

# Beyond the Standard Model Effective Field Theory: The Singlet Extended Standard Model

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S. Adhikari, **I.M. Lewis**, M. Sullivan, Physical Review D (2021) 075027

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  - Write a power expansion in inverse powers of a heavy new physics scale  $\Lambda$ :

$$\mathcal{L} = \mathcal{L}_{SM} + \sum_k \frac{c_{1,k}}{\Lambda} \mathcal{O}_{1,k} + \sum_k \frac{c_{2,k}}{\Lambda^2} \mathcal{O}_{2,k} + \dots$$

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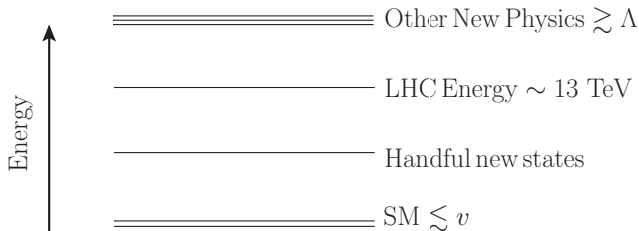
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- Any new high scale physics will induce these operators: the Standard Model Effective Field Theory is inevitable.
- Note: you can also classify according to topology. N. Craig, P. Draper, KC Kong, Y. Ng, D. Whiteson, arXiv:1610.09392; J.H. Kim, KC. Kong, B. Nachman, D. Whiteson JHEP 04 (2020) 030

# Simplified Models

- Assume only one or two particles accessible at the LHC, the rest are too heavy.



- As with Standard Model Effective Field Theory, the new physics beyond the LHC reach will inevitably manifest itself as an EFT:

$$\mathcal{L} = \mathcal{L}_{ren} + \sum_k \frac{c_{1,k}}{\Lambda} O_{1,k} + \sum_k \frac{c_{2,k}}{\Lambda^2} O_{2,k} + \dots$$

- Now  $\mathcal{L}_{ren}$  is the renormalizable theory, and the operators  $O_{n,k}$  consist of the fields of and are invariant under the symmetries of the simplified model.
- The goal: use EFT methods to test the assumptions of the simplified models:
  - Can the effects of heavy new physics be ignored?

# Case Study: Scalar Singlet EFT

- First, review the renormalizable model.
- Add a real gauge singlet, scalar singlet  $S$  to SM:

$$V(\Phi, S) = V_\Phi(\Phi) + V_{\Phi S}(\Phi, S) + V_S(S)$$

- Higgs potential:

$$V_\Phi(\Phi) = -\mu^2 \Phi^\dagger \Phi + \lambda (\Phi^\dagger \Phi)^2$$

- Scalar singlet potential:

$$V_S(S) = b_1 S + \frac{b_2}{2} S^2 + \frac{b_3}{3} S^3 + \frac{b_4}{4} S^4$$

- Mixing terms:

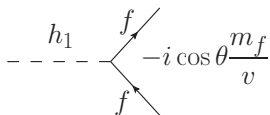
$$V_{\Phi S}(\Phi, S) = \frac{a_1}{2} \Phi^\dagger \Phi S + \frac{a_2}{2} \Phi^\dagger \Phi S^2$$

- After electroweak symmetry breaking, have two mass eigenstates:
  - $h_1$  with mass  $m_1 = 125$  GeV.
  - $h_2$  with mass  $m_2 > m_1$ .
  - SM Higgs and singlet scalar mix with a mixing angle  $\theta$ .

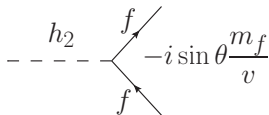


# Relevant Feynman Diagrams

- Couplings to fermions:

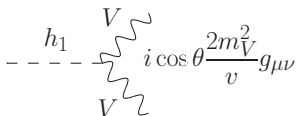


A Feynman diagram showing a dashed line labeled  $h_1$  on the left, which splits into two fermion lines labeled  $f$  on the right. The coupling constant is  $-i \cos \theta \frac{m_f}{v}$ .

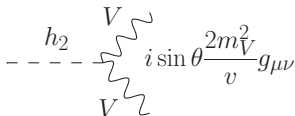


A Feynman diagram showing a dashed line labeled  $h_2$  on the left, which splits into two fermion lines labeled  $f$  on the right. The coupling constant is  $-i \sin \theta \frac{m_f}{v}$ .

- Couplings to gauge bosons:



A Feynman diagram showing a dashed line labeled  $h_1$  on the left, which splits into two wavy lines labeled  $V$  on the right. The coupling constant is  $i \cos \theta \frac{2m_V^2}{v} g_{\mu\nu}$ .



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- All SM-like Higgs rates suppressed  $\cos^2 \theta$  relative to SM predictions.
- Since  $h_2$  couplings to fermions and gauge bosons proportional to SM coupling, it is produced through same mechanisms as SM Higgs boson. Again, search predictions are relatively straight forward.

# Interpretation of Fits

- Bound parameters by a  $\chi^2$  fit to Higgs signal strengths:

$$\mu_i^f = \frac{\sigma_i(pp \rightarrow h_1)}{\sigma_{i,SM}(pp \rightarrow h_1)} \frac{\text{BR}(h_1 \rightarrow f)}{\text{BR}_{SM}(h_1 \rightarrow f)}$$

- Without effective operators:

$$\sigma(pp \rightarrow h_1 + X) = \cos^2 \theta \sigma_{SM}(pp \rightarrow h_1 + X) \quad \text{BR}(h_1 \rightarrow XX) = \text{BR}_{SM}(h_1 \rightarrow XX)$$

- $\theta$  is the mixing angle between Scalar singlet and SM Higgs.
- Then signal strengths to all initial and final states are the same:

$$\mu_i^f = \cos^2 \theta$$

- Hence, we have a simple interpretation at 95% CL:

$$|\sin \theta| < 0.24$$

- No longer true with effective operators. Different production and decay channels have different dependencies on the EFT, changing the interpretation of fits considerably [Dawson, Lewis PRD95 \(2017\) 015004](#)

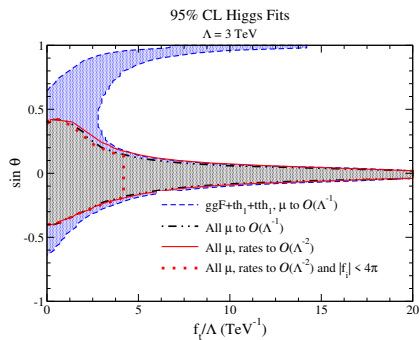
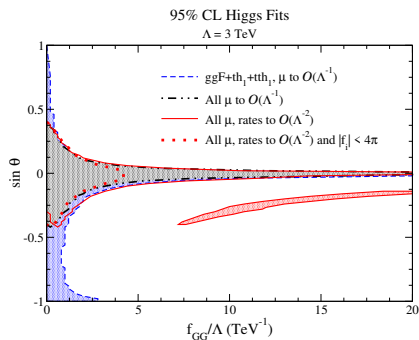
# Adding EFT operators

- What if we add non-renormalizable interactions to dimension-5?
  - Perturb the model and see how stable our conclusions are.

$$\begin{aligned}\mathcal{L} = & g_s^2 \frac{f_{GG}}{16\pi^2\Lambda} S G^{\mu\nu,a} G_{\mu\nu}^a + \frac{g'^2 c_{BB}}{16\pi^2\Lambda} S B^{\mu\nu} B_{\mu\nu} + \frac{g^2 c_{WW}}{16\pi^2\Lambda} S W^{\mu\nu,a} W_{\mu\nu}^a \\ & - \left( \frac{\sqrt{2}m_t}{v} \frac{f_t}{\Lambda} S \bar{Q}_{3L} \tilde{\Phi} t_R + \sum_{f=\tau,\mu,b} \frac{\sqrt{2}m_f}{v} \frac{f_f}{\Lambda} S \bar{F}_L \Phi f_R + \text{h.c.} \right) \\ & - \left( \frac{a_3}{2\Lambda} \Phi^\dagger \Phi S^3 + \frac{a_4}{2\Lambda} (\Phi^\dagger \Phi)^2 S + \frac{b_5}{5\Lambda} S^5 \right)\end{aligned}$$

- After scalar mixing, these operators introduce new interactions between the gauge bosons and the Higgs.
- See also [Baur, Butter, Gonzalez-Fraile, Plehn, Rauch PRD95 \(2017\) 055011](#) with dimension-6 terms, or [Dawson, Lewis PRD95 \(2017\) 015004](#) with only bosonic operators.

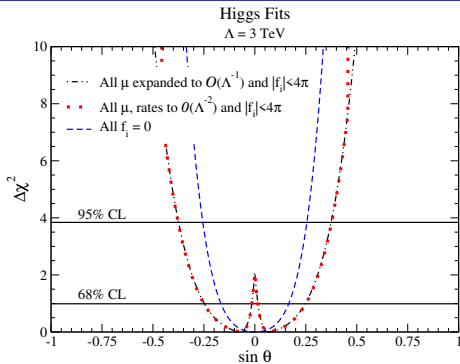
# 95% CL Fits to Higgs Data



2-D Fits to  $f_{GG}$ , other parameters profiled over.      2-D Fits to  $f_t$ , other parameters profiled over.

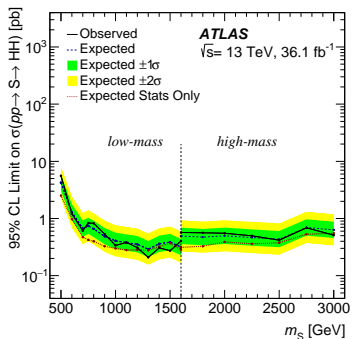
- Combination of all Higgs measurements from ATLAS and CMS.
- Renormalizable model corresponds to Wilson coefficients set to zero.
- Non-zero Wilson coefficients change bounds on scalar mixing angle.

# 1-D Fits: Comparing EFT to Renormalizable Model



- Combination of all Higgs measurements from ATLAS and CMS.
- Black and red: EFT
- Blue: Renormalizable model
- Clearly the EFT changes in interpretation of measurements and searches.
  - High scale new physics can have large impact on the simplified model.
- There are also direct searches for heavy scalar resonances that must be accounted for.

# Detour: Combining Higgs Fits with Direct Search Limits



- What is usually done:

Accept point if  $\sigma \leq \sigma_{obs}$ , Reject point if  $\sigma > \sigma_{obs}$

where  $\sigma_{obs}$  is the observed 95% CL upper limit.

- However, statistically, when combining many measurements you can have 2-sigma fluctuations, and this prescription does not allow for it.

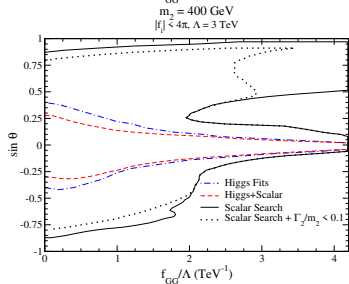
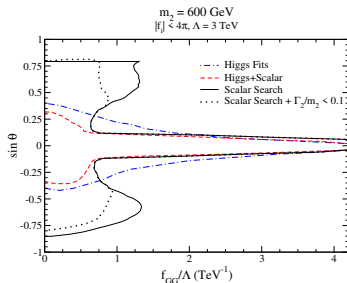
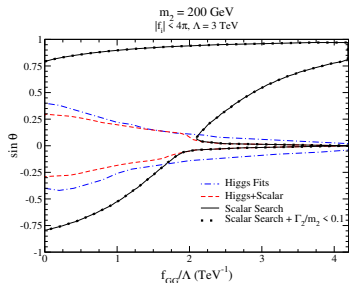
# Detour: Combining Higgs Fits with Direct Search Limits

- We proposed a new way of incorporating bounds from Brazilian bands:
  - First, work in Gaussian limit and assume no large upper fluctuations.
  - Assuming all data is SM-like, then every search is a SM measurement.
  - Each measurement has a 95% uncertainty band.
  - The upper limit of these error bands is the 95% limit on how large an additional signal can be on top of the signal.
- Make a series of assumptions:
  - Assume data in good agreement with the Standard Model.
  - Assume Gaussianity.
  - We ignored interference between signal and background.
- Hence, the  $\chi^2$  for direct searches:

$$\chi^2 = \begin{cases} \frac{(\sigma_{sig} - \sigma_{obs} + \sigma_{exp})^2}{(\sigma_{exp}/1.96)^2} & \text{if } \sigma_{obs} \geq \sigma_{exp} \\ \frac{(\sigma_{sig})^2}{(\sigma_{obs}/1.96)^2} & \text{if } \sigma_{obs} < \sigma_{exp} \end{cases}$$

- $\sigma_{sig}$ : signal cross section,  $\sigma_{obs}$ : observed upper limit,  $\sigma_{exp}$ : expected upper limit.
- Can check for one measurement and one degree of freedom the 95% CL gives  $\sigma_{sig} < \sigma_{obs}$ , consistent with usual approach.

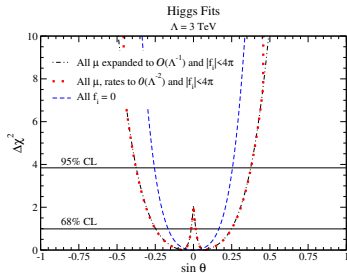
# Combined Higgs Fits and Direct Searches



- Scalar searches: combined CMS and ATLAS measurements of all relevant final states.
- Renormalizable model corresponds to Wilson coefficients set to zero
- Non-zero Wilson coefficients open up new regions of allowed mixing angle

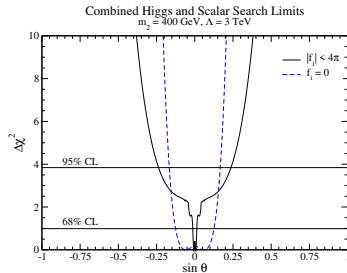
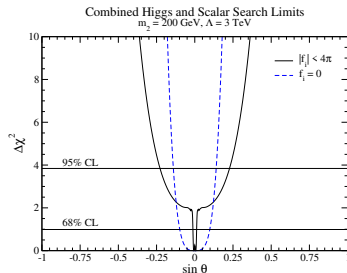


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Higgs fits only.

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# Conclusions

- The LHC has completed two very successful runs and the data analysis is under way.
- Still may expect to see new physics. Two interesting ways forward:
  - Simplified models: only a few new particles at LHC energies.
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  - Could have complementary information.
- Another example of BSM EFT: top partner can couple to top and gluons/photons via a chromomagnetic and magnetic dipole operator:
  - Introduces new decay channels can open:  $T \rightarrow t\gamma$  and  $T \rightarrow tg$  [Kim, Lewis, JHEP 05 \(2018\) 095](#); [Alhazmi, Kim, Kong, Lewis JHEP 01 \(2019\) 139](#)
  - New production modes:  $gg \rightarrow Tt$  [Kim, Lewis, JHEP 05 \(2018\) 095](#); also see Xing Wang's talk yesterday

# Thank You

# Comment on Counting

- First, review regular EFT counting with amplitude to dimension-6:
  - Amplitude has terms up to  $\Lambda^{-2}$ .
  - Amplitude squared includes terms that go as  $\Lambda^{-4}$ .

$$|\mathcal{A}|^2 \sim \left| g_{SM} + \frac{c_{dim-6}}{\Lambda^2} \right|^2 \sim g_{SM}^2 + g_{SM} \times \frac{c_{dim-6}}{\Lambda^2} + \frac{c_{dim-6}^2}{\Lambda^4}$$

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- $g_{SM}$  is a generic Standard Model coupling.
- Same order as dimension-8 contributions:

$$\begin{aligned} |\mathcal{A}|^2 &\sim \left| g_{SM} + \frac{c_{dim-6}}{\Lambda^2} + \frac{c_{dim-8}}{\Lambda^4} \right|^2 \\ &\sim g_{SM}^2 + g_{SM} \times \frac{c_{dim-6}}{\Lambda^2} + \frac{c_{dim-6}^2}{\Lambda^4} + g_{SM} \times \frac{c_{dim-8}}{\Lambda^4} + O(\Lambda^{-6}) \end{aligned}$$

- Validity of keeping dimension-6 squared without dimension-8:
  - Strongly interacting theory:  $c \gg g_{SM}$  so that  $c_{dim-6}^2 \gg c_{dim-8} \times g_{SM}$ .
  - Or the UV completion suppresses the dimension-8 terms.



# Beyond the SM EFT Counting

- Consider production or decay of an  $h_1$ . Then to dimension-6 there are three contributions:
  - Renormalizable amplitude proportional to SM amplitude:  $A_{ren} \sim \cos\theta A_{SM}$ .
  - Dimension-5 amplitude from the new scalar:  $A_{5,S}$
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  - Dimension-6 amplitude from SMEFT:  $A_{6,SM}$ .

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- Full amplitude:

$$A_{h_1} \sim \cos\theta A_{SM} + \cos\theta \frac{A_{6,SM}}{\Lambda^2} + \sin\theta \left( \frac{A_{5,S}}{\Lambda} + \frac{A_{6,S}}{\Lambda^2} \right) + O(\Lambda^{-3})$$

- Amplitude squared:

$$\begin{aligned} |A_{h_1}|^2 &\sim \cos^2\theta |A_{SM}|^2 + \sin\theta \cos\theta \frac{A_{SM} A_{5,S}}{\Lambda} \\ &+ \frac{1}{\Lambda^2} \left( \sin^2\theta |A_{5,S}|^2 + \sin\theta \cos\theta A_{SM} A_{6,S} + \cos^2\theta A_{SM} A_{6,SM} \right) + O(\Lambda^{-3}) \end{aligned}$$

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- Large mixing angle limit:  $\sin \theta \rightarrow \pm 1$  and  $\cos \theta \rightarrow 0$ 
  - The amplitude becomes:

$$|A_{h_1}|^2 \rightarrow \frac{|A_{5,S}|^2}{\Lambda^2} + O(\Lambda^{-3})$$

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- Counting depends on the angle.

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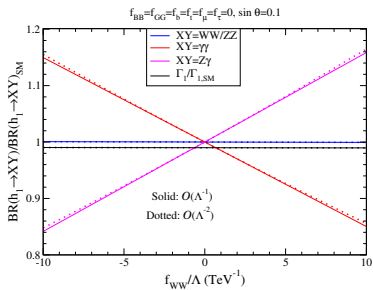
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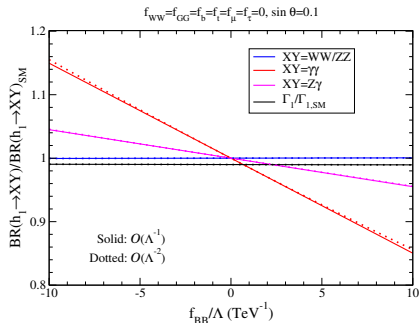
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- Counting depends on the angle.
- For  $h_2$  production  $\sin \theta \leftrightarrow \cos \theta$  up to signs. The  $|A_{5,S}|^2$  dominates at small angles.

# Direct Contributions to Important Branching Ratios



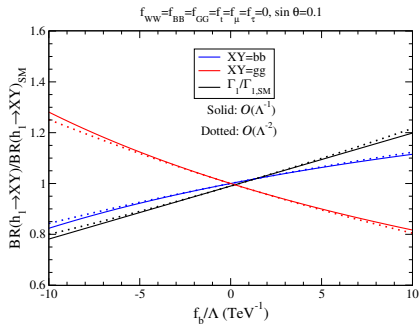
Nonzero effective  $W$  coupling.



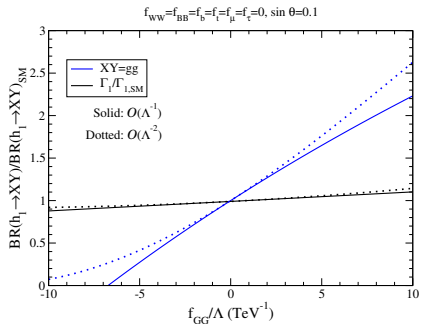
Nonzero effective hypercharge coupling.

Adhikari, Lewis, Sullivan, arXiv:2003.10449

# Indirect Contributions to Important Branching Ratios



Nonzero effective b-quark coupling.

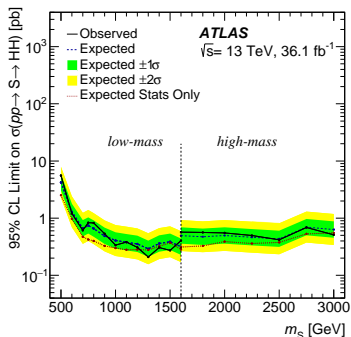


Nonzero effective gluon coupling.

Adhikari, Lewis, Sullivan, arXiv:2003.10449



# Detour: Combining Higgs Fits with Direct Search Limits



- With this logic, we can construct a  $\chi^2$ :

$$\chi^2 = \frac{(\sigma_{\text{SM}+\text{sig}} - \hat{\sigma}_{\text{SM}+\text{sig}})^2}{(\sigma_{\text{exp}}/1.96)^2}$$

- $\sigma_{\text{SM}+\text{sig}}$  is the predicted SM+signal cross section
- $\hat{\sigma}_{\text{SM}+\text{sig}}$  is the measured rate in a signal search
- $\sigma_{\text{exp}}$  is the expected 95% CL upper limit.

# Detour: Combining Higgs Fits with Direct Search Limits

- Ignoring interference, we can approximate the SM+signal cross section as the addition of the SM and signal cross sections

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- For the measurement, we assume that it is mostly SM like and that any deviation is reflected in the deviation between the expected and observed 95% CL:

$$\hat{\sigma}_{\text{SM}+\text{sig}} = \sigma_{\text{SM}} + \sigma_{\text{obs}} - \sigma_{\text{exp}},$$

where  $\sigma_{\text{obs}}$  is the observed 95% CL.

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- The  $\chi^2$  then becomes:

$$\chi^2 = \frac{(\sigma_{\text{SM}+\text{sig}} - \hat{\sigma}_{\text{SM}+\text{sig}})^2}{(\sigma_{\text{exp}}/1.96)^2} = \frac{(\sigma_{\text{sig}} - \sigma_{\text{obs}} + \sigma_{\text{exp}})^2}{(\sigma_{\text{exp}}/1.96)^2}$$

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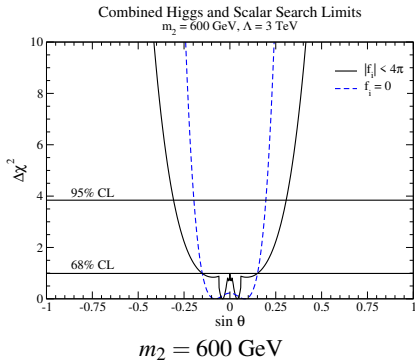
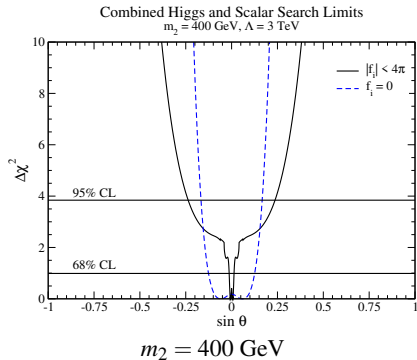
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- Hence, the  $\chi^2$  for direct searches:

$$\chi^2 = \begin{cases} \frac{(\sigma_{sig} - \sigma_{obs} + \sigma_{exp})^2}{(\sigma_{exp}/1.96)^2} & \text{if } \sigma_{obs} \geq \sigma_{exp} \\ \frac{(\sigma_{sig})^2}{(\sigma_{obs}/1.96)^2} & \text{if } \sigma_{obs} < \sigma_{exp} \end{cases}$$

- Can check for one measurement and one degree of freedom the 95% CL gives  $\sigma_{sig} < \sigma_{obs}$ , consistent with usual approach.
- Now can combine measurements and limits in a statistically consistent way.

# 1-D Fits: Comparing EFT to Renormalizable Model



- Black: EFT
- Blue: Renormalizable model
- Clearly the EFT changes in interpretation of measurements and searches.