

# Models Violating Grossman-Nir Bound

2nd meeting: Searches for Hidden Sectors at Kaon and Hyperon Factories

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Section 2.7

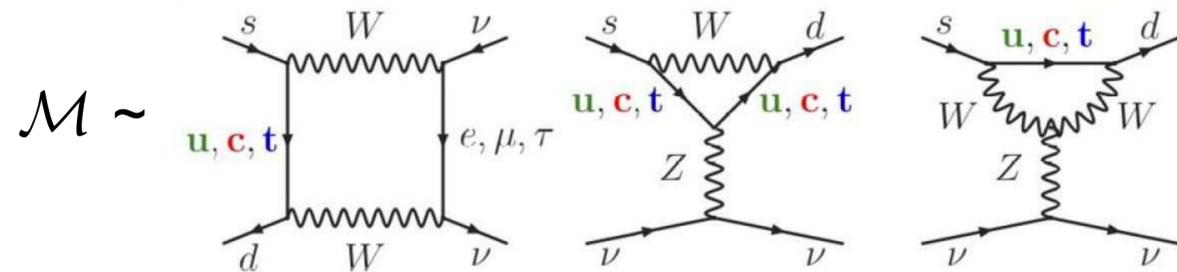
[Teppei Kitahara, Maxim Pospelov, Matheus Hostert, Yuval Grossman, KT]

# Introduction

# Rare Kaon Decays and Grossman-Nir Bound

$$K^+ \rightarrow \pi^+ \nu \bar{\nu} \propto |\mathcal{M}|^2$$

$$K_L \rightarrow \pi^0 \nu \bar{\nu} \propto (\text{Im}\mathcal{M})^2$$



Extremely rare and precise process in SM. [Buras et al., 1503.02693]

$$\text{BR}(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = (9.11 \pm 0.72) \times 10^{-11}$$

$$\text{BR}(K_L \rightarrow \pi^0 \nu \bar{\nu}) = (3.00 \pm 0.30) \times 10^{-11}$$

- $\text{Br}[K_L]$  indirectly bounded by  $\text{Br}[K^+]$

[Y. Grossman and Y. Nir ('97)]

$$\frac{\Gamma[K_L \rightarrow \pi^0 \nu \bar{\nu}]}{\Gamma[K^+ \rightarrow \pi^+ \nu \bar{\nu}]} = \frac{(\text{Im } M)^2}{|M|^2} \leq 1 \quad \text{Isospin relation } (\Delta I=1/2)$$

$$\frac{\text{BR}[K_L \rightarrow \pi^0 \nu \bar{\nu}]}{\text{BR}[K^+ \rightarrow \pi^+ \nu \bar{\nu}]} \leq 4.3 \quad \text{Ratio of total widths + isospin breaking}$$

- GN bound can be generalized to new physics case

$$\rightarrow \text{BR}(K_L \rightarrow \pi^0 X) \lesssim 4.3 \text{BR}(K^+ \rightarrow \pi^+ X)$$

saturates, e.g., when  $X$  is CP-even [H. Leutwyler, M. A. Shifman('90)]

- **Br~10<sup>-11</sup>** due to suppressions of 1loop, CKM and GIM
- Unlike LHC physics, a few events are already significant!

# Can we violate GN bound?

- Thanks to GN bound,  $K^+$  measurements already constraints many models
- KOTO and KLEVER will have unprecedented precision fo  $K_L$  decay
- Are there interesting possibilities where NP signature primarily appears in  $K_L$  decay? ( $\gamma\gamma$ + missing channel) ==How to violate GN bound?
- Many ideas hinted by 4 events at KOTO →4 events turned out be BG.  
But the ideas are relevant for the future data and KLEVER.

## Goal of Sec. 2.7

- Summarize the existing possibilities
- Examine other signatures of the GNV models

# Two Categories

## 1. Violate GN bound in the fundamental level

$$\text{BR}(K_L \rightarrow \pi^0(\gamma\gamma) + \text{inv}) > 4.3\text{BR}(K_L \rightarrow \pi^+ + \text{inv})$$

- $\Delta I=3/2$  dominance, one light dark state

X. He et. al. [1804.07449, 2002.05467, 2005.02942]

- At least two light dark states

M. Pospelov. Status and phenomenology of light bsm. talk Jan 20, 2019

R. Ziegler, J. Zupan, R. Zwicky [2005.00451]

S. Gori, G. Perez, KT [2005.05170],

M. Hostert, K. Kaneta, M. Pospelov [2005.07102],

W. Altmannshofer, B. V. Lehmann, S. Profumo [2006.05064]

## 2. Violation effectively appears due to experimental loopholes [need one light state]

- $\pi^0$  gap ( $K^+ \rightarrow \pi^+ X$  difficult)

Fuyuto, Hou, Kohda [1412.4397]

- Lifetime gap

Kitahara, Okui, Perez, Soreq, KT [1909.11111]

- Kinematic(Dalitz) loophole

M. Fabbrichesi and E. Gabrielli [1911.03755]

[Marco's talk for Dark Photon section \[5/10\]](#)

**Fundamental violation**

# $\Delta I=3/2$ dominance

- Usual kaon decays are known to be dominated by  $\Delta I=1/2$  process. One of the assumption to derive GN bound
- How about  $\Delta I=3/2$ ?
- SMEFT study [1804.07449]. All the quarks and neutrinos have to be present. 6 fermions  $\rightarrow$  dim 9 operators!
- NP should start from dim 9, and the corresponding scale  $\sim$ GeV at most. Implausible.

From 2005.02942

$$\begin{aligned}
 & \epsilon_{ij} \delta_{kl} (\bar{Q}_o^k \gamma_\mu Q_p^j) (\bar{d}_x \gamma^\mu u_y) \bar{L}_{\{\alpha}^{ci} L_{\beta\}}^l, \\
 & \epsilon_{ij} \delta_{kl} (\bar{Q}_o^k \gamma_\mu Q_p^j) [\bar{d}_x \gamma^\mu u_y] \bar{L}_{\{\alpha}^{ci} L_{\beta\}}^l, \\
 & \epsilon_{ij} \delta_{kl} (\bar{Q}_o^k \gamma_\mu Q_p^j) (\bar{d}_x \gamma_\rho u_y) \bar{L}_{[\alpha}^{ci} \sigma^{\mu\rho} L_{\beta]}^l, \\
 & \epsilon_{ij} \delta_{kl} (\bar{Q}_o^k \gamma_\mu Q_p^j) [\bar{d}_x \gamma_\rho u_y] \bar{L}_{[\alpha}^{ci} \sigma^{\mu\rho} L_{\beta]}^l, \\
 & \epsilon_{ik} \epsilon_{jl} (\bar{d}_o Q_p^i) (\bar{d}_x Q_y^j) \bar{L}_{\{\alpha}^{ck} L_{\beta\}}^l, \\
 & \epsilon_{ik} \epsilon_{jl} (\bar{d}_o Q_p^i) [\bar{d}_x Q_y^j] \bar{L}_{\{\alpha}^{ck} L_{\beta\}}^l, \\
 & \epsilon_{ik} \epsilon_{jl} (\bar{d}_o Q_p^i) (\bar{d}_x \sigma_{\mu\omega} Q_y^j) \bar{L}_{[\alpha}^{ck} \sigma^{\mu\omega} L_{\beta]}^l, \\
 & \epsilon_{ik} \epsilon_{jl} (\bar{d}_o \sigma_{\mu\rho} Q_p^i) (\bar{d}_x \sigma_\omega^\rho Q_y^j) \bar{L}_{[\alpha}^{ck} \sigma^{\mu\omega} L_{\beta]}^l,
 \end{aligned}$$

## In light of KOTO 4 events

X He, X Ma, J. Tandean, G. Valencia [2005.02942]

- Replace 2 neutrinos with a new scalar singlet [dim 9  $\rightarrow$  dim 7, the scale GeV  $\rightarrow$  TeV]

$$\text{BR}(K_L \rightarrow \pi^0 X) < 47 \text{BR}(K_L \rightarrow \pi^+ X)$$

X is singlet

# Two new light dark states

$$\mathcal{O}_{\text{SM}} X_1 X_2$$

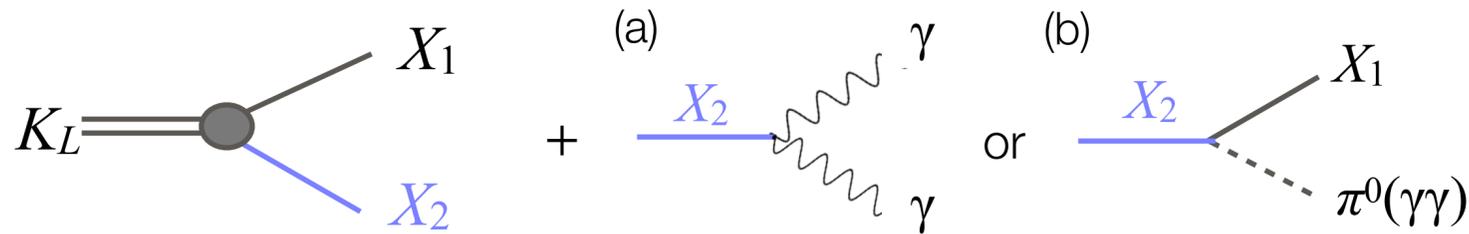
$X_{1,2}$ : SM singlet  
 $\mathcal{O}_{\text{SM}} \supset \bar{s}d$

$$K_L \rightarrow X_i X_j$$

$$K^+ \rightarrow \pi^+ X_i X_j$$

M. Pospelov [talk, Jan 2020], S. Gori, G. Perez, **KT** [2005.05170] M. Hostert, K. Kaneta, M. Pospelov [2005.07102]

- **Neutral particle (e.g.,  $K^0$ ,  $B^0$ ) decays directly to dark sector.**
- Charged particle decays with extra SM particle ( $\pi^+$ )  $\rightarrow 1/16\pi^2$  or forbidden.



(a) E.g.  $\phi$  carries 1/2 strange (or 2nd generation) charge [ $\phi$ : stable] [2005.05170]

$$y_1 H \bar{Q}_1 s \phi^2 / \Lambda^2 \quad y_2 H \bar{Q}_2 d \phi^2 / \Lambda^2$$

Small breaking induces  $\chi = \text{Im}[\phi]$  decays to  $\gamma\gamma$

$$\mathcal{L}_\chi \supset \frac{\chi}{\Lambda_\chi} F_{\mu\nu} \tilde{F}^{\mu\nu}$$

$$K_L \rightarrow \sigma \chi$$

$$\chi \rightarrow \gamma\gamma$$

Other realization w/Higgs portal & MFV in [2005.07102]  
 Signature from B decay? Also X decays to electrons?

$$\mathcal{O}_{\text{SM}} X_2 + \lambda m_X X_1 X_2^2, \lambda' X_1^2 X_2^2$$

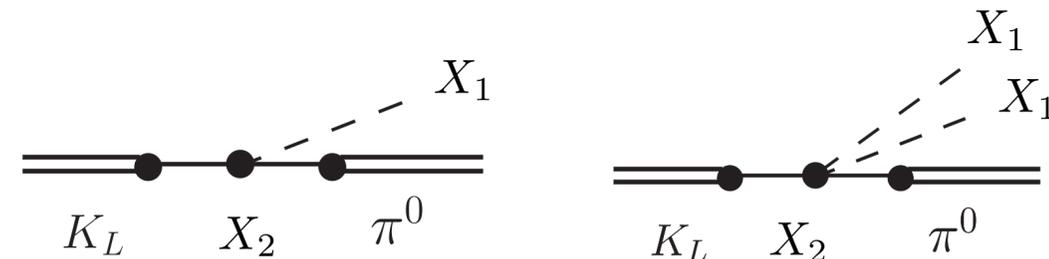
$$\mathcal{O}_{\text{SM}} \supset g_{sd} \bar{s}d, g_{dd} \bar{d}d$$

$$K_L \rightarrow \pi^0 X_1 (X_1)$$

$$K^+ \rightarrow \pi^+ X_1 (X_1)$$

R. Ziegler, J. Zupan, R. Zwicky [2005.00451]

**Mixing** among neutral particles:  $K_L$ ,  $\pi^0$ , and new scalar  $X_2$ .

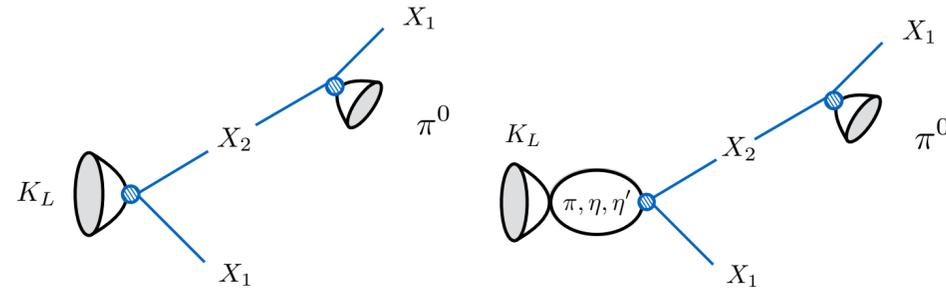


$m_{X_2} > m_K$  but has to be light, since  $\text{BR}[K_L \rightarrow \pi^0 X_1 (X_1)] \sim (1/m_{X_2})^8$ .

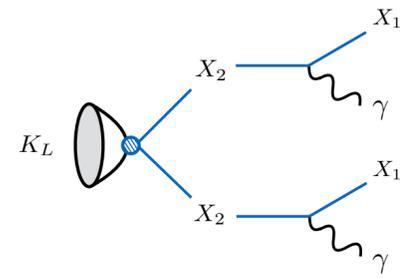
Signature is from  $g_{dd}$  coupling?

# Two new light dark states -categorization

M. Hostert, K. Kaneta, M. Pospelov [2005.07102]

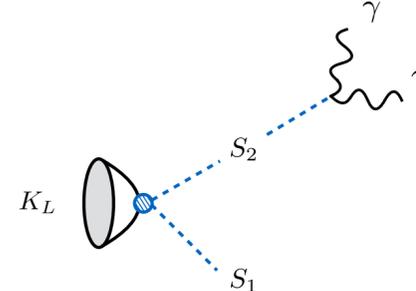


A)  $\pi^0$  PRODUCTION



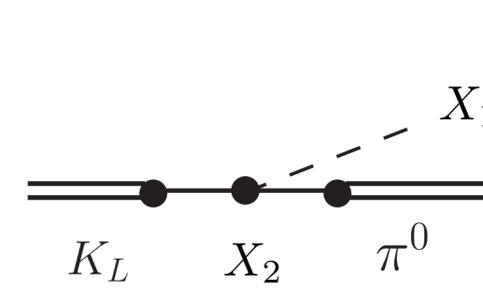
B) DIPOLE PORTAL

[S. Gori, G. Perez, KT]

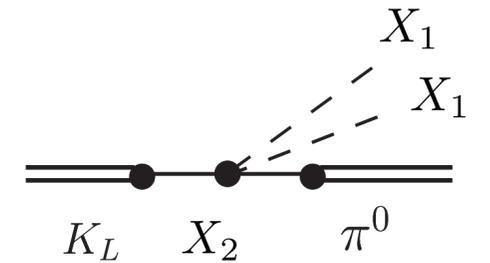


C)  $\pi^0$  IMPOSTOR

R. Ziegler, J. Zupan, R. Zwicky [2005.00451]



D) Mixing, single X1



E) Mixing, double X1

Signature based categories	A	B	C	D	E	$\Delta I=3/2$
<ul style="list-style-type: none"> <li><math>\gamma\gamma</math> is from <math>\pi^0</math>? [important for reconstruction]</li> </ul>	✓			✓	✓	✓
<ul style="list-style-type: none"> <li>Is missing energy from multiple particles?</li> </ul>	✓	✓	✓		✓	
Another category? Prediction?						

**Effective violation**

# Lifetime and mass loopholes for $K \rightarrow \pi X$

Two experimental loopholes

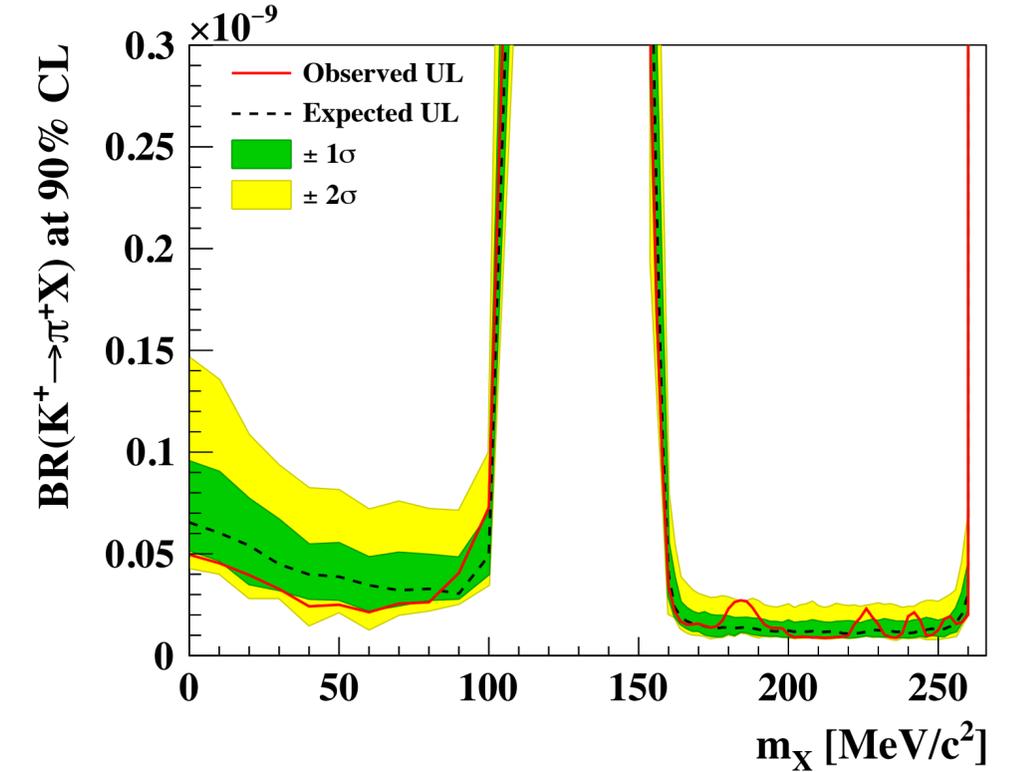
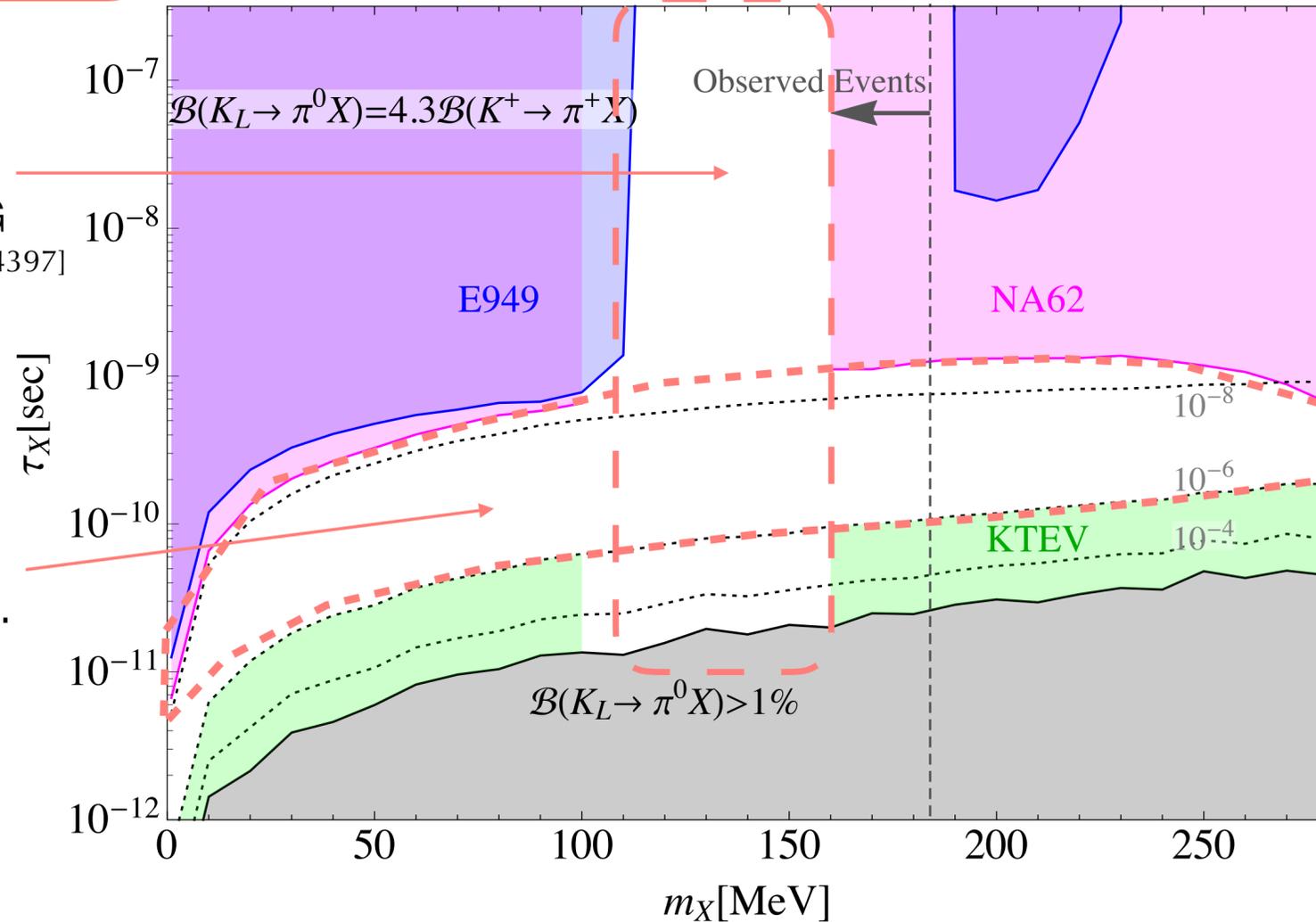
(1)  $m_X \sim m_{\pi^0}$  loophole

Large  $K^+ \rightarrow \pi^+ \pi^0$  BG  
Fuyuto, Hou, Kohda [1412.4397]

(2) Finite lifetime of  $X$

Exploit detector size.

KOTO signal and  $K^+ \rightarrow \pi^+ X$  Bounds

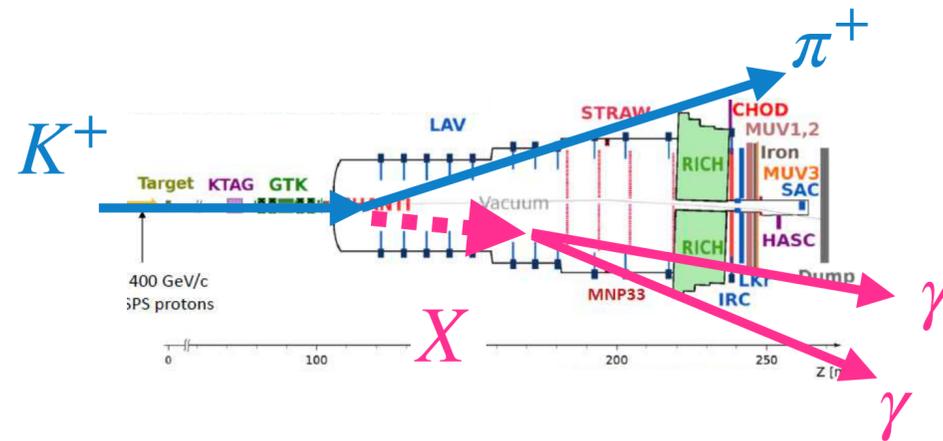


NA62 2103.15389

## Effective violation of GN bound “lifetime gap”

- ◆ New particle  $X$  (CP even) decays into  $\gamma\gamma$  (or  $e^+e^-$ ) with **finite lifetime**

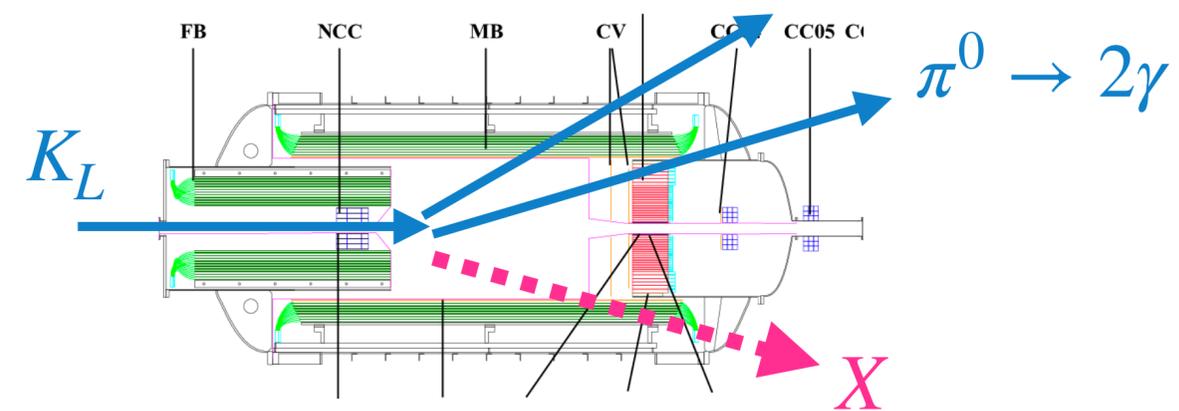
[TK, Okui, Perez, Soreq, Tobioka '20; Liu, McGinnis, Wagner, Wang '20; Liao, Wang, Yao, Zhang '20]



$K^+ \rightarrow \pi^+ X, X \rightarrow \gamma\gamma$  is **rejected** in the NA62 detector



L = 150 m, E = 37 GeV



$X$  seems long-lived in the KOTO detector



L = 3 m, E ~ 1.5 GeV

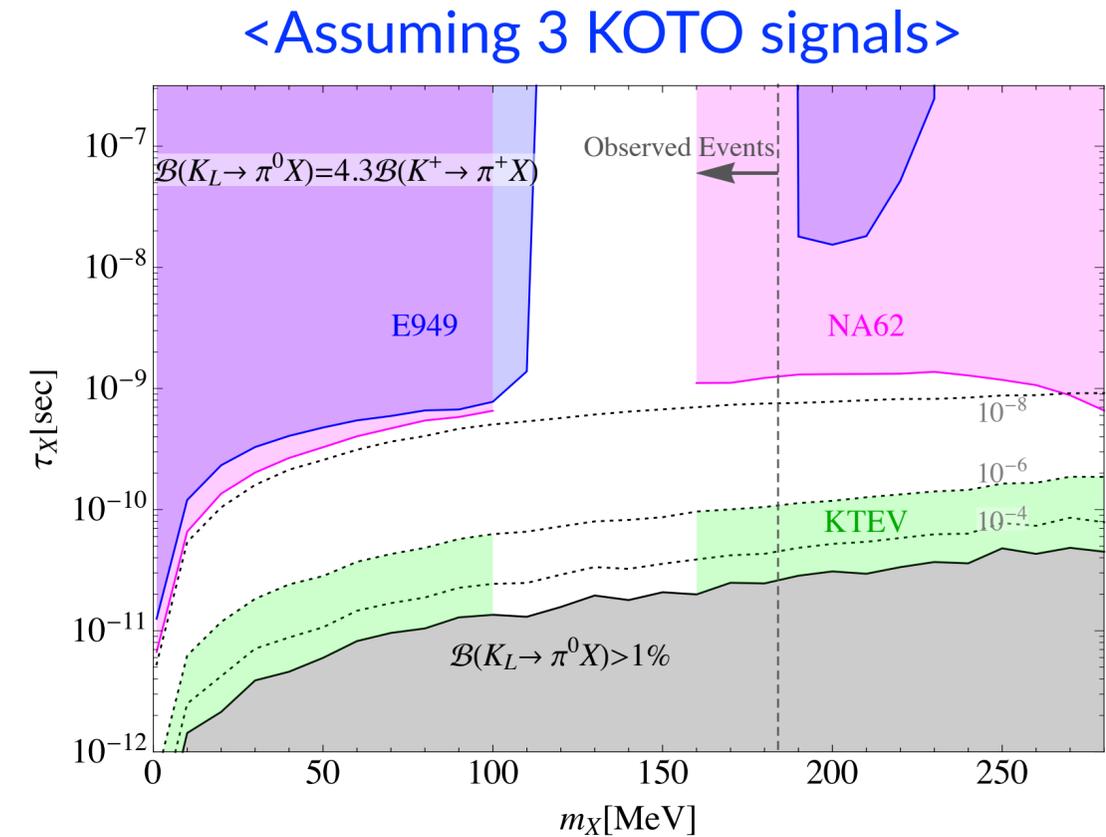
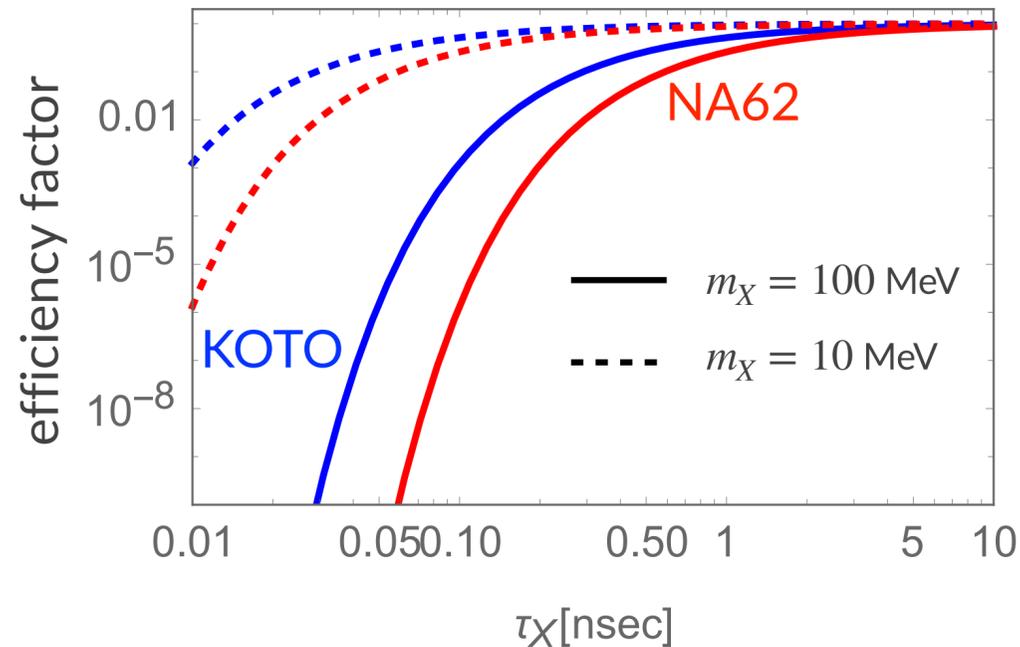
## Effective violation of GN bound “lifetime gap”

- ◆ Probability that  $X$  does not decay in the detector volume  
= efficiency factor that  $X$  looks missing neutrinos

$$P = \exp\left(-\frac{L}{\gamma\beta\tau_X}\right) = \exp\left(-\frac{L}{(E_X/m_X)\beta\tau_X}\right) \simeq \exp\left(-\frac{Lm_X}{p_X\tau_X}\right)$$

(Energy scale)<sup>2</sup>  $\gg m_X^2$

Efficiency difference  
=  
**Effective violation** of  
the GN bound



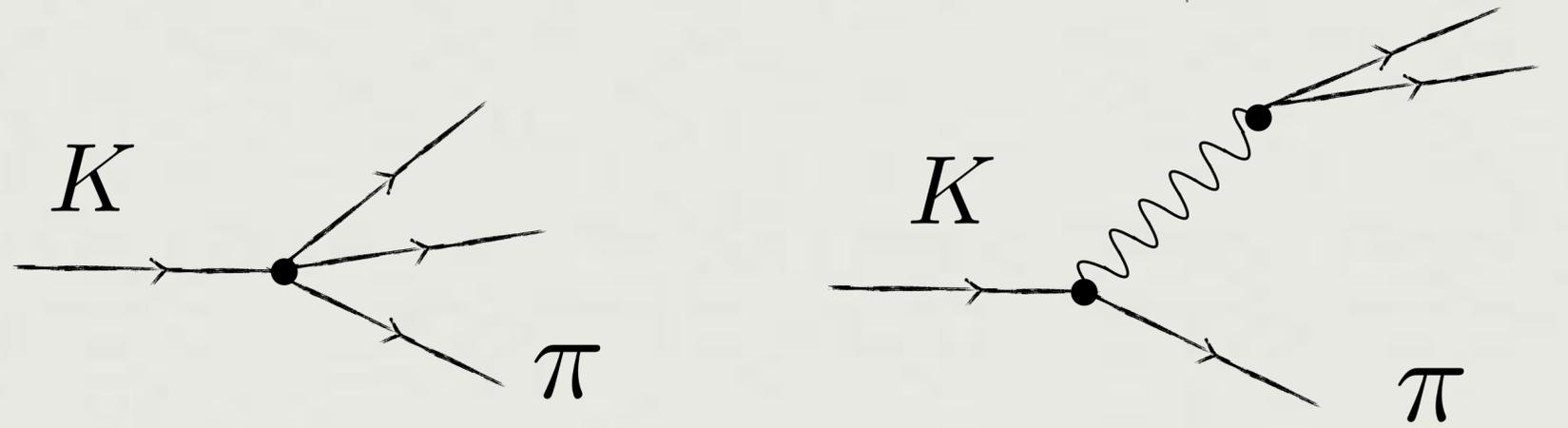
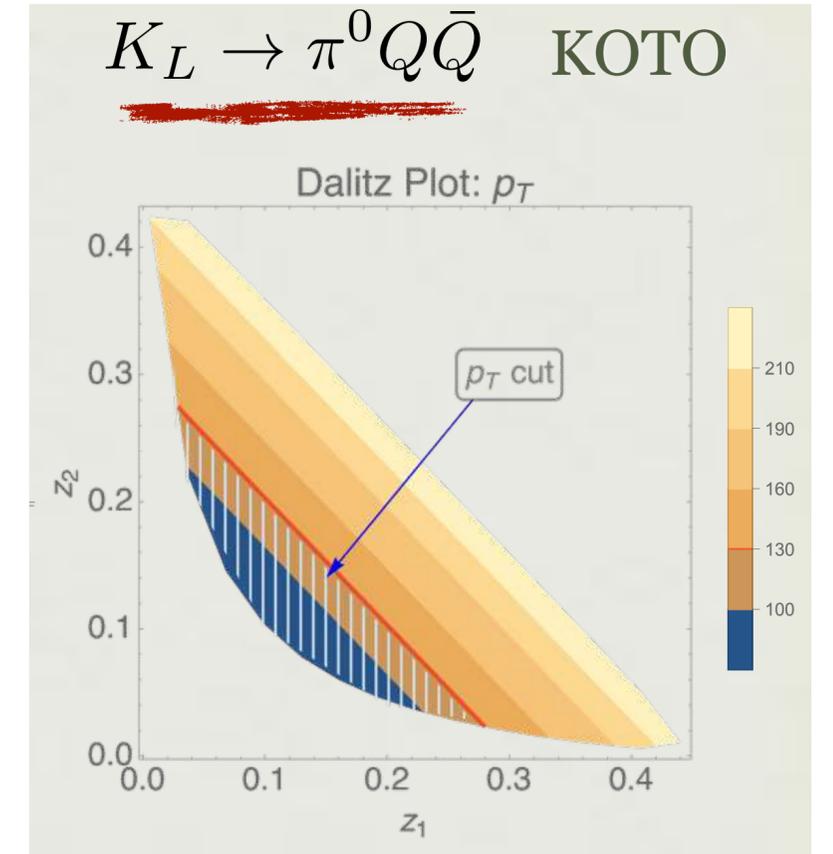
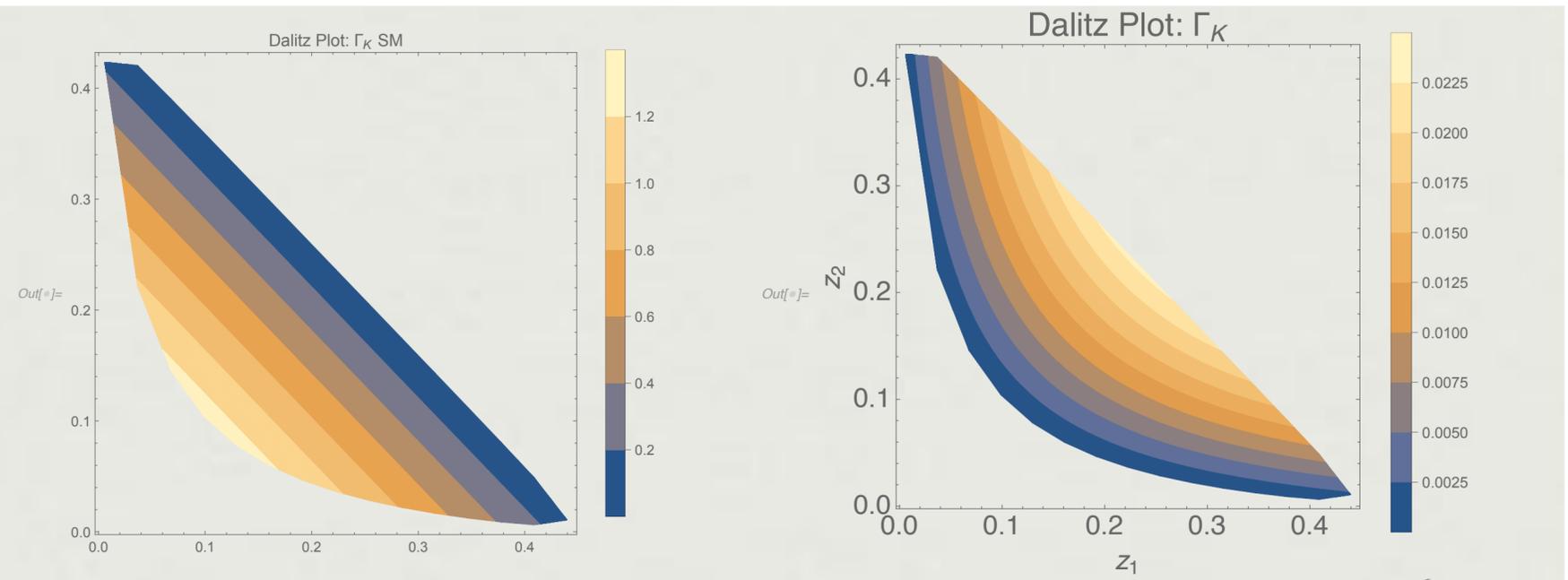
# GN violation around Kinematic Distribution

M. Fabbrichesesi and E. Gabrielli [1911.03755]

Marco's talk for Dark Photon section [5/10]

Light mediator changes missing mass distribution  
 Make  $K^+$  bound less stringent

contact interaction  
 vs.  
 long distance



# How to test?

**"What we would learn, if the experiments do not find anything?"**

**"What would be a good target branching ratio for each signatures (such that null result is still interesting)?"**

Difficult to answer these questions because we need to see signal in KL decay to set a scale.  
Maybe appropriate questions for GN violating models.

**"What information from KL analysis will be useful?"**

What happen if two photons are not from pi0?

For 3-body case, other kinematic distributions like massible invisible, long-distance contribution.  
(2-body=single invisible is already tested. )

**"How do we test the models in other signatures?"**

Effective violation:  $K^+ \rightarrow \pi^+ \text{ inv.}$  Fill pi0 gap. Simply more precise measurements will be useful.

Fundamental violation: "No sign in  $K^+ \rightarrow \pi^+ \text{ inv.}$ " is an important criteria.

Then other signature?

X decay to  $e^+e^-$ ?

B decay (assuming MFV)?

$K_S$  physics?

$B \rightarrow K X_1 X_2 \rightarrow K \gamma \gamma + \text{inv}$

Beam dump?

**Backup**

