

# Lattice QCD results for the heavy quark diffusion coefficient

## How fast do heavy quarks thermalize in the QGP?

### 1. Precise calculation in quenched QCD at $1.5 T_c$ [10.1103/PhysRevD.103.014511](https://arxiv.org/abs/10.1103/PhysRevD.103.014511) (2021)

Bielefeld U.: Altenkort, Kaczmarek, Mazur, Shu  
TU Darmstadt: Eller, Moore

### 2. First impressions from $2 + 1$ flavor QCD

Bielefeld U.: Altenkort, Kaczmarek, Shu  
Brookhaven NL: Petreczky, Mukherjee  
U. of Stavanger: Larsen  
(HotQCD collaboration)

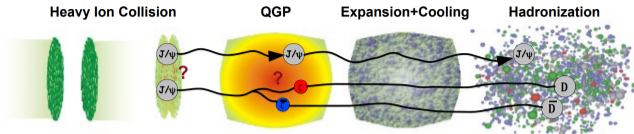


Figure: Steffen Bass,  
mod. by O. Kaczmarek

### What does heavy quark diffusion tell us about the QGP?

- Hydrodynamics  $\Rightarrow$  kinetic equilibration time  $\tau_{\text{kin}}^{\text{heavy}} \simeq \frac{M}{T} \tau_{\text{kin}}^{\text{light}}$  where  $\tau_{\text{kin}}^{\text{light}} \approx \frac{1}{T}$
- But: significant collective motion ( $v_2$ )!  $\Rightarrow \tau_{\text{kin}}^{\text{heavy}} \stackrel{?}{\approx} \frac{1}{T} \Rightarrow \tau_{\text{kin}}^{\text{light}} \stackrel{?}{\ll} \frac{1}{T}$
- Knowledge of  $\tau_{\text{kin}}^{\text{heavy}}$  essential to understand collisional energy loss and explain exp. data
- Crucial input for quarkonium production models

### Can we calculate $\tau_{\text{kin}}^{\text{heavy}}$ from first principles?

- Consider non-relativistic limit  $M \gg T$   
 $\rightarrow$  Langevin dynamics  $\ell$  Moore, Teaney (2005)

$$(\tau_{\text{kin}}^{\text{heavy}})^{-1} = \frac{\kappa}{2MT}$$

$$D = 2T^2/\kappa$$

- **Problem:**  
 perturbative series for  $D$  or  $\kappa$  ill-behaved!

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- $\Rightarrow$  **nonperturbative first-principles** approach:  
**lattice QCD**

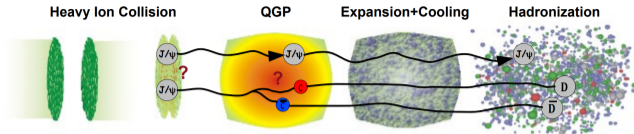


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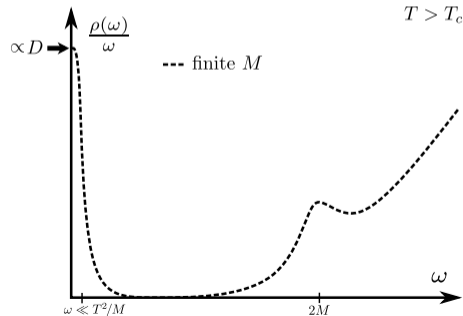
## How to calculate diffusion coefficients from the lattice? ⌘ Petreczky, Teaney (2005) ⌘ Caron-Huot, Laine, Moore (2009)

- Linear response theory: diffusion physics  $\Leftrightarrow$  low-energy **in-equilibrium spectral functions (SPF)**

- SPF of HQ vector current: 
$$\rho^{ii}(\omega) = \int_{-\infty}^{\infty} dt e^{i\omega t} \int d^3\mathbf{x} \left\langle \frac{1}{2} [\hat{\mathcal{J}}^i(\mathbf{x}, t), \hat{\mathcal{J}}^i(\mathbf{0}, 0)] \right\rangle \Rightarrow D \propto \lim_{\omega \rightarrow 0} \frac{\rho^{ii}(\omega)}{\omega}$$

- reconstruct from **Euclidean correlation functions:**

$$G(\tau) = \int_0^{\infty} d\omega \rho(\omega) \frac{\cosh(\omega(\tau - \frac{\beta}{2}))}{\sinh(\omega \frac{\beta}{2})}$$



- fluct.-dissipation: consider Kubo-formula for **momentum diffusion coeff.  $\kappa$**  instead of  $D$
- utilize **HQET**: HQ mass  $M \rightarrow \infty$ , expansion in  $1/M$ , replace  $\hat{\mathcal{J}}^i$  with LO versions
- $\Rightarrow$  color-electric two-point function (force-force correlator)  $G(\tau)$  with

$$\kappa = \lim_{\omega \rightarrow 0} 2T \frac{\rho(\omega)}{\omega}$$

- $\Rightarrow$  smooth  $\omega \rightarrow 0$  limit expected: **no transport peak** (much easier to reconstruct)

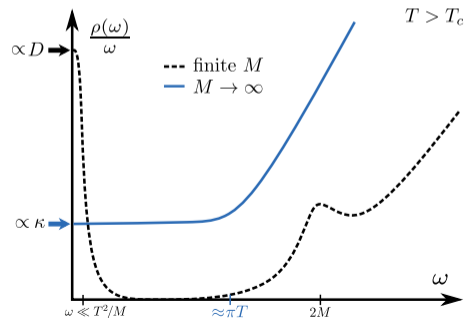
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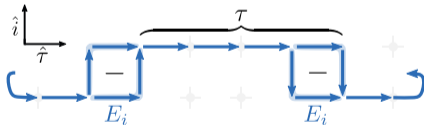
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## Gluonic color-electric correlator ⌘ Caron-Huot, Laine, Moore (2009)

$$G(\tau) \equiv \frac{1}{3} \sum_{i=1}^3 \frac{-\langle \text{Re tr } U(\beta, \tau) gE_i(\tau) U(\tau, 0) gE_i(0) \rangle}{\langle \text{Re tr } U(\beta, 0) \rangle}$$

$$= \int_0^\infty d\omega \underbrace{\rho(\omega) \frac{\cosh(\omega(\tau - \frac{\beta}{2}))}{\sinh(\omega \frac{\beta}{2})}}_{K(\omega, \tau)}, \quad \kappa = \lim_{\omega \rightarrow 0} 2T \frac{\rho(\omega)}{\omega}$$

- Leading order, small  $\tau$ :  $G(\tau) \propto \tau^{-4}$
- Lattice discretization:



### The drawback of the $M \rightarrow \infty$ limit

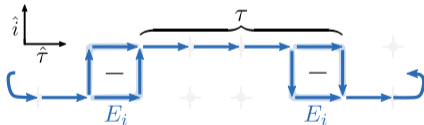
- $G(\tau)$  is purely gluonic
  - ⇒ UV gauge fluctuations dominate for large  $\tau$
- $K(\omega, \tau)$ : large  $\tau$  are most sensitive to  $\omega \rightarrow 0$ 
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### Solution to gauge noise problem: gradient flow ✍ Lüscher 2010

- applicable to nonlocal actions (e.g. 2+1 flavor QCD)
- introduces extra dimension: “flow time”  $\tau_F$
- evolves gauge fields  $A_\mu(x)$  towards minimum of action  $S_G$

$$A_\mu(x, \tau_F=0) = A_\mu(x)$$

$$\frac{dA_\mu(x, \tau_F)}{d\tau_F} \sim \frac{-\delta S_G[A_\mu]}{\delta A_\mu(x, \tau_F)}$$

### Flow = smooth regulator:

- suppression of high-momentum modes in gluon prop.
- $A_\mu^{\text{LO}}$ : average over Gaussian, width  $\simeq \sqrt{8\tau_F}$  “flow radius”

$$A_\mu^{\text{LO}}(x, \tau_F) = \int dy \left( \sqrt{2\pi} \sqrt{8\tau_F} / 2 \right)^{-4} \exp\left( \frac{-(x-y)^2}{\sqrt{8\tau_F}^2 / 2} \right) A_\mu(y)$$

### On the lattice:

- links replaced by well-defined local averages  $\Rightarrow$  noise suppression
- suppression of renormalization artifacts
- ...but contact terms contaminate  $G(\tau)$  for  $\sqrt{8\tau_F} \gtrsim \tau/3$  (LO pert. theory ✍ Eller, Moore 2018)

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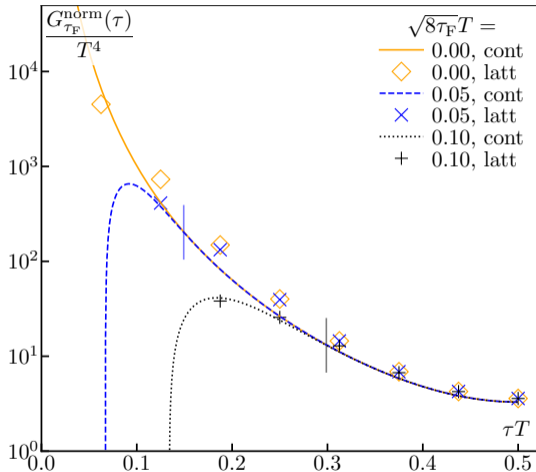
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## LO perturbative $EE$ correlator (Wilson flow)



continuum corr. from [Eller, Moore 2018](#),  
 lattice corr. from [Eller, Moore, LA et al. 2021](#)

### Flow limit = lower bound for $\tau$

- cont. correlator deviates  $< 1\%$  for  $\tau \gtrsim 3\sqrt{8\tau_F}$   
(vertical lines)

### Use to enhance nonpert. lattice results:

- get rid of log-scale by normalizing to this
- comparison of LO cont. and LO latt. correlators  
 $\Rightarrow$  remove tree-level discretization errors

## Measurement & double-extrapolation

- Measure correlator, integrate flow equation, repeat. . .
- $a \rightarrow 0$  extrapolation at each flow time  $\tau_F$ , then  $\tau_F \rightarrow 0$  at each separation  $\tau$ 
  - for details see backup or [LA et al. 2021](#)

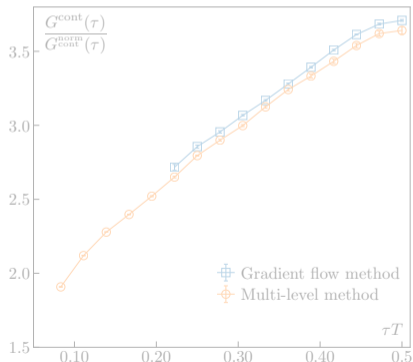
## Result & comparison

- double-extrapolated EE correlator  $\boxplus$
- shape consistent with Multi-level results  $\boxplus$  (only pert. renormalized)
  - [Francis et al. 2015](#), [Christensen, Laine 2016](#)
- Overall shift due to...
  - nonperturbative renormalization
  - better statistics
  - systematic uncertainty introduced by flow extr.
- Note: only large- $\tau$  correlator can be obtained

## Lattice setup (quenched, $1.5T_c$ )

| $N_\sigma^3 \times N_\tau$ | $a$ [fm] |   |
|----------------------------|----------|---|
| $80^3 \times 20$           | 0.0213   | ■ 10000 quenched conf. each                     |
| $96^3 \times 24$           | 0.0176   | ■ well-separated:<br>500 sweeps of (1 HB, 4 OR) |
| $120^3 \times 30$          | 0.0139   | ■ $\mathcal{O}(a^2)$ -improved "Zeuthen flow"   |
| $144^3 \times 36$          | 0.0116   | ■ 3rd-order RK with adaptive stepsize           |

## Renormalized continuum $EE$ correlator (quenched, $1.5T_c$ )



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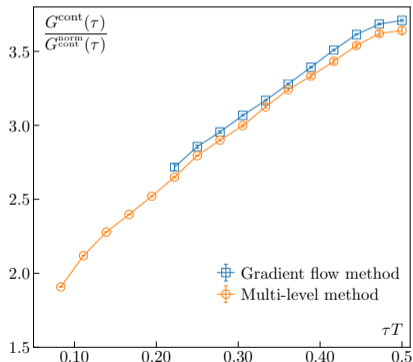
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# Spectral reconstruction through model fits

## Integral inversion problem:

$$\blacksquare G(\tau) = \int_0^\infty d\omega \rho(\omega) K(\omega, \tau), \quad \kappa = \lim_{\omega \rightarrow 0} 2T \frac{\rho(\omega)}{\omega}$$

## Strategy:

- constrain  $\rho(\omega)$  using IR and UV asymptotics:

$$\phi_{\text{IR}}(\omega) \equiv \frac{\kappa}{2T} \omega, \quad \phi_{\text{UV}}(\omega) \equiv \frac{g^2(\bar{\mu}_\omega) C_F}{6\pi} \omega^3, \quad \dots$$

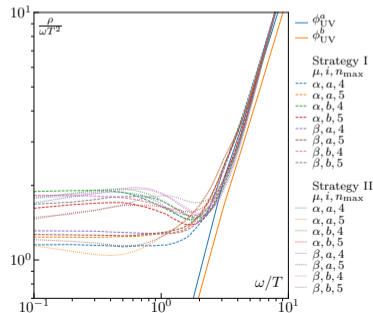
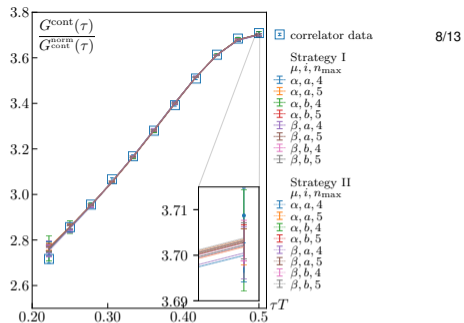
and various **interpolations**  $I(\omega)$ :

$$\Rightarrow \rho_{\text{model}}(\omega) \equiv I(\omega) \sqrt{[\phi_{\text{IR}}(\omega)]^2 + [\phi_{\text{UV}}(\omega)]^2}$$

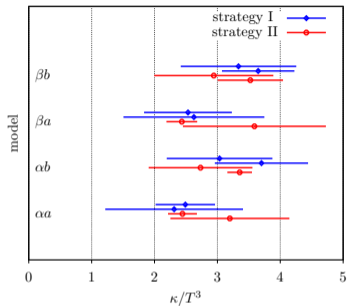
- well-defined fit** with parameters  $\kappa/T^3$  and  $c_n$  via

$$\chi^2 \equiv \sum_{\tau} \left[ \frac{G(\tau) - G_{\text{model}}(\tau)}{\delta G(\tau)} \right]^2$$

- for details see [LA et al. 2021](#)



## HQ momentum diffusion coefficient (quenched, $1.5T_c$ )



■ We find  $\kappa/T^3 = 2.31 \dots 3.70$

■ agrees with previous studies

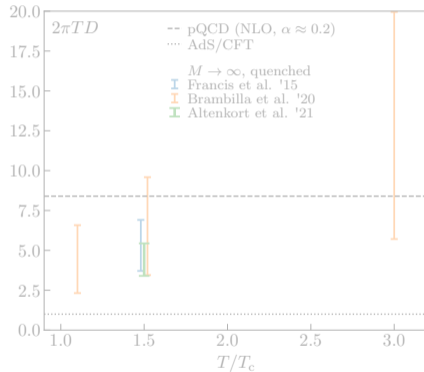
e.g. [Francis et al. 2015](#) (multi-level method + pert. renorm.)

■ convert via  $2\pi TD = \frac{4\pi}{\kappa/T^3}$ :  $2\pi TD = 3.40 \dots 5.44$

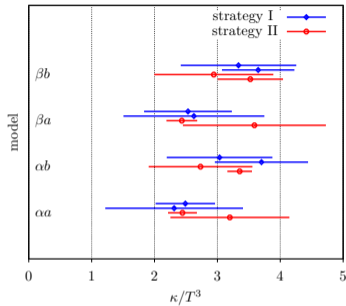
■ kinetic equilibration time:

$$\tau_{\text{kin}} = (1.63 \dots 2.61) \left(\frac{T_c}{T}\right)^2 \left(\frac{M}{1.5\text{GeV}}\right) \text{fm}/c$$

## Comparison to previous studies



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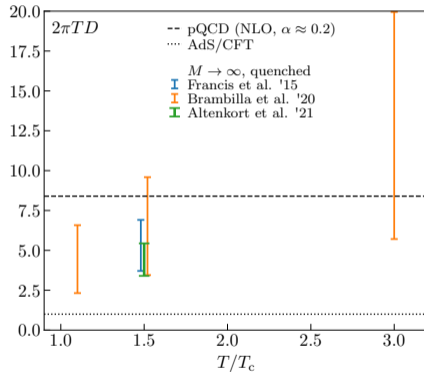
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## Comparison to previous studies



**Lattice setup (in progress)**

- 2 + 1 flavor HISQ fermions
- physical  $m_s$ ,  
with  $m_l = m_s/5$  ( $m_\pi \approx 320$  MeV)

| $T$ [MeV] | $N_\sigma^3 \times N_\tau$ | $a$ [fm] |
|-----------|----------------------------|----------|
| 195       | $96^3 \times 36$           | 0.028    |
|           | $64^3 \times 24$           | 0.042    |
|           | $64^3 \times 20$           | 0.051    |
| 220       | $96^3 \times 32$           | 0.028    |
|           | $64^3 \times 24$           | 0.037    |
|           | $64^3 \times 20$           | 0.045    |
| 251       | $96^3 \times 28$           | 0.028    |
|           | $64^3 \times 24$           | 0.033    |
|           | $64^3 \times 20$           | 0.039    |
| 296       | $96^3 \times 24$           | 0.028    |
|           | $64^3 \times 22$           | 0.031    |
|           | $64^3 \times 20$           | 0.034    |

+ three temp.  $\leq 195$  MeV  
with **physical masses** ( $64^3 \times 24$ )

**Current situation**

- no continuum and flow-time-to-zero extrapolation

**However:**

- long-distance correlator insensitive to finite  $(a, \tau_F)$
- to keep flow corrections small, use  $\tau_F$  relative to each  $\tau$ :

$$\frac{\sqrt{8\tau_F}}{\tau} = \text{const.} < \frac{1}{3}$$

⇒ quenched data suggests that's enough to constrain  $\kappa$ !  
(see backup)

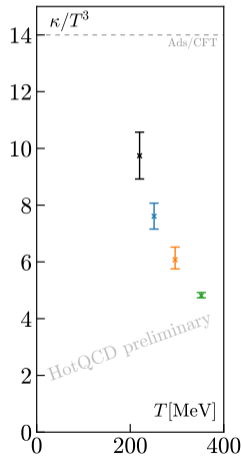
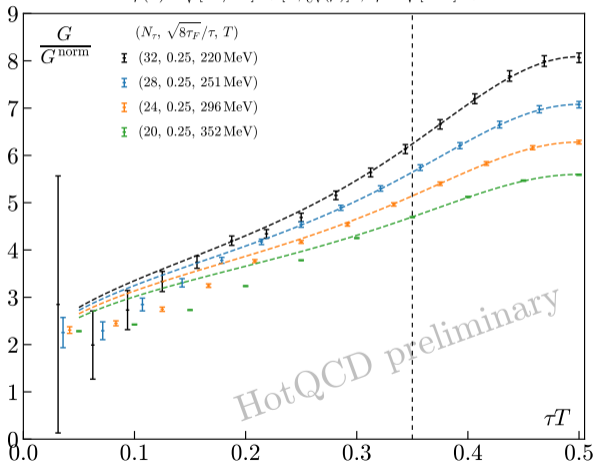
**So, in the meantime:**

- treat finite  $(a, \tau_F)$  as systematic uncertainties
- use quenched data to estimate additional systematic error



■ Fit range:  $\tau T \geq 0.35$  (dashed vertical line)

$$\text{Fit: } \rho(\omega) = \sqrt{[\kappa\omega/2T]^2 + [c\phi_{UV}(\mu)]^2}, \quad \mu = \sqrt{[2\pi T]^2 + \omega^2}$$



### Lattice setup

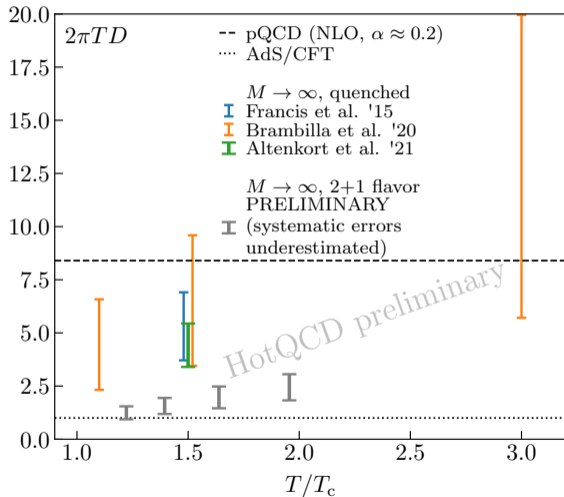
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| 220       | $96^3 \times 32$           | 0.028    | 1700 |
| 251       | $96^3 \times 28$           | 0.028    | 1600 |
| 296       | $96^3 \times 24$           | 0.028    | 1375 |
| 352       | $96^3 \times 20$           | 0.028    | 4600 |

- 2 + 1 flavor HISQ fermions
- $m_l = m_s/5$  (pion mass  $\approx 320$  MeV)

### First impressions

- much larger  $\kappa/T^3$  compared to quenched ( $\sim 2\times$ )
- $\kappa/T^3$  seems to decrease with increasing  $T$

## Comparison of first impressions from 2+1 flavor QCD



- preliminary: systematic errors underestimated (first impressions from a few simple model fits)

- Reminder:  $2\pi TD = \frac{4\pi}{\kappa/T^3} \propto \tau_{\text{kin}} \frac{T^2}{M}$

**What do we want?** ■ a first-principles nonpert. estimate from full QCD for the **HQ momentum diffusion coefficient**  $\kappa$  (or in turn  $D, \tau_{\text{kin}}$ )

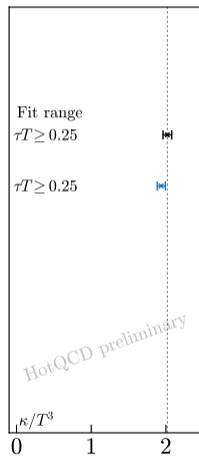
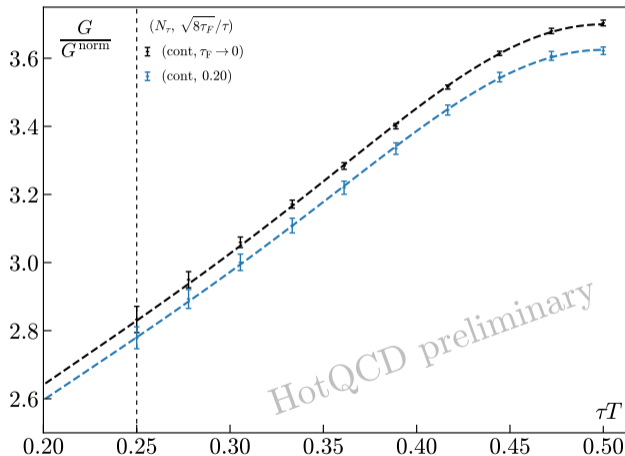
**Why?** ■ phenomenology: explain experimental data for HQ  
■ crucial input for transport simulations

**What did we achieve so far?** ■ quenched QCD  
■ proof-of-concept for gradient flow method [LA et al. 2021](#) with consistent results for  $\kappa$   
■  $(a, \tau_F) \rightarrow 0$  data serves as benchmark for systematics of finite  $(a, \tau_F)$  data  
■ 2+1 flavor QCD  
■ preliminary explorations to constrain  $\kappa$

**What to do next?** ■ 2+1 flavor QCD  
■ increase statistics, add lattice spacings, study quark mass effects  
■ estimate systematic errors  
■ determine finite-mass correction (color-magnetic correlator) [Bouttefeux, Laine 2021](#)

**Backup**

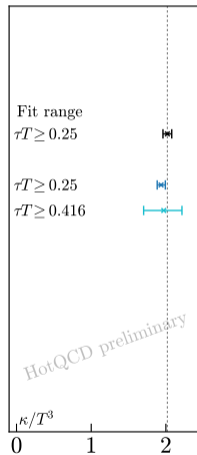
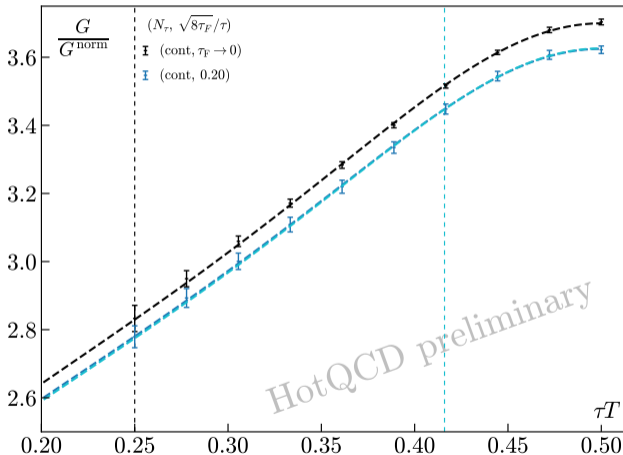
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## Conclusions

- shape of correlator preserved at fixed small  $\sqrt{8\tau_F}/\tau$

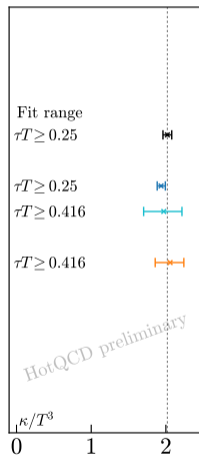
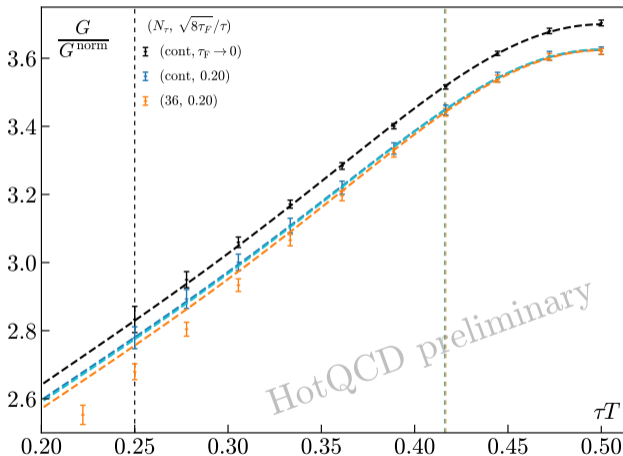
Fit:  $\rho(\omega) = \sqrt{[\kappa\omega/2T]^2 + [c\phi_{UV}(\mu)]^2}$ ,  $\mu = \sqrt{[\pi T]^2 + \omega^2}$



## Conclusions

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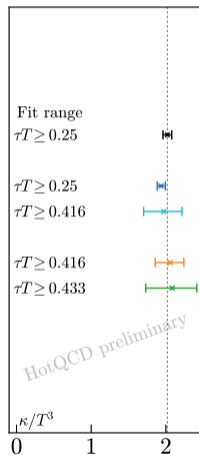
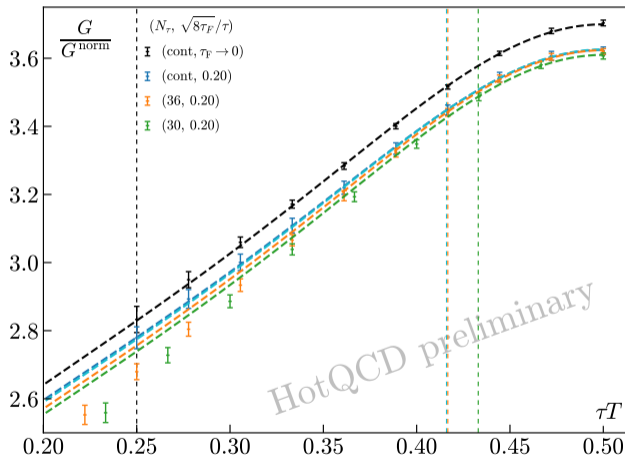
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- for large  $\tau$  also preserved at finite  $a$ !
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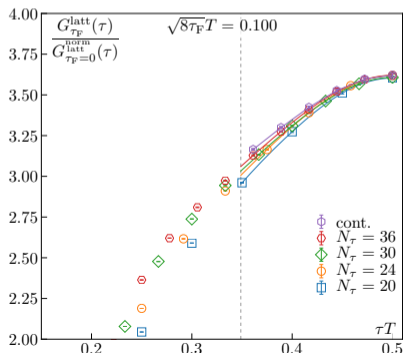
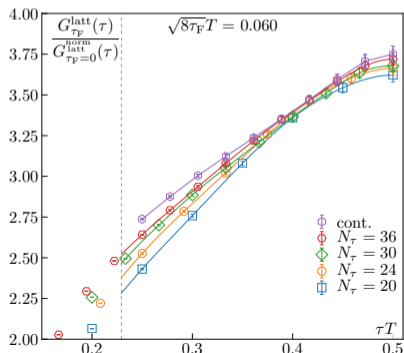


## Lattice setup (quenched, Wilson action, $T \approx 1.5 T_c$ )

| $N_\sigma^3 \times N_\tau$ | $a$ [fm] |
|----------------------------|----------|
| $80^3 \times 20$           | 0.0213   |
| $96^3 \times 24$           | 0.0176   |
| $120^3 \times 30$          | 0.0139   |
| $144^3 \times 36$          | 0.0116   |

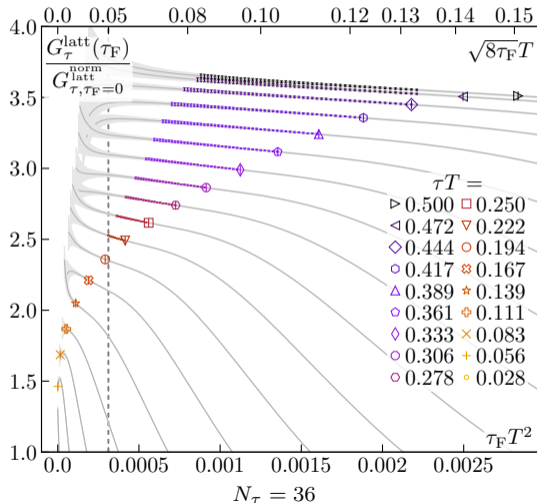
- 10000 quenched conf. each
- well-separated: 500 sweeps of (1 HB, 4 OR)
- $\mathcal{O}(a^2)$ -improved **Zeuthen flow**
- 3rd-order RK with adaptive stepsize

## Nonpert. $EE$ correlator (normalized to pert.)



- dashed lines at  $\tau \approx 3\sqrt{8\tau_F}$
- ➔ more flow = higher precision, but smaller window of noncontaminated data
- interpolation in  $\tau$  through cubic splines (no smoothing)

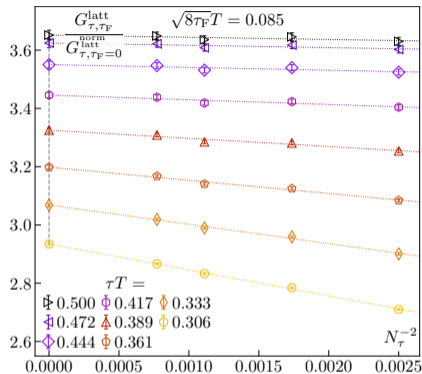
### $EE$ correlator as a function of flow time (quenched, $1.5T_c$ )



- inside extrapolation window: single colorful data points. outside: data points connected via grey lines.
- markers at  $\sqrt{8\tau_F} \approx \tau/3$
- dashed line: minimum flow such that  $\sqrt{8\tau_F} \gtrsim a$  for our coarsest lattice
- small  $\tau_F$ : strong flow dependence (suppress noise and renorm. artifacts)
- intermediate  $\tau_F$ : minor dependence (for large  $\tau$ )

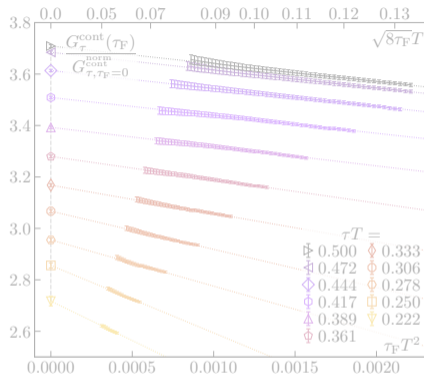
(Quenched,  $1.5T_c$ )

## 1. Continuum extrapolation (linear in $a^2$ )



- ansatz motivated by gauge action discretization
- taken separately for each flow time
- take continuum limit first to control  $a^2/\tau_F$ -type corrections

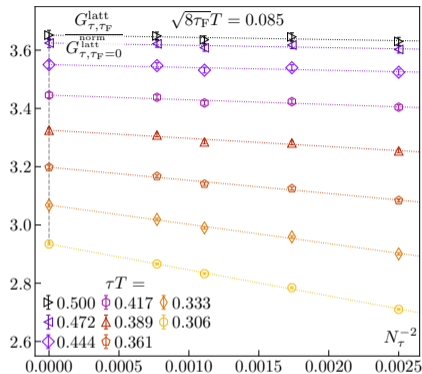
## 2. Flow-time-to-zero extrapolation (linear in $\tau_F$ )



- ansatz motivated by NLO pert. theory [Eller 2021](#)
- flow time window depends on:
  - signal-to-noise
  - $\sqrt{8\tau_F} \gtrsim a$  (suppression of latt. artifacts)
  - $\sqrt{8\tau_F} \lesssim \tau/3$  (flow limit)

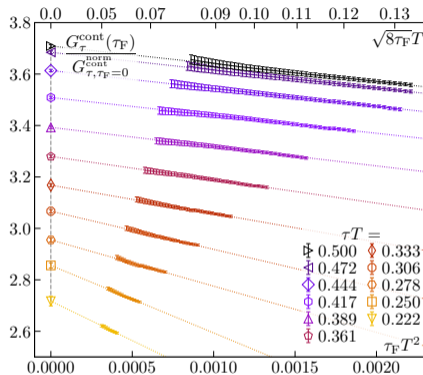
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