
On the Difference between the FOPT and CIPT Approach for Hadronic Tau Decays

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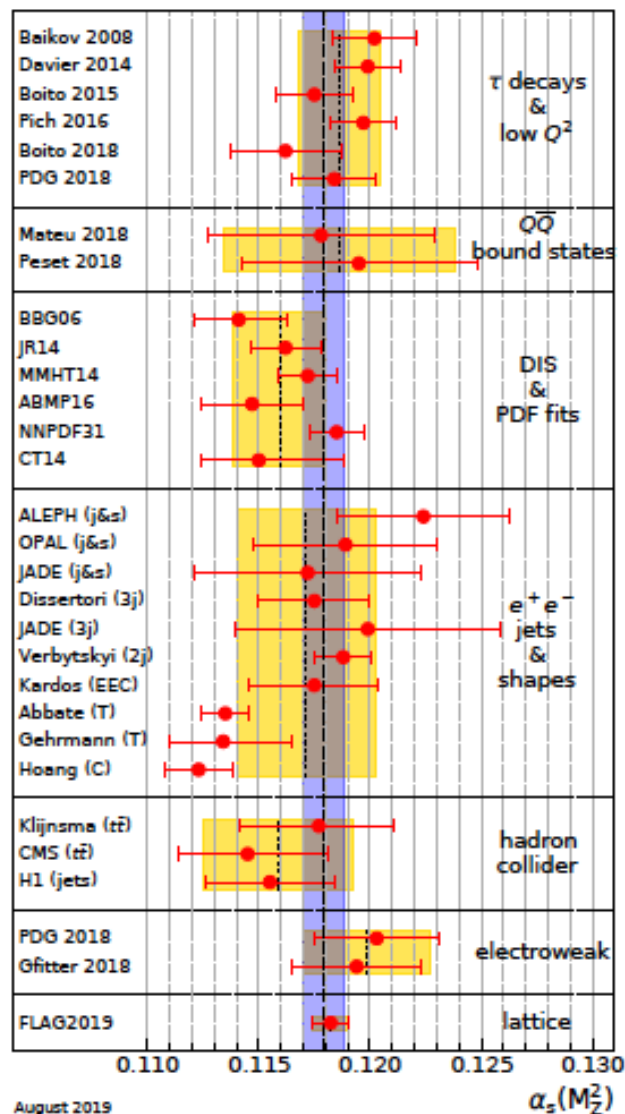
Based on arXiv:2008.00578 and 2105.11222
with Christoph Regner

$\int dk \Pi$ Doktoratskolleg
Particles and Interactions



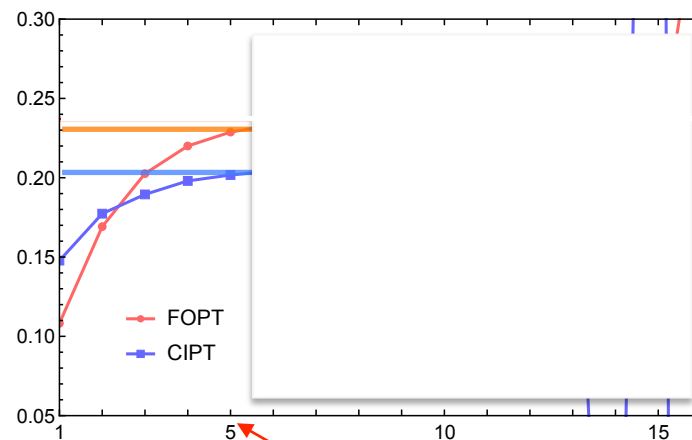
FWF
Der Wissenschaftsfonds.

Strong coupling from τ decays



PDG:

Discrepancy of two different pQCD approaches constitute a systematic difference that prevents taking the weighted average of all results.



Exact calculations
up to $O(\alpha_s^4)$

Pade estimate

Outline

- Asymptotic series and renormalons
- FOPT and CIPT Borel representation do not agree
- Numerical Studies
- Implications for the OPE
- Conclusions

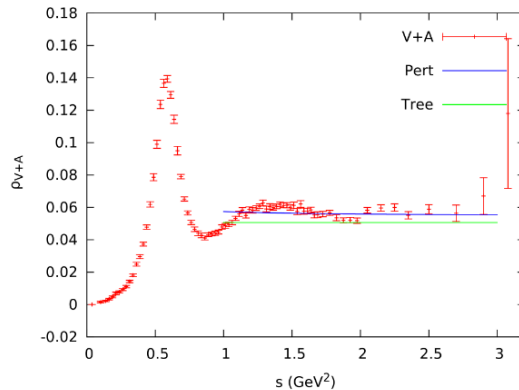
$$a(x) \equiv \frac{\beta_0 \alpha_s(s)}{4\pi} = \frac{\beta_0 \alpha_s(xs_0)}{4\pi}$$
$$a_0 \equiv \frac{\beta_0 \alpha_s(s_0)}{4\pi}$$

Strong coupling from τ decays

ALEPH: τ hadronic width

(HFLAV 2019)

$$R_\tau \equiv \frac{\Gamma[\tau^- \rightarrow \text{hadrons } \nu_\tau(\gamma)]}{\Gamma[\tau^- \rightarrow e^- \bar{\nu}_e \nu_\tau(\gamma)]} = 3.6355 \pm 0.0081$$

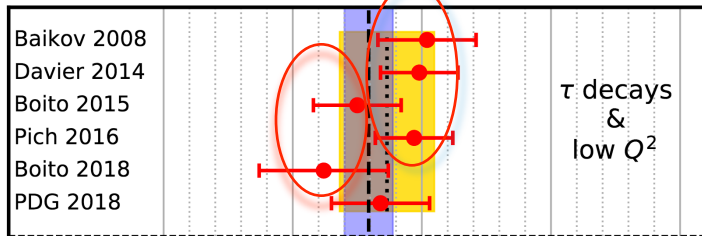


Theory: Operator product expansion ($s_0 = m_\tau^2$)

$$A_{W_i}(s_0) = \frac{N_c}{2} |V_{ud}|^2 \left[\delta_{W_i}^{\text{tree}} + \delta_{W_i}^{(0)}(s_0) + \sum_{d \geq 2} \delta_{W_i}^{(d)}(s_0) + \delta_{W_i}^{\text{DV}}(s_0) \right]$$

↑
↑
↑

pQCD
OPE
Duality violation



4-loop: Gorishni et al., Surguladze et al. '91

5-loop: Baikov et al. '91 [$O(\alpha_s^4)$]

$$j_{v/av,jk}^\nu = \bar{q}_j \gamma^\mu (\gamma_5) q_k$$

$$(p^\mu p^\nu - g^{\mu\nu} p^2) \Pi(p^2) \equiv i \int dx e^{ipx} \langle \Omega | T \{ j_{v/av,jk}^\mu(x) j_{v/av,jk}^\nu(0)^\dagger \} \Omega \rangle$$

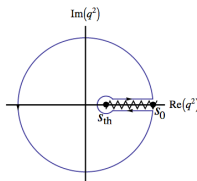
Adler function:
$$\frac{1}{4\pi^2} \left(1 + \hat{D}(s) \right) \equiv -s \frac{d\Pi(s)}{ds}$$

$$\hat{D}(s) = \sum_{n=1}^{\infty} c_{n,1} \left(\frac{\alpha_s(-s)}{\pi} \right)^n, \quad \text{CIPT}$$

$$= \sum_{n=1}^{\infty} \left(\frac{\alpha_s(s_0)}{\pi} \right)^n \sum_{k=1}^{n+1} k c_{n,k} \ln^{k-1} \left(\frac{-s}{s_0} \right) \quad \text{FOPT}$$

Contour-improved perturbation theory (CIPT):

$$\delta_{W_i}^{(0),\text{CIPT}}(s_0) = \frac{1}{2\pi i} \sum_{n=1}^{\infty} c_{n,1} \oint_{|x|=1} \frac{dx}{x} W_i(x) \left(\frac{\alpha_s(-x s_0)}{\pi} \right)^n$$



Fixed-order perturbation theory (FOPT):

$$\delta_{W_i}^{(0),\text{FOPT}}(s_0) = \frac{1}{2\pi i} \sum_{n=1}^{\infty} \left(\frac{\alpha_s(s_0)}{\pi} \right)^n \sum_{k=1}^{n+1} k c_{n,k} \oint_{|x|=1} \frac{dx}{x} W_i(x) \ln^{k-1}(-x)$$

Borel Function Model Studies

- Apparent convergence of CIPT and FOPT series
- Discrepancy larger than suggested by individual series
- Motivated studies of Borel models for higher orders

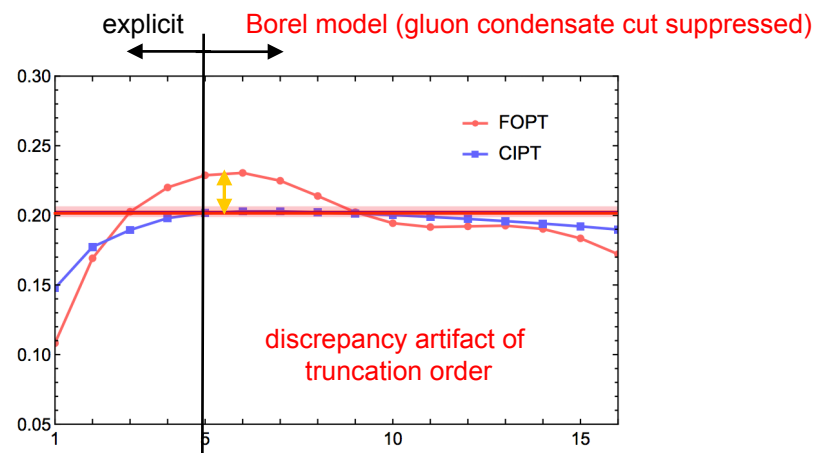
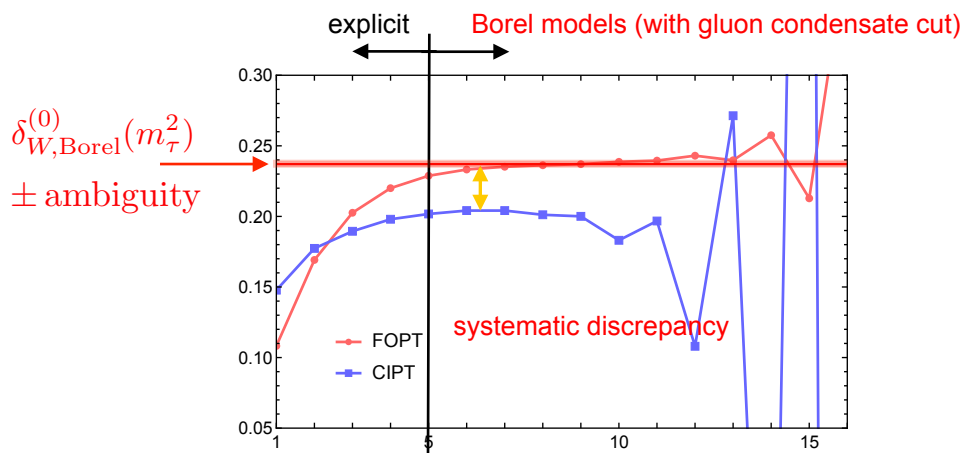
Beneke, Jamin 0806.3156

Jamin hep-ph/0509001

Caprini, Fischer 0906.5211

Descotes-Genon, Malaescu 1002.2968

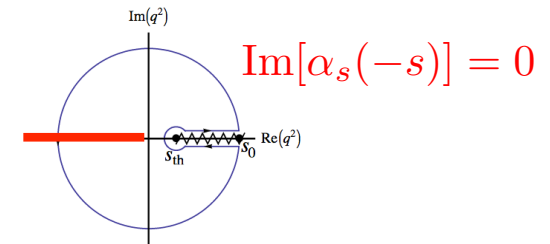
Beneke, Jamin, Boito 1210.8038



Renormalon Calculus: Euclidean Adler Function

Perturbative series in QCD are not convergent, but asymptotic.

$$\rightarrow \hat{D}(s) \sim \sum_{n=1}^{\infty} n! \left(\frac{\alpha_s(-s)}{\pi} \right)^n$$



Reminder of renormalon calculus: 't Hooft; David; Müller; Beneke; ...

$$\hat{D}(s) = \sum_{n=1}^{\infty} c_{n,1} \left(\frac{\alpha_s(-s)}{\pi} \right)^n \Rightarrow B[\hat{D}](u) \sim \sum_{n=1}^{\infty} \frac{c_{n,1}}{\Gamma(n)} u^{n-1} \Rightarrow B[\hat{D}](u) \sim \frac{1}{(p-u)^\gamma} + \frac{1}{(\tilde{p}+u)^\gamma}$$

Original series (asymptotic) Borel function series (convergent) Borel function

Borel transform Analytic continuation

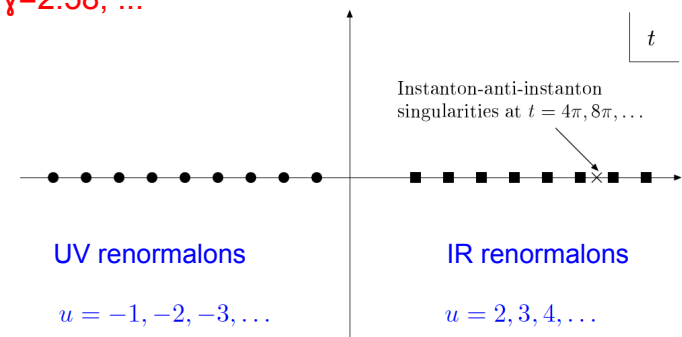
IR renormalon ambiguities associated to OPE corrections:

$$\hat{D}^{\text{OPE}}(s) = \underbrace{\frac{1}{(-s)^2} \langle G^2 \rangle}_{p=2, \gamma=2.58, \dots} + \sum_{p=3}^{\infty} \frac{1}{(-s)^p} [C_0 \langle \mathcal{O}_{2p,0} \rangle + C_1 \langle \mathcal{O}_{2p,1} \rangle + \dots]$$

Borel representation and Borel sum:
(inverse Borel transform)

$$\hat{D}(s) = \int_0^\infty du B[\hat{D}](u) e^{-\frac{4\pi u}{\beta_0 \alpha_s(-s)}}$$

Some regularization needed: PV prescription
(IR cutoff \rightarrow „asymptotic OPE corrections“)



Beneke „Renormalons“

Borel Function Model Studies

- Apparent convergence of CIPT and FOPT series
- Discrepancy larger than suggested by individual series
- Motivated studies of Borel models for higher orders

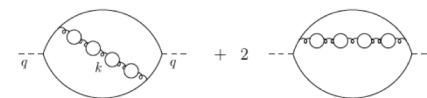
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$$B[\hat{D}]_{\text{model}}(u) = B^{\text{IR}}(u) + B^{\text{UV}}(u) + B^{\text{ana}}(u)$$

Types of IR renormalons singularities fixed by OPE.

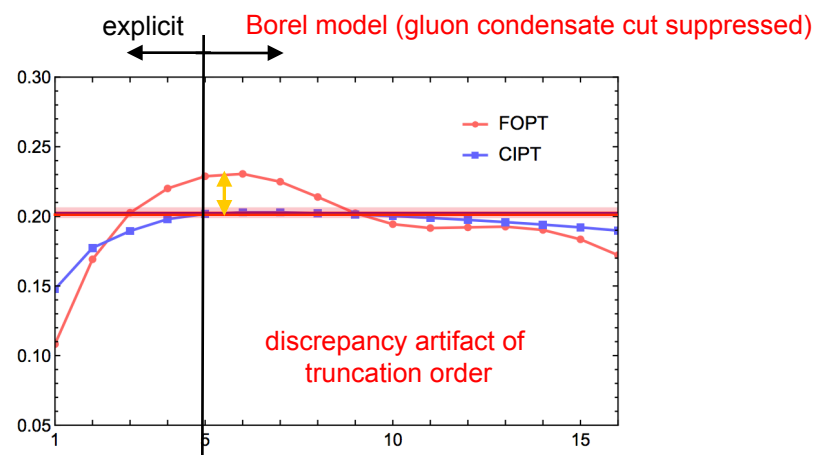
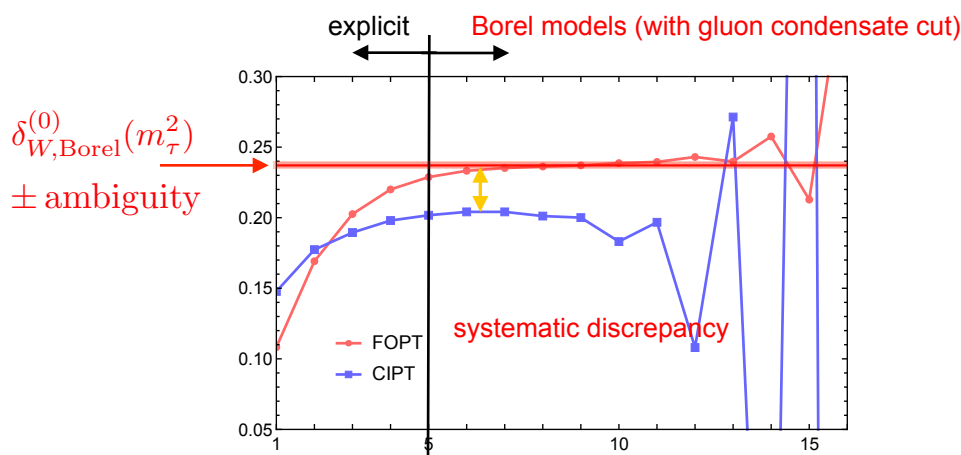
Coefficients of IR renormalons cannot be fixed from first principles in full QCD → model-dependence

Exact results possible in „large- β_0 approximation“



Borel Sum:
$$\delta_{W,\text{Borel}}^{(0)}(s_0) = \text{PV} \int_0^\infty du \frac{1}{2\pi i} \oint_{|x|=1} \frac{dx}{x} W(x) B[\hat{D}](u) e^{-\frac{u}{a(-x)}}$$

Discrepancy Systematic? Accidental?
 Quantifiable? Predictable?



CIPT vs. FOPT: Questions

We are not interested in which kinds of Borel models are more realistic and take both types of Borel models as viable options!

Let us start from any Borel function model compatible with the OPE!

Questions we want to address:

- How can it happen that CIPT and FOPT “converge” to different values?
- Why does FOPT converge to the Borel sum, while CIPT does not for some Borel models.
- Is the Borel representation and Borel sum unique?
- Can one predict the CIPT-FOPT discrepancy for a given Borel model?
- Implications for α_s determinations?

Answers [our work]:

- 1) The CIPT and FOPT Borel representations are in general different.
- 2) The discrepancy between CIPT and FOPT Borel sums can be computed for any given Borel model.
- 3) OPE corrections for CIPT and FOPT do not agree !
- 4) OPE corrections for CIPT are not standard !
- 5) Amount of non-standard contributions of CIPT-OPE correction Borel model dependent
- 6) **Strong coupling determinations based on CIPT have an additional theoretical uncertainty that has not yet been accounted for in available analyses.**

FOPT Borel Representation

Renormalon calculus:

$$\begin{aligned}\hat{D}(s) = \sum_{n=1}^{\infty} c_{n,1} \left(\frac{\alpha_s(-s)}{\pi} \right)^n &\implies B[\hat{D}](u) \sim \sum_{n=1}^{\infty} \frac{c_{n,1}}{\Gamma(n)} u^{n-1} \implies B[\hat{D}](u) \sim \frac{1}{(p-u)^\gamma} + \frac{1}{(\tilde{p}+u)^\gamma} \\ &\implies \hat{D}_{\text{Borel}}(s) = \int_0^\infty du B[\hat{D}](u) e^{-\frac{4\pi u}{\beta_0 \alpha_s(-s)}}\end{aligned}$$

Spectral function moments: Adler function series needed in the entire complex s -plane!

$$\text{Im}[\alpha_s(-s)] \neq 0$$

FOPT approach (large- β_0): (more complicated in full QCD, outcome the same)

$$\delta_{W_i}^{(0),\text{FOPT}}(s_0) = \frac{1}{2\pi i} \sum_{n=1}^{\infty} \left(\frac{\alpha_s(s_0)}{\pi} \right)^n \underbrace{\sum_{k=1}^{n+1} k c_{n,k} \oint_{|x|=1} \frac{dx}{x} W_i(x) \ln^{k-1}(-x)}_{\text{coefficient}} \underbrace{e^{-\frac{4\pi u}{\beta_0 \alpha_s(-x s_0)}} B[\hat{D}](u) e^{-u \ln(-x)} e^{-\frac{4\pi u}{\beta_0 \alpha_s(s_0)}}}_{\text{Summed u-Taylor series}} = B[\hat{D}](u) e^{-\frac{4\pi u}{\beta_0 \alpha_s(-x s_0)}}$$

➡ previously known Borel representation = FOPT Borel representation

$$\delta_{W_i, \text{Borel}}^{(0), \text{FOPT}}(s_0) = \text{PV} \int_0^\infty du \frac{1}{2\pi i} \oint_{|x|=1} \frac{dx}{x} W_i(x) B[\hat{D}](u) e^{-\frac{4\pi u}{\beta_0 \alpha_s(-x s_0)}}$$

CIPT Borel Representation

Renormalon calculus:

$$\begin{aligned}\hat{D}(s) = \sum_{n=1}^{\infty} c_{n,1} \left(\frac{\alpha_s(-s)}{\pi} \right)^n &\implies B[\hat{D}](u) \sim \sum_{n=1}^{\infty} \frac{c_{n,1}}{\Gamma(n)} u^{n-1} \implies B[\hat{D}](u) \sim \frac{1}{(p-u)^\gamma} + \frac{1}{(\tilde{p}+u)^\gamma} \\ &\implies \hat{D}_{\text{Borel}}(s) = \int_0^\infty du B[\hat{D}](u) e^{-\frac{4\pi u}{\beta_0 \alpha_s(-s)}}\end{aligned}$$

Spectral function moments: Adler function series needed in the entire complex s -plane!

$$\text{Im}[\alpha_s(-s)] \neq 0$$

CIPT approach:

Complex-valued coupling is not the expansion parameter

$$\delta_{W_i}^{(0),\text{CIPT}}(s_0) = \frac{1}{2\pi i} \sum_{n=1}^{\infty} c_{n,1} \oint_{|x|=1} \frac{dx}{x} W_i(x) \left(\frac{\alpha_s(-xs_0)}{\pi} \right)^n = \frac{1}{2\pi i} \sum_{n=1}^{\infty} \underbrace{\left(\frac{\alpha_s(s_0)}{\pi} \right)^n c_{n,1} \oint_{|x|=1} \frac{dx}{x} W_i(x) \left(\frac{\alpha_s(-xs_0)}{\alpha_s(s_0)} \right)^n}_{\text{coefficient}},$$

➔ CIPT Borel representation: NEW !

$$\delta_{W_i,\text{Borel}}^{(0),\text{CIPT}}(s_0) = \int_0^\infty d\bar{u} \frac{1}{2\pi i} \oint_{C_x} \frac{dx}{x} W_i(x) \left(\frac{\alpha_s(-xs_0)}{\alpha_s(s_0)} \right) B[\hat{D}] \left(\frac{\alpha_s(-xs_0)}{\alpha_s(s_0)} \bar{u} \right) e^{-\frac{4\pi \bar{u}}{\beta_0 \alpha_s(s_0)}}$$

CIPT Borel representation is not defined (in an ad hoc manner), but derived from the CIPT series!

FOPT vs. CIPT Borel Representation

FOPT Borel representation

$$\delta_{W_i, \text{Borel}}^{(0), \text{FOPT}}(s_0) = \text{PV} \int_0^\infty du \frac{1}{2\pi i} \oint_{|x|=1} \frac{dx}{x} W_i(x) B[\hat{D}](u) e^{-\frac{4\pi u}{\beta_0 \alpha_s(-xs_0)}}$$

- Related through change of variables

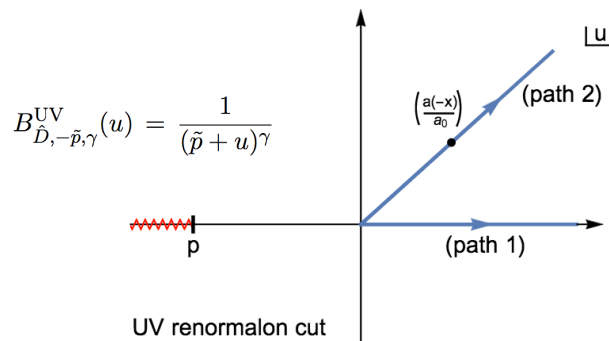
$$u = \frac{\alpha_s(-xs_0)}{\alpha_s(s_0)} \bar{u}$$

↖ Complex number!

CIPT Borel representation

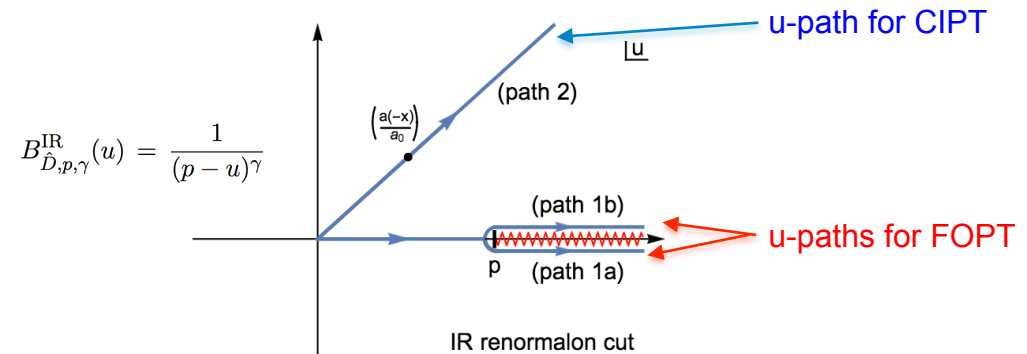
$$\delta_{W_i, \text{Borel}}^{(0), \text{CIPT}}(s_0) = \int_0^\infty d\bar{u} \frac{1}{2\pi i} \oint_{C_x} \frac{dx}{x} W_i(x) \left(\frac{\alpha_s(-xs_0)}{\alpha_s(s_0)} \right) B[\hat{D}]\left(\frac{\alpha_s(-xs_0)}{\alpha_s(s_0)} \bar{u} \right) e^{-\frac{4\pi \bar{u}}{\beta_0 \alpha_s(s_0)}}$$

- Equivalent in perturbation theory (u-Taylor series)
- Different in presence of IR renormalon cuts



UV renormalons:

FOPT and CIPT Borel representations equivalent because closing up paths 1 and 2 does not contain cuts



IR renormalons: finite difference !

FOPT and CIPT Borel representations inequivalent

- FOPT: PV prescription needs to be imposed
- CIPT: automatically well-defined by complex-valued α_s
- Difference because closing paths 1a/1b and 2 always contains cuts

Asymptotic Separation

The difference between the CIPT and FOPT Borel representations can be computed analytically!

Generic IR renormalon contribution: $B_{\hat{D},p,\gamma}^{\text{IR}}(u) = \frac{1}{(p-u)^\gamma} \iff \langle \mathcal{O}_{2p} \rangle$

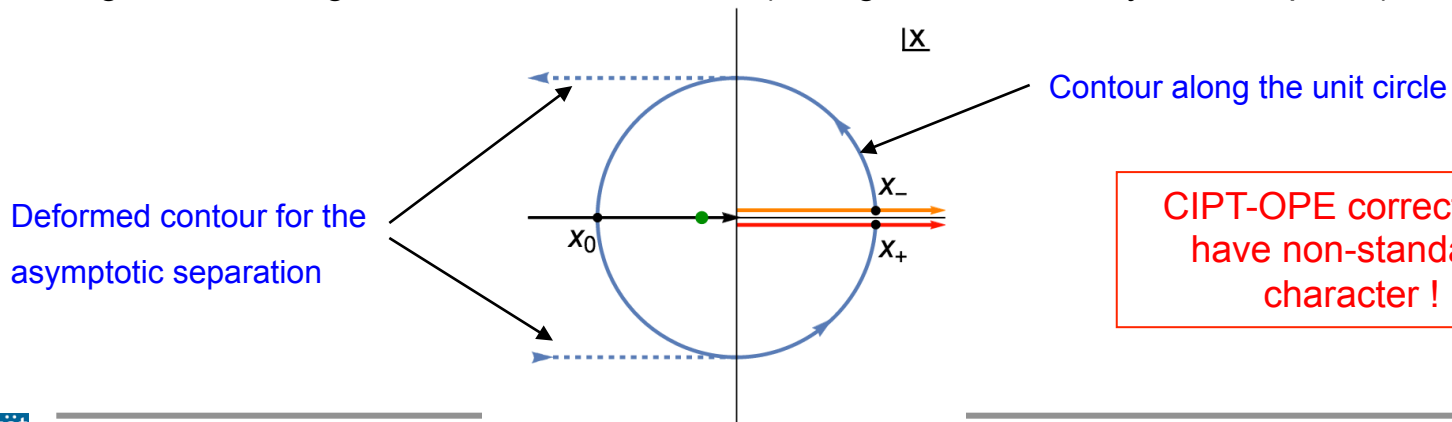
One can do u-integral first

$\Delta(m, p, \gamma, s_0) \equiv \delta_{\{(-x)^m, p, \gamma\}, \text{Borel}}^{(0), \text{CIPT}}(s_0) - \delta_{\{(-x)^m, p, \gamma\}, \text{Borel}}^{(0), \text{FOPT}}(s_0)$
 $= \frac{1}{2\Gamma(\gamma)} \oint_{\mathcal{C}_x} \frac{dx}{x} (-x)^m \text{sig}[\text{Im}[a(-x)]] (a(-x))^{1-\gamma} e^{-\frac{p}{a(-x)}}.$

Cut along the negative real s-axis! Power-suppressed $\sim \left(\frac{\Lambda_{\text{QCD}}^2}{s}\right)^p$

„Asymptotic Separation“

Remaining contour integration must be deformed (to negative real infinity in the x-plane)



CIPT-OPE corrections have non-standard character !

Asymptotic Separation

The difference between the CIPT and FOPT Borel representations can be computed analytically!

Generic IR renormalon contribution: $B_{\hat{D},p,\gamma}^{\text{IR}}(u) = \frac{1}{(p-u)^\gamma} \iff \langle \mathcal{O}_{2p} \rangle$

One can do u-integral first

→
$$\Delta(m, p, \gamma, s_0) \equiv \delta_{\{(-x)^m, p, \gamma\}, \text{Borel}}^{(0), \text{CIPT}}(s_0) - \delta_{\{(-x)^m, p, \gamma\}, \text{Borel}}^{(0), \text{FOPT}}(s_0)$$

$$= \frac{1}{2\Gamma(\gamma)} \oint_{\mathcal{C}_x} \frac{dx}{x} (-x)^m \text{sig}[\text{Im}[a(-x)]] (a(-x))^{1-\gamma} e^{-\frac{p}{a(-x)}}.$$

↑

Cut along the negative real s-axis!

↑

Power-suppressed $\sim \left(\frac{\Lambda_{\text{QCD}}^2}{s}\right)^p$

„Asymptotic Separation“

- Properties:
- Renormalization scheme invariant
 - Much larger than canonical FOPT Borel sum ambiguity estimate if the Borel model has a sizeable gluon condensate cut
 - Fully analytic results (see papers)
 - Can also be calculated doing contour integral first and u-integration second.

Numerical Tests

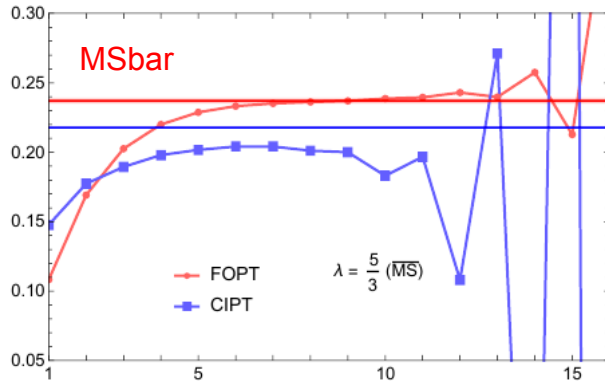
Full QCD: Tau decay rate

R_τ

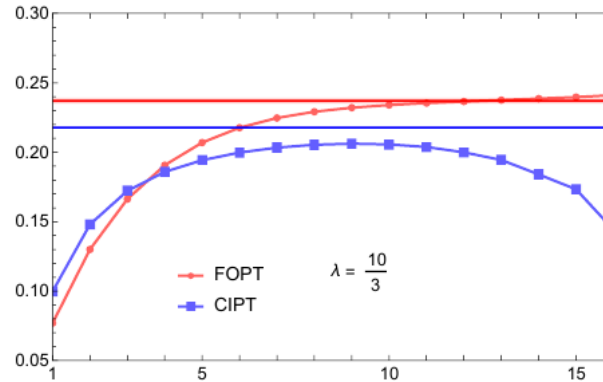
(Beneke/Jamin Borel Model, with gluon cond. cut)

$$W_\tau(x) = (1-x)^3(1+x) \\ = 1 - 2x + 2x^3 - x^4$$

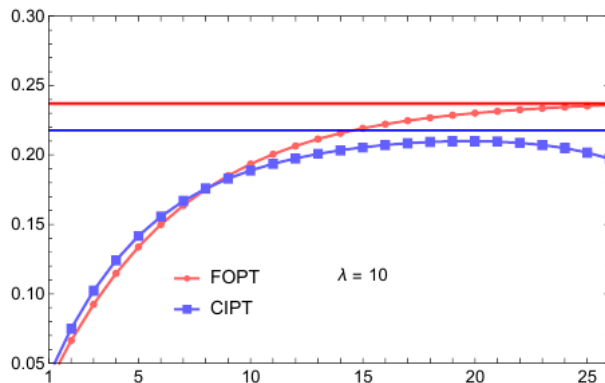
- Updated to 5-loop precision



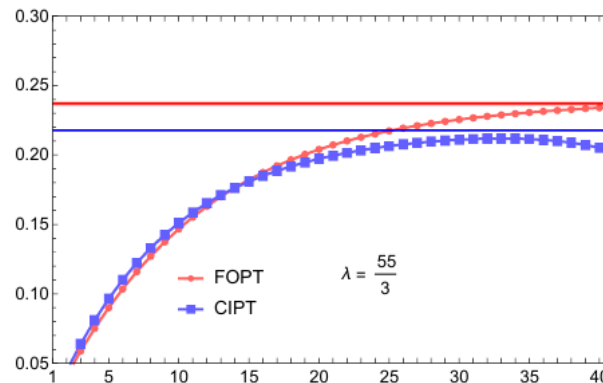
(a) $\delta_{W_\tau}^{(0)}(m_\tau^2)$, $B_{\hat{D},\text{mr}}$, $\alpha_s^{\overline{\text{MS}}}$, full β -function



(b) $\delta_{W_\tau}^{(0)}(m_\tau^2)$, $B_{\hat{D},\text{mr}}$, $\alpha_s^{(5/3)}$, full β -function



(c) $\delta_{W_\tau}^{(0)}(m_\tau^2)$, $B_{\hat{D},\text{mr}}$, $\alpha_s^{(25/3)}$, full β -function



(d) $\delta_{W_\tau}^{(0)}(m_\tau^2)$, $B_{\hat{D},\text{mr}}$, $\alpha_s^{(50/3)}$, full β -function

width of line

= FOPT Borel sum ambiguity

= renormalon ambiguity used
in previous literature

$$\alpha_\lambda(\mu) = \frac{\alpha_s(\mu)}{1 + (\lambda - \frac{5}{3})\alpha_s(\mu)}$$

Agreement of CIPT series
behavior with CIPT Borel sum
can depend on the scheme.

Better agreement in schemes
where $\alpha_s(m_\tau)$ is small.

Asymptotic separation provides
quantitative description of
CIPT-FOPT discrepancy for
any given model !

Numerical Tests

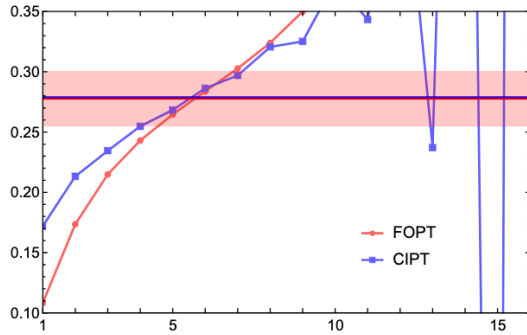
Spectral function moments with small asymptotic separation

(Beneke/Jamin Borel Model, with gluon cond. cut)

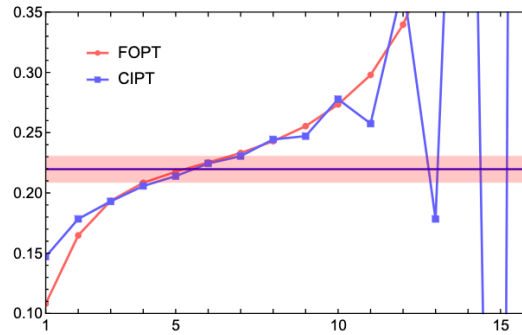
)

vanishing asymptotic separation from gluon condensate renormalon in large- β_0

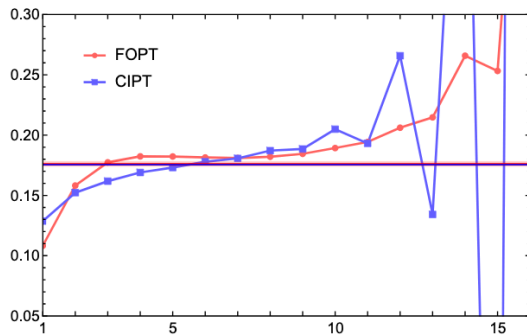
$$W_c(x) = (1 - x)^2(1 + cx + x^2)$$



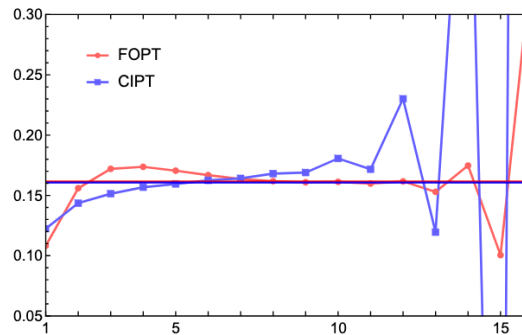
(a) $\delta_{W_{c=-1}}^{(0)}(m_\tau^2)$, $B_{\hat{D},\text{mr}}$, $\alpha_s^{\overline{\text{MS}}}$, full β -function



(b) $\delta_{W_{c=0}}^{(0)}(m_\tau^2)$, $B_{\hat{D},\text{mr}}$, $\alpha_s^{\overline{\text{MS}}}$, full β -function



(c) $\delta_{W_{c=0.75}}^{(0)}(m_\tau^2)$, $B_{\hat{D},\text{mr}}$, $\alpha_s^{\overline{\text{MS}}}$, full β -function



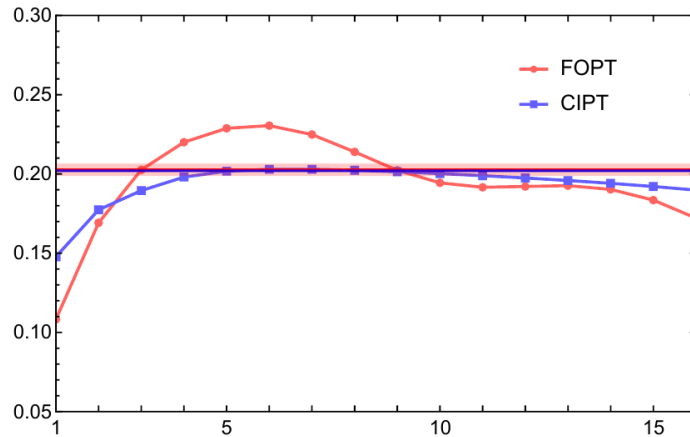
(d) $\delta_{W_{c=1}}^{(0)}(m_\tau^2)$, $B_{\hat{D},\text{mr}}$, $\alpha_s^{\overline{\text{MS}}}$, full β -function

Spectral function moments with small CIPT-FOPT discrepancy can be designed.

Numerical Tests

Model with strongly suppressed gluon condensate cut

$$\begin{aligned} W_\tau(x) &= (1-x)^3(1+x) \\ &= 1 - 2x + 2x^3 - x^4 \end{aligned}$$



(b) $\delta_{W_\tau}^{(0)}(m_\tau^2)$, $B_{\hat{D}, p=3}$, $\alpha_s^{\overline{\text{MS}}}$, full β -function

Asymptotic separation \approx FOPT Borel sum ambiguity

If the Borel function has a suppressed gluon condensate cut, the CIPT-FOPT discrepancy is an artifact of the truncation order and may be reconciled by higher order corrections.

[Beneke, Jamin '2012: such models not plausible]

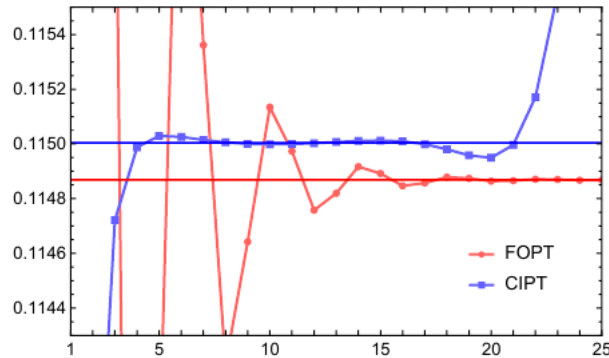


Asymptotic separation is only numerically relevant only if the Borel function of the Adler function has a sizeable gluon condensate cut.

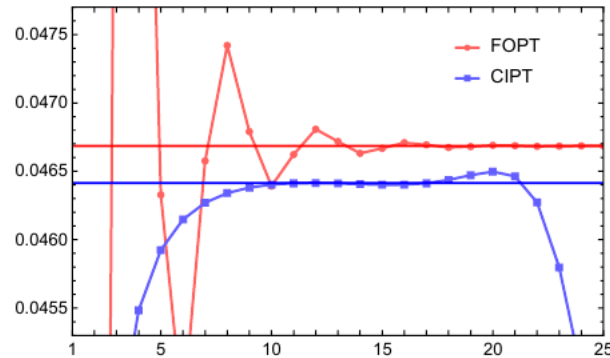
Numerical Tests

Single renormalon models (large- β_0):

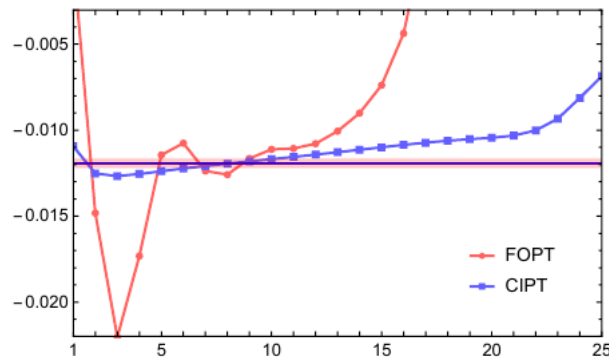
$$B(u) = \frac{1}{(2-u)} \iff \langle \alpha_s G^{\mu\nu} G_{\mu\nu} \rangle$$



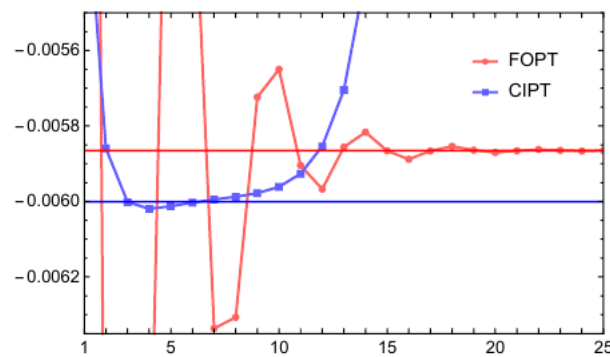
(a) Simple pole, $p=2$, $W(x) = 1$, large- β_0



(b) Simple pole, $p=2$, $W(x) = (-x)$, large- β_0



(c) Simple pole, $p=2$, $W(x) = (-x)^2$, large- β_0



(d) Simple pole, $p=2$, $W(x) = (-x)^4$, large- β_0

Excellent description of the asymptotic behavior of the CIPT series using the CIPT Borel representation.

Convergence behavior strongly depending on the power of the weight function.

Intriguing observation:

For moments with $W(x) = x^{m \neq 2}$

FOPT convergent series!

Gluon cond. corr. vanishes

CIPT series divergent!

(Apparently unnoticed in the literature)

CIPT expansion not compatible with standard OPE !

Implications

What does the asymptotic separation mean?

- FOPT Borel representation: PV prescription needs to be imposed
- CIPT Borel representation: automatically well-defined by complex-valued α_s

} Prescriptions represents different types of IR regularizations/cutoffs

➡ FOPT and CIPT do not have the same OPE corrections!
Asymptotic separation quantifies the difference of these OPE corrections.

➡ Difference must already exist at the level of the Adler function

FOPT and CIPT expansion of the Adler function

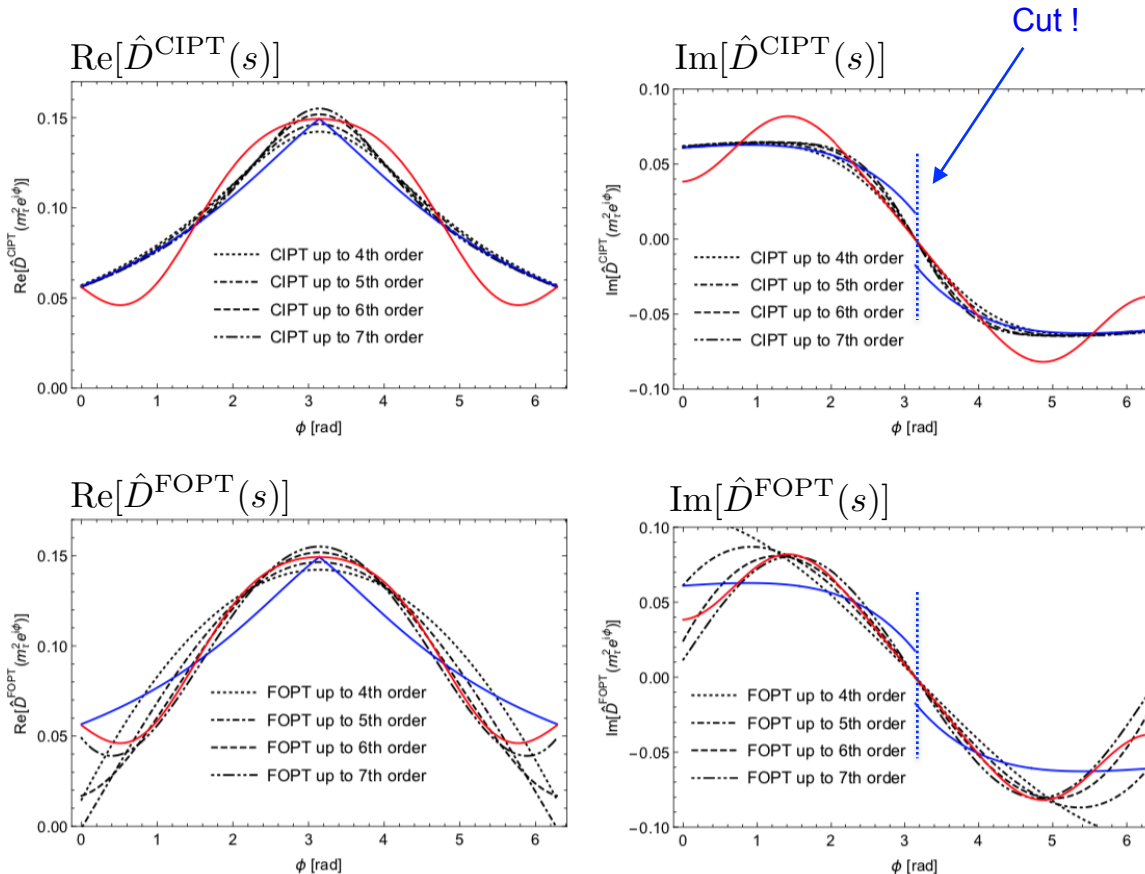
$$\begin{aligned}
 \hat{D}^{\text{CIPT}}(s) &= \sum_{n=1}^{\infty} c_{n,1} \left(\frac{\alpha_s(-s)}{\pi} \right)^n \\
 \hat{D}^{\text{FOPT}}(s) &= \sum_{n=1}^{\infty} \left(\frac{\alpha_s(s_0)}{\pi} \right)^n \sum_{k=1}^n k c_{n,k} \ln^{k-1} \left(\frac{-s}{s_0} \right)
 \end{aligned}
 \quad \longleftrightarrow \quad
 \begin{aligned}
 \hat{D}_{\text{Borel}}^{\text{CIPT}}(s) &= \int_0^{\infty} du \left(\frac{\alpha_s(-s)}{\alpha_s(|s|)} \right) B[\hat{D}] \left(\frac{\alpha_s(-s)}{\alpha_s(|s|)} \bar{u} \right) e^{-\frac{4\pi u}{\beta_0 \alpha_s(|s|)}} \\
 \hat{D}_{\text{Borel}}^{\text{FOPT}}(s) &= \text{PV} \int_0^{\infty} du B[\hat{D}](u) e^{-\frac{4\pi u}{\beta_0 \alpha_s(-s)}}
 \end{aligned}$$

CIPT: expansion in $\alpha_s(-s)$

FOPT: expansion in $\alpha_s(|s|)$

FOPT and CIPT for the Adler Function

(Beneke/Jamin Borel Model, with gluon condensate cut)



— FOPT Borel sum
— CIPT Borel sum

CIPT-FOPT difference exists
already for the Adler function

CIPT: expansion in $\alpha_s(-s)$

FOPT: expansion in $\alpha_s(|s|)$

CIPT expansion agrees with CIPT
Borel sum

FOPT expansion agrees with
FOPT Borel sum

CIPT Borel sum has cut along the
negative real s-axis for any Borel
model !



CIPT expansion appears not compatible with standard OPE in general !

(Standard OPE corrections do not account for the non-standard CIPT behavior)

Summary

- The use of FOPT and CIPT for the spectral function moments implies a different treatment of IR momenta.
- FOPT and CIPT Borel representations and their Borel sums differ
→ “asymptotic separation” computable
- Discrepancy between FOPT and CIPT described well by asymptotic separation if 5-loop series is already asymptotic (\sim gluon condensate renormalon large).
- Asymptotic separation can reconcile 5-loop CIPT-FOPT discrepancy if the Adler function Borel function has a large gluon condensate cut.
- CIPT Borel representation (and thus also CIPT) not compatible with standard OPE approach: difference to standard OPE = asymptotic separation
- Uncertainty concerning the exact form of the QCD Borel function implies that the theoretical uncertainties of CIPT-based strong coupling determinations are larger than for FOPT-based determinations and potentially underestimated at this time.