



Search for new particles in events with energetic jets and large MET with CMS Run-2 data (Monojet/Mono-V)

DPF 2021

7/12/2021

Siqi Yuan,

on behalf of the CMS collaboration





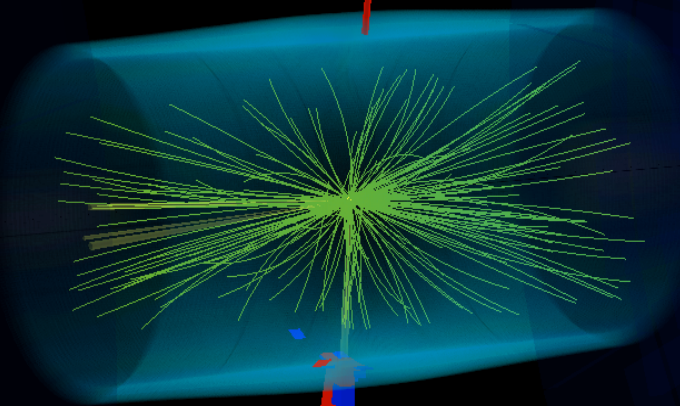
CMS Experiment at the LHC, CERN

Data recorded: 2018-Jul-14 21:03:24 EDT

Run / Event / LS: 319639 / 1418428259 / 986

MET,
pt = 1691.82 GeV
eta = 0
phi = 1.726

Unbalanced transverse momentum indicates invisible particles produced in the event!



We use the word **Monojet** to refer to this kind of events

Jet,
pt = 1665.5 GeV
eta = 0.081
phi = -1.377

Analysis tag: **CMS-EXO-20-004**

Analyzed 2017-2018 data, combined with published 2016 result

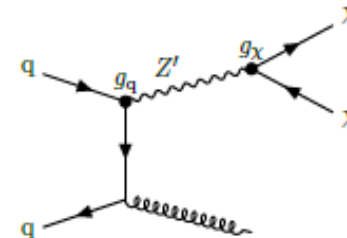
Physics Analysis summary (PAS) publicly available [here](#)

Signal model Interpretations

showing example diagram, more diagrams in [backup](#)

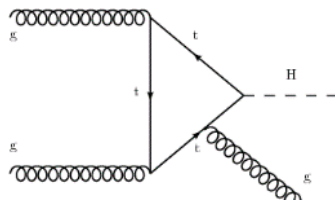
Dark matter simplified model with a color-neutral mediator

- Simple generic dark model that produce dark matter through some mediators
- mediator can be spin0 or spin1
- Fix couplings to benchmark values then scan in mass or,
- fix DM mass and scan in mediator mass and one of the coupling values



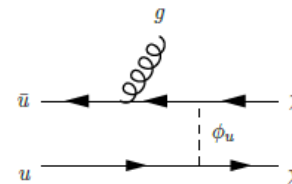
Higgs Portal:

- SM-like Higgs decay into BSM invisible particles
- Set limit on SM Higgs invisible branching fraction



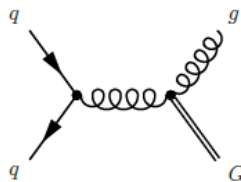
Fermion portal (“t-channel”)

- Mediator couples to quarks and DM
- Assume all couplings=1 and scan in mediator mass and DM mass



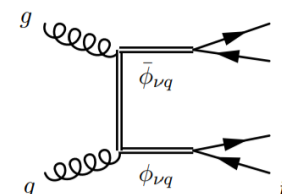
ADD

- Produce gravitons that leaks into additional dimensions
- Set limit on the reduced Planck scale as a function of number of additional dimensions

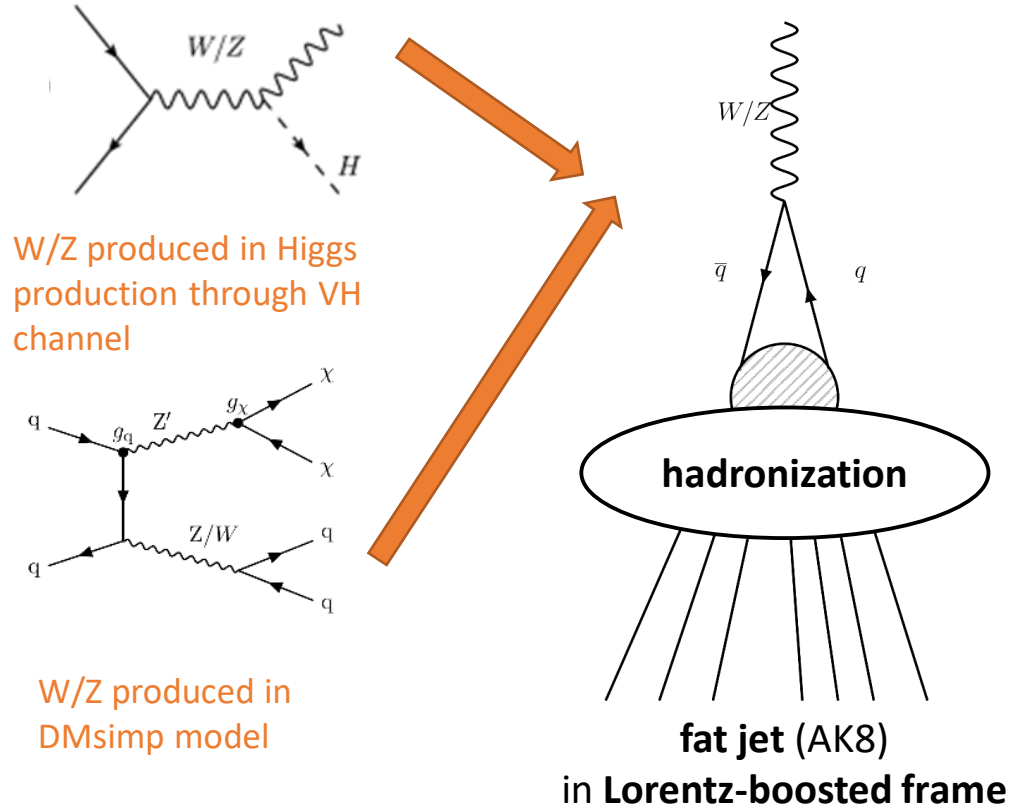


Leptoquark

- Couples to up quark and electron neutrinos
- Scanning limit as function of leptoquark mass and coupling constant



Special case – jets from V(qq) decays! (Mono-V)



W/Z produced in Higgs production through VH channel

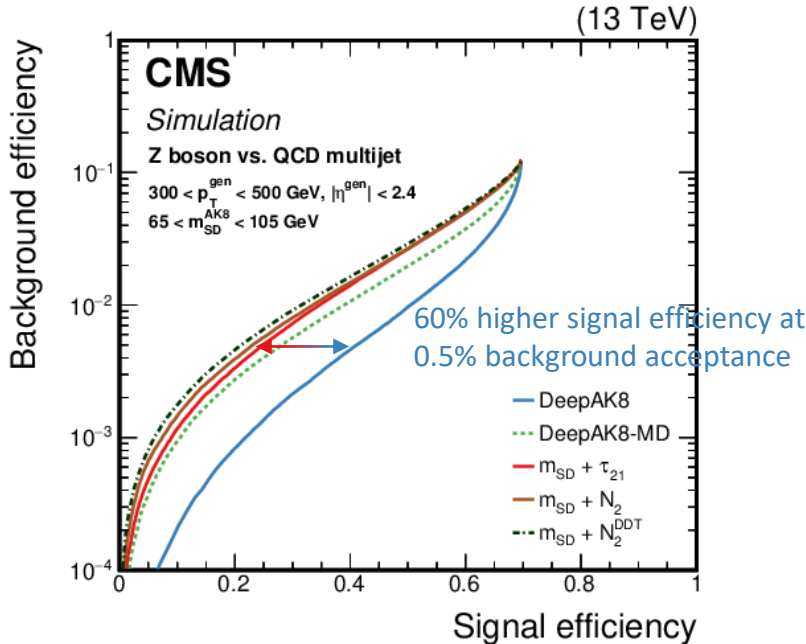
W/Z produced in DMsimp model

fat jet (AK8) in Lorentz-boosted frame

Additional categories to capture these events based on **V(qq) jet tagging**:

- Traditional: N-subjettiness (used in 2016 analysis)
- New: DeepAK8 (Deep neural network)

comparison



Signal regions – define 3 categories!

Common Cuts

$p_T^{miss} > 250 \text{ GeV}$
 $\Delta\phi(j, recoil) > 0.5$ for 4 leading jets
 $(\text{PF MET} - \text{Calo MET}) / \text{Recoil} < 0.5$
 MET filters applied

} cleaning cuts

Mono-V

Leading AK8 jet:

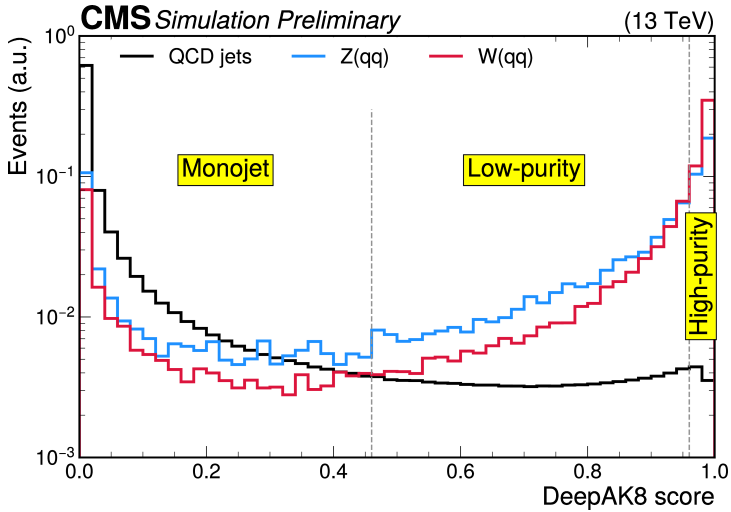
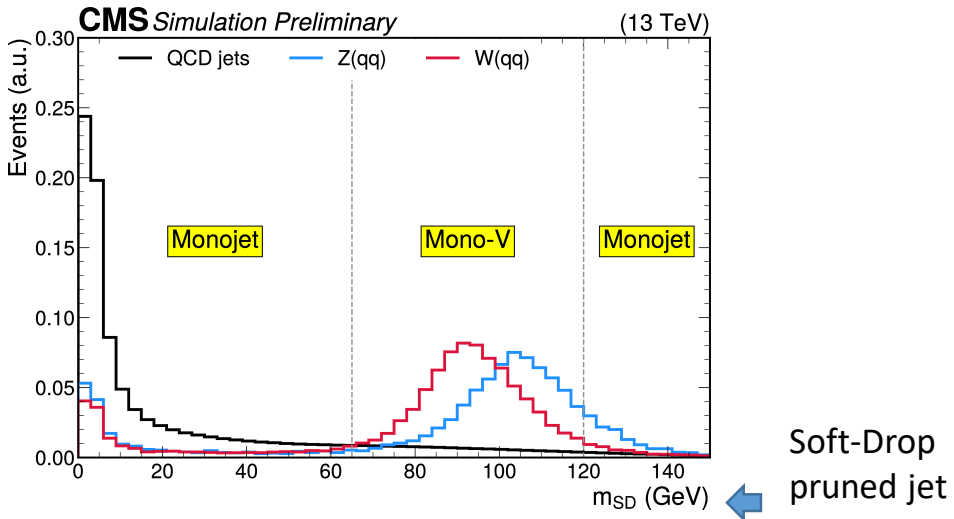
- $p_T > 250 \text{ GeV}$, $|\eta| < 2.4$
- Pruned mass in $[65, 120]$
- Passing nominal deepAK8 WvsQCD tagger
- Further split into high purity and low-purity

Monojet

No Mono-V event

Leading AK4 jet

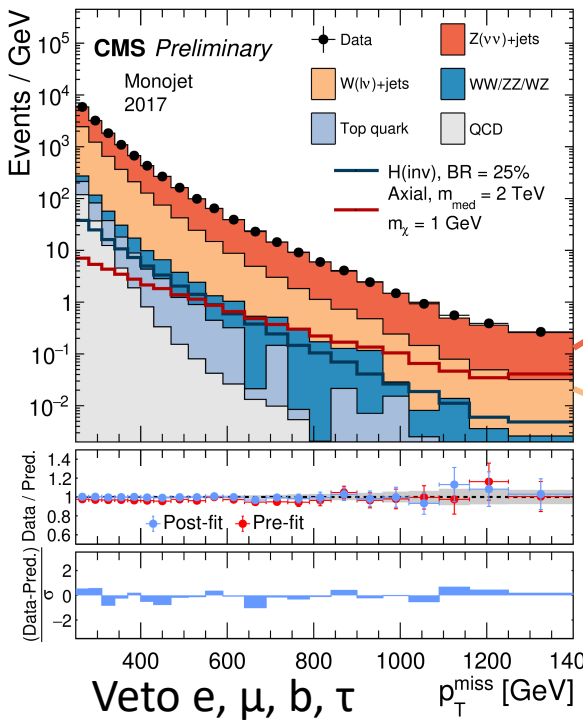
- (CHS jet with tight jet ID and JES&JER applied)
- $p_T > 100 \text{ GeV}$, $|\eta| < 2.4$, $\text{NHF} < 0.8$ && $\text{CHF} > 0.1$



Estimation of main background

Signal region = Jet(s) + MET
41.5 fb⁻¹ (13 TeV)

detailed definition on next slide



Main background:

- Z(vv)+jets
- W(lv)+jets (missing lepton)

Theoretically connected processes:

- Z(l_l)+jets
- W(lv)+jets (detected lepton)
- γ+jets

Define 5 Control Regions:

- 2e CR
- 2m CR
- 1e CR
- 1m CR
- γ CR

All regions exist in the three categories defined on previous slide:
 “Monojet”, “Mono-V high purity”, and “Mono-V low purity”

Control region event selections

= Signal region selections

+ replace p_T^{miss} to **Recoil**

+ remove corresponding lepton/photon veto

+ region-dependent selections below:

$$\text{Recoil} := p_T^{miss} + \sum p_T^{\text{leptons/photons}}$$

$$\approx p_T^{Z/W} \text{ in CR}$$

mimics how Z/W p_T fakes p_T^{miss} in SR

Single electron CR

- exactly 1 electron

Single muon CR

- exactly 1 muon

Photon CR

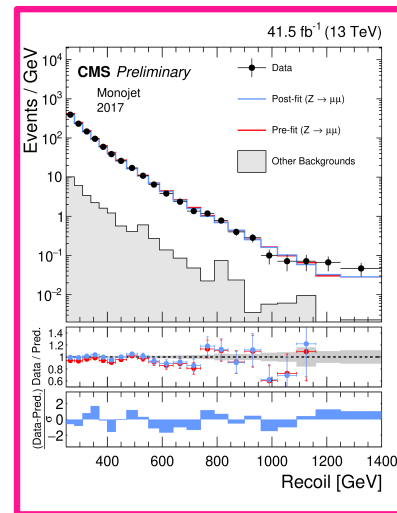
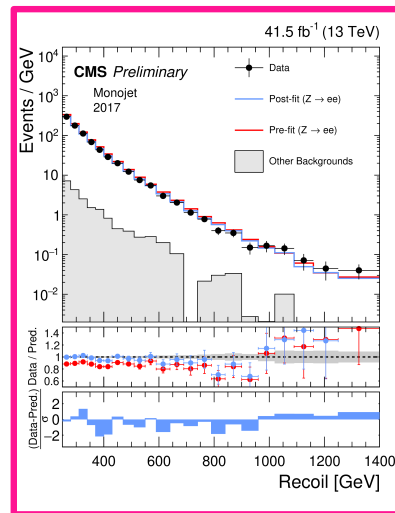
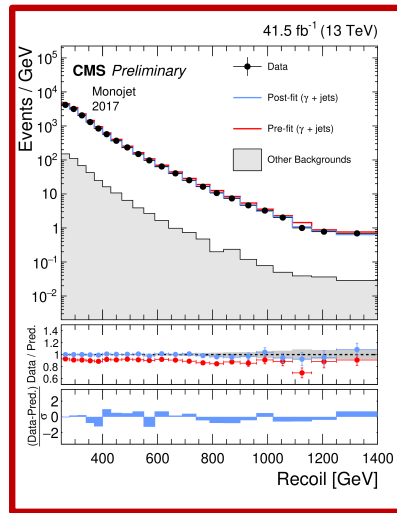
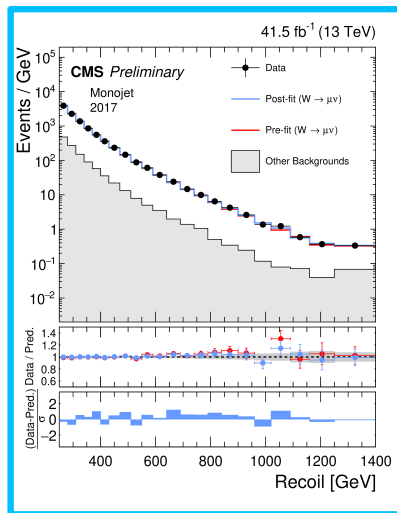
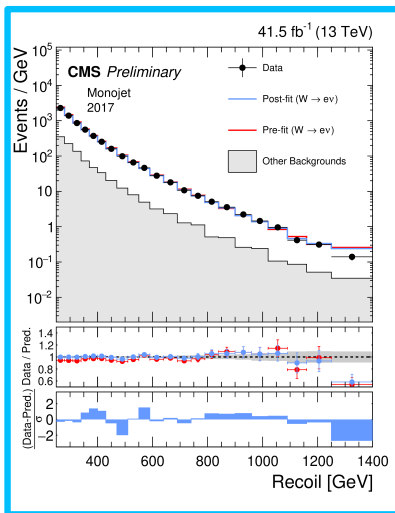
- Exactly 1 tight photon
- photon $p_T > 230\text{GeV}$

Double electron CR

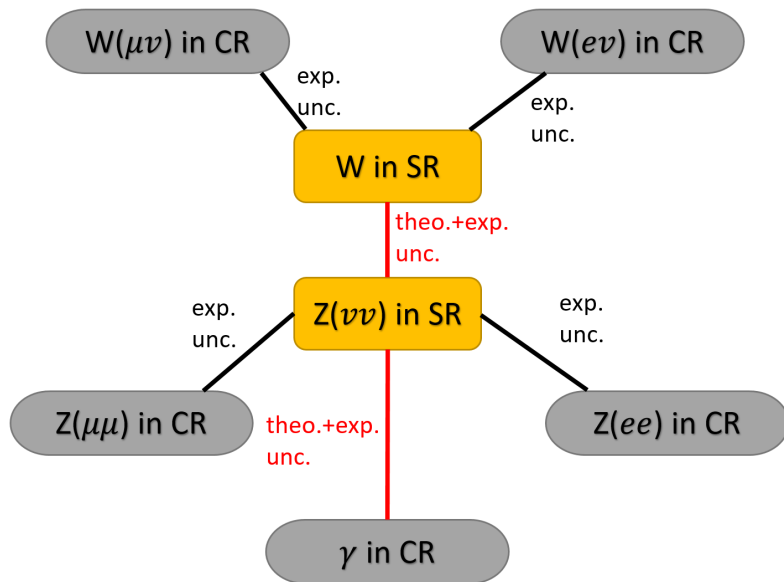
- exactly 2 electrons
- $60 < \text{pair mass} < 120$
- opposite charge

Double muon CR

- exactly 2 muons
- $60 < \text{pair mass} < 120$
- opposite charge



Signal Extraction Strategy: combined fit



× number of channels and years (6 in total)

- **Maximize likelihood between data and prediction**, likelihood function defined in [backup](#)
- **Unconstrained parameters**: per-bin (in Recoil) normalization of $Z(\nu\nu)$ in SR, signal strength
- **Constrained parameters**: numerous nuisance parameters that allow the process ratios vary within syst./stat. uncertainties (each ratio represented by a link on the left diagram)
- **Uncertainties common between regions mostly cancel out!** Remaining uncertainties are e.g. lepton/photon ID. Summary of important uncertainties

p_T^{miss} distribution signal region year 2017

2018 result similar and in backup

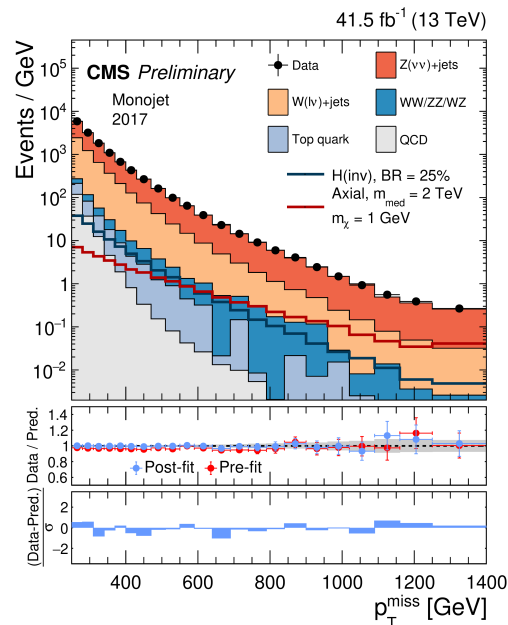
Background-only fit i.e. signal strength set to 0

Post-fit estimation has good agreement with data

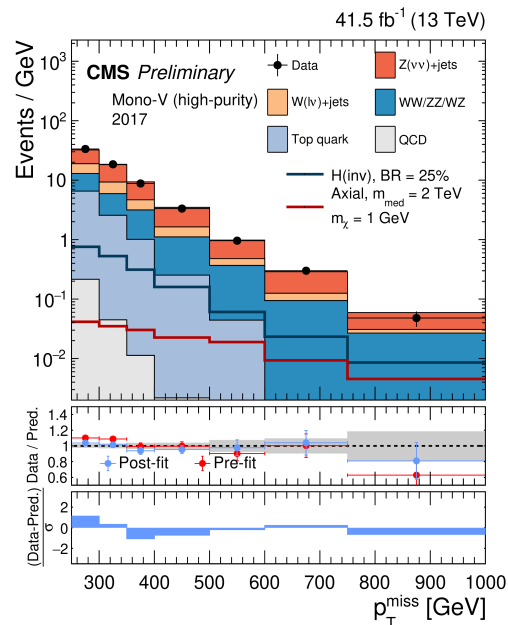
Pre-fit excess in mono-V low purity region consistent with trend in W CR \rightarrow No post-fit excess

\rightarrow No significant excess

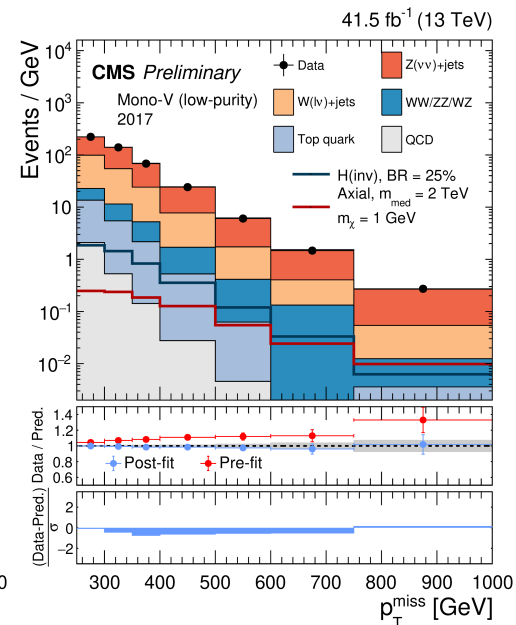
monojet



mono-V (high purity)

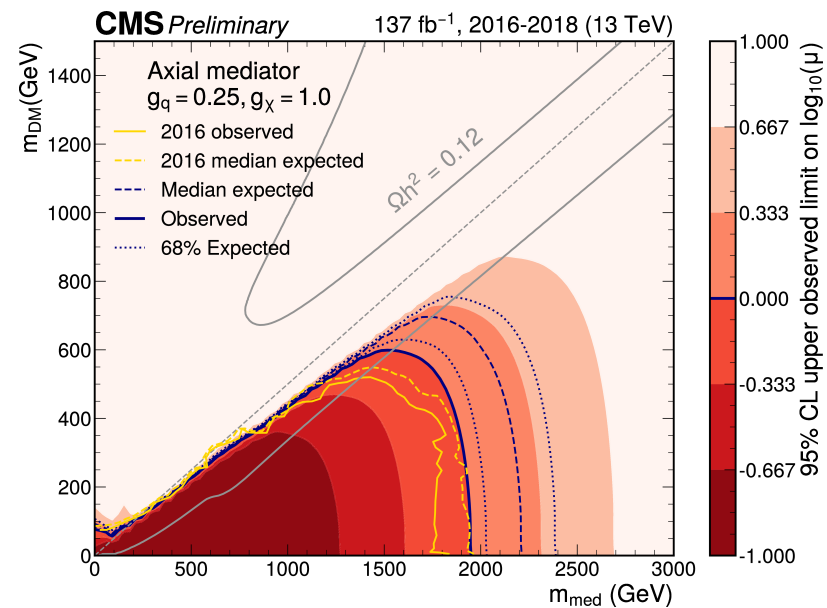
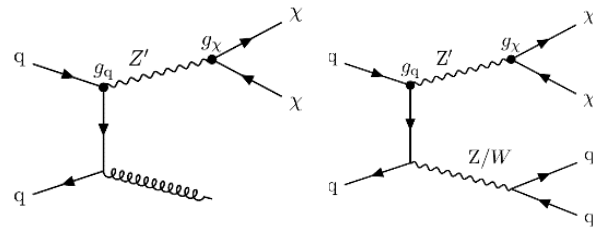


mono-V (low purity)

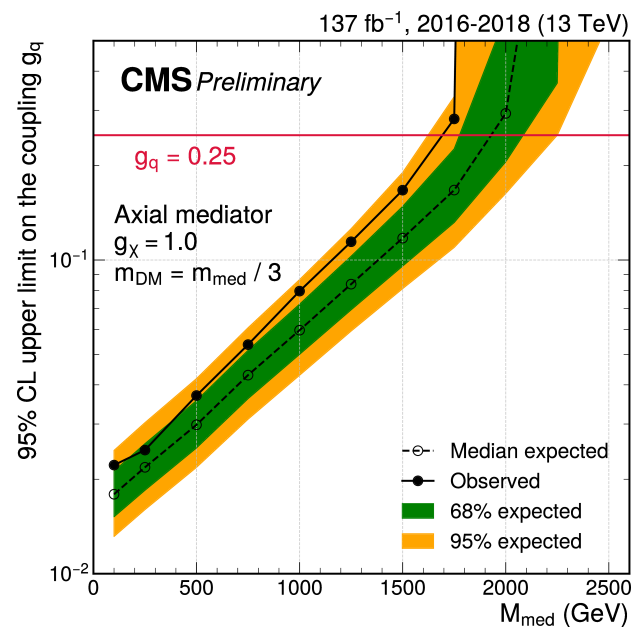


Model interpretation

Simplified DM model: Axial mediator



fix $m_{DM} = m_{med}/3$
and scan g_q →

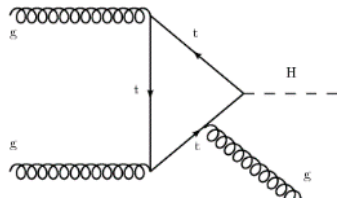


Full Run-2: Exclude $m_{med} < \approx 2$ TeV (≈ 2.2 TeV expected)
for small m_{DM}
improvement relative to 2016 by ≈ 200 GeV in m_{med}

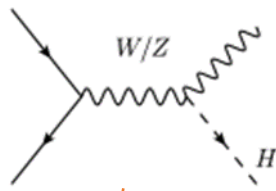
reached 1 order of magnitude lower than g_q benchmark value

Model interpretation

Higgs invisible model



ggH dominating in monojet



VH dominating in monoV

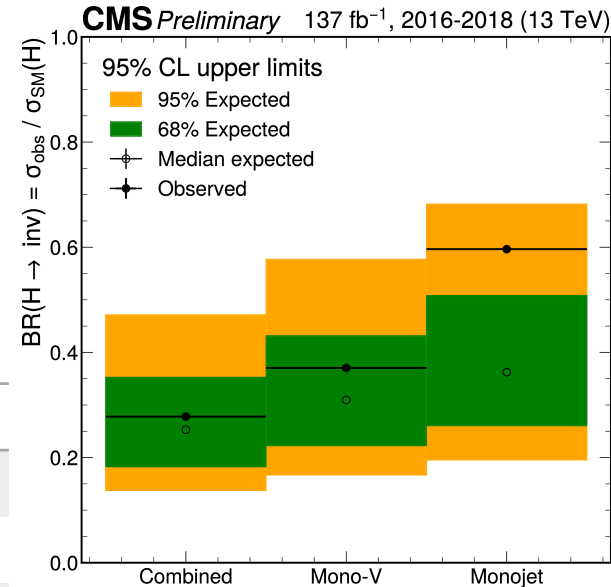
Expected signal composition

	ggH	VBF	WH	ZH	ggZH
Monojet	74%	21%	3%	2%	1%
MonoV low purity	52%	11%	22%	12%	3%
MonoV high purity	6%	3%	69%	19%	4%

40%-50% relative improvement in Higgs \rightarrow invisible branching fraction limit



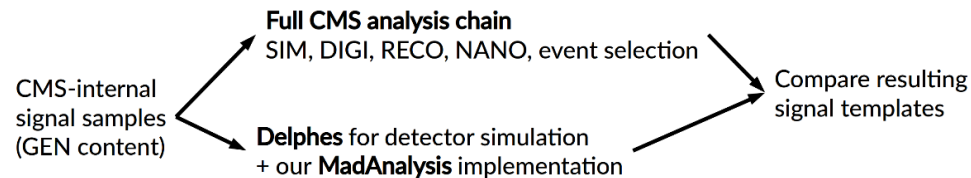
Set limit on standard-model Higgs invisible branching fraction



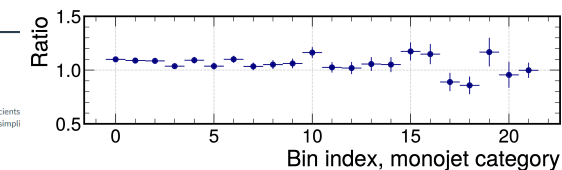
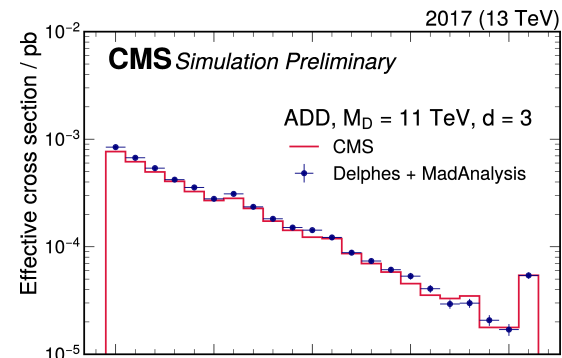
Channel	Expected	Observed
Mono-V	0.31	0.37
Monojet	0.36	0.60
Combined	0.25	0.28
2016-only	0.40	0.53

Analysis reinterpretation: MadAnalysis

- **MadAnalysis** is a framework for the reinterpretation of existing analyses in terms of arbitrary new physics models
- Implemented monojet channel in MadAnalysis(i.e. **applies monojet selection on your model**), [link to the implementation](#)
- Validated the implementation by comparing with this analysis
- [HEPData entry](#) with **simplified likelihood**(yields and covariance matrix); signal cut-flows, signal generator cards, etc.

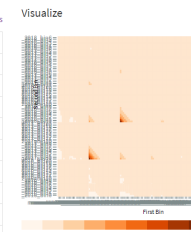


example on ADD model



screenshot

First Bin	Second Bin	Correlation coefficient
monovtau21_2016_bin0	monovtau21_2016_bin0	14502.0
monovtau21_2016_bin0	monovtau21_2016_bin1	7753.8
monovtau21_2016_bin0	monovtau21_2016_bin2	2956.2
monovtau21_2016_bin0	monovtau21_2016_bin3	1819.5
monovtau21_2016_bin0	monovtau21_2016_bin4	1063.0
monovtau21_2016_bin0	monovtau21_2016_bin5	549.03
monovtau21_2016_bin0	monovtau21_2016_bin6	327.04
monovtau21_2016_bin0	monovtau21_2016_bin7	184.67



Summary

- **Presented the monojet + mono-V analysis** using full 2017 + 2018 data, and have combined with published 2016 result **for the full Run-2 result**
- **We do not see any significant deviation from SM expectations**, but instead we set:
 - upper limits on **Higgs boson to invisible** branching ratio
 - upper limits on the production of Dark Matter in the **simplified Dark Matter model** with vector/axial-vector/scalar/pseudo-scalar mediators
 - upper limits on the production of Dark Matter through **fermion portal mediator**
 - limits on the reduced Planck scale as a function of number of extra dimensions in the **ADD extra dimension model**
 - limits on the **leptoquark** coupling as a function of leptoquark mass
- **Better limits are observed in the above models compared to the published 2016-only result, some are among the most restrictive limits to date**
- **Implemented monojet category in MadAnalysis for re-interpretation**

Thank you for your interest in this analysis!

Backup

The CMS detector – where we take data

CMS DETECTOR

Total weight : 14,000 tonnes
 Overall diameter : 15.0 m
 Overall length : 28.7 m
 Magnetic field : 3.8 T

STEEL RETURN YOKE
 12,500 tonnes

SILICON TRACKERS
 Pixel ($100 \times 150 \mu\text{m}$) $\sim 1\text{m}^2 \sim 66\text{M}$ channels
 Microstrips ($80 \times 180 \mu\text{m}$) $\sim 200\text{m}^2 \sim 9.6\text{M}$ channels

SUPERCONDUCTING SOLENOID
 Niobium titanium coil carrying $\sim 18,000\text{A}$

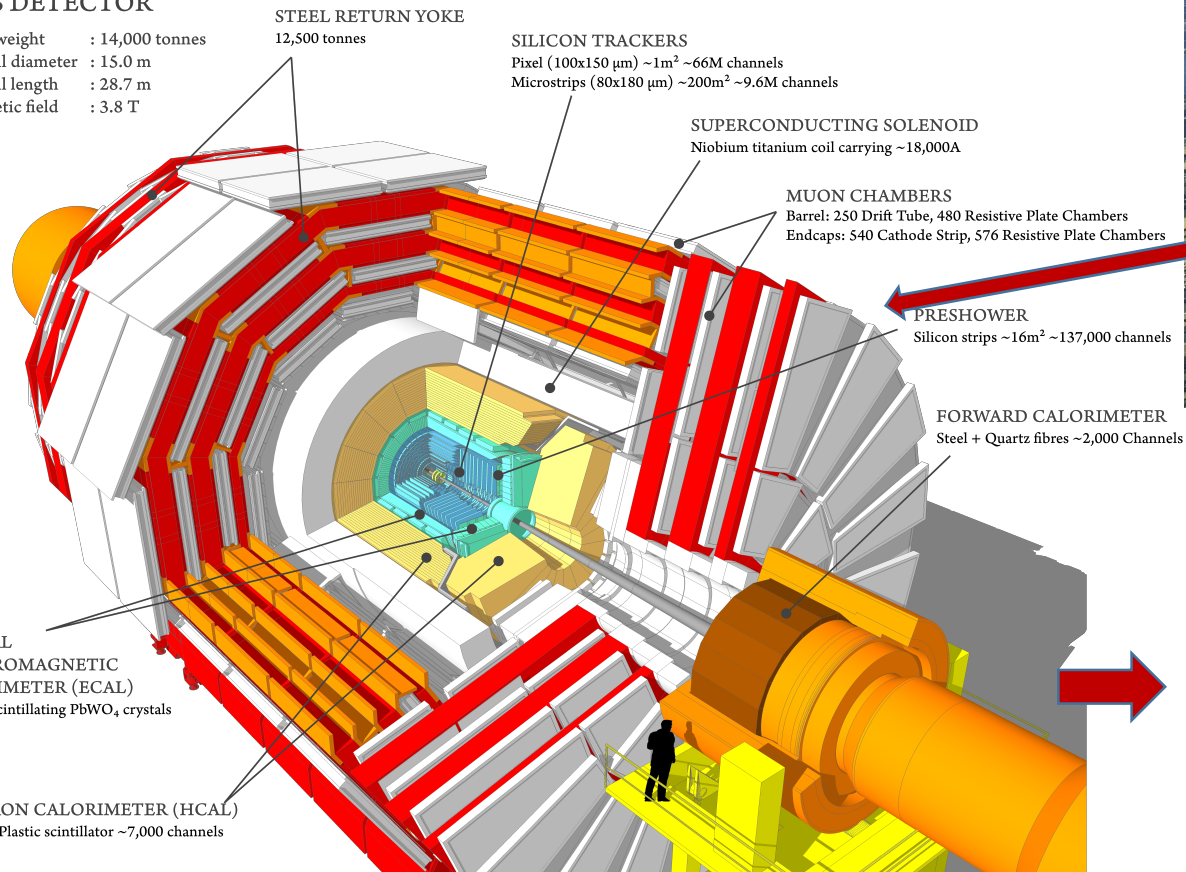
MUON CHAMBERS
 Barrel: 250 Drift Tube, 480 Resistive Plate Chambers
 Endcaps: 540 Cathode Strip, 576 Resistive Plate Chambers

PRESHOWER
 Silicon strips $\sim 16\text{m}^2 \sim 137,000$ channels

FORWARD CALORIMETER
 Steel + Quartz fibres $\sim 2,000$ Channels

CRYSTAL ELECTROMAGNETIC CALORIMETER (ECAL)
 $\sim 76,000$ scintillating PbWO_4 crystals

HADRON CALORIMETER (HCAL)
 Brass + Plastic scintillator $\sim 7,000$ channels

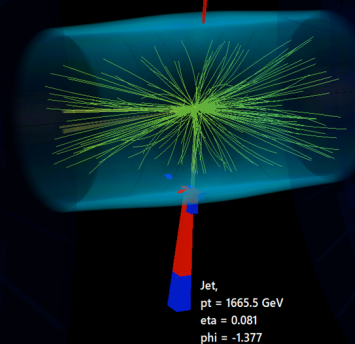


CMS Experiment at the LHC, CERN

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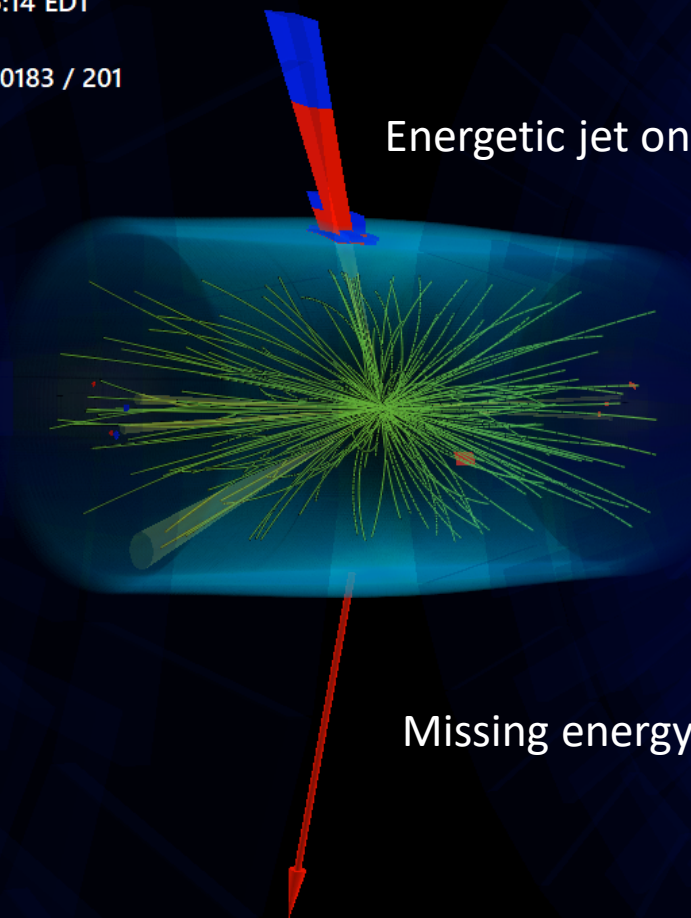
Another example event display



CMS Experiment at the LHC, CERN

Data recorded: 2017-Jun-28 07:15:14 EDT

Run / Event / LS: 297620 / 285430183 / 201

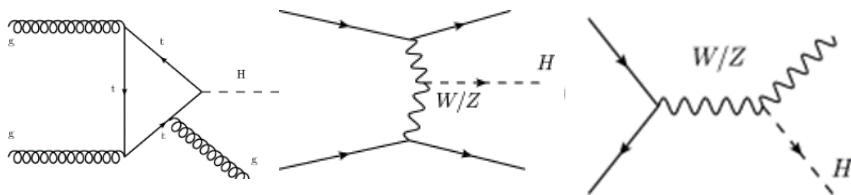


Energetic jet on one side

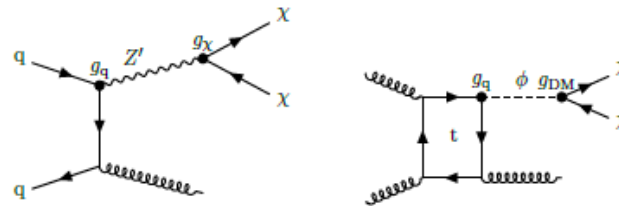
Missing energy on the other side

Signal model Interpretations (more diagrams)

Higgs Portal:

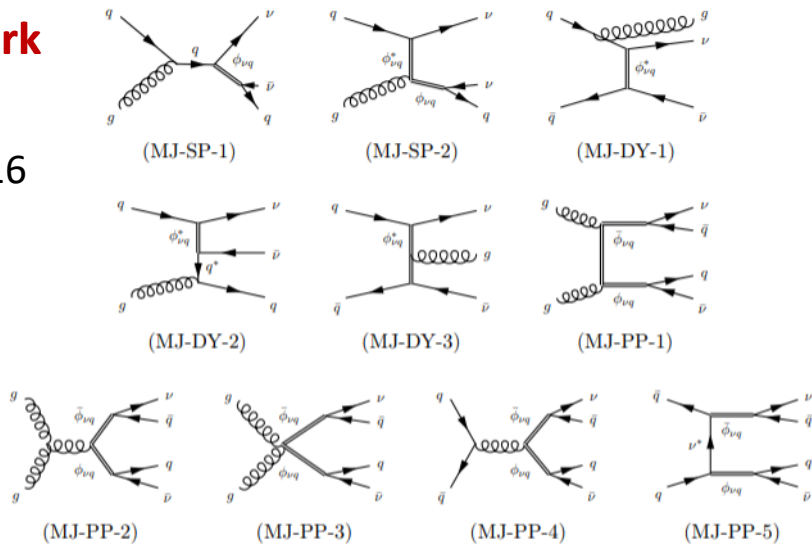


Dark matter simplified model with a color-neutral mediator

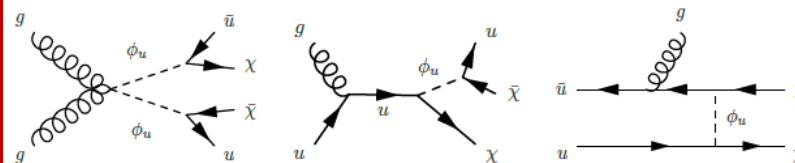


Leptoquark

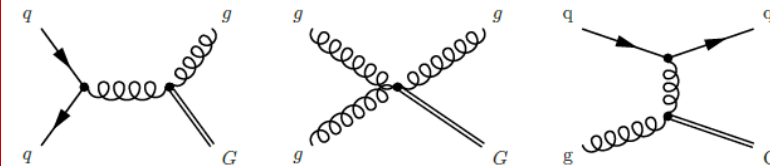
not in 2016 analysis



Fermion portal (t-channel)



ADD



Object definitions

Electrons:

- Tight = POG cut-based tight WP
 - $p_T > 40\text{GeV}, |\eta| < 2.5$
- Loose = POG cut-based
 - $p_T > 10\text{GeV}, |\eta| < 2.5$

Photons:

- Tight = POG cut-based tight WP
 - $p_T > 230\text{GeV}, |\eta| < 1.4442$
- Loose = POG cut-based veto WP
 - $p_T > 15\text{GeV}, |\eta| < 2.5$

Muons:

- Tight = POG cut-based tight WP
 - $p_T > 20\text{GeV}, |\eta| < 2.4$
- Loose = POG cut-based veto WP
 - $p_T > 10\text{GeV}, |\eta| < 2.4$

At least one tight electron/photon/muon is required in the corresponding CRs
Loose electrons/photons/muons are also used in vetoing events in SR (see region definitions)
Taus and B-tagged jets are only used for veto

Taus:

- $p_T > 18\text{GeV}, |\eta| < 2.3$
- Old DM ID + VLoose MVA ISO

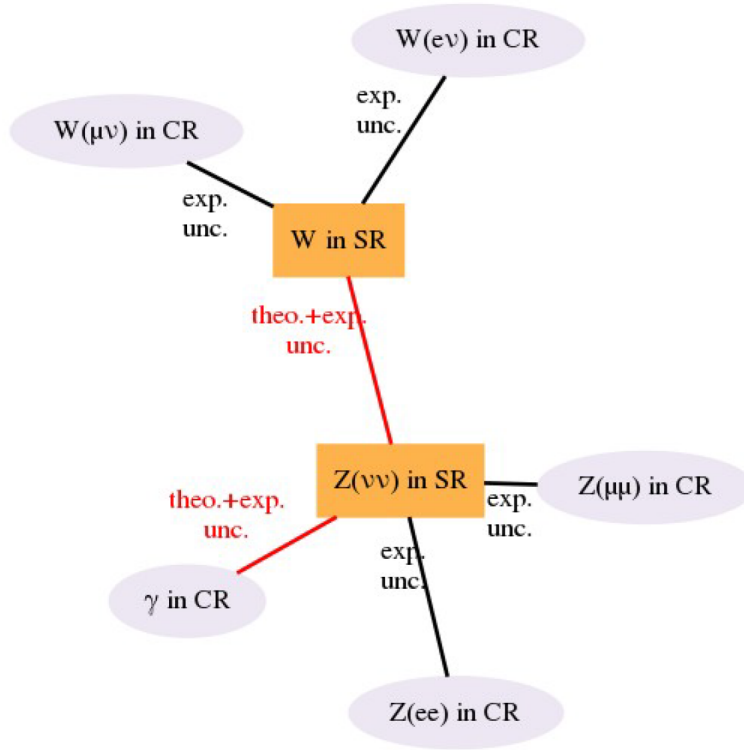
B-tagged jets:

- $p_T > 20\text{GeV}, |\eta| < 2.4$
- DeepCSV medium

Event selection summary table

Category	Variable / Description	Selection
All	Muon (electron) veto	$p_T > 10 \text{ GeV}$ and $ \eta < 2.4(2.5)$
	τ lepton veto	$p_T > 18 \text{ GeV}$ and $ \eta < 2.3$
	Photon veto	$p_T > 15 \text{ GeV}$ and $ \eta < 2.5$
	Bottom jet veto	DeepCSV "medium", $p_T > 20 \text{ GeV}$, $ \eta < 2.4$
	p_T^{miss}	$> 250 \text{ GeV}$
	Δp_T^{miss} (PF-Calorimeter)	< 0.5
	$\Delta\phi$ (PF, Charged)	$< 2 \text{ rad}$
	$\Delta\phi(\vec{p}_T^{\text{miss}}, \vec{p}_T^j)$	$> 0.5 \text{ rad}$
All (2018)	Calorimeter failure mitigation	no AK4 jet with $p_T > 30 \text{ GeV}$, $-1.57 < \phi < -0.87$, $-3.0 < \eta < -1.3$. $\phi(\vec{p}_T^{\text{miss}}) \notin [-1.62, -0.62]$ if $p_T^{\text{miss}} < 470 \text{ GeV}$.
Monojet	Leading AK4 jet	$p_T > 100 \text{ GeV}$ and $ \eta < 2.4$
Mono-V	Leading AK8 jet	$p_T > 250 \text{ GeV}$, $ \eta < 2.4$, $65 < m_{\text{SD}} < 120 \text{ GeV}$ Subcategorization based on DeepAK8 score

Fit likelihood function



•The likelihood is a product of the Poisson likelihood in each **Recoil** bin in each region:

$$\mathcal{L}_c(\mu^{Z \rightarrow \nu\nu}, \boldsymbol{\mu}, \boldsymbol{\theta}) = \prod_i \text{Poisson}(d_i^Y | B_i^Y(\boldsymbol{\theta}) + \frac{\mu_i^{Z \rightarrow \nu\nu}}{R_i^Y(\boldsymbol{\theta})}) \times \leftarrow \text{photon CR}$$

$$\prod_i \text{Poisson}(d_i^Z | B_i^Z(\boldsymbol{\theta}) + \frac{\mu_i^{Z \rightarrow \nu\nu}}{R_i^Z(\boldsymbol{\theta})}) \times \leftarrow \text{di-lepton CR}$$

$$\prod_i \text{Poisson}(d_i^W | B_i^W(\boldsymbol{\theta}) + \frac{f_i(\boldsymbol{\theta})\mu_i^{Z \rightarrow \nu\nu}}{R_i^W(\boldsymbol{\theta})}) \times \leftarrow \text{single lepton CR}$$

$$\prod_i \text{Poisson}(d_i | B_i(\boldsymbol{\theta}) + (1 + f_i(\boldsymbol{\theta}))\mu_i^{Z \rightarrow \nu\nu} + \mu S_i(\boldsymbol{\theta})) \leftarrow \text{SR}$$

•Where

- $d_i^{Y/W/Z}$ are the observed number of events in the i^{th} **Recoil** bin of the photon, single lepton, or di-lepton regions.
- $B_i^{Y/W/Z}$ are the background in the corresponding CRs.
- $R_i^{Y/W/Z}$ are the transfer factor of $Z \rightarrow \nu\nu$ yields between SR and CRs.
- $\mu_i^{Z \rightarrow \nu\nu}$ is the $Z \rightarrow \nu\nu$ yield in the SR.
- μ is the signal strength.
- f_i is the ratio of $W \rightarrow l\nu$ and $Z \rightarrow \nu\nu$ background in SR.

go back to [main text](#)

List of most important experiment uncertainties

Source	Process	Uncertainty
Electron trigger	$W_{\text{SR}}/W_{e\nu}, Z_{\text{SR}}/Z_{ee}$	1%
$E_{\text{T}}^{\text{miss}}$ trigger	$W_{\text{SR}}/W_{e(\mu)\nu}$ $Z_{\text{SR}}/Z_{\mu\mu}$	1-2% (shape) 2-3% (shape)
Photon trigger	$Z_{\text{SR}}/\gamma_{\text{CR}}$	2%
Photon p_{T} scale	$Z_{\text{SR}}/\gamma_{\text{CR}}$	< 4% (shape)
Muon-reco efficiency per muon	$W_{\text{SR}}/W_{\mu\nu}, Z_{\text{SR}}/Z_{\mu\mu}$	1%
Muon-ID efficiency per muon	$W_{\text{SR}}/W_{\mu\nu}, Z_{\text{SR}}/Z_{\mu\mu}$	1%
Muon-iso. efficiency per muon	$W_{\text{SR}}/W_{\mu\nu}, Z_{\text{SR}}/Z_{\mu\mu}$	1%
Electron-reco efficiency per ele.	$W_{\text{SR}}/W_{e\nu}, Z_{\text{SR}}/Z_{ee}$	1%
Electron-ID efficiency per ele.	$W_{\text{SR}}/W_{e\nu}, Z_{\text{SR}}/Z_{ee}$	3%
Photon-ID efficiency	$Z_{\text{SR}}/\gamma_{\text{CR}}$	4 – 13% (shape)
Muon veto	$W_{\text{SR}}/W_{e(\mu)\nu}, Z_{\text{SR}}/W_{\text{SR}}$	< 1% (shape)
Electron veto	$W_{\text{SR}}/W_{e(\mu)\nu}, Z_{\text{SR}}/W_{\text{SR}}$	2% (shape)
Tau veto	$W_{\text{SR}}/W_{e(\mu)\nu}, Z_{\text{SR}}/W_{\text{SR}}$	1–2% (shape)
Prefiring	$Z_{\text{SR}}/Z_{\text{CR}}, W_{\text{SR}}/W_{\text{CR}}$	< 1% (shape)

- Important uncertainties that have effect on Transfer Factors
- Correlated between bins of recoil and categories
- Uncorrelated between years
- Uncertainties e.g. on luminosity, jes and jer, affect both numerator and denominator in TF, and thus cancel out partially or fully

[Summary of uncertainties for other small background in backup](#)

Summary of uncertainties on small background

Source	Process	Uncertainty
Luminosity	All	2.5 %/ 2.3%
Electron trigger	All in 1e CR	1%
E_T^{miss} trigger	All in SR and 1μ CR	2%
Jet/ E_T^{miss} energy calibration	All	5% (shape)
Muon-reco efficiency per muon	All in muon CRs	1%
Muon-ID efficiency per muon	All in muon CRs	1%
Muon-iso efficiency per muon	All in muon CRs	1%
Electron-reco efficiency per ele.	All in electron CRs	1%
Electron-ID efficiency per ele.	All in electron CRs	3%
b-jet veto	Top in SR and all CRs	6%
	All remaining in SR and all CRs	2%
Top p_T reweight	Top	10%
Top norm	Top	10%
Diboson mixed EWK-QCD corr.	Diboson	up to 10% (shape)
Diboson normalization	Diboson	10%
Z(ll) + jets norm	Z(ll) + jets (SR)	20%
QCD	QCD in SR	from 20% up to > 100% (shape)
Fake muons	QCD in $W_{\mu\nu}$	50%
Jet-to-electron fakes	QCD in $W_{e\nu}$	75%
Photon-to-electron fakes	γ + jets in $W_{e\nu}$	20%

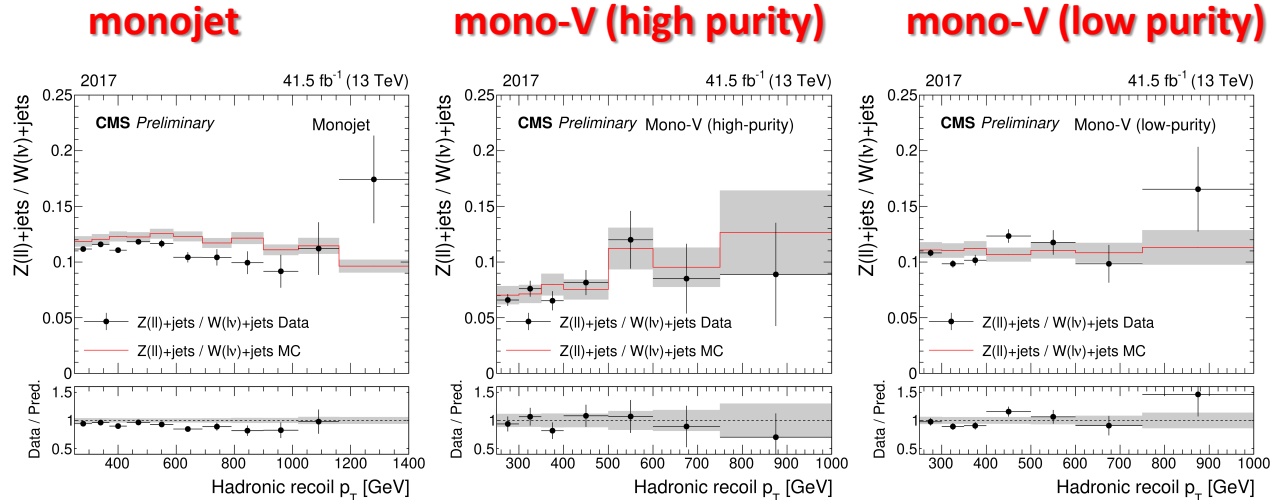
[uncertainties on transfer factors](#)

Data Validation: Pre-fit control region ratios (year 2017)

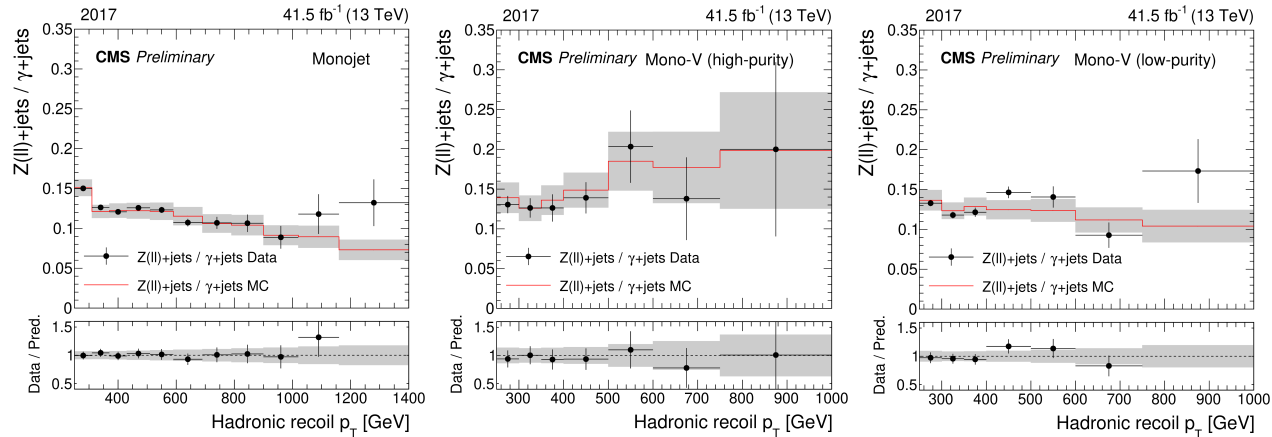
Ratios between the control regions
A proxy for validating transfer factors

- Overall good agreement with data
- 2017 ratios hint at need to pull W relative to others (accomplished mainly by lepton ID + theory pulls, see [backup](#))

Z/W



Z/Photon

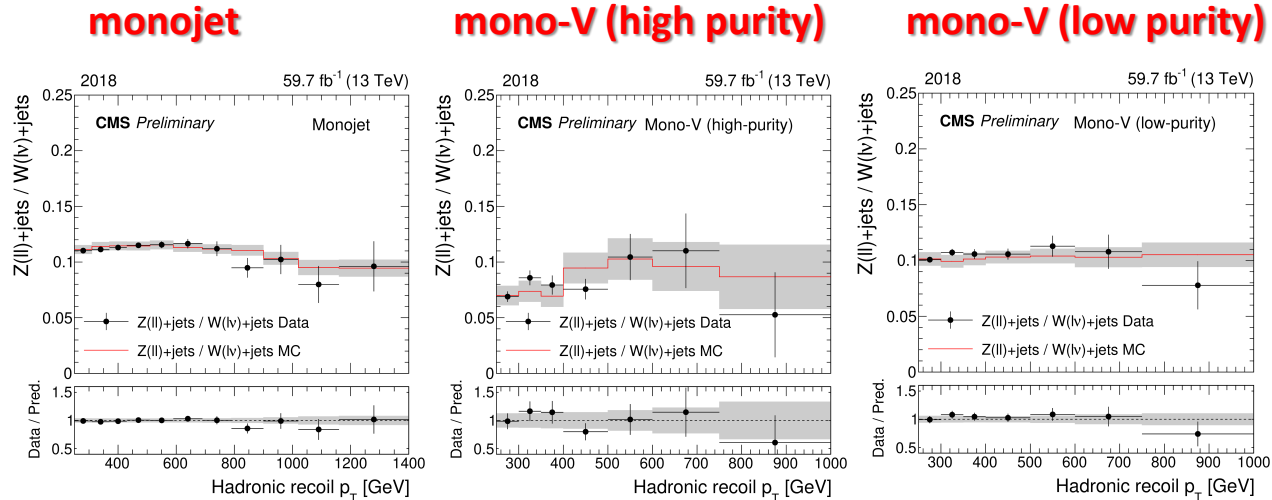


Data Validation: Pre-fit control region ratios (year 2018)

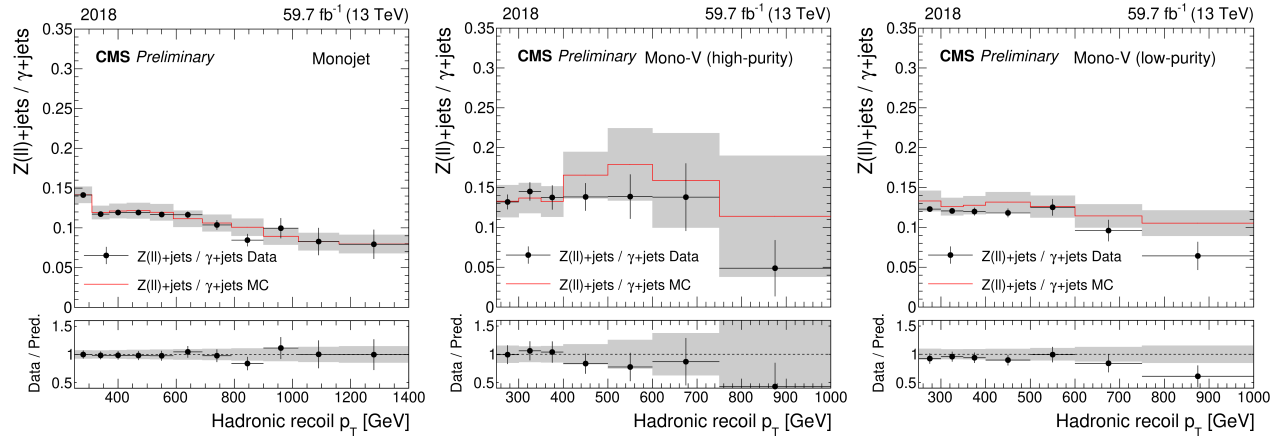
Ratios between the control regions
A proxy for validating transfer factors

- Overall good agreement with data
- 2017 ratios hint at need to pull W relative to others (accomplished mainly by lepton ID + theory pulls, see [backup](#))

Z/W



Z/Photon

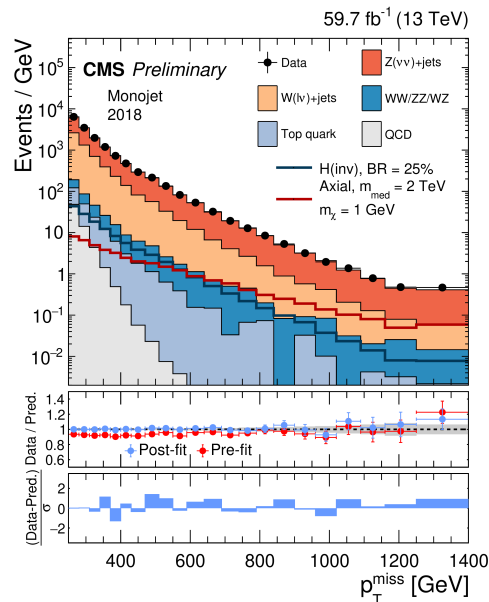


p_T^{miss} distribution signal region year 2018

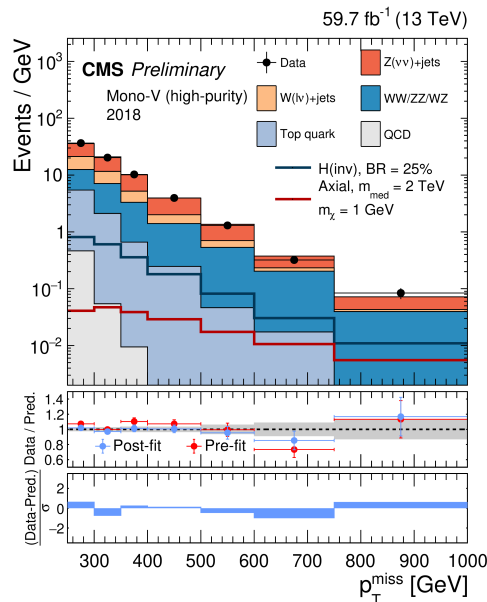
Same conclusion as 2017

→ No significant excess

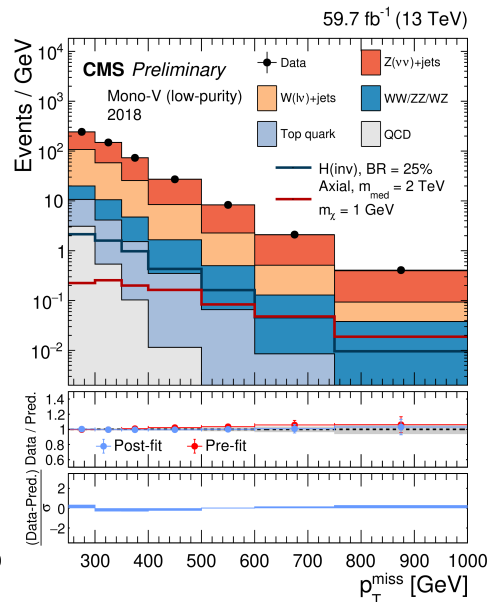
monojet



mono-V (high purity)



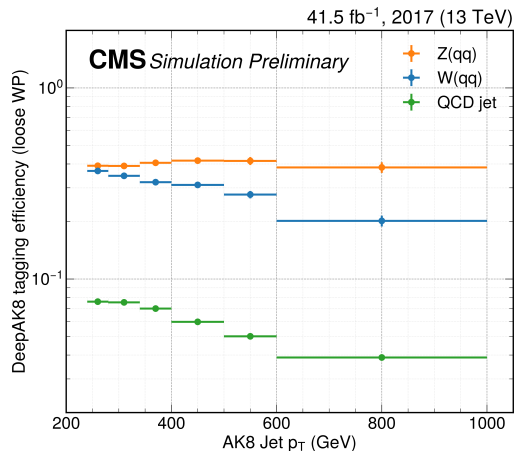
mono-V (low purity)



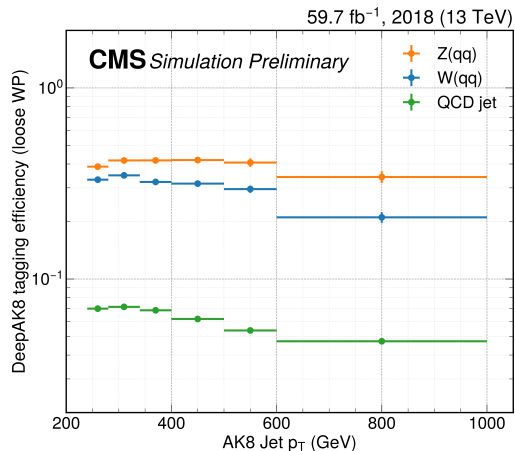
Wide jet tagging efficiencies for reinterpretation

loose WP

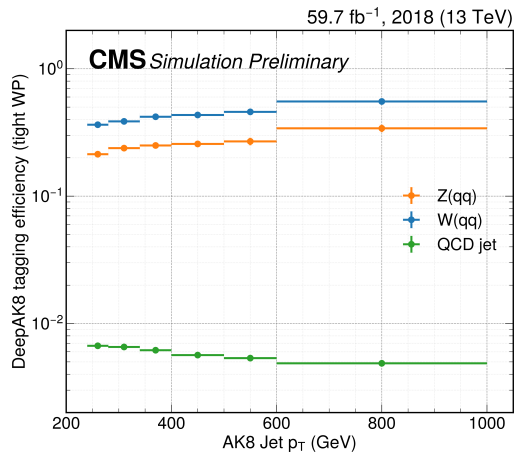
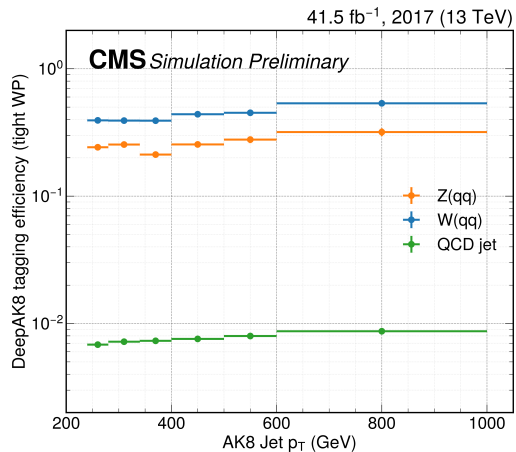
2017



2018

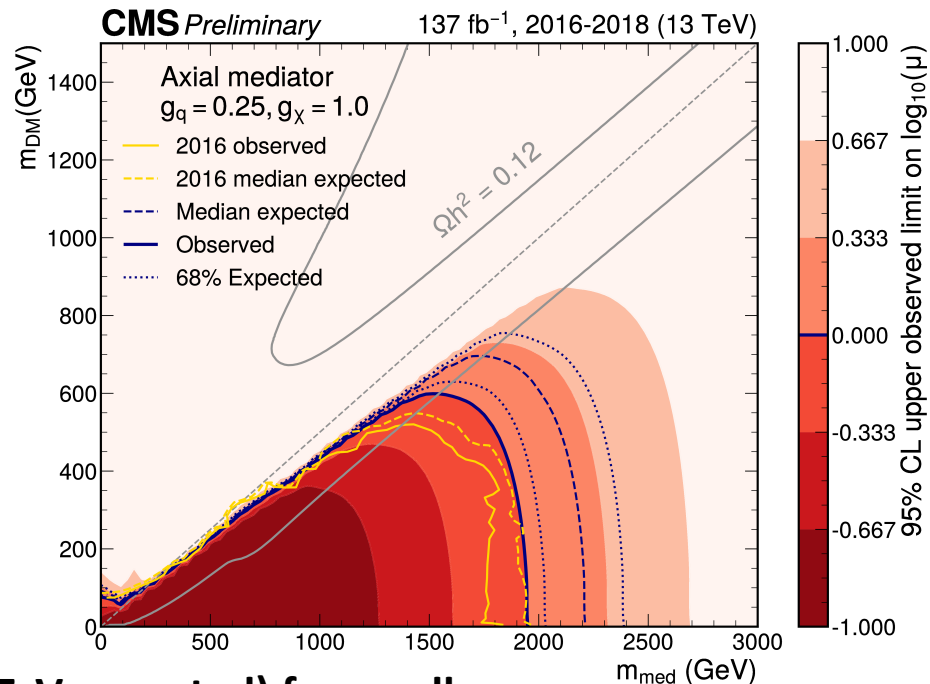
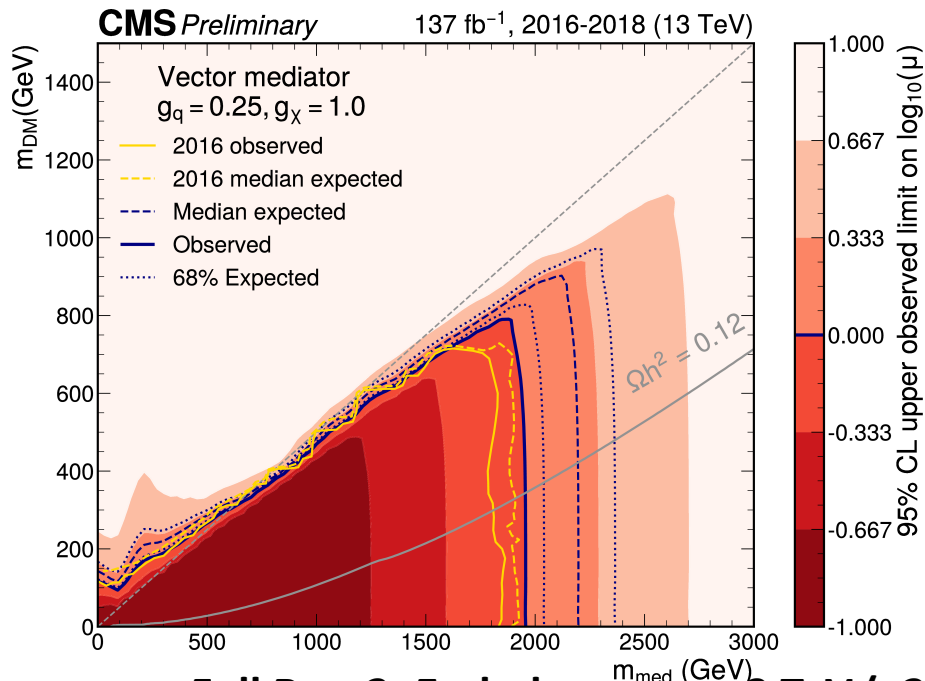
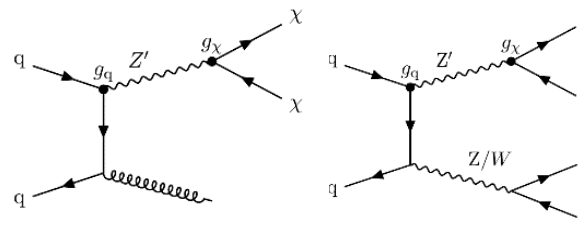


tight WP



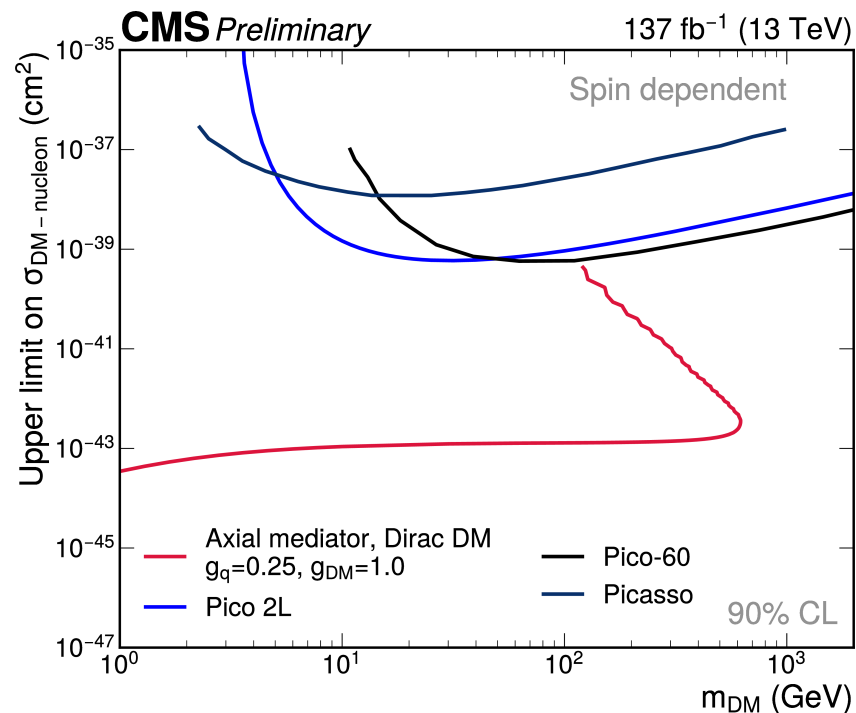
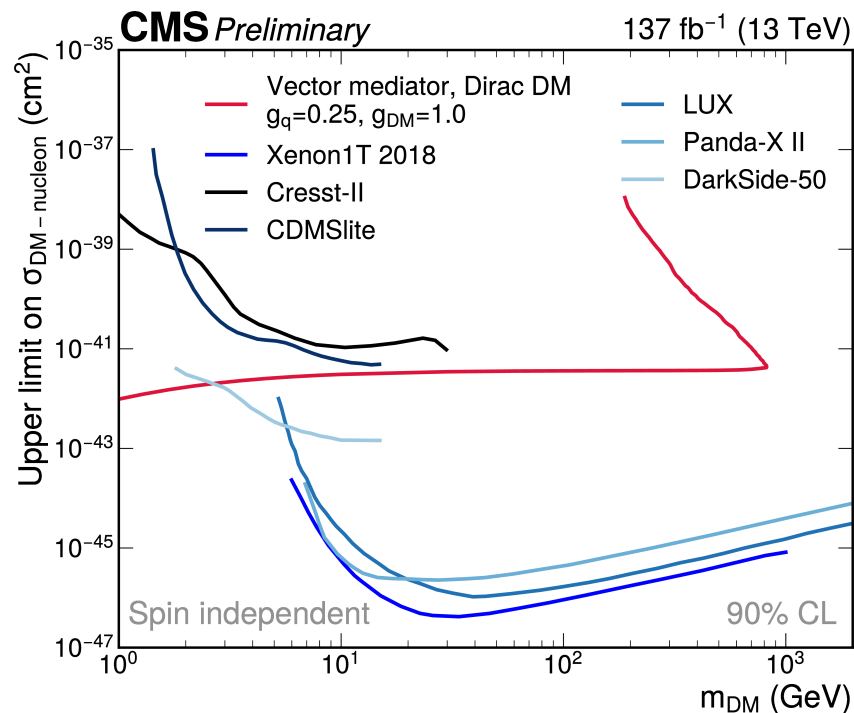
Model interpretation

Simplified DM model: Spin-1 mediator



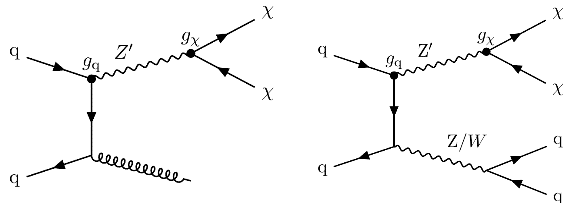
Full Run-2: Exclude $m_{\text{med}} \lesssim 2$ TeV (≈ 2.2 TeV expected) for small m_{DM}
 improvement relative to 2016 by ≈ 200 GeV in m_{med} (≈ 300 GeV expected)

Comparison of simplified model constrains to direct detection experiments

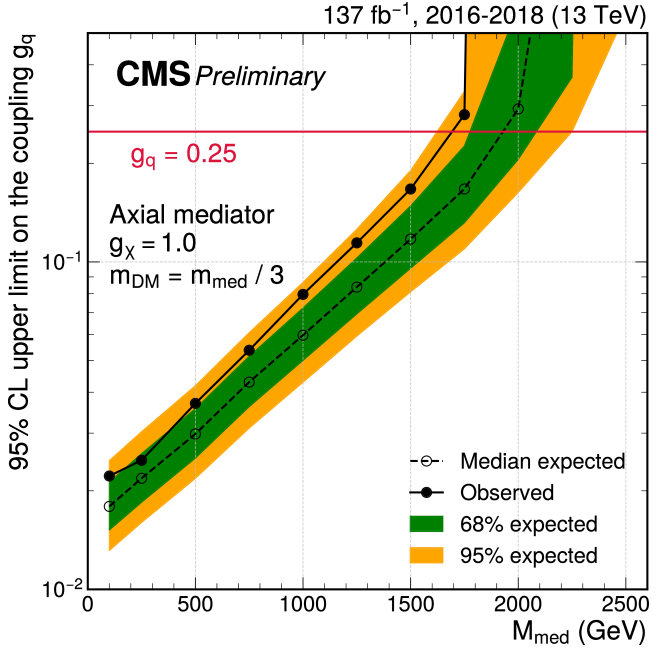
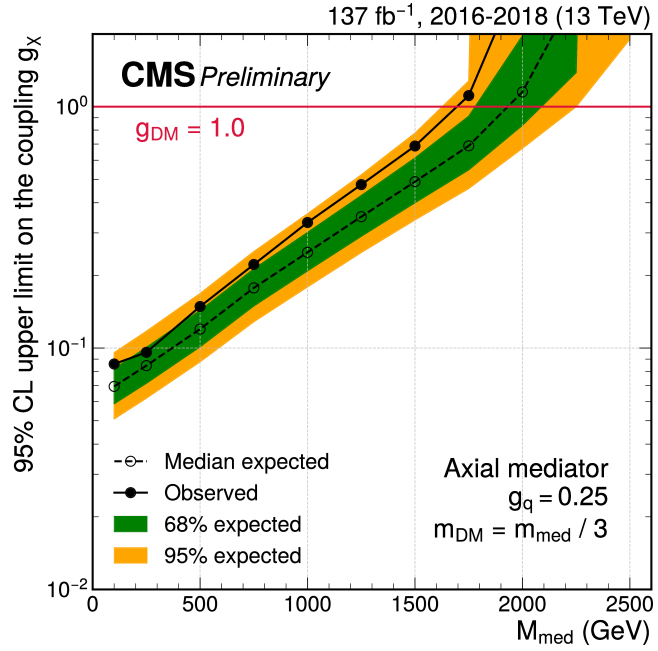


Point-to-point conversion from $m_{\text{med}} - m_{\text{DM}}$ contour to $\sigma_{\text{DM-nucleon}} - m_{\text{DM}}$ plane
 Show stronger limit at small m_{DM} for $\sigma_{\text{spin independent}}$ up to $m_{\text{DM}} = 1\text{TeV}$ for $\sigma_{\text{spin dependent}}$
 Note that the **red lines** are subject to specific choice of couplings

Simplified DM model: Spin-1 mediator coupling limit



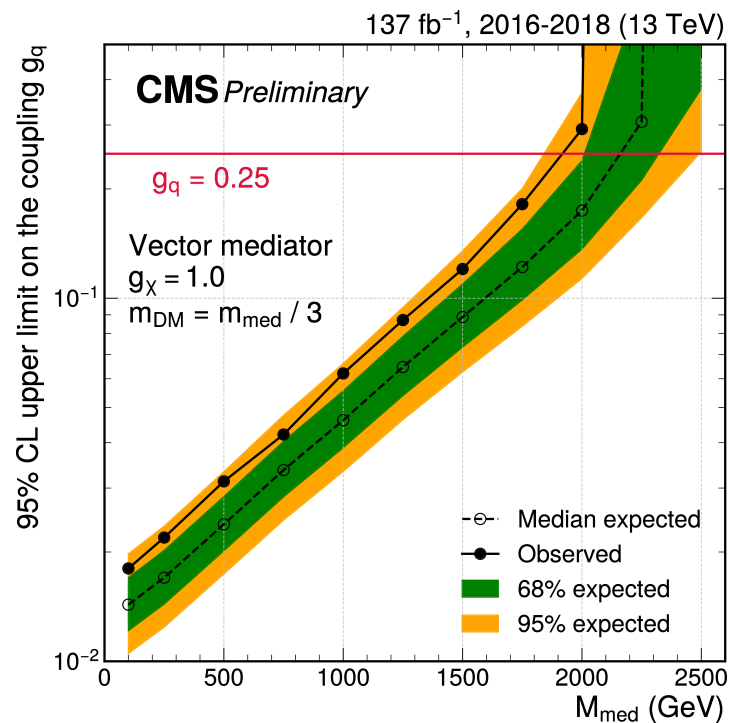
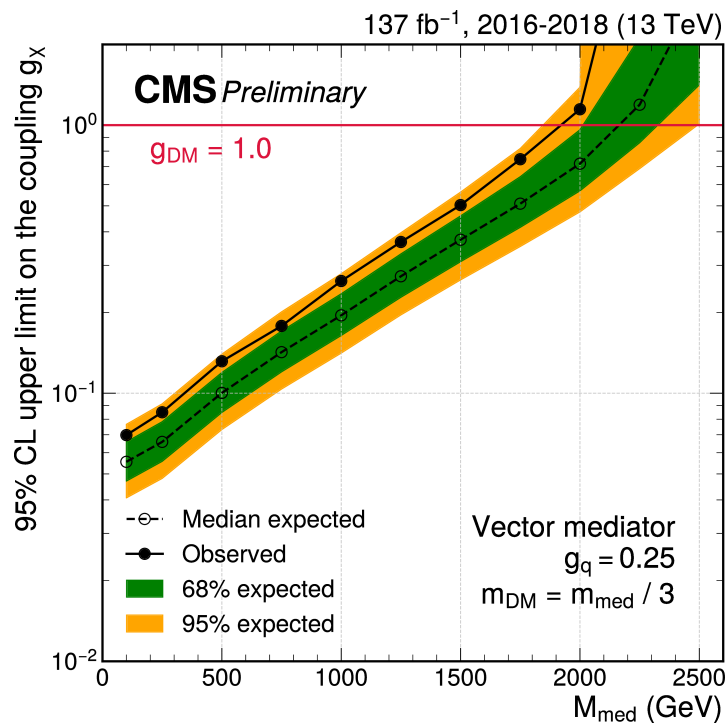
1D scan of mediator mass with $m_{DM} = \frac{m_{med}}{3}$



More than one additional order of magnitude in coupling limit approached compared to the nominal limit with 2D contour

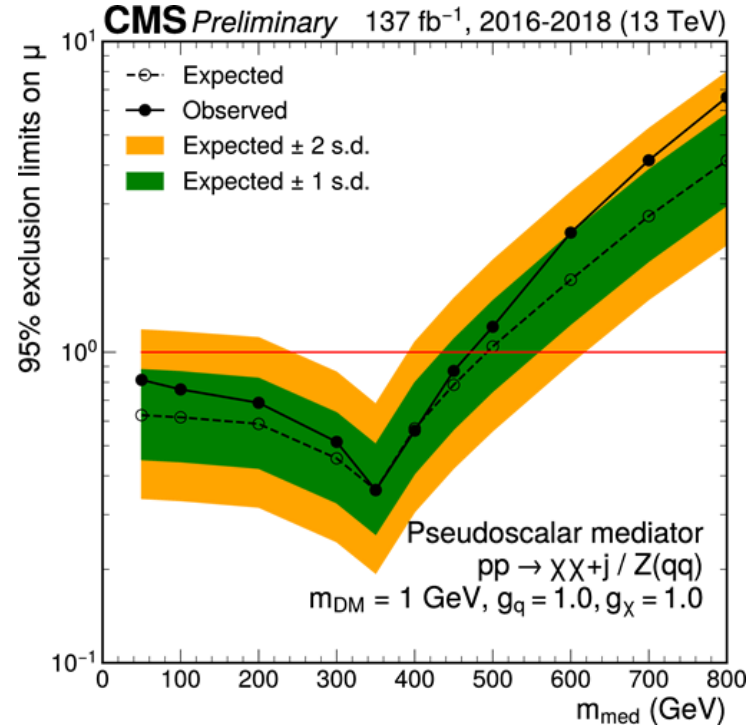
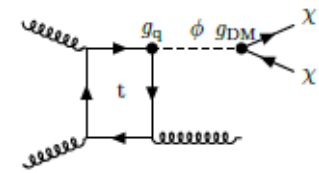
Same plots with vector mediators in [backup](#)

Simplified DM model: Spin-1 mediator coupling limit for vector mediators

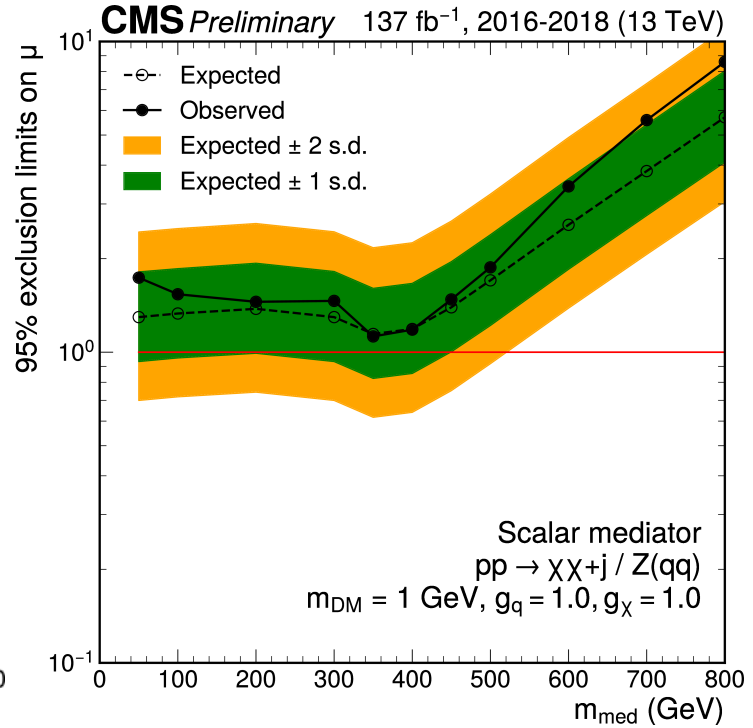


[same plots with axial vector mediators](#)

Simplified DM model: Spin-0 mediator



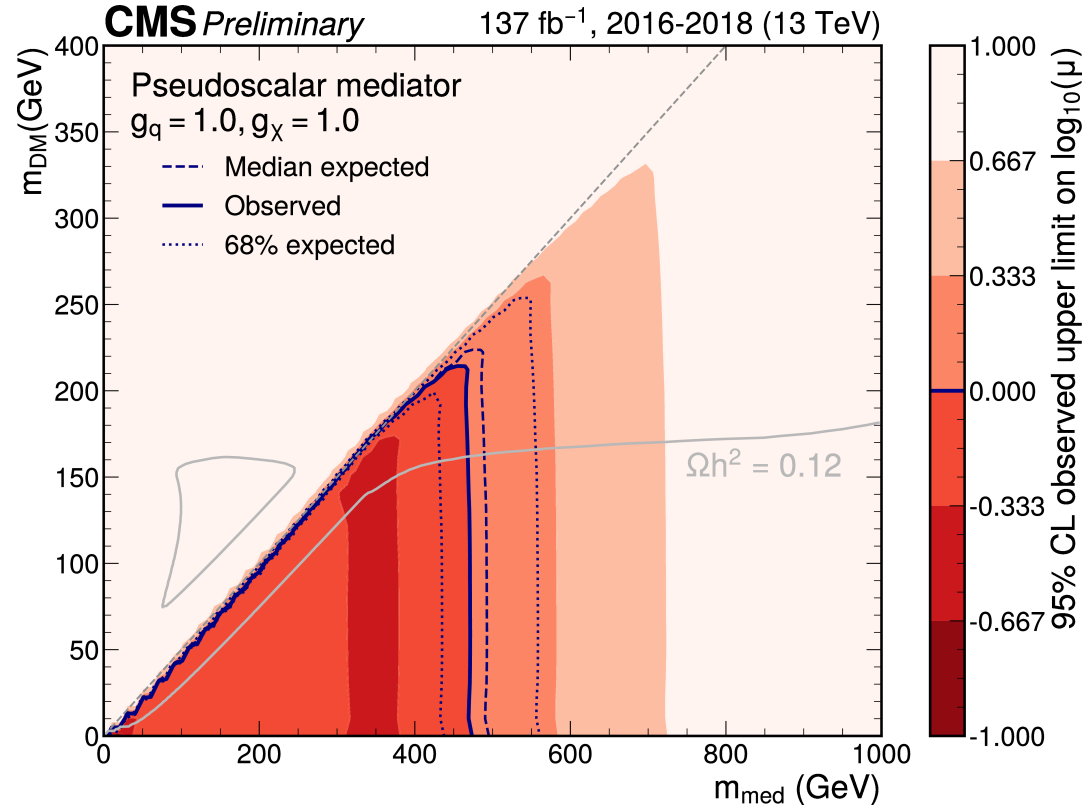
Exclude $m_{med} < 480 \text{ GeV}$ (460 exp)
 (60 GeV improvement (20 exp))



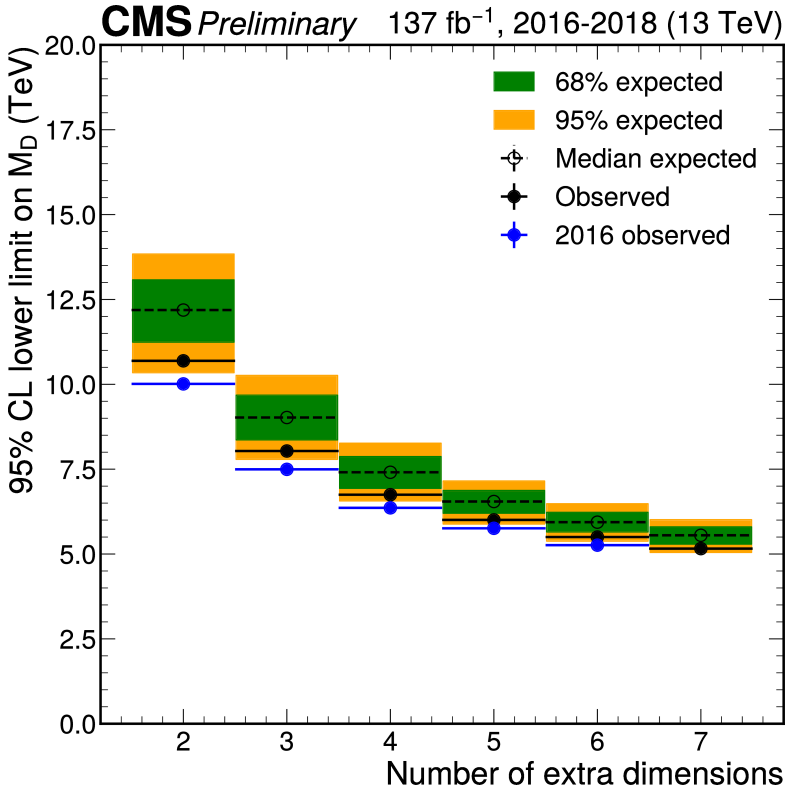
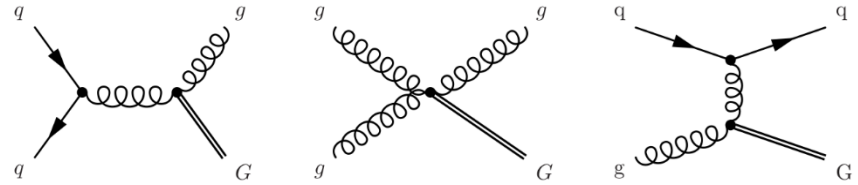
Exclusion around $\mu \approx 1.15-1.2$ (1.0-1.1)

There is also 2D limit contour on the $m_{med}-m_{DM}$ plane in [backup](#)

Two-dimensional exclusion in the simplified DM model with pseudoscalar mediator

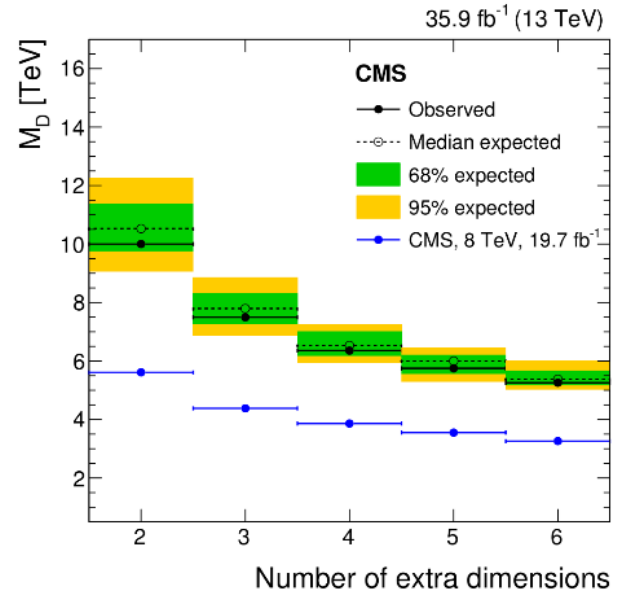


ADD extra dimensions



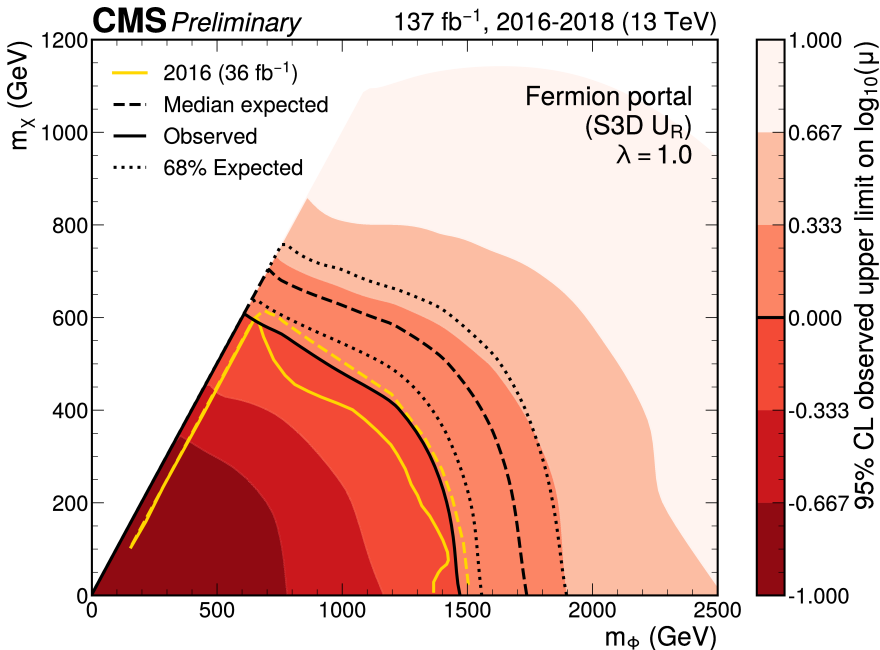
**Exclude MD < 5.4 – 10.8 TeV
(6-12 expected)**

2016 result

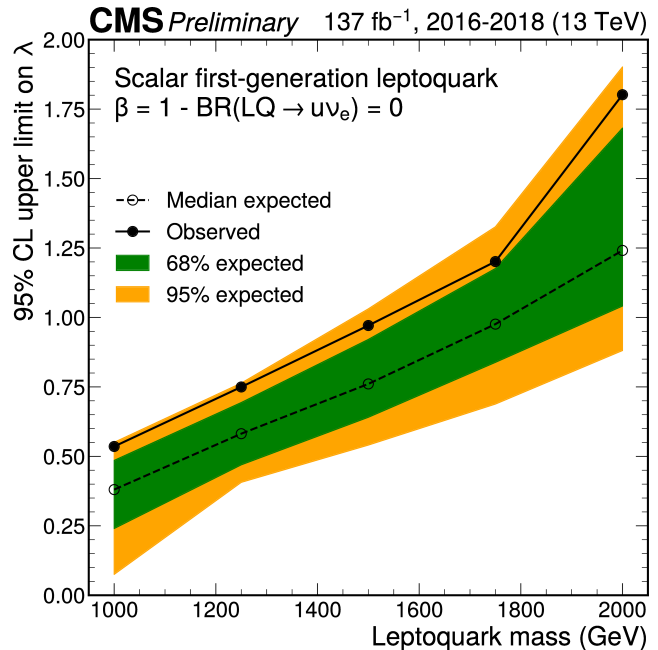


Fermion portal DM and LQ(qv)

Both cases: Single, pair and t channel production



Max exclusion around 1.5 TeV for m_ϕ



New interpretation, not done in '16
 low MLQ: $\lambda \rightarrow 0$, pair prod alone is excluded
 higher MLQ: single prod. dominates, exclude $\lambda=1$

Higgs invisible model (fine-binned information)

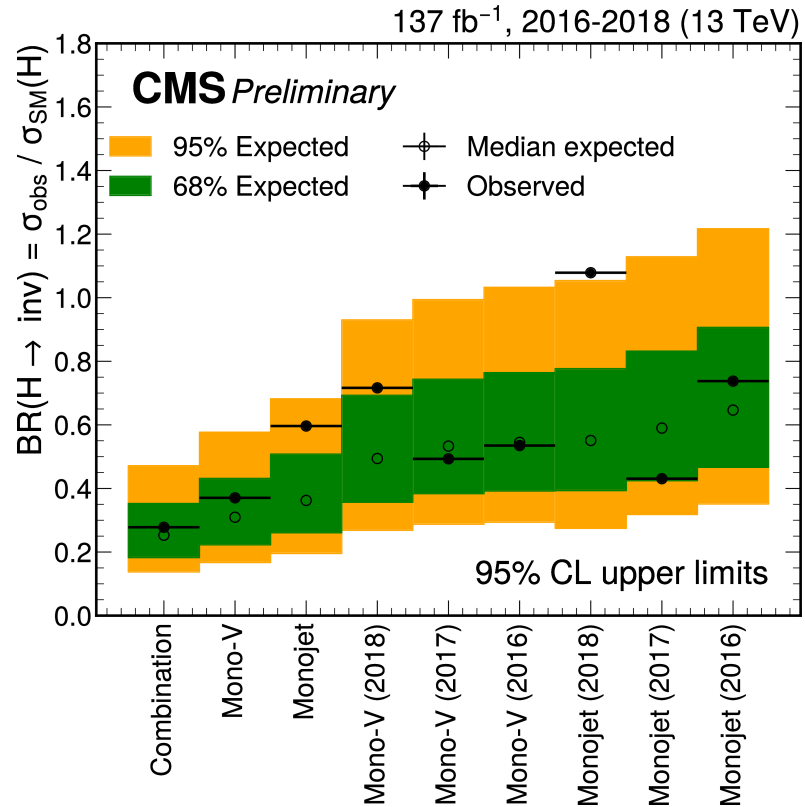


Table of exclusion limits in ADD model

d	Lower limit on M_D	
	Expected	Observed
2	12.2	10.7
3	9.0	8.0
4	7.4	6.7
5	6.5	6.0
6	5.9	5.5
7	5.6	5.2