

Gauge Invariant Perturbation Theory via Homotopy Transfer

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The Big Picture

Are there signatures of massive string modes in the CMB?

Double Field Theory \longrightarrow Cosmology
(weakly constrained)

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Need systematic approach to gauge invariant perturbation theory.

Outline

- 1 Warm Up: what gauge invariant variables?
- 2 L_∞ -algebras
- 3 Procedure
- 4 Gravity on Flat Space
- 5 Gravity on FLRW

Electrodynamics

Maxwell's Theory:

$$S = -\frac{1}{4} \int d^4x F^{\mu\nu} F_{\mu\nu}, \quad F_{\mu\nu} = 2\partial_{[\mu} A_{\nu]}, \text{ invariant under } \delta A_\mu = \partial_\mu \Lambda.$$

Goal: Write Maxwell's theory in manifestly gauge invariant form.

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Decomposition of vector field:

$$\begin{aligned} A_i &= \hat{A}_i + \partial_i \psi, & \partial_i \hat{A}^i &= 0, \\ \psi &= \Delta^{-1}(\partial_i A^i), & \Delta &\equiv \partial^i \partial_i \text{ invertible.} \end{aligned}$$

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Components of A_μ transform as: $\delta A_0 = \dot{\Lambda}$, $\delta \hat{A}_i = 0$, $\delta \psi = \Lambda$.
Maxwell's eqs $\partial_\mu F^{\mu\nu} = 0$ in terms of (Φ, \hat{A}_i) , where $\Phi \equiv A_0 - \dot{\psi}$:

$$\begin{aligned} \Delta \Phi = 0 &\quad \rightarrow \quad \Phi = 0, & \text{(using } \Delta \text{ invertible)} \\ \square \hat{A}_i + \partial_i \dot{\Phi} = 0 &\quad \rightarrow \quad \square \hat{A}_i = 0. \end{aligned}$$

Manifestly gauge-invariant action: $S = \frac{1}{2} \int d^4x (\hat{A}^i \square \hat{A}_i - \Phi \Delta \Phi).$

L_∞ -algebras

An L_∞ -algebra is a graded vector space,

$$X = \bigoplus_{i \in \mathbb{Z}} X_i,$$

with multilinear maps,

$$b_k : X^{\otimes k} \rightarrow X,$$

which are graded symmetric,

$$b_k(x_1, \dots, x_i, x_{i+1}, \dots, x_k) = (-1)^{x_i x_{i+1}} b_k(x_1, \dots, x_{i+1}, x_i, \dots, x_k),$$

and satisfy generalized Jacobi identities:

$$\sum_{n=1}^{\infty} \sum_{i+j=n+1} b_i b_j = 0, \quad i, j \geq 1.$$

Free Theory

Free theory data are encoded in a chain complex.

Example: Maxwell's theory,

$$\begin{array}{ccccccc} X_1 & \xrightarrow{\partial_1} & X_0 & \xrightarrow{\partial_0} & X_{-1} & \xrightarrow{\partial_{-1}} & X_{-2} \\ \{\Lambda\} & & \{A_\mu\} & & \{E^\mu\} & & \{f\} \\ GPs & & Fields & & EOMs & & Bianchi IDs \end{array}$$

where the differentials (which satisfy $\partial_{i-1} \circ \partial_i = 0$) act as:

$$\begin{aligned} \partial_1(\Lambda)_\mu &= \partial_\mu \Lambda, \\ \partial_0(A)_\mu &= \square A_\mu - \partial_\mu(\partial^\nu A_\nu), \\ \partial_{-1}(E) &= \partial_\mu E^\mu. \end{aligned}$$

To describe interactions: equip chain complex with an L_∞ -algebra.

L_∞ -algebras of Gauge Theories: Interactions

Gauge transformations on $\phi \in X_0$ generated by $\Lambda \in X_1$ written as:

$$\delta_\Lambda \phi = \sum_{n \geq 1} \frac{1}{(n-1)!} b_n(\phi, \dots, \phi, \Lambda)$$

The equations of motion are:

$$0 = \sum_{n \geq 1} \frac{1}{n!} b_n(\phi, \dots, \phi)$$

Homotopy Transfer Theorem

How to obtain theory in terms of gauge invariant $\bar{A}_\mu \equiv (\Phi, \hat{A}_i)$?

$$\begin{array}{ccccccc}
 X_1 & \begin{array}{c} \xrightarrow{\partial_1} \\ \xleftarrow{s_0} \end{array} & X_0 & \begin{array}{c} \xrightarrow{\partial_0} \\ \xleftarrow{s_{-1}} \end{array} & X_{-1} & \begin{array}{c} \xrightarrow{\partial_{-1}} \\ \xleftarrow{s_{-2}} \end{array} & X_{-2} \\
 \iota_0 \uparrow \downarrow p_0 & & \iota_0 \uparrow \downarrow p_0 & & \iota_0 \uparrow \downarrow p_0 & & \iota_0 \uparrow \downarrow p_0 \\
 \{0\} & \xrightarrow{\bar{\partial}=0} & \bar{X}_0 & \xrightarrow{\bar{\partial}} & \bar{X}_{-1} & \xrightarrow{\bar{\partial}=0} & \{0\}
 \end{array}$$

HTT states:

Given two quasi-isomorphic chain complexes (X_\bullet, ∂) and $(\bar{X}_\bullet, \bar{\partial})$, L_∞ structure on X_\bullet can be transferred to \bar{X}_\bullet if $\exists s_i : X_i \rightarrow X_{i+1}$ s.t:

$$(\iota_0 \circ p_0)_i = \text{id}_{X_i} - \partial_{i+1} \circ s_i - s_{i-1} \circ \partial_i,$$

Homological Perturbation Lemma

Homotopy Equivalence Data

$$(X_{\bullet}, b_1), s_0$$

$$\iota_0 \uparrow \downarrow p_0$$

$$(Y_{\bullet}, c_1)$$

Perturbed Data

$$(X_{\bullet}, b_1 + \delta), s$$

$$\iota \uparrow \downarrow p$$

$$(Y_{\bullet}, c')$$

ι_0, p_0 quasi-isomorphisms

$$(\iota_0 \circ p_0) = 1 - b_1 \circ s_0 - s_0 \circ b_1$$

$\iota(\iota_0, s_0, \delta), p(p_0, s_0, \delta)$

$$s(s_0, \delta)$$

For small δ , perturbed data is a homotopy equivalence data, i.e.

ι, p are quasi-isomorphisms and $\iota \circ p$ is identity up to homotopy.

The perturbed maps are given by HPL:

$$\iota = (1 + s \circ \delta)^{-1} \circ \iota_0, \quad p = p_0 \circ (1 + \delta \circ s)^{-1}.$$

In our case, we take $\delta := \sum_{k \geq 2} b_k$.

Application of HTT and HPL

- Applying HPL to obtain gauge invariant variable to all orders:

$$\widehat{A}_\mu = \rho(A_\mu) = \rho_0(1 + \delta s)^{-1} A_\mu$$

- We can also define the map (non-linear extension of ι_0):

$$A_\mu = e^{\Delta\phi(A)} \widehat{A}_\mu,$$

where $\phi(A)$ generates infinitesimal gauge transformations.

For Yang-Mills,

$$A_\mu = \widehat{A}_\mu + \partial_\mu \phi + [\widehat{A}_\mu, \phi] + \frac{1}{2} [\partial_\mu \phi, \phi] + \dots$$

- Manifestly gauge invariant action can be obtained by replacing A_μ with \widehat{A}_μ .

Gravity on Flat Space

X_1	$\xrightarrow{\partial_1}$	X_0	$\xrightarrow{\partial_0}$	X_{-1}	$\xrightarrow{\partial_{-1}}$	X_{-2}
$\{\xi_\mu\}$		$\{h_{\mu\nu}\}$		$\{E_{\mu\nu}\}$		$\{F_\mu\}$
<i>GPs</i>		<i>Fields</i>		<i>EOMs</i>		<i>Bianchi IDs</i>

where

$$\begin{aligned}\partial_1(\xi)_{\mu\nu} &= \partial_\mu \xi_\nu + \partial_\nu \xi_\mu, \\ \partial_0(h)_{\mu\nu} &= G_{\mu\nu}, \\ \partial_{-1}(E)_\mu &= \partial^\nu E_{\nu\mu}.\end{aligned}$$

Decompose $h_{\mu\nu}$:

$$\begin{aligned}h_{00} &= -2\phi, \\ h_{0i} &= B_i + \partial_i B, \\ h_{ij} &= \hat{h}_{ij} + \partial_i E_j + \partial_j E_i + 2\partial_i \partial_j E + 2\delta_{ij} \left(C - \frac{1}{3} \Delta E \right),\end{aligned}$$

where $\partial^i B_i = \partial^i E_i = 0$, $\partial^i \hat{h}_{ij} = \delta^{ij} \hat{h}_{ij} = 0$.

Gravity on Flat Space

Gauge invariant combinations (Bardeen variables):

$$\hat{h}_{ij}, \quad \Sigma_i \equiv \dot{E}_i - B_i, \quad \Psi \equiv -C + \frac{1}{3}\Delta E, \quad \Phi \equiv \phi + \dot{B} - \frac{1}{2}\ddot{E}$$

We project from the space of fields to the space of gauge invariant ones:

$$\rho(h_{00}) = -2\Phi, \quad \rho(h_{0i}) = -\Sigma_i, \quad \rho(h_{ij}) = \hat{h}_{ij} - 2\Psi\delta_{ij}.$$

We can then write the original field $h_{\mu\nu}$ in terms of the projection plus pure gauge term:

$$h_{\mu\nu} = \bar{h}_{\mu\nu} + \partial_\mu A_\nu + \partial_\nu A_\mu, \quad \text{where } A_\mu = (A_0, A_i) = (B - \dot{E}, E_i + \partial_i E).$$

We define a homotopy map $s_0(h)_\mu = A_\mu$ such that

$$(\iota\rho - \text{id})(h_{\mu\nu}) = \bar{h}_{\mu\nu} - h_{\mu\nu} = -\partial_\mu A_\nu - \partial_\nu A_\mu = -\partial(A)_{\mu\nu}.$$

Linearized Einstein-Hilbert action in manifestly gauge invariant form:

$$S = \int d^4x \left\{ \frac{1}{4} \hat{h}^{ij} \square \hat{h}_{ij} - \frac{1}{2} \Sigma^i \Delta \Sigma_i + (4\Phi - 2\Psi) \Delta \Psi + 6\Psi \ddot{\Psi} \right\}$$

Gravity on FLRW Backgrounds

Einstein-Hilbert Action with Minimally Coupled Scalar Field:

$$S = \int d^4x \sqrt{-g} \left\{ R - \frac{1}{2} g^{\mu\nu} \partial_\mu \mathcal{X} \partial_\nu \mathcal{X} - V(\mathcal{X}) \right\},$$

Expand around FLRW:

$$\begin{aligned} g_{\mu\nu}(\eta, x) &= a^2(\eta) (\eta_{\mu\nu} + h_{\mu\nu}(\eta, x)), \\ \mathcal{X}(\eta, x) &= \mathcal{X}^{(0)}(\eta) + \varphi(\eta, x). \end{aligned}$$

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Expand around FLRW:

$$g_{\mu\nu}(\eta, x) = a^2(\eta)(\eta_{\mu\nu} + h_{\mu\nu}(\eta, x)),$$

$$\mathcal{X}(\eta, x) = \mathcal{X}^{(0)}(\eta) + \varphi(\eta, x).$$

To quadratic order,

$$\begin{aligned} S = \int d^4x a^2 \left\{ \frac{1}{4} \dot{h}^{ij} \dot{h}_{ij} + \frac{1}{4} h_{ij} \Delta h^{ij} + \frac{1}{2} \partial_j h^{ij} \partial^k h_{ik} + \frac{1}{2} (h_i^i - h_{00}) \partial^j \partial^k h_{jk} \right. \\ + \frac{1}{2} h_{0i} \Delta h^{0i} - \frac{1}{2} (\partial_i h^{0i})^2 + \partial^i h^{0j} \dot{h}_{ij} + \partial^i h_{0i} \dot{h}_j^j - \frac{1}{4} (\dot{h}_i^i)^2 - \frac{1}{4} h_i^i \Delta h_j^j \\ + \frac{1}{2} h_i^i \Delta h_{00} - \frac{1}{2} (\dot{H} + 2H^2) h_{00}^2 - H h_{00} \dot{h}_i^i + 2H h_{00} \partial^i h_{0i} \\ + \frac{1}{2} \dot{\varphi}^2 + \frac{1}{2} \varphi \Delta \varphi - \frac{1}{2} a^2 V''(\mathcal{X}^{(0)}) \varphi^2 - \frac{1}{2} \dot{\mathcal{X}}^{(0)} \varphi (\dot{h}_{00} + \dot{h}_i^i + 2\partial_i h^{0i}) \\ \left. + a^2 V'(\mathcal{X}^{(0)}) \varphi h_{00} \right\}. \end{aligned}$$

Gravity on FLRW Backgrounds

Chain complex:

$$\begin{array}{ccccccc}
 X_1 & \xrightarrow{\partial_1} & X_0 & \xrightarrow{\partial_0} & X_{-1} & \xrightarrow{\partial_{-1}} & X_{-2} \\
 \{\xi_\mu\} & & \{h_{\mu\nu}, \varphi\} & & \{E_{\mu\nu}\} & & \{F_\mu\} \\
 \text{GPs} & & \text{Fields} & & \text{EOMs} & & \text{Bianchi IDs}
 \end{array}$$

where

$$\begin{aligned}
 \partial_1(\xi)_{\mu\nu} &= \partial_\mu \xi_\nu + \partial_\nu \xi_\mu - 2H\xi_0 \eta_{\mu\nu} , \\
 \partial_1(\xi)_\bullet &= -\dot{\chi}^{(0)} \xi_0 .
 \end{aligned}$$

Gauge invariant variables:

$$\begin{aligned}
 \widehat{h}_{ij} , \quad \Sigma_i = \dot{E}_i - B_i , \quad \Psi = -C + \frac{1}{3}\Delta E - H(B - \dot{E}) , \\
 \Phi = \phi + H(B - \dot{E}) + \dot{B} - \ddot{E} , \quad \Theta = \varphi + \dot{\chi}^{(0)}(B - \dot{E}) .
 \end{aligned}$$

Projected fields

$$\bar{h}_{ij} = \widehat{h}_{ij} - 2\Psi\delta_{ij} , \quad \bar{h}_{0i} = -\Sigma_i , \quad \bar{h}_{00} = -2\Phi , \quad \bar{\varphi} = \Theta .$$

Gravity on FLRW Backgrounds

Write fields as projections plus pure gauge terms:

$$h_{\mu\nu} = \bar{h}_{\mu\nu} + \partial_\mu A_\nu + \partial_\nu A_\mu - 2HA_0\eta_{\mu\nu} ,$$
$$\varphi = \bar{\varphi} - \dot{\chi}^{(0)}A_0 ,$$

where

$$A_\mu = (A_0, A_i) = (B - \dot{E}, E_i + \partial_i E) .$$

We can find the homotopy maps by computing

$$(\iota p - \text{id})(h_{\mu\nu}) = \bar{h}_{\mu\nu} - h_{\mu\nu} = -\partial_\mu A_\nu - \partial_\nu A_\mu + 2HA_0\eta_{\mu\nu} = -\partial(A)_{\mu\nu} ,$$
$$(\iota p - \text{id})(\varphi) = \bar{\varphi} - \varphi = \dot{\chi}^{(0)}A_0 = -\partial(A)_\bullet ,$$

from which we can infer

$$s(h) = s(\varphi) = A_\mu \in X_1 .$$

Gravity on FLRW Backgrounds

Gauge invariant E-H action expanded to quadratic order around FLRW:

$$S = \int d^4x a^2 \left\{ \frac{1}{4} \dot{\hat{h}}^{ij} \dot{\hat{h}}_{ij} + \frac{1}{4} \hat{h}^{ij} \Delta \hat{h}_{ij} - \frac{1}{2} \Sigma_i \Delta \Sigma^i \right. \\ \left. + 4\Psi \Delta \Phi - 2\Psi \Delta \Psi - 6(\dot{\Psi} + H\Phi)^2 + \frac{1}{2} \dot{\chi}^{(0)2} \Phi^2 \right. \\ \left. + \frac{1}{2} \dot{\Theta}^2 + \frac{1}{2} \Theta \Delta \Theta - \frac{1}{2} a^2 V''(\chi^{(0)}) \Theta^2 \right. \\ \left. + \dot{\chi}^{(0)} \Theta (\dot{\Phi} + 3\dot{\Psi}) - 2a^2 V'(\chi^{(0)}) \Theta \Phi \right\}.$$

How can we simplify/diagonalize the action containing the scalar modes? \rightarrow Need to deal with constraints!

Gravity on FLRW Backgrounds

After solving constraints, we find the Mukhanov-Sasaki action,

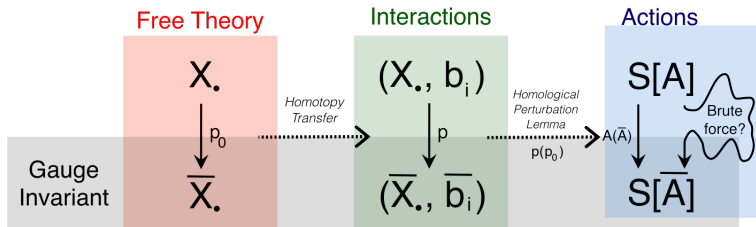
$$S = \int d^4x \left\{ \frac{1}{2} v \Delta v + \frac{1}{2} \dot{v}^2 + \frac{1}{2} \frac{\ddot{z}}{z} v^2 \right\},$$

where $v \equiv a\Theta + z\Psi$ and $z \equiv a\dot{\chi}^{(0)}/H$. To write gauge invariant action to cubic order, we use the perturbation lemma to write:

$$\hat{h}_{\mu\nu} = \bar{h}_{\mu\nu} + \frac{1}{2} p_0 [s(\varphi, h), (1 + \nu_0 p_0) h_{\mu\nu}]$$

$$\hat{\varphi} = \bar{\varphi} + \frac{1}{2} p_0 [s(\varphi, h), (1 + \nu_0 p_0) \varphi]$$

Summary and Outlook



- How to apply algebraic framework to compute n-pt functions in manifestly gauge invariant way?
- Can one use homotopy transfer to solve constraints?
- Application to cosmological double field theory.