

# A Minimal Structure for the String Landscape

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## Based on:

2010.15838

2105.02232 with Brice Bastian, Damian van de Heisteeg

2107.nnnn with Erik Plauschinn, Damian van de Heisteeg

21xx.nnnn with Christian Schnell, Ben Bakker, Jacob Tsmierman

# Outline

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## Part 1: Four lessons about the complex structure moduli space and moduli stabilization

→ Scalar field spaces and scalar potentials in Type IIB flux compactifications are remarkably constrained.

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→ Constraints are 'just enough' to ensure non-trivial finiteness property.

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## Part 3: Structure ensuring finiteness

→ o-minimal structures and tame topology to describe the landscape

# Flux compactifications: some lessons we learned

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# Type IIB / F-theory flux compactifications

- Type IIB flux compactifications review: [Graña] [Kachru,Douglas] ...

background flux:  $F_3, H_3 \in H^3(Y_3, \mathbb{Z})$   $\int_{Y_3} F_3 \wedge H_3 < K$

vacuum condition:  $*G_3 = iG_3$   $G_3 = F_3 - \tau H_3$

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→ well studied set of N=0,1 vacua with (partially) fixed complex structure moduli, backreaction under control, higher-derivative corrections consistently included

[Becker,Becker]...[TG,Pugh,Weissenbacher]...[Cicoli,Quevedo,Savelli,Schachner,Valandro]



# Complex structure moduli space

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- Hodge star  $*$  changes over complex structure moduli space → complicated

# Complex structure moduli space

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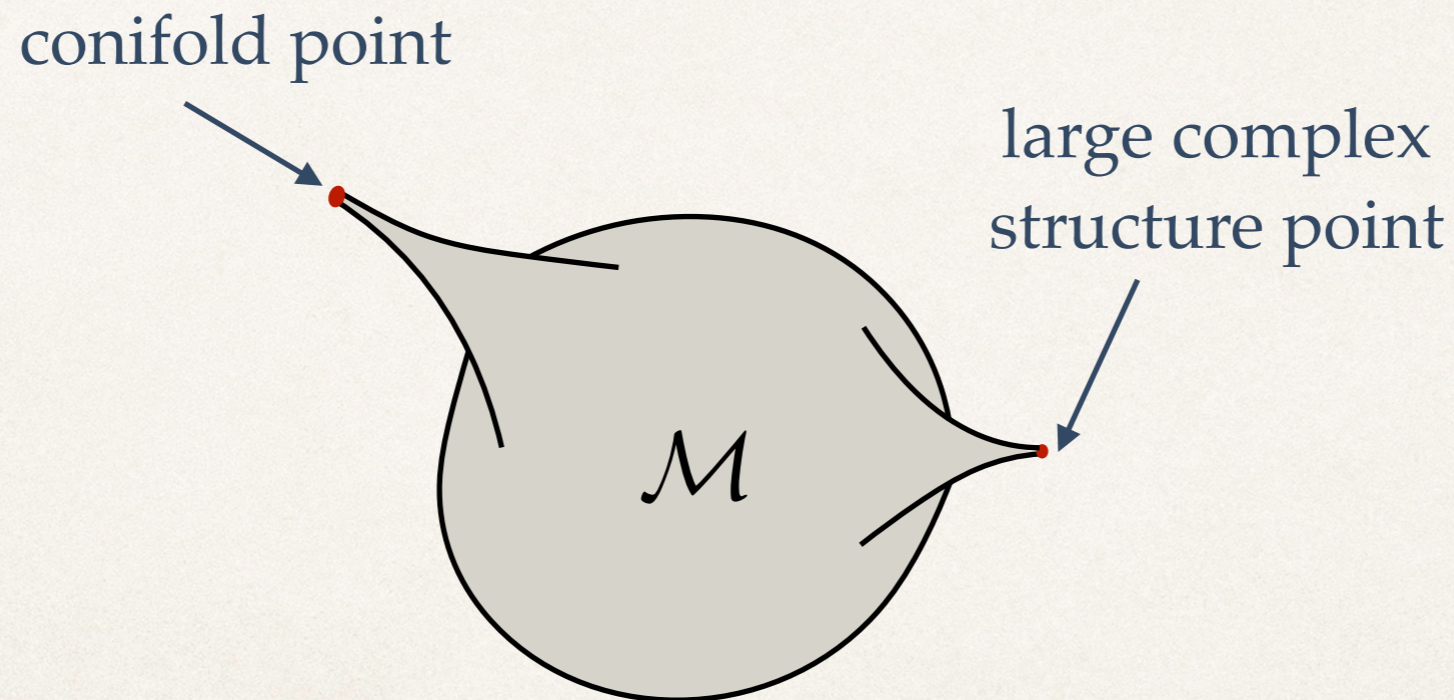
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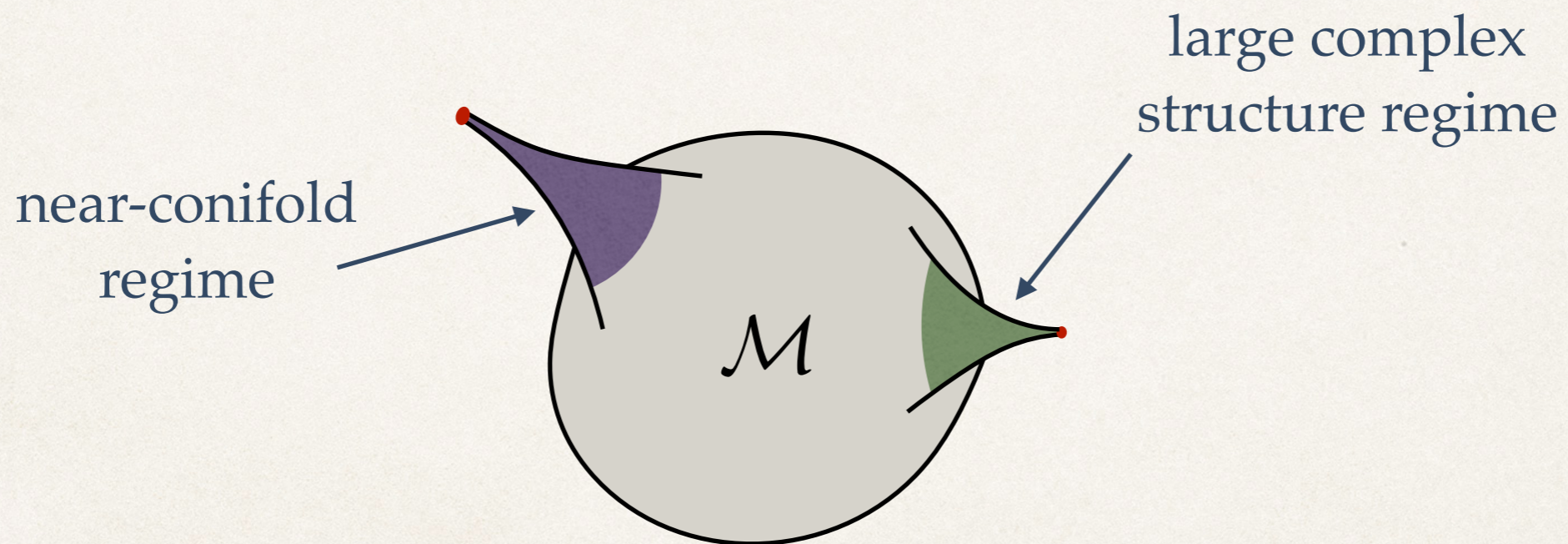
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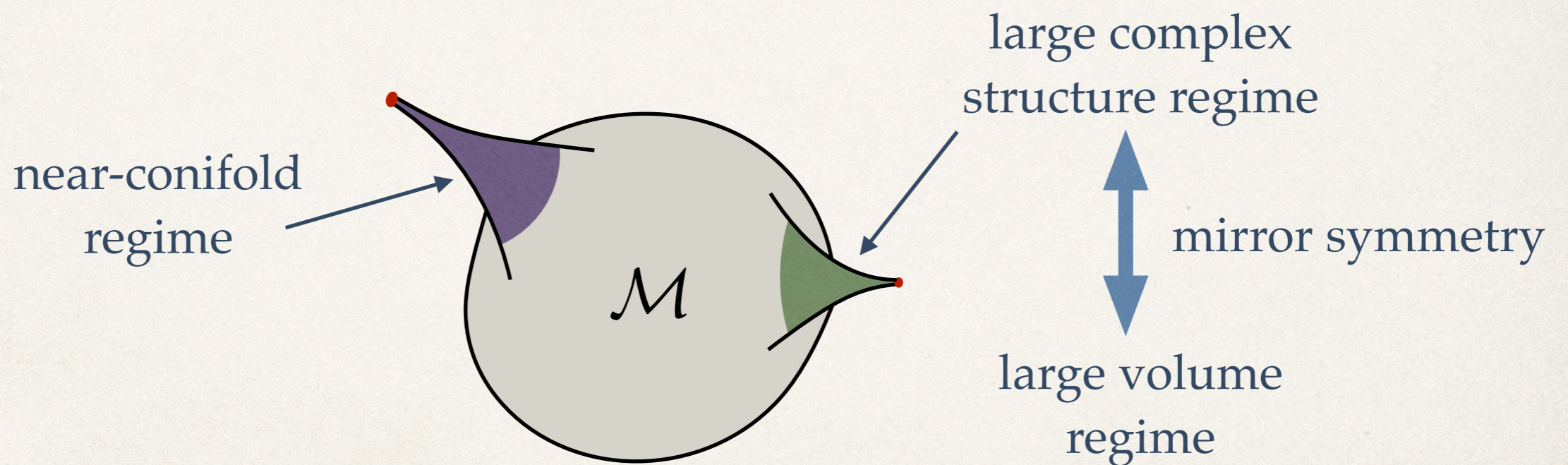
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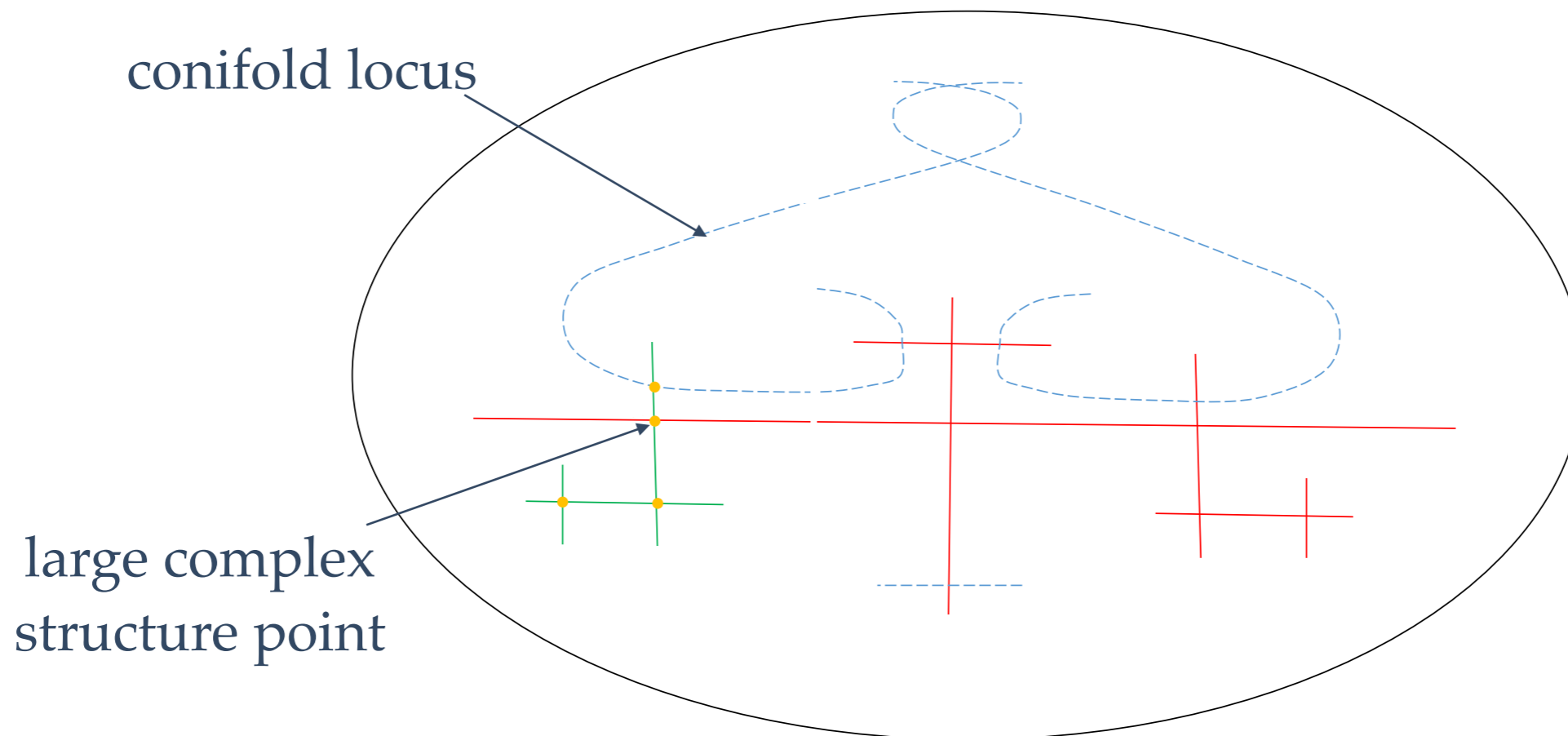


# Complex structure moduli space

- **Note:** geometry of boundaries + asymptotic regions can be very involved for higher-dimensional moduli spaces

Example: mirror  $\mathbb{P}^{1,1,1,6,9}$  [18]

[Candelas,Font,Katz,Morrison]



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“Conjecture 1:” In order for a swampland conjecture to be true, it has to be true in this Type IIB setting.

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  - talk by T. Weigand [Lee,Lerche,Weigand]

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    - reviews: [Palti] [Valenzuela et al.] [Grana, Herraez]
    - talk by T. Weigand [Lee, Lerche, Weigand]
  - develop tools in asymptotic Hodge theory [TG, Palti, Valenzuela]  
and apply them to test conjectures [TG, Li, Palti]  
[TG, Li, Valenzuela]
- full power starts to become apparent in our more recent works  
[TG, Ruehle, vd Heisteeg], [TG], [TG, Monnee, vd Heisteeg] [Bastian, TG, vd Heisteeg]

# Lesson 1: Classification of boundaries

- On each co-dimension  $n$  boundary in complex structure moduli space:  
Middle cohomology  $H^D(Y_D, \mathbb{C})$  admits boundary  $(p, q)$ -decomposition and decomposition into representations of  $\mathfrak{sl}(2, \mathbb{C})^n$

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**Example:**  $Y_3$  sending one parameter to boundary:  $\mathfrak{sl}(2, \mathbb{C})$

$$H^3(Y_3, \mathbb{C}) = H_\infty^{3,0} \oplus H_\infty^{2,1} \oplus H_\infty^{2,1} \oplus H_\infty^{0,3}$$

$$H_\infty^{q,3-q} = \text{span}_{\mathbb{C}} \left\{ |d, l\rangle, d = 0, \dots, 3; l = -3, \dots, 3 \right\}$$

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see, e.g., [TG '20] for details

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**In general:** multiple  $\mathfrak{sl}(2)$ -spins

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- Classification of boundaries using  $\mathfrak{sl}(2)$ -representations and positivity

works for all Kähler manifolds

ensure:  $\int \alpha \wedge *_{\infty} \alpha > 0$



# Calabi-Yau threefold examples

→ All cases with  $h^{2,1} = 1$

$I_1$  : conifold point ,

$II_0$  : Tyurin degeneration ,

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→ All cases with  $h^{2,1} = 2$

[Kerr,Pearlstein,Robles][TG,Li]

$I_2$  class :  $\langle I_1|I_2|I_1 \rangle$  ,  $\langle I_2|I_2|I_1 \rangle$  ,  $\langle I_2|I_2|I_2 \rangle$  ,

Coni-LCS class :  $\langle I_1|IV_2|IV_1 \rangle$  ,  $\langle I_1|IV_2|IV_2 \rangle$  ,

$II_1$  class :  $\langle II_0|II_1|I_1 \rangle$  ,  $\langle II_1|II_1|I_1 \rangle$  ,  $\langle II_0|II_1|II_1 \rangle$  ,  $\langle II_1|II_1|II_1 \rangle$  ,

LCS class :  $\langle II_1|IV_2|III_0 \rangle$  ,  $\langle II_1|IV_2|IV_2 \rangle$  ,  $\langle III_0|IV_2|III_0 \rangle$  ,  $\langle III_0|IV_2|IV_1 \rangle$  ,

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[Alvarez-Garcia,Blumenhagen et al. '20]

[Demirtas et al. '20]

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Seiberg-Witten theory

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appear in [Kreuzer,Skarke]  
(after mirror symmetry)

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Hodge star can be approximated using  $\mathfrak{sl}(2, \mathbb{C})^n$  - spins

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regime:  $y_1 \gg y_2 \gg \dots \gg y_n$  ('strict asymptotic')

$$\|\alpha\|^2 \sim \sum_{l_1, \dots, l_n} (y^1)^{l_1 - n} (y^2)^{l_2 - l_1} \dots (y^n)^{l_n - 1 - l_n} \|(e^{-x^i N_i} \alpha)_{l_1 \dots l_n}\|_\infty$$

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→ leading, most 'crude' approximation, but easy to handle

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- reconstruction of  $CY_3$  periods - [Bastian, TG, vd Heisteeg]  
combine [Cattani, Kaplan, Schmid], [Fernandez, Cattani], [Brosnan, Pearlstein, Robles]

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- **Extra:** chain of phase operators  $\delta_n, \dots, \delta_1$   
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- reconstruct periods with polynomial & essential exponential corrections

fits the conjecture of [Palti, Weigand, Vafa]

# Modeling two-parameter periods

- Results for two-cubes:  $I_2$  class :  $\langle I_1|I_2|I_1 \rangle$ ,  $\langle I_2|I_2|I_1 \rangle$ ,  $\langle I_2|I_2|I_2 \rangle$   
 → finite distance

$$\Pi = \begin{pmatrix} 1 - \frac{a^2}{8\pi k_2} z_1^{2k_1} z_2^{2k_2} - \frac{b^2}{8\pi m_1} z_1^{2m_1} z_2^{2m_2} \\ az_1^{k_1} z_2^{k_2} \\ bz_1^{m_1} z_2^{m_2} \\ i + \frac{ia^2}{8\pi k_2} z_1^{2k_1} z_2^{2k_2} + \frac{ib^2}{8\pi m_1} z_1^{2m_1} z_2^{2m_2} \\ -\frac{a}{2\pi i} z_1^{k_1} z_2^{k_2} (n_1 \log[z_1] + \log(z_2) - 1/k_1) + ib\delta_1 z_1^{m_1} z_2^{m_2} \\ -\frac{b}{2\pi i} z_1^{m_1} z_2^{m_2} (\log(z_1) + n_2 \log[z_2] - 1/m_2) + ia\delta_1 z_1^{k_1} z_2^{k_2} \end{pmatrix}$$

parameters	$\langle I_1 I_2 I_1 \rangle$	$\langle I_2 I_2 I_1 \rangle$	$\langle I_2 I_2 I_2 \rangle$
log-monodromies $n_1, n_2$	$n_1 = n_2 = 0$	$n_1 \in \mathbb{Q}_{>0}, n_2 = 0$	$n_1, n_2 \in \mathbb{Q}_{>0}, n_1 n_2 \neq 1$
instanton orders $k_1, k_2$	$k_1 = 0, k_2 = 1$	$k_1 = n_1 k_2$	$k_1 = n_1 k_2$
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instanton coefficients $a, b$	$a, b \in \mathbb{R} - \{0\}$		
phase operator $\delta$	$\delta_1 \in \mathbb{R}$		

# Modeling two-parameter periods

- Results for two-cubes: Coni-LCS class :  $\langle I_1 | IV_2 | IV_1 \rangle, \langle I_1 | IV_2 | IV_2 \rangle$   
 → infinite distance

$$\Pi = \begin{pmatrix} 1 \\ az_1 \\ \frac{\log[z_2]}{2\pi i} \\ -\frac{i \log[z_2]^3}{48\pi^3} - \frac{ia^2nz_1^2 \log[z_2]}{4\pi} + \frac{a^2}{4\pi i} z_1^2 + i\delta_2 + i\delta_1 az_1 \\ -az_1 \frac{\log[z_1] + n \log[z_2]}{2\pi i} + i\delta_1 \\ -\frac{\log[z_2]^2}{8\pi^2} - \frac{1}{2} a^2 n z_1^2 \end{pmatrix}$$

parameters	$\langle I_1   IV_2   IV_1 \rangle$	$\langle I_1   IV_2   IV_2 \rangle$
log-monodromies $n_1, n_2$	$n = 0$	$n \in \mathbb{Q}_{>0}$
instanton coefficient $a$	$a \in \mathbb{R} - \{0\}$	
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# Lesson 4: Moduli stabilization

- New procedure to find flux vacua:

[TG,Plauschinn,vd Heisteeg]

**Example:** (imaginary) self-dual flux  $*G_3 = iG_3$



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sl(2)-approximation



polynomial,  
vacua easy to find

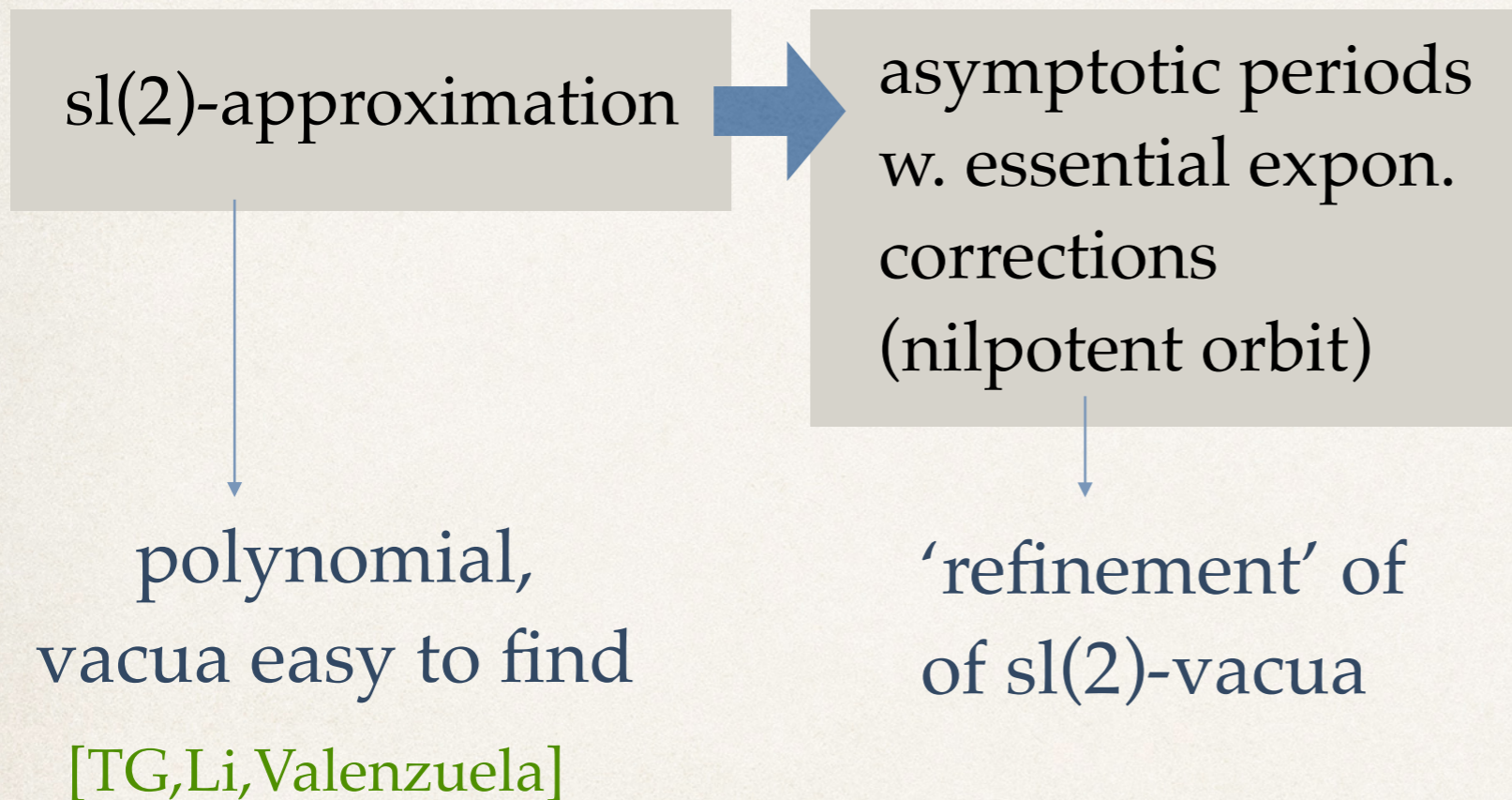
[TG,Li,Valenzuela]

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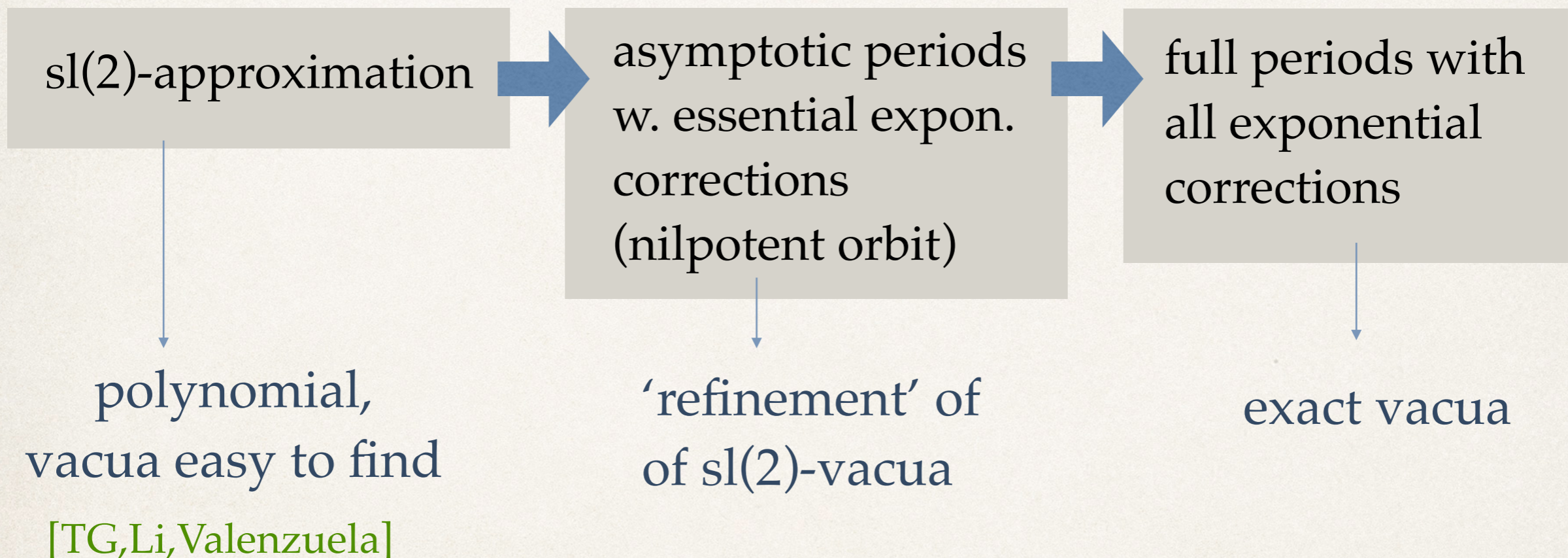


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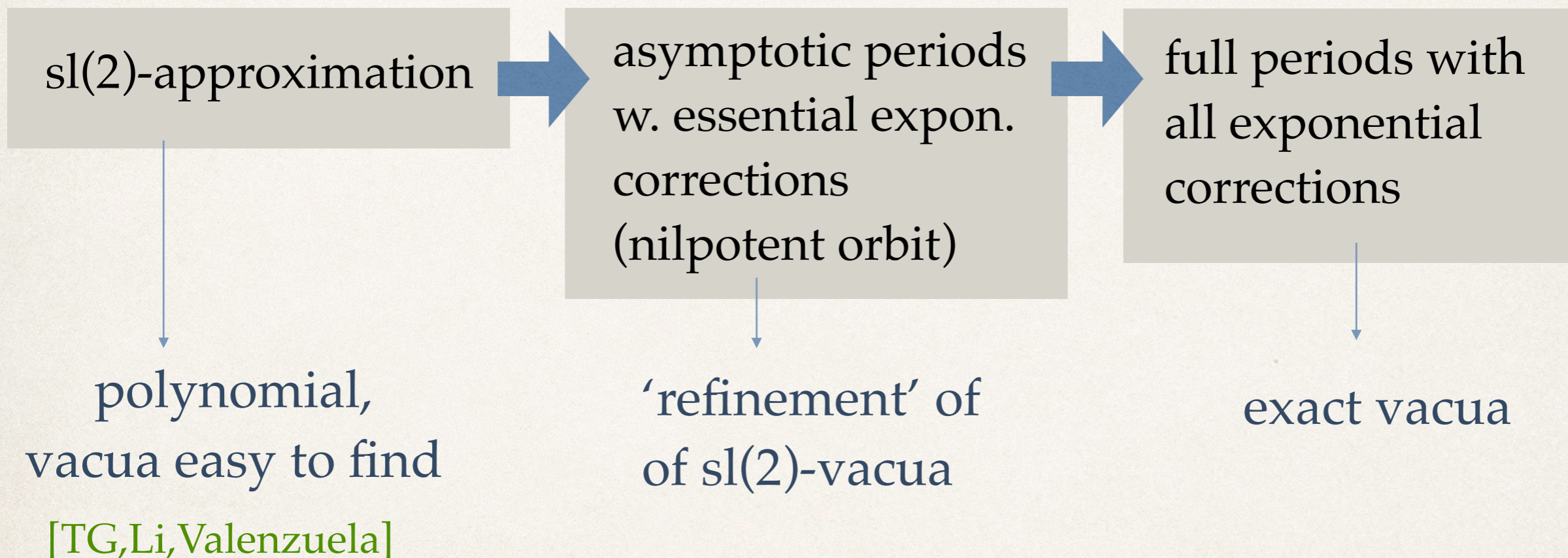


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**Note:** flat directions in sl(2)-approx. might be stabilized in successive steps  
e.g. linear scenario [Palti,Tasinato,Ward] [Marchesano,Prieto,Wiesner]

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sl(2)-approximation



asymptotic periods  
w. essential expon.  
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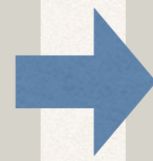
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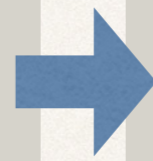
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→ L. McAllister's talk
- Possible for **large number of moduli + fluxes** → tadpole conjecture?  
→ M. Graña's talk

# Finiteness of self-dual flux vacua

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# Self-dual flux vacua

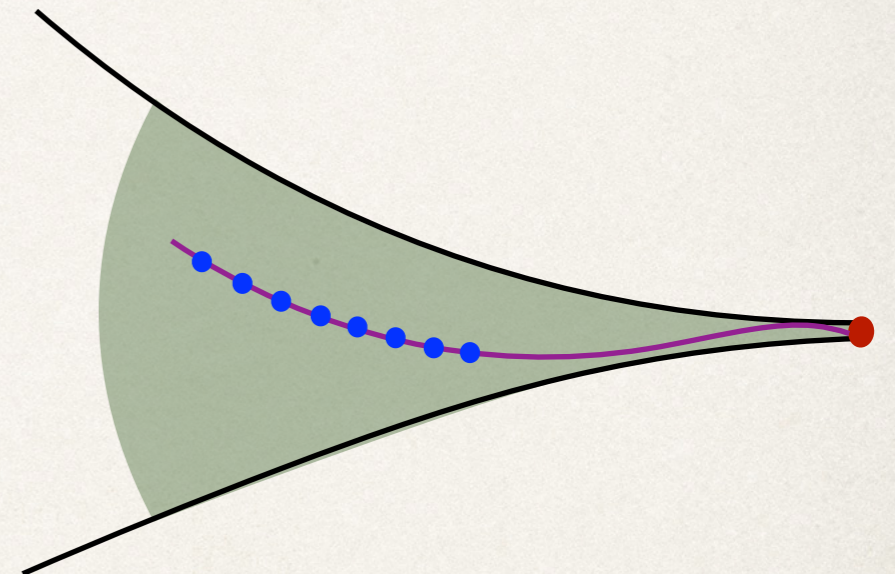
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- Evidence for finiteness of flux choices:  
[Ashoke,Douglas],[Douglas,Shiffman,Zelditch],[Douglas,Lu] using vacuum density

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- First idea: show that on every path to every boundary only finitely many vacua can arise



# Self-dual flux vacua

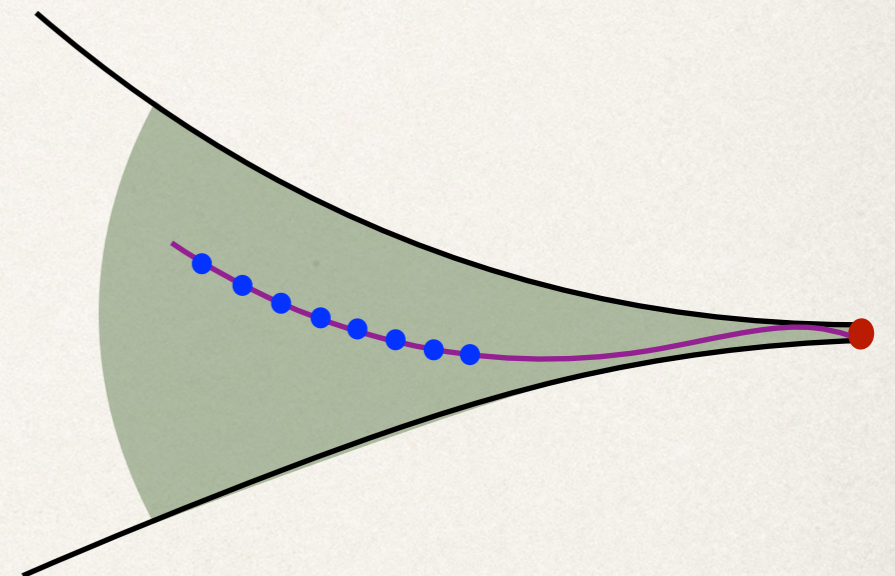
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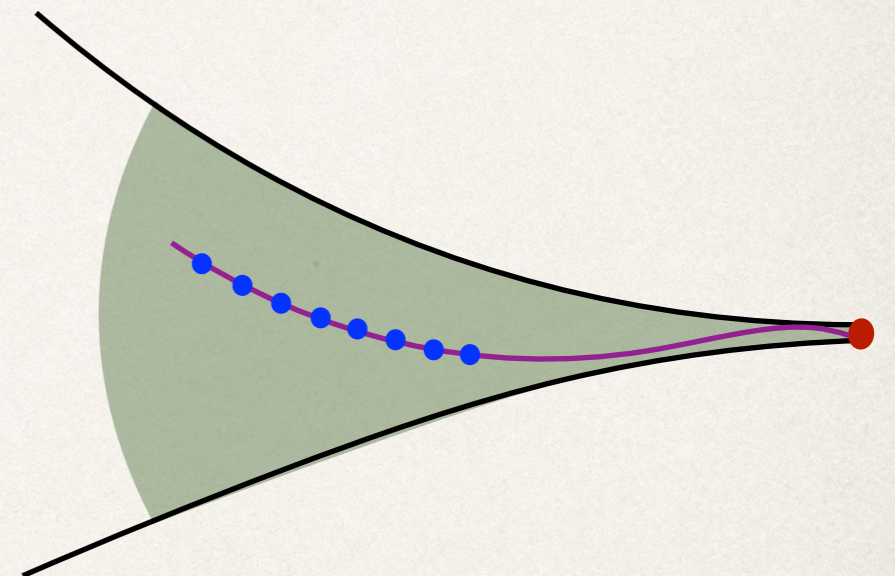
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- general story is orders of magnitude more complicated



# General proof - What is behind this?

- Susy (2,2)-fluxes → Hodge classes  $H^4(Y, \mathbb{Z}) \cap H^{2,2}(Y, \mathbb{C})$   
apply theorem by [Cattani, Deligne, Kaplan] (paper is strongest evidence for Hodge conjecture)

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use  $sl(2)$ -techniques to control **every path** to **every** boundary  
however: they use holomorphicity ('Susy vacuum')

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→ self-dual fluxes: more general questions

[Bakker,TG,Schnell,Tsimerman]



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Hodge theory

tame topology (build-in finiteness)

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→ main results:

(1) coset spaces  $\Gamma \backslash G / K$  have a certain tame topology

(2) period map is special map that is 'tame' near boundaries



shown by using  $sl(2)$ -techniques

(3) alternative proof to the theorem of [Cattani,Deligne,Kaplan]

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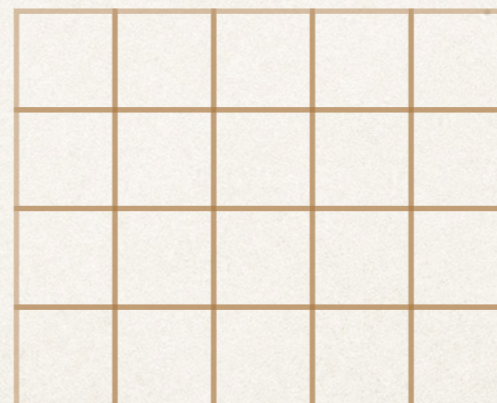
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on a coset and determined by periods  $(*, G_4)$  on a lattice



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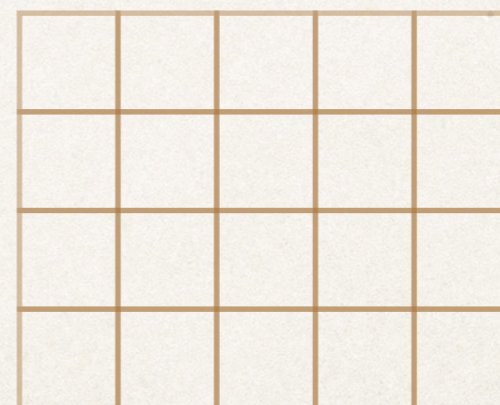
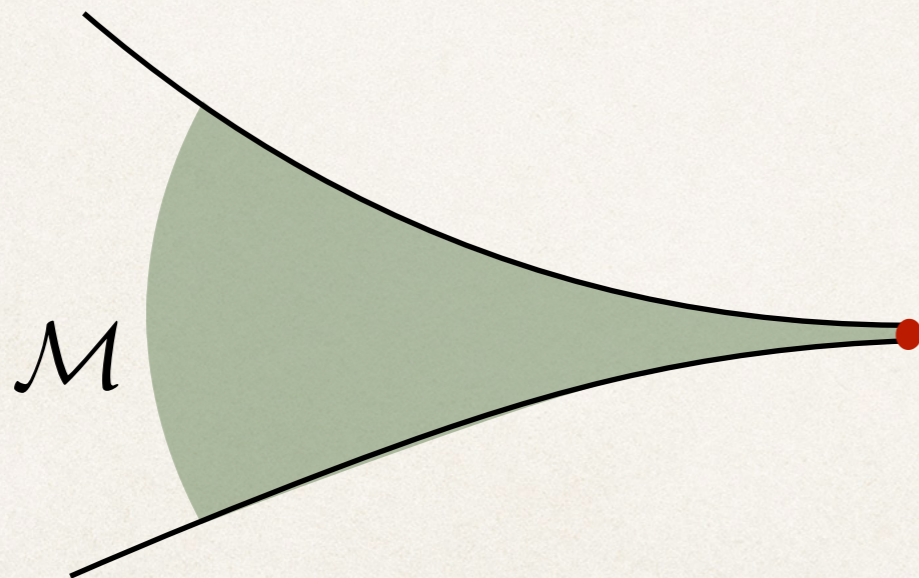
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$(*, G_4)_{\text{self-dual}}$

and

$$\int_{Y_4} G_4 \wedge G_4 < K$$



⇒ pairs form **finitely many subsets** of  $\mathcal{M} \times (\text{flux lattice})$

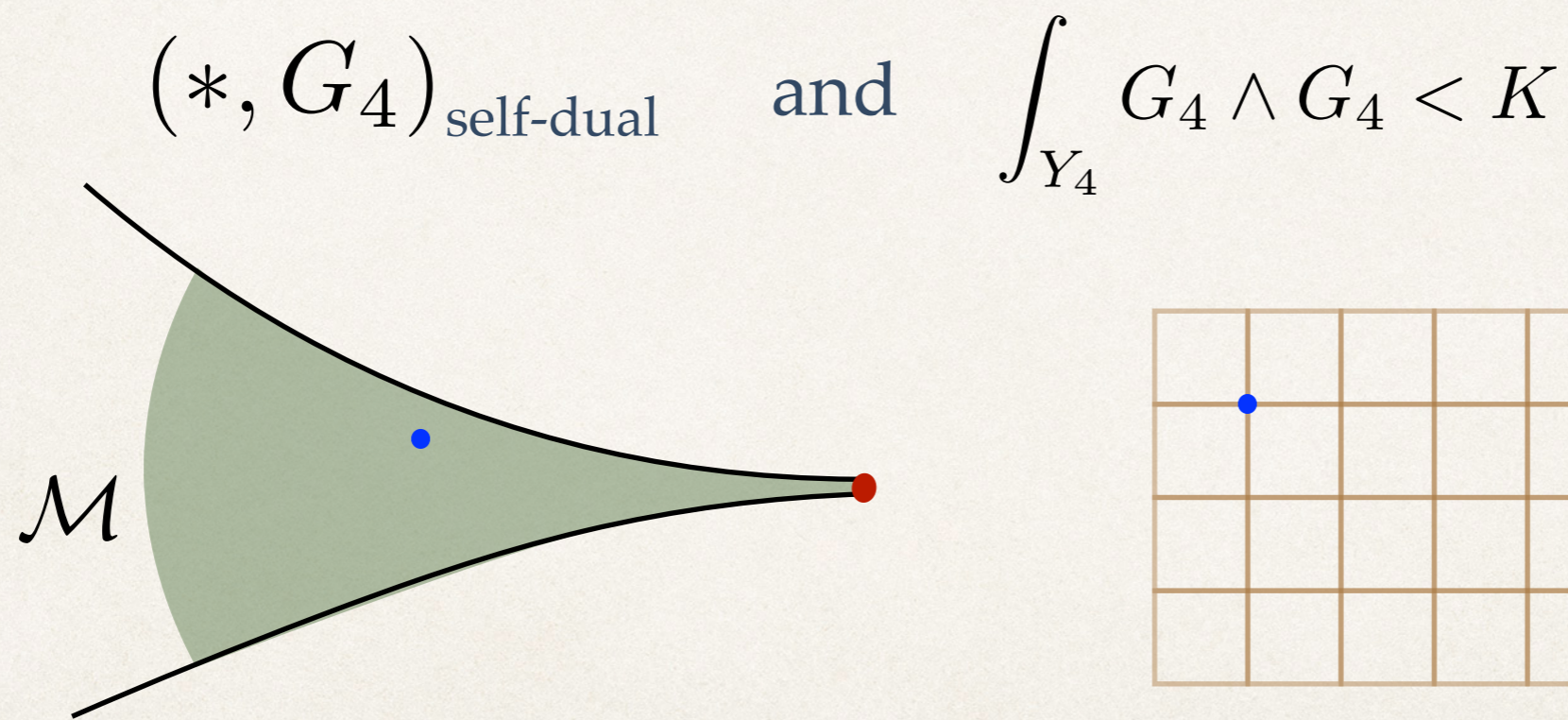
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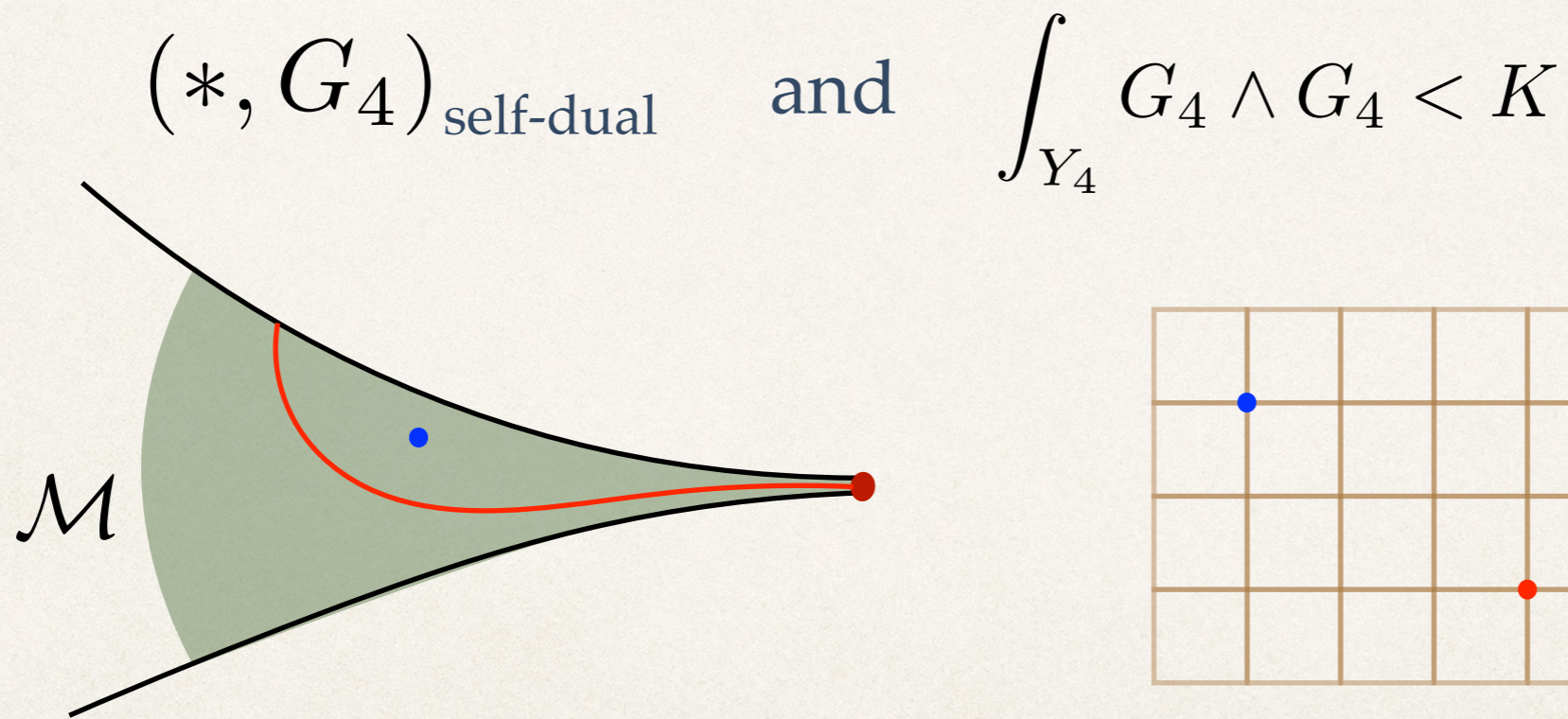
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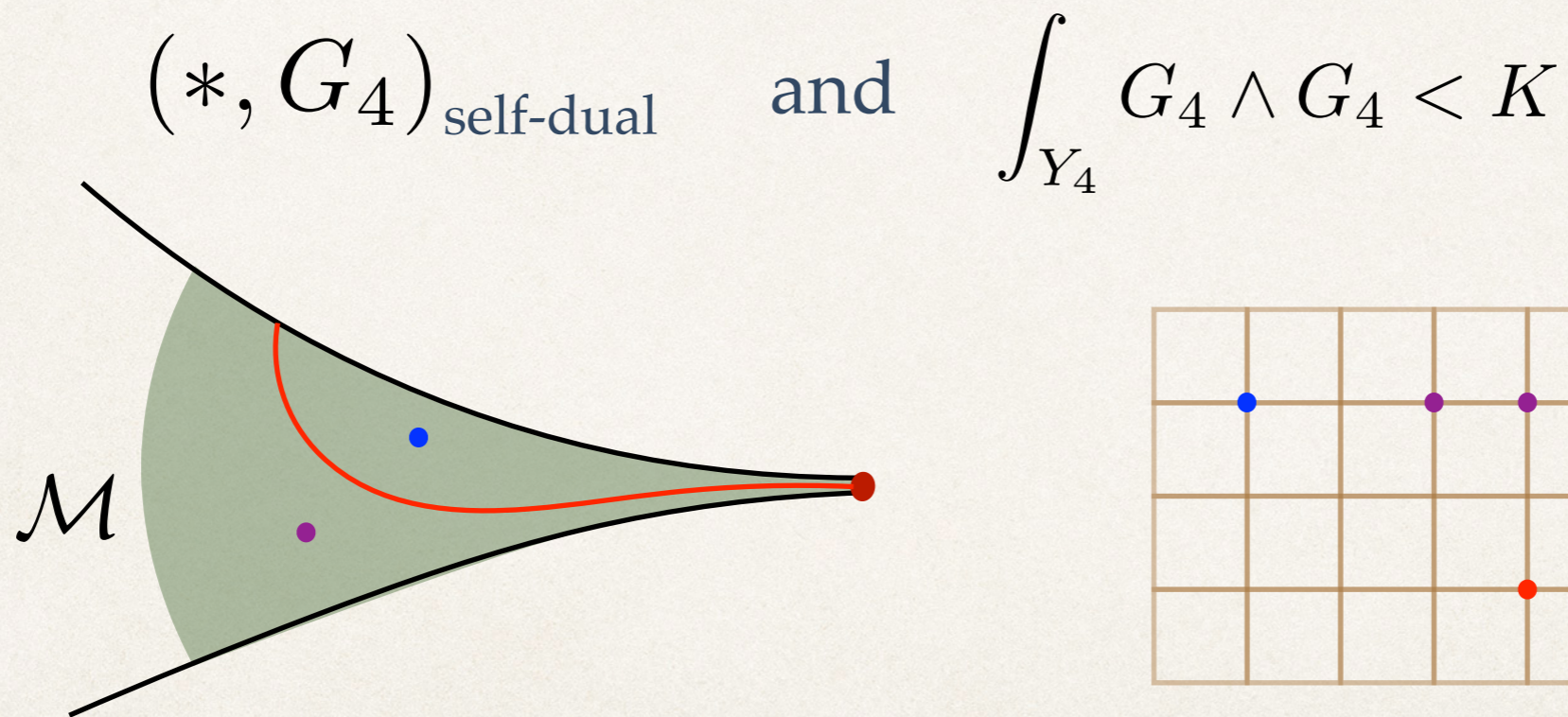
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# Minimal structure for the landscape

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# Finiteness in the landscape

- finiteness questions are long part of the string phenomenology program  
e.g. [Douglas '03] [Acharya,Douglas '06]
- 16, 32 supercharges: finite rank of gauge groups and finite number of massless modes  
[Adams,DeWolfe,Taylor] [Kim,Shiu,Vafa] [Kim,Tarazi,Vafa] [Cvetic,Dierigl,Lin,Zang]  
[Dierigl,Heckman] [Font,Fraiman,Grana,Nunez,DeFreitas] [Hamada,Vafa]
- 8 supercharges: finite rank gauge groups, finite number of massless modes  
[Taylor etal],[Kim,Shiu,Vafa],[Lee,Weigand],[Tarazi,Vafa]

# Finite subsets on the real line

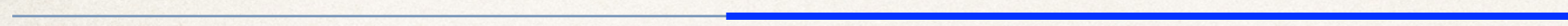
→ simplest situation: finite subsets of real line



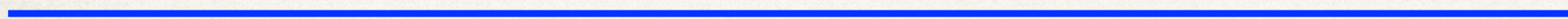
finitely many point



finitely many intervals



open intervals



(infinitely long)

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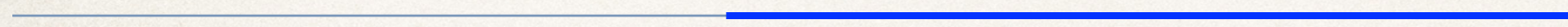
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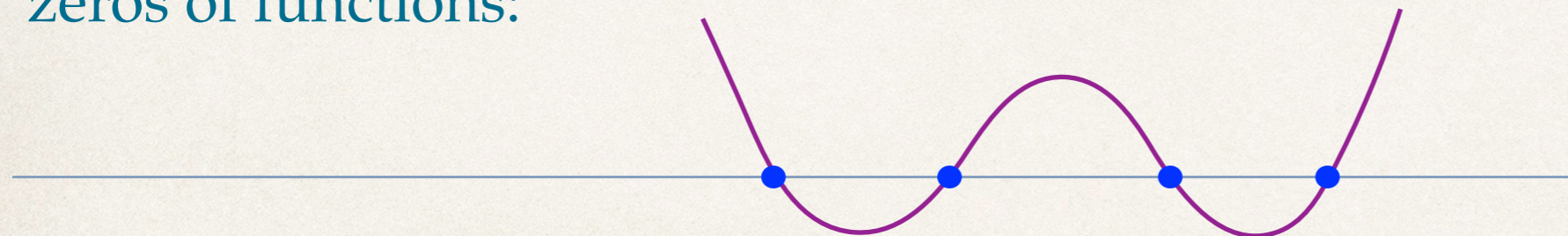
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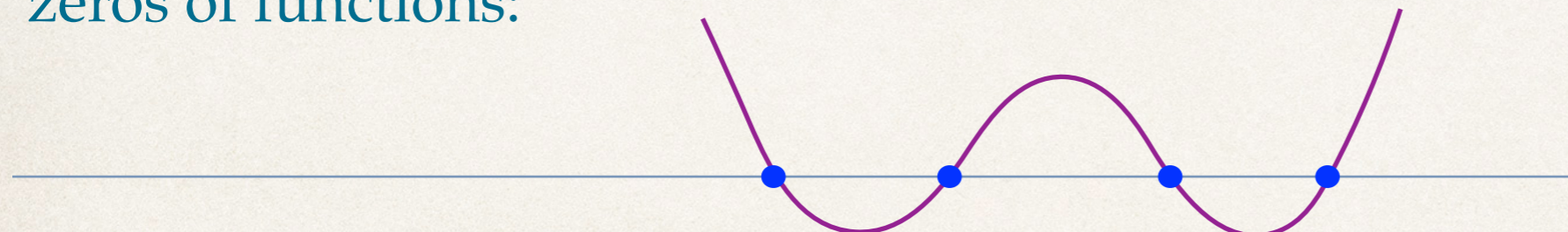
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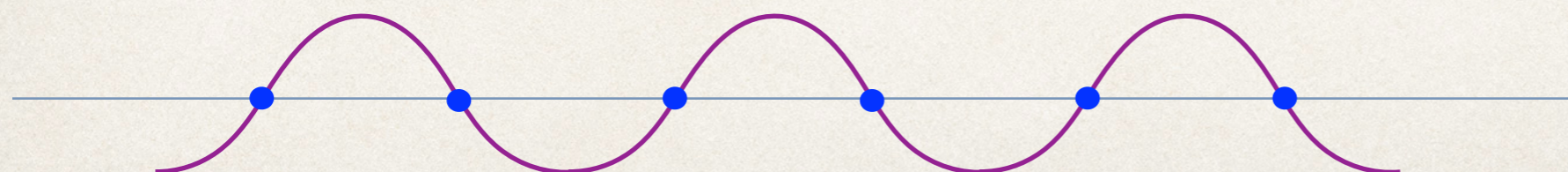
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$\sin(x)$



# O-minimal structure as a tame topology

- Idea: build a structure  $\mathcal{S}$  of sets  $\{S_n\}_{n=0,1,\dots}$ :
  - $S_n$  are subsets of  $\mathbb{R}^n$
  - $S_n$  is closed under finite intersections, finite unions and complements
  - collection  $\{S_n\}$  closed under finite Cartesian products & coordinate projections
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- theory of o-minimal structures gives a generalization of semi-algebraic geometry and realizes Grothendieck's dream of a tame topology

book [van den Dries]

# O-minimal structure as a tame topology

→ Some remarkable examples:

- structure generated by graphs of **real polynomials**:  $\mathbb{R}_{\text{alg}}$
- $\mathbb{R}_{\text{alg}}$  plus graphs of **real analytic functions restricted to ball**:  $\mathbb{R}_{\text{an}}$
- $\mathbb{R}_{\text{alg}}$  plus graph of **exponential function**:  $\mathbb{R}_{\text{exp}}$  [Wilkie '96]
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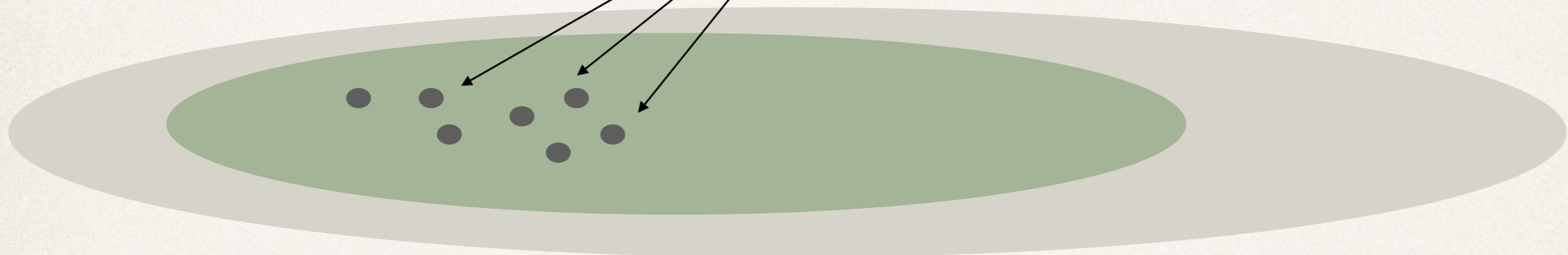
→ **Note 2:** structures forbid accumulation points of zeros near  $\phi = 0$   
 $V(\phi) = \sin(\phi^{-1})$        $V(\phi) = \phi^8 \sin(\phi^{-1})$       discussed by  
[Acharya, Douglas]

# A conjecture

Set of effective theories  
arising from string theory

collect vectors:

( moduli space  
rank gauge group  
matter spectrum )

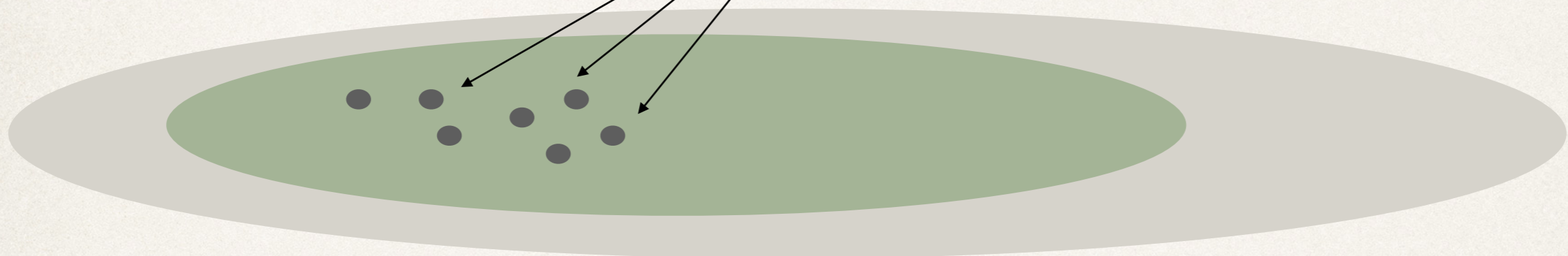


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Conjecture 2: The string vacuum landscape is definable in an  $\mathbb{R}_{\text{an,exp}}$  o-minimal structure.

can be true (assume Conjecture 1)

# Conclusions

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- Highly non-trivial **finiteness result** for the number of self-dual flux vacua
- Suggested to use **o-minimal structure** to describe the string theory vacuum landscape
  - ⇒ build-in finiteness properties
  - ⇒ general enough for also non-supersymmetric situations