

# **Energy recovery linacs**

*(Recirculating accelerators-recuperators)*

*Multipass accelerator-recuperator*

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*Joint US-CERN-Japan-Russia School  
Synchrotron Radiation & Free Electron Lasers  
Erice, Italy, 6-15 April 2011*

## Contents of the lecture:

1. Introduction to accelerator based synchrotron radiation light sources.
2. Why the 4<sup>th</sup> generation SR sources should use the accelerators-recuperators?
3. Technical solutions for realization of the 4<sup>th</sup> generation SR sources based on accelerators-recuperators.
4. Status and future of light sources based on recirculating accelerators-recuperators.

# History of synchrotron radiation

Crab Nebula  
6000 light years away

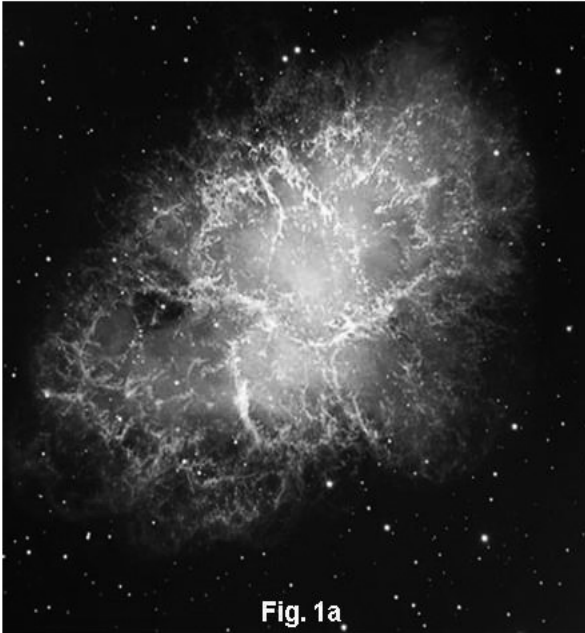


Fig. 1a

First light observed  
1054 AD

GE Synchrotron  
New York State

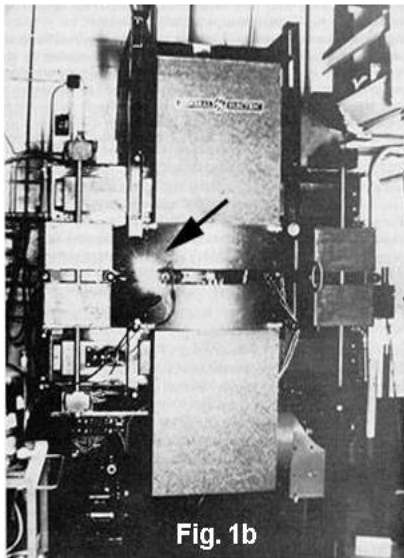


Fig. 1b

First light observed  
1947

The birth of the Crab Nebula is related to a supernova outburst in 1054, a fact documented in chronicles by Japanese and Chinese monks.

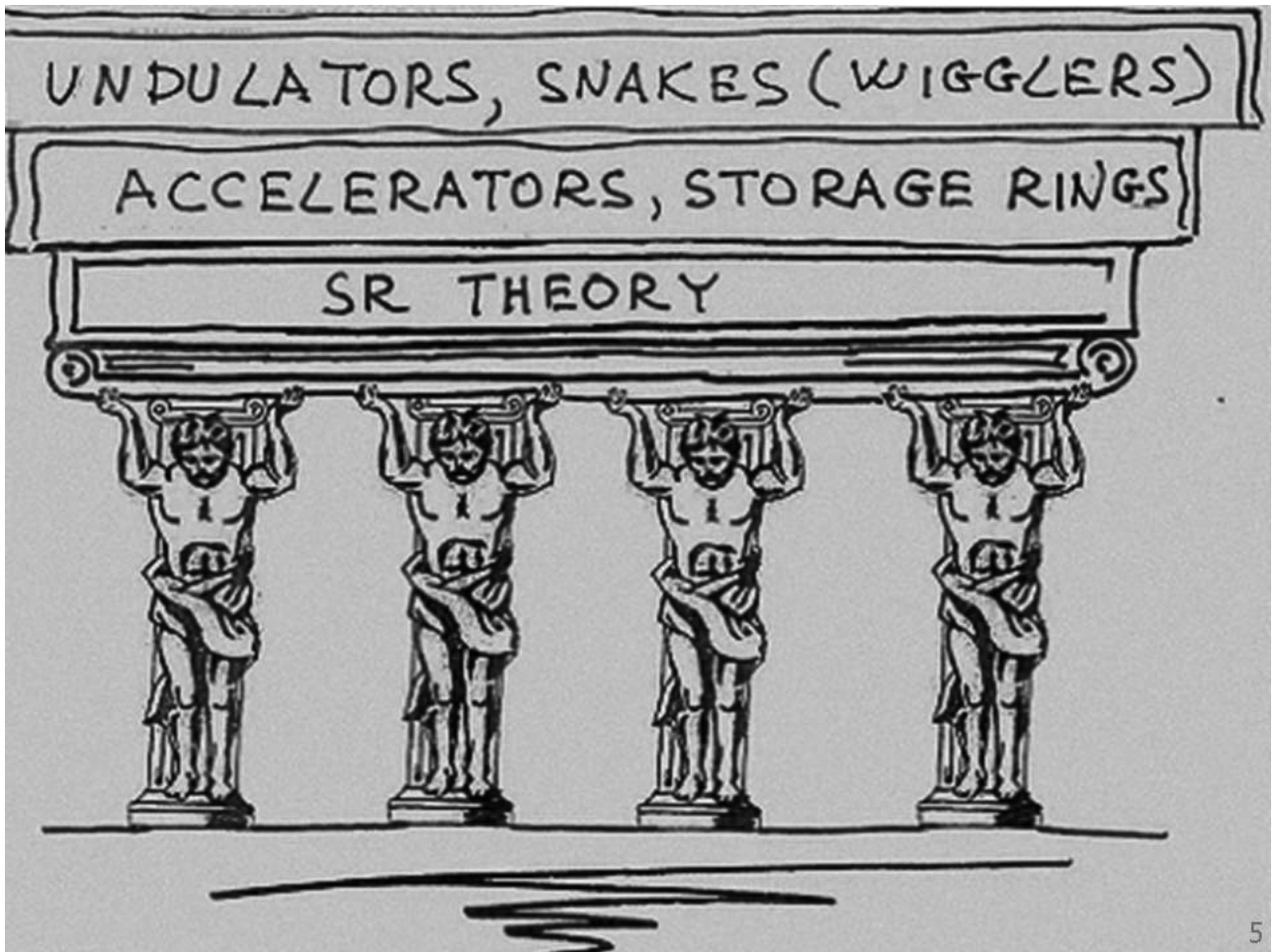
In the middle of the last century (after nine hundred years) hypothesis was put forward, and subsequently confirmed experimentally, that the radiation from the Crab Nebulae is actually the synchrotron radiation of ultrarelativistic electrons in interstellar magnetic fields.

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Figure 1b shows a photograph of artificial synchrotron radiation, first observed in 1947 at one of the first electron accelerators – a synchrotron made by the General Electric company in the USA.

The events illustrated by Figs 1a and 1b were separated by nine hundred years. Such was the period of time necessary for humankind to comprehend that the glow of the Crab Nebula is produced by synchrotron radiation, on the one hand, and, on the other, to devise modern physics, to elaborate the theory of synchrotron radiation, to establish principles and develop methods for accelerating charged particles and, then, to create charged particle storage rings and special generators of synchrotron radiation – undulators and wigglers.

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## ELECTRON SYNCHROTRONS – FIRST SOURCES of SR

1. V. I. Veksler (1944) Comptes Rendus de l' Academic Sciences de l' URSS V. 43, 8, p.329  
E. Mc. Millan (1945) Phys. Rev. V. 68, p. 144-145. independently discovered the principle of phase stability for RF acceleration of charged particles, moved in a circle of constant radius.  
*General Electric synchrotron (USA), FIAN synchrotron USSR), Cornell synchrotron (USA), Frascati synchrotron (Italy)*
2. E. D. Courant, M. S. Livingston, M. S. Snyder (1952)  
- invented strong focusing synchrotron  
*CEA (USA), NIA (UK), ARUS (USSR), DESY (Germany)*

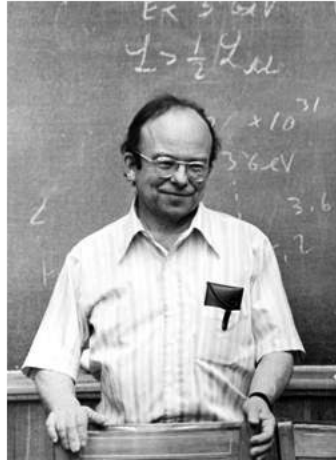
3. The further progress of SR sources is associated with development of storage rings for high energy physics colliders (AdA, VEP-1, PSSR)



B. Touschek  
(Frascati)



D.K. O'Neill  
(Princeton)



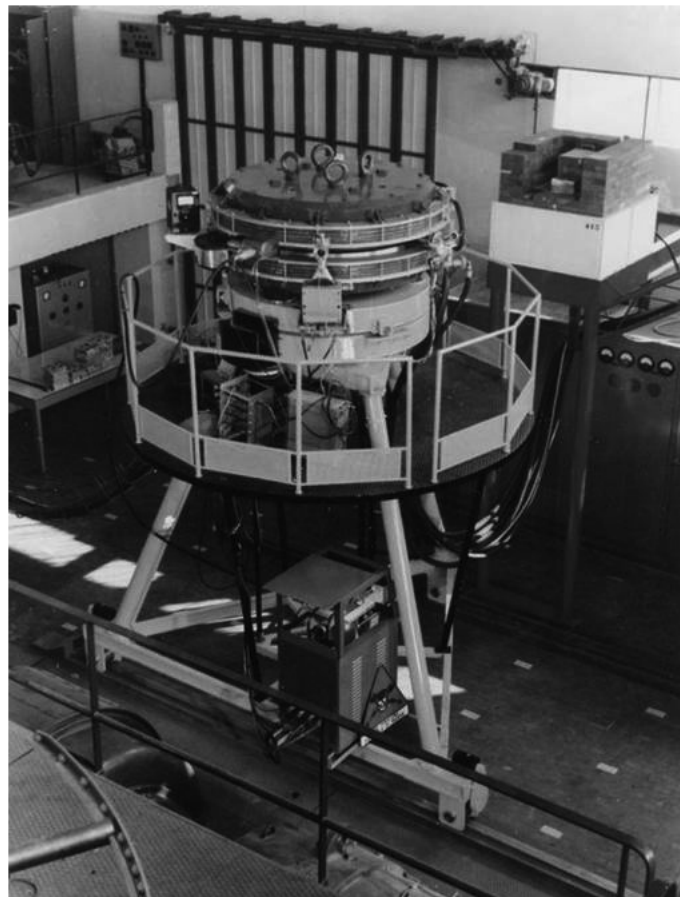
W. Panofsky  
(Stanford)



G. Budker  
(Novosibirsk)

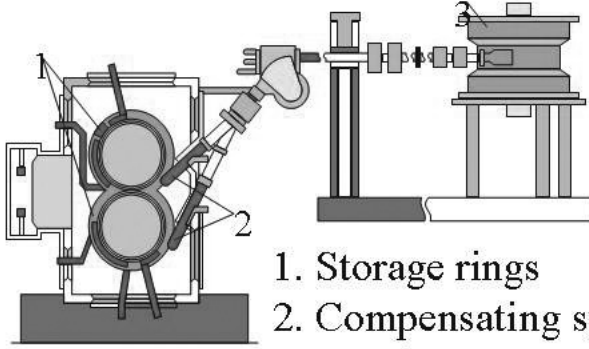
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First Italy-France  
storage ring  
AdA

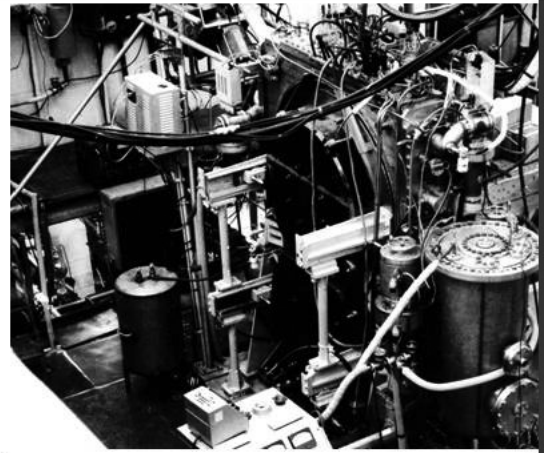


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**First Russian storage ring –  
electron-electron collider VEP-1  
( 1963, Novosibirsk).**



1. Storage rings
2. Compensating systems
3. Synchrotron B-2S



VEP-1  
to-day as a monument



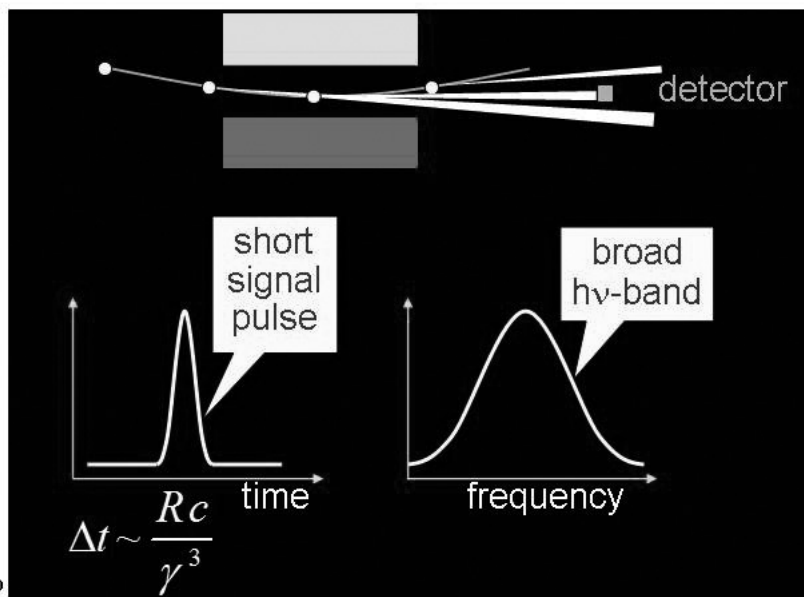
**E = 90 MeV - 320 MeV (total); L =  $5 \cdot 10^{27} \text{ cm}^{-2} \text{ s}^{-1}$**   
**Experiments 1965-1967 :**

- **electron-electron elastic scattering  
(in parallel to Princeton-Stanford Rings);**
- **double bremsstrahlung (first observation and study)**

**First electron-electron colliding beam experiments – 1965**

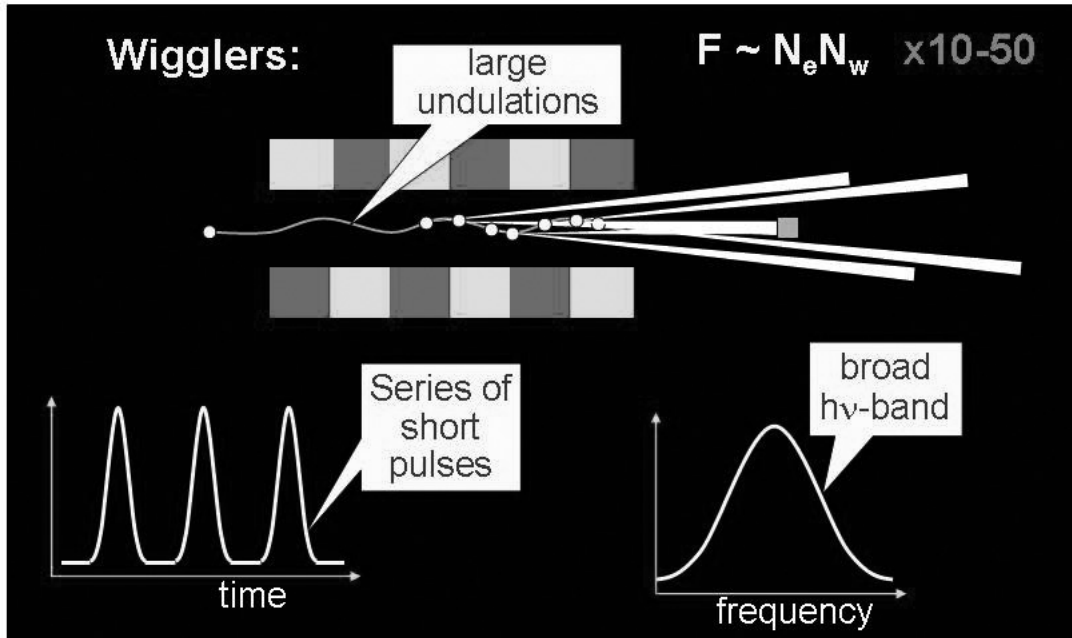
**First generation SR sources – using of cyclic electron  
synchrotrons and electron-positron storage rings with  
emittance  $\varepsilon \sim 300 \text{ nm}$  in parasitic mode  
during high energy experiments**

**Bending magnets:  $F \sim N_e$**



after G. Margaritondo

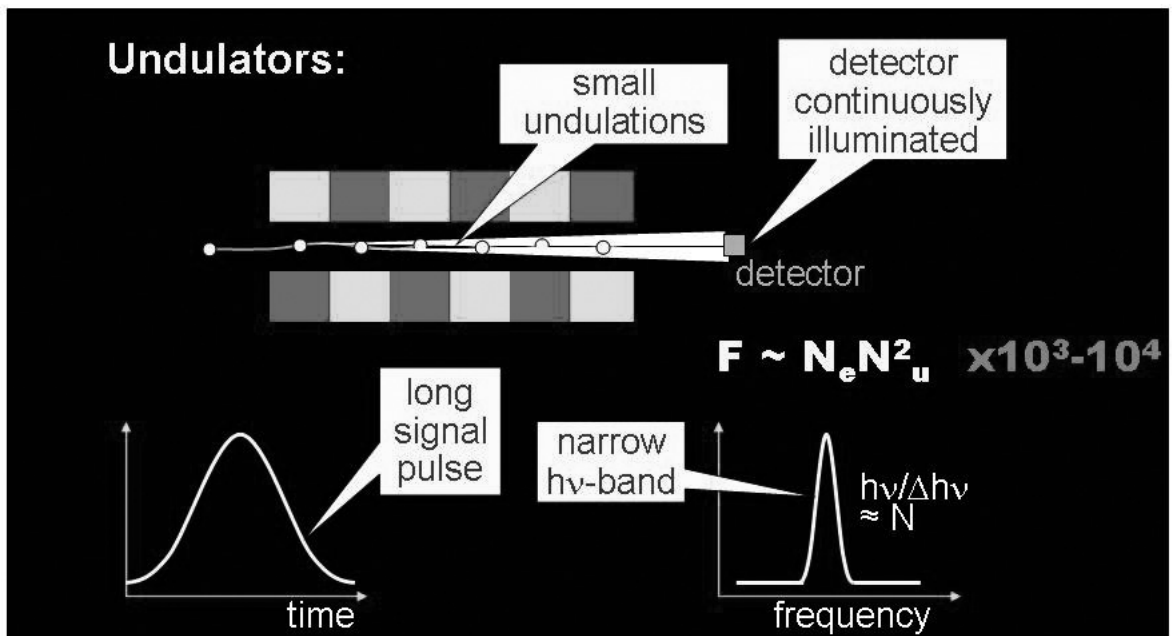
**Second generation SR sources** –  
 dedicated storage ring - synchrotron radiation sources  
 (low emittance  $\varepsilon \sim 30$  nm, set of straight sections for wigglers)



after G. Margaritondo

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**Third generation SR sources** –  
 storage rings optimized for installation of undulators  
 (low emittance  $\varepsilon \sim 3$  nm, set of long straight sections  
 for long undulators)

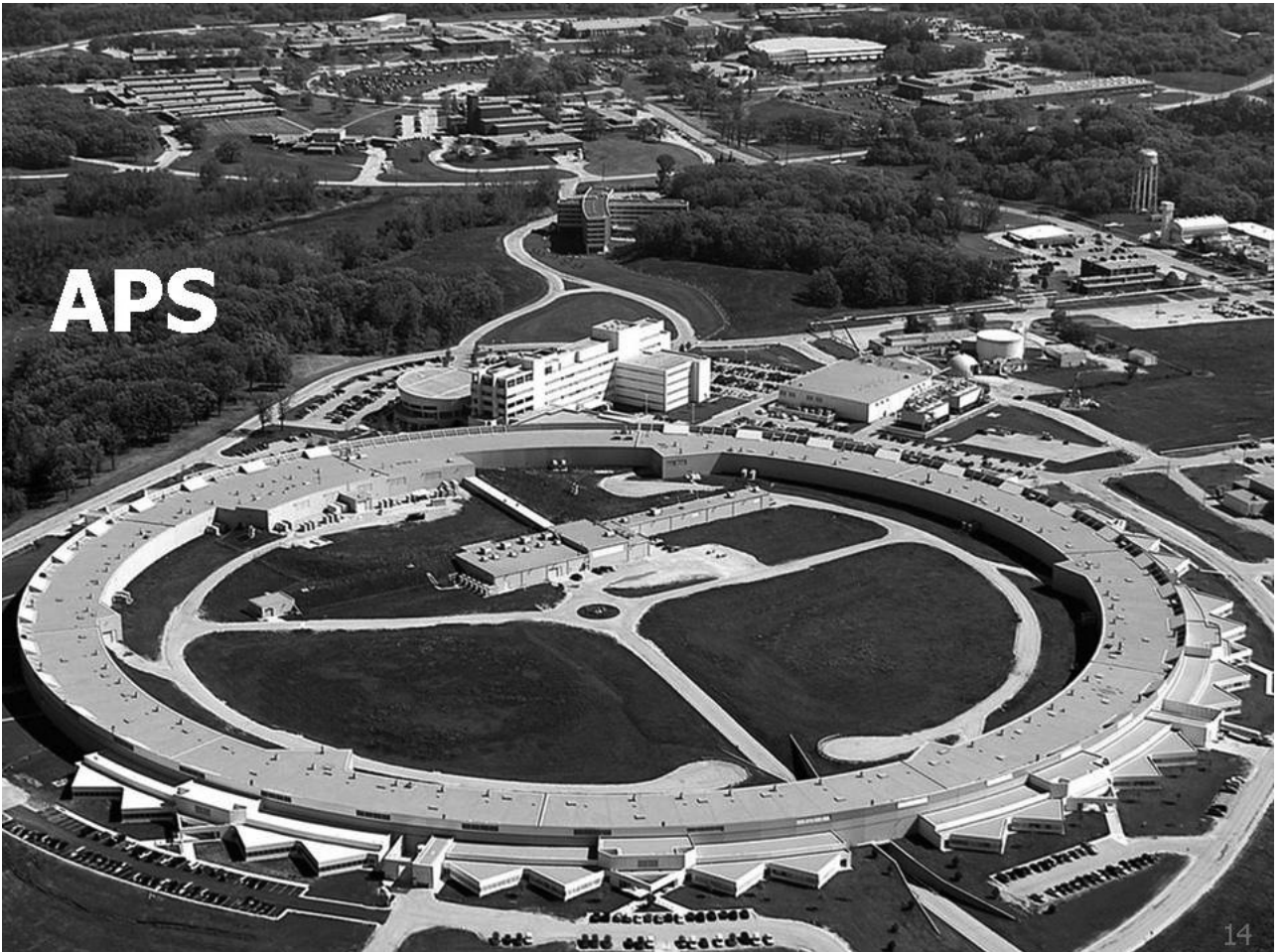


after G. Margaritondo

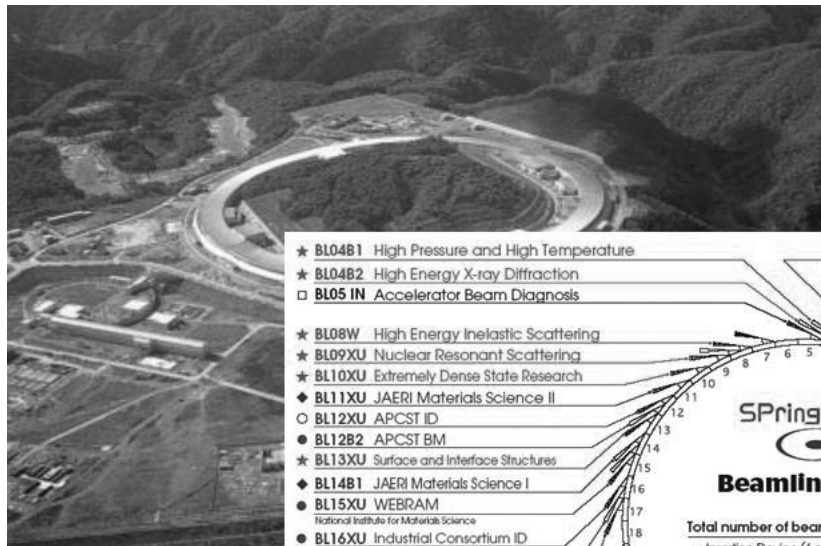
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- Among the main elements of modern SR sources are undulators and wigglers - periodic magnetic structures, the use of which was first proposed in the work by V. Ginzburg in 1947; several years later, the first undulator was created and tested at the linear accelerator by Motz et al.,
- first wiggler was created by K. Robinson in 1966.

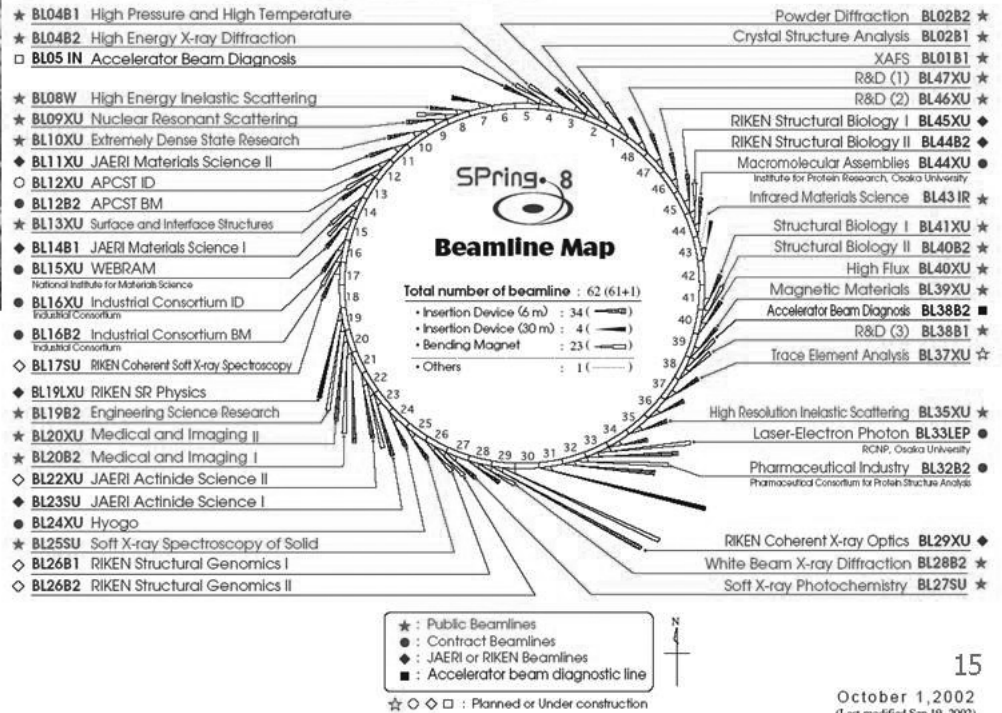
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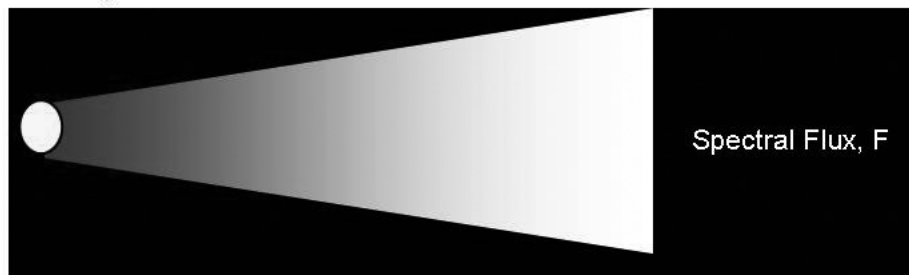


# SPring8



The spectral “brightness” of a light source:

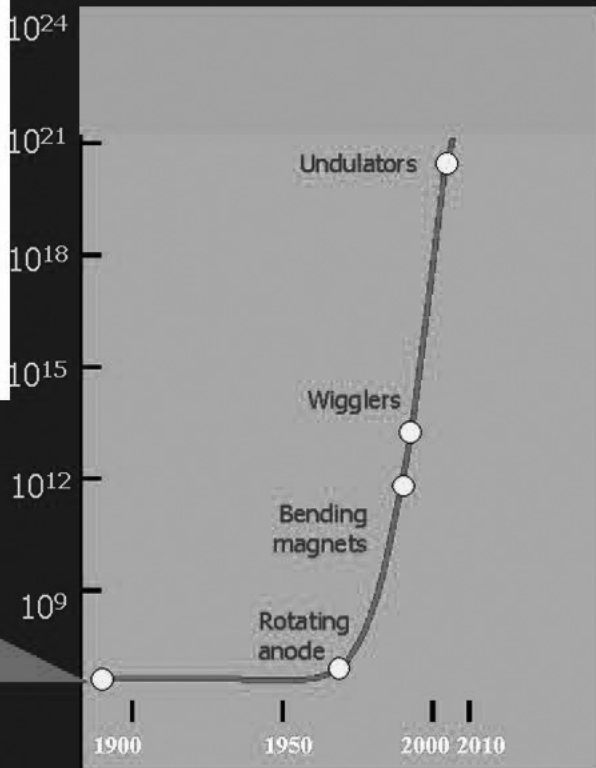
Source area,  $S$



$$\text{Brightness} = \text{const } F/(S \cdot \Omega)$$



Steep rise in brightness/brilliance  
(units: photons/mm<sup>2</sup>/s/mrad<sup>2</sup>, 0.1% bandwidth)



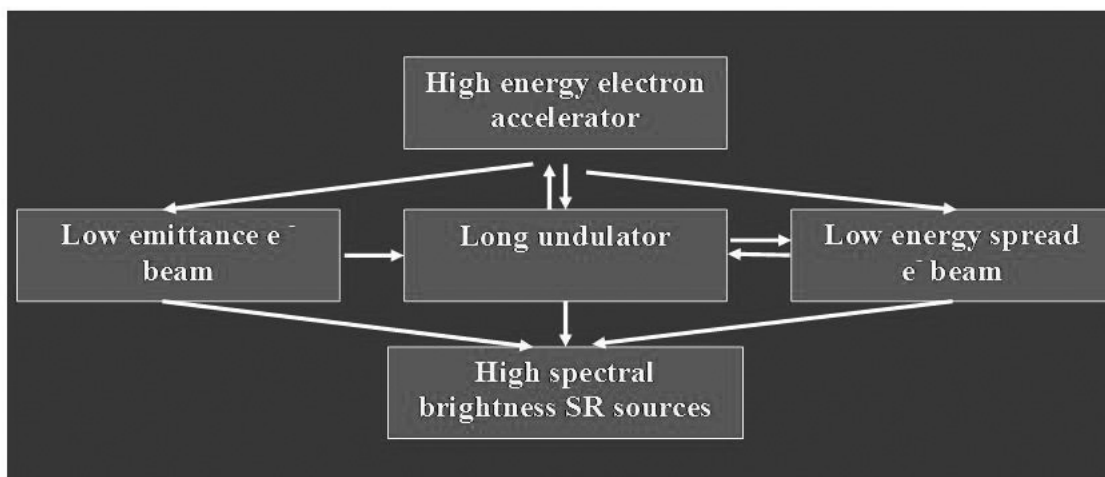
Spring-8



Siberia-2



VEPP-3



|      |                                   |                 |                           |
|------|-----------------------------------|-----------------|---------------------------|
| 1980 | $\epsilon \sim 300 \text{ nmrad}$ | $N_u \sim 10$   | $\sigma_E/E \sim 10^{-3}$ |
| 1990 | $\epsilon \sim 30 \text{ nmrad}$  | $N_u \sim 10^2$ | $\sigma_E/E \sim 10^{-3}$ |
| 2000 | $\epsilon \sim 3 \text{ nmrad}$   | $N_u \sim 10^3$ | $\sigma_E/E \sim 10^{-3}$ |
| 2010 | $\epsilon \sim 1 \text{ nmrad}$   | $N_u \sim 10^3$ | $\sigma_E/E \sim 10^{-3}$ |

Storage rings

• The SR sources of the 3rd generation available and those under construction (APS, ESRF, Spring-8, SLS, DIAMOND, SOLEIL ...) are the efficient factories for generation of the new knowledge, new technologies and new materials.

▪ In the last decade, there were active discussions on the development of SR sources of the 4<sup>th</sup> generation. The world's physical community worked out the requirements to these sources and suggested several ways for the development of such sources.

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### List of requirements for future generation of X-ray sources:

- full spatial coherence;
- the highest temporal coherence ( $\Delta\lambda/\lambda < 10^{-4}$ ) without additional monochromatization;
- the averaged brightness of the sources is to exceed  $10^{23}$ - $10^{24}$  photons  $s^{-1}mm^{-2}mrad^{-2}(0.1\% \text{ bandwidth})^{-1}$ ;
- the full photon flux for the 4<sup>th</sup> generation sources must be at the level of the 3<sup>rd</sup> generation SR sources;
- high peak brightness of the order of  $10^{33}$  photons  $s^{-1}mm^{-2}mrad^{-2}(0.1\% \text{ bandwidth})^{-1}$  is important for some experiments;
- electron bunch length up to 1 ps; and if a specialized technique is used, the X-ray pulses become smaller than 100 fs;
- high long-term stability; generation of linear left-right circular polarized radiation with fast switching of the polarization type and sign; constant heat load on chambers and optics, etc.
- servicing the multi-user community.

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- During the last 30 years, the brightness of the X-ray SR sources based on storage rings increased by a factor of  $10^9$ .
- Nevertheless, on the modern sources, the flux of coherent quanta is only  $10^{-3}$  of the total flux. Therefore, in spite of successful demonstrating X-ray holography, it has not become an efficient technique for structural studies of real objects of mostly noncrystalline structure. Even for crystalline structures, the speckle spectroscopy, which is accessible only in coherent light, is very important.
- Therefore, obtaining a fully spatially coherent flux of quanta with full photon flux at the level of the 3<sup>rd</sup> generation SR sources is the most important from all the requirements to SR sources of the 4<sup>th</sup> generation.
- A possibility of using undulator radiation with a monochromaticity of  $10^{-3} \div 10^{-4}$  with no need in monochromators, which as a rule spoil the beam spatial coherence, is also of great importance.

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An important task for the future generation of X-ray sources is to provide:

- full spatial coherence;
- as high as possible temporal coherence.

In this case, the increase in spectral brightness takes place without increasing the total photon flux for minimization of problems with X-ray optics and sample degradation.

$$B_{\lambda} = \frac{N_{ph}}{\Delta t} \cdot \frac{1}{\Delta S \cdot \Delta \Omega} \cdot \frac{1}{\Delta \lambda / \lambda}$$

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Diffraction limit of the optical source phase volume  
("mode" volume)

$$(\Delta S \cdot \Delta \Omega)_{\min} = \frac{\lambda^2}{4}, \text{ Gaussian beam.}$$

The emittance of electron beam must be small enough.

$$\varepsilon_x = \sigma_x \cdot \sigma_{x'} \leq \frac{\lambda}{4\pi}$$

In this case, the source provides full spatial coherence of radiation:

$$\dot{N}_{coh} = B_\lambda \cdot \lambda^2 \cdot \frac{\Delta \lambda}{\lambda} = \frac{N_{ph}}{\Delta t}$$

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- The temporal coherence of source is determined by the radiation bandwidth

$$l_{coh} = \frac{\lambda^2}{2\Delta\lambda}$$

- The linewidth of undulator radiation is determined by the number of undulator periods and energy spread of electron beam

$$\frac{\Delta\lambda}{\lambda} \sim \frac{1}{N_u} \text{ for } N_u < \frac{1}{2\pi} \left( \frac{\sigma_E}{E} \right)^{-1}$$

- The fundamental limit of energy spread is determined by quantum fluctuation of undulator radiation

$$\left( \frac{\sigma_E}{E} \right)^2 \sim 180 \cdot r_0 \cdot \lambda_c \cdot \gamma^2 \cdot \left( \frac{K}{\lambda_u} \right)^3 Z^2$$

$r_0, \lambda_c$  are the classical radius and Compton wavelength of electron, K is the undulator parameter, Z is the distance from the undulator entrance

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**Main ways of increasing the brightness of the 4<sup>th</sup> generation X-ray source:**

1. Decreasing the electron beam emittance down to the diffraction limit

$$\varepsilon_x < \frac{\lambda}{4\pi} \sim 10^{-11} \text{ mrad} \left( \lambda \sim 1 \text{ \AA} \right)$$

2. Decreasing the electron beam energy spread down to the fundamental limit due to quantum fluctuation of undulator radiation ( $\sigma_E/E < 10^{-4}$ );
3. Using a long undulator with a number of periods determined by the fundamental limit due to quantum fluctuation of undulator radiation ( $N_U \sim 10^4$ ).

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**Three different kinds of SR sources have been considered recent years:**

- **long undulators installed on advanced storage rings;**
- **long undulators installed on linear electron accelerators;**
- **long undulators installed on recirculating accelerator-recuperator sources.**

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## Advantages of storage rings:

- a) high average reactive power in beam ( $E = 8 \text{ GeV}$ ;  $I = 1,5 \text{ A}$ ,  
 $P_{\text{reactive}} = 12 \text{ GW}$ )
- b) long life time ( $\sim 10 - 100 \text{ h}$ ), small losses of high-energy particles per unit time, and, correspondingly, low radiation background and absence of induced radioactivity;
- c) a lot of SR beam lines in simultaneous operation (up to 50 on a storage ring) – service the multi-user community.

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## Disadvantages of storage rings:

Emittance and energy spread of electron beam depends on the equilibrium between radiation damping and diffusion caused by quantum fluctuations of SR and by intrabeam scattering in case of high-density beams.

There is no way to decrease the emittance in a storage ring  
 $\varepsilon_x < 10^{-10} \text{ mrad}$  and energy spread  $\sigma_e/E < 10^{-3}$   
(quantum fluctuation of SR, intrabeam scattering).

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## Advantages and disadvantages of linacs:

- Advantages of linacs: the normalized emittance  $\varepsilon_n$  can be conserved during the acceleration process. With a good injector with  $\varepsilon_n < 10^{-7}$  m·rad, adiabatic damping at energy  $E > 5$  GeV allows emittance  $\varepsilon_{x,z} \sim 10^{-11}$  mrad and energy spread  $\delta_E / E \sim 10^{-4}$ .
- Main disadvantages of linacs: low average current ( $10^{-7}$ A) in case of pulsed normal-conductance linacs.
- If current is increased in case of superconducting linacs, radiation hazard is a very serious problem.

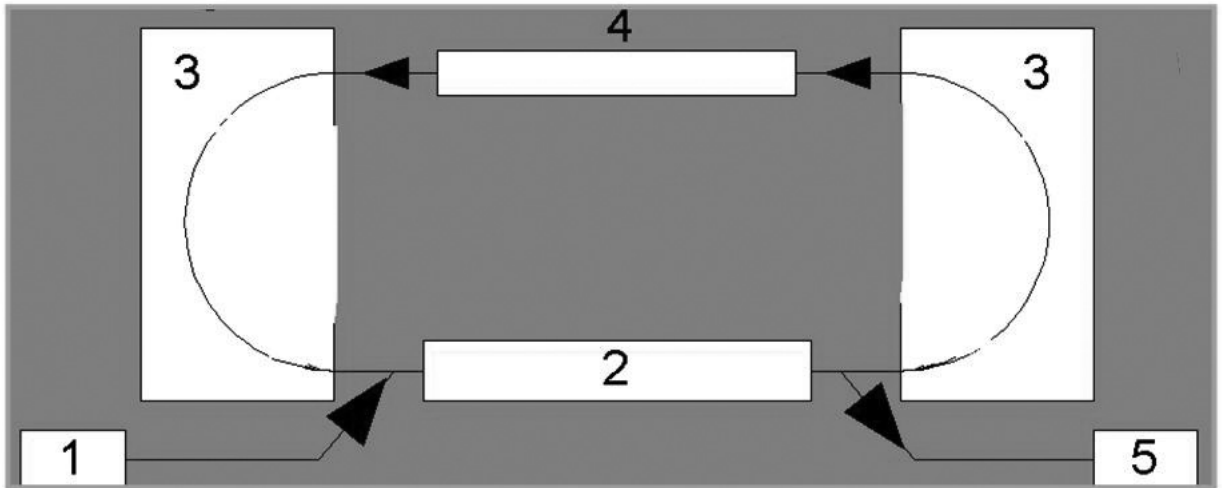
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## **Why the 4<sup>th</sup> generation SR sources should use the accelerators-recuperators?**

All the requirements to X-ray radiation sources of the 4th generation cannot be satisfied with the use of only one kind of a source. The high peak brightness and femtosecond duration of radiation pulses can be attained at the linac based X-ray SASE FEL with a high pulse current ( $I_p > 1$  kA). All the remaining requirements are easier and cheaper realized with the use of radiation from the long undulators installed at the accelerator-recuperator.

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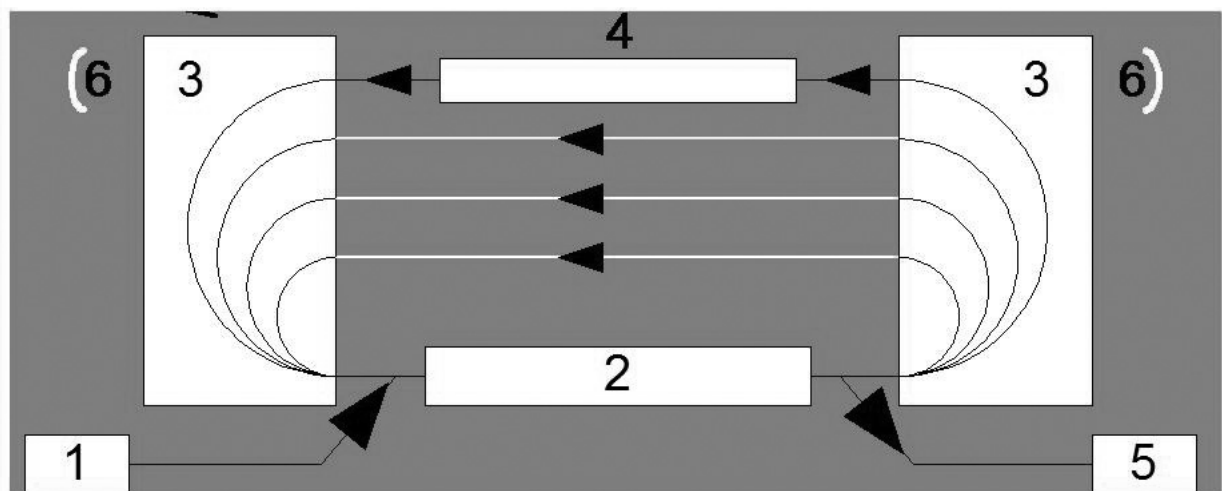
Layout of the SR source  
based on one-pass accelerator-recuperator



1 - injector, 2 - RF accelerating structure, 3- 180-degree bends,  
4 – undulator, 5- beam dump

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Layout of the SR source  
based on four-passes accelerator-recuperator



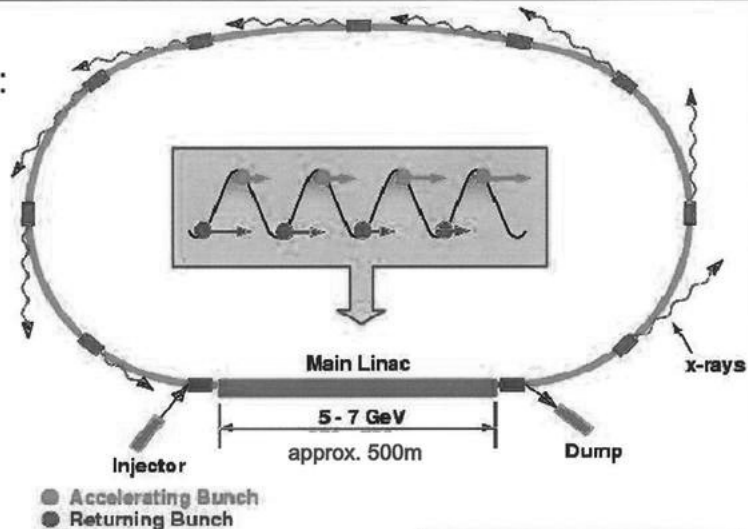
1 - injector, 2 - RF accelerating structure, 3- 180-degree bends,  
4 – undulator, 5- beam dump.

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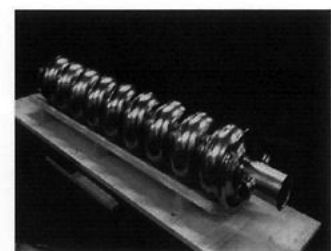


X-ray analysis with highest resolution in space and time:



Challenges:

- Low emittance, high current creation
- Emittance preservation
- Beam stability at insertion devices
- Accelerator design
- Component properties, e.g. SRF



- In accelerators-recirculators, the normalized emittance  $\varepsilon_n$  can be conserved during the acceleration process. With a good injector with  $\varepsilon_n < 10^{-7}$  m-rad, adiabatic damping at energy  $E > 5$  GeV allows emittance  $\varepsilon_{x,z} \sim 10^{-11}$  mrad and energy spread  $\delta_E / E \sim 10^{-4}$ .
- In the accelerators-recirculators, the time of acceleration is shorter compared to the time of radiation damping in storage rings ( $10^3 \div 10^4$  times). So the diffusion processes cannot spoil the electron beam emittance and energy spread.

### Main motivation for multipass accelerator-recuperator:

- Combination of the advantages of storage ring (high reactive power in beam and low radiation hazard) and linac (normalized emittance and energy spread can be conserved during the acceleration process);
- radiation hazard can be eliminated owing to energy recovery and the cost of construction will be reduced;
- the cost of the accelerating RF system can be reduced owing to multipass acceleration.

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### History of physical proposals based on using of accelerator – recuperator:

- M. Tigner (1965) for realization of linear e<sup>-</sup>e<sup>-</sup> collider using SRF linacs with energy recovery; (not realized) (M. Tigner, Nuovo Cimento, **37**, (1965). )
- G. Budker (1968) for creation electron coolers using DC electron accelerators; first demonstration of energy recovery cooler was made in Novosibirsk (1974); now all electron coolers (more 10) use energy recuperation.
- A. Skrinsky, N. Vinokurov (1976) for increasing efficiency and power of FEL; first demonstration of energy recovery SRF linac was made at Stanford University (1986); (T. Smith e. a., NIMA, **259** (1987). Now in operation ERL-FELs in Jefferson Laboratory FEL (USA), Budker Institute of Nuclear Physics FEL (Russia), JAERI FEL (Japan).

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□ Realization of a fully spatially coherent source is possible in case of a shift from electron storage rings to accelerators with energy recuperation, which was first discussed at SRI-97

(see: [1] Kulipanov G., Skrinisky A., Vinokurov N. *MARS - recirculator-based diffraction limited X-ray source. // Budker INP preprint No 97-103 (1997);*

[2] *Kulipanov G., Skrinisky A., Vinokurov N. Synchrotron light sources and recent development of accelerator technology. // J. of Synchrotron Radiation –1998 V.5 pt.3 P.176).*

□ MARS, a recuperator-based diffraction-limited X-ray source, was presented and discussed at the ICFA workshop on future light sources (ANL, USA, July 1999) and SRI-2000 (Berlin), "ERLSYN-2002" (Erlangen, Germany, 2002), "SR-2004" (Novosibirsk, Russia, 2004); "RUPAC-2005" (Dubna, Russia, 2005); "Nano-Beam 2005" (Kyoto, Japan, 2005).

□ After that, the idea of using the accelerators-recuperators has been actively discussed at Jefferson Lab, Cornell Uni., BNL, LBL, Erlangen Uni., Daresbury Lab., KEK.

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- Recirculating accelerators with multipass crossing accelerating sections and independent magnetic transport system for each pass – basis of the projects MARS and ERL light sources.

- Creation of microtrons, racetrack microtrons, cascaded race-track microtrons been very important steps for understanding problems of recirculating accelerators.

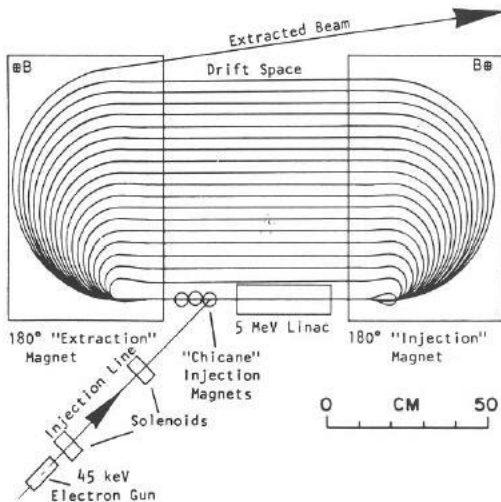


Figure 5.8 Schematic layout of the race-track microtron injector for the storage ring Aladdin at the Synchrotron Radiation Center of the University of Wisconsin (Green *et al.*, 1981; © 1981 IEEE)

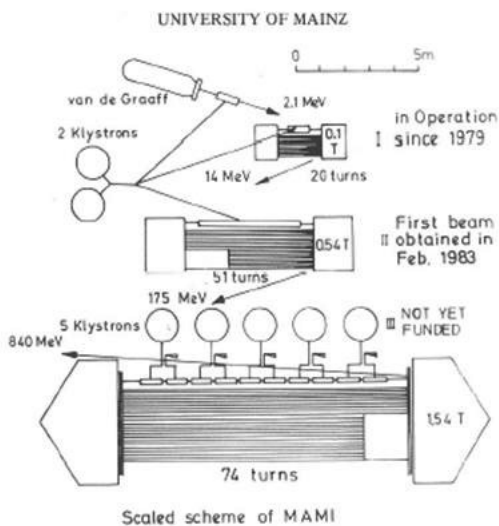
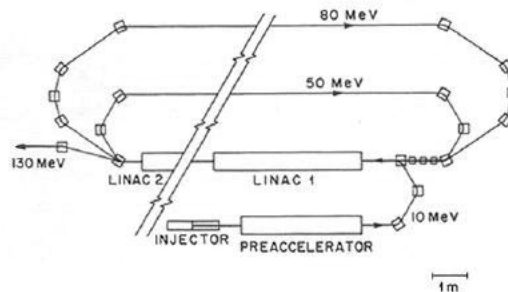


Figure 5.5 Schematic layout of the University of Mainz three-stage cascaded race-track microtron, MAMI (Herminghaus *et al.*, 1983; © 1983 IEEE)

# The Wuppertal/Darmstadt “Rezyklotron”

- The “Rezyklotron” incorporates a superconducting linac at 3 GHz.
- Beam injection energy = 11 MeV, variable extraction energy up to 130 MeV, beam current 20  $\mu\text{A}$ , 100% duty factor. Energy resolution =  $2 \times 10^{-4}$ .
- Two orbits designed with  $180^\circ$  isochronous and achromatic bends and two quadrupole doublets and two triplets in the backleg.
- Isochronous beam optics  
Phase oscillations do not occur and energy resolution is determined primarily by second order effects in the linac.



Jefferson Lab

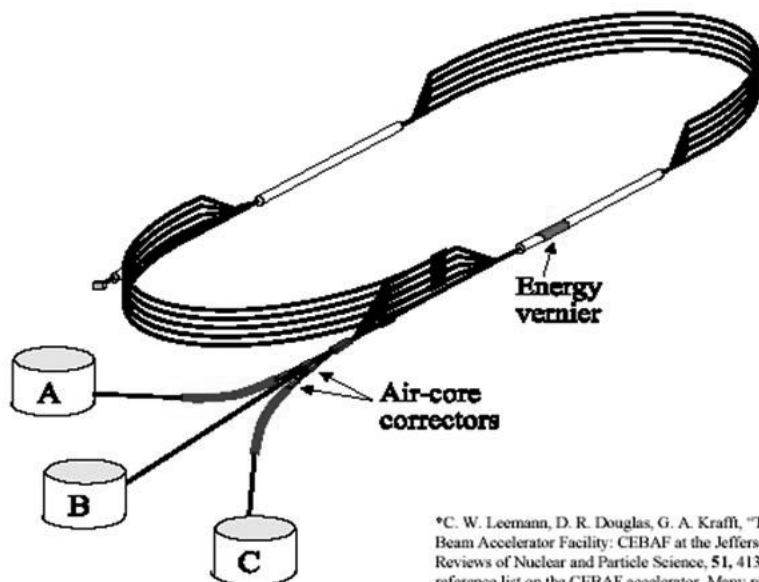
LJRBAS: Recirculating Linacs, KapBM: Mepoltron

20. February 2001

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- Superconducting RF technology was developed by Cornell University, KEK, CERN, Jefferson Laboratory, DESY.
- The CEBAF at Jefferson Laboratory was first in world large scale implementation SRF technology and using multipass beam recirculation.
- The CEBAF accelerator is a 5-pass recirculating SRF linac with CW beams of up to 200  $\mu\text{A}$ , full energy is nearly 6 GeV, geometric emittance  $\varepsilon < 10^{-9}$  m·rad and relative energy spread of a few  $10^{-5}$ .

## CEBAF Accelerator Layout\*



\*C. W. Leemann, D. R. Douglas, G. A. Krafft, "The Continuous Electron Beam Accelerator Facility: CEBAF at the Jefferson Laboratory", Annual Reviews of Nuclear and Particle Science, 51, 413-50 (2001) has a long reference list on the CEBAF accelerator. Many references on Energy Recovered Linacs may be found in a recent ICFA Beam Dynamics Newsletter, #26, Dec. 2001: [http://icfa-usa/archive/newsletter/icfa\\_bd\\_nl\\_26.pdf](http://icfa-usa/archive/newsletter/icfa_bd_nl_26.pdf)

*Jefferson Lab*

Thomas Jefferson National Accelerator Facility

Recirculating and Energy Recovering Linacs

29 June 2005

Operated by the Southeastern Universities Research Association for the U. S. Department of Energy

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## CEBAF Beam Parameters

|                          |   |
|--------------------------|---|
| Beam energy              | 6 GeV                                     |
| Beam current             | A 100 $\mu$ A, B 10-200 nA, C 100 $\mu$ A |
| Normalized rms emittance | 1 mm mrad                                 |
| Repetition rate          | 500 MHz/Hall                              |
| Charge per bunch         | < 0.2 pC                                  |
| Extracted energy spread  | < $10^{-4}$                               |
| Beam sizes (transverse)  | < 100 microns                             |
| Beam size (longitudinal) | 100 microns (330 fsec)                    |
| Beam angle spread        | < 0.1 $\mu$                               |

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Recirculating and Energy Recovering Linacs

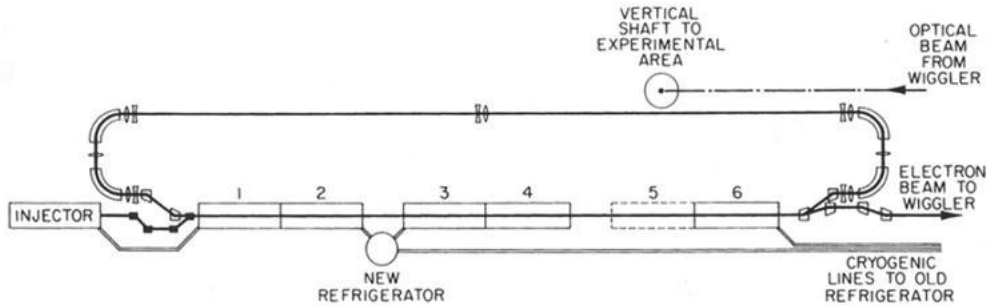
29 June 2005

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# The SCA/FEL Energy Recovery Experiment

- Same-cell energy recovery was first demonstrated in the SCA/FEL in July 1986
- Beam was injected at 5 MeV into a ~50 MeV linac (up to 95 MeV in 2 passes), 150  $\mu$ A average current (12.5 pC per bunch at 11.8 MHz)
- The previous recirculation system (SCR, 1982) was unsuccessful in preserving the peak current required for lasing and was replaced by a doubly achromatic single-turn recirculation line.
- All energy was recovered. FEL was not in place.

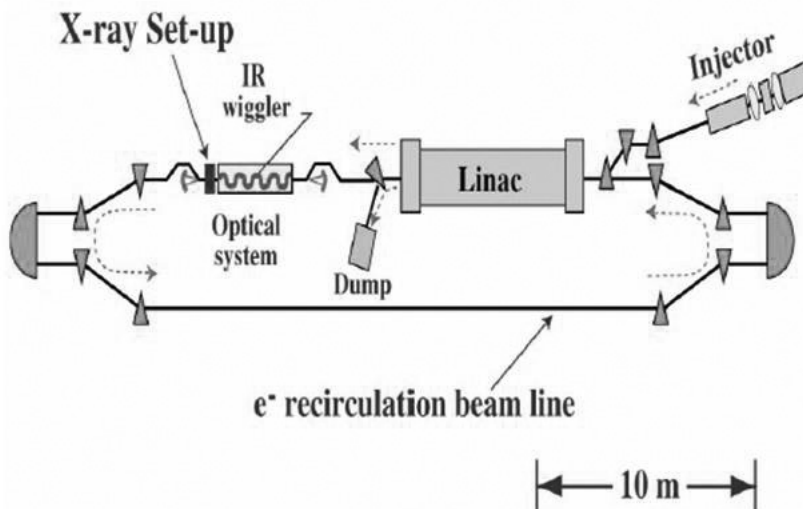


USPAS Recirculating Linacs Kraft/Merminga

20 February 2001

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# Jefferson Lab FEL



Neil, G. R., et al. *Physical Review Letters*, **84**, 622 (2000)

Recirculating and Energy Recovering Linacs

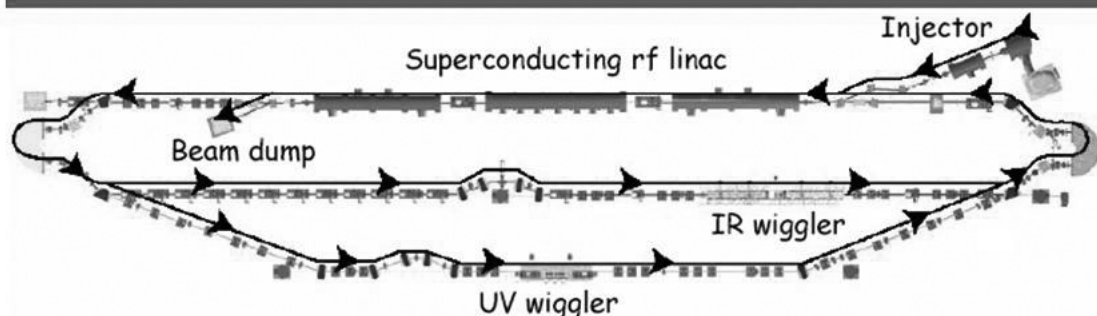
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# JLab 10kW IR FEL and 1 kW UV FEL



| Output Light Parameters           | IR        | UV       |
|-----------------------------------|-----------|----------|
| Wavelength range (microns)        | 1.5 - 14  | 0.25 - 1 |
| Bunch Length (FWHM psec)          | 0.2 - 2   | 0.2 - 2  |
| Laser power / pulse (microJoules) | 100 - 300 | 25       |
| Laser power (kW)                  | >10       | > 1      |
| Rep. Rate (cw operation, MHz)     | 4.7 - 75  | 4.7 - 75 |

| Electron Beam Parameters       | IR     | UV   |
|--------------------------------|--------|------|
| Energy (MeV)                   | 80-200 | 200  |
| Accelerator frequency (MHz)    | 1500   | 1500 |
| Charge per bunch (pC)          | 135    | 135  |
| Average current (mA)           | 10     | 5    |
| Peak Current (A)               | 270    | 270  |
| Beam Power (kW)                | 2000   | 1000 |
| Energy Spread (%)              | 0.50   | 0.13 |
| Normalized emittance (mm-mrad) | <30    | <11  |
| Induced energy spread (full)   | 10%    | 5%   |



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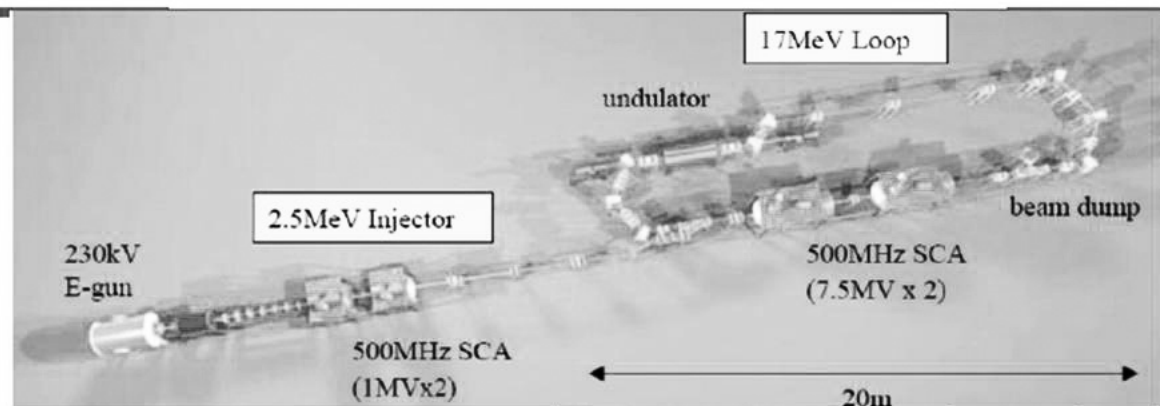
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# JAERI ERL-FEL



| Output Light Parameters           | Achieved     | Goal |
|-----------------------------------|--------------|------|
| Wavelength range (microns)        | 22           | 22   |
| Bunch Length (FWHM psec)          | 15           | 6    |
| Laser power / pulse (microJoules) | 10           | 120  |
| Laser power (kW)                  | 0.1          | 10   |
| Rep. Rate ( MHz)                  | 10.4         | 83.2 |
| Macropulse format                 | 10µs<br>10Hz | CW   |

| Electron Beam Parameters       | Achieved | Goal |
|--------------------------------|----------|------|
| Energy (MeV)                   | 17       | 16.4 |
| Accelerator frequency (MHz)    | 500      | 500  |
| Charge per bunch (pC)          | 500      | 500  |
| Average current (mA)           | 5        | 40   |
| Peak Current (A)               | 33       | 83   |
| Beam Power (kW)                | 85       | 656  |
| Energy Spread (%)              | ~0.5     | ~0.5 |
| Normalized emittance (mm-mrad) | ~40      | ~40  |
| Induced energy spread (full)   | ~3%      | ~3%  |



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