

# Perturbative unitarity constraints on generic Yukawa interactions

**PERE ARNAN VENDRELL**

*Based on L. Allwicher, PA, D. Barducci, M. Nardecchia 2108.00013  
(accepted for publication in JHEP)*



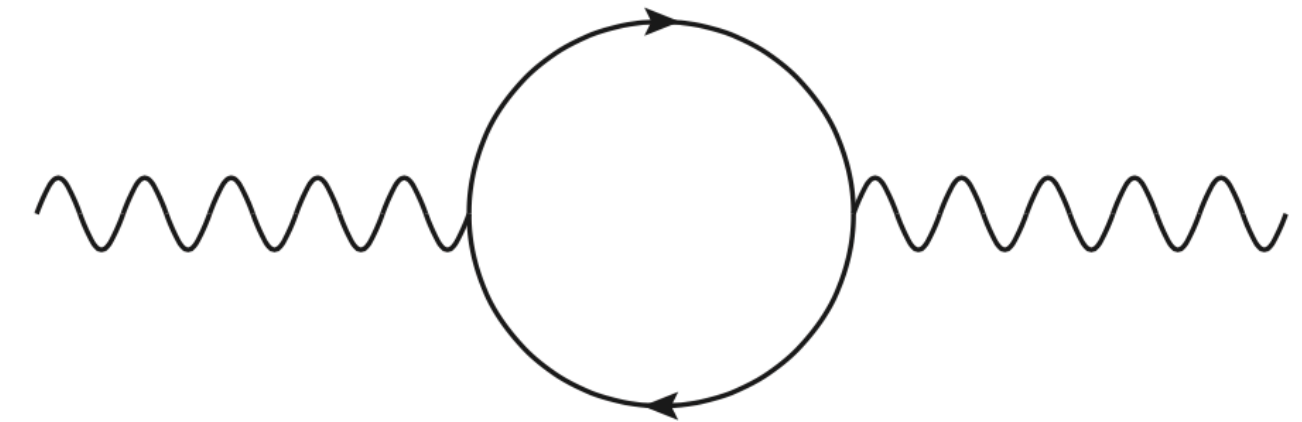
MINISTERO DELL' ISTRUZIONE, DELL'UNIVERSITÀ E DELLA RICERCA

PRIN 2017L5W2PT “The consequences of flavor”

**Portorož 22/09/2021**

# Perturbative Unitarity

Assess Perturbativity of a given model



$$\sim \frac{g^2}{16\pi^2} N_f$$

$$g < 4\pi$$

$$g < \sqrt{4\pi}$$



What about  $N_f$

Perturbative Unitarity relies on partial waves

*Definite angular momentum J basis*

$$a_{fi}^J = \frac{1}{32\pi} \int_{-1}^1 d \cos \theta d_{\mu_i \mu_f}^J(\theta) \mathcal{T}_{fi}(\sqrt{s}, \cos \theta) \longrightarrow \text{Amplitude from Feynman rules}$$

*Diagonalize PW and apply Optical Theorem*

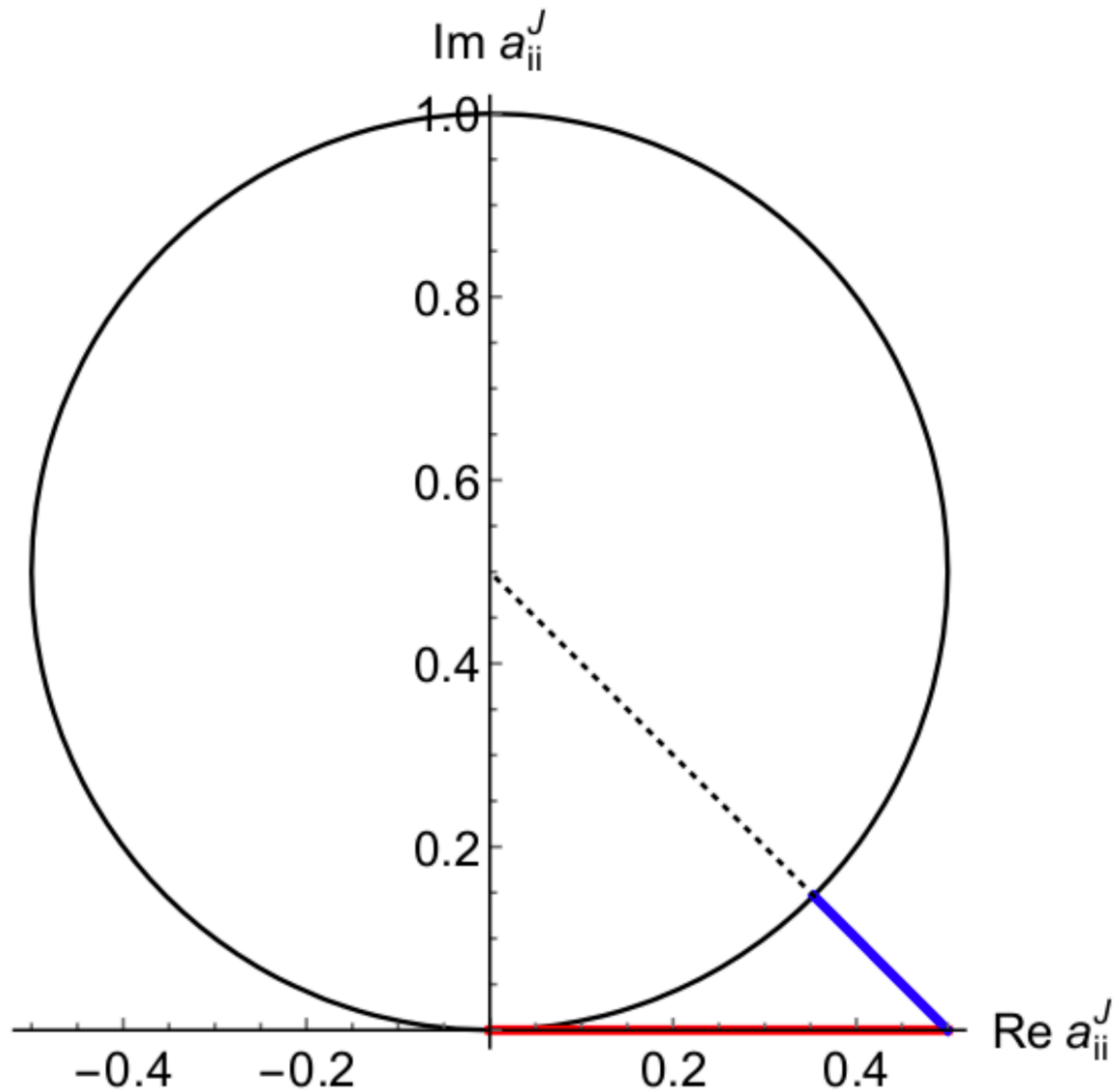
$$\text{Re}^2[a_{ii}^J] + \left( \text{Im}[a_{ii}^J] - \frac{1}{2} \right)^2 \leq \frac{1}{4}$$

Argand Circle

To all perturbation orders

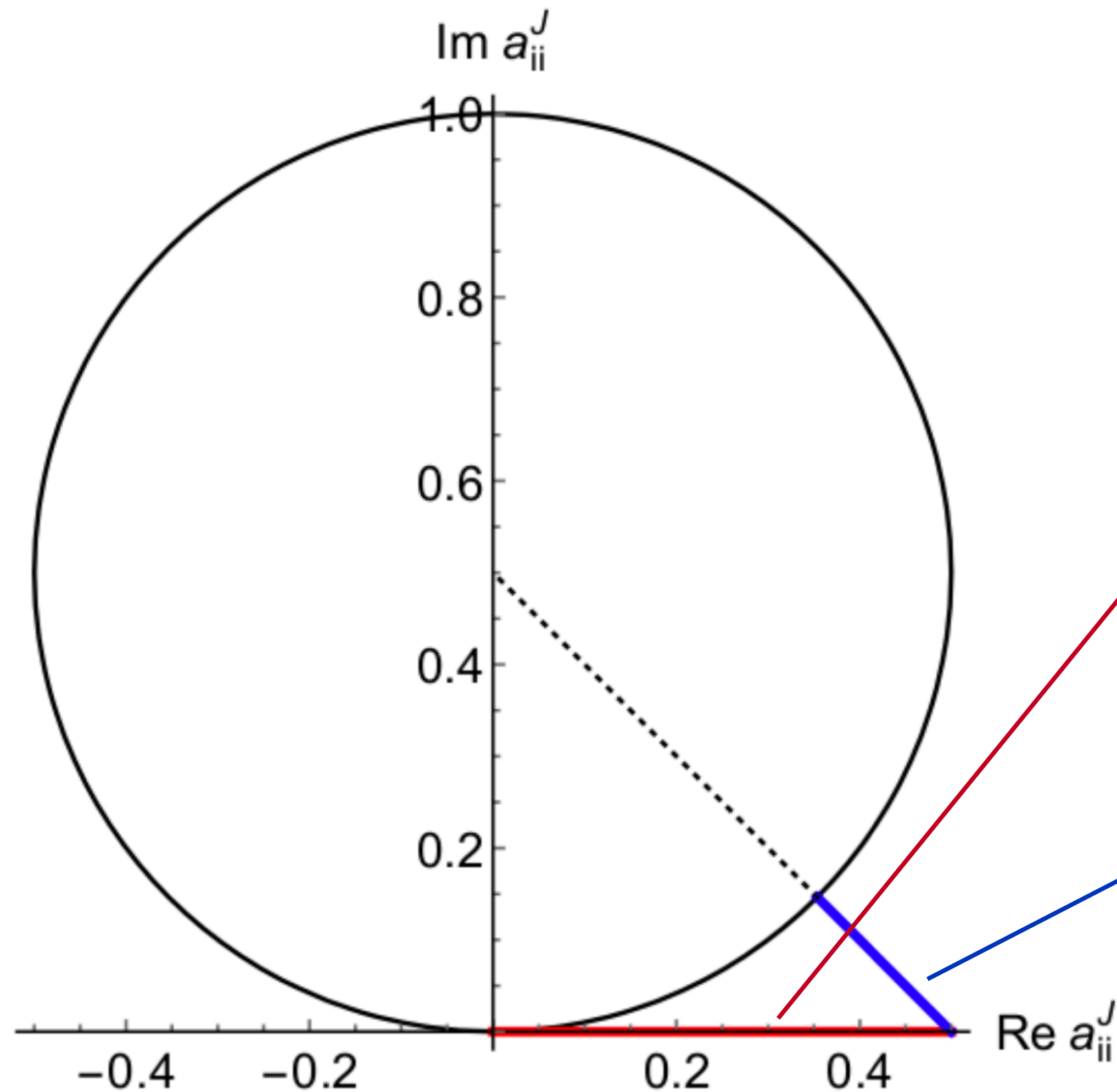
# Perturbative Unitarity

$$\text{Re}^2[a_{ii}^J] + \left( \text{Im}[a_{ii}^J] - \frac{1}{2} \right)^2 \leq \frac{1}{4}$$



# Perturbative Unitarity

$$\text{Re}^2[a_{ii}^J] + \left( \text{Im}[a_{ii}^J] - \frac{1}{2} \right)^2 \leq \frac{1}{4}$$



$$\text{Re}(a_{ii}^{J,\text{tree}}) \leq \frac{1}{2} \quad \text{Largest Eigenvalue}$$

*In the massless limit all the tree-level  $2 \rightarrow 2$  amplitudes are real.*

**Loop-correction has to be of order 40% to restore unitarity**

# Perturbative Unitarity: examples

$$\text{Re}(a_{ii}^{J,\text{tree}}) \leq \frac{1}{2}$$

**Assessing Scales on EFTs**

$$\text{Re}(a_{ii}^{J,\text{tree}}) \propto C_s$$

**New particle mass**

$$\text{Re}(a_{ii}^{J,\text{tree}}) \propto M^2 \quad \sqrt{s} \gg M$$

*SU(2)xU(1) breaking Higgs mass*

$$m_H \leq 1000 \text{ GeV}$$

*Heavy fermions*

*Dark Matter*

*Electroweak scale Fermi Theory*

$$\sqrt{s} \leq \Lambda_{\text{EW}}^U \simeq 900 \text{ GeV}$$

*New Resonances*

Di Luzio, Kamenik, Nardecchia  
*Eur.Phys.J.C* 77 (2017) 1, 30

*WET RK anomaly C9=-C10*

$$\Lambda_{R_K}^U \simeq 100 \text{ TeV}$$

Di Luzio, Nardecchia *Eur.Phys.J.C* 77 (2017) 8, 536 (update Allwicher)

*SMEFT RK anomaly singlet*

$$\Lambda_{R_K}^U \simeq 80 \text{ TeV}$$

**Assessing perturbativity of couplings**

$$\text{Re}(a_{ii}^{J,\text{tree}}) \propto \frac{g^2}{M^2}$$

*NP mass (LQ, Z', Heavier NP)*

*test NP couplings*

*Assess the perturbative unitarity of the Yukawa couplings*

# Our purpose: Yukawa Models

Given a Yukawa interaction between a SCALAR and 2 (Weyl) FERMIONS with “generic” quantum numbers under  $\mathcal{G} = \prod_i SU(N_i) \otimes U(1)$ , what is the maximum allowed value for the coupling requiring PU?

*in 2->2 scattering processes*

$$-\mathcal{L} = \frac{1}{2} \mathcal{Y}_{\alpha ij} \phi_{\alpha} \bar{\psi}_L^i \psi_L^{c,j} + h.c.$$

$\mathcal{Y}_{\alpha ij} = \mathcal{Y}_{\alpha ji}$  **Yukawa coupling containing all possible SU(N) or flavor indices**

$$i, j = 1, \dots, N_{\psi}$$

$$\alpha = 1, \dots, N_{\phi}$$

$\psi_L^i$  **Left-handed fermion**  $\psi_{L,i}^c = C\bar{\psi}_{L,i}^T$

$\phi_{\alpha}$  **Real Scalar**

*Given a model one has to compute the partial waves and extract the highest bound*

*taking the high energy limit  $\sqrt{s} \gg M$*

# Partial Waves & Amplitudes $T \quad 2 \rightarrow 2$

$$a_{fi}^J = \frac{1}{32\pi} \int_{-1}^1 d\cos\theta d_{\mu_i\mu_f}^J(\theta) \mathcal{T}_{fi}(\sqrt{s}, \cos\theta)$$

massless limit: Helicity=Chirality    Classify amplitudes by helicity states.

		$\mu_i = 0$		$\mu_i = 0$	$\mu_i = +1$	$\mu_i = +1/2$	$\mu_i = -1/2$	
		++	--	00	+-	+0	-0	
$\mu_f = 0$	++	$\mathcal{T}++++$	$\mathcal{T}++--$	×	×			—
	--	$\mathcal{T}---++$	$\mathcal{T}----$	×	×			—
$\mu_f = 0$	00	×		ⓧ	$\mathcal{T}^{00+-}$			—
$\mu_f = +1$	+-	×		$\mathcal{T}^{+-00}$	$\mathcal{T}^{+-+-}$			—
$\mu_f = +1/2$	+0					$\mathcal{T}^{+0+0}$		
$\mu_f = -1/2$	+0					×	$\mathcal{T}^{-0-0}$	—

— Angular momentum conservation

× Massless limit

ⓧ No scalar potential

First Contributing **J=0**

$$\begin{pmatrix} \mathcal{T}++++ & \mathcal{T}++-- \\ \mathcal{T}---++ & \mathcal{T}---- \end{pmatrix}$$

First Contributing **J=1/2**

$$\mathcal{T}^{+0+0}$$

First Contributing **J=1**

$$\begin{pmatrix} 0 & \mathcal{T}^{00+-} \\ \mathcal{T}^{+-00} & \mathcal{T}^{+-+-} \end{pmatrix}$$

# Toy Models $SU(N) \times U(1)$

$$- \mathcal{L}_{\text{Dirac}} = y S \bar{\chi} \eta + h.c.$$

Real or Complex scalar  $S$   
(working with  $S S^*$ )

LH fermion  $\chi$

RH fermion  $\eta$

$$- \mathcal{L}_{\text{Majorana}} = \frac{1}{2} y S \bar{\chi} \chi^c + h.c.$$

Real or Complex scalar  $S$   
(working with  $S S^*$ )

LH fermion  $\chi$

Charging fields under a single  $SU(N)$  and  $U(1)$

$$\mathcal{T}_{f_1 f_2 i_1 i_2}^{\lambda_{f_1} \lambda_{f_2} \lambda_{i_1} \lambda_{i_2}}(\sqrt{s}, \theta) = \bigoplus_{\mathbf{r}} \sum_{m=s,t,u} \mathcal{T}_m^{\lambda_{f_1} \lambda_{f_2} \lambda_{i_1} \lambda_{i_2}}(\sqrt{s}, \theta) \mathcal{F}_{f_1 f_2 i_1 i_2}^{m, \mathbf{r}}(N) \mathbb{1}_{d_{\mathbf{r}}}$$

**Lorentz Structure**
**Group Structure**

$\mathbf{r}$  representation of 2 particle states



# Toy Models: Model 1 Real Scalar

$$- \mathcal{L}_{\text{Dirac}} = y S \bar{\chi} \eta + h.c.$$

Singlet Scalar  $S$

fundamental LH fermion  $\chi$

fundamental RH fermion  $\eta$

$$\chi \sim \square_q, \eta \sim \square_{q'}, S \sim \mathbf{1}_{q-q'}$$

**REAL SCALAR  $q=q'$**

Consider all the possible 2 particle states

**J=0**

$$\bar{\chi} \eta \sim \mathbf{1} + \text{Adj}$$

$$\eta \eta \sim \mathbf{S} + \mathbf{AS}$$

$$\chi \chi \sim \mathbf{S} + \mathbf{AS}$$

**J=1/2**

$$\bar{\chi} S \sim \bar{\square}$$

$$\eta S \sim \square$$

**J=1**

$$\bar{\chi} \chi \sim \mathbf{1} + \text{Adj}$$

$$\eta \chi \sim \mathbf{S} + \mathbf{AS}$$

$$\eta \bar{\eta} \sim \mathbf{1} + \text{Adj}$$

$$S S \sim \mathbf{1}$$

$$y^2 < \frac{8\pi}{2N + 1}$$

$$y^2 < \frac{16\pi}{3}$$

$$y^2 < 16\pi$$

# Toy Models: Model 1 Complex Scalar

$$- \mathcal{L}_{\text{Dirac}} = y S \bar{\chi} \eta + h.c.$$

Singlet Scalar  $S$

fundamental LH fermion  $\chi$   
 fundamental RH fermion  $\eta$

$$\chi \sim \square_q, \eta \sim \square_{q'}, S \sim \mathbf{1}_{q-q'}$$

## COMPLEX SCALAR

Consider all the possible 2 particle states

**J=0**

$$\bar{\chi} \eta \sim \mathbf{1} + \text{Adj}$$

$$\eta \eta \sim \mathbf{S} + \mathbf{AS}$$

$$\chi \chi \sim \mathbf{S} + \mathbf{AS}$$

**J=1/2**

$$\bar{\chi} S^{(*)} \sim \bar{\square}$$

$$\eta S^{(*)} \sim \square$$

**J=1**

$$\bar{\chi} \chi \sim \mathbf{1} + \text{Adj}$$

$$\eta \chi \sim \mathbf{S} + \mathbf{AS}$$

$$\eta \bar{\eta} \sim \mathbf{1} + \text{Adj}$$

$$S^{(*)} S^{(*)} \sim \mathbf{1}$$

$$y^2 < \frac{8\pi}{N}$$

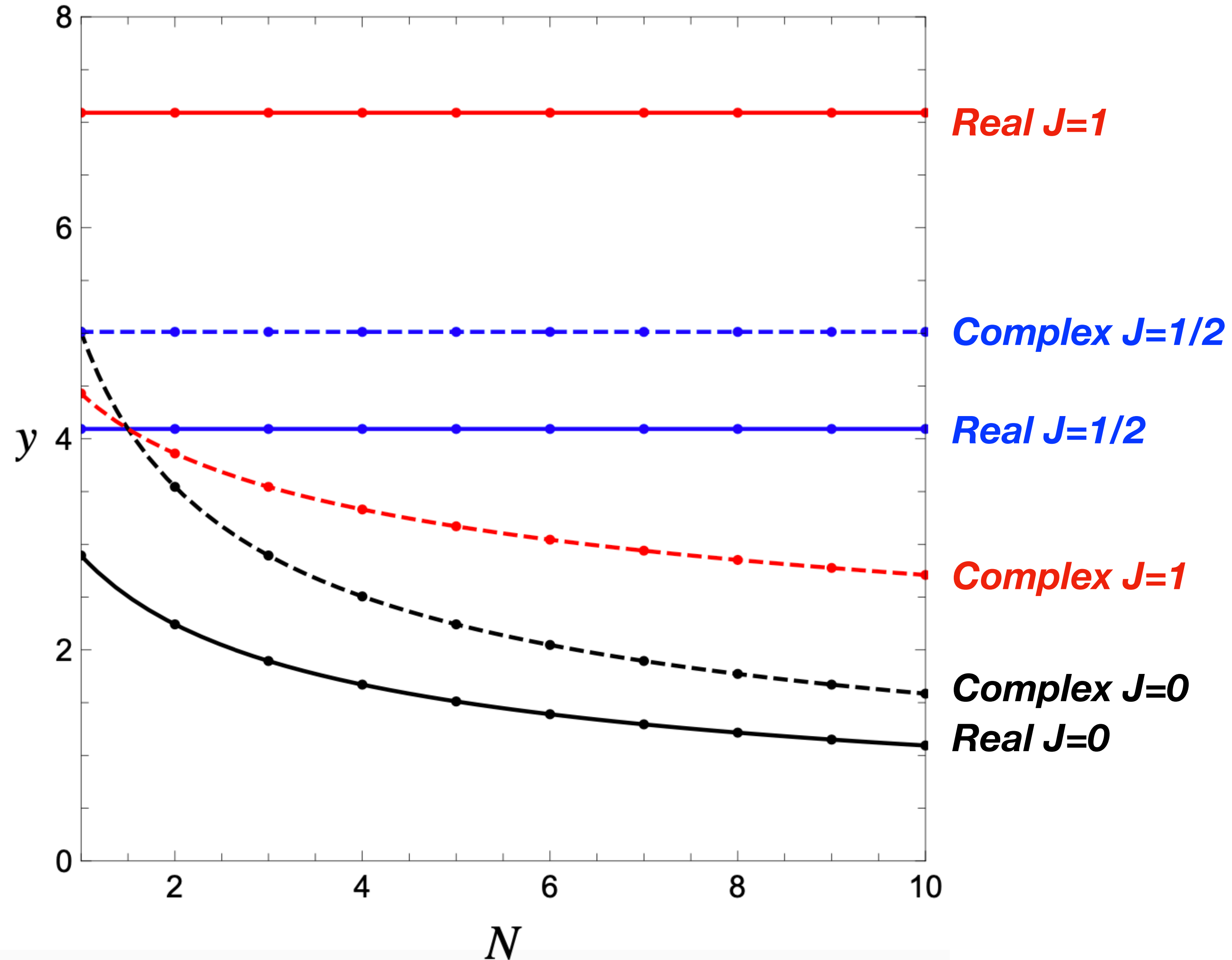
$$y^2 < 8\pi$$

$$y^2 < \frac{32\pi}{1 + \sqrt{1 + 16N}}$$

# Toy Models: Model 1

Model 1

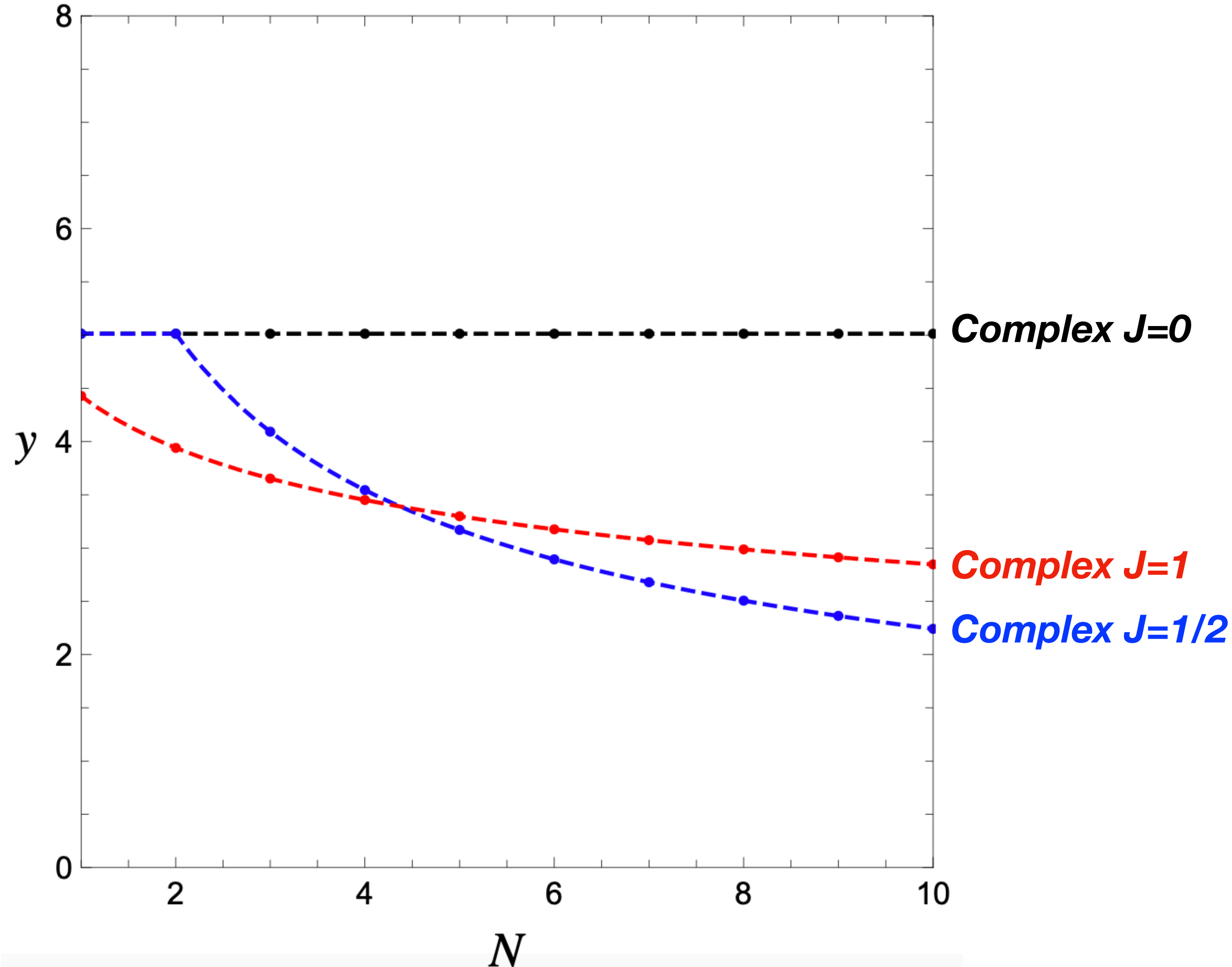
$$\chi \sim \square_q \quad \eta \sim \square_{q'} \quad S \sim \mathbf{1}_{q-q'}$$



*For both complex and real scalar cases the higher bound is in the  $J=0$  channel*

# Toy Models: Model 2

$$\text{Model 2} \\ \chi \sim \square_q \quad \eta \sim \mathbf{1}_{q'} \quad S \sim \square_{q-q'}$$

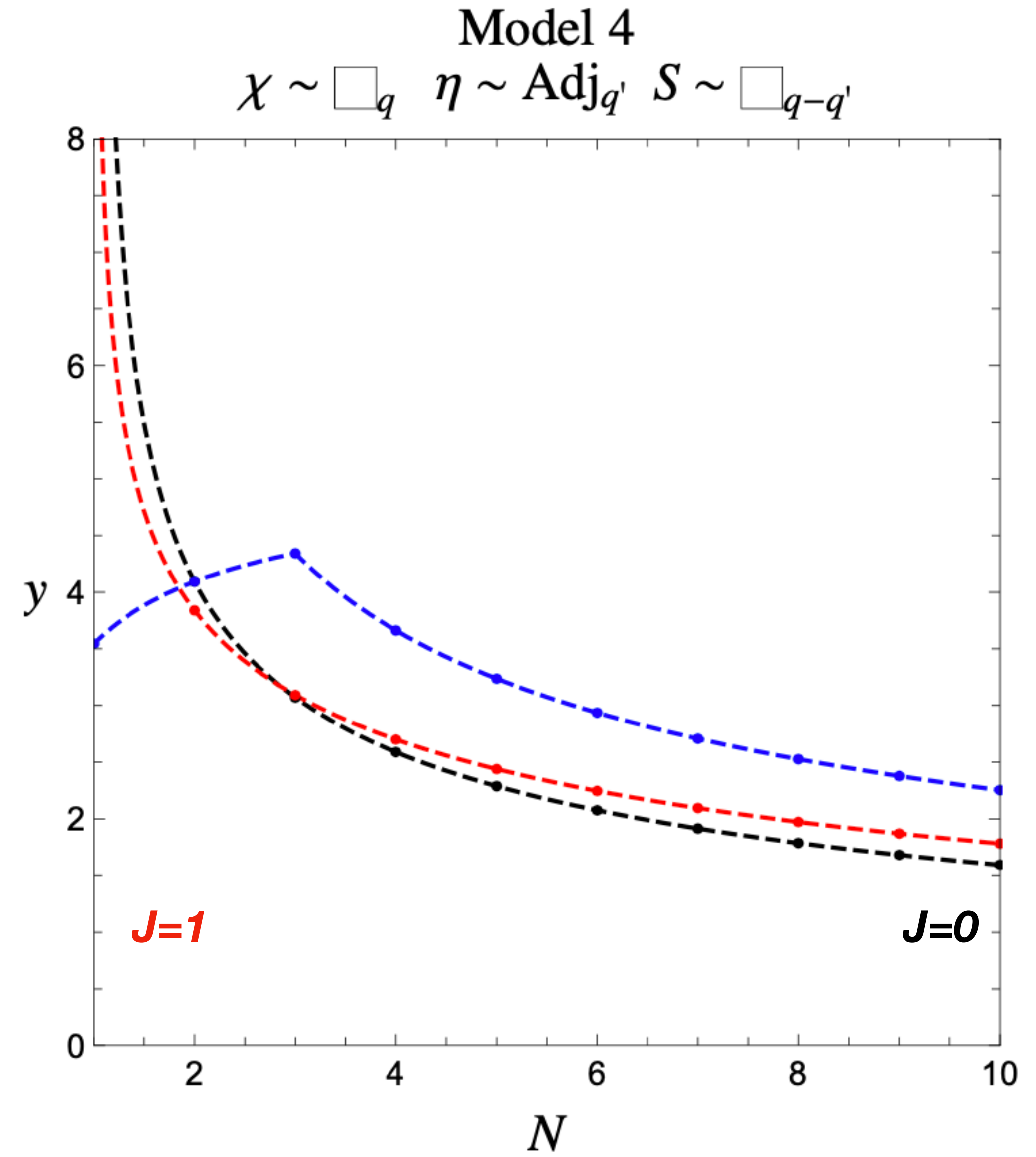
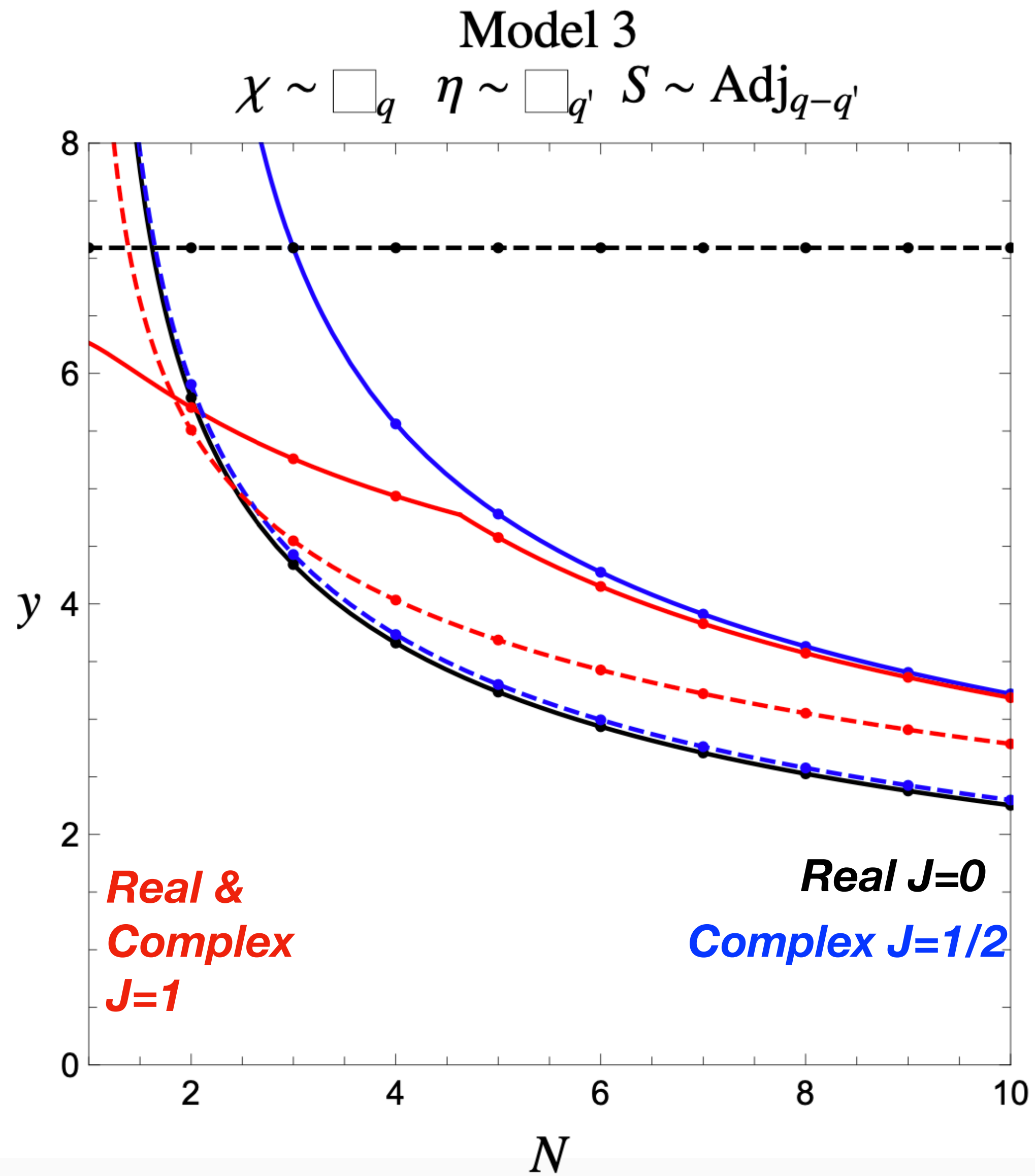


*Only complex scalar.*

*Bound dominated by  $J=1/2$  at large  $N$*

*Bound dominated by  $J=1$  for  $N < 4$*

# Toy Models: Model 3&4



# 2 SU(N) symmetries SM Higgs

$$- \mathcal{L} = y_d^{ij} H \bar{q}^i d^j + h.c. \quad \mathbf{q} \sim \square \quad \mathbf{d} \sim \square \quad \mathbf{H} \sim \mathbf{1} \quad \text{SU(3) Model 1} \quad y_d \lesssim 2.9$$

Complex scalar

$$\mathbf{q} \sim \square \quad \mathbf{H} \sim \square \quad \mathbf{d} \sim \mathbf{1} \quad \text{SU(2) Model 2} \quad y_d \lesssim 3.9$$

Compute factors for all the possible 2 particle states

$$\mathcal{T}_{f_1 f_2 i_1 i_2}^{\lambda_{f_1} \lambda_{f_2} \lambda_{i_1} \lambda_{i_2}}(\sqrt{s}, \theta) = \text{Lorentz} \times \text{SU(3)} \times \text{SU(2)}$$

Highest Bound

$$\mathbf{J=0}$$

SU(3) singlet SU(2) fundamental

$$y_d \lesssim 2.9$$

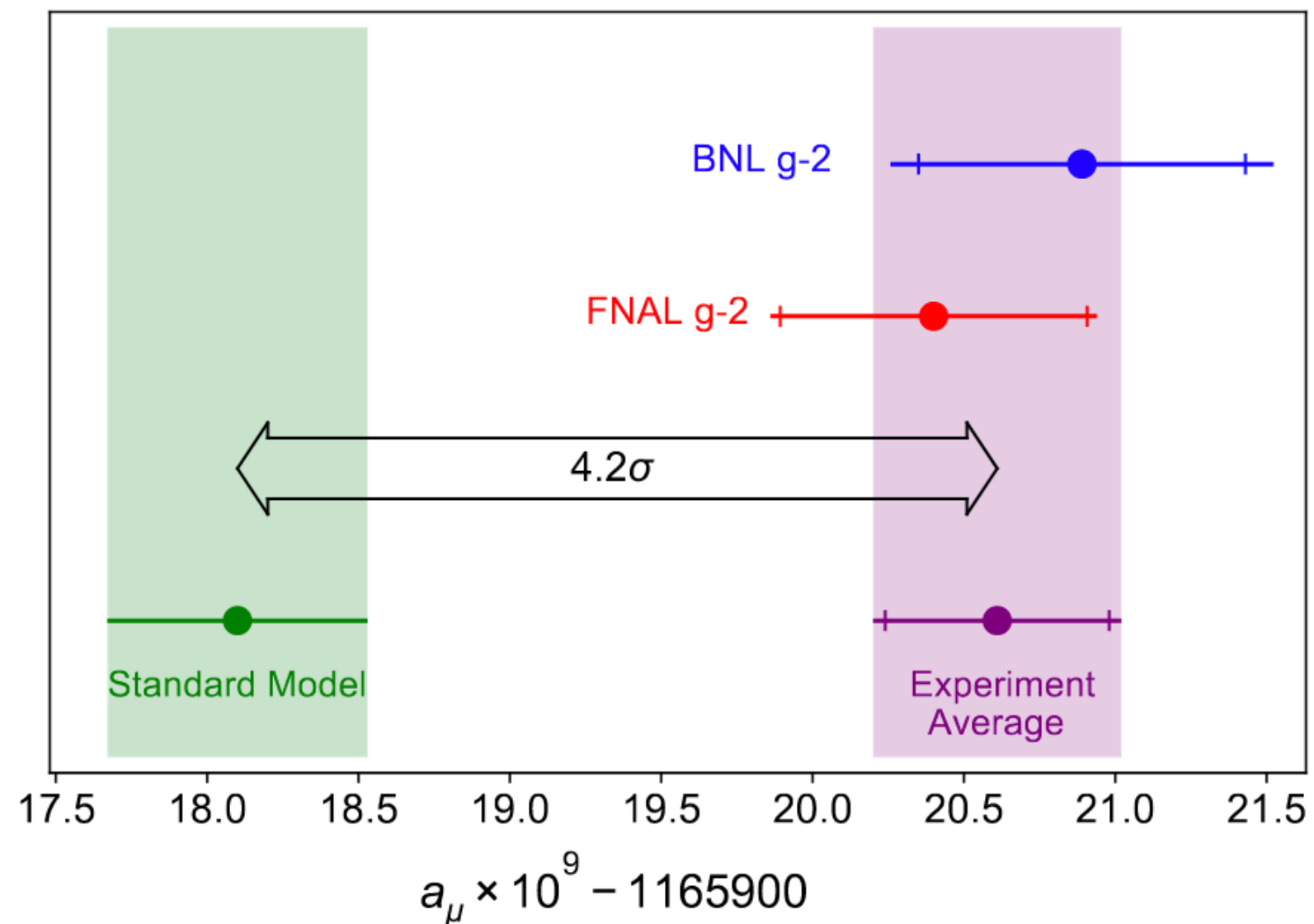
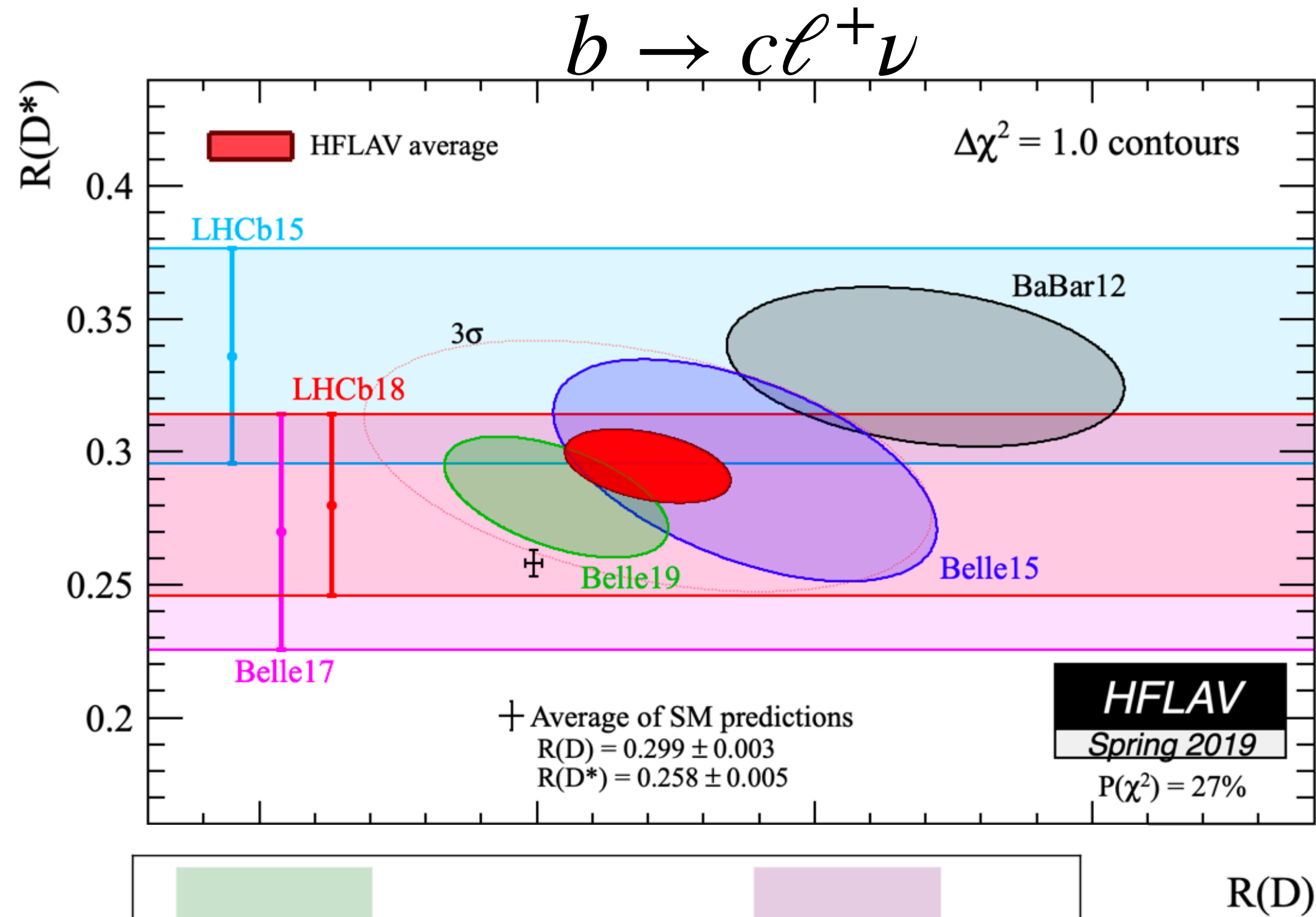


$$M_q \lesssim 500 \text{ GeV}$$

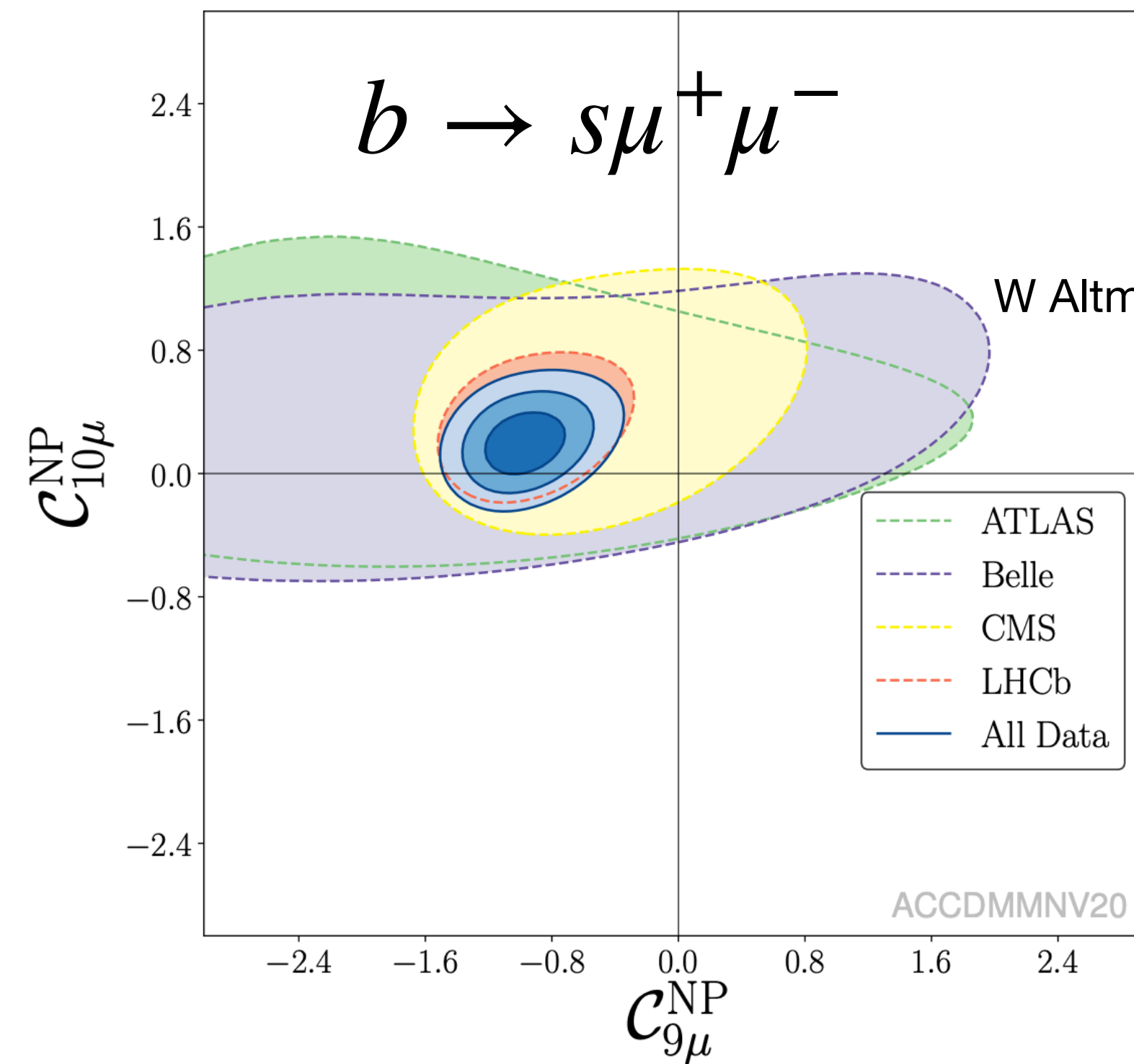
$$- \mathcal{L} = y_e H \bar{l} e$$

**Mepton**  $\lesssim 700 \text{ GeV}$ .

# Pheno Models: Flavor Anomalies



$$(g - 2)_\mu$$



M. Ciuchini et. al.  
*Eur.Phys.J.C* 79 (2019) 8, 719

J. Matias et. al.  
*Eur.Phys.J.C* 80 (2020) 6, 511

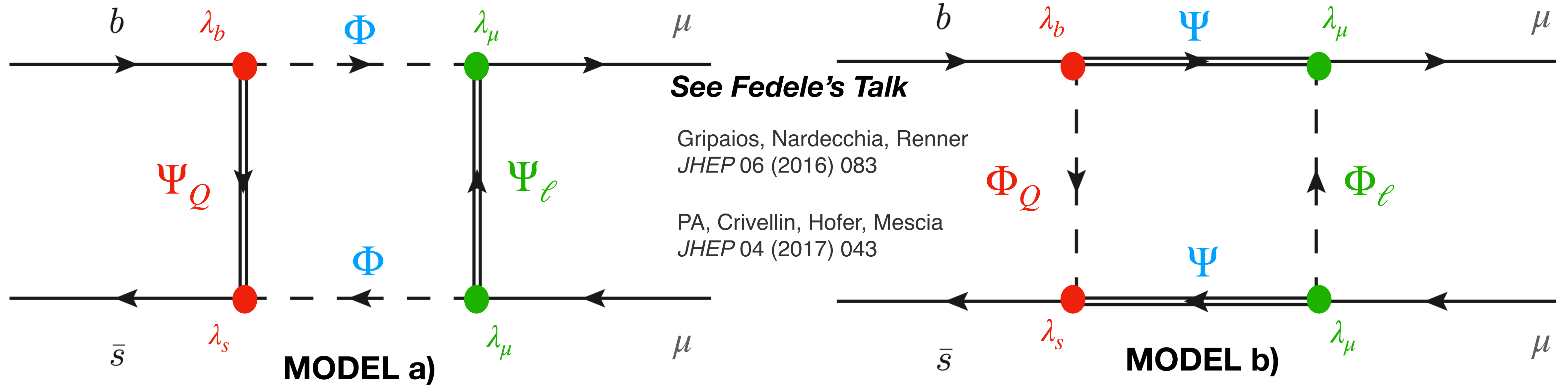
W Altmannshofer, P Stangl 2103.13370

$$\delta\text{Obs}^{NP} = g^2 / M_{NP}^2$$

lower mass  $\rightarrow$  lower coupling  $\rightarrow$  direct searches

larger mass  $\rightarrow$  larger coupling  $\rightarrow$  PU

# Heavy Scalars and Fermions $b \rightarrow s\mu^+\mu^-$



- 1 scalar  $\Phi$  coupling to quarks and muons
- 1 fermion  $\Psi_Q$  coupling to quarks
- 1 fermion  $\Psi_\ell$  coupling to muons

$$\mathcal{L}^a) = \lambda_i^Q \bar{\Psi}_Q P_L Q_i \Phi + \lambda_i^L \bar{\Psi}_\ell P_L L_i \Phi$$

- 1 fermion  $\Psi$  coupling to quarks and muons
- 1 scalar  $\Phi_Q$  coupling to quarks
- 1 scalar  $\Phi_\ell$  coupling to muons

$$\mathcal{L}^b) = \lambda_i^Q \bar{\Psi} P_L Q_i \Phi_Q + \lambda_i^L \bar{\Psi} P_L L_i \Phi_\ell$$

equal masses  $m_{NP} = 1 \text{ TeV}$

**3 LH couplings**  $\lambda_\mu$   $\lambda_s$   $\lambda_b$



# Heavy Scalars and Fermions

PA, Crivellin, Hofer, Mescia  
*JHEP* 04 (2017) 043

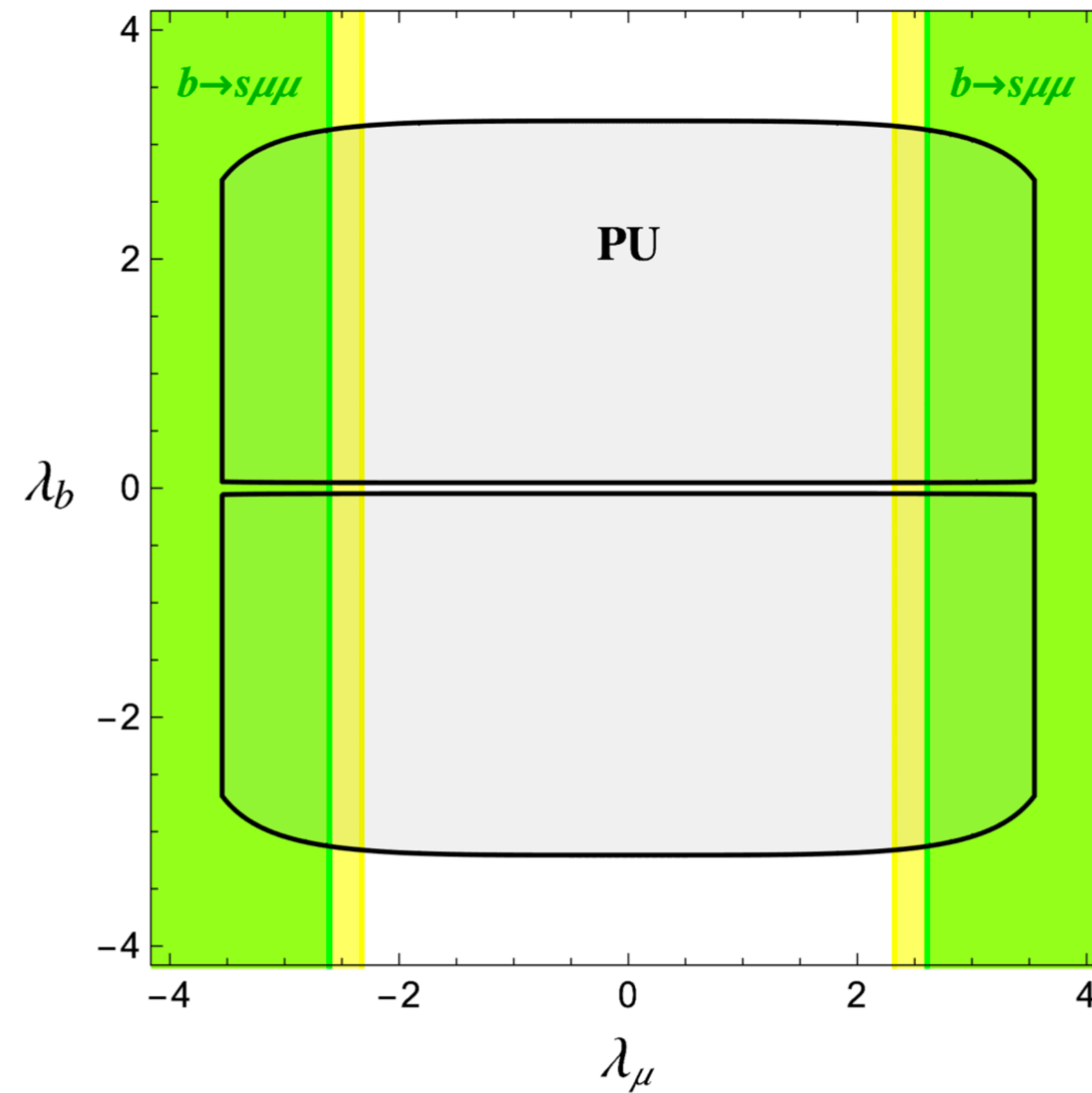
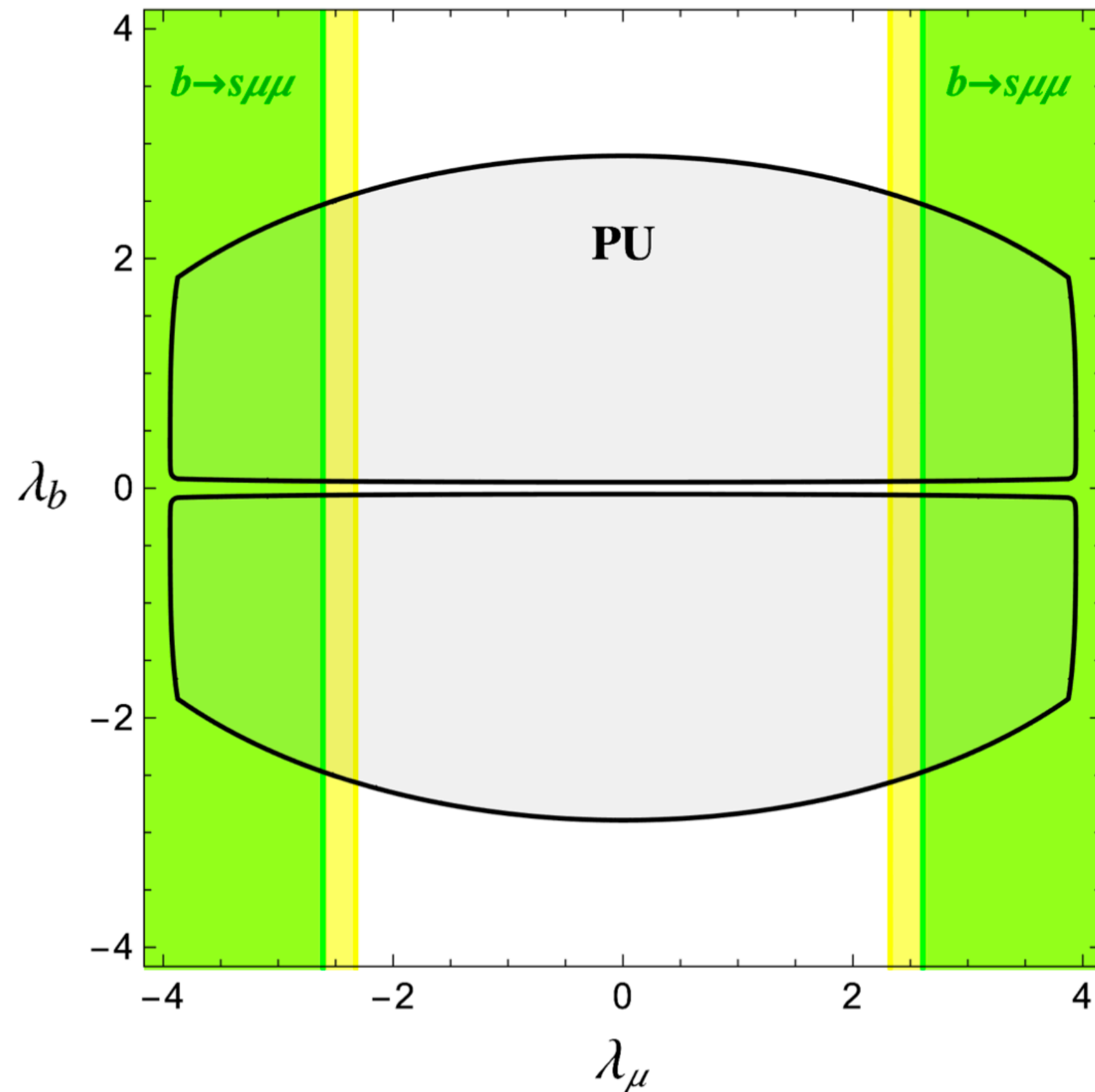
model a)  $\Phi \sim (\mathbf{1}, \mathbf{1}, X)$   $\Psi_\ell \sim (\mathbf{1}, \mathbf{1}, -\frac{1}{2} + X)$   $\Psi_q \sim (\mathbf{3}, \mathbf{1}, \frac{1}{6} + X)$  **m= 1 TeV**

model b)  $\Psi \sim (\mathbf{1}, \mathbf{2}, X)$   $\Phi_\ell \sim (\mathbf{1}, \mathbf{1}, -\frac{1}{2} + X)$   $\Phi_q \sim (\mathbf{3}, \mathbf{1}, \frac{1}{6} + X)$   $X = \frac{1}{2}$  real scalar

$$|C_9^{box}| = \frac{1}{3} |\lambda_s^* \lambda_b| |\lambda_\mu|^2 \quad |\lambda_s^* \lambda_b| = 0.15 \text{ From } B_s - \bar{B}_s$$

a) Complex  $\Phi$

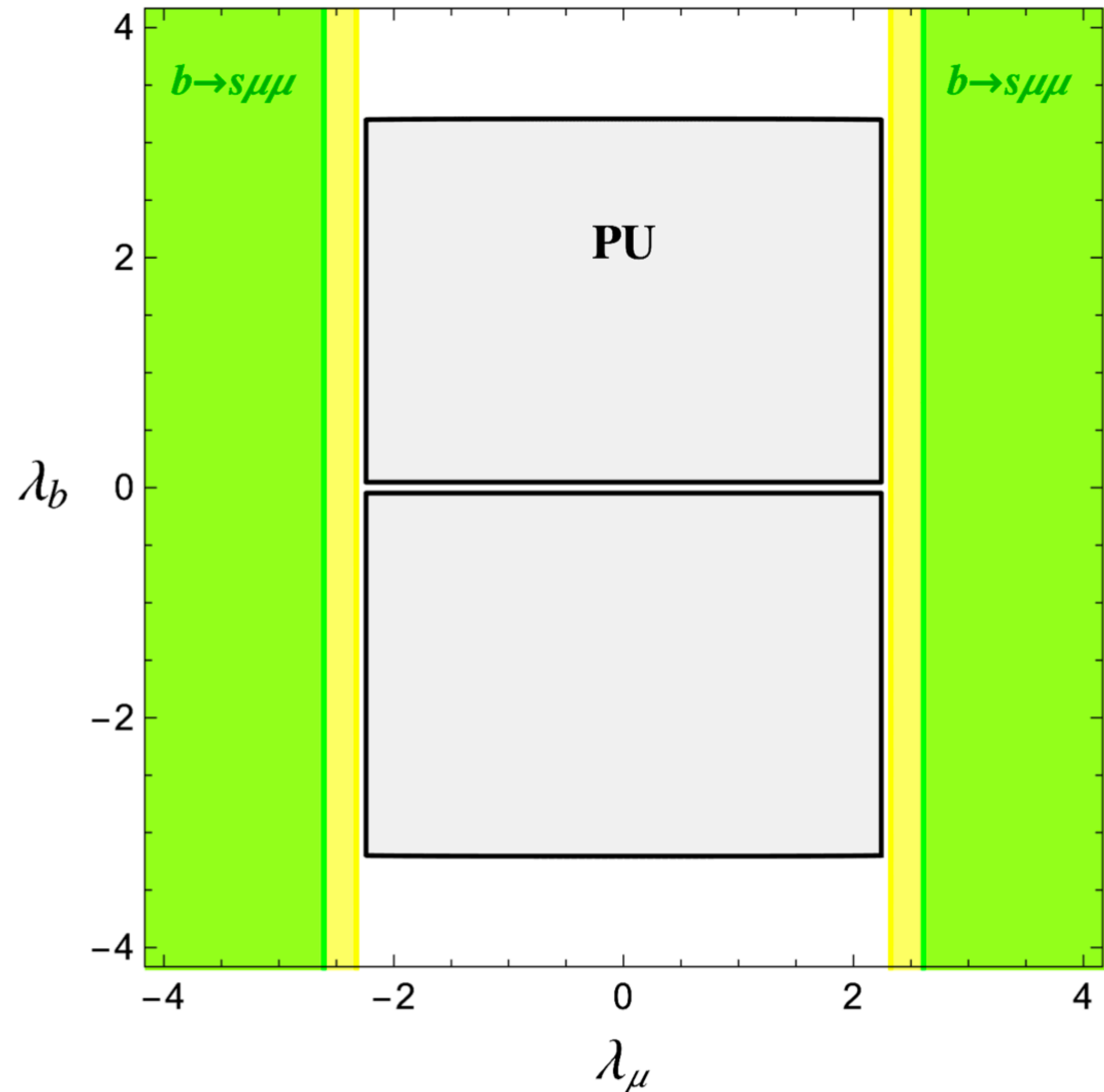
b) Complex  $\Phi_\ell$



**model 1**  
**complex scalar**  
**<3.5**

# Heavy Scalars and Fermions

b) Real  $\Phi_\ell$



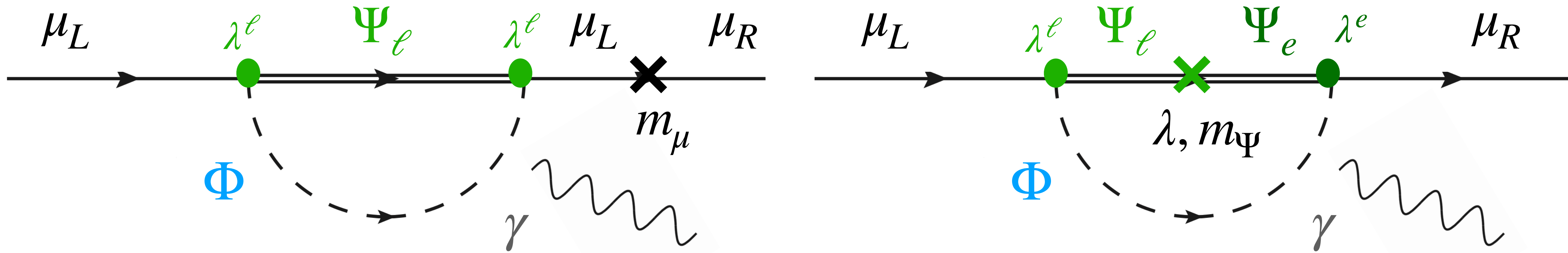
model 1  
Real scalar  
<2.2

Impossible to explain  $g-2$   
with perturbative couplings

RH couplings?

PA, Crivellin, Fedele, Mescia  
*JHEP* 06 (2019) 118

# Heavy Scalars and Fermions



$$-\mathcal{L} = \lambda_i^q \bar{q}_L^i \Psi_R \Phi_q + \lambda_i^\ell \bar{\ell}_L^i \Psi_R \Phi_\ell + \lambda_i^e \bar{e}_R^i \Psi'_L \Phi_\ell + \lambda^H (\bar{\Psi}_L \Psi'_R H + \bar{\Psi}_R \Psi'_L H) + h.c. ,$$

Contribution  
enchancing g-2

$$\Psi \sim (1, 2, -\frac{1}{2}) \quad \Psi' \sim (1, 1, -1) \quad \Phi_\ell \sim (1, 1, 0) \quad \Phi_q \sim (3, 1, \frac{2}{3}) .$$

$b \rightarrow s\mu\mu$ , g-2, Dark matter

Arcadi, Calibbi, Fedele, Mescia,  
*Phys.Rev.Lett.* 127 (2021) 6, 061802

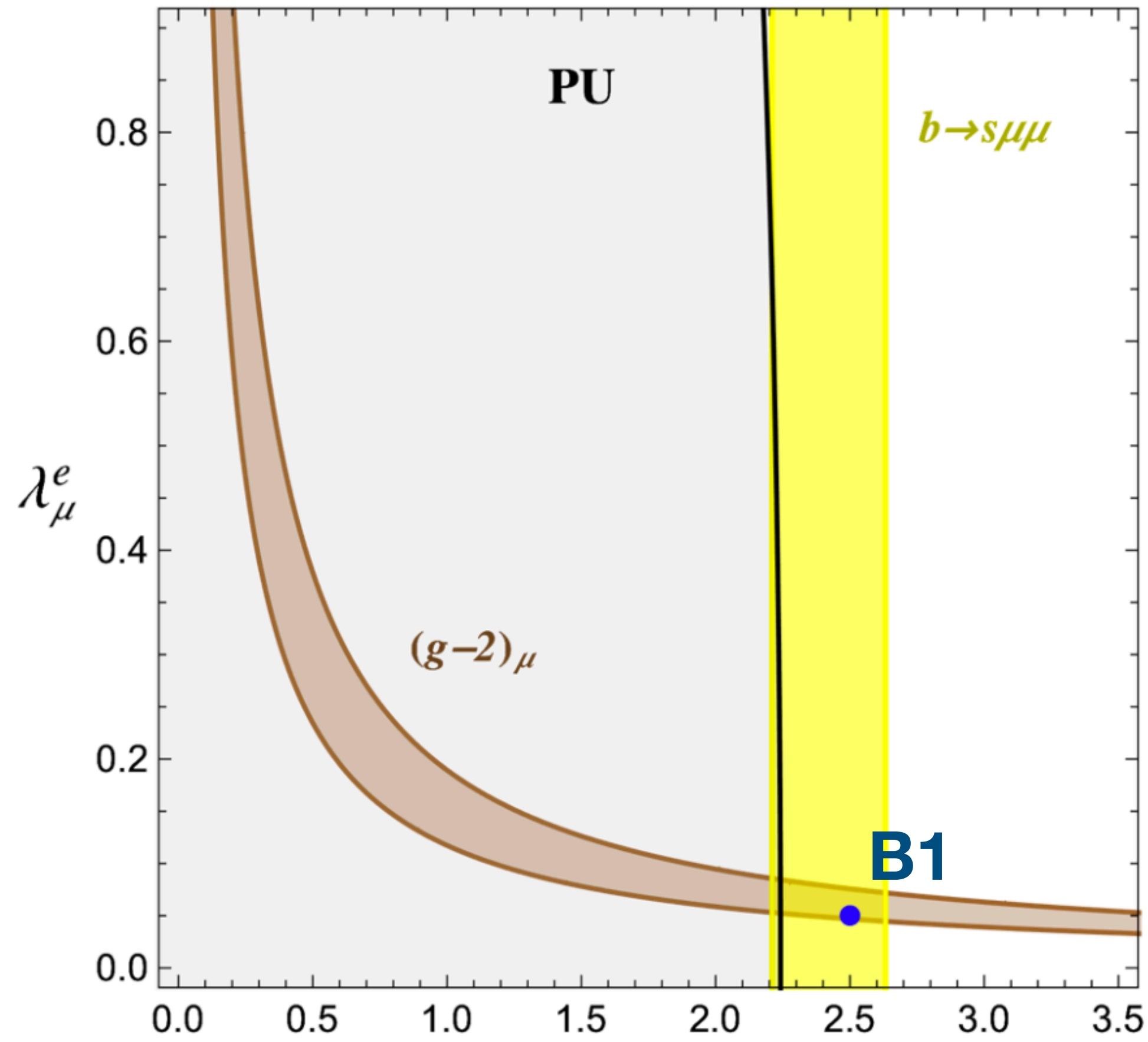
5 couplings  $\lambda_\mu^\ell$   $\lambda_\mu^e$   $\lambda_s$   $\lambda_b$   $\lambda^H$

# Heavy Scalars and Fermions

Arcadi, Calibbi, Fedele, Mescia,  
*Phys.Rev.Lett.* 127 (2021) 6, 061802

## Benchmark 1

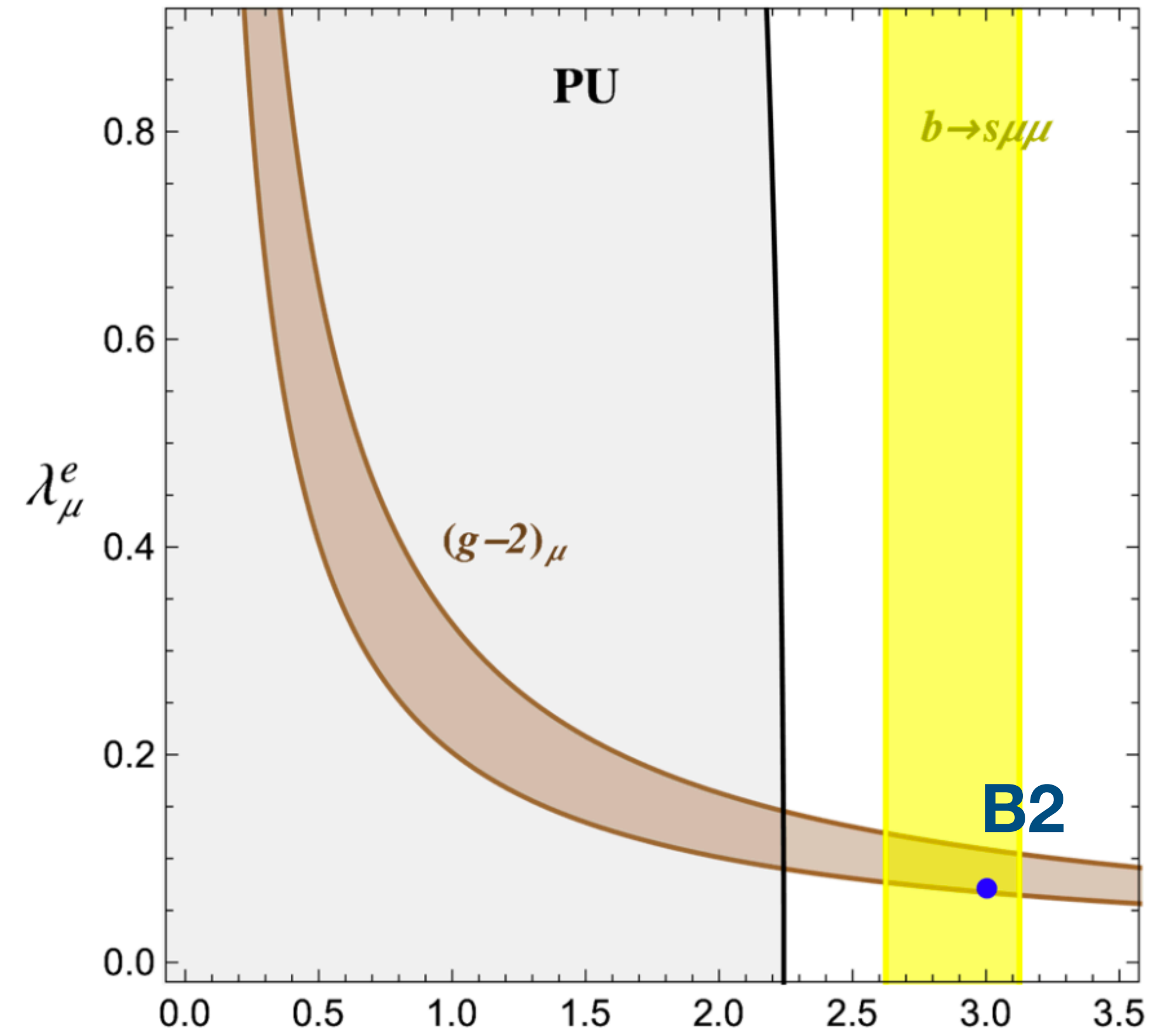
$m_{\Phi_\ell}=500$  GeV  $m_\Psi=700$  GeV



$\lambda_\mu^l$  model 1 real scalar  
<2.2

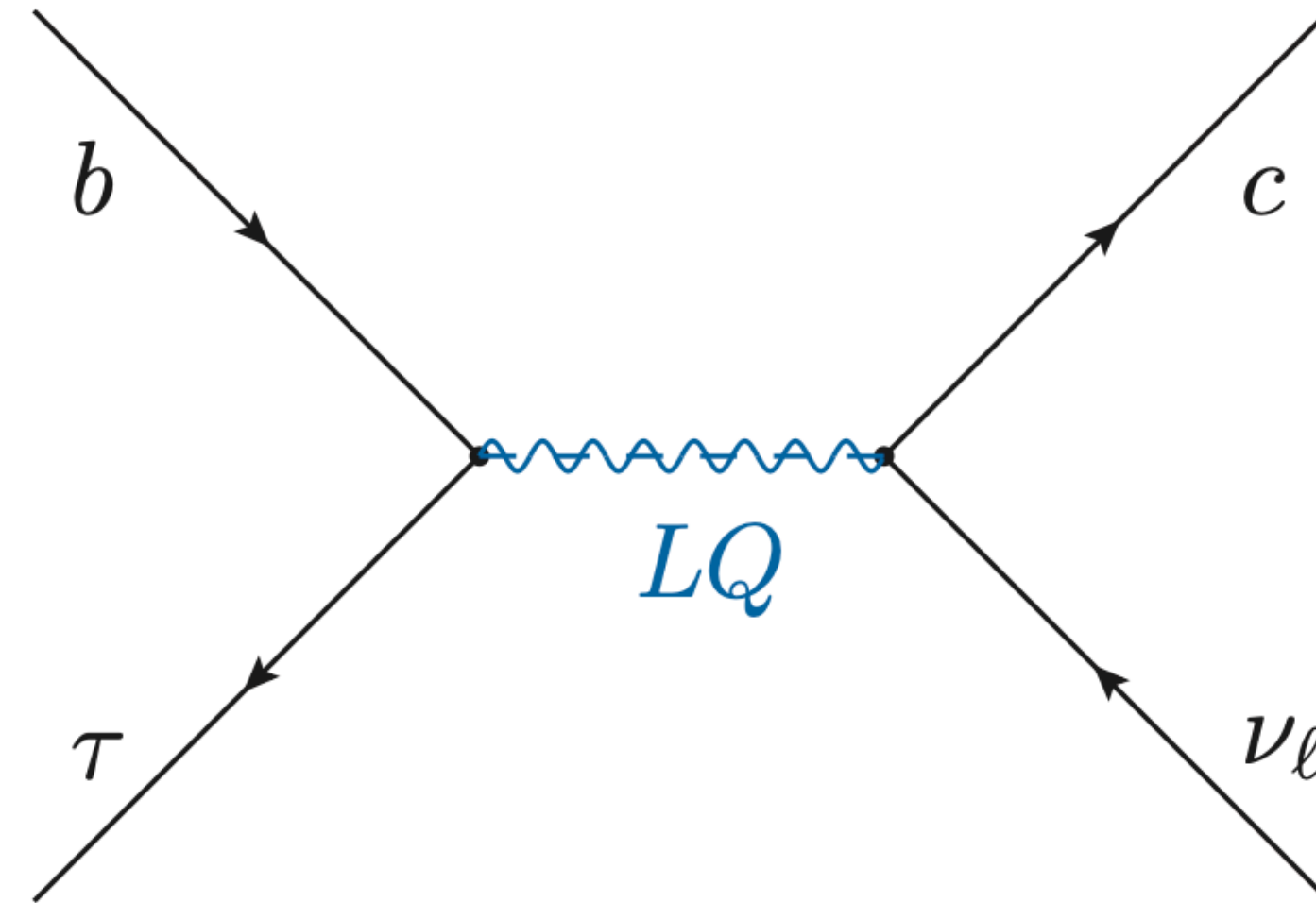
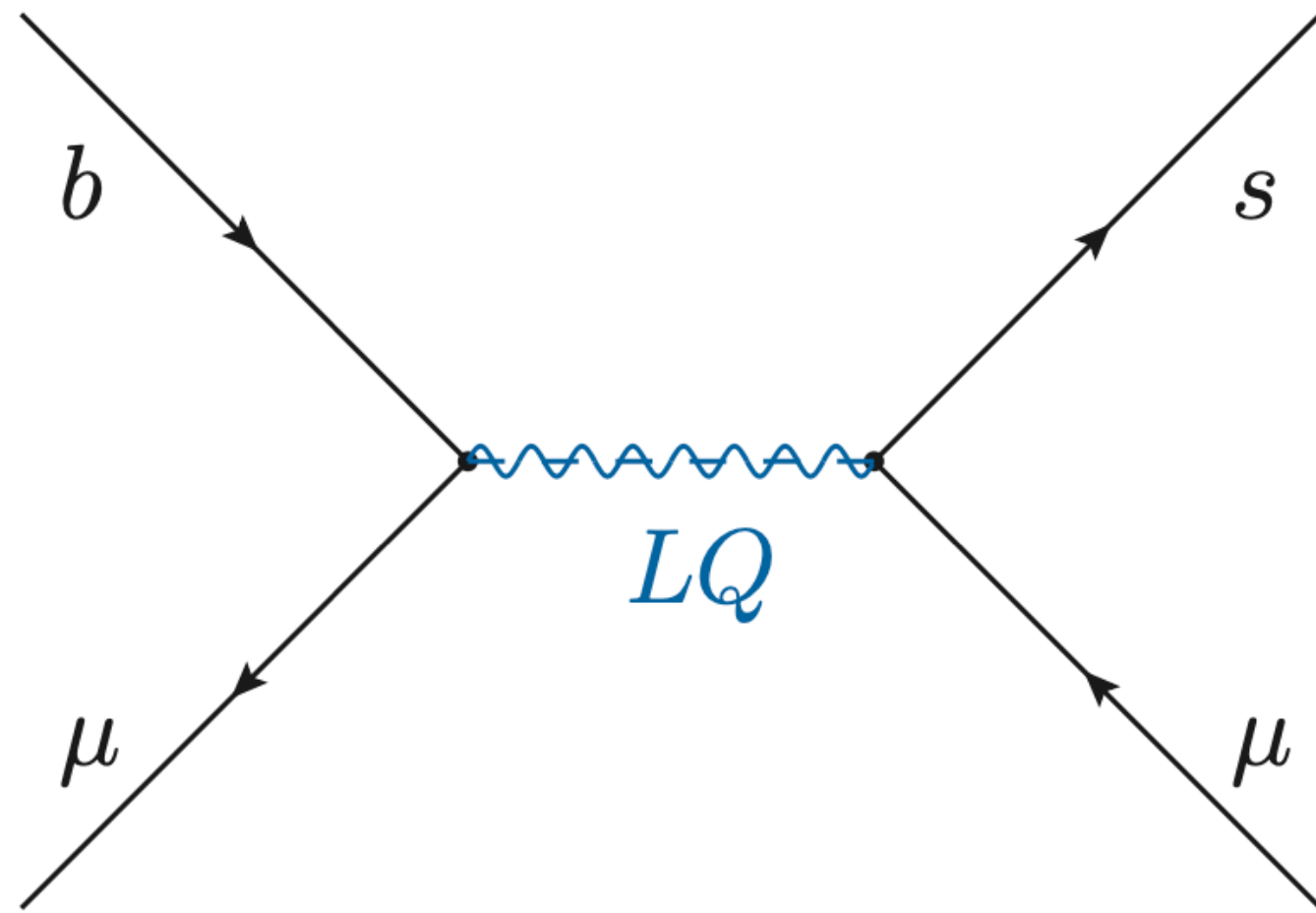
## Benchmark 2

$m_{\Phi_\ell}=500$  GeV  $m_\Psi=700$  GeV



$\lambda_\mu^l$  model 1 real scalar  
<2.2

# Leptoquarks



$S_1 + S_3$

**Everything perturbative**  
 **$m_{LQ} \sim 1 \text{ TeV}$**

**See Becirevic and Marzocca's Talks**

Crivellin, Müller, Saturnino  
*JHEP* 06 (2020) 020

Bigaran, Gargalionis, Volkas  
*JHEP* 10 (2019) 106

Buttazzo, Greljo, Isidori, Marzocca,  
*JHEP* 11 (2017) 044

Marzocca,  
*JHEP* 07 (2018) 121

Han Yan, Ya-Dong Yang, Xing-Bo Yuan  
*Chin.Phys.C* 43 (2019) 8, 083105

PA, Becirevic, Mescia, Sumensari  
*JHEP* 02 (2019) 109

Gherardi, Marzocca, Venturini  
*JHEP* 01 (2021) 006

Crivellin, Müller, Toshihiko Ota  
*JHEP* 09 (2017) 040

Di Luzio, Fuentes Martín, Greljo, Nardecchia, Renner  
*JHEP* 11 (2018) 081

$U_1$

**Yukawa sector**

$$- \mathcal{L}_{\text{SM-like}} = \bar{q}'_L Y_d H d'_R + \bar{q}'_L Y_u \tilde{H} u'_R + \bar{\ell}'_L Y_e H e'_R + \text{h.c.} ,$$

$$- \mathcal{L}_{\text{mix}} = \bar{q}'_L \lambda_q \Omega_3^T \Psi_R + \bar{\ell}'_L \lambda_\ell \Omega_1^T \Psi_R + \bar{\Psi}_L (M + \lambda_{15} \Omega_{15}) \Psi_R + \text{h.c.} ,$$

$$\Omega_{15} \sim (15, 1, 1, 0)$$

$$\Psi_L \sim (4, 1, 2, 0)$$

$$\Psi_R \sim (4, 1, 2, 0)$$

**model 1**  
**model 3**

$$\lambda_{15} \lesssim 2.1$$

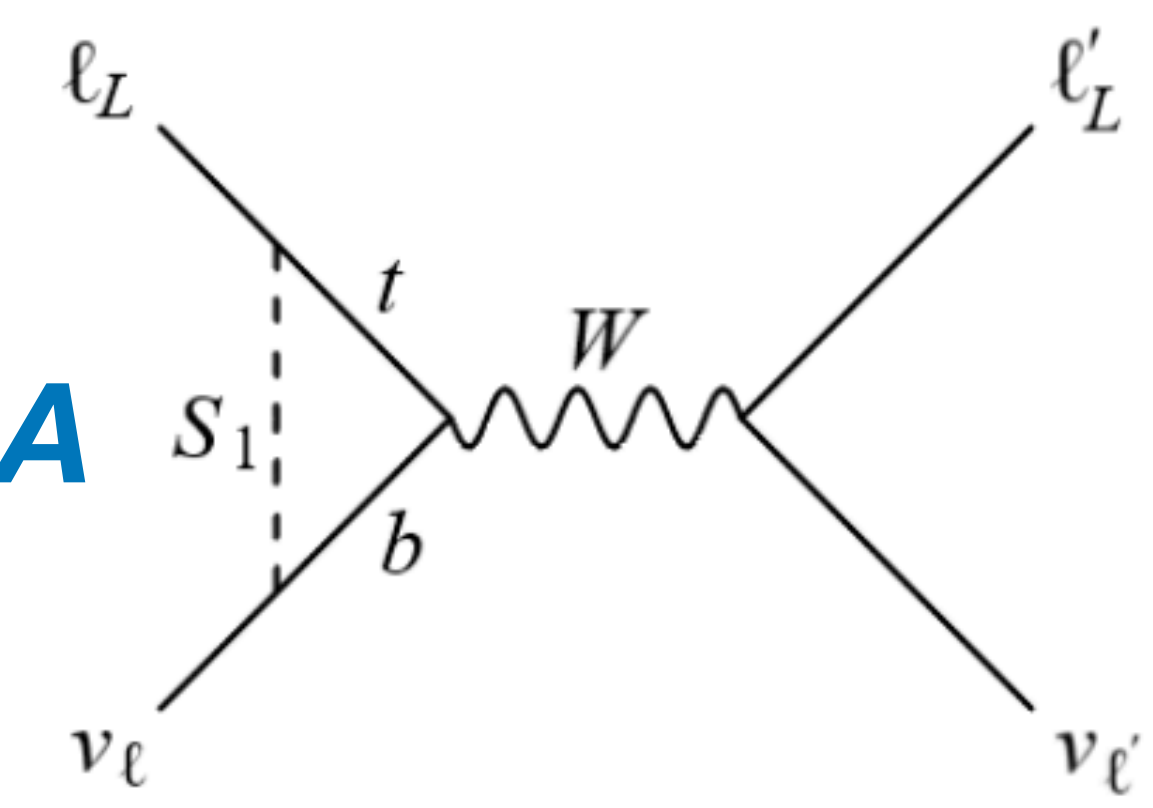
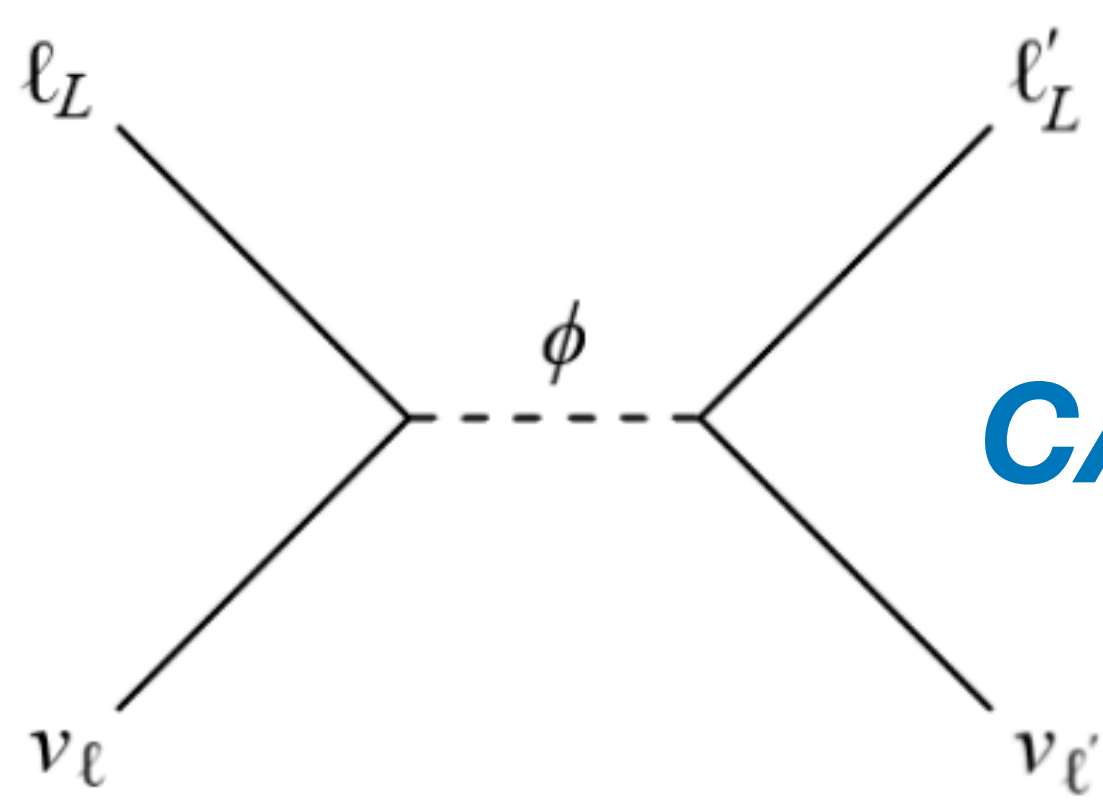
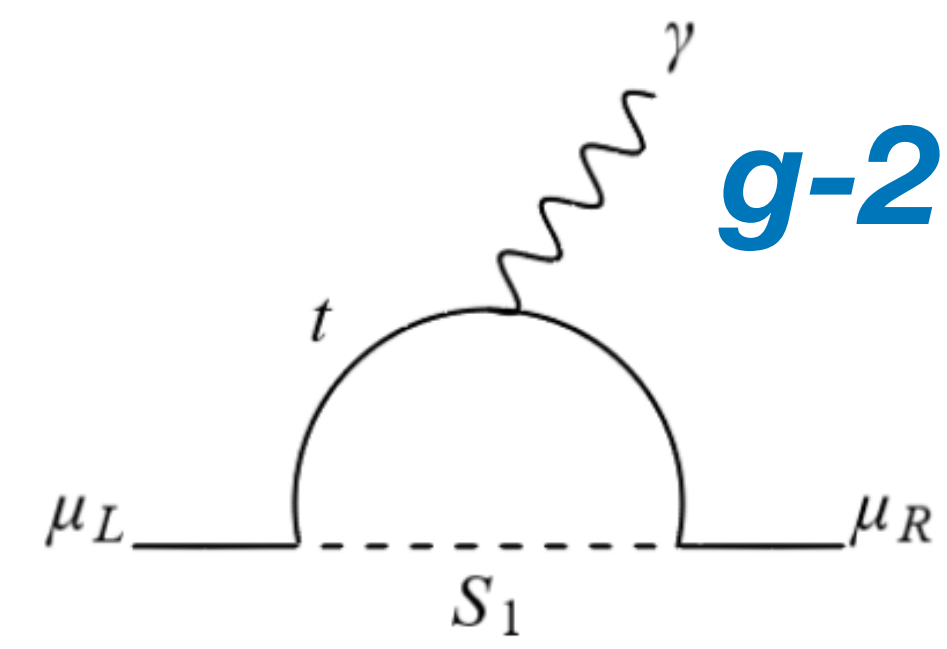
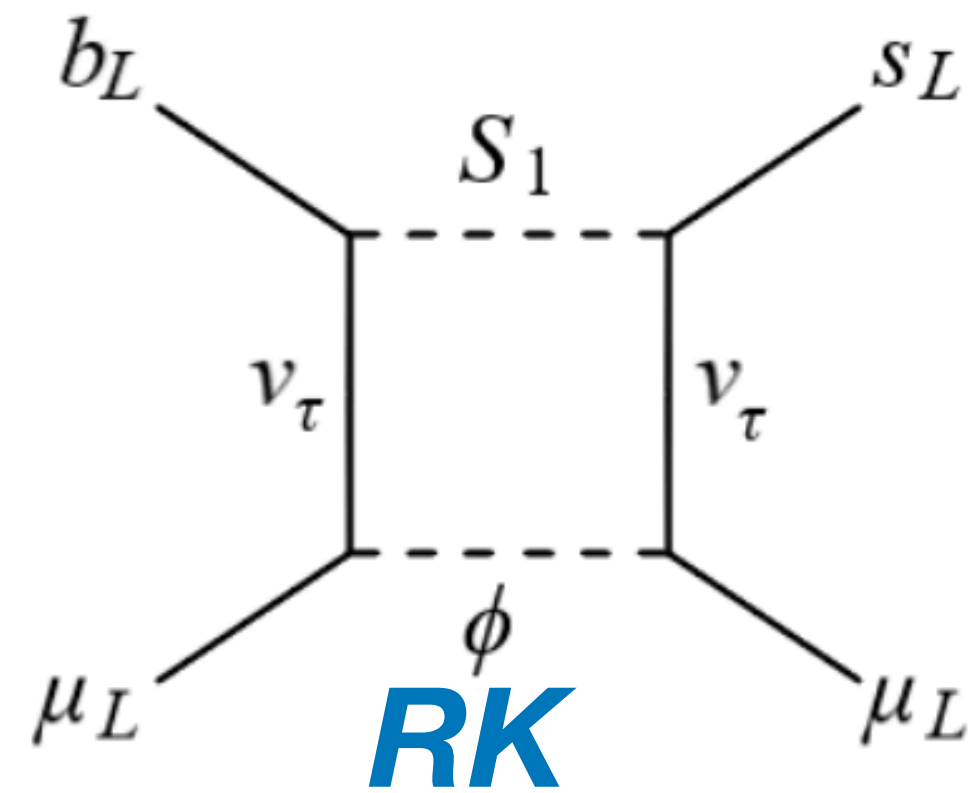
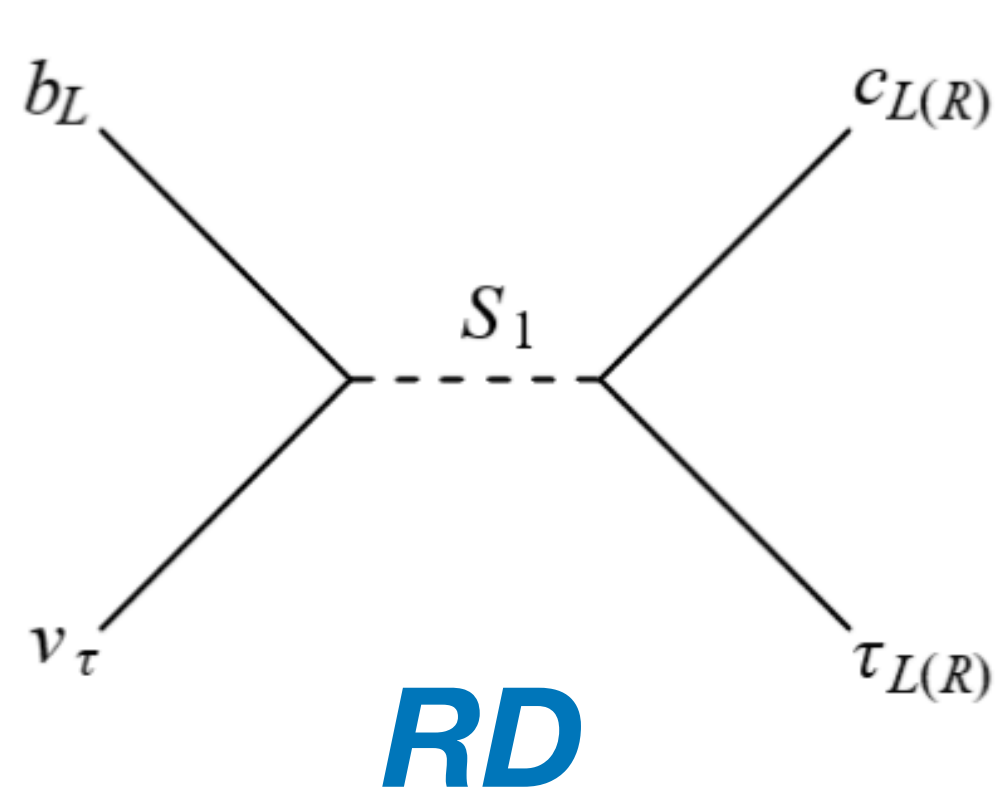
# Leptoquark S1+lepton scalar: RK+RD+g-2+CAA

$$-\mathcal{L} = \frac{1}{2} \lambda_{\alpha\beta}^{\ell} \bar{\ell}_L^{c,\alpha} \varepsilon \ell_L^{\beta} \phi^{+} + \lambda_{i\alpha}^u \bar{u}_R^{c,i} e_R^{\alpha} S_1 + \lambda_{i\alpha}^q \bar{q}_L^{c,i} \varepsilon \ell_L^{\alpha} S_1 + h.c. \quad m_{\phi} = m_{S_1} = 5.5 \text{ TeV}$$

$$\lambda^q = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & \lambda_{s\tau}^q \\ 0 & \lambda_{b\mu}^q & \lambda_{b\tau}^q \end{pmatrix}, \quad \lambda^u = \begin{pmatrix} 0 & 0 & 0 \\ 0 & \lambda_{c\mu}^u & \lambda_{c\tau}^u \\ 0 & 0 & \lambda_{t\tau}^u \end{pmatrix}, \quad \lambda = \begin{pmatrix} 0 & \lambda_{e\mu} & 0 \\ -\lambda_{e\mu} & 0 & \lambda_{\mu\tau} \\ 0 & -\lambda_{\mu\tau} & 0 \end{pmatrix}$$

**8 couplings**

Marzocca, Trifinopoulos  
*Phys.Rev.Lett.* 127 (2021) 6, 2021



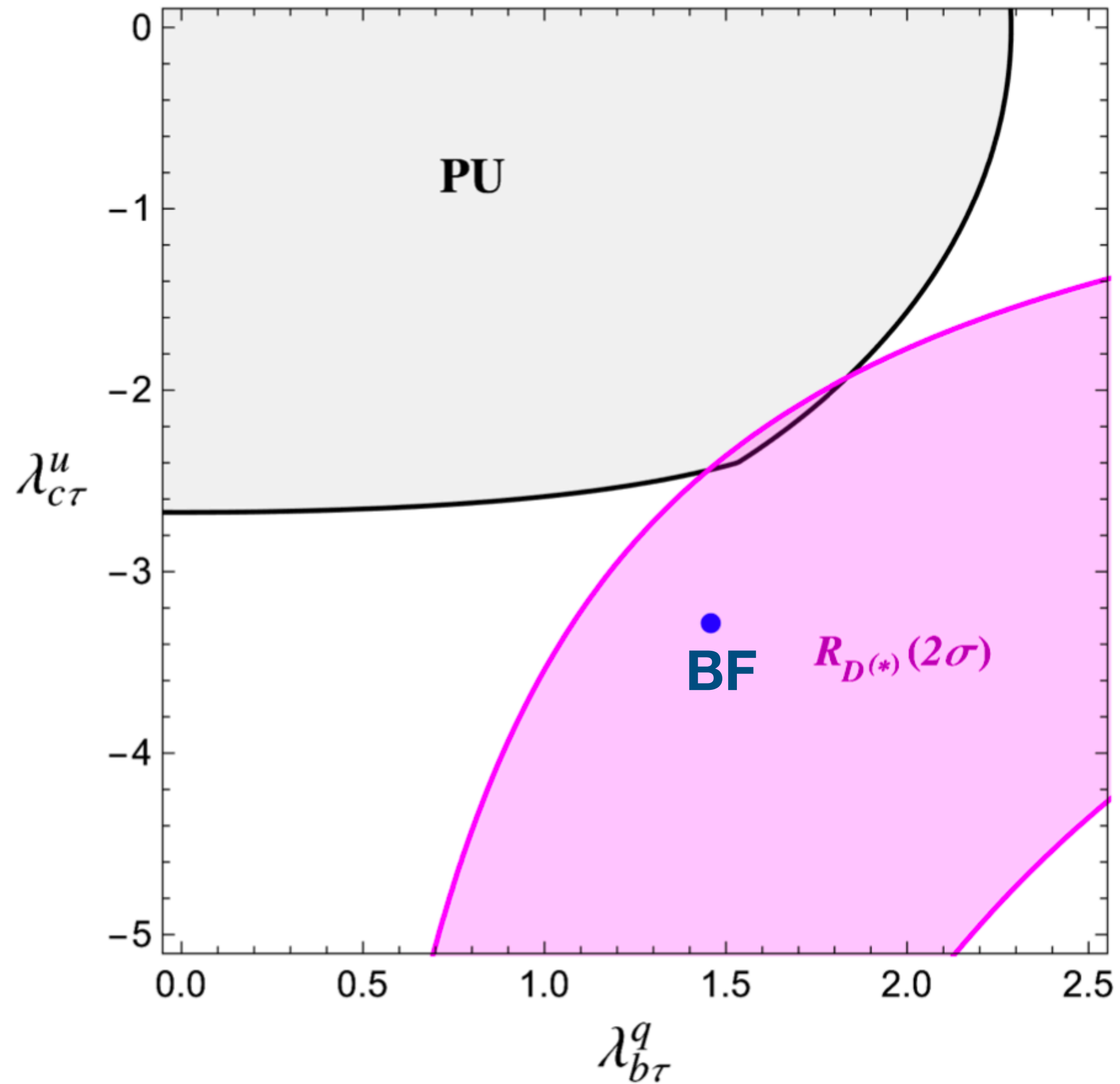
**Best Fit**

$$\lambda_{e\mu} = 1.35, \quad \lambda_{\mu\tau} = 3.17, \quad \lambda_{s\tau}^{1L} = -0.54, \quad \lambda_{b\mu}^{1L} = 2.07$$

$$\lambda_{b\tau}^{1L} = 1.46, \quad \lambda_{c\tau}^{1R} = -3.28, \quad \lambda_{t\mu}^{1R} = 0.01, \quad \lambda_{c\mu}^{1R} = 2.35$$

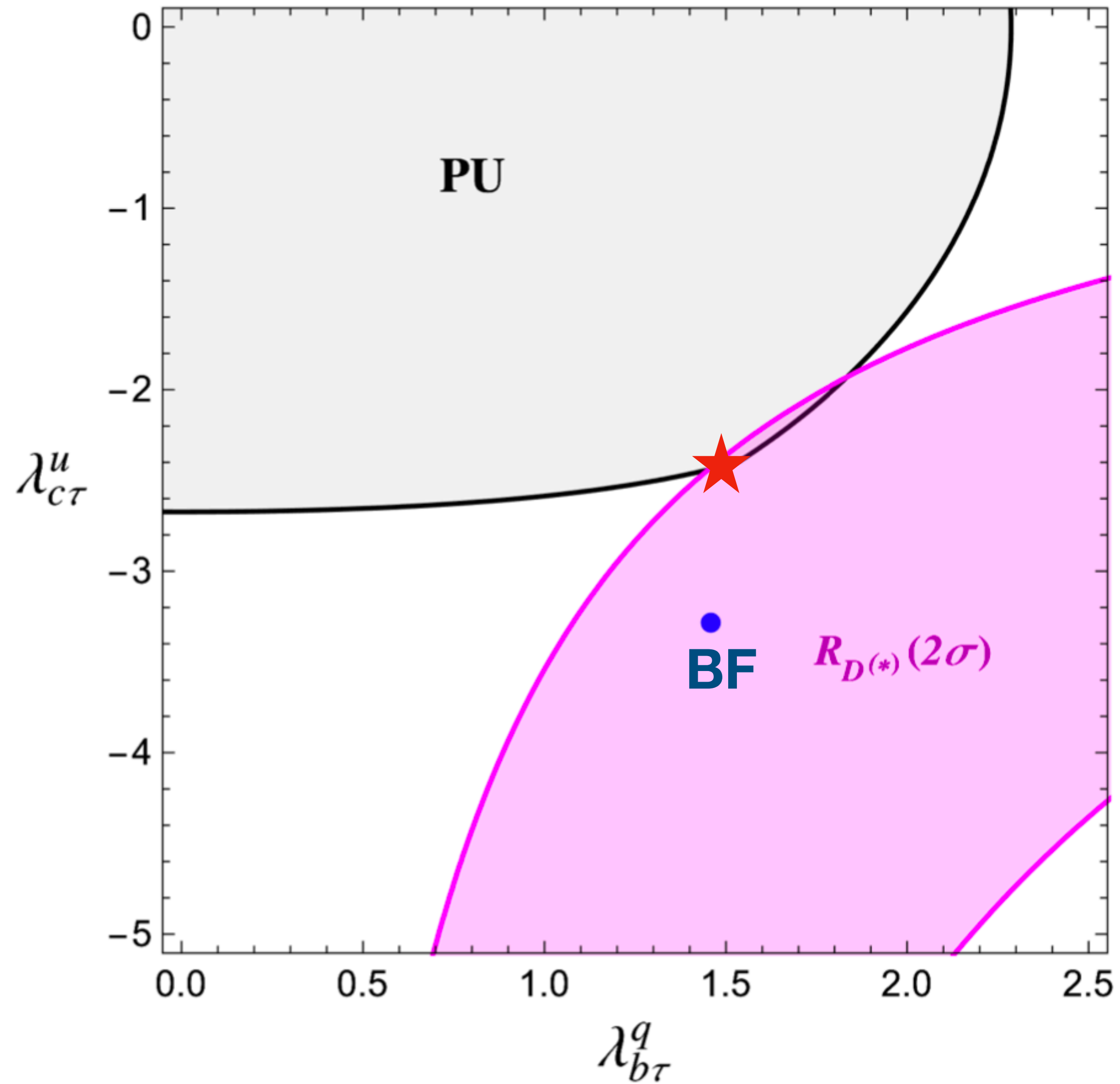
# Leptoquark S1: RK+RD+g-2+CAA

Marzocca, Trifinopoulos  
*Phys.Rev.Lett.* 127 (2021) 6, 2021



# Leptoquark S1: RK+RD+g-2+CAA

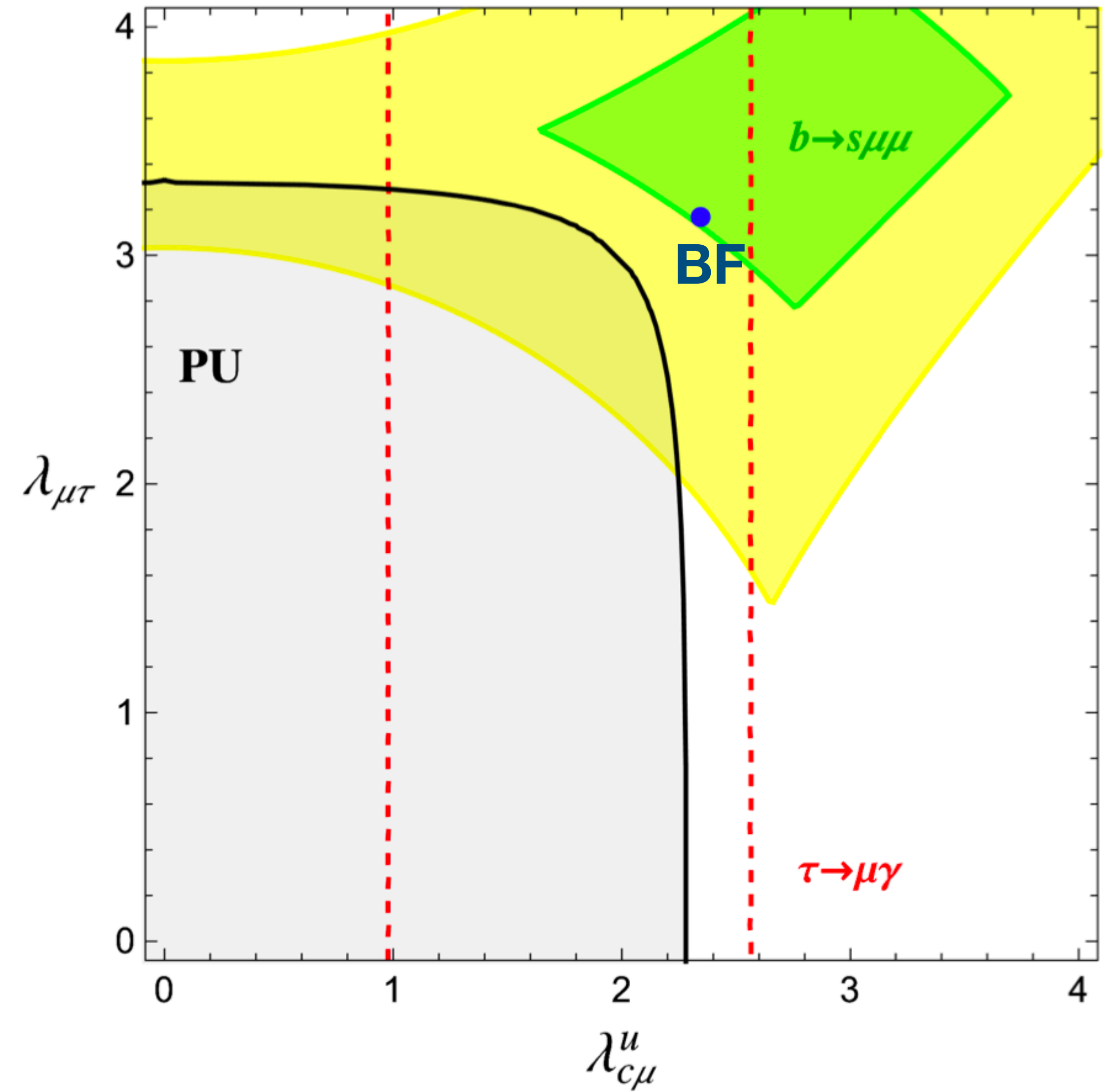
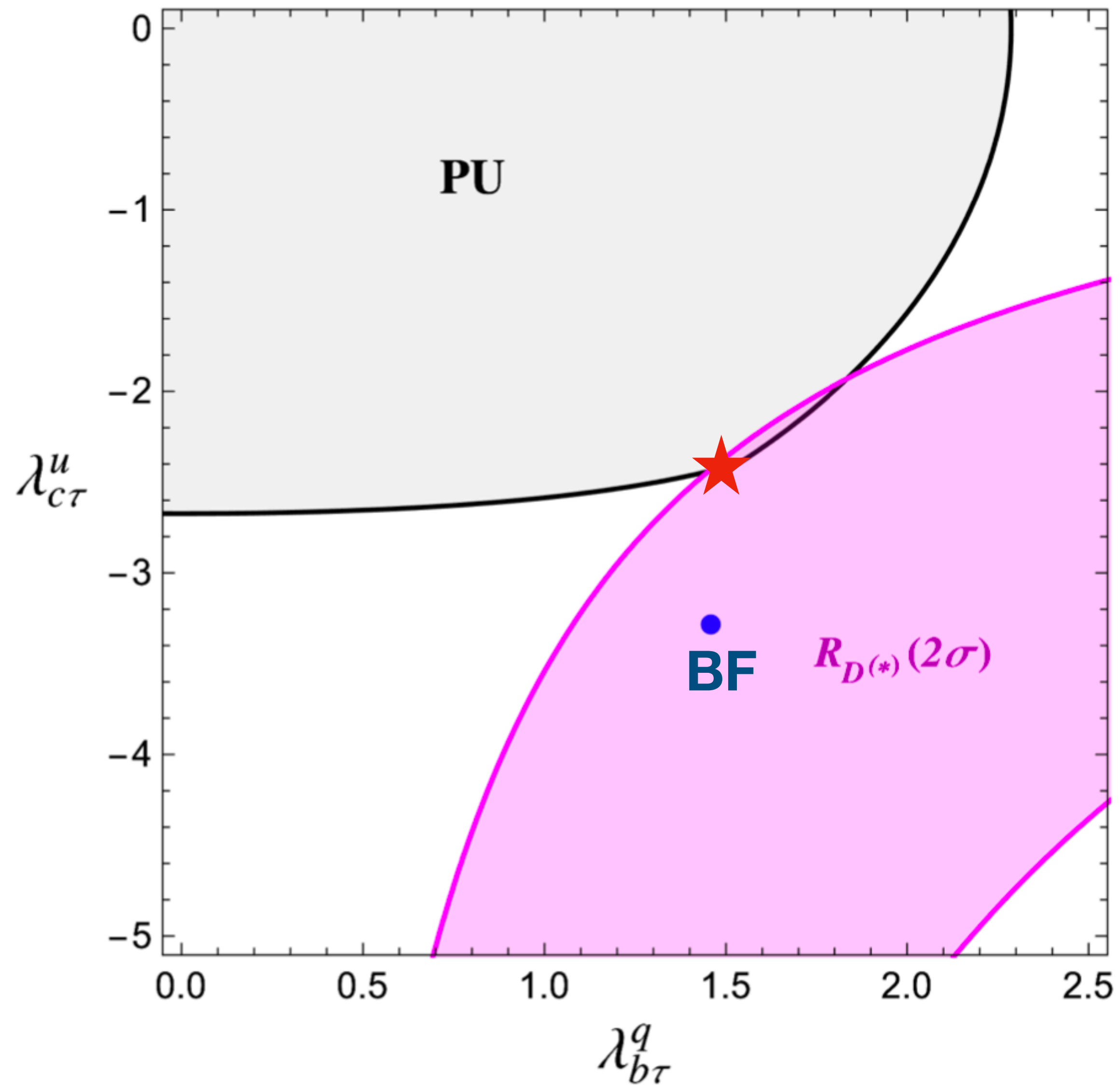
Marzocca, Trifinopoulos  
*Phys.Rev.Lett.* 127 (2021) 6, 2021





# Leptoquark S1: RK+RD+g-2+CAA

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# Summary

We can compute the perturbative unitarity bounds for any model with Yukawa couplings

Some Pheno models are at the edge of perturbativity, PU can give important constraints

Important to work in massive case, for scalar and fermions.  
Combination with the beta function.

Allow for more channels with Scalar Potential or Vector couplings

Gràcies!  
Hvala!