Model building for flavour anomalies

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Outline:

- 1. The problem of flavour and recent developments
- 2. A model building solution: potential of Froggatt-Nielsen mechanism for low-energy phenomenology

Introduction

The Standard Model: theory that better describes interactions among elementary particles.

Is the SM complete?

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The Standard Model: theory that better describes interactions among elementary particles.

Is the SM complete?



- The SM can be regarded as a low energy realisation of a more complete theory living above the electroweak scale
- Is there any part of the SM that can be affected by NP?

The flavour structure

- Strong hierarchy among the Yukawa couplings
- Many free parameters



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1) Why is the flavour sector so special?

2) Is there space for NP?

The flavour problem

1) The SM flavour problem

• The study of a deeper reason behind the peculiar structure of Yukawa couplings.

2) The NP flavour problem

- Why don't we observe any NP in flavour processes yet?
- What is the flavour structure of the physics beyond the SM?
- What energy scales? No absolute energy scale, strongly dependent on the NP couplings.

What is new?

Recently, Babar, Belle and LHCb provided interesting results in *B*-physics.

They see a few hints of Lepton Flavour Universality Violation: channels with different lepton species in the final state behave differently

The channels explored so far are semileptonic decays of B-meson

- Flavour changing neutral currents b
 ightarrow s: μ vs e
- Charged currents b
 ightarrow c: au vs μ/e

$b \rightarrow c$ semileptonic transitions

Tree-level process within the SM

Effective hamiltonian description

$$\mathcal{H}_{\text{eff}} = -\frac{4G_F}{\sqrt{2}} V_{cb} \left(\bar{c}_L \gamma_\mu b_L \right) \left(\bar{\tau}_L \gamma_\mu \nu_L \right)$$

• Clean observables: careful treatment of m_{τ} dependent terms

$$R_{D^{(*)}} = \frac{\mathcal{B}(B \to D^{(*)} \tau \bar{\nu}_{\tau})}{\mathcal{B}(B \to D^{(*)} \ell \bar{\nu}_{\ell})}$$

- Deviation of $\sim 10\%$ -15% with respect to the SM predictions
- Combined significance $\sim 3.x\,\sigma$



$b \rightarrow s$ semileptonic transitions

Induced at loop level in the SM

Effective Hamiltonian description

$$\mathcal{H}_{\text{eff}} = -4\frac{G_F}{\sqrt{2}}V_{tb}V_{ts}^*\left[\dots + C_9\mathcal{O}_9 + C_{10}\mathcal{O}_{10}\right]$$

 $\mathcal{O}_{9} = (\bar{s}\gamma^{\mu}P_{L}b) (\bar{\ell}\gamma_{\mu}\ell)$ $\mathcal{O}_{10} = (\bar{s}\gamma^{\mu}P_{L}b) (\bar{\ell}\gamma_{\mu}\gamma_{5}\ell)$

• Lepton Flavour Universality ratios

$$R_{K^{(*)}} = \frac{\mathcal{B}(B \to K^{(*)}\mu^+\mu^-)}{\mathcal{B}(B \to K^{(*)}e^+e^-)} \quad \sim 2.1-3.1\sigma$$

• Angular Observables in $B \to K^* \mu^+ \mu^-$

$$P_5' = \frac{S_5}{\sqrt{F_L(1-F_L)}} \quad \sim 3\sigma$$

• LFU in $\Lambda_b \to p K \ell^+ \ell^-$ decays

$$R_{pK}^{-1} = 1.17_{-0.16}^{+0.18} \pm 0.17$$





Approach to the anomalies

SM predictions:

- investigate SM predictions for the observables of interest
- provide predictions for new channels/observables to get complementary information

Model building:

• the effective scale of NP which could explain FCNC and CC anomalies is rather different

$$\Lambda \sim egin{cases} {
m few} imes {
m TeV} & {
m for} \ {
m CC} \ {
m few} imes {
m 10} \ {
m TeV} & {
m for} \ {
m FCNC} \end{cases}$$

• from EFT analysis we see that

[<u>MB</u>, Isidori, Trifinopoulos Buttazzo,Greljo,Isidori,Marzocca]

- FCNC and CC anomalies are addressed as a coherent pattern where NP is mainly coupled to the 3rd generation
- a mechanism is required to suppress the couplings with light generations
- possible links to the structure of Yukawa couplings

Non-trivial flavour structure needed

Are these signals of NP?

- LHCb strengthened the significance in R_K with full Run 2 statistics
- Updates for other observables are expected this year
- New g-2 results also hint to some discrepancies concerning muons
- Belle II is taking data
- Both ATLAS and CMS are building an interesting *B*-physics program

We need to keep looking

A Froggatt-Nielsen based idea

EFT for New Physics

Let's take the following example:

$$\frac{1}{\Lambda^2} [\mathcal{C}_{ql}^{(1)}]^{ij\alpha\beta} (\bar{Q}_i \gamma_\mu Q_j) (\bar{L}_\alpha \gamma^\mu L_\beta)$$

How large can $[\mathcal{C}_{al}^{(1)}]^{ij\alpha\beta}$ be?

- A flavour symmetry enhances/suppresses the various entries
 - an example is the $U(2)^5$ flavour symmetry

[R. Barbieri, G. Isidori, J. Jones-Perez, P. Lodone, and D. M. Straub, 2011]

- Make assumption on how flavour is broken for the NP
 - Minimal Flavour Violation: the Yukawa are the only source of flavour breaking
 [G. D'Ambrosic, G. F. Giudice, G. Isidori, and A. Strumia, 2002]

How to generalise the MFV idea?

Our approach

- No assumption about how flavour is broken in the NP sector
- We start from bilinears constructed with SM fermion fields only
- We list all the possible spurions according to
 - the SM gauge group
 - the SM (unbroken) flavour symmetry
 - tree level exchange only

Dirac bilinear	$SU(3) \times SU(2) \times U(1)$	Flavour spurion	\mathcal{G}_f	$(\Delta B; \Delta L)$
$\bar{Q}\gamma^{\mu}L$	$(3,1\oplus 3,rac{2}{3})$	Δ_{QL}	$(3, 1, 1)(\bar{3}, 1)$	$(\frac{1}{3}; -1)$
$\bar{d}\gamma^{\mu}e$	$(3, 1, \frac{2}{3})$	Δ_{DE}	$(1,1,3)(1,\bar{3})$	$(\frac{1}{3}; -1)$
$\bar{Q}^{c}L$	$(\bar{3},1\oplus 3,rac{1}{3})$	S_{QL}	$(\bar{3},1,1)(\bar{3},1)$	$(-\frac{1}{3};-1)$
$ar{u}^c e$	$(\bar{3}, 1, \frac{1}{3})$	S_{UE}	$(1, \bar{3}, 1)(1, \bar{3})$	$(-\frac{1}{3};-1)$

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How do we consistently introduce a power counting?

The Froggatt-Nielsen mechanism

[Froggatt, Nielesen, '79]

- The main goal of FN mechanism is to explain the mass hierarchies between quarks
- The main point is enlarging the gauge group adding an additional U(1) and extra heavy fermions
- The SM fermions are charged under the $U(1),\,{\rm and}$ the charges are generation dependent
- The U(1) is spontaneously broken by a new scalar field $\phi_{\sf FN}$
- The Yukawa scale as the parameter $\lambda = \langle \phi_{FN} \rangle / \Lambda_{FN} \ll 1$



Froggatt-Nielsen power counting

SM fields charges

According to the assignment of charges of the SM fields, we have:

$$\begin{split} (Y_U)_{ij} &\sim \lambda^{|b_Q^i - b_U^j|} \\ (Y_D)_{ij} &\sim \lambda^{|b_Q^i - b_D^j|} \\ (Y_E)_{ij} &\sim \lambda^{|b_L^i - b_D^j|} \end{split}$$

In order to reproduce the CKM

$$(V_{\mathsf{CKM}})_{ij} = (V_{U_L}^{\dagger} V_{D_L})_{ij} \sim \lambda^{|b_Q^i - b_Q^j|} \qquad \lambda = \sin^2 \theta_c \sim 0.2$$

Constraining FN charges

There is no first principle which determines the FN charges.

Quarks

- CKM \Rightarrow set the charges of the left-handed doublets
- quark masses \Rightarrow we reduce the number of possible charges to two values for each right-handed quark

Lepton

- lepton masses \Rightarrow constraining only differences of left-handed and right-handed charges

More pheno constraints are needed

- Using low energy pheno implies choosing a particular set of spurions to describe data
- A driving role is played by B anomalies

Which model to choose?

1) Colourless Mediators

• W' + Z': tension with high- p_T searches with $\tau_L \tau_L$ or $b_L b_L$ final states

[Greljo,Isidori,Marzocca,'15]

• Solutions with right-handed neutrino are motivated and help to ease the tension with $b \to c \tau \nu$ data but they are most likely to be excluded from high- p_T

[Greljo, Camalich, Ruiz-Álvarez,'18]

2) Leptoquark Mediators



- U_1 vector leptoquark is the favoured, but requires UV completion
- S_1+S_3 scenario is also viable

A U_1 simplified model

$$\begin{split} \mathcal{L}_{U_1} &= \Delta_{QL}^{i\alpha} \bar{Q}^i \gamma_{\mu} L^{\alpha} U_1^{\mu} + \Delta_{DE}^{i\alpha} \bar{d}_R^i \gamma_{\mu} e_R^{\alpha} U_1^{\mu} + \text{h.c.} \\ & \uparrow \\ c_{QL}^{i\alpha} \lambda^{|b_Q^i - b_L^{\alpha}|} \qquad \uparrow \\ c_{DE}^{i\alpha} \lambda^{|b_D^i - b_E^{\alpha}|} \end{split}$$

$$\begin{split} \mathcal{L}_{\text{eff}} &= \mathcal{L}_{\text{SM}} - \frac{1}{\Lambda^2} \bigg\{ [\mathcal{C}_{lq}^{(3)}]^{ij\alpha\beta} (\bar{Q}^i \gamma^\mu \sigma^a Q^j) (\bar{L}^\alpha \gamma_\mu \sigma^a L^\beta) + [\mathcal{C}_{lq}^{(1)}]^{ij\alpha\beta} (\bar{Q}^i \gamma^\mu Q^j) (\bar{L}^\alpha \gamma_\mu L^\beta) \\ &+ [\mathcal{C}_{ed}]^{ij\alpha\beta} (\bar{d}^i_R \gamma^\mu d^j_R) (\bar{e}^\alpha_R \gamma_\mu e^\beta_R) + [\mathcal{C}_{ledq}]^{ij\alpha\beta} (\bar{Q}^i_L d^j_R) (\bar{e}^\alpha_R L^\beta) + \text{h.c.} \bigg\} \,, \end{split}$$

$$\begin{array}{ll} \text{Tree-level matching} & \text{avoids tree-level} \\ [\mathcal{C}_{lq}^{(1)}]^{ij\alpha\beta} = [\mathcal{C}_{lq}^{(3)}]^{ij\alpha\beta} = + \Delta_{QL}^{i\alpha} \Delta_{QL}^{*j\beta}, & \xleftarrow{} \text{contraints from} \\ [\mathcal{C}_{leqd}]^{ij\alpha\beta} = -2 \, \Delta_{QL}^{i\alpha} \Delta_{DE}^{*j\beta}, & d_i \to d_j \nu \bar{\nu} \text{ modes} \\ [\mathcal{C}_{ed}]^{ij\alpha\beta} = + \Delta_{DE}^{i\alpha} \Delta_{DE}^{*j\beta}. \end{array}$$

Fit results

[MB, Catà, Feldmann, JHEP 2001 (2020) 067]

Scenario	b_L^1	b_D^1	b_D^2	b_D^3	b_E^1	b_E^2	b_E^3	\mathcal{C}_{QL}	\mathcal{C}_{DE}
1a	-2	10	-3	-3	-11	4	-2	1.10 ± 0.07	0.72 ± 0.22
1b		10	7	-3	-11	-6	-2	1.07 ± 0.08	6.4 ± 1.8
1c		10	7	3	-11	-6	4	1.07 ± 0.08	7.2 ± 2.1
1d		-4	-3	-3	-11	4	-2	1.10 ± 0.09	0.74 ± 0.28
1e		-4	-3	-3	7	4	-2	1.10 ± 0.09	0.73 ± 0.28
2a	+8	10	-3	-3	17	4	-2	1.10 ± 0.10	0.74 ± 0.26
2b		10	7	-3	$^{-1}$	-6	-2	1.09 ± 0.09	0.42 ± 0.25
2c		10	7	-3	17	-6	-2	1.08 ± 0.09	4.6 ± 1.4
2d		10	7	3	$^{-1}$	-6	4	1.07 ± 0.10	7.1 ± 2.0
2e		10	7	3	17	-6	4	1.08 ± 0.09	4.8 ± 1.3
2f		-4	-3	-3	17	4	-2	1.10 ± 0.09	0.74 ± 0.28

Common features:

- $b \rightarrow s \mu^+ \mu^-$ dominated by left-handed operator
- $b \rightarrow s e^+ e^-$ is negligible
- B_c lifetime is not spoiled

•
$$\Delta \chi^2 = \chi^2 |_{\text{SM}} - \chi^2 |_{\text{NP}} \sim 30$$

Fit results



- High correlation between R_D and $\bar{B}_s \to \tau^+ \tau^-$ due to sizeable scalar contributions
- Better measurements of $\bar{B}_s\to\tau^+\tau^-$ provide a strong indication on the chirality of the NP operators in $R_{D^{(*)}}$

Fit results



- LFV B decays constitute an important signature of this scenarios
- For both the $\bar{B}_{d,s}$ modes, the final state with a τ^+ is enhanced with respect to final state with a τ^-
- Especially for the \bar{B}_s initial state, the predictions approach the current experimental limit

The $S_1 + S_3$ solution

- Scalars allow a full 1-loop analysis
- · Left-handed, scalar and tensor couplings are generated
- No protection in $B \to K^{(*)} \nu \bar{\nu}$ and $K \to \pi \nu \bar{\nu}$ modes
- Viable solutions are very similar from the phenomenological point of view
 - Due to the constraining power of LFV lepton decays

Results





$$\begin{aligned} \mathcal{B}(\tau \to \mu \gamma)|_{\exp} &< 4.4 \times 10^{-8} \\ \frac{\mathcal{B}(\tau \to \mu \gamma)}{(c_L^{32})^2 (c_R^{33})^2} \in [0.420, 2.38] \times 10^{-5} \end{aligned}$$

- Tuning in c_L^{32} and c_R^{33} si required
- Reproducing g-2 would falsify the power counting scheme
 - less constraining scenarios can explain the g-2 [Gherardi, Marzocca, Venturini, '20]

Summary

- Flavour physics allows to probe at high accuracy physics within and beyond the Standard Model
- Recent data show some (first?) hints of deviations with respect to the Standard Model expectations
- Model building shows that extensions based on a vector and scalar leptoquarks can indeed address the anomalies
 - Froggatt-Nielsen as a power counting gives a good description of low energy data and provides interesting predictions for LFV decays

Appendix

Inclusive vs Exclusive determination of V_{cb}

Inclusive determination of V_{cb} :

$$V_{cb}^{\rm incl} = (42.00 \pm 0.65) \times 10^{-3}$$

[P. Gambino, C. Schwanda, 1307.4551 A. Alberti, P. Gambino, K. J. Healey, S. Nandi, 1411.6560 P. Gambino, K. J. Healey, S. Turczyk, 1606.06174]

Exclusive determination of V_{cb} : depends on the data set used and the assumptions for the hadronic parameters

•
$$B \to D\ell\bar{\nu}: V_{cb}^{\text{excl}}|_{BD} = (40.49 \pm 0.97) \times 10^{-3}$$

[P.Gambino, D.Bigi, 1606.08030, + · · ·]

• $B \to D^* \ell \bar{\nu}$: not a general consensus yet, but systematically lower $V_{cb}^{\text{excl}}|_{BD}$ [P.Gambino, M.Jung, S.Schacht, '19 F.Bernlochner, Z. Ligeti, M. Papucci, D. Robinson,'17 + · · · ·]

• $B_s \rightarrow D_s^{(*)} \ell \bar{\nu}$: new extraction by LHCb \Rightarrow still large uncertainties [2001.03225]



[M. Jung, D. Straub, 1801.01112]



HQET in a nutshell

- In HQET it is convenient to work with velocities instead of momenta
- Instead of q^2 we use the dimensionless variable $w = v_B \cdot v_{D^*}$
- When the B(b) decays such that the $D^*(c)$ is at rest in the B(b) frame

$$v_B = v_{D^*} \Rightarrow w = 1$$

- The brown muck doesn't realise that anything changed
- At zero recoil, the leading IW function is normalized

$$\xi(w=1) = 1$$

V_{cb} and NP

[Jung, Straub 2018]

- If we allow LFUV between μ and electrons

$$\tilde{V}_{cb}^{\ell} = V_{cb} (1 + C_{V_L}^{\ell})$$

• Fitting data from Babar and Belle

$$\frac{\tilde{V}^e_{cb}}{\tilde{V}^{\mu}_{cb}} = 1.011 \pm 0.012$$



$$\frac{1}{2}(\tilde{V}_{cb}^e + \tilde{V}_{cb}^{\mu}) = (3.87 \pm 0.09)\%$$
$$\frac{1}{2}(\tilde{V}_{cb}^e - \tilde{V}_{cb}^{\mu}) = (0.022 \pm 0.023)\%$$

BGL vs CLN

• Both BGL and CLN parametrisation of form factors rely on using unitarity arguments.

[Boyd, Grinstein, Lebed, '95

Caprini, Neubert, Lellouch, '98]

- CLN relies on HQET.
- Unfolded distributions from Belle allowed to repeat an independent fit.



BGL has a more conservative error Provides better agreement with inclusive V_{cb}

BGL vs CLN parametrisations

<u>CLN</u>

[Caprini, Lellouch, Neubert, '97]

- Expansion of FFs using HQET
- $1/m_{b,c}$ corrections included
- Expansion of leading IW function up to 2nd order in (w-1)

BGL

[Boyd, Grinstein, Lebed, '95]

- · Based on analyticity of the form factors
- Expansion of FFs using the conformal variable z
- Large number of free parameters

Results: unitary bounds





Unitarity Bounds



$$= i \int d^4x \, e^{iqx} \langle 0|T\left\{j_{\mu}(x), j_{\nu}^{\dagger}(0)\right\}|0\rangle = (g_{\mu\nu} - q_{\mu}q_{\nu})\Pi(q^2)$$

- If $q^2 \ll m_b^2$ we can calculate $\Pi(q^2)$ via perturbative techniques $\Rightarrow \chi(0)$
- Dispersion relations link ${\rm \,Im}\left(\Pi(q^2)\right)$ to sum over matrix elements

$$\sum_{i} |F_i(0)|^2 < \chi(0)$$

[Boyd, Grinstein,Lebed, '95 Caprini, Lellouch, Neubert, '97]

- The sum runs over <u>all</u> possible states hadronic decays mediated by a current $\bar{c}\Gamma_{\mu}b$
 - The unitarity bounds are more effective the most states are included in the sum
 - The unitarity bounds introduce correlations between FFs of different decays
 - $B_s \to D_s^{(*)}$ decays are expected to be of the same order of $B_{u,d} \to D_{u,d}^{(*)}$ decays due to $SU(3)_F$ simmetry

The *z*-expansion

The continuum limit needs a parametrisation of the form factors \Rightarrow *z*-expansion



- in the complex plane form factors are real analytic functions
- q^2 is mapped onto the conformal complex variable z

$$z(q^2, t_0) = \frac{\sqrt{t_+ - q^2} - \sqrt{t_+ - t_0}}{\sqrt{t_+ - q^2} + \sqrt{t_+ - t_0}}$$

 $t_+ = (m_{H_{\rm in}} + m_{H_{\rm fin}})^2$ and t_0 can be chosen to minimise $z_{\rm max}$

- q^2 is mapped onto a disk in the complex z plane, where $|z(q^2,t_0)|<1$
- being z small, we can expand any form factor in z and truncate the series at relatively low orders

Non-leptonic $\bar{B}_s \to D_s^{+(*)}\pi$ and $\bar{B} \to D^{+(*)}K$ decays

- Non-leptonic $\bar{B}_s\to D_s^{+(*)}\pi$ and $\bar{B}\to D^{+(*)}K$ are very clean predictions in QCDF
- Updated results on $\bar{B}_q \to D_q$ form factors and V_{cb} drastically reduce the uncertainties [MB, Gubernari, Huber, Jung, van Dyk, '20]
- Next-to-leading power $1/m_b$ corrections are estimated to be small [MB, Gubernari, Huber, Jung, van Dyk, '20]
- With the current experimental measurement we signal a $4.4\,\sigma$ discrepancy [MB, Gubernari, Huber, Jung, van Dyk, '20]

Non-leptonic $\bar{B}_s \to D_s^{+(*)}\pi$ and $\bar{B} \to D^{+(*)}K$ decays What is responsible of this deviation?

- QCDF predictions seem rather stable
- New Physics could be an option, but it is strongly disfavoured by flavour bounds combined with high-p_T bounds [MB, Greljo, Marzocca, 2103.10332]

