



# MAJORANA NEUTRINO MASSES BY D-BRANE INSTANTON EFFECTS AND MODULAR TRANSFORMATIONS

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# Introduction

## Standard Model is not Perfect!

It contains many free parameters (not including electric charges) to be determined.

It cannot include gravity (at least as a unified gauge theory).

It cannot explain the mechanism for the (left-handed) neutrino masses.



D-brane instanton effect and See-saw mechanism

# Outlook

## Majorana neutrino masses by D-brane instanton effects in magnetized orbifold models

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How do neutrinos get their masses?

In this paper

D-brane instanton effects



RH Majorana neutrino masses



LH neutrino masses

See-saw  
mechanism

Our current study

# See-saw mechanism

Introduce the right-handed neutrinos

Standard model gauge invariant  
& renormalizable terms

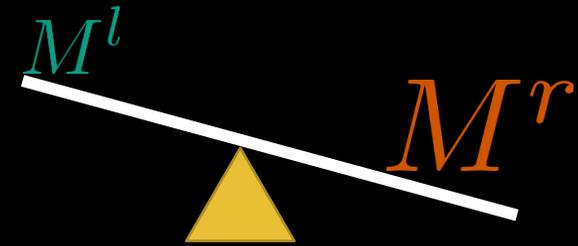
$$-\mathcal{L} = M_{Dij} \bar{\nu}_{Ri} \nu_{Lj} + \frac{1}{2} M_{Nij} \bar{\nu}_{si} \nu_{Rj}^c + \text{h.c.}$$

$\nu_L$ : LH neutrinos (active neutrinos)  
 $\nu_R$ : RH neutrinos (sterile neutrinos)

$$= \frac{1}{2} (\bar{\nu}_L^c, \bar{\nu}_R) \begin{pmatrix} 0 & M_D^T \\ M_D & M_N \end{pmatrix} \begin{pmatrix} \nu_L \\ \nu_R^c \end{pmatrix} + \text{h.c.}$$

diagonalize

$$-\mathcal{L} = \frac{1}{2} \bar{\nu}_l M^l \nu_l + \frac{1}{2} \bar{N} M^r N$$



$$M^r \simeq V_r^T M_N V_r$$

$$M^l \simeq -V_l^T \boxed{M_D^T M_N^{-1} M_D} V_l$$

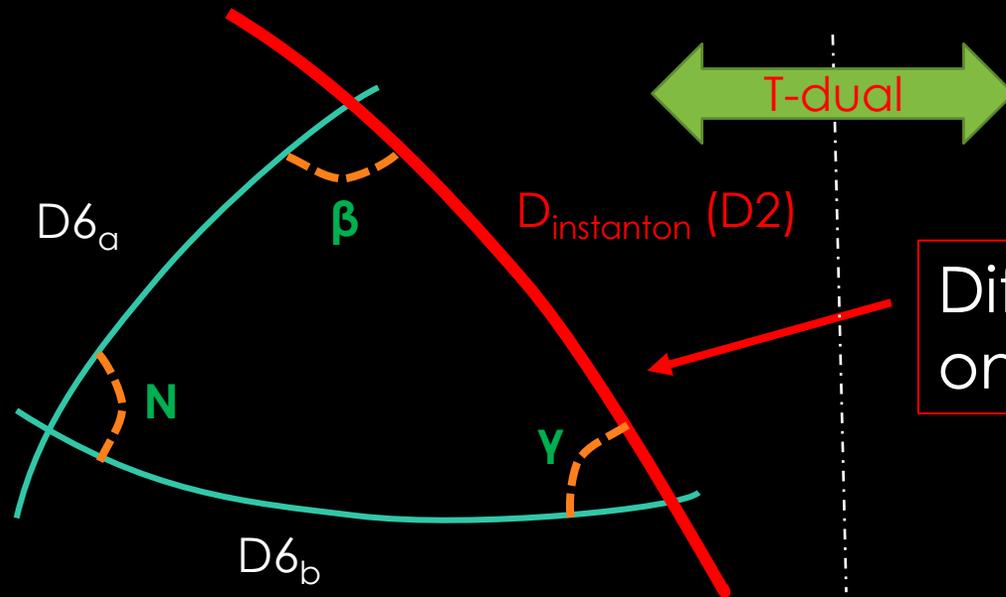
LH neutrino masses

# Method

We will see some specific calculations for the right-handed Majorana neutrino masses deduced from the D-brane instanton effects.

In intersecting D-brane model

The intersecting angles correspond to the magnetic fluxes



Differences of Fluxes on the D-branes

In magnetized D-brane model

# Procedure (1/4)

The Majorana mass terms due to the D-brane instanton effects

$$e^{-S_{cl}(D_{inst}, M_{inst})} \int d^2\beta d^2\gamma e^{-\sum_{ija} d_a^{ij} \beta_i \gamma_j N_a},$$

$\beta_i, \gamma_j$  : fermion zero-modes on  $D_{inst}$   
 $N_a$  : neutrinos

$$\int d^2\beta d^2\gamma e^{-\sum_{ija} \beta_i \gamma_j N_a} = N_a N_b (\varepsilon_{ij} \varepsilon_{kl} d_a^{ik} d_b^{jl}).$$

Grassmannian integral

$$M_{ab} = e^{-S_{cl}(D_{inst}, M_{inst})} m_{ab}, \quad m_{ab} = (\varepsilon_{ij} \varepsilon_{kl} d_a^{ik} d_b^{jl}).$$

Mass matrices

# Procedure (2/4)

$$e^{-S_{cl}(D_{inst}, M_{inst})} \int d^2\beta d^2\gamma e^{-\sum_{ija} d_a^{ij} \beta_i \gamma_j N_a},$$

$$\int d^2\beta d^2\gamma e^{-\sum_{ija} \beta_i \gamma_j N_a} = N_a N_b (\varepsilon_{ij} \varepsilon_{kl} d_a^{ik} d_b^{jl}).$$

$$M_{ab} = e^{-S_{cl}(D_{inst}, M_{inst})} m_{ab}, \quad m_{ab} = (\varepsilon_{ij} \varepsilon_{kl} d_a^{ik} d_b^{jl}).$$

The formula we used to calculate each d-matrices

$$d_{ij}^k = \sigma_{abc} g \int dz d\bar{z} \psi_{M_1}^{(i+\alpha_1, \alpha_\tau)}(z) \psi_{M_2}^{(j+\alpha'_1, \alpha'_\tau)}(z) (\psi_{M_3}^{(k+\alpha''_1, \alpha''_\tau)}(z))^*$$

$$= c \sum_{m=0}^{|M_3|-1} \vartheta \left[ \begin{matrix} M_2(i+\alpha_1) - M_1(j+\alpha'_1) + M_1 M_2 m \\ M_1 M_2 M_3 \\ 0 \end{matrix} \right] (M_1 \alpha'_\tau - M_2 \alpha_\tau, \tau M_1 M_2 M_3) \delta_{(i+\alpha_1)+(j+\alpha'_1)+M_1 m, (k+\alpha''_1)+M_3 l}$$

This has nonzero values only when

$$M_1 + M_2 = M_3, \quad \alpha_1 + \alpha'_1 = \alpha''_1, \quad \alpha_\tau + \alpha'_\tau = \alpha''_\tau$$

$$\eta_N^{(n)} = \vartheta \left[ \begin{matrix} \frac{N}{n} \\ n \\ 0 \end{matrix} \right] (0, n\tau)$$

# Procedure (3/4)

The wave functions on the  $T_2/Z_2$ -orbifold

$$\begin{aligned} \psi_{T^2/Z_2^m}^{(j+\alpha_1, \alpha_2), |M|}(z) &= \mathcal{N} \left( \psi_{T^2}^{(j+\alpha_1, \alpha_2), |M|}(z) + (-1)^m \psi_{T^2}^{(j+\alpha_1, \alpha_2), |M|}(-z) \right) \\ &= \mathcal{N} \left( \psi_{T^2}^{(j+\alpha_1, \alpha_2), |M|}(z) + (-1)^{m-2\alpha_2} \psi_{T^2}^{(|M|-(j+\alpha_1), \alpha_2), |M|}(z) \right) \end{aligned}$$

(m: parity)

where

$$\psi_{T^2}^{(j+\alpha_1, \alpha_\tau), |M_{12}|}(z) = \left( \frac{|M_{12}|}{\mathcal{A}^2} \right)^{1/4} e^{2\pi i \frac{(j+\alpha_1)\alpha_\tau}{|M_{12}|}} e^{\pi i |M_{12}| z \frac{\text{Im} z}{\text{Re} \tau}} \vartheta \left[ \begin{array}{c} \frac{j+\alpha_1}{|M_{12}|} \\ -\alpha_\tau \end{array} \right] (|M_{12}| z, |M_{12}| \tau)$$

$$\vartheta \left[ \begin{array}{c} a \\ b \end{array} \right] (\nu, \tau) = \sum_{l \in \mathbb{Z}} e^{\pi i (a+l)^2 \tau} e^{2\pi i (a+l)(\nu+b)}.$$

$$\eta_N^{(n)} = \vartheta \left[ \begin{array}{c} \frac{N}{n} \\ 0 \end{array} \right] (0, n\tau)$$

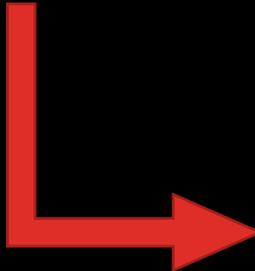
$$\mathcal{N} = \begin{cases} 1/2 & (j + \alpha_1 = 0, |M|/2) \\ 1/\sqrt{2} & (\text{otherwise}) \end{cases}$$

(arXiv:2103.07147)

# Procedure (4/4)

To have 3 generations for the RH neutrinos,  $M_N$ 's must be  
 $M_N = 4, 8, 5, 7$ .

The possible values of the fluxes



$M_N$	$\beta$	$\gamma$
4	2	2
8	4	4
	2	6
	3	5
5	2	3
7	3	4
	2	5



⋮

We write as

2-2-4 model

4-4-8 model

etc...

⋮

# Result with $M_N=4$ (1/3)

The mass matrix

$$m^{(2-2-4)} = c_{(2-2-4)}^2 \begin{pmatrix} X_3 & 0 & X_1 \\ 0 & -\sqrt{2}X_2 & 0 \\ X_1 & 0 & X_3 \end{pmatrix}$$

where

$$\begin{aligned} X_1 &= (\eta_0 + \eta_8)^2 + (\eta_4 + \eta_{12})^2, \\ X_2 &= 2\sqrt{2}(\eta_2 + \eta_{10})^2, \\ X_3 &= 2(\eta_0 + \eta_8)(\eta_4 + \eta_{12}). \end{aligned}$$

$$\eta_N = \vartheta \begin{bmatrix} \frac{N}{16} \\ 0 \end{bmatrix} (0, 16\tau).$$

The diagonalization matrix

$$P = \begin{pmatrix} \frac{1}{\sqrt{2}} & 0 & \frac{-1}{\sqrt{2}} \\ 0 & 1 & 0 \\ \frac{1}{\sqrt{2}} & 0 & \frac{1}{\sqrt{2}} \end{pmatrix}$$

The rotation by  $45^\circ$   
(bi-maximal)

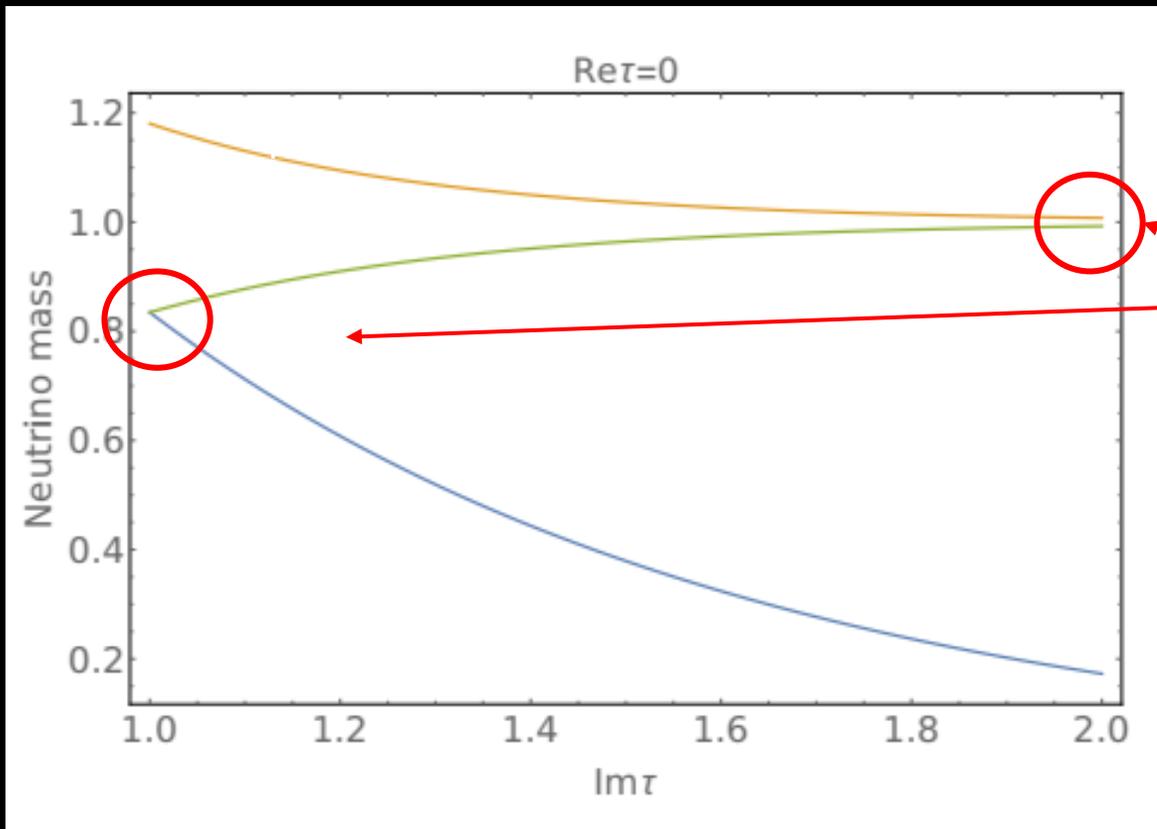
The eigenvalues

$$\begin{aligned} \lambda_1 &= c_{(2-2-4)}^2 (X_1 + X_3), \\ \lambda_2 &= -c_{(2-2-4)}^2 \sqrt{2}X_2, \\ \lambda_3 &= c_{(2-2-4)}^2 (X_3 - X_1) \end{aligned}$$

# Result with $M_N=4$ (2/3)

(2-2-4 model)

The plots of the eigenvalues of the masses as the functions of  $\text{Im } \tau$ .



These degeneracies can be explained by the Modular Transformations!

(arXiv:2103.07147)

# Modular transformations (1/2)

Modular transformations send all lattice points on  $T^2$  to lattice points.

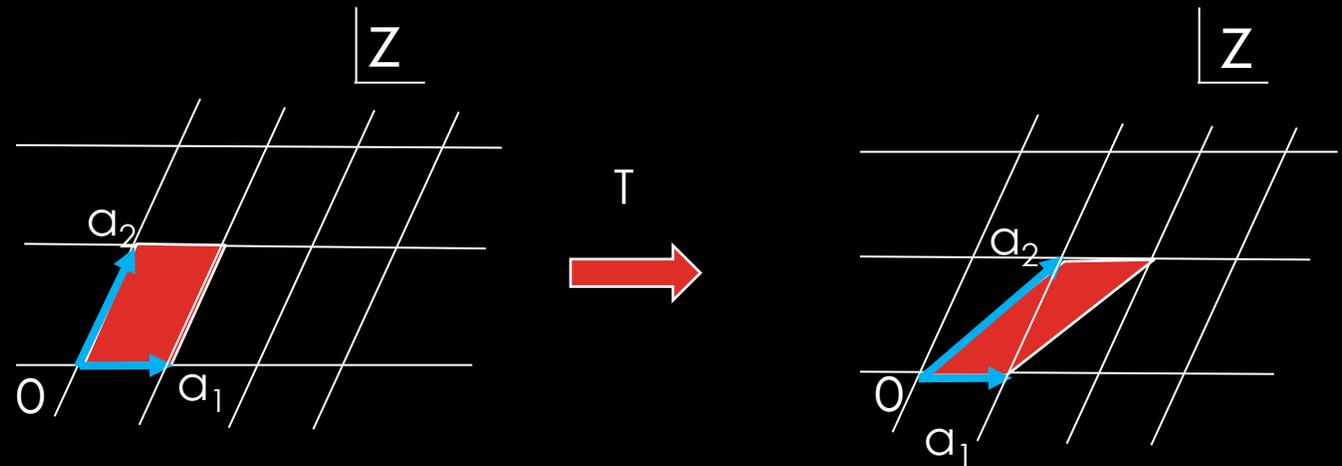
$$\begin{pmatrix} \alpha'_2 \\ \alpha'_1 \end{pmatrix} = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} \alpha_2 \\ \alpha_1 \end{pmatrix} \quad (a, b, c, d \in \mathbb{Z}, ad - bc = 1)$$

Modulus  $\tau := \frac{\alpha_2}{\alpha_1}$

The generators (T, S)

T-transformations  $\tau \rightarrow \tau + 1$

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$$



# Modular transformations (1/2)

Modular transformations send all lattice points on  $T^2$  to

$$\begin{pmatrix} \alpha'_2 \\ \alpha'_1 \end{pmatrix} = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} \alpha_2 \\ \alpha_1 \end{pmatrix} \quad (a, b, c, d \in \mathbb{Z}, ad - bc = 1)$$

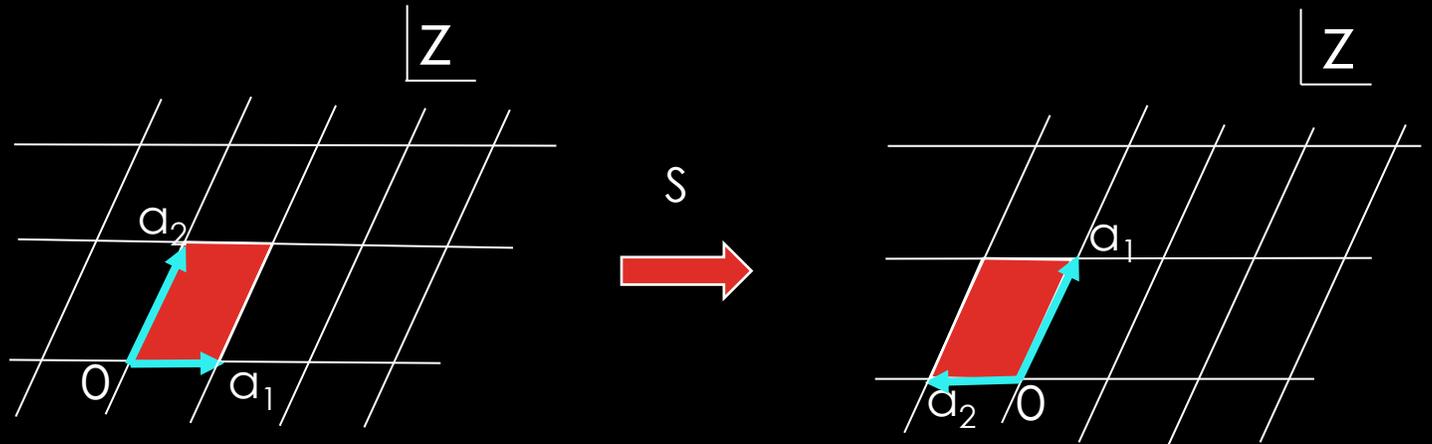
Modulus  $\tau := \frac{\alpha_2}{\alpha_1}$

The generators (T, S)

S-transformations

$$\tau \rightarrow -\frac{1}{\tau}$$

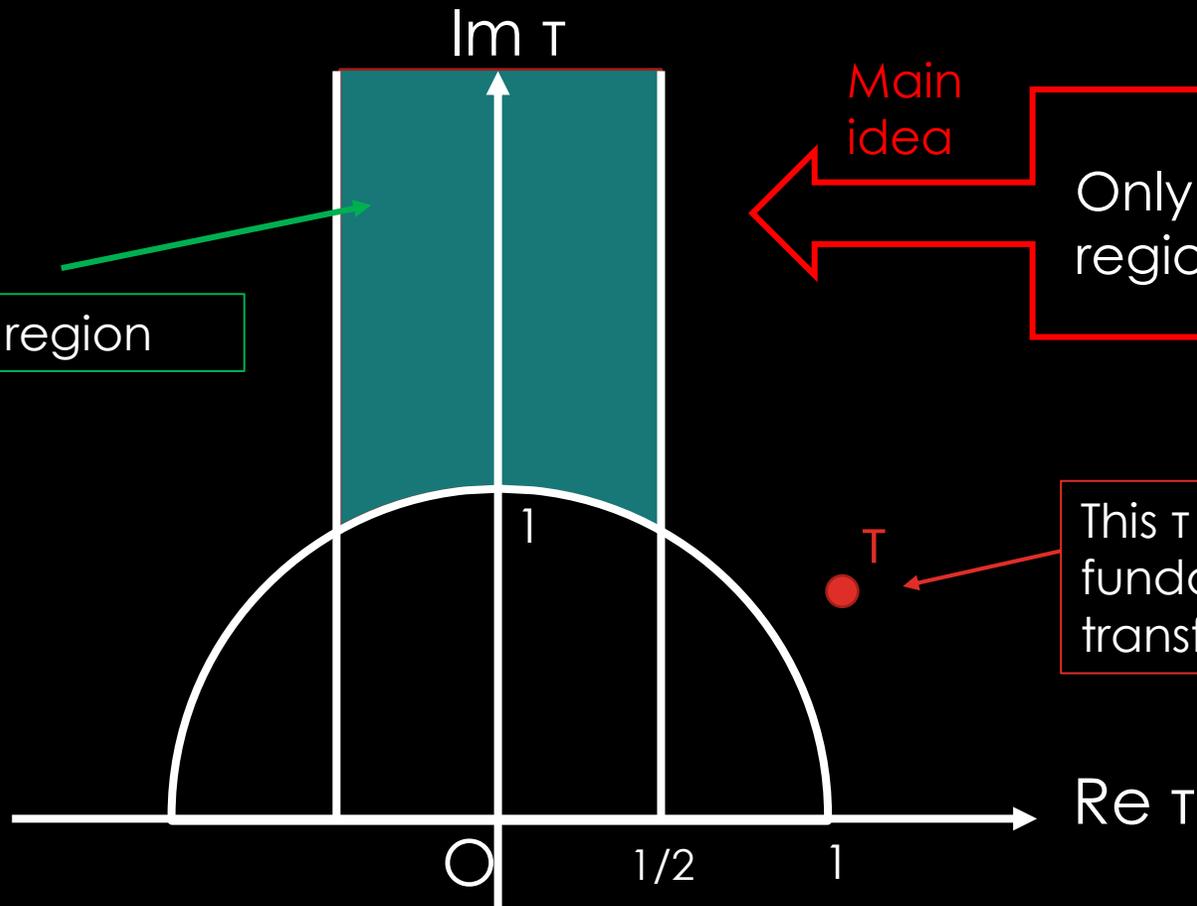
$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$$



# Modular transformations (2/2)

The fundamental region  $F$  defined as

$$F := \left\{ \tau \in \mathbb{C} \mid \text{Im}\tau > 0, |\text{Re}\tau| \leq \frac{1}{2}, |\tau| \geq 1 \right\}$$



The fundamental region

Main idea

Only  $\tau$ 's which come from this region construct different tori.

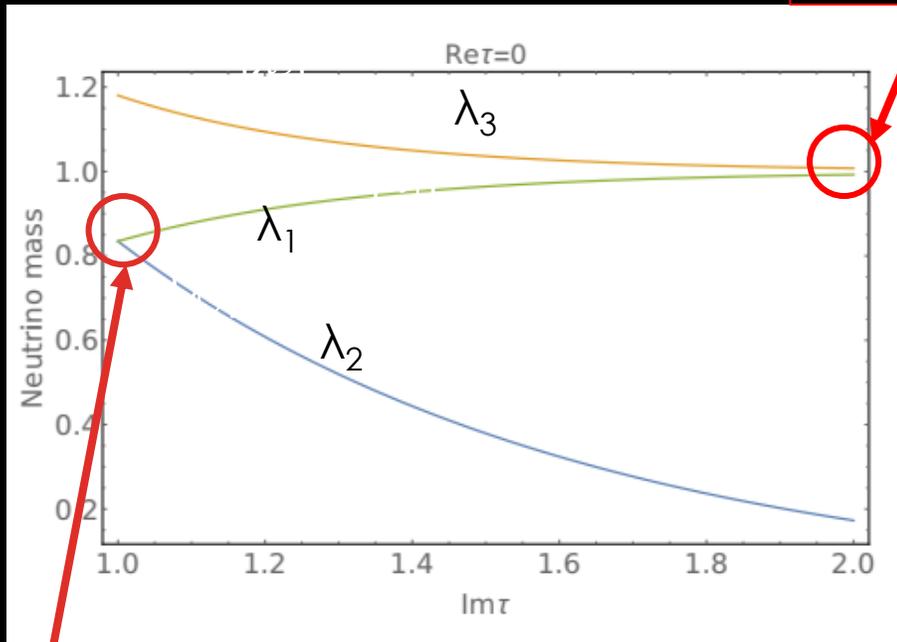
This  $\tau$  can be transformed into the fundamental region by a modular transformation.

(2-2-4 model)

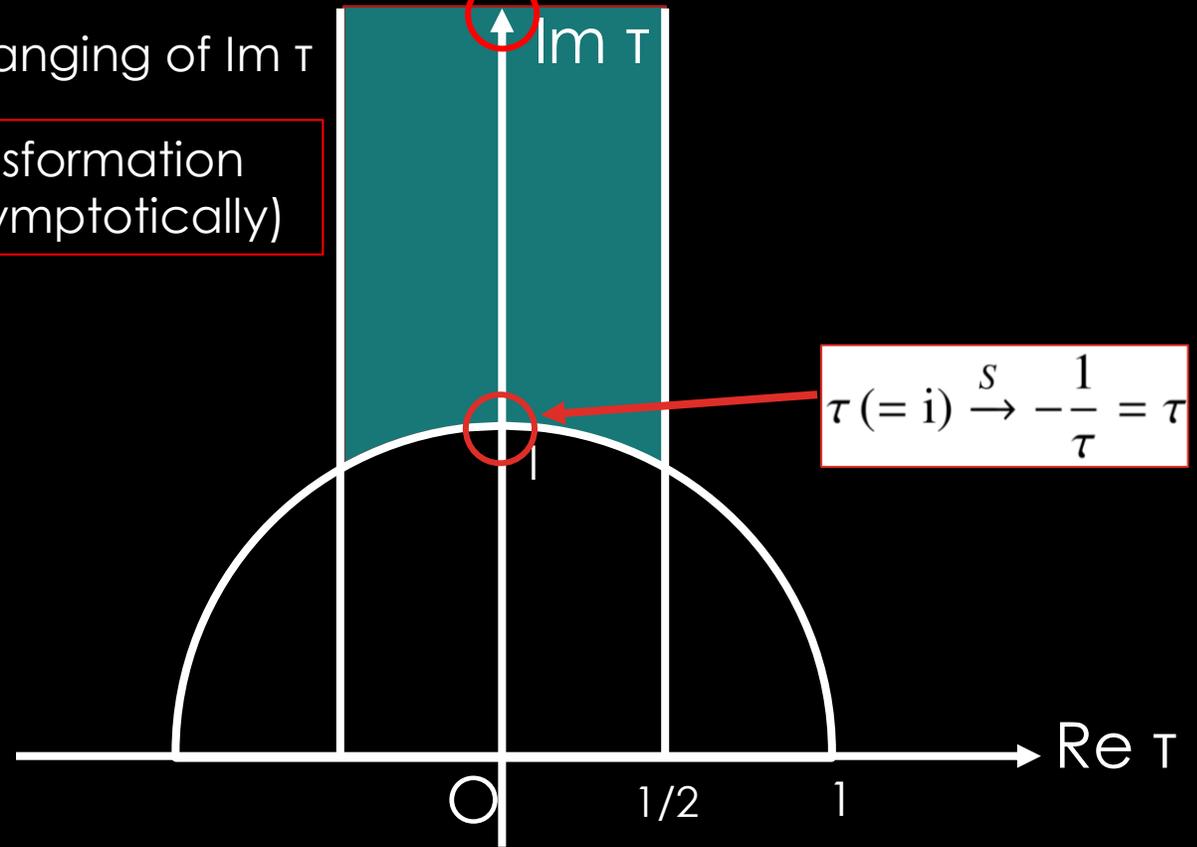
# Result with $M_N=4$ (3/3)

Assume that the contribution from  $\tau \rightarrow \tau+1$  can be ignored because  $\text{Im } \tau = \infty$

The behavior of the mass eigenvalues as the changing of  $\text{Im } \tau$



From the T-transformation invariance (asymptotically)



$$\tau (= i) \xrightarrow{S} -\frac{1}{\tau} = \tau$$

From the S-transformation invariance

(arXiv:2103.07147)

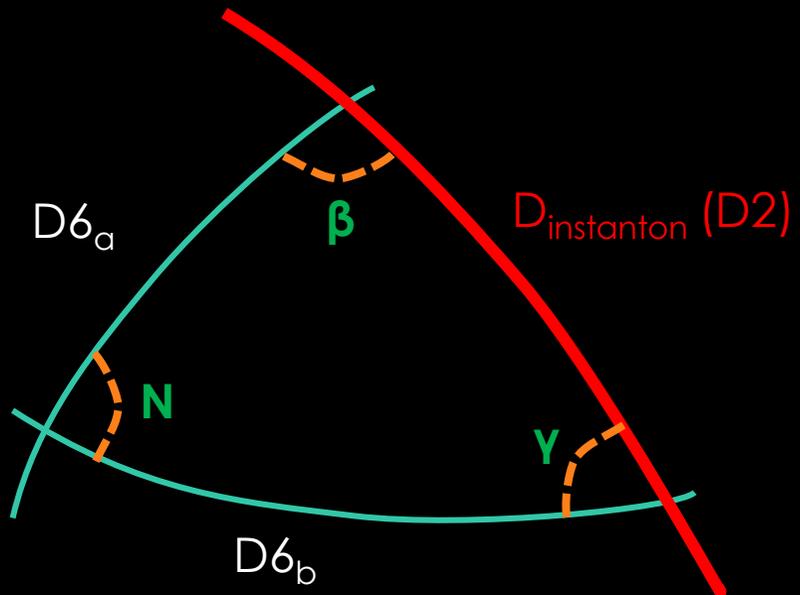
$$\begin{pmatrix} \lambda_1 \\ \lambda_2 \\ \lambda_3 \end{pmatrix} \xrightarrow{S} \begin{pmatrix} & 1 & \\ 1 & & \\ & & 1 \end{pmatrix} \begin{pmatrix} \lambda_1 \\ \lambda_2 \\ \lambda_3 \end{pmatrix}$$

$$\begin{pmatrix} \lambda_1 \\ \lambda_2 \\ \lambda_3 \end{pmatrix} \xrightarrow{T} \begin{pmatrix} & & -1 \\ & i & \\ -1 & & \end{pmatrix} \begin{pmatrix} \lambda_1 \\ \lambda_2 \\ \lambda_3 \end{pmatrix}$$

# Summary

One of the problems in Standard Model is that the model does not give us the masses of neutrinos.

We have explored one possibility which exploits the “D-brane instanton effects”.



We have also seen that the RH Majorana neutrino masses of our calculation is consistent with the modular symmetric discussion.

# Future Work

Unfortunately, the Majorana neutrino masses, which we have studied, are not observable.



We need to investigate observable quantities, e.x. PMNS matrix, the mixing angles of the leptons etc....

$M^r$



$M^l$



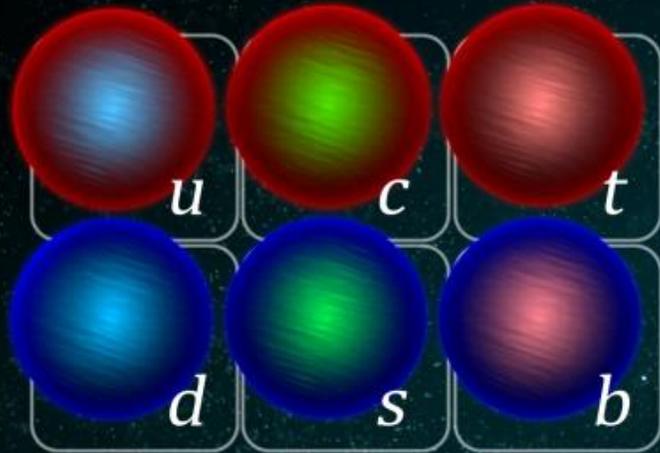
$M^r$



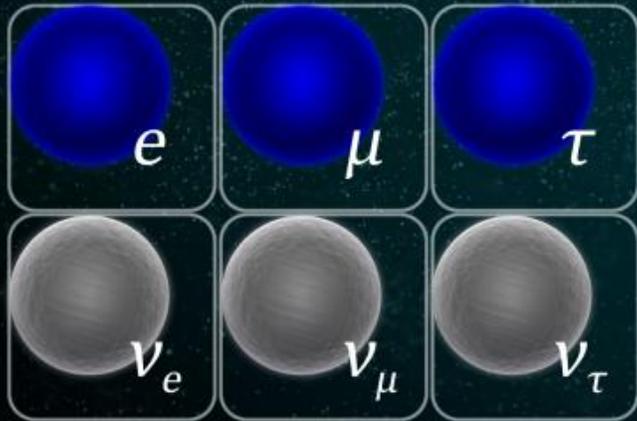
PMNS matrix

$$\begin{pmatrix} 0.80 - 0.84 & 0.52 - 0.58 & 0.14 - 0.16 \\ 0.24 - 0.49 & 0.47 - 0.68 & 0.64 - 0.77 \\ 0.28 - 0.52 & 0.49 - 0.70 & 0.62 - 0.75 \end{pmatrix}$$

# Thank you for your attention!



Quarks



Leptons



Higgs boson



Forces

neutrino generation number	3	4	5	6	7
combination number of $\beta$ and $\gamma$	81	108	54	12	1

Table 7: The combination numbers of zero-modes  $\beta_i$  and  $\gamma_j$  for the neutrino generation numbers. The number of all the possible combinations  $\beta_i$  and  $\gamma_j$  is equal to 256.

neutrino generation number	3	4	5	6	7
combination number of $\beta$ and $\gamma$	27	18	12	6	1

Table 8: The combination numbers of zero-modes  $\beta_i$  and  $\gamma_j$  for the neutrino generation numbers such that the neutrino sector has vanishing SS phases. The number of all the possible combinations  $\beta_i$  and  $\gamma_j$  is equal to 64.