

# **Electron Injection at Shocks: Transition from Stochastic Shock Drift Acceleration to Diffusive Shock Acceleration**

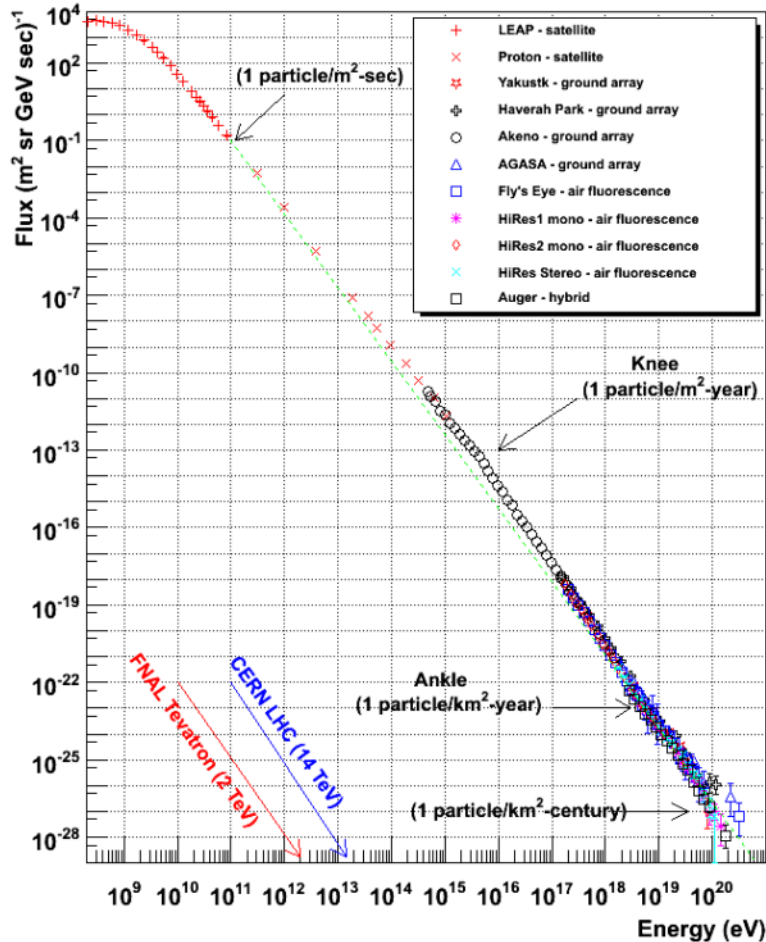
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Collaborators

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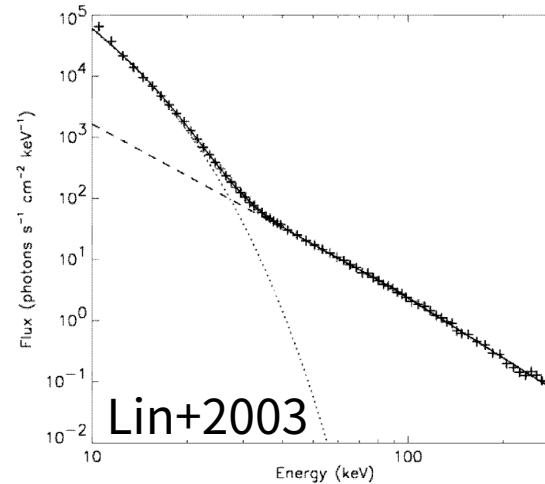
# Non-thermal Particles

Highly energetic charged particles with energies much larger than the thermal energy are ubiquitous in many astrophysical phenomena. The energy density of the non-thermal populations can be comparable to the thermal component.

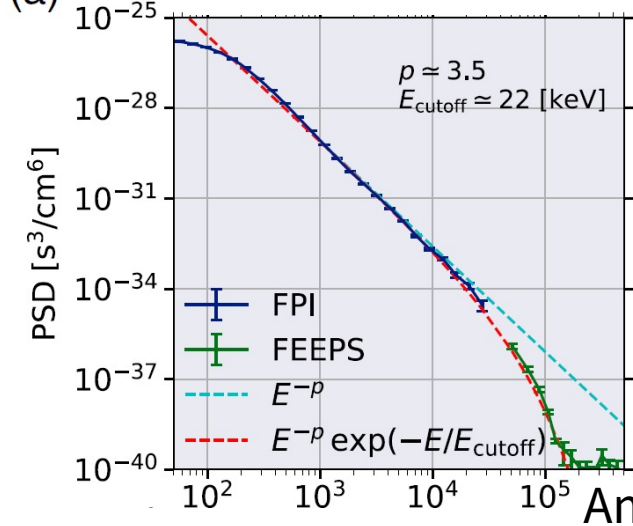


Blasi (2013), Amato (2014)

solar flare

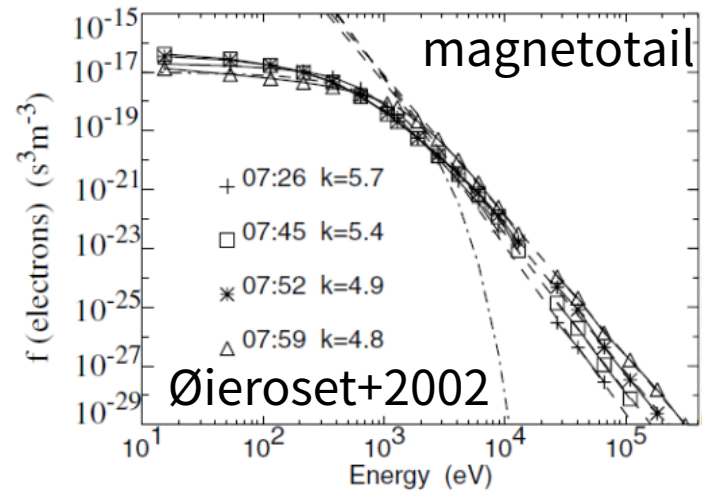


(a) Earth bow shock



Amano+2020

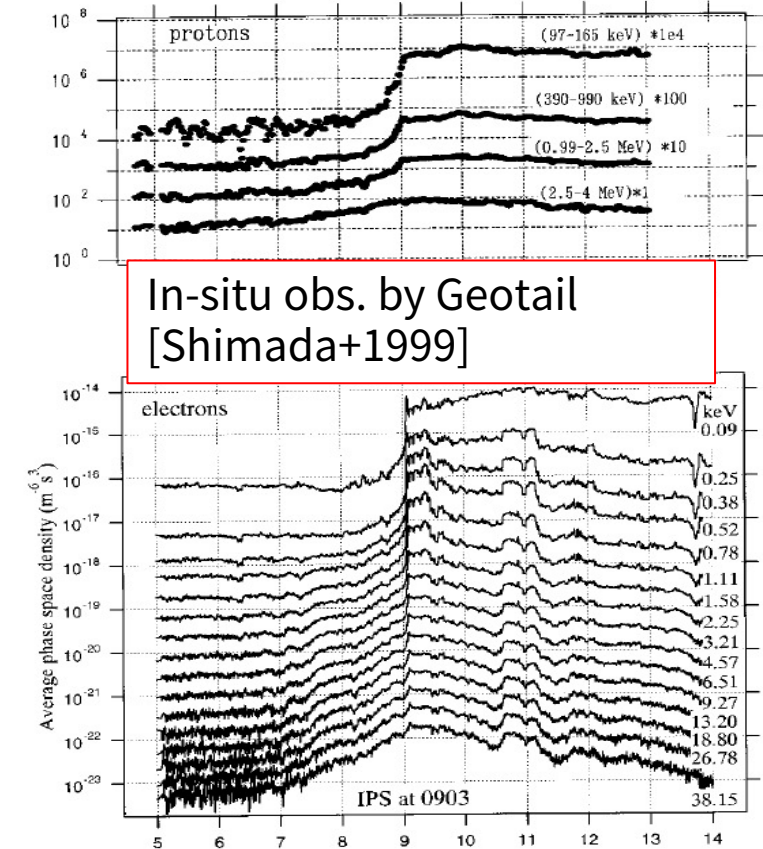
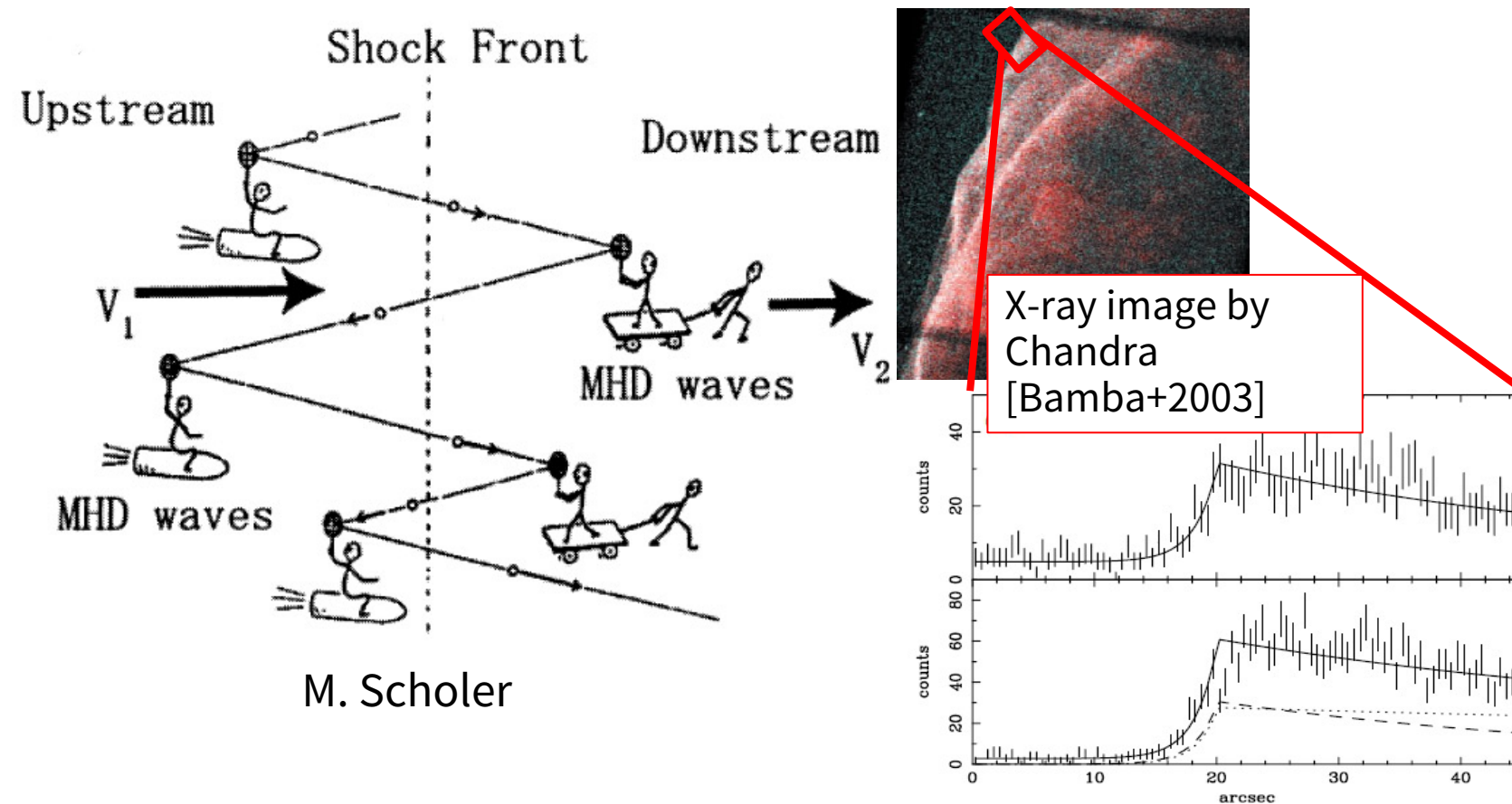
a) electron distributions earthward of X-line



Øieroset+2002

# The Standard Shock Acceleration Theory

- Diffusive Shock Acceleration (DSA)
  - A simple yet powerful model that predicts a nearly universal power-law;  $N(E) \propto E^{-2}$  [e.g., Bell 1978, Blandford & Ostriker 1978]



# Acceleration Time and Injection Problem

$$\tau_{acc}(p) = \int_{p_0}^p \frac{dp'}{p'} \frac{3}{V_1 - V_2} \left[ \frac{\kappa_1}{V_1} + \frac{\kappa_2}{V_2} \right] \sim \frac{\kappa}{V^2} \propto \left( \frac{v}{V} \right)^2 \frac{1}{D_{\mu\mu}}$$

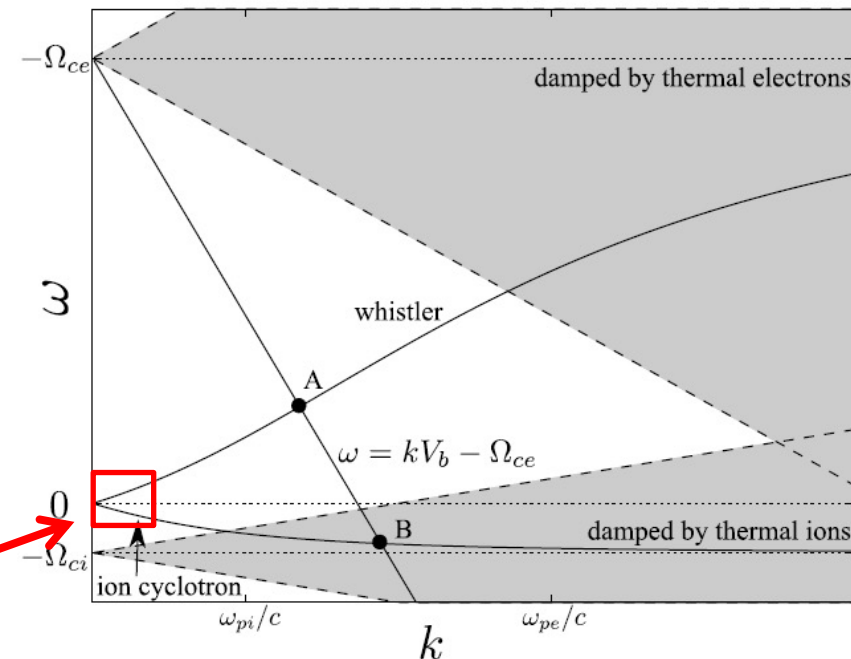
Quasi-linear estimate:  $D_{\mu\mu} = \frac{\pi k_R P(k_R)}{4 B_0^2} \Omega_0$  where  $k_R = \Omega_0 / v\mu$

The gyroradii of low-energy electrons are very small and will be well below the dissipation range of MHD turbulence.

→ no electron acceleration should be expected?

- MHD waves cannot resonantly scatter sub-relativistic electrons.
- Intense high-frequency (whistler) waves to scatter low-energy electrons?
- Any other mechanisms to energize low-energy electrons to mildly relativistic energies?

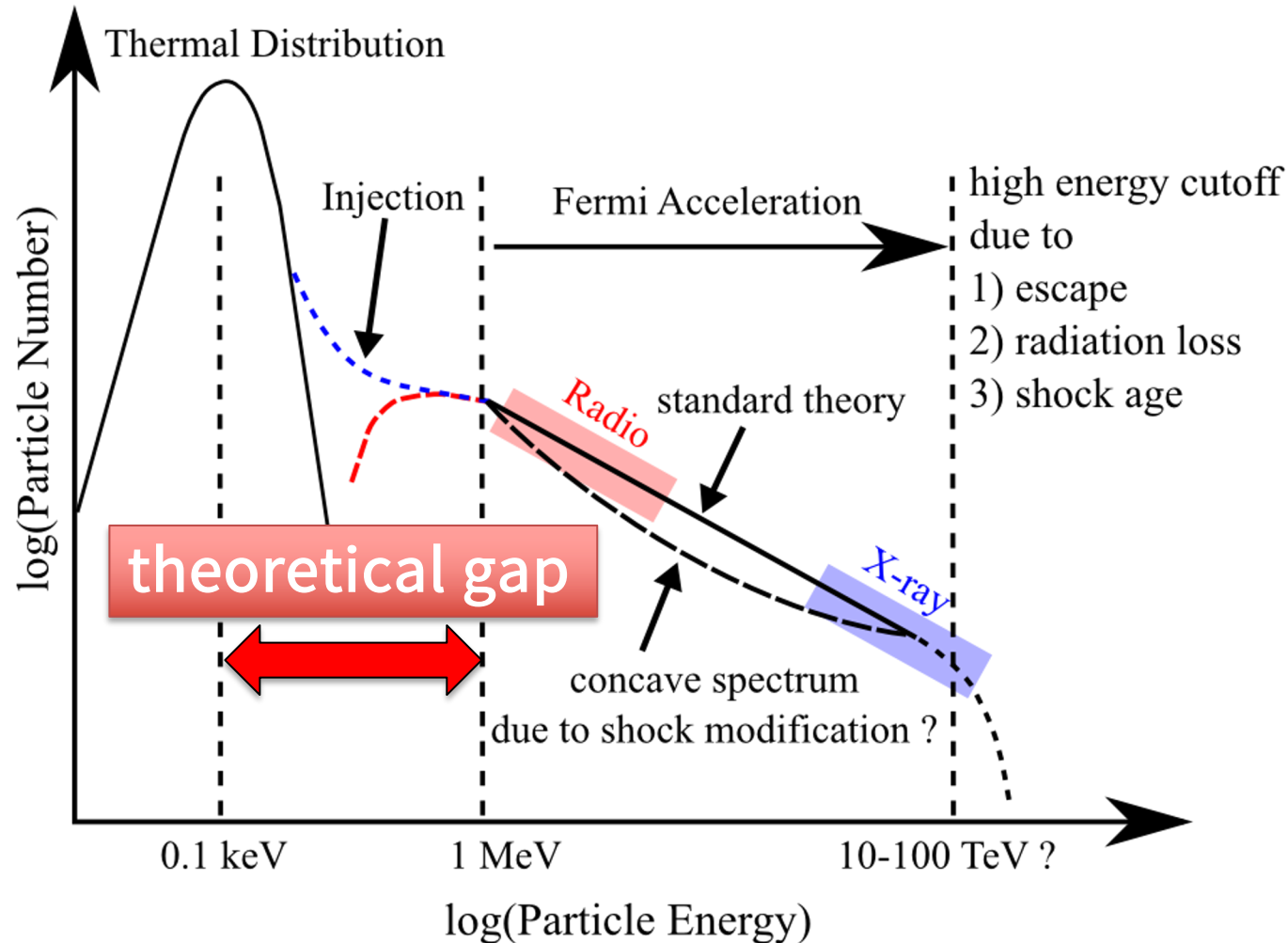
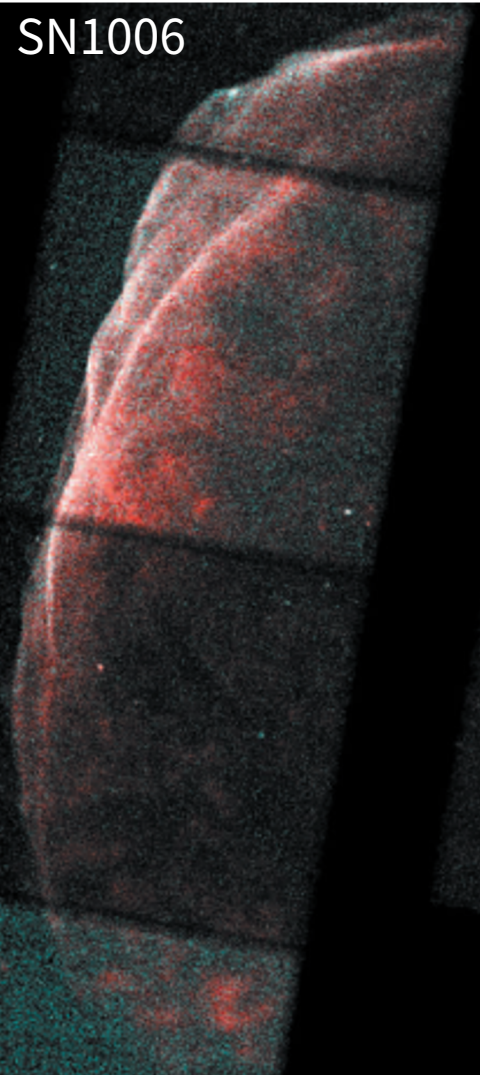
MHD regime  
(Alfvén waves)





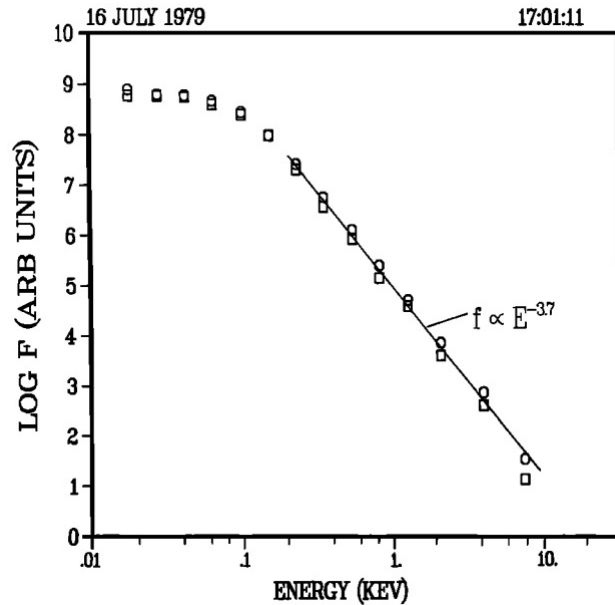
# The Electron Injection

electrons with  $< 0.1-1$  MeV cannot be scattered by MHD waves  $\omega - kv_{\parallel} = \Omega/\gamma$

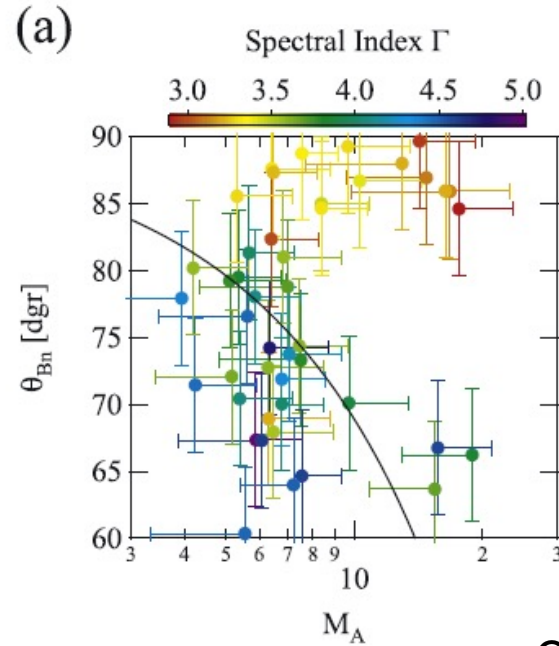


- ✓ Sub-relativistic electrons cannot be accelerated by the standard first-order Fermi mechanism.
- ✓ Substantial energy gain is needed from thermal to relativistic energies by some other mechanisms.
- ✓ Sub-relativistic suprathermal electrons are “invisible” with typical astrophysical observations, while they are observable with in-situ spacecraft measurement.

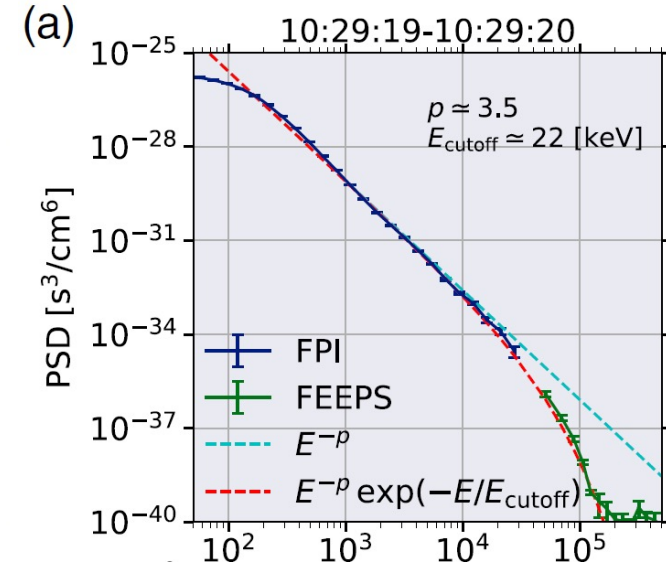
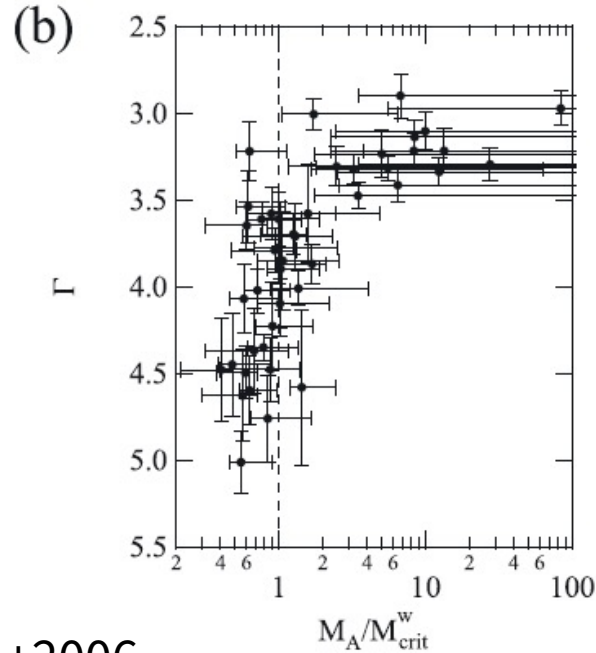
# Earth's Bow Shock: Laboratory for Electron Injection



Gosling+1989



Oka+2006



Amano+2020

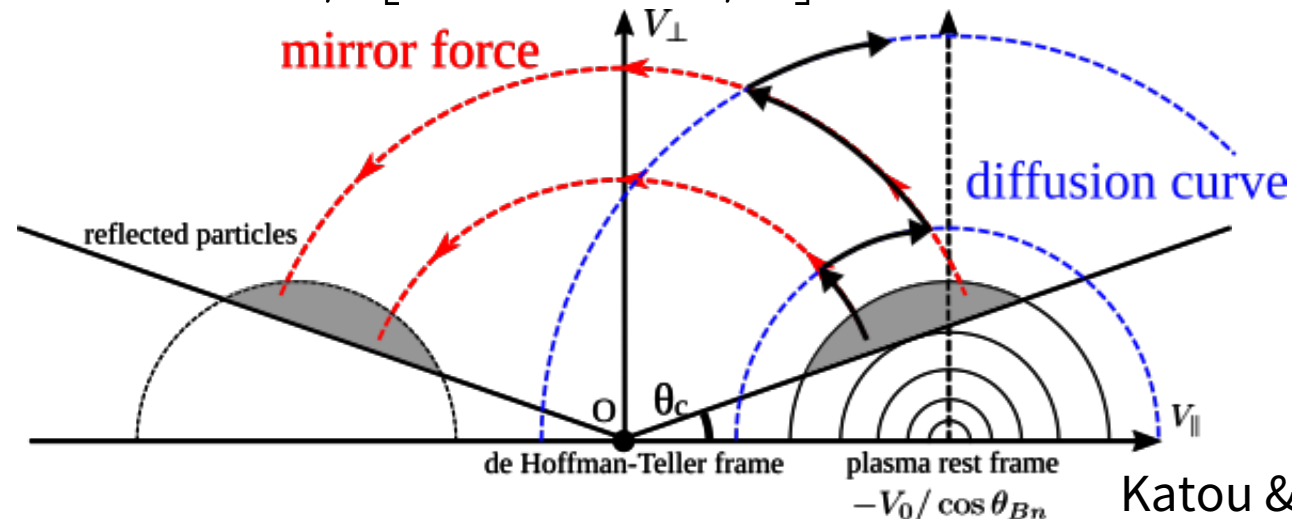
- Non-thermal electrons with a clear power-law spectrum have been observed occasionally at the bow shock.
- The typical energy range of non-thermal electrons measured at the bow shock is the most important energy range for the injection.

# Stochastic SDA (SSDA)

The transport of electrons in the de Hoffmann-Teller frame ( $\mathbf{u} = u_{\parallel} \mathbf{b}$ ) may be governed by

$$\begin{aligned}
 & \frac{\partial}{\partial t} f + (v\mu + u_{\parallel}) \frac{\partial}{\partial s} f && \text{negligible at Qperp shock} \\
 & + \left( \frac{1 - \mu^2}{2} u_{\parallel} \frac{\partial \ln B}{\partial s} - \mu^2 \frac{\partial u_{\parallel}}{\partial s} \right) v \frac{\partial f}{\partial v} && \text{(major term for DSA)} \\
 & - \frac{1 - \mu^2}{2} \left( (v\mu + u_{\parallel}) \frac{\partial \ln B}{\partial s} + 2\mu \frac{\partial u_{\parallel}}{\partial s} \right) \frac{\partial f}{\partial \mu} \\
 & = \frac{\partial}{\partial \mu} \left[ (1 - \mu^2) D_{\mu\mu} \frac{\partial}{\partial \mu} f \right] + Q.
 \end{aligned}$$

**mirror force** (red arrows pointing to the boxed terms in the equation)



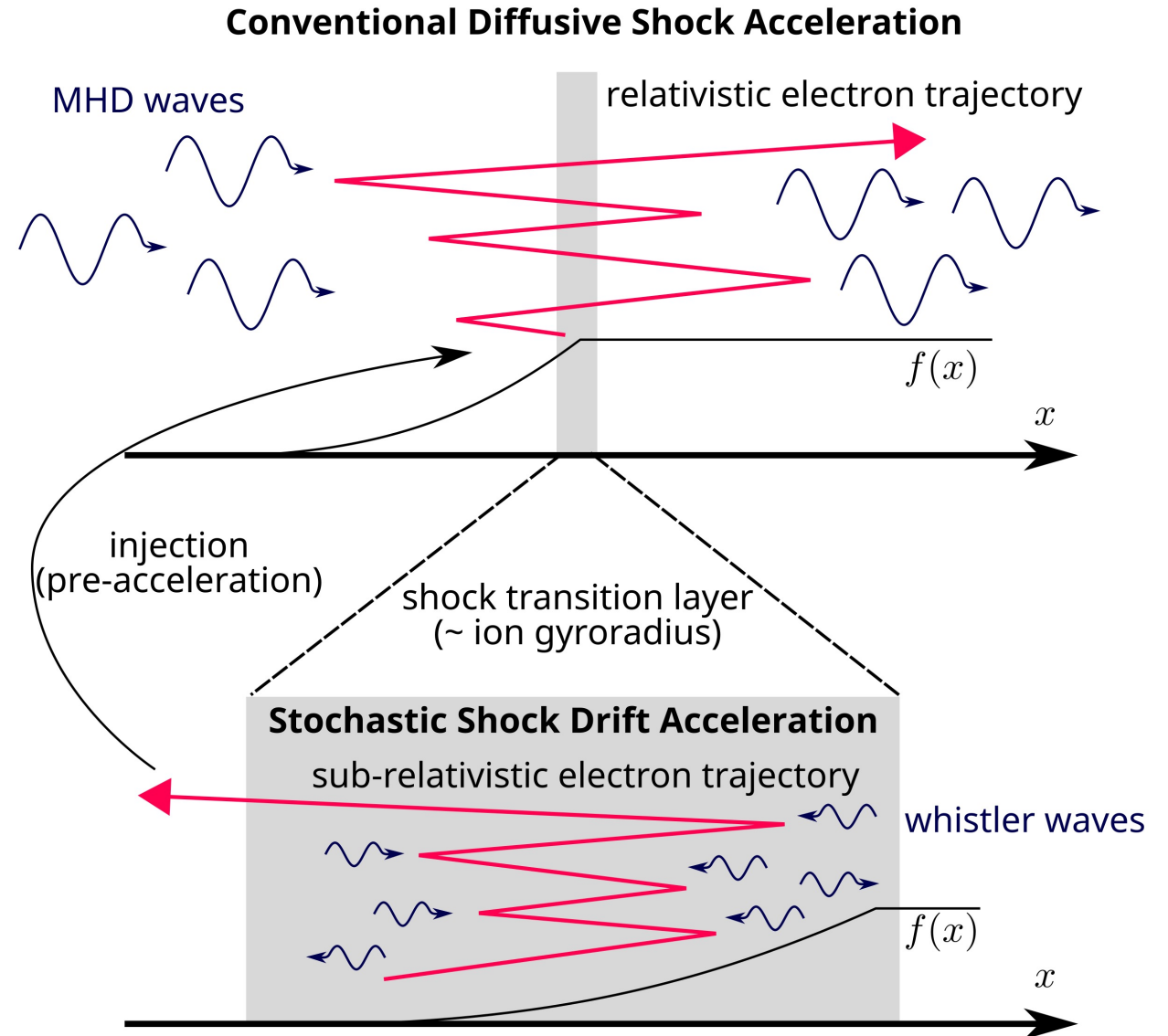
# Electron Injection Scenario

## DSA (diffusion length $\gg$ shock thickness)

- Diffusive and slow particle acceleration well beyond the shock thickness.
- The canonical power-law:  $f(p) \propto p^{-4}$
- It may operate only when SSDA provides sufficiently energetic electrons.

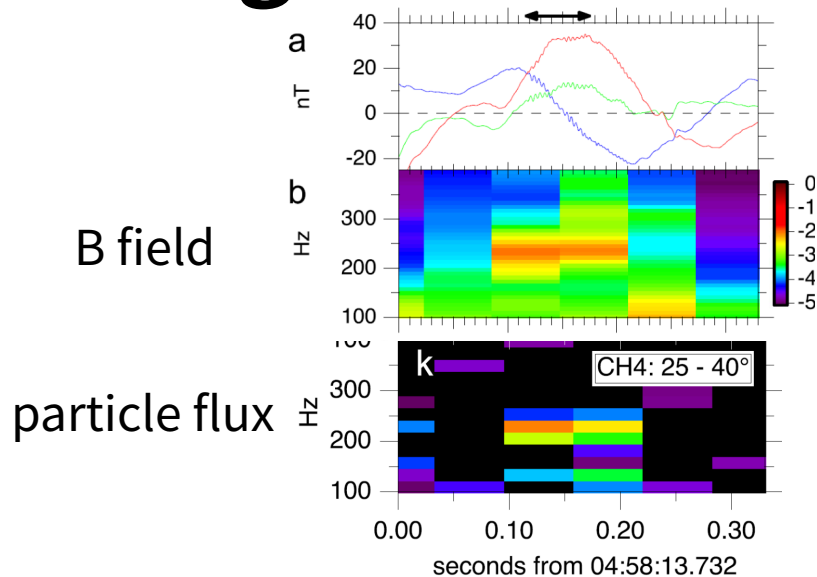
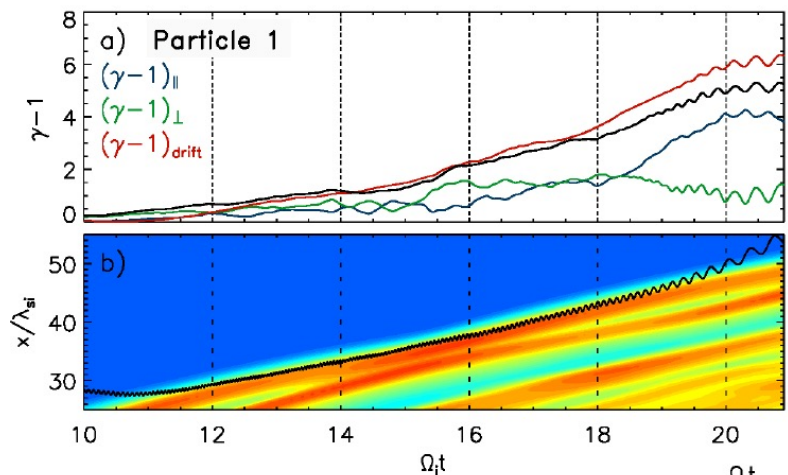
## SSDA (diffusion length $\sim$ shock thickness)

- Diffusive and fast particle acceleration within the shock transition layer.
- It results in a steeper power-law for energy-independent diffusion (consistent with observations at the bow shock.)
- Higher-energy electrons will eventually escape toward upstream because of diffusion lengths longer than the shock thickness.



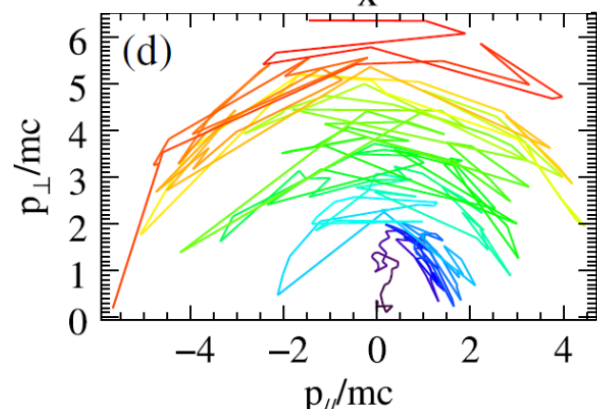
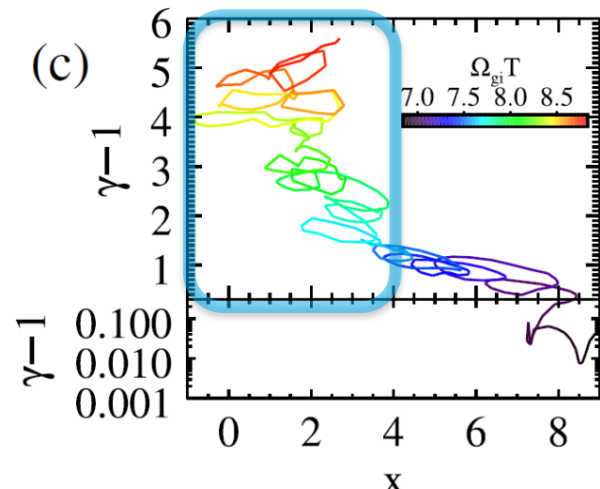
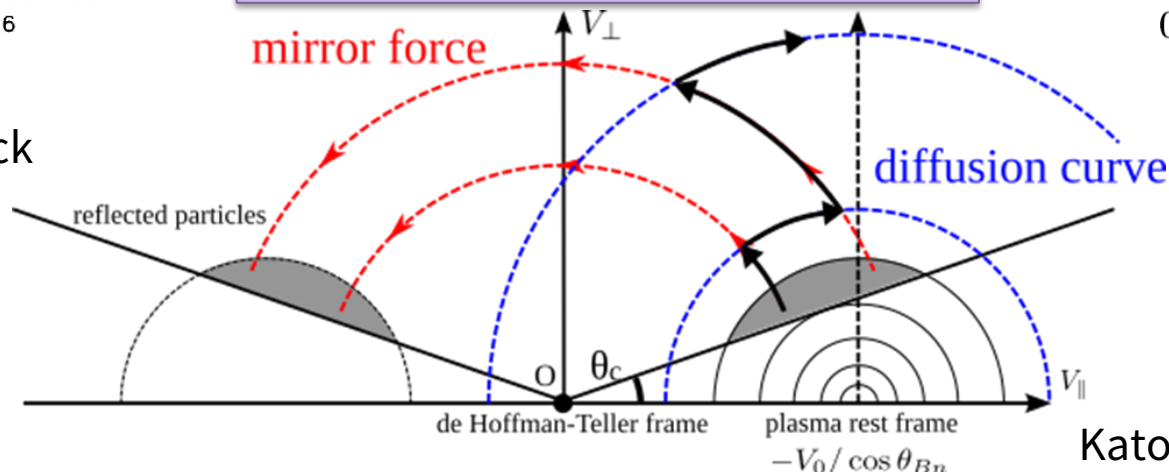


# SSDA Signatures

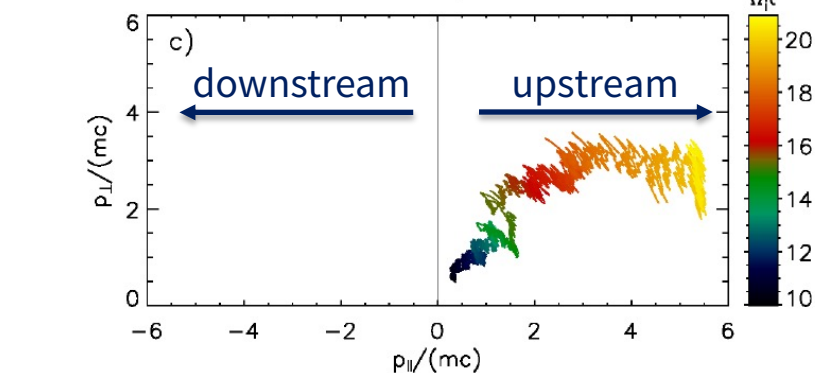


Oka+2017 (MMS obs.)

Stochastic Shock Drift Acceleration  
 mirror reflection + scattering



Matsumoto+2017  
 3D Weibel-dominated shock

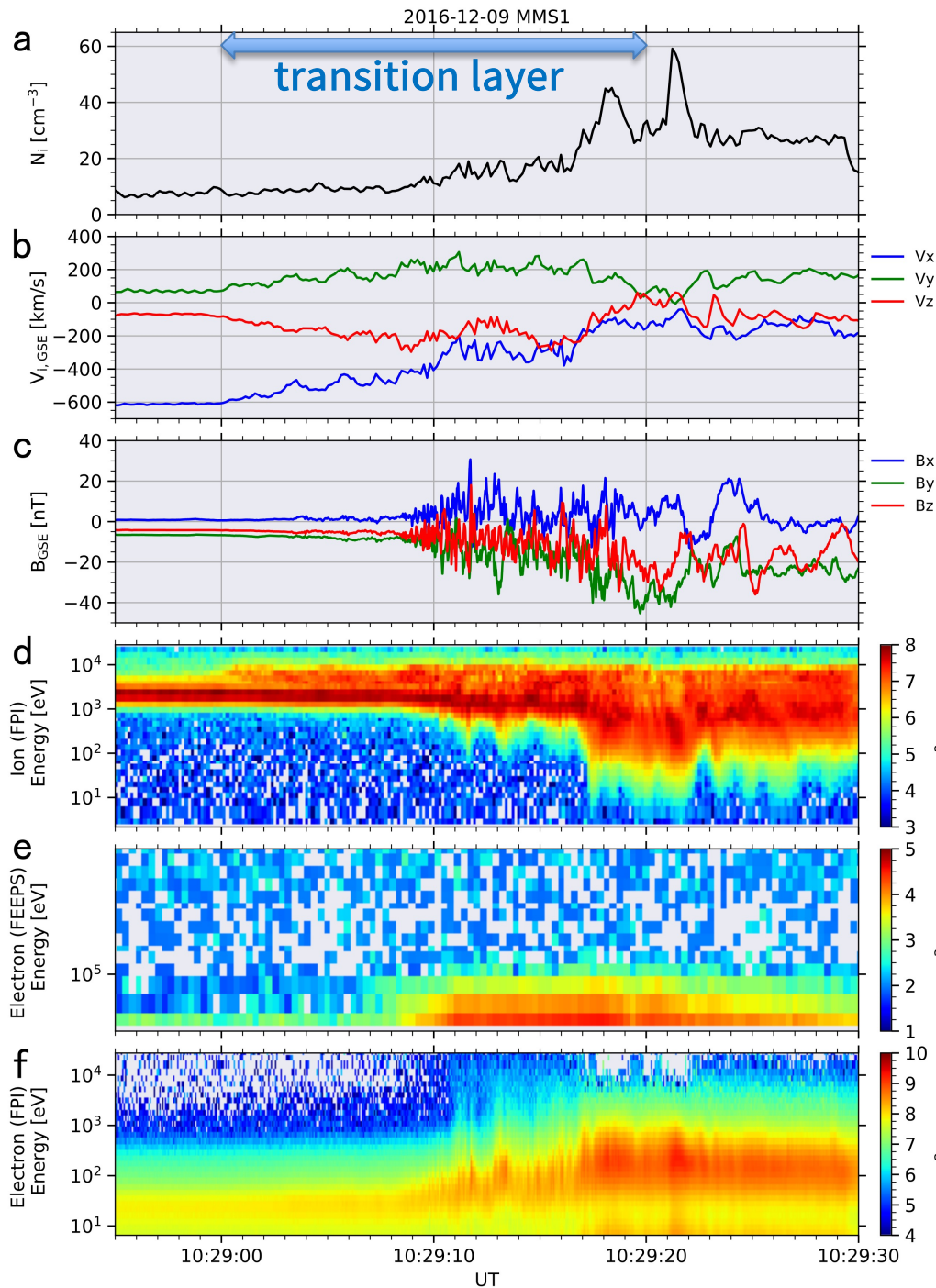


Kobzar+2021

2D high-beta and low Mach no. shock

Katou & Amano 2019

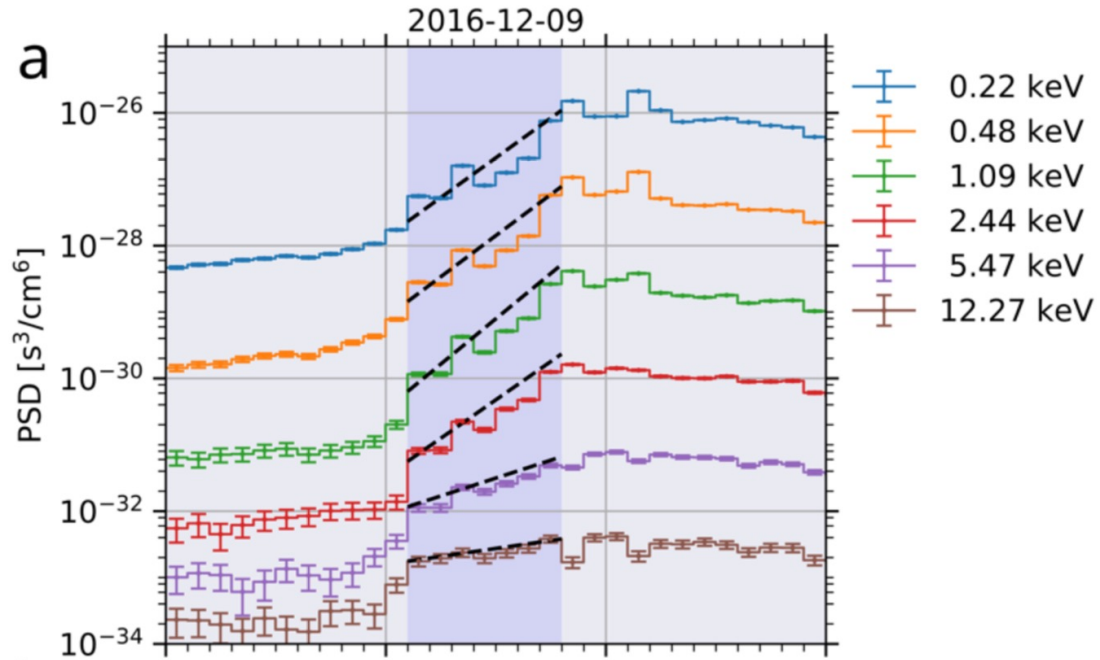




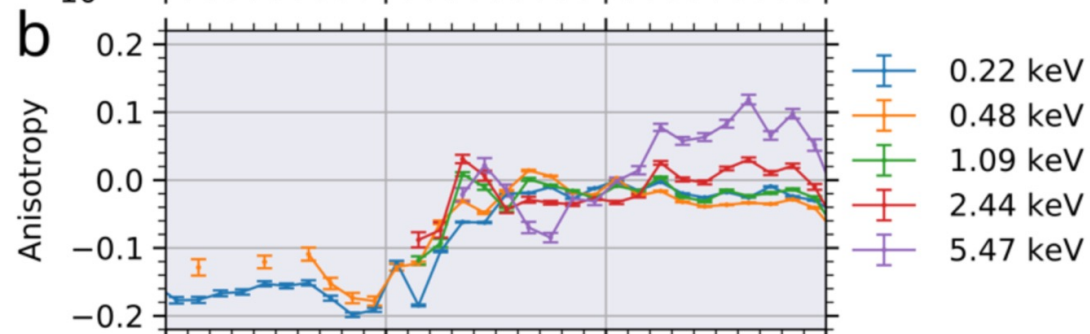
## Bow Shock Crossing measured by MMS spacecraft

- $V_{\text{sw}} \sim 600$  km/s
- $\theta_{\text{Bn}} \sim 85$  (quasi-perp.)
- $M_A \sim 8.9$  (high Mach num.)

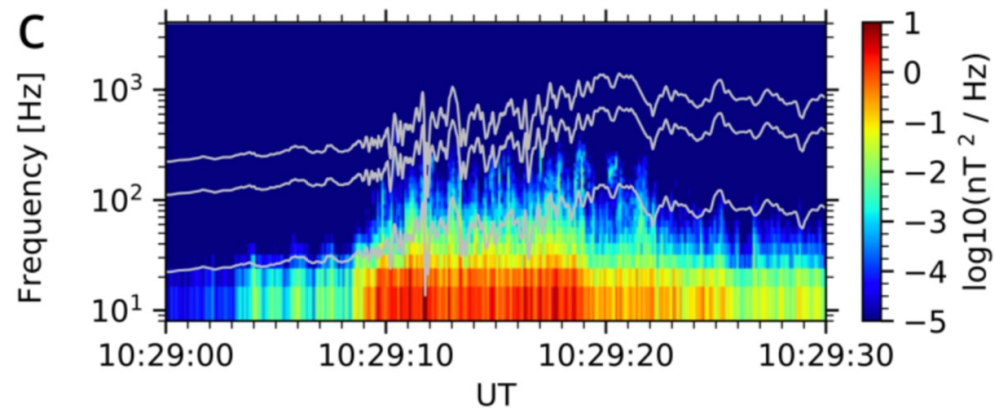
Substantial flux enhancements for high energy ( $>1$  keV) electrons. FEEPS also detected electrons up to  $\sim 100$  keV. Unusual for bow shock crossings.



Exponential increase of particle intensity



Nearly isotropic pitch-angle distribution

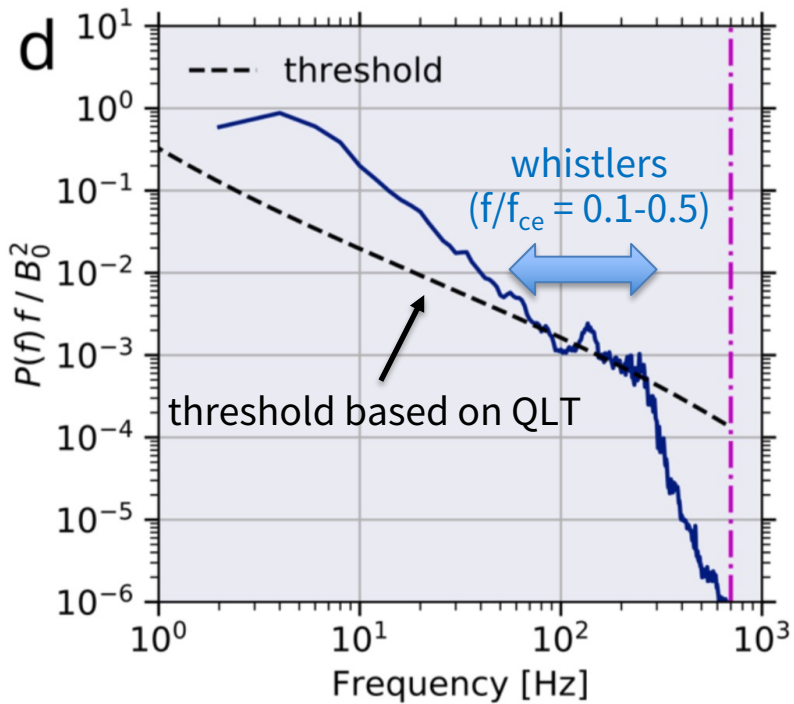
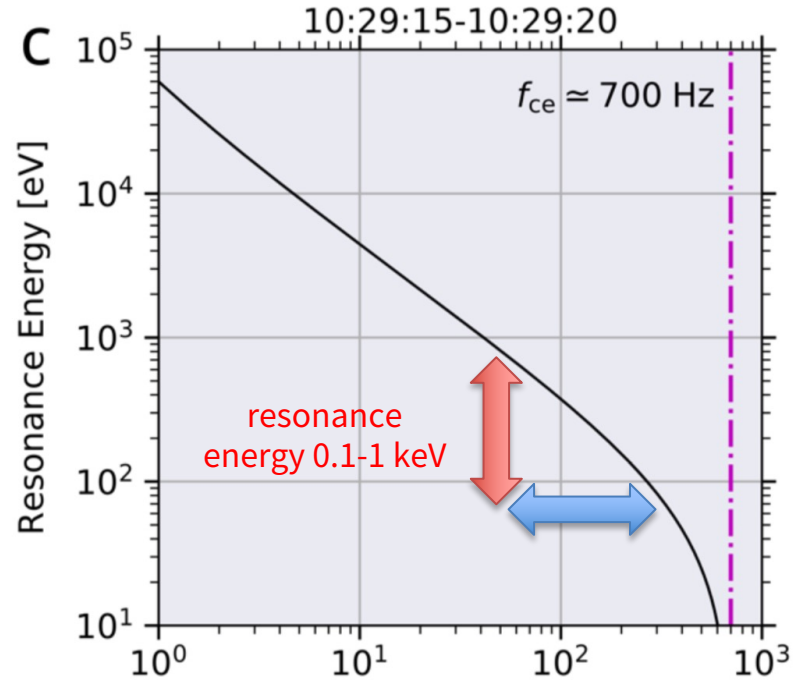
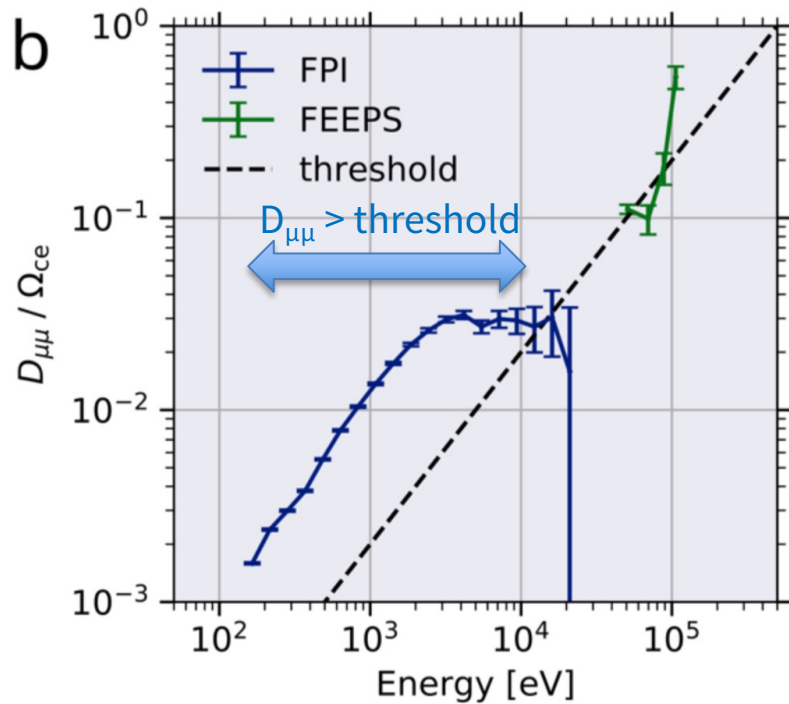
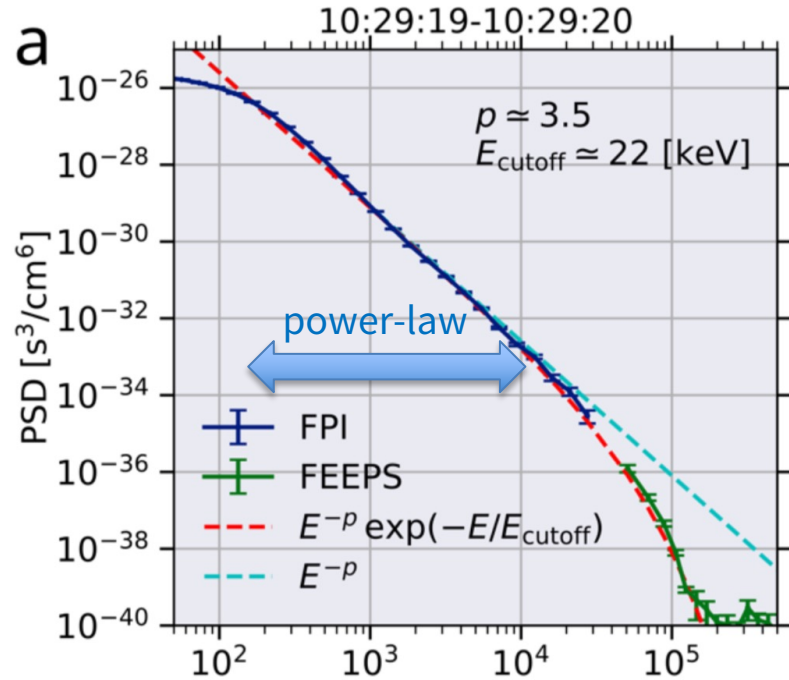


Enhanced wave power (in particular, high-frequency whistlers)

# Smoking-Gun Evidence ?

- Simultaneous appearance of energetic electron profiles, weak anisotropy, enhanced wave power are all consistent with the theory. However, the agreement is only qualitative.
- The observed power-law index is roughly consistent with the theory. But it does not necessarily identify the mechanism.
- The theory predicts that the high-energy cutoff of the spectrum is determined by the single parameter  $D_{\mu\mu}$

$$E_{\text{cutoff}} \sim E_{\text{sh}} \left( \frac{m_i}{m_e} \right) \left( \frac{D_{\mu\mu}}{\Omega_{ce}} \right) = \frac{1}{2} m_i \left( \frac{u_0}{\cos \theta_{Bn}} \right)^2 \left( \frac{D_{\mu\mu}}{\Omega_{ce}} \right)$$



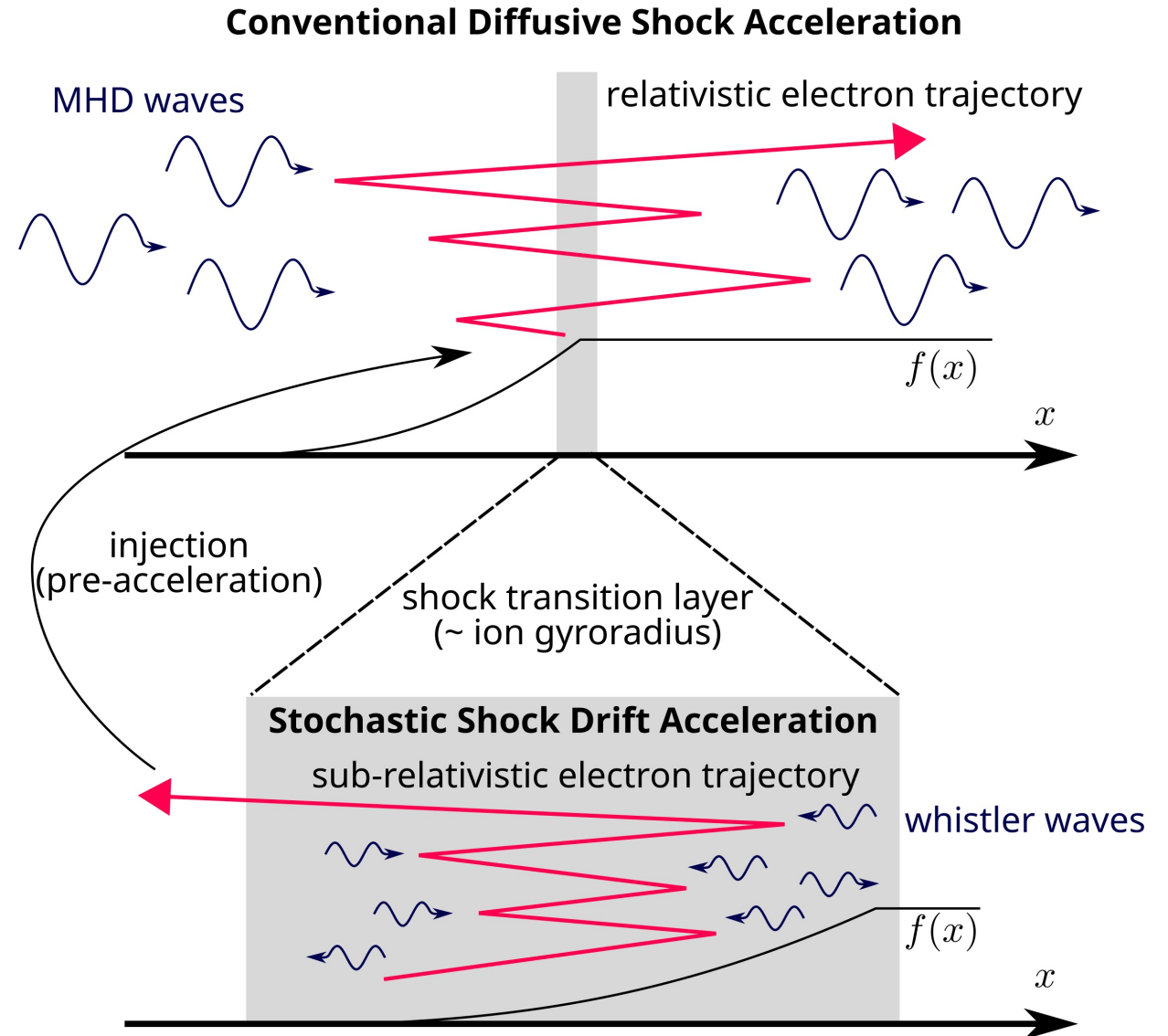
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# Unifying SSDA and DSA

Both SSDA and DSA may be described by the diffusion-convection equation:

$$\frac{\partial f_0}{\partial t} + V \cos \theta \frac{\partial f_0}{\partial x} + \frac{1}{3} \left( \frac{\partial \ln B}{\partial x} - \frac{\partial \ln V}{\partial x} \right) V \cos \theta \frac{\partial f_0}{\partial \ln p} = \frac{\partial}{\partial x} \left( \kappa \cos^2 \theta \frac{\partial f_0}{\partial x} \right)$$

energy gain = flow divergence

diffusion along B

$$\frac{\partial}{\partial x} (V \cos \theta) = -V \cos \theta \left( \frac{\partial \ln B}{\partial x} - \frac{\partial \ln V}{\partial x} \right), \rightarrow \text{Both SDA } (\nabla B) \text{ and first-order Fermi } (\nabla V) \text{ contributes to the energy gain but } \nabla B \text{ is dominant at quasi-perp shocks}$$

The steady-state spectrum may be estimated as  $f_2(p) \propto p^{-q}$  with

diffusion length  $\gg$  shock thickness  
 $\rightarrow$  standard DSA ( $q=4$ )

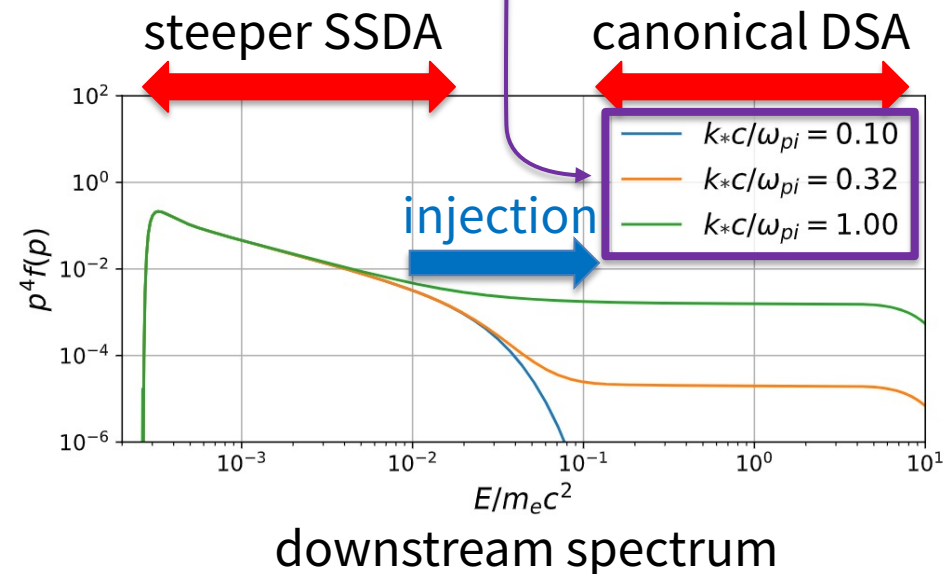
$$q = \frac{3V_1 \cos \theta_1}{V_2 \cos \theta_2 - V_1 \cos \theta_1} = \frac{3r}{r-1},$$

diffusion length  $\sim$  shock thickness  
 $\rightarrow$  SSDA

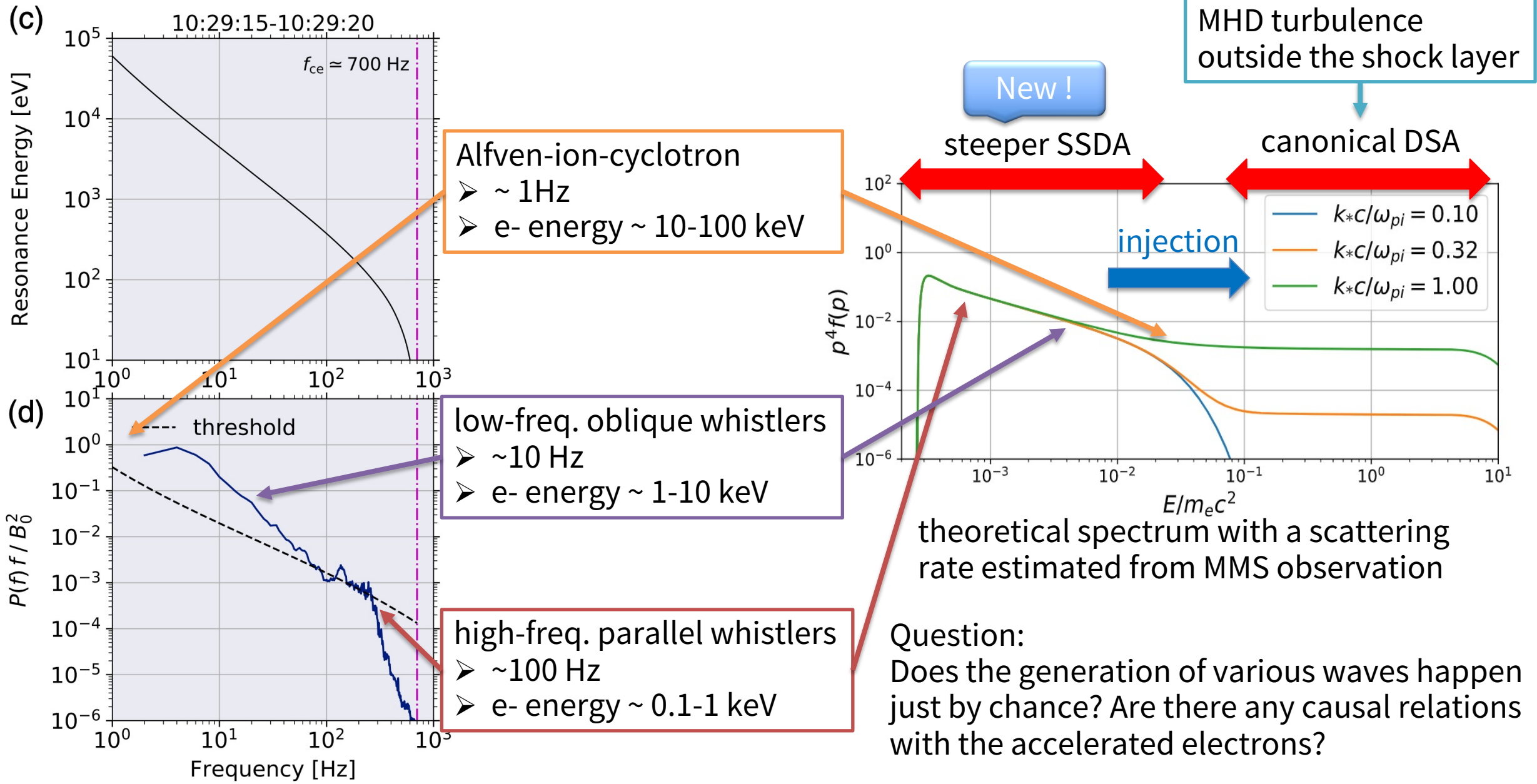
$$q \approx 3 \left[ 1 + \left( l_{\text{diff}} \left\langle \frac{\partial \ln B}{\partial x} \right\rangle \right)^{-1} \right],$$

ratio between diffusion length and shock thickness  
 $\rightarrow$  predicted spectrum is steeper than DSA ( $q > 6$ ), but the acceleration time is much shorter

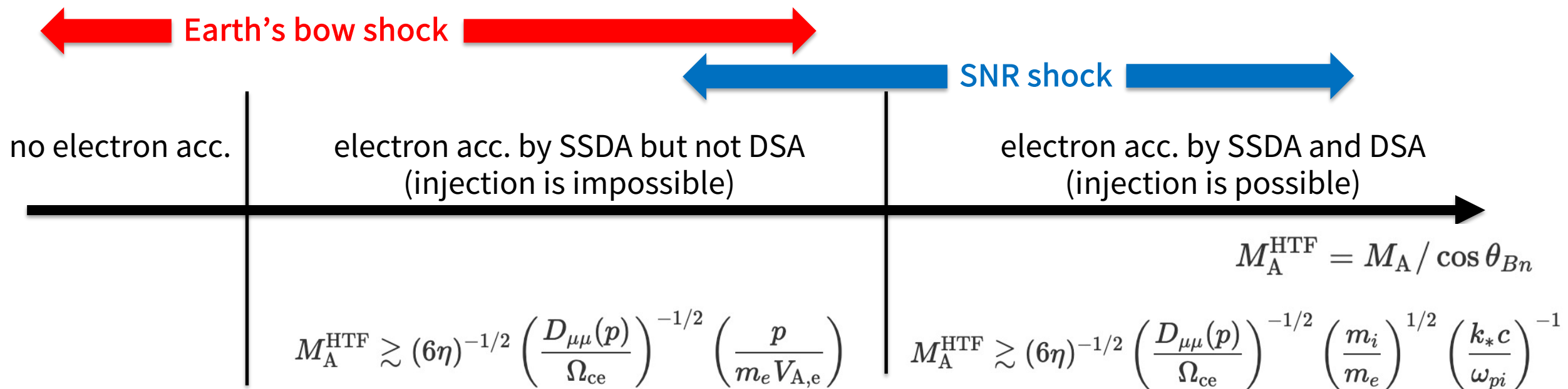
dissipation scale of MHD turbulence for DSA (outside the shock layer)



# Roles of Multiscale Plasma Waves



# Condition for Electron Injection



- The most important parameter is the Alfvén Mach number in HTF:  $M_A^{\text{HTF}} = M_A / \cos \theta_{Bn}$ 
  - Importance of this parameter for electron acceleration has been suggested both in theory and observations [Levinson 1992-1996, Oka+2006, Amano & Hoshino 2010]
- The specific transition Mach number depends on  $D_{\mu\mu}$ .
  - Wave intensity and associated scattering efficiency must be known for making a quantitative theoretical prediction.

# Conclusions

- At present, Stochastic Shock Drift Acceleration (SSDA) is the most promising mechanism for electron injection.
- The theory predicts a steeper-than-DSA spectrum at sub-relativistic energies, which will be connected smoothly to the harder DSA at the transition energy of 0.1-1 MeV, if efficient electron injection is realized.

Fiuzza+2020 (Laser Experiments @ NIF)

Tanaka+2018 (NuSTAR obs for W49B)

