

# DUNE

## “Data Selection” and FD2-VD (Trigger and Data Filter)

Josh Klein  
CDR Review  
June 18, 2021

# Outline

- Definitions, Requirements, and Constraints
- DUNE Trigger Basics
- Performance to date
- Changes for VD

# Data Selection

Data rate from each module  $\sim 10$  Tb/s

Storage  $\leq 30$  PB/year for all modules (SP-FD-22)

“Data Selection” is not *just* triggering---

May also include region-of-interest selection, high-level filtering

Like all experimentalists, we are both paranoid and greedy; we would like to keep as much as possible

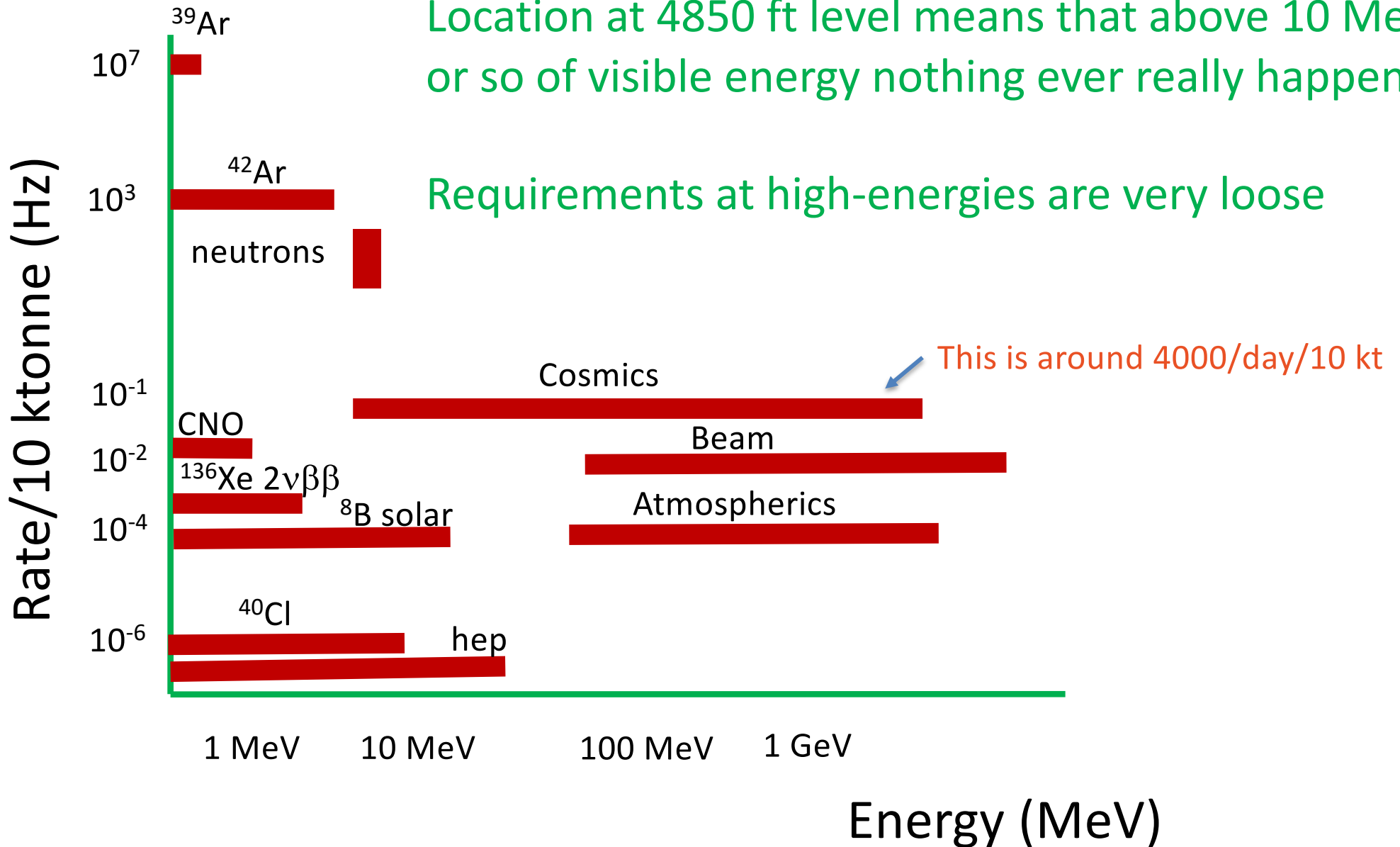
# Data Selection

- Studies to date have been for HD APAs
- We expect algorithms depend only weakly on HD/VD differences
- Will highlight where we expect these to matter

# Requirements

Location at 4850 ft level means that above 10 MeV or so of visible energy nothing ever really happens.

Requirements at high-energies are very loose



# Requirements

## Three Regimes

- “High” Energy – interactions producing more than 100 MeV of visible energy
- “Low” Energy – Below 100 MeV but practically speaking in 5-20 MeV regime
- Time-correlated – non-beam events that come in time-correlated ways, like SN bursts

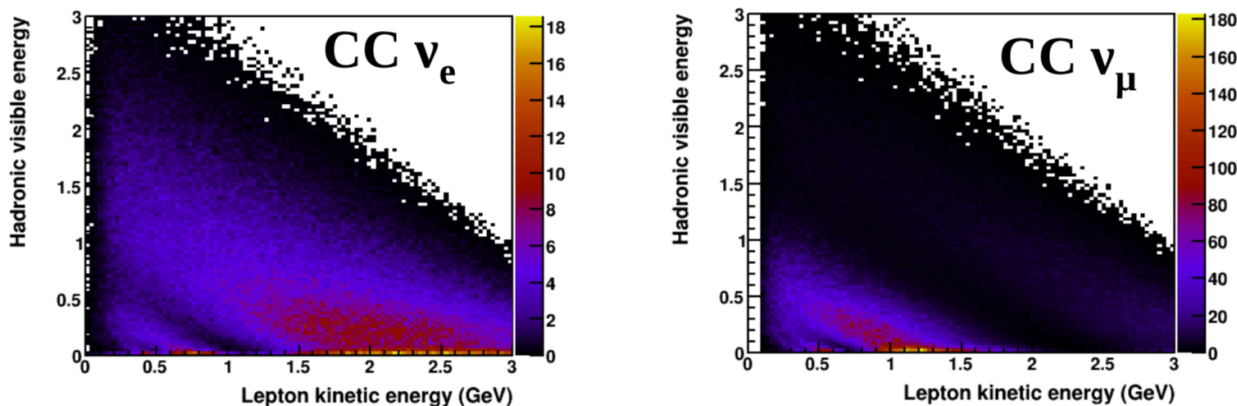
# Requirements

## “High” Energy Triggering

**(Requirement SP-DAQ-8)** The DAQ shall trigger and acquire data on visible energy deposition  $> 100$  MeV. Data acquisition may be limited to the area in which activity was detected.

**(Requirement FD-SciEng 882)** The Far Detector shall be  $> 90\%$  efficient for any interaction that leaves  $> 100$  MeV of visible ionization energy inside the fiducial volume.

→ The efficiency covers hardware and software performance of the detector while operating. Visible ionization is in electron equivalent ionization.



- Long baseline analyses assume 100% efficiency at  $> 200$  MeV
- Very little acceptance below 200 MeV because of NC background
- $K^+$  from PDK can have  $KE < 50$  MeV but total visible energy  $\sim 100$  MeV

# Requirements

## “Low” Energy Triggering

**620 (Requirement)** The far detector shall have high efficiency for any interaction leaving  $< 100$  MeV of visible ionization energy inside the fiducial volume.

→ This requirement separates the performance at lower energies where supernova, solar events may occur. The lowest threshold is expected to be 5 MeV. The efficiency is expected to be a function of energy in this energy range.

→ → The efficiency defined here is for TPC or Photon Detector combined.

**2321 (Specification)** The DAQ shall trigger and acquire data on visible energy deposition  $> 10$  MeV of single neutrino interactions. Those triggers will normally be fired using a pre-scaling factor, in order to limit the data volume.

→ Energy here is "visible energy", defined as deposited energy in the active volume as ionization and/or scintillation.

(We do not anticipate needing a pre-scale at  $E_{\text{vis}} \sim 10$  MeV, but ROI selection is likely)



# Requirements

## SN Burst Triggering

**315 (Requirement)** Far detector shall be capable of collecting low energy ( $<100$  MeV) charged current electron neutrino interactions on Ar40 nucleus that arrive in a short period of time ( $<100$  sec) . The final state electron shall be detected and its energy measured.

→ Most events from a supernova neutrino burst are neutrino absorption on Ar40 producing electrons from 5 to 100 MeV, arriving  $<$  one minute time frame. An electron and a K40 nucleus is in the final state. The total event count from a galactic supernova (10 kpc) is expected to be approximately 3,000 events for 40 kt of LAr.

**2263 SP-FD-23 (Specification)** The DAQ architecture shall provide a mechanism for triggering on galactic supernova bursts and recording neutrino interactions associated with those bursts over a 30 second period, with a goal of 100 seconds. During this period, the full raw data information must be stored.

→ 95% efficiency for a SNB producing at least 60 interactions with a neutrino energy  $>10$  MeV in 12 kt of active detector mass during the first 10 seconds of the burst.

**188 (Requirement)** The FD data acquisition shall have the capability of notifying the world-wide science community of an astronomical event in a timely way.

→ The SNEWs collaboration will require notification within minutes with only 1 false trigger allowed per year. To fulfill this requirement DAQ must have online capability of identifying a real SN burst signal.

→  $< \sim 1$  minute processing and response is desirable

# Requirements

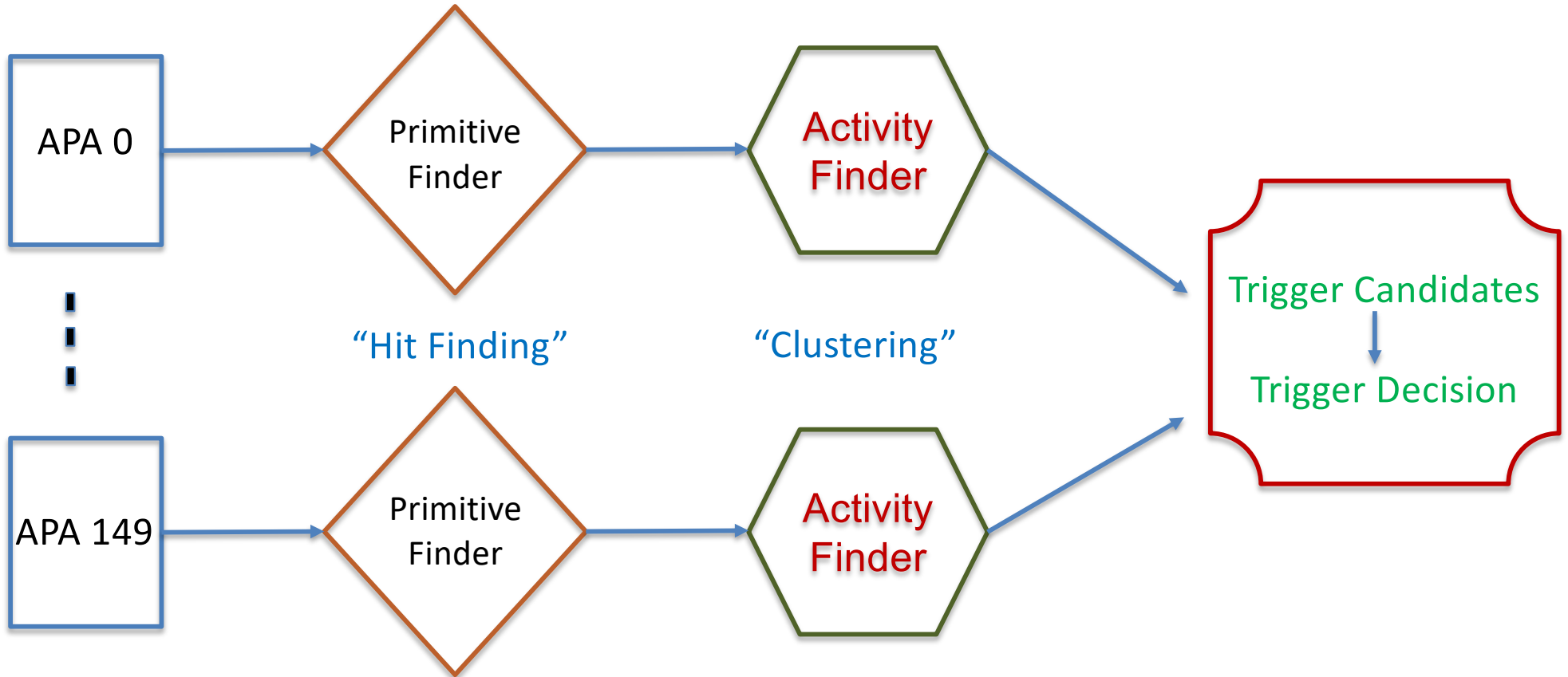
## General DUNE Approach

We satisfy these requirements with the following general strategies:

- High-energy triggering is as inclusive as possible
- Low-energy triggering exploits TPC topological information to be semi-inclusive, assumes ROI selection to reduce data volume, and provides a summary data stream for ~zero threshold event analyses.
- SN Burst triggers exploit large DAQ buffers and write out everything for 10 s before and 90 s after detected burst

# TPC Triggering

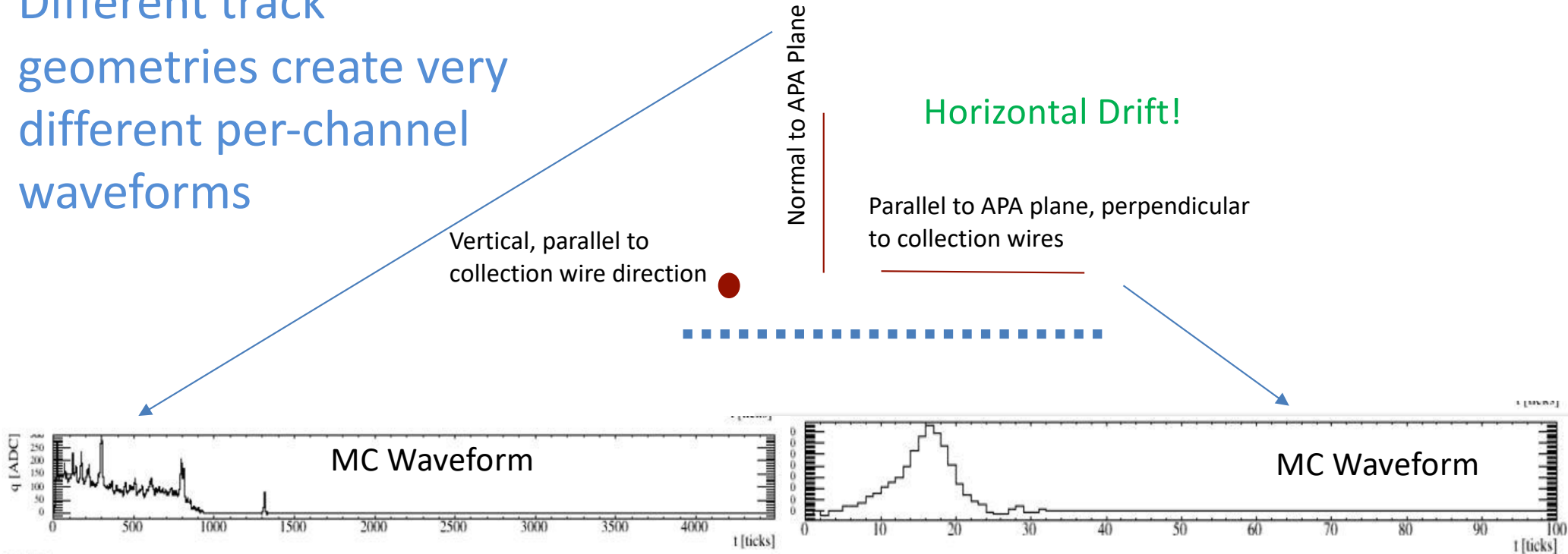
## Basics



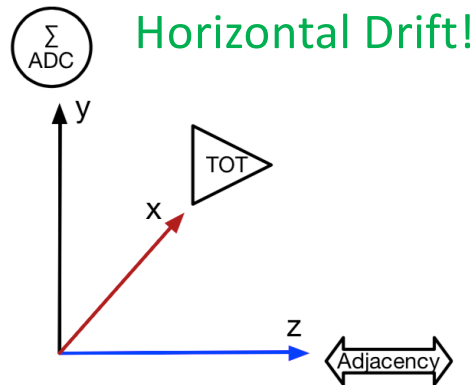
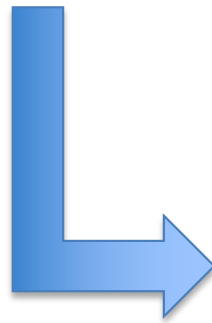
- **Activity Finders** = Low Energy, High Energy, Exclusive channels...
- **Candidate Finder** = SN bursts, High E event, beam event, etc.

# Trigger Primitives (TPs)

Different track geometries create very different per-channel waveforms



D. Last



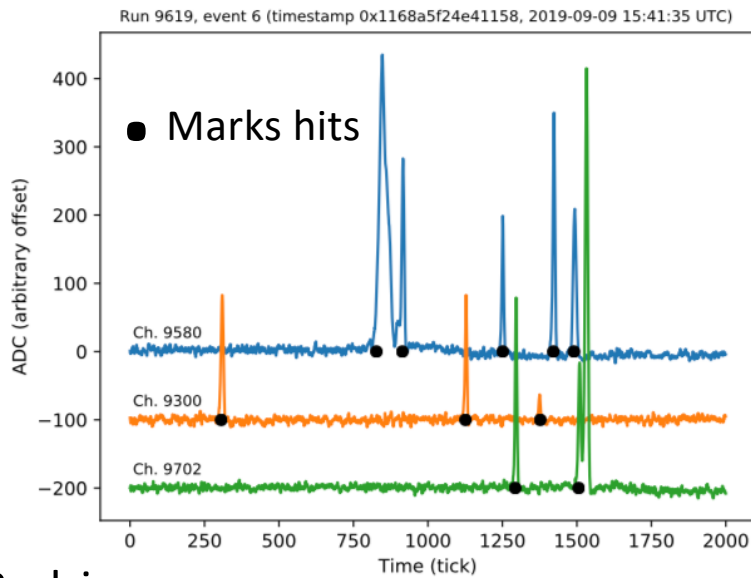
TPs include “orthogonal” basis of information  
(collection wires only so far—very little difference for VD)

D. Rivera

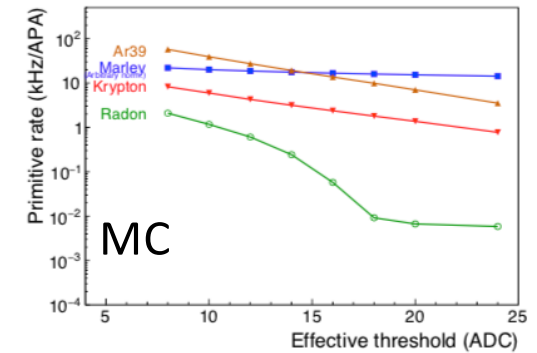
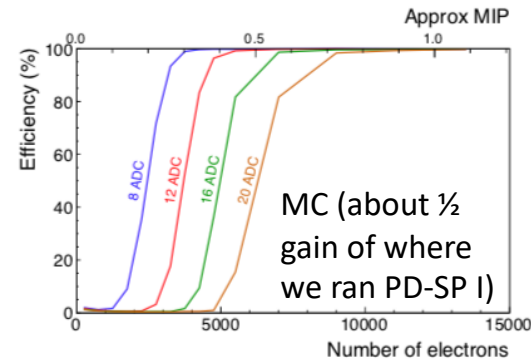
# Trigger Primitives (TPs)

## Hit finding

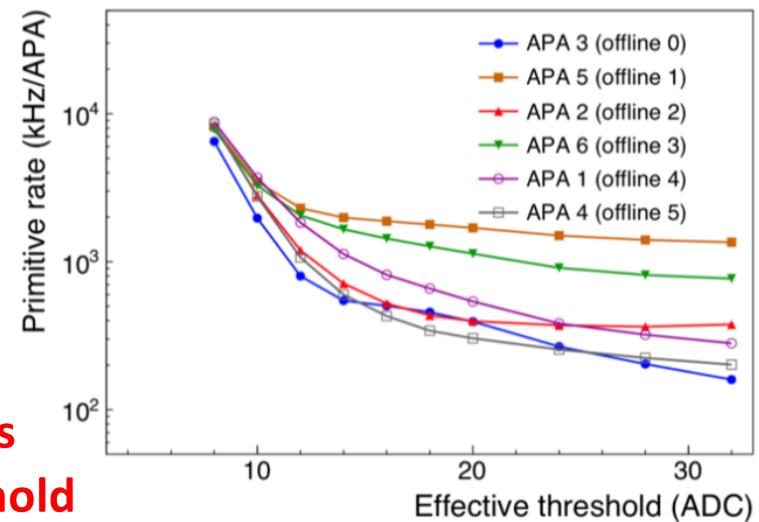
### Example from ProtoDUNE-SP



Raw noise in ENC at PD I was  $\sim 600$  e (collection)



Raw noise RMS in PD I was 3-4 ADC above pedestal



P. Rodrigues

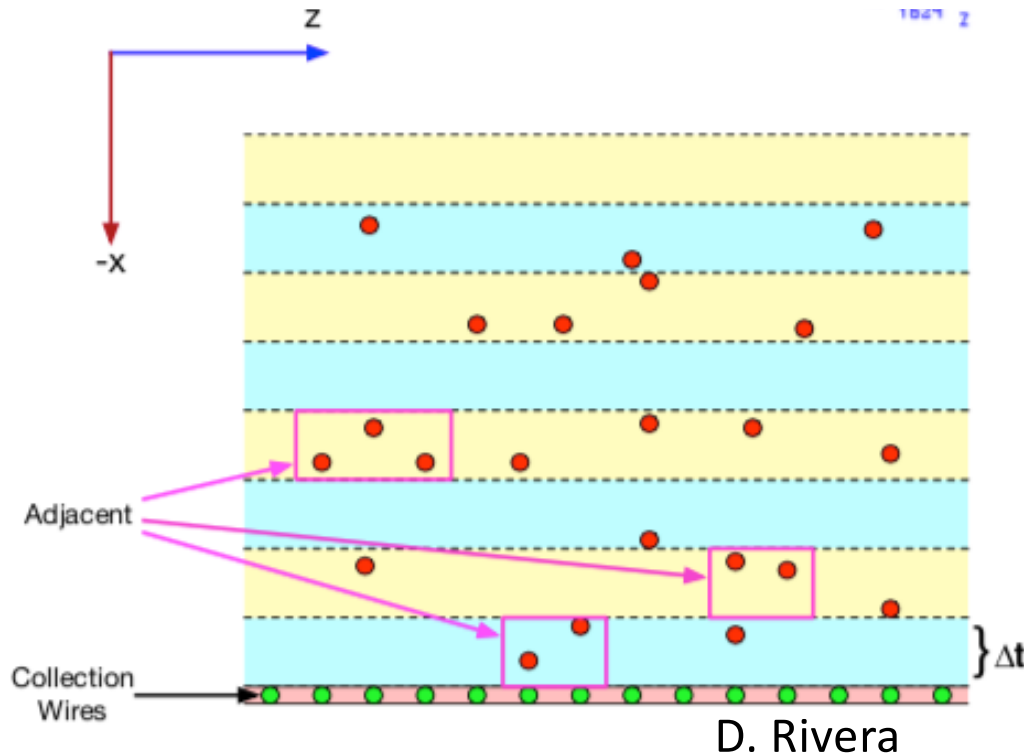
TP threshold was around  $\frac{1}{4}$  MIP-equivalent, or around  $250 \text{ keV}_{\text{ME}}$  (per wire)

Need to study this for VD strips instead of HD wires  
Signal/Noise may be different and influence threshold

# Trigger Activity

## Clustering and Cutting

Cannot simply sum up all charge---in 10 ktonnes and a full drift, this is about a GeV of charge.



Radiological rate acceptable\* with:

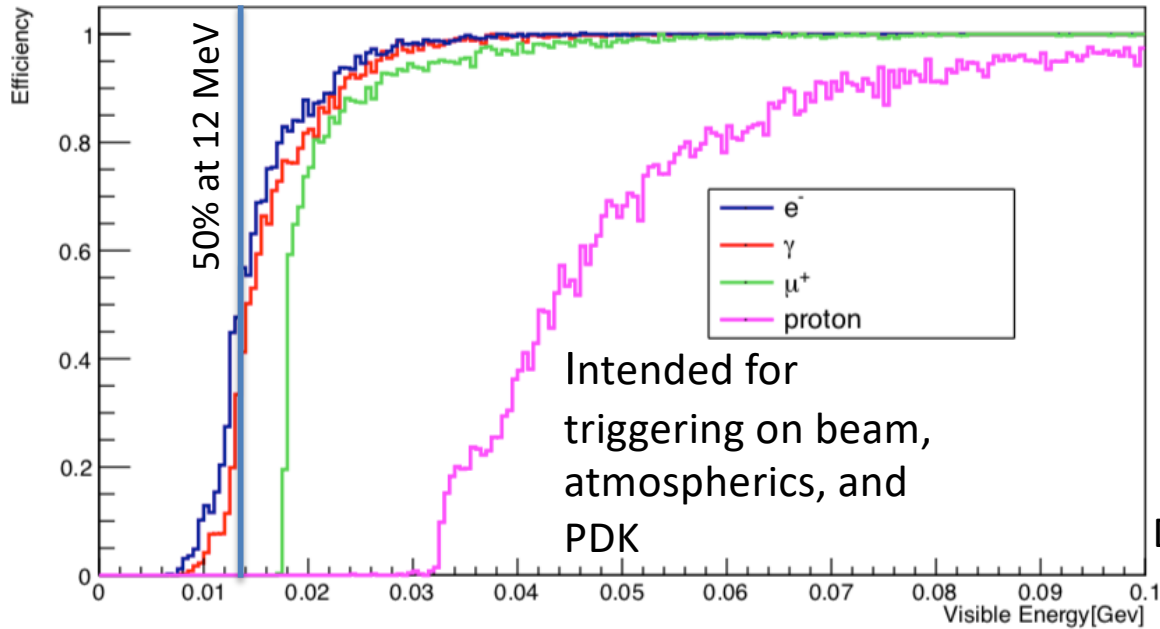
- $N_{adj} \geq 8$  wires
- Cluster charge sum  $> 7000$  ADC counts
- Max integrated wire charge  $> 6500$  counts
- Max time-over-threshold  $\geq 45$  ticks

**\* acceptable rate was so that 5.4 ms readout of all channels had data rate  $< 25\%$  of cosmic data rate**

Need some kind of clustering as first stage of triggering

# High-Energy Trigger Efficiency

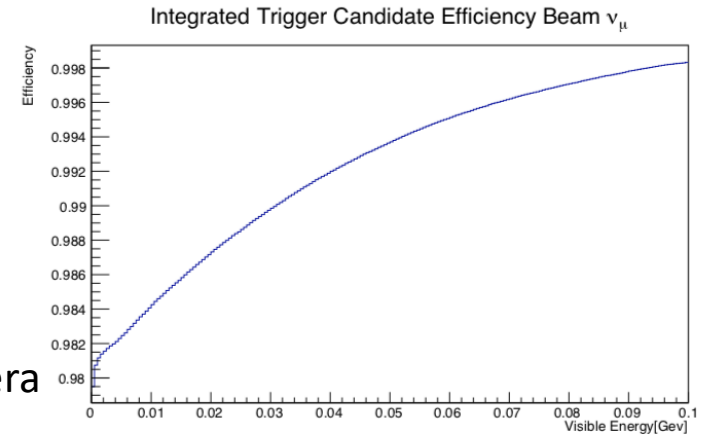
Differential  
Triggering Efficiency



- Integrated efficiency  $\epsilon_I$  is given by :

$$\epsilon_I(E_{vis}) = \frac{\int_{E_{vis}}^{\infty} n_{trig}(E)dE}{\int_{E_{vis}}^{\infty} n_{evt}(E)dE}$$

D. Rivera

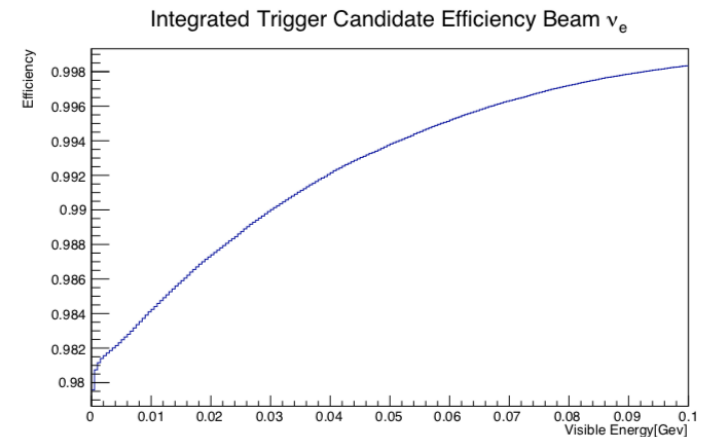


**Threshold is set by background rate!**

Moving to ROI readout allows a lower threshold

The trigger is intentionally *inclusive*

but different species have different topologies and thus different efficiencies



D. Rivera

# Moving Lower in E

## Region-of-Interest (ROI) Readout

Can have a higher trigger rate if data/trigger is smaller size:

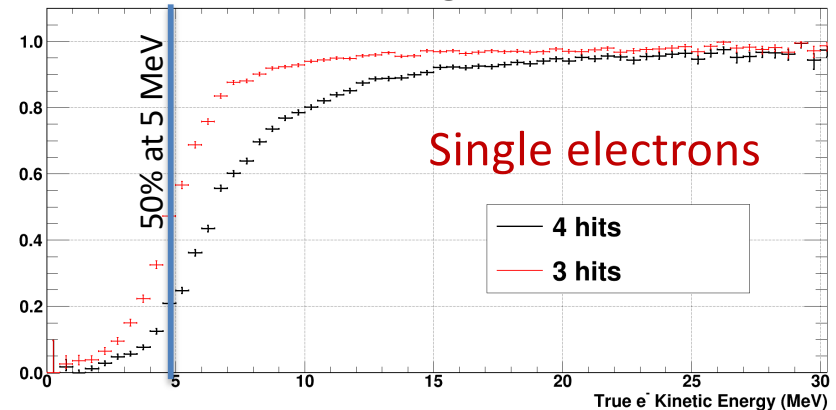
1. Halve readout window
2. Write out only APAs with trigger activity (TA)
3. Use a much narrower readout (100  $\mu$ s) window around hits (“zero suppression”)
4. Fully localize TA and use 100  $\mu$ s window for readout

Table 2:

Data Reduction Approach	Event Size (Uncompressed)	Max Trigger Rate	Enabled Physics
Nominal	6.075 GB	0.078 Hz	Beam, NDK, Atm.
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APA-Localization (Low-E)	0.041 GB	11.7 Hz	$^8\text{B}$ solar $\nu$ , neutrons,Rn
Zero Suppression	0.040 GB	12.0 Hz	$^8\text{B}$ solar $\nu$ , neutrons,Rn
TA Localization +Zero Suppression	14.6 kB	32.5 kHz	$^{42}\text{Ar}$ , $^{40}\text{Cl}$ , <i>pep</i> solar $\nu$ ?

Of course, ROI can depend on type of Trigger Candidate

Threshold set so background rate  $\sim$  10 Hz



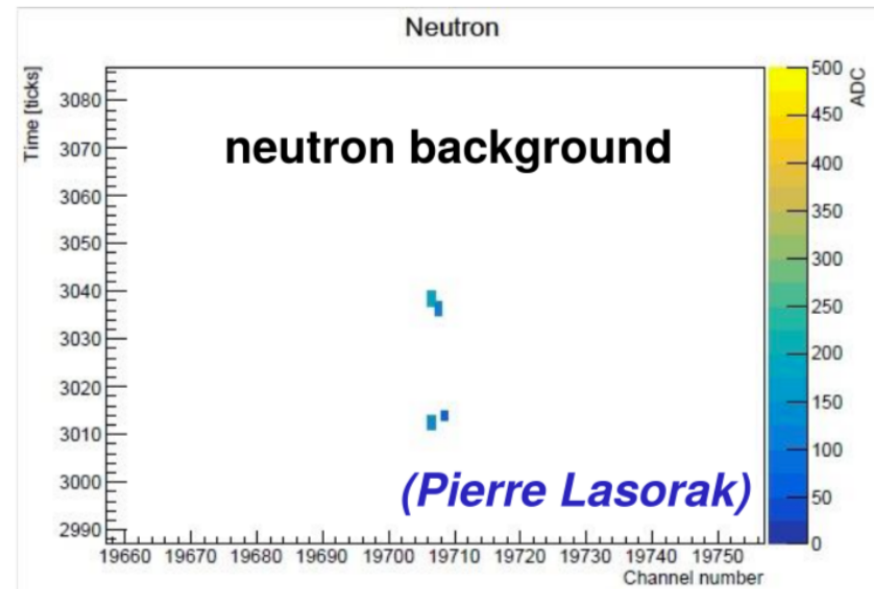
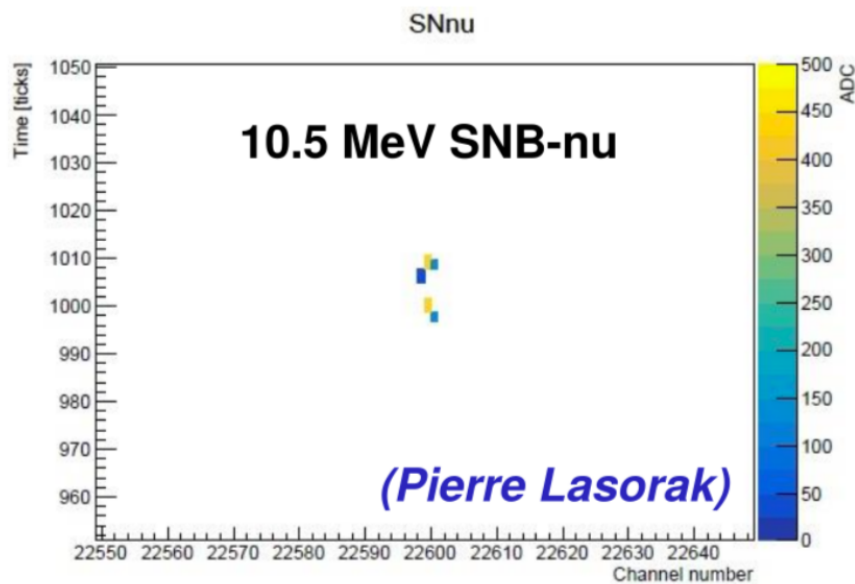
Thiago Bezerra



# Moving Lower in E

What if we want to reduce neutrons?

Neutrons produce a  $\gamma$  cascade when they capture, electrons are more track-like  
(But solar and SN neutrinos include de-excitation  $\gamma$ s):



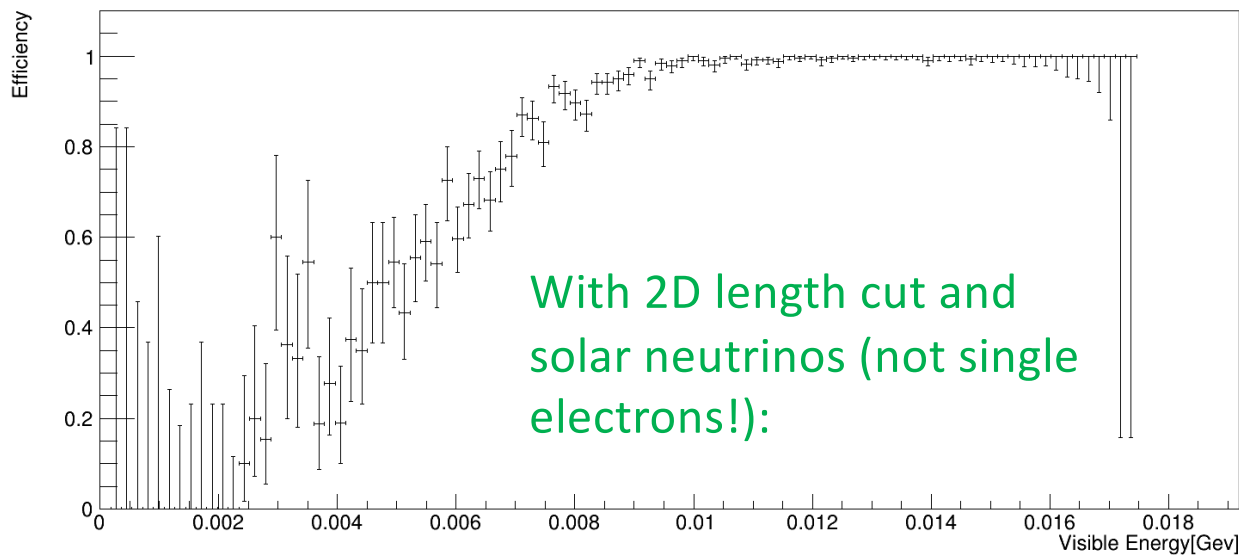
# Moving Lower in E

Can exploit topology of TPC:

Use (collection-wire) primitives to create a “2D track length cut”

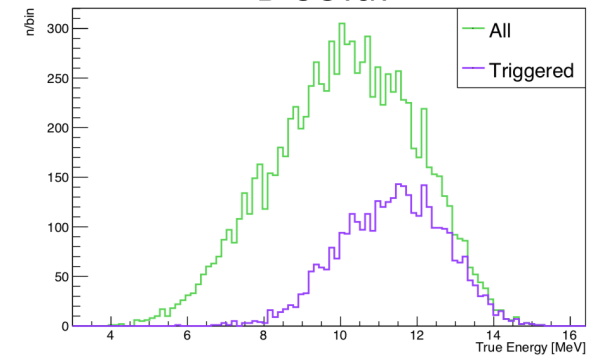
$$\mathcal{L} = \sqrt{(adj_{max} * 5mm)^2 + (TOT_{max} \times v_{drift})^2}$$

solar-hep Triggering Efficiency

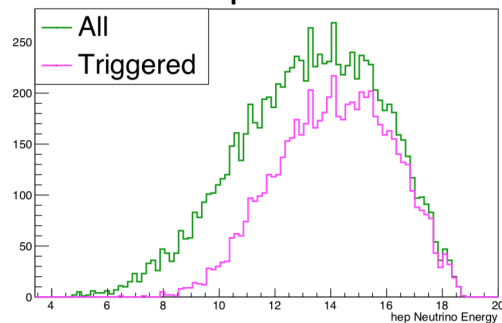


Trigger rate is ~ 100 Hz from backgrounds

<sup>8</sup>B solar



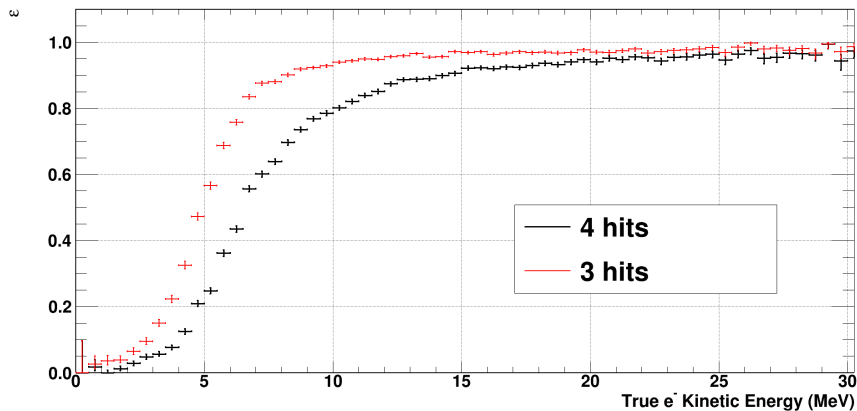
hep solar



D. Rivera

# Supernova Bursts

Additional handle: Time and energy profile

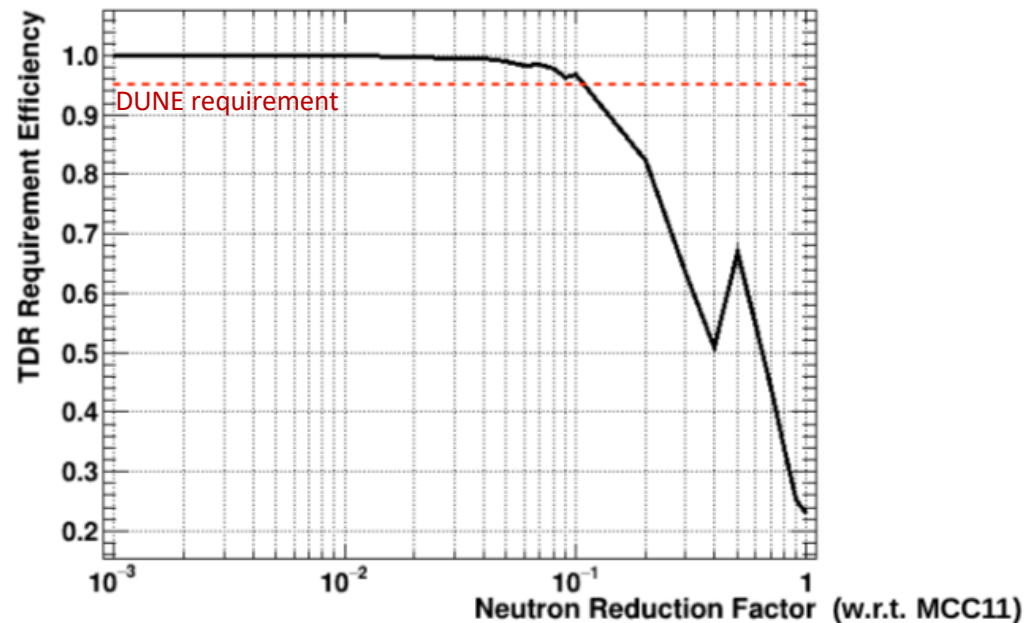


Can accommodate more aggressive “trigger activity” threshold but lowering single-interaction threshold hurts without energy-weighting

T. Bezerra

Note: we read out *everything* for 100 s if we detect a burst---event efficiency does not matter (much) except outside of that window

Not including energy profile



# Changes for Vertical Drift

- Orientation of collection strips not critically different from APA collection wires
  - Induction strips/wires not currently used in triggering
  - Impact of a smaller collection pitch will have to be examined
- Signal/noise may be different and require/allow different TP thresholds
  - Top-side electronics may also have different response
- $^{39}\text{Ar}$  rate/channel higher because of higher imaged volume
- PDS System will be different, may allow greater opportunities for photon-based triggering

# Summary

- Requirements on data selection exist but are fairly loose
- For HD, requirements satisfied by using TPC collection information
- Low-energy program can also be accommodated with tighter ROI for readout
  - Radiologicals will limit threshold more than system will
- Still need to test high-energy algorithms on VD collection strips
- Future work (PDS inclusion; induction wire Trigger Primitives; more aggressive SN burst finding) moving forward (see [A. Thea talk](#))

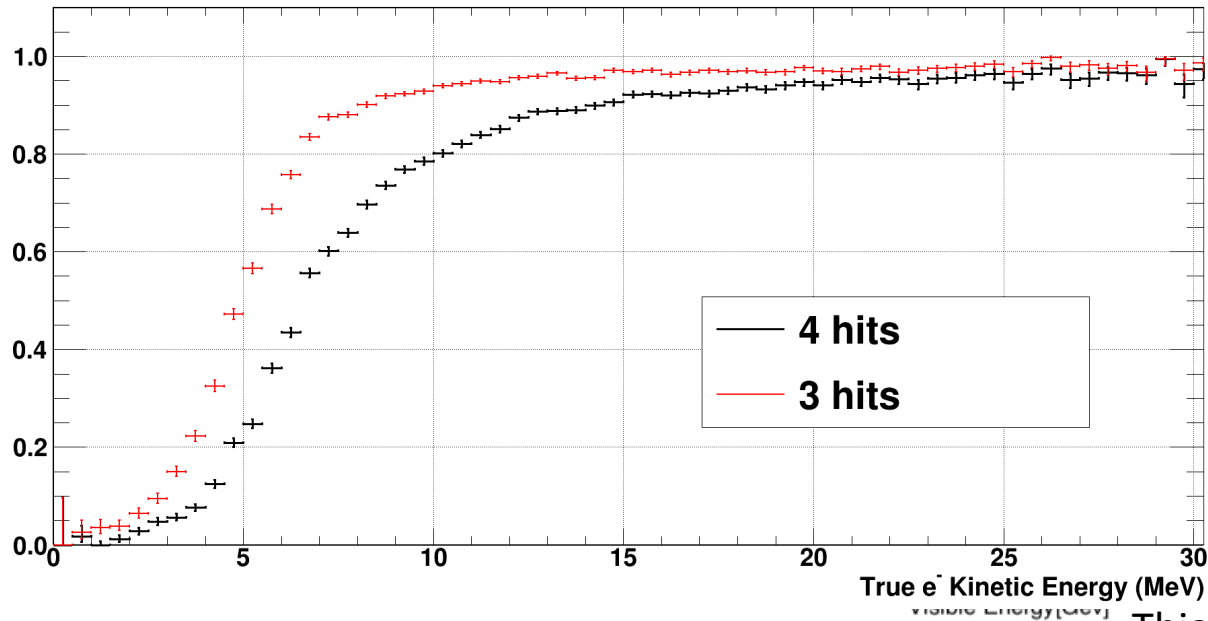
# Backups

# Moving Even Lower in E

- Include u/v wire trigger primitives
  - This will be affected by HD/VD differences
- Write all of them continuously
- Threshold is ~250 keV depending on signal/noise
- Can run “offline” algorithms as sophisticated as desired
- Data will be overwhelmingly  $^{39}\text{Ar}$

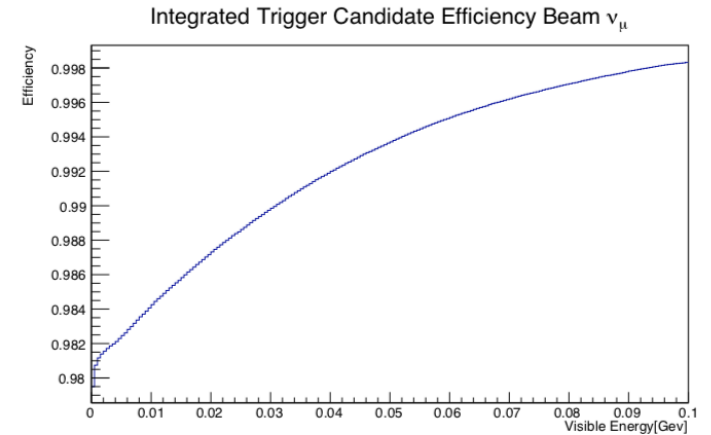
# High-Energy Trigger Efficiency

Differential  
Triggering Efficiency



- Integrated efficiency  $\epsilon_I$  is given by :

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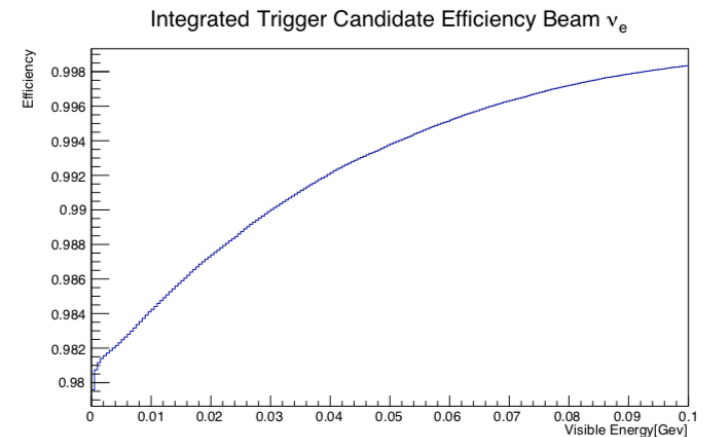
Thiago Bezerra

**Threshold is set by background rate!**

Moving to ROI readout allows a lower threshold

The trigger is intentionally *inclusive*

but different species have different topologies and thus different efficiencies

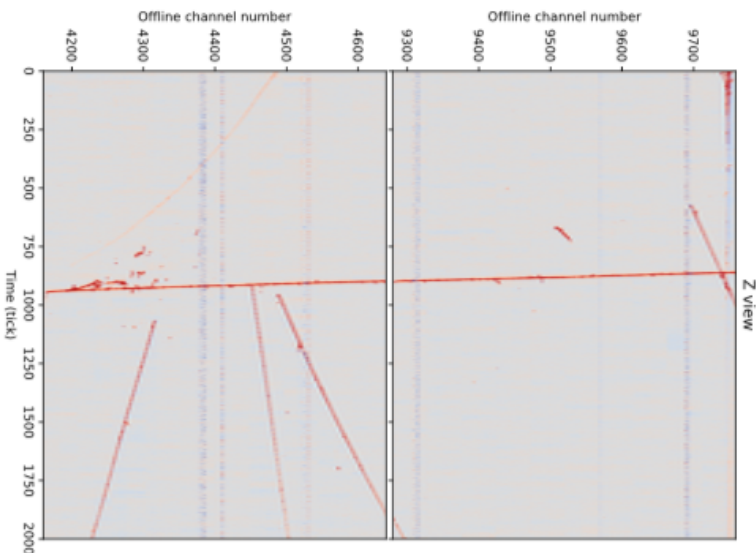
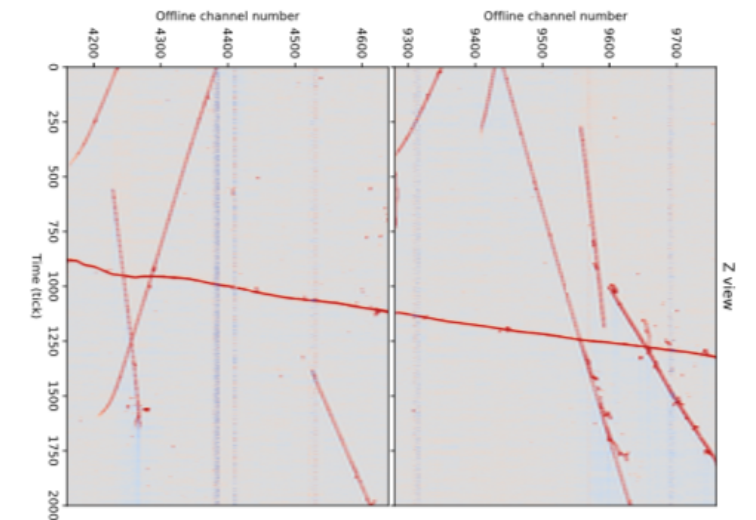


D. Rivera

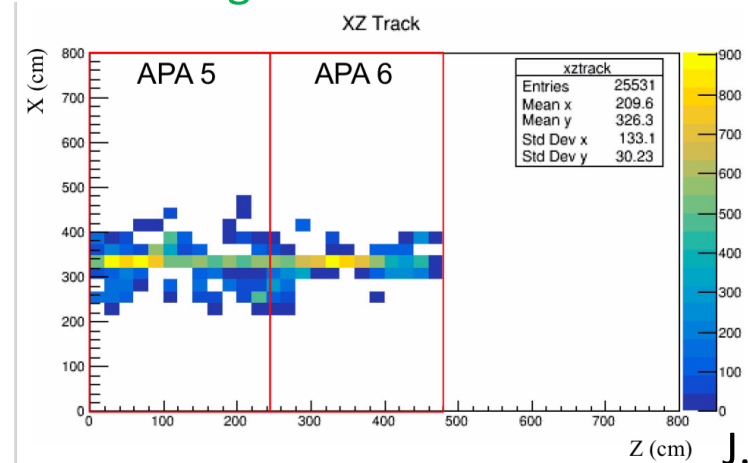


# Performance at PD-SP 1

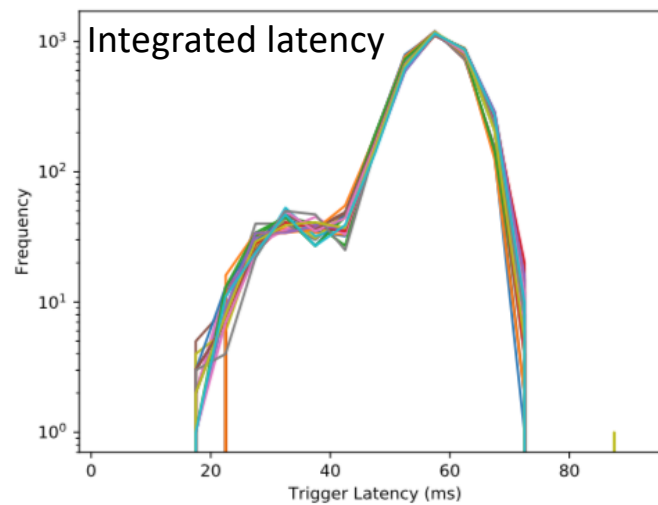
## Horizontal Muon Trigger (exclusive)



## Average over several events



J. Sensenig



Time from data arriving at FELIX to Trigger Decision Buffer depth was 1 second

# DUNE Trigger Basics

- Primary detector system (TPC) is very slow, data buffered for 10 s
- Leaves plenty of time to exploit TPC topology in trigger
- PDS system can also be used to trigger independently or w/ TPC
- (Calibrations and other auxiliary systems can also trigger)
- System intended to be as *inclusive* as possible
  - e.g., everything with  $E > \text{threshold}$
  - Constraint here will be from low-energy backgrounds (later)
- Event readout can be:
  - All channels for some time window  $\sim$  drift
  - A narrow region of interest (ROI) in channel and time space
  - A very long time window (for supernovas) up to 100 s for all channels

# TPC Triggering

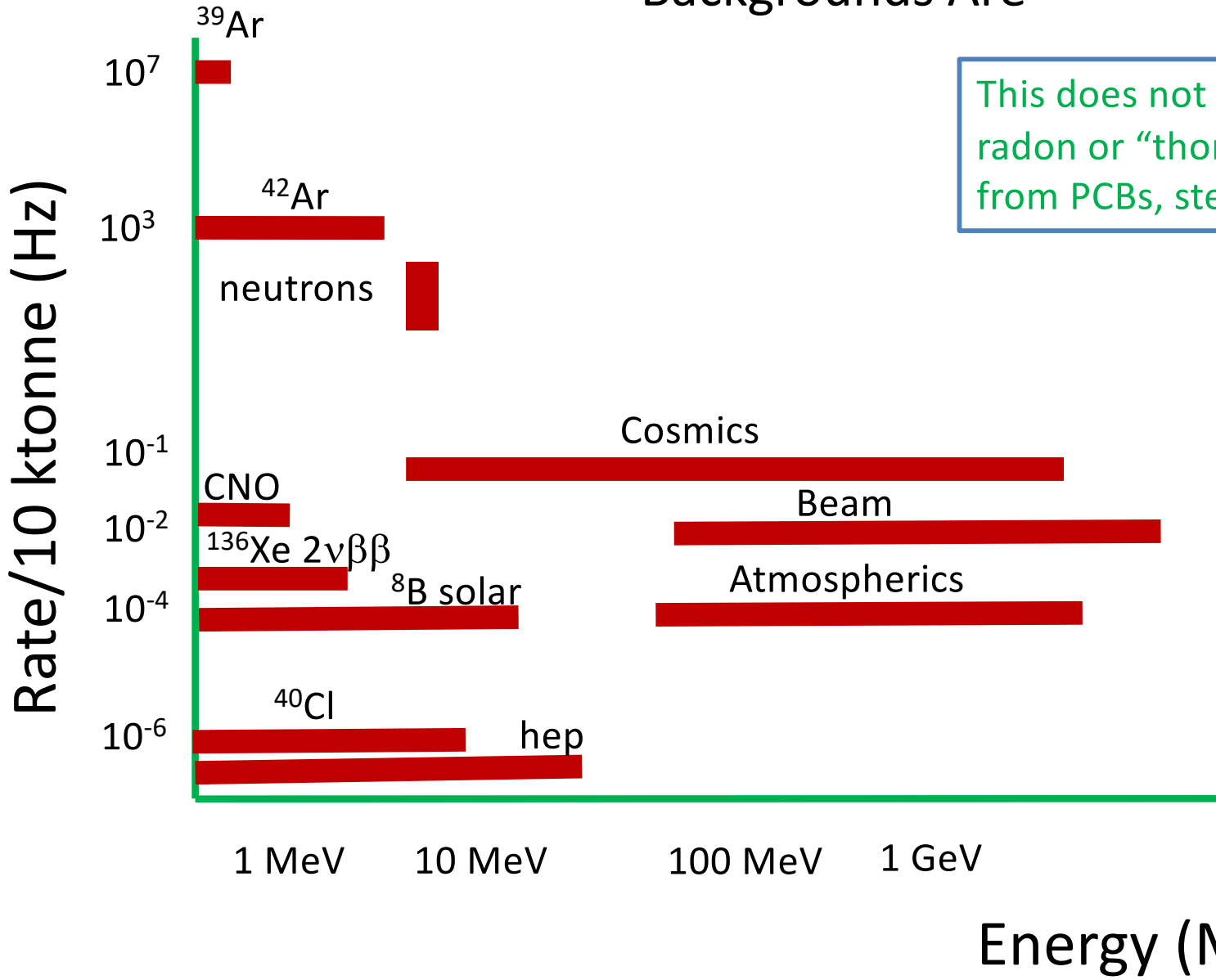
## Basics

A quick note about the word “threshold”....

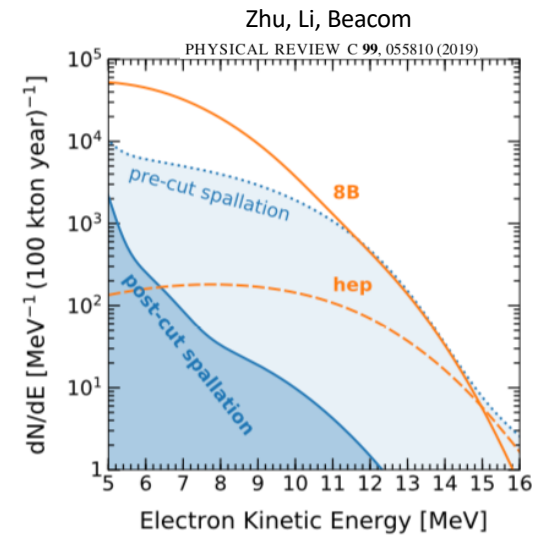
- Trigger Primitives have a “hit” threshold
- Trigger Decision based on various “event” thresholds
- Supernova Bursts have a “burst threshold”
  - Once a burst is triggered, the data is acquired with zero threshold for 100 s

# Triggering is Not the Challenge

Backgrounds Are



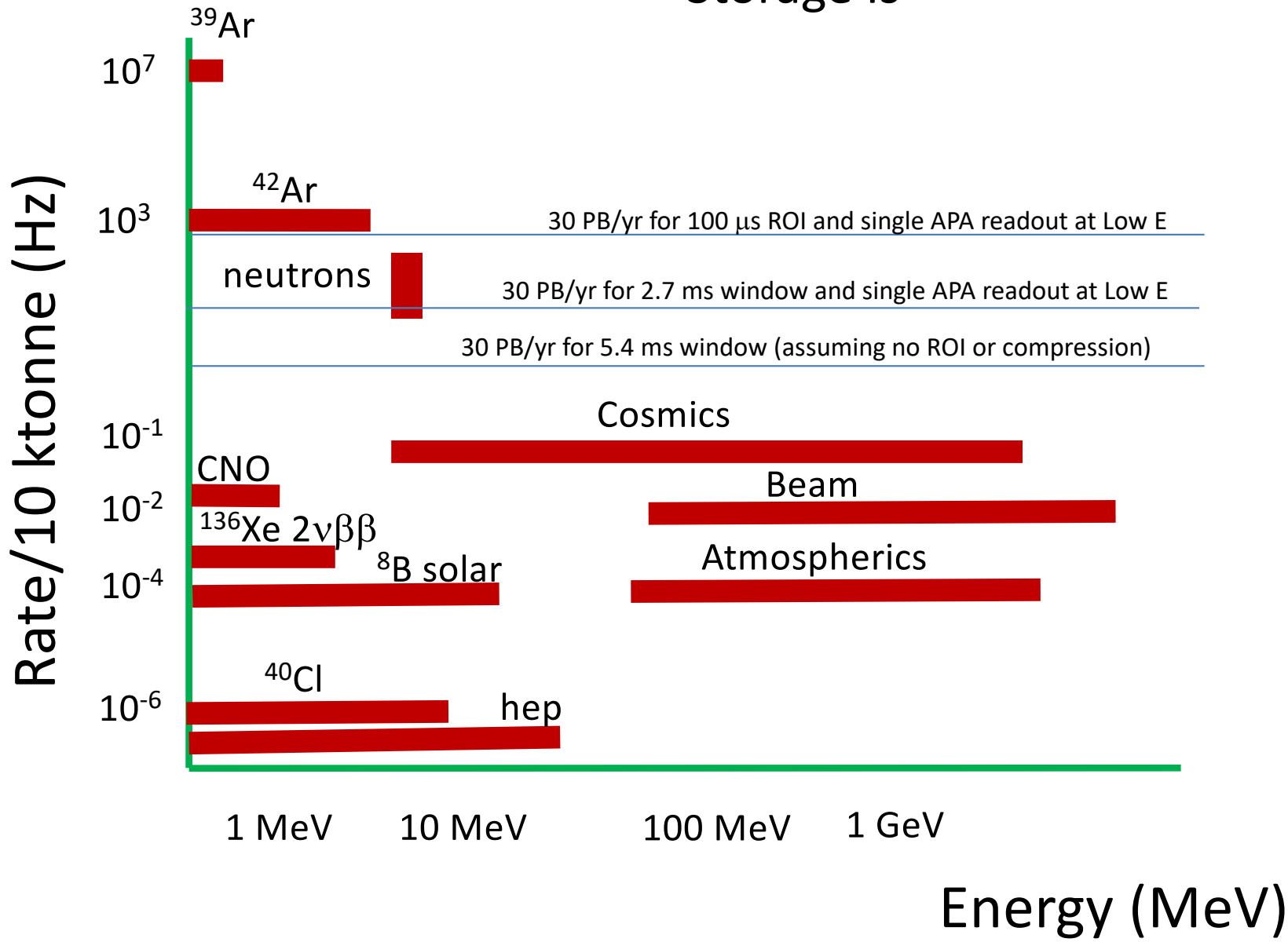
This does not include unsupported radon or "thoron," or U/Th gammas from PCBs, steel, APAs, or  $^{40}\text{Ar}(\alpha,\gamma)$



Or spallation

# Triggering is Not the Challenge

Storage Is



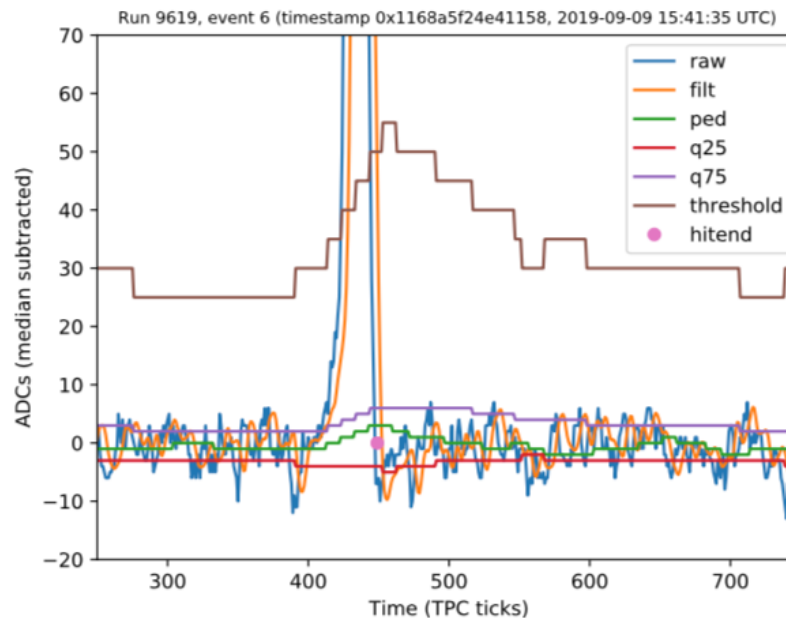
# Trigger Primitives (TPs)

## Hit finding

At ProtoDUNE-SP I tried two approaches:

## 2. Noise RMS-dependent threshold

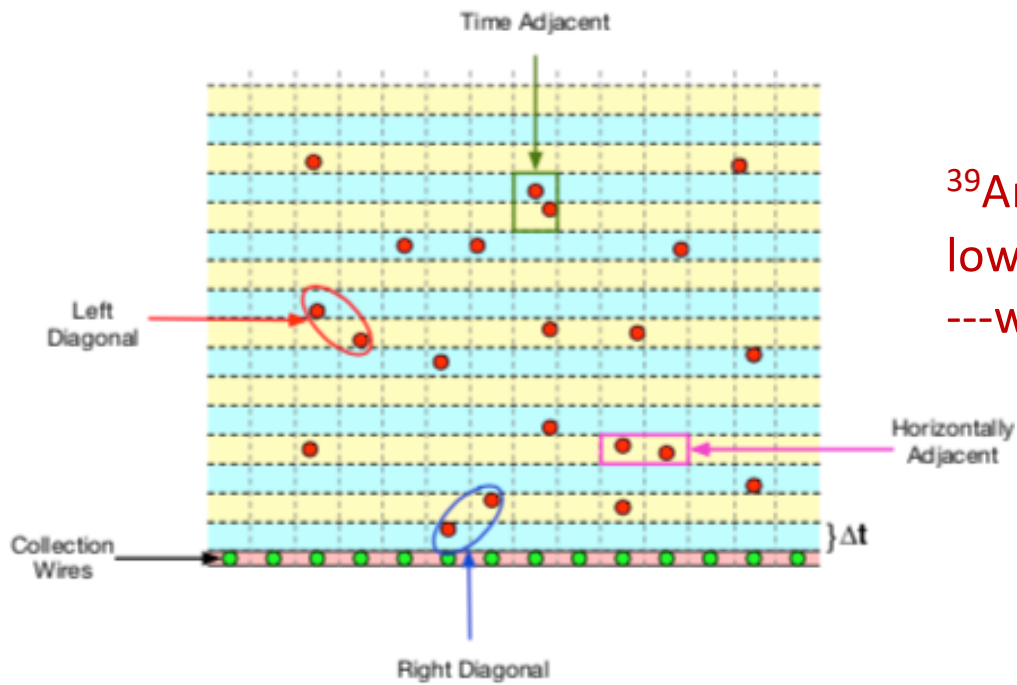
- Find baseline and rms via “frugal streaming” (arXiv:1407.1121)
- Filter (7-tap FTIR)
- Apply threshold in noise sigma ( $5\sigma$  for PD-SP I)



P. Rodrigues

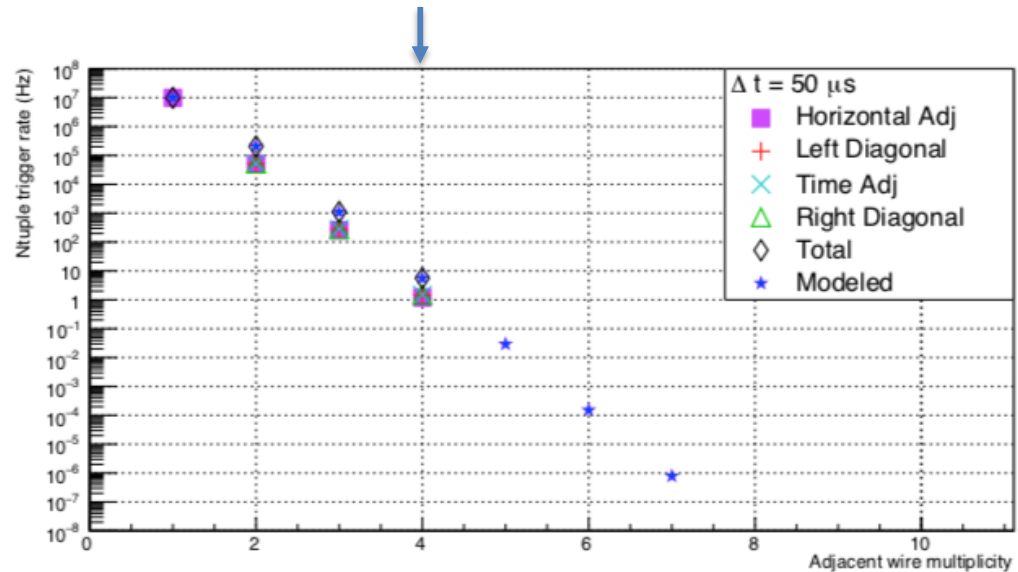
# Triggering is Not the Challenge

## Timing Is



$^{39}\text{Ar}$  is such a high rate that pileup can create low E track-like events in slow drift medium ---worse for longer drift volumes.

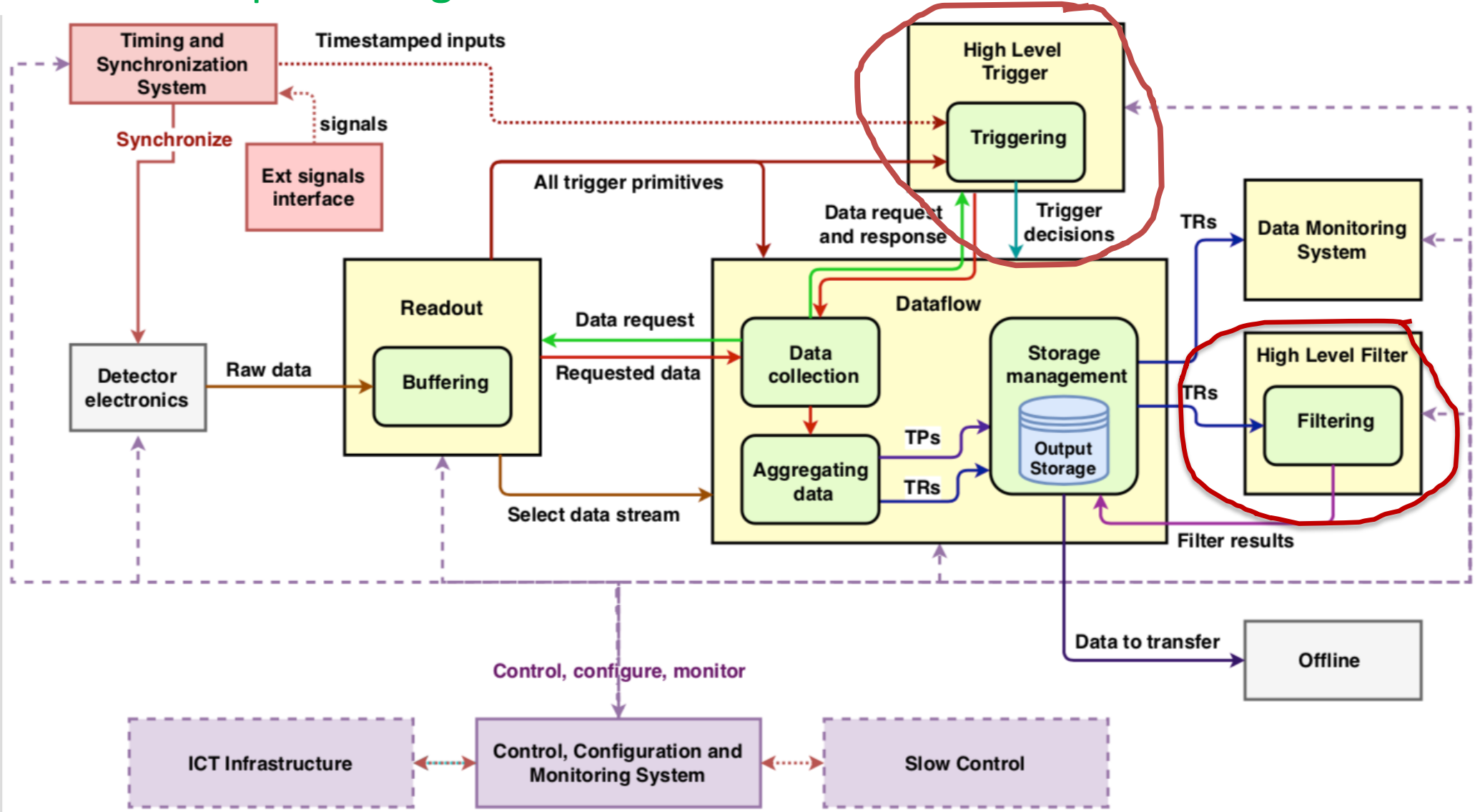
About 4 MeV MIP-equiv



D. Rivera

# TPC Triggering

## More Complete Diagram

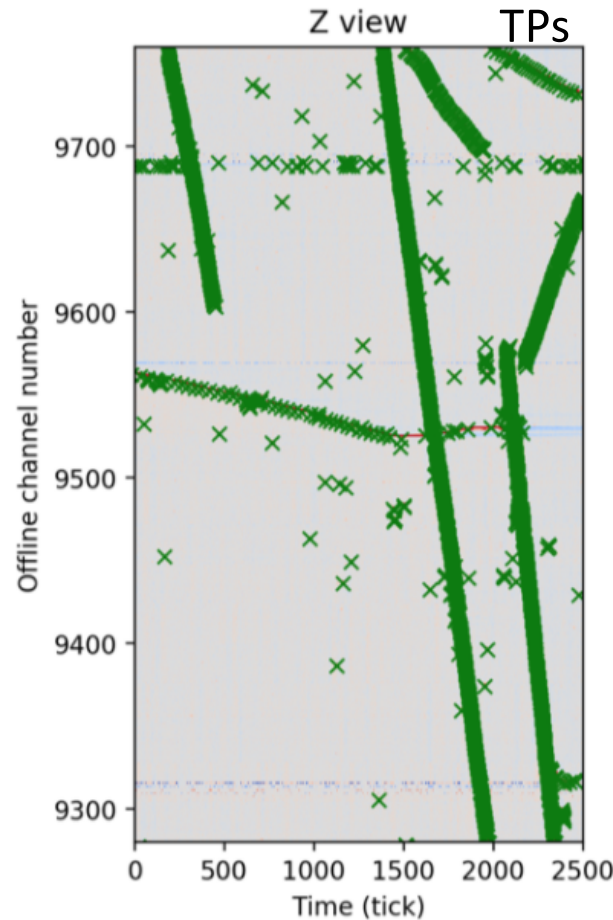
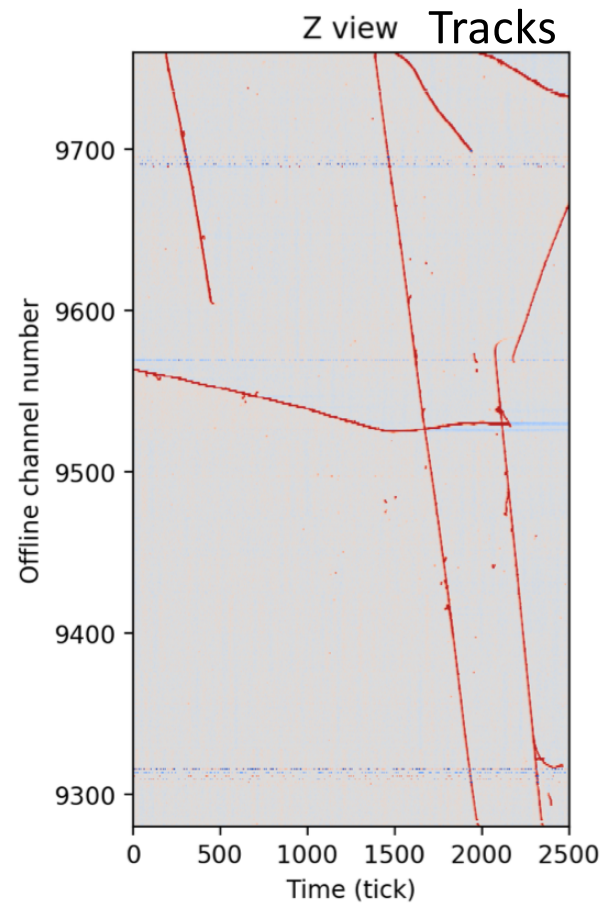




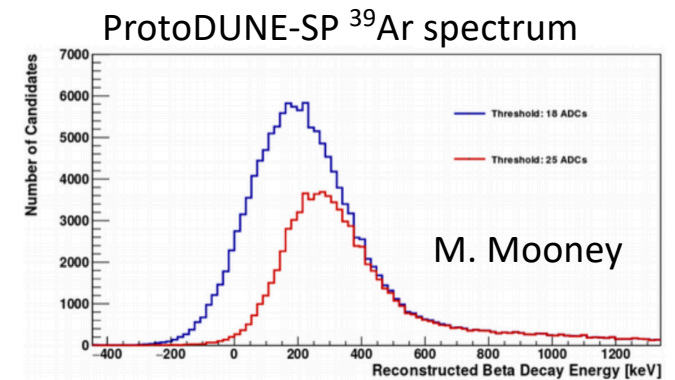
# Trigger Primitives (TPs)

## Hit finding

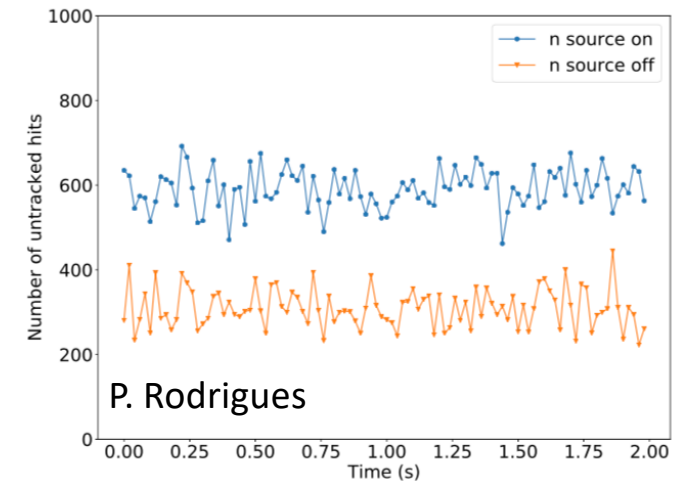
Run 11044, event 5 (timestamp 0x11955baa4c000a0, 2020-03-09 17:22:51 UTC)



TP threshold was around 1/4 MIP-equivalent, or around 250 keV<sub>ME</sub> (per wire)

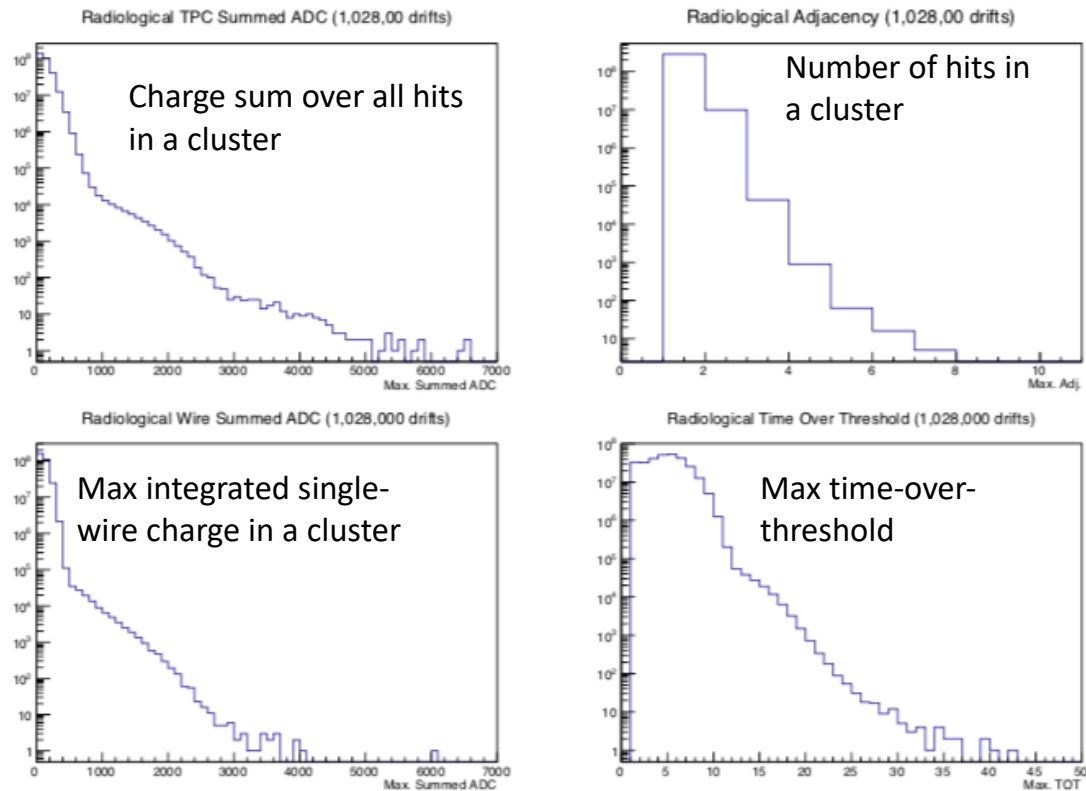


Some efficiency for neutrons



P. Rodrigues

# Trigger Candidates Cutting



Rivera and Last

Radiological rate acceptable\* with:

- $N_{adj} \geq 8$  wires
- Cluster charge sum  $> 7000$  ADC counts
- Max integrated wire charge  $> 6500$  counts
- Max time-over-threshold  $\geq 45$  ticks

\*acceptable rate was so that 5.4 ms readout of all channels had data rate  $< 25\%$  of cosmic data rate

# Moving Lower in E

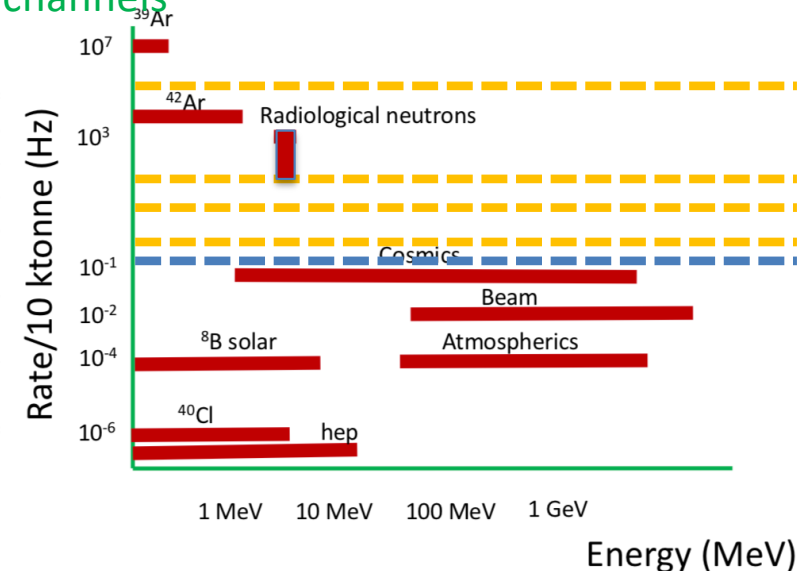
## Region-of-Interest (ROI) Readout

Can have a higher trigger rate if data/trigger is smaller size:

1. Halve readout window
  - “Free” because for low E events there is no trigger ambiguity
2. Write out only APAs with trigger activity (TA)
  - At low E, pretty safe and big reduction
  - Cosmics require ~6 APAs on average
3. Use a much narrower readout (100  $\mu$ s) window around hits (“zero suppression”)
  - Big enough for any deconvolution in processing
  - Will definitely do this for laser calibrations
4. Fully localize TA and use 100  $\mu$ s window for readout
  - Most aggressive; need to read out “box” around hit channels

Table 2:

Data Reduction Approach	Event Size (Uncompressed)	Max Trigger Rate	Enabled Physics
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Of course, ROI can depend on type of Trigger Candidate

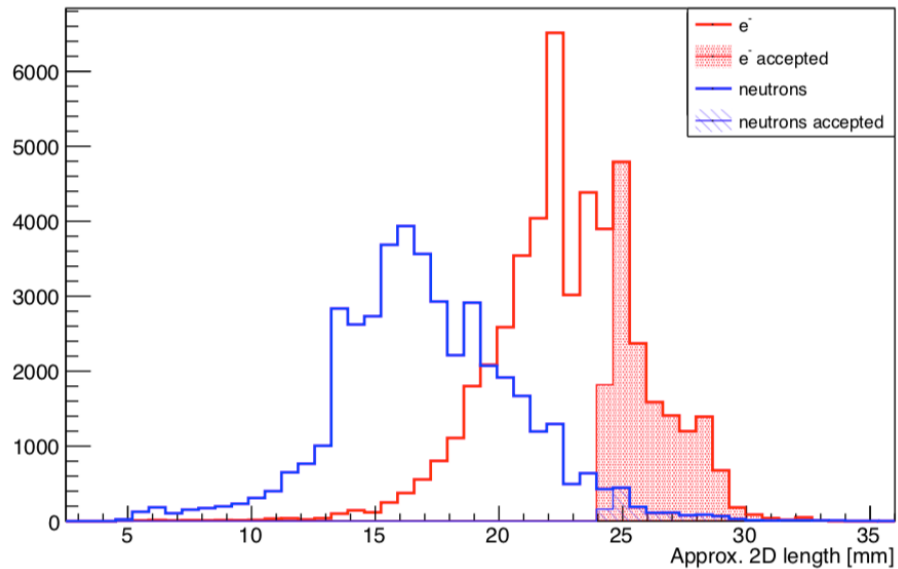
# Moving Lower in E

Can exploit topology of TPC:

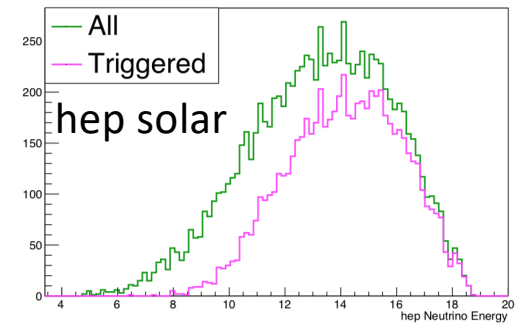
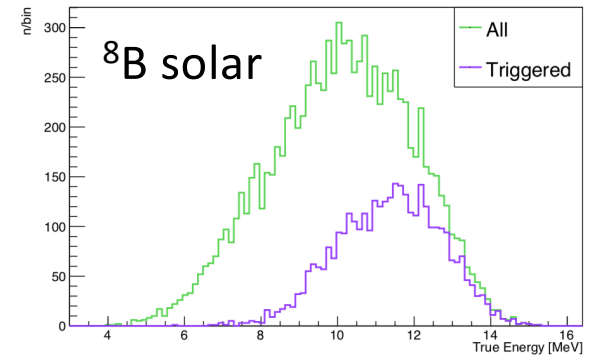
Use (colletion-wire) primitives to create a “2D track length cut”

$$\mathcal{L} = \sqrt{(adj_{max} * 5mm)^2 + (TOT_{max} \times v_{drift})^2}$$

Approx. 2D track length



D. Rivera



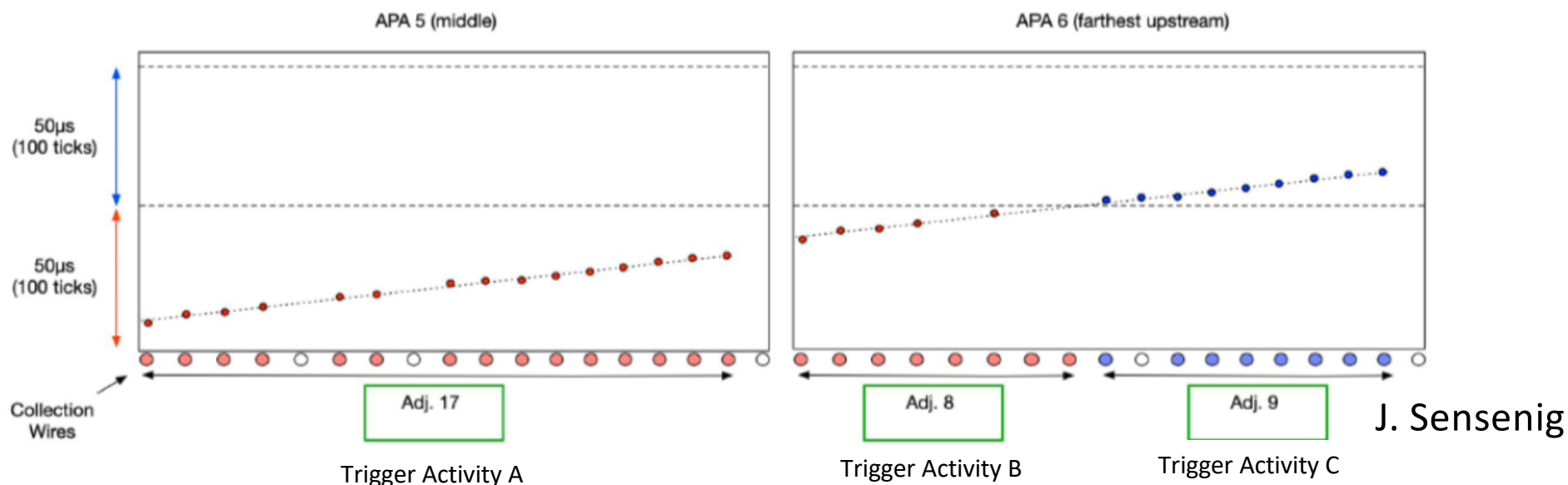
# Further Plans

## • Including PDS

- Naturally inclusive trigger (e.g.,  $N_{pe} > \text{threshold}$ )
  - Trigger threshold maps to energy pretty cleanly and simply
  - But that also means higher background rates
  - Also will depend on channel-level thresholds
  - And depends strongly on light yield and uniformity
- Efficiency likely easy(-ier) to model
  - Can be calibrated and measured relatively easily
- Can be fast
  - Helps reduce spallation-induced fake supernova bursts
  - But readout buffers are so big (10 s) that speed not critical for trigger decision
- Can reduce background rates via fiducialization---even better for VD!
  - External neutrons and  $\gamma$ s will capture/convert near edges of volume
  - Can get lower trigger rates for low-energy physics
  - Might do this in High-Level Filter
- Noise uncorrelated with TPC
  - Can help reject unexpected triggers from noisy wires
- In principle singlet/triplet PID can reject  $\alpha$ s for very low-E program with u/g Ar
  - Xenon loading is an interesting opportunity/complication
  - Will need to require nitrogen (and other) contamination to be very low

# Implementation at PD-SP 1

- Cosmic rate too high for an inclusive trigger
- Picked reasonably low-rate exclusive channel---horizontal muons
- Allowed us to exercise entire TP→TA→TC chain



Trigger activity from individual APAs stitched together to form Trigger Candidates  
(Gaps of up to 4 wires allowed in Trigger Activity cluster)