



XXVIII Cracow EPIPHANY Conference

on Recent Advances in Astroparticle Physics

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Search for Neutrinoless Double Beta Decay of ^{76}Ge with GERDA – latest results

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on behalf of the GERDA Collaboration

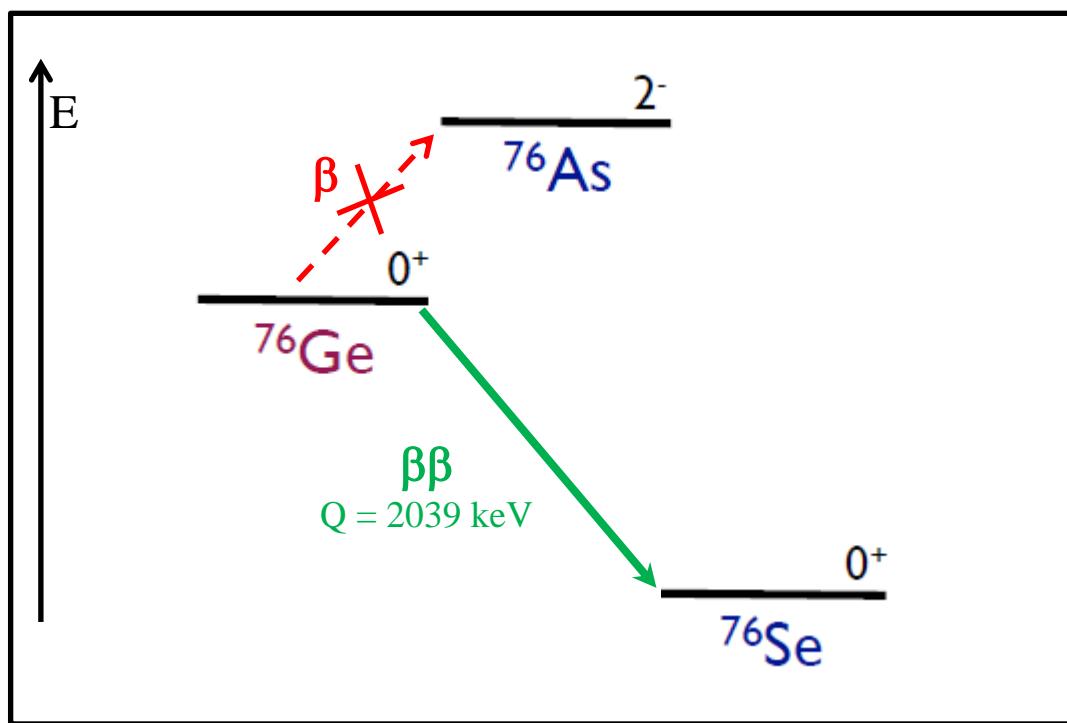
Outline

- Double beta decay
- Design and goals of GERDA
- Background reduction strategy
- GERDA latest (final) result
- Summary



Double Beta Decay

In a number of even-even nuclei, β decay due to energy/angular momentum balance is forbidden, while double beta decay from a nucleus (A,Z) to $(A, Z+2)$ is energetically allowed.



$^{48}\text{Ca}, ^{76}\text{Ge}, ^{82}\text{Se}, ^{96}\text{Zr}, ^{100}\text{Mo}, ^{116}\text{Cd}, ^{128}\text{Te}, ^{130}\text{Te}, ^{136}\text{Xe}, ^{150}\text{Nd}$



$\beta\beta$ decay

GERDA design

Bkg reduction

Latest results

Summary

Double Beta Decay Modes



ββ decay

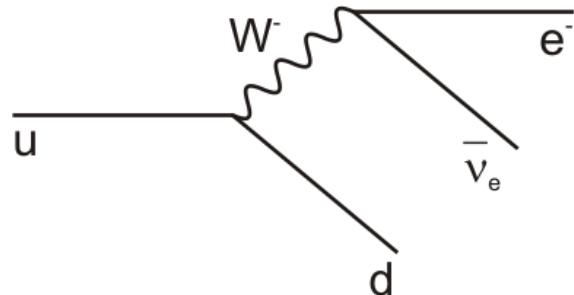
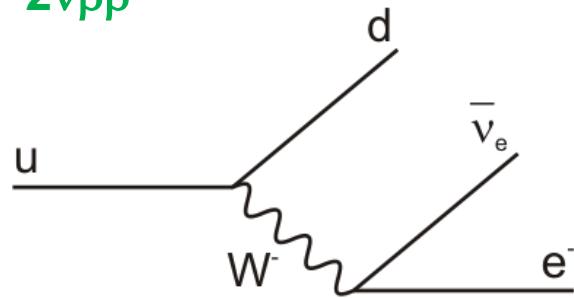
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$2\nu\beta\beta$

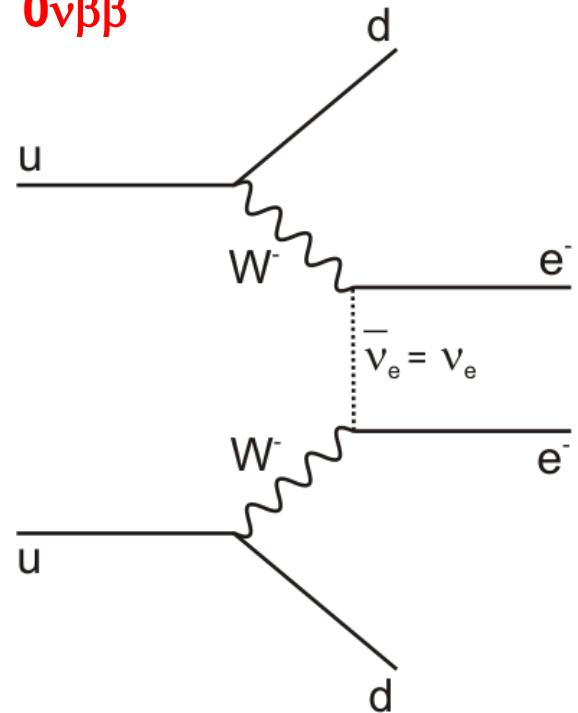


$$(A, Z) \rightarrow (A, Z+2) + 2e^- + 2\bar{\nu}_e$$

$$\Delta L = 0$$

$$T_{1/2} \sim 10^{18} - 10^{24} \text{ yr}$$

$0\nu\beta\beta$



$$(A, Z) \rightarrow (A, Z+2) + 2e^-$$

$$\Delta L = 2$$

$$T_{1/2}^{\text{exp}} > 10^{26} \text{ yr}$$

Neutrinoless Double Beta Decay



$\beta\beta$ decay

GERDA design

Bkg reduction

Latest results

Summary

If $0\nu\beta\beta$ observed:

- Neutrino is a Majorana particle (its own antiparticle)
- Lepton number is not conserved
- Dealing with physics beyond the Standard Model

May allow to determine:

- Absolute neutrino mass scale
- Neutrino mass hierarchy
- Majorana CP phases

**Significant contribution to Particle Physics,
Astrophysics and Cosmology**

Background Issue

No background

$$T_{1/2}(90\% CL) > \frac{\ln 2}{1.64} \frac{N_A}{A} \epsilon \cdot a \cdot M \cdot T$$



ββ decay

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Background

$$T_{1/2}(90\% CL) > \frac{\ln 2}{1.64} \frac{N_A}{A} \epsilon \cdot a \sqrt{\frac{M \cdot T}{B \cdot \Delta E}}$$

$$\frac{1}{T_{1/2}} = G(Q, Z) \cdot |M_{nuc}|^2 \cdot \langle m_{ee} \rangle^2$$

$$\langle m_{ee} \rangle \sim \frac{1}{\sqrt{T_{1/2}}} \sim \sqrt[4]{\frac{B \cdot \Delta E}{M \cdot T}}$$

$$(M \cdot T) \uparrow \times 100 \rightarrow T_{1/2} \uparrow 10 \rightarrow \langle m_{ee} \rangle \downarrow \times \sim 3$$

GERDA



$\beta\beta$ decay

GERDA design

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Latest results

Summary

- GERDA (GERmanium Detector Array) has been designed to investigate neutrinoless double beta decay of ^{76}Ge ($Q_{\beta\beta} = 2039 \text{ keV}$)
 - Ge mono-crystals are very pure
 - Ge detectors have excellent energy resolution
 - Detector = source ($\varepsilon \approx 1$)
 - Enrichment required ($7.4 \% \rightarrow 88 - 92 \%$)
 - **Bare HP ^{enr}Ge detectors immersed in LAr**
- Background (index) around $Q_{\beta\beta}$: $10^{-2} - 10^{-3} \text{ cts/(keV} \times \text{kg} \times \text{yr})$; 10 – 100 times lower compared to previous experiments (HdM/IGEX)

The GERDA Collaboration



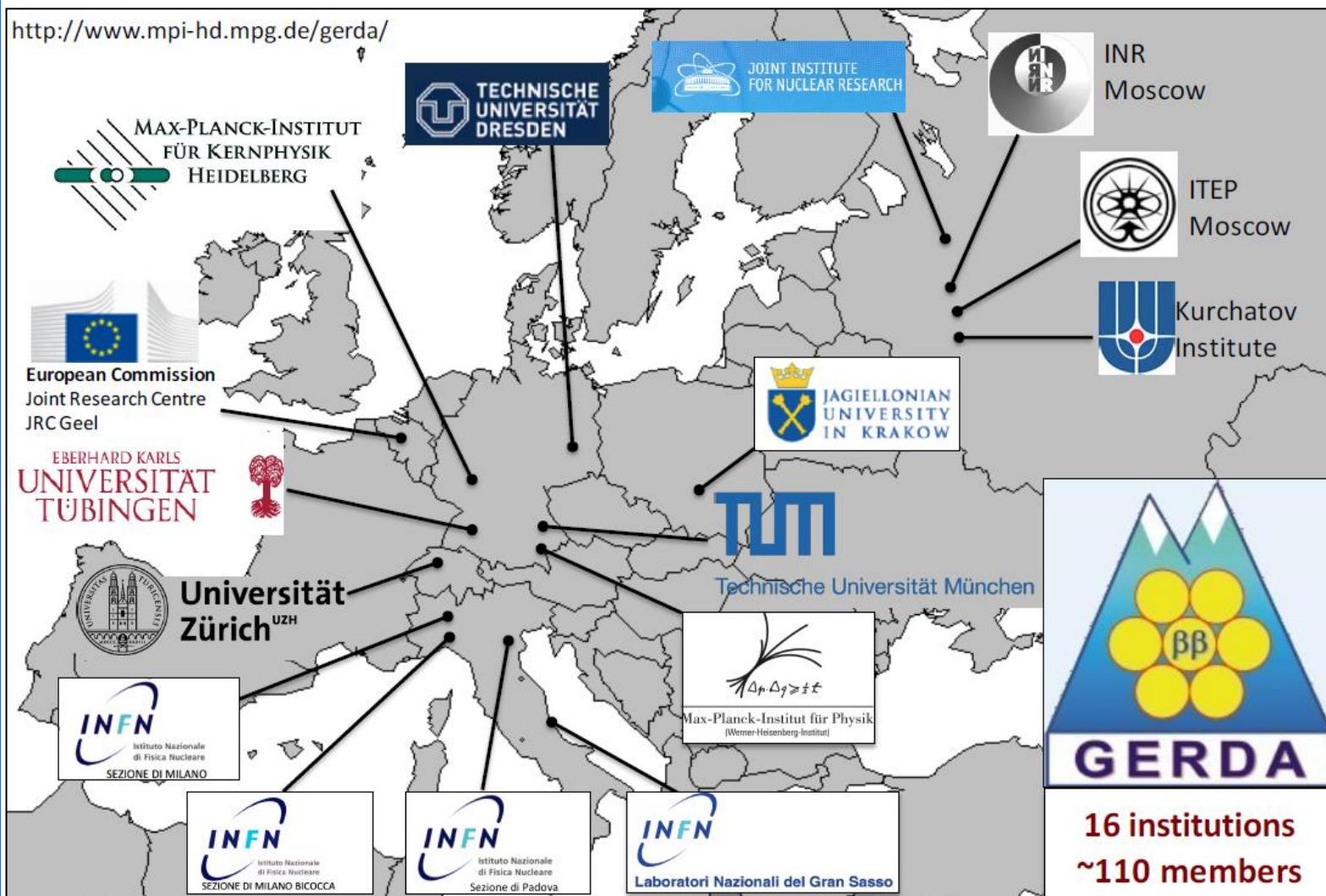
ββ decay

GERDA design

Bkg reduction

Latest results

Summary



GERDA at LNGS



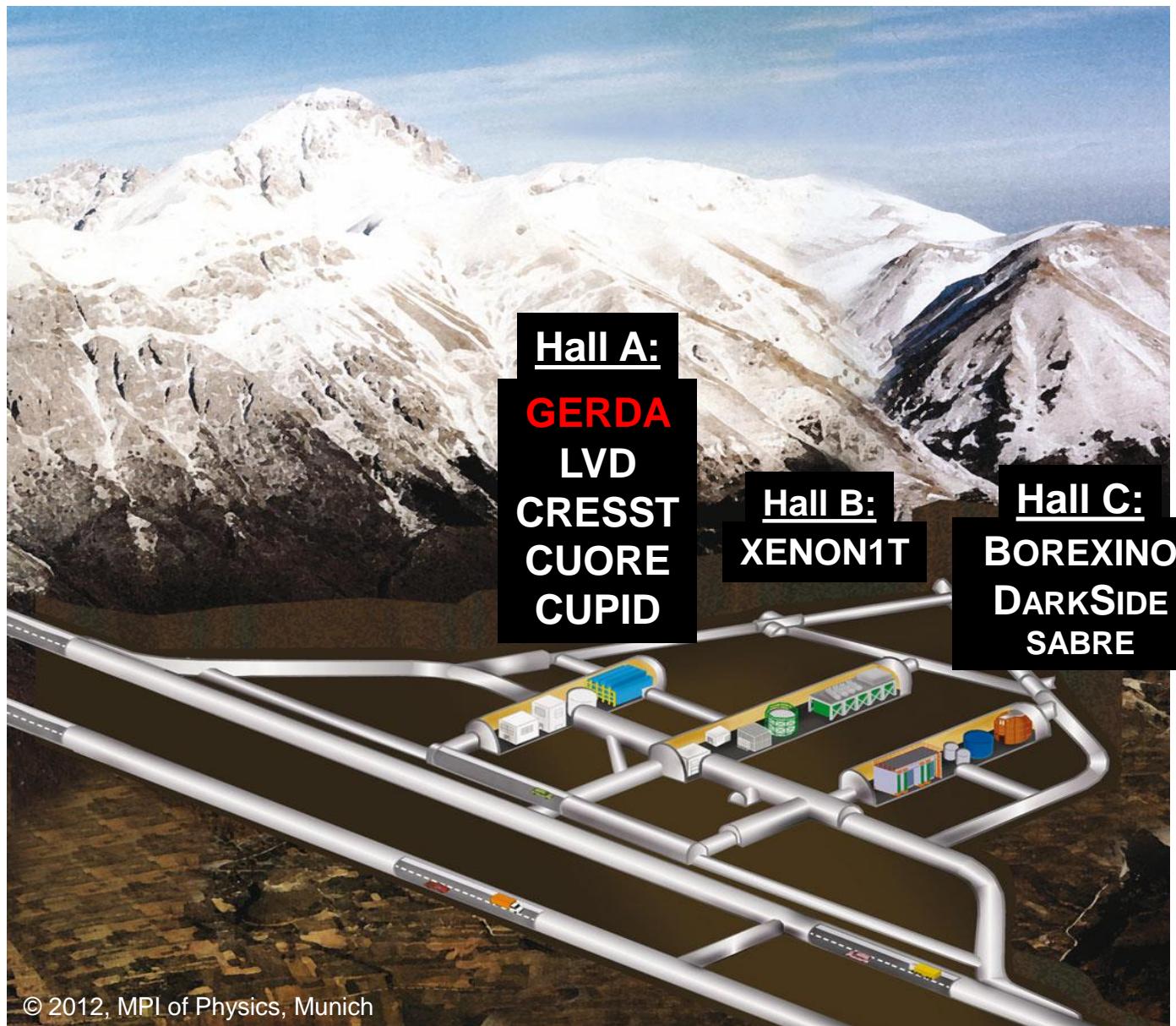
ββ decay

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Latest results

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GERDA Sensitivity



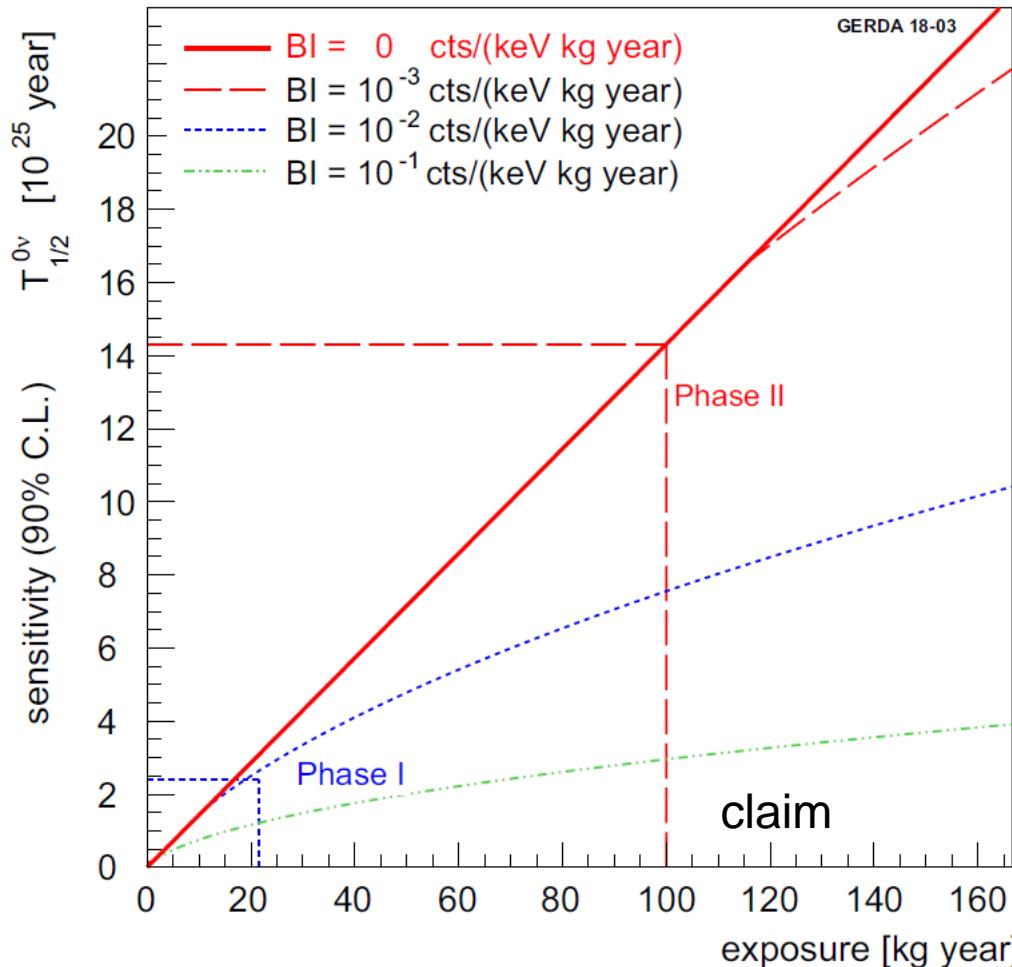
ββ decay

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LEGEND:

^{76}Ge mass $\sim 1 \text{ t}$

$\text{BI} \approx 10^{-5} \text{ cts / (keV} \times \text{kg} \times \text{yr)}$

Sensitivity: $\sim 1 \times 10^{28} \text{ yr}$

$\langle m_{ee} \rangle \sim 10 \text{ meV}$

Phase II:

Add new enr. BEGe (IC) det.
(36 (44) kg of ^{76}Ge in total)
 $\text{BI} \leq 10^{-3} \text{ cts / (keV} \times \text{kg} \times \text{yr)}$

Sensitivity after $100 \text{ kg} \times \text{yr}$

Phase I:

Use refurbished
HdM & IGEX (18 kg)
 $\text{BI} \approx 10^{-2} \text{ cts / (keV} \times \text{kg} \times \text{yr)}$
Sensitivity after $20 \text{ kg} \times \text{yr}$

GERDA Design



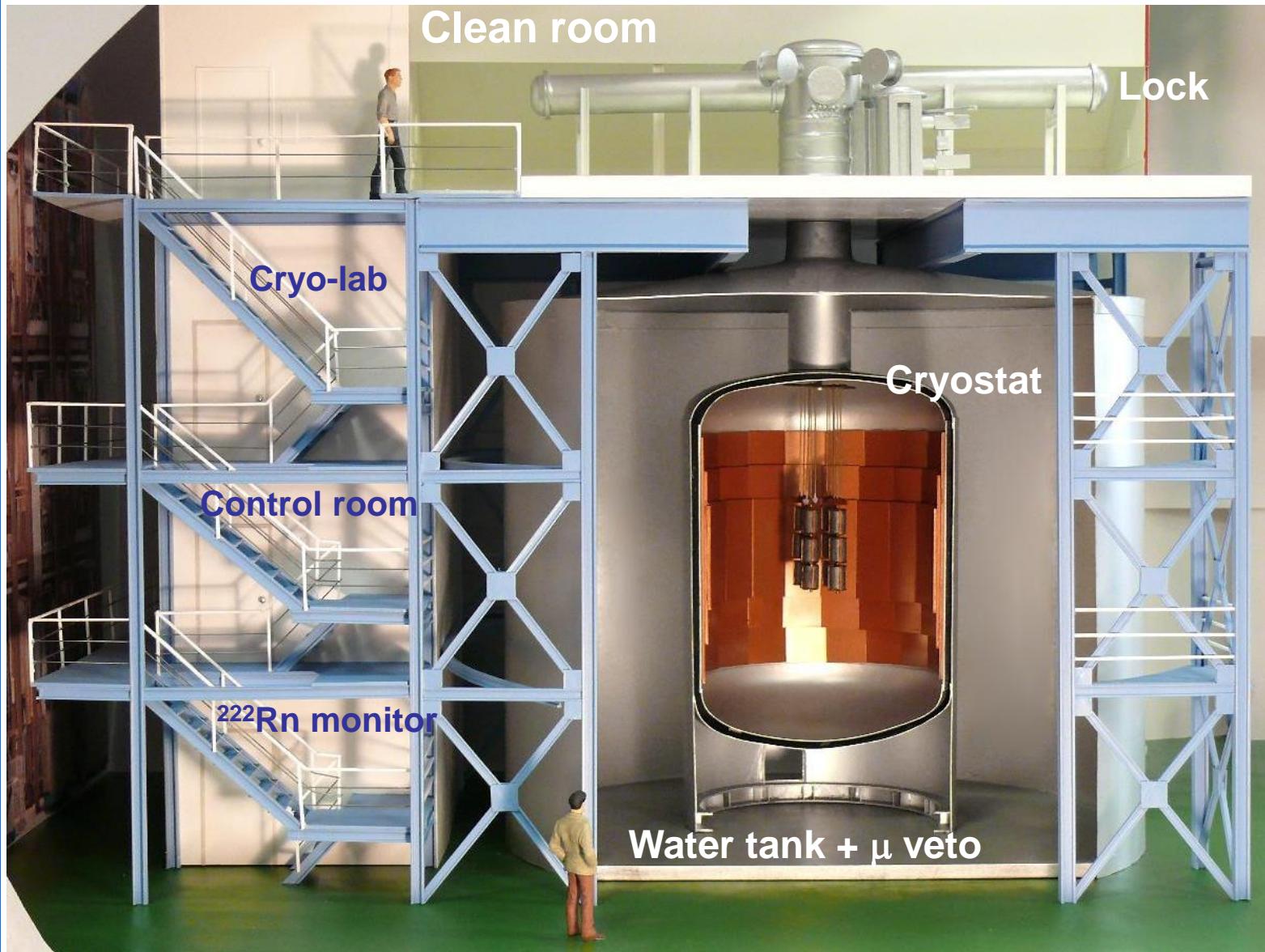
ββ decay

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GERDA Phase II Array



ββ decay

GERDA design

Bkg reduction

Latest results

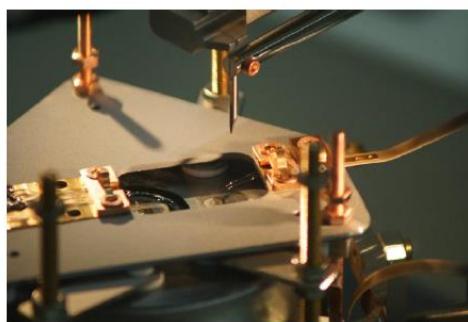
Summary



New low-mass
detector holders
(Si, Cu, PTFE)



New thick-window
BEGe detectors



New signal and HV
contacting by wire
bonding flat ribbon
cables

30 enriched BEGe (20.0 kg), 7 enriched coax (15.8 kg), 3 natural
coax (7.6 kg) replaced later by 5 enriched IC detectors



New TPB coated nylon mini-shrouds to reduce attraction of
 ^{42}K ions (from decays of
 ^{42}Ar) to n⁺ surface

TBP = tetraphenyl butadiene

Hybrid LAr veto: PMTs + Fibers



ββ decay

GERDA design

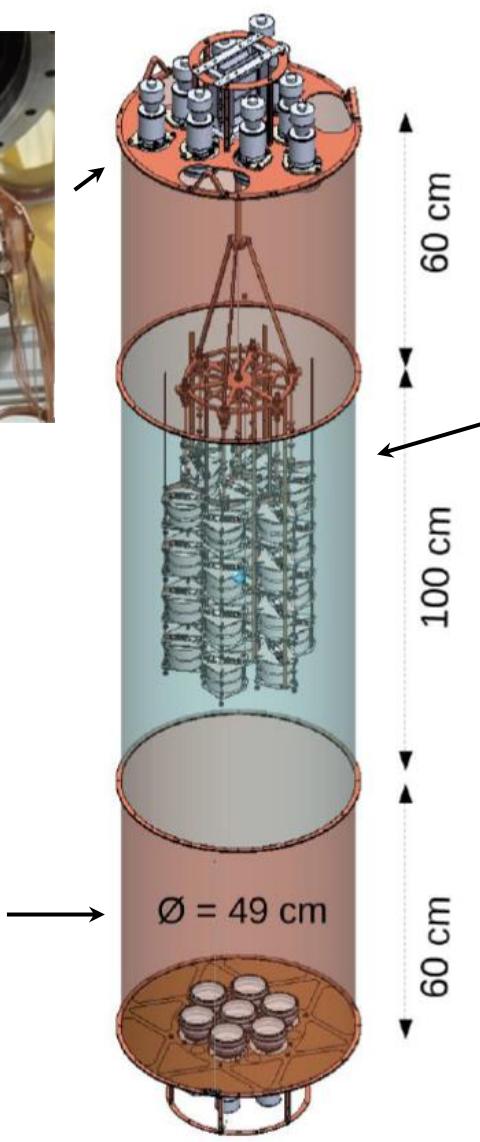
Bkg reduction

Latest results

Summary



16 3" PMTs
Cylinder with WLS
(TETRATEX foil)



810 wavelength
shifting fibers
coupled to 90 SiPMs



LAr Veto

- Channel-wise (PMT/SiPM) anti-coincidence condition
- Thresholds at ~ 0.5 P.E.
- Acceptance determined from random triggers: $(97.9 \pm 0.1)\%$
- $^{40}\text{K}/^{42}\text{K}$ Compton continua completely suppressed
- γ -rays survival fractions: ^{40}K (EC) = $\sim 100\%$, ^{42}K (β^-) $\sim 20\%$
- Almost pure $2\nu\beta\beta$ spectrum after LAr veto cut (600 – 1300 keV)
- **Background suppression in ROI: $\times 6$**



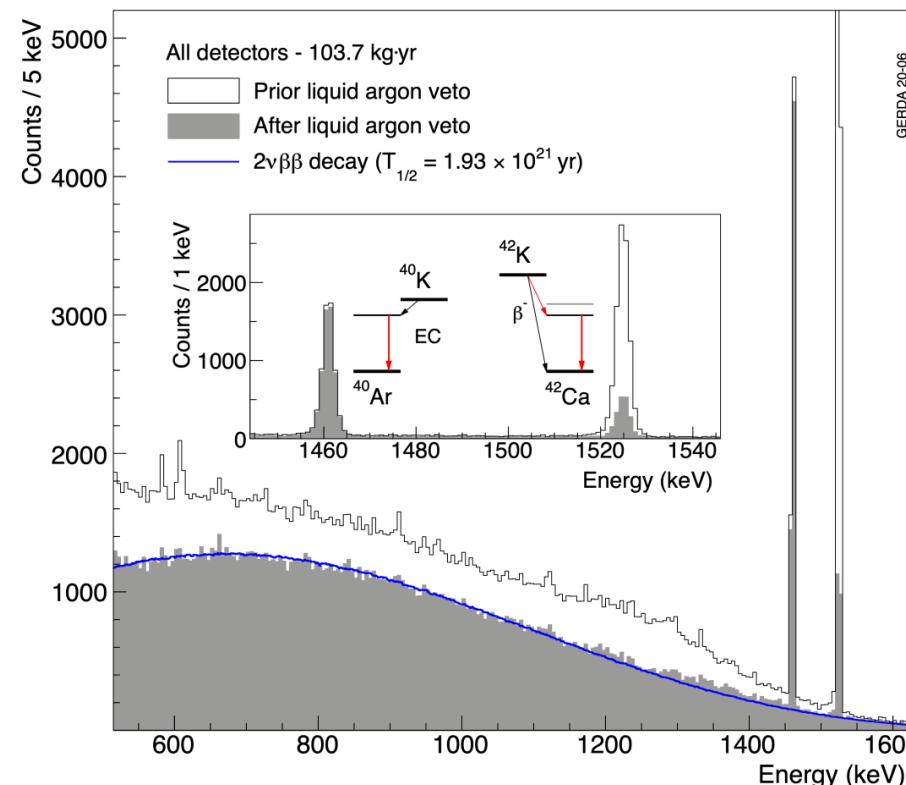
$\beta\beta$ decay

GERDA design

Bkg reduction

Latest results

Summary



PSD for BEGe/IC Detectors

- Discrimination on a single A/E parameter (A – current amplitude, E – energy)
- Cut values defined from calibrations assuming 90 % DEP acceptance
- high A/E: fast events on p+ electrode (e.g. α s from ^{210}Po)
- low A/E: slow events on n+ electrode, multiple scattering



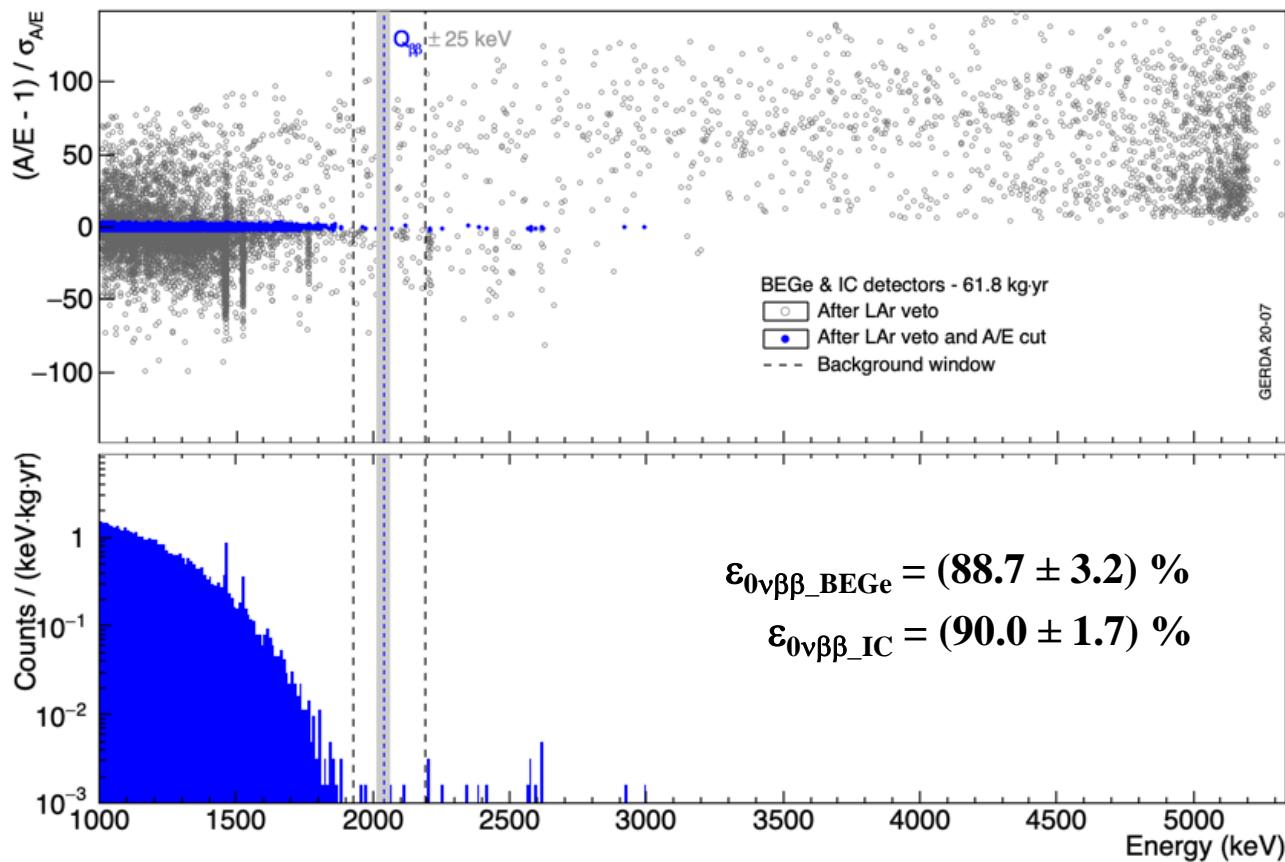
$\beta\beta$ decay

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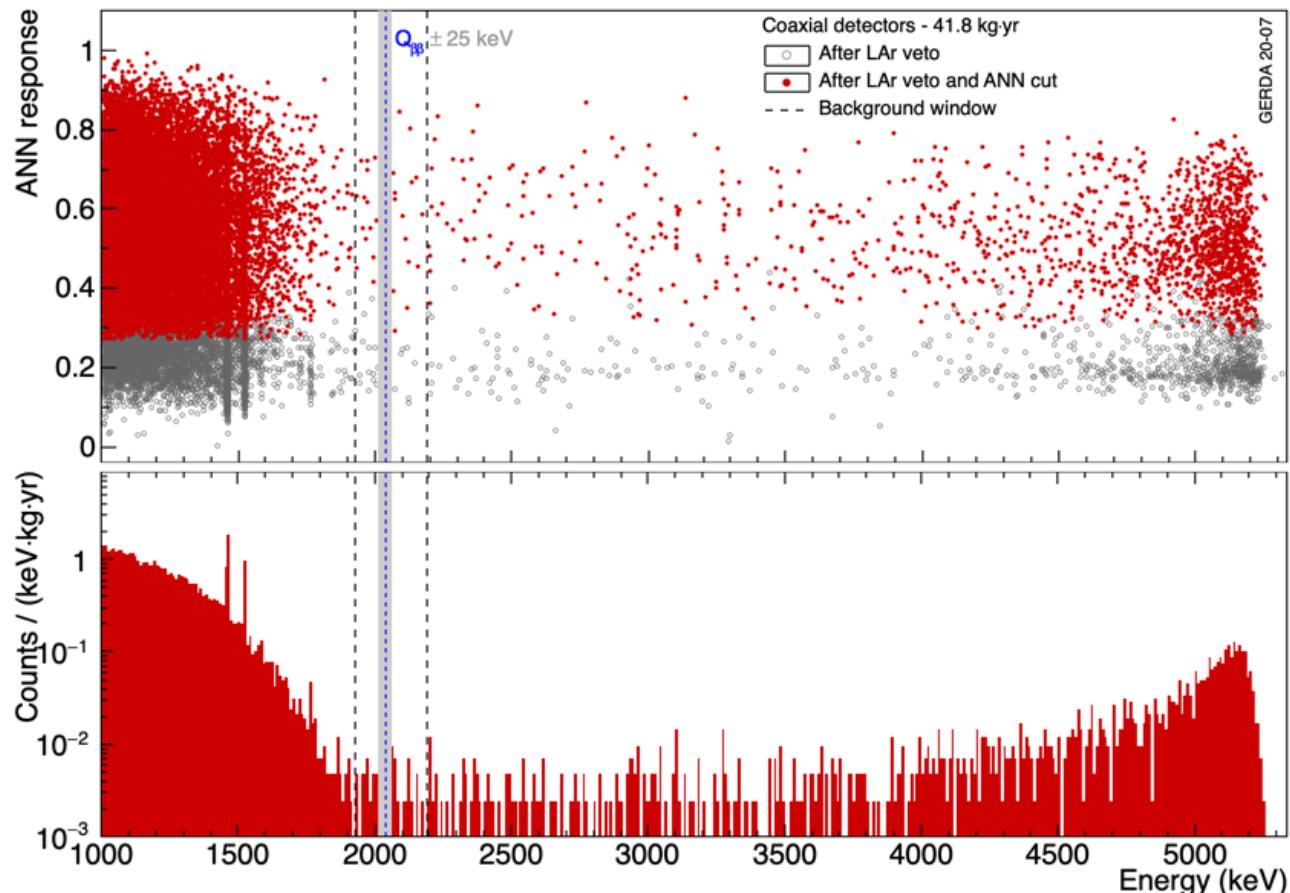
Summary



BW: [1930,2190] keV, excl. ± 5 keV around ^{208}Tl (SEP), ^{214}Bi (FEP) and $Q_{\beta\beta} \pm 25$ keV

PSD for Coax Detectors

- MSE rejected with ANN (EPJC 73 (2013) 2583)
- Alphas (fast surface events) rejected with ANN- α / Rise Time (RT) cut
- ANN training on calibration data DEP and FEP as proxies for SSE and MSE, respectively.
- RT optimized on the $2\nu\beta\beta$ (1 – 1.3 MeV) and α sample ($E > 3.5$ MeV)



$\beta\beta$ decay

GERDA design

Bkg reduction

Latest results

Summary

PSD for Coax Detectors

- MSE rejected with ANN (EPJC 73 (2013) 2583)
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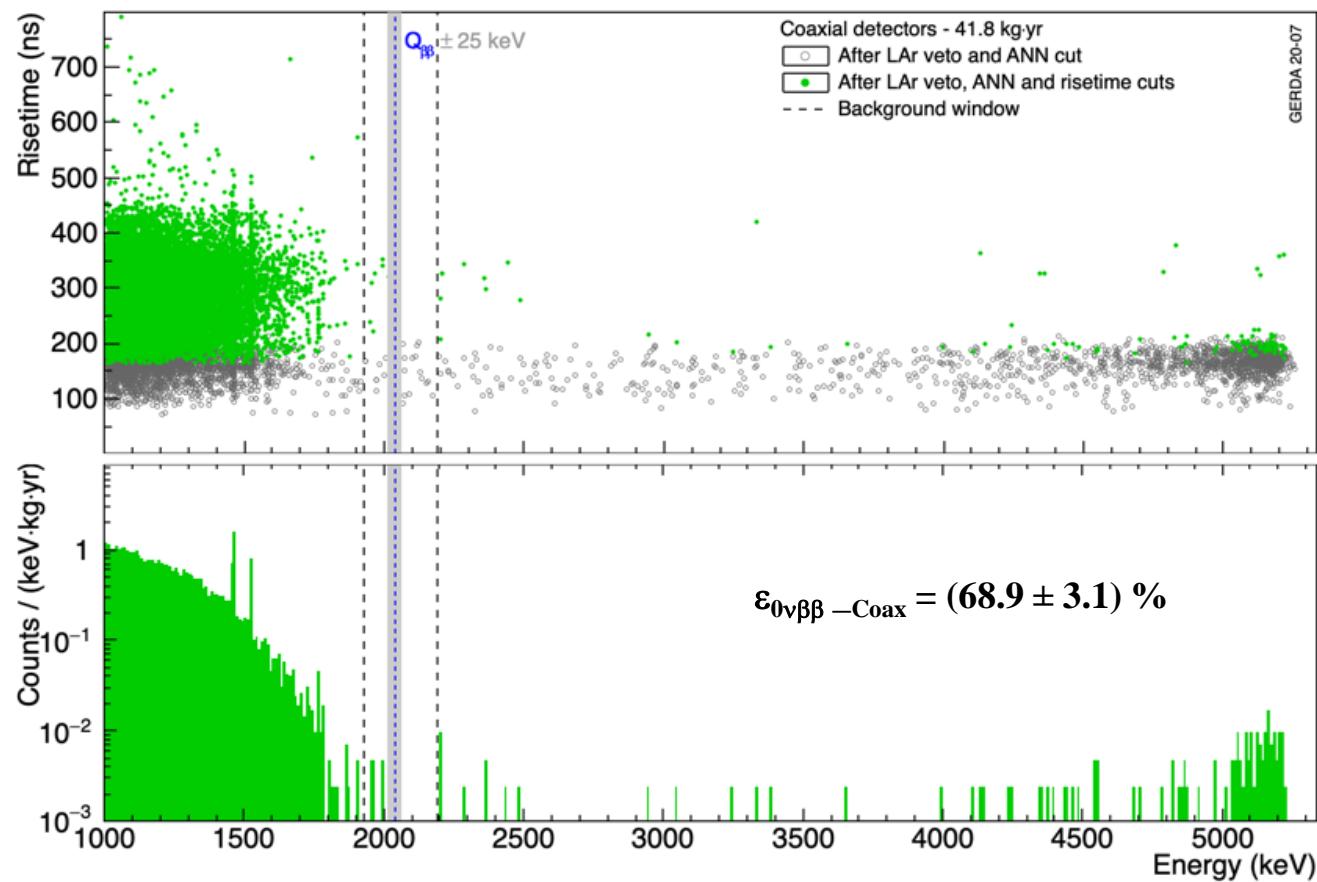
$\beta\beta$ decay

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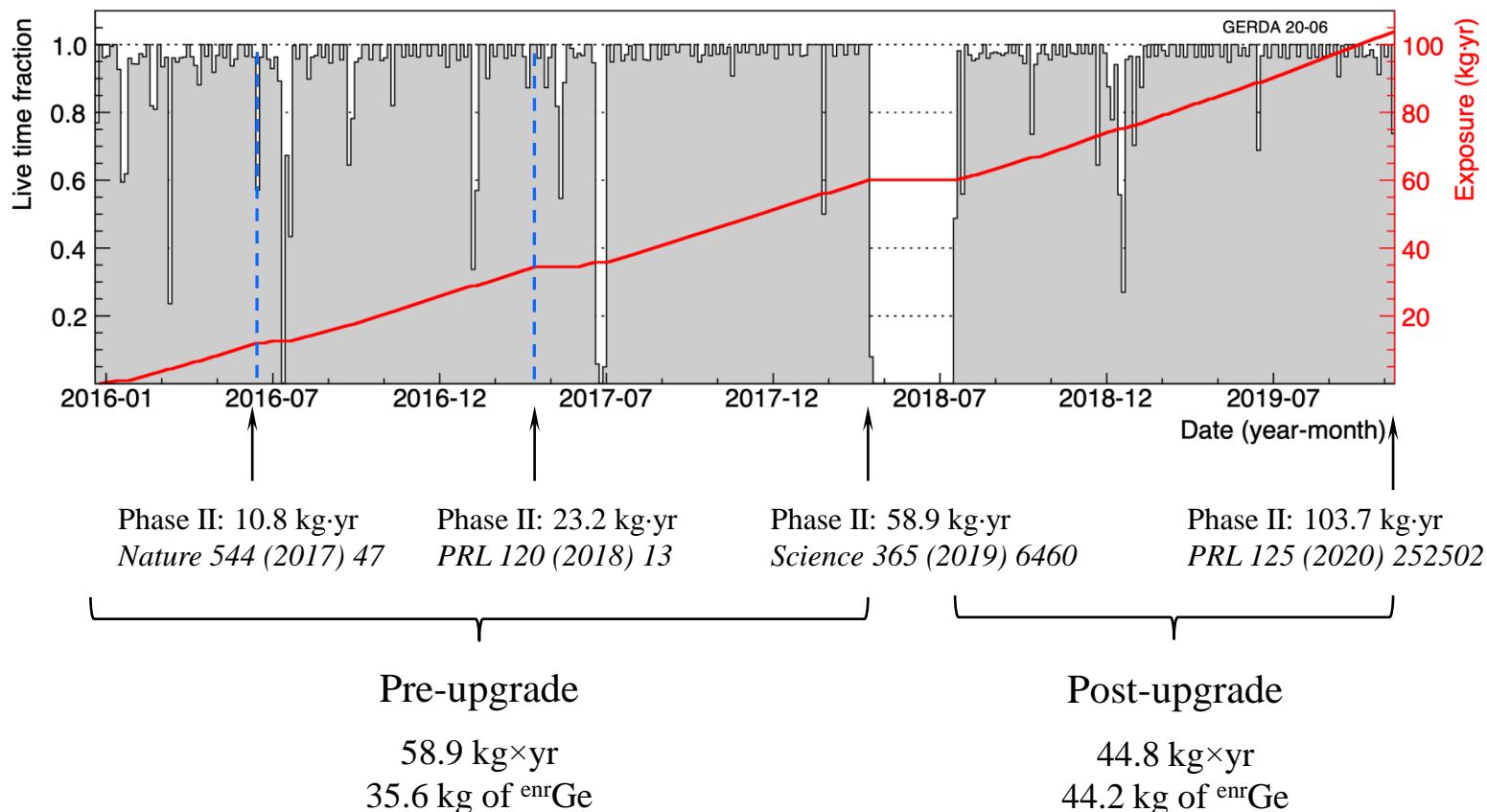


Accumulation of Data

Phase I

- 09.11.11 – 09.05.13: $21.6 \text{ kg}\times\text{yr}$ (*PRL 111 (2013) 122503*)
- Additional Phase I data before upgrade: $1.9 \text{ kg}\times\text{yr}$

Phase II



Background Index in BW

BW: [1930,2190] keV, excl. ± 5 keV around ^{208}Tl (SEP), ^{214}Bi (FEP) and $Q_{\beta\beta} \pm 25$ keV



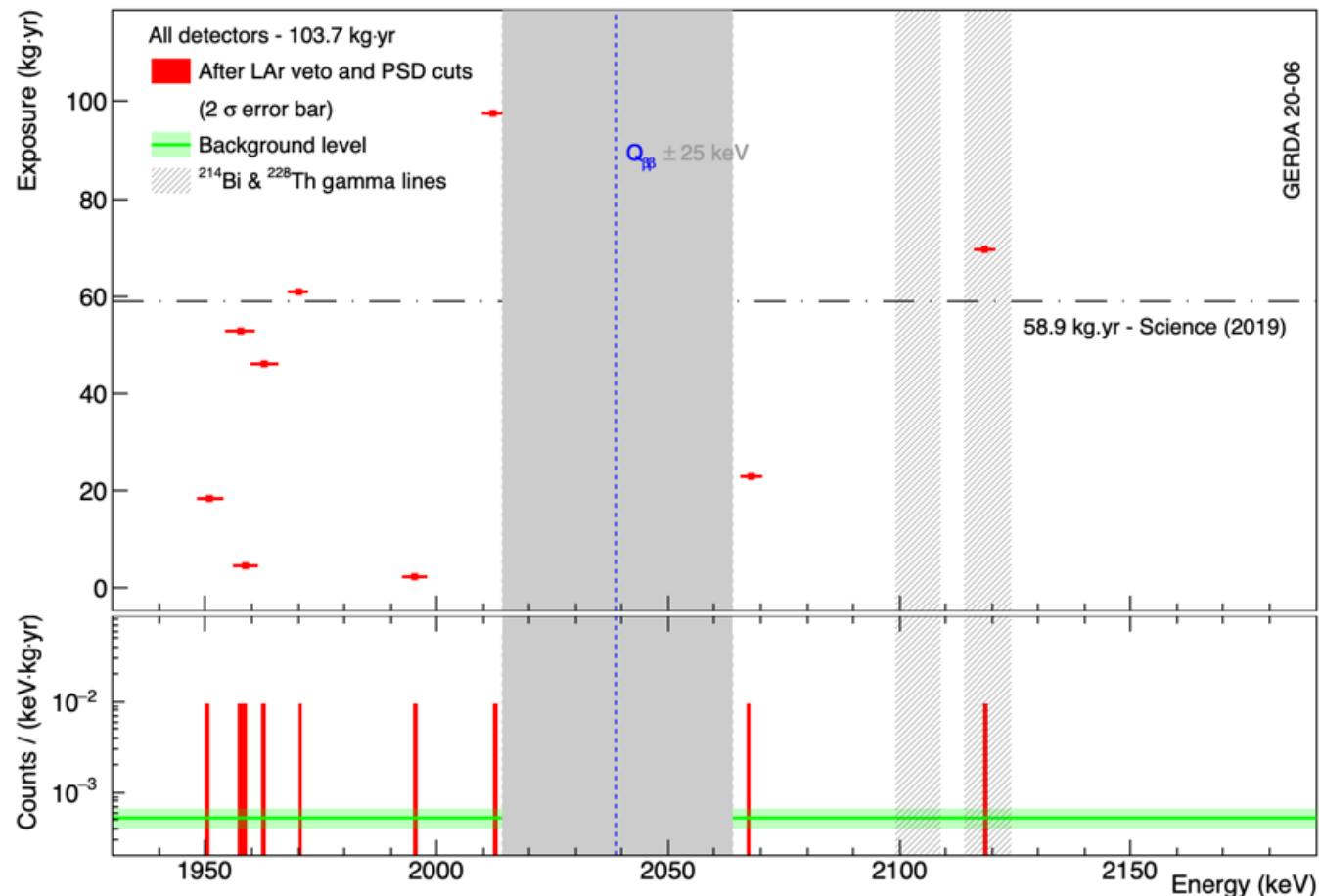
$\beta\beta$ decay

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Summary



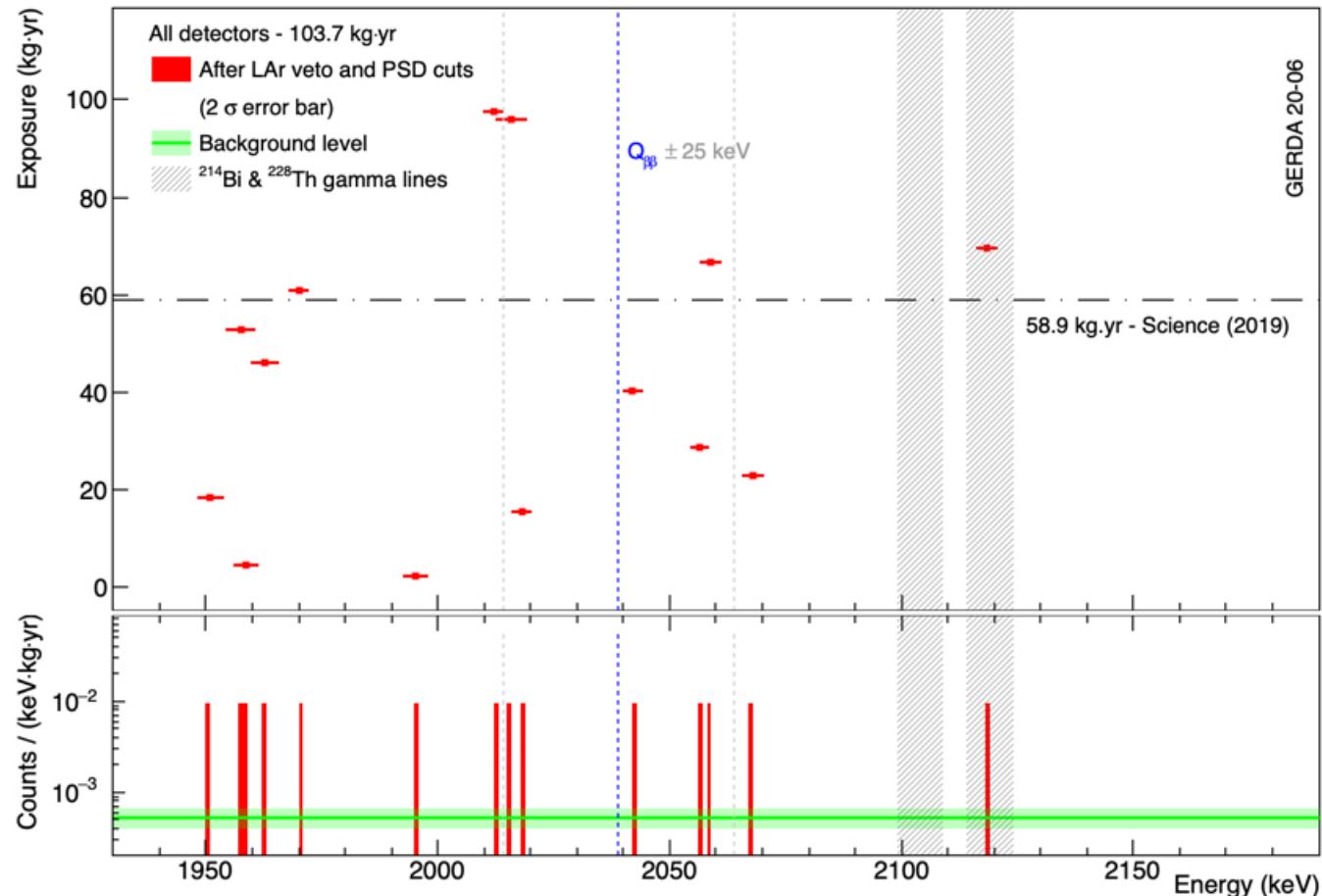
Before cuts: $BI = 143 \times 10^{-4}$ cts/(keV×kg×yr)
After cuts: $BI = 5.2 \times 10^{-4}$ cts/(keV×kg×yr) } ~30 reduction

Unblinded ROI

BW: [1930,2190] keV, excl. ± 5 keV around ^{208}Tl (SEP), ^{214}Bi (FEP) and $Q_{\beta\beta} \pm 25$ keV



- ββ decay**
- GERDA design
- Bkg reduction
- Latest results
- Summary



5 events in $Q_{\beta\beta} \pm 25$ keV but → **no counts in $Q_{\beta\beta} \pm 2\sigma$**

Statistical Analysis

Statistical analysis based on detectors-wise partitioning over stable periods



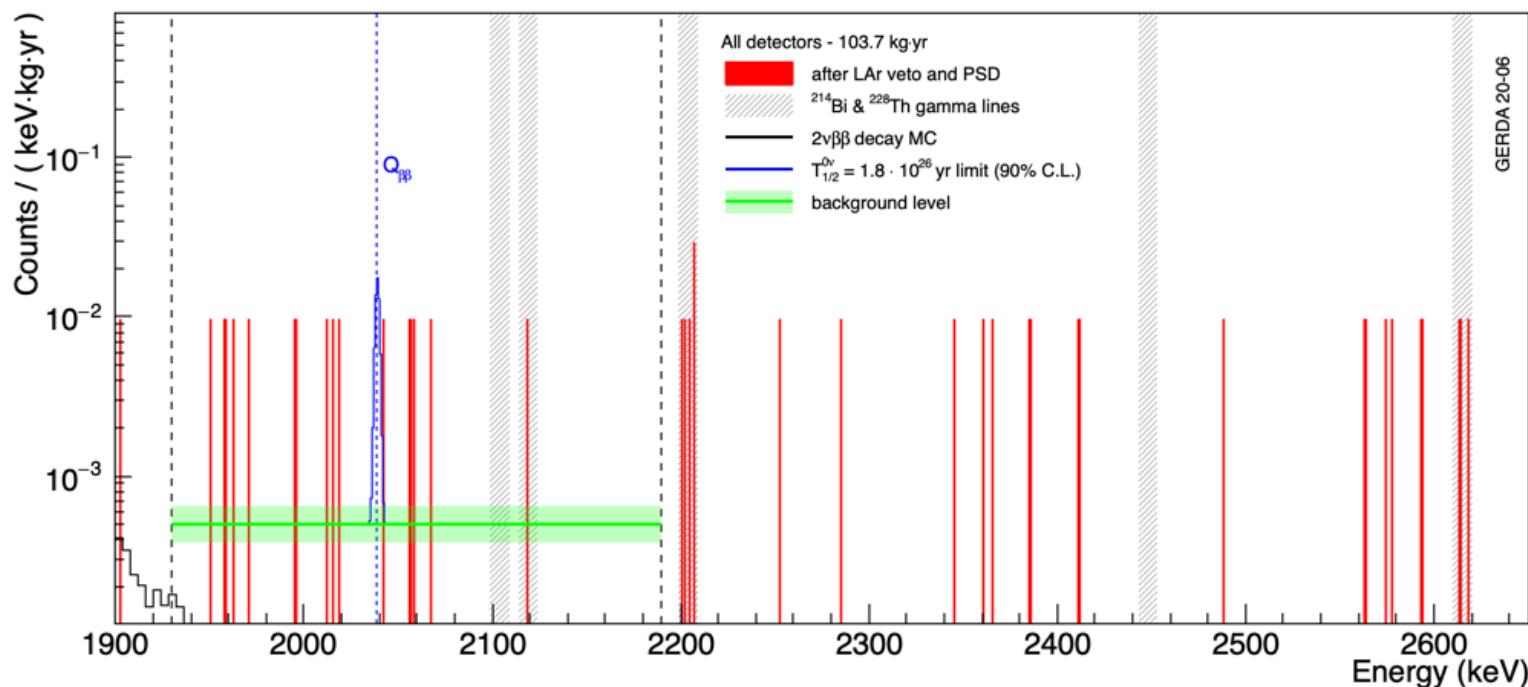
ββ decay

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Summary



Frequentist:

- best fit $N_{0\nu} = 0$
- $T_{1/2}(0\nu\beta\beta) > 1.8 \times 10^{26} \text{ yr (90\% C.L.)}$
- median sensitivity for limit setting: $T_{1/2}(0\nu\beta\beta) = 1.8 \times 10^{26} \text{ yr at 90\% C.L.}$

Bayesian:

- $T_{1/2}(0\nu\beta\beta) > 1.4 \times 10^{26} \text{ yr (90\% C.L.)}$
- median sensitivity for limit setting $T_{1/2}(0\nu\beta\beta) = 1.4 \times 10^{26} \text{ yr at 90\% C.L.}$

Summary

- **GERDA Phase I design goals reached:**
 - No $0\nu\beta\beta$ signal observed at $Q_{\beta\beta}$; best fit: $N^{0\nu} = 0$
 - Background index: $\sim 10^{-2}$ cts / (keV×kg×yr)
 - Exposure 21.6 kg×yr
 - $T_{1/2}(0\nu\beta\beta) > 2.1 \times 10^{25}$ yr (90% C.L.)
- **GERDA Phase II achievements:**
 - No $0\nu\beta\beta$ signal observed at $Q_{\beta\beta}$; best fit: $N^{0\nu} = 0$
 - **Background index: 5.2×10^{-4} cts / (keV×kg×yr)**
 - Exposure 103.9 kg×yr (127.2 kg×yr in total)
 - **$T_{1/2}(0\nu\beta\beta) > 1.8 \times 10^{26}$ yr (90% C.L.)**
 - $m_{\beta\beta} \leq (0.080 - 0.182)$ eV
- **GERDA Phase II goals:**
 - Background index: $\sim 10^{-3}$ cts / (keV×kg×yr)
 - Exposure: ~ 100 kg×yr
 - Sensitivity: $\sim 10^{26}$ yr
- **GERDA: background-free $0\nu\beta\beta$ experiment (best sensitivity and discovery potential)**
- LEGEND – next generation experiment for $T_{1/2}^{0\nu} \sim 10^{28}$ yr
- LEGEND-200 at LNGS (GERDA technology) ready in 2022



$\beta\beta$ decay

GERDA design

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Beyond GERDA → LEGEND



$\beta\beta$ decay

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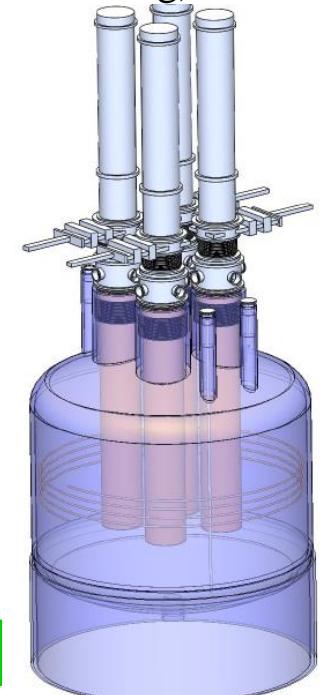
Latest results

Summary



First stage:

- GD + MJD + new groups
- Based on existing GERDA infrastructure (LNGS)
- Up to 200 kg of ${}^{enr}\text{Ge}$ (L-200)
- Approved by LNGS in Aug. 2018
- Under preparation, cryostat filled, commissioning
- Background reduction w.r.t GERDA: ~3
- Anticipated start of data taking in 2022
- $T_{1/2} (0\nu\beta\beta) \geq 10^{27} \text{ yr}$ (~5 yr data taking)



Subsequent stages:

- Up to 1000 kg of ${}^{enr}\text{Ge}$ (L-1000)
- Background reduction w.r.t GERDA: ~30
- Approved by DOE in 2021
- Location still to be defined (LNGS or SNO Lab)
- $T_{1/2} (0\nu\beta\beta) \geq 10^{28} \text{ yr}$ (IH excluded)
- pCDR: <http://arxiv.org/abs/2107.11462>

GD/LEGEND: Strong support of from the Polish MEN, NCN, FNP

Background Model



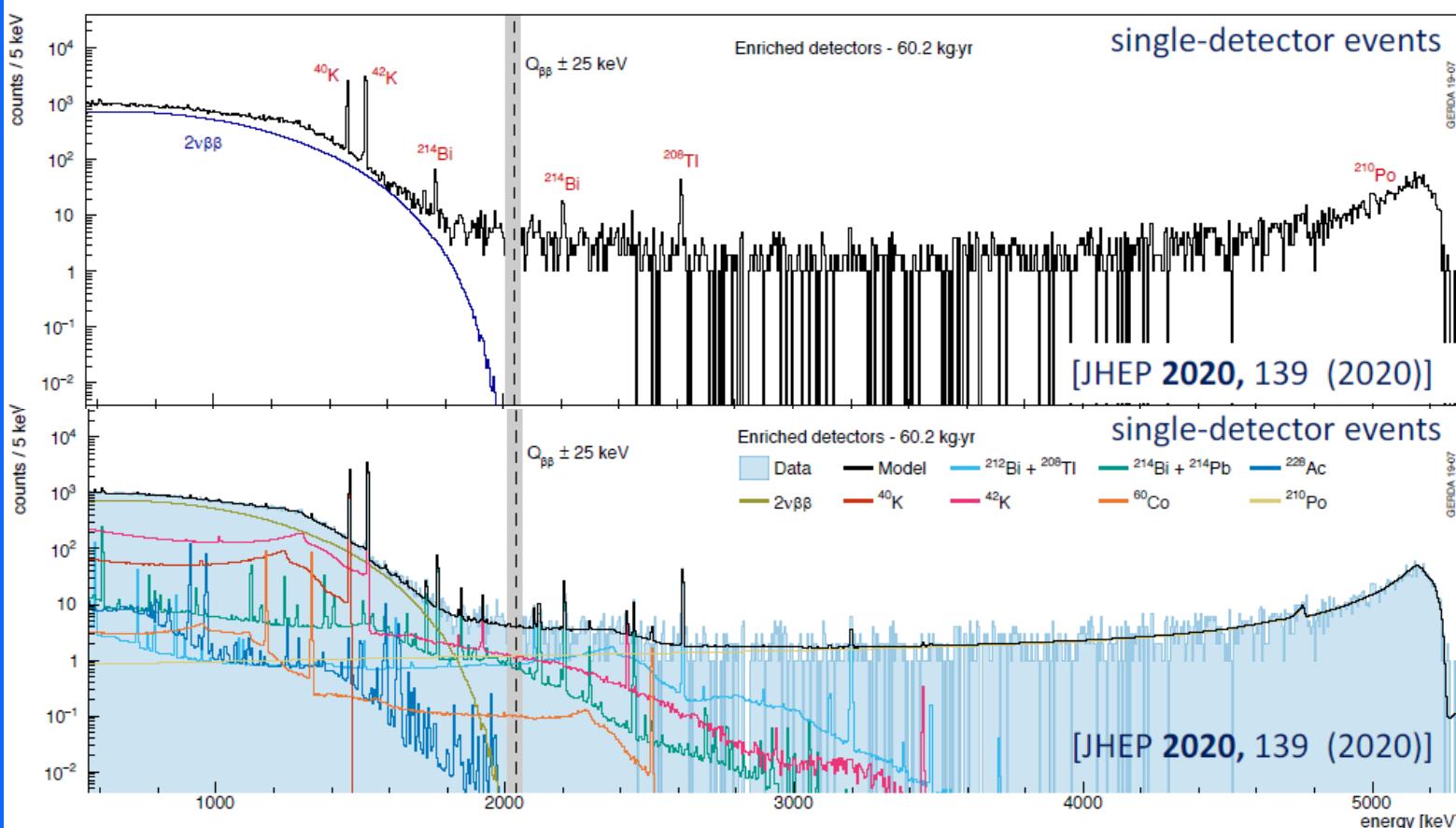
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- Data after application of quality cuts and muon veto
- MaGe (Geant4) modelling of GERDA
- Simulation of contribution of all individual parts
- Input from screening measurements
- **No full energy peak or other structures close to $Q_{\beta\beta}$**

Application of LAr veto and PSD



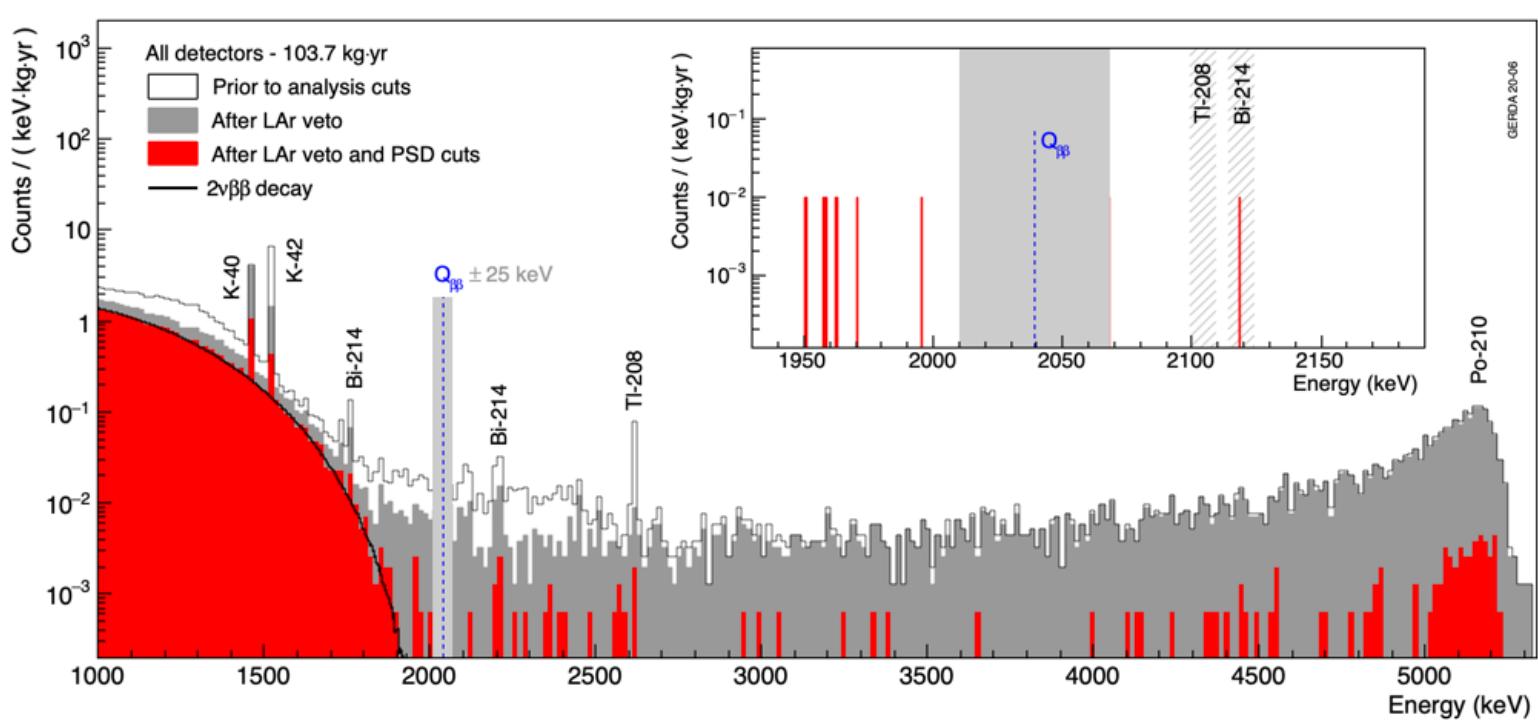
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- LAr veto and PSD are complementary
- Almost pure $2\nu\beta\beta$ decay spectrum
- Strong reduction of $^{40}\text{K}/^{42}\text{K}$
- Strong reduction of ^{214}Bi and ^{208}Tl lines
- Strong suppression of α s (^{210}Po on p⁺ contact)