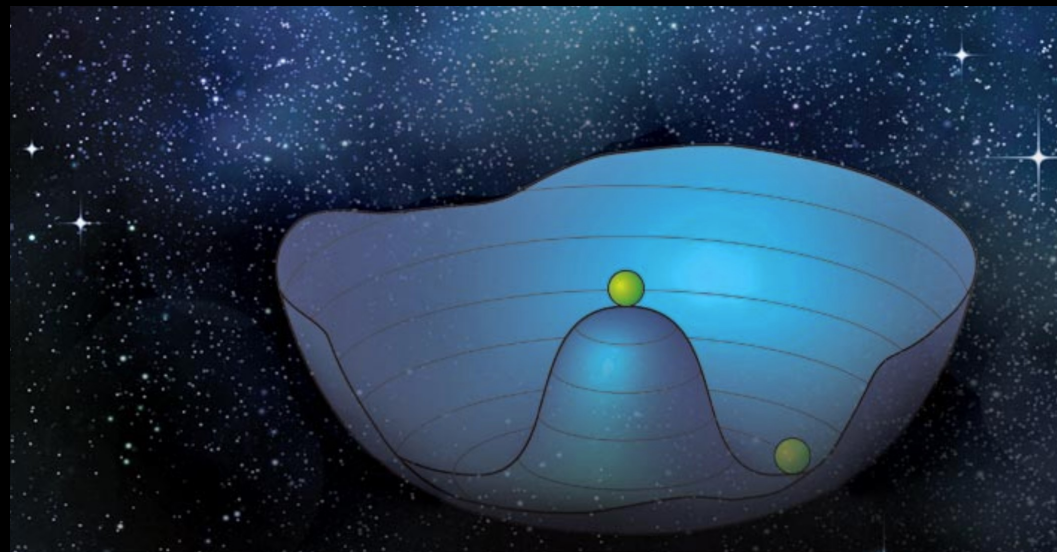


# First Order Electroweak Phase Transition from sub-GeV Physics

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Based on: H.D., Phys.Rev.D 103 (2021) 8, 083534; 2101.05319 [hep-ph]

DPF21 (virtual), July 12-14, 2021

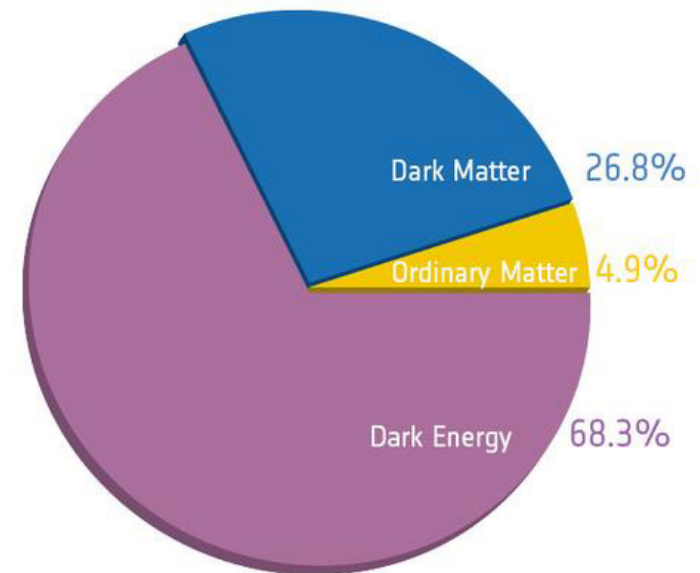
# Introduction:

- A mostly “dark” Universe of unknown physics  
Dark Energy:  $\sim 68\%$  ; Dark Matter:  $\sim 27\%$

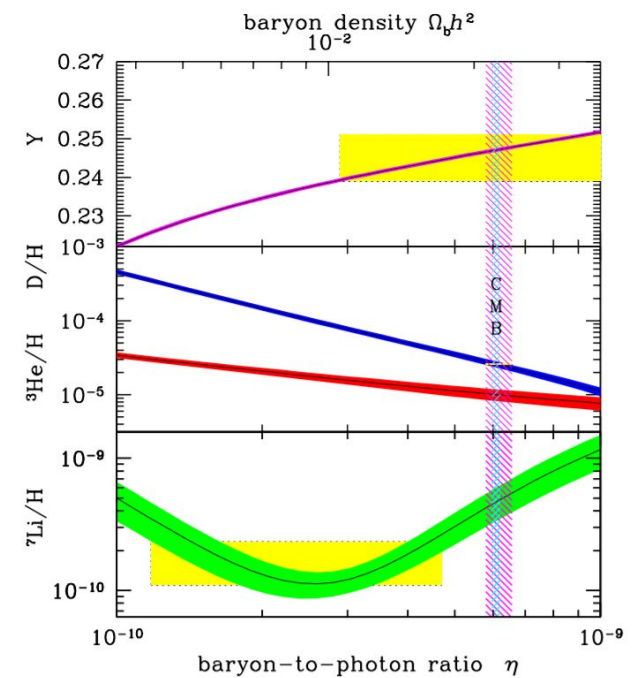
- “Visible” Universe:  $\sim 5\%$ 
  - Known physics (atoms)
  - But how did it come about?

- Matter dominates over anti-matter
  - Lack of cosmic annihilation signals, CMB, ...

- Baryon asymmetry established after inflation
  - Need a *baryogenesis* mechanism



Planck



PDG

- Baryogenesis generally requires *departure from equilibrium*  
Sakharov, 1967

- Could be satisfied for first order phase transition (FOPT)

- Typically assumed that EW FOPT requires

Critical temperature  $T_c$ , Higgs vev  $v$

$$\frac{v(T_c)}{T_c} \gtrsim 1$$



- SM: thermal contributions of  $W, Z$  gauge bosons *E.g., Quiros, 1999*

$$\frac{v(T_c)}{T_c} = \frac{2m_W^3 + m_Z^3}{3\pi\lambda_H v^3} \approx 0.1$$

- SM Higgs quartic coupling  $\lambda_H \approx 0.13$  for  $m_H \approx 125$  GeV

$v = 246$  GeV,  $\langle H \rangle = v/\sqrt{2}$

- Since  $m_W, m_Z \propto v$ , FOPT for  $\lambda_H \rightarrow \sim \lambda_H/10$  ( $m_H \sim 40$  GeV)

- Motivates beyond SM (BSM) physics

- Typically, expect states near weak scale and significant coupling to Higgs

See, e.g., Ramsey-Musolf, 1912.07189, and references therein

## This talk\*:

### Different approach with sub-GeV physics weakly coupled to Higgs

- *Basic idea:  $\lambda_H$  small before EWPT, grows to SM value afterwards*
- Stability near weak scale suggests small top Yukawa before EWPT
- Extension: Yukawa couplings for all SM fermions *after* EWSB
  
- Other works considering alternative approaches include
  - Using weakly coupled intermediate mass  $\gtrsim 10$  GeV scalars: Jeong, Jung, Shin, 1806.02591; Kozaczuk, Ramsey-Musolf, Shelton, 1911.10210
  - Via axion-like particles: Jeong, Jung, Shin, 1811.03294

\* We will not specify a baryogenesis mechanism, but consider FOPT as a potential key ingredient

## A Model:

H.D., 2101.05319

$$V(\phi, H, \eta) = \frac{m_{0\phi}^2}{2}\phi^2 - (\mu_0^2 + 2\mu\phi)H^\dagger H + \left(\lambda_0 + 2\frac{\phi^2}{M^2}\right)(H^\dagger H)^2 + \frac{\kappa}{4}\phi^2\eta^2$$

- $M \gg m_H$ ;  $0 < \mu \ll m_H$ ; softly broken  $\mathbb{Z}_2$  acting on  $\phi$
- Solutions with  $\partial_h V = \partial_\phi V = \partial_\eta V = 0$ ; set  $\langle \eta \rangle = 0$
- Background value of  $\phi$  at  $T = 0$  (tadpole term  $\propto \phi H^\dagger H$ )

$$\bar{\phi} = \frac{\mu v^2 M^2}{m_{0\phi}^2 M^2 + v^4}$$

- SM values at  $T = 0$ ,  $\mu_H \approx 89$  GeV and  $\lambda_H \approx 0.13$ , require

$$\mu_H^2 = \mu_0^2 + 2\mu\bar{\phi} \quad \text{and} \quad \lambda_H = \lambda_0 + 2\frac{\bar{\phi}^2}{M^2} \quad (\text{A})$$

- Parameterize  $\lambda_0 = \varepsilon\lambda_H$ , with  $\varepsilon \lesssim 0.1$
- **Thermal effects**  $\propto \kappa$  from  $\eta$ :  $\frac{\phi}{M} \ll 1$  for  $T \gtrsim 10$  GeV ( $\kappa \sim 10^{-4}$ ,  $m_\eta \sim 10$  TeV)
- Benchmark values:  $\varepsilon = 0.1$  and  $M = 4.0 \times 10^3$  TeV; fix  $\bar{\phi}$  from (A)

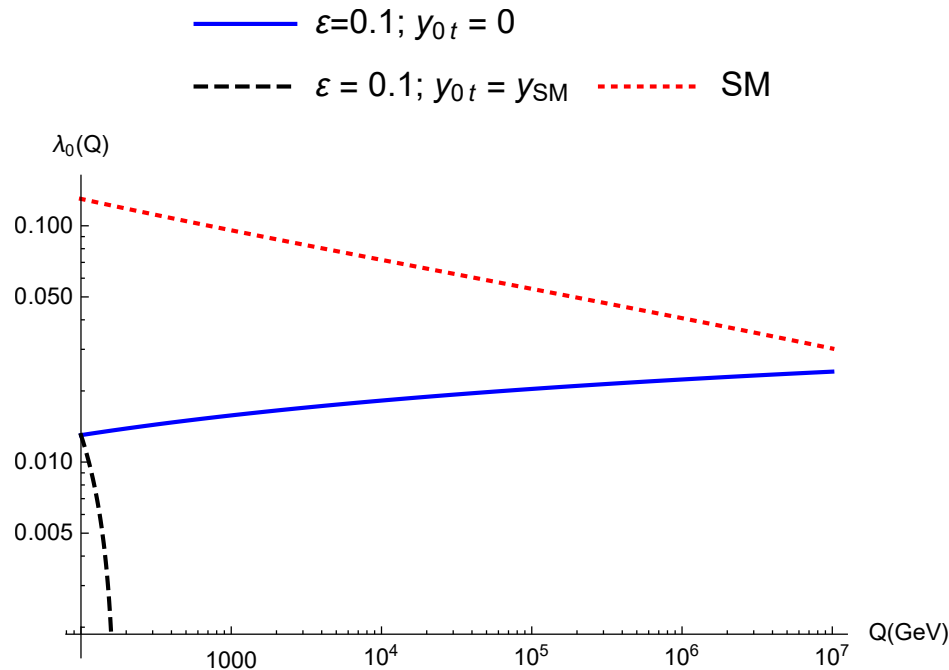
# UV Stability

Higgs quartic running:  $16\pi^2 \frac{d\lambda_0}{dt} = 24\lambda_0^2 + \lambda_0(12y_t^2 - 9g^2 - 3g'^2) - 6y_t^4 + \frac{9}{8}g^4 + \frac{3}{8}g'^4 + \frac{3}{4}g^2g'^2$

$\{g, g'\}$  are  $\{SU(2)_L, U(1)_Y\}$  gauge couplings,  $t \equiv \ln Q/Q_0$ , with  $Q_0 = 100$  GeV reference scale

- Benchmark values:  $\lambda_0 < 0$  (“instability”) for  $Q \gtrsim 170$  GeV; too close to  $T_c$
- Arrange for vanishing  $y_t$  before FOPT [ $Q_L = (t, b)_L; t_R \mathbb{Z}_2$  odd]

$$O_t = \frac{\phi}{M_t} H^* \epsilon \bar{Q}_L t_R + \text{H.C.} \Rightarrow y_t^{\text{SM}}(Q_0) = y_{0t}(Q_0) + \frac{\bar{\phi}}{M_t}$$



$\lambda_0(Q_0) = 0.1\lambda_H$ , with  $Q_0 = 100$  GeV;  $y_{0t} = 0$ ; for  $y_t^{\text{SM}}(Q_0) \approx 0.92 \rightarrow M_t \approx 1.1 \times 10^3$  TeV

# Flavor from $\phi$

- Extending  $\phi$ -induced Yukawa coupling to all fermions  $F$ : SM  $SU(2)_L$  doublet

$$O_f \sim \frac{\phi}{M_f} H \bar{F}_L f_R + \text{H.C.}$$

- Assuming vanishing initial Yukawa couplings

$$y_f^{\text{SM}}(Q_0) = \frac{\bar{\phi}}{M_f} \Rightarrow M_f = \frac{y_t^{\text{SM}}(Q_0)}{y_f^{\text{SM}}(Q_0)} M_t$$

- *Direct* coupling of the fermion  $f$  to  $\phi$ :  $\xi_f = \langle H \rangle / M_f$
- Including mixing, total coupling  $\bar{\xi}_f \equiv \xi_f + \theta y_f^{\text{SM}}$  (One can show  $\theta y_f \sim \xi_f$  in this model)
- Benchmark values:  $\phi$  decay length typically macroscopic (missing energy signal)

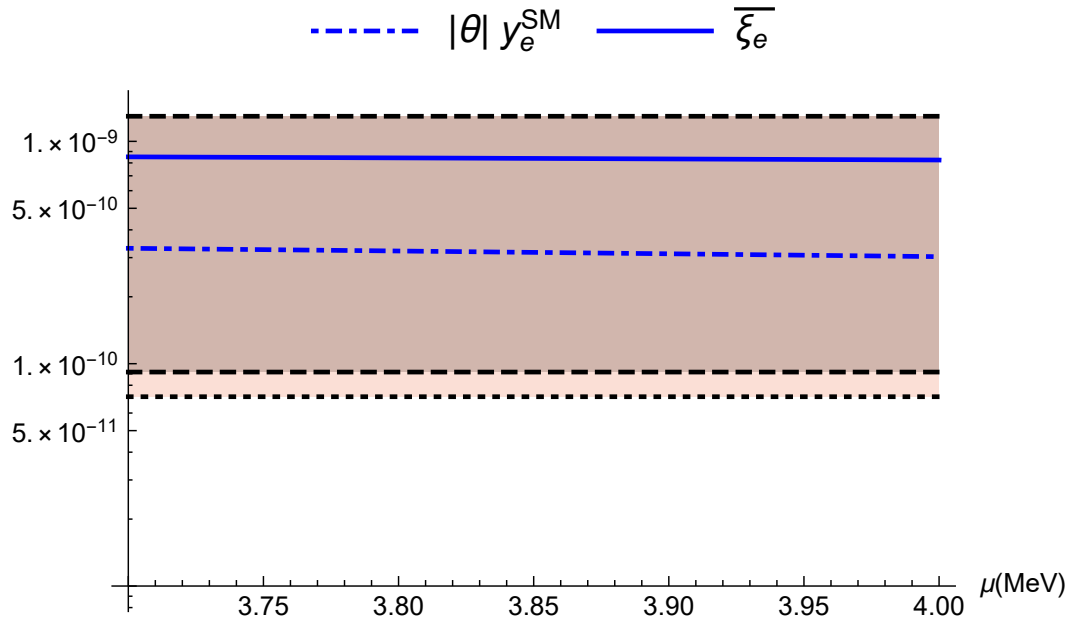
$$c \tau_\phi \approx \frac{8\pi}{\bar{\xi}_e^2 m_\phi} \approx 8.4 \times 10^3 \text{ km} \left( \frac{8.4 \times 10^{-20}}{\bar{\xi}_e^2} \right) \left( \frac{7 \text{ MeV}}{m_\phi} \right)$$

$c$  speed of light and  $y_e \approx 2.9 \times 10^{-6}$  in SM

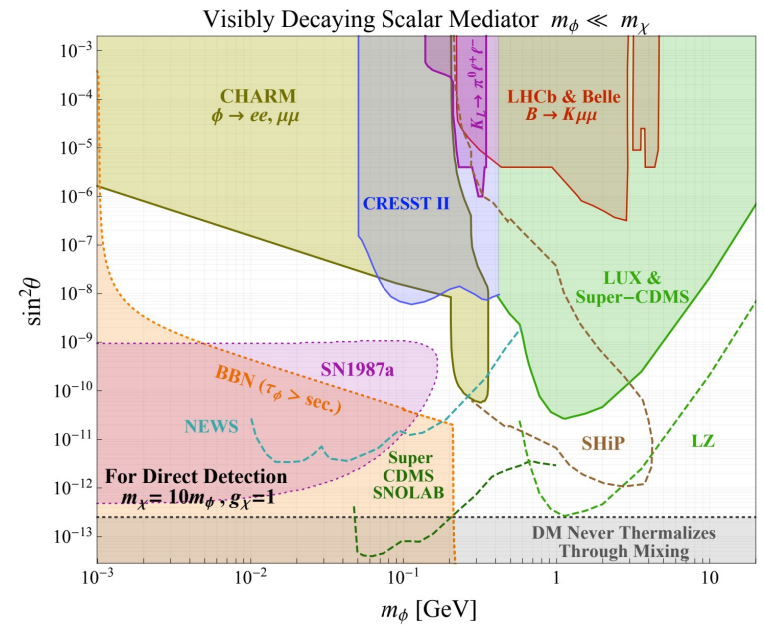
$\varepsilon$	$\kappa$	$M$ (TeV)	$M_t$ (TeV)
0.1	$10^{-4}$	$4.0 \times 10^3$	$1.1 \times 10^3$

Benchmark input parameters

- For purposes of illustration, let  $m_\phi = 7$  MeV (typical for our parameters)



- Allowed region between dashed lines  
G. Krnjaic, 2015
- Revised SN bounds  
Dev, Mohapatra, Zhang, 2020  
- BBN sets lower limit, dotted line
- We get  $\bar{\xi}_t \approx 2.6 \times 10^{-4}$ ; allowed by known bounds  
See, e.g., Foroughi-Abari, Ritz, 2020



From G. Krnjaic, Phys. Rev. D 94, 073009 (2016), 1512.04119

# Low Energy Tests

- $\phi$  can appear as missing energy in rare meson decays
  - Example: KOTO, measuring  $K_L \rightarrow \pi^0 \bar{\nu} \nu$ , to  $\sim 10\%$
  - SM prediction for branching fraction:  $3.4 \pm 0.6 \times 10^{-11}$   
Cirigliano, Ecker, Neufeld, Pich, Portoles, 2011; Egana-Ugrinovic, Homiller, Meade, 2019
  - A few anomalous events were observed by KOTO [Shinohara \(KOTO\), 2020](#)
  - $\phi$ -top coupling in the 1-loop penguin diagram for  $K_L \rightarrow \pi^0 \phi$  can mimic the events
  - $\phi$ -top coupling  $\sim 2 \times 10^{-4}$  and  $m_\phi \lesssim 50$  MeV could yield a  $2\sigma$  explanation  
Egana-Ugrinovic, Homiller, Meade, 2019; Kitahara, Okui, Perez, Soreq, Tobioka, 2019
  - KOTO has since concluded events are consistent with background  
[Ahn et al. \(KOTO\), 2020](#)
  - Future KOTO measurements can potentially probe  $\bar{\xi}_t \lesssim 10^{-5}$
  - Generically, also expect gravitational waves from the FOPT
- Possibly detectable by e.g. LISA [See, for example, Caprini et al., 2019](#)

# Summary

- FOPT: key element in EW baryogenesis, not feasible in SM with  $m_H \approx 125$  GeV
- Typical extensions: particles near weak scale with  $\mathcal{O}(1)$  couplings to get FOPT
- We propose that a smaller *initial* Higgs quartic  $\lambda_H$  could result in a FOPT
- Proposal: dynamics of a **weakly coupled sub-GeV scalar  $\phi$**  later yields SM
- Stability: **top Yukawa** initially small or zero, grows with  $\phi$  to observed value
- Extension to all fermions: governed by scales  $\sim 10^3$  TeV ( $t$ ) to  $\sim \bar{M}_P$  (Dirac  $\nu$ )
- $\phi$  typically long-lived, can give missing energy signals in rare meson decays
- KOTO, for example, can probe this scenario in  $K_L \rightarrow \pi^0 +$  “missing energy”
- Generically also expect primordial gravitational waves from weak scale FOPT
- Potential signal for future observatories, like LISA