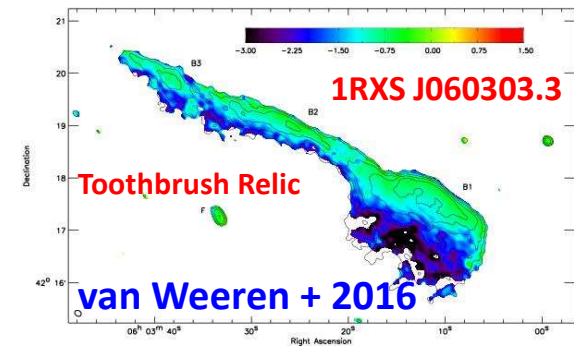
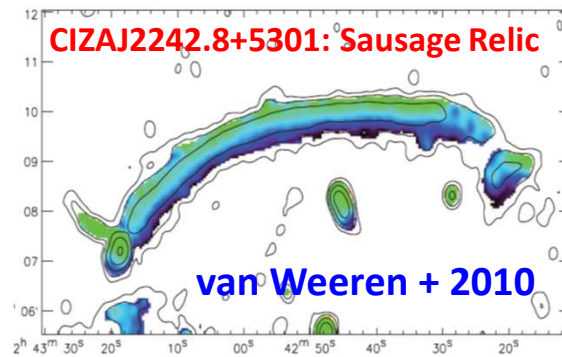
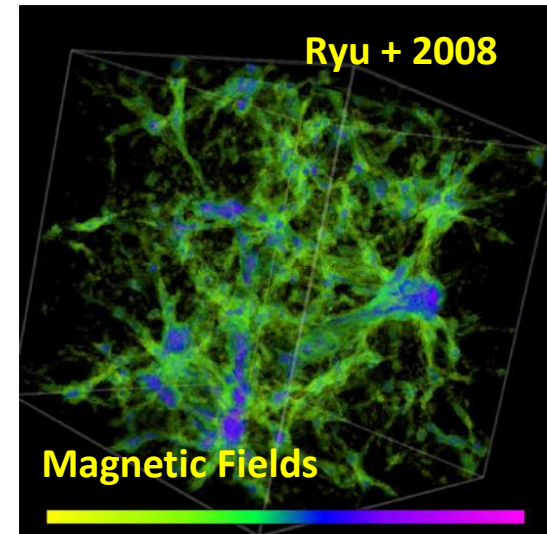
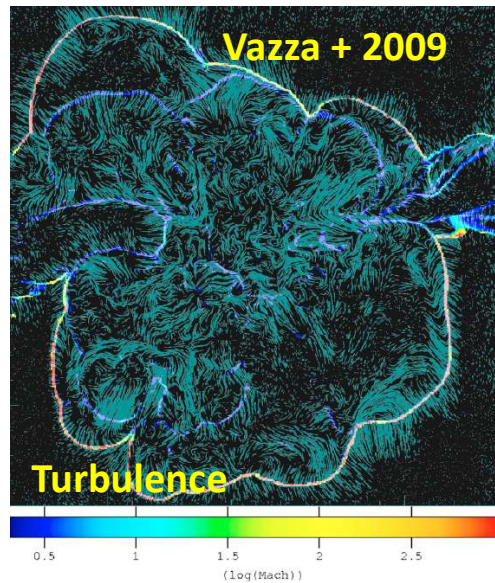
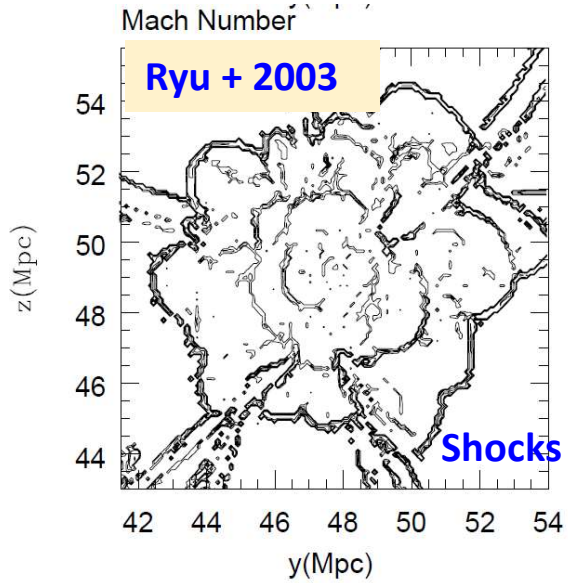


Particle Acceleration at Weak Shocks induced by Mergers of Galaxy Clusters

Hyesung Kang (Pusan National University, Rep. of Korea),
Dongsu Ryu, Ji-Hoon Ha, Sunjung Kim (UNIST, Rep. of Korea)



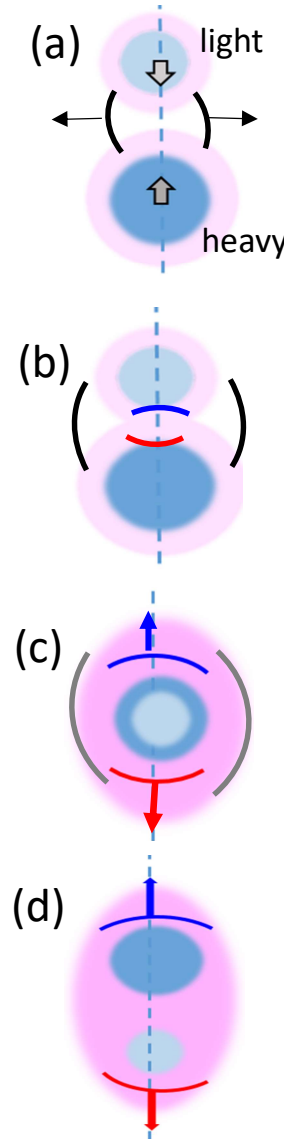
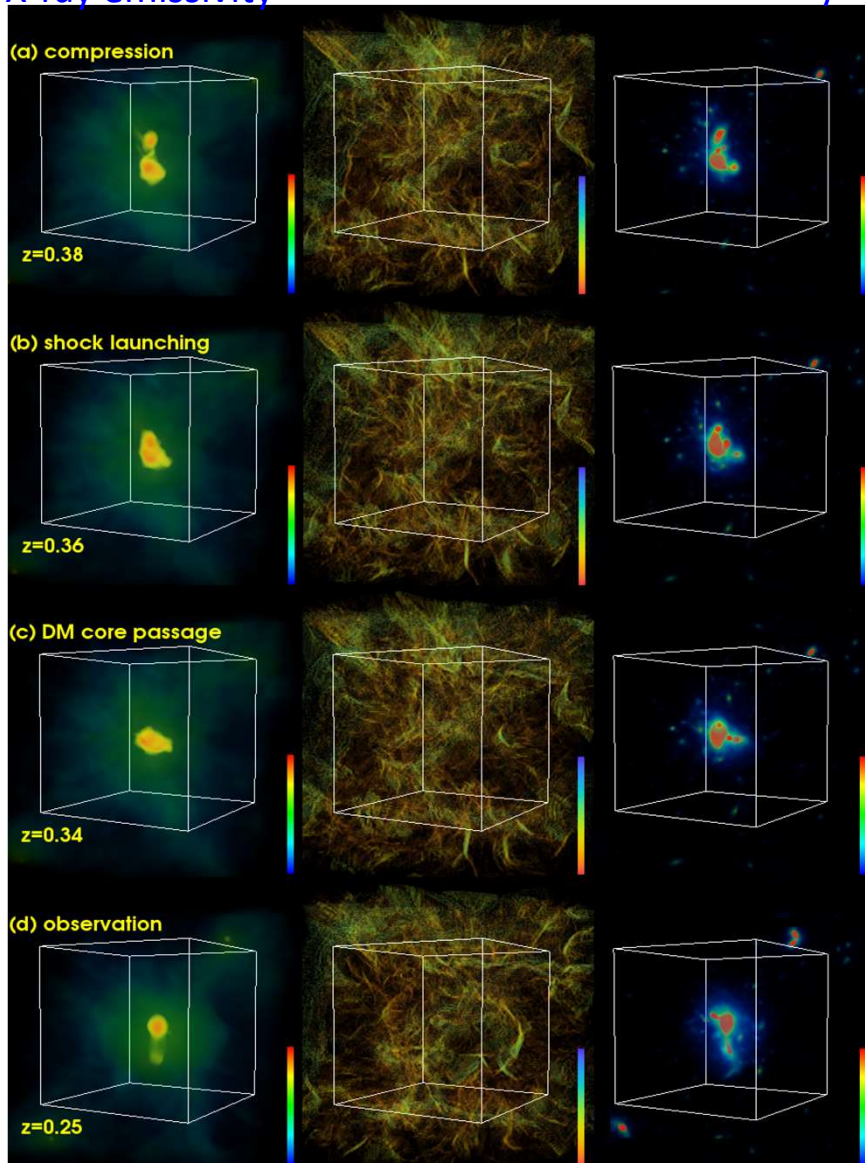
Outline

- Shocks driven by binary mergers of galaxy clusters
- Observed Properties of ICM Shocks: “Radio Relic Shocks”
- Shock criticality: **subcritical** vs. **supercritical**
- **Proton** acceleration at **Q-par high- β shocks**
- **Electron** acceleration at **Q-perp high- β shocks**
- Shock re-acceleration of Fossil electrons
- Summary

Binary mergers in LCDM cosmological simulations

Ha et al. 2018

X-ray emissivity Shock Mach no DM density



(a) During the pre-merger stage, **equatorial shocks** are launched perpendicular to the merger axis.

(b) **Axial shocks** are launched along the merger axis.

(c) After DM core passage, double shocks expand outward.

(d) Formation of double merger shocks (→ double radio relics)

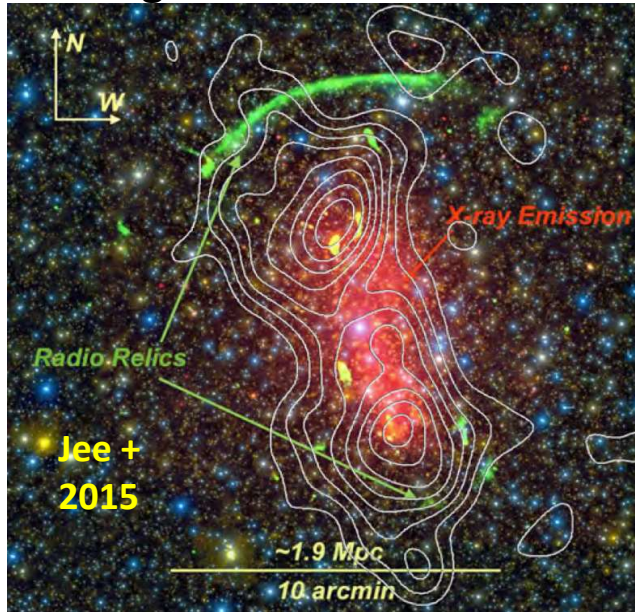
mass ratio $m_1 : m_2$
 impact factor b

typical merger shocks
 - $M_s \sim 1.5 - 3$
 - $V_s \sim 1.5 - 3 \times 10^3 \text{ km/s}$

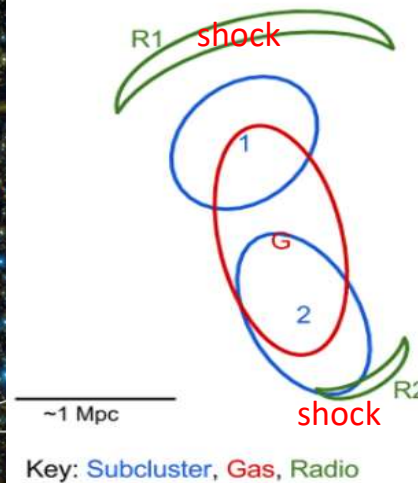
Shocks are induced by supersonic flows driven by mergers of galaxy clusters

Radio Relics: Electrons accelerated at Weak Q_{\perp} ICM Shocks

Sausage Relic



Merger geometry



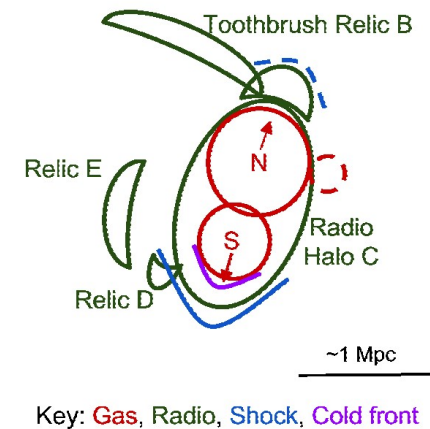
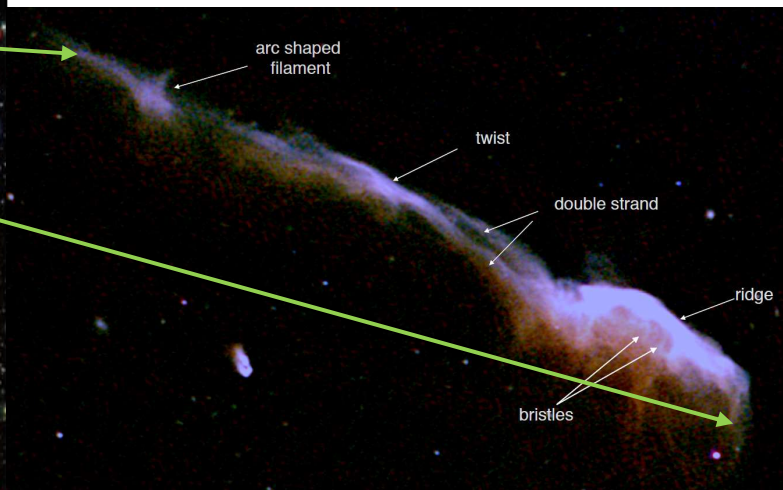
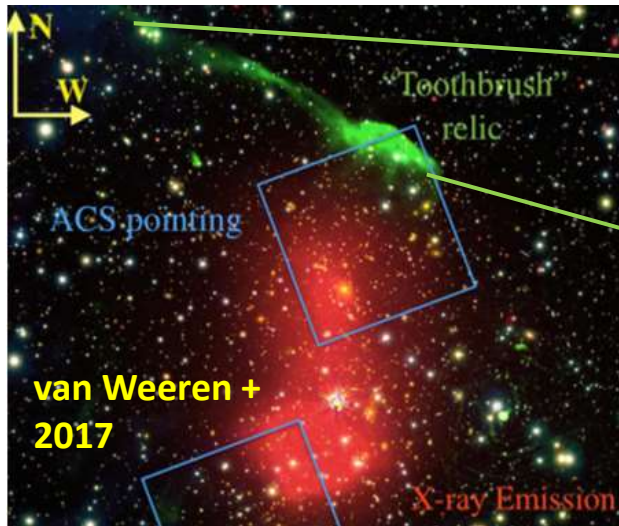
Merger of Galaxy Clusters

- ➔ Merger-driven shocks on ~1-2 Mpc scales
- ➔ Accelerate CR **electrons** via DSA
- ➔ Diffuse synchrotron radiation

Radio spectral index $M_{\text{radio}}^2 = \frac{(3 + 2\alpha_{\text{sh}})}{(2\alpha_{\text{sh}} - 1)}$

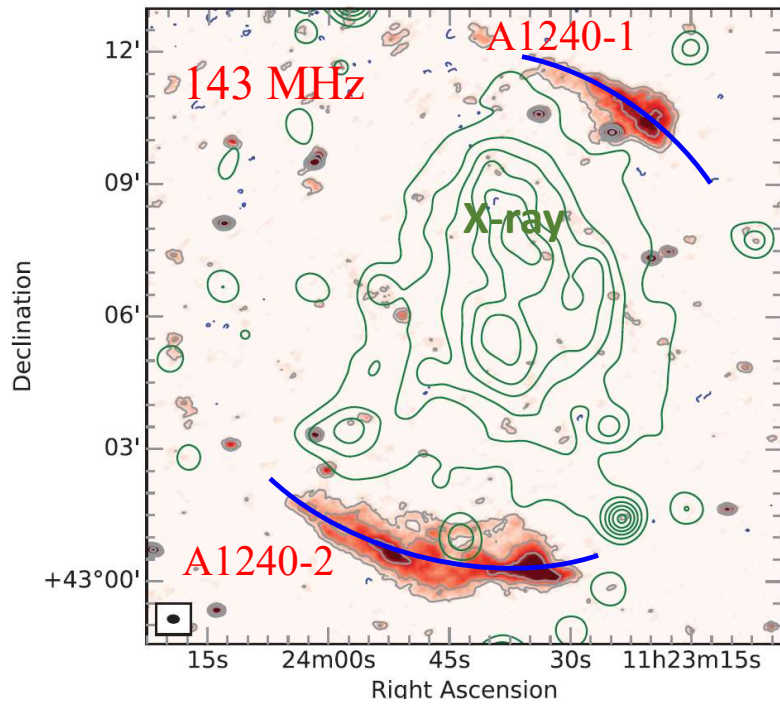
$M_{\text{radio}} \sim 2 - 3.0$

DSA can explain the origin of Radio Relics in merging clusters.

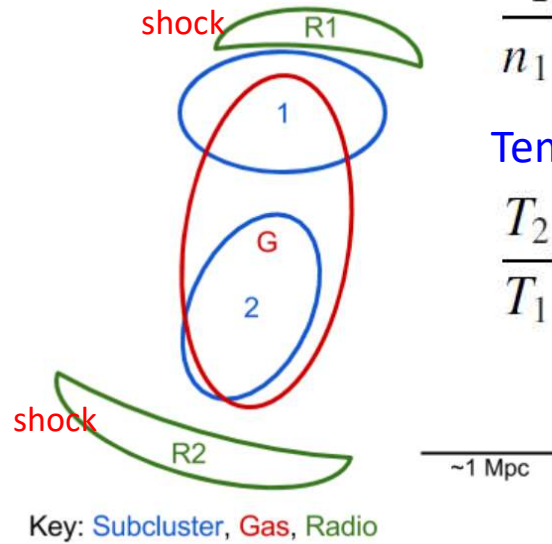


DSA = Diffusive Shock Acceleration, aka, Fermi first order process.

X-ray shocks in merging clusters



Hoang + 2018



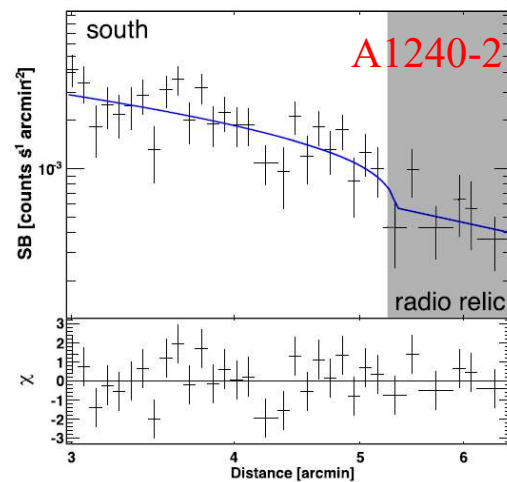
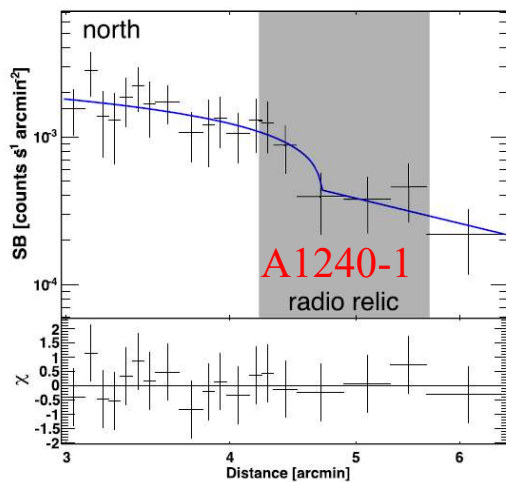
Density jump

$$\frac{n_2}{n_1} = \frac{4M_X^2}{M_X^2 + 3}$$

Temp jump

$$\frac{T_2}{T_1} = \frac{5M_X^4 + 14M_X^2 - 3}{16M_X^2}$$

$$\rightarrow M_X \sim 2 \leq M_{radio}$$

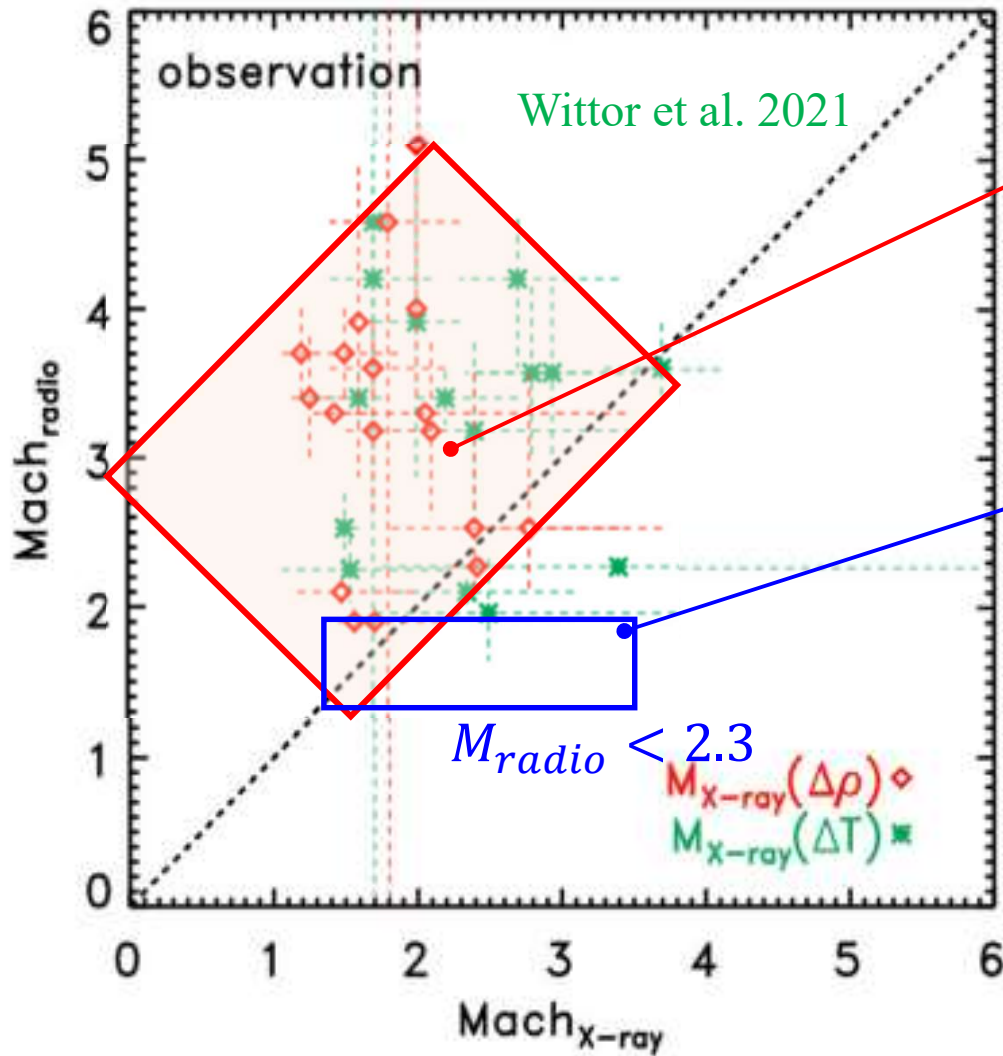


Source	\mathcal{M}_{int}	\mathcal{M}_{inj}
A1240-1	$5.1^{+3.1}_{-1.1}$	2.4 ± 0.1
A1240-2	$4.0^{+1.1}_{-0.6}$	2.3 ± 0.1

volume integrated
at shock

Chandra 0.5–2.0 keV surface brightness

Mach Number M_s of Radio Relic Shocks



Mach number discrepancy
 $M_{radio} \geq M_X$

Some observed radio relic shocks seem to have
 $M_{radio} < 2.3$ (subcritical)

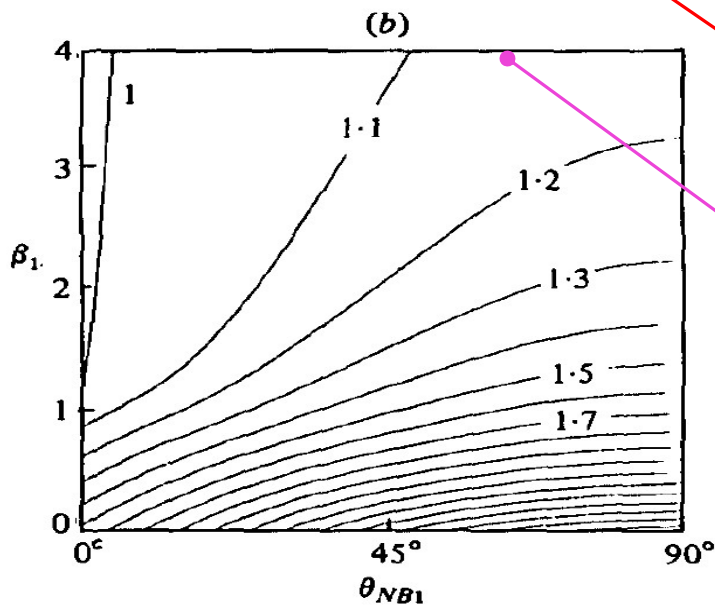
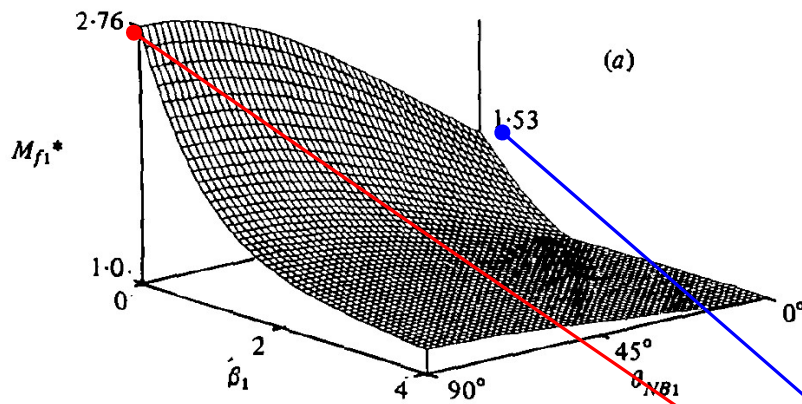
Q: Are these observations consistent with DSA model?

Shock criticality: subcritical vs. supercritical : ion reflection

Edmiston & Kennel 1984

First fast critical Mach number:

$$U_{2x} = c_{s2} \text{ for ion reflection}$$



Number flux:

$$N_1 U_{1x} = N_2 U_{2x};$$

Momentum flux:

$$N_1(U_{1x}^2 + V_1^2) + B_{1z}^2/8\pi M = N_2(U_{2x}^2 + V_2^2) + B_{2z}^2/8\pi M,$$

$$B_{1z} B_x/4\pi M = B_{2z} B_x/4\pi M - N_2 U_{2x} U_{2z},$$

$$0 = N_2 U_{2x} U_{2y} - B_x B_{2y}/4\pi M;$$

Energy flux:

$$N_1 U_{1x} (\gamma V_1^2/(\gamma-1) + \frac{1}{2} U_{1x}^2) + U_{1z} B_{1z}^2/4\pi M$$

$$= N_2 U_{2x} [\gamma V_2^2/(\gamma-1) + \frac{1}{2} U_{2x}^2 + \frac{1}{2} U_{2z}^2] + B_{2z}/4\pi M (B_{2z} U_{2x} - B_x U_{2z}).$$

$\beta = 0$ limit

cold plasma

$$M_f^* = 1.53 \text{ for } \theta_{Bn} = 0^\circ$$

Parallel shocks

$$M_f^* = 2.76 \text{ for } \theta_{Bn} = 90^\circ$$

Perp. shocks

$\beta \gg 1$ limit,

$$M_f^* \sim 1.0 - 1.1 \text{ for } \theta_{Bn} < 45^\circ$$

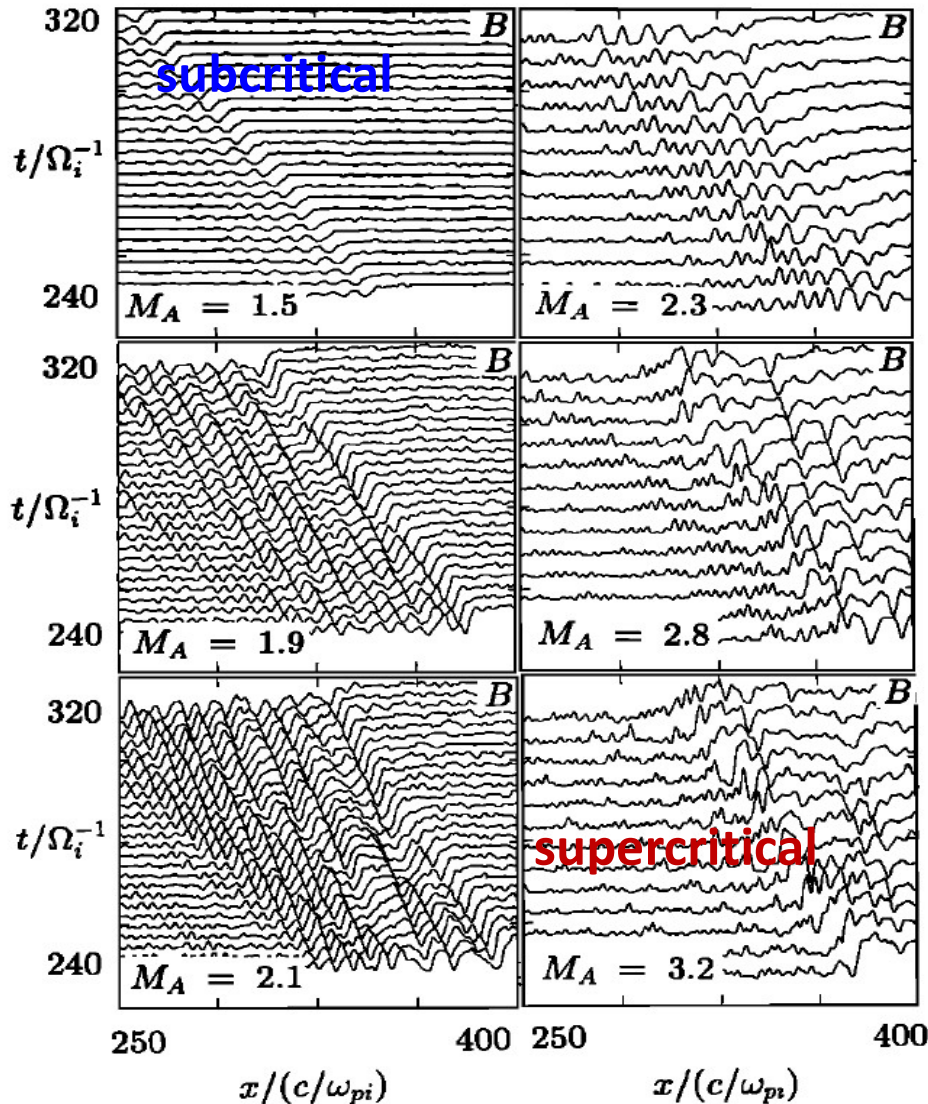
Q_{\parallel} shocks

$$M_f^* \sim 1.1 - 1.2 \text{ for } \theta_{Bn} > 45^\circ$$

Q_{\perp} shocks

This fluid approach does not account for kinetic processes in the shock transition.

Shock criticality: **subcritical** vs. **supercritical** : ion reflection



Omidi et al. 1994

1D hybrid simulations: **Low M_A $Q_{||}$ shocks**

$$\theta_{Bn} = 30^\circ, \beta_i = 0.5$$

Subcritical shocks:

shock transition is smooth without overshoot/undershoot oscillations.

Supercritical shocks: $M_A > 2.8$

Incoming ions are reflected at shock.

→ Shock is unsteady & undergoes self-reformation.

→ efficient ion reflection

→ lead to “injection” to DSA

Dynamics of reflected ions determine the shock structures.

→ govern the injection process

Proton injection to DSA: 1D PIC simulations for Q_{\parallel} ICM shocks

Ha, Ryu, Kang + 2018

Table 1. Model Parameters for the Simulations

Model Name ^a	$M_s \approx M_f$	M_A	v_0/c	θ_{Bn}	β	$T_e = T_i [\text{K}(\text{keV})]$	$\frac{m_i}{m_e}$
M3.2 ^d	3.2	29.2	0.052	13°	100	10 ⁸ (8.6)	100
M2.0	2.0	18.2	0.027	13°	100	10 ⁸ (8.6)	100
M2.15	2.15	19.6	0.0297	13°	100	10 ⁸ (8.6)	100
M2.25	2.25	20.5	0.0315	13°	100	10 ⁸ (8.6)	100
M2.5	2.5	22.9	0.035	13°	100	10 ⁸ (8.6)	100
M2.85	2.85	26.0	0.0395	13°	100	10 ⁸ (8.6)	100
M3.5	3.5	31.9	0.057	13°	100	10 ⁸ (8.6)	100
M4	4.0	36.5	0.066	13°	100	10 ⁸ (8.6)	100

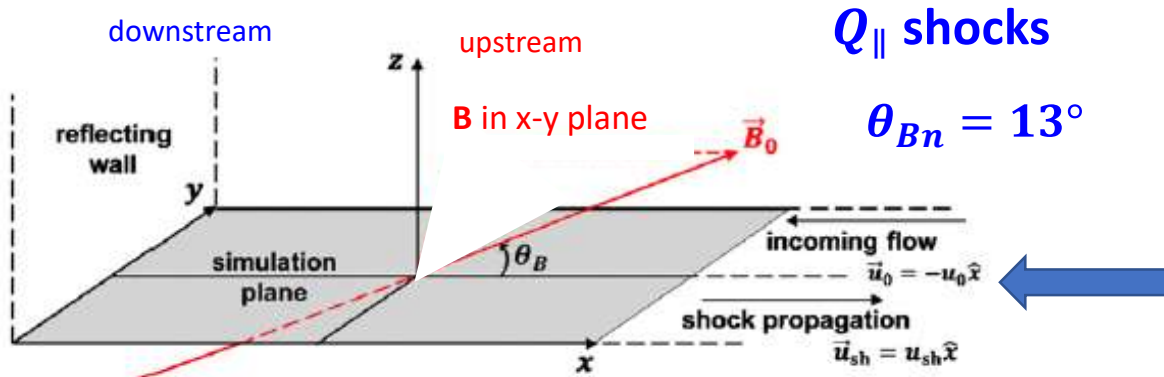
Particle in Cell

Both p + e are kinetic.

Weak Q_{\parallel} shocks

in $\beta=100$ ICM

$M_s = 2.0 - 4.0$



Q_{\parallel} shocks

$\theta_{Bn} = 13^\circ$

Incoming plasma is reflected at the wall.

A shock propagates into the incoming flow.

shock parameters

$$M_s = U_s/c_s$$

$$M_A = U_s/V_A$$

$$M_A \approx \beta_p^{1/2} M_s$$

$$\beta_p = P_{gas}/P_B$$

$$= nkT/(B^2/8\pi)$$

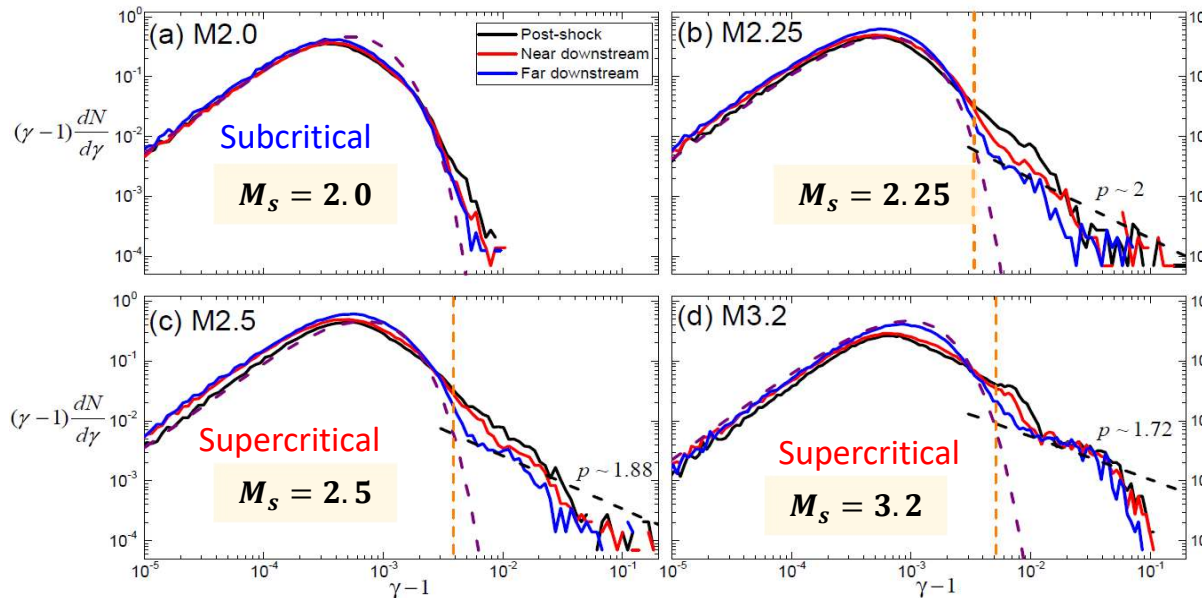
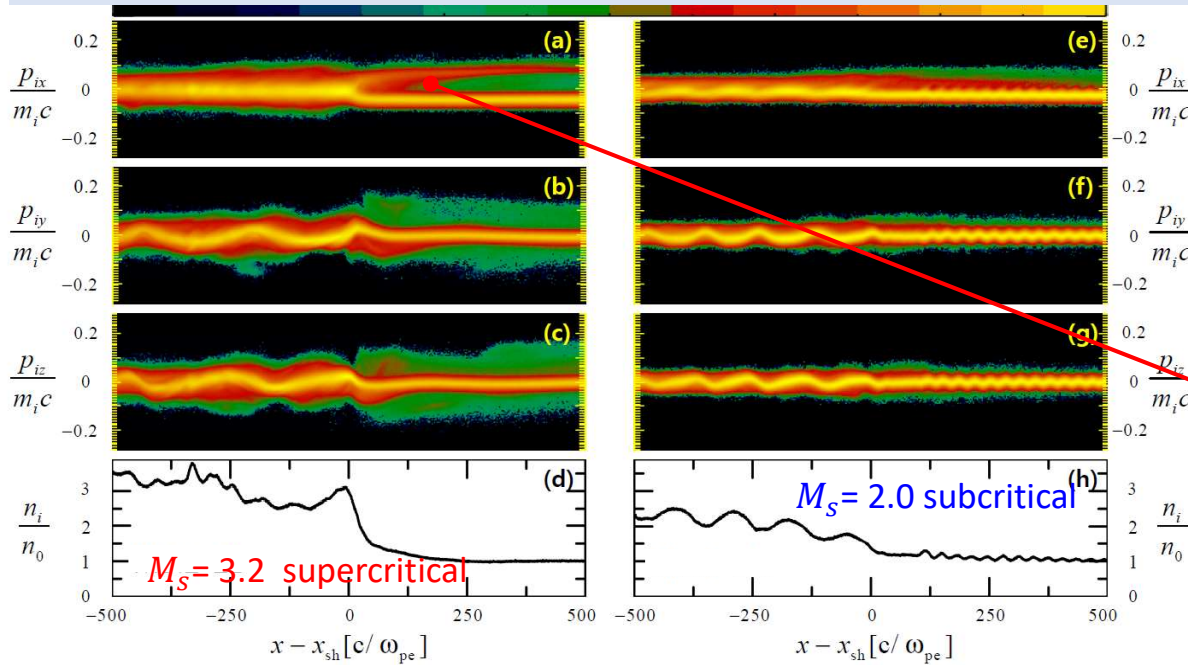
θ_{Bn} : obliquity angle

Proton injection to DSA: 1D PIC simulations for Q_{\parallel} shocks

Ha et al. 2018

$\theta_{Bn} = 13^{\circ} \quad \beta = 100$

Dynamics of reflected ions
 → determines shock structure
 → forms suprathermal tail
 → leads to Proton injection to DSA



Mach number dependence
 → $M_{crit} \sim 2.3$

In high β ICM, only **supercritical Q_{\parallel} shocks** with $M_s \geq 2.3$ may inject and accelerate CR protons via DSA.

Electron preacceleration in weak high- β Q_{\perp} ICM shocks

1. reflection by magnetic deflection (mirror) at the shock ramp
2. Shock Drift Acceleration (SDA) along the shock surface
3. backstreaming electrons generates T anisotropy ($T_{e\parallel} > T_{e\perp}$)
4. Electron Firehose Instability (EFI) excites oblique waves
5. undergo Fermi-like acceleration in the upstream region

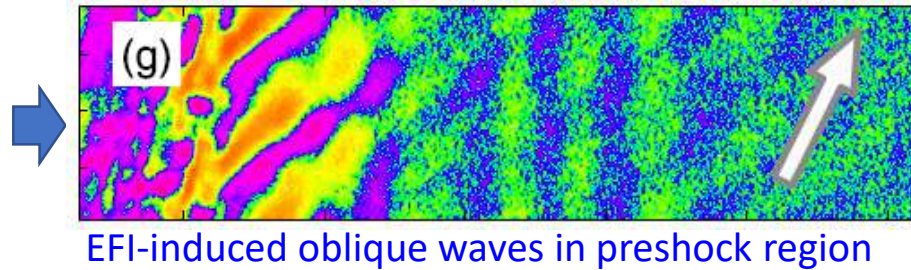
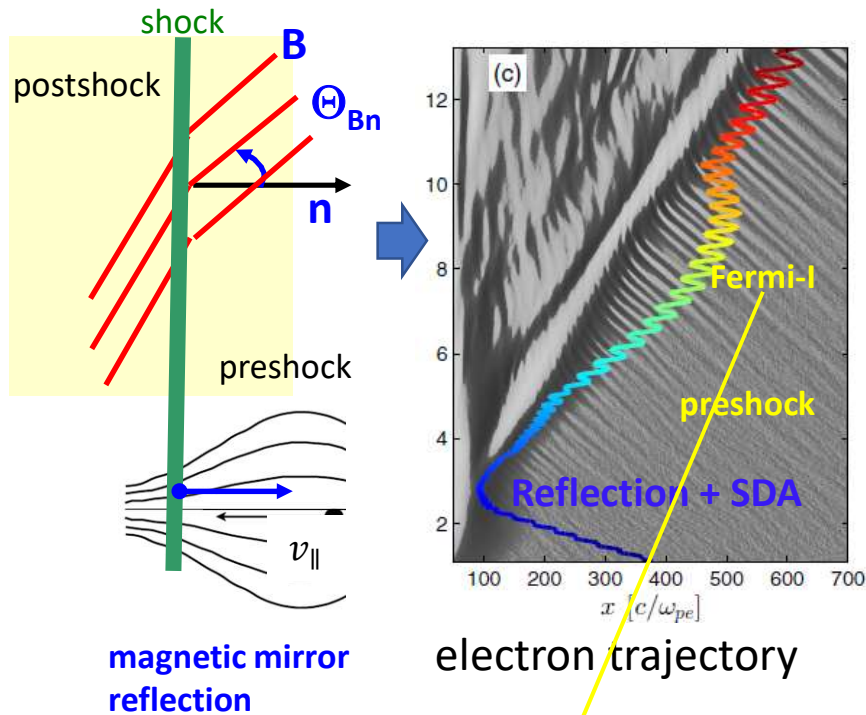
Guo et al. 2014a,b

$$\beta = \frac{P_{gas}}{P_B} \sim 20$$

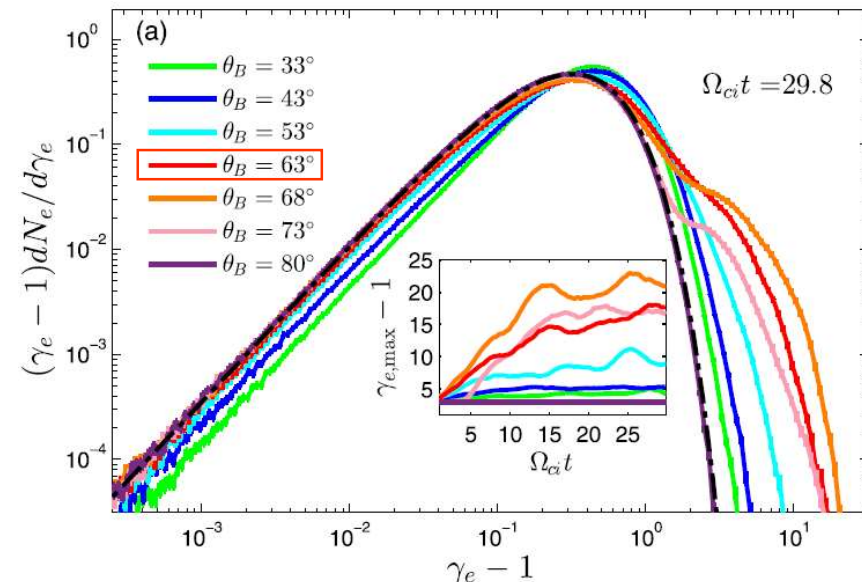
(6 – 200)

$$M_s = 3.0$$

$$\theta_{Bn} = 13 - 80^\circ$$



Fermi-like acceleration due to scattering btw the shock and upstream waves.



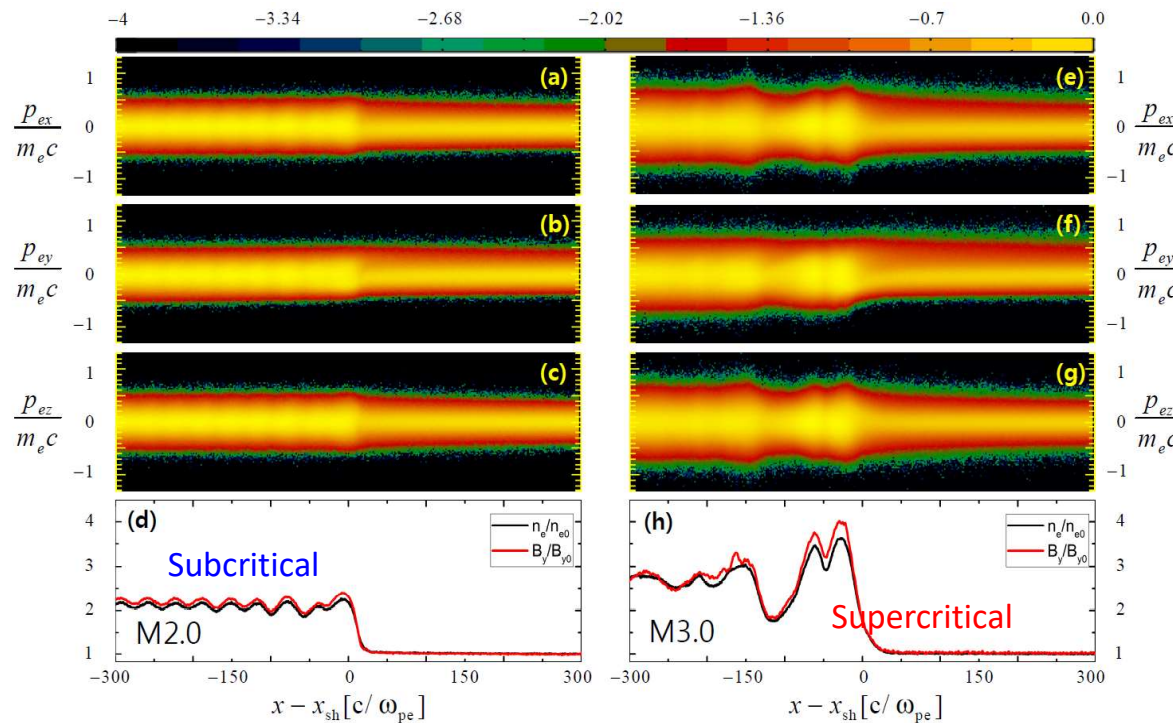
2D PIC simulations for Q_{\perp} ICM shocks for electron pre-acceleration

Model Name ^a	M_s	M_A	v_0/c	θ_{Bn}	β	$T_e = T_i [K(keV)]$	$\frac{m_i}{m_e}$
M2.3 ^d	2.3	21	0.0325	63°	100	$10^8 (8.6)$	100
M2.0	2.0	18.2	0.027	63°	100	$10^8 (8.6)$	100
M2.15	2.15	19.6	0.0297	63°	100	$10^8 (8.6)$	100
M2.5	2.5	22.9	0.035	63°	100	$10^8 (8.6)$	100
M2.75	2.75	25.1	0.041	63°	100	$10^8 (8.6)$	100
M3.0	3.0	27.4	0.047	63°	100	$10^8 (8.6)$	100

$$\beta = \frac{P_{gas}}{P_B} = 100$$

$$\theta_{Bn} = 63^\circ$$

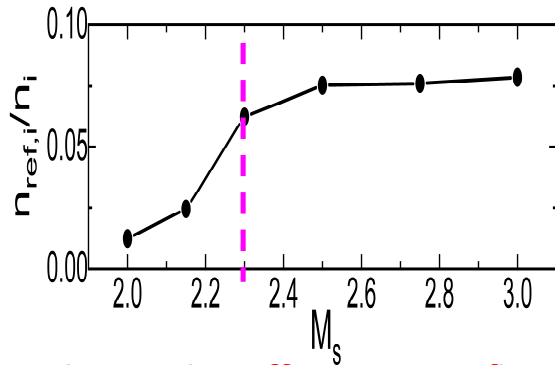
$$M_s = 2.0 - 3.0$$



Similarly as in Q_{\parallel} shocks, dynamics of reflected ions determines the shock structure of Q_{\perp} shocks.

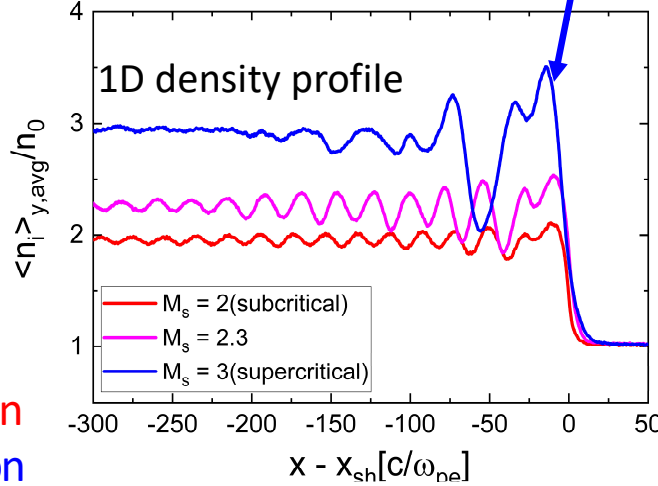
Shock Criticality: dynamics of reflected ions → electron reflection

Fraction of reflected ions

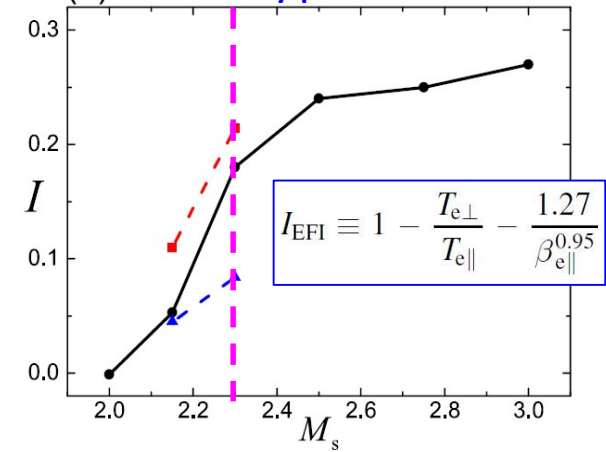


subcritical: inefficient ion reflection
 supercritical: efficient ion reflection

Shock structure overshoot

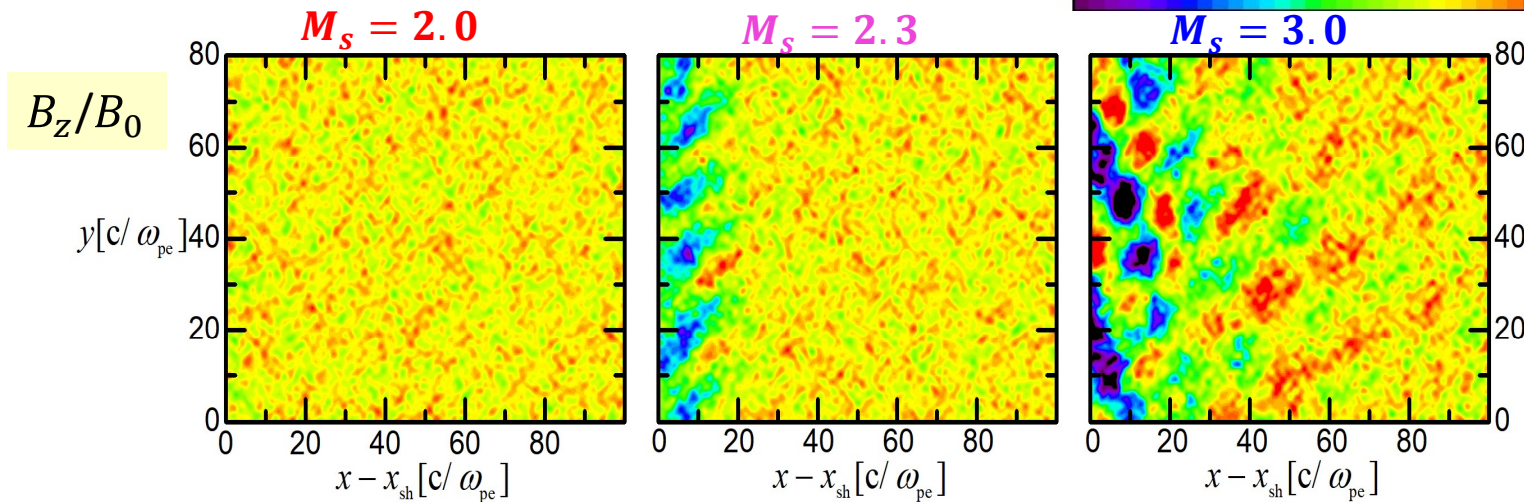


EFI instability parameter



$M_{EFI}^* \sim 2.3$: critical Mach number for the EFI excitation

Upstream waves



$\beta = 100$

$\theta_{Bn} = 63^\circ$

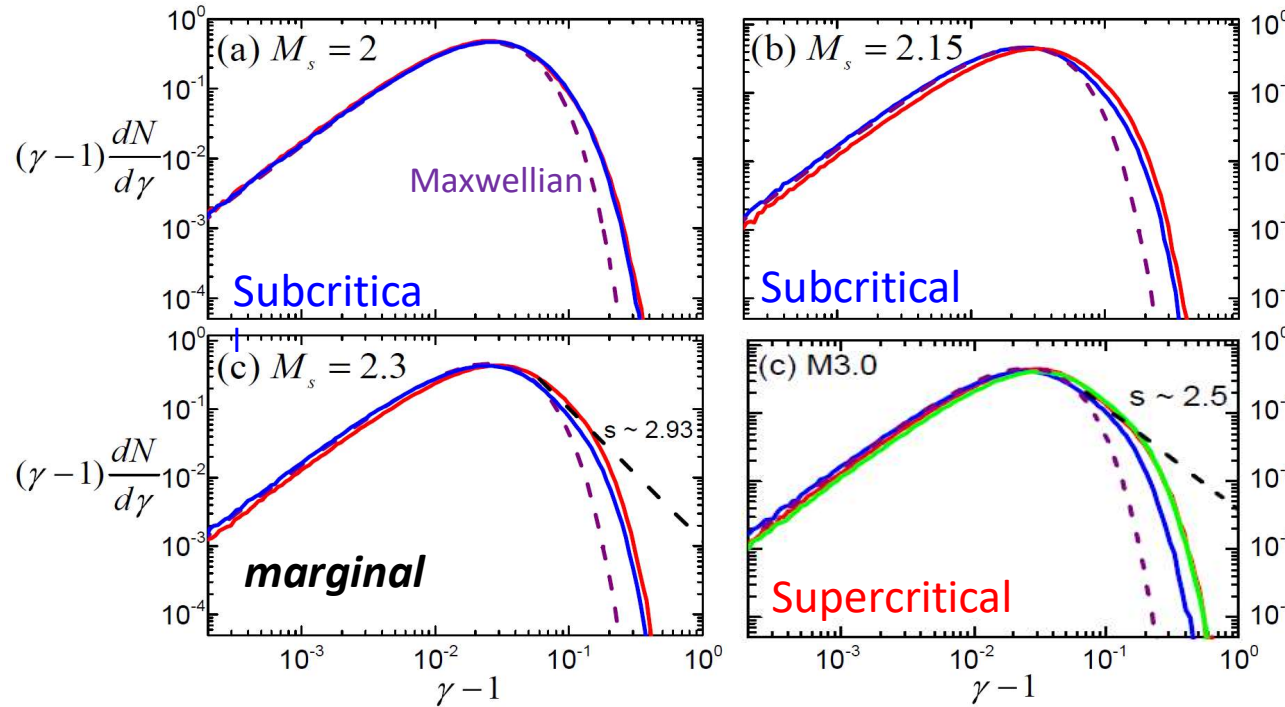
Q_\perp shocks

2D PIC simulations for Q_{\perp} shocks : electron pre-acceleration

Blue: $\Omega_{ci}t = 10$, Red: $\Omega_{ci}t = 30$, Green: $\Omega_{ci}t = 60$

$\beta \sim 100$

$M_s = 2.0 - 3.0$



-Subcritical shocks: only single SDA cycle (no Fermi-I)

-Supercritical shocks: multiple cycles of SDA due to scattering off upstream waves

→ suprathermal tail develops via Fermi-like acceleration

-But pre-acceleration is saturated due to lack of powers in longer λ waves in these simulations

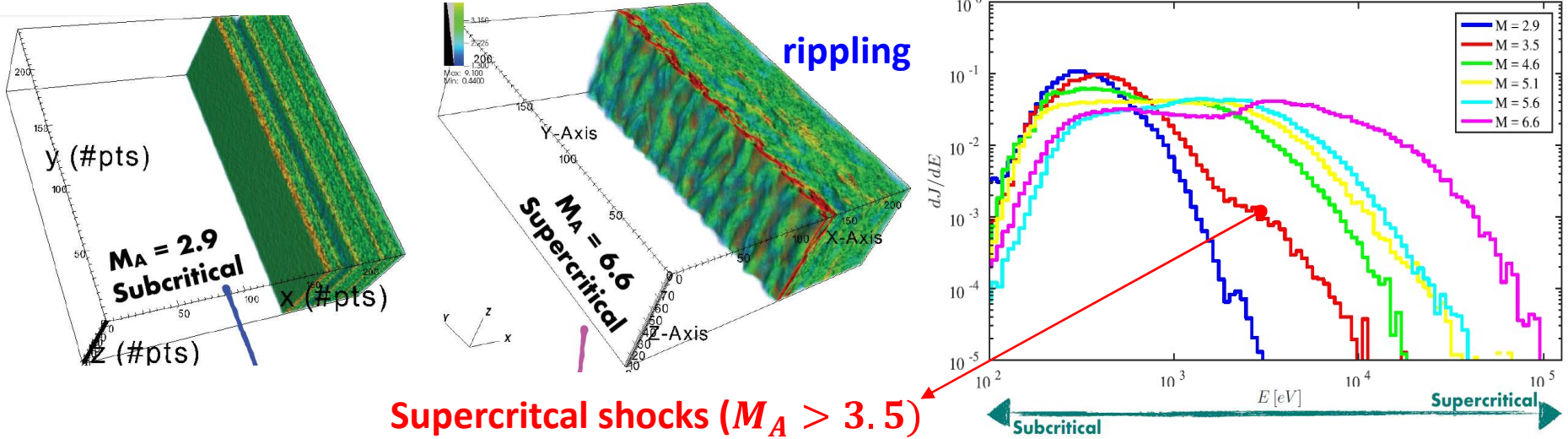
→ Much larger simulation box (in y-direction) is necessary to capture ion-scale waves due to shock surface rippling is necessary.

Electron acceleration at quasi-perp shocks in sub- and supercritical regimes: 2D and 3D simulations

$\beta_i \approx \beta_e \approx 0.5$

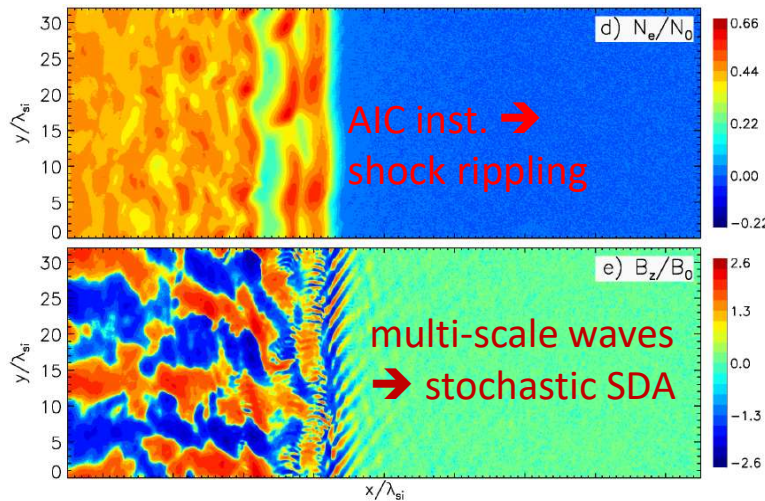
Hybrid sim. + test-particle electron

Trotta & Burgess 2019



Supercritical shocks ($M_A > 3.5$)

Electron Acceleration at Rippled Low-Mac-Number Shocks in High-Beta Collisionless Cosmic Plasmas



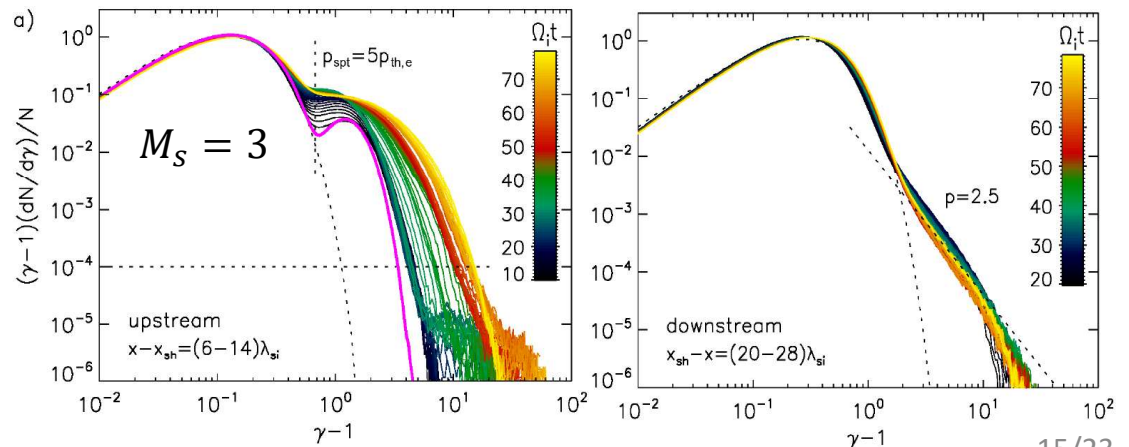
AIC inst. → shock rippling

multi-scale waves → stochastic SDA

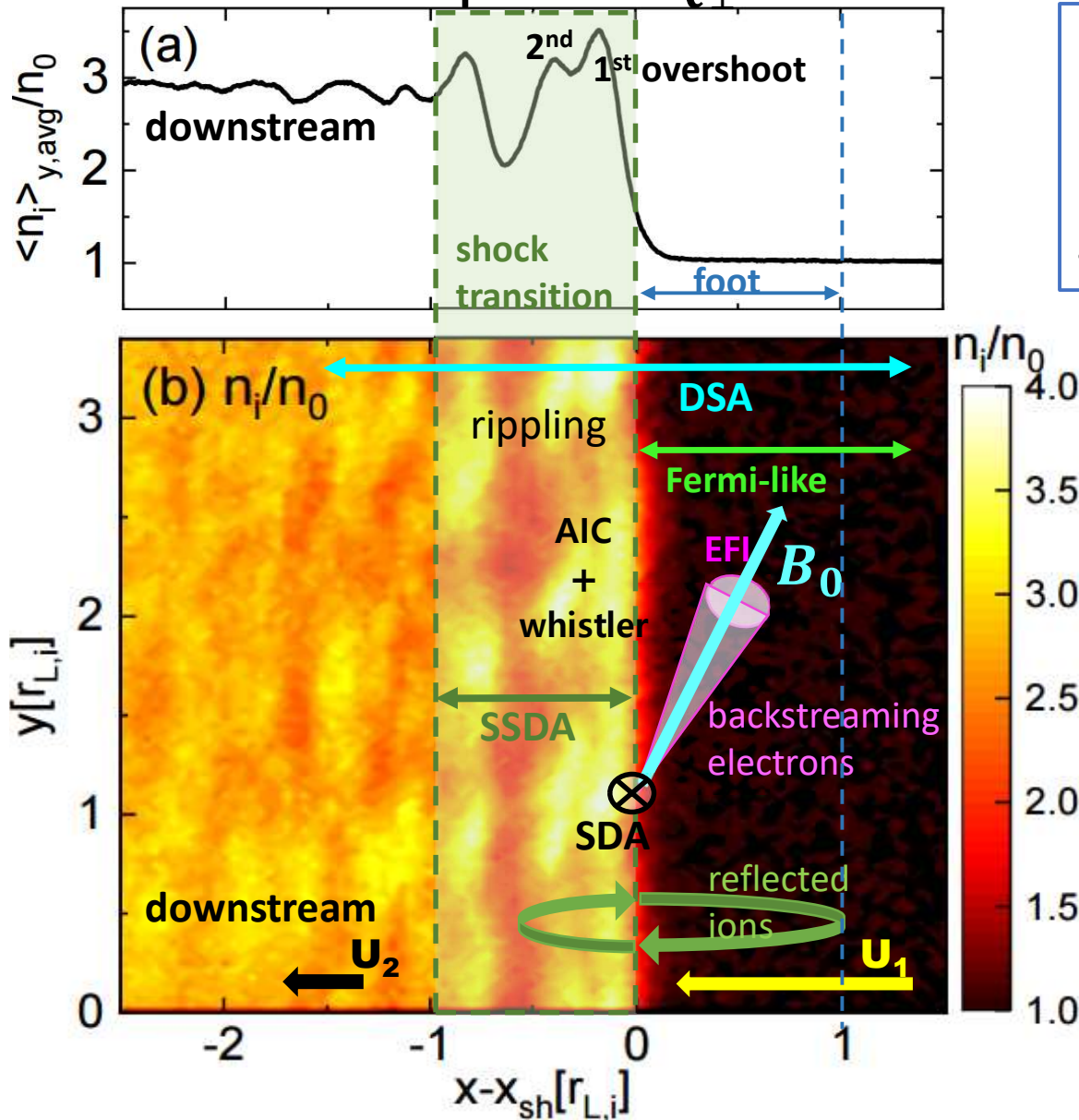
Alfvén ion cyclotron (AIC)

$\beta = 5$ ($\beta_e = \beta_i = 2.5$) 2D PIC

Niemiec + 2019, Kobzar + 2021



Structure of supercritical Q_{\perp} shocks



Microinstabilities

Free energy source:

-temperature anisotropies

$$\frac{T_{\perp a}}{T_{\parallel a}} > 1, \quad \frac{T_{\parallel a}}{T_{\perp a}} > 1$$

-due to beams of reflected ions/electrons

➤ In the shock upstream

$$\frac{T_{\parallel e}}{T_{\perp e}} > 1 \quad \text{electron firehose instability (EFI)}$$

➔ Fermi-like acceleration

➤ In the shock transition zone

$$\frac{T_{\perp e}}{T_{\parallel e}} > 1 \quad \begin{array}{l} 1. \text{whistler instability (WI)} \\ 2. \text{electron mirror instability} \end{array}$$

$$\frac{T_{\perp i}}{T_{\parallel i}} > 1 \quad \begin{array}{l} 1. \text{Alfven ion cyclotron (AIC)} \\ 2. \text{ion mirror instability} \end{array}$$

➔ Stochastic SDA

(Guo + 2014, 2017; Katou & Amano 2019; Kobzar + 2021)

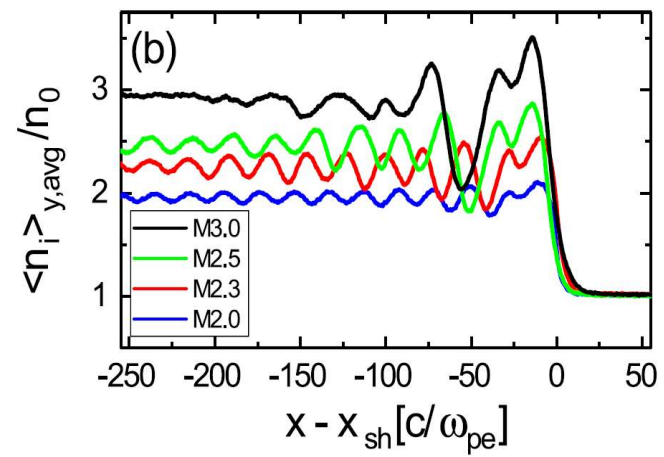
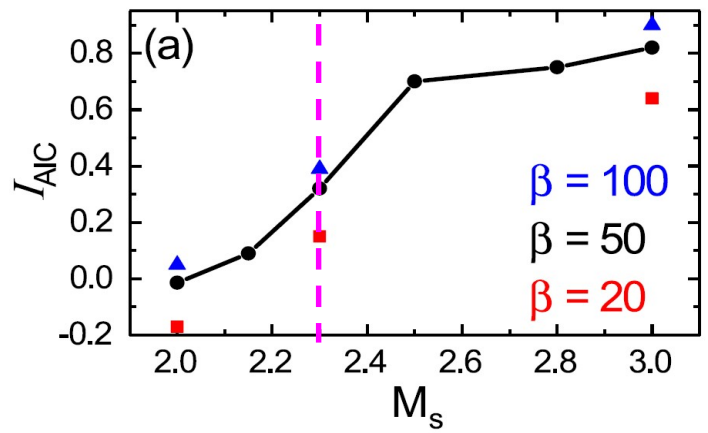
Electron Preacceleration at Weak Q_{\perp} ICM Shocks: Effects of Shock Surface Rippling

PIC simulations in Kang + 2019 $\beta = 100$; $\theta_{Bn} = 63^\circ$; $M_s = 2.0 - 3.0$; $\frac{m_i}{m_e} = 100$

→ 2D simulation domain is increased 5 times in y-direction to include ion-scale instability.

Model Name	M_s	M_A	u_0/c	θ_{Bn}	β	$T_{e0} = T_{i0}$ [K(keV)]	m_i/m_e
M2.0	2.0	12.9	0.038	63°	50	10^8 (8.6)	50
M2.15	2.15	13.9	0.042	63°	50	10^8 (8.6)	50
M2.3	2.3	14.8	0.046	63°	50	10^8 (8.6)	50
M2.5	2.5	16.1	0.053	63°	50	10^8 (8.6)	50
M2.8	2.8	18.1	0.061	63°	50	10^8 (8.6)	50
M3.0	3.0	19.4	0.068	63°	50	10^8 (8.6)	50

$\beta = 50$
 $\frac{m_i}{m_e} = 50$



$M_{AIC}^* \sim 2.3$:
critical Mach number for the AIC excitation. Also governed by the dynamics of reflected ions.

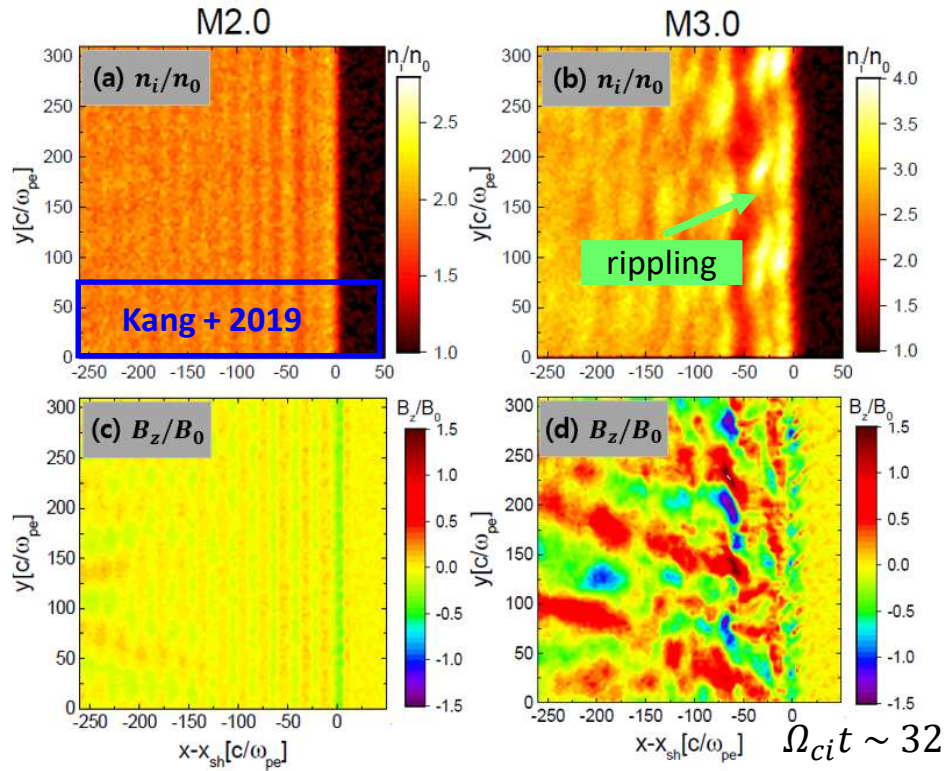
$$I_{AIC} = \frac{T_{i\perp}}{T_{i\parallel}} - 1 - \frac{S_p}{\beta_{i\parallel}^{\alpha_p}} > 0 \quad (\text{Gary + 1997})$$

*Alfvén ion cyclotron (AIC)

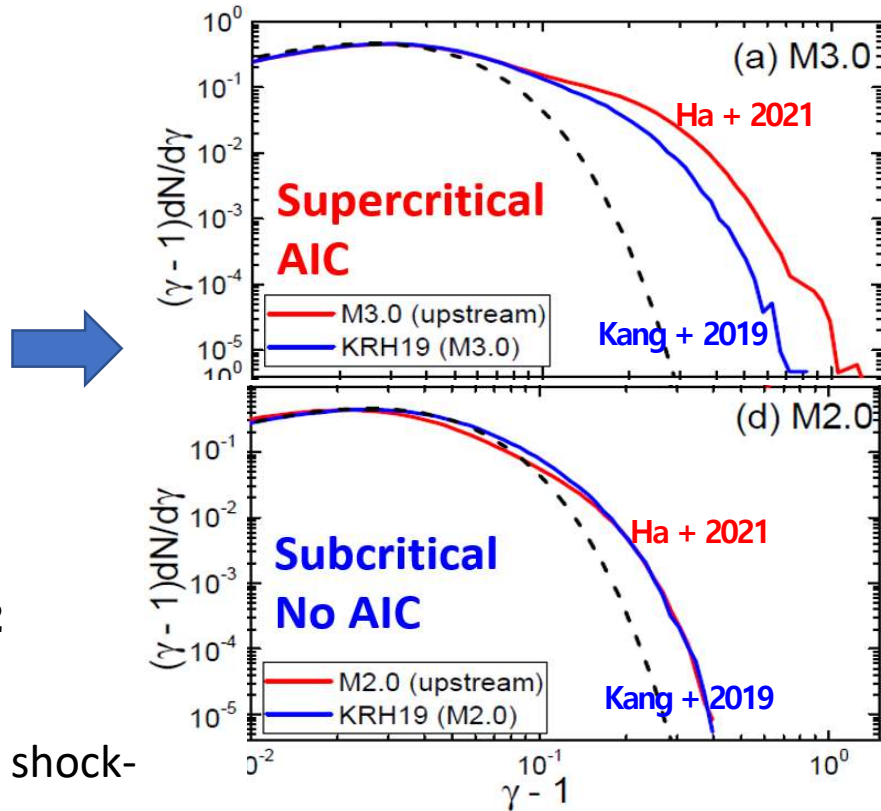
Electron Preacceleration at Weak Q_{\perp} ICM Shocks: Effects of Shock Surface Rippling

2D PIC simulations with a larger simulation box

Kang + 2019 vs. Ha + 2021



$$\frac{m_i}{m_e} = 50, T = 10^8 K, \beta = 50, M_S = 2 - 3, \theta_{Bn} = 63^\circ$$

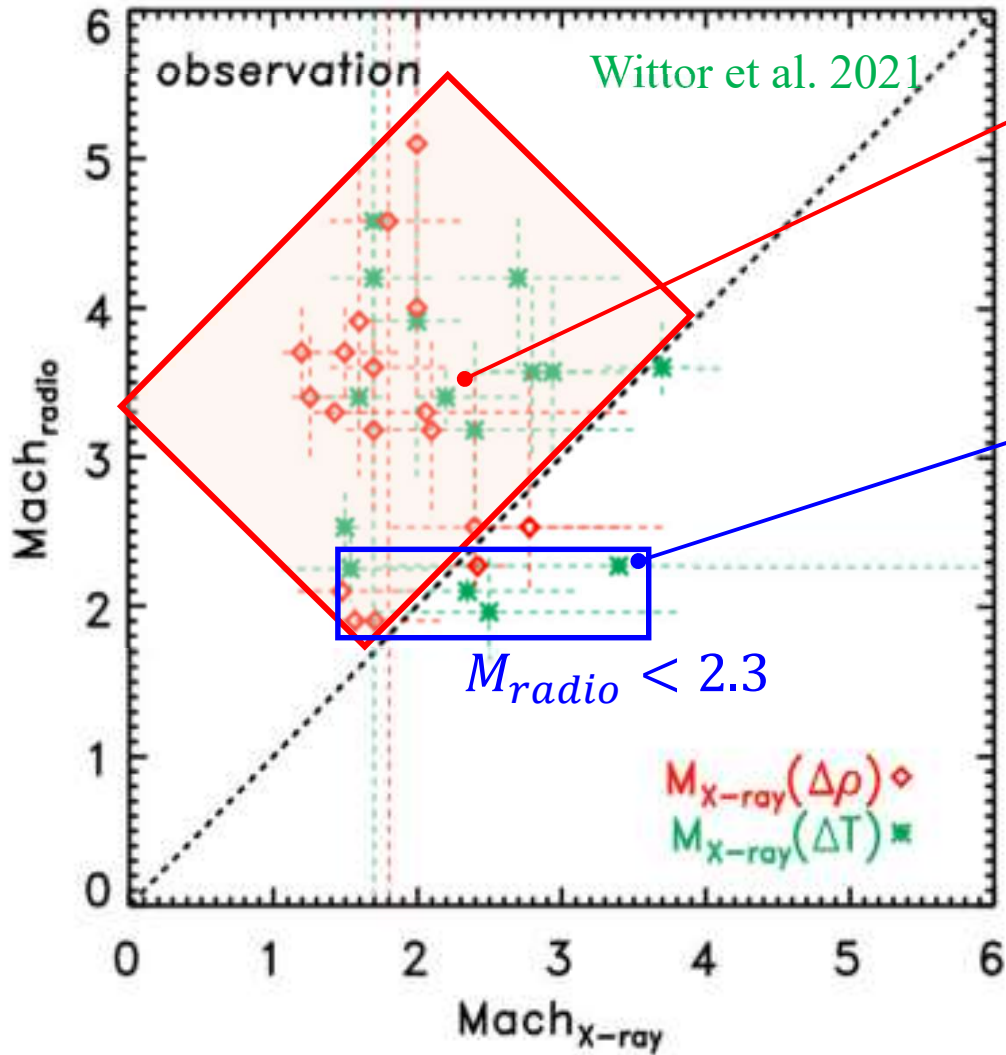


- In **supercritical shocks** with $M_S > 2.3$,
- ion temperature anisotropy ($T_{i\perp}/T_{i\parallel} > 1$) due to shock-reflected ions \rightarrow trigger **the AIC instability**
- \rightarrow shock surface rippling \rightarrow multi-scale waves longer than electron-scales
- \rightarrow extended SDA (so-called **Stochastic SDA**, Katou & Amano 2019)

*Alfven ion cyclotron (AIC)

But electron injection/acceleration at subcritical shocks ($M_S < 2.3$) remains unknown.

Mach Number M_s of Radio Relic Shocks



Mach number discrepancy
 $M_{radio} \geq M_X$

Some observed radio relic shocks seem to have
 $M_{radio} < 2.3$ (subcritical)

Q: Are these observations consistent with DSA model?



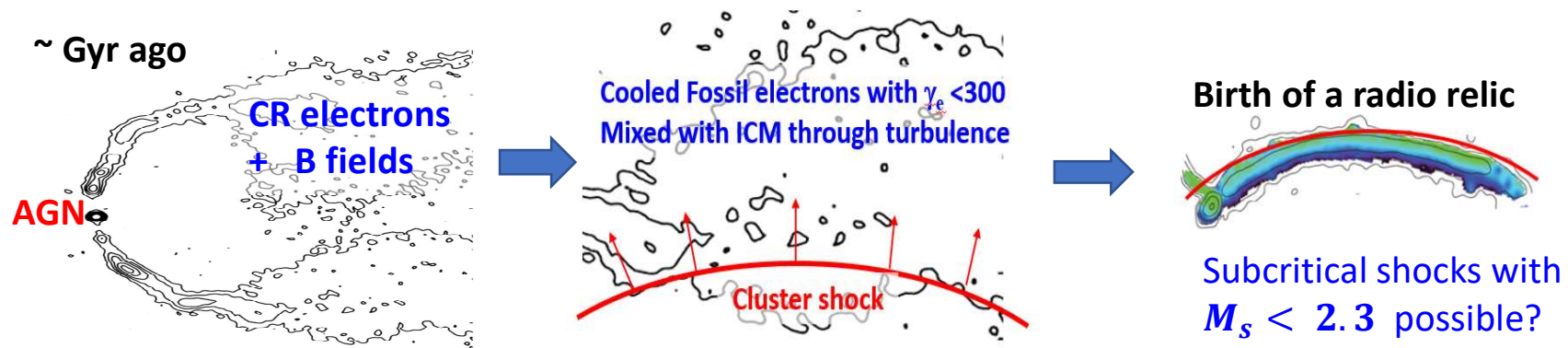
Re-acceleration of fossil CR electrons

Some puzzles in DSA model with *in situ* injection only

- (1) Injection & DSA may be inefficient at subcritical shocks ($M_s < 2.3$).
- (2) For some radio relics, $M_{\text{radio}} > M_X$ i.e., radio spectral index is flatter than expected.
- (3) Some X-ray shocks do not have associated radio relics (Q_{\parallel} shocks?).
- (4) Only $\sim 10\%$ of merging clusters host radio relics, while numerous shocks are expected to form in ICM ($Q_{\parallel} : Q_{\perp} = 1 : 3$ for turbulent B fields).

Possible solution is **Re-acceleration** model:

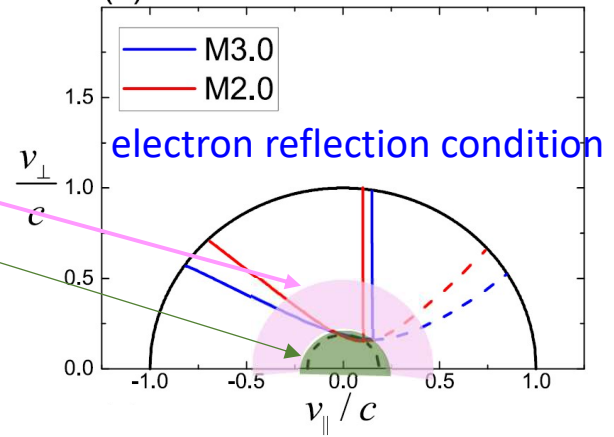
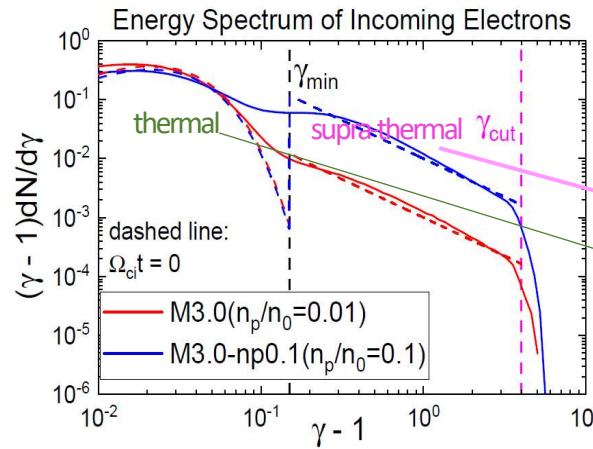
a radio relic forms when a weak shock encounters the ICM plasma with pre-existing fossil electrons.



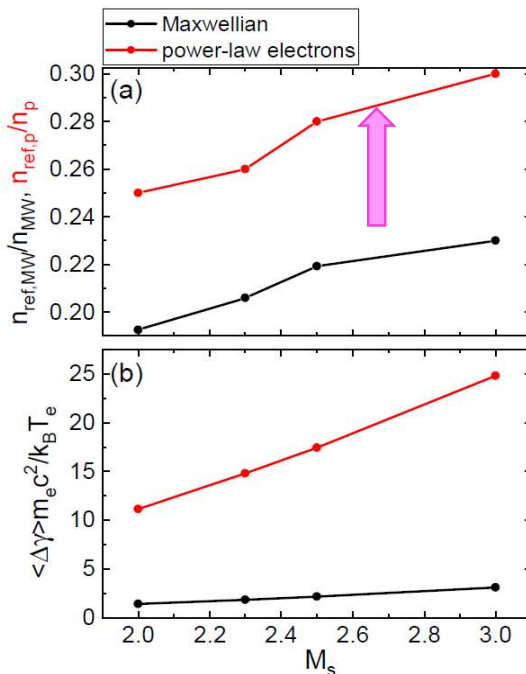
(Kang + 2012, Kang 2016, Pinzke + 2013)

“Electron Preacceleration at subcritical Q_{\perp} ICM Shocks: Effects of Pre-existing Suprathermal Electrons”

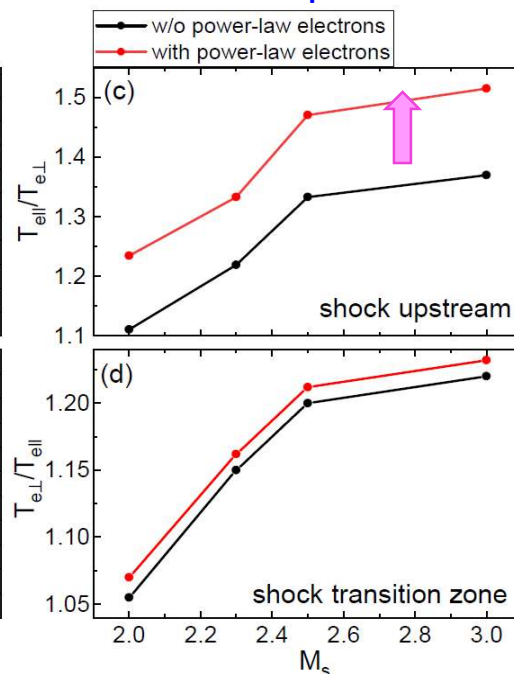
2D PIC simulations:
 suprathermal electrons added to
 incoming thermal plasma



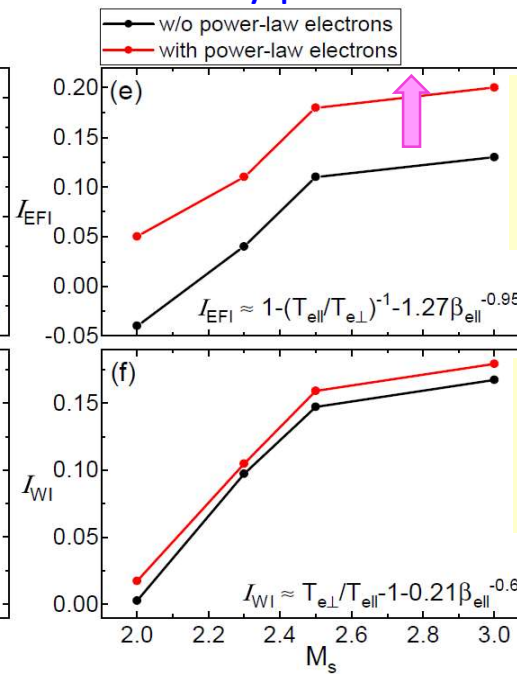
Reflection fraction



T anisotropies



Instability parameter

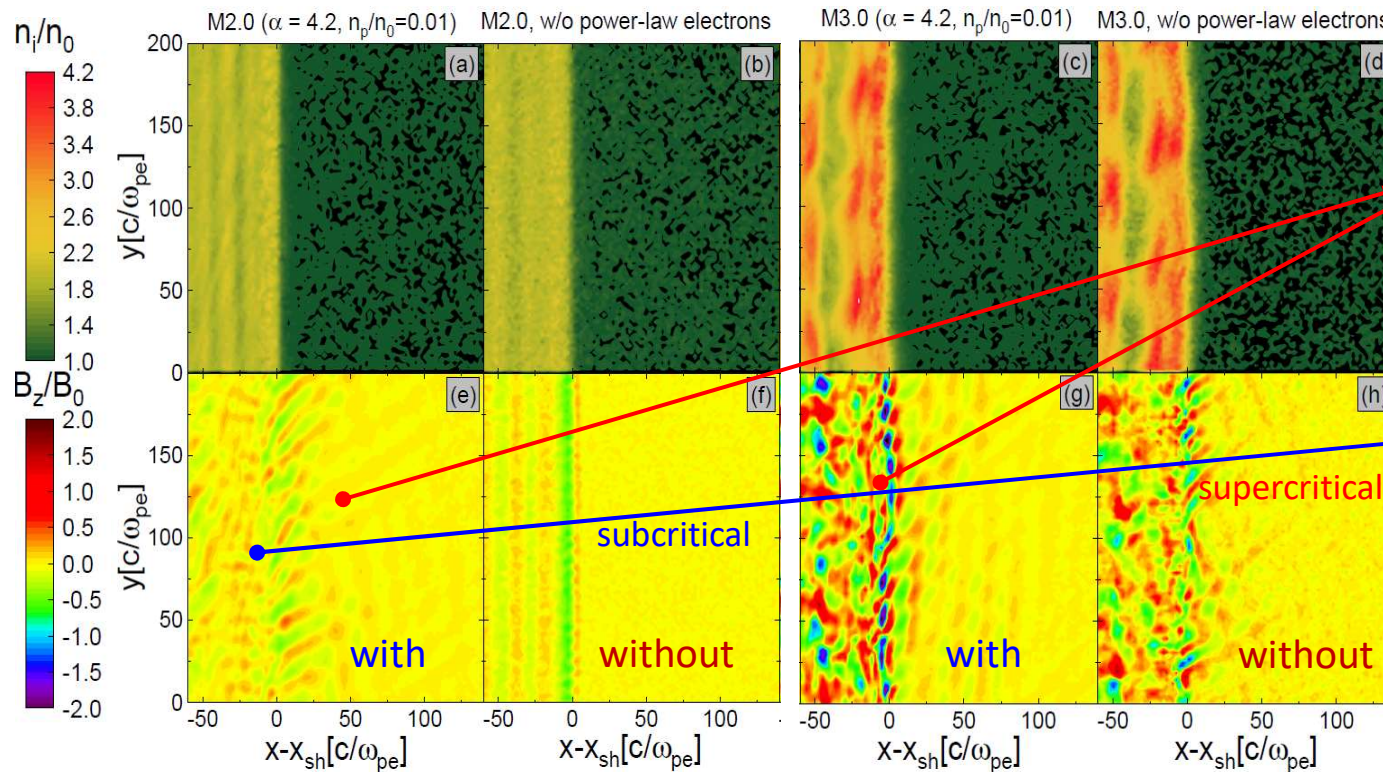


EFI is enhanced in the upstream region.

WI is enhanced in the shock transition.

“Electron Preacceleration at subcritical Q_{\perp} ICM Shocks: Effects of Pre-existing Suprathermal Electrons”

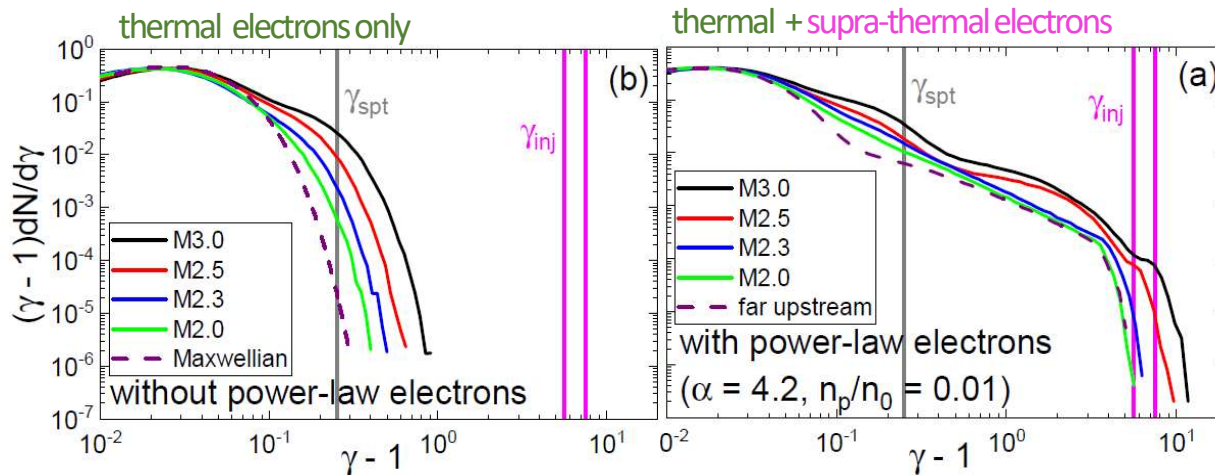
Ha et al. 2022



Effects of pre-existing suprathermal Electrons :
 → enhance **FI** & **WI** waves

No **AIC** (shock surface rippling) is excited at subcritical shocks

Pre-existing nonthermal electrons do not enhance ion-scale waves
 → **Electron injection to DSA** remains inefficient at subcritical shocks.



The origin of radio relics with $M_s < 2.3$ requires extra ingredients such as pre-existing turbulence on kinetic ion scales.

Summary: Take Home Messages

1. In high β ICM, only **supercritical Q_{\parallel} shocks** with $M_s > 2.3$ may inject **suprathermal protons** to DSA and produce CR protons (Ha + 2018, Ryu + 2019).
2. Ion-scale **shock surface rippling** at **supercritical Q_{\perp} shocks** with $M_s > 2.3$ may generate multi-scale waves, leading to the electron injection all the way to DSA in **high β shocks**. (e.g. Katou & Amano 2019, Trotta & Burgess 2019, Niemiec + 2019, Ha + 2021, Kobzar+2021).
3. **Pre-existing nonthermal electrons** at **weak Q_{\perp} shocks** can enhance the excitation of the EFI & WI, but not the AIC instability.
→ So ion scale waves are not generated and electron pre-acceleration remains ineffective at subcritical shocks. (Ha + 2022)
4. Outstanding question: Can **subcritical shocks ($M_s < 2.3$)** (re)-accelerate **electrons (Q_{\perp} shocks)** in the presence of pre-existing turbulence on kinetic scales in the upstream region ? (e.g. Giacalone 2005; Guo & Giacalone 2015)

Observed Radio relics have $M_s \sim 1.5 - 3.0$