**

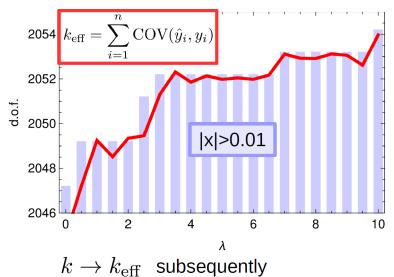


See, e.g.: *The Elements of Statistical Learning*: Data Mining, Inference, and Prediction, T. Hastie, R. Tibshirani, J. Friedman, Springer 2009 second ed.



#### Information theory criteria





$$\begin{aligned} & \textbf{AIC} = -2\max\log(L(\hat{\theta}|\textit{data})) + 2k = \chi^2 + 2k \\ & \textbf{AIC}_c = AIC + \frac{2k(k+1)}{n-k-1} \\ & \textbf{BIC} = -2\max\log(L(\hat{\theta}|\textit{data})) + 2\log(n) = \chi^2 + k\log(n) \end{aligned}$$

Close relation to Bayesian model comparison (here:  $n\gg k$ )

See, e.g.: Andrew A. Neath, Joseph E. Cavanaugh, DOI: 10.1002/wics.199



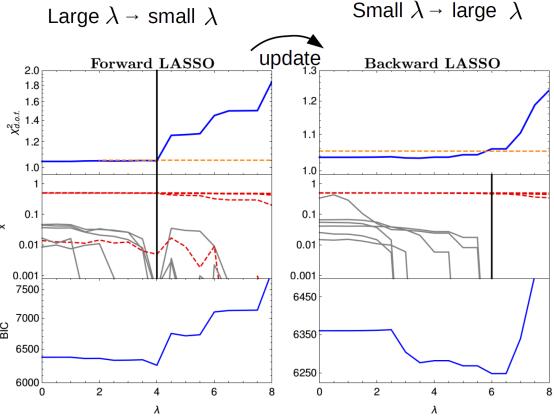
## Synthetic data results

- 10 partial waves
- 10 resonance candidates
- Synthetic data with 4 active resonances

$$\begin{split} W) &= e^{i\phi} \left(\frac{k_f(W)}{\Lambda}\right)^{L+1/2} \\ &\times \left(a\,e^{-\alpha^2\left(\frac{k_f(W)}{\Lambda}\right)^2} - x e^{i\Phi} \frac{\Gamma/2}{W-M+i\Gamma/2}\right) \ \times \end{split}$$

• Penalty (group LASSO):

$$P_{gr}(\lambda) = \lambda^4 \sum_{i=1}^{i_{\text{max}}} \sqrt{p_i} |x_i|$$



Selected:

4 active resonances

1 inactive resonance

Finds good local minima!

Selected:

4 active resonances

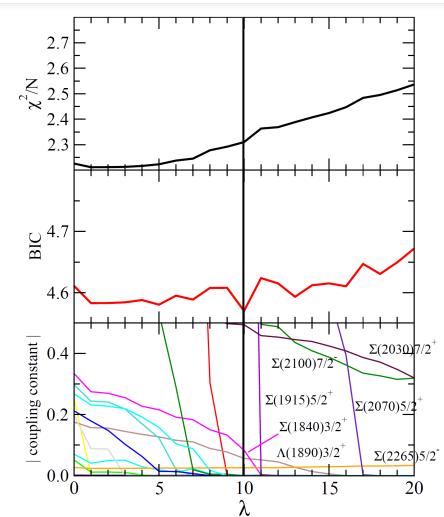
0 inactive resonance

Greediness built in



#### Results for the reaction $\bar{K}N o K\Xi$ [Landay]

- Start with an abundant set of resonances
- Fit data for different penalties
- Use information criteria to identify to point of maximal information
- Of 21 PDG candidates,
   10 survive.



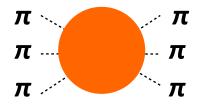


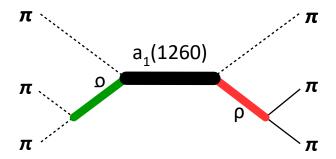
#### 3. Three-body aspects

Light mesons



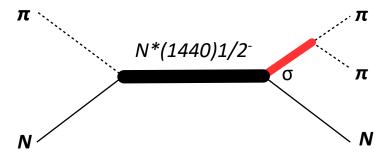






- Important channel in GlueX @ Jlab: hybrids and exotics
- Finite volume spectrum from lattice QCD:
   Lang (2014), Woss [HadronSpectrum] (2018)
   Hörz (2019), Culver (2020), Fischer (2020),
   Hansen (2020),...

#### Light baryons



- Roper resonance is debated for ~50 years in experiment. Can only be seen in PWA.
- 1<sup>st</sup> calculation w. meson-baryon operators on the lattice: Lang et al. (2017)

#### 3.1 Three-body unitarity with isobars \* [Mai]

$$\langle q_{1}, q_{2}, q_{3} | (\hat{T} - \hat{T}^{\dagger}) | p_{1}, p_{2}, p_{3} \rangle = i \int_{P} \langle q_{1}, q_{2}, q_{3} | \hat{T}^{\dagger} | k_{1}, k_{2}, k_{3} \rangle \langle k_{1}, k_{2}, k_{3} | \hat{T} | p_{1}, p_{2}, p_{3} \rangle$$

$$\times \prod_{\ell=1}^{3} \left[ \frac{\mathrm{d}^{4} k_{\ell}}{(2\pi)^{4}} (2\pi) \delta^{+} (k_{\ell}^{2} - m^{2}) \right] (2\pi)^{4} \delta^{4} \left( P - \sum_{\ell=1}^{3} k_{\ell} \right)$$

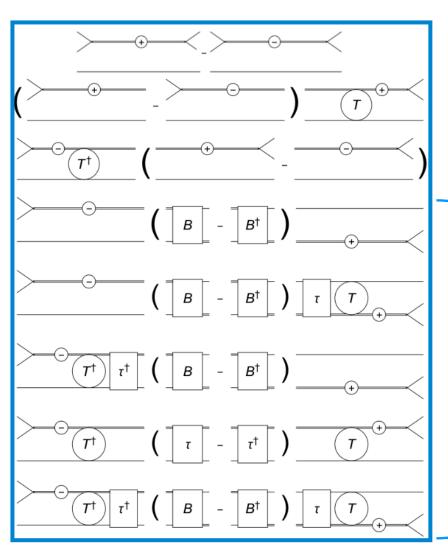
delta function sets all intermediate particles on-shell

<sup>\* &</sup>quot;Isobar" stands for two-body sub-amplitude which can be resonant or not; can be matched to CHPT expansion to one loop if desired. Isobars are re-parametrization of full 2-body amplitude [Bedaque] [Hammer]

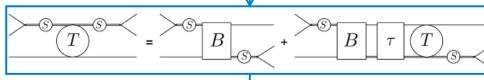
**General Ansatz for the isobar-spectator interaction** 

 $\rightarrow$  **B &**  $\tau$  are **new** unknown functions

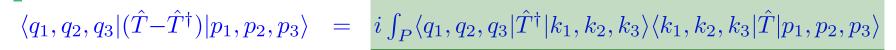
$$\langle q_1, q_2, q_3 | (\hat{T} - \hat{T}^{\dagger}) | p_1, p_2, p_3 \rangle = i \int_{P} \langle q_1, q_2, q_3 | \hat{T}^{\dagger} | k_1, k_2, k_3 \rangle \langle k_1, k_2, k_3 | \hat{T} | p_1, p_2, p_3 \rangle$$

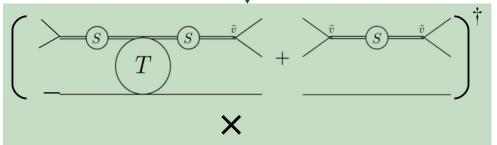


Bethe-Salpeter equation

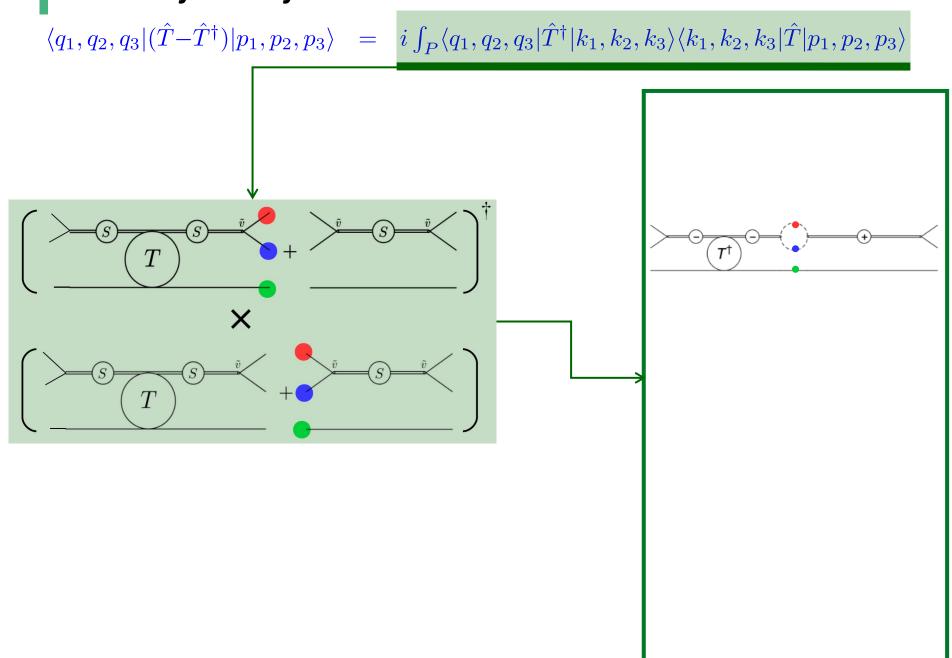


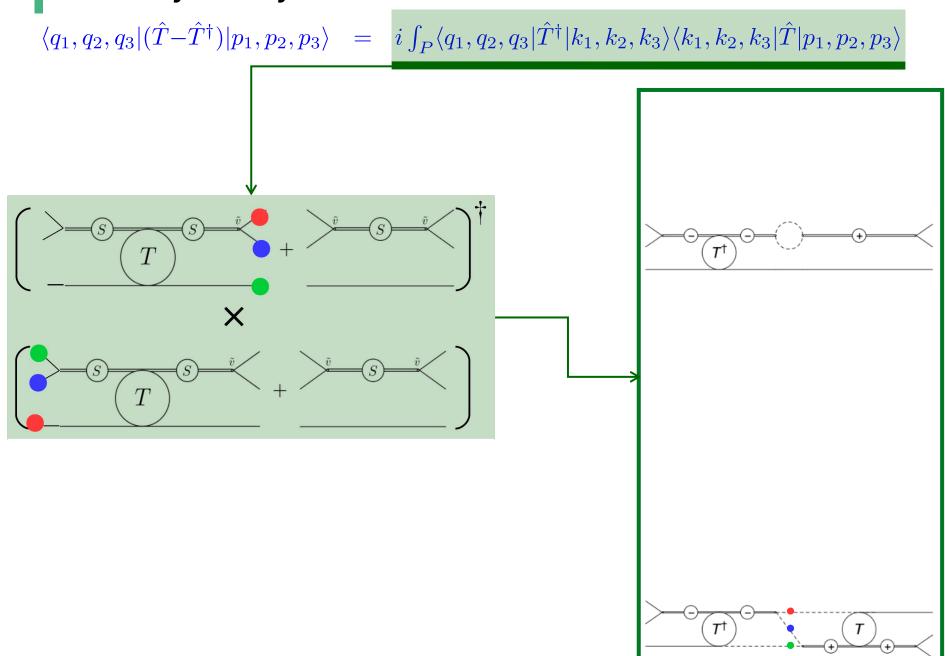
$$\begin{split} \hat{T} - \hat{T}^{\dagger} &= v(S - S^{\dagger})v + vSTSv - vS^{\dagger}T^{\dagger}S^{\dagger}v \\ &= v(S - S^{\dagger})v + \left(vS - vS^{\dagger}\right)TSv + vS^{\dagger}T^{\dagger}\left(Sv - S^{\dagger}v\right) + vS^{\dagger}(T - T^{\dagger})Sv \end{split}$$





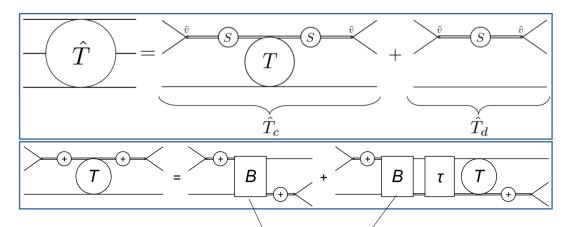
General connected-disconnected structure





#### Scattering amplitude (1)

 $3 \rightarrow 3$  scattering amplitude is a 3-dimensional integral equation

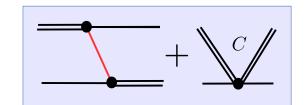


Imaginary parts of **B**, **S** are fixed by **unitarity/matching** 

Disc 
$$B(u) = 2\pi i \lambda^2 \frac{\delta \left( E_Q - \sqrt{m^2 + \mathbf{Q}^2} \right)}{2\sqrt{m^2 + \mathbf{Q}^2}}$$

• un-subtracted dispersion relation

$$\langle q|B(s)|p\rangle = -\frac{\lambda^2}{2\sqrt{m^2+\mathbf{Q}^2}\left(E_Q-\sqrt{m^2+\mathbf{Q}^2}+i\epsilon\right)} + C$$



- one-π exchange in TOPT → RESULT, NOT INPUT!
- One can map to field theory but does not have to. Result is a-priori dispersive.

#### Scattering amplitude (2)

Here: Version in which isobar rewritten in on-shell 2  $\rightarrow$  2 scattering amplitude  $T_{22}$ 

$$\langle q_1, q_2, q_3 | \hat{T}_c(s) | p_1, p_2, p_3 \rangle = \frac{1}{3!} \sum_{n=1}^{3} \sum_{m=1}^{3} T_{22}(\sigma(q_n)) \langle q_n | T(s) | p_m \rangle T_{22}(\sigma(p_m))$$

$$\langle q|T(s)|p\rangle = \langle q|C(s)|p\rangle + \frac{1}{m^2 - (P - p - q)^2 - i\epsilon}$$

$$-\int \frac{\mathrm{d}^3\ell}{(2\pi)^3} \frac{1}{2E_\ell} T_{22}(\sigma(\ell)) \left(\langle p|C(s)|\ell\rangle + \frac{1}{m^2 - (P - p - \ell)^2 - i\epsilon}\right) \langle \ell|T(s)|p\rangle$$

$$T_{22}$$

(S-wave)

## 3.2 Analytic cont. 3B (1)

#### SMC

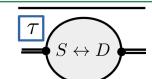
$$T_{LL'}^{J}(q_1, p) \neq \left(B_{LL'}^{J}(q_1, p) + C_{LL'}(q_1, p)\right) +$$

$$\int_{0}^{\Lambda} \frac{\mathrm{d}l l^2}{(2\pi)^3 2E_l} B_{LL''}^{J}(q_1, l) + C_{LL''}(q_1, l) \tau \sigma(l) T_{L''L'}^{J}(l, p)$$

$$\tau^{-1}(\sigma) = K^{-1} - \Sigma \,,$$

$$\Sigma = \int_{0}^{\infty} \frac{\mathrm{d}k}{(2\pi)^3} \frac{1}{2E_k} \frac{\sigma^2}{\sigma'^2} \frac{\tilde{v}(k)^* \tilde{v}(k)}{\sigma - 4E_k^2 + i\epsilon}$$

SEC



Singularities

 $\operatorname{Im}\sigma[m_\pi^2]$ 

-20

-40

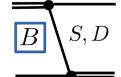
-60

-80

0.1

 $-\pi/2$ 

 $B_{\lambda'}(\boldsymbol{p}, \boldsymbol{p}') = \frac{v_{\lambda}^*(P - p - p', p)v_{\lambda'}(P - p - p', p')}{2E_{p'+p}[\sqrt{s} - E_p - E_{p'} - E_{p'+p} + i\epsilon)]}$ 



 $\sqrt{s} = (8.8 - 1.8 i) m_{\pi}$ 

10

 $\pi/2$ 

100

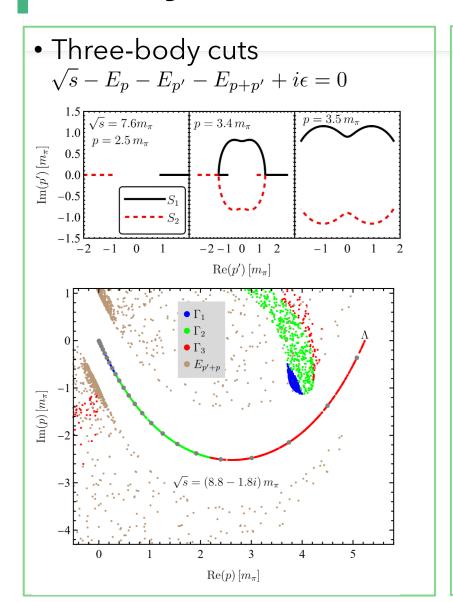
 $\pi$ 

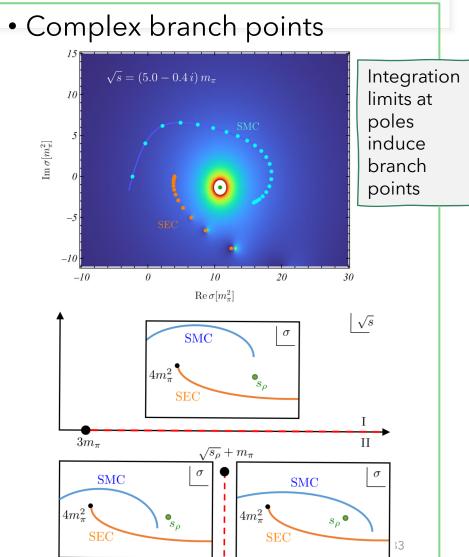
 $\operatorname{Re} \sigma[m_{\pi}^2]$ 

- Two contours (SMC and SEC)
- Deform both "adiabatically" to go to complex s
- Set of rules:
  - Contours cannot intersect with each others
  - Contours cannot intersect with (3-body) cuts
- Passing singularities left or right determines sheet



## **Analytic continuation 3B (2)**

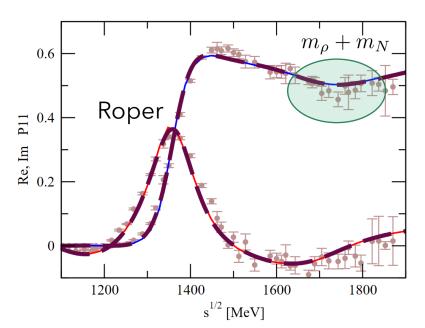


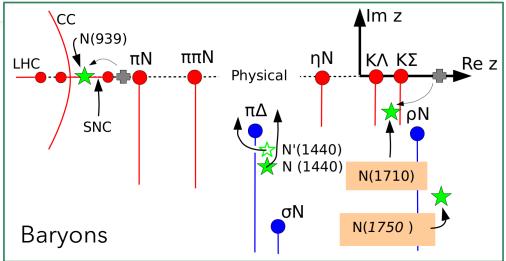


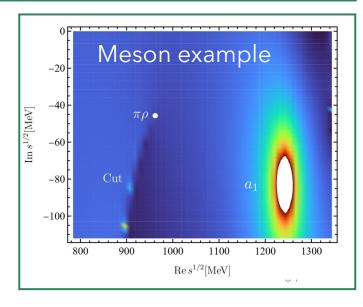


## **Analytic continuation 3B (3)**

- Real and <u>complex</u> branch points
- Poles appear doubled due to new Riemann sheets due to (complex) thresholds
- Circular cut (CC) and short (nucleon) cut (SNC) exist only in partial waves
- Complex branch points can mimic resonances (  $\rho N$  ) [Ceci]









#### Summary

- Resonances are not necessarily bumps in cross sections
- Bumps in cross sections are not necessarily resonances
  - Threshold cusps; complex branch points; triangle singularities, statistical fluctuations
- Some quark models and some recent IQCD calculations predict more resonances than found in experiment
  - Large-scale experimental effort at JLab, Elsa, Mami,... together with pheno-analyses found convincing signals of many new states
  - Future: Ongoing efforts; new experiments (BGO-OD); electromagnetic properties through electroproduction reactions (Jlab 12-GeV upgrade)
- From a phenomenological point of view, the challenge in baryon spectroscopy is rather data consistency and systematic error than amplitude parametrization → New statistical methods needed



## **Spare slides**



## Physics opportunities with meson beams

#### Physics opportunities with meson beams

[Paper link]

William J. Briscoe, Michael Döring, Helmut Haberzettl, D. Mark Manley, Megumi Naruki, Igor I. Strakovsky and Eric S. Swanson

Eur. Phys. J. A (2015) **51**: 129

DOI 10.1140/epja/i2015-15129-5

Physics Opportunities with Meson Beams for EIC

[follow-up] (2021)

Strange Hadron Spectroscopy with Secondary KL Beam in Hall D

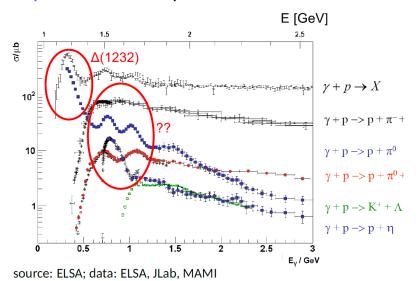
KLF Collaboration • Moskov Amaryan (Old Dominion U.) Show All(152) Aug 18, 2020

[Preprint link]



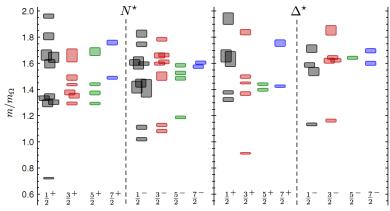
## **Baryons in photoproduction**

#### **Experimental** study of hadronic reactions



Theoretical predictions of excited hadrons e.g. from lattice calculations:

(with some limitations)



 $m_{\pi} = 396 \,\text{MeV} \, [\text{Edwards et al., Phys.Rev. D84 (2011)}]$ 

$$\gamma^{(*)}N \to \begin{cases} \pi N \\ \eta N, K\Lambda, K\Sigma, \omega N, \phi N, \dots \\ \pi \pi N, \pi \eta N, \dots \end{cases}$$

SAID Data Base @ GW:

https://gwdac.phys.gwu.edu/

New:

https://jbw.phys.gwu.edu/

### **PDG Changes**

- Changes from one PDG edition to another
- New states in red
- Upgrade existing states
- Removal older & lower rated states
- All changes come from Partial-wave analysis (PWA) of photoninduced reactions.

Table from [Crede]

**Table 9.** (Colour online) Baryon Summary Table for  $N^*$  and  $\Delta$  resonances including recent changes from PDG 2010 [2] to PDG 2012 [1].

Teceni changes from 1 Da 2010 [2] to 1 Da 2012 [11].								
N	T*	$J^P (L_{2I,2J})$	2010	2012	$\mid \Delta$	$\mid J^P (L_{2I,2J})$	2010	2012
$\overline{p}$		$1/2^{+}(P_{11})$	* * * *	* * * *	$\Delta(1232)$	$3/2^+(P_{33})$	* * * *	* * * *
n		$1/2^{+}(P_{11})$	* * **	* * **	$\Delta(1600)$	$3/2^+ (P_{33})$	***	***
N	7(1440)	$1/2^{+}(P_{11})$	* * **	* * * *	$\Delta(1620)$	$1/2^{-}(S_{31})$	* * **	* * **
N	(1520)	$3/2^{-}(D_{13})$	* * **	* * * *	$\Delta(1700)$	$3/2^{-}(D_{33})$	* * * *	* * **
N	(1535)	$1/2^{-}(S_{11})$	* * **	* * * *	$\Delta(1750)$	$1/2^+ (P_{31})$	*	*
N	7(1650)	$1/2^{-}(S_{11})$	* * **	* * **	$\Delta(1900)$	$1/2^{-}(S_{31})$	**	**
N	(1675)	$5/2^{-}(D_{15})$	* * **	* * * *	$\Delta(1905)$	$5/2^{+}(F_{35})$	* * * *	* * **
N	7(1680)	$5/2^{+}(F_{15})$	* * **	* * **	$\Delta(1910)$	$1/2^+ (P_{31})$	* * **	* * **
N	7(1685)			*				
N	7(1700)	$3/2^{-}(D_{13})$	* * *	* * *	$\Delta(1920)$	$3/2^+ (P_{33})$	***	***
N	(1710)	$1/2^{+}\left( P_{11}\right)$	***	***	$\Delta(1930)$	$5/2^{-}(D_{35})$	***	***
N	(1720)	$3/2^{+}(P_{13})$	* * **	* * * *	$\Delta(1940)$	$3/2^{-}(D_{33})$	*	**
N	7(1860)	$5/2^{+}$		**				
N	7(1875)	$3/2^{-}$		***				
N	7(1880)	$1/2^{+}$		**				
N	(1895)	$1/2^{-}$		**				
N	7(1900)	$3/2^{+}(P_{13})$	**	***	$\Delta(1950)$	$7/2^{+}(F_{37})$	* * **	* * **
N	7(1990)	$7/2^+ (F_{17})$	**	**	$\Delta(2000)$	$5/2^+ (F_{35})$	**	**
N	7(2000)	$5/2^{+}(F_{15})$	**	**	$\Delta(2150)$	$1/2^{-}(S_{31})$	*	*
-A	V(2080)	$D_{13}$	**		$\Delta(2200)$	$7/2^{-}(G_{37})$	*	*
$-\Lambda$	V(2090)	$S_{11}$	*		$\Delta(2300)$	$9/2^{+}(H_{39})$	**	**
	7(2040)	$3/2^{+}$		*				
N	7(2060)	$5/2^{-}$		**				
N	7(2100)	$1/2^{+}\left( P_{11}\right)$	*	*	$\Delta(2350)$	$5/2^{-}(D_{35})$	*	*
N	(2120)	$3/2^{-}$		**				
N	7(2190)	$7/2^{-}(G_{17})$	* * **	* * **	$\Delta(2390)$	$7/2^{+}(F_{37})$	*	*
$-\Lambda$	V(2200)	$D_{15}$	**		$\Delta(2400)$	$9/2^{-}(G_{39})$	**	**
N	7(2220)	$9/2^{+}(H_{19})$	* * **	* * **	$\Delta(2420)$	$11/2^+ (H_{3,11})$	* * **	* * **
N	7(2250)	$9/2^{-}(G_{19})$	* * **	* * * *	$\Delta(2750)$	$13/2^- (I_{3,13})$	**	**
N	7(2600)	$11/2^- (I_{1,11})$	* * *	***	$\Delta(2950)$	$15/2^+ (K_{3,15})$	**	**
N	(2700)	$13/2^+ (K_{1.13})$	**	**				



#### The role of meson beams in baryon spectroscopy

(Non-strange, light baryon sector)

• Pion-induced reactions

$$\pi N \to \begin{cases} \pi N \\ \eta N, K\Lambda, K\Sigma \\ \pi \pi N, \pi \eta N, \dots \end{cases}$$



 Two complex amplitudes (g,h)

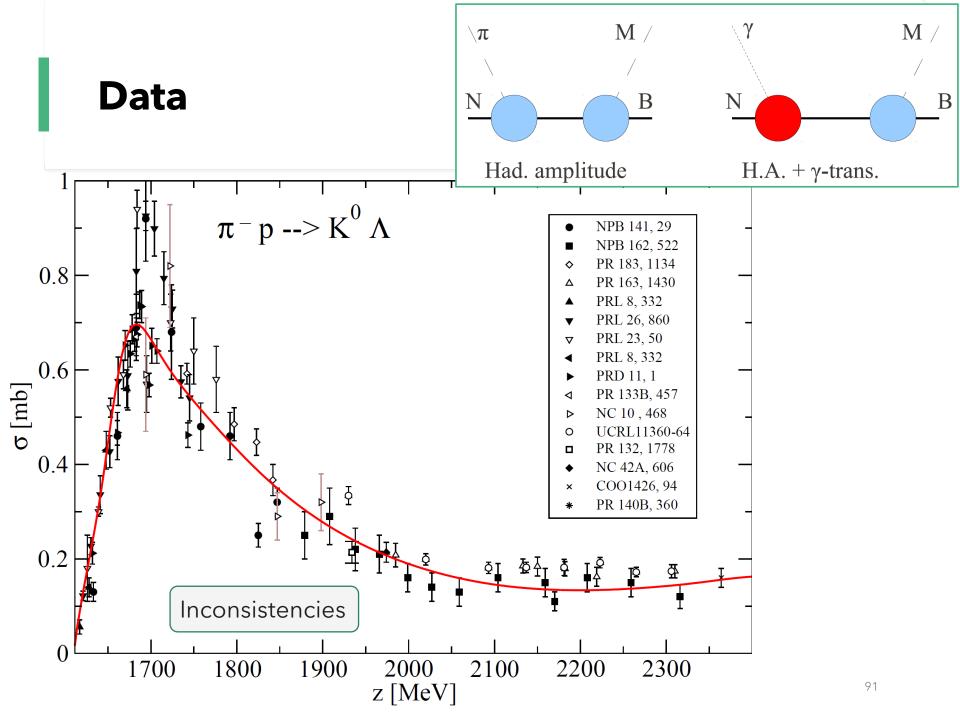
Photon-induced reactions

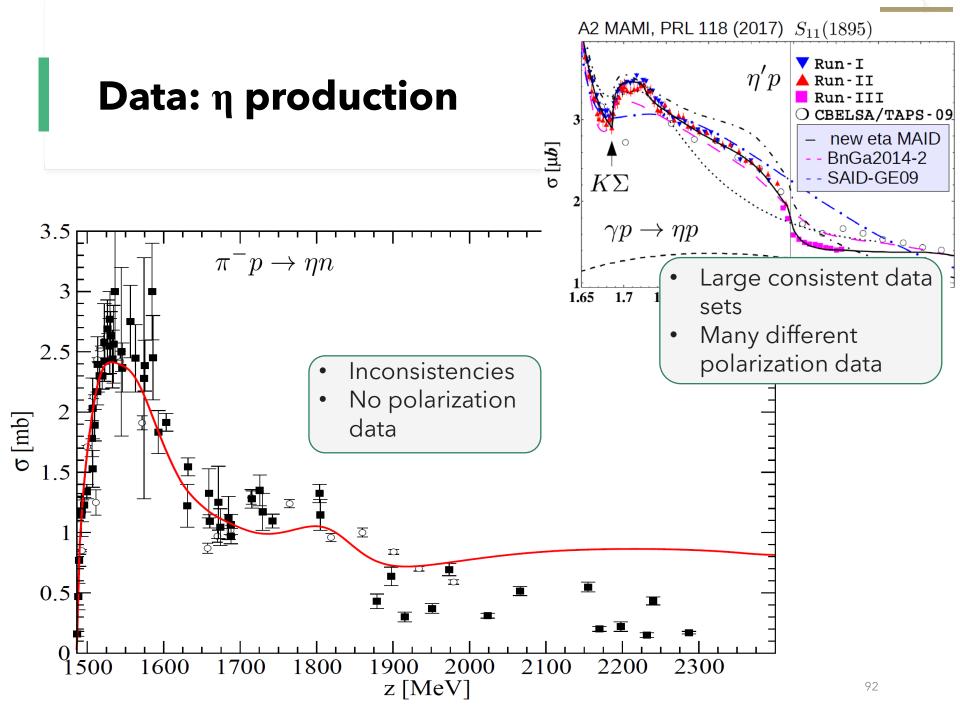
$$\gamma^{(*)}N \to \begin{cases} \pi N \\ \eta N, K\Lambda, K\Sigma \\ \pi \pi N, \pi \eta N, \dots \end{cases}$$

Data! 
$$\begin{cases} \pi N \\ \eta N, \, K\Lambda, \, K\Sigma \\ \pi\pi N, \pi\eta N, \dots \end{cases} \leftrightarrow \begin{cases} \pi N \\ \eta N, \, K\Lambda, \, K\Sigma \\ \pi\pi N, \pi\eta N, \dots \end{cases}$$

- Final-state interaction as sub-process
- Four (photo) or six (electro) complex amplitudes (CGNL, ...)

Photon-induced reactions have more d.o.f. and their analysis depends on meson-induced reaction data (except complete experiment).

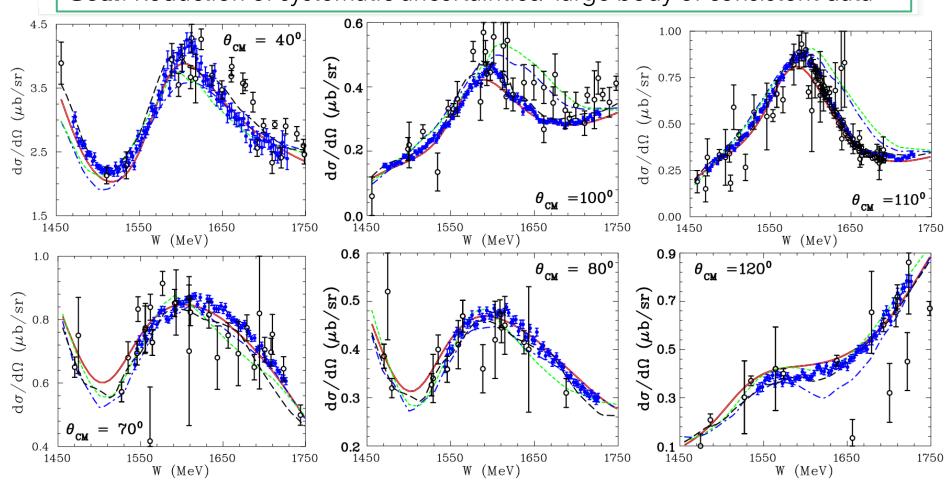






#### **Example of recent improvements**

Goal: Reduction of systematic uncertainties/ large body of consistent data



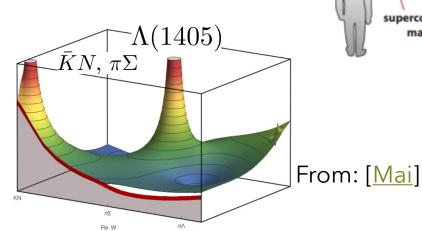
EPECUR experiment [<u>Alekseev</u> 2015] (**blue**) compared to previous measurements (**black**)

## **K-Long Facility**



Hyperon spectroscopy: Increased activity and analyses by

- Kent state group,
- JPAC,
- Bonn-Gatchina,
- ANL-Osaka,...



- Strange meson spectroscopy
  - Broader physics scope [Proposal]
- To accomplish physics program, 200 days running is approved

forward calorimeter

forward drift

chambers

central drift chamber

superconducting

magnet

DIRC

time-of

-flight

calorimeter

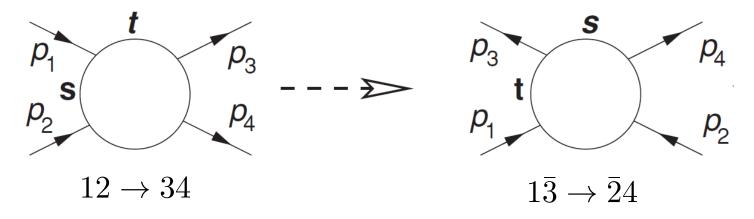
counter

target

photon beam

## **Crossing Symmetry**

• Consider another process by turning the scattering around:



- negative 0-components appear:  $p_{30} \le -m_3$  and  $p_{20} \le -m_2$
- interpretation of crossed process: anti-particle with  $\bar{p}_3 = -p_3$
- Crossed diagram describes another process; so called *t*-channel reaction:

$$t = (p_1 - p_3)^2 = (p_1 + \bar{p}_3)^2 \ge (m_1 + m_3)^2$$

## Crossed processes in $\lambda^3$

• s, t, and u-channel processes in  $\lambda^3$  theory:

#### u-channel reactions

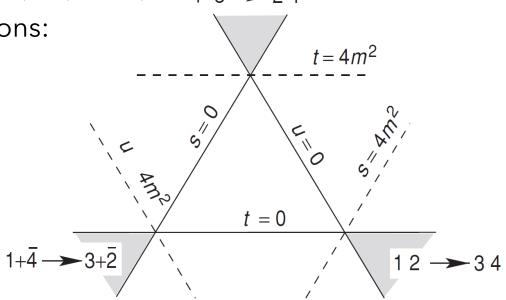
• Analogously, if  $p_{40} \le -m_4$ ,  $p_{20} \le -m_2$ :  $1 + \bar{4} \to 3 + \bar{2}$  $u = (p_1 - p_4)^2 = (p_1 + \bar{p}_4)^2 \ge (m_1 + m_4)^2$ 

#### • In summary:

s-channel:  $1+2 \to 3+4$ ,  $s = (p_1+p_2)^2 \ge (m_1+m_2)^2$ ; t-channel:  $1+\bar{3} \to \bar{2}+4$ ,  $t = (p_1+\bar{p}_3)^2 \ge (m_1+m_3)^2$ ; u-channel:  $1+\bar{4} \to 3+\bar{2}$ ,  $u = (p_1+\bar{p}_4)^2 \ge (m_1+m_4)^2$ . 1  $\bar{3} \longrightarrow \bar{2}$  4

• There are 3 unitarity relations:

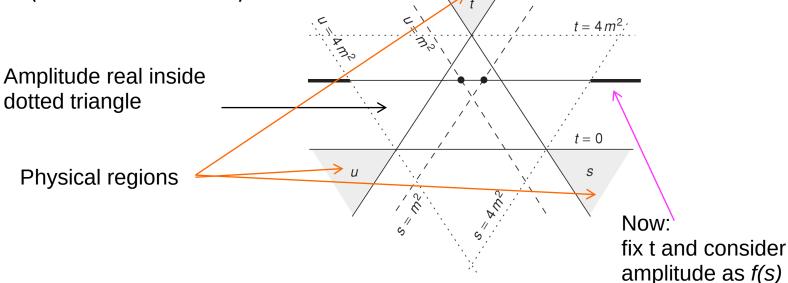
- s-channel unitarity
- t-channel unitarity
- u-channel unitarity



# Analytic structure in the Mandelstam plane

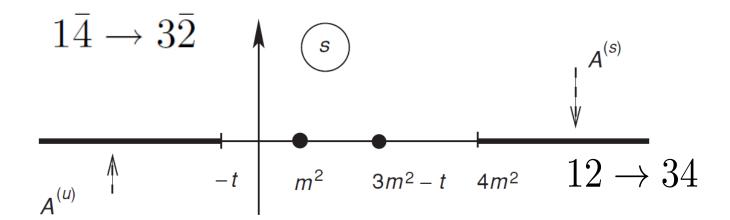
• Again, s-, t-, and u-channel processes:

• Induce poles in the amplitude, at position of physical particle mass (which is NOT m).



## Left- and right-hand cut

• The only non-analyticities on the first Riemann sheet:



## Dispersive representation of the amplitude

[Gribov]

• Cauchy's Theorem:

$$\int_{\mathcal{C}} \frac{dz}{2\pi i} \frac{A(z)}{z-s} = A(s)$$

 $\lambda^2/(m^2-t)$  does not fall with s  $\rightarrow$  once-subtracted dispersion relation:

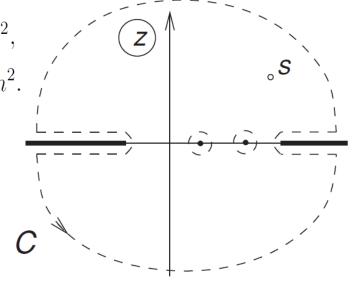
$$A(s) - A(0) = \int_{\mathcal{C}} \frac{dz}{2\pi i} \left[ \frac{A(z)}{z - s} - \frac{A(z)}{z} \right] = \frac{s}{\pi} \int_{\mathcal{C}} \frac{dz}{2i} \frac{A(z)}{z(z - s)}$$

$$\operatorname{Im}_{s} A \equiv \frac{1}{2i} [A(s+i0,t) - A(s-i0,t)], \quad s > 4m^{2},$$

$$\operatorname{Im}_{u} A \equiv \frac{1}{2i} [A(u+i0,t) - A(u-i0,t)], \quad u > 4m^{2}.$$

→ Simplify the expression!

Similar: Derive the real part of two-particle propagator *G* from its imaginary part (much simpler: no poles, no left-hand cut)



## **Example: Pion-nucleon scattering**

forward scattering t=0:

$$s = (p+k)^2 = M^2 + \mu^2 + 2M\nu,$$

$$u = (p - k')^2 = M^2 + \mu^2 - 2M\nu = 2(M^2 + \mu^2) - s$$

$$\nu = \frac{s - u}{2M} = \frac{s - (M^2 + \mu^2)}{M}$$

$$f(\nu) = \frac{r}{\nu_0 - \nu} + \frac{1}{\pi} \int_{\mu}^{\infty} \frac{d\nu' \operatorname{Im} f(\nu')}{\nu' - \nu}$$

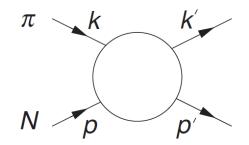
$$+\frac{r}{\nu_0 + \nu} + \frac{1}{\pi} \int_{-\mu}^{-\infty} \frac{d\nu' \operatorname{Im} f(\nu')}{\nu' - \nu}$$

$$A = \overline{\mathbf{U}}(p')\boldsymbol{\phi}_{\alpha}(k') (f_{+}(\nu)\delta_{\alpha\beta} \cdot \mathbf{I} + f_{-}(\nu)\varepsilon_{\alpha\beta\gamma} \cdot \boldsymbol{\tau}_{\gamma})\boldsymbol{\phi}_{\beta}(k)\mathbf{U}(p)$$

As 
$$f(-\nu) = f(\nu)$$

for f= 
$$f_+$$
  $\rightarrow$ 

$$f(\nu) = f(0) + \frac{2r}{\nu_0} \frac{\nu^2}{\nu_0^2 - \nu^2} + \frac{\nu^2}{\pi} \int_{\mu}^{\infty} \frac{d\nu'^2}{\nu'^2} \frac{\operatorname{Im} f(\nu')}{(\nu'^2 - \nu^2)}$$



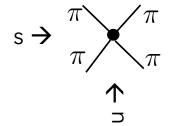


### Right-hand and left-hand cuts

• Pole positions of wide resonances might be distorted if "left-hand cut" is not taken properly into account (and: analyticity in s, not  $\sqrt{s}$ )



• Build in crossing symmetry manifestly through Roy-(like equations)

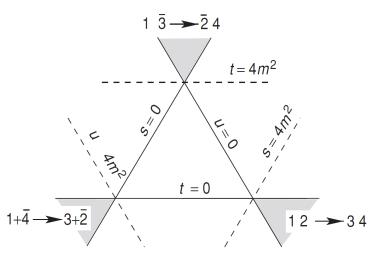


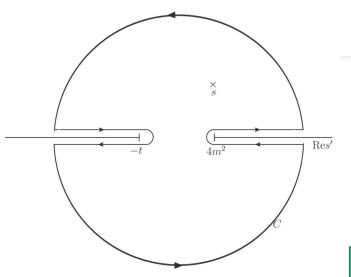
Advantage:  $\pi\pi$  scattering in u-channel is still  $\pi\pi$ 



## Roy(-like) equations

[Figure & formulas: J. R. Peláez] [Gribov]





Unphysical region

$$T(s,t,u) = \frac{1}{\pi} \int_{4m^2}^{\infty} ds' \frac{\text{Im} T(s',t,u')}{s'-s} + \frac{1}{\pi} \int_{-\infty}^{-t} ds' \frac{\text{Im} T(s',t,u')}{s'-s}$$

#### Subtraction



$$T^{(I)}(s,t) = T^{(I)}(0,t) + \frac{s}{\pi} \int_{4M_{\pi}^{2}}^{\infty} ds' \left[ \frac{\operatorname{Im} T^{(I)}(s',t)}{s'(s'-s)} - \frac{\operatorname{Im} T^{(I)}(u',t)}{u'(u'-s)} \right]$$

#### Crossing relations:

$$T^{(I)}(u',t,s') = \sum_{I'} C_{su}^{II'} T^{(I')}(s',t,u'), \quad T^{(I)}(0,t) = \sum_{I''} C_{st}^{II''} T^{(I'')}(t,0)$$

Only physical Region!

 $s \leftrightarrow u$  crossing

Partial-wave expansion

Roy-Eq.: 
$$t_{\ell}^{(I)}(s) = \overline{ST}_{\ell}^{I}(s) + \sum_{l'=0}^{2} \sum_{\ell'=0}^{\ell_{max}} \int_{4M_{\pi}^{2}}^{s_{max}} ds' \overline{K}_{\ell\ell'}^{II'}(s,s') \operatorname{Im} t_{\ell'}^{I'}(s') + \overline{DT}_{\ell}^{I}(s)$$

$$\int_{4M_{\pi}^{2}}^{3max} ds$$

Coupled partial waves



## Causality and analyticity (1)

• 4-point Green function  $A(x_1, x_2; x_3, x_4)$ 

$$A(x_1,x_2;x_3,x_4) = \underbrace{\sum_{x_2,\dots,x_3}^{X_1} \sum_{y_2,\dots,y_3}^{Y_3} \sum_{y_3,\dots,y_4}^{X_3} \underbrace{\sum_{x_4,\dots,x_4}^{X_3} \sum_{y_4,\dots,y_4}^{X_3} \underbrace{\sum_{x_4,\dots,x_4}^{X_4} \sum_{y_4,\dots,y_4}^{X_4} \underbrace{\sum_{x_4,\dots,x_4}^{X_4} \sum_{x_4,\dots,x_4}^{X_4} \underbrace{\sum_{x_4,\dots,x_4}^{X_4} \underbrace{\sum_{x_4,\dots,x_4}^{X_4} \underbrace{\sum_{x_4,\dots,x_4}^{X_4}} \underbrace{\sum_{x_4,\dots,x_4}^{X_4} \underbrace{\sum_{x_4,\dots,x_4}^{X_4}} \underbrace{\sum_{x_4,\dots,x_4}$$

• D(y-x): free particle propagation

$$D(y_{\mu} - x_{\mu}) = \int \frac{d^{3}\mathbf{p}}{(2\pi)^{3}} \int \frac{dp_{0}}{2\pi i} \frac{\exp\{-ip^{\mu}(y - x)_{\mu}\}}{m^{2} - p^{2} - i\epsilon}$$

[Gribov]



## Causality and analyticity (2)

• As  $y_0 > x_0$ , pole at  $p_0 = \sqrt{m^2 + \mathbf{p}^2}$ 

$$D(y_{\mu} - x_{\mu}) = \int \frac{d^{3}\mathbf{p}}{(2\pi)^{3}} \frac{\exp\{-ip^{\mu}(y - x)_{\mu}\}}{2p_{0}}$$
$$= \int \frac{d^{3}\mathbf{p}}{(2\pi)^{3}} \psi_{\mathbf{p}}(y) \cdot \psi_{\mathbf{p}}^{*}(x), \qquad y_{0} > x_{0}$$

• while for final state  $x_{03} > y_{03}, x_{04} > y_{04}$ 

$$D(y_{\mu} - x_{\mu}) = \int \frac{d^3 \mathbf{p}}{(2\pi)^3} \, \psi_{\mathbf{p}}(x) \cdot \psi_{\mathbf{p}}^*(y), \qquad x_0 > y_0$$

• Truncates amplitude f gets multiplied by product of wave functions.



## Causality and analyticity (3): Amplitude in momentum space

• Fourier transform of f:

$$\mathcal{M}(p_i) = \int f(y_1, y_2, y_3, y_4) e^{-i(p_1y_1 + p_2y_2) + i(p_3y_3 + p_4y_4)} \prod d^4y_i$$

- Make it simple:
  - Forward scattering  $p_1 \approx p_3, p_2 \approx p_4$
  - Solve some integrals  $\rightarrow$  only dependence on relative positions, here chosen:  $y_{13}=y_1-y_3$

$$\mathcal{M} \Longrightarrow (2\pi)^4 \delta(p_1 + p_2 - p_3 - p_4) \int e^{ip_1(y_3 - y_1)} f(y_{13}; p_2) d^4 y_{13}$$

• Forward scattering → Only dependence on one variable



### Causality and analyticity (4):

• The amplitude is proportional to the absorption of a particle in  $y_1$  and creation in  $y_3$  (and reversely for anti-particle):

$$\begin{split} f(y_3,y_1) &\propto \left\langle T\,\psi(y_3)\bar{\psi}(y_1)\right\rangle &\qquad \Delta y^\mu = y_3^\mu - y_1^\mu \\ &\equiv \vartheta(\Delta y_0)\cdot\psi(y_3)\bar{\psi}(y_1) \ \pm \ \vartheta(-\Delta y_0)\cdot\bar{\psi}(y_1)\psi(y_3) \\ &= \vartheta(\Delta y_0)\big[\psi(y_3)\bar{\psi}(y_1)\mp\bar{\psi}(y_1)\psi(y_3)\big]\pm\bar{\psi}(y_1)\psi(y_3) \\ &\text{(compare to the time evolution operator U in QM which is a time-ordered product; the S-matrix  $\underline{is}$  actually a time-evolution operator)} \end{split}$$

- Consider now a space-like interval  $(\Delta y)^2 < 0$
- The operators  $\psi(y_3)\bar{\psi}(y_1)$  have to commute; otherwise, a person at  $y_3$  could tell what was measured at  $y_1 \to \text{Causality}!$
- Then:  $f(y_3,y_1) \propto \vartheta(\Delta y_0)\vartheta((\Delta y)^2) \cdot f_1 \pm \bar{\psi}(y_1)\psi(y_3)$
- Insert one in the last term:

$$\langle 0 | \bar{\psi}(y_1) \psi(y_3) | 0 \rangle = \sum_{n} \langle 0 | \bar{\psi}(y_1) | n \rangle \cdot \langle n | \psi(y_3) | 0 \rangle = \sum_{n} |C_n|^2 e^{-iP_n(y_1 - y_3)}$$



## Causality and analyticity (5)

We still have to integrate over y to get M (see previous slides):

$$\sum_{n} |C_n^2| \int d^4 y_{31} e^{ip_1 y_{31}} \cdot e^{iP_n y_{31}} \propto \delta(p_{0,1} + P_{0,n}) = 0$$

- This has to be zero because all incoming, outgoing, intermediate particles have positive energy, e.g.,  $P_{0,n} > 0$
- Finally, as  $p_1y\equiv E_1t-\mathbf{p}_1\cdot\mathbf{y}=E_1\cdot(t-v_1z)$

$$\mathcal{M}(E_1) = \int d^4y \, f_1(y) \cdot \vartheta(y_0) \vartheta(y_\mu^2) \, e^{ip_1 y} = \int d^3\mathbf{y} \int_{\sqrt{\mathbf{y}^2}}^{\infty} dt \, e^{iE_1(t - v_1 z)} f_1(y)$$

Make use of all delta-functions →

$$t > 0, \quad t > \sqrt{z^2 + y^2} \ge |z| > |v_1 z| \Longrightarrow (t - v_1 z) > 0$$

• If  $\operatorname{Im} E_1 > 0$  and f increases less than expon., M converges in the upper half plane.



# Causality and analyticity (6)

Implies the so-called polynomial boundary for M(s)

$$|\mathcal{M}(s)| < |s|^N$$

- Absolut converging integral → Integration and differentiation can be interchanged.
- Cauchy relations:

$$u = u(x, y), v = v(x, y), z = x + iy \rightarrow \frac{\partial u}{\partial x} = \frac{\partial v}{\partial y}, \frac{\partial u}{\partial y} = -\frac{\partial v}{\partial x}$$

hold in the upper half plane with

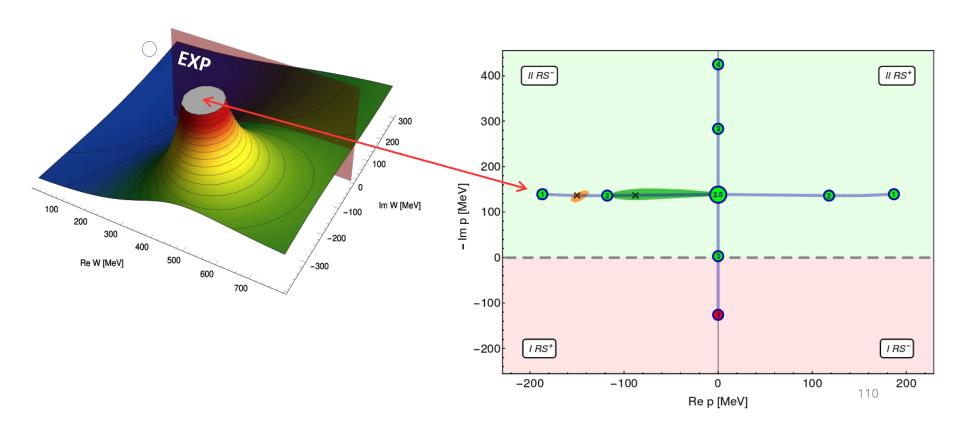
$$u = \operatorname{Re} \mathcal{M}, v = \operatorname{Im} \mathcal{M}, z = E_1$$

**Conclusion**: Causality ensures that there are no resonance poles in the upper energy half-plane (1<sup>st</sup> Riemann sheet)



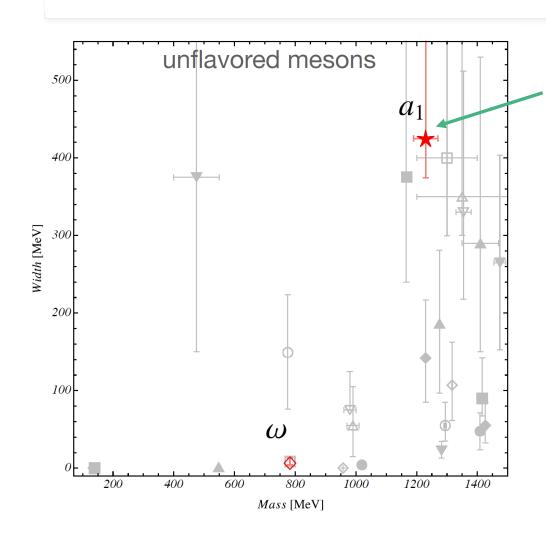
# Chiral trajectories in lattice QCD

- A lattice calculation at  $M_{\pi}$ =227 MeV and 315 MeV [GWQCD, 1803.02897]
- $\sigma$  becomes a (virtual) bound state @  $M_{\pi}$  = (345) 415 MeV





# **Light unflavored mesons**



We concentrate on this resonance! (because 3-body)

Huge body of work on 2-body coupled channel resonances from Lattice QCD (HadSpec collaboration, BGR group, Bonn group, ...) [Briceno]



## **Exotic quantum numbers**

- A  $q \bar{q}$  pair cannot form all possible  $(I^G)J^{PC}$  [Meyer]
  - Finding a meson with exotic quantum numbers reveals explicit gluon dynamics at low energies (exp. programs @ COMPASS, GlueX,...)
  - Exp. evidence for  $\pi_1(1600)$  rather solid [PDG]
- Which are the allowed forbidden quantum numbers/naming?

Allowed

Some exotics ( $J^{PC} = 1^{-+}, \dots$ )

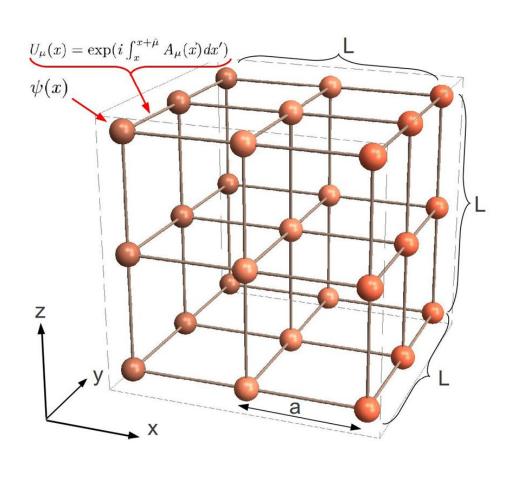
			ı		_	l		$J^{PC}$
0	0	$0_{-+}$	1	0	1+-	2	0	$2^{-+}$
0	1	1	1	1	$0^{++}$	2	1	1
			1	1	1++	2	1	$2^{}$
			1	1	2++	2	1	3

• How can we determine these quantum numbers?

$$P(q\bar{q}) = -(-1)^L$$
  $C(q\bar{q}) = (-1)^{L+S}$   $G(q\bar{q}) = (-1)^{L+S+I}$ 



# 3.3 Scattering on a lattice



- Side length L, periodic boundary conditions  $\Psi(\vec{x}) \stackrel{!}{=} \Psi(\vec{x} + \hat{\mathbf{e}}_i \, L)$   $\rightarrow$  finite volume effects  $\rightarrow$  Infinite volume  $L \rightarrow \infty$  extrapolation
- Lattice spacing a
   → finite size effects
   Modern lattice calculations:
   a ≈ 0.07 fm → p ~ 2.8 GeV
   → (much) larger than typical hadronic scales;
   not considered here.
- Unphysically large quark/hadron masses
   → (chiral) extrapolation required.

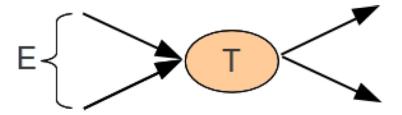


#### 3.1 Two-body scattering & Lüscher equation

• Unitarity of the scattering matrix S:  $SS^{\dagger} = \mathbb{1}$   $[S = \mathbb{1} - i \frac{p}{4\pi E} T]$ .

$$[S = 1 - i \frac{p}{4\pi E} T].$$

$$\operatorname{Im} T^{-1}(E) = \sigma \equiv \frac{p}{8\pi E}$$



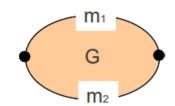
ullet Generic (Lippman-Schwinger) equation for unitarizing the T-matrix:

$$T = V + V G T$$
 Im  $G = -\sigma$ 

V: (Pseudo)potential,  $\sigma$ : phase space.

• *G*: Green's function:

$$G = \int \frac{d^3 \vec{q}}{(2\pi)^3} \frac{f(|\vec{q}|)}{E^2 - (\omega_1 + \omega_2)^2 + i\epsilon},$$
  
$$\omega_{1,2}^2 = m_{1,2}^2 + \vec{q}^2$$



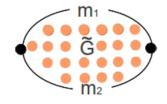


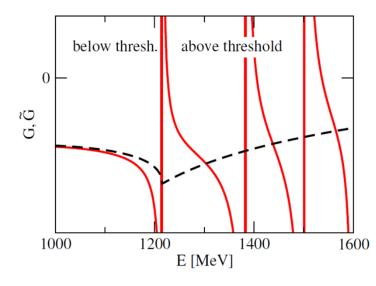
#### Periodic boundaries and discretization

$$\Psi(\vec{x}) \stackrel{!}{=} \Psi(\vec{x} + \hat{\mathbf{e}}_i L) = \exp(i L q_i) \Psi(\vec{x}) \implies q_i = \frac{2\pi}{L} n_i, \quad n_i \in \mathbb{Z}, \quad i = 1, 2, 3$$

$$\int \frac{d^3 \vec{q}}{(2\pi)^3} g(|\vec{q}|^2) \to \frac{1}{L^3} \sum_{\vec{n}} g(|\vec{q}|^2), \quad \vec{q} = \frac{2\pi}{L} \vec{n}, \quad \vec{n} \in \mathbb{Z}^3$$

$$G \to \tilde{\mathbf{G}} = \frac{1}{L^3} \sum_{\vec{q}} \frac{f(|\vec{q}|)}{E^2 - (\omega_1 + \omega_2)^2} \qquad \tilde{\mathbf{G}}$$





- $E>m_1+m_2$ :  $\tilde{G}$  has poles at free energies in the box,  $E = \omega_1 + \omega_2$
- $E < m_1 + m_2 : \tilde{G} \to G$  exponentially with L (regular summation theorem).



### The Lüscher equation

• Measured eigenvalues of the Hamiltonian (tower of *lattice levels* E(L))  $\rightarrow$  Poles of scattering equation  $\tilde{T}$  in the finite volume  $\rightarrow$  determines V:

$$\tilde{T} = (1 - V\tilde{G})^{-1}V \rightarrow V^{-1} - \tilde{G} \stackrel{!}{=} 0 \rightarrow V^{-1} = \tilde{G}$$

• The interaction V determines the T-matrix in the infinite volume limit:

$$T = (V^{-1} - G)^{-1} = (\tilde{G} - G)^{-1}$$

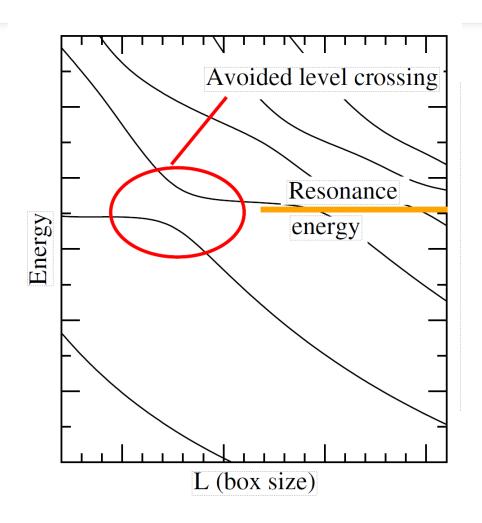
• Re-derivation of Lüscher's equation (T determines the phase shift  $\delta$ ):

$$p \cot \delta(p) = -8\pi \sqrt{s} \left( \tilde{G}(E) - \text{Re } G(E) \right)$$

- ullet V and dependence on renormalization have disappeared (!)
- p: c.m. momentum
- $\bullet$  E: scattering energy
- $\tilde{G} \operatorname{Re} G$ : known kinematical function ( $\simeq \mathcal{Z}_{00}$  up to exponentially suppressed contributions)
- One phase at one energy.

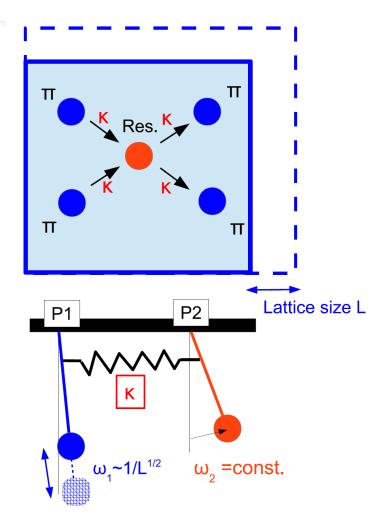


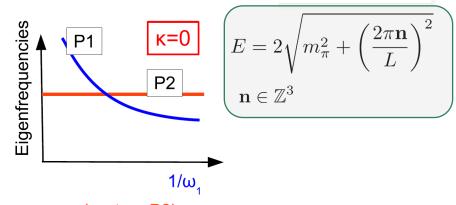
# 2-body resonances in a box



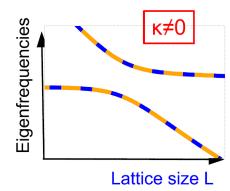


## An analogy for avoided level crossing





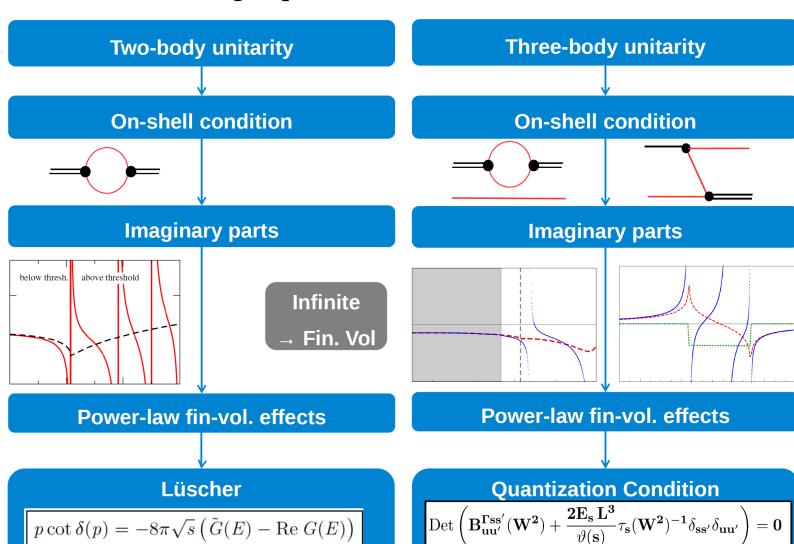
Resonance (system P2) decouples from pions in a box (system P1)



Resonance couples to boxed pions



# Three-body quantization condition



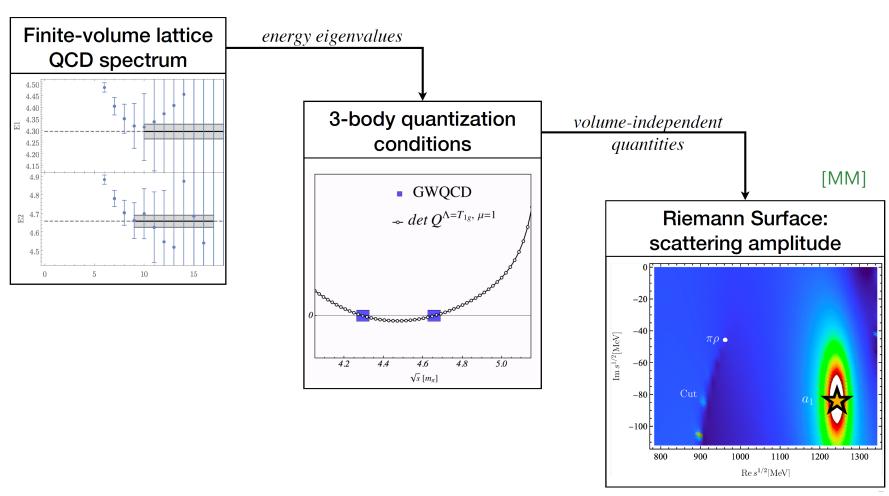
[Review Mai et al.]



# Extraction of $a_1(1260)$ from IQCD

[Mai/GWQCD]

• First-ever three-body resonance from 1<sup>st</sup> principles (with explicit three-body dynamics).





## Extraction of $a_1(1260)$ from IQCD

[Mai/GWQCD]

