

# LFV, LNV, LUV at Belle (II)



Muon Anomalies Workshop @ SNU, May 21, 2021

# Belle & Belle II

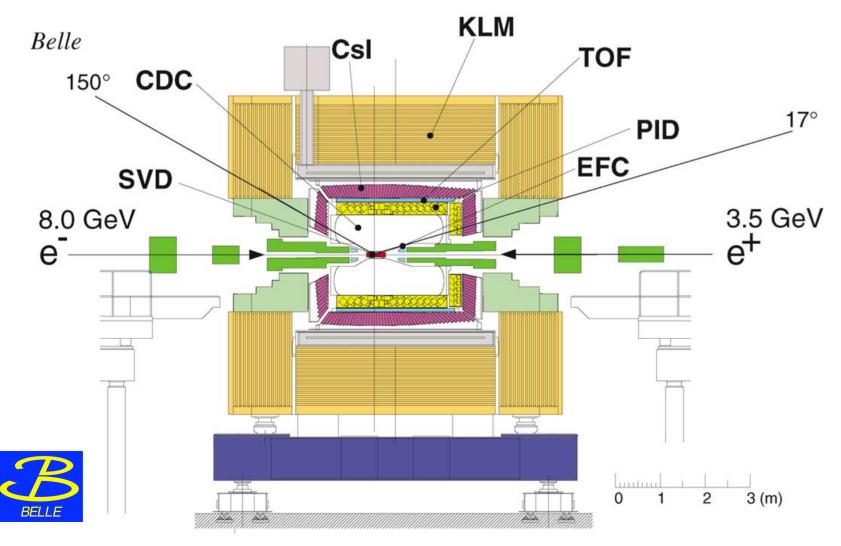
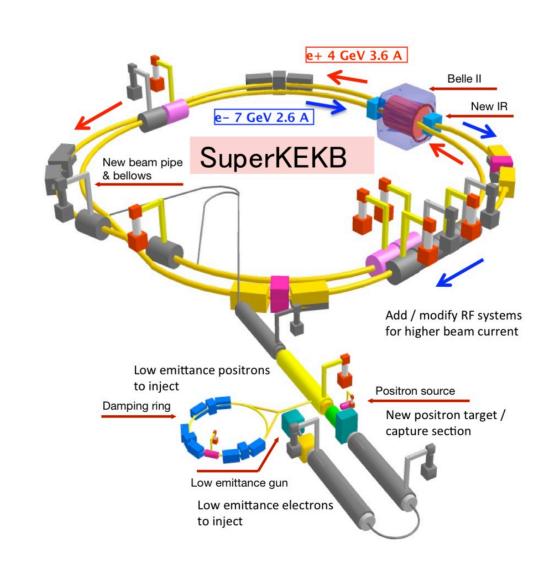
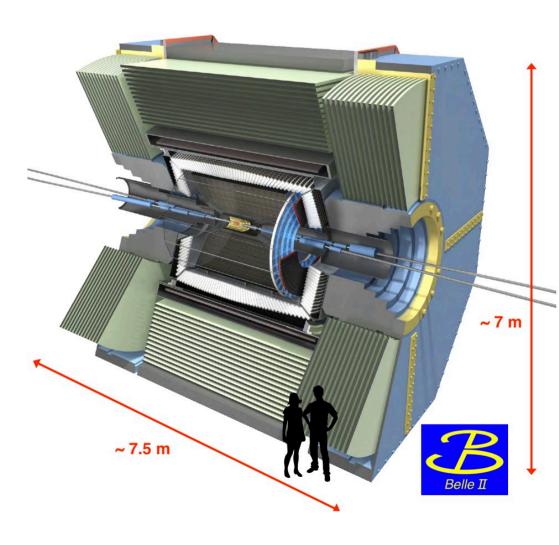


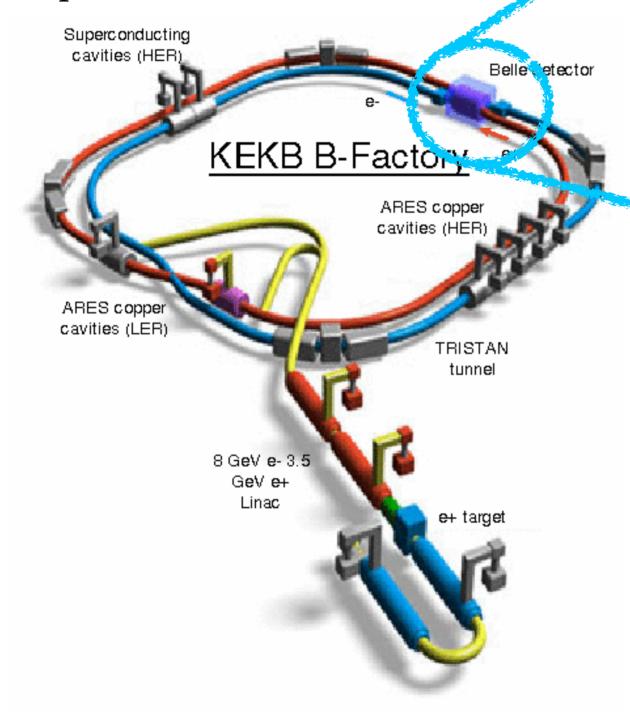
Fig. 1. Side view of the Belle detector.





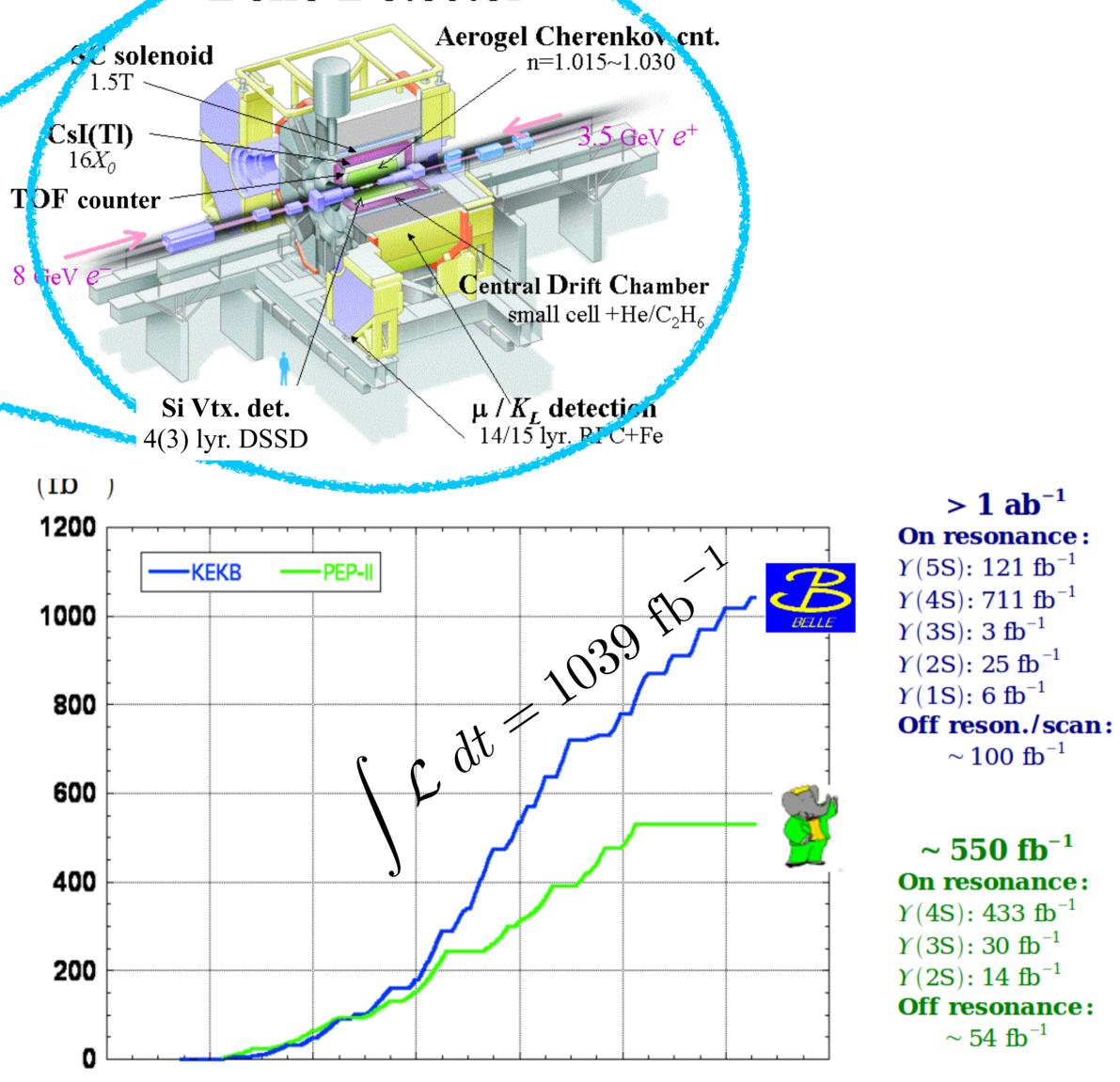


$$\mathcal{L}_{\text{peak}} = 21.1 \text{ nb}^{-1} \text{s}^{-1}$$



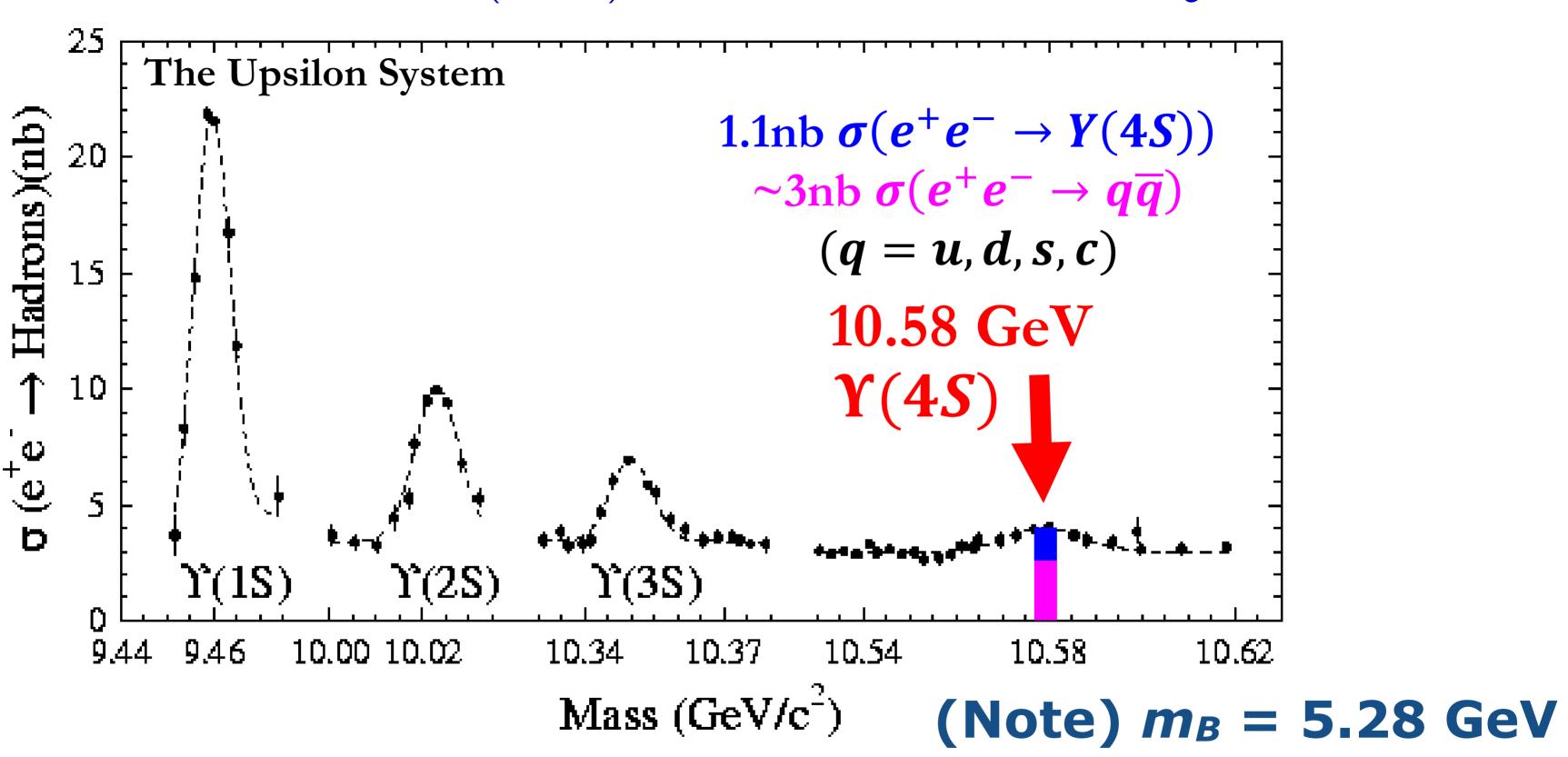
$$e^- \stackrel{\text{8 GeV}}{\longrightarrow} (\star) \stackrel{\text{3.5 GeV}}{\leftarrow} e^+$$

#### Belle Detector

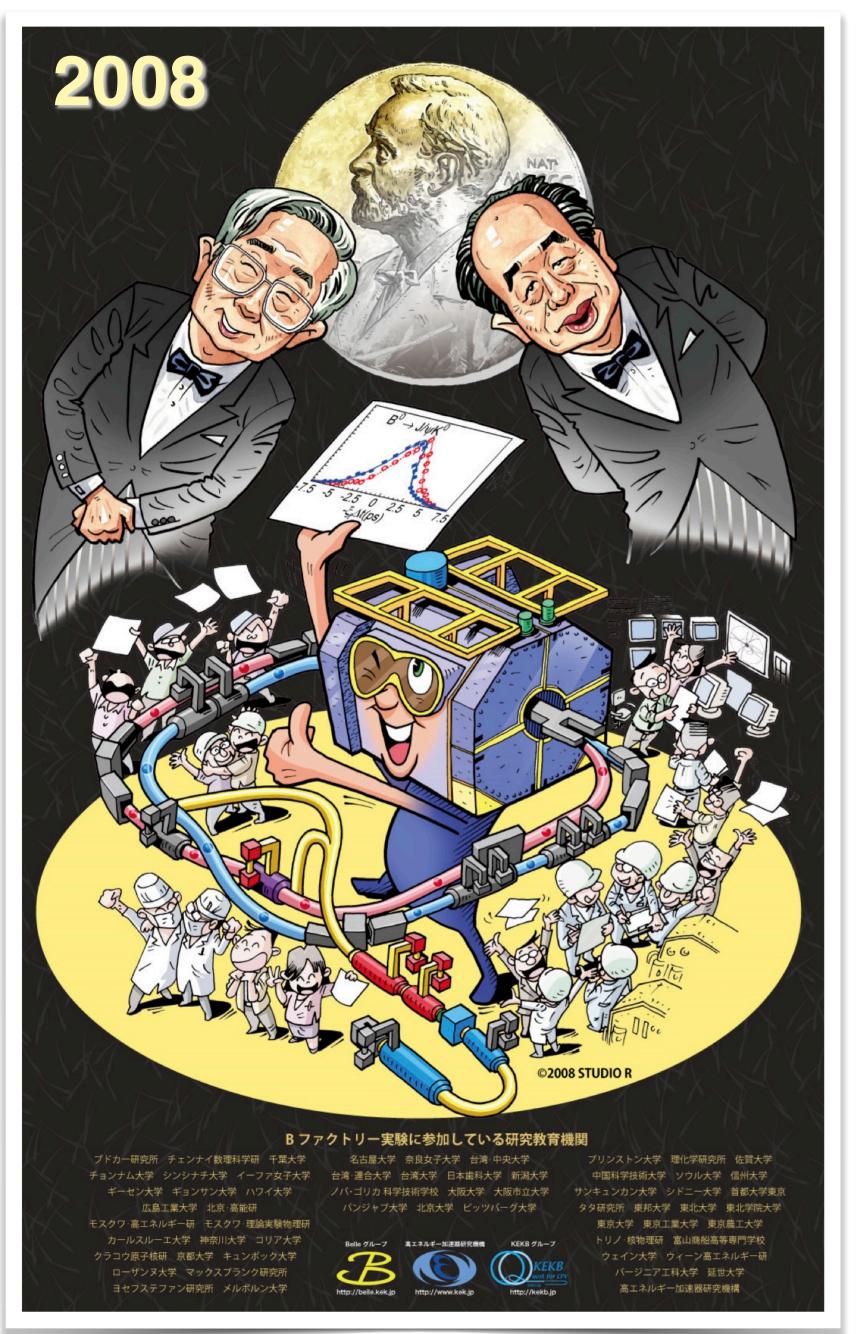


1998/1 2000/1 2002/1 2004/1 2006/1 2008/1 2010/1 2012/1

### $e^+e^- \rightarrow \Upsilon(4S)$ as a *B*-factory



- $\mathcal{B}(\Upsilon(4S) \to B\overline{B}) > 96\%$ , with  $p_B^{CM} \sim 0.35$  GeV/c
- nothing else but  $B\overline{B}$  in the final state  $\therefore$  if we know  $(E, \vec{p})$  of one B, the other B is also constrained

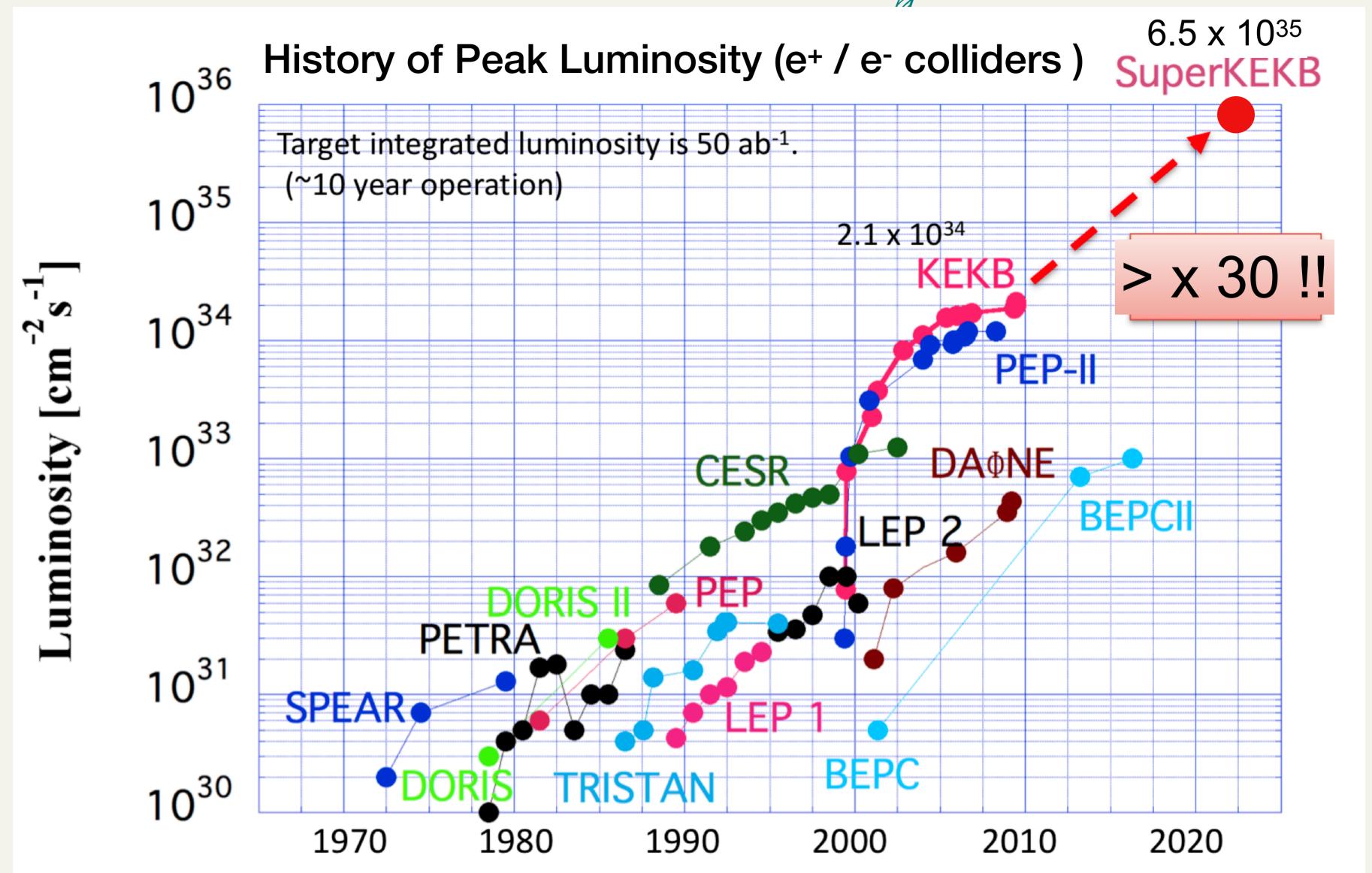


#### Belle (and BaBar, too) achievements include:

- CPV, CKM, and rare decays of B mesons (and  $B_s$ , too)
- Mixing, CP, and spectroscopy of charmed hadrons
- Quarkonium spectroscopy and discovery of (many) exotic states, e.g. X(3872),  $Z_c(4430)$ +
- Studies of  $\tau$  and  $2\gamma$

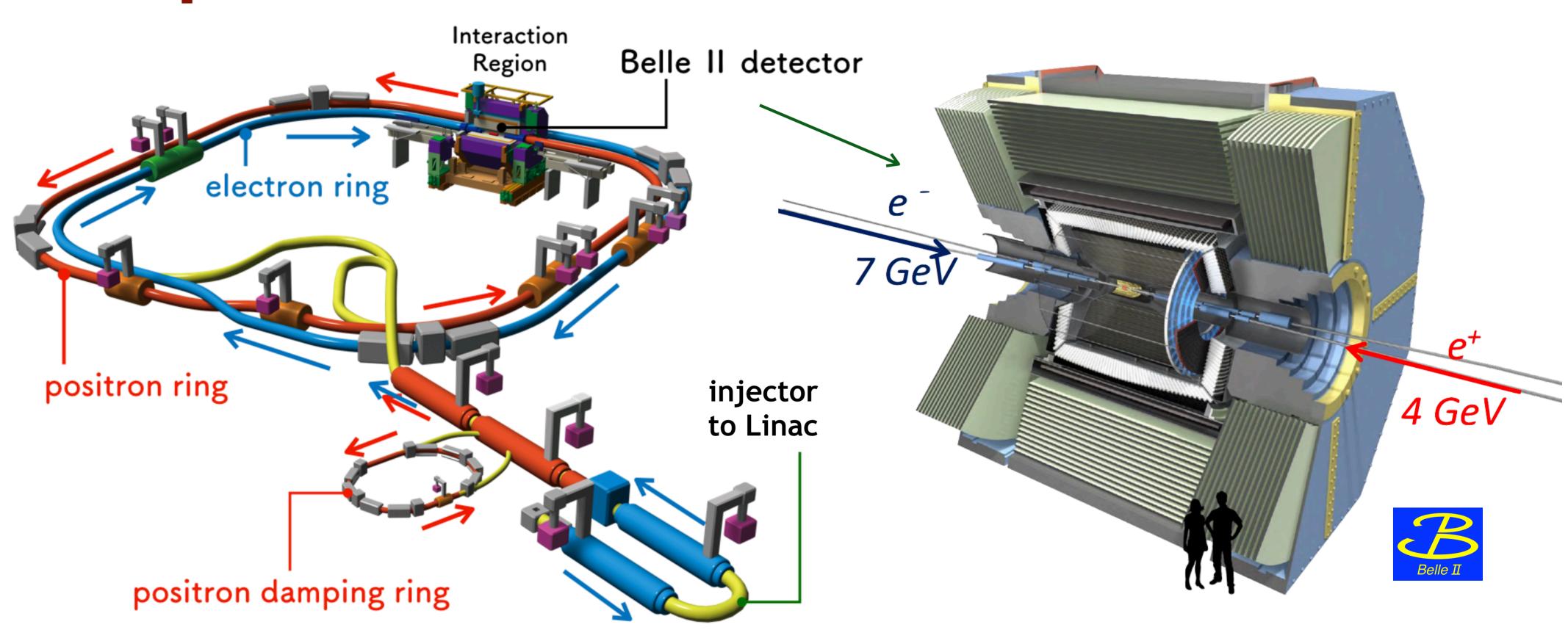


# The next Luminosity Frontier



### SuperKEKB

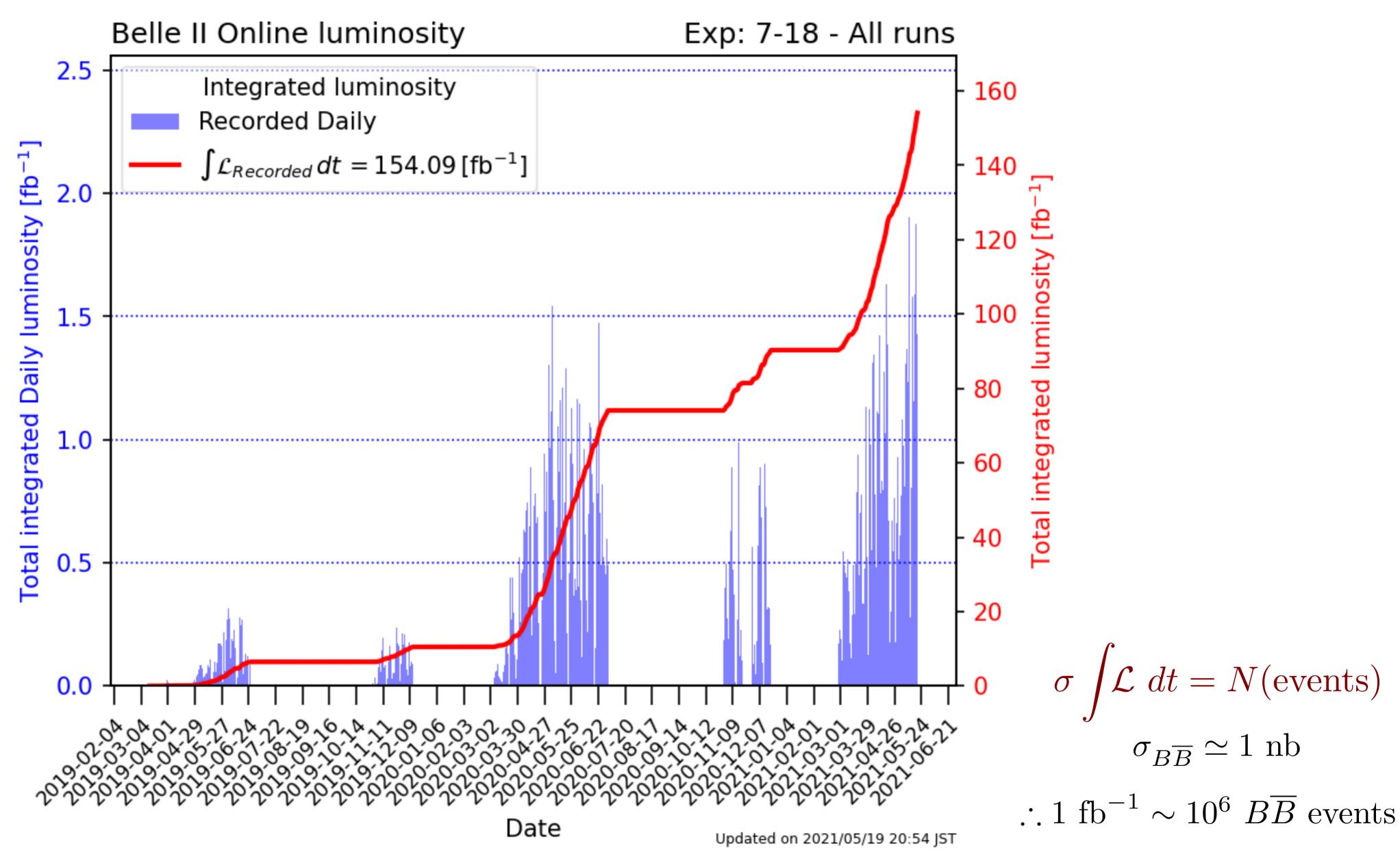
### Belle II



$$e^{-} \xrightarrow{7 \text{ GeV}} (\star) \xleftarrow{4 \text{ GeV}} e^{+}$$

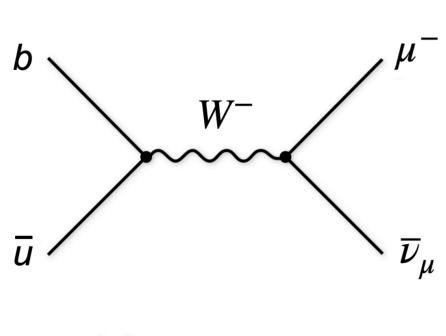
$$\mathcal{L} = 6.5 \times 10^{35} \text{ cm}^{-2} \text{s}^{-1}$$
$$\int^{\text{goal}} \mathcal{L} dt = 50 \text{ ab}^{-1}$$



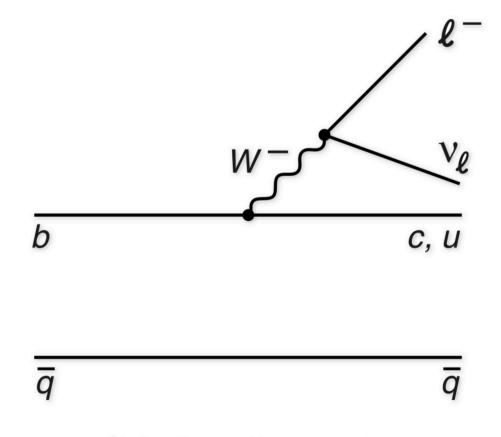


# Processes with $W^+ \to \ell^+ \nu_\ell$

- (a)  $B^+ \to \ell^+ \nu_{\ell}$  and beyond ("leptonic")
- (b)  $B^+ \to X_q \ell^+ \nu_\ell$  ("semileptonic")



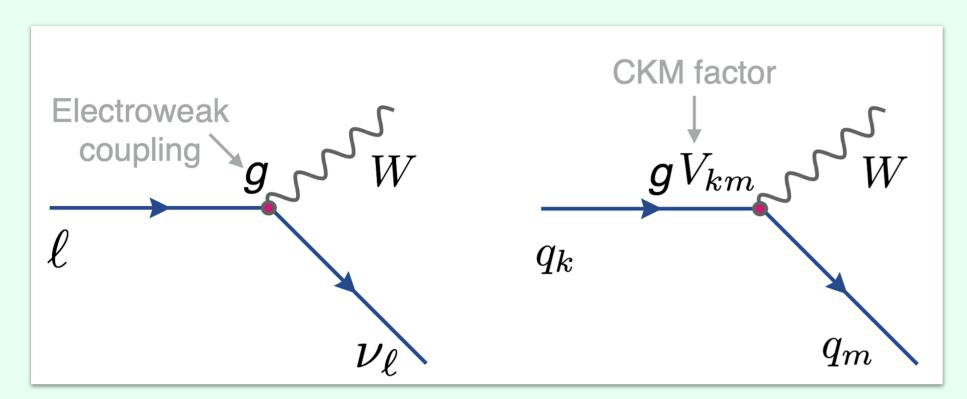
(a) Leptonic

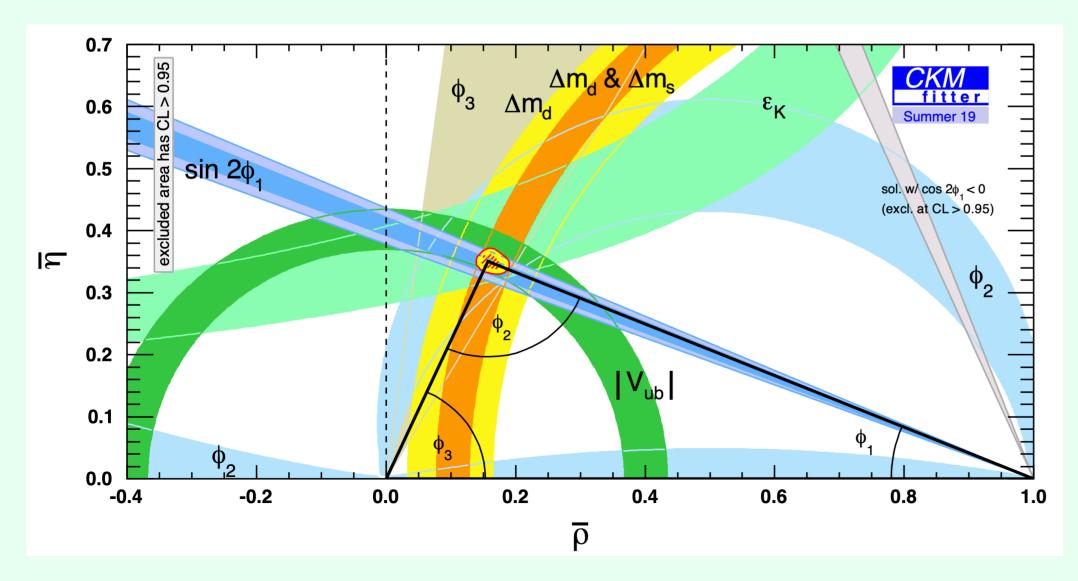


(b) Semileptonic

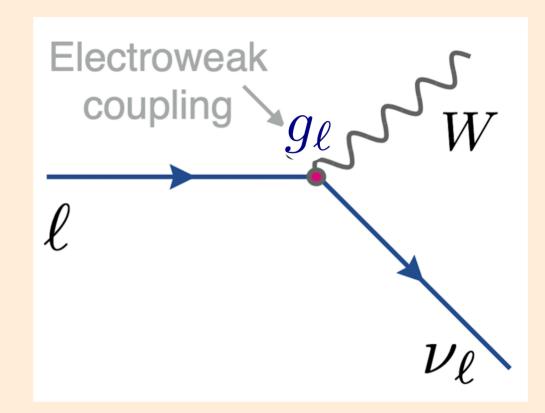
### 1-page summary of semileptonic decays

#### Precision measurements of CKM UT





#### Test of lepton universality in $R(D^{(*)})$



$$g_{\ell} \ (\ell = e, \ \mu, \ \tau)$$

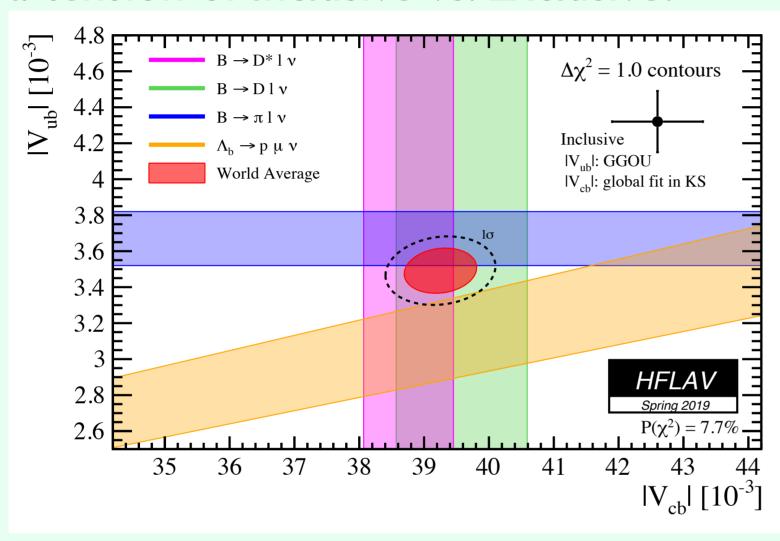
Is 
$$g_{\tau} = g_{\mu}$$
, and/or  $g_e$ ?

$$R(D^{(*)}) \equiv \frac{\mathscr{B}(B \to D^{(*)}\tau^{+}\nu)}{\mathscr{B}(B \to D^{(*)}\ell^{+}\nu)}$$

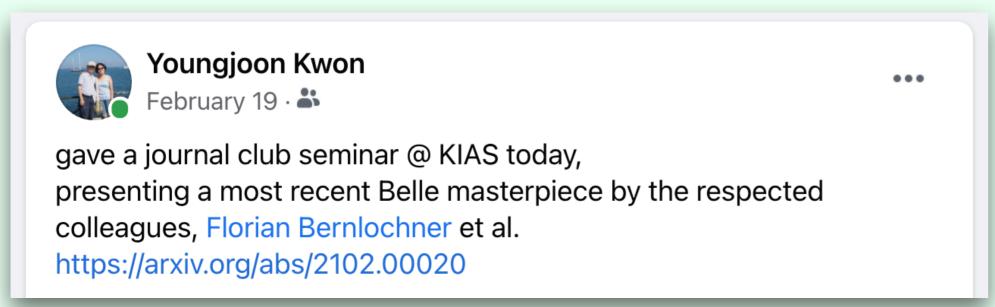
### 1-page summary of semileptonic decays

#### Precision measurements of CKM UT

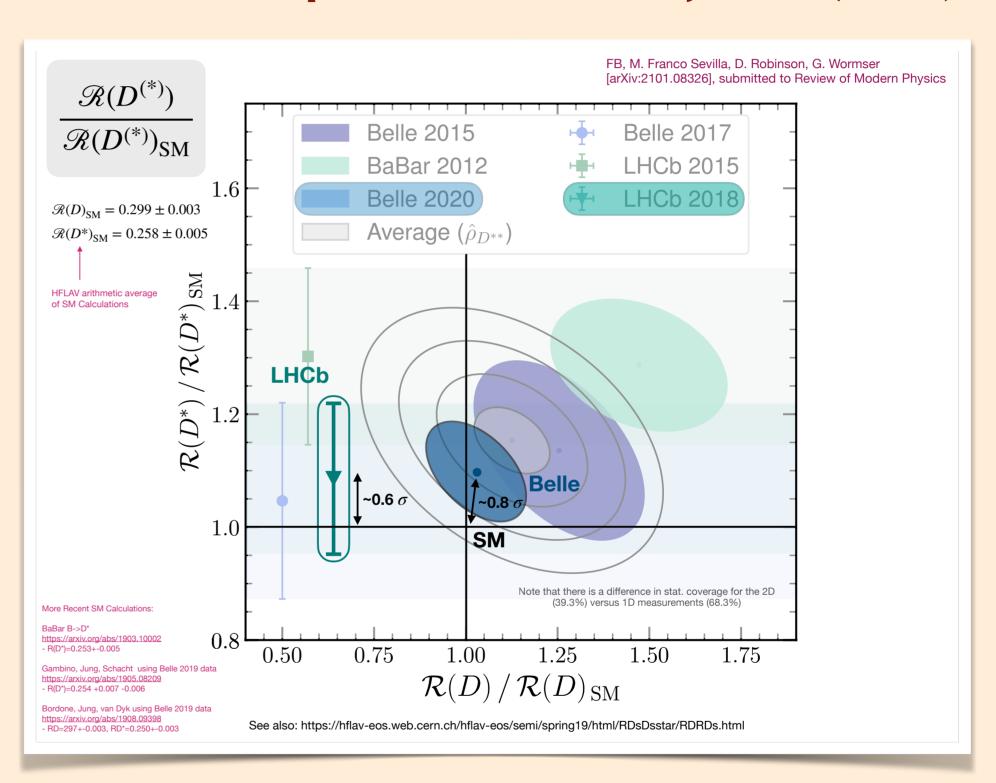
a tension of Inclusive vs. Exclusive?



• arXiv:2101.00020 for  $|V_{ub}|$  inclusive a "Belle masterpiece" by Uni. Bonn group



Test of lepton universality in  $R(D^{(*)})$ 



#### from Florian Bernlochner's talk @ APS 2021

FB, M. Franco Sevilla, D. Robinson, G. Wormser [arXiv:2101.08326], submitted to Review of Modern Physics

# $B^+ \to \ell^+ \nu_{\ell}$ , features & motivations

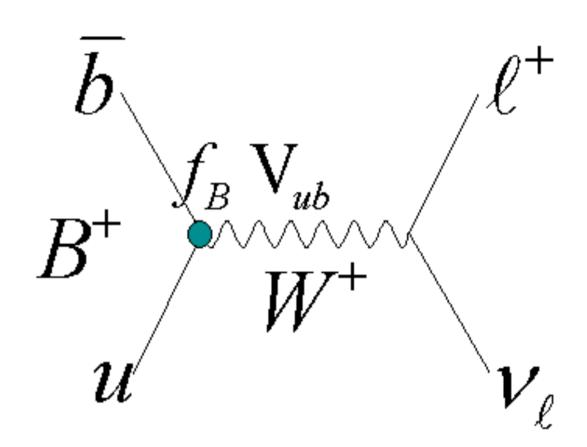
#### SM predictions

$$\Gamma(B^{+} \to \ell^{+} \nu) = \frac{G_{F}^{2} m_{B} m_{\ell}^{2}}{8\pi} \left(1 - \frac{m_{\ell}^{2}}{m_{B}^{2}}\right)^{2} f_{B}^{2} |V_{ub}|^{2}$$

$$B^{+} \to \tau^{+} \nu) \sim 10^{-4}$$

$$B(B^{+} \to \mu^{+} \nu) \sim \mathcal{B}(B^{+} \to \tau^{+} \nu)/300$$

$$B(B^{+} \to e^{+} \nu) \sim \mathcal{B}(B^{+} \to \tau^{+} \nu)/10^{7}$$



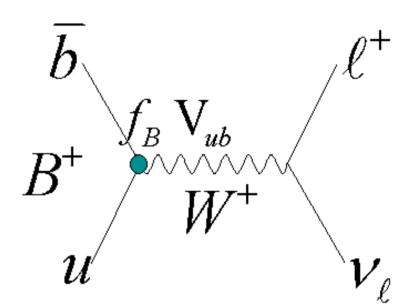
#### Experimental features

- $\bullet \quad B^+ \to \tau^+ \nu_{\tau}$ large BF, but multiple  $\nu$ 's
- $B^+ \to \ell^+ \nu_{\ell} \ (\ell \neq \tau)$   $E_{\ell} \sim m_B/2$ , but very small BF

# $B^+ \to \ell^+ \nu_\ell$ , features & motivations

SM predictions

$$\Gamma(B^+ o \ell^+ 
u) = rac{G_F^2 m_B m_\ell^2}{8\pi} \left( 1 - rac{m_\ell^2}{m_B^2} 
ight)^2 f_B^2 |V_{ub}|^2$$



- Motivations
  - ullet very clean place to measure  $f_B |V_{ub}|$ , and/or search for new physics (e.g.  $H^+$  or LQ)

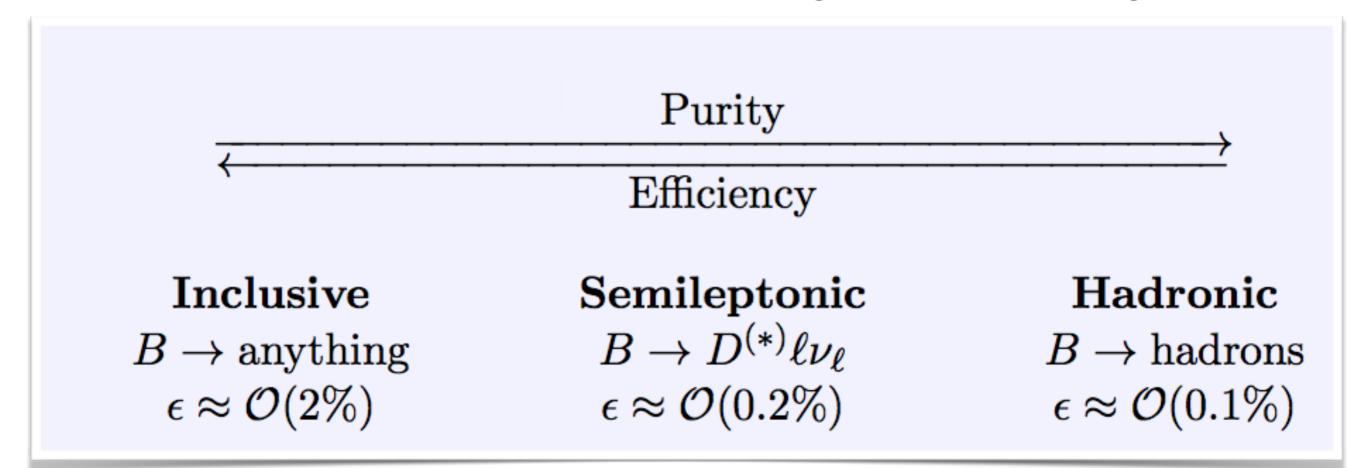
  - Belle has measured  $B^+ \to e^+ \nu$ ,  $\mu^+ \nu$  with both inclusive tag [PLB 647, 67 (2007)] and hadronic tag [PRD 91, 052016 (2015)] and updated  $B^+ \to \mu^+ \nu$  with inclusive tagging [PRL 121, 031801 (2018), and PRD 101, 032007 (2020)].

# $B^+ \to \ell^+ \nu_\ell$ , 'to Tag or not to Tag'

- Why bother?
  - missing  $\nu(s)$  in the final state
  - need extra kinematic constraints to improve sensitivity
  - exploit  $\Upsilon(4S)$  producing  $B\overline{B}$  and nothing else

$$e^+e^- o \Upsilon(4S) o B_{\rm sig}\overline{B}_{\rm tag}$$

- How to tag?
  - ullet "hadronic tagging" reconstruction of the full decay chain of  $B_{
    m tag}$  in hadronic modes
  - "semileptonic tagging" use semileptonic  $B_{
    m tag}$  decays, e.g.  $B_{
    m tag} o \overline D^{(*)} \ell^+ 
    u$



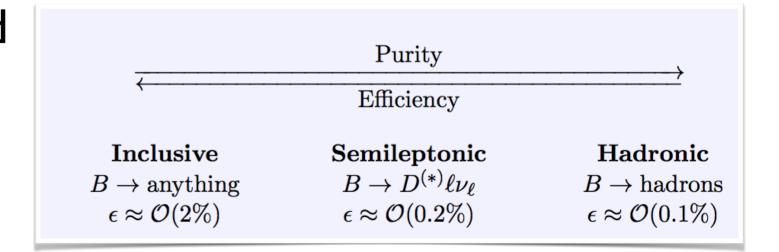
16

# $B^+ \to \ell^+ \nu_\ell$ , 'to Tag or not to Tag'

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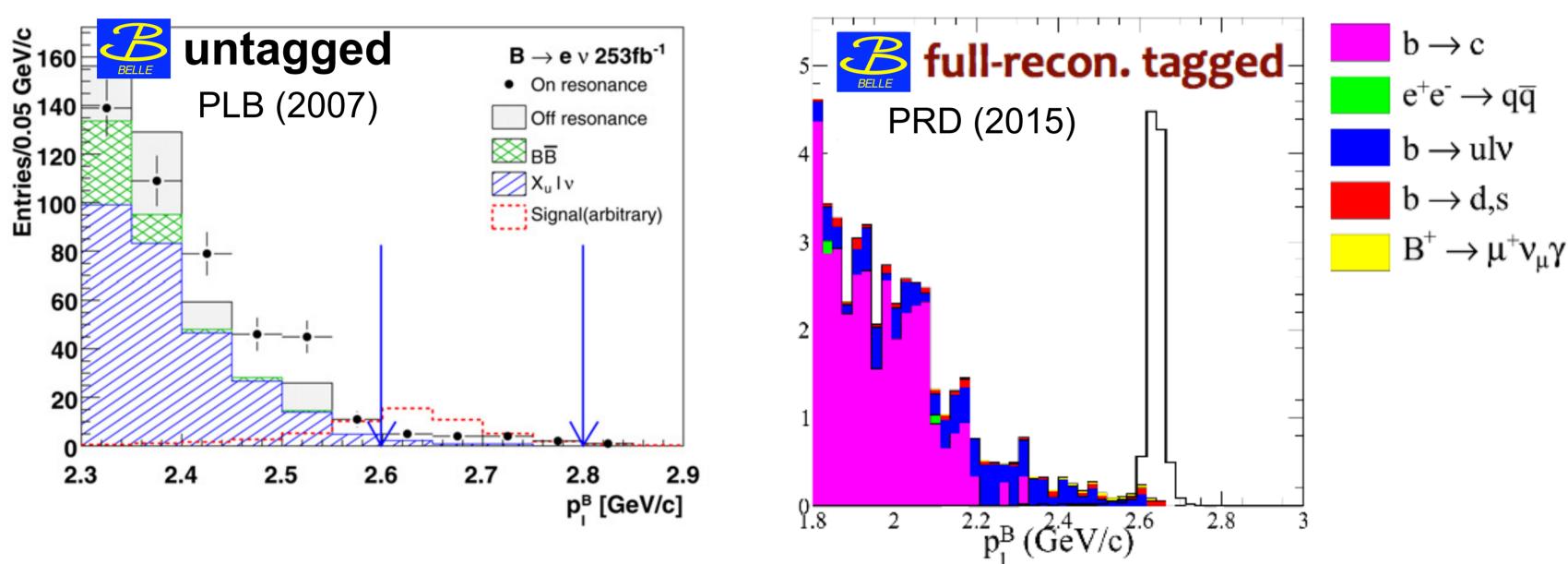
$$e^+e^- \to \Upsilon(4S) \to B_{\rm sig}\overline{B}_{\rm tag}$$

- How to tag?
  - ullet "hadronic tagging" reconstruction of the full decay chain of  $B_{
    m tag}$  in hadronic modes
  - "semileptonic tagging" use semileptonic  $B_{\mathrm{tag}}$  decays, e.g.  $B_{\mathrm{tag}} \to \overline{D}^{(*)} \mathscr{E}^+ \nu$
- Tagged vs. Untagged for  $B^+ \to \ell^+ \nu_\ell$  ( $\ell \neq \tau$ )
  - ullet tagging is not really necessary  $\because$  mono-energetic  $\ell^+$  in the final state
  - Nonetheless, analyses with tagging have also been tried



# $B^+ \to \ell^+ \nu_\ell$ , why bother with tagging?

#### signal lepton candidate's momentum in the $B_{ m sig}$ rest frame



- ullet much better resolution of  $p_{\ell}^B$  with the full-recon. tagging
- But, does it make a case for 'full-recon tagging' analysis of  $B^+ \to \ell^+ \nu_\ell$ ?



# $B^+ \to \ell^+ \nu_\ell$ , why bother with tagging?

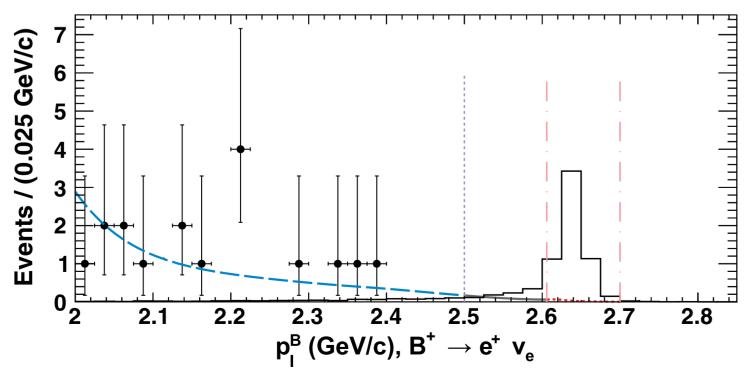
- Note:  $\mathscr{B}_{SM}(B^+ \to e^+ \nu_e) \sim 10^{-11}$  and  $\mathscr{B}_{SM}(B^+ \to \mu^+ \nu_\mu) \sim 3 \times 10^{-7}$ • any signal for  $B^+ \to e^+ \nu_e$  at the Belle (II) sensitivity is way beyond the SM
- In that case, are we sure what we see is really  $B^+ \to e^+\nu_e$ ? What about  $B^0 \to e^+\tau^-$ ? Or,  $B^+ \to e^+X^0$  where  $X^0$  is any invisible particle from NP, e.g. sterile  $\nu$ ?
- $\bullet$  With full-recon, we can use  $p_{\ell}^{B}$  to discern many such cases

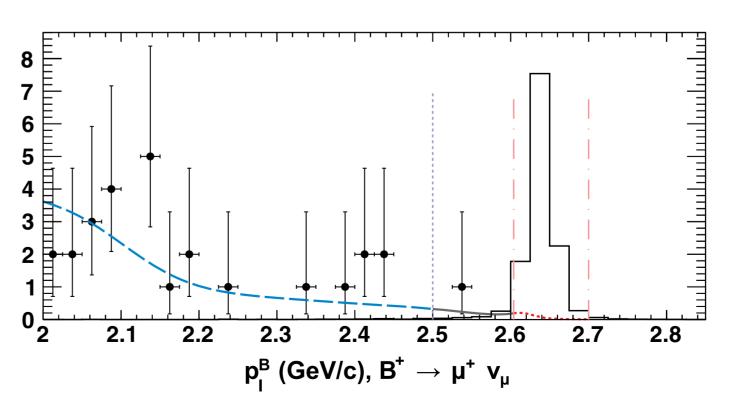
PHYSICAL REVIEW D 91, 052016 (2015)

Search for  $B^+ o e^+ 
u_e$  and  $B^+ o \mu^+ 
u_\mu$  decays using hadronic tagging

Y. Yook, <sup>70</sup> Y.-J. Kwon, <sup>70</sup> A. Abdesselam, <sup>58</sup> I. Adachi, <sup>12</sup> S. Al Said, <sup>58,27</sup> K. Arinstein, <sup>4</sup> D. M. Asner, <sup>49</sup> V. Aulchenko, <sup>4</sup> T Aushev <sup>22</sup> R Avad <sup>58</sup> S Bahinipati <sup>15</sup> A M Bakich <sup>57</sup> A Bala <sup>50</sup> V Bansal <sup>49</sup> V Bhardwai <sup>41</sup> R Bhuvan <sup>16</sup> A Bondar <sup>4</sup>

#### Belle analysis with hadronic *B*-tagging





10p @ SNU

Mode

Γ*0*/<sub>0</sub> ]

λ/

N7bk

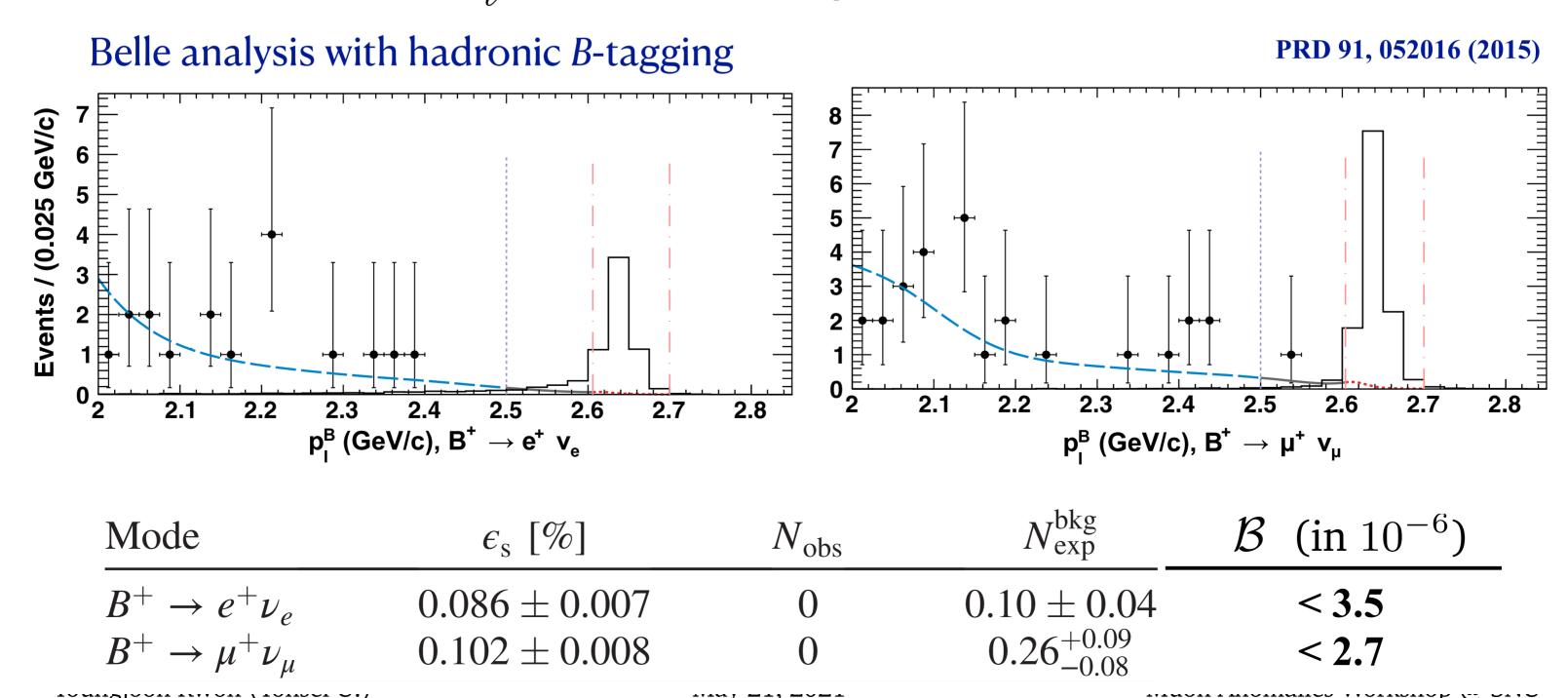
 $R (in 10^{-6})$ 

19



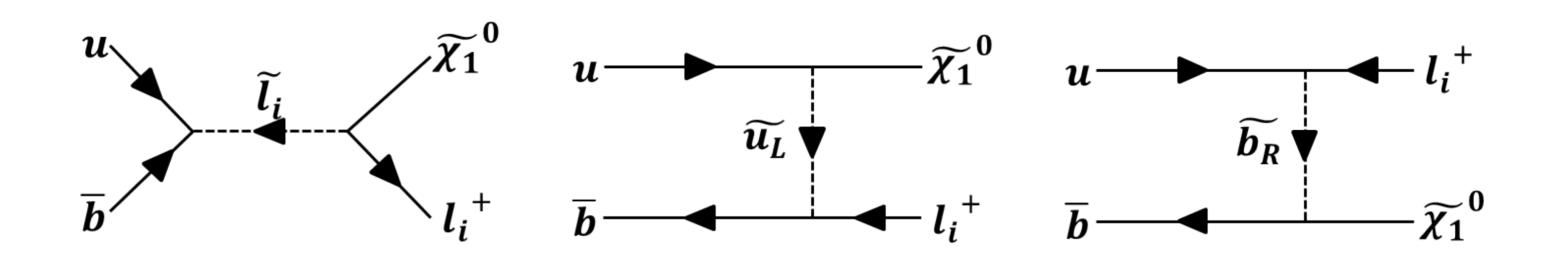
# $B^+ \to \ell^+ \nu_\ell$ , why bother with tagging?

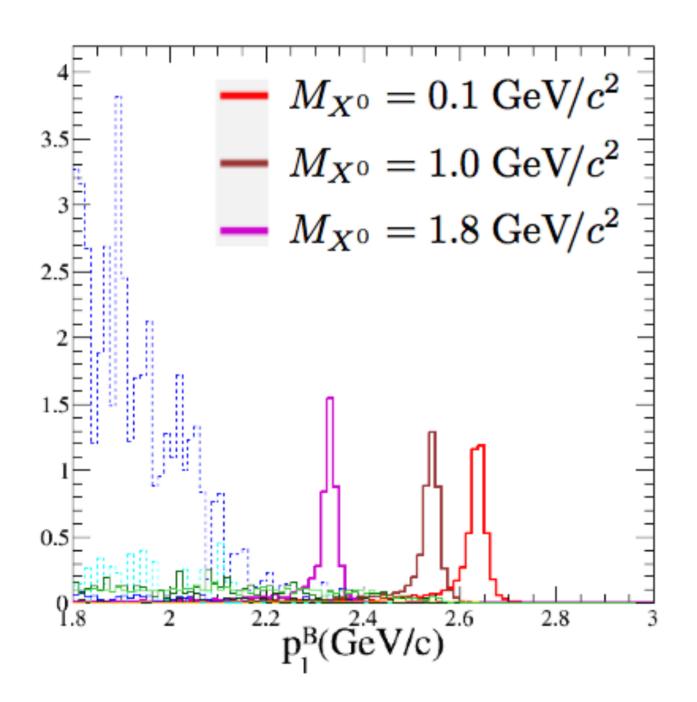
- Note:  $\mathscr{B}_{SM}(B^+ \to e^+ \nu_e) \sim 10^{-11}$  and  $\mathscr{B}_{SM}(B^+ \to \mu^+ \nu_\mu) \sim 3 \times 10^{-7}$  $\rightarrow$  any signal for  $B^+ \to e^+ \nu_e$  at the Belle (II) sensitivity is way beyond the SM
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- $\bullet$  With full-recon, we can use  $p_{\ell}^{B}$  to discern many such cases



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# $B^+ \to \mathcal{C}^+ X^0$ , why not?





- Search for massive neutral invisible fermion " $X^0$ " a heavy neutrino, or an LSP in RPV models, or whatever
- ullet Experimental signature, very similar to  $B^+ o \ell^+ 
  u_\ell$
- But,  $p_{\ell}^B$  provides a handle on  $m_{X^0}$

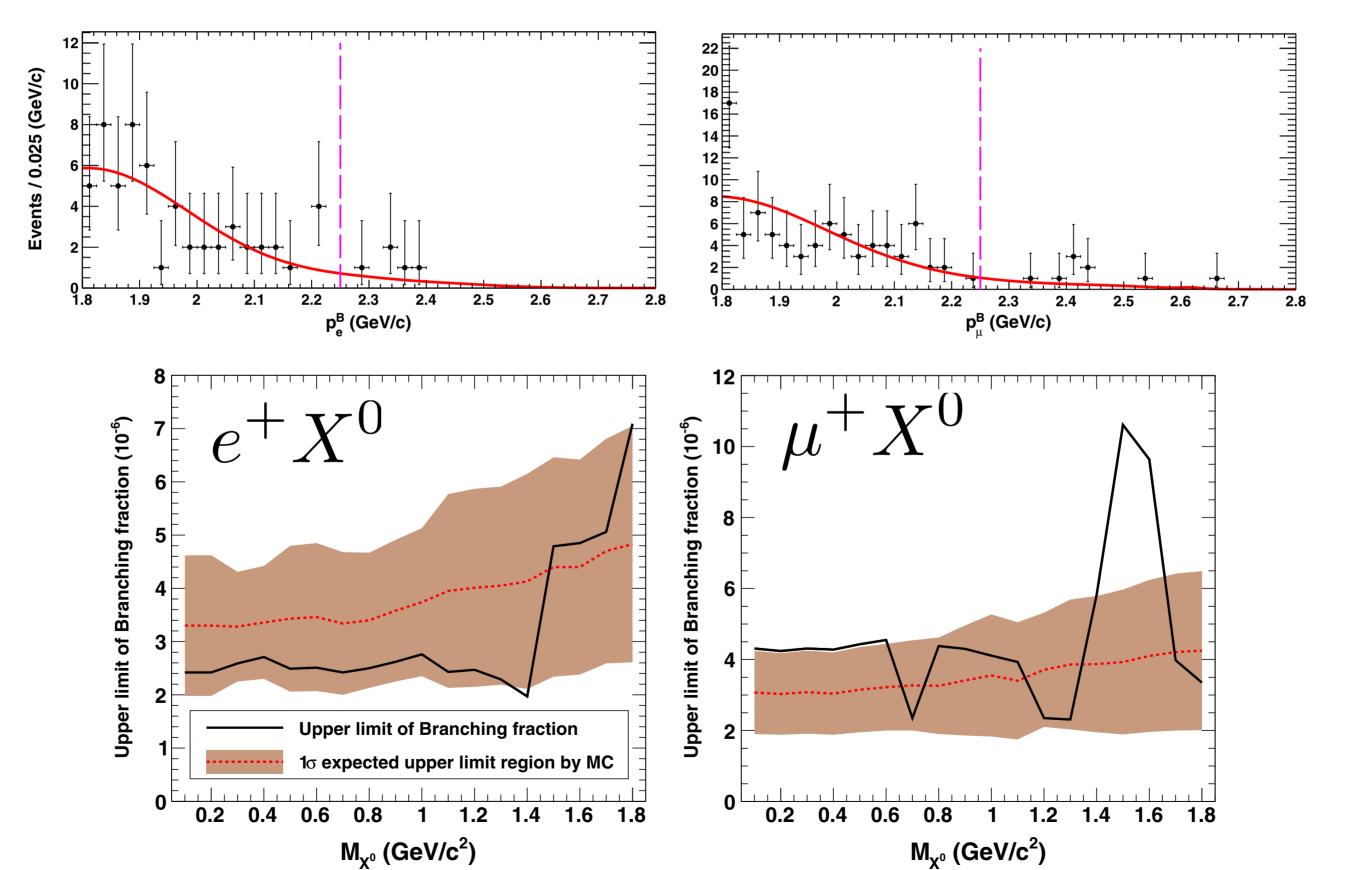


### $B^+ \to \ell^+ X^0$ search at Belle

PHYSICAL REVIEW D 94, 012003 (2016)

Search for a massive invisible particle  $X^0$  in  $B^+ \to e^+ X^0$  and  $B^+ \to \mu^+ X^0$  decays

C.-S. Park, <sup>69</sup> Y.-J. Kwon, <sup>69</sup> I. Adachi, <sup>12,9</sup> H. Aihara, <sup>61</sup> D. M. Asner, <sup>47</sup> T. Aushev, <sup>35</sup> V. Babu, <sup>55</sup> I. Badhrees, <sup>54,24</sup> A M Rakich <sup>53</sup> E Rarberio <sup>33</sup> P Rehera <sup>16</sup> V Rhardwai <sup>51</sup> I Riswal <sup>21</sup> G Ronvicini <sup>67</sup> A Rozek <sup>42</sup> M Rračko <sup>31,21</sup>





$$B^+ \to \mu^+ \nu_\mu$$
 and  $B^+ \to \mu^+ N$ 

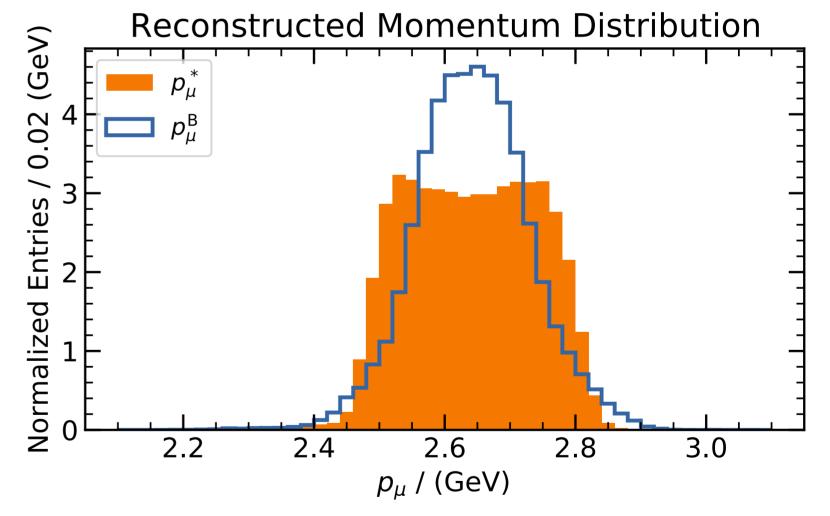
N = an unknown neutral fermion (e.g. a sterile v)

#### **Features**

- an <u>improved</u> search over Belle's 2018 result (PRL 121, 031801)
  - $\checkmark$  modeling of  $b \to u \ell^+ \nu$  and continuum background
  - $\checkmark$  use inclusive B tagging to maximize signal selection efficiency

 $(\Leftarrow BF_{SM} \sim 4 \times 10^{-7})$ 

- carry out the analysis in the <u>signal B rest frame</u>
  - ✓ exploit  $p_{\mu}^{B} \simeq 2.64 \text{ GeV}$
  - ✓ achieve better resolution and sensitivity than using  $p_{\mu}^{*}$  (CM frame)
  - ✓ sensitive to  $B^+ \to \mu^+ N$  search, for  $m_N \in [0, 1.5)$  GeV

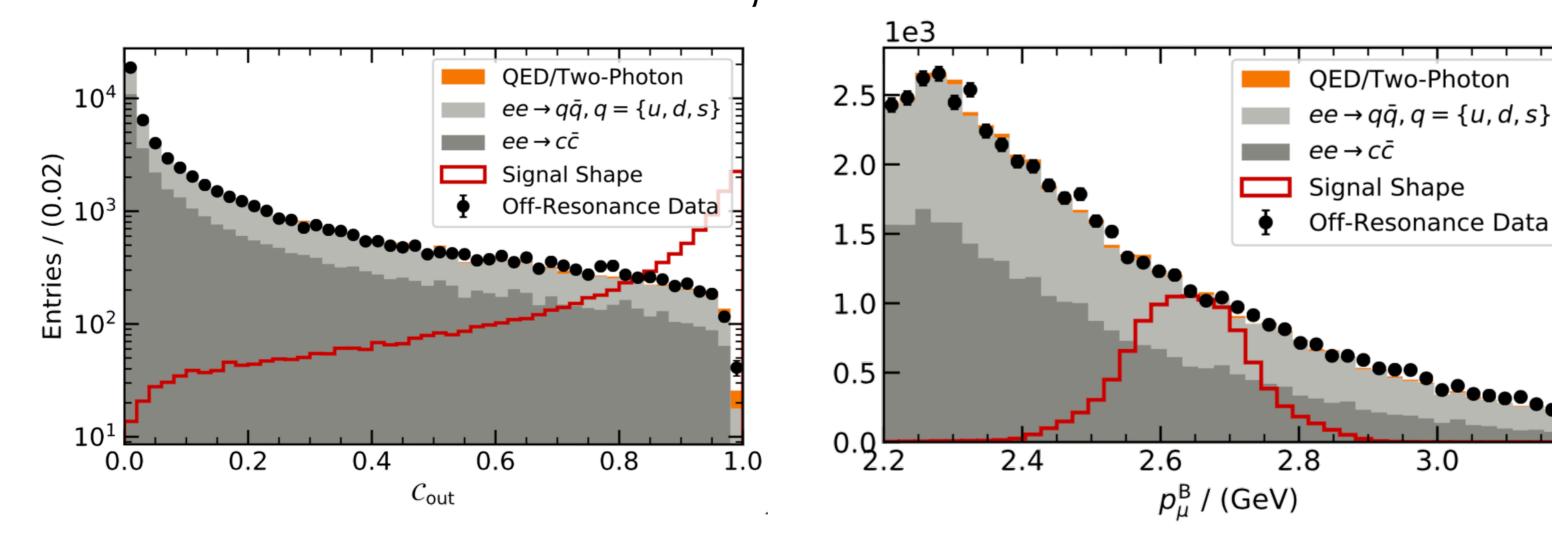




$$B^+ \to \mu^+ \nu_\mu$$
 and  $B^+ \to \mu^+ N$ 

#### Signal extraction

✓ by binned max. likelihood fit to  $p_{\mu}^{B}$  in kinematic/BDT categories



Category	$C_{ m out}$	$\cos\Theta_{B\mu}$	Signal Efficiency
I	[0.98, 1.00)	[-0.13, 1.00)	6.5%
II	[0.98, 1.00)	[-1.00, -0.13)	5.9%
III	[0.93, 0.98)	[0.04, 1.00)	7.1%
IV	[0.93, 0.98)	[-1.00, 0.04)	8.3%

3.0

 $\cos\Theta_{B\mu}$ 

[-0.13, 1.00)

[-1.00, -0.13)

[0.04, 1.00)

[-1.00, 0.04)

Category  $C_{\text{out}}$ 

II

III

[0.98, 1.00)

[0.98, 1.00)

[0.93, 0.98)

[0.93, 0.98)



Signal Efficiency

 $6.5\,\%$ 

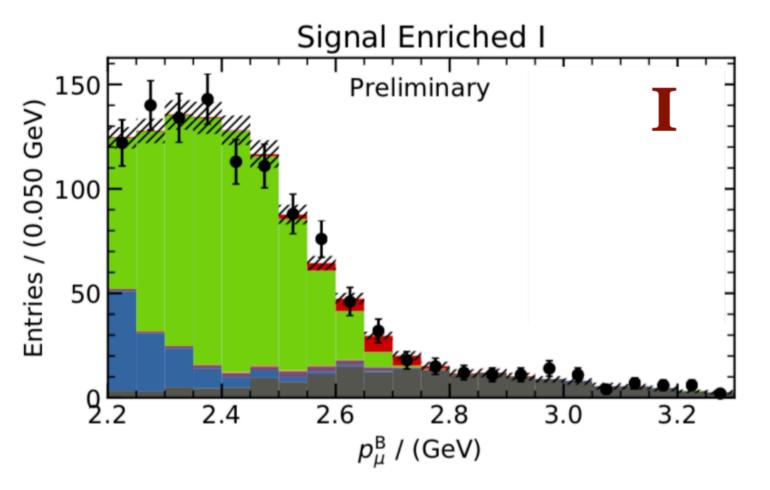
 $5.9\,\%$ 

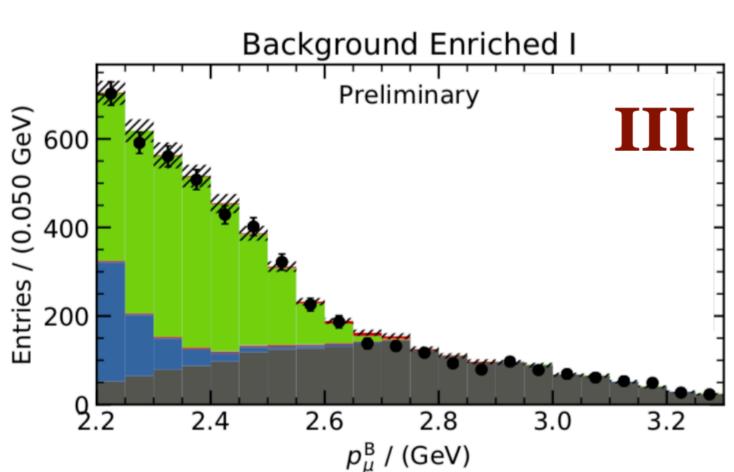
 $7.1\,\%$ 

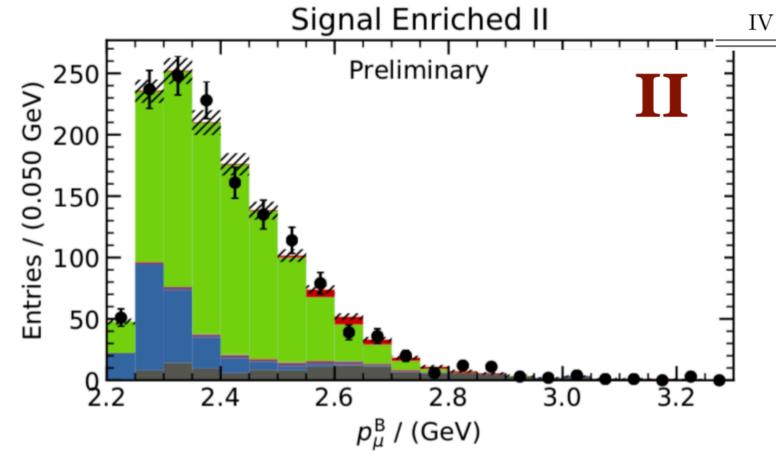
 $8.3\,\%$ 

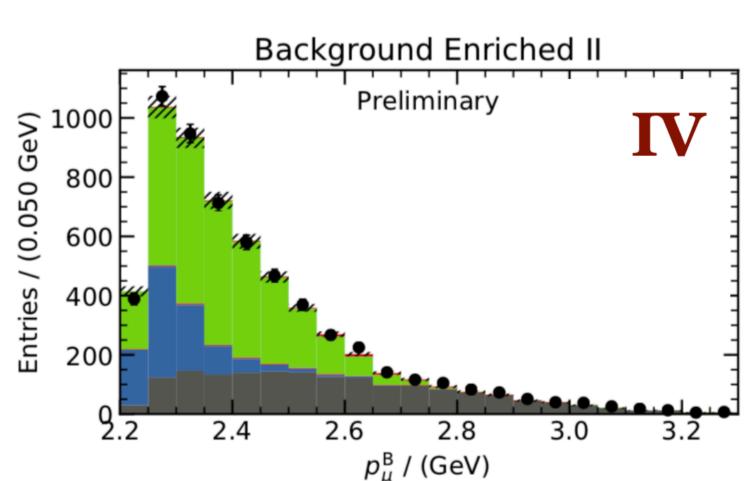
# $B^+ \to \mu^+ \nu_\mu$ and $B^+ \to \mu^+ N$

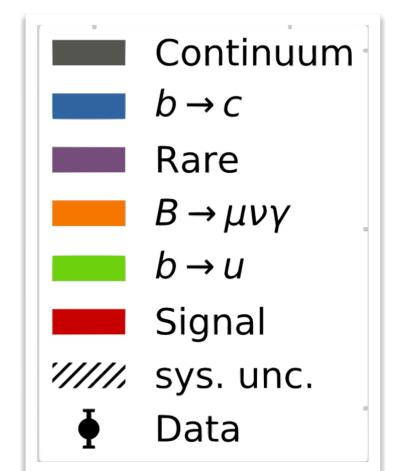
#### Signal extraction





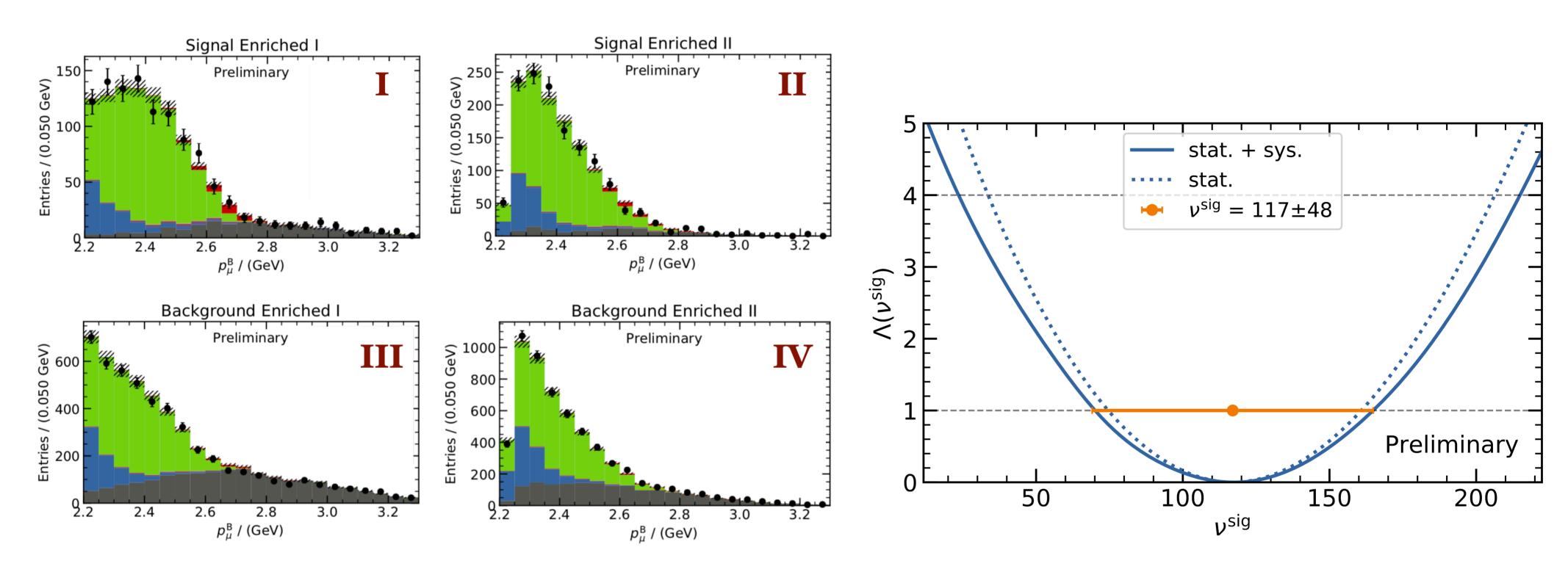








# $B^+ \rightarrow \mu^+ \nu$ Result



• 
$$\mathcal{B}(B^+ \to \mu^+ \nu) = (5.3 \pm 2.0 \pm 0.9) \times 10^{-7}$$
 @  $2.8\sigma$ 

$$\mathcal{B}(B^+ \to \mu^+ \nu) < 8.6 \times 10^{-7}$$
  
 $< 8.9 \times 10^{-7}$ 

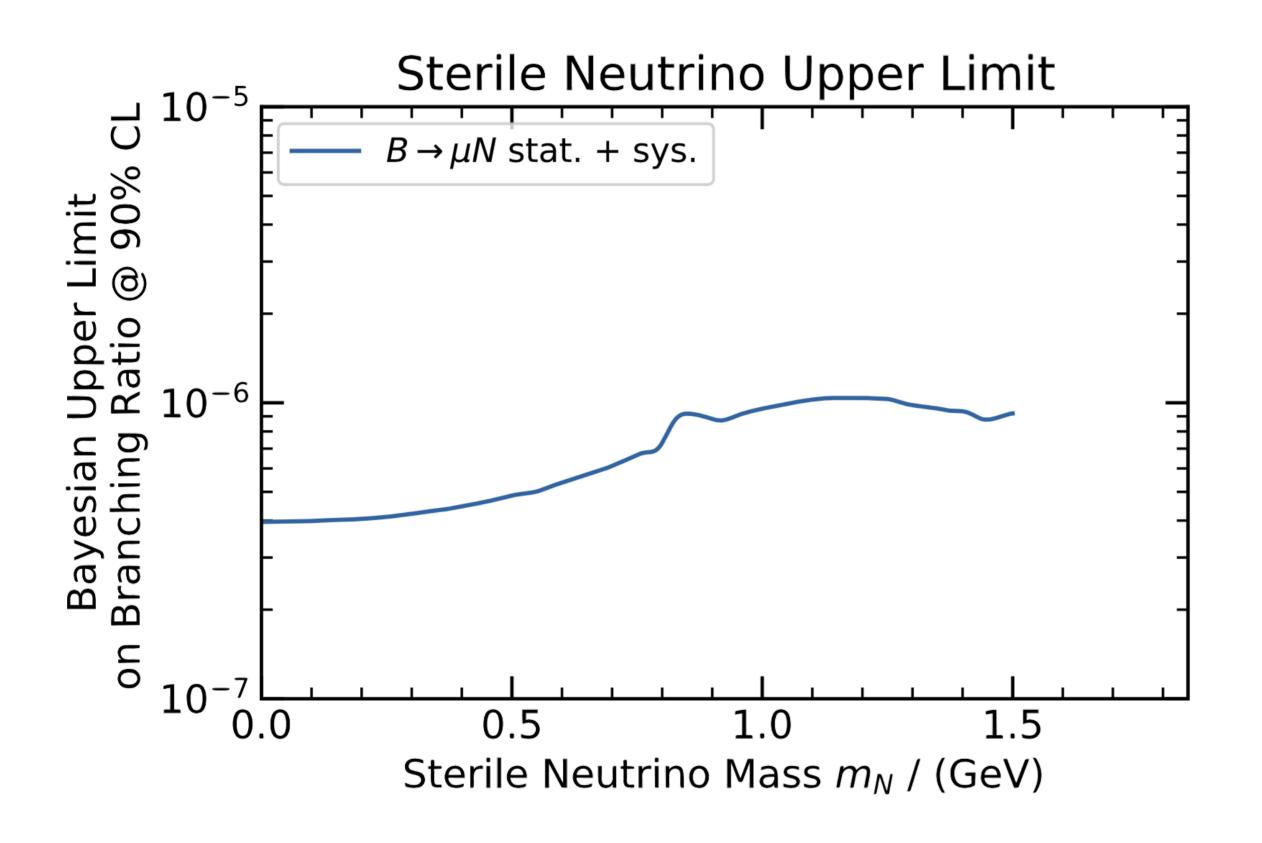
**Frequentist** 

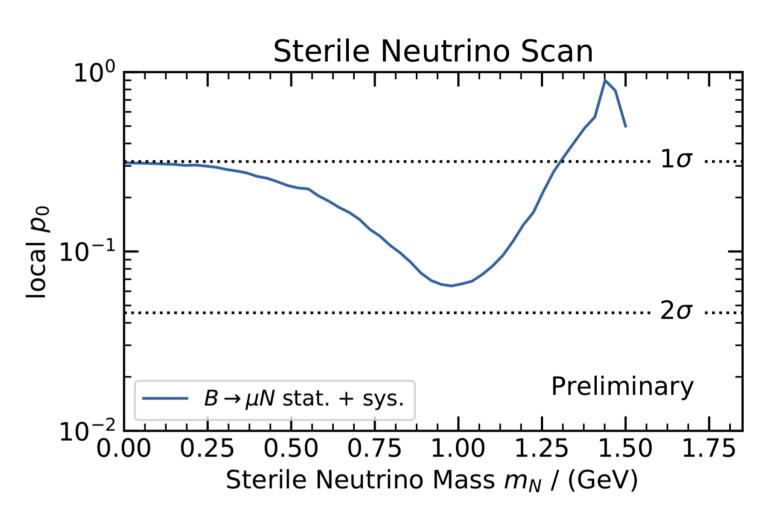
**Bayesian** 

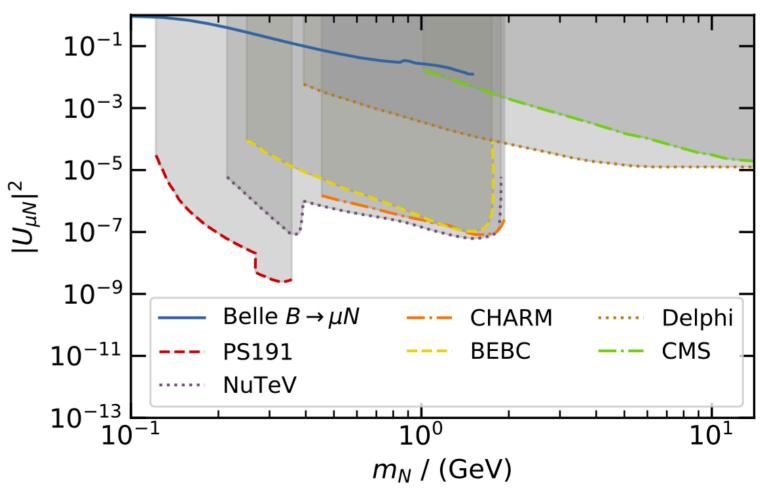
See back-up slide for New Physics implication!

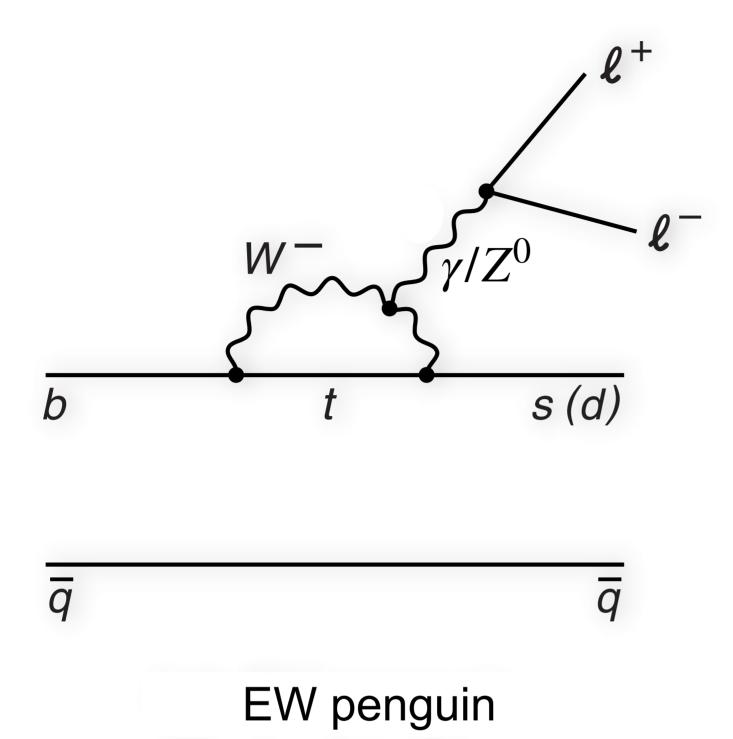


# $B^+ \rightarrow \mu^+ N$ search

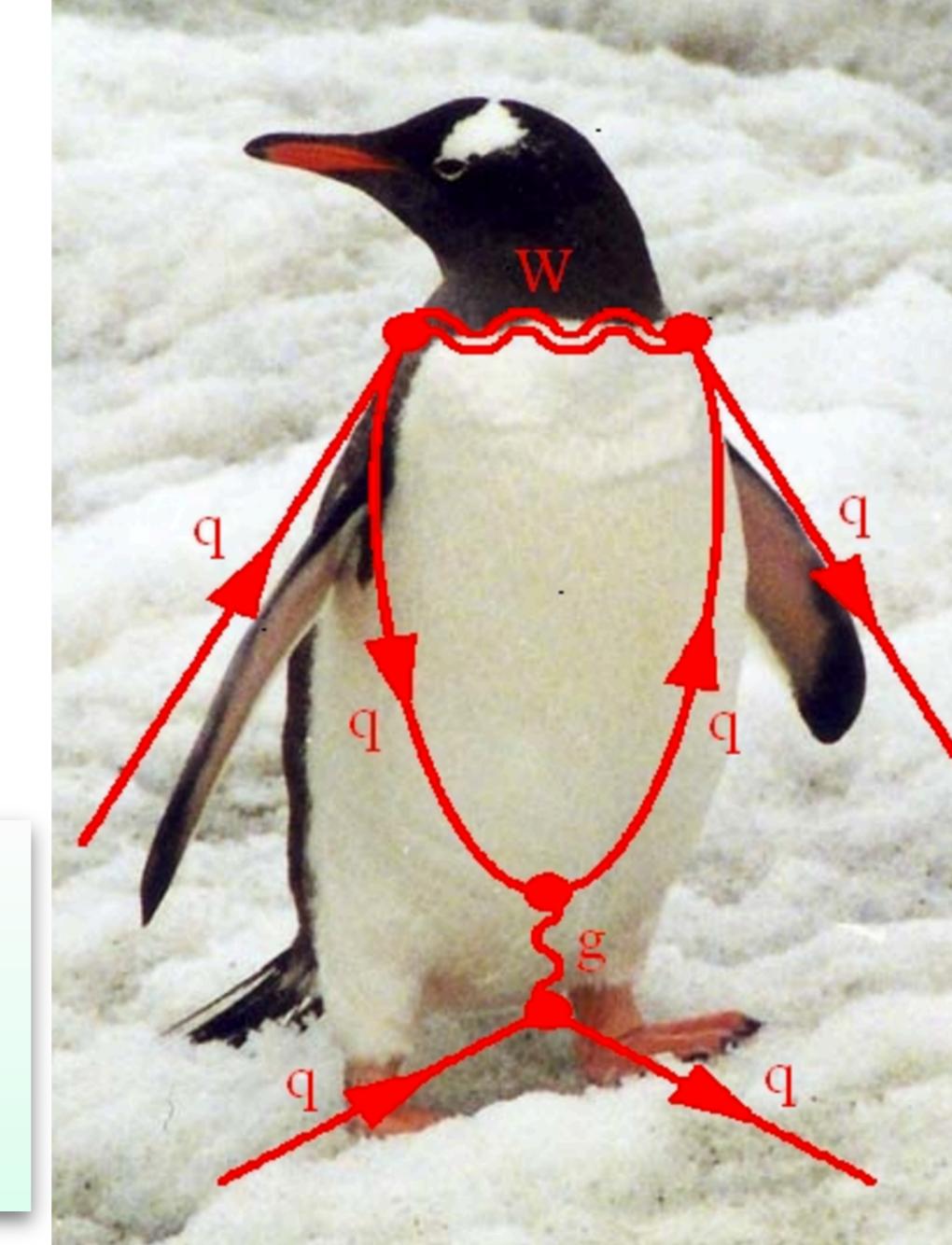














# belle's legacy on EW penguins

- First observation of  $B \to K\ell^+\ell^-$
- First observation of  $B \to K^* \ell^+ \ell^-$
- First observation of  $B \to X_s \ell^+ \ell^-$
- First measurement of  $A_{\rm FB}$  of  $B \to K^* \ell^+ \ell^-$
- First observations of several radiative modes,  $\phi K \gamma$ ,  $K_1 \gamma$ , etc.
- First observation of  $B \to (\rho, \omega)\gamma$
- Most precise measurement of  $B \to X_s \gamma$  covering the widest  $E_{\gamma}$  range
- and many more published results

PRL 88, 021801 (2002)

PRL 91, 261601 (2003)

PRL **90**, 021801 (2003)

PRL **96**, 251801 (2006)

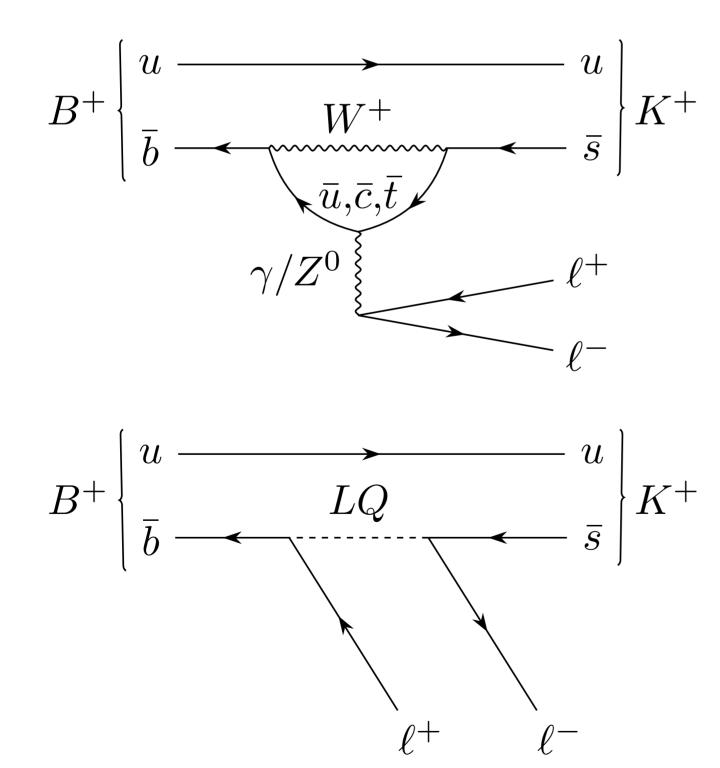
PRL **96**, 221601 (2006)

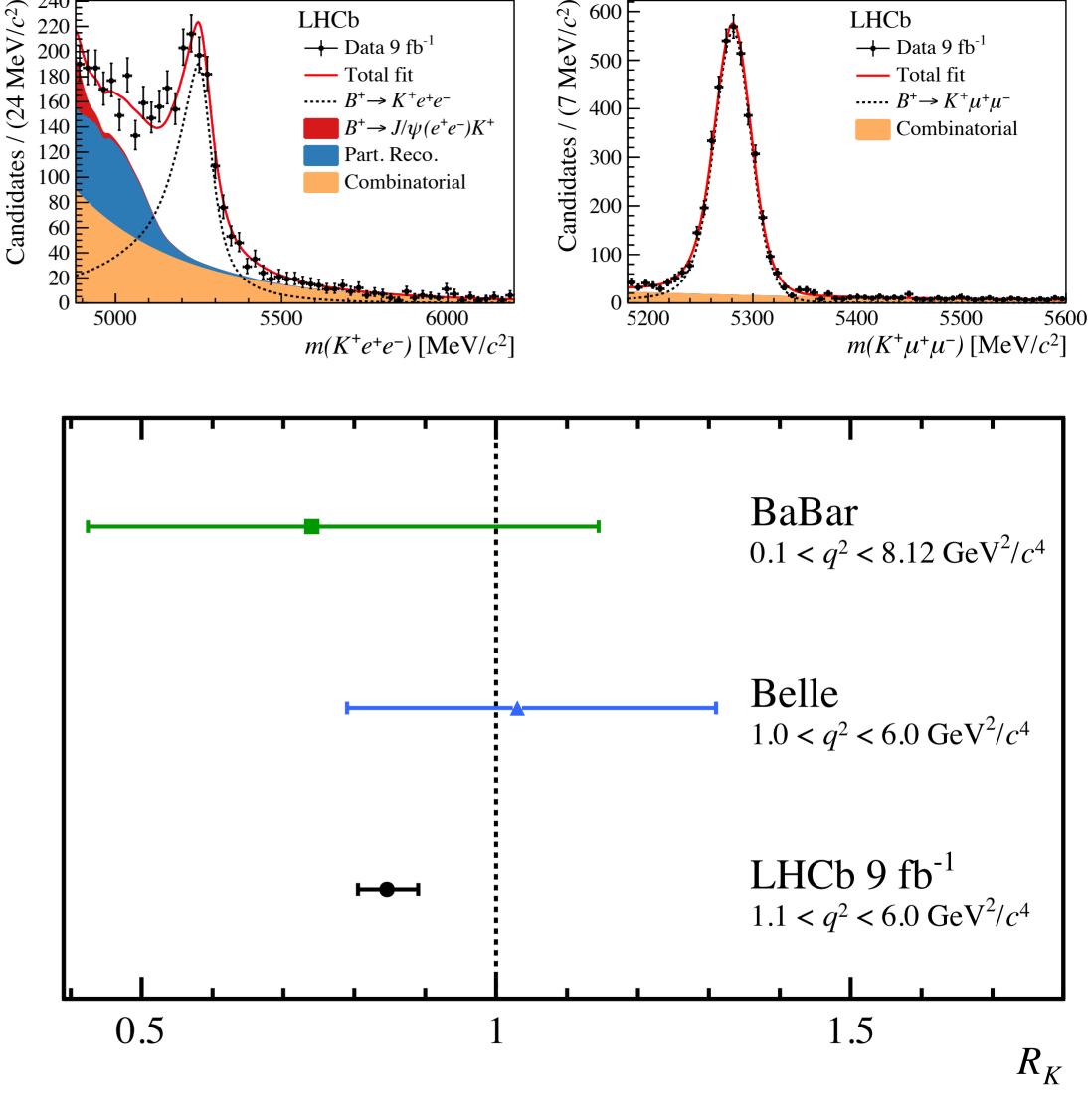
PRL **103**, 241801 (2009)



arXiv:2103.11769

$$R_H \equiv \frac{\int_{q_{\min}^2}^{q_{\max}^2} \frac{\mathrm{d}\mathcal{B}(B \to H\mu^+\mu^-)}{\mathrm{d}q^2} \mathrm{d}q^2}{\int_{q_{\min}^2}^{q_{\max}^2} \frac{\mathrm{d}\mathcal{B}(B \to He^+e^-)}{\mathrm{d}q^2} \mathrm{d}q^2}$$





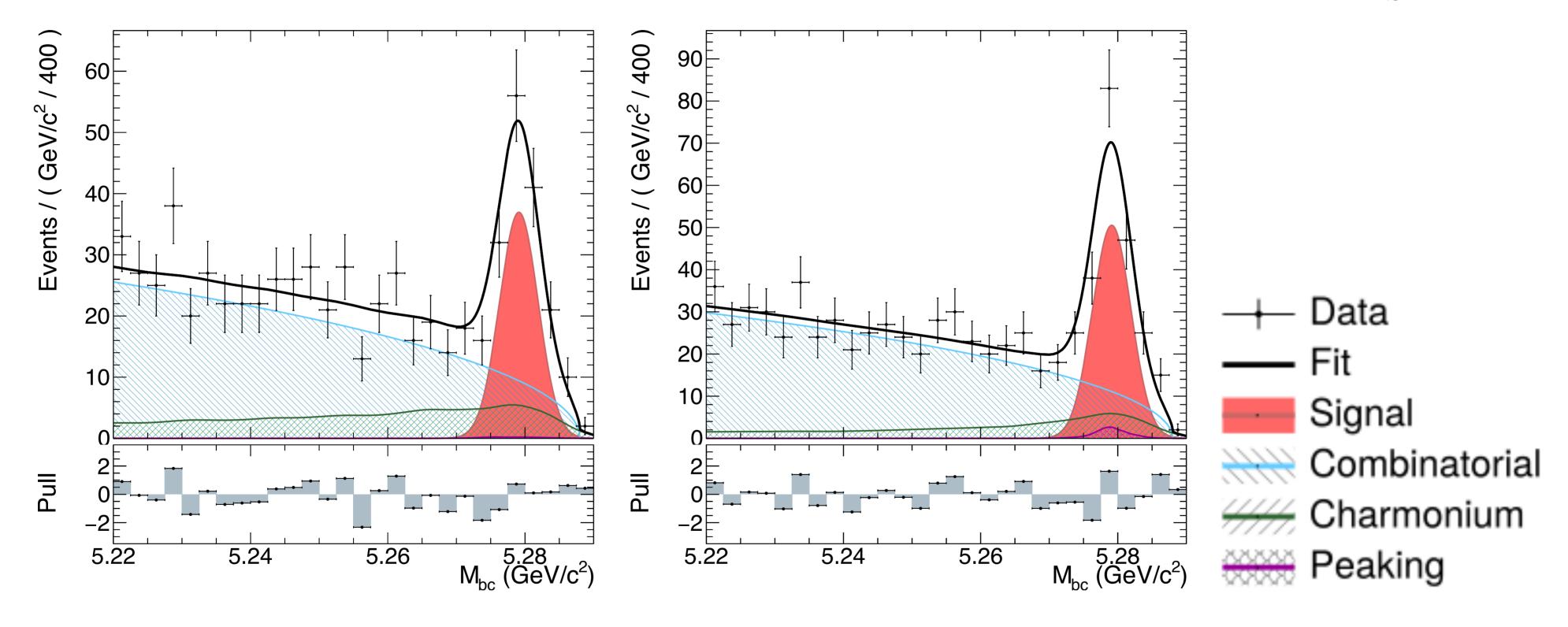
 $R_K(1.1 < q^2 < 6.0 \,\text{GeV}^2/c^4) = 0.846^{+0.042}_{-0.039}^{+0.013}_{-0.012}$ 



### R<sub>K\*</sub> from Belle

#### Use both B<sup>0</sup> and B<sup>+</sup> modes

•  $K^*$  modes:  $K^+\pi^-$ ,  $K^+\pi^0$ ,  $K_S^0\pi^+$ 

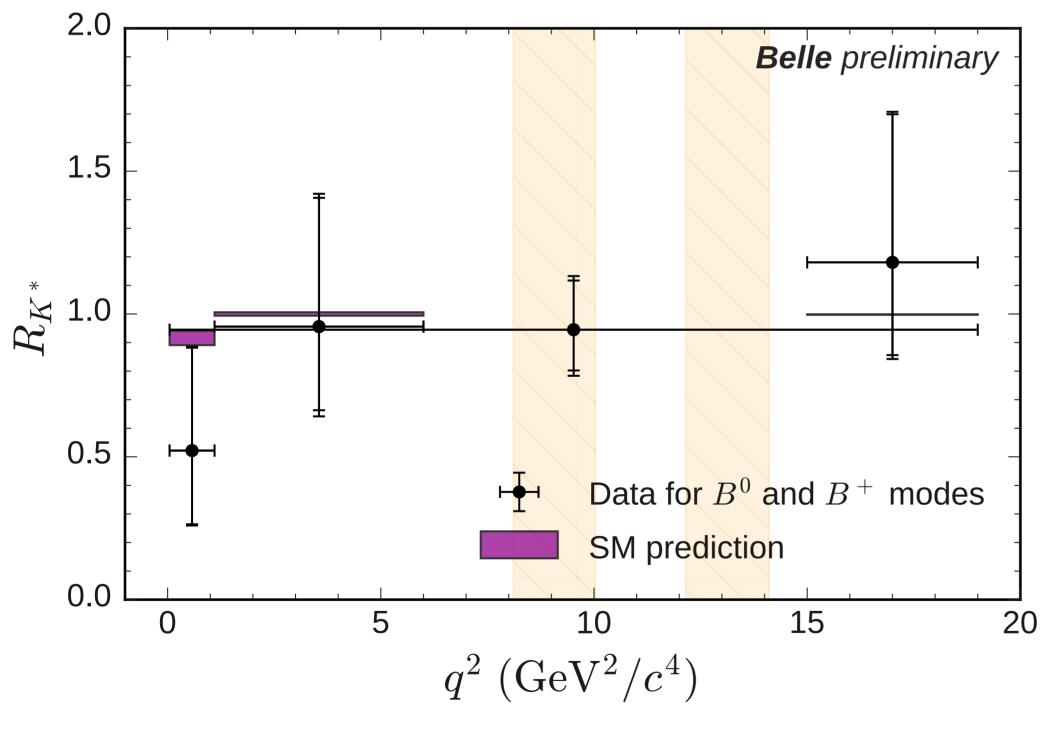


- example fit for  $q^2 > 0.045 \text{ GeV}^2$
- $103.0^{+13.4}_{-12.7}$  ( $139.0^{+16.0}_{-15.4}$ ) events in the  $e~(\mu)$  modes

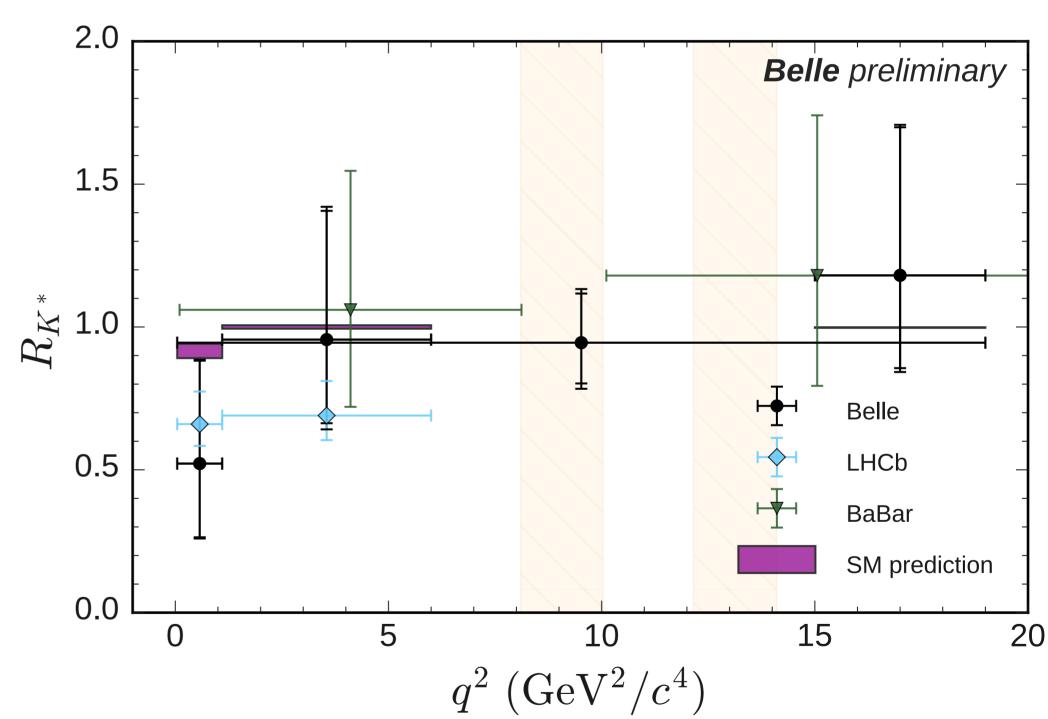


### R<sub>K\*</sub> from Belle





### $R_{K^*}$ (all)



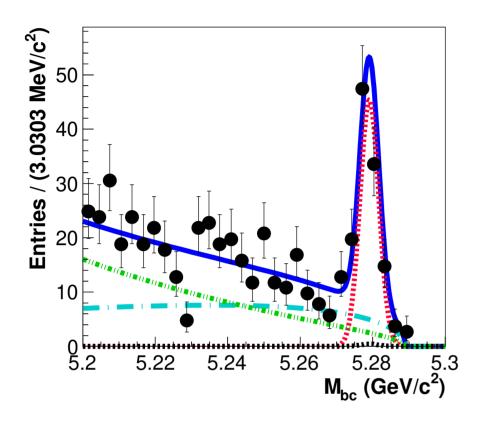


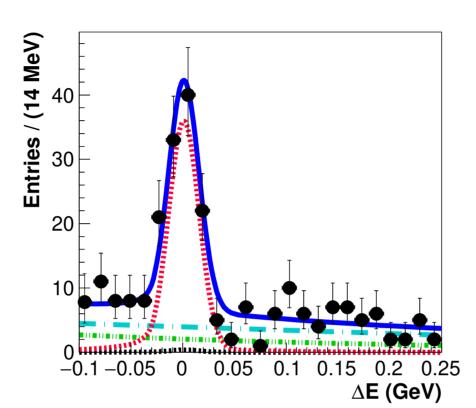
### R<sub>K</sub> from Belle

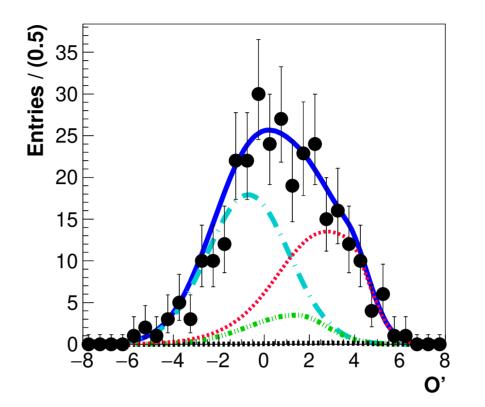


#### Use both B<sup>0</sup> and B<sup>+</sup> modes

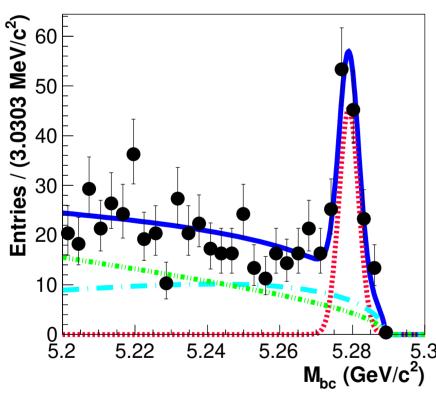
 $q^2(\mu\mu) \in [(0.1, 8.75), (10.2, 13), (> 14.18)]$ 

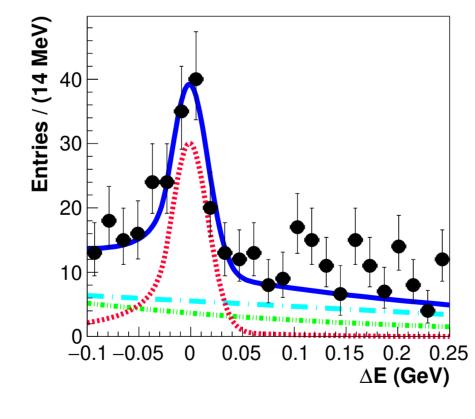


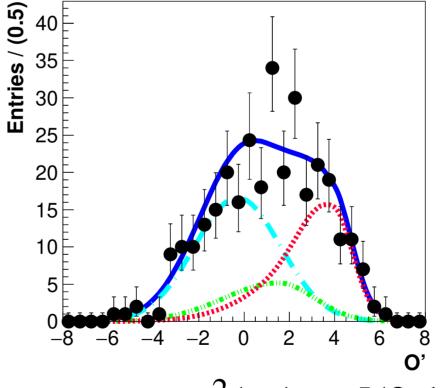




$$B^+ \rightarrow K^+ \mu^+ \mu^-$$







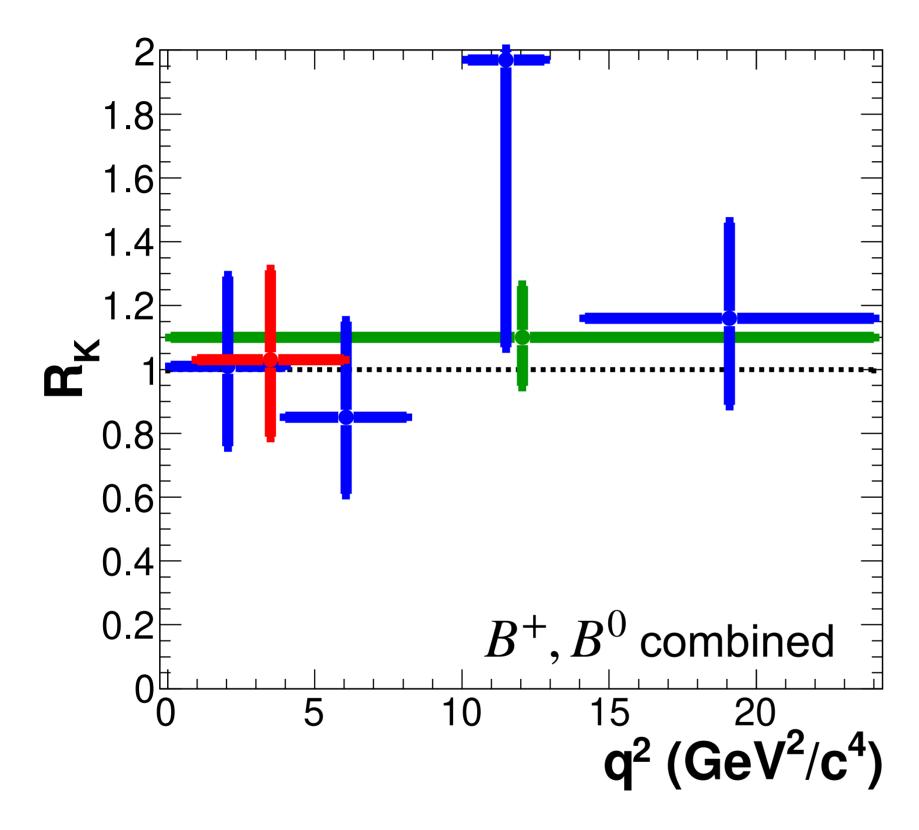
$$B^+ \rightarrow K^+ e^+ e^-$$

 $q^2(ee) \in [(0.1, 8.12), (10.2, 12.8), (> 14.18)]$ 

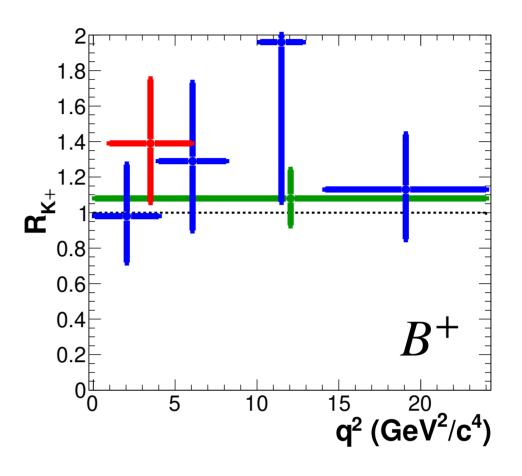
- $137 \pm 14(138 \pm 15)$  events in the  $B^+ \to K^+ \mu^+ \mu^- (K^+ e^+ e^-)$
- $27.3^{+6.6}_{-5.8}$   $(21.8^{+7.0}_{-6.1})$  events in the  $B^0 \to K_S^0 \mu^+ \mu^ (K_S^0 e^+ e^-)$

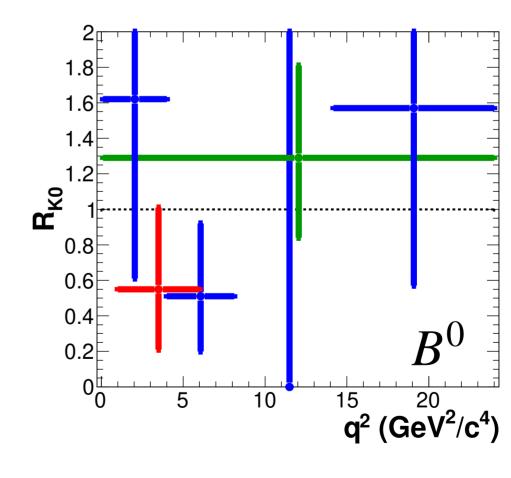


### R<sub>K</sub> from Belle



$$R_K = \begin{cases} 1.01 \, ^{+0.28}_{-0.25} \pm 0.02 & q^2 \in (0.1, 4.0) \, \text{GeV}^2/c^4 \,, \\ 0.85 \, ^{+0.30}_{-0.24} \pm 0.01 & q^2 \in (4.00, 8.12) \, \text{GeV}^2/c^4 \,, \\ 1.03 \, ^{+0.28}_{-0.24} \pm 0.01 & q^2 \in (1.0, 6.0) \, \text{GeV}^2/c^4 \,, \\ 1.97 \, ^{+1.03}_{-0.89} \pm 0.02 & q^2 \in (10.2, 12.8) \, \text{GeV}^2/c^4 \,, \\ 1.16 \, ^{+0.30}_{-0.27} \pm 0.01 & q^2 > 14.18 \, \text{GeV}^2/c^4 \,. \end{cases}$$





# LFV in $B \to K^{(*)} \mathcal{C}^+ \mathcal{C}^-$

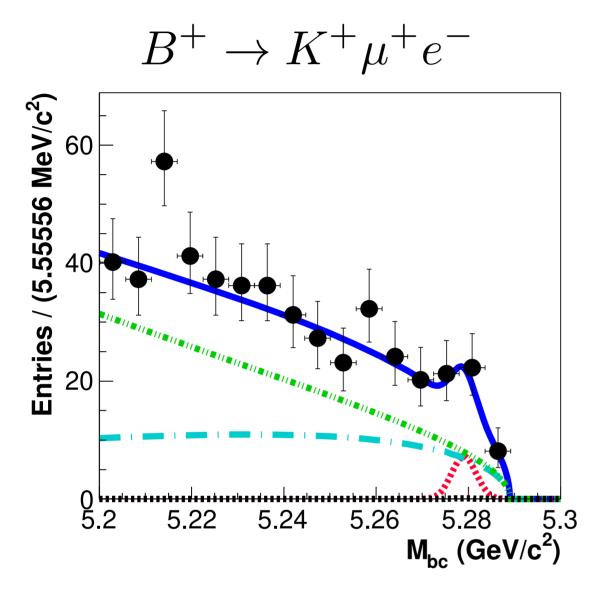
- Much renewed interests in  $B \to K^{(*)} \ell^+ \ell^-$  for  $R_{K^{(*)}}$  anomalies and potential interpretations in LUV
- LUV accompanied by LFV

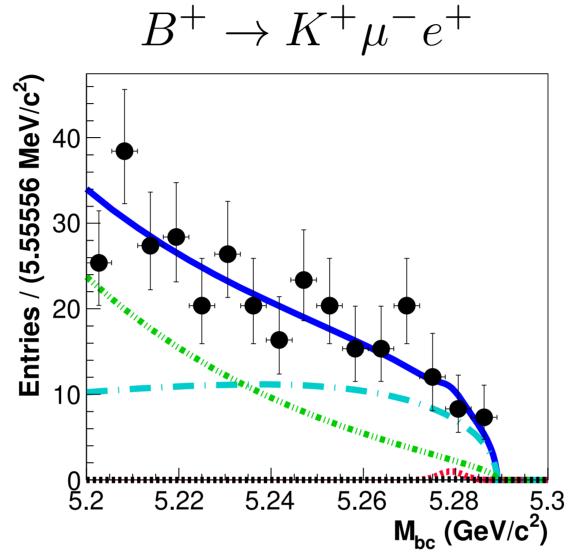
"However, any departure from lepton universality is necessarily associated with the violation of lepton flavor conservation. *No known symmetry principle can protect the one in the absence of the other.*"\*

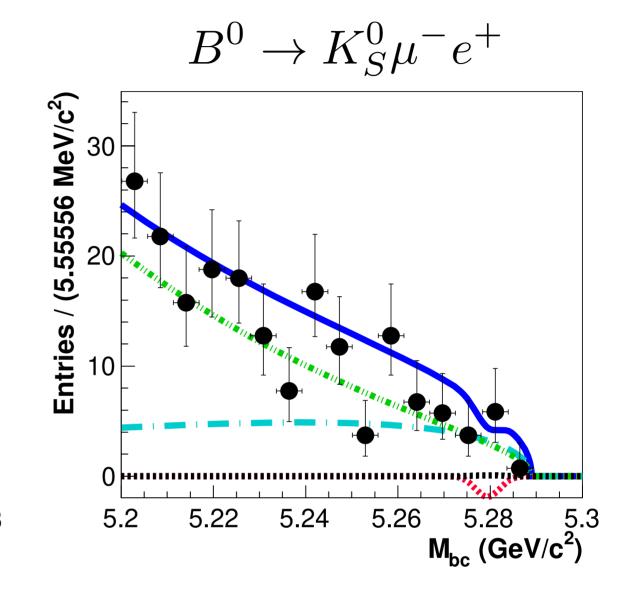
So, why not search for  $B \to K^{(*)} \ell^+ \ell^{'-}$   $(\ell' \neq \ell)$ ?



# LFV in $B \to K\ell^+\ell^-$







$$\mathcal{B}(B^+ \to K^+ \mu^+ e^-) < 8.5 \times 10^{-8}$$

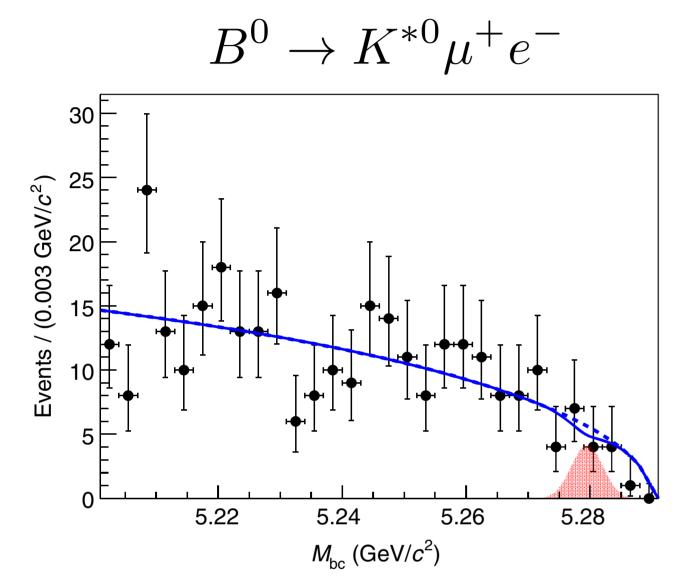
$$\mathcal{B}(B^+ \to K^+ \mu^- e^+) < 3.0 \times 10^{-8}$$

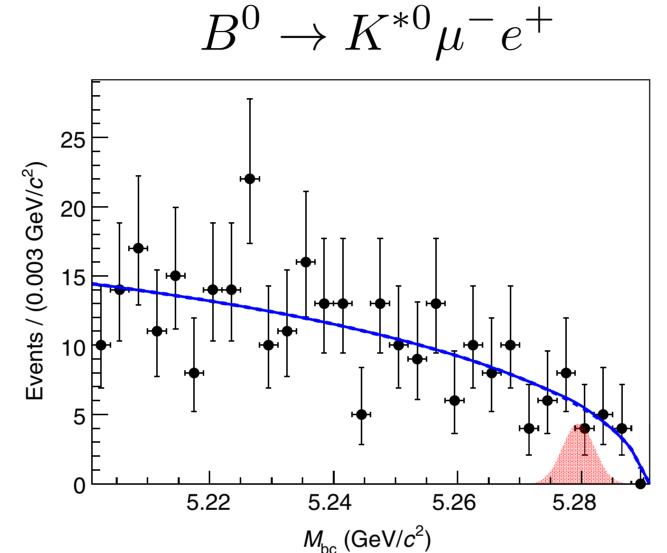
$$\mathcal{B}(B^0 \to K_S^0 \mu^- e^+) < 3.8 \times 10^{-8}$$

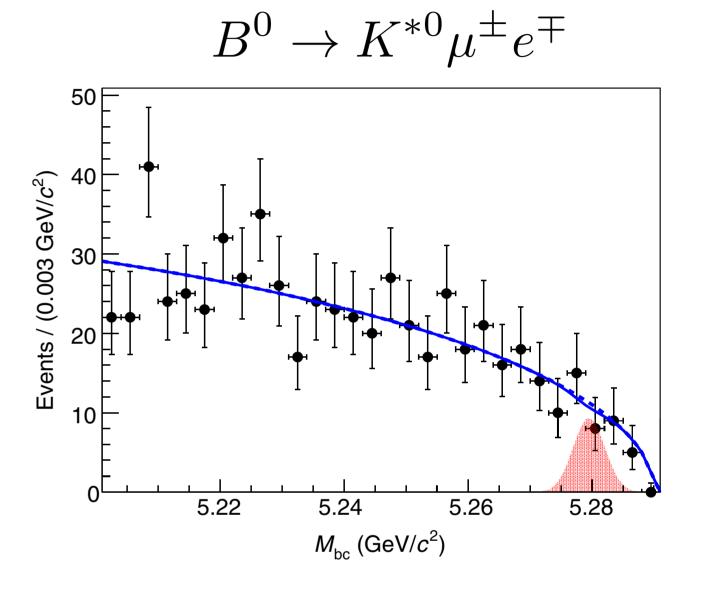


#### Rapid Communications

### Search for the lepton-flavor-violating decay $B^0 o K^{*0} \mu^\pm e^\mp$







Mode	ε (%)	$N_{ m sig}$	$N_{ m sig}^{ m UL}$	$\mathcal{B}^{\text{UL}} (10^{-7})$
$B^0 \rightarrow K^{*0} \mu^+ e^-$	8.8 -	$-1.5^{+4.7}_{-4.1}$	5.2	1.2
$B^0 \to K^{*0} \mu^- e^+$	9.3	$0.4^{+4.8}_{-4.5}$	7.4	1.6
$B^0 \to K^{*0} \mu^{\pm} e^{\mp}$ (combined)	9.0 -	$-1.2^{+6.8}_{-6.2}$	8.0	1.8

BaBar (2006) 5.3 3.4

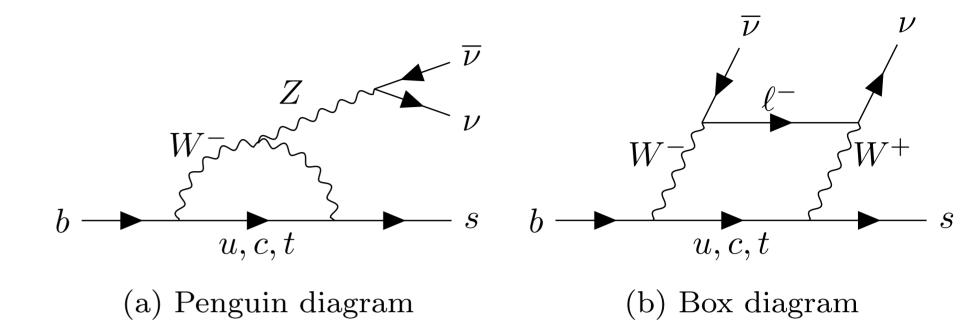
5.8

## Invisible final states

- $B^+ \to K^+ \nu \overline{\nu}$  (Belle II)
- $B^0 \rightarrow \nu \overline{\nu}(\gamma)$

### Search for $B^+ \to K^+ \nu \overline{\nu}$ at Belle II

- In the SM,
  - $\mathcal{B}(B^+ \to K^+ \nu \bar{\nu}) = (4.6 \pm 0.5) \times 10^{-6}$  [4]
- sensitive to new physics BSM, e.g.
  - leptoquarks,
  - axions,
  - DM particles, etc.
- existing measurements (upper limits)



[4] T. Blake, G. Lanfranchi, and D. M. Straub, Prog. Part. Nucl. Phys. **92**, 50 (2017).

### $B^+ \rightarrow K^+ \overline{\nu} \nu$

•  $\Gamma(B^+ \to K^+ \overline{\nu} \nu) / \Gamma_{\text{total}}$ 

Test for  $\Delta B$ =1 weak neutral current. Allowed by higher-order electroweak interactions.

VALUE	CL%	DOCUMENT ID		TECN	COMMENT
$<1.6\times10^{-5}$	90	1, 2 LEES	20131	BABR	$e^+ \ e^- \rightarrow \Upsilon(4S)$
• • • We do not u	se the following	data for averages, fits, limits, et	.c. • • •		
$< 1.9 \times 10^{-5}$	90	3, 1 GRYGIER	2017	BELL	$e^+ \ e^- \rightarrow \Upsilon(4S)$
$< 5.5 \times 10^{-5}$	90	1 LUTZ	2013	BELL	$e^+ \ e^- \rightarrow \Upsilon(4S)$

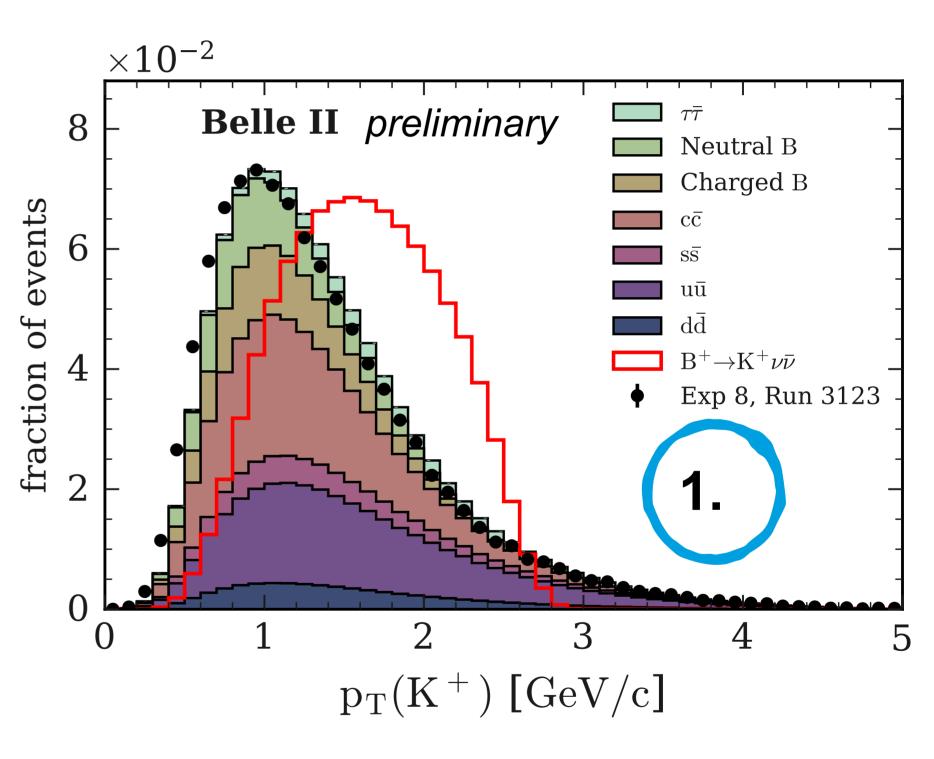
**Tagging** 

hadronic + SL

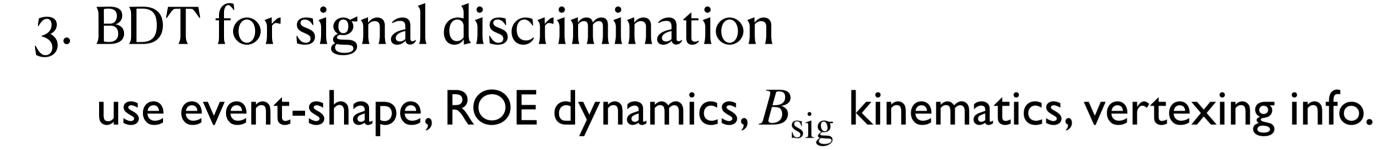
semileptonic hadronic

- 1. signal  $K^+$  track of highest  $p_{\rm T}$  w/ at least 1 PXD hit ( $\varepsilon \sim 80\,\%$ )
- 2. all other tracks & clusters  $\Rightarrow$  "ROE" (rest of t
- 3. BDT for signal discrimination use event-shape, ROE dynamics,  $B_{\rm sig}$  kinematics, v
- 4. BDT₁ & BDT₂ (consecutive applications)
   ∴ to suppress two different bkgds : BB and contin
- 5. signal region in 2D (BDT<sub>2</sub> vs.  $p_T(K^+)$ )
- 6. check BDT output with  $B^+ \to J/\psi K^+$  sample for both signal and bkgd (see back-up slide for details)



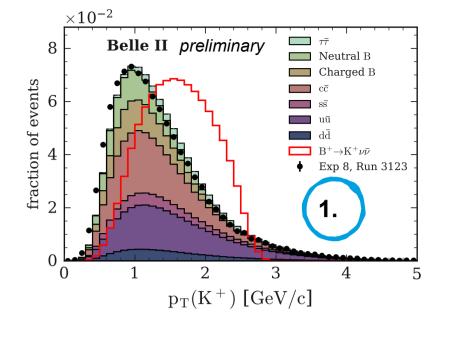


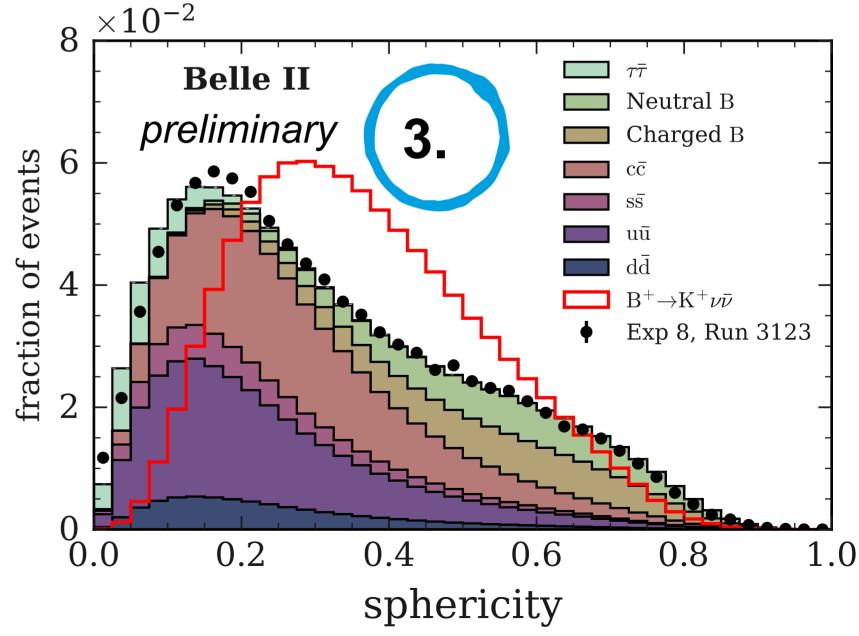
- 1. signal  $K^+$  track of highest  $p_{\rm T}$  w/ at least 1 PXD hit ( $\varepsilon \sim 80\,\%$ )
- 2. all other tracks & clusters  $\Rightarrow$  "ROE" (rest of the event)



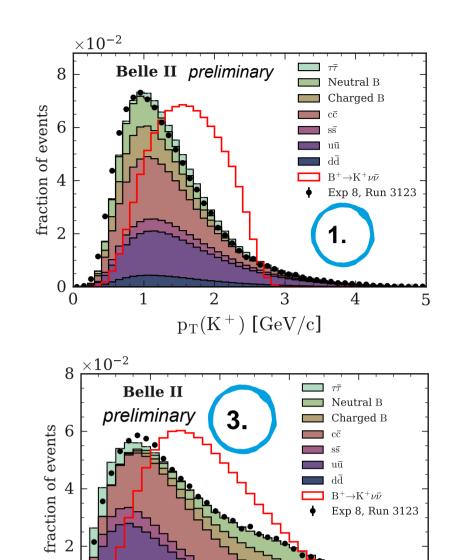


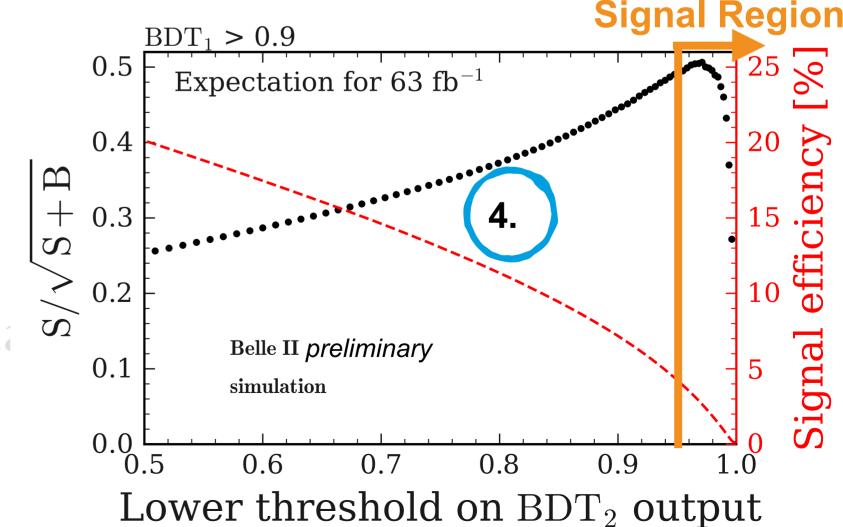
- 5. signal region in 2D (BDT<sub>2</sub> vs.  $p_T(K^+)$ )
- 6. check BDT output with  $B^+ \to J/\psi K^+$  samples for both signal and bkgd (see back-up slide for details)
- 7. check Data/MC agreement using Off-resonance of



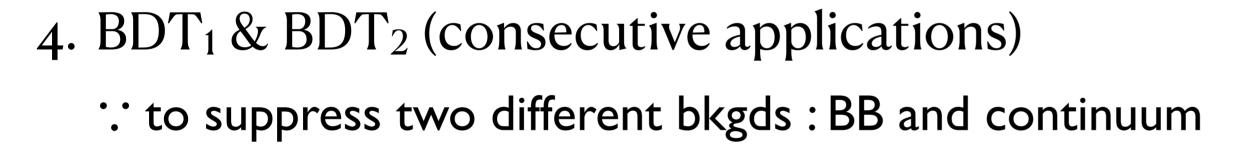


- 1. signal  $K^+$  track of highest  $p_{\rm T}$  w/ at least 1 PXD hit ( $\varepsilon \sim 80\,\%$ )
- 2. all other tracks & clusters  $\Rightarrow$  "ROE" (rest of the event)
- 3. BDT for signal discrimination use event-shape, ROE dynamics,  $B_{\rm sig}$  kinematics, vertexing info.
- 4. BDT<sub>1</sub> & BDT<sub>2</sub> (consecutive applications)
  - : to suppress two different bkgds : BB and continuum
- 5. signal region in 2D (BDT<sub>2</sub> vs.  $p_T(K^+)$ )
- 6. check BDT output with  $B^+ \to J/\psi K^+$  samples for both signal and bkgd (see back-up slide for details)
- 7. check Data/MC agreement using Off-resonance da

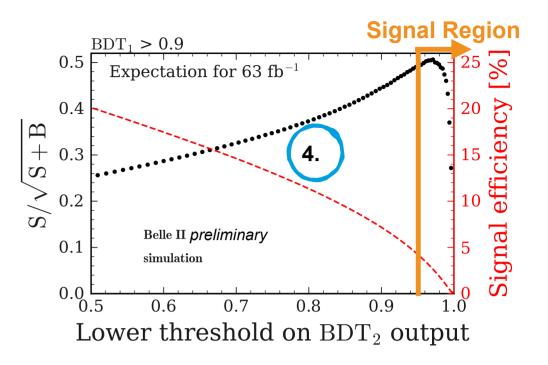


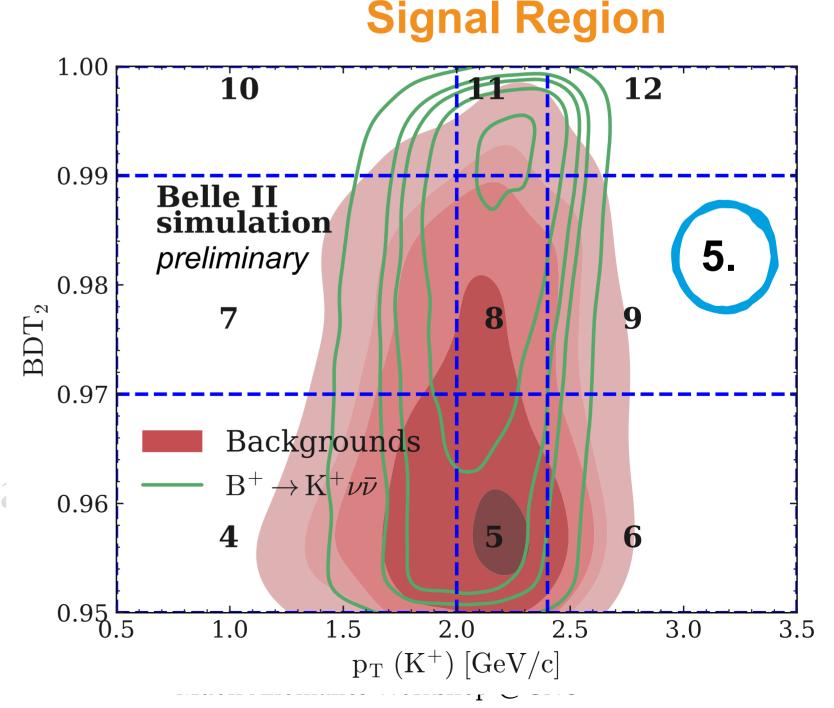


- 1. signal  $K^+$  track of highest  $p_{\rm T}$  w/ at least 1 PXD hit ( $\varepsilon \sim 80\,\%$ )
- 2. all other tracks & clusters  $\Rightarrow$  "ROE" (rest of the event)
- 3. BDT for signal discrimination use event-shape, ROE dynamics,  $B_{\rm sig}$  kinematics, vertexing info.

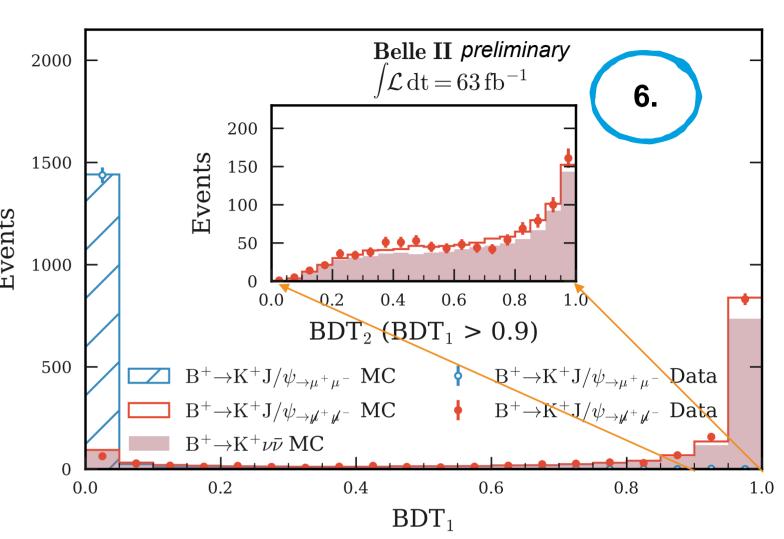


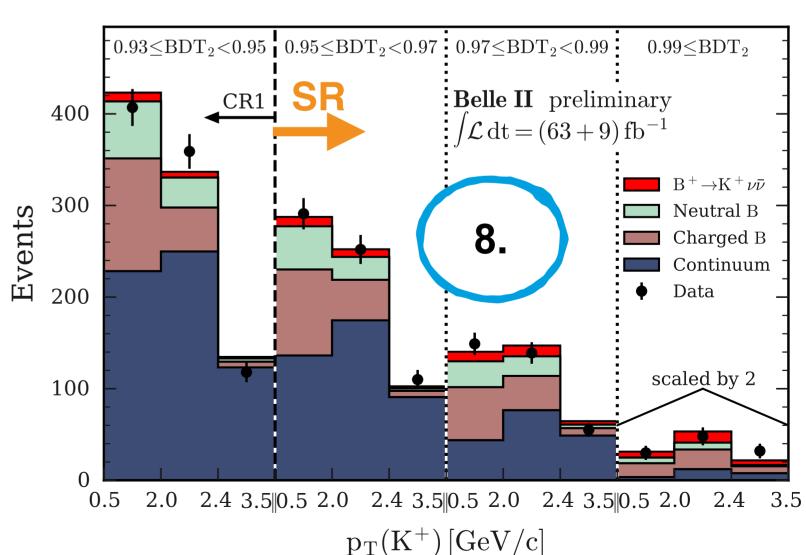
- 5. signal region in 2D (BDT<sub>2</sub> vs.  $p_T(K^+)$ )
- 6. check BDT output with  $B^+ \to J/\psi K^+$  samples for both signal and bkgd (see back-up slide for details)
- 7. check Data/MC agreement using Off-resonance da



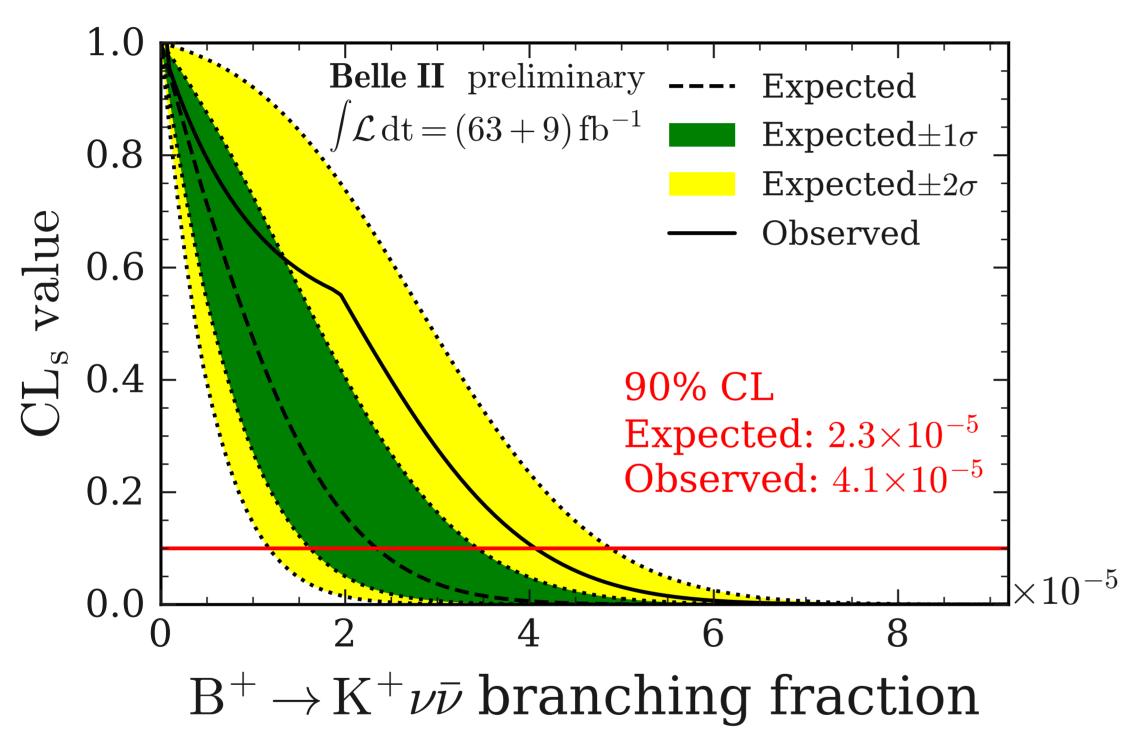


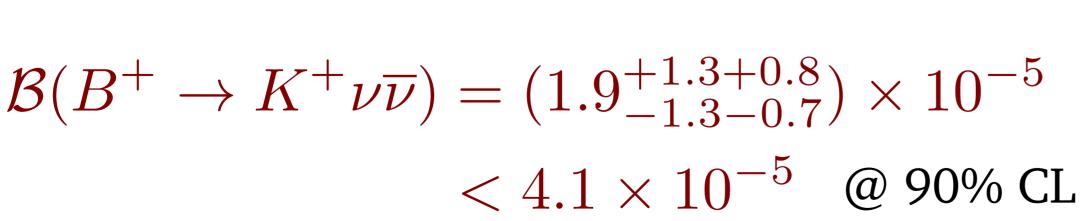
- 1. signal  $K^+$  track of highest  $p_{\rm T}$  w/ at least 1 PXD hit (
- 2. all other tracks & clusters  $\Rightarrow$  "ROE" (rest of the event
- 3. BDT for signal discrimination use event-shape, ROE dynamics,  $B_{\rm sig}$  kinematics, vertexing i
- 4. BDT<sub>1</sub> & BDT<sub>2</sub> (consecutive applications)
  - : to suppress two different bkgds : BB and continuum
- 5. signal region in 2D (BDT<sub>2</sub> vs.  $p_T(K^+)$ )
- 6. check BDT output with  $B^+ \to J/\psi K^+$  samples for both signal and bkgd (see the paper for details)
- 7. Data/MC agreement using OFF-resonance data
- 8. simultaneous ML fit to ON- & OFF-resonance data

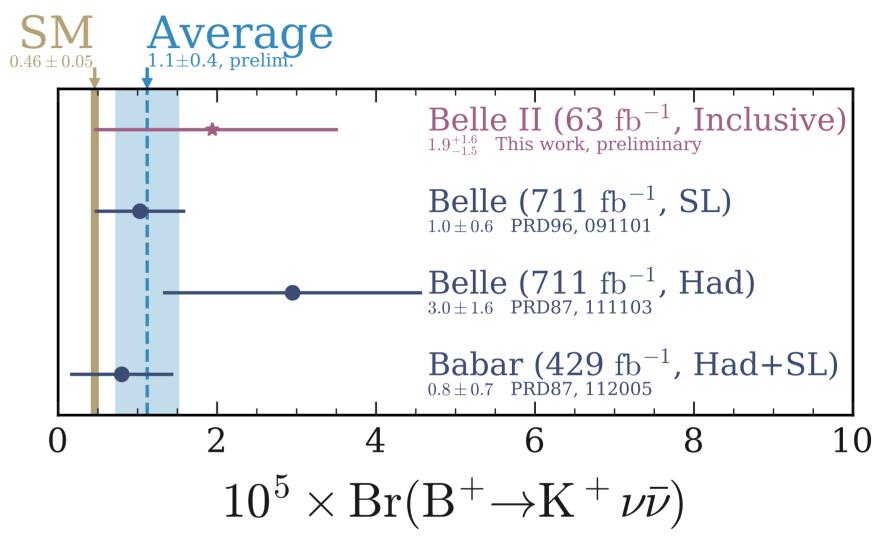




### $B^+ \to K^+ \nu \bar{\nu}$ at Belle II

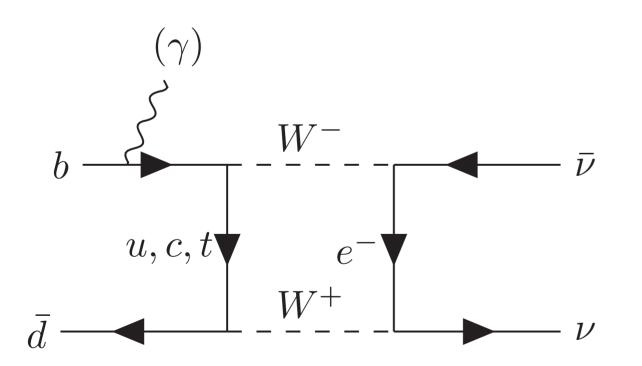


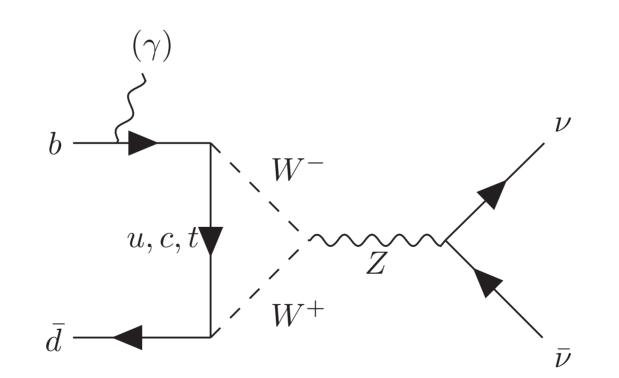


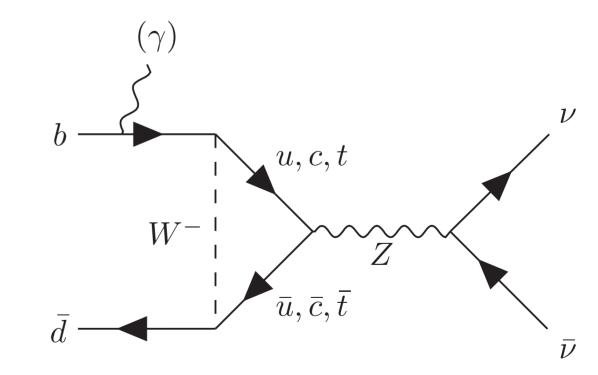


$$B^0 \rightarrow invisible (+\gamma)$$

- In the SM
  - $\mathcal{B}(B^0 \to \nu \bar{\nu}) \sim 10^{-25}$ ,  $\mathcal{B}(B^0 \to \nu \bar{\nu} \nu \bar{\nu}) \sim 10^{-16}$ ,  $\mathcal{B}(B^0 \to \gamma \nu \bar{\nu}) \sim 10^{-9}$
- sensitive to new physics BSM, e.g.
  - R-parity-violating models
  - dark matter contributions
  - some models predict  $\mathcal{B} \lesssim \mathcal{O}(10^{-6} 10^{-7})$



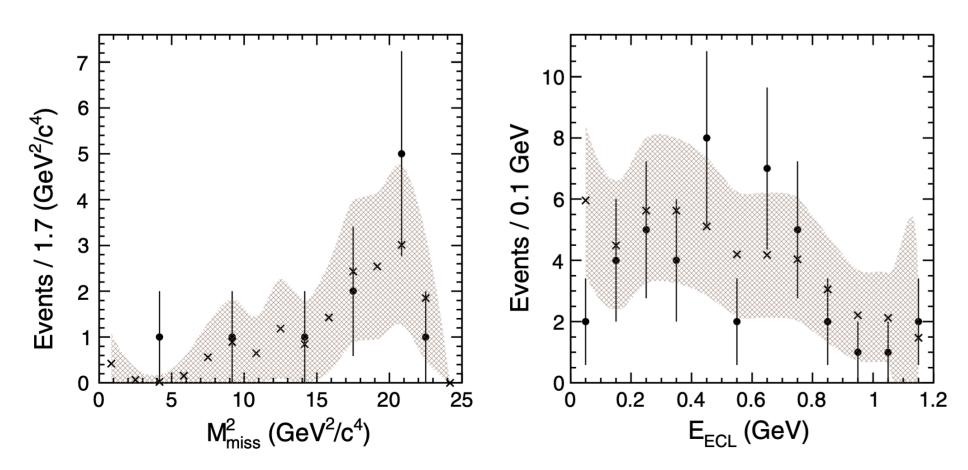


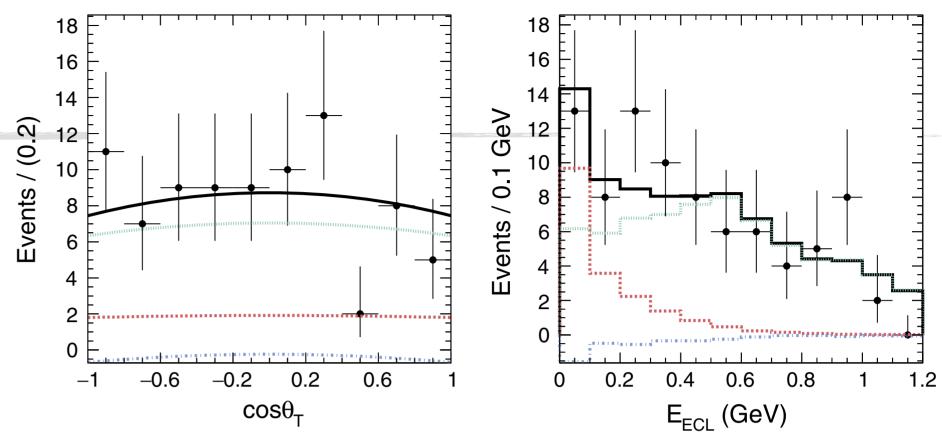


### PRD 102, 012003 (2020)

## $B^0 \rightarrow invisible (+\gamma)$

- $^{\circ}$   $B^0 \rightarrow \text{inv. signal extraction}$ 
  - by 2D fit to  $(E_{\text{ECL}}, \cos \theta_T)$
  - $N_{\text{sig}} = 18.8^{+15.3}_{-14.5}$
- $^{\circ}$   $B^0 \rightarrow \text{inv.} + \gamma \text{ signal extraction}$ 
  - counting in  $E_{
    m ECL}$  with bkgd. subtraction
  - study  $M_{\rm miss}^2$  for bkgd. evaluation using control mode,  $B^0 \to D^- \ell^+ \nu$





 $\mathcal{B}(B^0 \to \text{invisible}) < 7.8 \times 10^{-5}$  @ 90% CL

 $\mathcal{B}(B^0 \to \text{invisible} + \gamma) < 1.6 \times 10^{-5}$  @ 90% CL

# LFV, LNV & BNV searches in $\tau$ decays

$$\tau^- \rightarrow \mu^- \gamma$$

$$\tau^- \rightarrow e^- \gamma$$

preliminary, to be submitted to JHEP

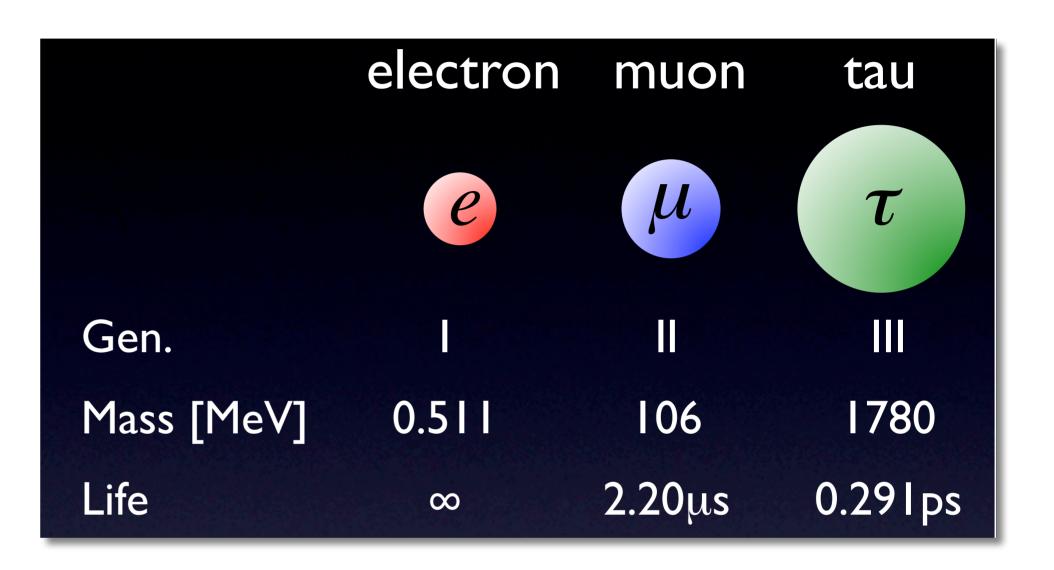
$$\tau^- \rightarrow \bar{p}e^+e^ \tau^- \rightarrow pe^-e^-$$

$$au^- o ar{p}e^+\mu^ au^- o ar{p}e^-\mu^+$$

PRD 102, 111101 (2020)  $\tau^- \to p\mu^-\mu^ \tau^- \to \bar{p}\mu^-\mu^+$ 

### New physics (NP) search with $\tau$

- lacksquare the au lepton
  - the heaviest charged lepton
  - highly sensitive to NP
- Unique lab to look for NP
  - LFV
  - EDM, *g*-2, CPV
  - B(D) decays to  $\tau$
  - BNV, too  $(m_{ au}>m_{\Lambda},m_{p},...)$



### Lepton-flavor-violating (LFV) $\tau$ decay

In the Standard Model with non-zero  $\nu$  mass,  $\tau$  LFV can happen, but the rate is really tiny.

$$\mathcal{B}(\tau \to l\gamma) = \frac{3\alpha}{32\pi} \left| \sum_{i} U_{\tau i}^* U_{\mu i} \frac{\triangle_{3i}^2}{m_W^2} \right|^2 \le 10^{-53} \sim 10^{-49}$$

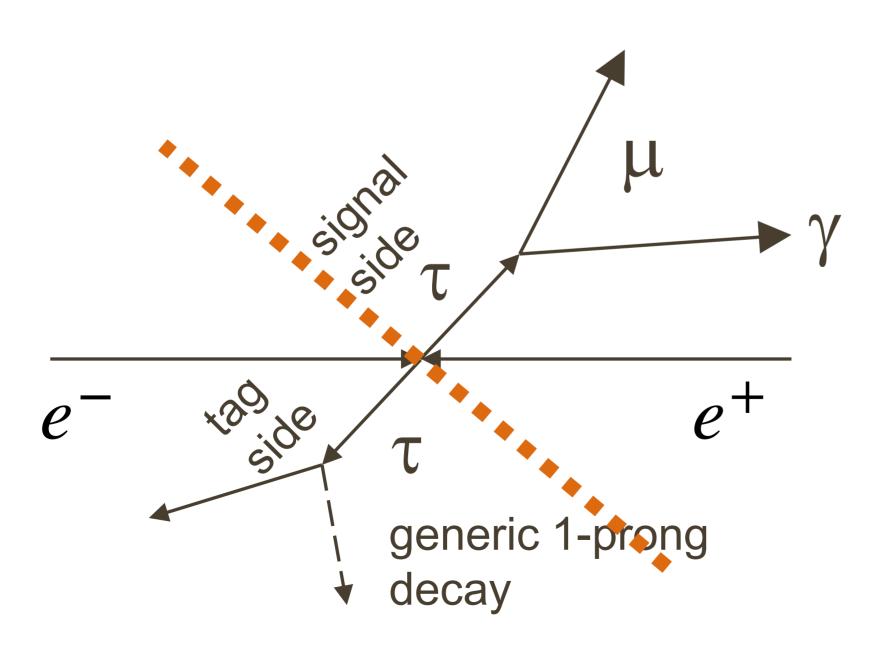
- However, in many new physics models it can become large enough to be within sensitivity of Belle (or Belle-II)
  - For example, with SUSY-GUT,

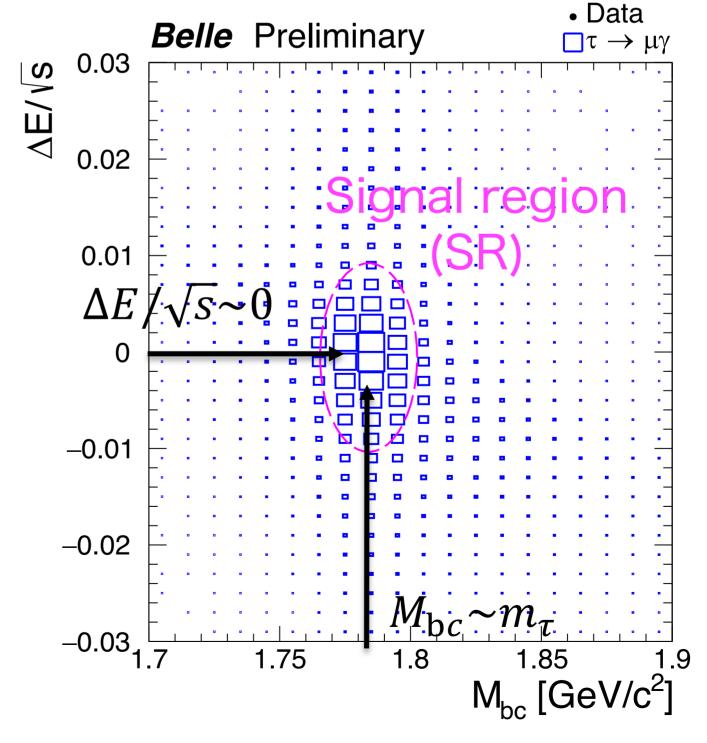
Calibbi et al., PRD 74, 116002 (2006) 
$$\mathcal{B}( au o \mu \gamma) \simeq (4.5 imes 10^{-6}) |(\delta_{LL})_{32}|^2 \left( \frac{500 \text{ GeV}}{m_{\mathrm{SUSY}}} \right)^4 \left( \frac{\tan \beta}{10} \right)^2$$



## Search for $\tau^+ \to \ell^+ \gamma$

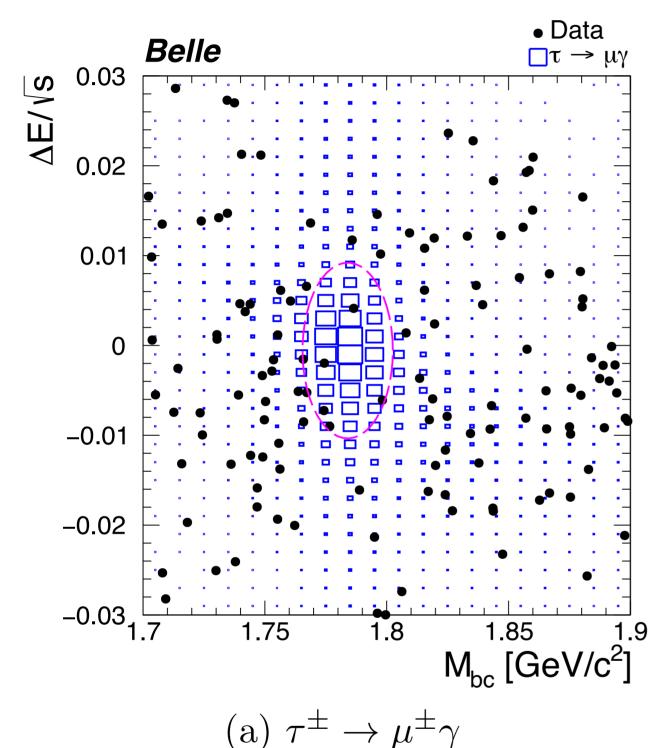
- $\sigma(e^+e^- \to \tau^+\tau^-) = (0.919 \pm 0.003) \text{ nb} \approx \sigma_{b\bar{b}}$ , at  $\sqrt{s} \approx 10.58 \text{ GeV}$  $\therefore e^+e^-$  *B*-factory is, at the same time, a  $\tau$ -factory, too!
- ullet tag-side and signal-side au decays are cleanly separated
- signal extraction by  $M_{\rm bc}$  and  $\Delta E/\sqrt{s}$

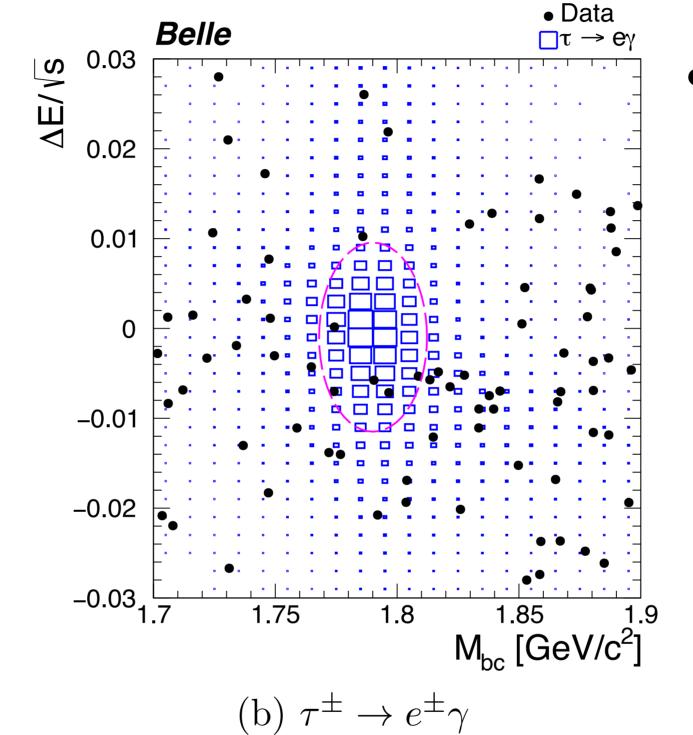






## Search for $\tau^+ \to \ell$





• use  $\int \mathcal{L}dt = 988 \text{ fb}^{-1} \text{ Belle data}$  $\Rightarrow N_{\tau\tau} = 912 \times 10^6$ 

$$\mathcal{B}(\tau^{\pm} \to \mu^{\pm} \gamma) < \frac{\tilde{s}_{90}}{2\epsilon N_{\tau\tau}} = 4.2 \times 10^{-8}$$

$$\mathcal{B}(\tau^{\pm} \to \mu^{\pm} \gamma) < \frac{\tilde{s}_{90}}{2\epsilon N_{\tau\tau}} = 4.2 \times 10^{-8}$$
  $\mathcal{B}(\tau^{\pm} \to e^{\pm} \gamma) < \frac{\tilde{s}_{90}}{2\epsilon N_{\tau\tau}} = 5.6 \times 10^{-8}$ 

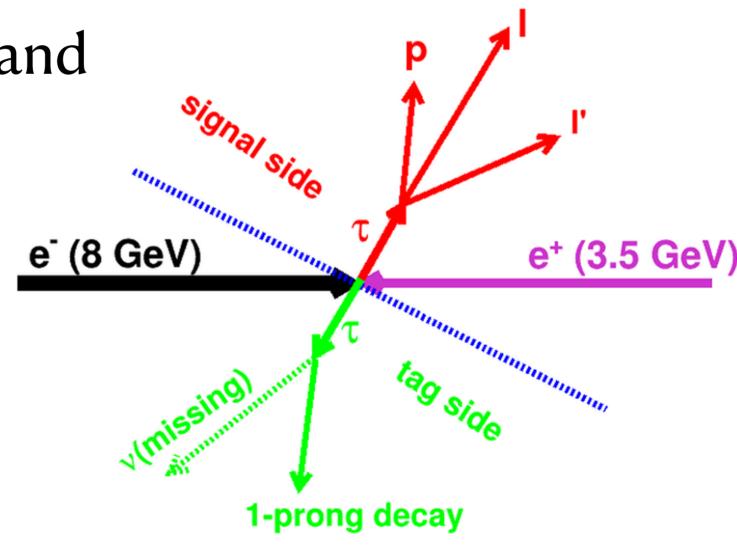
UL (90% CL)	Luminosity	Reference	$ au  ightarrow \mu \gamma$	$ au  ightarrow e \gamma$
Belle	535 fb <sup>-1</sup>	PLB 666, 16 (2008)	4.5 x 10 <sup>-8</sup>	12.0 x 10 <sup>-8</sup>
BaBar	515 fb <sup>-1</sup>	PRL 104, 021802 (2010)	4.4 x 10 <sup>-8</sup>	3.3 x 10 <sup>-8</sup>

### τ LNV, BNV search — motivations

- Baryogenesis of our Universe has been unknown
- Lepton #, Baryon # accidental symmetries of SM
- Baryon number violation a crucial element of baryogenesis
- Signals of LNV, BNV could be a clear signal of BSM
- Selection rules :  $|\Delta(B-L)| = 0$  or 2
  - $\Delta B = \Delta L = 0$  for standard  $\beta$ -decay
  - $\Delta B = \Delta L = \pm 1$
  - $\Delta B = -\Delta L = 1$ , e.g. p decay
  - $\Delta B=2$  ( $n\bar{n}$  oscil.) or  $\Delta L=2$  ( $0\nu2\beta$ ), etc.

### τ LNV, BNV search at Belle

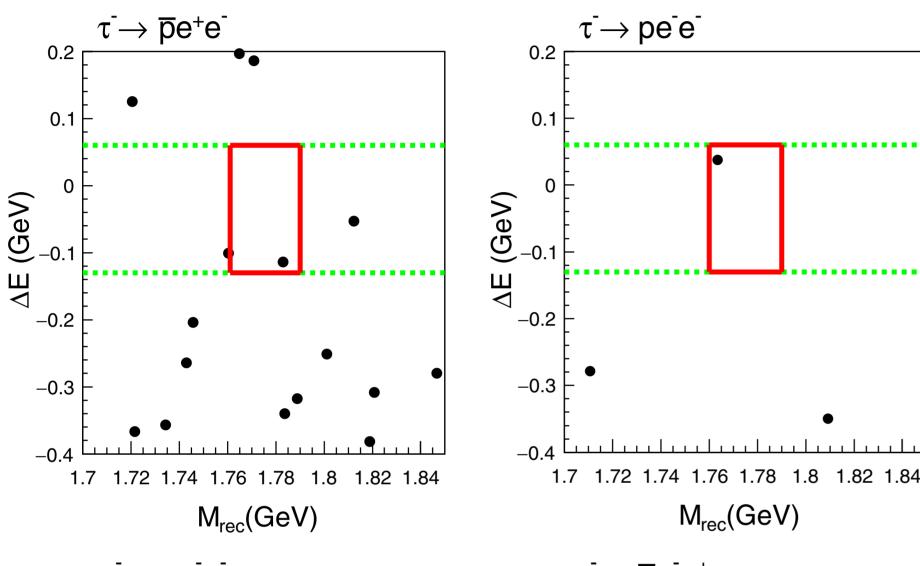
- We search for various LNV, BNV  $\tau$  decays
- $\blacksquare$  3 LHCb limits for  $\tau^- \to \bar{p}\mu^+\mu^-$ ,  $p\mu^-\mu^-: \mathscr{B} < \mathcal{O}(10^{-7})$
- Theory calculations using p lifetime bound:  $\mathcal{B} \lesssim 10^{-30} - 10^{-48}$
- Search using full  $\Upsilon(4S)$  (on- and off-resonance) and  $\Upsilon(5S)$  data from Belle



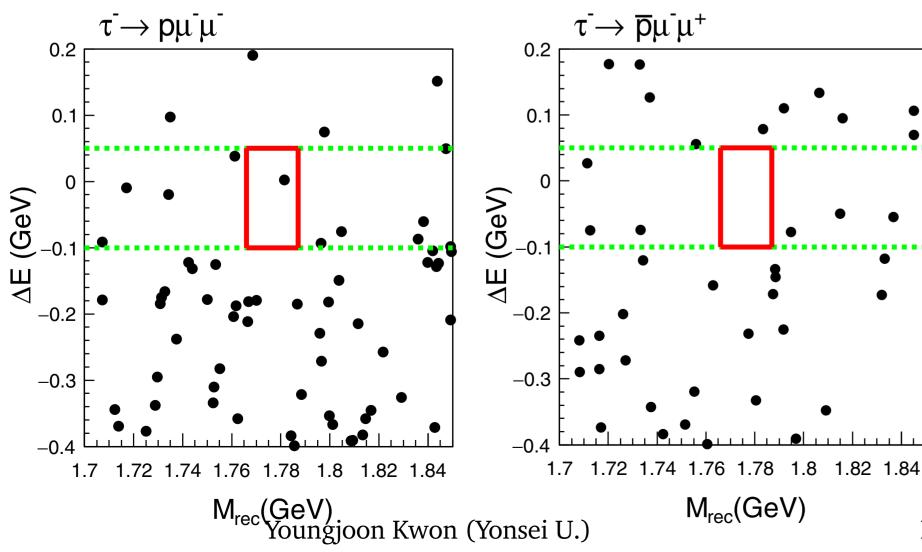


### τ LNV, BNV

### Signal yield in $(M_{\rm rec}, \Delta E)$



) <i>N</i>	<b>A</b> 7	T TT	
bkg	$N_{\rm obs}$	$N_{ m sig}^{ m UL}$	$\mathcal{B}(\times 10^{-8})$
$0.50 \pm 0.35$	1	3.9	< 3.0
$0.23 \pm 0.07$	1	4.1	< 3.0
$0.22 \pm 0.06$	0	2.2	< 2.0
$0.40 \pm 0.28$	0	2.1	< 1.8
$1.30 \pm 0.46$	1	3.1	< 4.0
$1.14 \pm 0.43$	0	1.5	< 1.8
	$0.50 \pm 0.35$ $0.23 \pm 0.07$ $0.22 \pm 0.06$ $0.40 \pm 0.28$ $1.30 \pm 0.46$	$0.23 \pm 0.07$ 1 $0.22 \pm 0.06$ 0 $0.40 \pm 0.28$ 0 $1.30 \pm 0.46$ 1	$0.50 \pm 0.35$ 1 3.9 $0.23 \pm 0.07$ 1 4.1 $0.22 \pm 0.06$ 0 2.2 $0.40 \pm 0.28$ 0 2.1 $1.30 \pm 0.46$ 1 3.1



- an order-of-mag. improvement from LHCb in  $p\mu^-\mu^-$ ,  $\bar{p}\mu^+\mu^-$
- first limits in the other four modes

 $M_{rec}(GeV)$ 

### Epilogue

- Ever since the *B*-factories (Belle & BaBar) started taking data in 1999, we have learned a lot, e.g. CP violations in *B* systems and confirmation of CKM mechanism, discoveries of many rare decays, and many exotic hadrons.
- But we have not found answers to fundamental questions of 'flavor' in the SM. Moreover, we are most sure that SM is not a complete description of the Nature, and we definitely need New Physics beyond the SM.
- In this talk, we went through a few examples of such on-going efforts, searching for LFV, LNV, and LUV with Belle (II).

"There must be something in the flavors. We just don't know where we can find it and what its scale is."

"We shall not cease from exploration"

<sup>\*</sup> In a private conversation with Tao Han

<sup>†</sup> T. S. Eliot

##