

The Upgraded ALICE TPC

VCI 2022

Philip Hauer
on behalf of the ALICE Collaboration

22nd February 2022



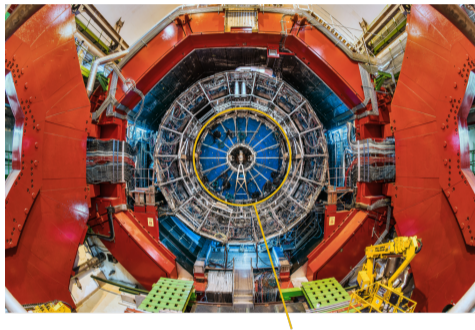
ALICE

UNIVERSITÄT



- ▶ Introduction
- ▶ Installation status
- ▶ Commissioning
- ▶ X-ray data taking
- ▶ Krypton data taking

Picture of the ALICE detector:

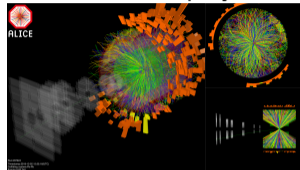


TPC

- ▶ A Large Ion Collider Experiment (ALICE)
 - ▶ Dedicated to heavy-ion physics
 - ▶ Huge multiplicities (up to 20 000 tracks per collision)
 - ▶ Reconstruct all tracks
 - ↪ Many talks on this conference
- ▶ Time Projection Chamber (TPC)
 - ▶ Gaseous detector
 - ▶ Main tracking and PID device
- ▶ During LHC's Run 1 and Run 2: MWPC-based readout
 - ▶ Long dead time due to gating grid
 - ▶ Or: No gating grid but large space charge distortions
 - ⇒ Not suitable for the Pb-Pb interaction rate of 50 kHz foreseen in Run 3
- ▶ Upgrade with Gas Electron Multipliers (GEM)

[F. Sauli – NIM A – 1997]

Event Display:



Jinst

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The upgrade of the ALICE TPC with GEMs and continuous readout

ALICE TPC collaboration

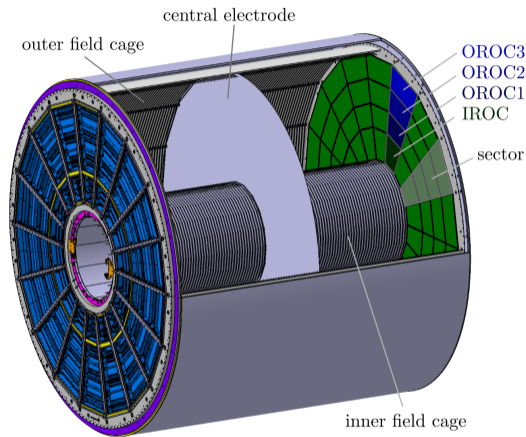
J. Adlarson,¹ M. Ahmed,² S. Aisa,³ J. Alme,⁴ T. Alt,⁵ W. Amund,⁶ F. Anagnostopoulos,⁷ C. Andrei,⁸ M. Angelsen,⁹ V. Anguelov,¹⁰ A. Anjum,¹¹ H. Appelshäuser,¹² V. Aporido,¹³ O. Arnold,¹⁴ M. Arbib,¹⁵ D. Bailly,¹⁶ M. Ball,¹⁷ G. G. Barreto,¹⁸ E. Barrelet,¹⁹ P. Becht,²⁰ R. Belhache,²¹ A. Berdnikova,²² M. Berger,²³ N. Blasia,²⁴ P. Blaud,²⁵ S. Blazina,²⁶ R. Blidaru,²⁷ L. Boldizsar,²⁸ L. Bräun,²⁹ P. Braun-Munzinger,³⁰ M. Bregant,³¹ C.L. Britton,³² S. Brucker,³³ E.J. Brücken,³⁴ H. Büchling,³⁵ R. Soto Camacho,³⁶ A.L. Campos,³⁷ G. Caraglio,³⁸ D.D. Carvalho,³⁹ A.J. Castro,⁴⁰ P. Chatzidakis,⁴¹ P. Christiansen,⁴² L.G. Cioata,⁴³ T.M. Cormier,⁴⁴ A.L.D. Couto,⁴⁵ H.G.A. Cuias,⁴⁶ A. Deisting,⁴⁷ P. Dhanraj,⁴⁸ S. Dittrich,⁴⁹ V. Duta,⁵⁰ R. Ehlers,⁵¹ M. Engel,⁵² M.N. Ericson,⁵³ N.B. Ezzi,⁵⁴ L. Fabbietti,⁵⁵ F. Flor,⁵⁶ G. Föhner,⁵⁷ U. Frankerhördt,⁵⁸ E. Furo,⁵⁹ J.J. Gaardhøje,⁶⁰ M.G. Munhoz,⁶¹ C. Garabatos,⁶² P. Gasik,⁶³ T. Geiger,⁶⁴ A. Gera,⁶⁵ P. Gläsel,⁶⁶ D.J.G. Goh,⁶⁷ O. Gracov,⁶⁸ A. Greife,⁶⁹ H. Gul,⁷⁰ T. Gunji,⁷¹ M. Habib,⁷² H. Hamagaki,⁷³ G. Hamar,⁷⁴ J.C. Hansen,⁷⁵ A. Harland-Engels,⁷⁶ J.W. Harris,⁷⁷ S. Hossain,⁷⁸ P. Hauer,⁷⁹ S. Hayashi,⁸⁰ S.T. Heckel,⁸¹

[ALICE TPC Collaboration – INST 16 – 2021]

2021 JINST 16

INTRODUCTION – TPC

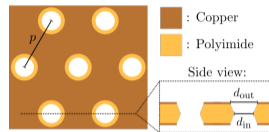
- ▶ Cylindrical TPC
 - ▶ 5 m outer diameter
 - ▶ 5 m long
 - ▶ Filled with Ne-CO₂-N₂ (90-10-5)
- ▶ Charged particles ionise atoms
- ▶ Electrons drift towards amplification stage
- ▶ Read out of induced signals on 2D pad plane
- ▶ A- and C-side split by central electrode
- ▶ In total 36 sectors, subdivided in IROC, OROC1-3



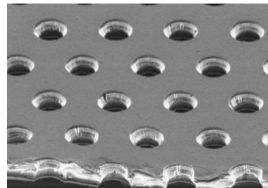
[ALICE TPC Collaboration – JINST 16 – 2021]

- ▶ Amplification stage: GEM foils
- ▶ Copper-polyimide-copper sandwich
- ▶ Perforated with many holes in photolithographic process
- ▶ Standard GEM:
 - ▶ Pitch $p = 140 \mu\text{m}$
 - ▶ Outer diameter $d_{\text{out}} = 70 \mu\text{m}$
 - ▶ Inner diameter $d_{\text{in}} = 50 \mu\text{m}$
- ▶ If suitable voltage applied between copper electrodes: gas amplification

Standard pitch GEM:



Picture with electron microscope:

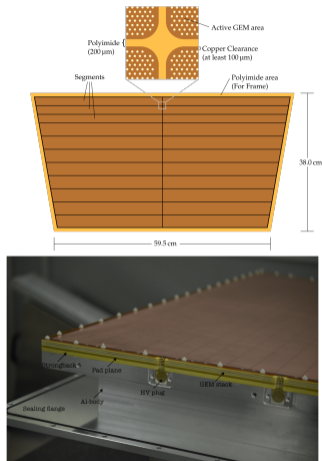


[Altunbas et al. – NIMA 490 – 2002]

INTRODUCTION – GEMs IN THE ALICE TPC

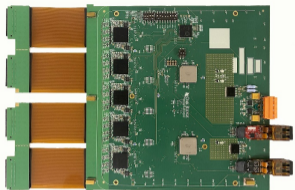
GEM for OROC2:

- ▶ For ALICE TPC: Large-area GEM foils are used
 - ▶ Divided into several high-voltage segments
 - ▶ Stability cross to prevent sagging
 - ▶ R&D investigation
 - ▶ First GEM TPC: FOPI [B. Ketzer et al. – NIMA 869 – 2017]
 - ▶ TDR for ALICE TPC [ALICE TPC Coll. – CERN-LHCC-2013-020]
 - ▶ Stack of four foils: S – LP – LP – S
 - ▶ Effective gain ≈ 2000
 - ▶ Ion backflow suppressed to $< 1\%$
 - ▶ Important to minimise space-charge distortions
 - ▶ Can be corrected for
- ⇒ Continuous operation possible

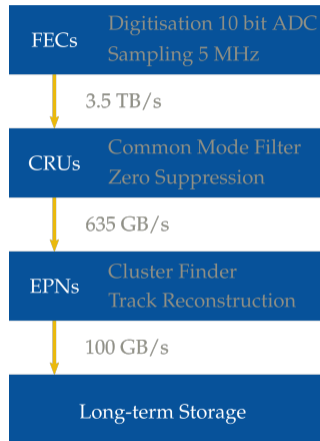


[ALICE TPC Collaboration – JINST 16 – 2021]

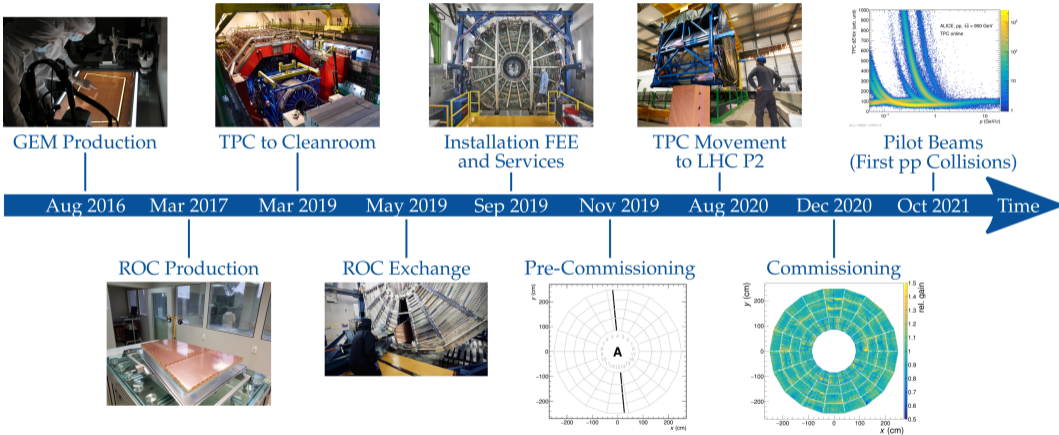
INTRODUCTION – FECs AND DATA PROCESSING



- ▶ New FECs designed and installed
 - ▶ New ASIC: SAMPA
 - ▶ Preamplifier, shaper and 10 bit ADC
 - ▶ Continuous sampling with 5 MHz
 - ▶ In total 524160 readout channels (pads)
 - ▶ 3276 FECs needed
 - ▶ 3.3 TB/s
- ⇒ Compress data online
- ↪ Presentation by David Rohr

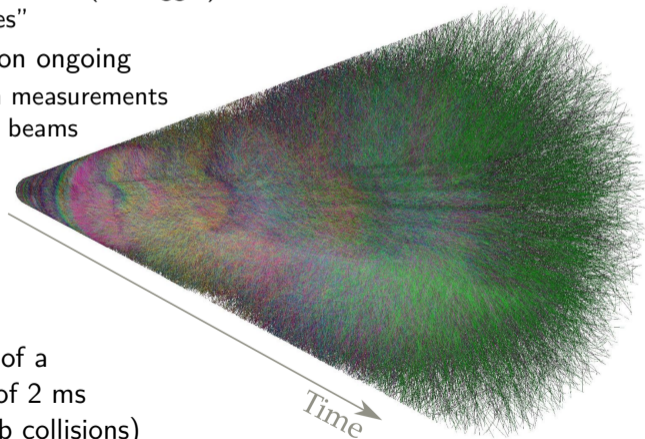


INTRODUCTION – TIMELINE OF THE UPGRADE



INSTALLATION STATUS – GENERAL

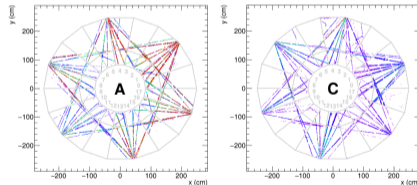
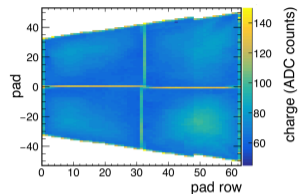
- ▶ TPC is operating successfully
 - ▶ This includes continuous readout (no trigger) with no dead time
 - ▶ “Movies instead of pictures”
- ▶ Commissioning and calibration ongoing
 - ▶ Examples from calibration measurements
 - ▶ First results from pp pilot beams



Simulation of a
timeframe of 2 ms
(with Pb-Pb collisions)

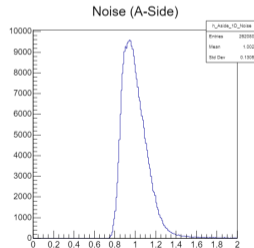
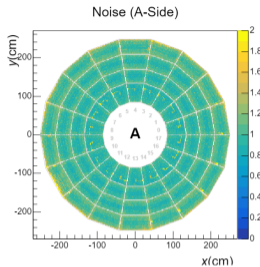
INSTALLATION STATUS – PULSER AND LASER

- ▶ Upgraded calibration pulser system is installed
- ▶ Voltage pulse injected on GEM4 bottom
 - ▶ Signal induced on all pads (capacitive coupling)
 - ▶ Used to study timing and shaping for each channel
- ▶ Laser system is re-installed
- ▶ Artificial tracks created inside TPC
- ▶ In addition: Signal from central electrode
 - ▶ Used to measure drift velocity of electrons



[ALICE TPC Collaboration – JINST 16 – 2021]

COMMISSIONING – NOISE STUDIES



- ▶ Pedestals: Offset of the baseline
- ▶ Noise: RMS of baseline fluctuations
- ▶ Average noise ≈ 1 ADC count
- ▶ Matches with design value of 670e (ENC)
- ▶ Only a few regions show higher noise
 - ▶ Dots in OROC and L-shape in IROC
 - ▶ Reason: Cables of temperature sensors
- ▶ Online zero suppression
 - ▶ Threshold based on noise of each channel

CALIBRATION OF THE ALICE TPC

▶ Measured charge \propto deposited energy (dE/dx)

⇒ Constant gain required

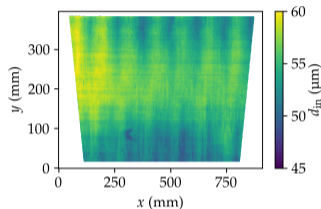
▶ But: Gain variations expected

- ▶ Electronic gain in FECs
- ▶ Mechanical imperfections
- ▶ Hole size variations
- ▶ Sagging of foils
- ▶ Charging-up of GEMs
- ▶ Temperature and pressure variations

▶ Calibration required!

- ▶ X-Ray tube
- ▶ ^{83m}Kr

Hole sizes of a GEM foil:

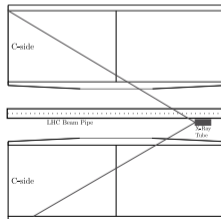


GEM sagging:



COMMISSIONING – X-RAY IRRADIATION

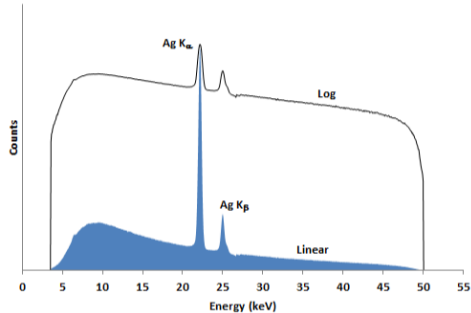
- ▶ Already during pre-commissioning:
Measurements with X-ray tube
 - ▶ Only with two sectors simultaneously
- ▶ Data very useful
 - ▶ to adjust high voltage settings
 - ▶ to investigate stability at high loads
 - ▶ to calibrate TPC
- ▶ Before installation of ITS: Another measurement campaign with full TPC



COMMISSIONING – X-RAY IRRADIATION

X-ray spectrum:

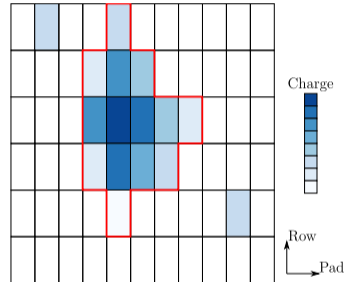
Mini-X Silver (Ag) X-Ray Tube Output Spectrum



[Amptek]

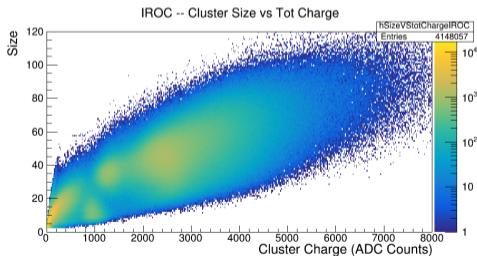
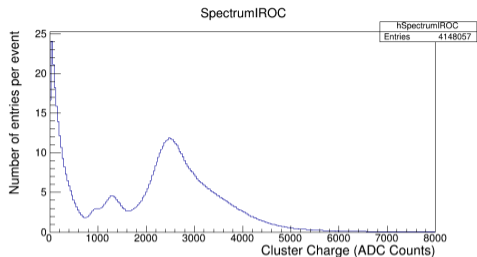
- ▶ Characteristic Ag-lines on top of bremsstrahlung background

Expectation: No tracks but charge “blobs”



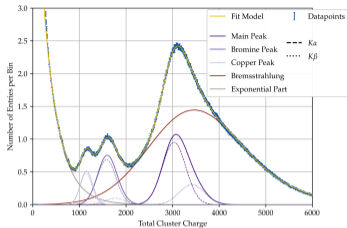
- ▶ Implemented a dedicated 3D cluster finder
- ▶ Analyse the measured data

COMMISSIONING – X-RAY IRRADIATION



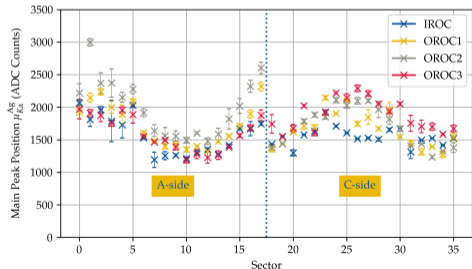
- ▶ Four prominent regions:
 - ▶ Main peak at ≈ 2500 ADC Ch.
 - ⇒ K_{α} and K_{β} from Ag X-ray tube
 - ▶ Fluorescence peak at ≈ 1000 ADC Ch.
 - ⇒ Origin: Copper (GEMs)
 - ▶ Fluorescence peak at ≈ 1300 ADC Ch.
 - ⇒ Origin: Bromine (vessel material)
 - ▶ Exponential at low energies
 - ⇒ Compton effect
 - ⇒ Low energetic X-ray lines
(energy too high for noise and cosmics)

X-RAY – COARSE GAIN EQUALISATION

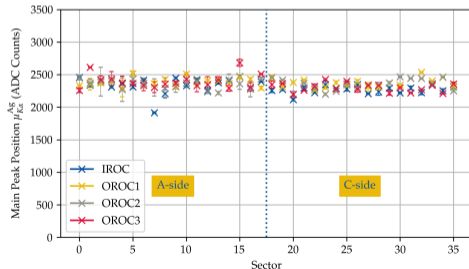


- ▶ Developed fit model
- ▶ Main peak used for coarse gain equalisation
 - ▶ Spectrum for each stack
 - ▶ Stack-by-stack gain variations
 - ▶ Was used for tuning HV settings
 - ▶ Uniform potential on GEM1T

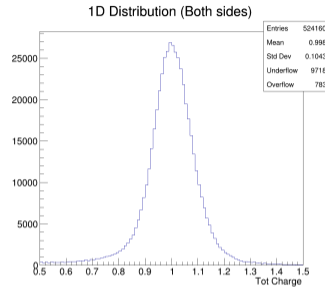
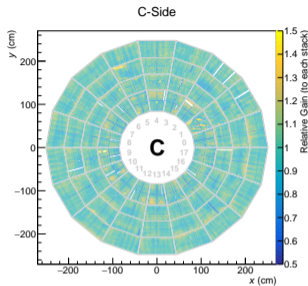
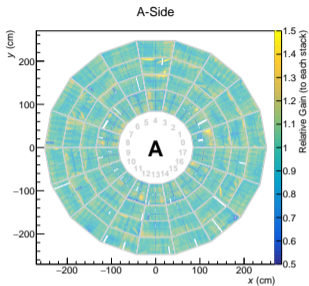
Before:



After:



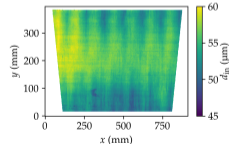
X-RAY – PAD-BY-PAD GAIN MAP



- ▶ Spectrum for each pad
- ▶ Is corrected for software-wise

- ▶ Remarkable structures
 - ▶ Sagging
 - ▶ Wrinkles
 - ▶ Hole-size distribution

GEM2 OROC3 in C09:

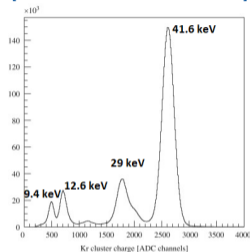


COMMISSIONING – KRYPTON CALIBRATION

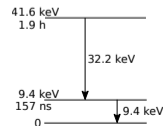
- ▶ Common method to calibrate TPCs
 - ▶ Well known spectrum
 - ▶ Was already done in previous runs
- ▶ ^{83}Rb decays to $^{83\text{m}}\text{Kr}$
 - ▶ Rb has a rather long half-life (86 days)
 - ▶ Normally implanted into polyimide foil
- ▶ Two energy levels
 - ▶ 32.2 keV transition internal conversion (releases a shell electron)
 - ▶ 9.4 keV transition is internal conversion (95 %)

Krypton spectrum:

[Alme et al. – NIMA 622 – 2010]

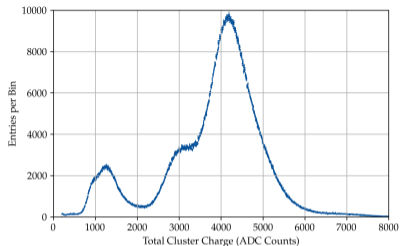


Krypton decay scheme:

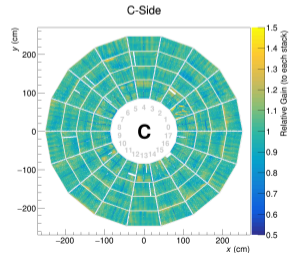
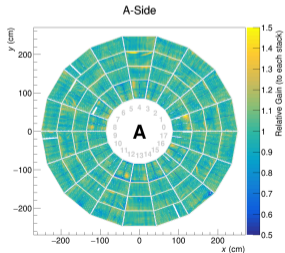
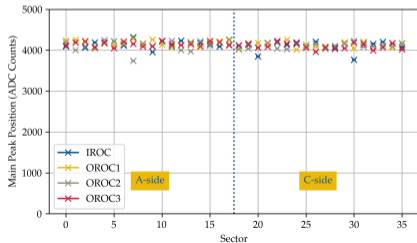


KRYPTON – GAIN CALIBRATION

Raw Krypton spectrum:

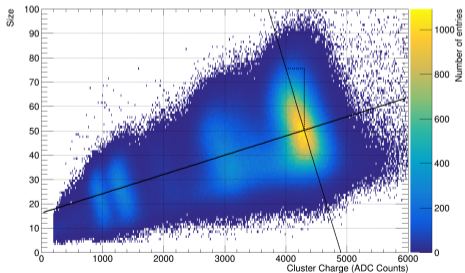


- ▶ Main peak used for:
 - ▶ Coarse gain equalisation
 - ▶ Pad-by-pad gain map
- ▶ Similar results to X-ray measurements

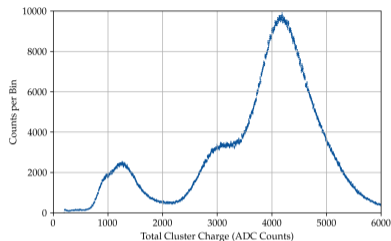


KRYPTON – GAIN CALIBRATION

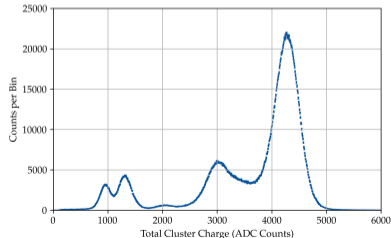
- ▶ Apply pad-by-pad gain map to data
- ▶ Example: Krypton spectrum
- ▶ Correct for electron attachment
- ▶ Energy resolution improves significantly
 - ▶ Raw spectrum: $\sigma_E/E = 11.2\%$
 - ▶ Corrected spectrum: $\sigma_E/E = 5.0\%$



Raw Krypton spectrum:



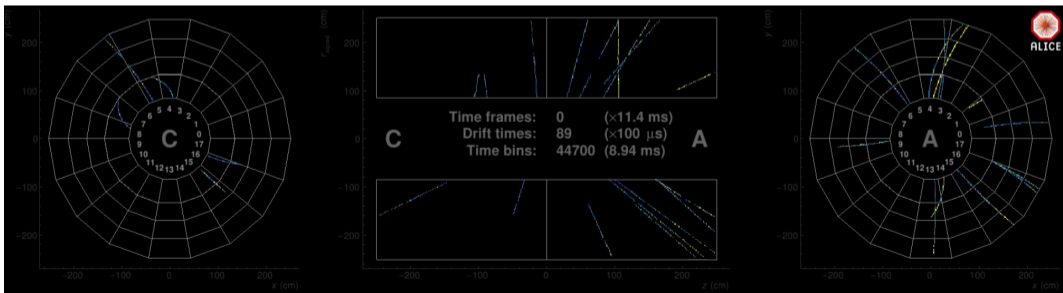
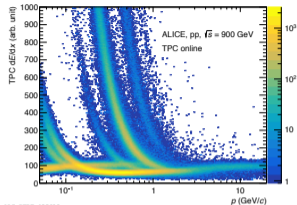
Corrected Krypton spectrum:



FIRST COLLISIONS – PILOT BEAM WITH PROTONS

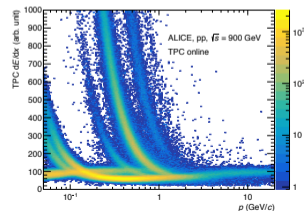
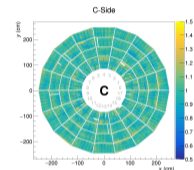
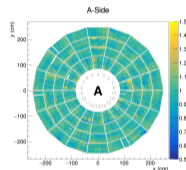
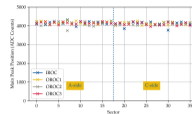
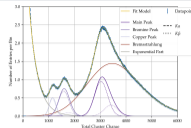
- ▶ October 2021: LHC pilot beams
 - ▶ pp collisions
 - ▶ $\sqrt{s} = 900$ GeV
- ▶ ALICE TPC with magnetic field (0.2 T)
 - ▶ dE/dx vs. p plot
 - ▶ “Live event display”

From online quality control:



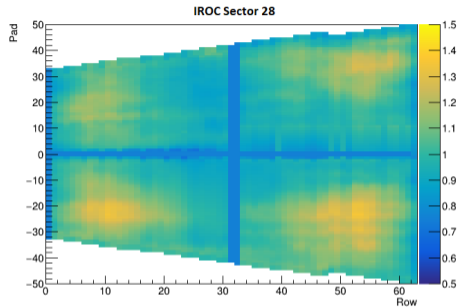
SUMMARY AND OUTLOOK

- ▶ The ALICE TPC is fully operational with continuous readout
- ▶ Pulsar and laser are working as expected
- ▶ X-ray and Krypton data taking were successful
 - ▶ Coarse gain equalisation
 - ▶ Pad-by-pad gain calibration
- ▶ Measurements during pilot beams
 - ▶ First dE/dx plot
- ▶ Commissioning and calibration ongoing
- ▶ Start of pp physics running in June 2022
- ▶ Lead beams expected for November 2022

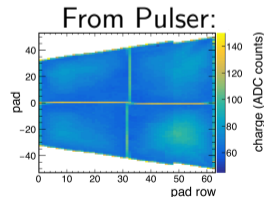


Thanks for your attention!

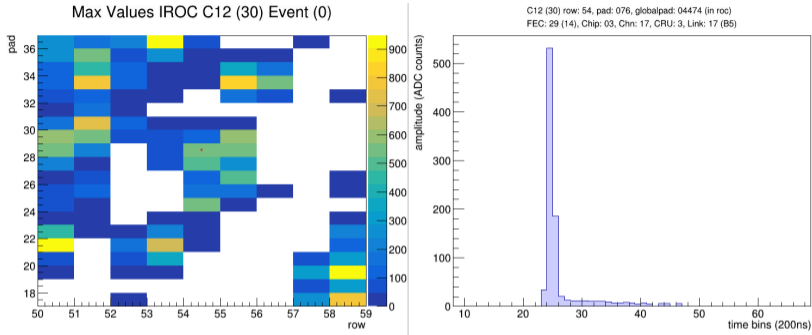
Backup



- ▶ Some areas have a significantly higher gain
 - ▶ Occurs mainly in the edges
 - ▶ An “ion-tail” can be observed in these regions
- ▶ Possible reason: Sagging of GEM foils
 - ▶ One or more foils bend towards neighbouring electrode
 - ▶ Strong electric fields in between them
 - ▶ Gas amplification occurs



[ALICE TPC Collaboration – JINST 16 – 2021]



- ▶ Long tail: Probably due to backdrifting ions
- ▶ Created between GEM4 and pads
- ▶ To be investigated



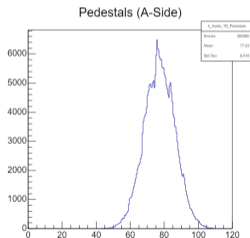
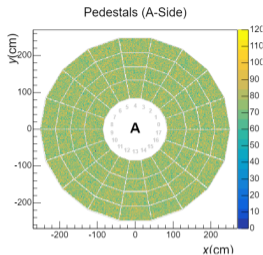
WHY NE-CO₂-N₂?

[ALICE TPC Collaboration – JINST 16 – 2021]

Gas	Eff. ionization	Number of electrons per MIP		Drift velocity	Diffusion coeff.		
	energy W_i (eV)	N_p (primary) (e cm ⁻¹)	N_t (total) (e cm ⁻¹)	v_d (cm μ s ⁻¹)	D_L (μ m/ \sqrt cm)	D_T (μ m/ \sqrt cm)	$\omega\tau$
Ne-CO ₂ -N ₂ (90-10-5)	37.3	14.0	36.1	2.58	221	209	0.32
Ne-CO ₂ (90-10)	38.1	13.3	36.8	2.73	231	208	0.34
Ar-CO ₂ (90-10)	28.8	26.4	74.8	3.31	262	221	0.43
Ne-CF ₄ (80-20)	37.3	20.5	54.1	8.41	131	111	1.84

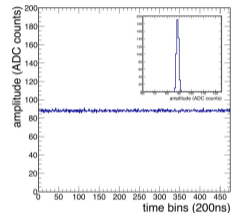
- ▶ High ion mobility \Rightarrow Ions quickly get removed from system
- ▶ No ageing effects expected
- ▶ N₂: Less primary discharges

COMMISSIONING – PEDESTALS AND NOISE

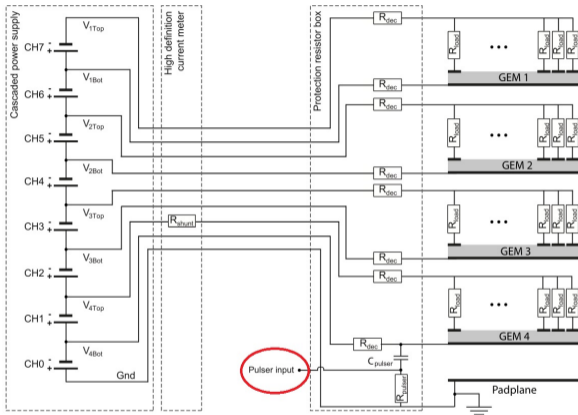


- ▶ Pedestals: Offset of the baseline
- ▶ Noise: RMS of baseline fluctuations
- ▶ Pedestals almost perfectly homogeneous
- ▶ Online pedestal subtraction

Baseline of a single pad:



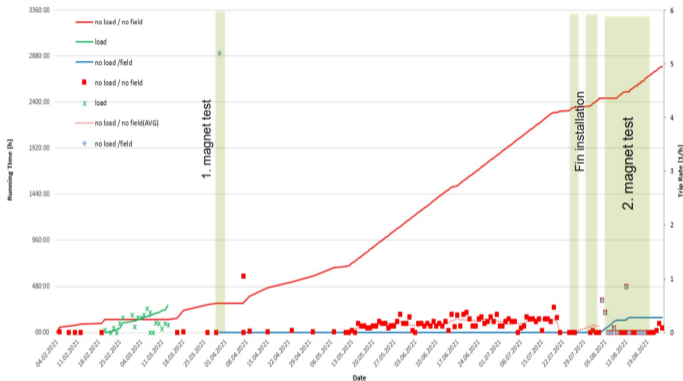
[ALICE TPC Collaboration – JINST 16 – 2021]



[ALICE TPC Collaboration – JINST 16 – 2021]

- ▶ Cascaded power supply
- ▶ High rate current monitor
- ▶ Trip: Current exceeds
 - ▶ 6 μ A in GEM1 - GEM3
 - ▶ 10 μ A in GEM4

COMMISSIONING – TRIP STATISTICS



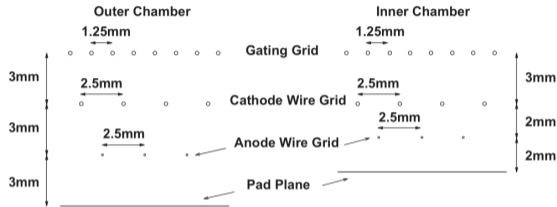
[Robert Münzer – Personal Communication]

Average trips per hour:

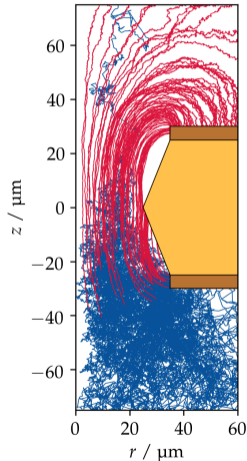
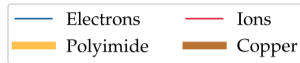
- ▶ No load (≈ 2000 h)
 - ▶ 0.08/h
- ▶ X-ray (≈ 284 h)
 - ▶ 0.25/h
- ▶ Magnet:
 - ▶ Increased trip rate during magnet ramping
 - ▶ Adapted HV settings
 - ▶ Under investigation
- ▶ 5/3024 ($< 0.2\%$) shorted segments

GATING GRID VS. GEMs

[Alme et al. – NIMA 622 – 2010]

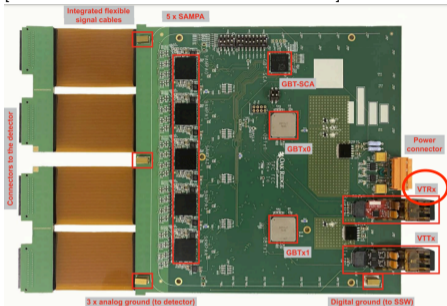


- ▶ Ions are captured by gating grid
- ▶ Electrons can not pass
- ▶ Has to be opened and closed
- ▶ Max. interaction rate ≈ 3 kHz



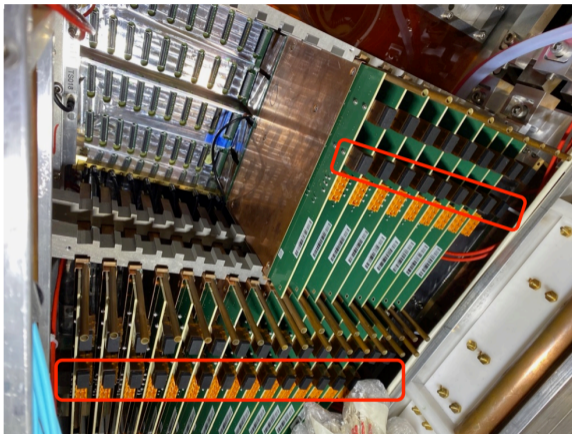
INSTALLATION STATUS – VTRx FAILURES

[ALICE TPC Collaboration – JINST 16 – 2021]



- ▶ Front-end card (FEC)
- ▶ In total: 3276 FECs for whole TPC
- ▶ 1 VTRx per FEC

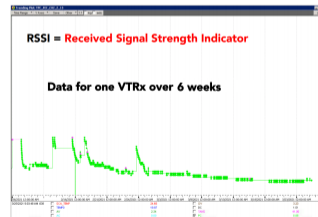
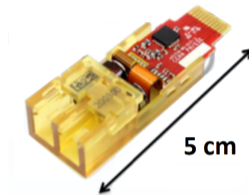
[Christian Lippmann – Personal Comm.]



- ▶ FECs in an IROC

INSTALLATION STATUS – VTRx FAILURES

- ▶ Communication problems with FECs
- ▶ Problematic component: VTRx optoelectric transceiver
- ▶ Received signal strength indicator (RSSI) decreases with time
 - ▶ First seen by CMS HCAL (operational since 2018)
 - ▶ Confirmed by ALICE ITS (operational since 2020)
- ▶ Affects approximately 50 % of all modules
- ▶ Becomes problematic (link failures) in up to 20 % of installed modules

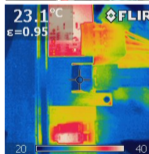
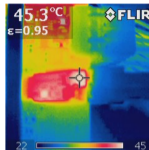


[Christian Lippmann – Personal Comm.]

INSTALLATION STATUS – VTRX FAILURES

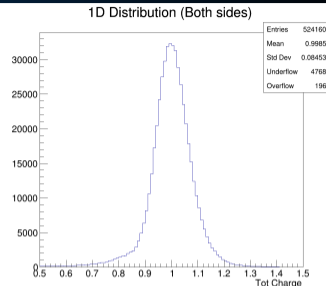
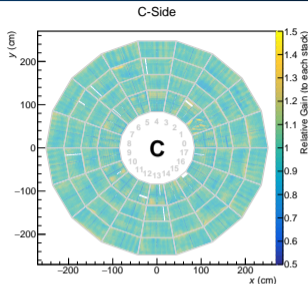
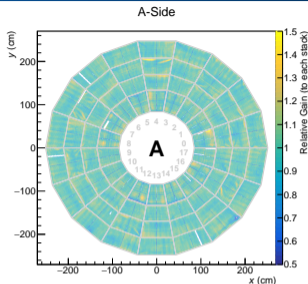
- ▶ Reason: Epoxy not cured well during production
- ▶ If it gets warm \Rightarrow Outgassing
- ▶ Fibre connection becomes less transparent
- ▶ RSSI decreases

- ▶ How to overcome this issue?
 - ▶ Post-curing not feasible (typical: 120 °C for 2 h)
 - ▶ Regularly cleaning impossible
- ▶ Add cooling fins to system
 - ▶ Installation possible without unmounting FECs
 - ▶ All FECs equipped with fins
 - ▶ Stable operation afterwards



[Christian Lippmann – Personal Comm.]

KRYPTON – PAD-BY-PAD GAIN MAP

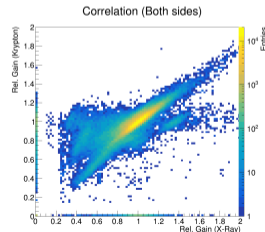


▶ Known structures

- ▶ Sagging
- ▶ Wrinkles
- ▶ Hole size distribution

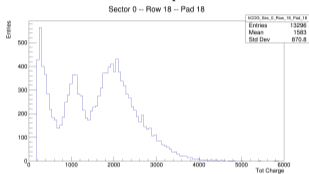
▶ Compare to pad-by-pad gain map from X-ray

- ▶ Correlation looks very good
- ▶ Outliers can be explained

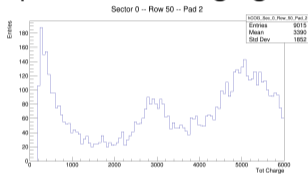


X-RAY – SINGLE PAD SPECTRA

“Normal” spectrum:



Spectrum with high gain:



Spectrum below cross:

