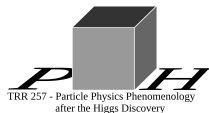


# The $\mathcal{R}(D^{(*)})$ Anomaly and New Physics

Monika Blanke



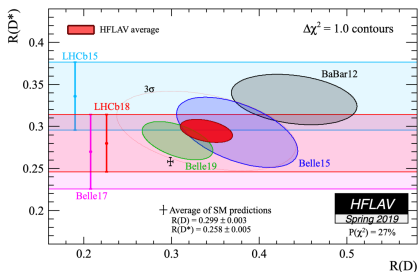
Anomalies and Precision in the Belle II Era  
Mauerbach/Zoom – September 7, 2021

# The $\mathcal{R}(D^{(*)})$ Anomaly

## Test of lepton flavour universality in semi-leptonic $B$ decays

$$\mathcal{R}(D^{(*)}) = \frac{\text{BR}(B \rightarrow D^{(*)} \tau \nu)}{\text{BR}(B \rightarrow D^{(*)} \ell \nu)} \quad (\ell = e, \mu)$$

➤ tension between SM prediction and data for almost 10 years!



- **theoretically clean**, as hadronic uncertainties largely cancel in ratio
- measurements by **BaBar**, **Belle**, and **LHCb** (so far  $\mathcal{R}(D^*)$  only) in good agreement with each other
- LHCb found  $\mathcal{R}(J/\psi)$  to be larger than expected in SM

➤ **3.1 $\sigma$  anomaly** **HFLAV (2019)**

# Effective Hamiltonian for $b \rightarrow c\tau\nu$

New Physics above  $B$  meson scale described model-independently<sup>1</sup> by

$$\mathcal{H}_{\text{eff}}^{\text{NP}} = 2\sqrt{2}G_F V_{cb} \left[ (1 + C_V^L) O_V^L + C_S^R O_S^R + C_S^L O_S^L + C_T O_T \right]$$

with the vector, scalar and tensor operators

$$O_V^L = (\bar{c}\gamma^\mu P_L b) (\bar{\tau}\gamma_\mu P_L \nu_\tau)$$

$$O_S^R = (\bar{c}P_R b) (\bar{\tau}P_L \nu_\tau)$$

$$O_S^L = (\bar{c}P_L b) (\bar{\tau}P_L \nu_\tau)$$

$$O_T = (\bar{c}\sigma^{\mu\nu} P_L b) (\bar{\tau}\sigma_{\mu\nu} P_L \nu_\tau)$$

**Note:**  $(\bar{c}\gamma^\mu P_R b) (\bar{\tau}\gamma_\mu P_L \nu_\tau)$  not generated at dimension-six level in the  $SU(2)_L \times U(1)_Y$ -invariant theory

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<sup>1</sup>assuming heavy/no  $\nu_R$  and NP only in  $\tau$  channel

# Additional Observables

- ratio of baryonic decay rates

$$\mathcal{R}(\Lambda_c) = \frac{\text{BR}(\Lambda_b \rightarrow \Lambda_c \tau \nu)}{\text{BR}(\Lambda_b \rightarrow \Lambda_c \ell \nu)} \quad (\ell = e, \mu)$$

- longitudinal  $D^*$  polarisation

$$F_L(D^*) = \frac{\Gamma(B \rightarrow D_L^* \tau \nu)}{\Gamma(B \rightarrow D^* \tau \nu)} \quad \begin{array}{l} \text{Belle : } 0.60 \pm 0.08 \pm 0.035 \\ \text{SM : } 0.46 \pm 0.04 \end{array}$$

- $\tau$  polarisation asymmetries

$$P_\tau(D^{(*)}) = \frac{\Gamma(B \rightarrow D^{(*)} \tau^{\lambda=+1/2} \nu) - \Gamma(B \rightarrow D^{(*)} \tau^{\lambda=-1/2} \nu)}{\Gamma(B \rightarrow D^{(*)} \tau \nu)}$$

- $\text{BR}(B_c \rightarrow \tau \nu)$  – particularly sensitive to scalar contributions

## A Closer Look at $B_c \rightarrow \tau \nu$

- no direct experimental bound on  $\text{BR}(B_c \rightarrow \tau \nu)$
- constraints advocated in the literature

AKERROYD, CHEN (2017)

➤ **searches for  $B_{u,c} \rightarrow \tau \nu$  at LEP1:**  $\text{BR}(B_c \rightarrow \tau \nu) < 10\%$

caveats of theory interpretation

- relies crucially on ratio of  $b \rightarrow B_c$  vs.  $b \rightarrow B_u$  fragmentation functions
- Tevatron and LHC determinations of  $f_c/f_u$  not applicable to LEP (hadron collisions vs.  $Z$  peak observables)
- indeed NRQCD yields smaller  $f_c$  value

ZHENG ET AL. (2017), (2019)

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ALONSO, GRINSTEIN, MARTIN CAMALICH (2016)

➤ measured total  $B_c$  lifetime:  $\text{BR}(B_c \rightarrow \tau \nu) < 30\%$

caveats of  $\tau_{B_c}$  theory prediction

BENEKE, BUCHALLA (1996)

- large  $m_c$  dependence (LO QCD calculation,  $1.4 \text{ GeV} < m_c < 1.6 \text{ GeV}$ )
- based on heavy quark expansion and non-rel. QCD, but  $B_c$  decays dominantly through charm decay

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### Our critical assessment (2018/2019)

- more refined studies needed
- conservative (agnostic) bound:  $\text{BR}(B_c \rightarrow \tau \nu) \lesssim 60\%$

MB, CRIVELLIN, DE BOER, KITAHARA, MOSCATI, NIERSTE, NIŠANDŽIĆ (2018), (2019)

# Recent News on the $B_c$ Lifetime

- **updated SM prediction using OPE** AEBISCHER, GRINSTEIN (2021-I)

large uncertainties and significant scheme dependence

$$\Gamma_{B_c}^{\overline{\text{MS}}} = (1.51 \pm 0.38 |^{\mu} \pm 0.08|^{\text{n.p.}} \pm \dots) \text{ps}^{-1}$$

$$\Gamma_{B_c}^{\text{meson}} = (1.70 \pm 0.24 |^{\mu} \pm 0.20|^{\text{n.p.}} \pm \dots) \text{ps}^{-1}$$

$$\Gamma_{B_c}^{\text{Upsilon}} = (2.40 \pm 0.19 |^{\mu} \pm 0.21|^{\text{n.p.}} \pm \dots) \text{ps}^{-1}$$

➤ no clear-cut conclusion on size of NP effects in  $\Gamma_{B_c}$  possible

- **determination from  $B$ ,  $D$  decay rates** AEBISCHER, GRINSTEIN (2021-II)

based on quark-hadron duality

$$\Gamma_{B_c} \sim (3.0 \pm 0.5) \text{ps}^{-1}$$

➤ significantly larger than  $\Gamma_{B_c}^{\text{exp}} = 1.961(35) \text{ps}^{-1}$

➤ underestimated uncertainties? failure of quark-hadron duality?

➤ would require *destructive* NP interference



# Possible Single-Particle Explanations

## New Physics fit scenarios

MB, CRIVELLIN, KITAHARA, MOSCATI, NIERSTE, NIŠANDŽIĆ (2019)

$C_V^L$  vector  $SU(2)_L$ -triplet  $W'$

$(C_V^L, C_S^L = -4C_T)$   $SU(2)_L$ -singlet scalar leptoquark (LQ)

$(C_V^L, C_S^R)$   $SU(2)_L$ -singlet vector LQ

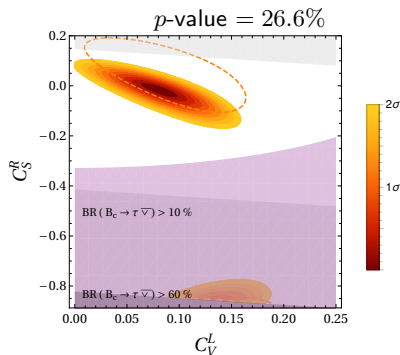
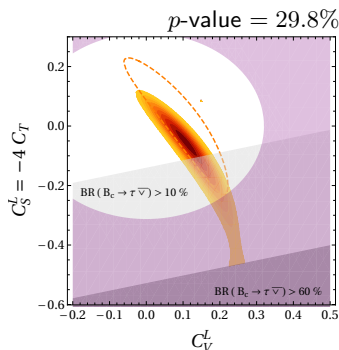
$(C_S^R, C_S^L)$  charged Higgs

$(\text{Re}[C_S^L = 4C_T], \text{Im}[C_S^L = 4C_T])$  scalar  $SU(2)_L$ -doublet LQ with CP-violating couplings

see also AEBISCHER ET AL (2019); MURGUI ET AL (2019); SHI ET AL (2019)...

# Two-Dimensional Fit Results (I)

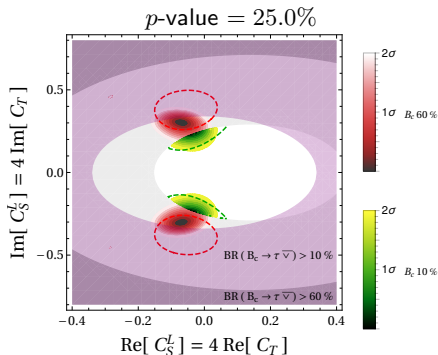
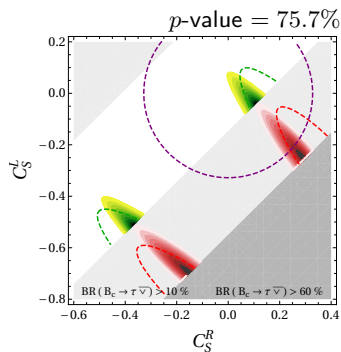
MB, CRIVELLIN, KITAHARA, MOSCATI, NIERSTE, NIŠANDŽIĆ (2019)



- good fit for both  $(C_V^L, C_S^L = -4C_T)$  and  $(C_V^L, C_S^R)$
- consistent with only  $C_V^L \neq 0$  ( $W'$  scenario; challenged by EWP tests)
- small impact of  $BR(B_c \rightarrow \tau \nu)$  and **LHC mono- $\tau$**  constraints

# Two-Dimensional Fit Results (II)

MB, CRIVELLIN, KITAHARA, MOSCATI, NIERSTE, NIŠANDŽIĆ (2019)

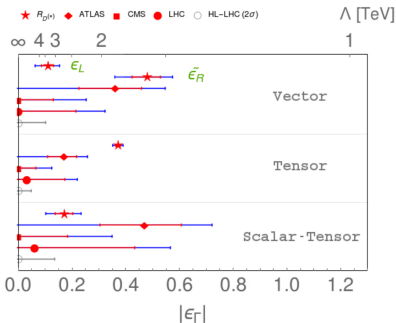
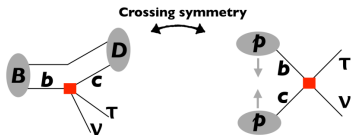


- very good fit for  $(C_S^R, C_S^L)$ , but implies large  $BR(B_c \rightarrow \tau \nu)$
- decent fit for  $(C_S^L = 4C_T)$ , unless  $BR(B_c \rightarrow \tau \nu) < 10\%$  is imposed
- soon to be probed by LHC searches with  $\tau$ 's

# LHC Mono- $\tau$ Searches

GRELJO, MARTIN CAMALICH, RUIZ-ALVAREZ (2018)

- **crossing symmetry** relates  $b \rightarrow c\tau\nu$  to  $pp \rightarrow X\tau\nu$
- **high- $p_T$  tails** constrain EFT operators (as opposed to resonance searches)



➤ LHC has become **competitive** in testing the  $b \rightarrow c\tau\nu$  anomaly

- pure tensor (two LQs) and RH neutrino solutions disfavoured
- HL-LHC will probe *all* possible NP explanations of anomaly

# The $\mathcal{R}(\Lambda_c)$ Sum Rule

MB, CRIVELLIN, DE BOER, KITAHARA, MOSCATI, NIERSTE, NIŠANDŽIĆ (2018), (2019)

**Approximate sum rule relating  $\mathcal{R}(D^{(*)})$  and  $\mathcal{R}(\Lambda_c)$**

$$\frac{\mathcal{R}(\Lambda_c)}{\mathcal{R}_{\text{SM}}(\Lambda_c)} \simeq 0.262 \frac{\mathcal{R}(D)}{\mathcal{R}_{\text{SM}}(D)} + 0.738 \frac{\mathcal{R}(D^*)}{\mathcal{R}^{\text{SM}}(D^*)}$$

- enhancement of  $\mathcal{R}(D^{(*)})$  implies  $\mathcal{R}(\Lambda_c) > \mathcal{R}_{\text{SM}}(\Lambda_c) = 0.33 \pm 0.01$
- consistent with expectation from **heavy-quark symmetry**

**Model-independent prediction<sup>2</sup> from current  $\mathcal{R}(D^{(*)})$  data:**

$$\mathcal{R}(\Lambda_c) = 0.38 \pm 0.01_{\mathcal{R}(D^{(*)})} \pm 0.01_{\text{form factors}}$$

➤ **experimental consistency check of  $\mathcal{R}(D^{(*)})$  anomaly**

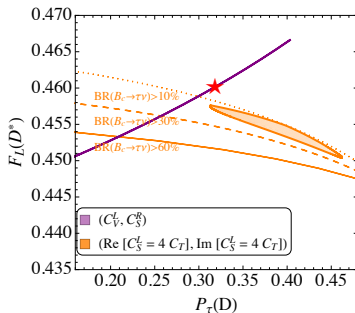
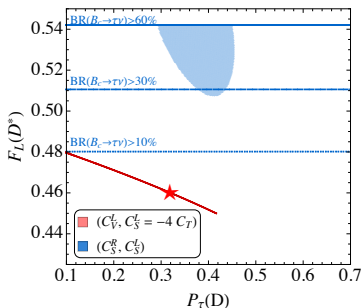
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<sup>2</sup>even in the presence of light  $\nu_R$

# Correlations between Polarization Observables (I)

MB, CRIVELLIN, KITAHARA, MOSCATI, NIERSTE, NIŠANDŽIĆ(2019)

## Disentangling between different NP scenarios



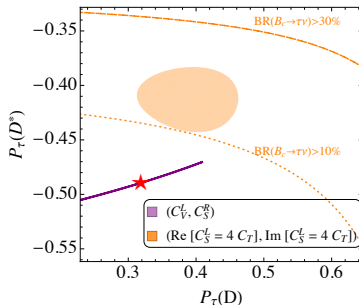
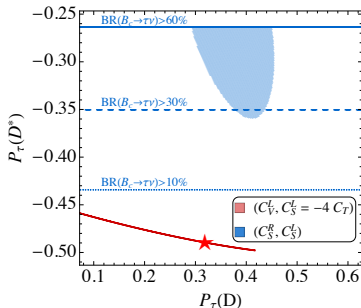
- different pattern of effects in **polarization observables**
- only  $(C_S^R, C_S^L)$  scenario can enhance  $F_L(D^*)$  into  $1\sigma$  exp. region

$$F_L(D^*)_{\text{Belle}} = 0.60 \pm 0.08 \pm 0.035$$

# Correlations between Polarization Observables (II)

MB, CRIVELLIN, KITAHARA, MOSCATI, NIERSTE, NIŠANDŽIĆ(2019)

## Disentangling between different NP scenarios



• remaining ambiguity can be resolved by inclusion of  $P_\tau(D^*)$

➤ more precise measurements and form-factor predictions needed!

for full  $B \rightarrow D^* \tau \nu$  angular analysis, see BECIREVIC ET AL. (2019)

# Complementary Constraints

**Implied by  $SU(2)_L$  symmetry** (dep. on operator structure)

- large impact on  $B \rightarrow K^{(*)} \nu \bar{\nu}$ ,  $B_s \rightarrow \tau^+ \tau^-$ ,  $B \rightarrow K \tau^+ \tau^-$

CRIVELLIN, MÜLLER, OTA (2017)

- contributions to  $\Upsilon \rightarrow \tau^+ \tau^-$  and  $\psi \rightarrow \tau^+ \tau^-$

ALONI ET AL. (2017)

**Complementary probes in high- $p_T$  searches**

- stringent **limits from direct searches** for  $W'/Z'$  and leptoquarks
- strong constraints from **mono- $\tau$**  and  $\tau^+ \tau^-$  searches at LHC

FAROUGHY, GRELJO, KAMENIK (2016); ALTMANNSHOFER, DEV, SONI (2017)

GRELJO, MARTIN CAMALICH, RUIZ-ALVAREZ (2018)

➤ **full NP resolution of  $\mathcal{R}(D^{(*)})$  anomaly challenging**



# Summary

## $\mathcal{R}(D^{(*)})$ anomaly approaching its 10th birthday

- anomaly **persists at  $3\sigma$  level**, central values shifted towards SM
- experimental consistency check by **sum rule prediction**  
 $\mathcal{R}(\Lambda_c) = 0.38 \pm 0.01$
- **possible NP origins** – new tree-level contributions
  - $W'$  gauge boson
  - charged Higgs
  - scalar or vector leptoquark
- model-discriminating **complementary constraints**
  - polarization observables
  - $SU(2)_L$ -related decays
  - high- $p_T$  LHC data

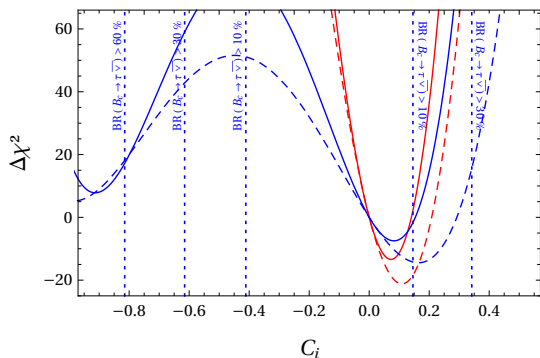
➤ **challenging for many concrete NP models**

# Backup slides

## Few Technical Remarks on our Fit

- assume NP only in  $\tau$  channel –  $e$  and  $\mu$  channels are SM like
- no light right-handed neutrinos
- fit includes  $\mathcal{R}(D)$ ,  $\mathcal{R}(D^*)$ ,  $P_\tau(D^*)$ ,  $F_L(D^*)$
- fit uses central values of form factors
  - $B \rightarrow D$  vector and scalar form factors from FLAG WORKING GROUP
  - $B \rightarrow D^*$ :  $V$ ,  $A_1$ ,  $A_2$  fit results from HFLAV  
 $A_0$  from BERNLOCHNER ET AL (2017)
  - tensor form factors from BERNLOCHNER ET AL (2017)
  - full set of baryonic  $\Lambda_b \rightarrow \Lambda_c$  form factors from DETMOLD ET AL. (2015);  
DATTA ET AL. (2017)
- values of Wilson coefficients correspond to scale  $\mu = 1 \text{ TeV}$

# One-Dimensional Fit Results

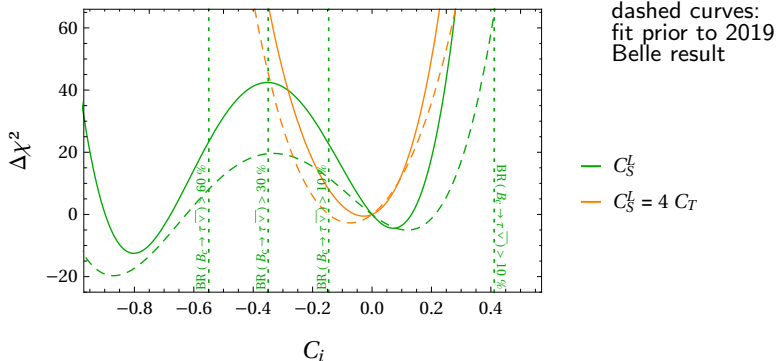


dashed curves:  
fit prior to 2019  
Belle result

—  $C_V^L$   
—  $C_S^R$

- best fit for  $C_V^L \sim 0.07$
- noticeable improvement also for  $C_S^R \sim 0.09$

# One-Dimensional Fit Results



- best fit for  $C_V^L \sim 0.07$
- noticeable improvement also for  $C_S^R \sim 0.09$
- large impact of  $\text{BR}(B_c \rightarrow \tau \nu)$  on  $C_S^L$  scenario
- no relevant improvement for  $C_S^L = 4 C_T$  with real Wilson coefficients

# Correlations between Polarization Observables (III)

$P_\tau(D^*)$  vs.  $F_L(D^*)$

