



# Theory for FCC (ee) in Austria

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At the  
Austrian FCC Meeting  
Vienna/Online | 11 October 2021

# Austrian HEP Theory for FCC

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- Non-perturbative physics
- Electroweak Physics
- Dark Matter & BSM
- Phenomenology
- Monte Carlo event generators



Axel Maas



Simon Plätzer



Suchita Kulkarni

- Effective Field Theories
- Precision QCD predictions
- Top Quark physics
- Electroweak physics



Andre Hoang



Massimiliano Procura

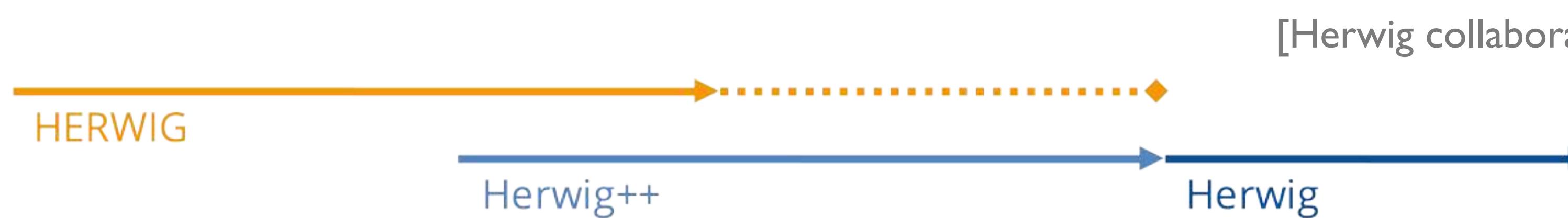
Close interaction within each other, and with experimental efforts at HEPHY.

# Event Generators & Phenomenology

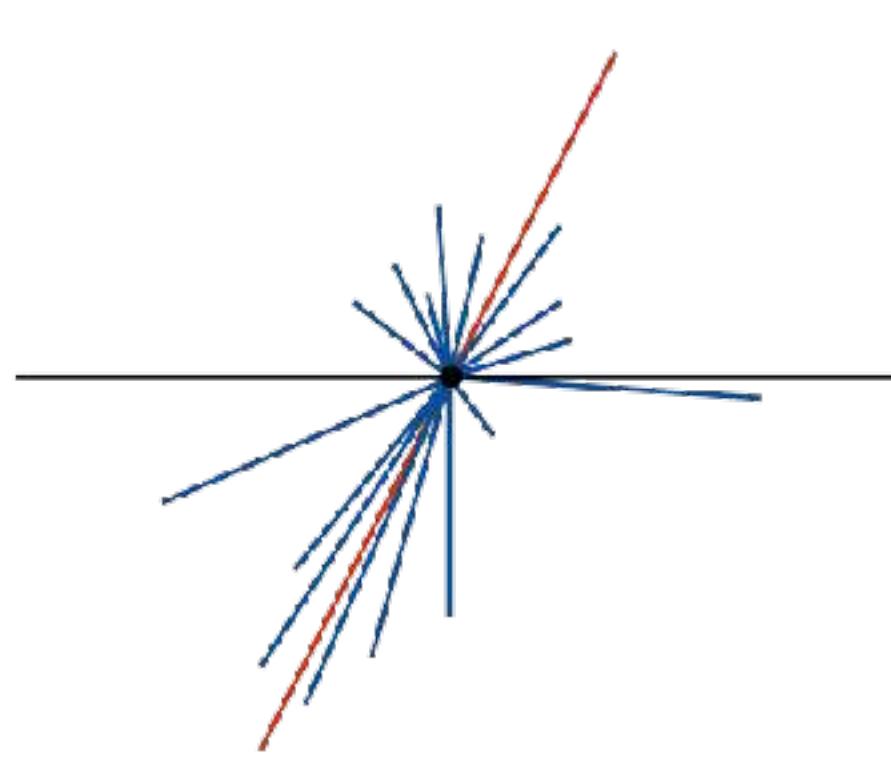
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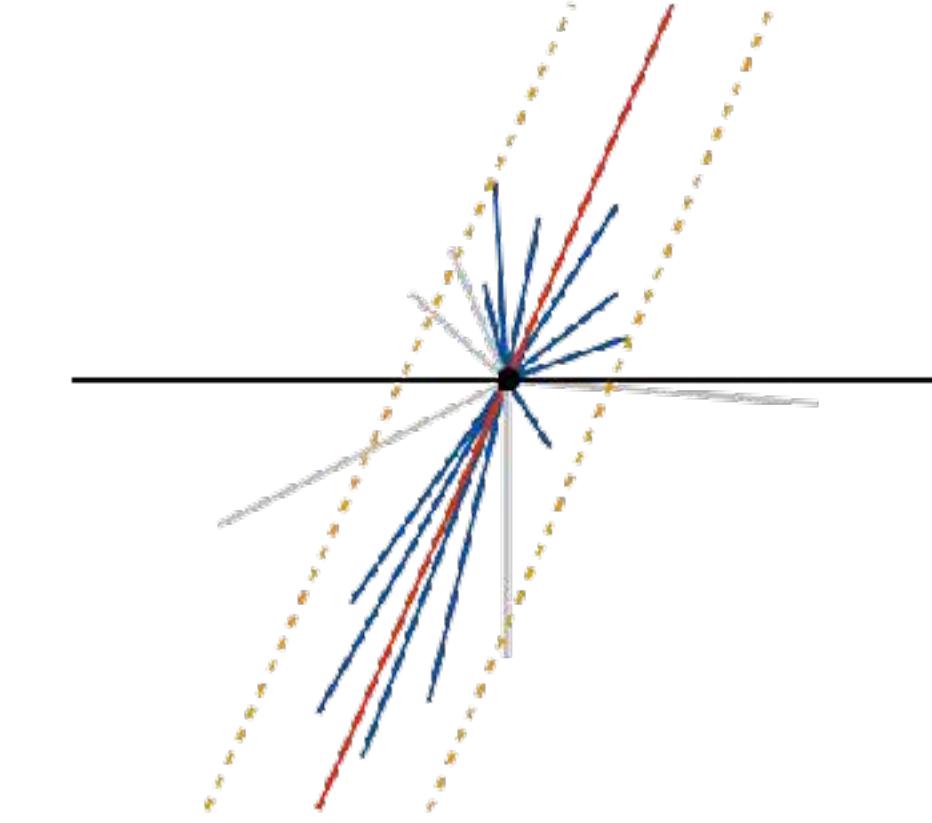
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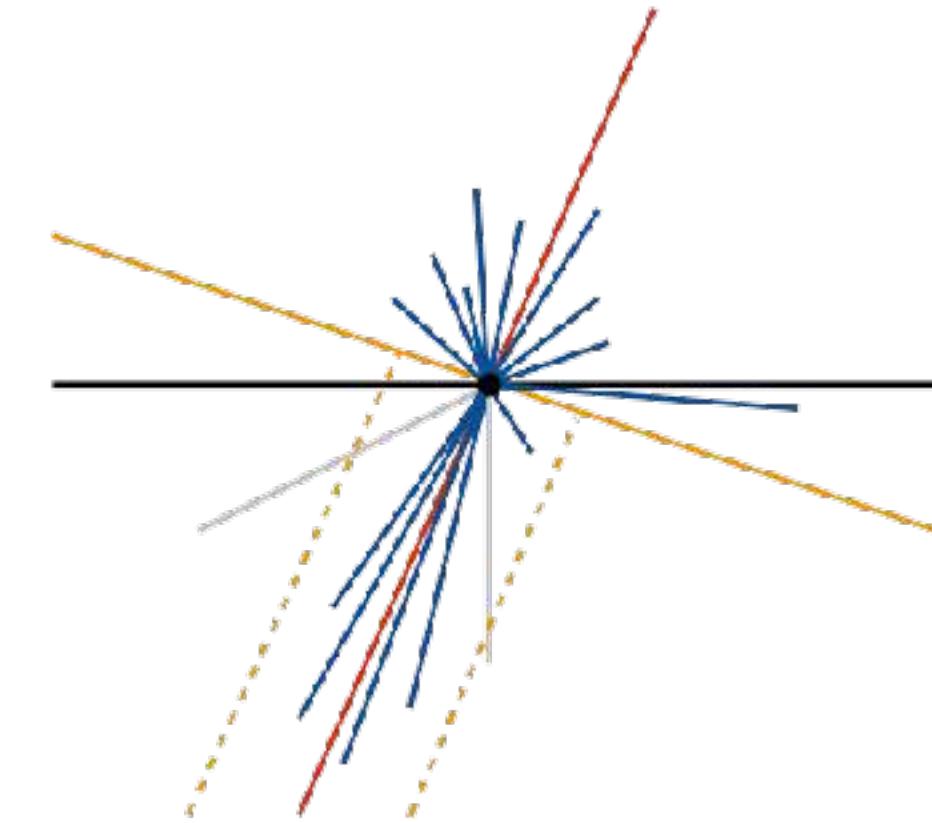
Automated NLO QCD matching and multi jet merging, simulation of BSM processes.  
Parton shower development, hadronization, multi-parton interactions.



NLO with matching



NLL with coherent branching  
Issues in dipole showers



Issues in coherent branching  
LL with dipole showers

Understand, decide and improve  
on shower accuracy to harness  
NNLO calculations.

[Forshaw, Holguin, Plätzer – JHEP 09 (2020) 014]

Constrain phenomenological  
models from analytic input.

[Hoang, Plätzer, Samitz — JHEP 1810 (2018) 200]

Focus for LHC phenomenology: VBS/VBF, top physics, multi-jet final states.

Mid-term goal: Apply QCD expertise to electroweak phenomena at high energies.

# Simulations for Resummation

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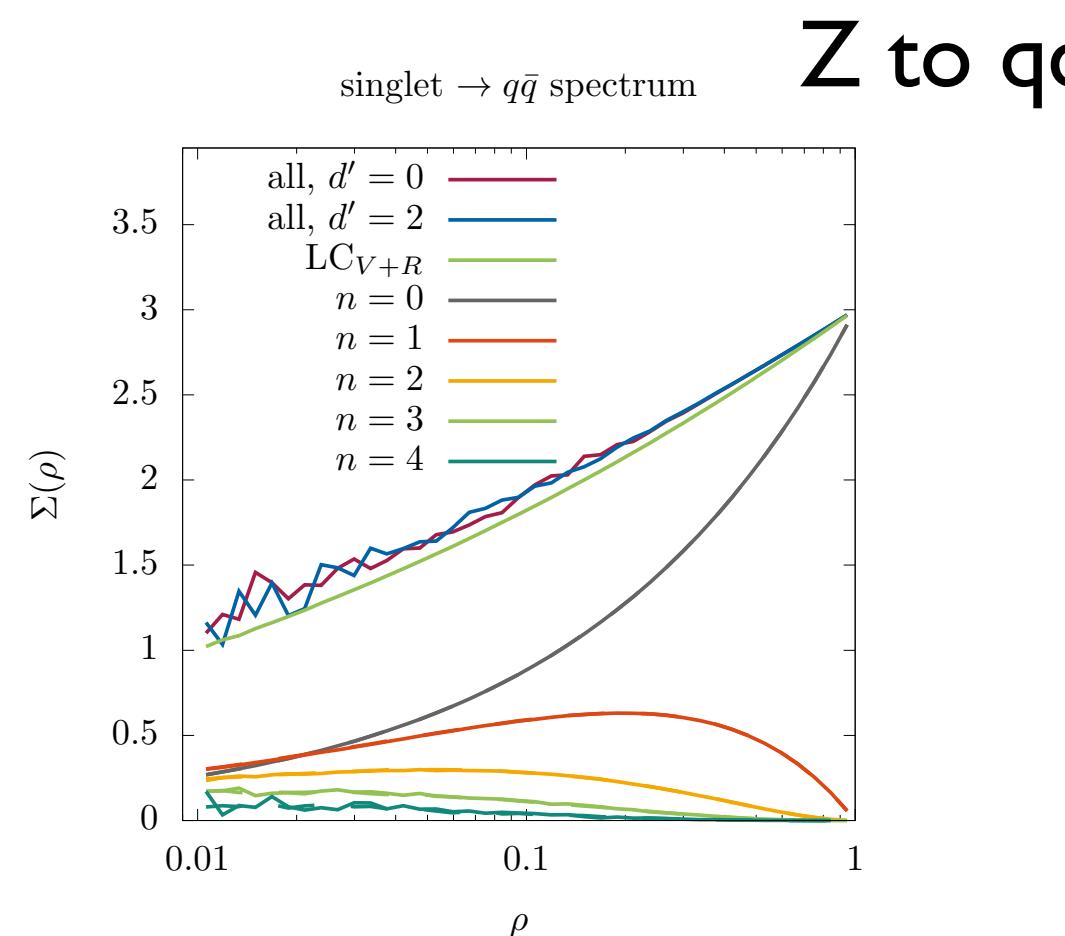
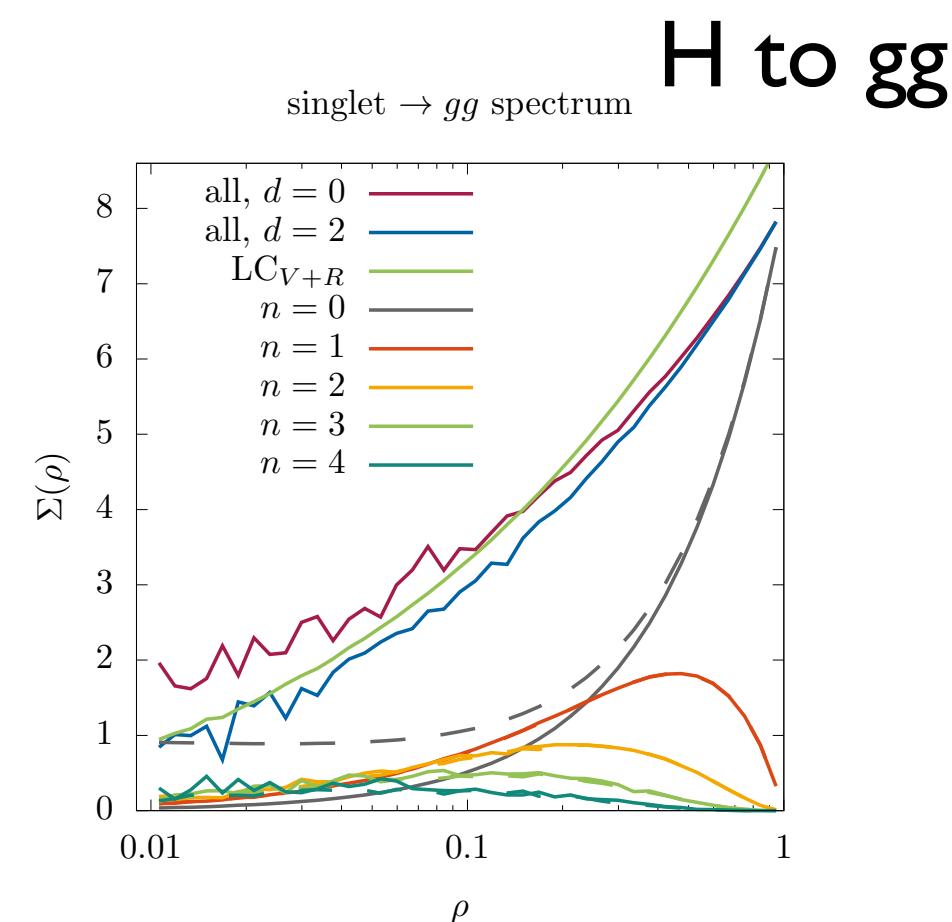
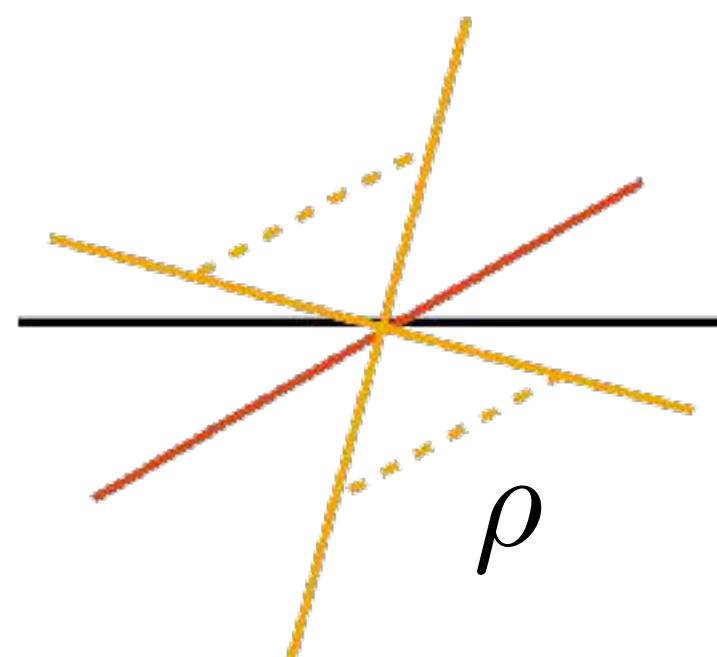


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**CVolver library implements numerical evolution in colour space.**

origins in  
[Plätzer – EPJ C 74 (2014) 2907]

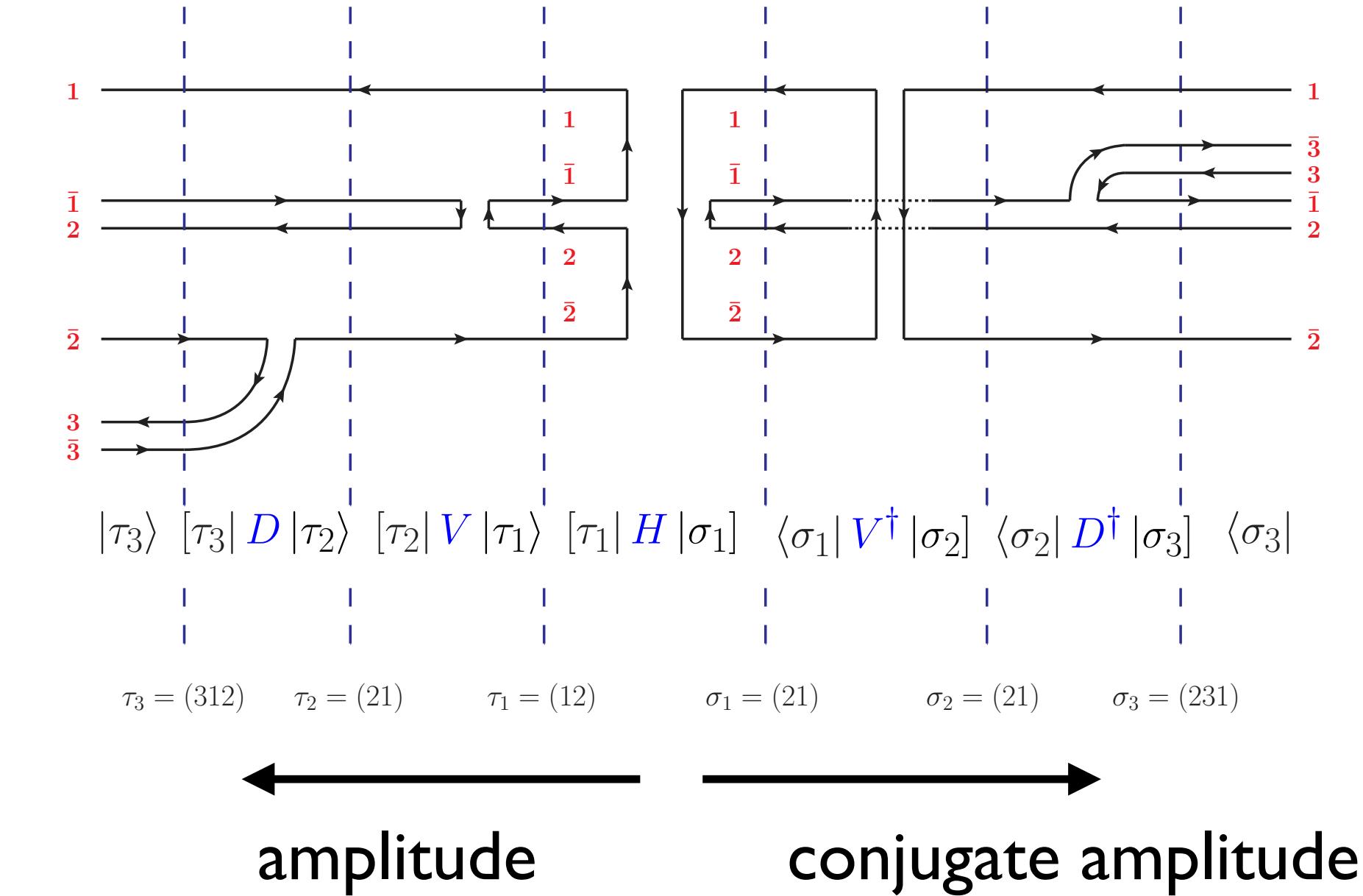
Resummation of non-global logarithms at full colour:



## CVolver

Monte Carlo algorithm at amplitude level:  
**new paradigm** for event generators and  
flexible resummation of QCD observables.

[De Angelis, Forshaw, Plätzer — PRL 126 (2021) 11]



## Non-perturbative gauge-invariance requires additional substructure to left-handed leptons

[Frohlich et al. — Nucl. Phys. B190 (1981) 553]

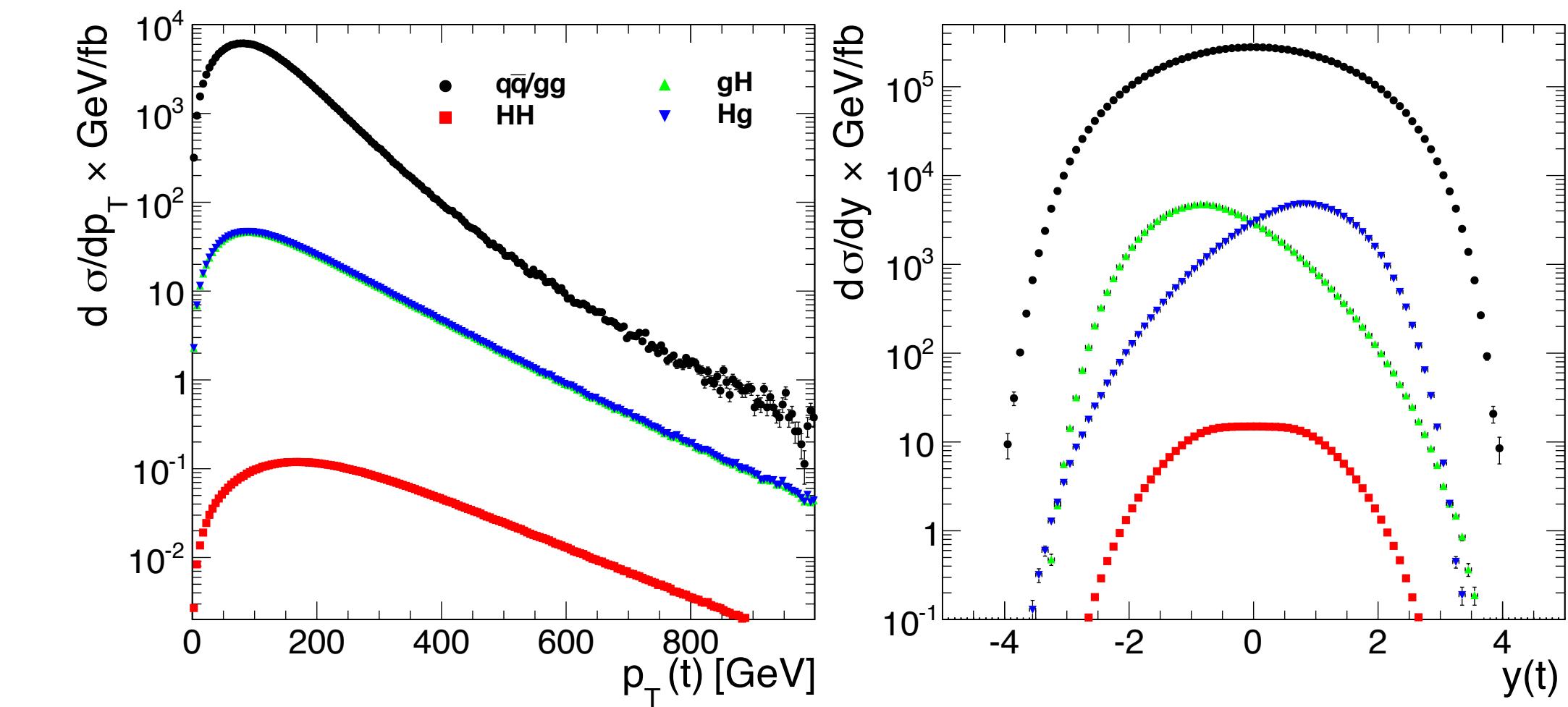


- Supported in lattice simulations [V.Afferrante et al. — 2011.02301]
- Can be addressed in augmented perturbation theory [Maas — 1712.04721] [Egger et al. — 1701.02881]
- At 1 TeV unpolarized e+e- to tbar t the impact is of the same order as NLO QCD effects
- Via restoration of the Bloch-Nordsieck theorem

## Effects also in pp: Possible Higgs component in the proton.

[Fernbach, Lechner, Maas, Plätzer, Schöfbeck — arXiv:2002.01688]

$$\begin{aligned}\langle p(x)p(y)X(z_1, \dots) \rangle &= v^2 \langle (qqq)(y)(qqq)(x)X(z_1, \dots) \rangle \\ &+ v \langle (qqq)(x)H(x)(qqq)(y)X(z_1, \dots) + x \leftrightarrow y \rangle \\ &+ \langle (qqq)(x)H(x)(qqq)(y)H(y)X(z_1, \dots) \rangle\end{aligned}$$



# Long Lived Particles: Heavy Neutral Leptons

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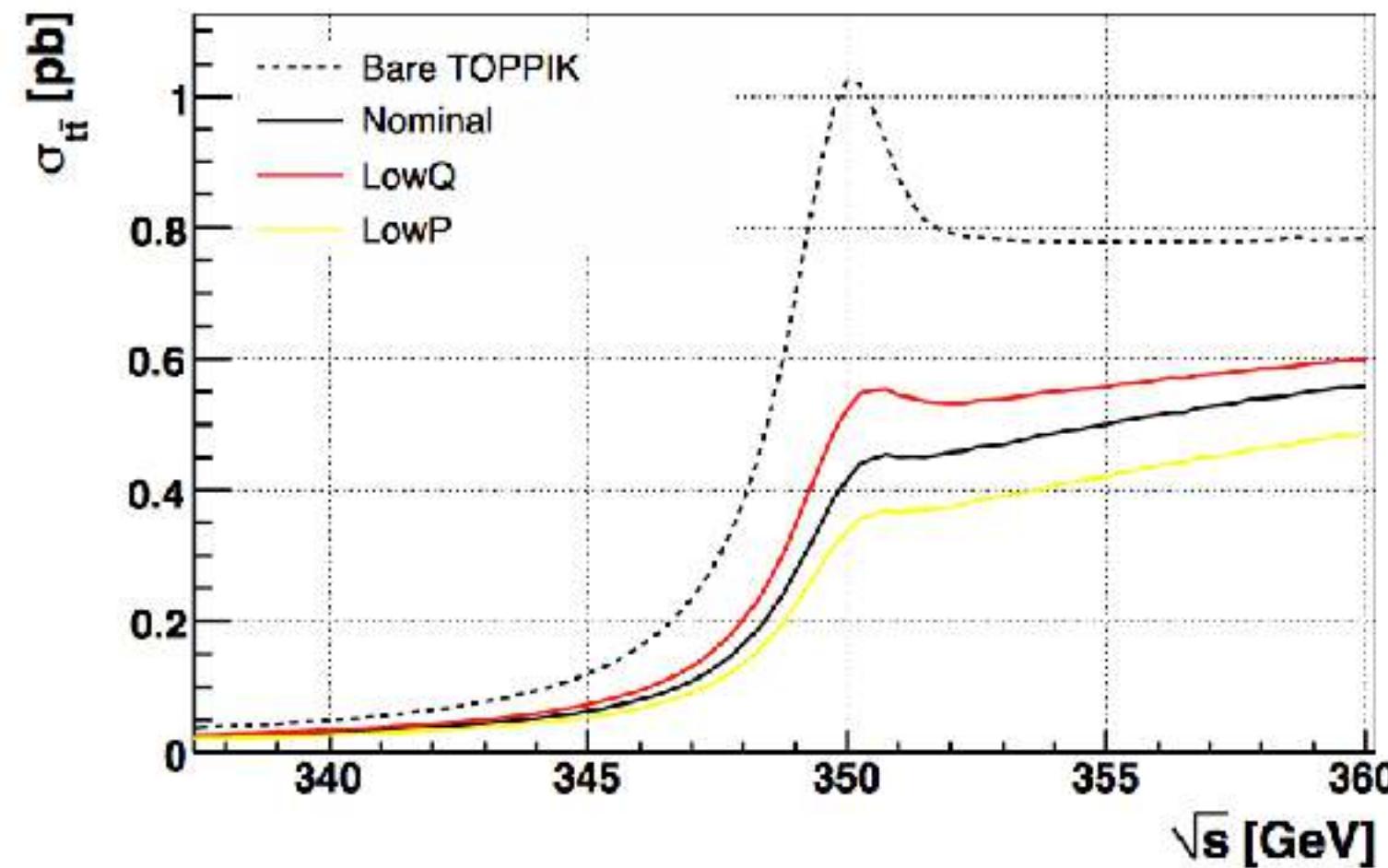


- Currently activities focused on sensitivity of FCC for BSM parameter space
- Topic of interest: lifetime frontier, in particular studies of heavy neutral leptons
- Both FCC-ee and FCC-hh options being explored
- FCC-ee: Collaboration with Uni Uppsala, joint master student supervised
  - Main job, first implementation of HNL framework in FCC-ee software
  - Machinery of sample generation and validation in place
  - Aim: understand necessary vertex resolution etc for constraining HNL scenarios
  - Also involved in snowmass FCC-ee LLP white paper as a theory expert
- FCC-hh: ongoing studies about non-minimal HNL production mechanisms and reach for B-L scenarios
  - Collaborators: W. Liu, F. Deppisch
  - Future plans: Integration of several BSM scenarios in FCC software
  - Close collaboration with experimentalists working on FCC physics case
  - Joint students together with U. Uppsala extending collaborations initiated



# Top Quark Physics

$\sigma(e^+e^- \rightarrow t\bar{t} + X)$  at  $E_{cm} \approx 2m_t$



**Principle:**  $m_t$  from  $\sigma_{tt}(m_t)$

**Advantages:**

- ▷ count number of  $t\bar{t}$  events
- ▷ color singlet state
- ▷ background is non-resonant
- ▷ physics well understood  
(renormalons, summations)

← Crucial difference to top pairs at LHC

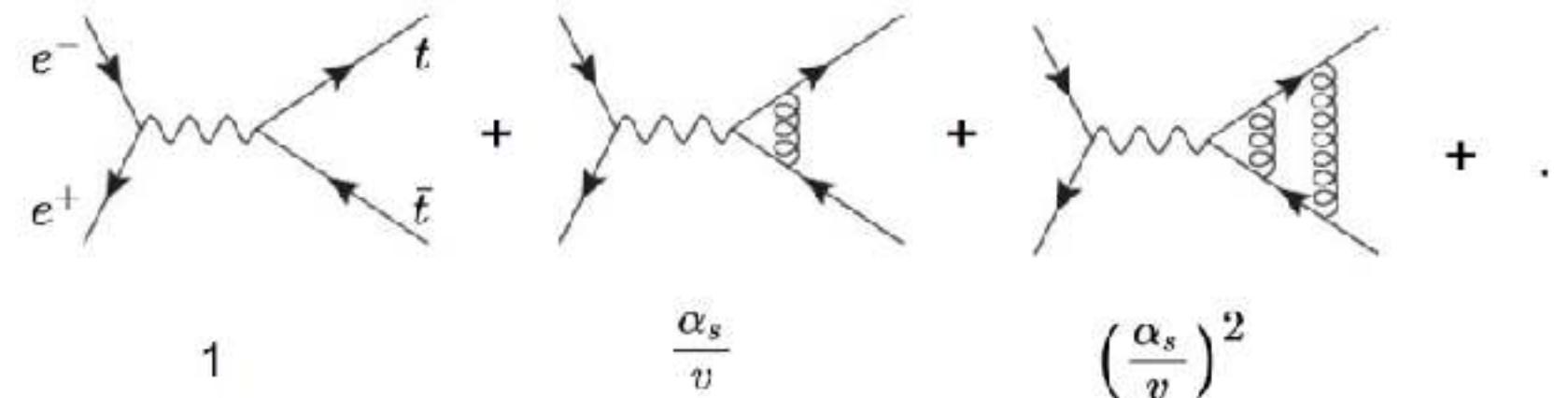
→ Top decay protects from non-pert effects

[Hoang, Stahlhofen et al. — 2013 ...]



Top production at threshold:

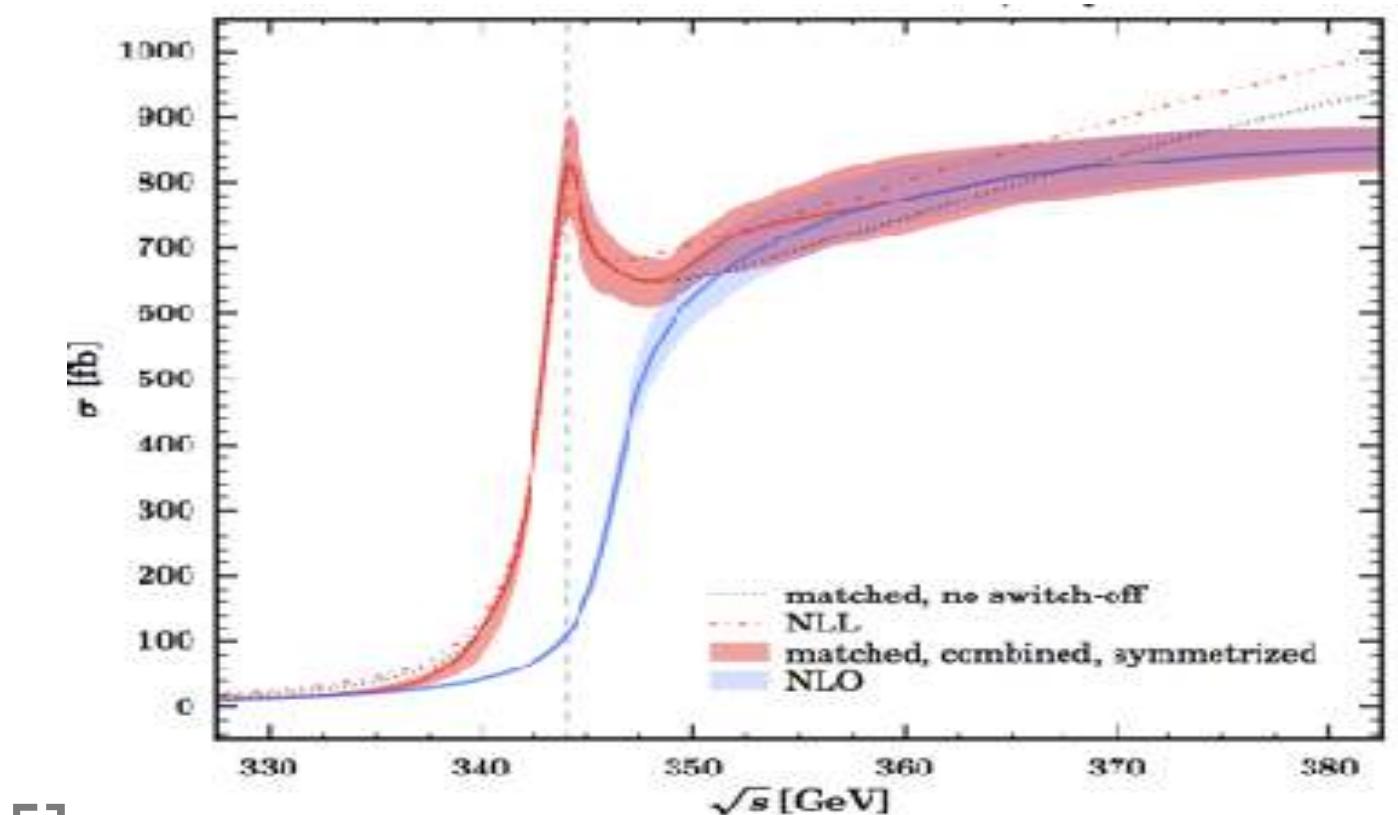
- Coulomb resummations
- Finite Width effects are leading order
- NRQCD effective field theory counting ( $\alpha_s \sim v$ )



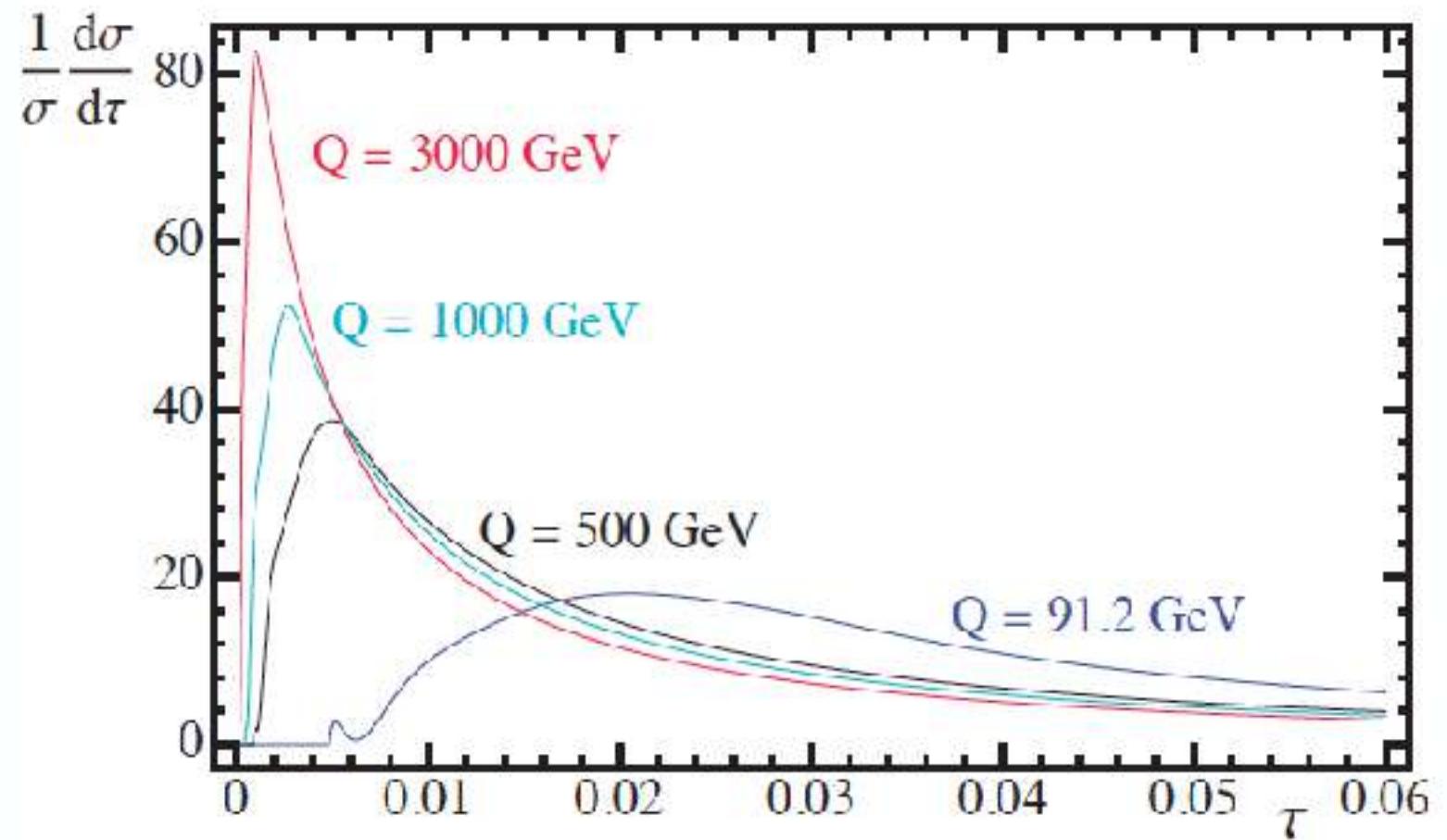
Goal: Top mass in well-defined scheme with uncertainties  $\sim 50$  MeV

Can one use the radiative return?

[Boronat, Fullana, Juster, Gomis, Vos, Hoang, Widl, Mateu — Phys.Lett.B 804 (2020) 135]



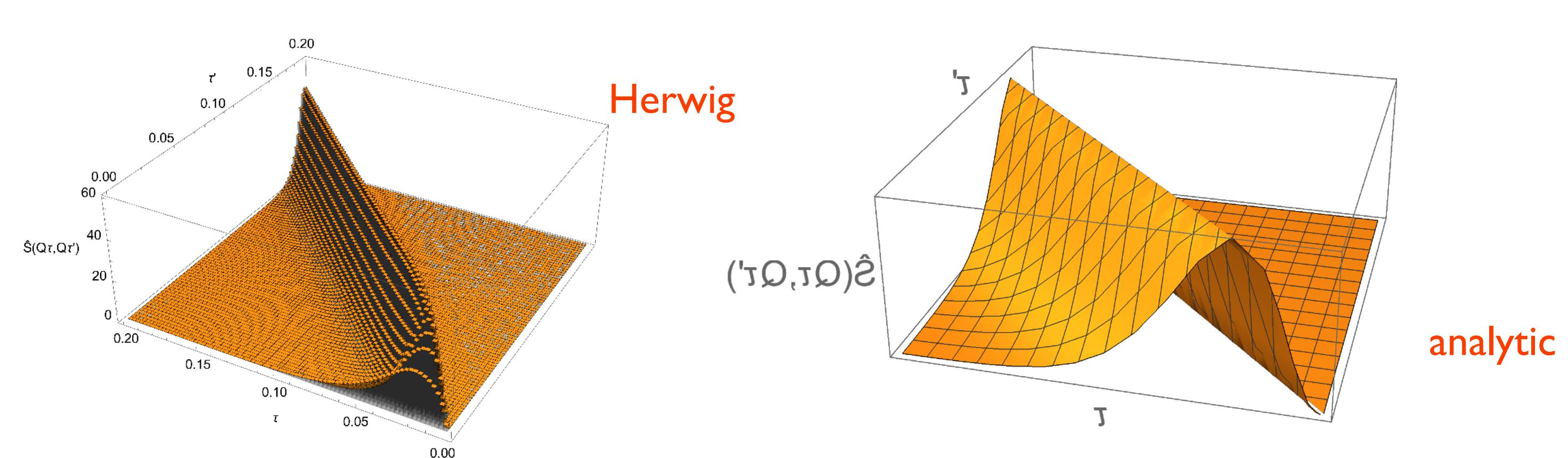
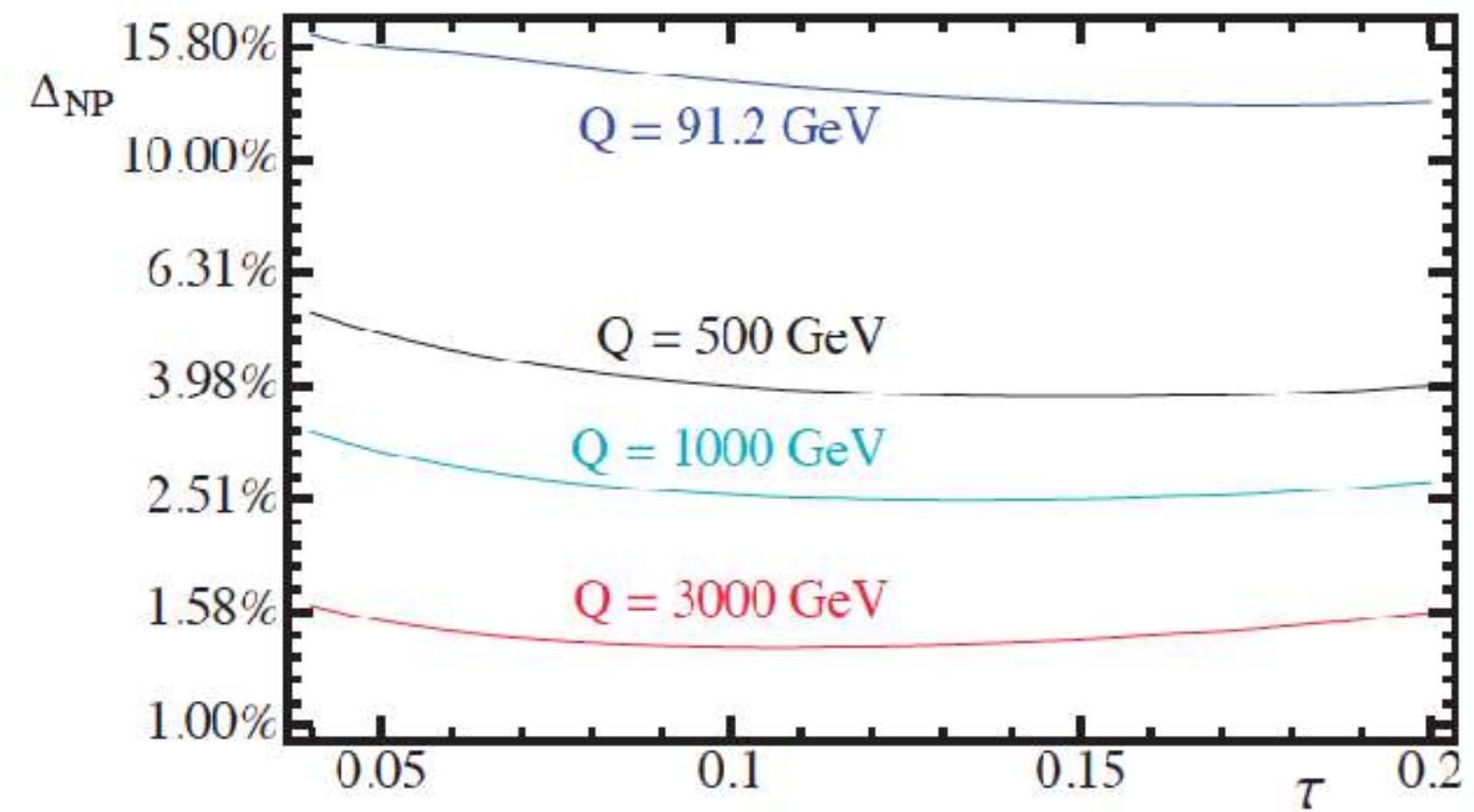
# Strong Coupling Determination



- High precision analysis needed
- Non-perturbative effects decrease at large  $Q$ , but still important
- Need to understand gamma/gamma backgrounds



Complemented by exploring hadronization models and hadronization corrections



[Hoang, Plätzer, Samitz — in progress]

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Focused on theory activities towards FCC-ee:

But of course we are deeply involved in LHC physics, as well as upcoming ep physics at the EIC.

## Methods span a large toolset:

- Fixed-order corrections
- Effective field theories and resummation
- Event generators and simulation
- Non-perturbative methods

## Physics scope:

- Top and Higgs properties
- BSM scenarios and dark matter
- Electroweak physics and VBS
- Precision QCD

## Interrelated interests in Austrian theory community:

- QED and electroweak radiation at high energies, non-trivial Standard Model effects
- Unstable particles, precise description of QCD dynamics and the quest for fundamental parameters
- Develop baseline and platform, and simulate BSM effects on all energy scales

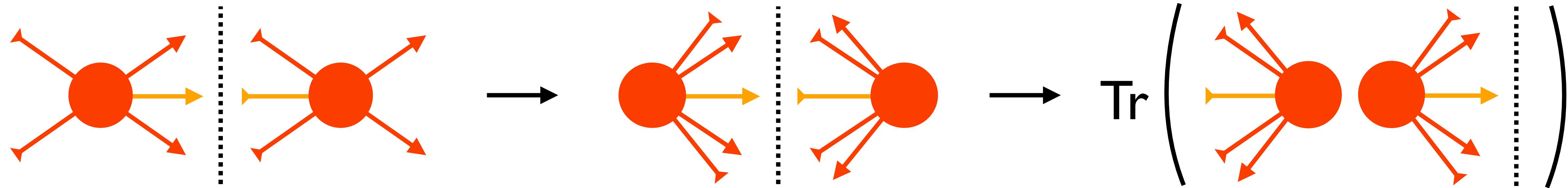
# Thank you!

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# Cross Sections and Amplitudes

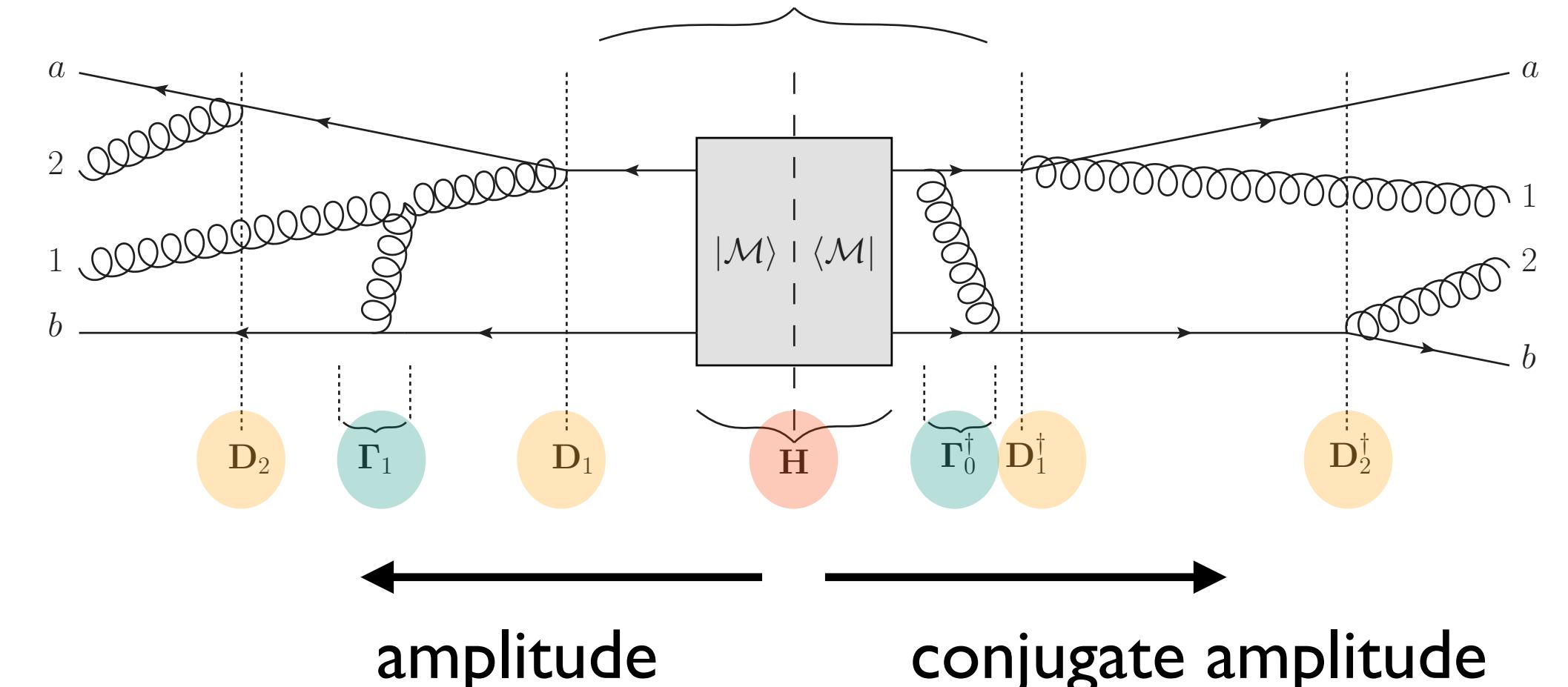


$$A_n(q) = \int_q^Q \frac{dk}{k} P e^{-\int_q^k \frac{dk'}{k'} \Gamma(k')} D_n(k) A_{n-1}(k) D_n^\dagger(k) \bar{P} e^{-\int_q^k \frac{dk'}{k'} \Gamma^\dagger(k')}$$

Markovian algorithm at the amplitude level:  
Iterate **gluon exchanges** and **emission**.

Different histories in amplitude and conjugate amplitude needed to include interference.

[Angeles, De Angelis, Forshaw, Plätzer, Seymour – JHEP 05 (2018) 044]  
[Forshaw, Holguin, Plätzer – JHEP 1908 (2019) 145]

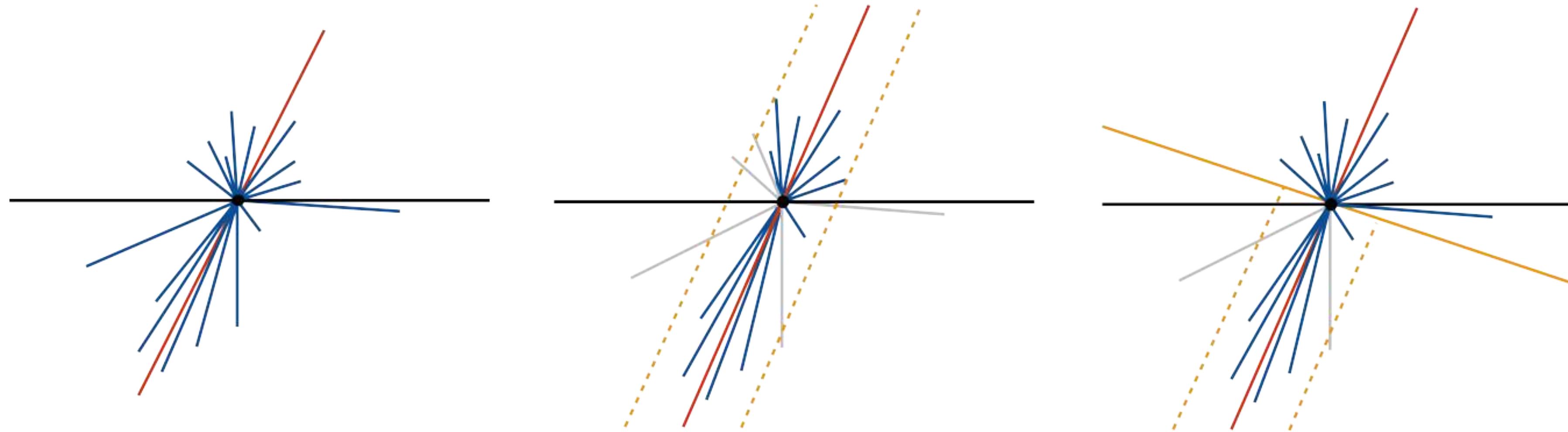


# New Insights into Existing Parton Showers

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NLO with matching

NLL with coherent branching  
Issues in dipole showers

Issues in coherent branching  
LL with dipole showers

Understand and decide on accuracy of (existing) parton shower algorithms, take as a starting point for incremental improvements.

- [Dasgupta, Dreyer, Hamilton, Monni, Salam et al.— JHEP 09 (2018) 033, ...]
- [Hoang, Plätzer, Samitz — JHEP 1810 (2018) 200]
- [Bewick, Ferrario, Richardson, Seymour — JHEP 04 (2020) 019]

$$\sigma(n \text{ jets}, \tau) \sim \sum_k \sum_{l \leq 2k} c_{nkl} \alpha_s^k(Q) \ln^l \frac{1}{\tau}$$

# New Insights into Existing Parton Showers

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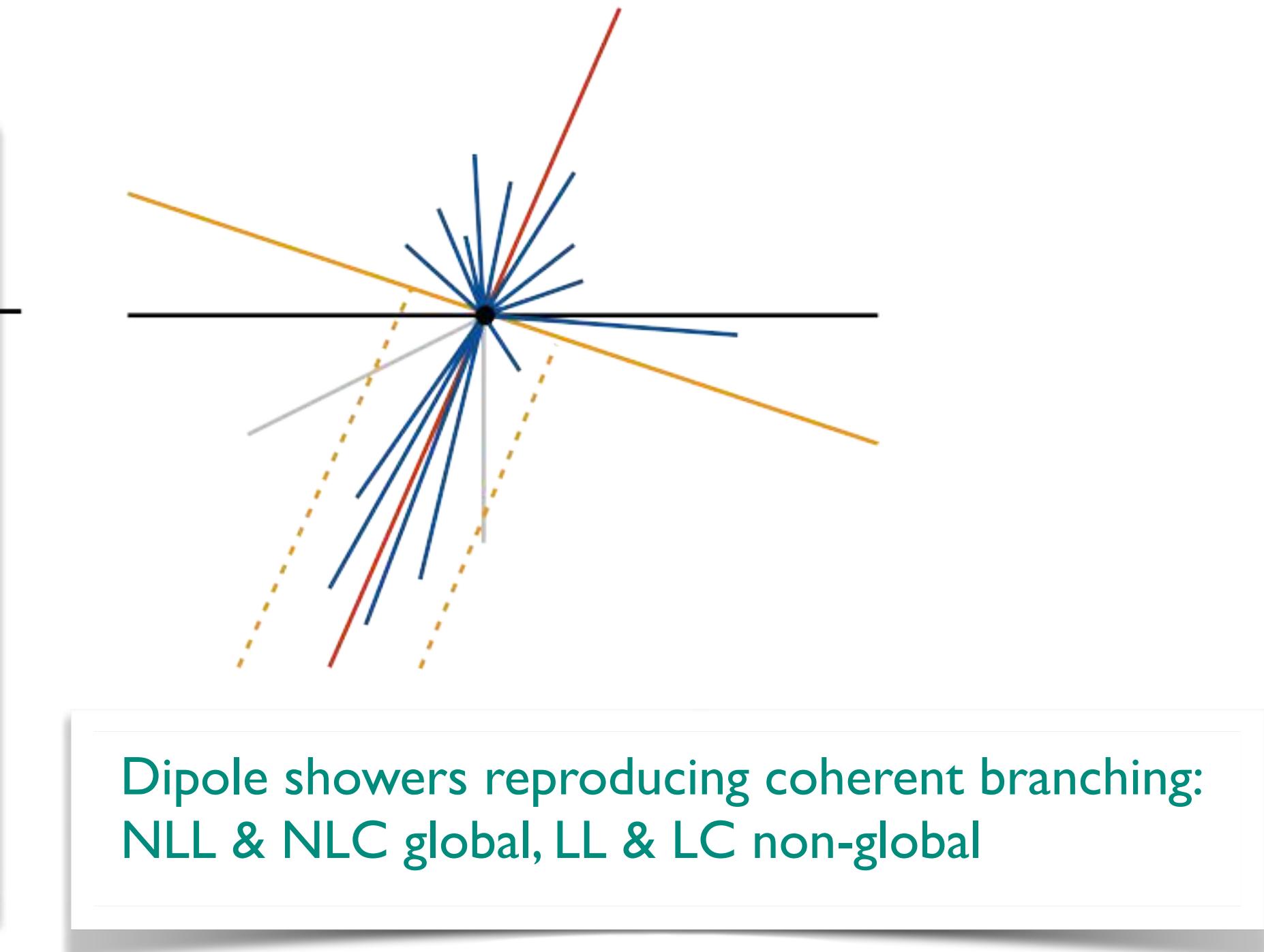


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$$\frac{p_{i_n} \cdot p_{j_n}}{p_{i_n} \cdot q_n \ p_{j_n} \cdot q_n} \longrightarrow$$

$$\frac{p_{i_n} \cdot p_{j_n}}{p_{i_n} \cdot q_n \ p_{j_n} \cdot q_n} - \frac{T \cdot p_{j_n}}{T \cdot q_n} \frac{1}{p_{j_n} \cdot q_n} + \frac{T \cdot p_{i_n}}{T \cdot q_n} \frac{1}{p_{i_n} \cdot q_n}$$

[Dasgupta, Dreyer, Hamilton, Monni, Salam —PRL 125 (2020) 5]  
 [Forshaw, Holguin, Plätzer — JHEP 09 (2020) 014]



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# Hadronization & Colour Reconnection

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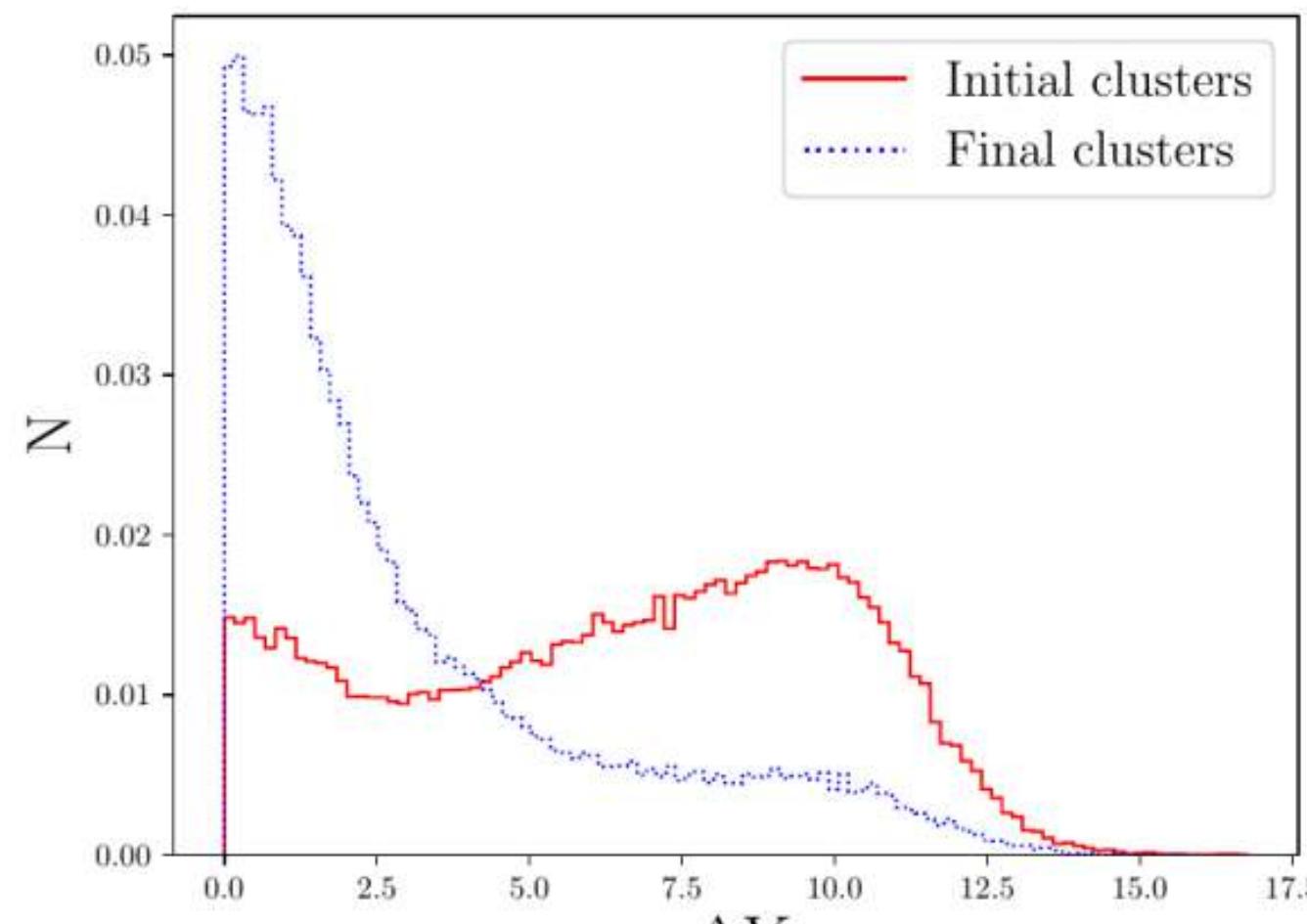


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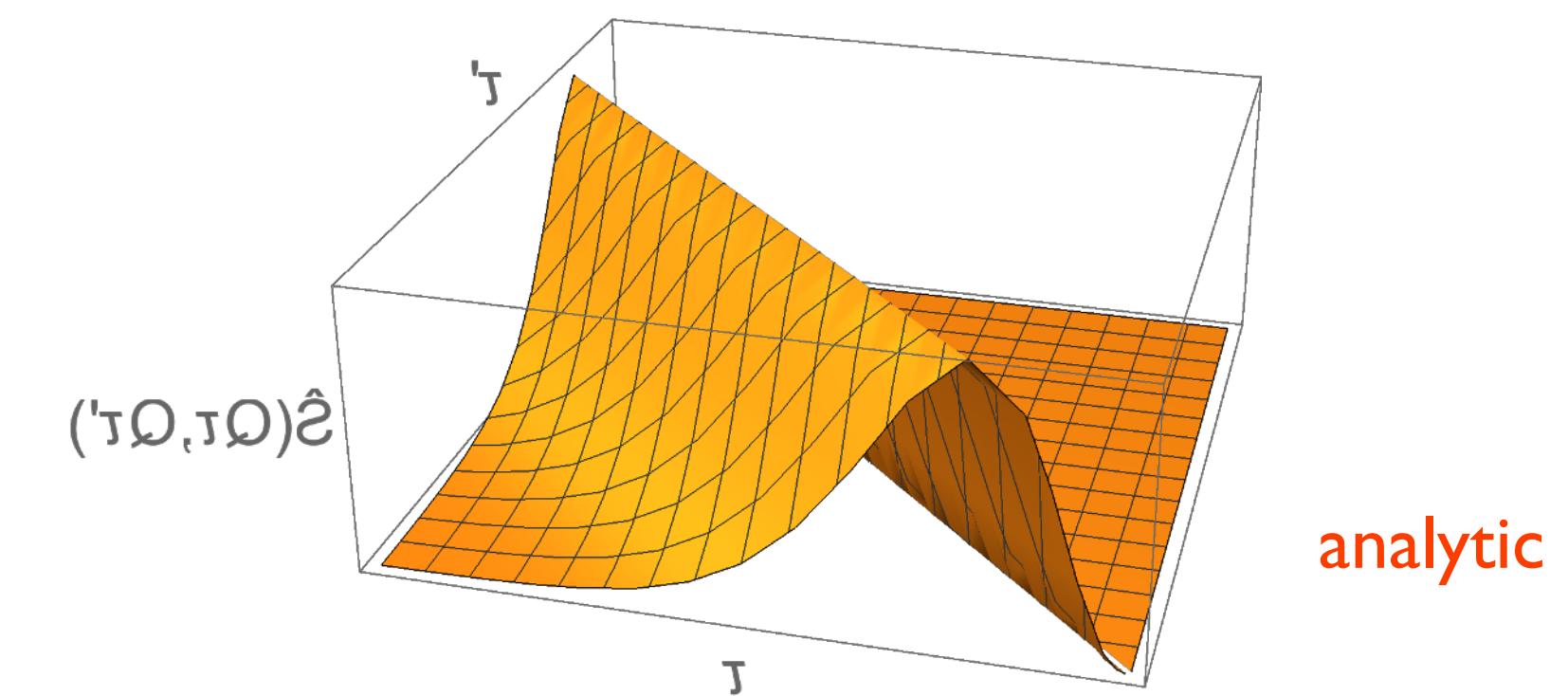
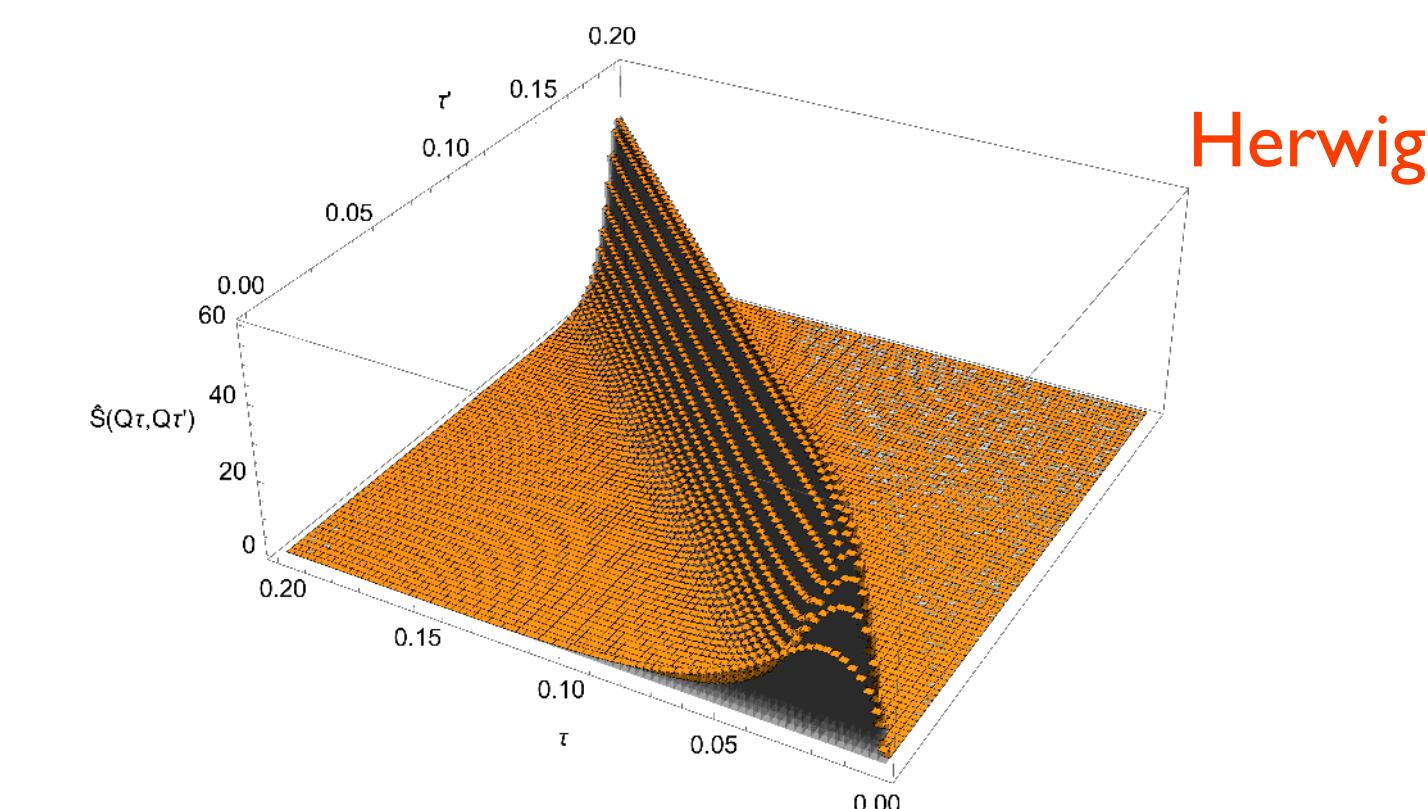
Approach colour reconnection from colour evolution:  
perturbative component?

$$\mathcal{A}_{\tau \rightarrow \sigma} = \langle \sigma | \mathbf{U} (\{p\}, \mu^2, \{M_{ij}^2\}) | \tau \rangle$$
$$P_{\tau \rightarrow \sigma} = \frac{|\mathcal{A}_{\tau \rightarrow \sigma}|^2}{\sum_{\rho} |\mathcal{A}_{\tau \rightarrow \rho}|^2}$$

Strong support for  
geometric models from  
perturbative evolution.



Confronting hadronization models with  
analytic power correction models



# Immediate links to ALICE physics

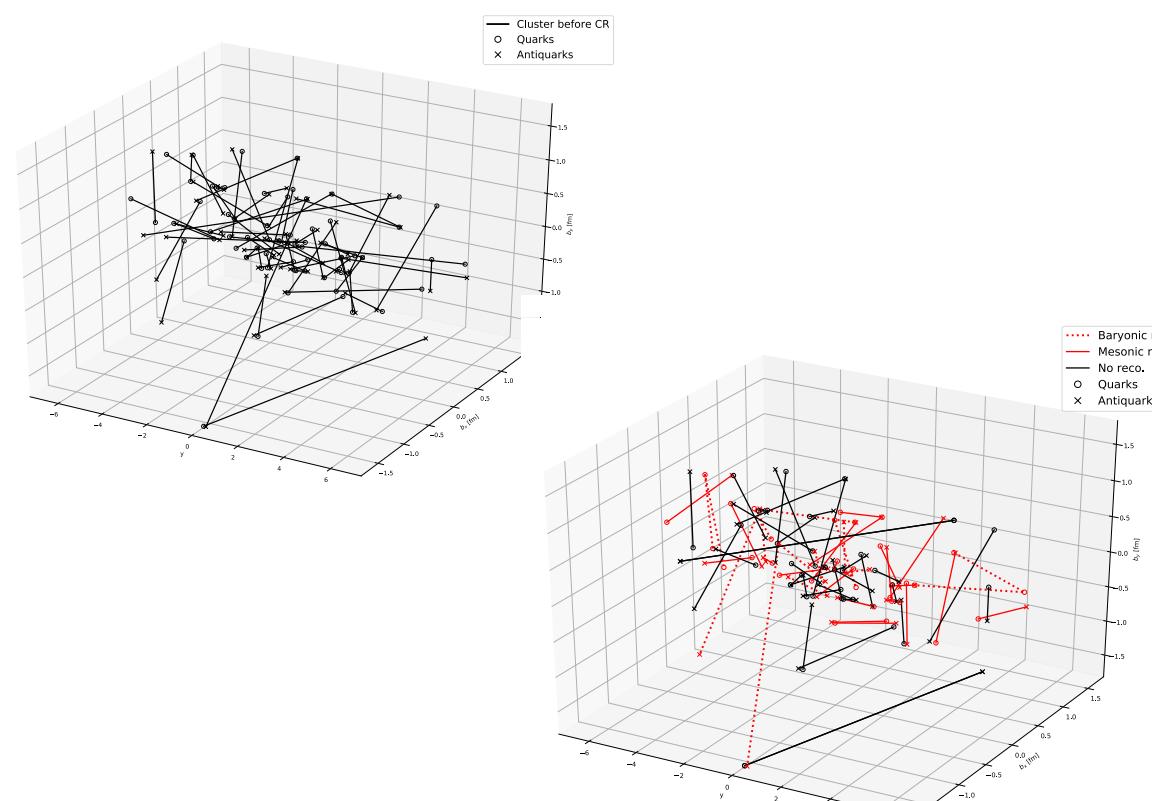
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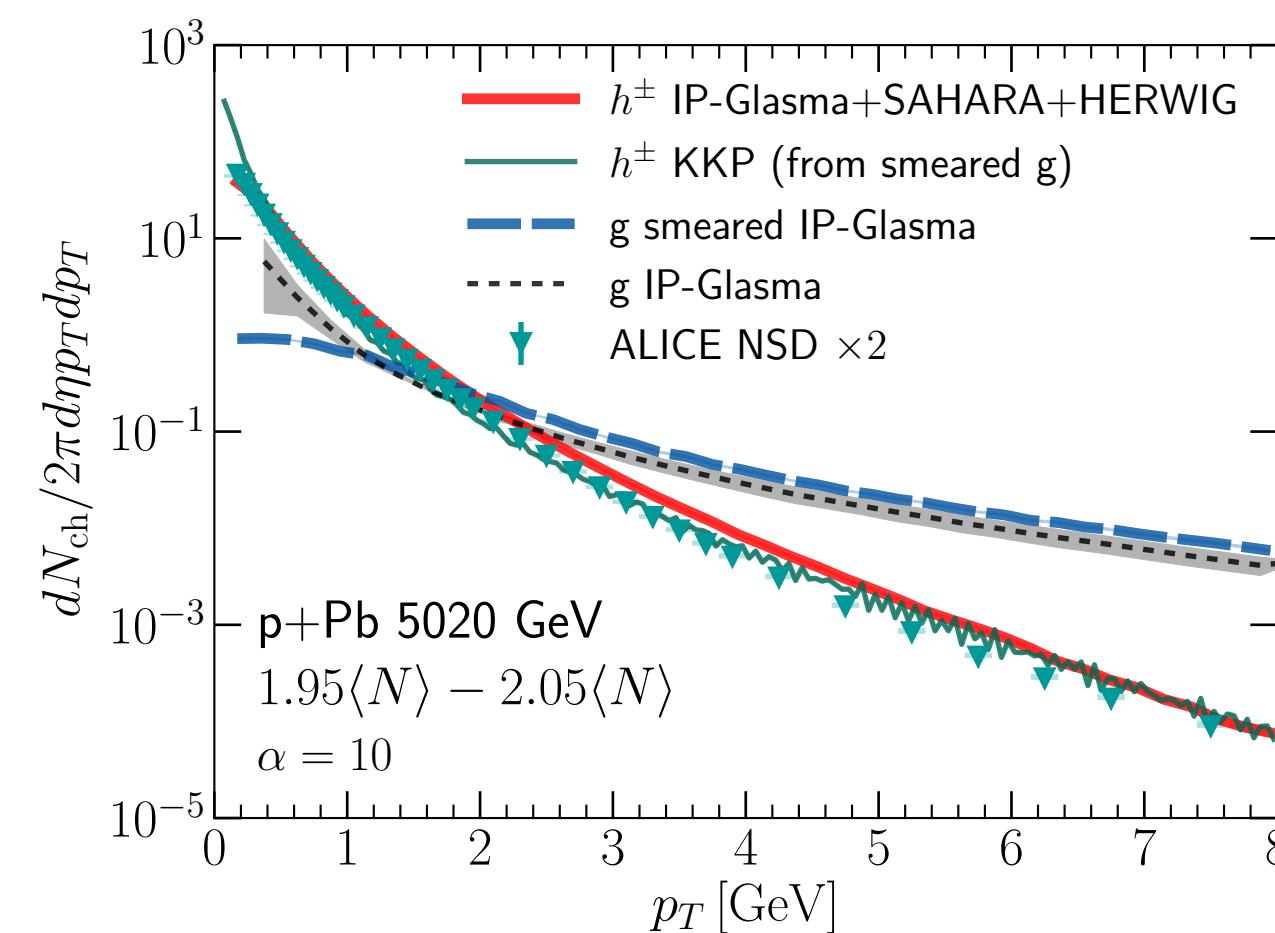
Improvements and application of event generators to **EIC physics and pA/AA** at the LHC.

Spacetime information and steps  
towards microscopic models.



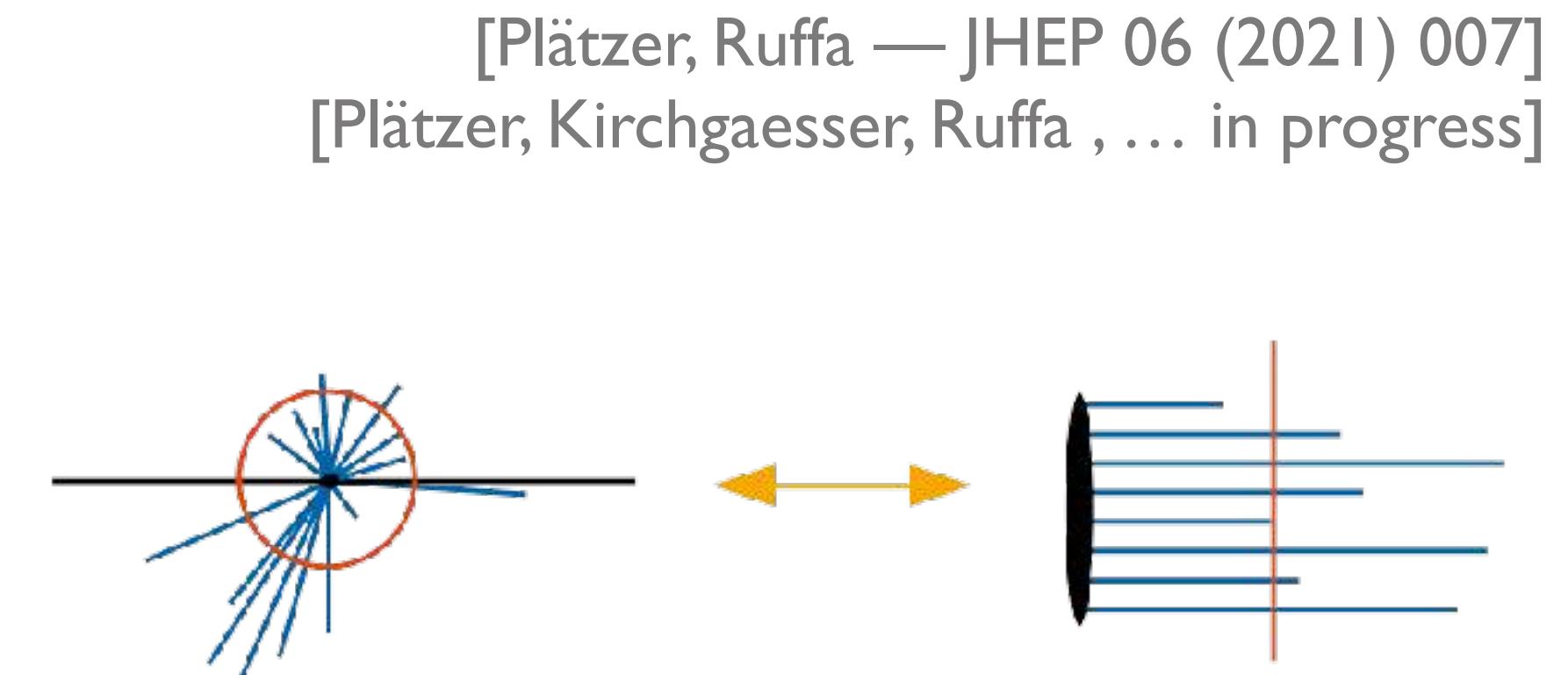
[Bellm, Duncan, Gieseke, Myska, Siodmok –  
EPJ C79 (2019) 1003]

IP-Glasma interfaced to Herwig



[Greif, Greiner, Plätzer, Schenke, Schlichting –  
Phys. Rev. D 103 (2021) 5]

Structure of (initial state) evolution  
and link to hadronization.



[Plätzer, Ruffa — JHEP 06 (2021) 007]

[Plätzer, Kirchgaesser, Ruffa , ... in progress]

$$\sigma[u] = \sum_{n,m} \int \int \text{Tr} [\mathbf{A}_n \mathbf{S}_{nm}] d\phi(q_1, \dots, q_n) u(P_1, \dots, P_m) d\phi(P_1, \dots, P_m)$$

# Immediate links to ALICE physics

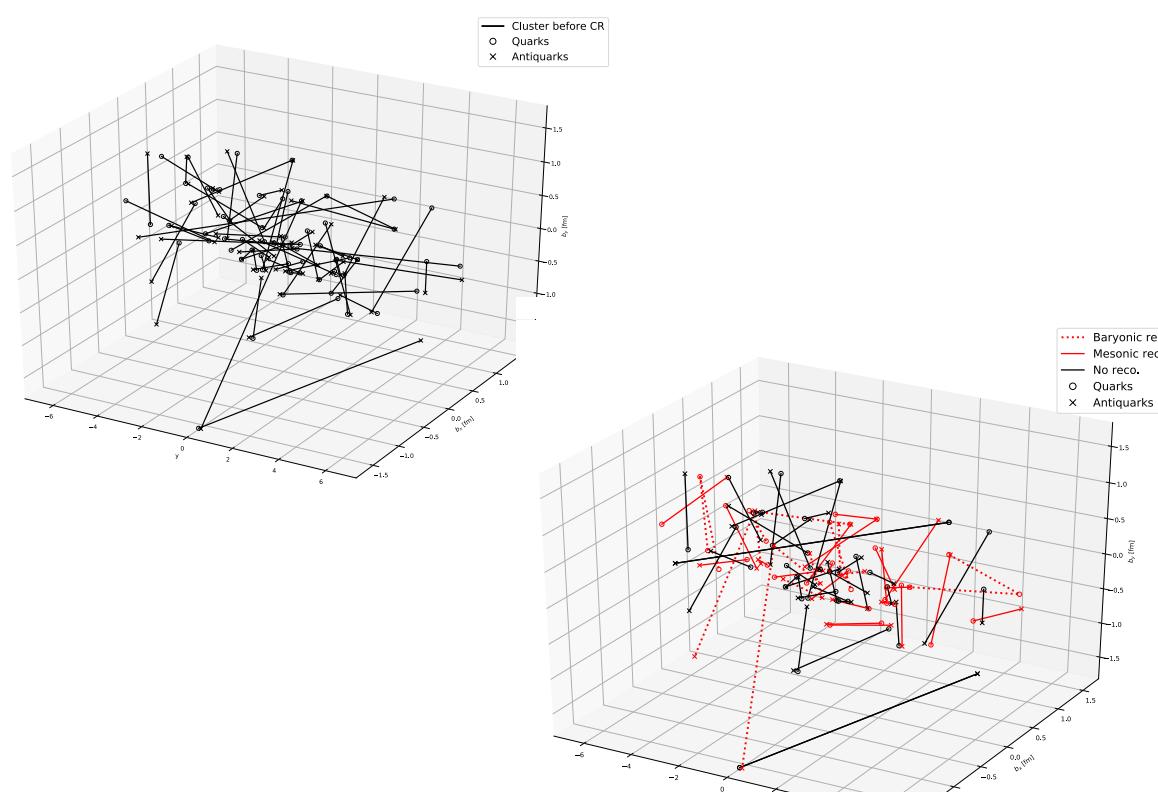
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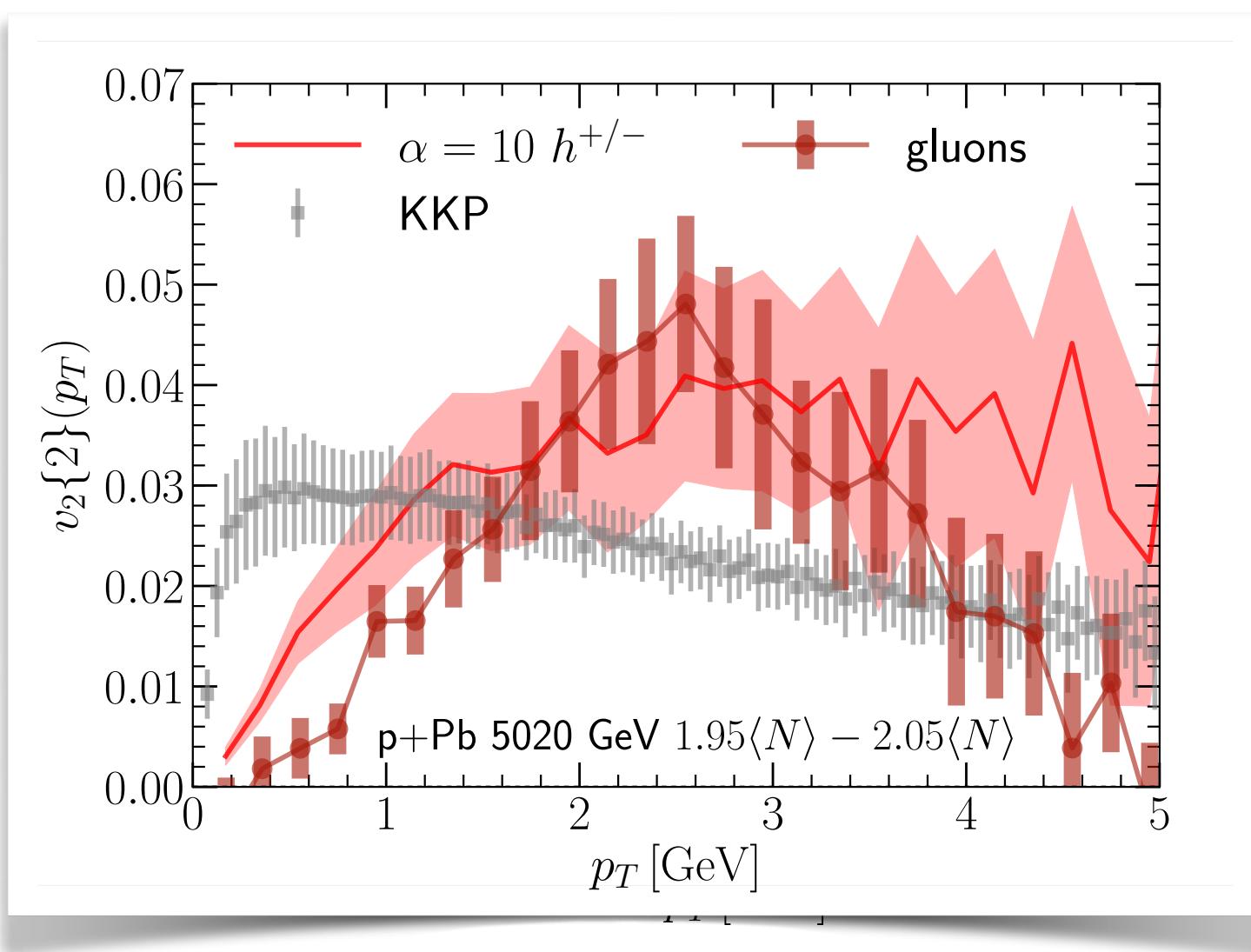
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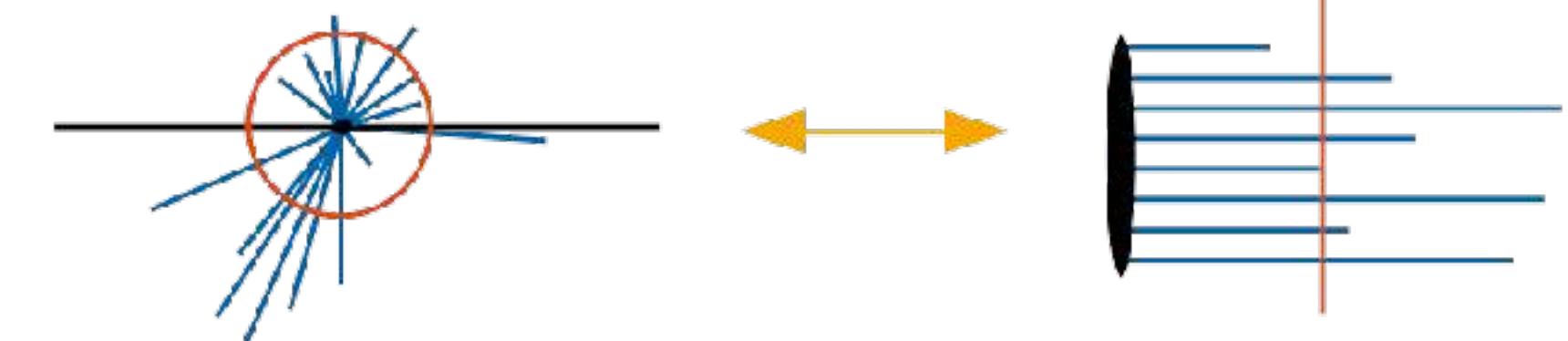
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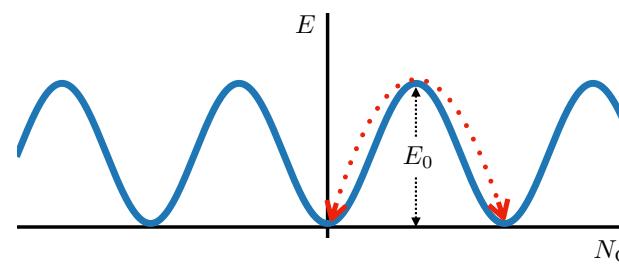
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# Instanton induced processes

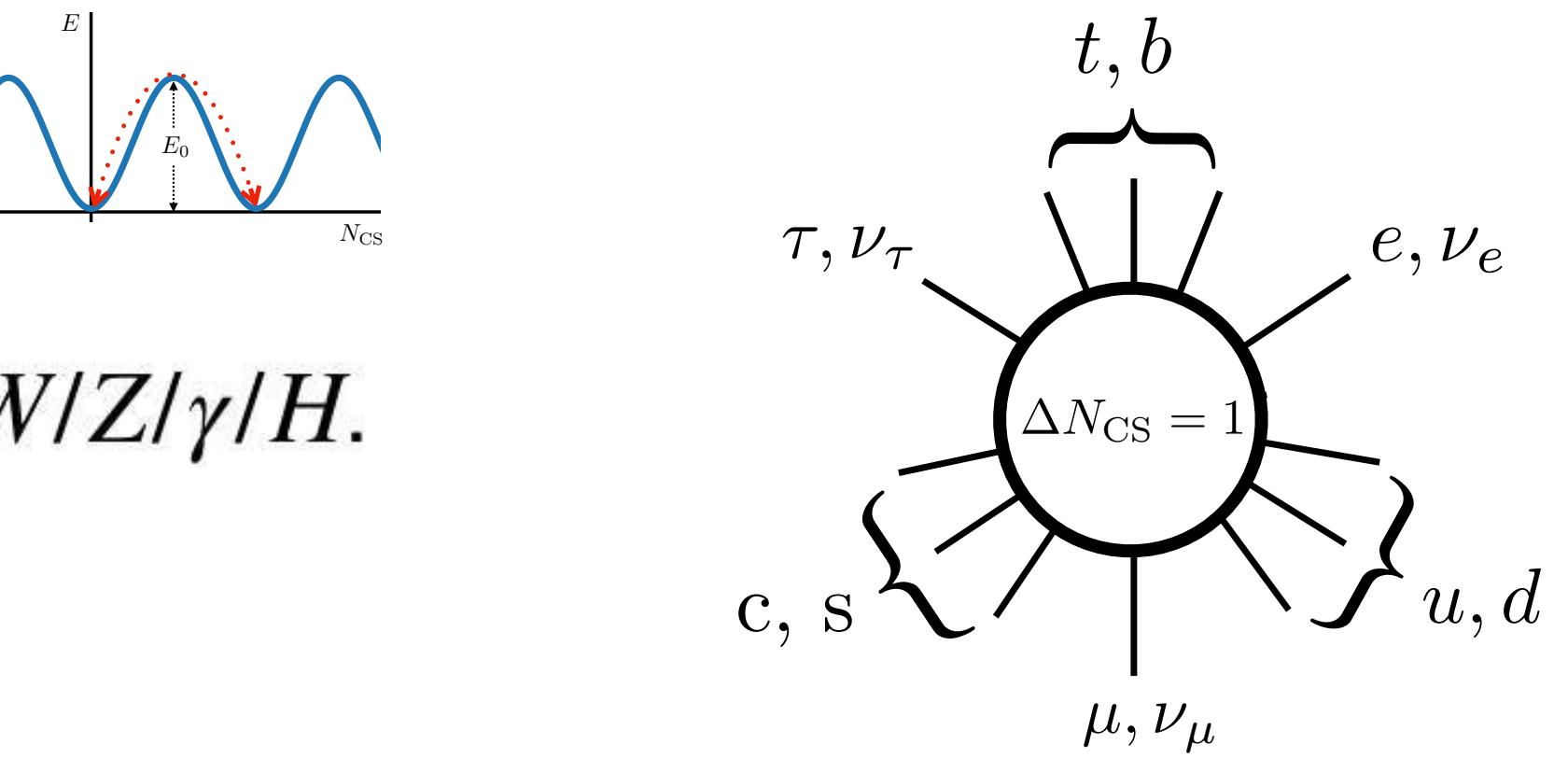
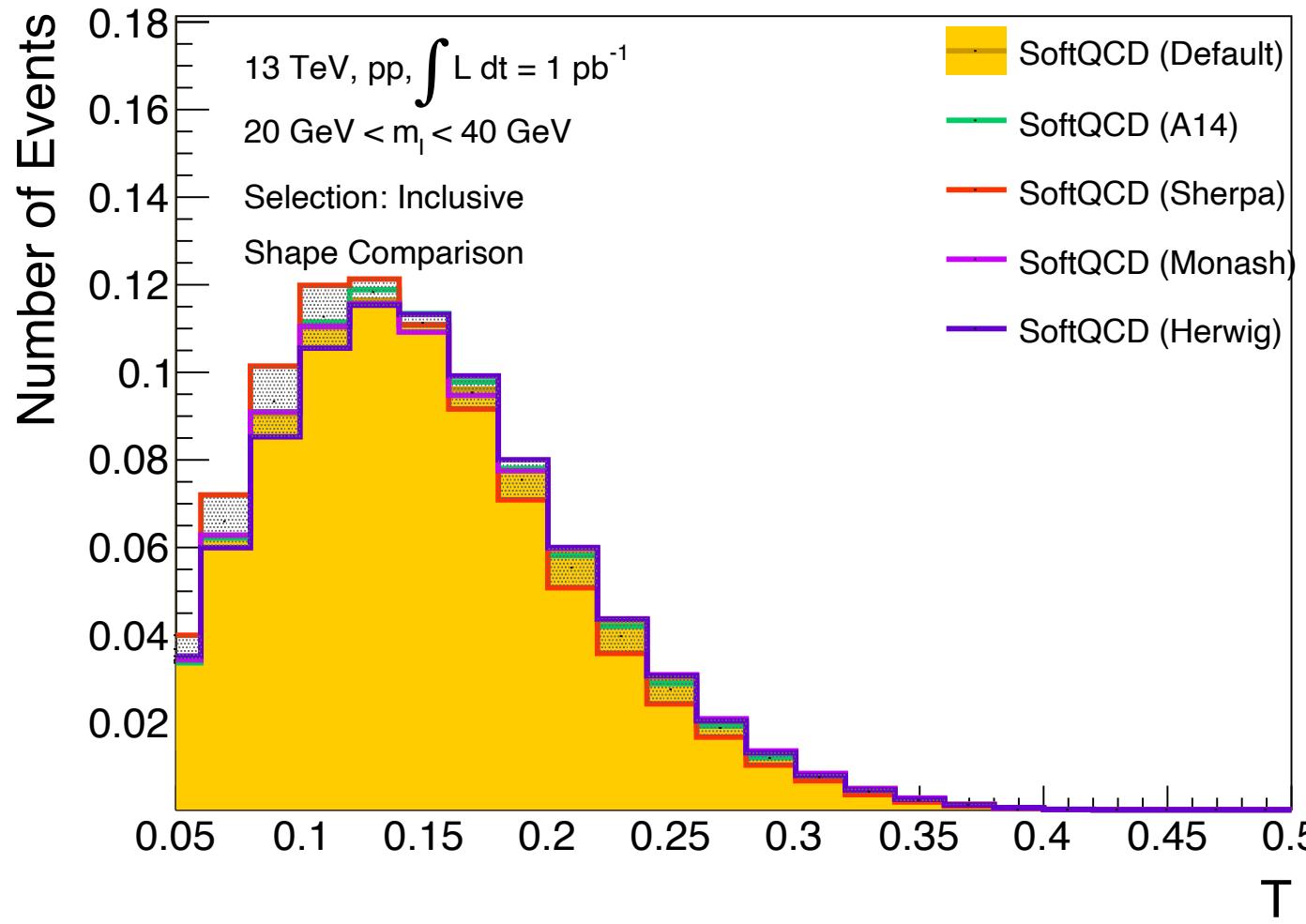
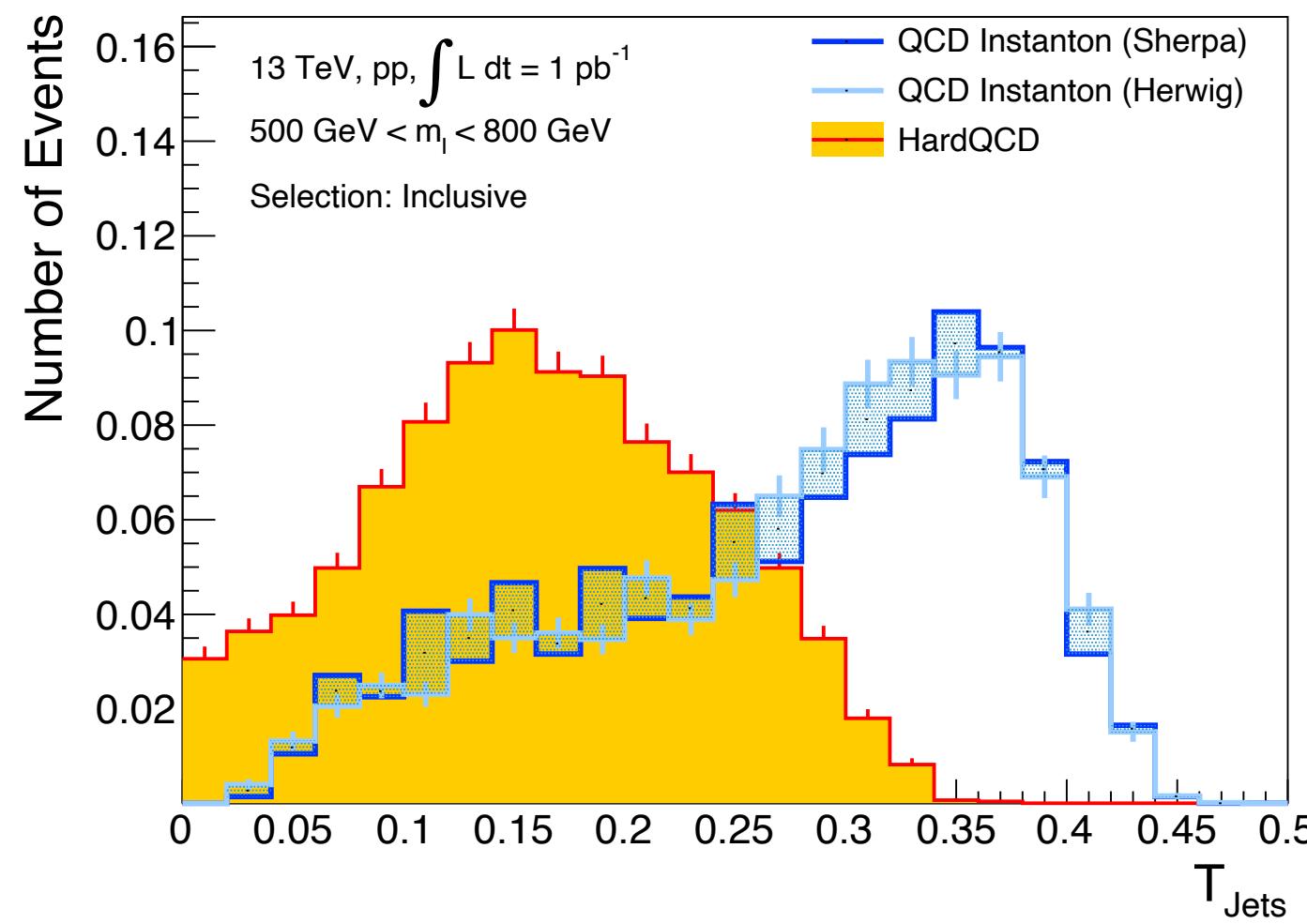
Framework for “blob” type processes and non-trivial vacua.  
E.g. electroweak sphalerons

[Papaefstathiou, Plätzer, Sakurai — JHEP 1912 (2019) 017]

$$q + q \rightarrow 7\bar{q} + 3\bar{\ell} + n_B W/Z/\gamma/H.$$



Generalize to QCD instantons:  
“Soft bombs” — possibly hidden/drowned in MPI?



$$g + g \rightarrow n_g g + \sum_{f=1}^{N_f} (q_{Rf} + \bar{q}_{Lf}).$$

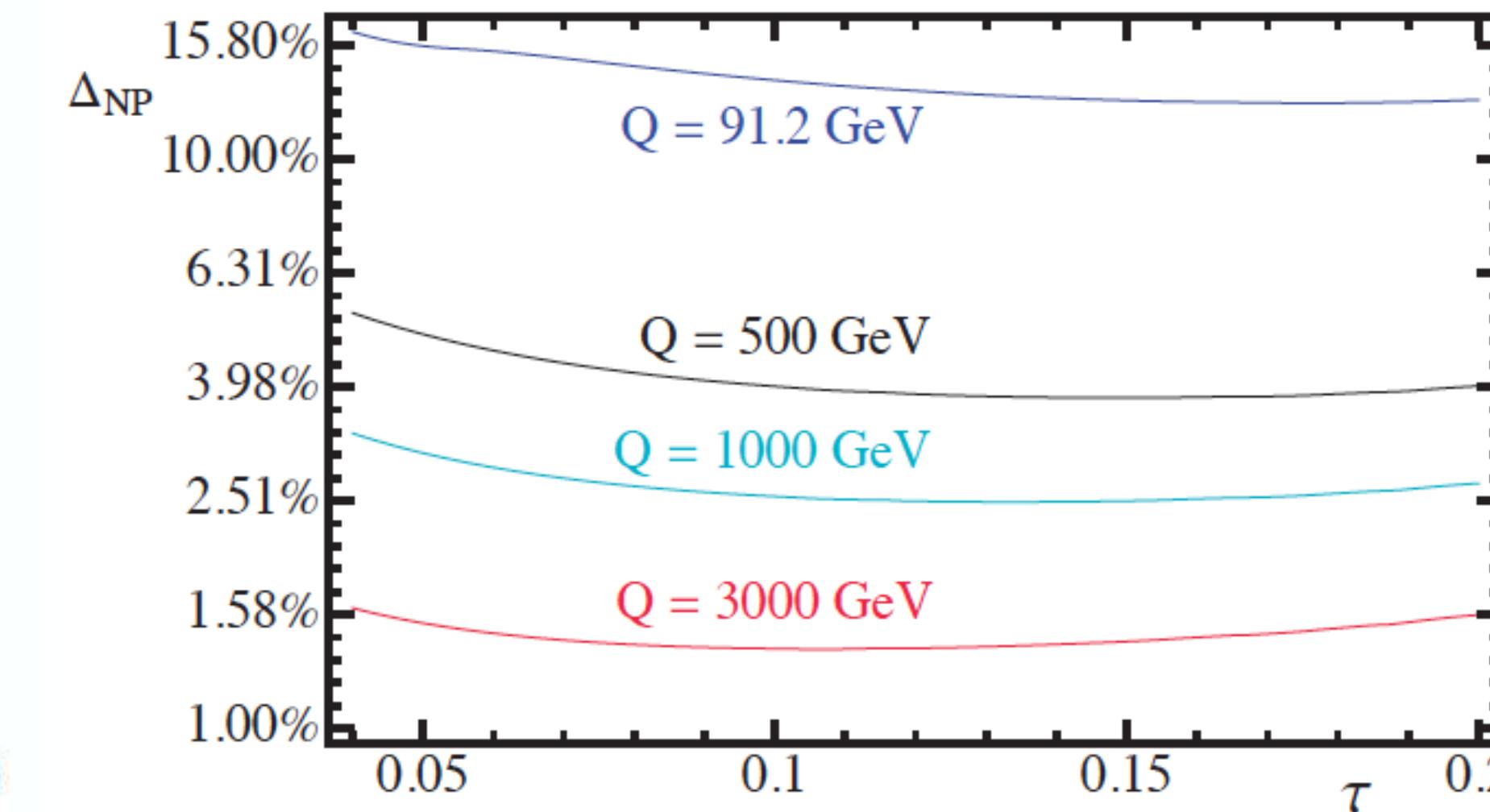
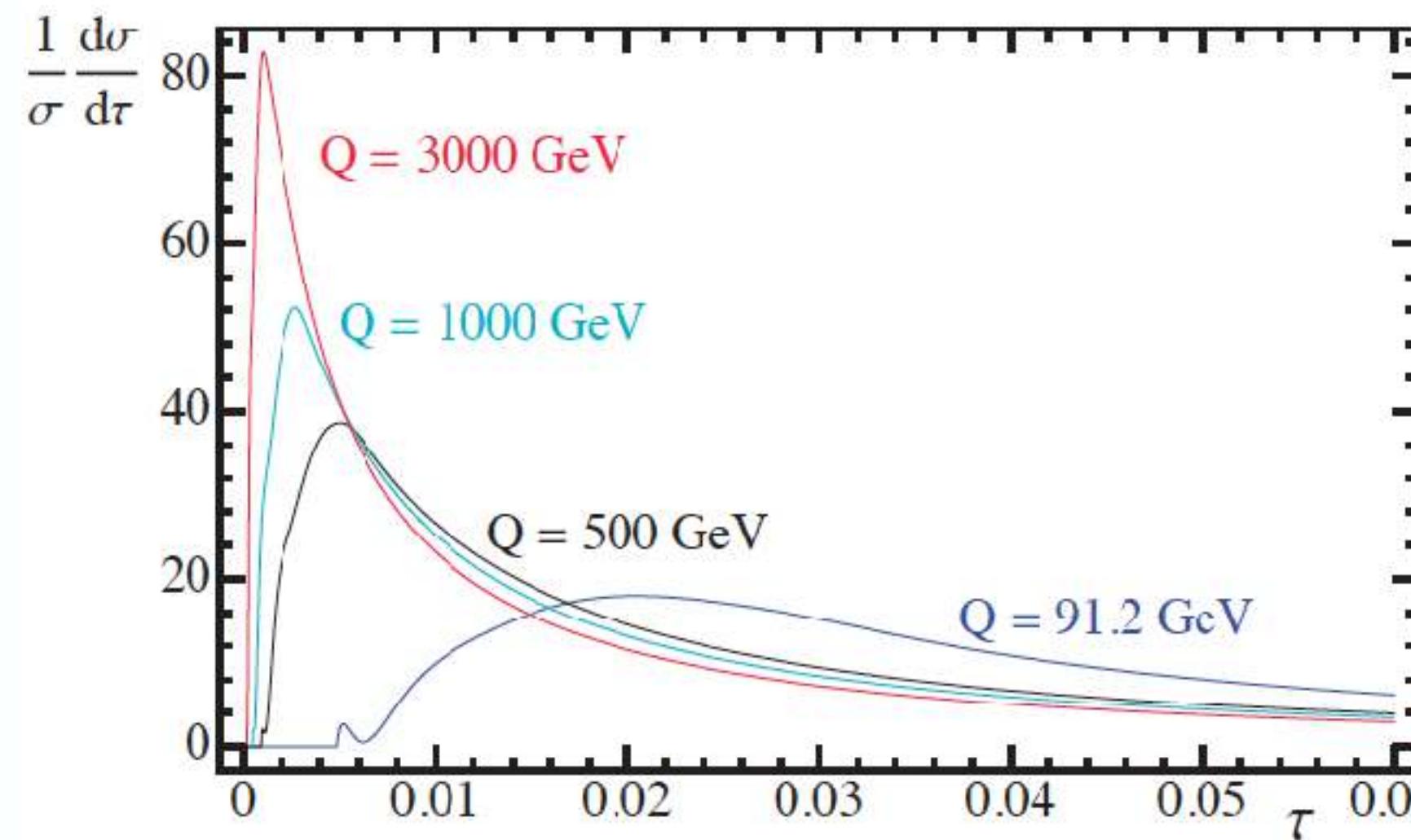
Need to understand colour structure and further details of showering and hadronization.

[Amoroso — based on Instanton simulation in Herwig 7]  
[Papaefstathiou, Plätzer — unpublished]

[Cormier, Jin, Kirchgaesser, Papaefstathiou, Plätzer — in progress]

# e<sup>+</sup>e<sup>-</sup> Event Shapes and the Strong Coupling

What would a precise measurement of event shapes at higher Q values contribute?

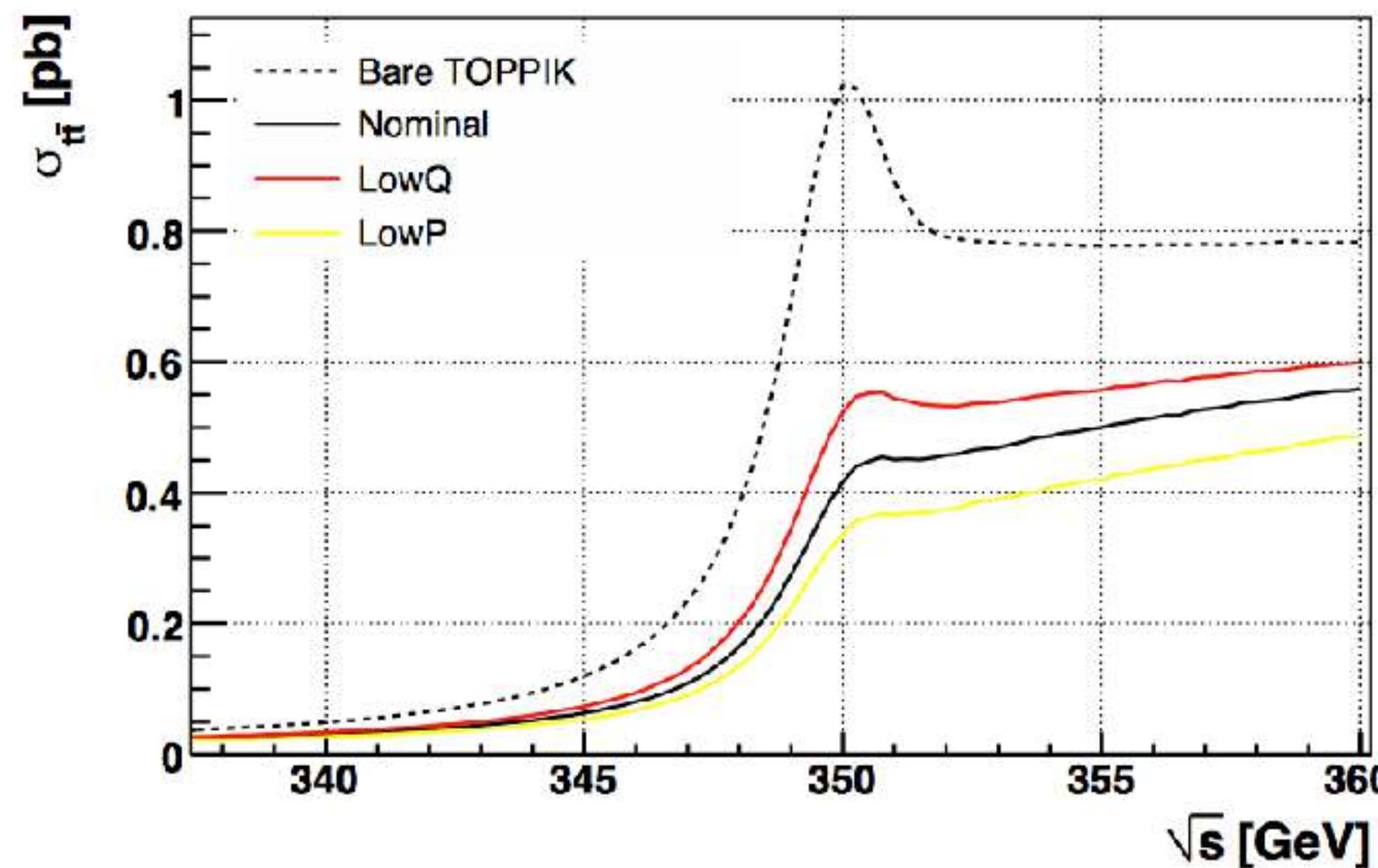


- Event accumulate in very small region at small values.
- High precision needed.
- Background tricky ( $\gamma\gamma$ )
- Theory very well known and further improvements expected
- Non-perturbative effects decrease with  $Q$
- At some point smaller than experimental uncertainty and negligible !!
- Difficult at very large  $Q$ , but very important for confirmations of lower- $E$  measurements.

# Top Threshold

## Top pair total inclusive cross section:

$$\sigma(e^+e^- \rightarrow t\bar{t} + X) \text{ at } E_{cm} \approx 2m_t$$



- Remnant of a toponium resonance (“postronium of QCD”):  $R_{bind} = m_t \alpha_s \sim 30 \text{ GeV}$
- Crucial to control  $e^+e^-$  luminosity spectrum
- Binding energy about twice the top quark width:
- Can be calculated in pQCD at NNNLO/NNLL (nonrelativistic expansion):  
→ **Top mass in well-defined scheme with uncertainties  $\sim 50 \text{ MeV}$**

**Principle:  $m_t$  from  $\sigma_{tt}(m_t)$**

## Advantages:

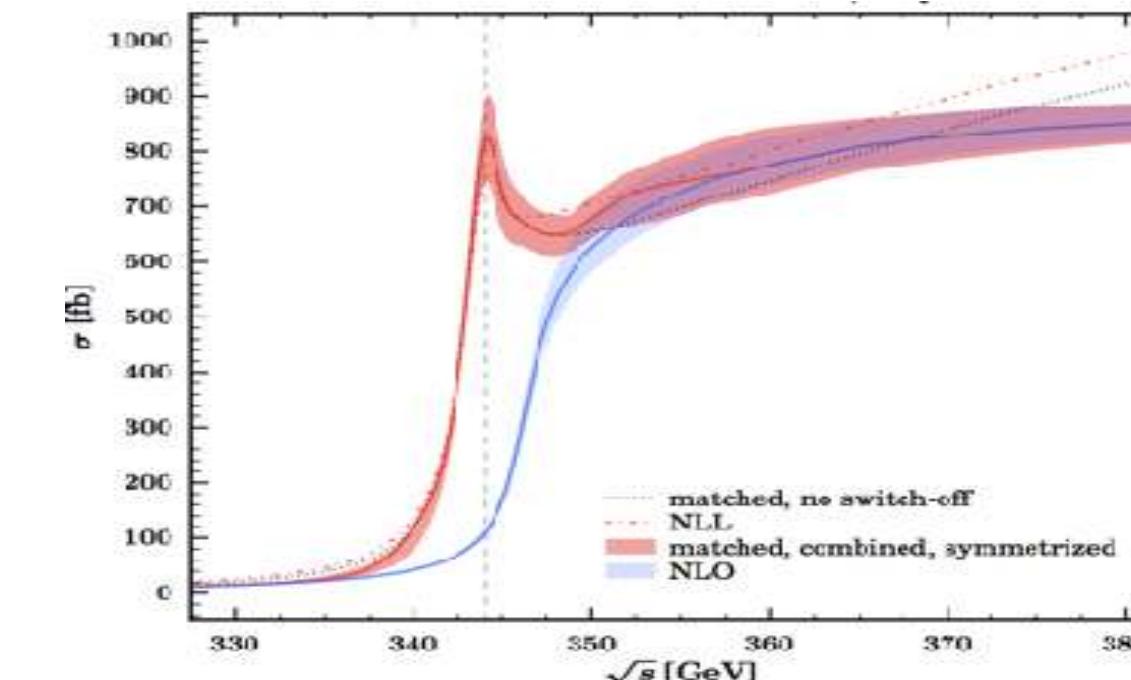
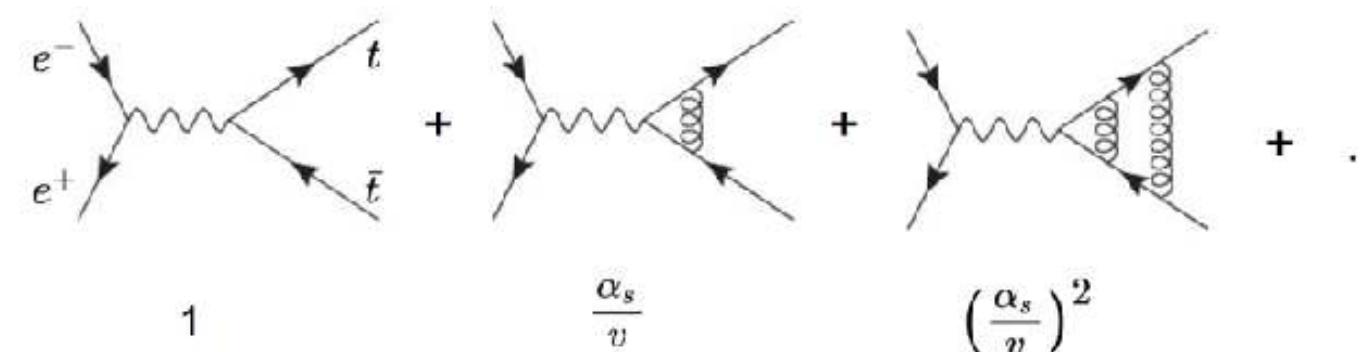
- ▷ count number of  $t\bar{t}$  events
- ▷ color singlet state
- ▷ background is non-resonant
- ▷ physics well understood  
(renormalons, summations)
- Top decay protects from non-pert effects

Crucial difference to top pairs at LHC

$$E_{bind} \approx \frac{\alpha_s^2 m_t}{2} \approx 2\Gamma_t$$

# Top Threshold

- Coulomb resummations
- Finite Width effects are leading order
- NRQCD effective field theory counting ( $\alpha_s \sim v$ )



- Total cross section at NNLO (FO in  $\alpha_s \sim v$ )

AHH, Beneke, Melnikov, Nagano, Ota, Penin, Pivovarov, Signer, Smirnov, Sumion, Teubner, Yakovlev, Yekhovsky '01

- Total cross section NNLO+NNLL (sum  $\ln(\alpha_s) \sim \ln(v)$ )

AHH, Stahlhofen, '13

- Total cross section NNNLO

Beneke, Kiyo, Marquard, Piclum, Steinhauser '13

- Non-resonant EW effects NNLL

AHH, Reisser, Ruiz-Femenia '04, '10

- Non-resonant EW effects NNNLO<sub>partial</sub>

Beneke, Maier, Rauh, Ruiz-Femenia '17,

- Top p<sub>t</sub> 3-momentum distribution NNLO

AHH, Teubner '00

- Full differential: only NLO+LL !!

Chokoufe, AHH, Kilian, Reuter, Stahlhofen, Teubner, Weiss '17

- No MC generator exists!

Future aims: Fully differential at NNLO/NNLL → Many non-trivial new issues!

MC event generator with top threshold

# Associated Top Threshold Physics

tt + γ:

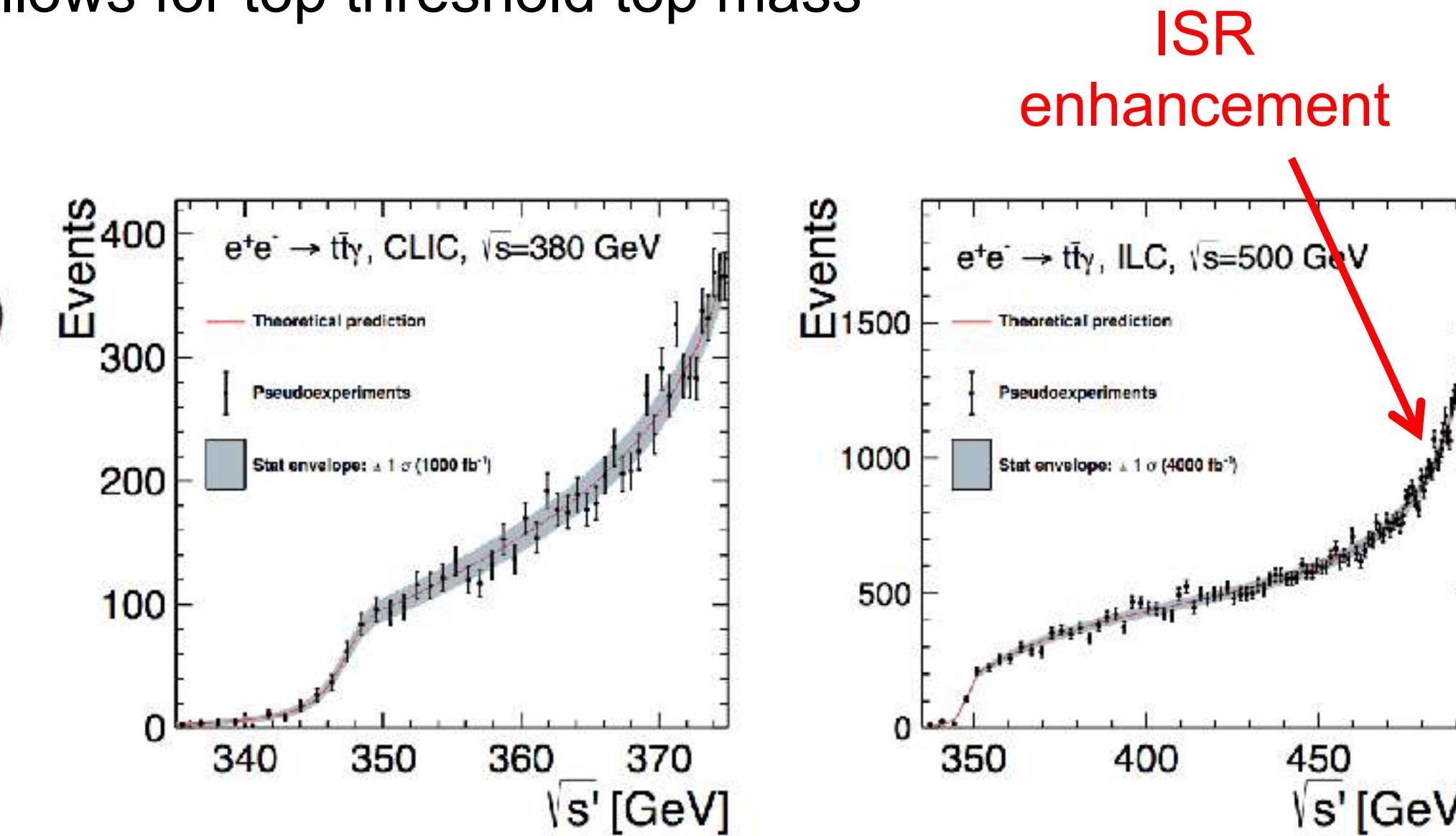
Boronat, Fullana, Juster, Gomis, Vos, AHH, Widl, Mateu '19

- Radiative return to the tt threshold allows for top threshold top mass measurements at higher energies.

$$\frac{d\sigma_{t\bar{t}\gamma}}{d \cos \theta d\sqrt{s'}} = 2 g(x, \theta) \sqrt{\frac{1 - 2x}{s}} \frac{\alpha_{\text{em}}}{\pi} \sigma_{t\bar{t}}(s')$$

$$x = \frac{E_\gamma}{\sqrt{s}},$$

$$s' = s \left(1 - \frac{2E_\gamma}{\sqrt{s}}\right)$$



- Matched threshold (NNLL+NNLO)-continuum (NNNLO) cross section
- Realistic simulation experimental analysis
- Statistics dominated

| cms energy                      | CLIC, $\sqrt{s} = 380 \text{ GeV}$ |         | ILC, $\sqrt{s} = 500 \text{ GeV}$ |         |
|---------------------------------|------------------------------------|---------|-----------------------------------|---------|
| luminosity [ $\text{fb}^{-1}$ ] | 500                                | 1000    | 500                               | 4000    |
| statistical                     | 140 MeV                            | 90 MeV  | 350 MeV                           | 110 MeV |
| theory                          |                                    | 46 MeV  |                                   | 55 MeV  |
| lum. spectrum                   |                                    | 20 MeV  |                                   | 20 MeV  |
| photon response                 |                                    | 16 MeV  |                                   | 85 MeV  |
| total                           | 150 MeV                            | 110 MeV | 360 MeV                           | 150 MeV |

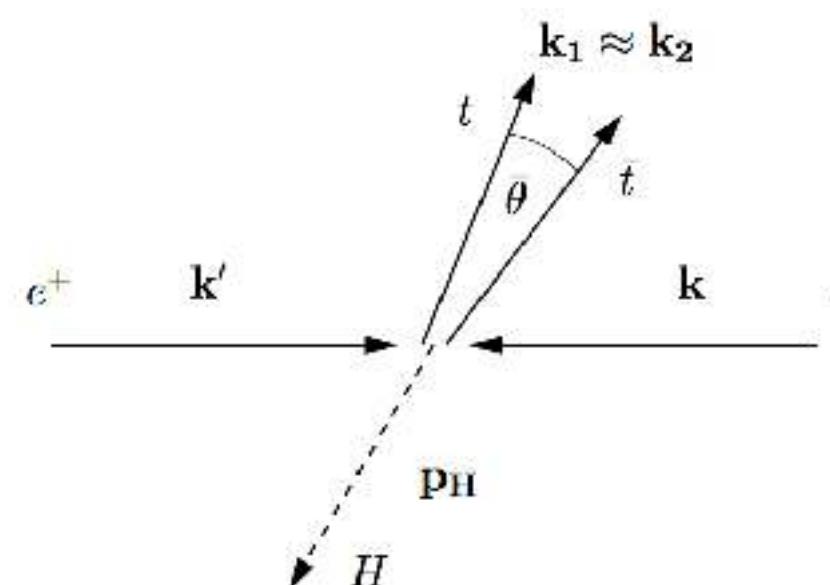
# Associated Top Threshold Physics

- A future  $e^+e^-$  collider with many associated ttbar thresholds
- Technology exists to extend ttbar threshold machinery to them, but much less event

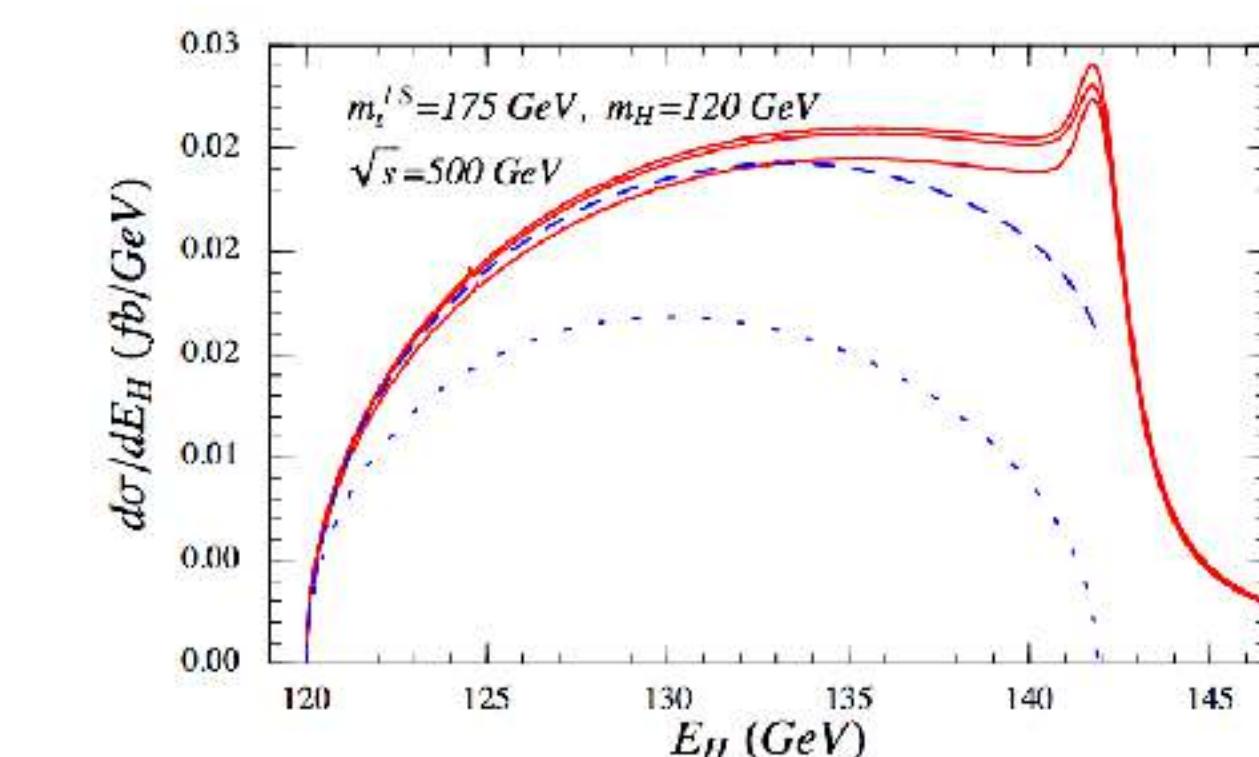
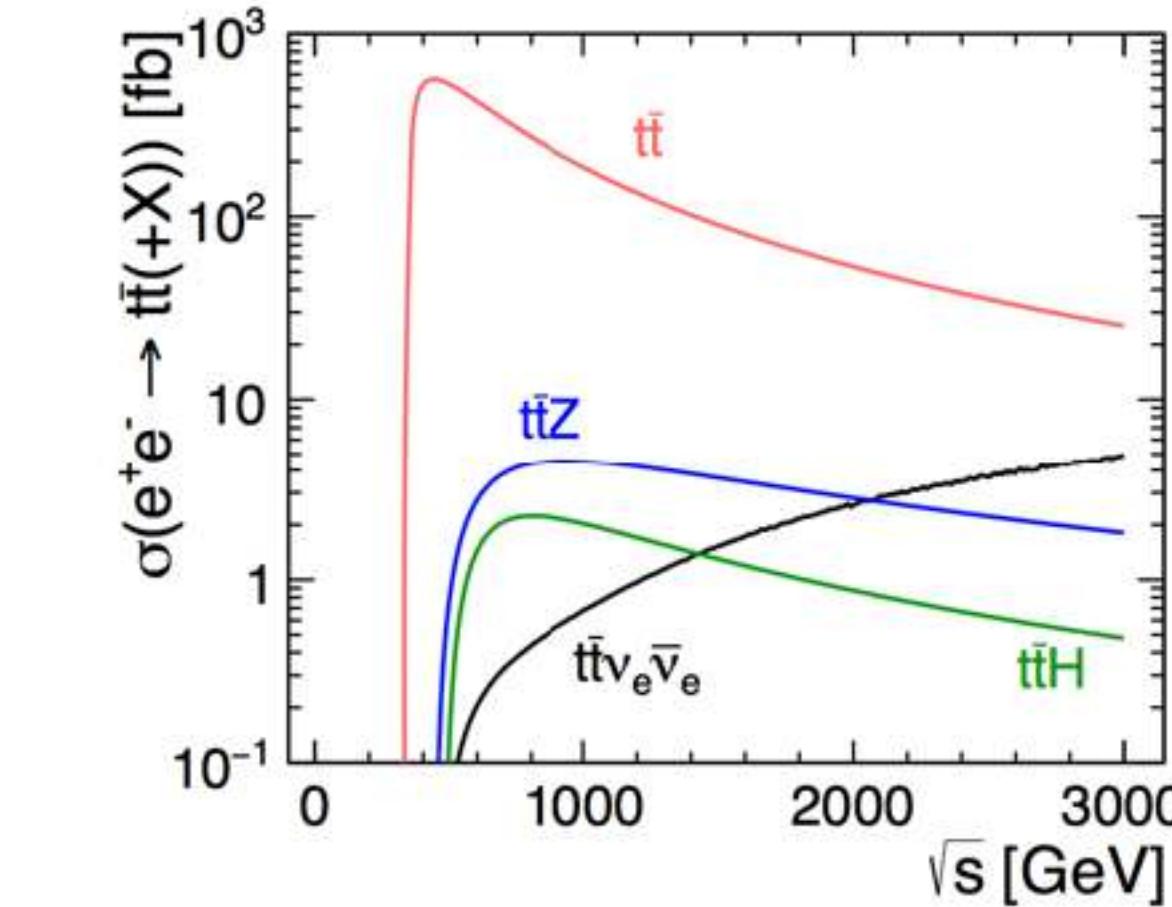
## tt + H: (very similar for tt+Z)

- NLO QCD Dawson, Reina '17,
- NLO EW corrections Dener, et al., Belanger, et al. You, et al. '03,
- NLL threshold Farrell, AHH '05

- Kinematic threshold enhancement reaching far into the continuum region for associated tt production, enhances cross section



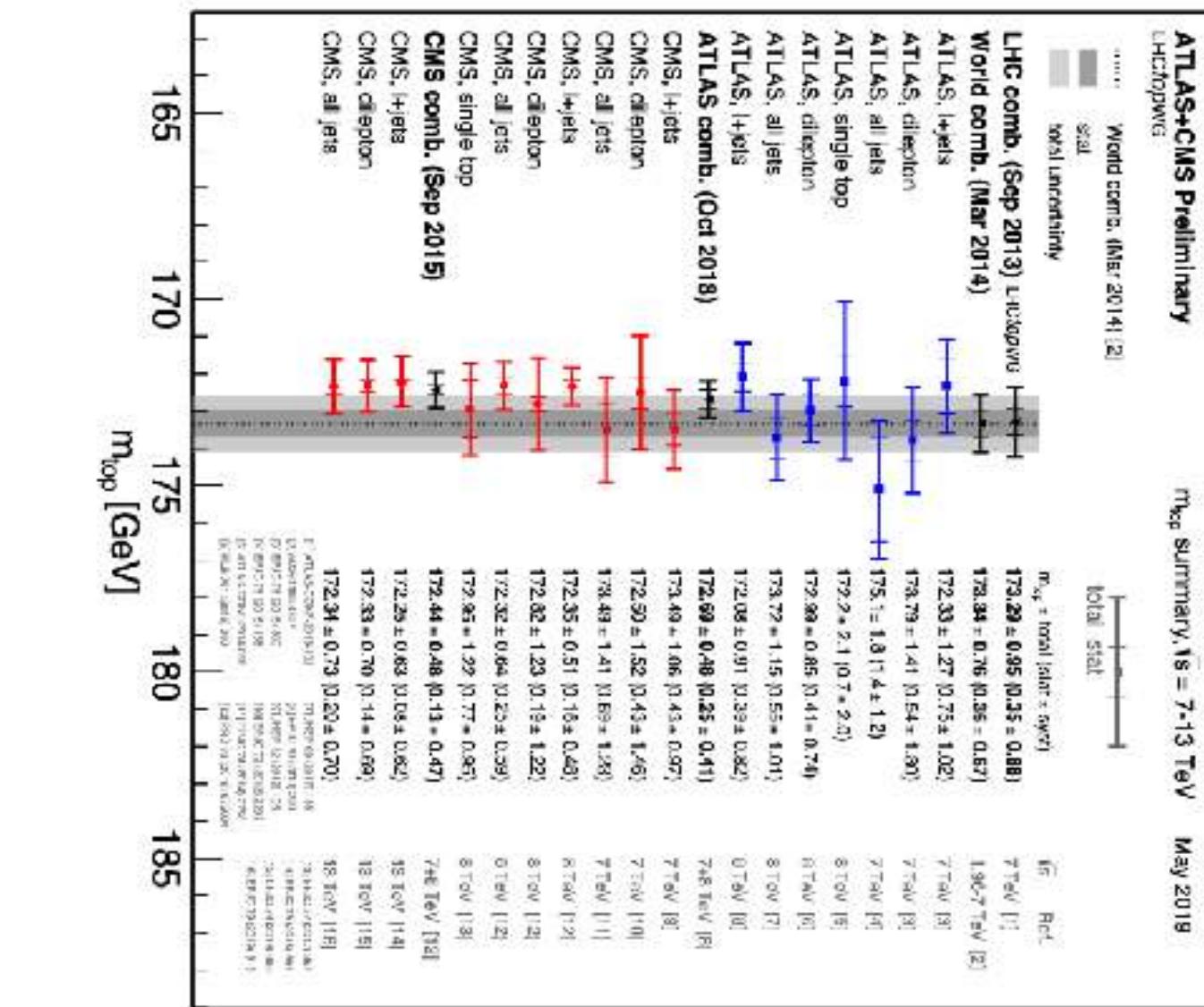
| $\sqrt{s}$ [GeV] | $m_H$ [GeV] | $\sigma(\text{Born})$ [fb] | $\sigma(\alpha_s)$ [fb] | $\sigma(\text{NLL})$ [fb] | $\frac{\sigma(\text{NLL})}{\sigma(\text{Born})}$ | $\frac{\sigma(\text{NLL})}{\sigma(\alpha_s)}$ | $\frac{\sigma(\text{NLL}) _{\beta<0.2}}{\sigma(\alpha_s) _{\beta<0.2}}$ |
|------------------|-------------|----------------------------|-------------------------|---------------------------|--|---|---|
| 500              | 120         | 0.151                      | 0.263                   | 0.357(20)                 | 2.362  | 1.359   | 1.78  |



Farrell, AHH '05

# Top Mass from Direct Reconstruction

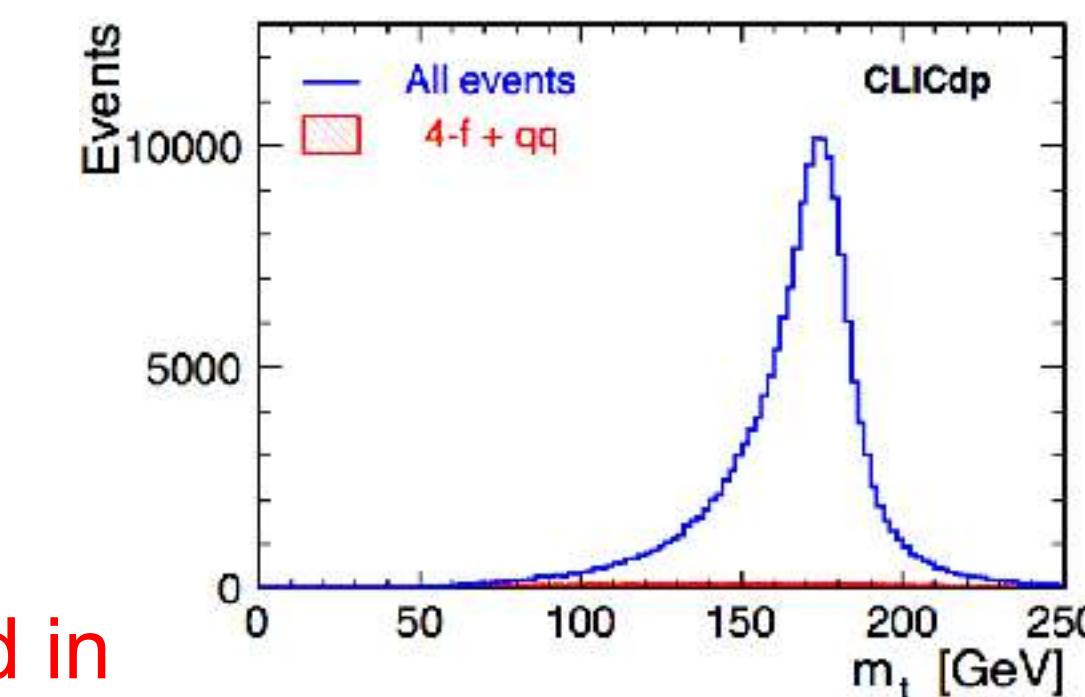
- Direct mass measurements (template or matrix element fits) are the most precise method to determine the top mass at the LHC
- Variables ( $M_{\text{lepton}+\text{b-jet}}$ ,  $m^{\text{reco}}$ ) cannot be described by FO computation and are described completely by parton shower and hadronization dynamics in Monte-Carlo generators.
- Because MC have limited (observable dependent) precision the measured top mass  $m_t^{\text{MC}}$  cannot be a priori assigned to a particular mass scheme.



- Aim: Full factorized analytic calculation of template fit observable ( $M_{\text{lepton}+\text{b-jet}}$ ) feasible for  $e^+e^-$  collisions. Problem easier than for the LHC.
- CLIC simulation study:  $m_t^{\text{reco}}$  template fit  $E_{\text{cm}} = 380 \text{ GeV}$

$$(\Delta m_t^{\text{MC}})^{\text{stat}} \sim 30 \text{ MeV} \quad (\Delta m_t^{\text{MC}})^{\text{syst}} \sim 50 \text{ MeV}$$

Substantial theoretical insight concerning QCD can be gained in connection top threshold measurements.



Abramowicz et al. '18