The upgrade of the tracking detector of the ATLAS experiment and its impact on Physics

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International meeting on "Tracking detectors for particle colliders - present and future"





Overview



- The High-Luminosity LHC and challenges for the ATLAS experiment
- Upgrade of the inner tracking detector: concept, technology choices and results of prototyping of
 - the Pixel detector upgrade
 - the Strip detector upgrade
- Impact on physics performance objects
- Prospects for physics
- Summary







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Challenges for the experiments at the HL-LHC



Proton-proton collisions with up to 14 TeV at higher intensity:

- Instantaneous nominal luminosity x5-7.5 \rightarrow Increased particle densities
- Integrated luminosity x10 \rightarrow Increased radiation damage, radiation levels up to 2x10¹⁶ n_{eq}/cm² or 1 GRad
 - Impact on detector technologies (silicon, 3D-sensors), electronics (deep sub-micron technologies and FPGAs) and materials (cables, glues) → Qualification process essential
- → Increase of overlapping proton-proton events (pile-up) from $<\mu> \sim 50$ now to $<\mu> \sim 200$
 - Additional energy in calorimeters, accumulation of "pile-up" jets especially in the forward region
- → Hit rates up to 3 GHz/cm²
 - Higher rate of fake tracks





Simulated event with ttbar events and average pile-up of 200 collisions per bunch crossing

Phase-II Upgrade: Physics Program

Wide program covering nearly all areas of Physics at hadron colliders

- Exploration of electro-weak Standard Model and top physics
 - Precision measurements like W/top masses,
 - Rare signatures like Vector-Boson-Scattering, FCNC top decay, ...
- Higgs Boson Program a major component, main measurements:
 - Higgs couplings
 - Higgs self-coupling
 - Higgs differential distributions
 - Rare Higgs decays
 - Heavy Higgs searches
- **QCD measurements** constraining PDF uncertainties with LHC data
- Flavour physics constrains on CKM matrix, ...
- Extended sensitivity for Beyond the Standard Model physics
 - New TeV-scale physics could be discovered or very strongly disfavoured

HL-LHC offers increased dataset \rightarrow Reduced uncertainties both statistically and experimentally (large calibration datasets)





Main references: Reports <u>arXiv:1902.04070</u>, <u>arXiv:1902.00134</u>, <u>arXiv:1812.07831</u>, <u>Physics Briefing book arXiv:1910.11775</u>

. . .

Motivations for detector upgrade



Process	Requirements	
Efficient tracking with small fake rates	Radiation tolerance, high granularity, low material budget	
High multiplicity events and highly boosted jets	Improved granularity and resolution	
Missing transverse energy	High coverage including acceptance in forward region	
Resonances in top pairs, W, Z, H	Reconstruction of leptons & b-quarks in boosted topologies	
VBS/VBF forward jets	Forward tracking to reject pile-up by jet-vertex association	
$H \rightarrow \tau \tau$	Triggering of τ -leptons	
High-mass gauge bosons	Good lepton momentum resolution at high p _T	
Rare events	High coverage and reconstruction efficiency	
BSM cascades	Triggering & reconstruction of low p _T leptons + identifying heavy flavour	

 \rightarrow Precise measurement of physics objects: leptons (e, μ , τ -leptons), photons,

missing transverse energy, jets, b-(c-)quarks over full p_T range

+ Some detectors (e.g. inner tracker) can't withstand radiation and rates beyond LHC

Ππ

proto

jet cone

τ-cone

Upgrade of the inner tracking detector



CERN-LHCC-2017-005, CERN-LHCC-2017-021 ATL-PHYS-PUB-2021-024



Silicon strip and pixel detector in 2 T magnetic field:

- 4-central strip layers and two endcaps with 6 disks each
- 5-pixel layers in the central and forward sections up to $|\eta| < 4$
 - Innermost layer radius finalized at 34/33 mm (B/EC, was 39/36)
 - Inner two layers replaceable
- Cooling with CO₂

Inner Tracker ITk - New all silicon tracking detector with extended coverage to $|\eta| < 4$

	Surface [m ²]	# Channels	# modules
Pixel	13 (x7)	5 G (x60)	8.5 k
Strip	165 (x3)	60 M (x10)	18 k

	N-in-p silicon sensors
Strip pitch (µm)	70-85
Strip length (cm)	2.5-8
Strip thickness (µm)	300
Pixel size (µm ²)	50x50 (planar L1-L4), L0 3D in rings 50x50, 25x100 in flat
Pixel thickness (µm)	≤ 150

Let's look at the detector components





Pixel Inner Layers

Pixel Outer Barrel

Pixel Endcap

The concept of the ITk Strip Detector

- **Concept of modularity of components** which are designed for manufacturability and mass production from the beginning (standard design rules, simplified construction,...)
 - Assembly and testing at multiple sites
 - Simplifies final assembly
 - Earlier test of full system
- 18,000 modules with 2560 or 5120 channels/module



- Parallel powering scheme
 - ~14 modules per LV channel
 - * $11V \rightarrow 1.5V$ on-module DC/DC conversion
 - \leq 4 modules per HV channel
 - On-module power control and monitoring



Endcap global support



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Strip sensors and electronics

- n-in-p float-zone sensors with p-stop isolation and ~320 µm thickness
 - 8 sensor types (2 for barrel, 6 for endcap)
 - bias voltage: 100V to 500V
 - Preproduction delivered
 - Production Readiness Review (PRR) passed
 - First production batch delivered

Binary readout chip and controller chips (130 nm CMOS)



HCCStar (Hybr. controller)

- Connects 10x ABC to stave
- SEE mitigation
- Pre-production submitted, chip expected late November

AMACStar (Power control and

On the same wafer as HCC

environmental monitoring)

Preprod submitted

ABCStar (front-end chip)

- Binary readout with 256 channels
- Preproduction available
- First prod wafers in 01/2022

https://arxiv.org/pdf/2009.03197









SS, LS are barrel

- Extensive testing in simulation for all chips
- Current increase after first step of radiation: Preirradiation of ASICs baselined
- All three chips were extensively modified to improve SEE protection
 - Feature sets for HCCStar and AMACStar reduced to increase area available for triplicated logic.
 - HCCStar die size was increased as well

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Strip module construction and performance



- Several successful test beam campaigns
- Results show clear operating windows meeting >99% efficiency, <0.1% noise occupancy requirement



 \rightarrow Signal-to-noise ratio values > 10 for all evaluated modules at foreseen bias voltages

- Preproduction and site qualification ongoing in two stages
 - To demonstrate that production of 18,000 modules feasible
 - Defined 10% of the actual production •
 - ~20 assembly sites across 4 continents
 - Pre-production A: ~20% of pre-production sensors, flex and ABC but prototype HCC
 - Pre-production B: • Using final components









EndCap module



Strip local supports

Petal

Top closeouts



- Carbon-fibre composite structures with co-cured polyimide-copper bus tapes have modules glued on top of both sides with a stereo angle between both sides
 - In central region (barrel): staves with 14 modules on each side (392 staves in total)
 - In endcaps: petals with 9 modules on each side (384 petals in both endcaps) Lateral closeouts
- End-of structure cards service the electrical to optical transmission (lpGBT and VTRx+ links) and to the outside world: production and tests with optimized design ongoing





Good electrical and thermal performance achieved, and Final Design Review passed, ready for pre-production



Good thermal performance measured

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Global mechanics and integration of strip detector



- Loaded local support structures (staves and petals) are end-insertable including cooling and cabling
 - Fire-tests of components passed
- For barrel: carbon cylinders for ٠ each layer in which staves are inserted.
 - Tests with mock-ups well ٠ advanced

For endcaps: carbon wheels • with blades for each disk mounted in endcap structure

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Tests with mock-ups well ٠ advanced





Shell flange prototypes



Prototype Wheels (w/ blades)



Endcap Global Support

Mockup of services, interlinks and end flanges



Overview of the ITk Pixel detector





- Different sensors types and technologies depending on distance from interaction point
 - 3D-sensors in triplet assemblies (Layer 0), planar quads with 100 μm (L1), planar quad sensors with 150 μm thickness (L2, L3, L4)
 - Pixel size 50x50 μm^2 (L1-L4, rings of L0), 25x100 μm^2 (barrel of L0)
- Luminosity monitoring and beam abort modules added
- Fast readout with max. 1 MHz trigger rate
- Data transmission with up to six links of 1.28 Gbps (electrical) per IpGBT and VTRx+ link (optical) to FELIX readout
- Reduction of material by deploying serial powering and CO₂ cooling

Planar and 3D pixel sensors

Thin n-in-p planar sensors

- Dies of 4x4 cm²
- 100/150 µm thick
- Bias voltage up to 600/400 V (at end of life-time)
- Signal: ~10000 e⁻ (~6000 e⁻ after HL-LHC dose)
- **5 vendors qualified in market** survey after long qualification program
- Final design frozen



Quad 4x4 cm²

Test beam results for 50x50 μ m² planar modules with varying bias structures irradiated with 70 MeV protons to $3*10^{15} n_{eq}/cm^2$



3D sensors

- For innermost layer: 1.3×10¹⁶ n_{eo}/cm² for 2000 fb⁻¹
- Dies of 2x2 cm², 150 µm thickness + 100-200 µm support wafer
- Pixel size of 25x100 µm² challenging for radiation • hardness and only in part of L0 foreseen
- 3 vendors selected in market survey







- >97% efficiency at perpendicular track incidence
- Power consumption at the operational voltage: <10 mW/cm²
- Maximum operational voltage: 250 V

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Front-end and module concept in the pixel detector



- RD53 Collaboration: joint R&D of ATLAS and CMS ASIC: 65 nm with TSMC
- RD53A FE prototype (full width/half depth chip with 3 analogue FE) heavily investigated: Many results collected, show comparable performance within specifications
- ATLAS ITkPixV1 FE prototype
- Differential FE of RD53A FE plus few design changes e.g. under current and over voltage protection
- Refined version with fix in ToT latches
 received and being evaluated

www.rd53.cern.ch CERN-RD53-PUB-17-001

- Sensor and front-end electronics connected to bare module with high density bump bonding (market survey to qualify several vendors finished)
- Parylene encapsulation of wirebonds and for HV insulation



Jig for electrical tests

Modules assembly tooling, carrier and test jig



Prototype module for layer 1-4 quad module in carrier for testing and transport

- → Production of four-chip modules (200) ongoing with RD53A-FEs with common tooling in 20 labs
- → Evaluation of performance and production flow

Assembly tooling



Readout and powering of the pixel detector

- On-detector readout with 1.28 Gbps (up to 4 lanes per FE) and conversion to optical signals at > 5.12 Gbps ٠
- Uplink sharing for all layers to reduce material



Constant current supplied and parallel

Losses to be kept below 20 dB

 \rightarrow Results are encouraging (BER < 0.2e-12, specification is 1e-12) and studies continue

Powering modules serially with chains of up to 14 quad modules ٠

- \rightarrow Reduced number of supply lines, less material
- \rightarrow Less power dissipation on services than with parallel powering
- → Radiation hard on-chip shuntLDO allows regulation of voltage on chip
- Several HV lines per chain (at least 2 per SP-chain foreseen) ٠
- Each module on different potential \rightarrow AC coupling of data lines ٠





L2 Barrel: envelope for twinax cables



Further challenge are space constraints for routing of services

- About 1000 SP-chains
- Total power ٠ consumption (112 kW) within cooling budget

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T. Stockmanns et al., NIM A511 (2003) 174-179

D. Bao Ta et al., NIM A557 (2006) 445-459 L. Gonella et al., JINST 5 (2010) C12002

Local support mechanics of the pixel detector



Principle: Local support structures from carbon-fibre composites get modules on both sides attached

IS rings



EC half-rings





OB longerons and inclined half-rings



- Layout differs in detector areas: varying serial powering chain lengths, varying mechanical solutions to achieve high thermal and electrical performance for stable and safe operation
- → Successful evaluation of thermal performance and manufacturing variability: hottest spot on FE expected to be colder around 0°C at the end-of-lifetime
- → Global mechanics developments also progressing
- Electrical validation started with FE-I4 based modules and in preparation with RD53A modules in system test Demonstrator programmes
- Results:
 - HV power supply with low-ohmic off-mode required
 - Power fluctuations indicated necessity of improved shuntLDOs compared to FE-I4, implemented in ITkPixV1 FE

Material estimate for ITk

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Reduction of material by usage of

- CO₂ cooling with thin titanium pipes
- Thin silicon sensors and front-ends
- Fast data transmission with low power giga-bit data transmission in pixels and strips
- Advanced powering: serial powering for pixels, DC-DC converters for strips
- Carbon structures for mechanical stability and mounting
- \rightarrow Minimize effects of multiple-scattering and energy losses before outer detectors



Tracking performance



Number of strip plus pixel measurements on a track as a function of $\boldsymbol{\eta}$



ITk provides at minimum **9 hits** in the barrel and **13 hits** in the forward or all particles with p_T > 1 GeV within $|z_{vertex}| < 150$ mm

Redundancy is very important to clean combinatorics in reconstruction

Track Reconstruction: From detector readout to physics objects Reconstruction chain steps:



Track reconstruction efficiencies

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• Efficiency: fraction of all reconstructed true prompt particles





- Tracking efficiency comparable to Run-2 despite increased pile-up
- Efficiency > 85% for prompt and stable charged particles, also at high η , (>99.5% for muons) and low fake rates ~10⁻⁴

Reduced material \rightarrow less interactions Increase in hit counts \rightarrow tighter track selections Improved hermeticity \rightarrow more hits and fewer holes

Number of interactions

 Number of reconstructed tracks follows the number of interactions

Track parameter resolution



 Transverse impact parameter (d₀) resolution as a function of η

- Longitudinal impact parameter z_0 resolution crucial for pile-up suppression



- For low p_T dominated by multiple scattering: similar resolution compared to Run-2
- For high p_T dominated by intrinsic detector resolution: 4x (2x) improvement in z0 (d0) resolution due to smaller ITk pixel pitch
- Improvement due to reduced material and better resolution of strip tracker than current TRT

Primary vertex reconstruction



- Vertexing: reconstructing and identifying the primary hard scatter vertex and pile-up vertices
- ITk vertexing uses Adaptive Multi-Vertex Finder (AMVF) algorithm which replaces Run-2 Iterative Vertex Finder (IVF) algorithm



- Vertex reconstruction efficiency shows **robust performance** even up to $<\mu> \sim 200$
- Longitudinal position resolution improved by factor of 2-3 and exhibits strong robustness against pile-up
- \rightarrow Vertex reconstruction efficiencies for tt can cope with high pile-up

Jet Flavour Tagging and Physics Case



 Discriminate heavy flavour jets (from b- and cquarks) from jets originating from light quarks and gluons



- Evaluated using tt sample with parton-jet matching
- High-level multvariate algorithm combines information from several discriminates
- Not fully optimised
- Important to discriminate between VBF and tt in forward regions

- Extrapolation for Physics cases
 - $H \rightarrow \mu \mu$



 Uncertainty on precision measurement of W mass reduced by 20% with extended forward tracking at HL-HLC

https://cds.cern.ch/record/2703572

Summary



- HL-LHC will increase physics reach of the ATLAS experiment
- Upgrade of tracking detector to tackle challenges of high pile-up, particle rates and radiation dose: New inner tracker with higher granularity and coverage
- 4-layer strip detector with about 18,000 strip modules
 - Design verified and many final design reviews passed
 - Pre-production fully running (QA/QC procedures defined, site qualification ongoing)
- 5-layer pixel detector with about 10,000 pixel-hybrid modules (~6 x of current pixel detector)
 - New FE chip, sensors, powering scheme, services scheme and equipment designed and produced as prototypes
 - Collaboration finalizing validation with prototypes to start pre-production
 - Challenges are the data transmission concept and module stress
- Expected performance will enable rich physics program

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Thank you!



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Physics of the Higgs Boson

- Higgs couplings highly sensitive to BSM physics
- With HL-LHC dataset significant improvement in precision
- Study based on following channels
 - $H \rightarrow gg$
 - $H \rightarrow ZZ^* \rightarrow 4I$
 - $H \rightarrow WW^* \rightarrow |\nu|\nu$
 - $H \rightarrow tt$
 - ttH, H \rightarrow gg and H \rightarrow µµ
 - WH/ZH, H \rightarrow gg
 - $H \rightarrow \mu\mu$
- Relative precision on Higgs coupling modifiers κ with κ_ν ≤ 1: Factor 2 improvement feasible from LHC to HL-HLC (constrained on 2-7% level)
- Gives access to direct coupling to top quark (mainly ttH \rightarrow ttyy, 4% for $\kappa_t)$





Events/2.0 GeV

https://arxiv.org/1910.11775

Vector Boson Scattering and W mass



VBS cross section



- In leptonic signatures expected to be observable at HL-LHC
 - WZ scattering: about 6% precision
 - ZZ scattering: 1-8 σ overall, depends on theo. unc. (ZZjj)
 - WW scattering: <10% precision, 2σ sensitivity to W_LW_L Challenge is extraction of the longitudinal scattering component to test unitarity



Despite major improvements with forward tracking and jet-tagging capabilities, WW analysis will be systematically limited

Precision measurement of W mass

- Reduced constraints to PDFs with extended forward tracking at HL-HLC
- Low luminosity run < μ > = 2 would give in short time a clean sample at 14 TeV



For 200 pb⁻¹

\sqrt{s} [TeV]	Lepton acceptance	Uncertainty in m_W [MeV]	
		HL-LHC	LHeC
14	$ \eta_\ell < 2.4$	$11.5~(10.0 \oplus 5.8)$	10.2 (9.9 \oplus 2.2)
14	$ \eta_\ell < 4$	9.3 (8.6 \oplus 3.7)	8.7 (8.5 ⊕ 1.6)

https://cds.cern.ch/record/2703572



Radiation damage



Active components are to sustain optimal performance up to 4000 fb⁻¹ except for the ITk Pixel Inner System which will be replaced after 2000 fb⁻¹.

- Detector technologies (Si planar, 3D) :
- NIEL \rightarrow bulk damage (trapping centers) leading to depletion voltage and leakage current increase
- Deep sub-micron technologies & FPGAs are to be qualified wrt :
- TID \rightarrow surface effects, transistor damage and ageing effects
- SEE (SEL, SET, SEU) which are induced effects by heavy ions and hadrons → either soft errors (No permanent damage like SEU, SET,...) or hard errors (permanent damage like SEL)
- Material (cable, glue, composite...)
- TID can compromise chemical/mechanical integrity

ightarrow Heavy and lengthy qualification process for all sub-systems

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Services of the strip detector

• Full chain defined and services purchased for larger system tests







Type-1 Cable Connectors

Type-3 cables

• Services on the detector sorted in service modules





Mockup of services



EC cooling manifold tested with in CO_2 plant at CERN

The new pixel readout chip: ITkPix

RD53 Collaboration: joint R&D of ATLAS and CMS ASIC: 65 nm with TSMC

- 4 data lines at 1.28 Gbps
- Low threshold ~600 e⁻
- Integrated shuntLDO
- Design power 0.7 W/cm², up to 8 A supply current for four-chip module
- Radiation hardness up to 500 MRads
- 154k pixels per chip, expecting up to 250 hits/chip/bunch crossing, 500 bc buffer

RD53A FE prototype (full width/half depth chip with 3 analogue FE) heavily investigated: **Many results collected, show comparable performance within specifications**

- Wafer probing set up
- Radiation damage depends on dose rate
- Proof-of-principle of operation in serial powering chain shown
- Ongoing in pixels: Quad module program ongoing to verify on system level





ATLAS ITkPixV1 FE prototype

- Differential FE of RD53A FE plus few design changes e.g. under current and over voltage protection
- First version has many functionalities working as expected but high digital current because of an issue in the ToT latches
- Refined version received and being evaluated



Challenges for pixel modules

Disconnected bumps after thermal cycling of modules mainly caused by stress from copper in hybrid

- Linear and bump geometries studied in FEA models to analyze thermal stress
- Models predict number of cycles to failure like observed failures in FE-I4 modules
- Models predict: Survival of 120 thermal cycles for -55°C to 60°C and 4000 for -45°C to 40°C before failure compare to specification of 400 cycles
- Parylene coating of the module has a beneficial effect
- More studies ongoing



Thermal cycles on single chip FE-I4 module with 48 μ m Cu on hybrid, with and without parylene coating (R. Plackett, L. Cunningham)



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Cable feed

Powering of the pixel detector: serial powering





Powering of the pixel detector: serial powering





- About 1000 SP-chains, will validate the SP-chain concept up to a length of 16 modules
- Challenging to optimize the choice of shuntLDO configuration in order to minimize total power dissipation while meeting all constraints (like same shuntLDO configuration for all quad modules)
- Total power consumption (112 kW) within cooling budget
- Regulators/periphery is warmest area inside FE, about 40-45% of power in periphery (10% of FE area) → Taken into account in detector design

Readout of the pixel detector



- On-detector: kapton/copper flexes → Patch panel 0 → Twin-axial cables → Giga bit transmitter recovery chip (GBCR)
 → IpGBT and VTRx+ for aggregation → Optical fibres to readout PCs with FELIX readout boards
- Uplink sharing for all layers to reduce material (320 Mbps inter chip data transmission on the modules)



Losses to be kept below 20 dB for FE-chip and GBCR including connectors, flexes and cables

RD53a + Rd53b_cdr + Flex + 6m Twinax+ DAQ

Results are encouraging (BER < 0.2e-12, specification is 1e-12) and studies continue as components become available

 Further challenge: routing of services since there are many and space is limited between layers



CAD of services in L0

Type-1 Envelope



CAD of services in L2 Barrel

More on pixel local support components

Principle: Structures from carbon foam with carbon fibre co-cured and modules attached

Not to scale









- Endcap Ring-0
 - CFRP + carbon foam half-ring with 12 quad modules loaded on top
 - Flexes for 2 SP-chains

→Evaluation of many system aspects →Early practice run for design and integration





Type-0 ring services

Ring-0 SP chain
 Module building data

→ Performance comparable to standalone operation of modules





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Results of electrical prototypes

- Tests with different readout systems give comparable results
- Serial powering features
 - Measurements with realistic power supplies and services scheme → Leakage current return through HV power supply with low-ohmic off-mode required to avoid forward bias on module with lowest ground level in chain → Input to PSU specifications



Module	Voltage Drop [V]	Drop over R_HV [V]	ISensor [uA]
BM1	2.12	0.333	30.27272727
BM2	1.78	-0.023	-2.3
BM3	1.95	-0.219	-19.90909091
BM4	1.99		
BM5	2		
BM6	2	-0.041	-3.727272727
BM7	2.01	-0.053	-4.818181818

Power fluctuations

 Several observations (power fluctuations induced during reset of GBT, register start-up) underline the necessity of the improvement of the shuntLDO regulators → Input to RD53 chip requirements, undershunt current protection and overvoltage protection



	powering scheme		
Scenario	SC1	SC2	SC3
1	1	1	1
2	1	1	0
3	1	0	1
4	1	0	0
5	0	1	1
6	0	0	1
7	0	1	0

Phase-II Upgrade: Detector Upgrades



Trigger/DAQ:

Upgrades, add tracking at L1, partially new electronics Improve bandwidth and processing for triggering, increase in latency

Tracking detector:

New all silicon tracking detectors with extended coverage to $|\eta| < 4$

Timing detectors: High granularity timing detector in forward region in ATLAS

Calorimetry: ATLAS: New FE electronics for Tile and LAr calorimeter (increase granularity)

Muon system: ATLAS: New FE electronics and additional units in muon spectrometer

→ Focus on upgrade of tracking detector in following slides
 → Links to all TDRs of sub-detectors in spare slides



Phase-II Upgrade: Detector Upgrades – ATLAS



New all-silicon inner tracker with extended coverage to $|\eta| \sim 4$

Pixel detector: CERN-LHCC-2017-021 ; ATLAS-TDR-030. Strip detector: CERN-LHCC-2017-005 ; ATLAS-TDR-025.

HGTD: new high granularity timing detector with forward coverage from LGADs, 30-50 ps resolution for MIPs CERN-LHCC-2020-007 ; ATLAS-TDR-031.

Liquid Argon Calorimeter: Upgrade of electronics CERN-LHCC-2017-018 ; ATLAS-TDR-027.

Tile Calorimeter: Upgrade of electronics CERN-LHCC-2017-019 ; ATLAS-TDR-028.

Muon Spectrometer: Chamber replacement inn the inner barrel and upgrade of electronics CERN-LHCC-2017-017 ; ATLAS-TDR-026.

TDAQ System: Upgrade of L0-based system to 1 MHz CERN-LHCC-2017-020 ; ATLAS-TDR-029.



New muon detectors in ATLAS

• Muon upgrade requires replacement of all on-detector electronics. All data streamed off-detector at 40 MHz. Major upgrade of trigger capability by replacement of BI layer.



