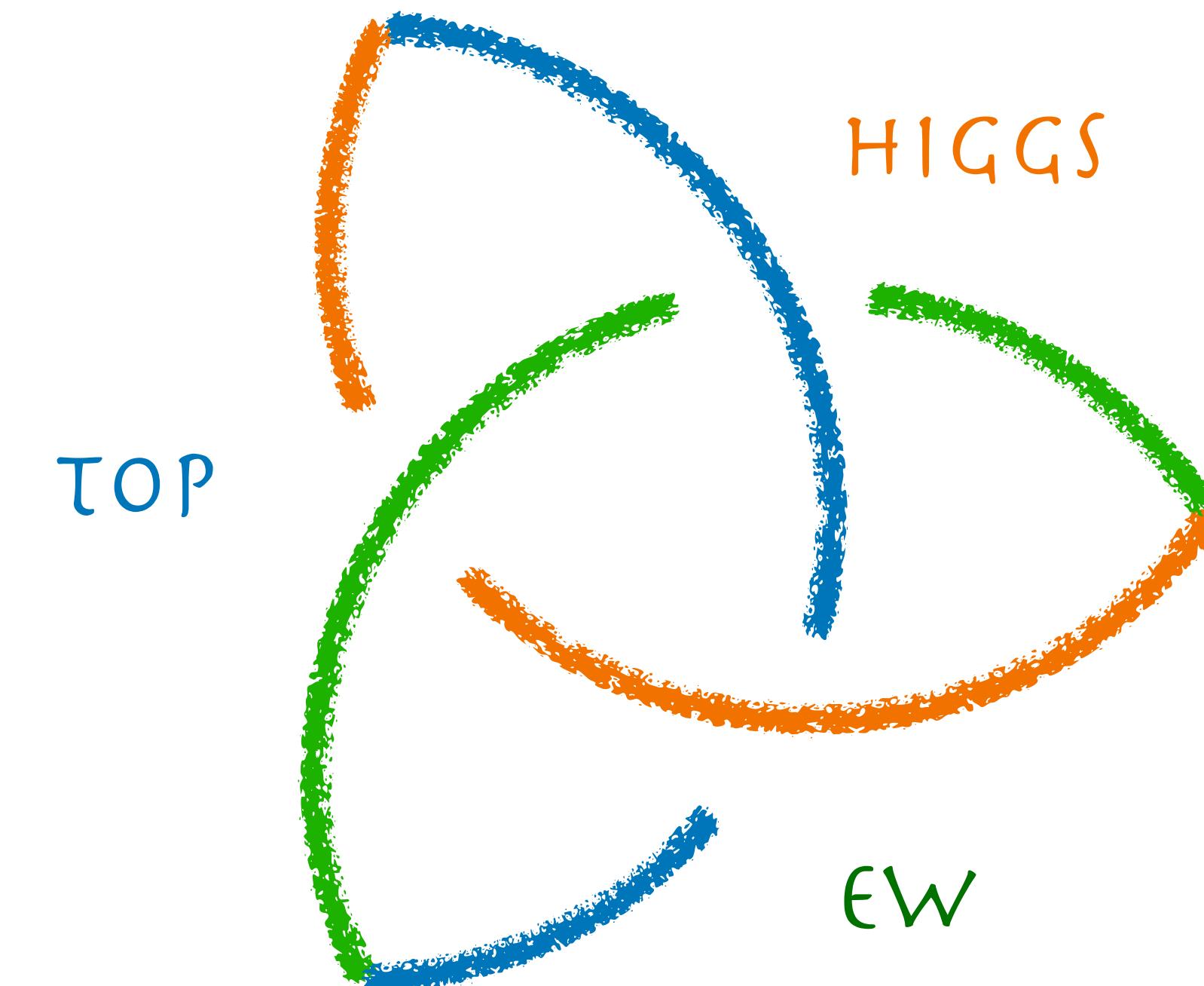


The way of SMEFT

Fabio Maltoni
Università di Bologna
Université catholique de Louvain





Where do we stand?

The SM

$$\mathcal{L}_{SM}^{(4)} = -\frac{1}{4}F^{\mu\nu}F_{\mu\nu} + \bar{\psi}\not{D}\psi + (y_{ij}\bar{\psi}_L^i\phi\psi_R^j + \text{h.c.}) + |D_\mu\phi|^2 - V(\phi)$$

פרמיונים					בוזונים	
דור-I	דור-II	דור-III				
מסה - טכען ספין למעלה	2.4 MeV/c ² 2/3 1/2 u	1.27 GeV/c ² 2/3 1/2 c	171.2 GeV/c ² 2/3 1/2 t	0 0 1 γ	125 GeV/c ² 0 0 1 h	בוזון פוטון הiggs
למטה	4.8 MeV/c ² -1/3 1/2 d	104 MeV/c ² -1/3 1/2 s	4.2 GeV/c ² -1/3 1/2 b	0 0 1 g		גלאוון
אלקטרון	<2.2 eV/c ² 0 1/2 e	<0.17 MeV/c ² 0 1/2 νe	<15.5 MeV/c ² 0 1/2 νμ	91.2 GeV/c ² 0 1 Z⁰		טאורון Z
אלקטרון	0.511 MeV/c ² -1 1/2 e	105.7 MeV/c ² -1 1/2 νμ	1.777 GeV/c ² -1 1/2 τ	80.4 GeV/c ² ±1 1 W[±]		טאו W

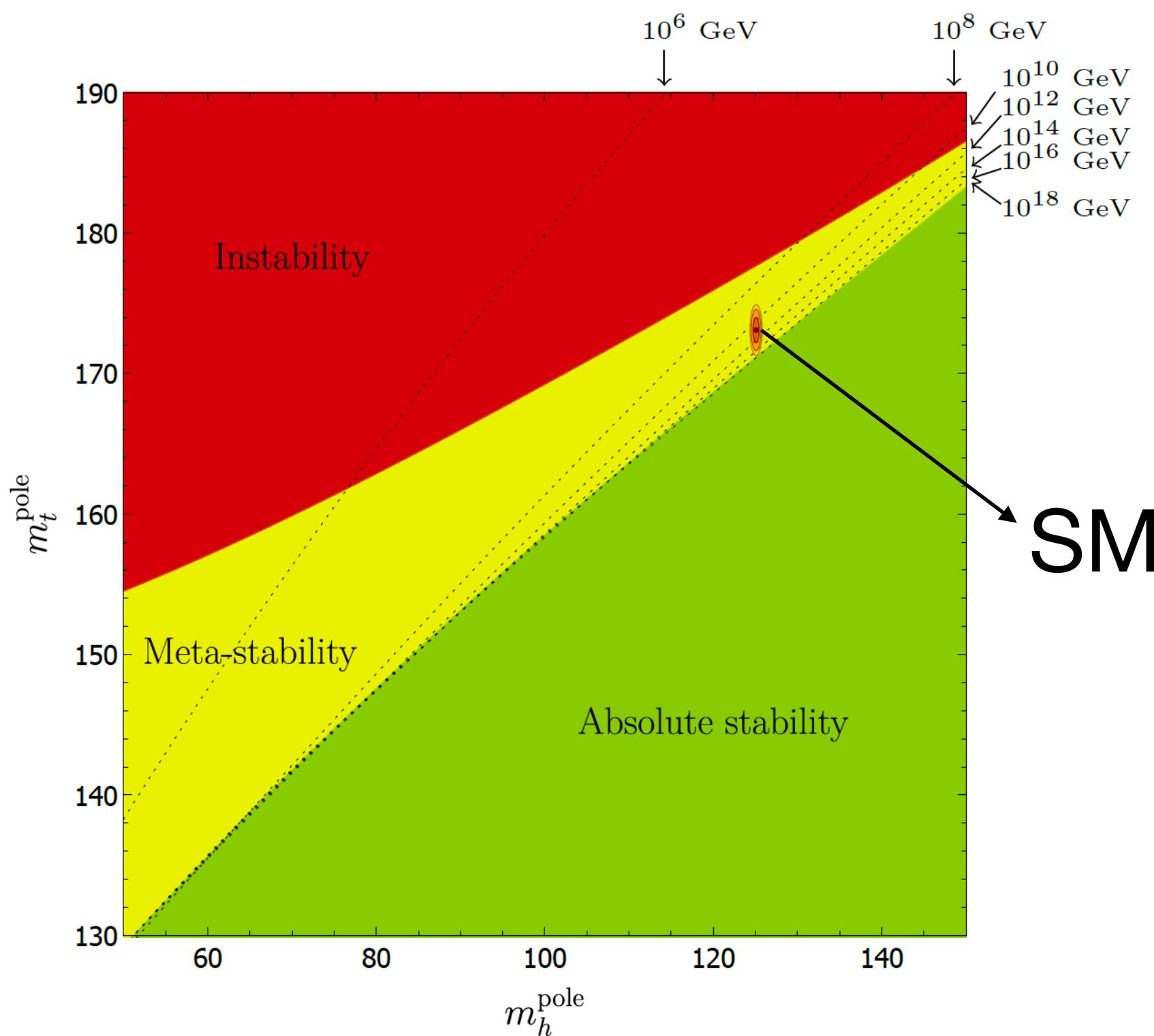
- SU(3)_C x SU(2)_L x U(1)_Y gauge symmetries.
- Matter is organised in chiral multiplets of the fund. representation.
- The SU(2) x U(1) symmetry is spontaneously broken to U(1)_{EM}.
- Yukawa interactions lead to fermion masses, mixing and CP violation.
- Matter+gauge group => Anomaly free
- Renormalisable = valid to “arbitrary” high scales.
- A number of accidental global symmetries seen in Nature.**
- Neutrino masses can be accommodated in two distinct ways.



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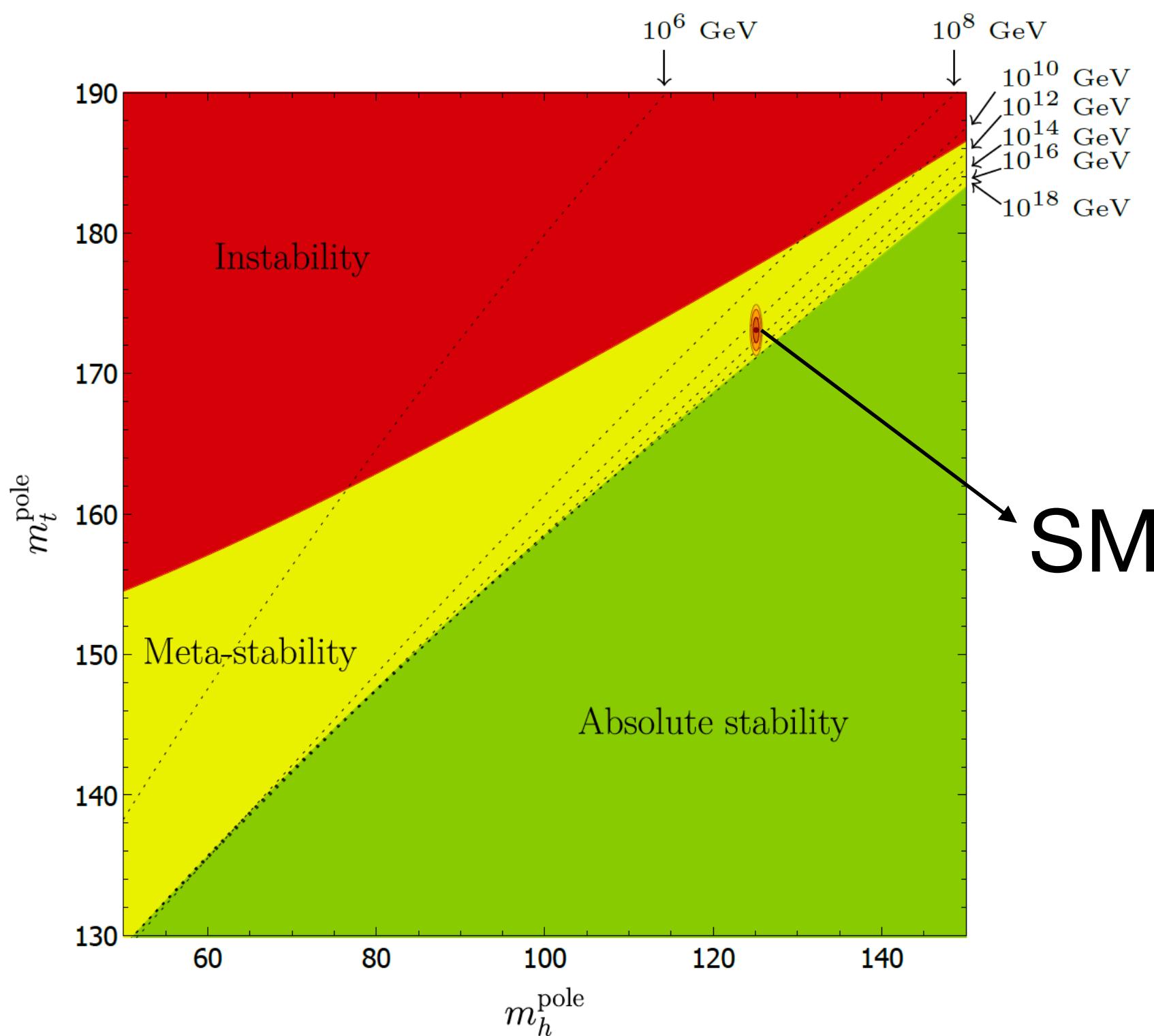
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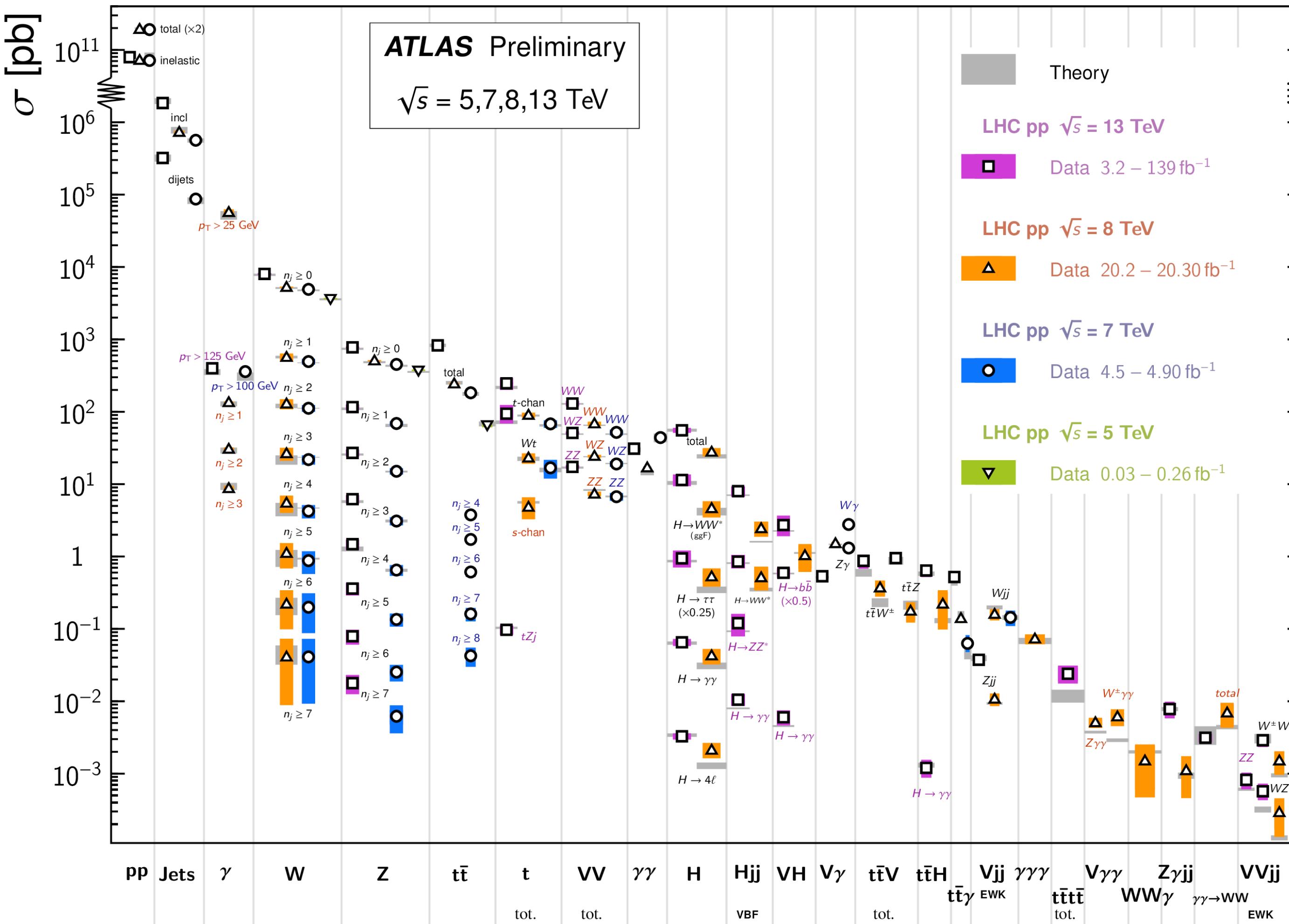
Simple and powerful
yet unnatural, incomplete...



Where do we stand?

Standard Model Production Cross Section Measurements

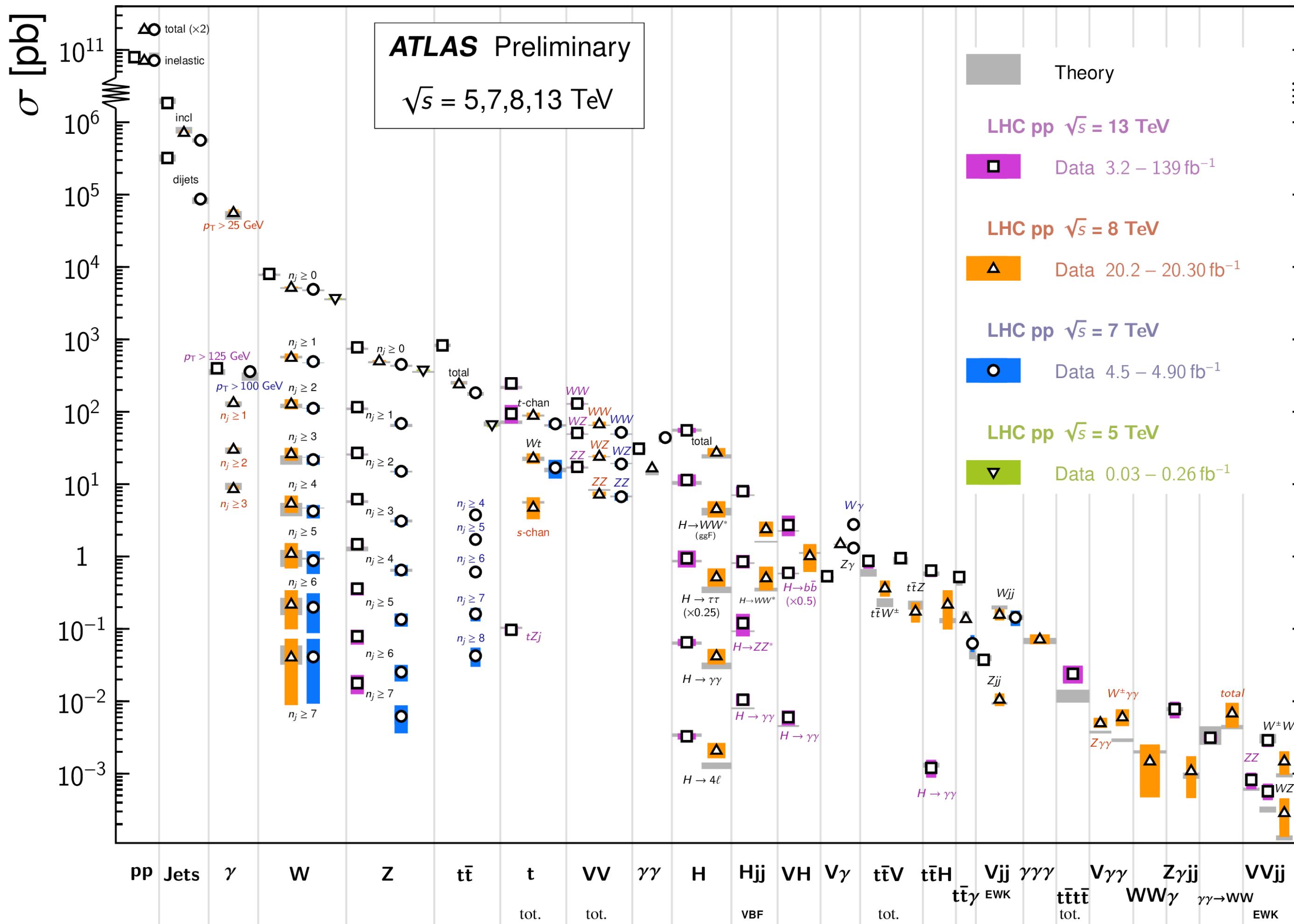
Status: March 2021



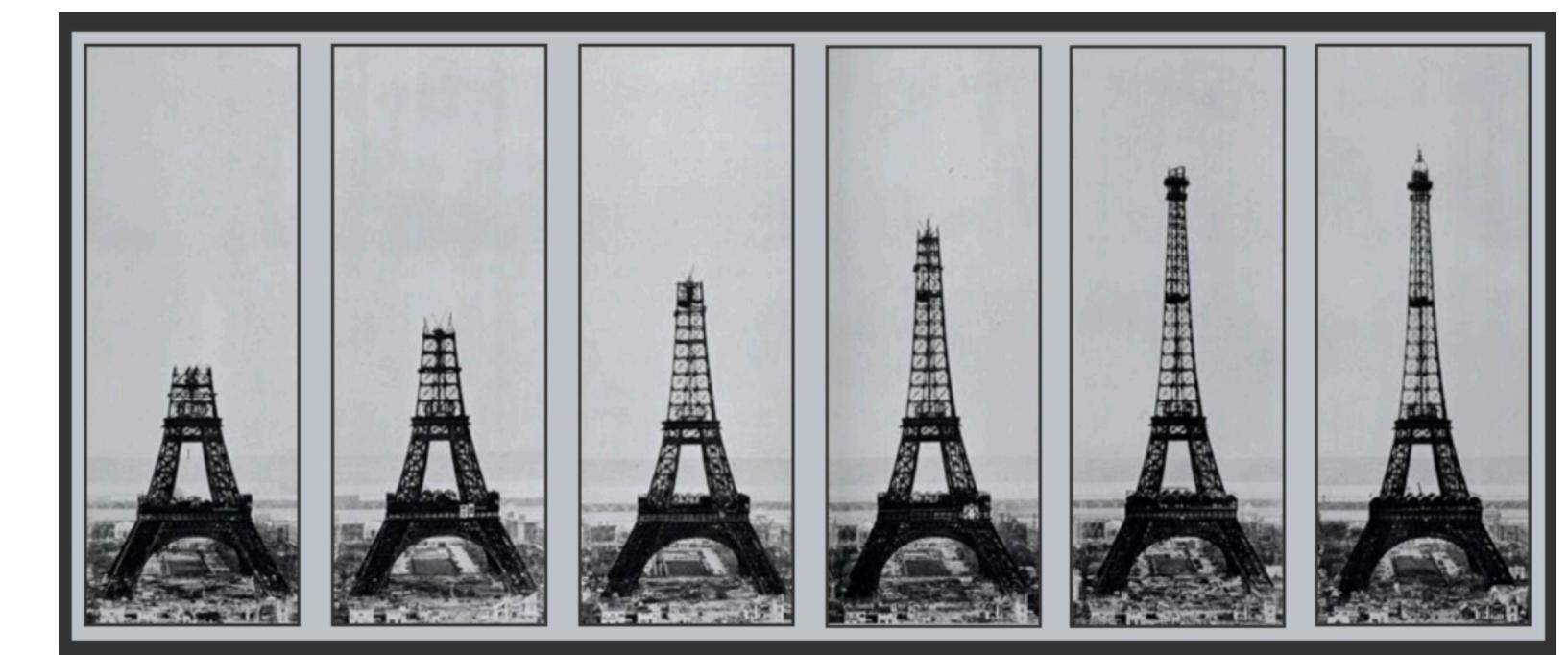
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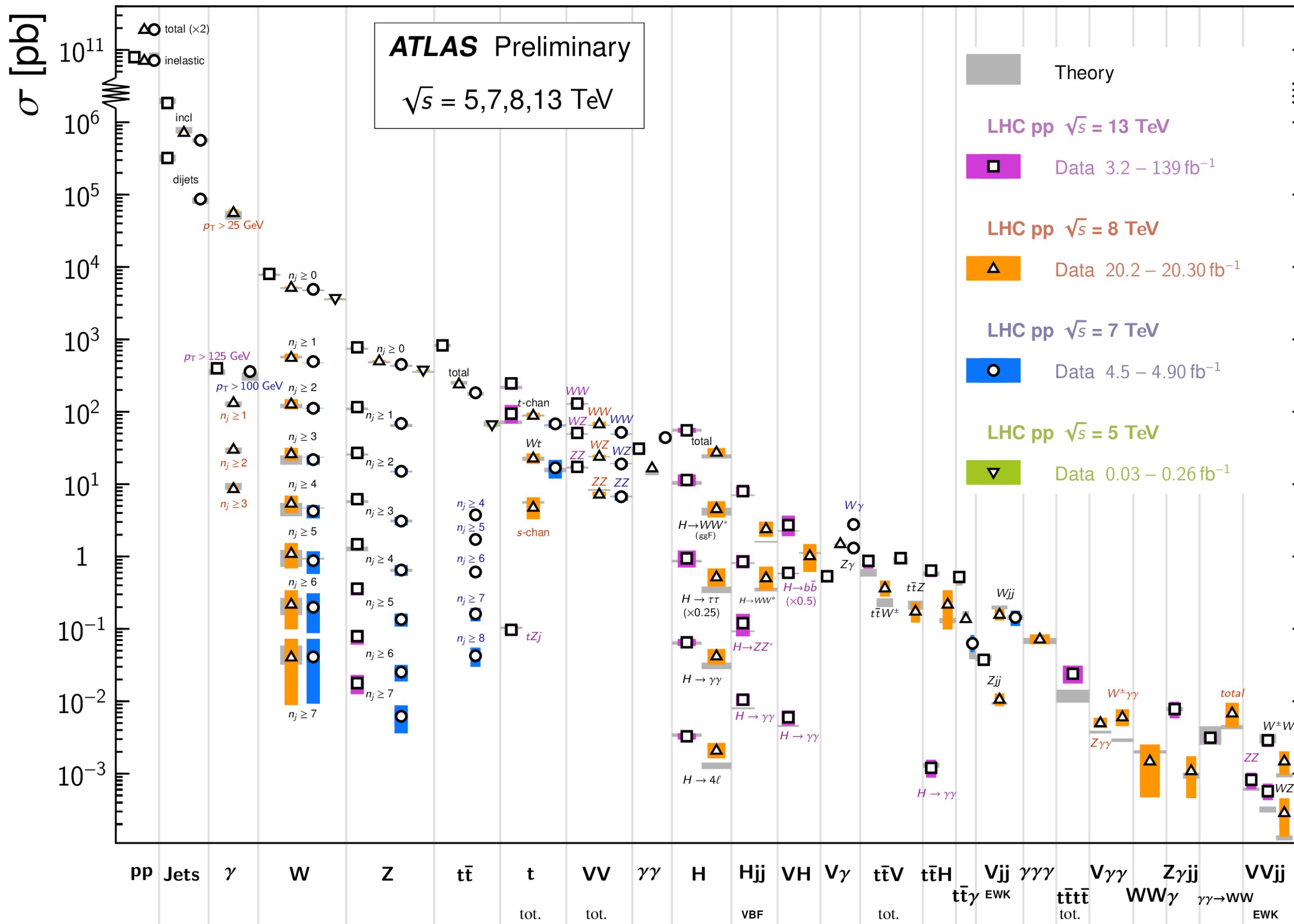
- Tangible results of an amazing experimental effort over a 10+ year span, accessing a wide range of final states, each with very different challenges.
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- Comparison with SM predictions shows that we have the necessary theoretical and experimental control to move onto the next phase.



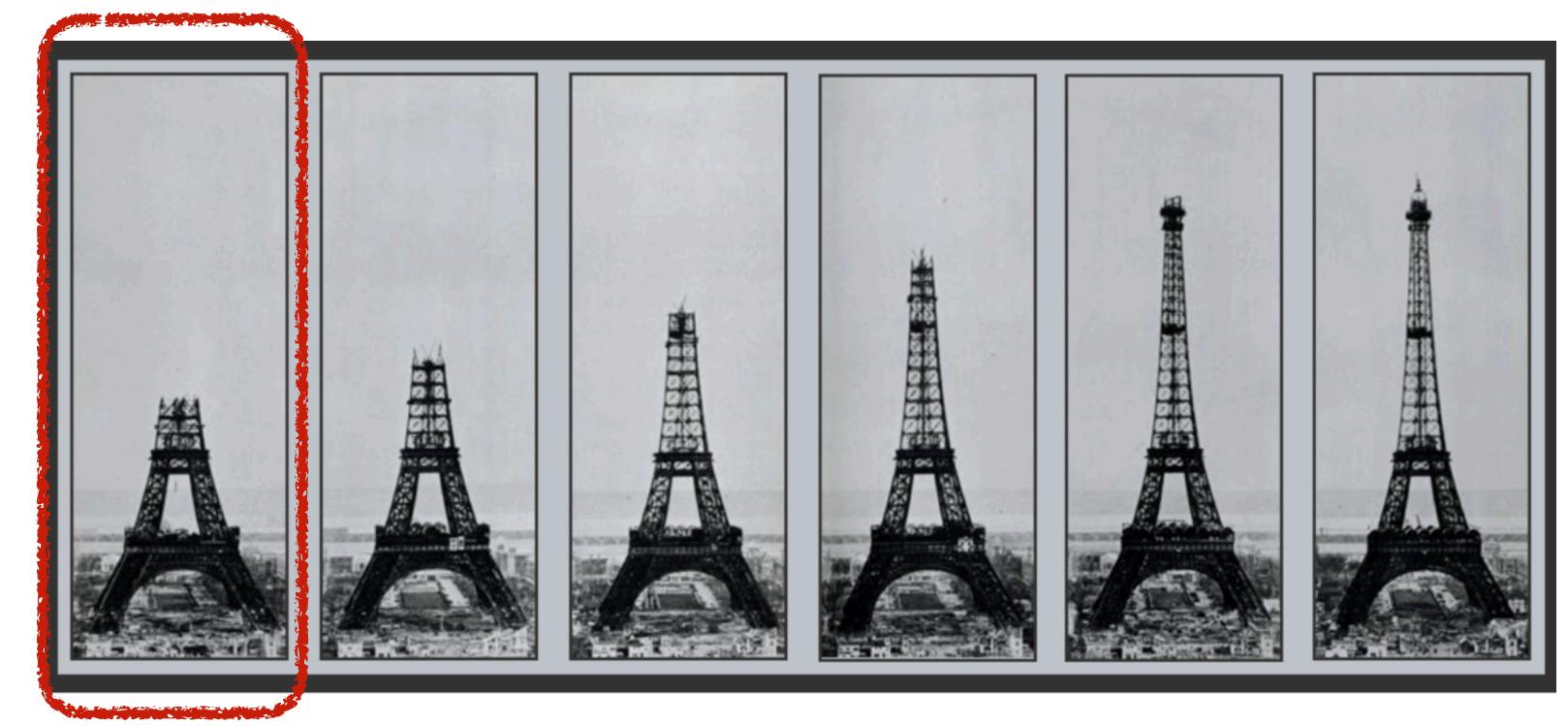
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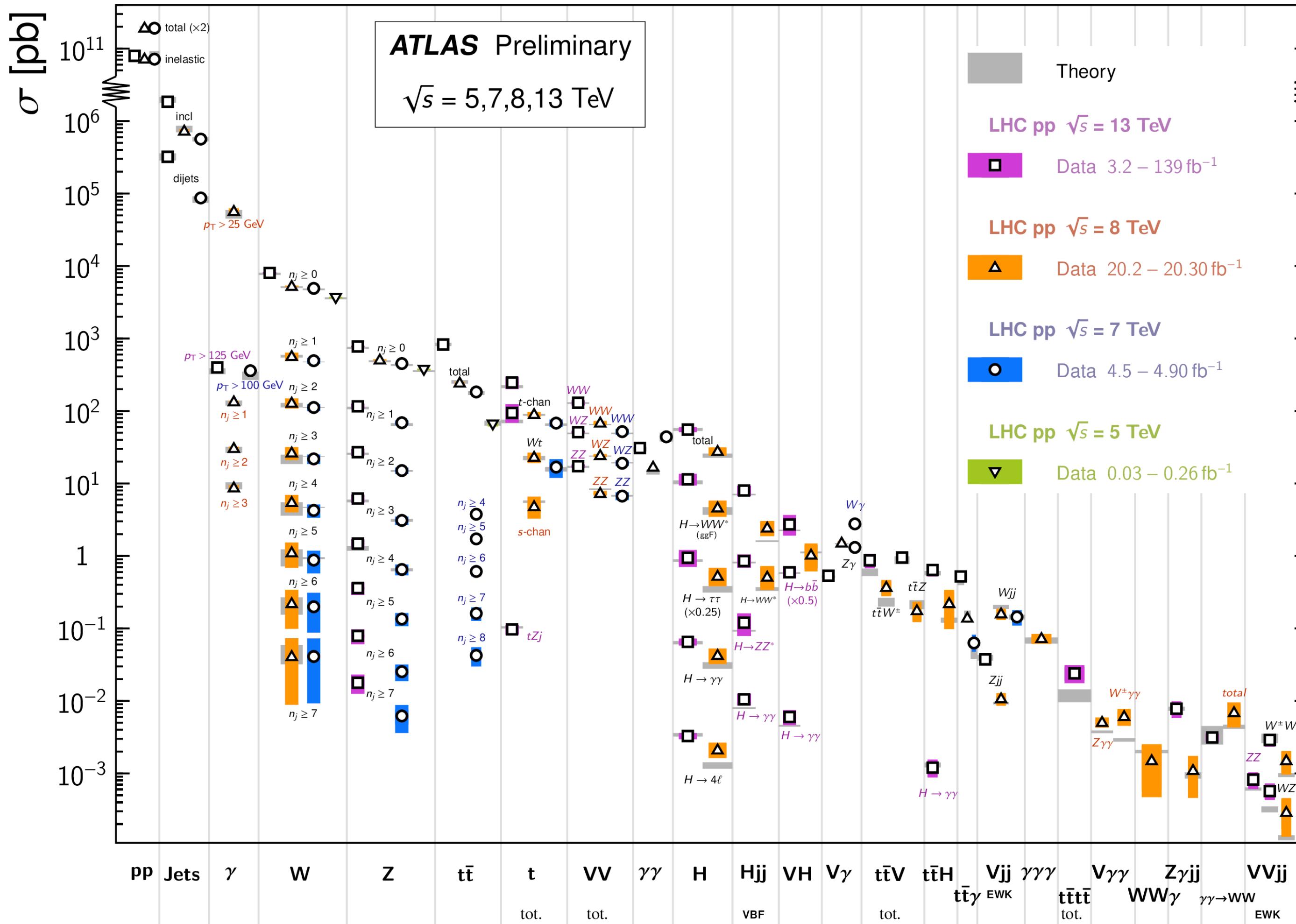
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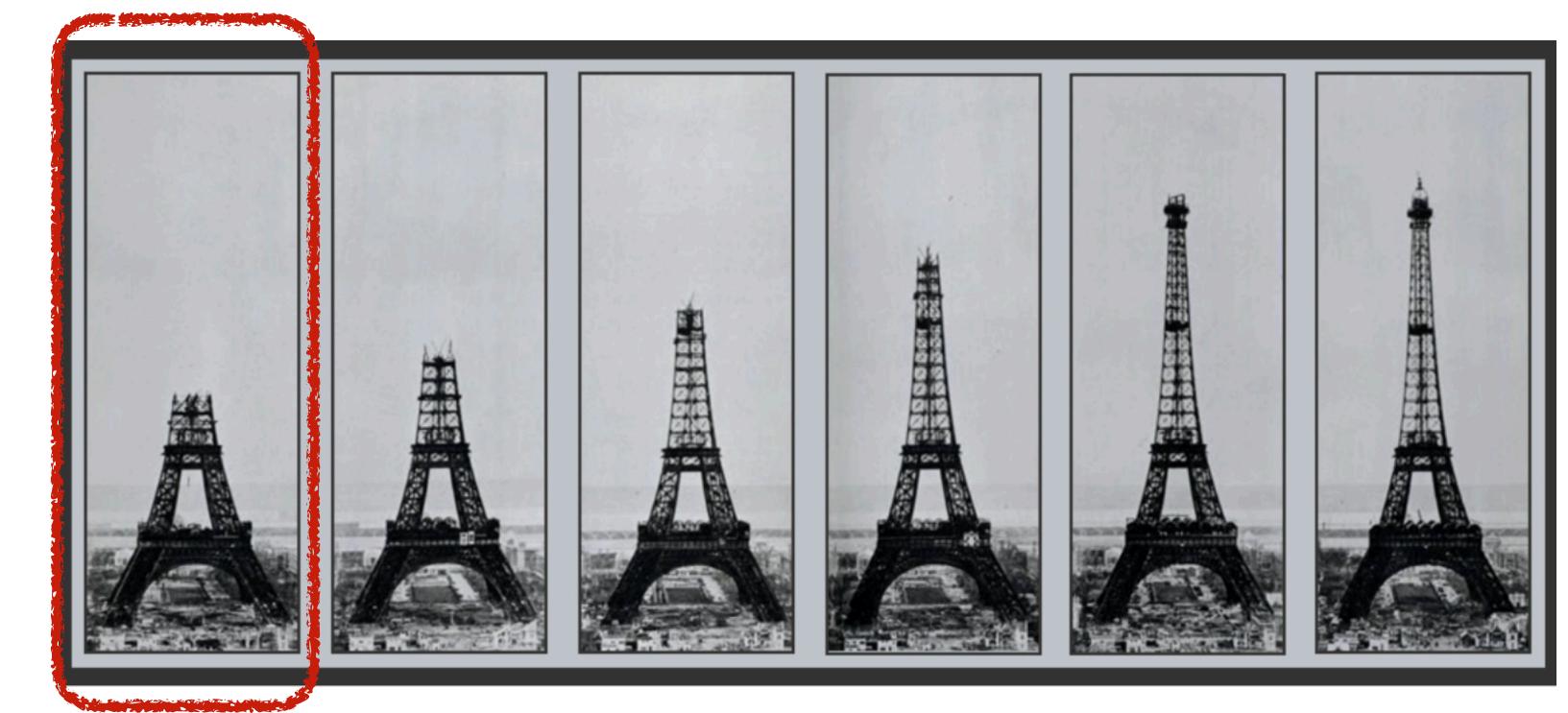
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note: Eiffel Tower was meant to last for 20 years max

Where do we stand?

Theory vs exp

Precision observables do not point to any clear deviation either.

The most puzzling experimental “issue” of the SM is that we don’t really understand why it works so well...

Whatever New Physics might exist to address the SM theoretical shortcomings, its effects must be “small” so that have gone undetected so far.

The main path ahead is twofold

- 1] Explore the unexplored
- 2] Increase the precision of TH and EXP to identify possible deviations.

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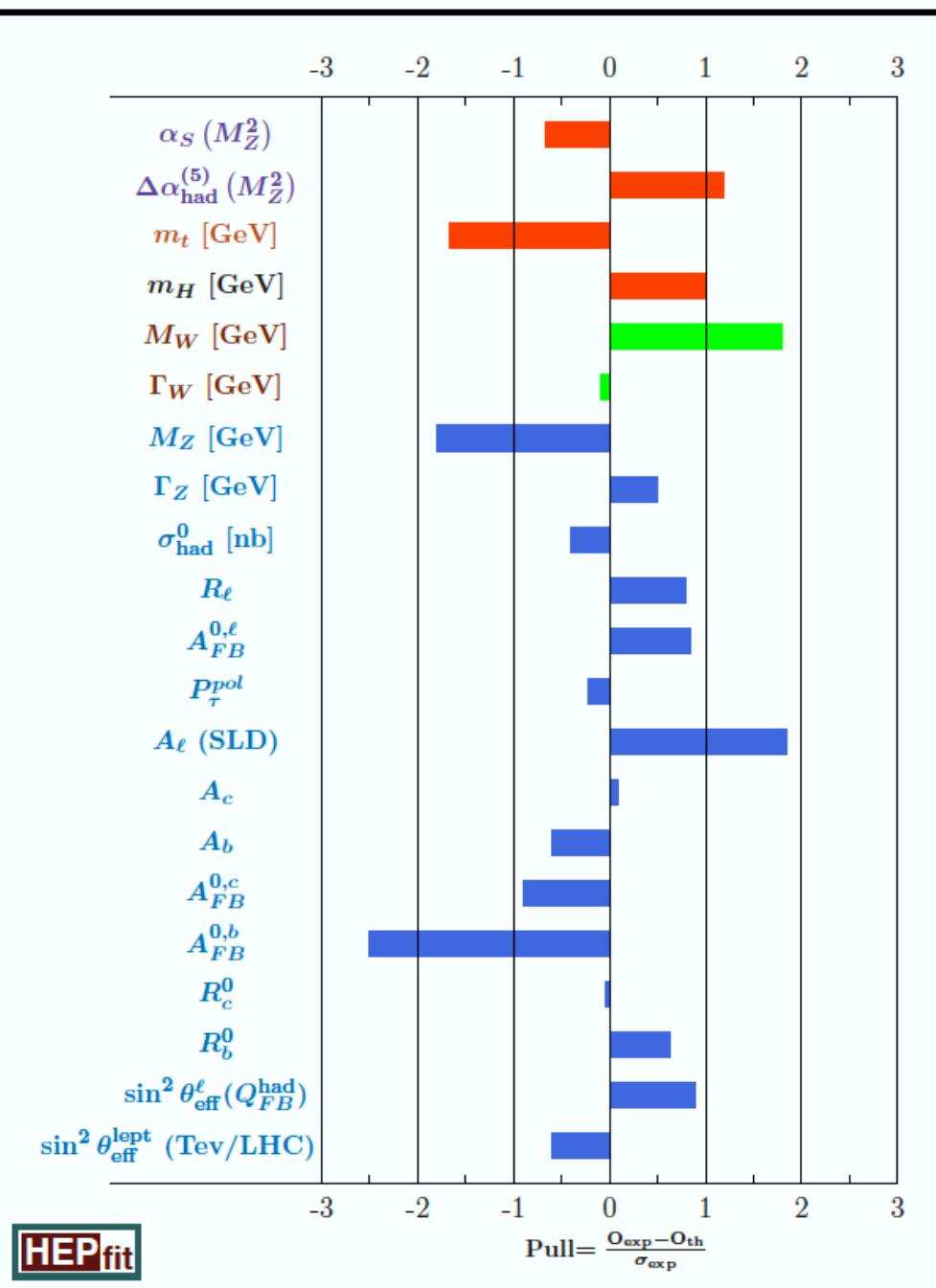
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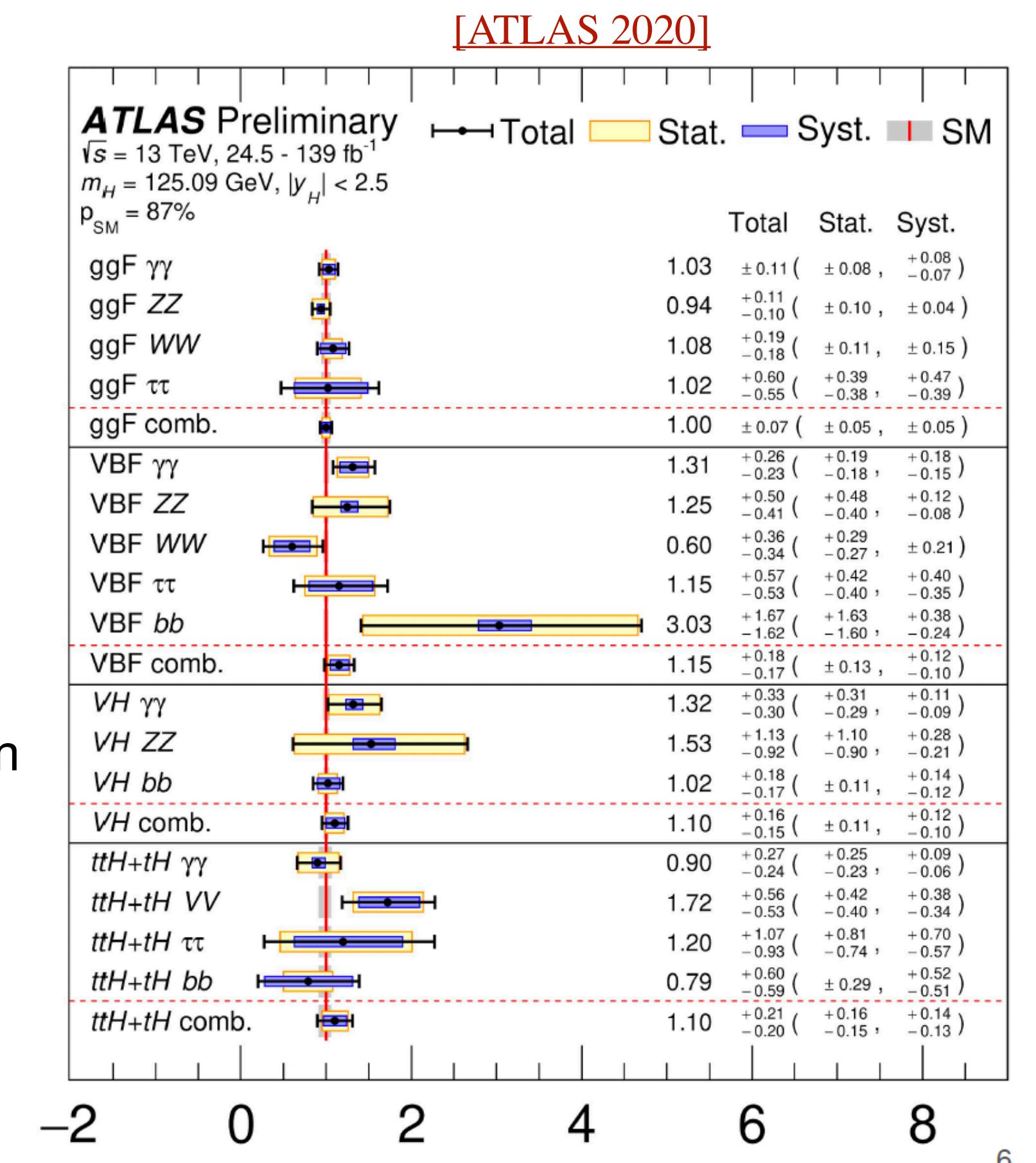
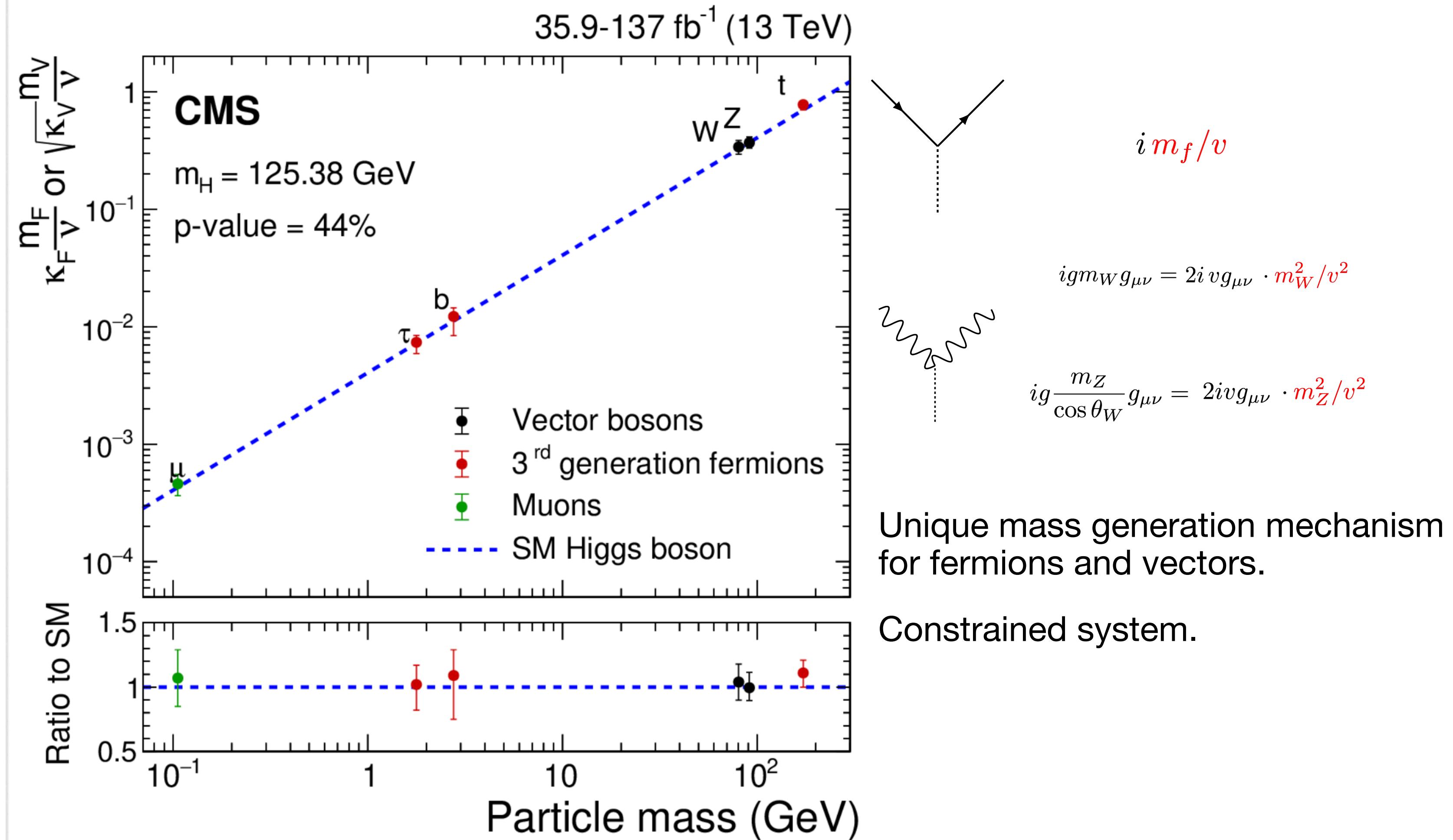
	Measurement	Posterior	Prediction	Pull
$\alpha_s(M_Z)$	0.1177 ± 0.0010	0.1179 ± 0.0009	0.1197 ± 0.0028	-0.7
$\Delta\alpha_{\text{had}}^{(5)}(M_Z)$	0.027611 ± 0.000111	0.027572 ± 0.000106	0.027168 ± 0.000355	1.2
M_Z [GeV]	91.1875 ± 0.0021	91.1880 ± 0.0020	91.2038 ± 0.0087	-1.8
m_t [GeV]	172.59 ± 0.45	172.76 ± 0.44	175.97 ± 1.98	-1.7
m_H [GeV]	125.30 ± 0.13	125.30 ± 0.13	112.68 ± 12.89	0.98
M_W [GeV]	80.379 ± 0.012	80.360 ± 0.005	80.355 ± 0.006	1.8
Γ_W [GeV]	2.085 ± 0.042	2.0883 ± 0.0006	2.0883 ± 0.0006	-0.08
$\text{BR}_{W \rightarrow \text{had}}$	0.6741 ± 0.0027	0.67486 ± 0.00007	0.67486 ± 0.00007	-0.28
$\text{BR}_{W \rightarrow \ell\nu}$	0.1086 ± 0.0009	0.10838 ± 0.00002	0.10838 ± 0.00002	0.24
$P_\tau^{\text{pol}} = A_\ell$	0.1465 ± 0.0033	0.1473 ± 0.0004	0.1473 ± 0.0005	-0.23
$\sin^2 \theta_{\text{eff}}^{\text{lept}}(Q_{\text{FB}}^{\text{had}})$	0.2324 ± 0.0012	0.23149 ± 0.00006	0.23149 ± 0.00006	0.91
Γ_Z [GeV]	2.4955 ± 0.0023	2.4945 ± 0.0006	2.4943 ± 0.0007	0.50
σ_h^0 [nb]	41.4802 ± 0.0325	41.4910 ± 0.0076	41.4930 ± 0.0080	-0.38
R_ℓ^0	20.7666 ± 0.0247	20.750 ± 0.0080	20.7460 ± 0.0087	0.79
$A_{\text{FB}}^{0,\ell}$	0.0171 ± 0.0010	0.01627 ± 0.00010	0.01626 ± 0.00010	0.84
A_ℓ (SLD)	0.1513 ± 0.0021	0.14727 ± 0.00045	0.14731 ± 0.00047	1.9
R_b^0	0.21629 ± 0.00066	0.21588 ± 0.00010	0.21587 ± 0.00010	0.63
R_c^0	0.1721 ± 0.0030	0.17221 ± 0.00005	0.17221 ± 0.00005	-0.04
$A_{\text{FB}}^{0,b}$	0.0992 ± 0.0016	0.1032 ± 0.0003	0.10327 ± 0.00033105	-2.5
$A_{\text{FB}}^{0,c}$	0.0707 ± 0.0035	0.0738 ± 0.0002	0.0738 ± 0.0002	-0.88
A_b	0.923 ± 0.020	0.93475 ± 0.00004	0.93475 ± 0.00004	-0.59
A_c	0.670 ± 0.027	0.6679 ± 0.0002	0.6679 ± 0.0002	0.08
$\sin^2 \theta_{\text{eff}}^{\text{lept}}(\text{Tev/LHC})$	0.23137 ± 0.00022	0.23149 ± 0.00006	0.23150 ± 0.00006	-0.57



[Courtesy of De Blas et al., work in progress]

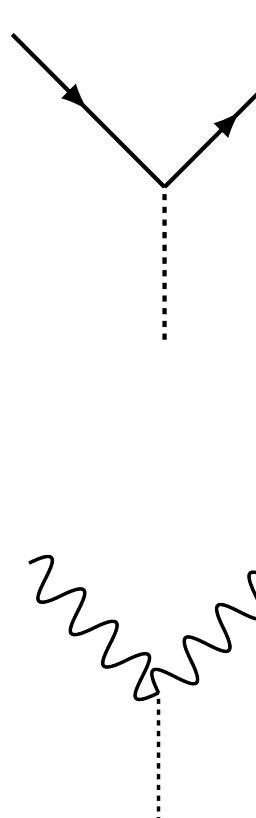
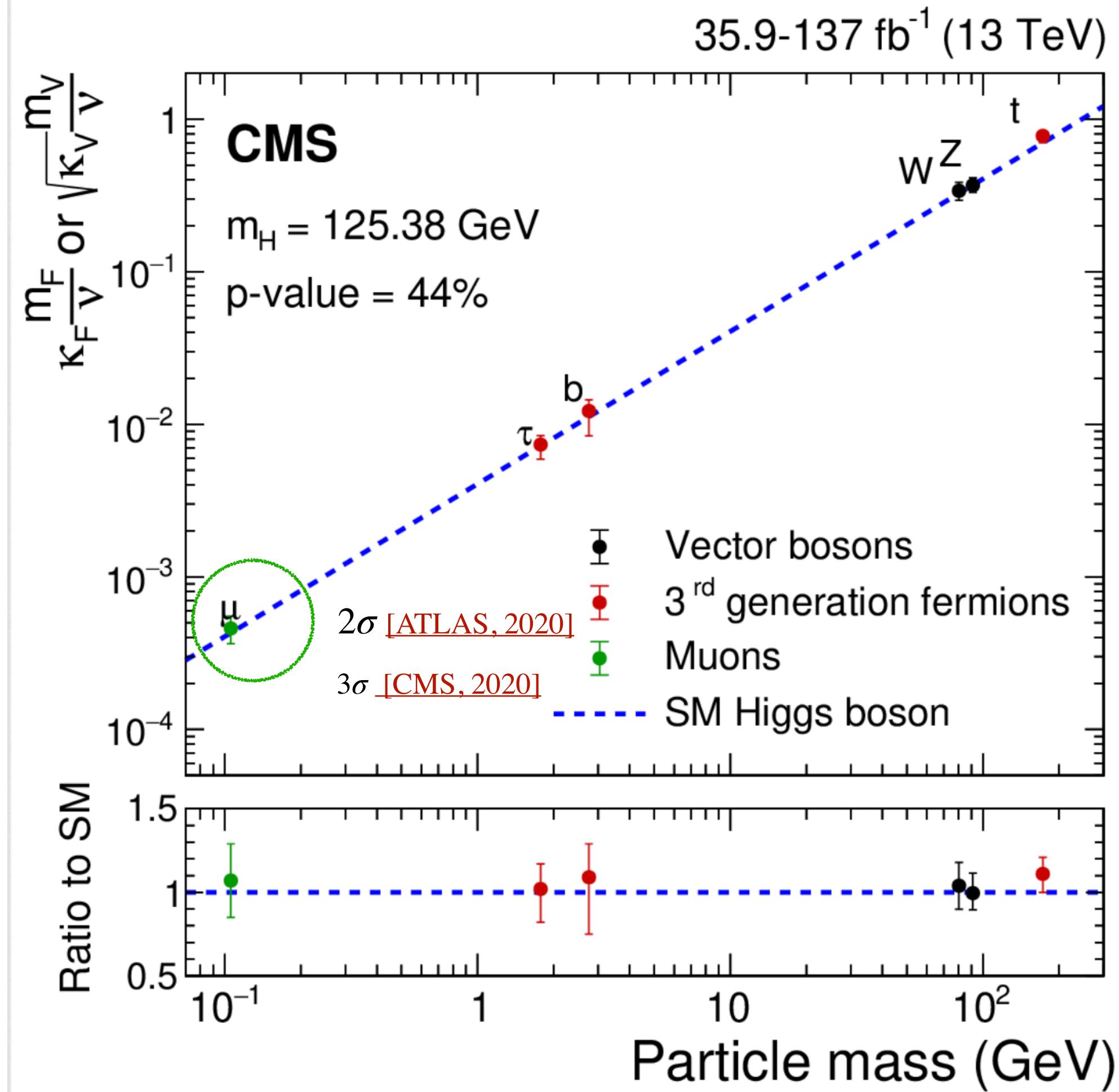
Where do we stand?

Higgs



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Higgs



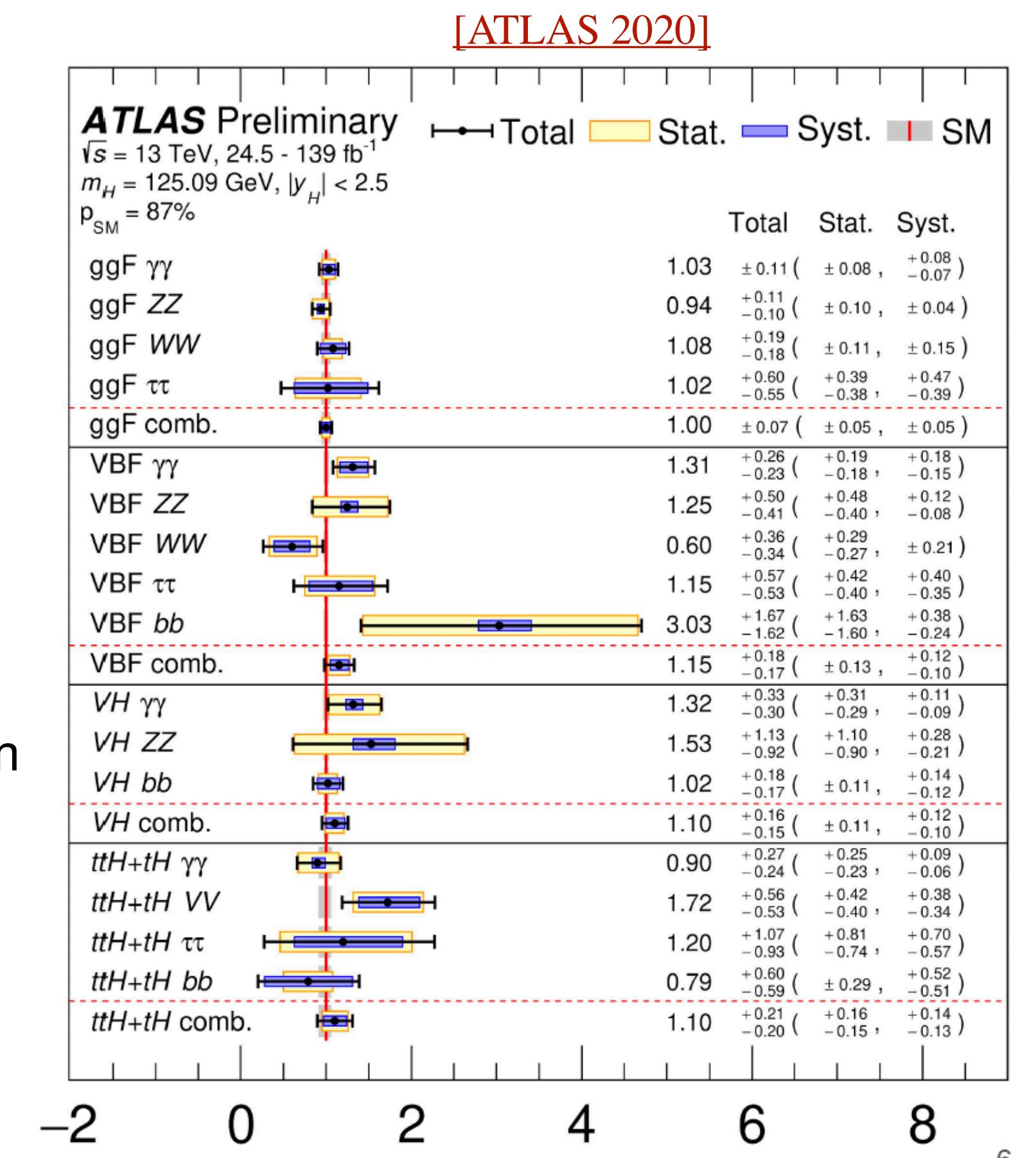
$$im_f/v$$

$$igm_W g_{\mu\nu} = 2ivg_{\mu\nu} \cdot m_W^2/v^2$$

$$ig \frac{m_Z}{\cos \theta_W} g_{\mu\nu} = 2ivg_{\mu\nu} \cdot m_Z^2/v^2$$

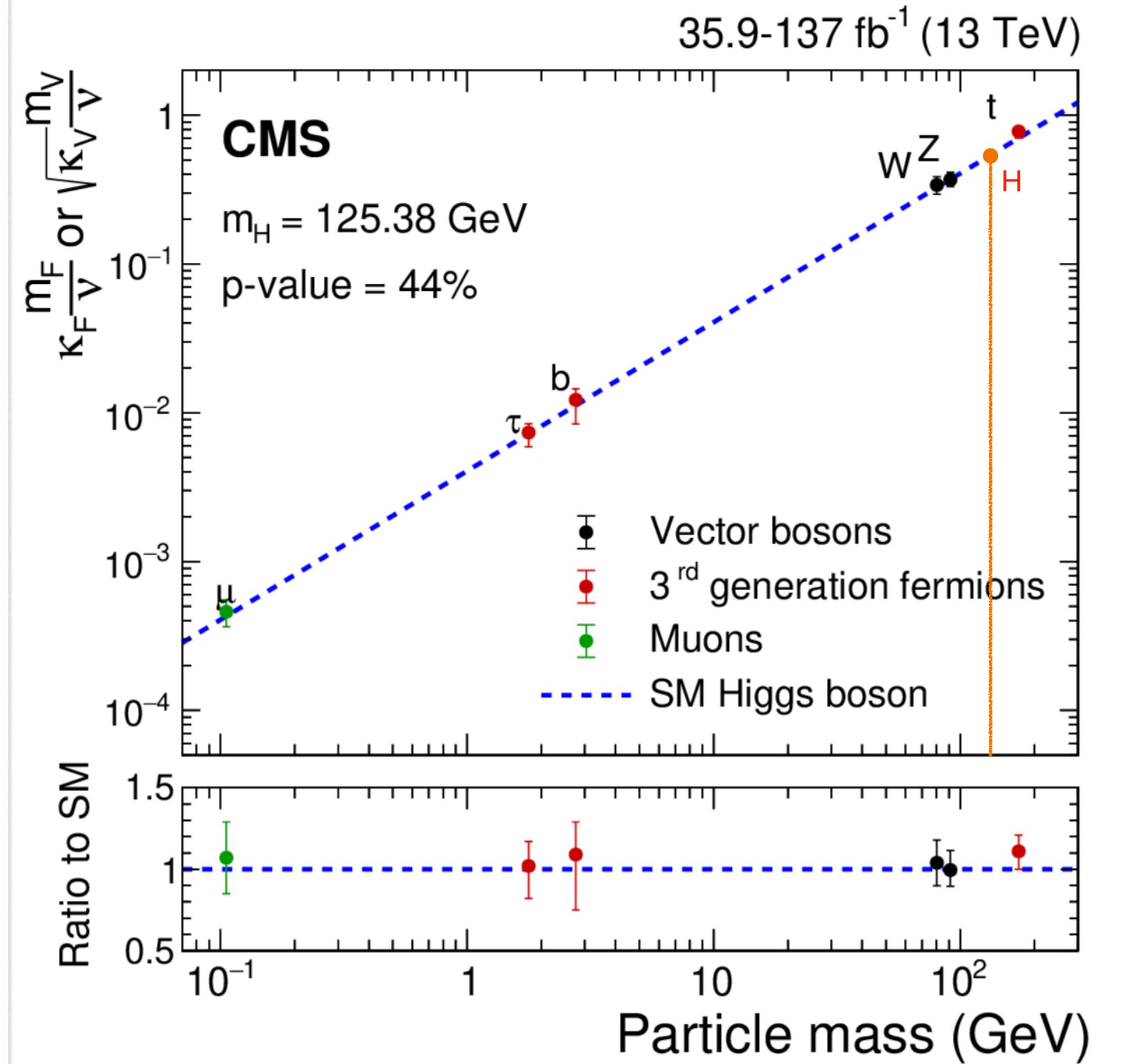
Unique mass generation mechanism
for fermions and vectors.

Constrained system.



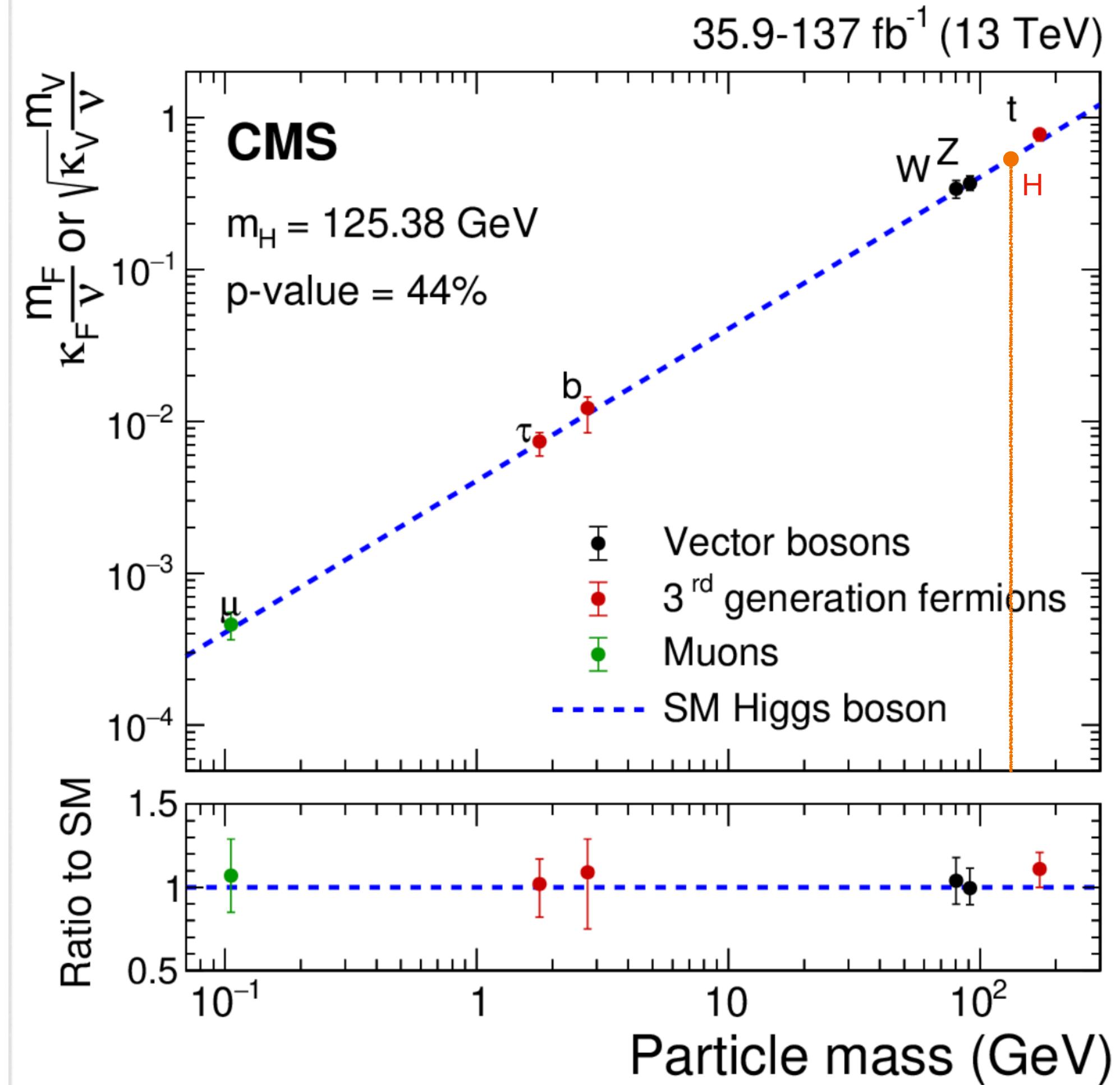
Where do we stand?

Higgs self interactions



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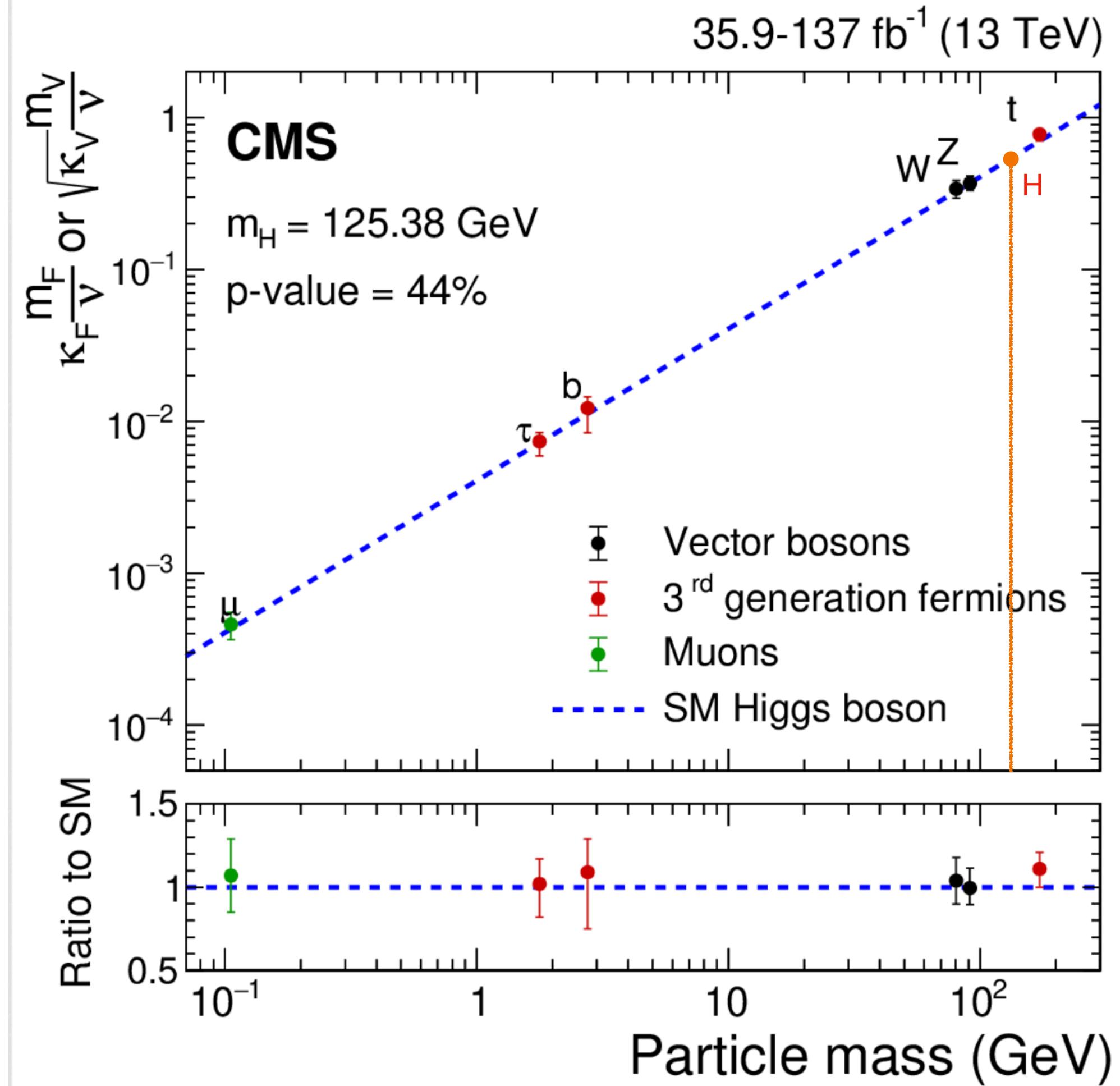


$$V(H) = \frac{m_H^2}{2}H^2 + \lambda_3 v H^3 + \frac{\lambda_4}{4}H^4 + \dots$$

$$V^{\text{SM}}(\Phi) = -\mu^2(\Phi^\dagger \Phi) + \lambda(\Phi^\dagger \Phi)^2 \Rightarrow \begin{cases} v^2 = \mu^2/\lambda \\ m_H^2 = 2\lambda v^2 \end{cases} \quad \begin{cases} \lambda_3^{\text{SM}} = \lambda \\ \lambda_4^{\text{SM}} = \lambda \end{cases}$$

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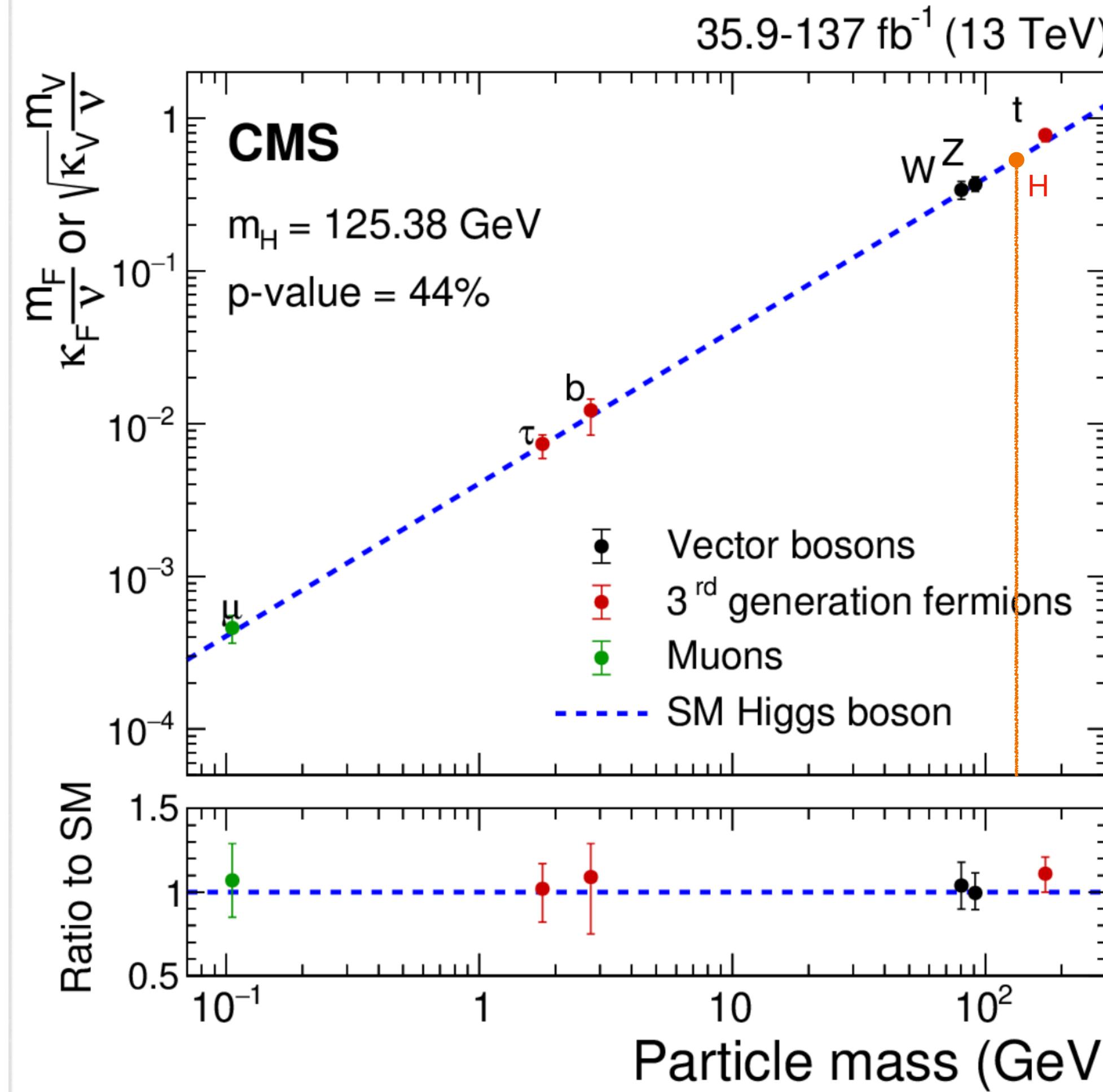
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$$-3iv \cdot \frac{m_h^2}{v^2}$$

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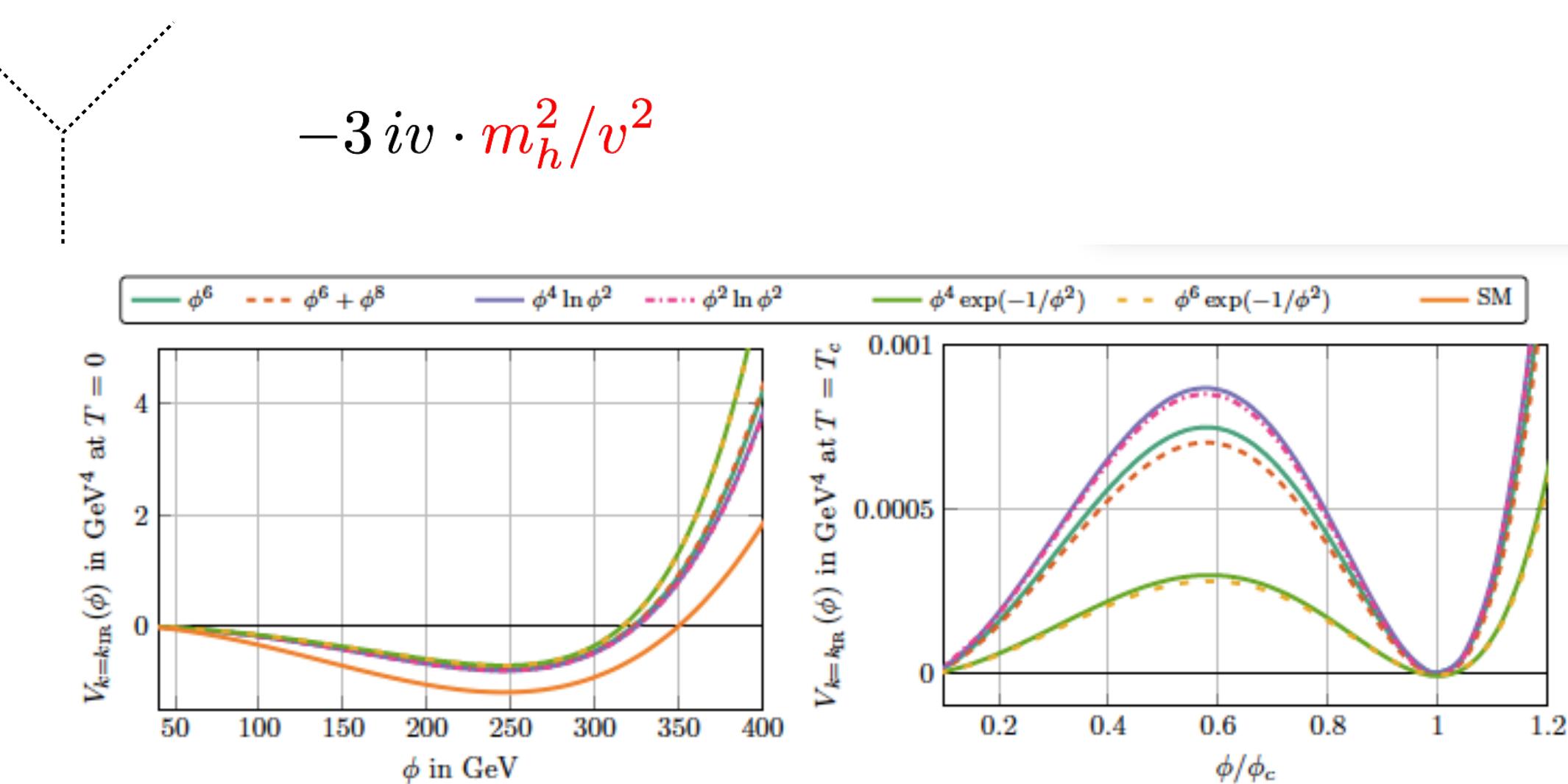
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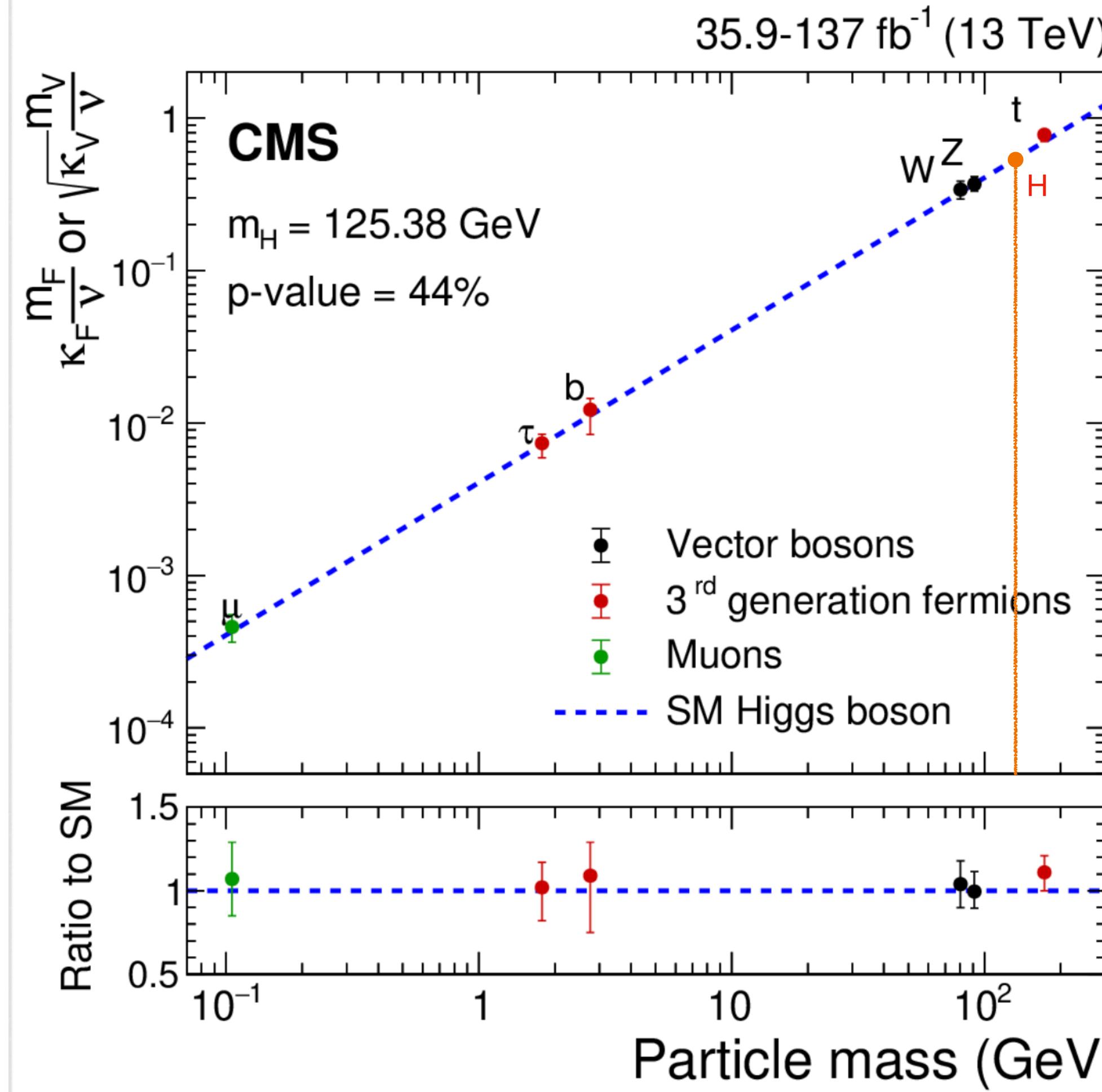
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Reichert et al. 1711.00019

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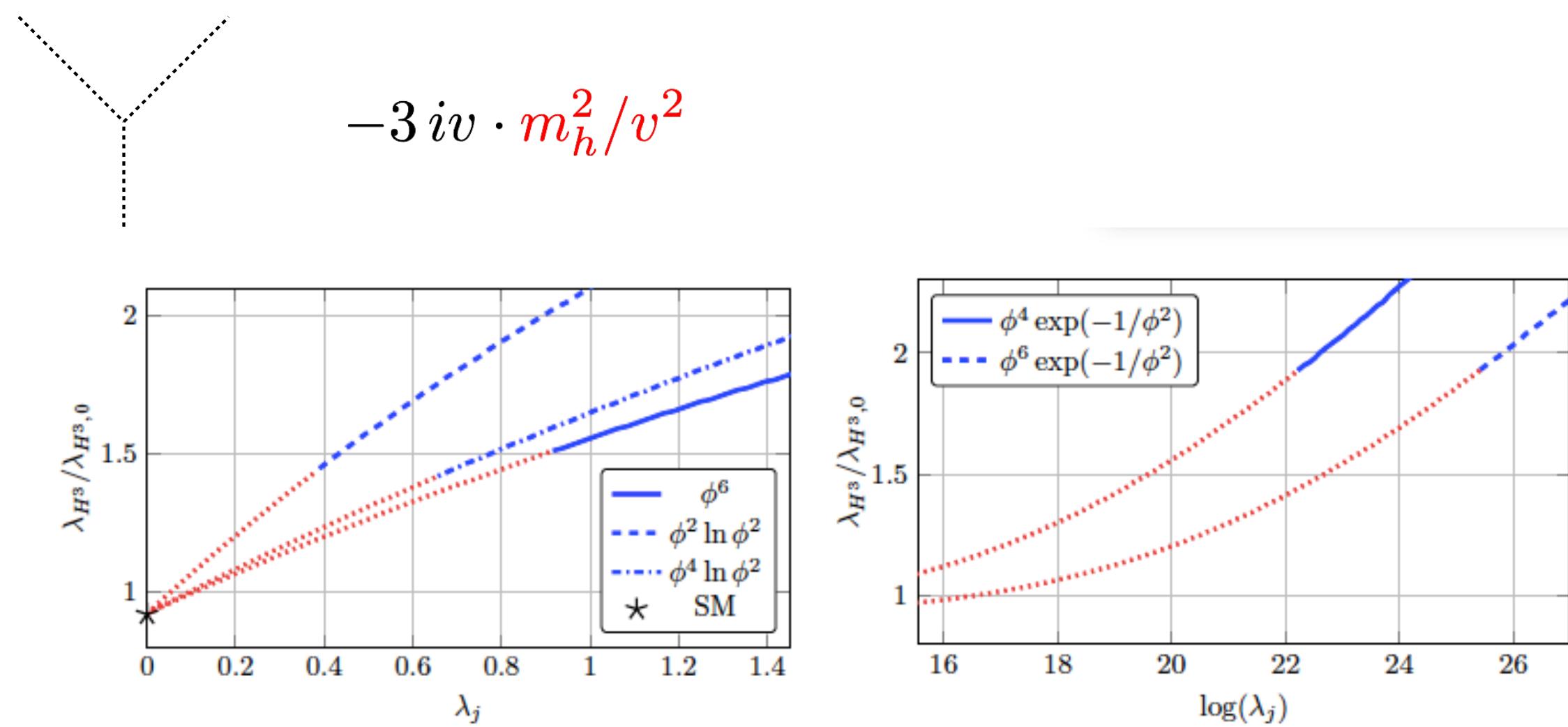
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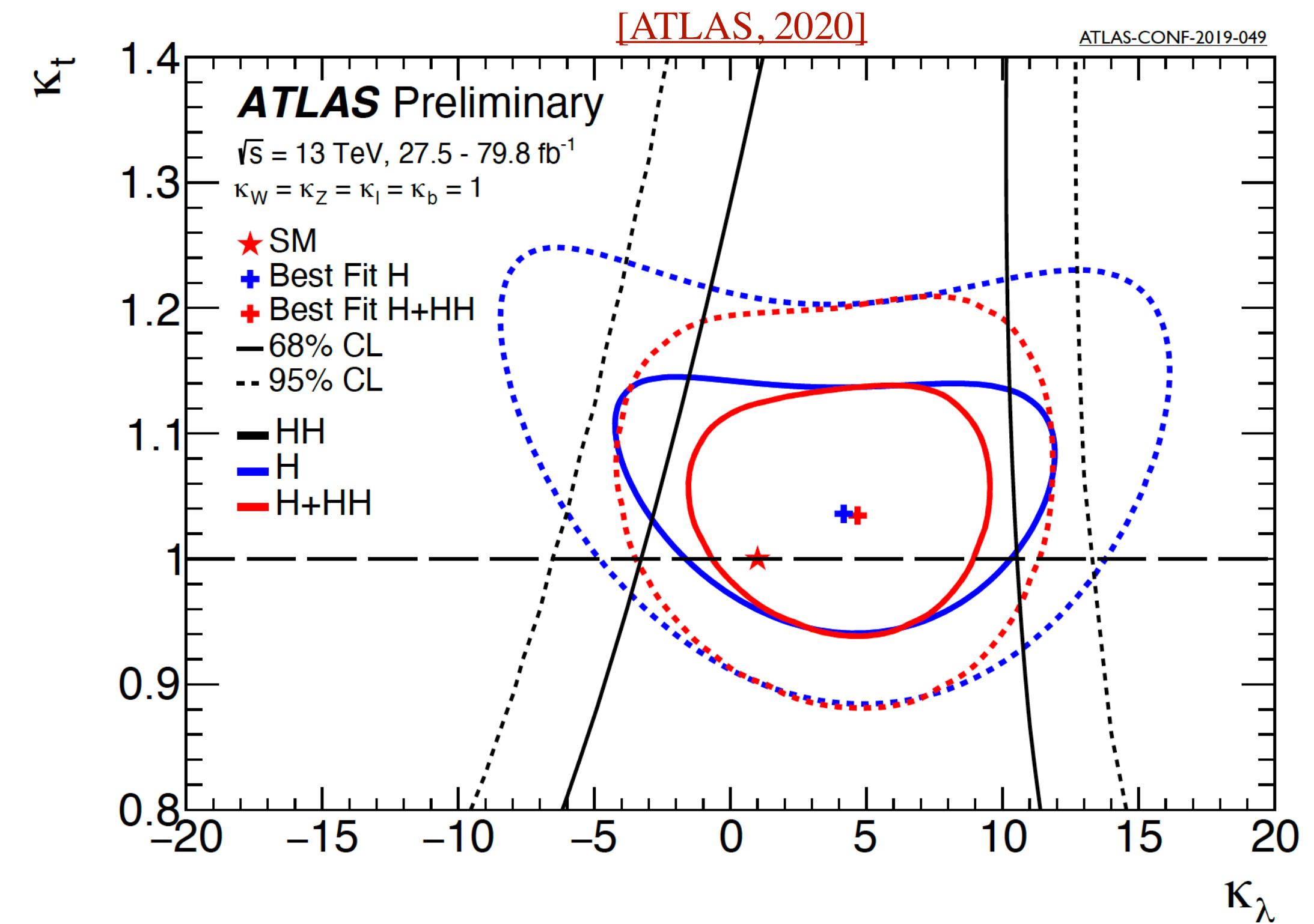
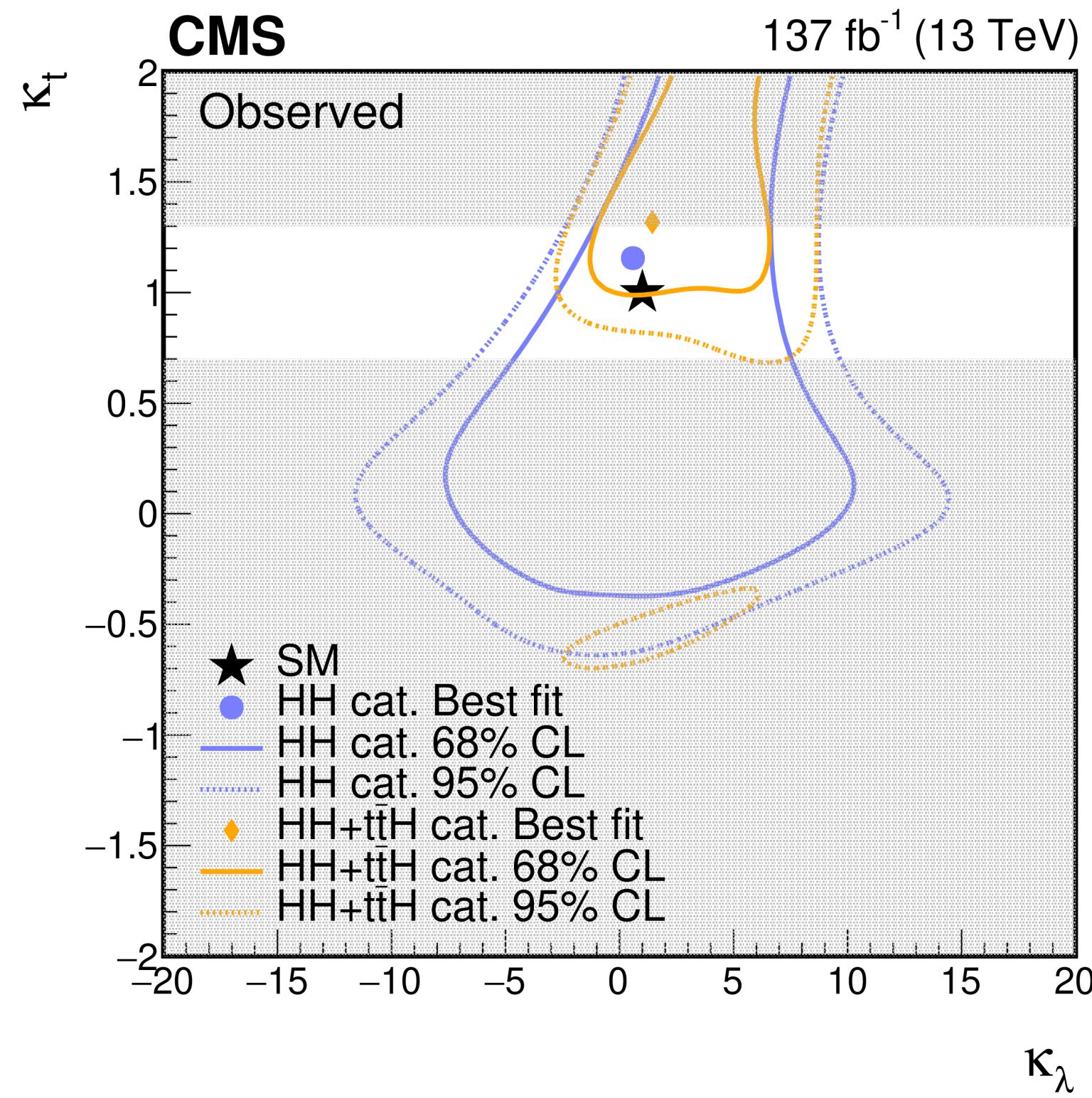
$k_\lambda > 1.5 \Rightarrow 1\text{st ord } (T=0 \text{ and } T=T_c \text{ connected})$

$\delta k_\lambda \sim 5\% \Rightarrow 1\text{st ord } (T=0 \text{ and } T=T_c \text{ not connected})$

Reichert et al. 1711.00019

Where do we stand?

Higgs self interactions

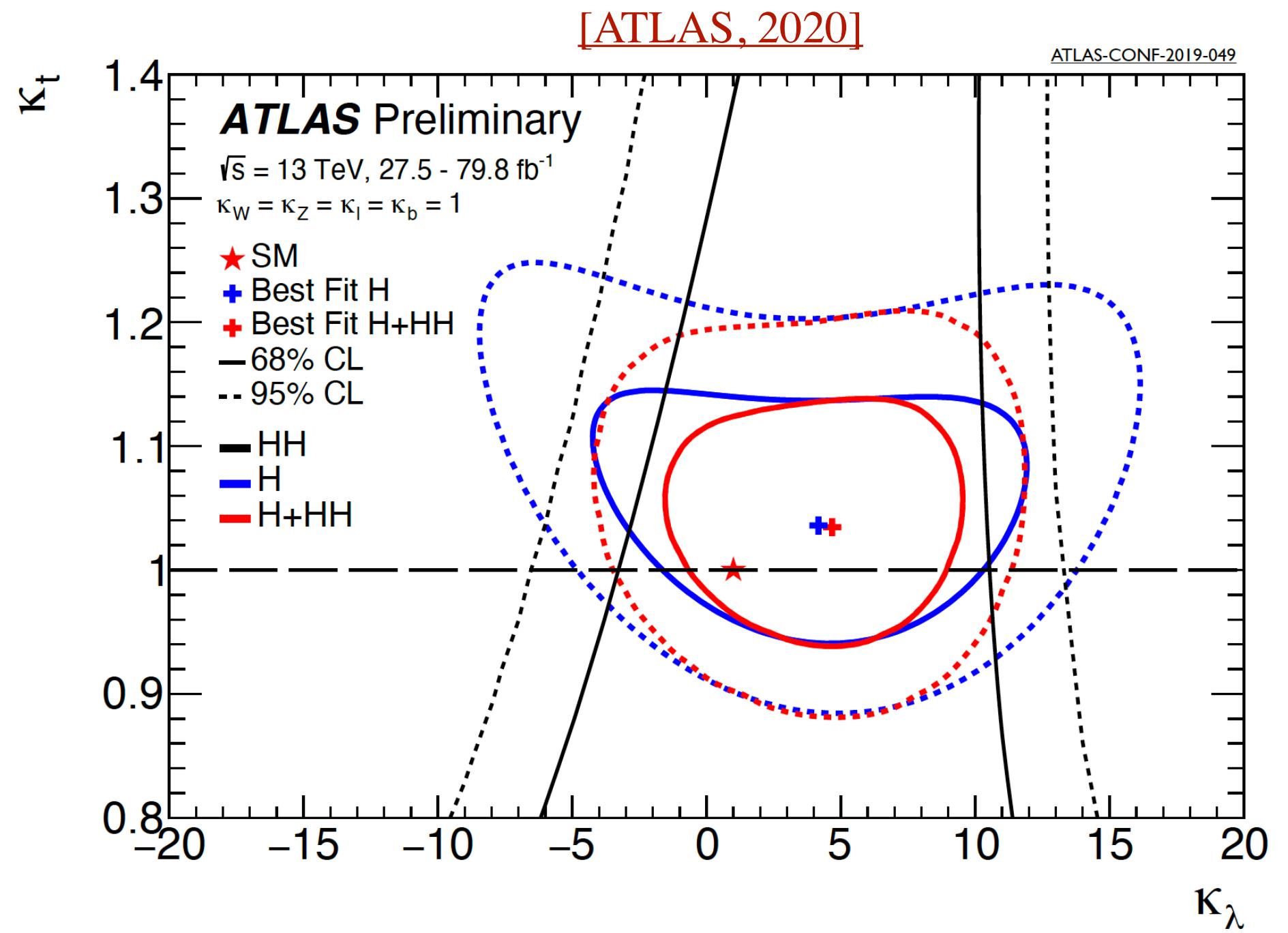


One of the flagship measurements foreseen for the HL-LHC. [\[Di Micco et al., 1910.00012 \]](#)

HL-LHC projections

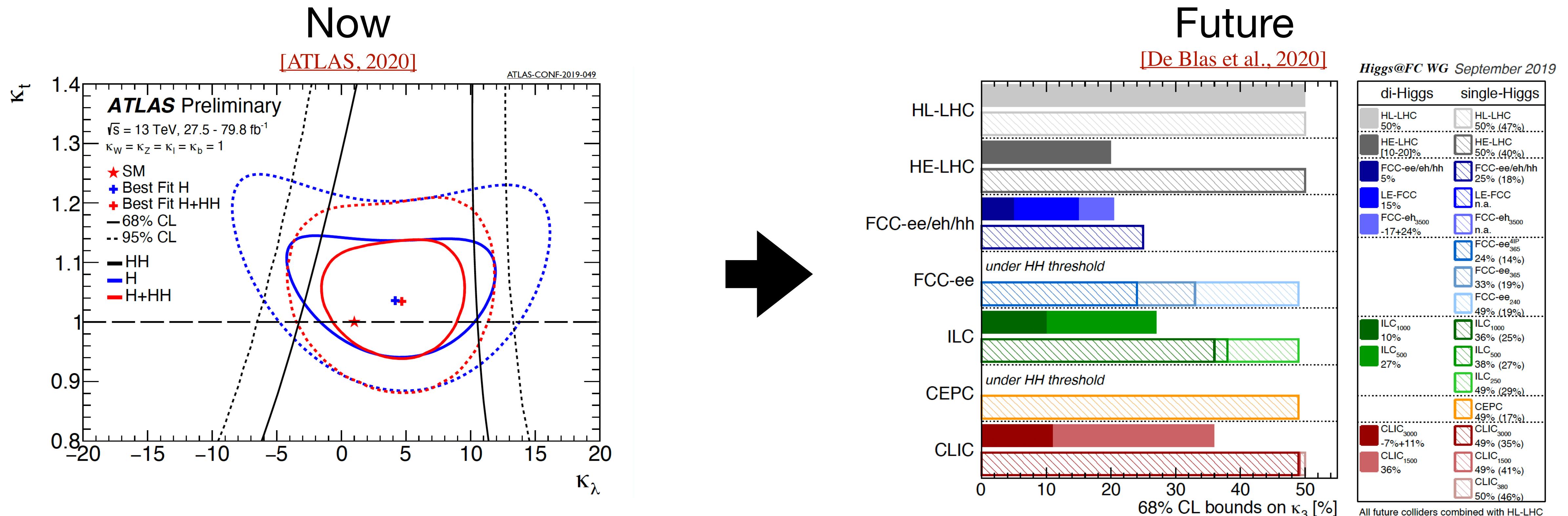
Higgs self interactions

Now



HL-LHC projections

Higgs self interactions



Currently limits on k_λ from H and HH are comparable and will stay so at the HL-LHC.
Borderline sensitivity to say something about EW baryogenesis...

Precision physics at the HL-LHC

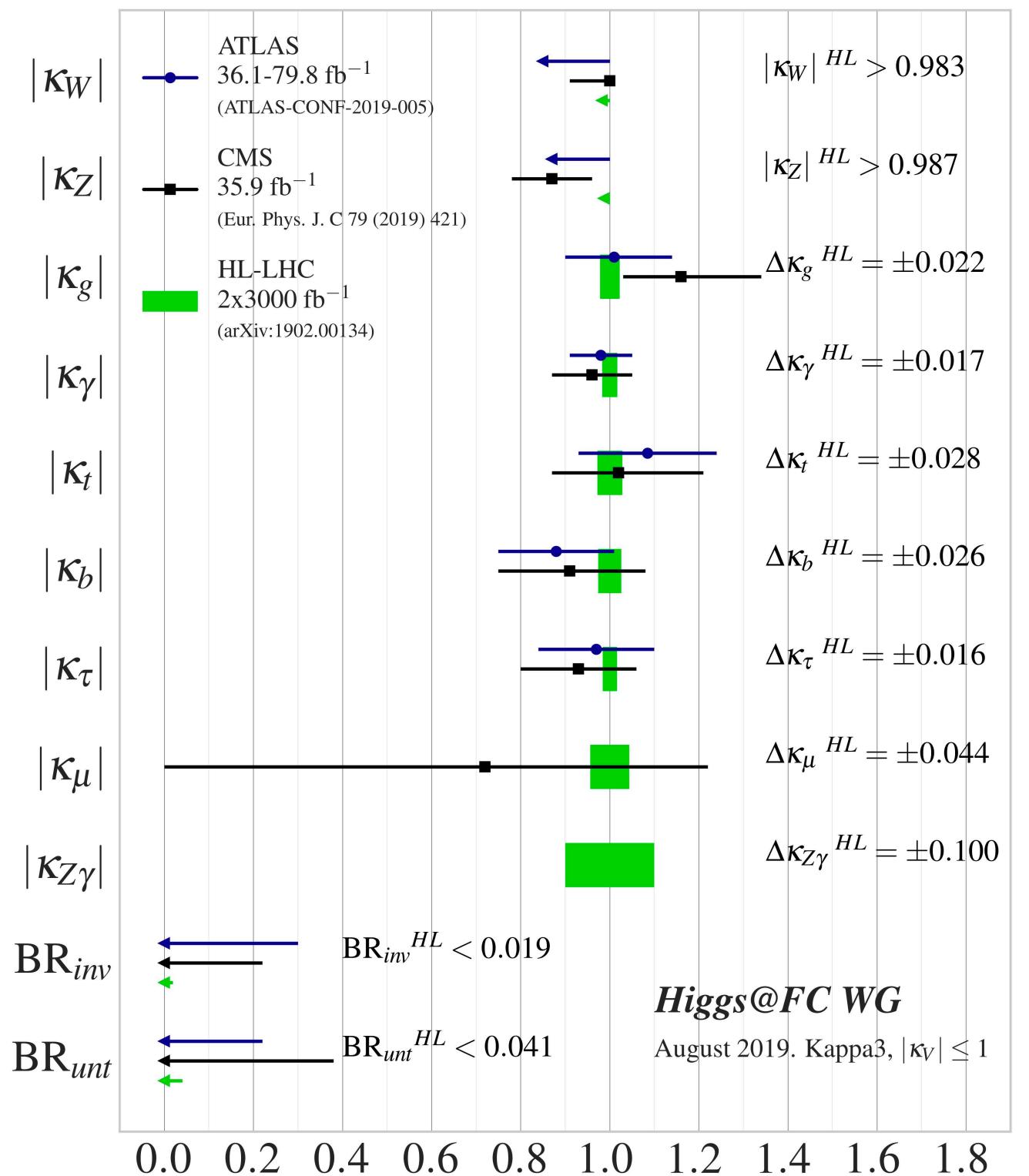
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HL-LHC projections

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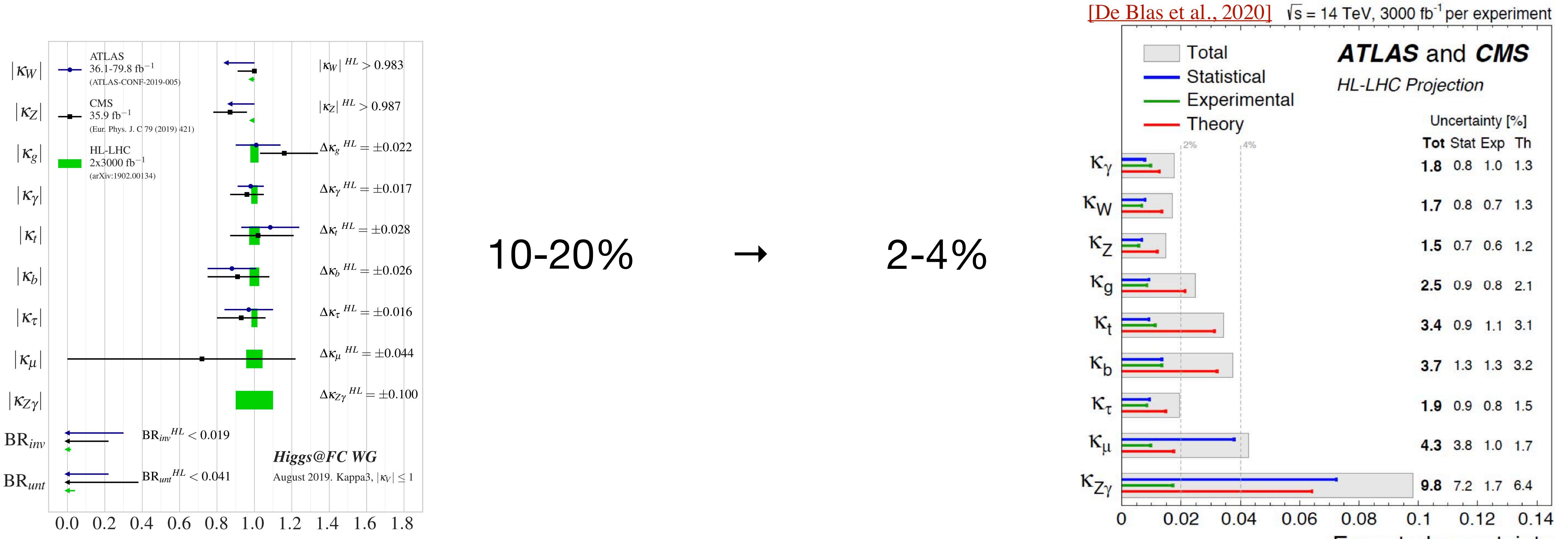


10-20%

$$(\sigma \cdot \text{BR})(i \rightarrow H \rightarrow f) = \frac{\sigma_i^{\text{SM}} \kappa_i^2 \cdot \Gamma_f^{\text{SM}} \kappa_f^2}{\Gamma_H^{\text{SM}} \kappa_H^2} \rightarrow \mu_i^f \equiv \frac{\sigma \cdot \text{BR}}{\sigma_{\text{SM}} \cdot \text{BR}_{\text{SM}}} = \frac{\kappa_i^2 \cdot \kappa_f^2}{\kappa_H^2}$$

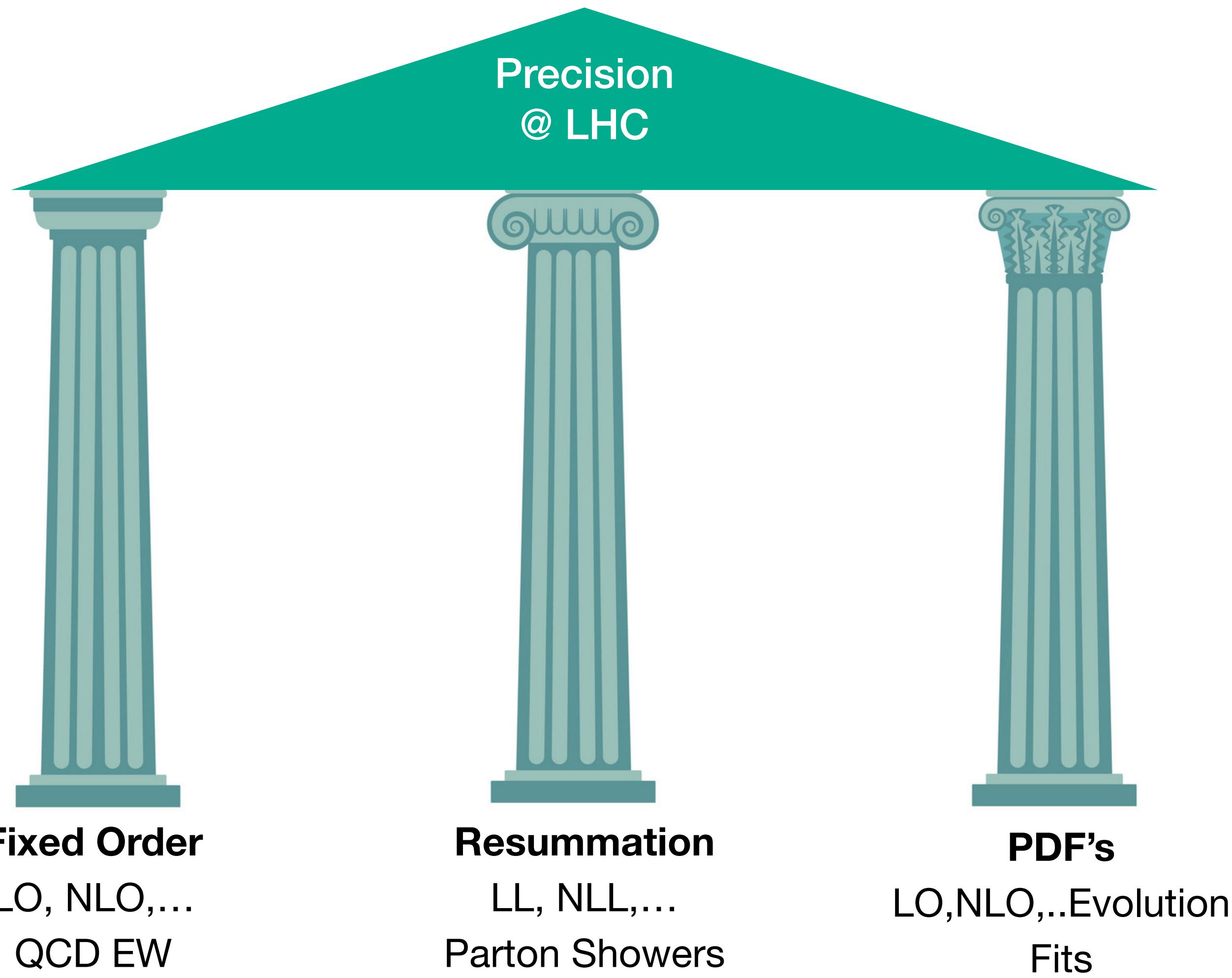
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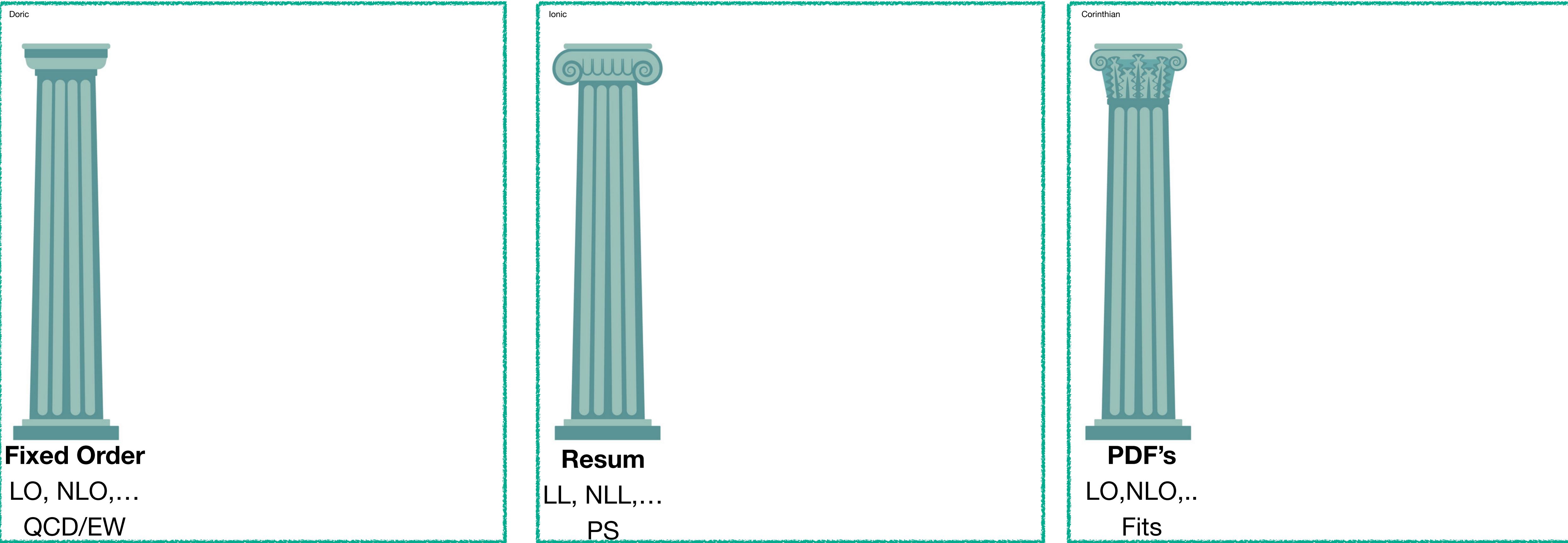
Precision physics at the LHC

Moving towards the “1% goal”



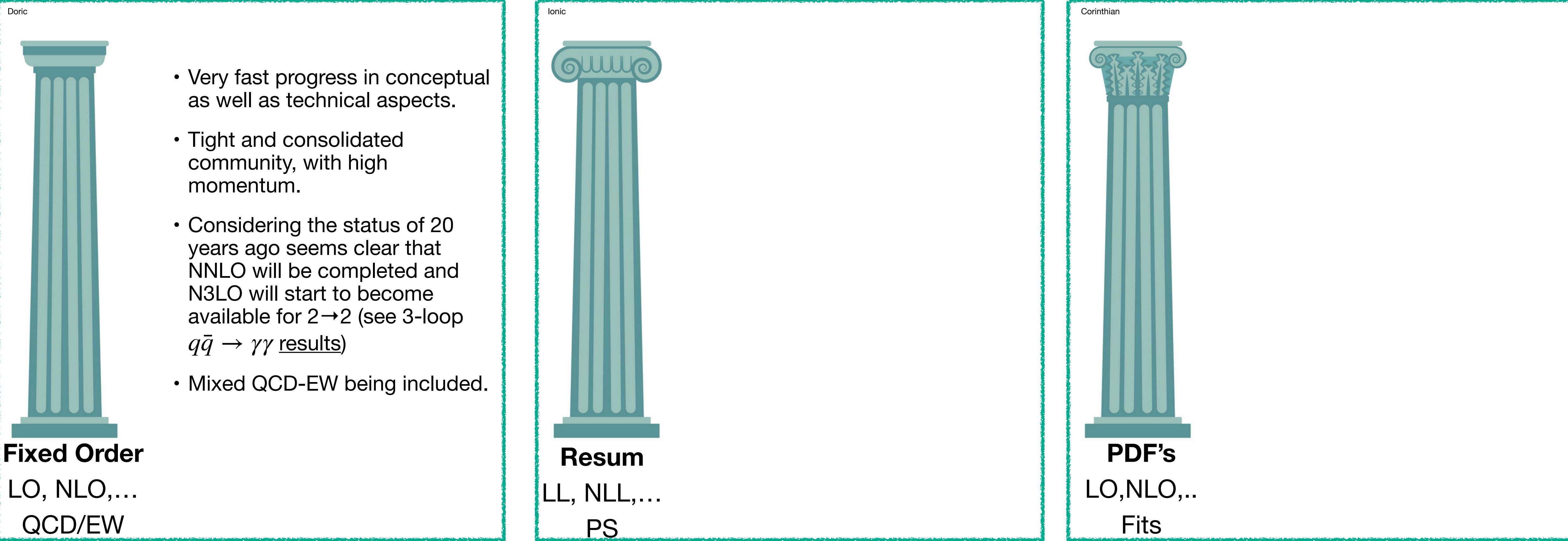
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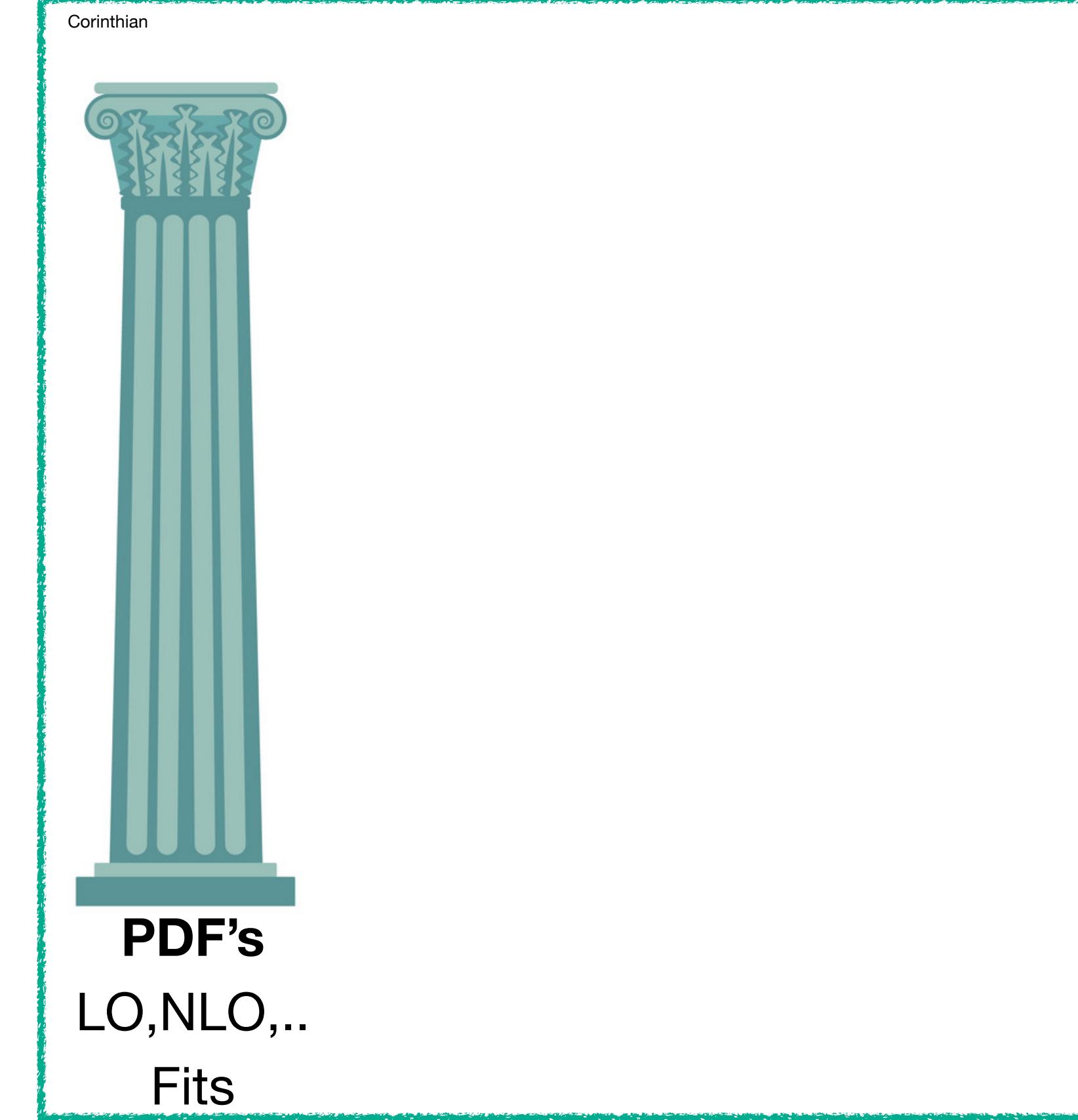
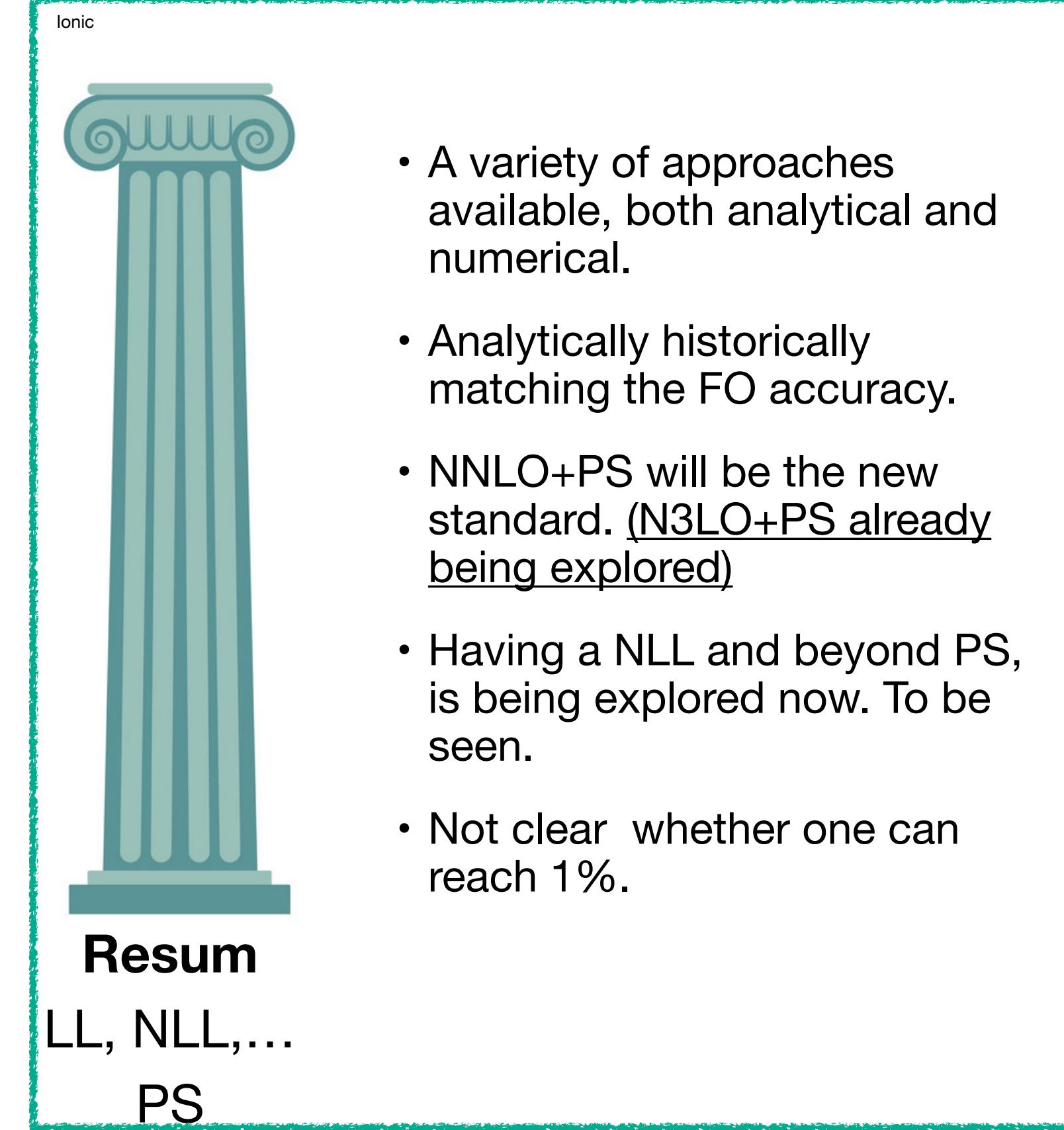
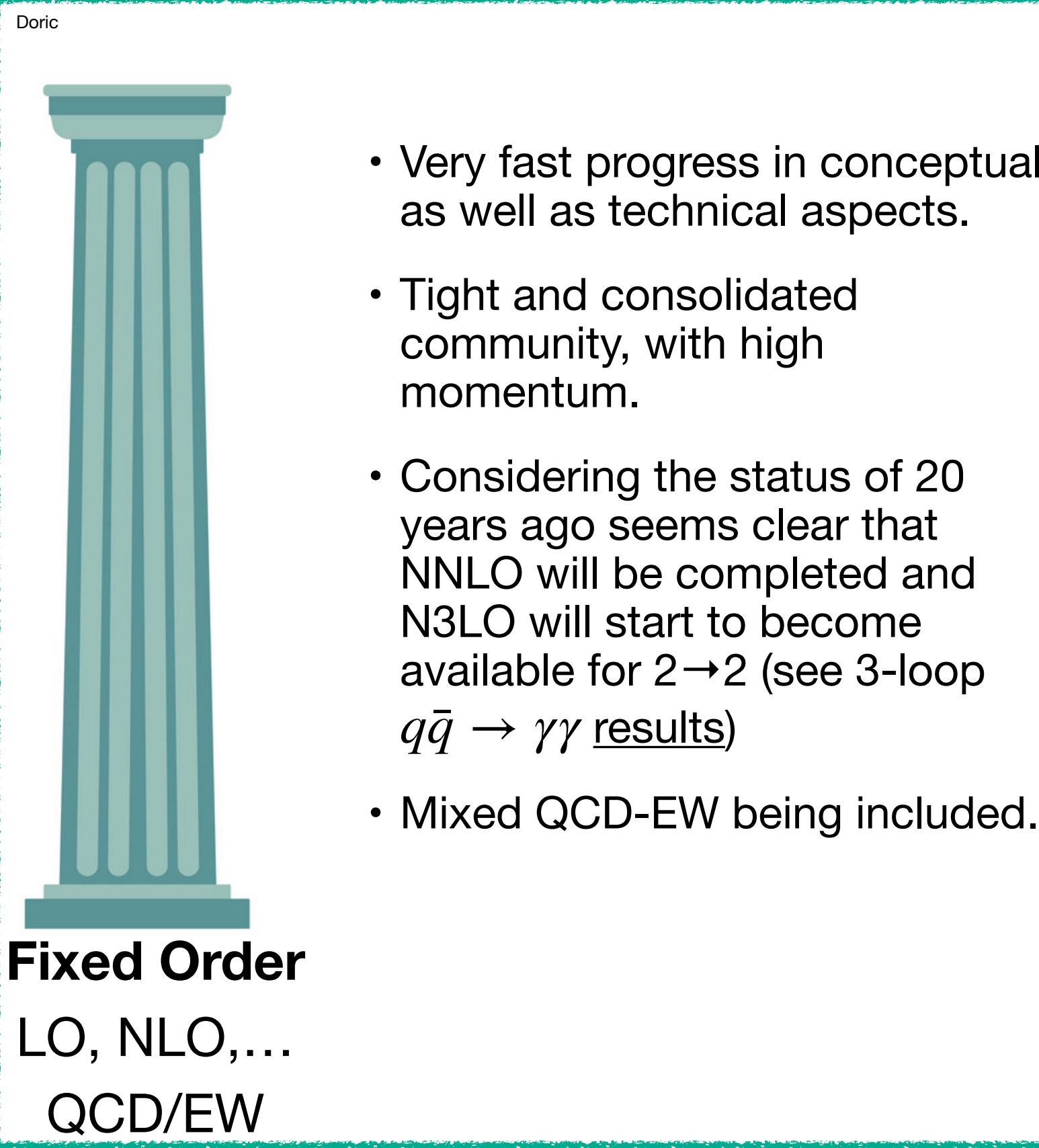
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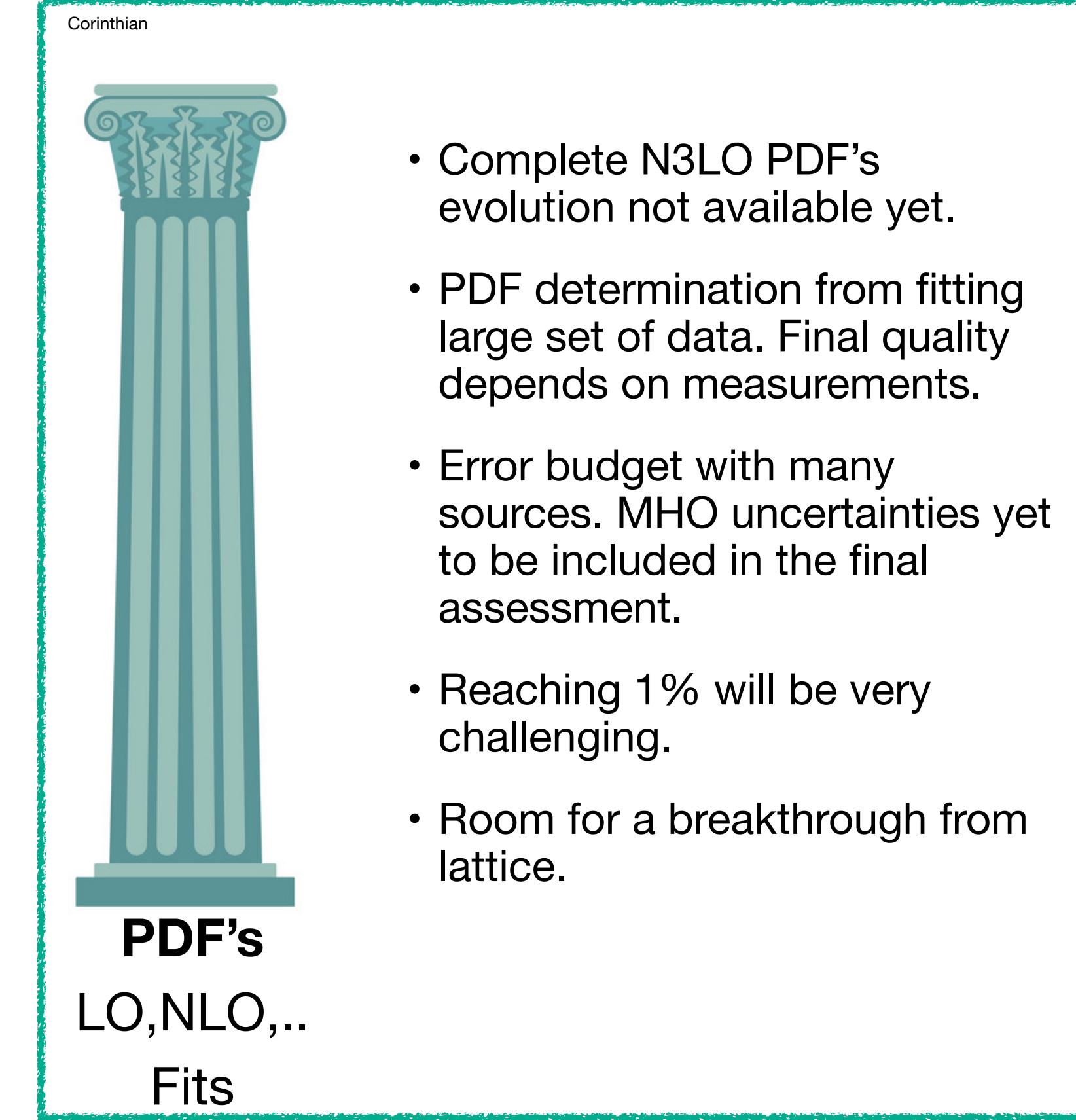
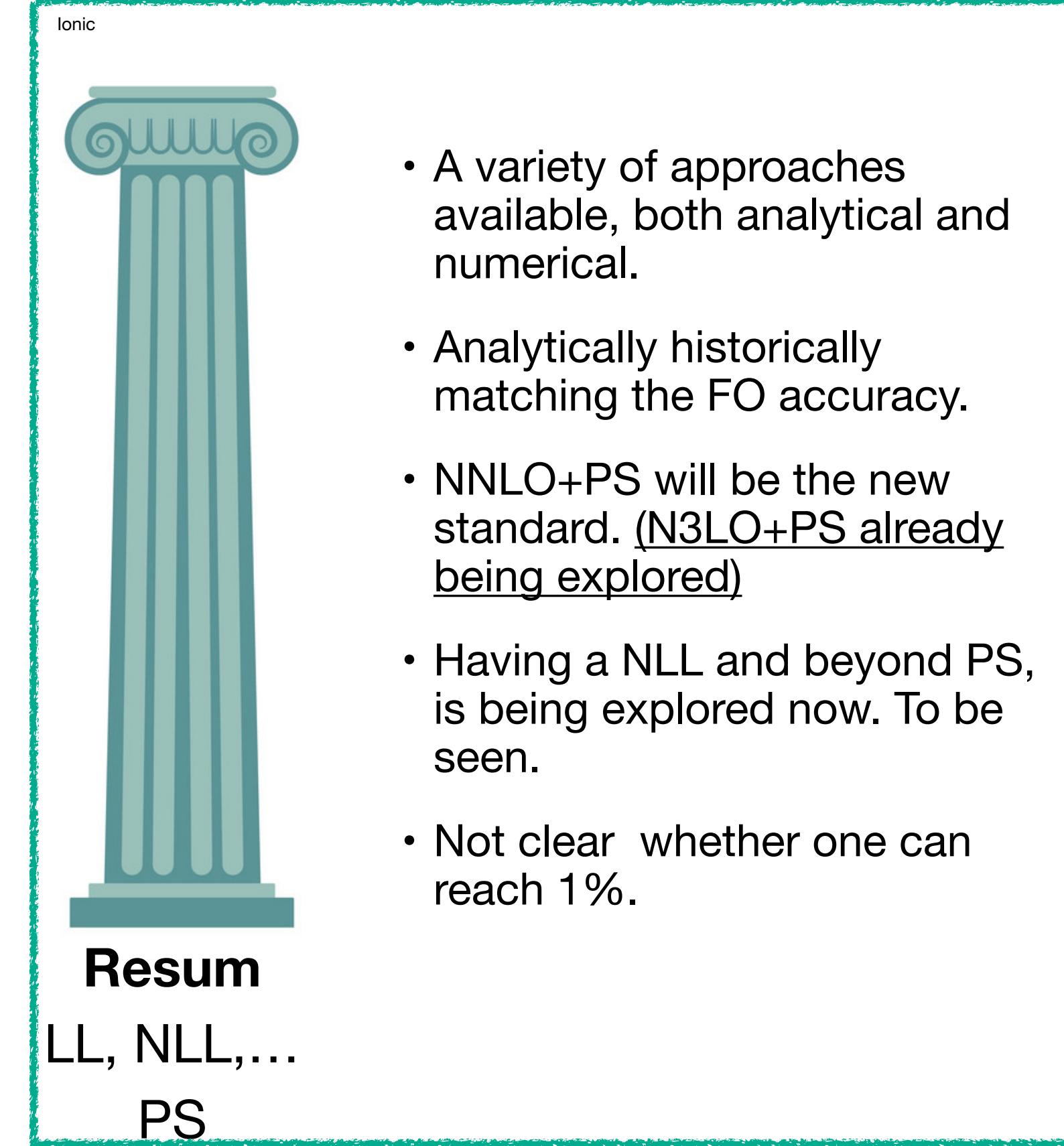
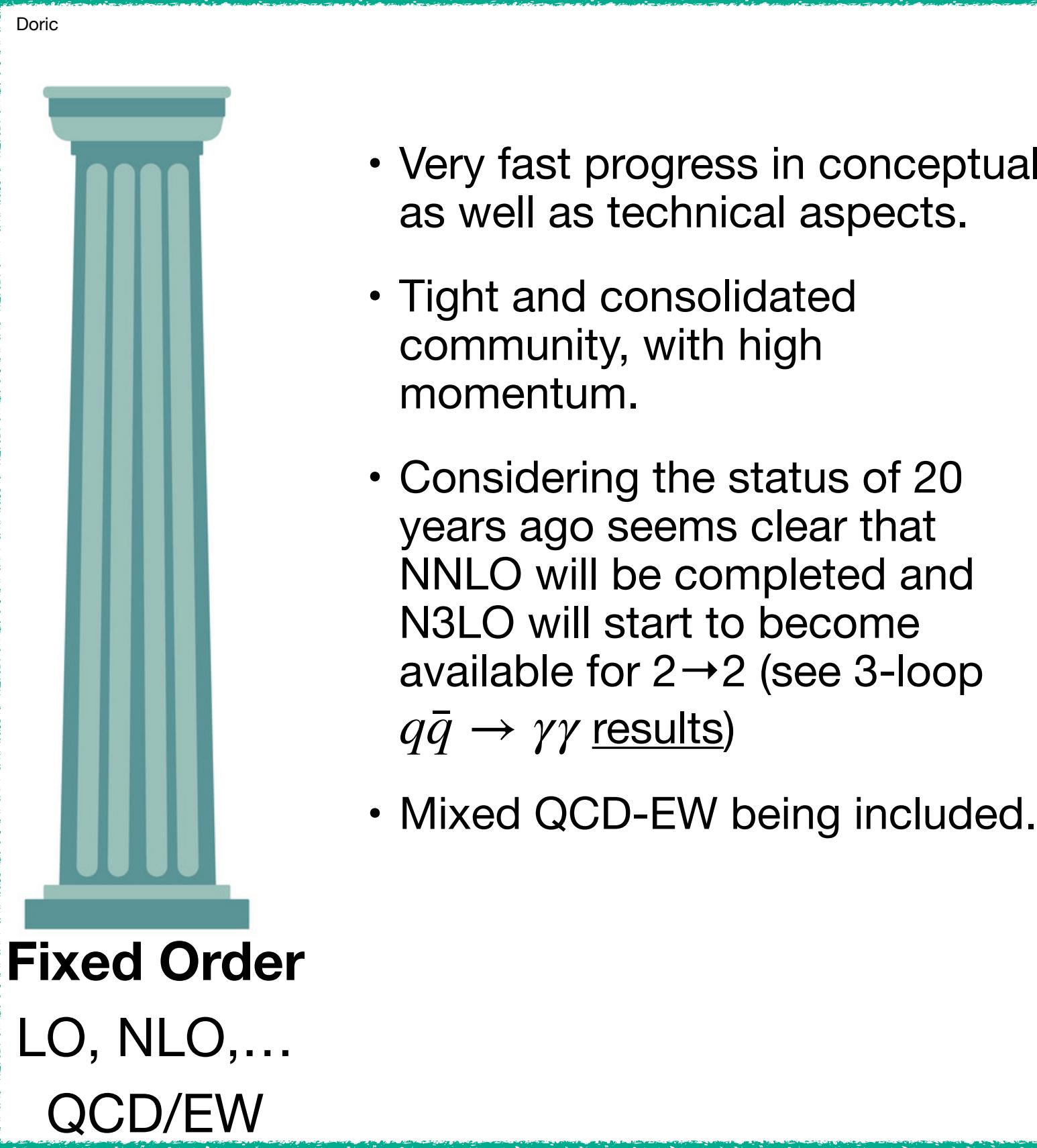
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Going beyond the SM

Three key properties of the SM:

- Mass generation with gauge invariance
- Unitarity (up to a predefined Λ)
- Perturbativity/renormalizability

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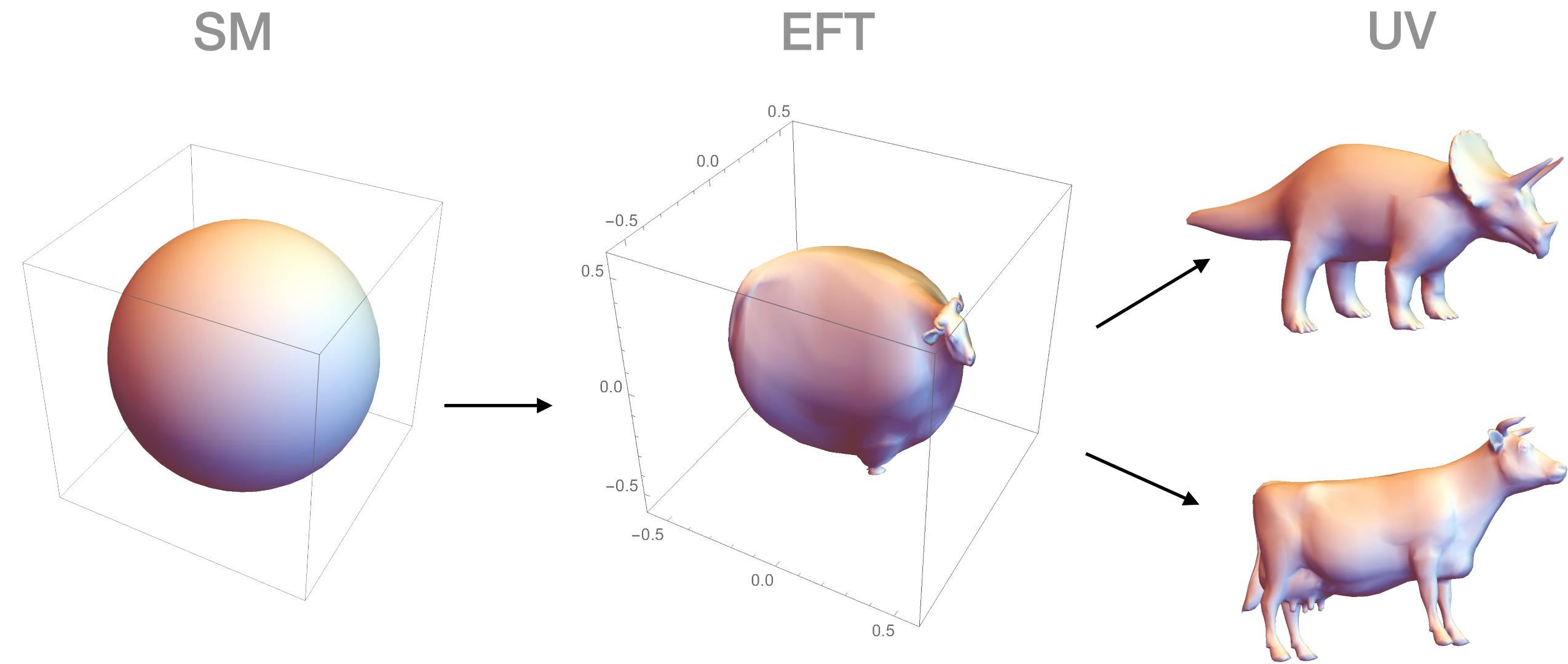
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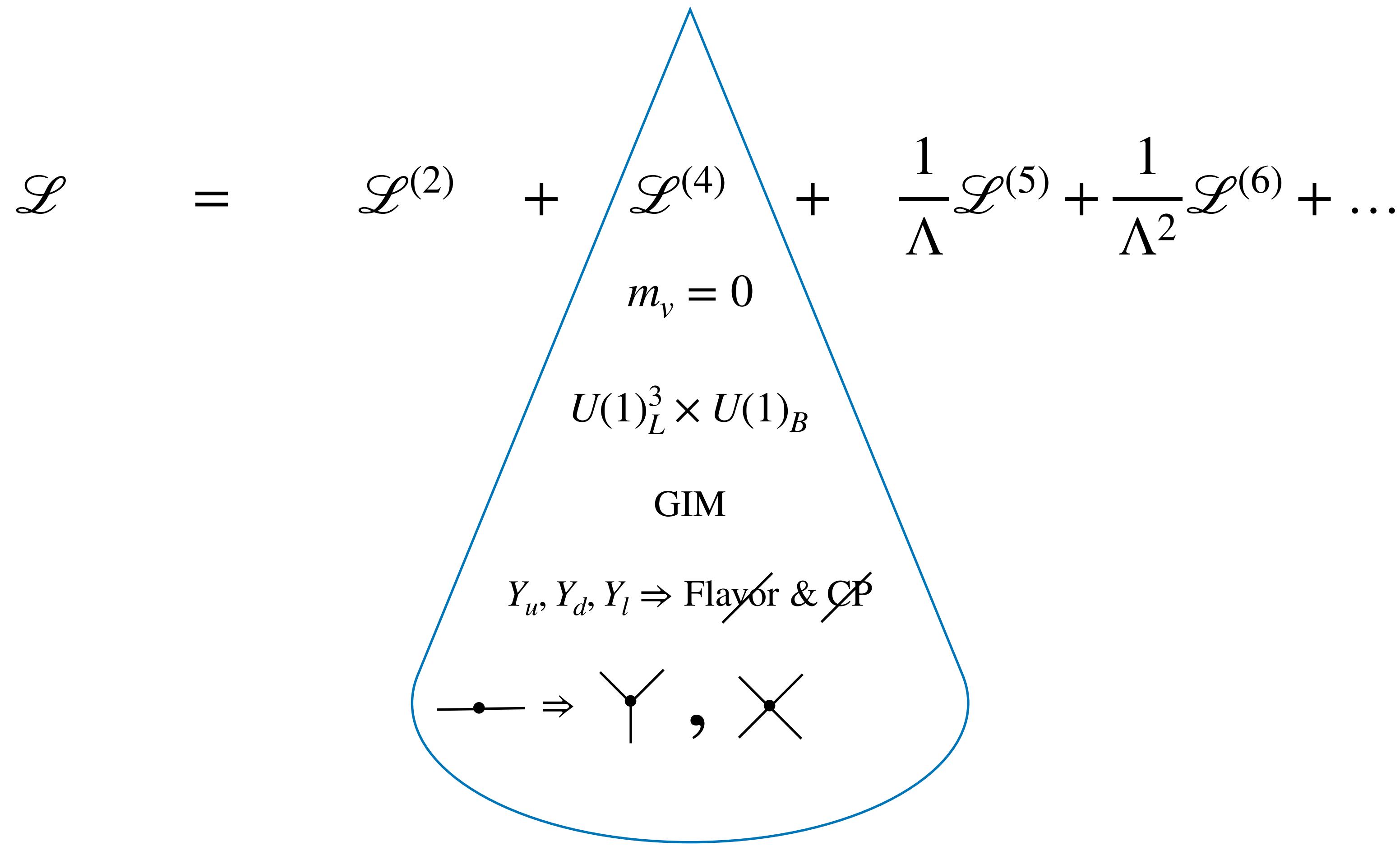
The way of SMEFT

Going beyond the SM

$$\mathcal{L} = \mathcal{L}^{(2)} + \mathcal{L}^{(4)} + \frac{1}{\Lambda} \mathcal{L}^{(5)} + \frac{1}{\Lambda^2} \mathcal{L}^{(6)} + \dots$$

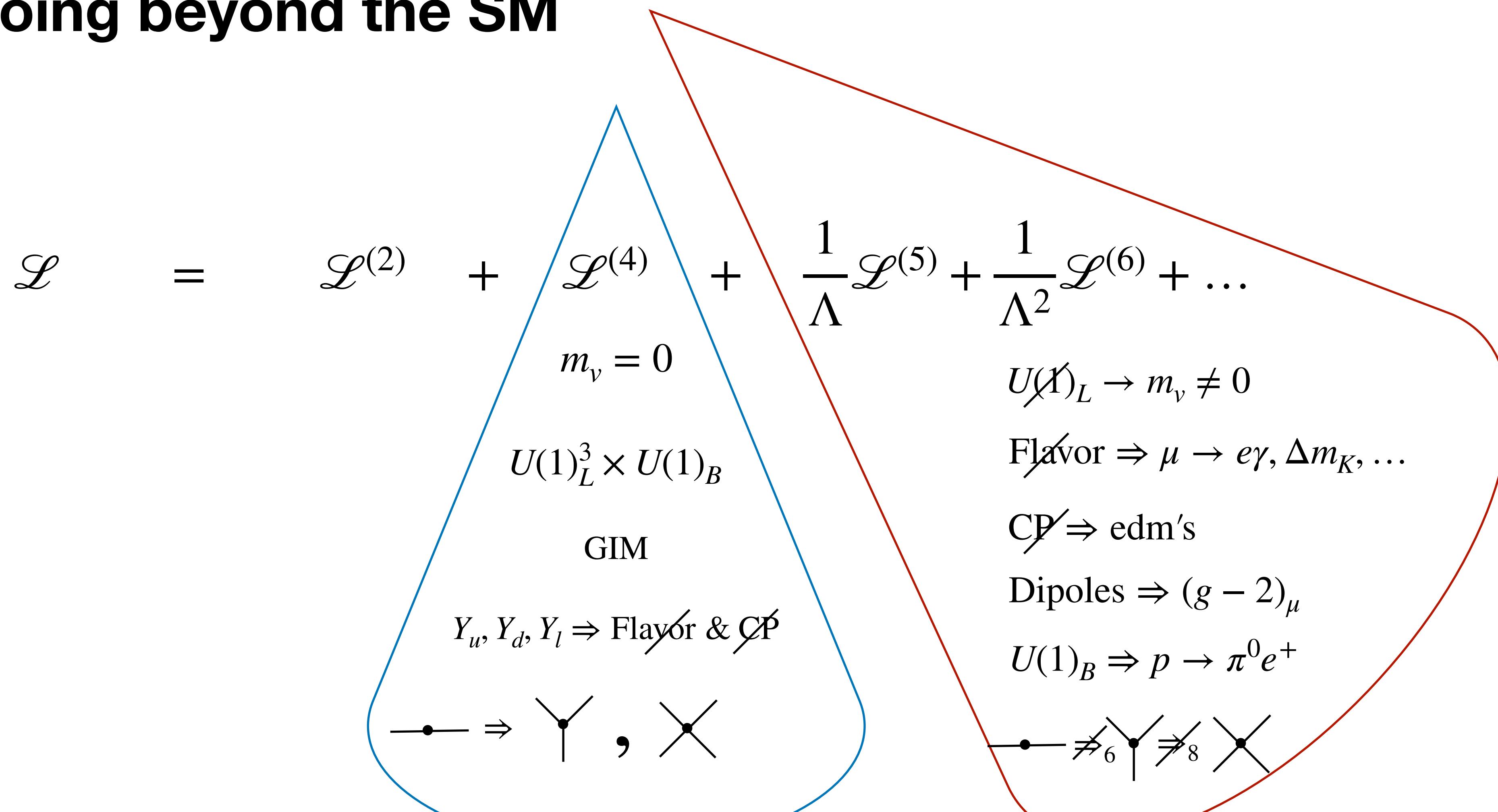
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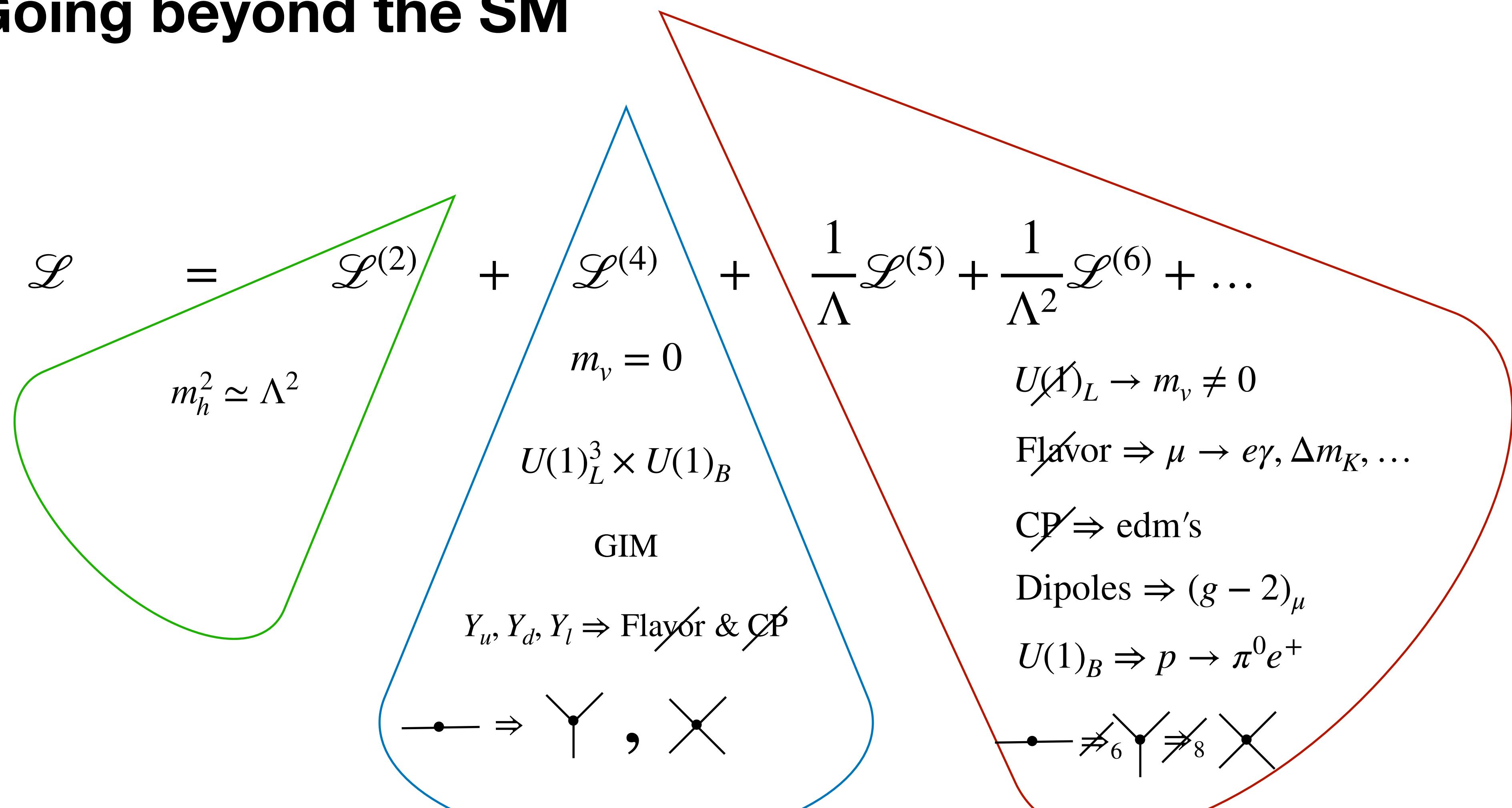
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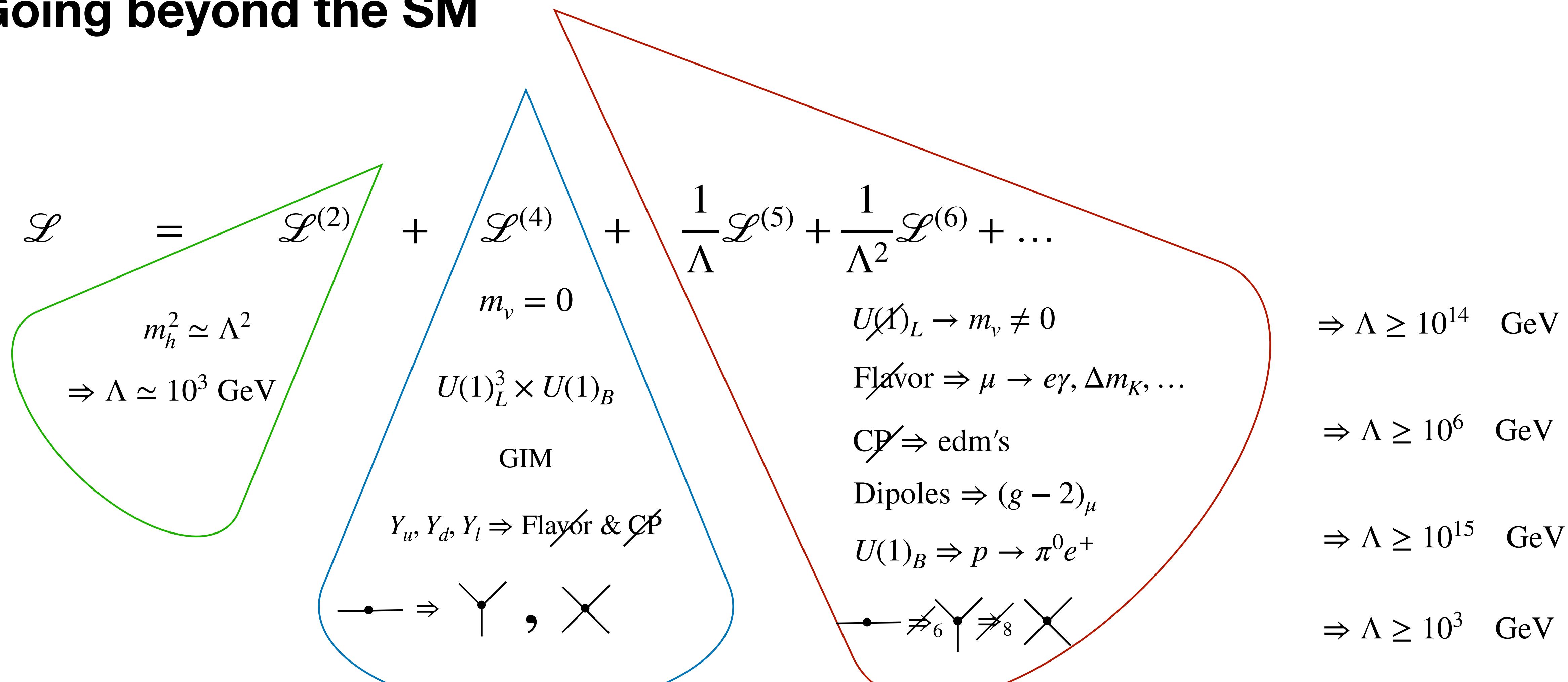
The way of SMEFT

Going beyond the SM



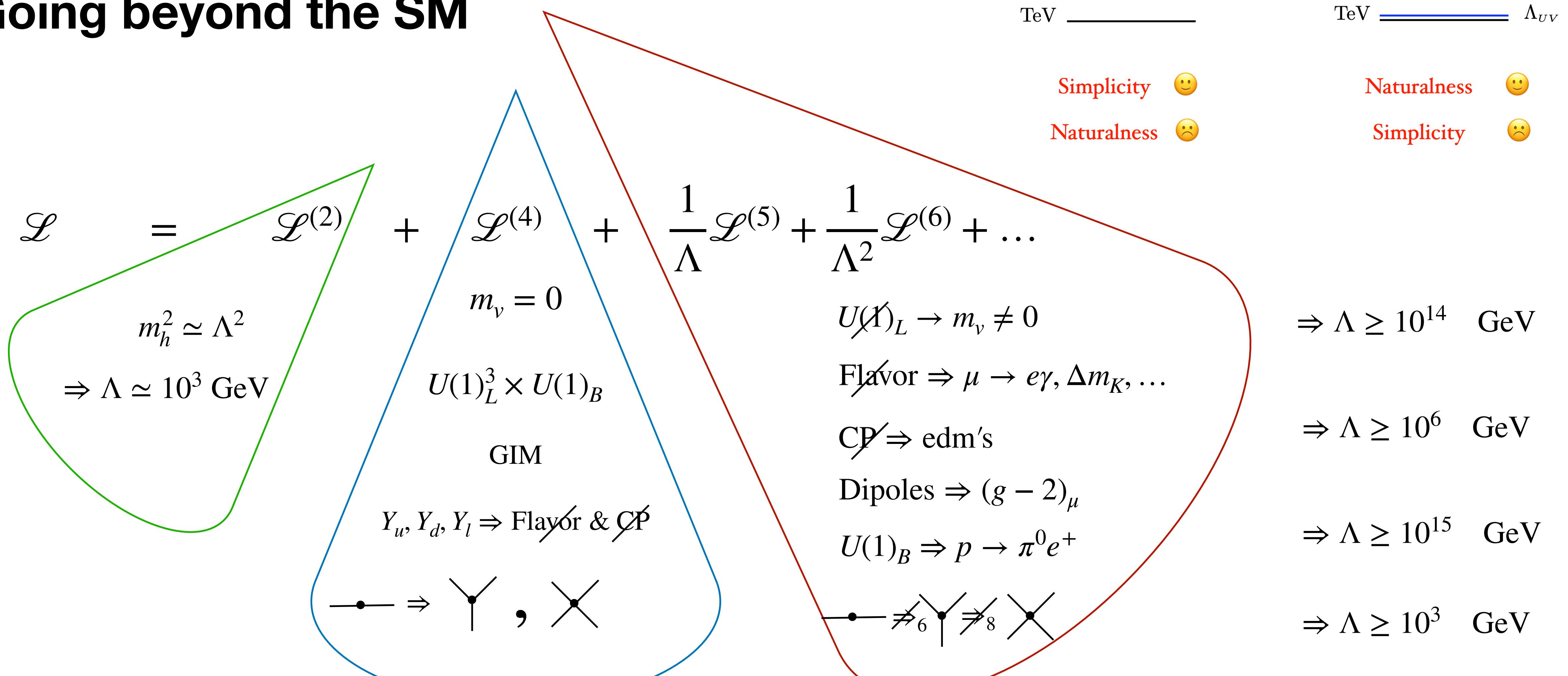
The way of SMEFT

Going beyond the SM



The way of SMEFT

Going beyond the SM

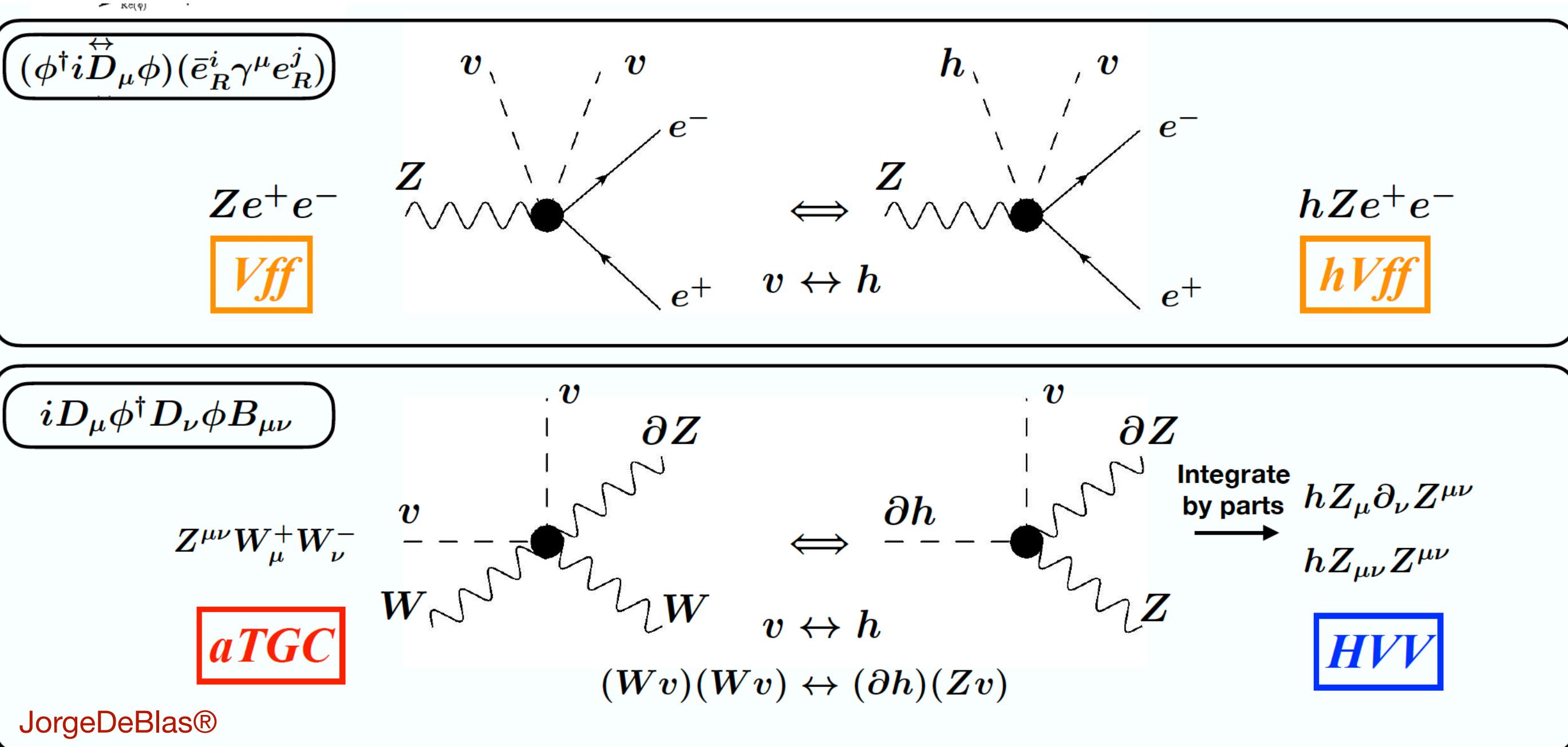


The way of SMEFT

Unlocking at dim=8

dim=6 : 3-point \Rightarrow 4-point

dim=8 : 3-point and 4-point independent (cfr HEFT)



$$V^{\text{BSM}}(\Phi) = -\mu^2(\Phi^\dagger \Phi) + \lambda(\Phi^\dagger \Phi)^2 + \sum_n \frac{c_{2n}}{\Lambda^{2n-4}} \left(\Phi^\dagger \Phi - \frac{v^2}{2} \right)^n$$

$n = 3$ (dim = 6) \Rightarrow $\kappa_\lambda = 1 + \frac{c_6 v^2}{\lambda \Lambda^2}$

$n = 4$ (dim = 8) \Rightarrow $\kappa_{\lambda_4} = 1 + \frac{6c_6 v^2}{\lambda \Lambda^2}$

k_{λ_3} and k_{λ_4} independent

Two schematic diagrams showing 4-point interactions. The left diagram shows four Higgs bosons (h) meeting at a central point. The right diagram shows three Higgs bosons (h) and one virtual photon (v) meeting at a central point.

The way of SMEFT

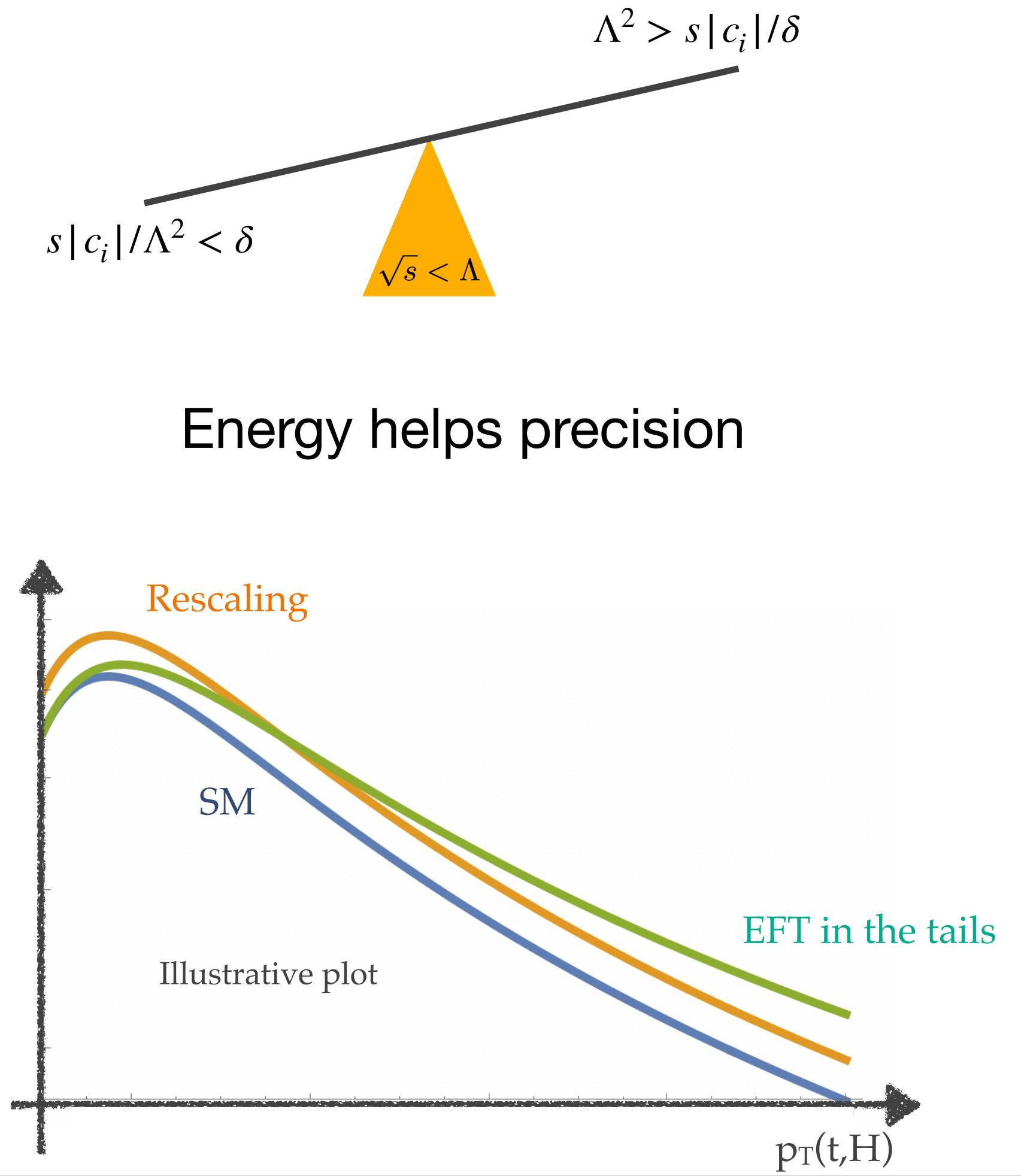
A simple approach

One can satisfy all the previous requirements, by building an EFT on top of the SM that respects the gauge symmetries:

$$\mathcal{L}_{\text{SMEFT}} = \mathcal{L}_{\text{SM}}^{(4)} + \frac{1}{\Lambda^2} \sum_i^{N_6} c_i \mathcal{O}_i^{(6)} + \frac{1}{\Lambda^4} \sum_j^{N_8} c_j \mathcal{O}_j^{(8)} + \dots$$

With the “only” assumption that all new states are heavier than energy probed by the experiment $\sqrt{s} < \Lambda$.

The theory is renormalizable order by order in $1/\Lambda$, perturbative computations can be consistently performed at any order, and the **theory is predictive**, i.e., well defined patterns of deviations are allowed, that can be further limited by adding assumptions from the UV. **Operators can lead to larger effects at high energy (for different reasons).**



* Sufficiently weakly interacting states may also exist without spoiling the EFT.

The way of SMEFT

A simple approach

The master equation of an EFT approach has three key elements:

$$\Delta \text{Obs}_n = \text{Obs}_n^{\text{EXP}} - \text{Obs}_n^{\text{SM}} = \frac{1}{\Lambda^2} \sum_i a_{n,i}^{(6)}(\mu) c_i^{(6)}(\mu) + \mathcal{O}\left(\frac{1}{\Lambda^4}\right)$$

The way of SMEFT

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Most precise/accurate experimental measurements with uncertainties and correlations

The way of SMEFT

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Most precise SM predictions for observables:
NLO, NNLO, N3LO...

Most precise/accurate experimental measurements with uncertainties
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A simple approach

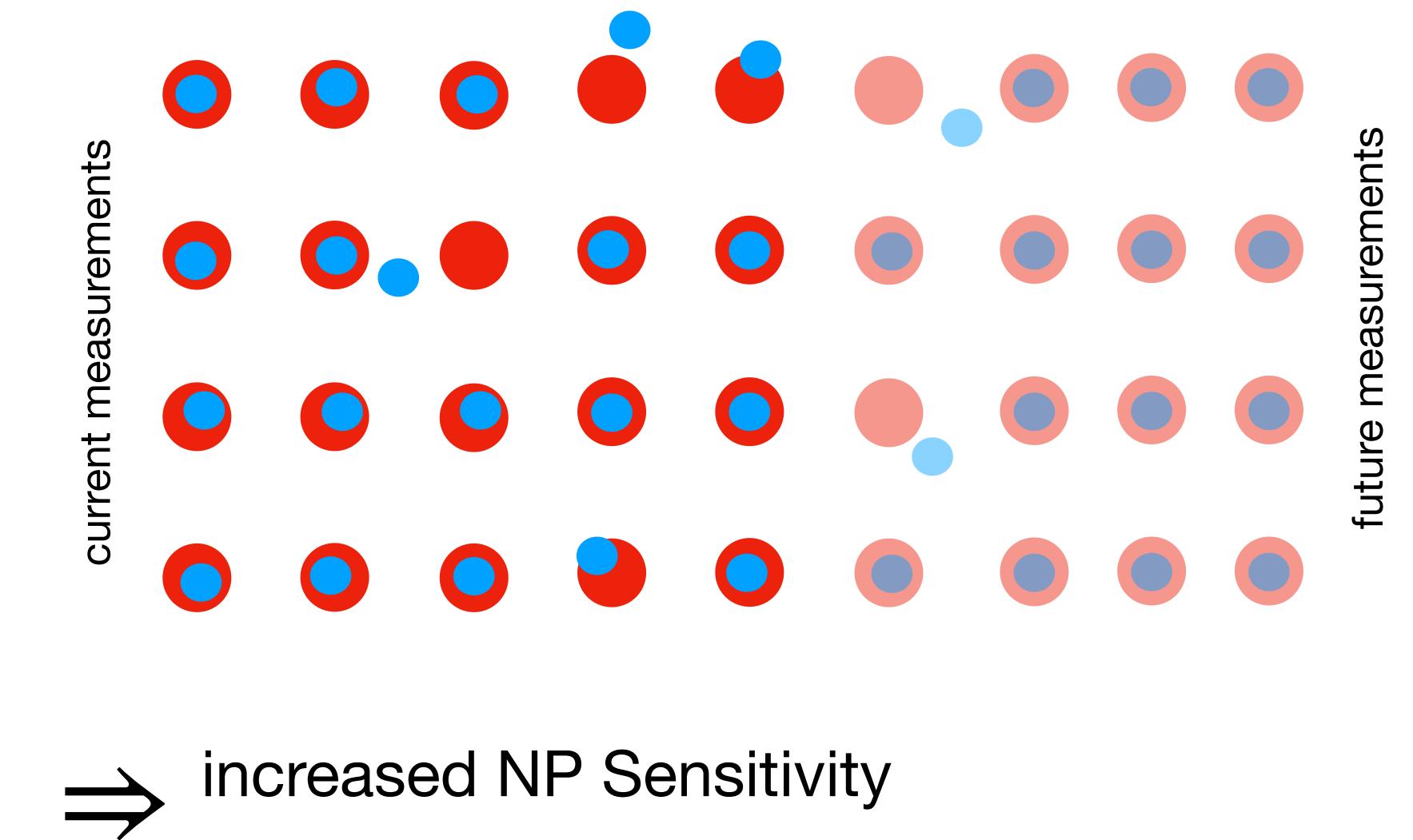
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Most precise EFT predictions

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The way of SMEFT

A simple approach

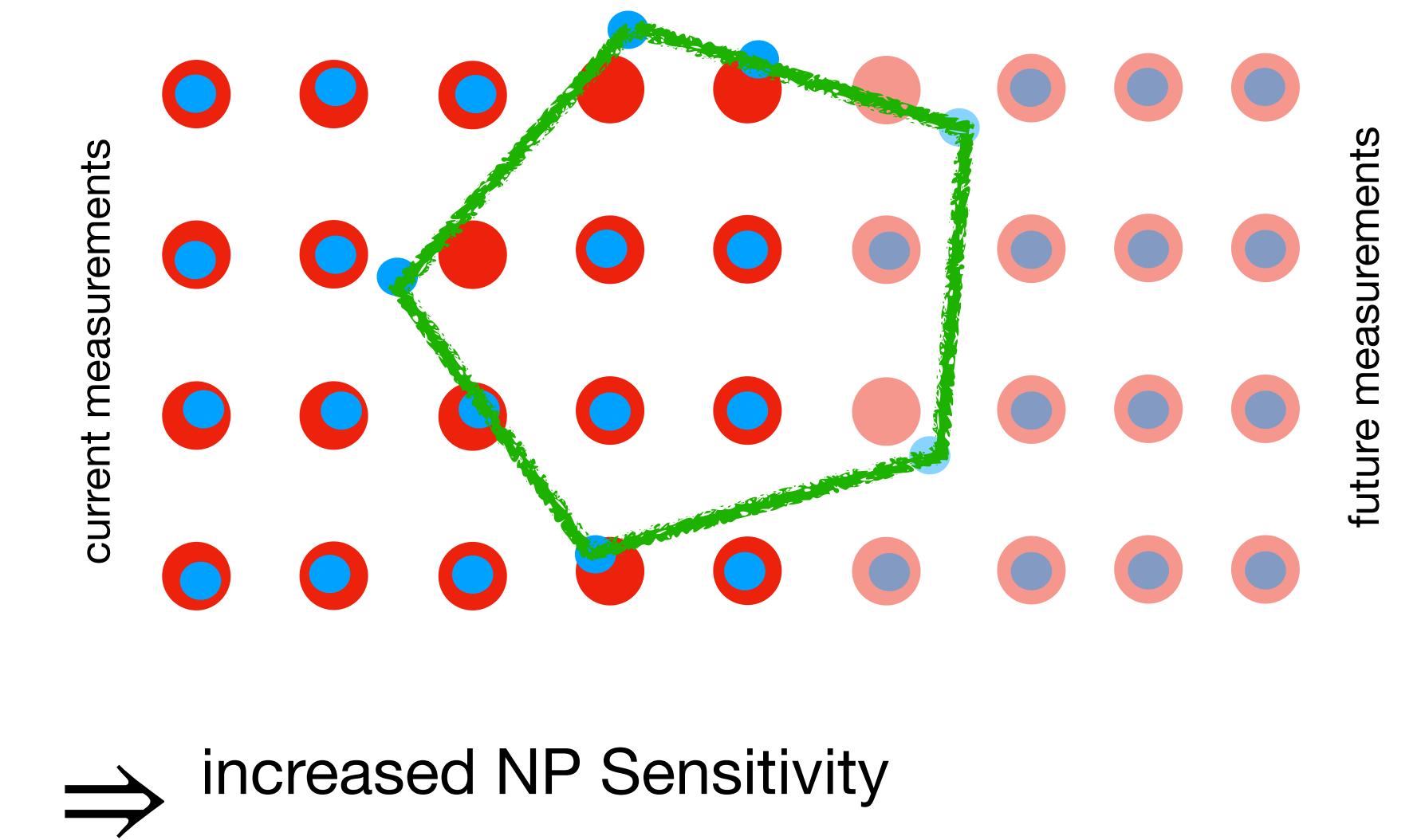
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Most precise EFT predictions

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The way of SMEFT

A simple approach

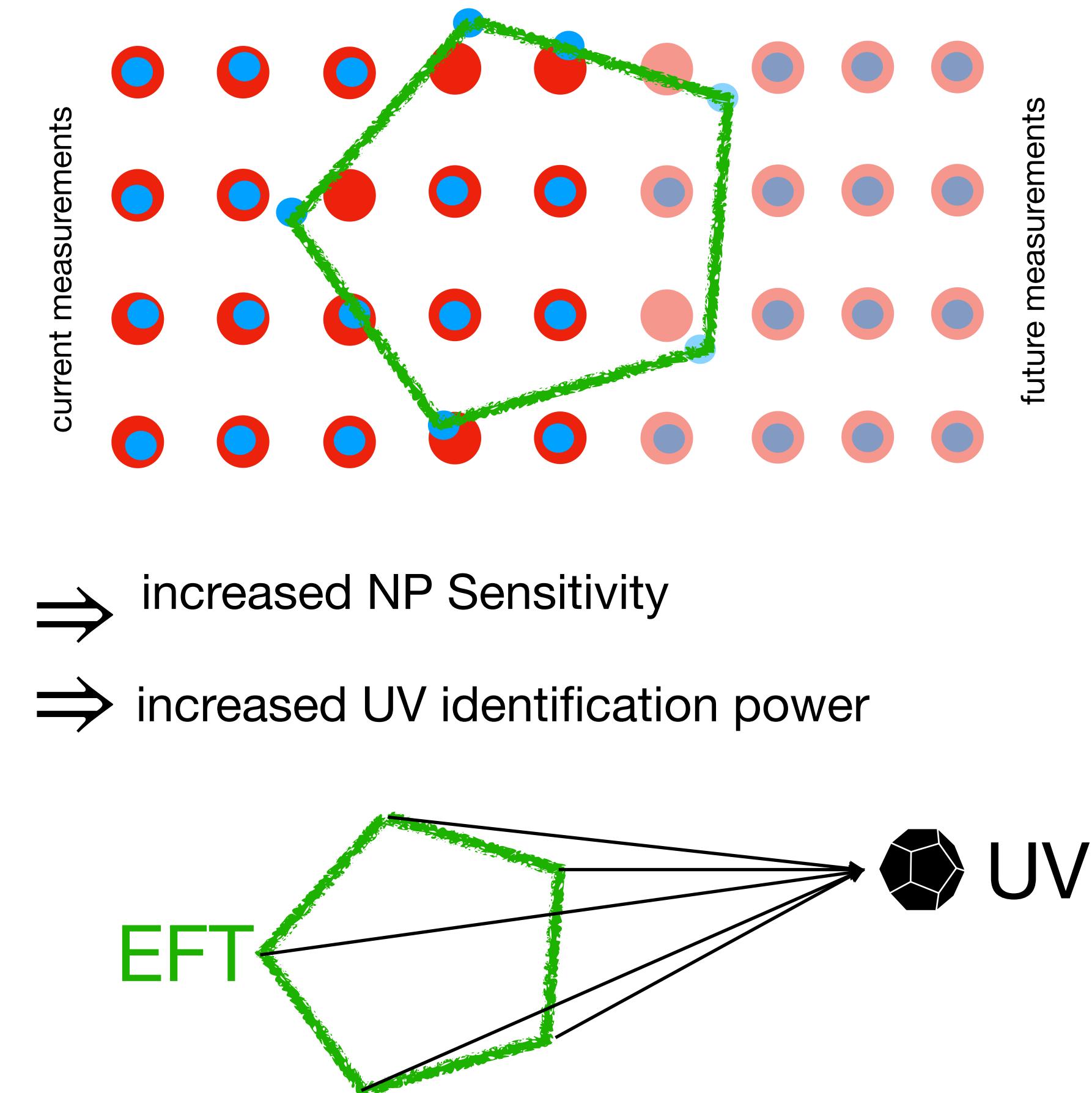
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Most precise SM predictions for observables:
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Most precise EFT predictions

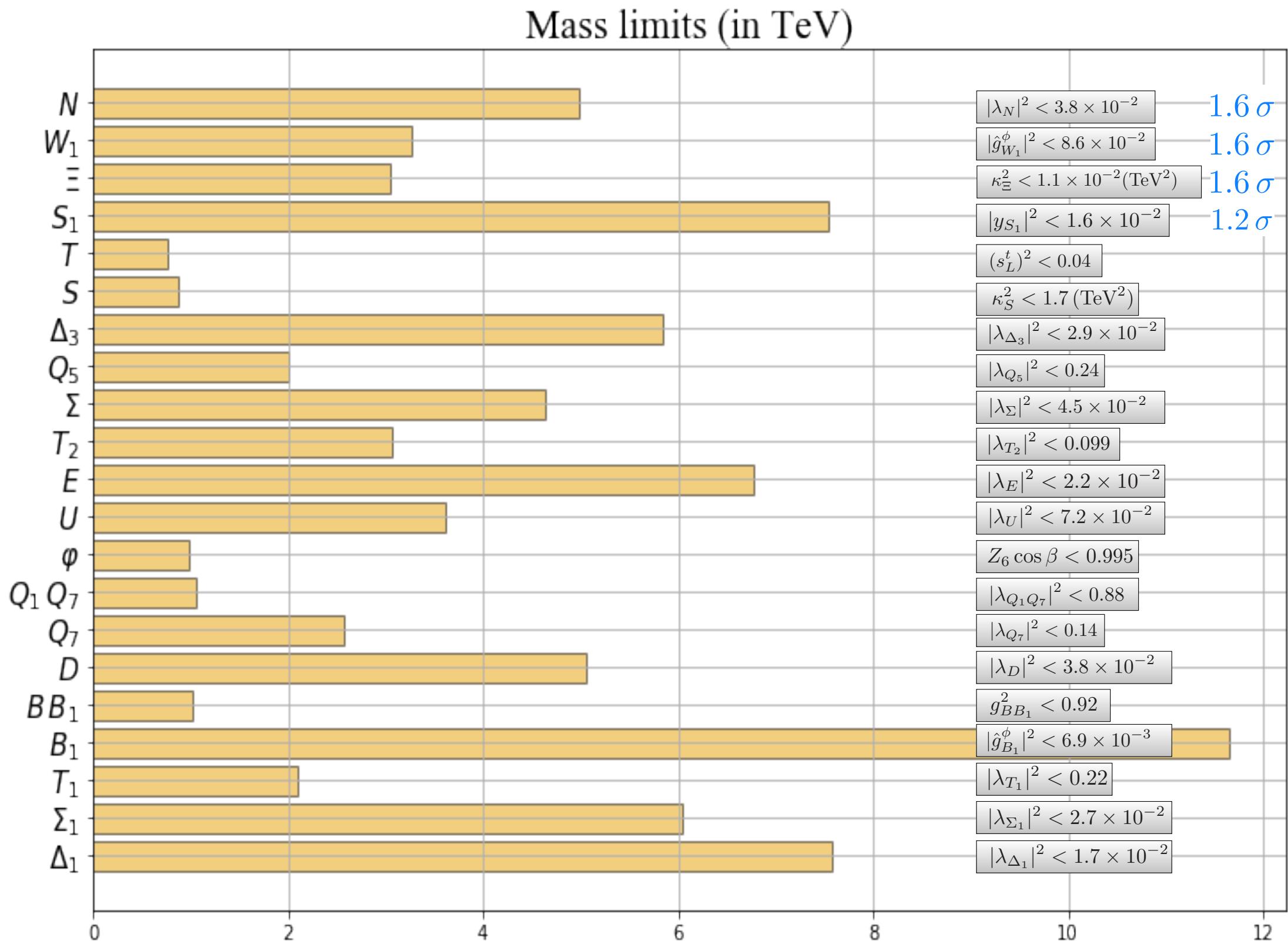


The way of SMEFT

A simple approach

EFT bounds translate to constraints on parameters of UV models

Simplest case: single-field extensions of the SM



[Ellis et al. 2012.02779]

Need for NLO

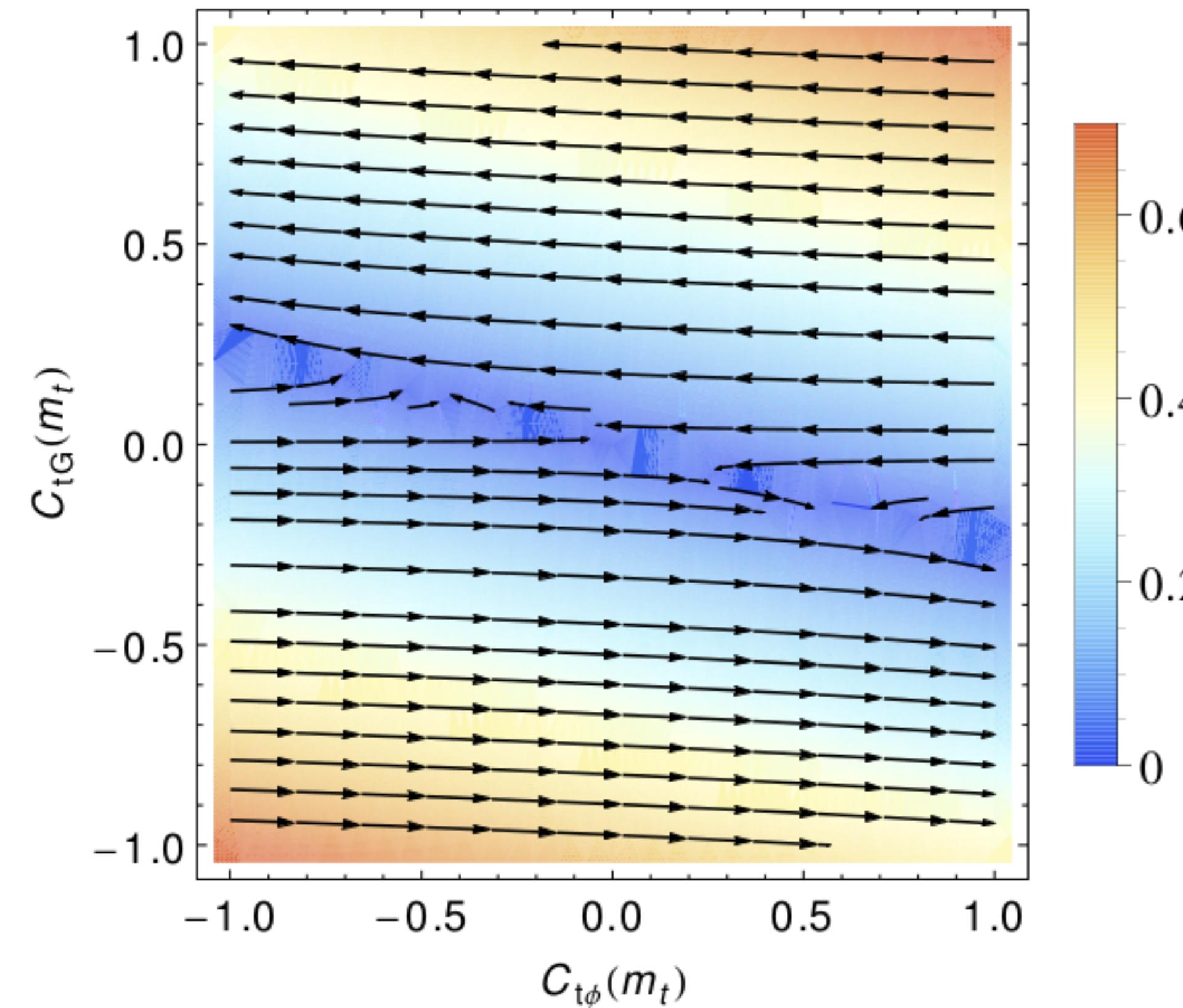
1. Operators run and mix under RGE

Running means that the Wilson coefficients depend on the scale where they are measured (as the couplings in the SM). Note that this introduces also an additional uncertainty in the perturbative computations.

Mixing means that in general the Wilson coefficients at low scale (=where the measurements happen) are related. One immediate consequence is that assumptions about some coefficients being zero at low scales are in general not valid (and in any case have to be consistent with the RGEs). Note also that operator mixing is not symmetric: Op1 can mix into Op2, but not viceversa.

Need for NLO

1. Operators run and mix under RGE



$$O_{t\phi} = y_t^3 (\phi^\dagger \phi) (\bar{Q} t) \tilde{\phi},$$

$$O_{\phi G} = y_t^2 (\phi^\dagger \phi) G_{\mu\nu}^A G^{A\mu\nu},$$

$$O_{tG} = y_t g_s (\bar{Q} \sigma^{\mu\nu} T^A t) \tilde{\phi} G_{\mu\nu}^A.$$

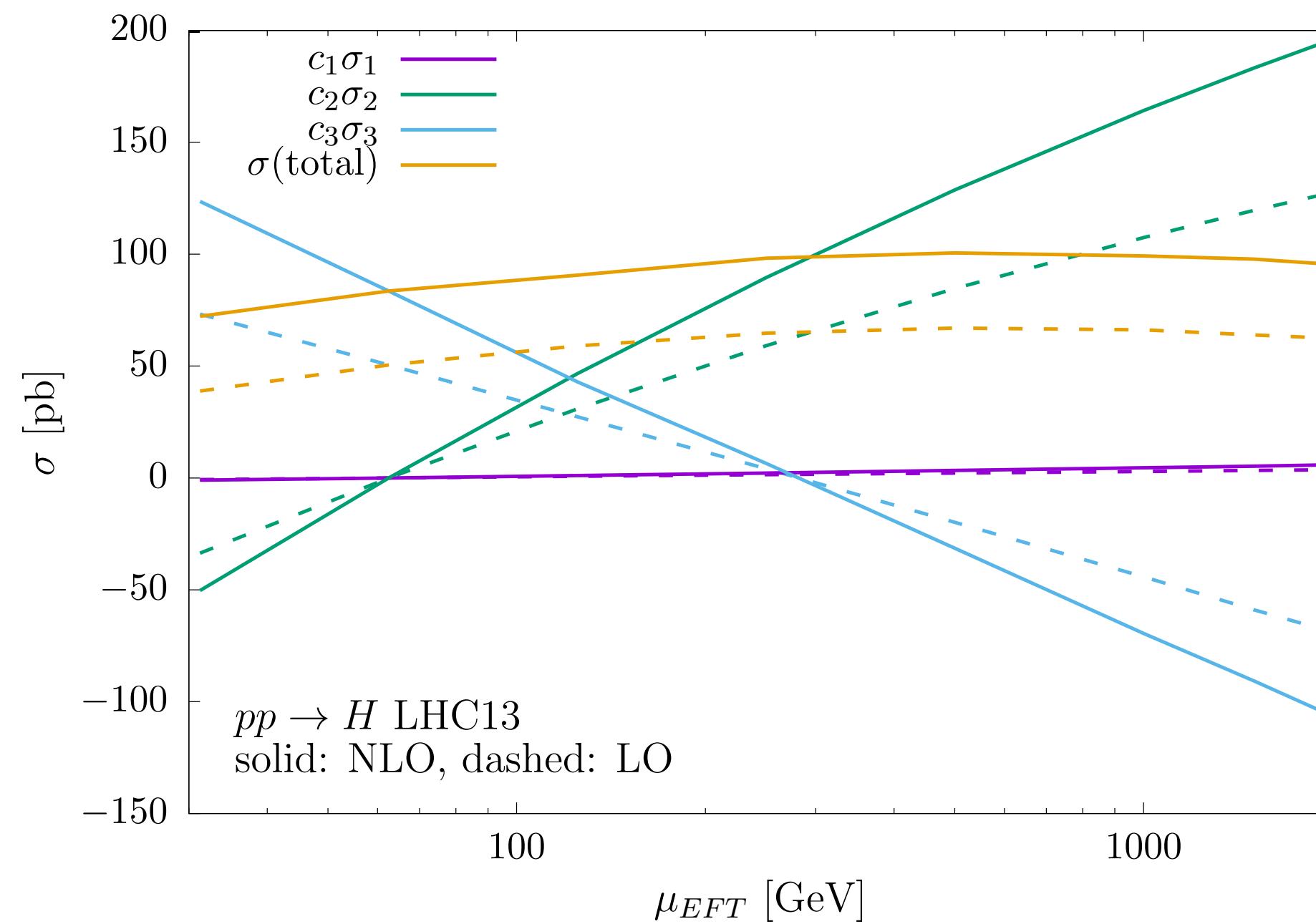
$$\frac{dC_i(\mu)}{d \log \mu} = \frac{\alpha_s}{\pi} \gamma_{ij} C_j(\mu), \quad \gamma = \begin{pmatrix} -2 & 16 & 8 \\ 0 & -7/2 & 1/2 \\ 0 & 0 & 1/3 \end{pmatrix}$$

$\Lambda = 1 \text{ TeV}$: $C_{tG} = 1, C_{t\phi} = 0;$

$\Lambda = 173 \text{ GeV}$: $C_{tG} = 0.98, C_{t\phi} = 0.45$

Need for NLO

2. EFT scale dependence



[Deutschmann, Duhr, FM, Vryonidou, 17]

$$\begin{aligned} O_{t\phi} &= y_t^3 \left(\phi^\dagger \phi \right) (\bar{Q} t) \tilde{\phi}, \\ O_{\phi G} &= y_t^2 \left(\phi^\dagger \phi \right) G_{\mu\nu}^A G^{A\mu\nu}, \\ O_{tG} &= y_t g_s (\bar{Q} \sigma^{\mu\nu} T^A t) \tilde{\phi} G_{\mu\nu}^A. \end{aligned}$$

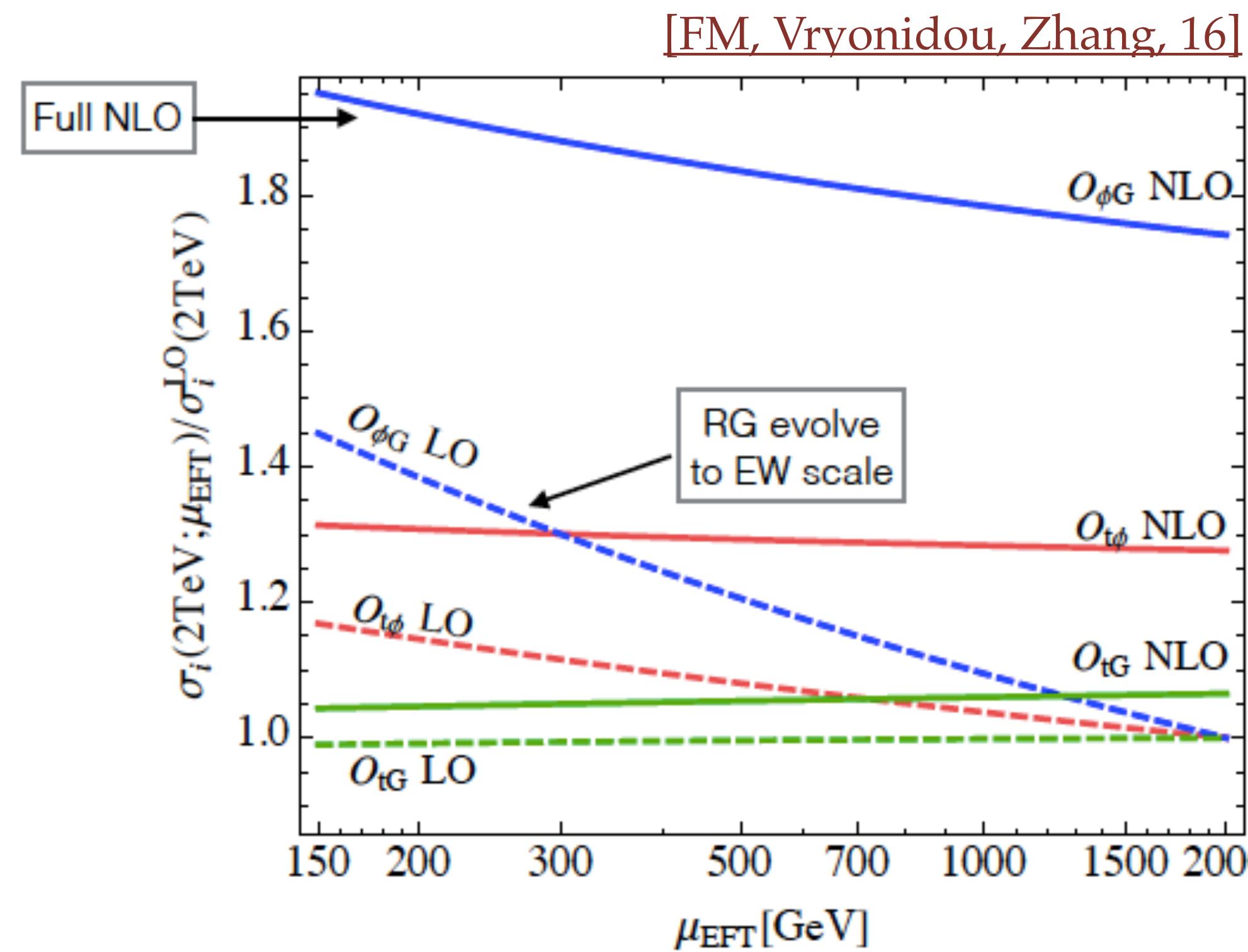
$$\frac{dC_i(\mu)}{d \log \mu} = \frac{\alpha_s}{\pi} \gamma_{ij} C_j(\mu),$$

$$\gamma = \begin{pmatrix} -2 & 16 & 8 \\ 0 & -7/2 & 1/2 \\ 0 & 0 & 1/3 \end{pmatrix}$$

By including the mixing, the overall scale dependence at LO, is very much reduced with respect to the single ones. A global point of view is required: contribution from each coupling may not make sense; only their sum is meaningful.

Need for NLO

3. Genuine NLO corrections (finite terms) are important

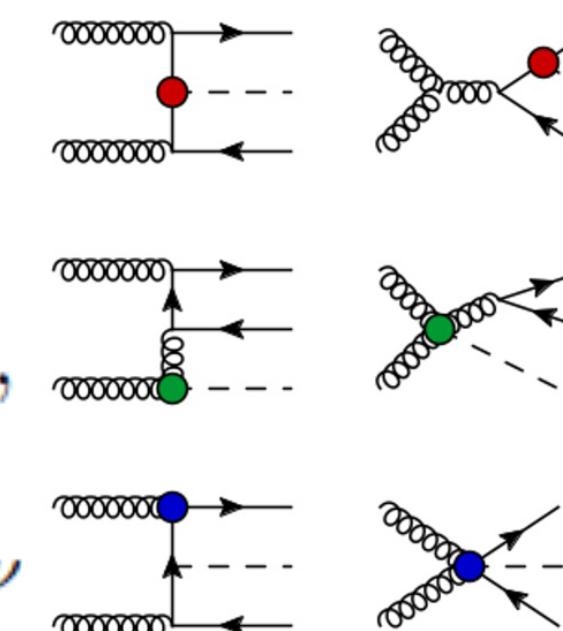


- $\text{pp} \rightarrow \text{ttH}$

$$O_{t\phi} = y_t^3 (\phi^\dagger \phi) (\bar{Q} t) \tilde{\phi},$$

$$O_{\phi G} = y_t^2 (\phi^\dagger \phi) G_{\mu\nu}^A G^{A\mu\nu},$$

$$O_{tG} = y_t g_s (\bar{Q} \sigma^{\mu\nu} T^A t) \tilde{\phi} G_{\mu\nu}^A,$$



- EFT scale uncertainties are very much reduced at NLO.

- RG are sometimes thought to be an approximation for full NLO, but it is often not the case.

Need for NLO

4. New operators arise

New operators can arise at one-loop or via real corrections.

- At variance with the SM, loop-induced processes might not be finite.
- Including the full set of operators at a given order implies that no extra UV divergences appear (closure check).
- Use tree-level, loop-level, hierarchy but not gauge couplings.

[\[Ghezzi, Gomez-Ambrosio, Passarino, Uccirati, 15a\]](#)

[\[Hartmann and Trott, 15\]](#)

[\[Ghezzi, Gomez-Ambrosio, Passarino, Uccirati, 15b\]](#)

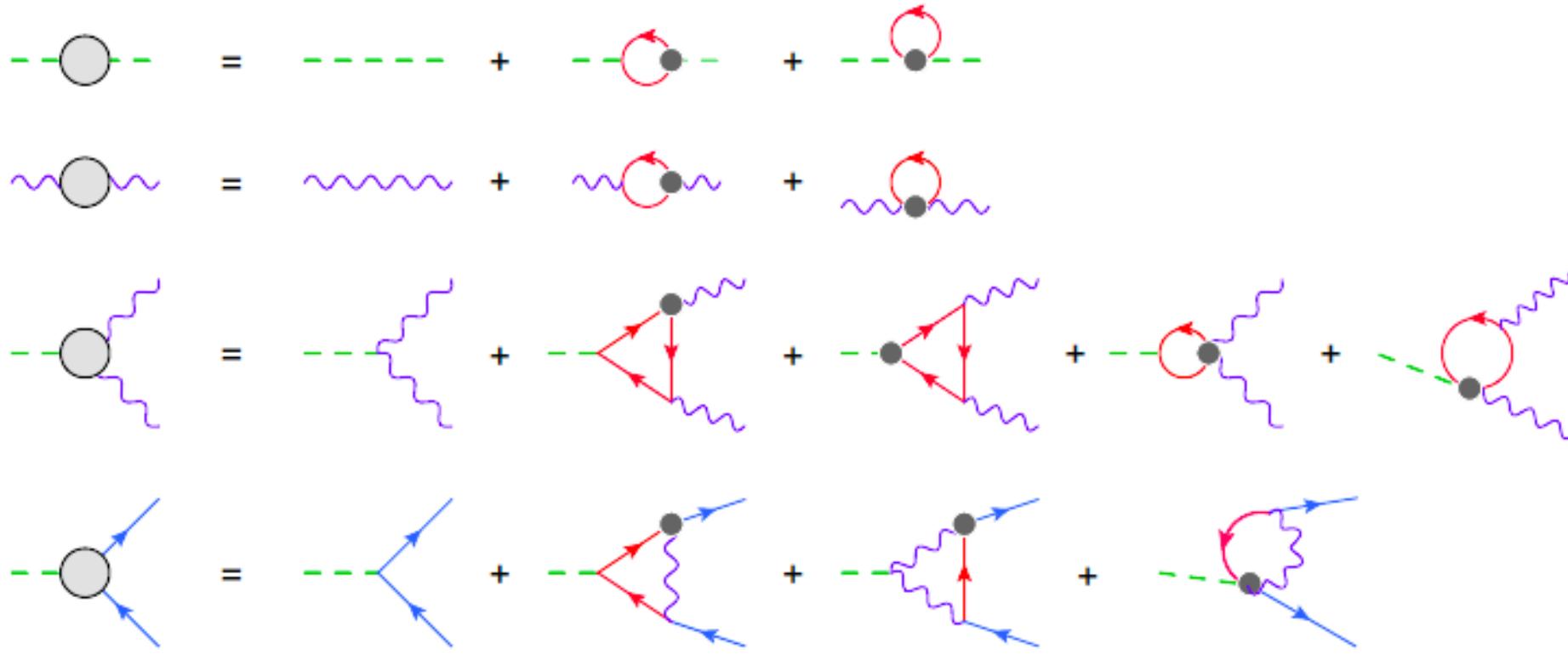
[\[Dawson, Giardino, 2018\]](#)

[\[Dedes et al, 2018\]](#)

[\[Vryonidou and Zhang, 2018\]](#)

[\[Dawson, Giardino, 2018\]](#)

[\[Vryonidou and Zhang, 2018\]](#)

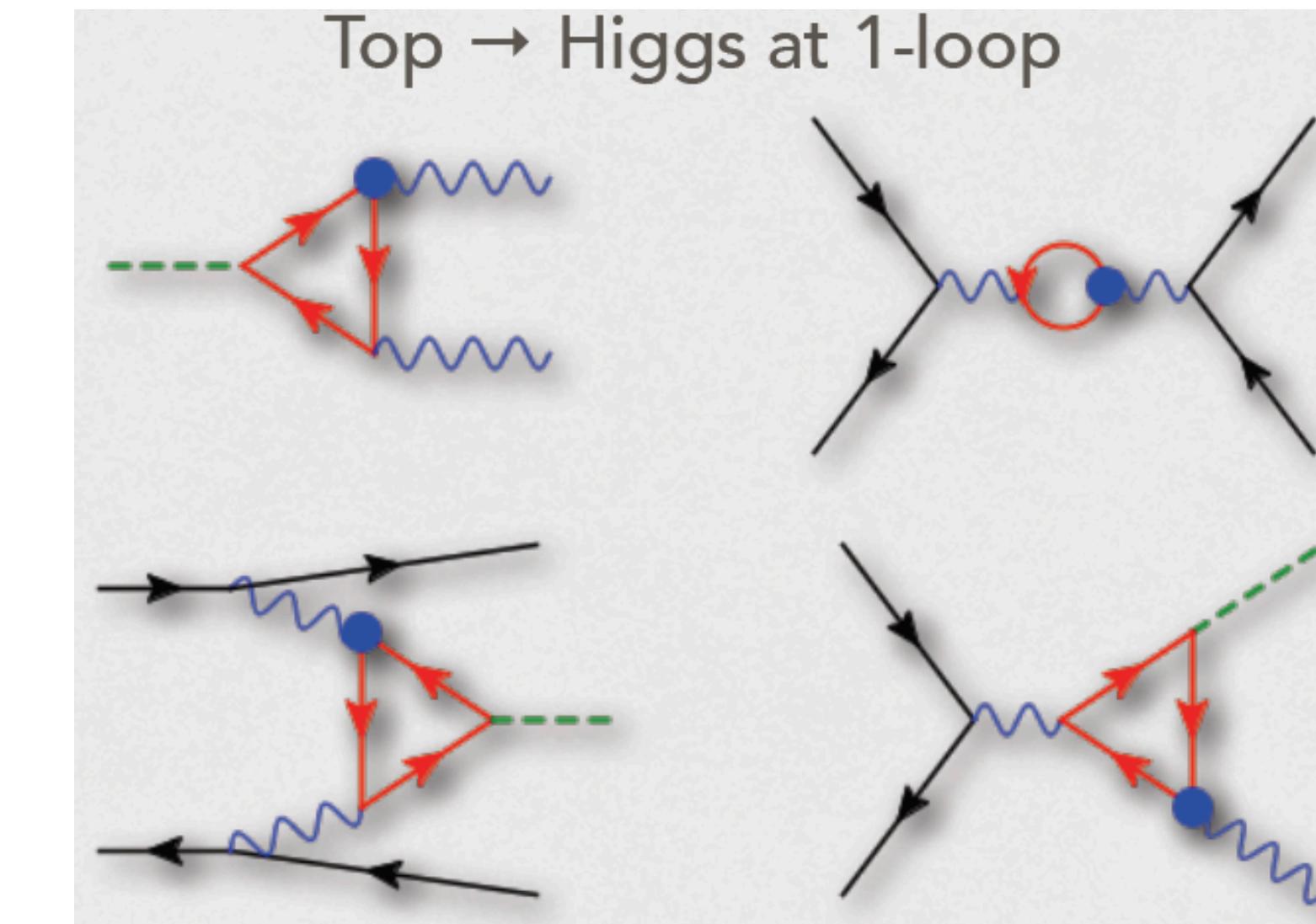


Need for NLO

4. New operators arise

[Vryonidou and Zhang, 2018]

- VBF, ZH, WH at LHC
- ZH, WWF, ZZF at e^+e^-
- H decay to $\gamma\gamma$, γZ , $Z\bar{Z}$, Wlv , bb , $\tau\tau$, $\mu\mu$
- ggH is known



	$\gamma\gamma$	γZ	bb	WW^*	ZZ^*	$\tau\tau$	$\mu\mu$
gg	(-100%, 1980%)	(-88%, 200%)	(-40%, 48%)	(-40%, 47%)	(-40%, 46%)	(-40%, 48%)	(-40%, 48%)
VBF	(-100%, 1880%)	(-88%, 170%)	(-6.1%, 5.3%)	(-6.8%, 6.7%)	(-8.8%, 9.2%)	(-6.2%, 5.9%)	(-6.2%, 5.9%)
WH	(-100%, 1880%)	(-88%, 170%)	(-5.5%, 4.2%)	(-6.1%, 5.6%)	(-7.8%, 7.9%)	(-5.8%, 5.1%)	(-5.8%, 5.1%)
ZH	(-100%, 1880%)	(-87%, 170%)	(-6.5%, 5.9%)	(-7.1%, 7.1%)	(-9.4%, 9.9%)	(-6.8%, 6.7%)	(-6.8%, 6.7%)

Operator	Top Fitter	RHCC tree	$\sigma_{t\bar{t}H}$ [33]
$C_{\varphi tb}$			[-5.28, 5.28]
$C_{\varphi Q}^{(3)}$			[-2.59, 1.50]
$C_{\varphi Q}^{(1)}$			[-3.10, 3.10]
$C_{\varphi t}$			[-9.78, 8.18]
C_{tW}			[-2.49, 2.49]
C_{tB}			[-7.09, 4.68]
$C_{t\varphi}$			[-6.5, 1.3]

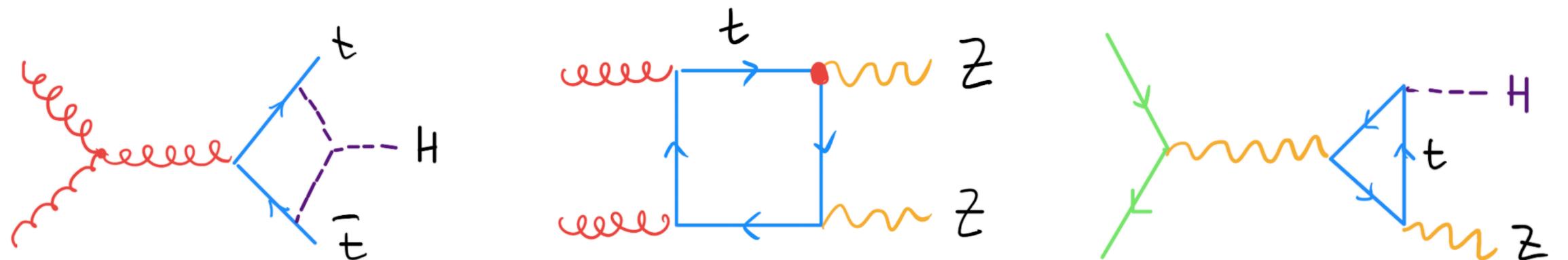
Possible deviations using current constraints on the relevant operators

Accurate SMEFT

Progress in SMEFT at 1-loop level

1-loop accuracy allows:

- Unveil the SMEFT structure (mixing)
- K-factors (accuracy)
- Scale uncertainties (precision)
- Exploit loop sensitivity:



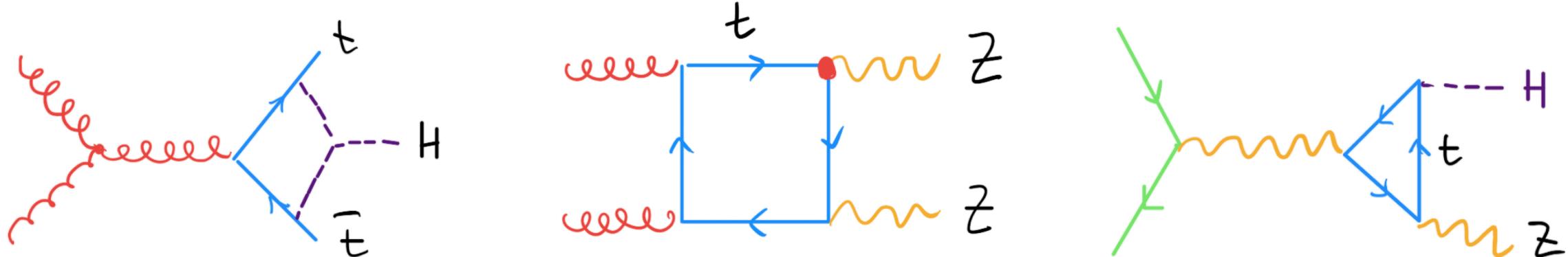
“same strategy” as in SM@dim4

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“same strategy” as in SM@dim4

RGE

- Anomalous dimension matrix [[Jenkins, Manohar and Trott, 2013,2014,2014](#)]

Production

- $p\bar{p} \rightarrow jj$ (4F) [[Gao, Li, Wang, Zhu, Yuan, 2011](#)]
- $p\bar{p} \rightarrow tt$ (4F) [[Shao, Li, Wang, Gao, Zhang, Zhu, 2011](#)]
- $p\bar{p} \rightarrow VV$ [[Dixon, Kunszt, Signer ,1999](#)] [[Melia, Nason, Röntsch, Zanderighi ,2011](#)]
[[Baglio, Dawson, Lewis ,2017,2018,2019](#)][[Chiesa et al., 2018](#)]
- top FCNCs [[Degrande, FM, Wang, Zhang ,2014](#)] [[Durieux, FM, Zhang ,2014](#)]
- $p\bar{p} \rightarrow tt$ (chromo) [[Franzosi, Zhang ,2015](#)]
- $p\bar{p} \rightarrow tj$ [[Zhang ,2016](#)] [[de Beurs, Laenen, Vreeswijk, Vryonidou ,2018](#)]
- $p\bar{p} \rightarrow ttZ$ [[Röntsch and Schulze,2015](#)] [[Bylund, FM, Tsinikos, Vryonidou, Zhang ,2016](#)]
- $p\bar{p} \rightarrow ttH$ [[FM, Vryonidou, Zhang ,2016](#)]
- $p\bar{p} \rightarrow HV,Hjj$ [[Greljo, Isidori, Lindert, Marzocca ,2015](#)][[Degrande, Fuks, Mawatari, Mimasu, Sanz ,2016](#)], [[Alioli, Dekens, Girard, Mereghetti ,2018](#)]
- $p\bar{p} \rightarrow H$ [[Grazzini, Ilnicka, Spira, Wiesemann ,2016](#)] [[Deutschmann, Duhr, FM, Vryonidou ,2017](#)]
- $p\bar{p} \rightarrow tZj,tHj$ [[Degrande, FM, Mimasu, Vryonidou, Zhang ,2018](#)]
- $p\bar{p} \rightarrow$ jets [[Hirschi, FM, Tsinikos, Vryonidou ,2018](#)]
- $p\bar{p} \rightarrow VVV$ [[Degrande, Durieux, FM, Mimasu, Vryonidou, Zhang ,20xx](#)]
- $gg \rightarrow ZH,Hj,HH$ [[Bylund, FM, Tsinikos, Vryonidou, Zhang ,2016](#)]
- Higgs self-couplings [[McCullough, 2014](#)][[Degrassi, Giardino, FM, Pagani, Shivaji, Zhao, 2016-2018](#)][[Borowka et al. 2019](#)][[FM,Pagani, Zhao, 2019](#)]
- EW loops in tt [[Kuhn et al.,1305.5773](#)], [[Martini 1911.11244](#)]
- EW top loops in Higgs & EW [[Vryonidou, Zhang ,2018](#)][[Durieux, Gu, Vryonidou, Zhang ,2018](#)]
[[Boselli et al. 2019](#)]
- Drell-Yan (EW corrections) [[Dawson and Giardino, 2021](#)]

Decay

- Top [[Zhang ,2014](#)] [[Boughezal, Chen, Petriello, Wiegand ,2019](#)]
- $h \rightarrow VV$ [[Hartmann, Trott ,2015](#)] [[Ghezzi, Gomez-Ambrosio, Passarino, Uccirati ,2015, 2015](#)]
[[Dawson, Giardino ,2018,2018](#)][[Dedes, et al. ,2018](#)] [[Dedes, Suxho, Trifyllis ,2019](#)]
- $h \rightarrow ff$ [[Gauld, Pecjak, Scott ,2016](#)] [[Cullen, Pecjak, Scott ,2019](#)][[Cullen, Pecjak, ,2020](#)]
- Z,W [[Hartmann, Shepherd, Trott ,2016](#)] [[Dawson, Ismail, Giardino ,2018,2018,2019](#)]

EWPO

- EWPO [[Zhang, Greiner, Willenbrock '12](#)] [[Dawson, Giardino ,2020](#)]

Accurate SMEFT

SMEFT@NLO

Automated one-loop computations in the SMEFT

Céline Degrande,^{1,*} Gauthier Durieux,^{2,†} Fabio Maltoni,^{1,3,‡}
Ken Mimasu,^{1,§} Eleni Vryonidou,^{4,¶} and Cen Zhang^{5,6,**}

We present the automation of one-loop computations in the standard-model effective field theory at dimension six. Our implementation, dubbed SMEFT@NLO, contains ultraviolet and rational counterterms for bosonic, two- and four-fermion operators. It presently allows for fully differential predictions, possibly matched to parton shower, up to one-loop accuracy in QCD. We illustrate the potential of the implementation with novel loop-induced and next-to-leading order computations relevant for top-quark, electroweak, and Higgs-boson phenomenology at the LHC and future colliders.

Standard Model Effective Theory at One-Loop in QCD

Céline Degrande, Gauthier Durieux, Fabio Maltoni, Ken Mimasu, Eleni Vryonidou & Cen Zhang, [arXiv:2008.11743](#)

The implementation is based on the Warsaw basis of dimension-six SMEFT operators, after canonical normalization. Electroweak input parameters are taken to be G_F , M_Z , M_W . The CKM matrix is approximated as a unit matrix, and a $U(2)_q \times U(2)_u \times U(3)_d \times (U(1)_c \times U(1)_e)^3$ flavor symmetry is enforced. It forbids all fermion masses and Yukawa couplings except that of the top quark. The model therefore implements the five-flavor scheme for PDFs.

A new coupling order, `NP=2`, is assigned to SMEFT interactions. The cutoff scale `Lambda` takes a default value of 1 TeV^{-2} and can be modified along with the Wilson coefficients in the [param_card](#). Operators definitions, normalisations and coefficient names in the UFO model are specified in [definitions.pdf](#). The notations and normalizations of top-quark operator coefficients comply with the LHC TOP WG standards of [1802.07237](#). Note however that the flavor symmetry enforced here is slightly more restrictive than the baseline assumption there (see the [dim6top](#) page for more information). This model has been validated at tree level against the [dim6top](#) implementation (see [1906.12310](#) and the [comparison details](#)).

Current implementation

UFO model: [SMEFTatNLO_v1.0.tar.gz](#)

- 2020/08/24 - v1.0: Official release including notably four-quark operators at NLO.

Support

Please direct any questions to smeftatnlo-dev@cern.ch.

<http://feynrules.irmp.ucl.ac.be/wiki/SMEFTatNLO>

[\[Degrande, et al. arXiv:2008.11743\]](#)

Accurate SMEFT

SMEFT@NLO

What's in the box?

Warsaw basis operators

Flavour assumption:

$$U(2)_q \times U(2)_u \times U(3)_d \times (U(1)_l \times U(1)_e)^3$$

Includes Higgs, top, gauge boson interactions

Conventions matching LHC Top WG ones

CP & Flavour conserving

Developments

CP-violation

RGE

Multi-boson production

quark-initiated

```
> p p > W+ W-      QED=2 QCD=0 NP=2 [QCD]
> p p > W+ Z       QED=2 QCD=0 NP=2 [QCD]
> p p > Z Z       QED=2 QCD=0 NP=2 [QCD]
```

loop-induced

```
> g g > W+ W-      QED=2 QCD=2 NP=2 [QCD]
> g g > Z Z       QED=2 QCD=2 NP=2 [QCD]
> g g > W+ W- Z   QED=3 QCD=2 NP=2 [QCD]
> g g > Z Z Z   QED=3 QCD=2 NP=2 [QCD]
```

Higgs production \uparrow

loop-induced

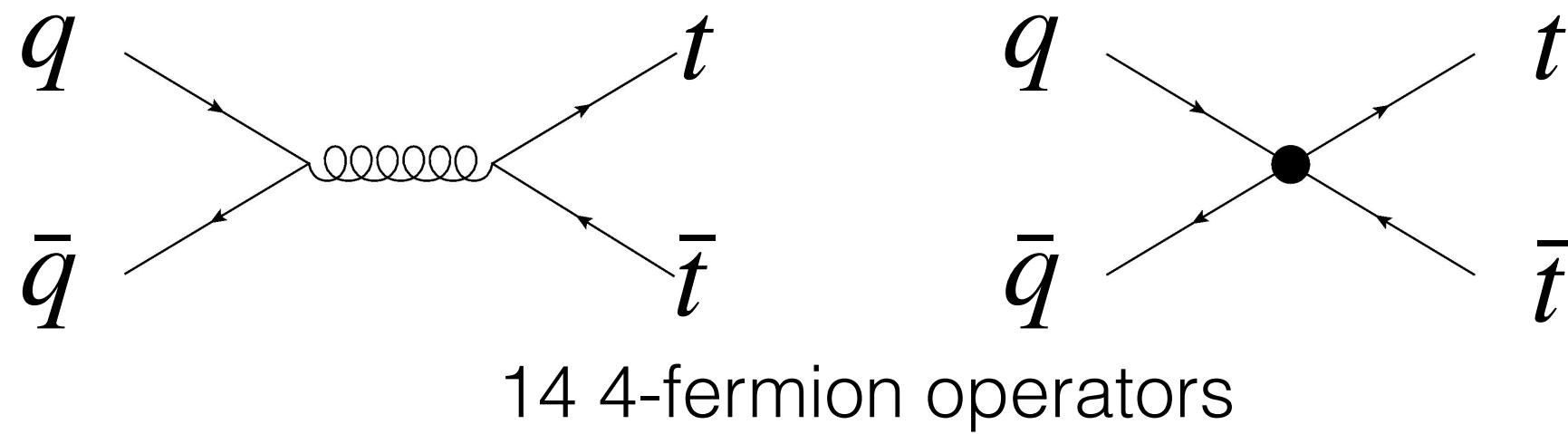
```
> g g > H          QED=1 QCD=2 NP=2 [QCD]
> g g > H H        QED=2 QCD=2 NP=2 [QCD]
> g g > H H H      QED=3 QCD=2 NP=2 [QCD]
> g g > H j        QED=1 QCD=3 NP=2 [QCD]
```

Top quark production

```
> e+ e- > t t-      QED=2 QCD=0 NP=2 [QCD]
> p p > t t-        QED=0 QCD=2 NP=2 [QCD]
> p p > t t- h      QED=1 QCD=2 NP=2 [QCD]
> p p > t t- z      QED=1 QCD=2 NP=2 [QCD]
> p p > t t- W+     QED=1 QCD=2 NP=2 [QCD]
> p p > t W-        $$ t- QED=1 QCD=1 NP=2 [QCD]
> p p > t W- j      $$ t- QED=1 QCD=2 NP=2 [QCD]
> p p > t j         $$ W- QED=2 QCD=0 NP=2 [QCD]
> p p > t h j       $$ W- QED=3 QCD=0 NP=2 [QCD]
> p p > t z j       $$ W- QED=3 QCD=0 NP=2 [QCD]
> p p > t a j       $$ W- QED=3 QCD=0 NP=2 [QCD]
```

Accurate SMEFT

SMEFT@NLO



$$O_{Qq}^{1,8} = (\bar{Q}\gamma_\mu T^A Q)(\bar{q}_i \gamma^\mu T^A q_i)$$

$$O_{Qq}^{3,8} = (\bar{Q}\gamma_\mu T^A \tau^I Q)(\bar{q}_i \gamma^\mu T^A \tau^I q_i)$$

$$O_{tu}^8 = (\bar{t}\gamma_\mu T^A t)(\bar{u}_i \gamma^\mu T^A u_i)$$

$$O_{td}^8 = (\bar{t}\gamma^\mu T^A t)(\bar{d}_i \gamma_\mu T^A d_i)$$

$$O_{Qu}^8 = (\bar{Q}\gamma^\mu T^A Q)(\bar{u}_i \gamma_\mu T^A u_i)$$

$$O_{Qd}^8 = (\bar{Q}\gamma^\mu T^A Q)(\bar{d}_i \gamma_\mu T^A d_i)$$

$$O_{tq}^8 = (\bar{q}_i \gamma^\mu T^A q_i)(\bar{t}\gamma_\mu T^A t)$$

$$O_{Qq}^{1,1} = (\bar{Q}\gamma_\mu Q)(\bar{q}_i \gamma^\mu q_i)$$

$$O_{Qq}^{3,1} = (\bar{Q}\gamma_\mu \tau^I Q)(\bar{q}_i \gamma^\mu \tau^I q_i)$$

$$O_{tu}^1 = (\bar{t}\gamma_\mu t)(\bar{u}_i \gamma^\mu u_i)$$

$$O_{td}^1 = (\bar{t}\gamma^\mu t)(\bar{d}_i \gamma_\mu d_i) ;$$

$$O_{Qu}^1 = (\bar{Q}\gamma^\mu Q)(\bar{u}_i \gamma_\mu u_i)$$

$$O_{Qd}^1 = (\bar{Q}\gamma^\mu Q)(\bar{d}_i \gamma_\mu d_i)$$

$$O_{tq}^1 = (\bar{q}_i \gamma^\mu q_i)(\bar{t}\gamma_\mu t) ;$$

Octets

Singlets

Different chiralities and colour structures

c_i	$\mathcal{O}(\Lambda^{-2})$		$\mathcal{O}(\Lambda^{-4})$	
	LO	NLO	LO	NLO
c_{tu}^8	$4.27^{+11\%}_{-9\%}$	$4.06^{+1\%}_{-3\%}$	$1.04^{+6\%}_{-5\%}$	$1.03^{+2\%}_{-2\%}$
c_{td}^8	$2.79^{+11\%}_{-9\%}$	$2.77^{+1\%}_{-3\%}$	$0.577^{+6\%}_{-5\%}$	$0.611^{+3\%}_{-2\%}$
c_{tq}^8	$6.99^{+11\%}_{-9\%}$	$6.67^{+1\%}_{-3\%}$	$1.61^{+6\%}_{-5\%}$	$1.29^{+3\%}_{-2\%}$
c_{Qu}^8	$4.26^{+11\%}_{-9\%}$	$3.93^{+1\%}_{-4\%}$	$1.04^{+6\%}_{-5\%}$	$0.798^{+3\%}_{-3\%}$
c_{Qd}^8	$2.79^{+11\%}_{-9\%}$	$2.93^{+0\%}_{-1\%}$	$0.58^{+6\%}_{-5\%}$	$0.485^{+2\%}_{-2\%}$
$c_{Qq}^{8,1}$	$6.99^{+11\%}_{-9\%}$	$6.82^{+1\%}_{-3\%}$	$1.61^{+6\%}_{-5\%}$	$1.69^{+3\%}_{-3\%}$
$c_{Qq}^{8,3}$	$1.50^{+10\%}_{-9\%}$	$1.32^{+1\%}_{-3\%}$	$1.61^{+6\%}_{-5\%}$	$1.57^{+2\%}_{-2\%}$

c_{tu}^1	$[0.67^{+1\%}_{-1\%}]$	$-0.078(7)^{+31\%}_{-23\%}$	$[0.41^{+13\%}_{-17\%}]$	$4.66^{+6\%}_{-5\%}$	$5.92^{+6\%}_{-5\%}$
c_{td}^1	$[-0.21^{+1\%}_{-2\%}]$	$-0.306^{+30\%}_{-22\%}$	$[-0.15^{+10\%}_{-13\%}]$	$2.62^{+6\%}_{-5\%}$	$3.46^{+5\%}_{-5\%}$
c_{tq}^1	$[0.39^{+0\%}_{-1\%}]$	$-0.47^{+24\%}_{-18\%}$	$[0.50^{+3\%}_{-2\%}]$	$7.25^{+6\%}_{-5\%}$	$9.36^{+6\%}_{-5\%}$
c_{Qu}^1	$[0.33^{+0\%}_{-0\%}]$	$-0.359^{+23\%}_{-17\%}$	$[0.57^{+6\%}_{-5\%}]$	$4.68^{+6\%}_{-5\%}$	$5.96^{+6\%}_{-5\%}$
c_{Qd}^1	$[-0.11^{+0\%}_{-1\%}]$	$0.023(6)^{+114\%}_{-75\%}$	$[-0.19^{+6\%}_{-5\%}]$	$2.61^{+6\%}_{-5\%}$	$3.46^{+5\%}_{-5\%}$
$c_{Qq}^{1,1}$	$[0.57^{+0\%}_{-1\%}]$	$-0.24^{+30\%}_{-22\%}$	$[0.39^{+9\%}_{-12\%}]$	$7.25^{+6\%}_{-5\%}$	$9.34^{+5\%}_{-5\%}$
$c_{Qq}^{1,3}$	$[1.92^{+1\%}_{-1\%}]$	$0.088(7)^{+28\%}_{-20\%}$	$[1.05^{+17\%}_{-22\%}]$	$7.25^{+6\%}_{-5\%}$	$9.32^{+5\%}_{-5\%}$

Interesting interference patterns

[Degrande, et al. arXiv:2008.11743]

Accurate SMEFT

SMEFT@NLO

4-heavy operators in top pair production

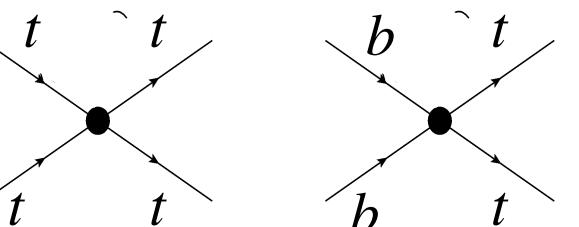
$$\mathcal{O}_{QQ}^8 = (\bar{Q}\gamma^\mu T^A Q)(\bar{Q}\gamma_\mu T^A Q)$$

$$\mathcal{O}_{QQ}^1 = (\bar{Q}\gamma^\mu Q)(\bar{Q}\gamma_\mu Q)$$

$$\mathcal{O}_{Qt}^8 = (\bar{Q}\gamma^\mu T^A Q)(\bar{t}\gamma_\mu T^A t)$$

$$\mathcal{O}_{Qt}^1 = (\bar{Q}\gamma^\mu Q)(\bar{t}\gamma_\mu t)$$

$$\mathcal{O}_{tt}^1 = (\bar{t}\gamma^\mu t)(\bar{t}\gamma_\mu t)$$



LO

NLO

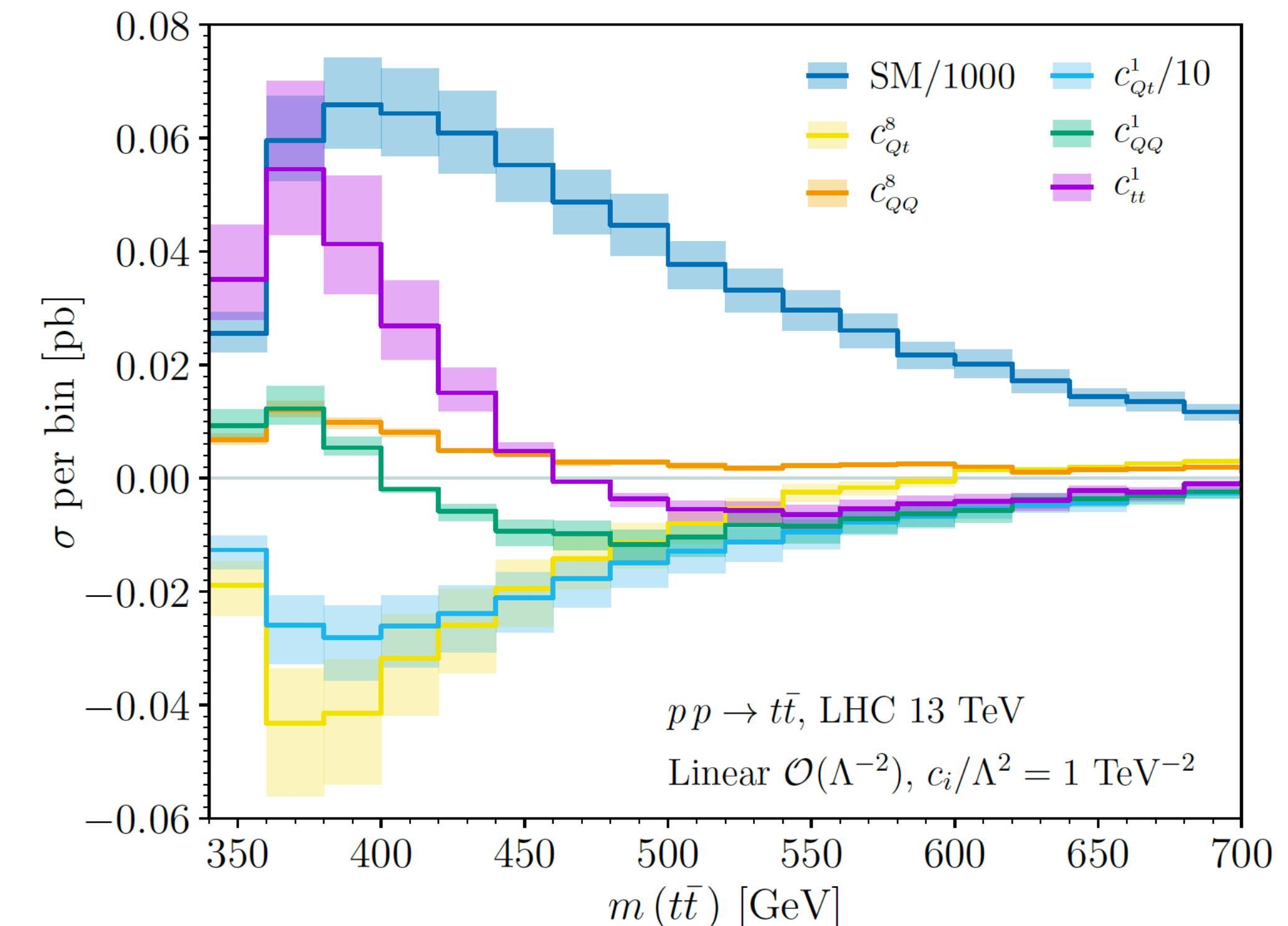
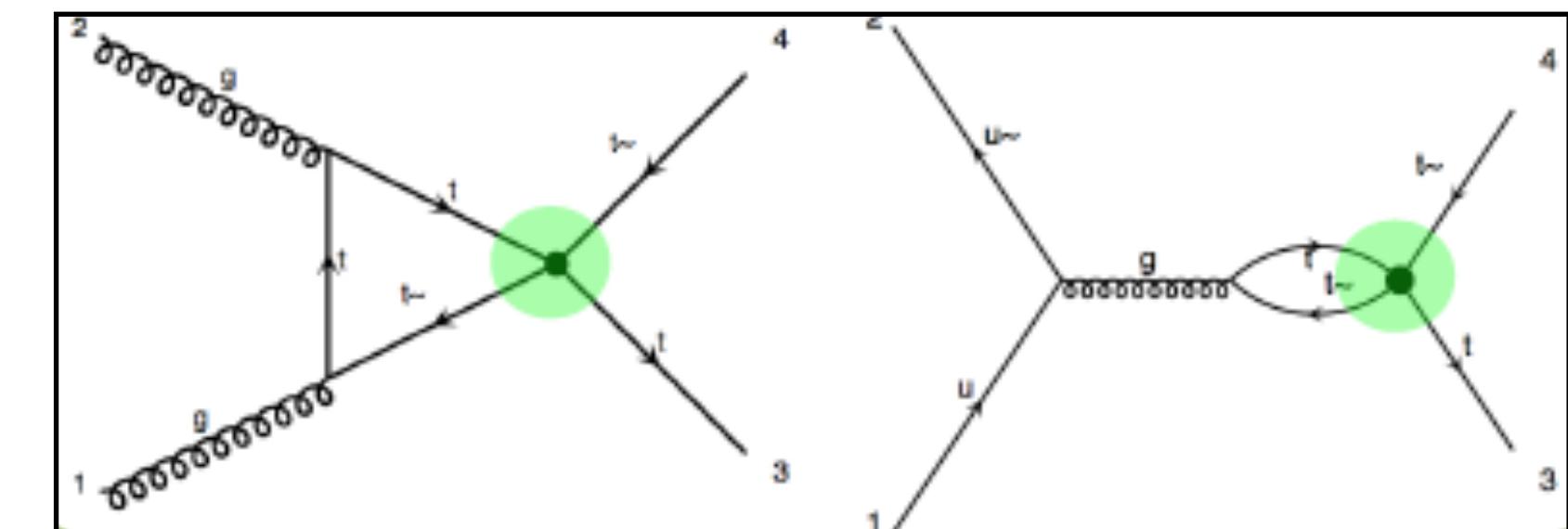
LO

NLO

	LO	NLO	LO	NLO
c_{QQ}^8	$0.0586^{+27\%}_{-25\%}$	$0.125^{+10\%}_{-11\%}$	$0.00628^{+13\%}_{-16\%}$	$0.0133^{+7\%}_{-5\%}$
c_{Qt}^8	$0.0583^{+27\%}_{-25\%}$	$-0.107(6)^{+40\%}_{-33\%}$	$0.00619^{+13\%}_{-16\%}$	$0.0118^{+8\%}_{-5\%}$
c_{QQ}^1	$[-0.11^{+15\%}_{-18\%}]$	$-0.039(4)^{+51\%}_{-33\%}$	$0.0282^{+13\%}_{-16\%}$	$0.0651^{+5\%}_{-6\%}$
c_{Qt}^1	$[-0.068^{+16\%}_{-18\%}]$	$-2.51^{+29\%}_{-21\%}$	$0.0283^{+13\%}_{-16\%}$	$0.066^{+5\%}_{-6\%}$
c_{tt}^1	\times	$0.215^{+23\%}_{-18\%}$	\times	\times

Loop-induced sensitivity

Complementary information to ttbb and 4top production



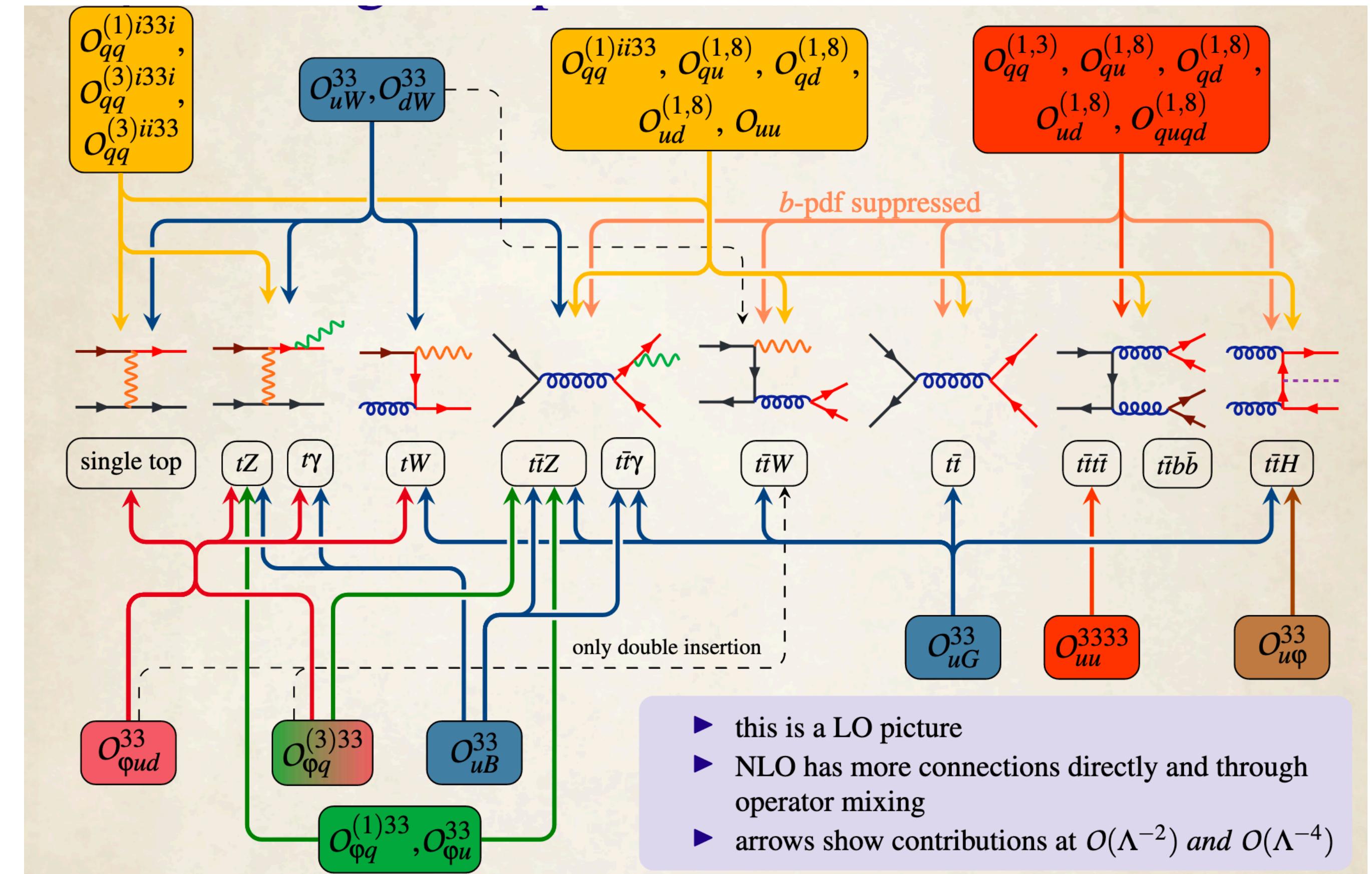
A powerful approach

Is this easy?

[Galler, ICHEP2020]

It's as exciting as challenging. Pattern of deformations enter many observables in a correlated way.

Needs to manage complexity, uncertainties and correlations.



A powerful approach

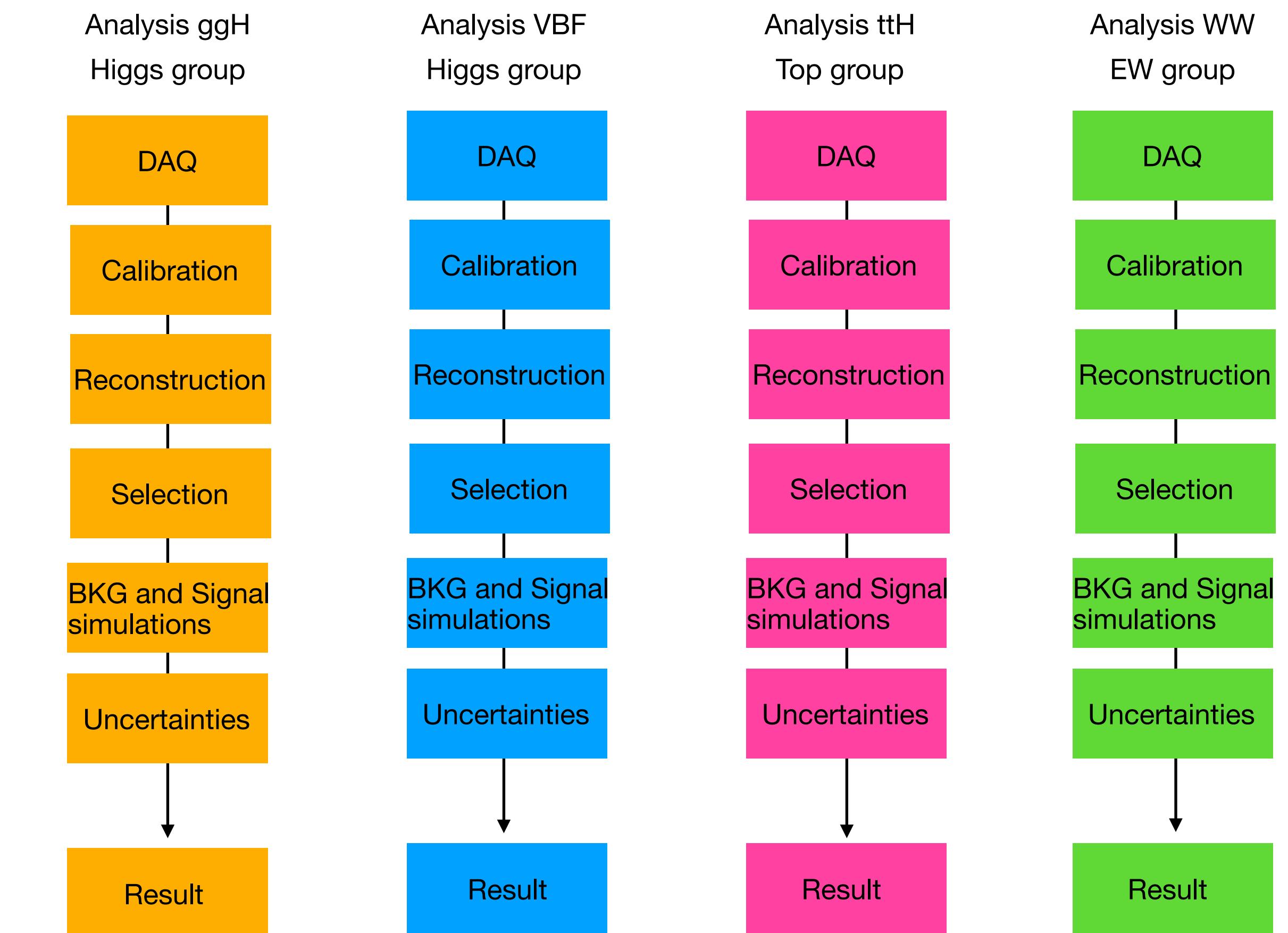
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Naive TH view



A powerful approach

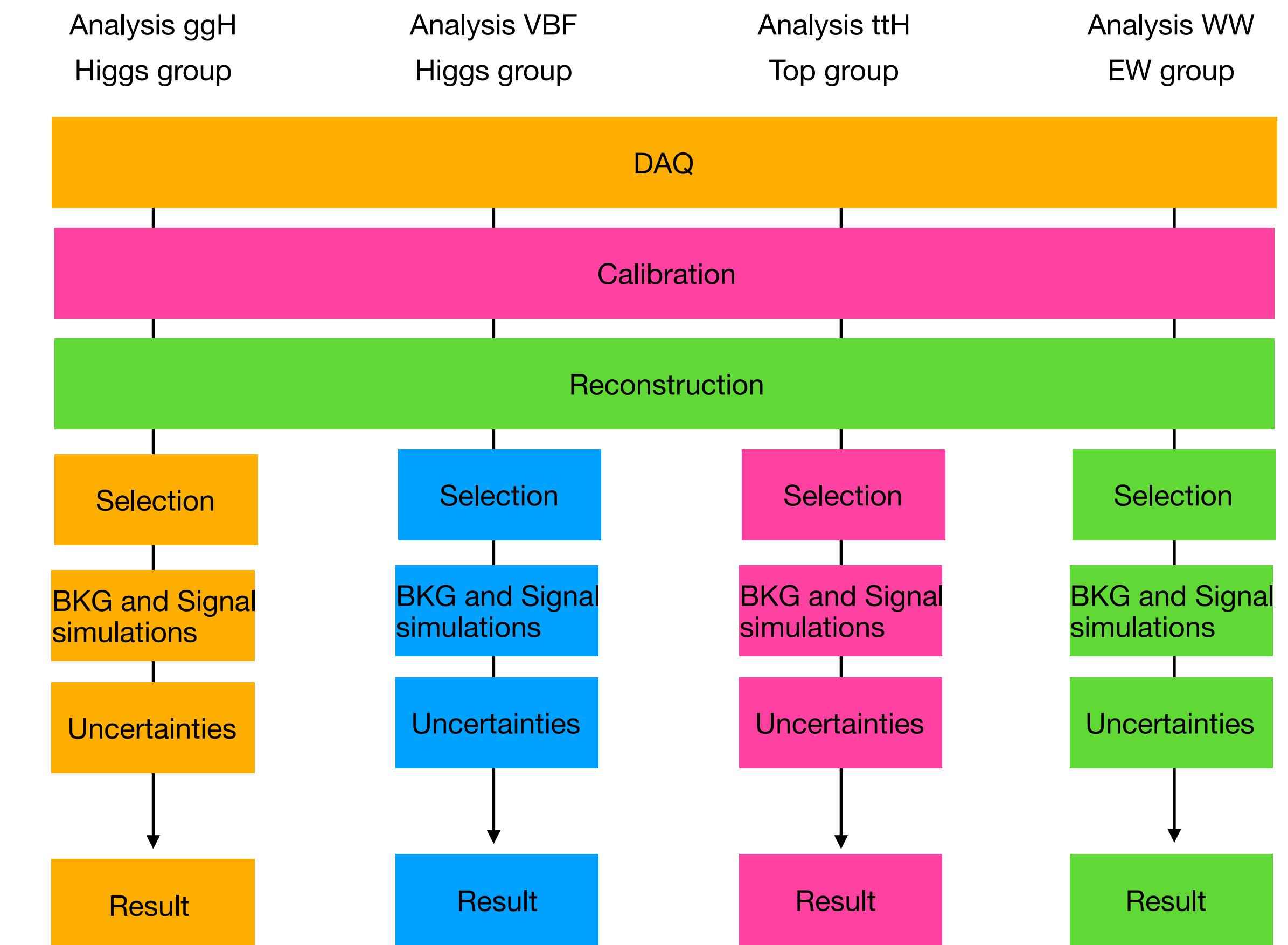
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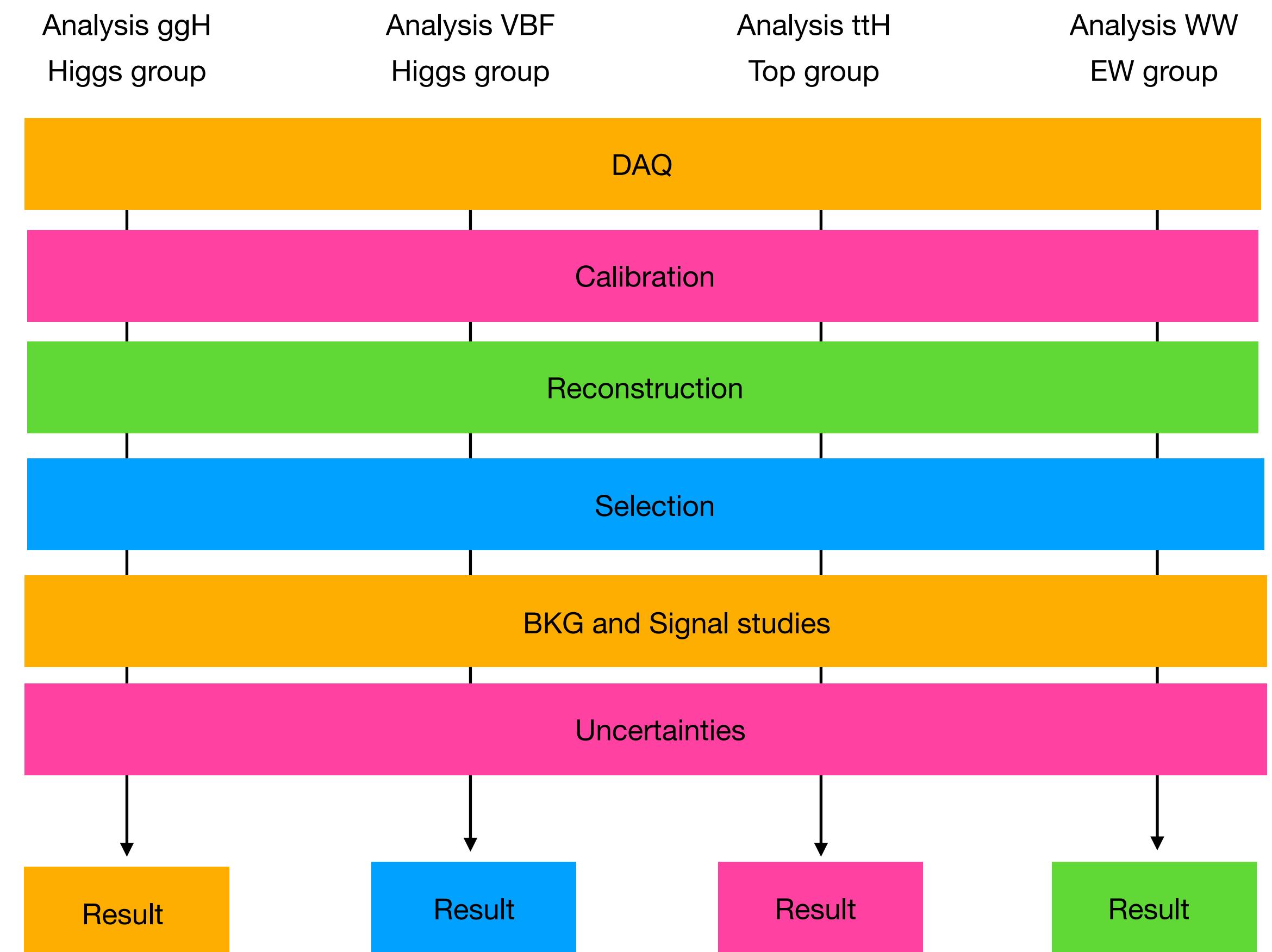
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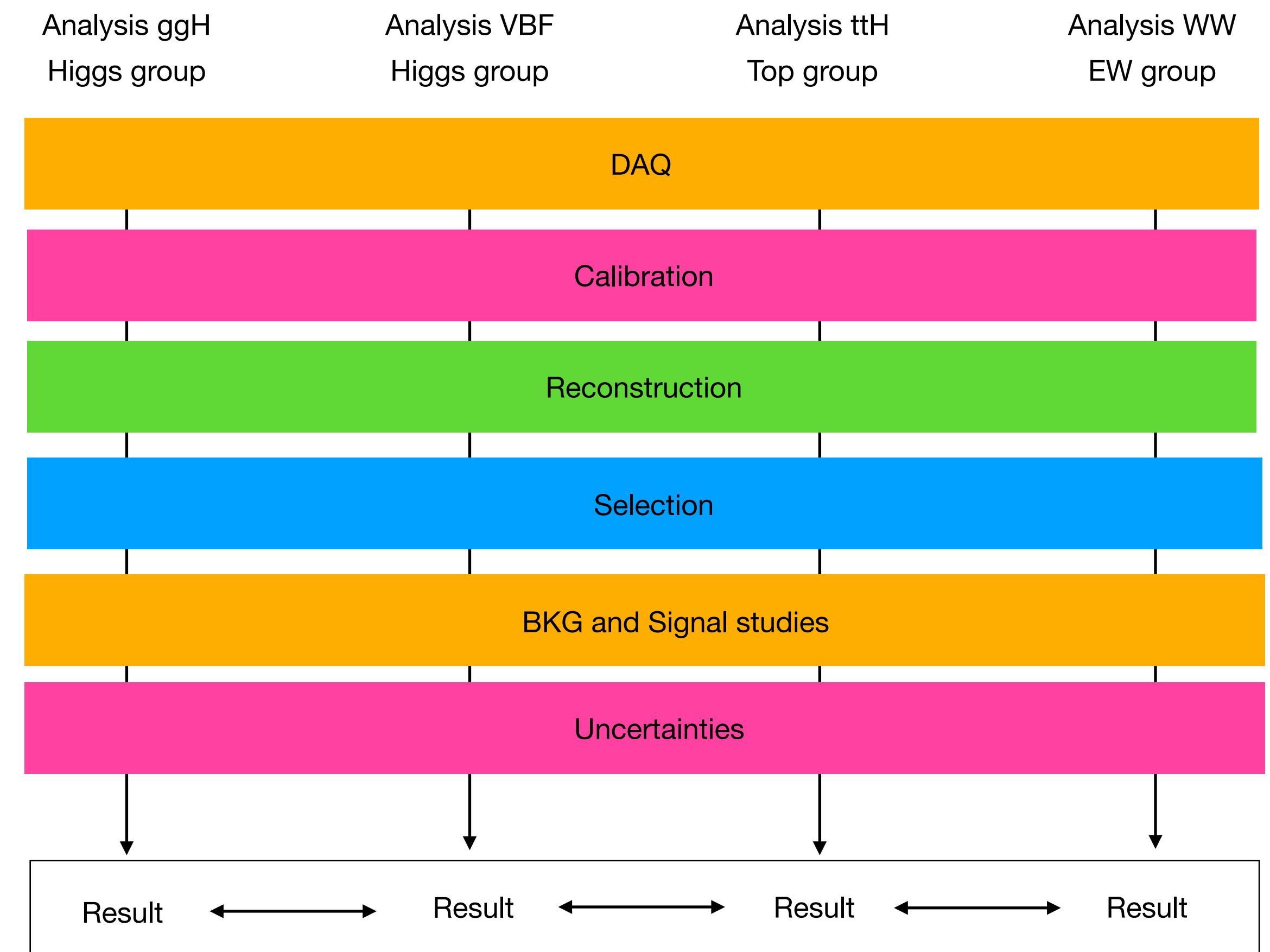
It's as exciting as challenging. Pattern of deformations enter many observables in a correlated way.

Needs to manage complexity, uncertainties and correlations.

Needs coordinated work among analysis groups in collaborations traditionally working separately (top, Higgs, EW,...)

A new paradigm: shifting value from "the best single measurement" to "the best combinable measurement"!

Naive TH view



A powerful approach

Is this easy?

It's as exciting as challenging. Pattern of deformations enter many observables in a correlated way.

Needs to manage complexity, uncertainties and correlations.

Needs coordinated work among analysis groups in collaborations traditionally working separately (top, Higgs, EW,...)

Needs coordinated work between theorists and experimentalists (model dependence, validity, interpretations, matching to the UV).

A LHC EFT WG is working hard to move things forward in a joint TH/EXP effort (thanks to all contributing!!)

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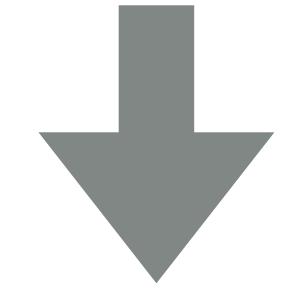
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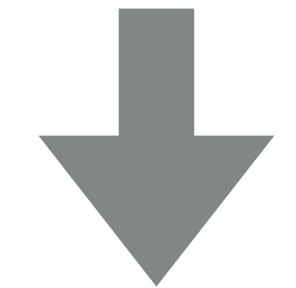
A LHC EFT WG is working hard to move things forward in a joint TH/EXP effort (thanks to all contributing!!)

Top-down

EFT Predictions



Data Analysis



Exp fit on C_i

A powerful approach

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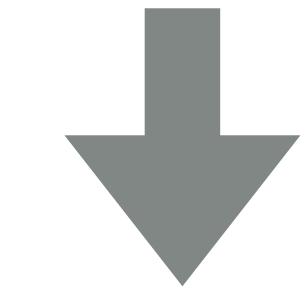
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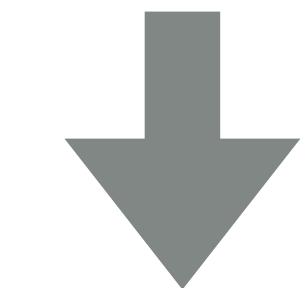
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Top-down

EFT Predictions



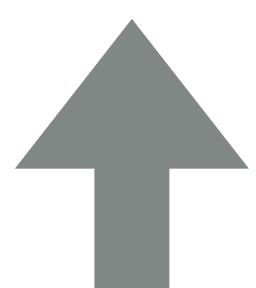
Data Analysis



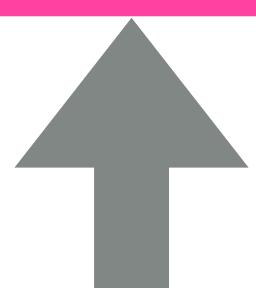
Exp fit on C_i

Bottom-up

EFT Predictions+Fit



Observable



SM Data Analysis

A powerful approach

Is this easy?

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Needs to manage complexity, uncertainties and correlations.

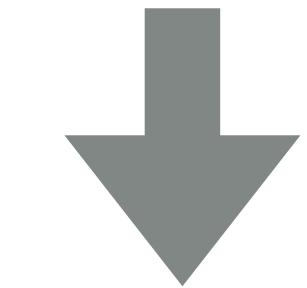
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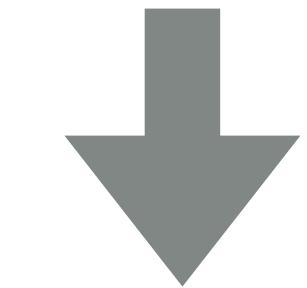
A LHC EFT WG is working hard to move things forward in a joint TH/EXP effort (thanks to all contributing!!)

Top-down

EFT Predictions



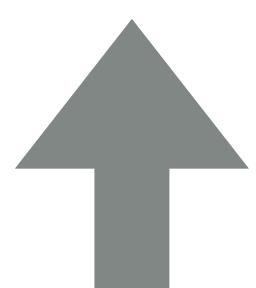
Data Analysis



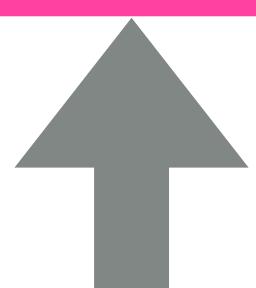
Exp fit on C_i

Bottom-up

EFT Predictions+Fit



Observable



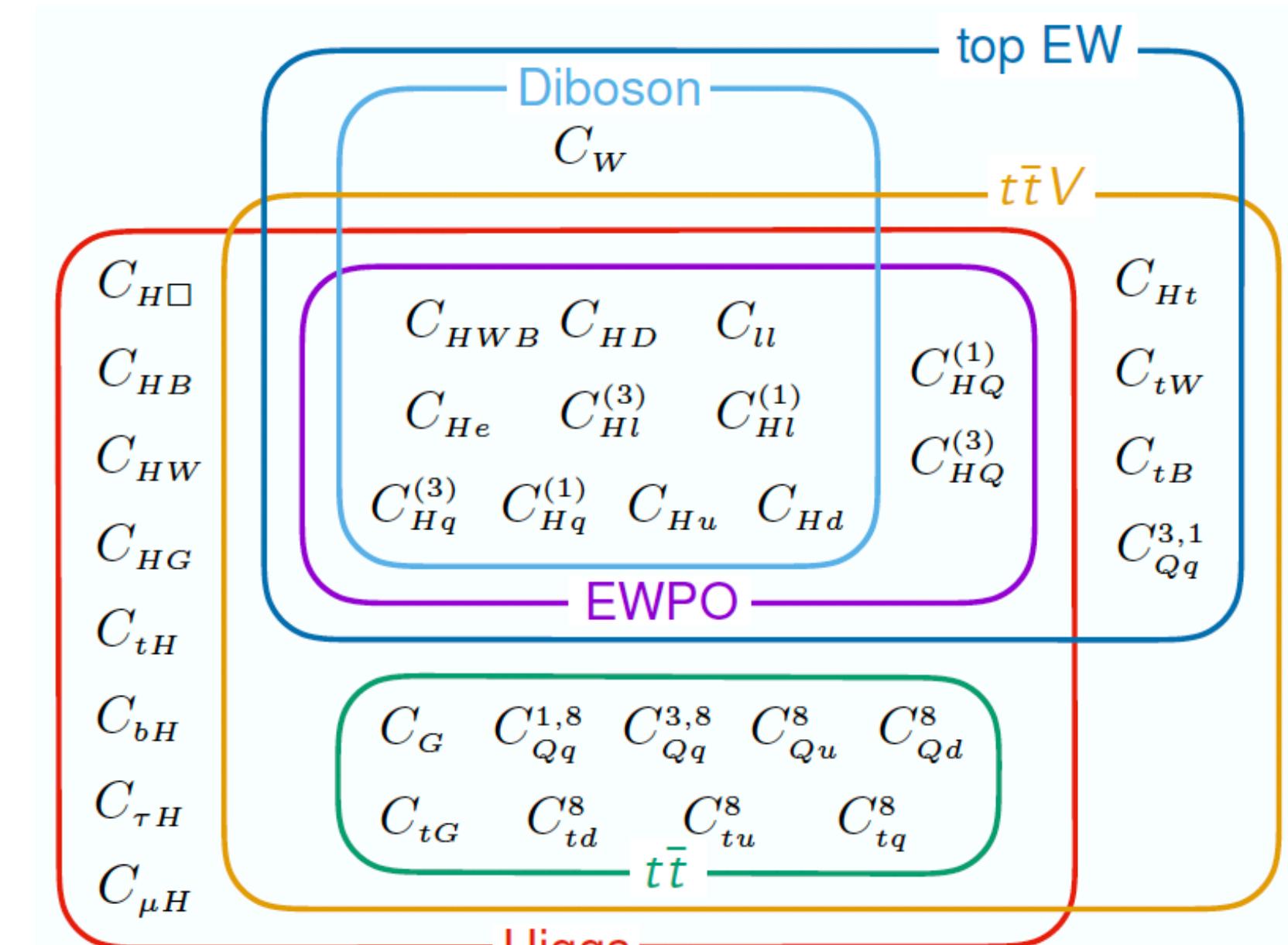
SM Data Analysis

Complementary!

Global fits

First explorations: EWPO+H+EW+Top

- Already now and without a dedicated experimental effort there is considerable information that can be used to set limits:
- **Fitmaker** [\[J. Ellis, M. Madigan, K. Mimasu, V. Sanz, T. You 2012.02779\]](#)
- **SMEFiT** [\[J. Either, G. Magni, F. M., L. Mantani, E. Nocera, J. Rojo, E. Slade, E. Vryonidou, C. Zhang, 2105.00006\]](#)
- **SFitter** [\[Biekötter, Corbett, Plehn, 2018\] + \[I. Brivio, S. Bruggisser, F. M., R. Moutafis, T. Plehn, E. Vryonidou, S. Westhoff, C. Zhang, 1910.03606\] \(separated\)](#)
- **HEPfit** [\[de Blas, et al. 2019\]](#)
- 30+ operators, linear and/or quadratic fits, Higgs/Top/EW at LHC, WW at LEP and EWPO.

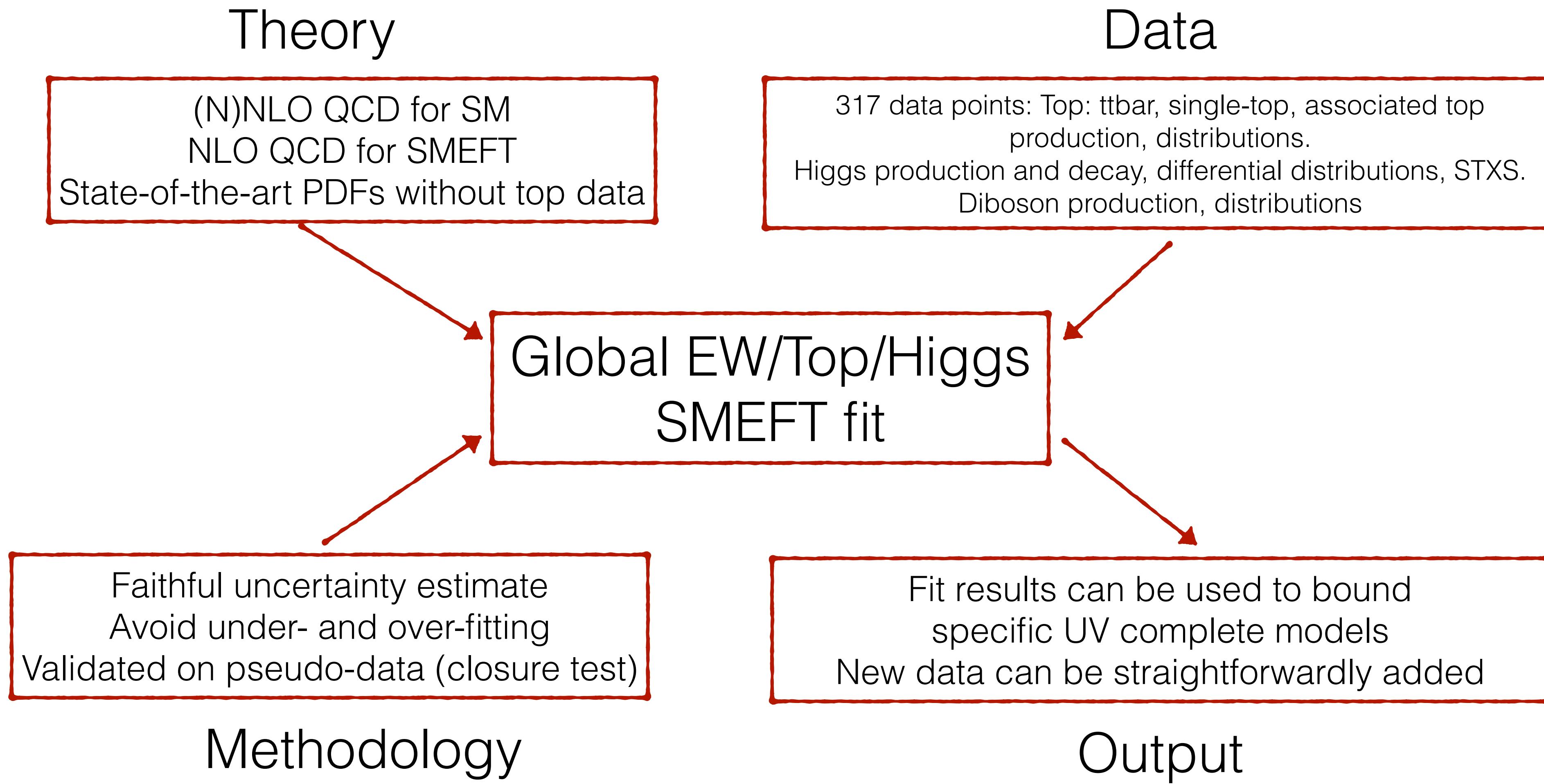


[\[Ellis et al. 2012.02779\]](#)

Global fits

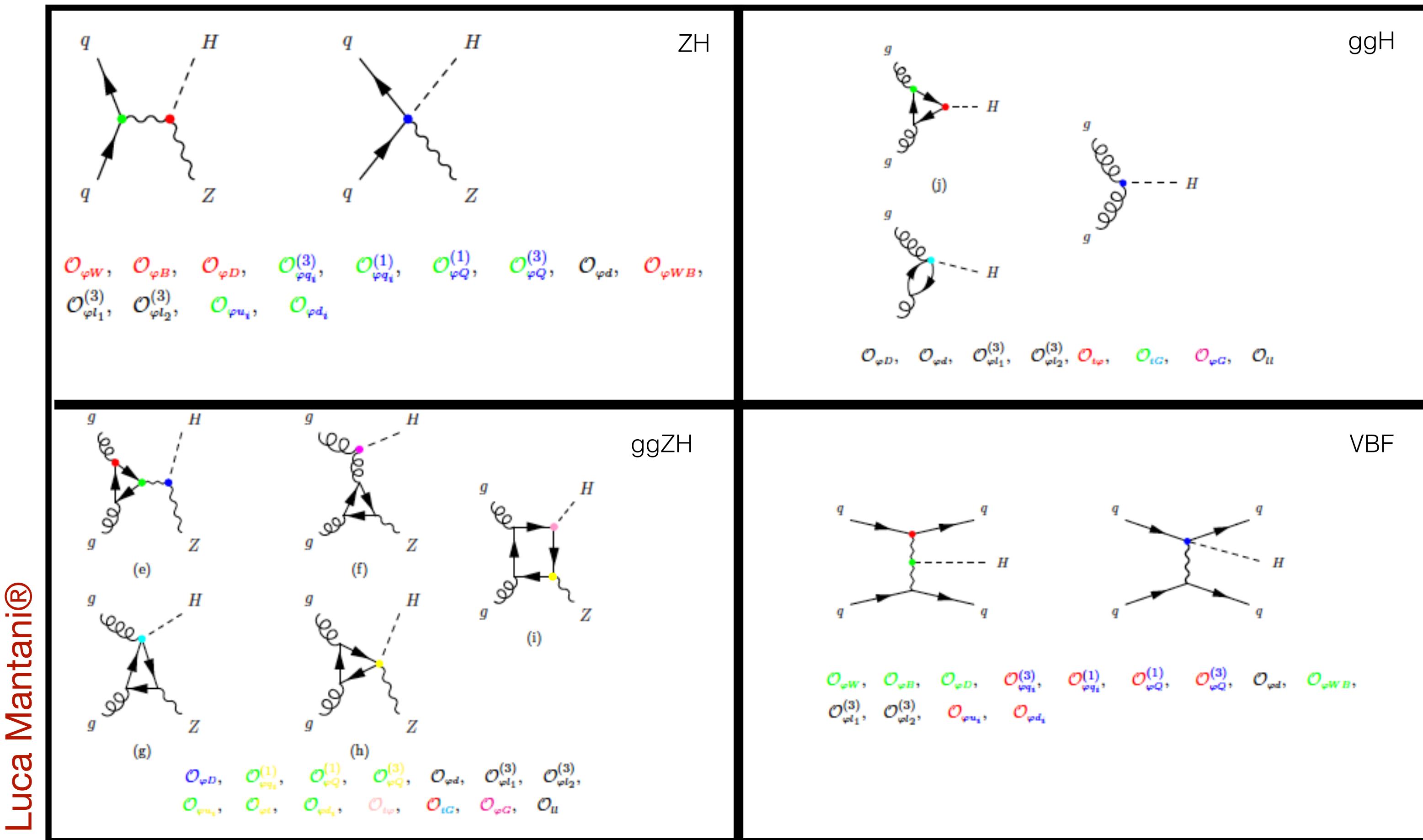
Workflow

Eleni Vryonidou®



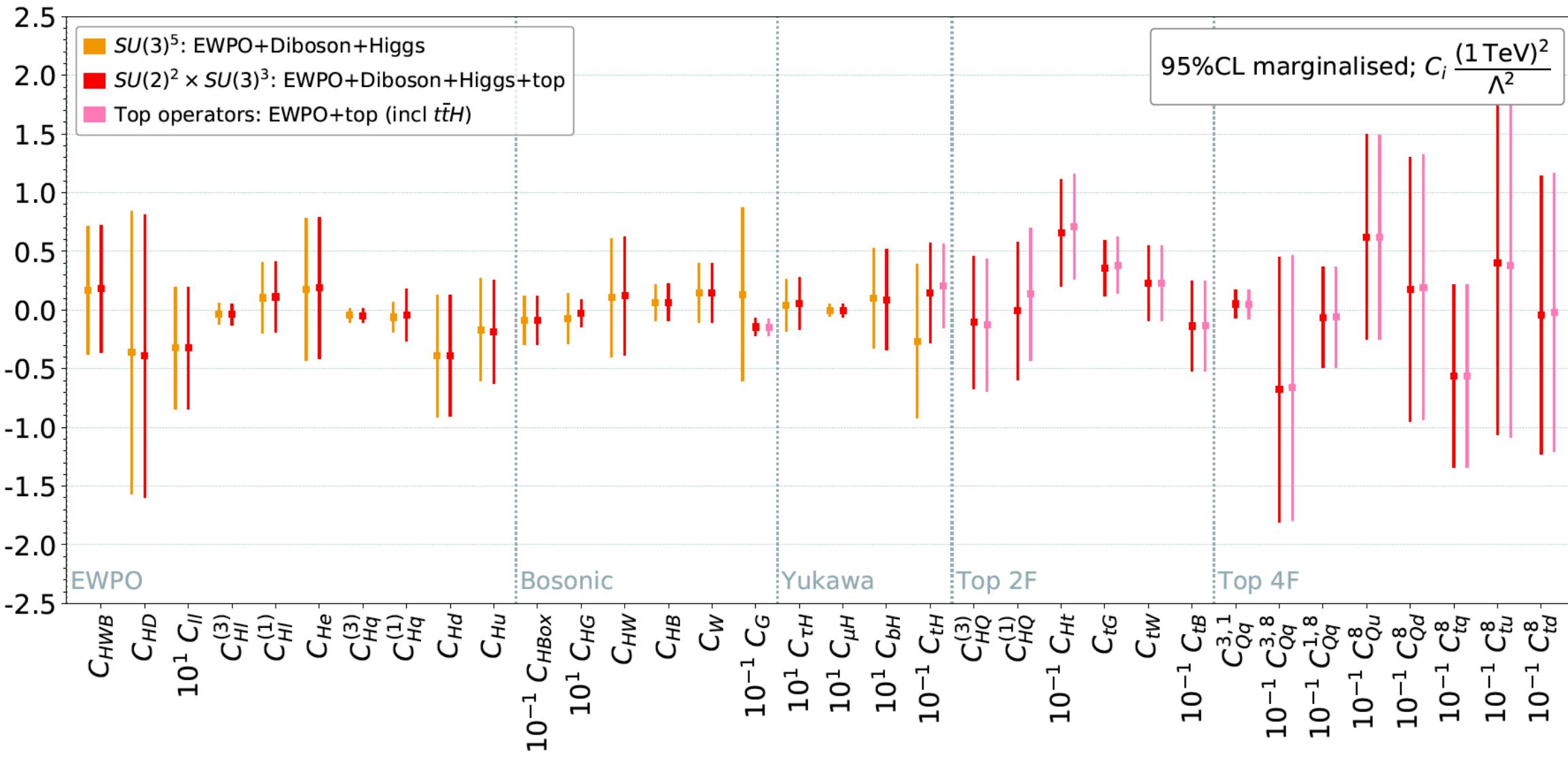
Global fits

Operators vs processes



Global EW(PO)+H+Top Examples

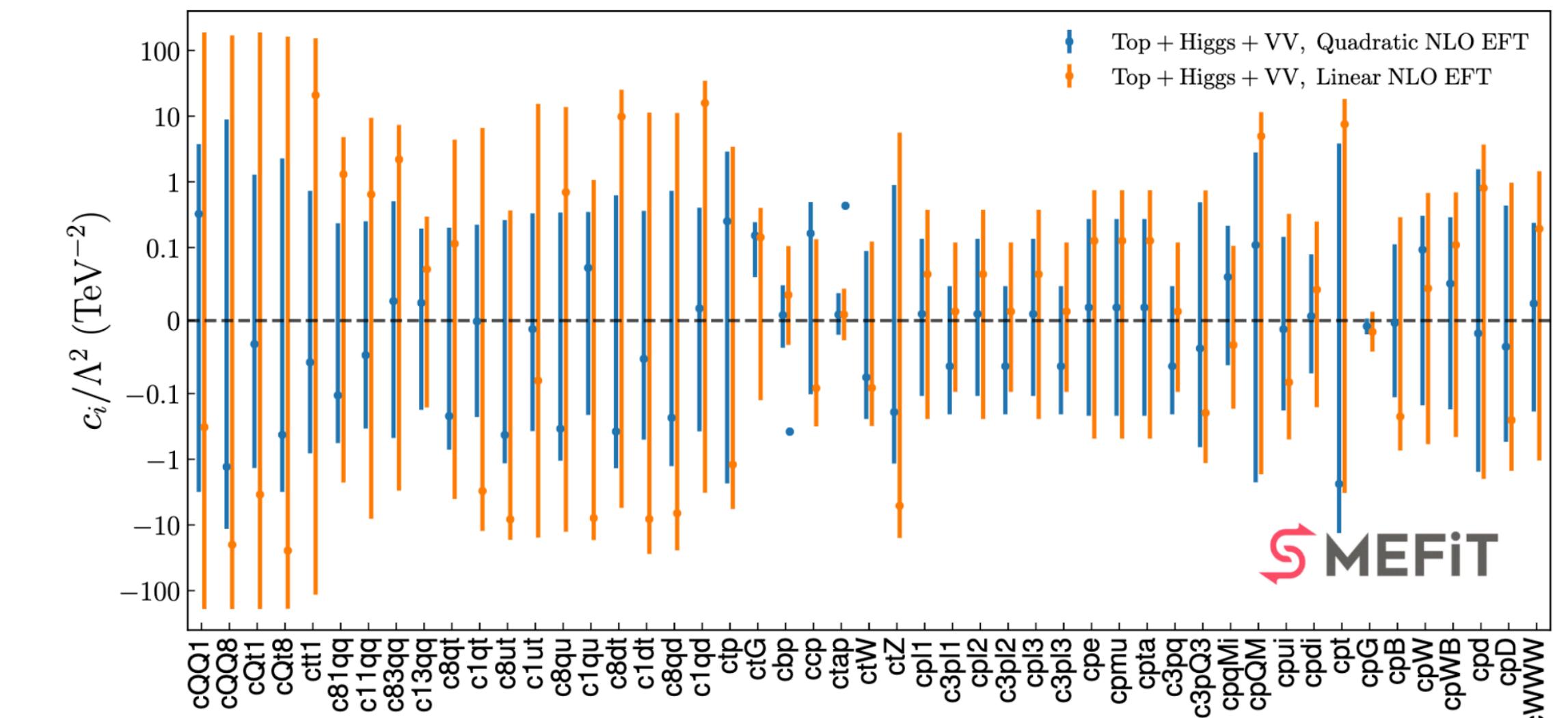
[Ellis et al. 2012.02779]



34 operators, $SU(2)^2 \times SU(3)^3$

EWPO fitted, 341 data points

[Either et al. (SMEFiT) 2105.00006]

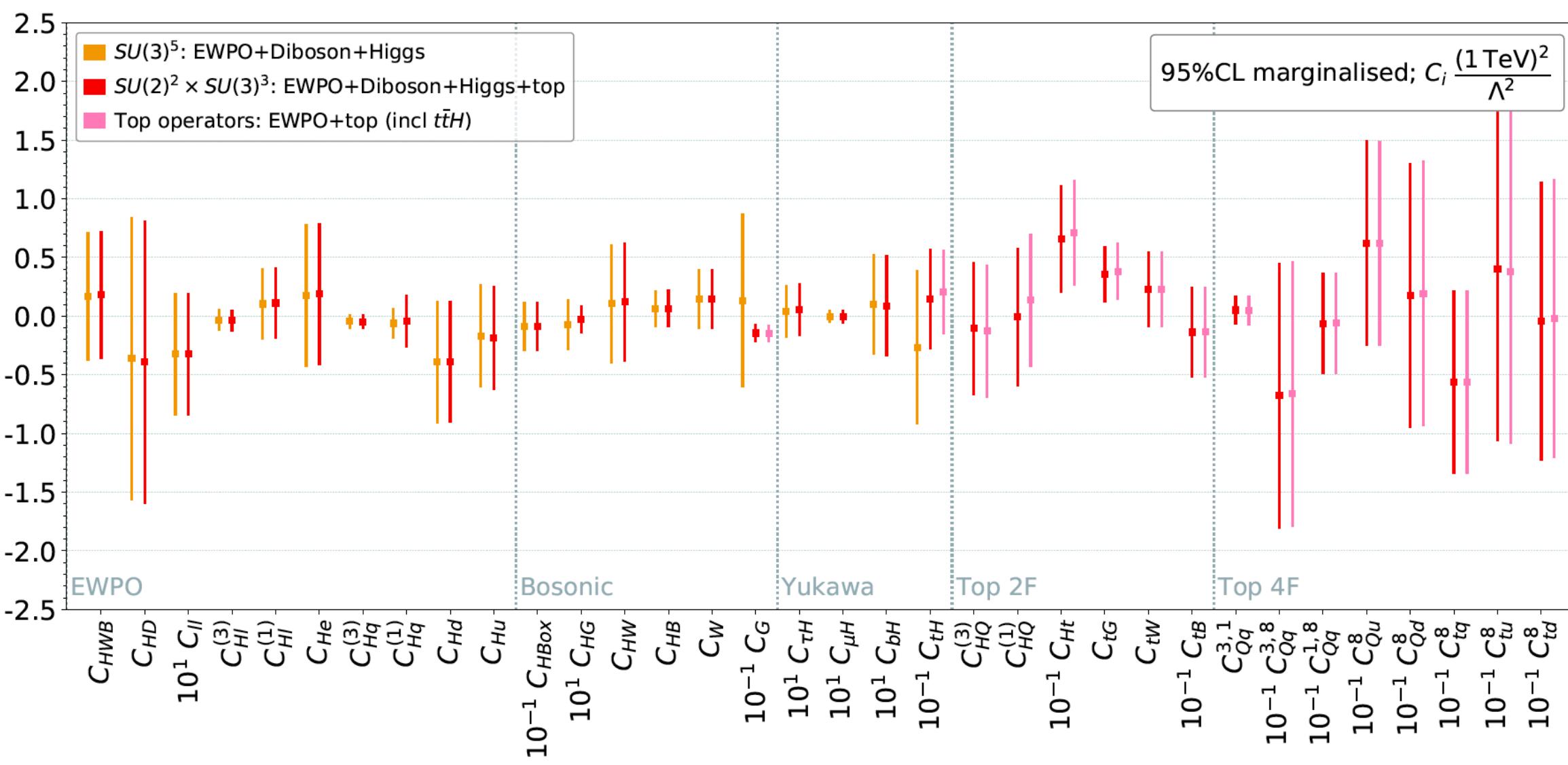


36 operators, $SU(2)^2 \times SU(3)^3$

EWPO fixed, 317 data points

Global EW(PO)+H+Top Examples

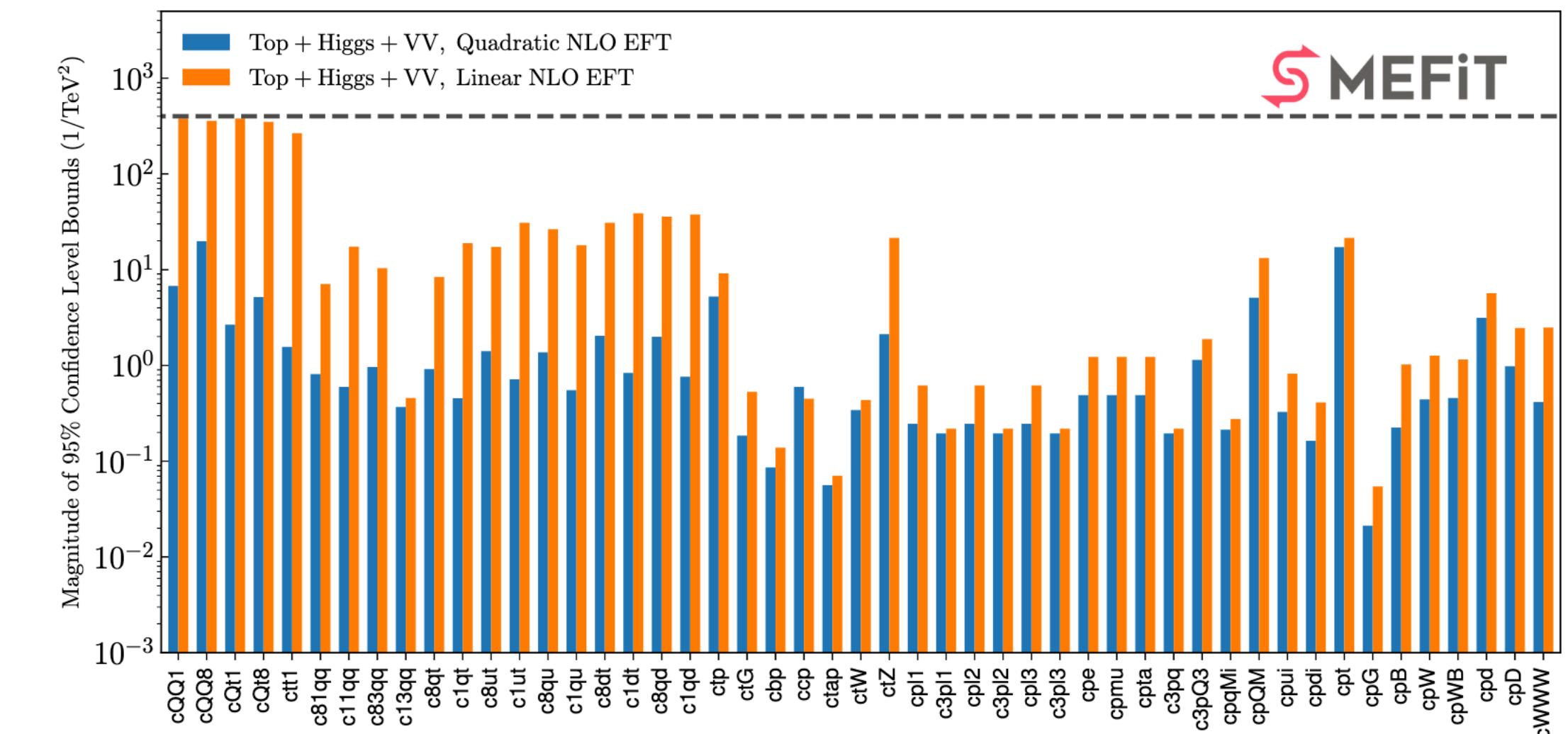
[Ellis et al. 2012.02779]



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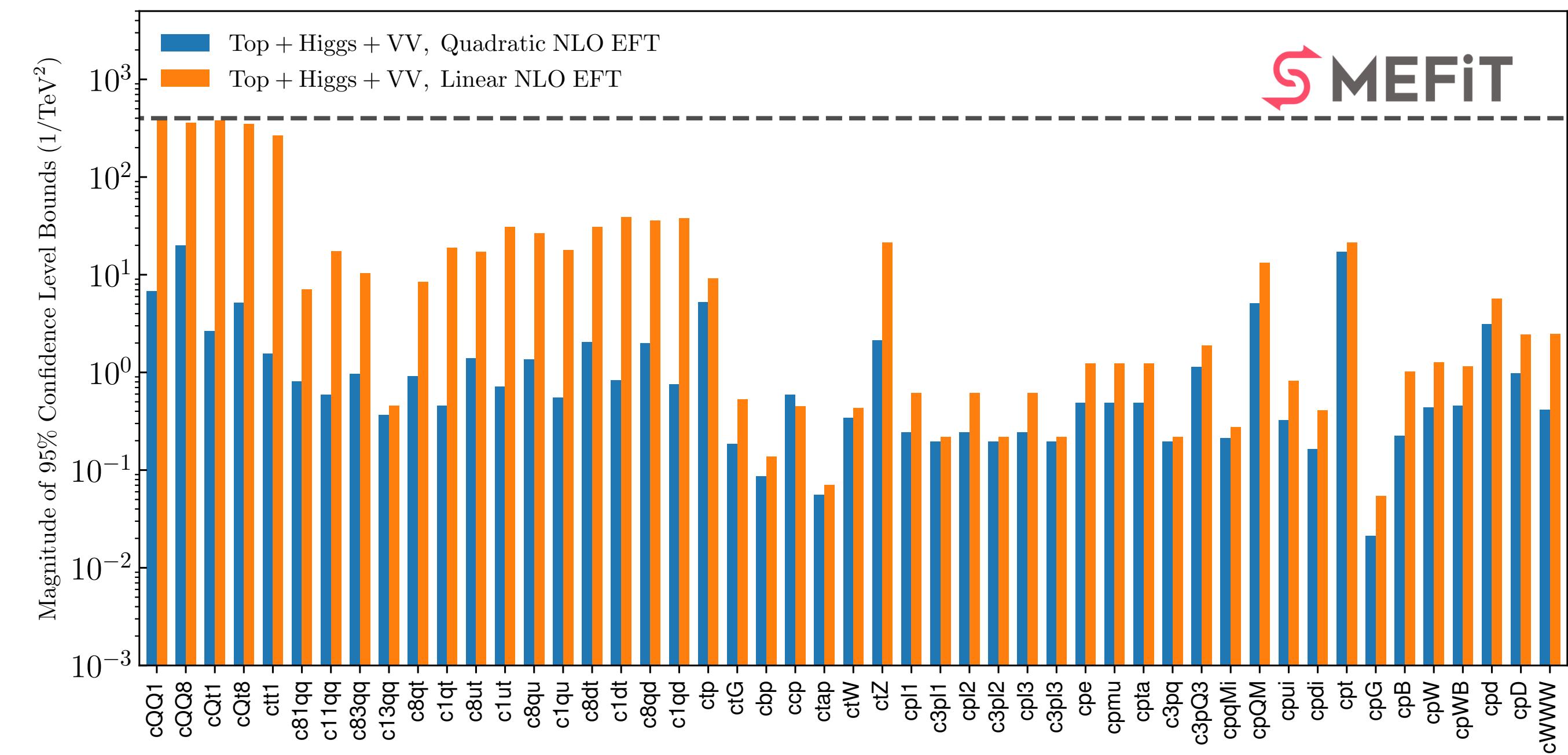
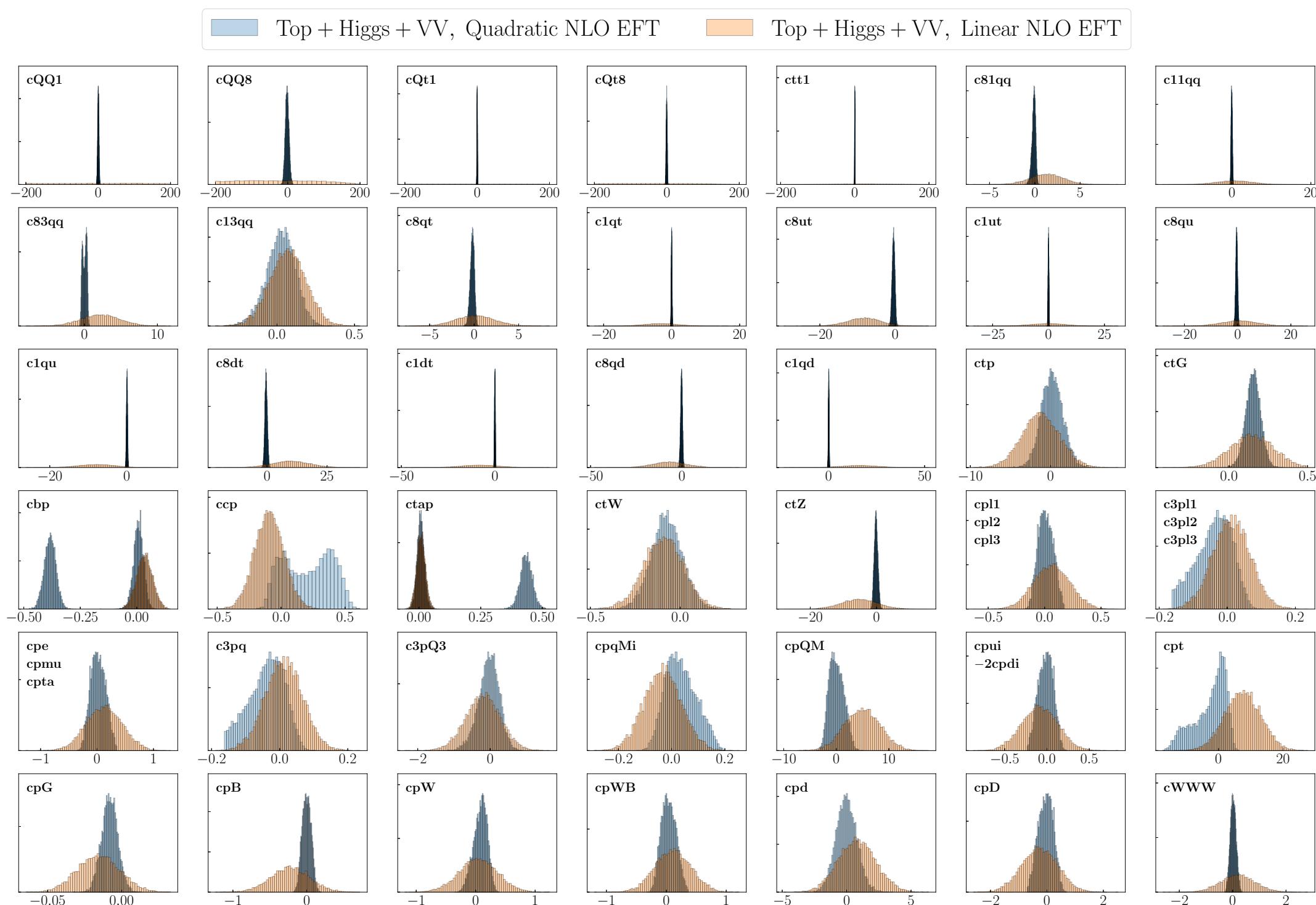


36 operators, $SU(2)^2 \times SU(3)^3$

EWPO fixed, 317 data points

Global EW(PO)+H+Top

Linear vs quadratic

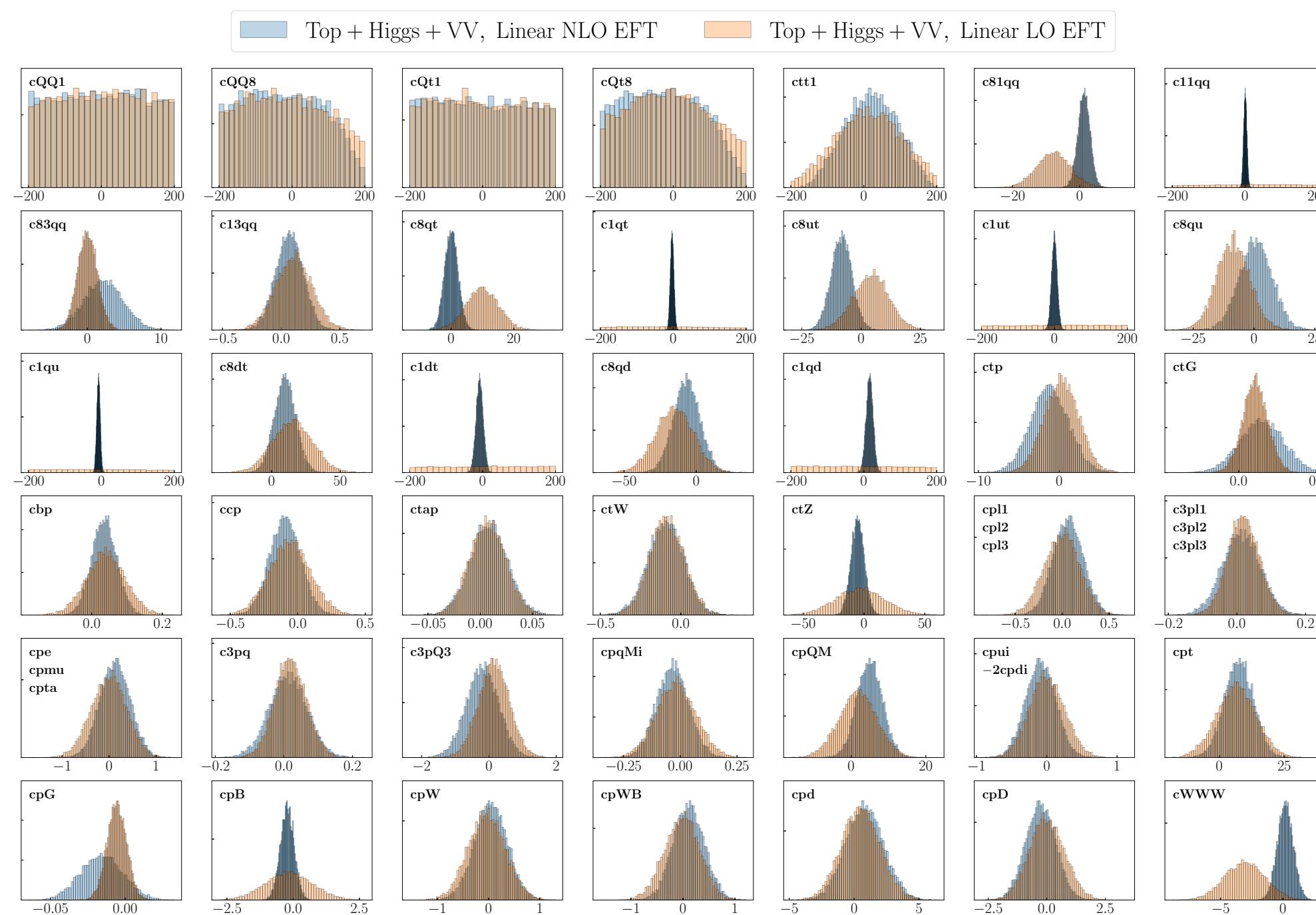


Significant impact for most operators
in particular 4-fermion operators

[Either et al. (SMEFiT) 2105.00006]

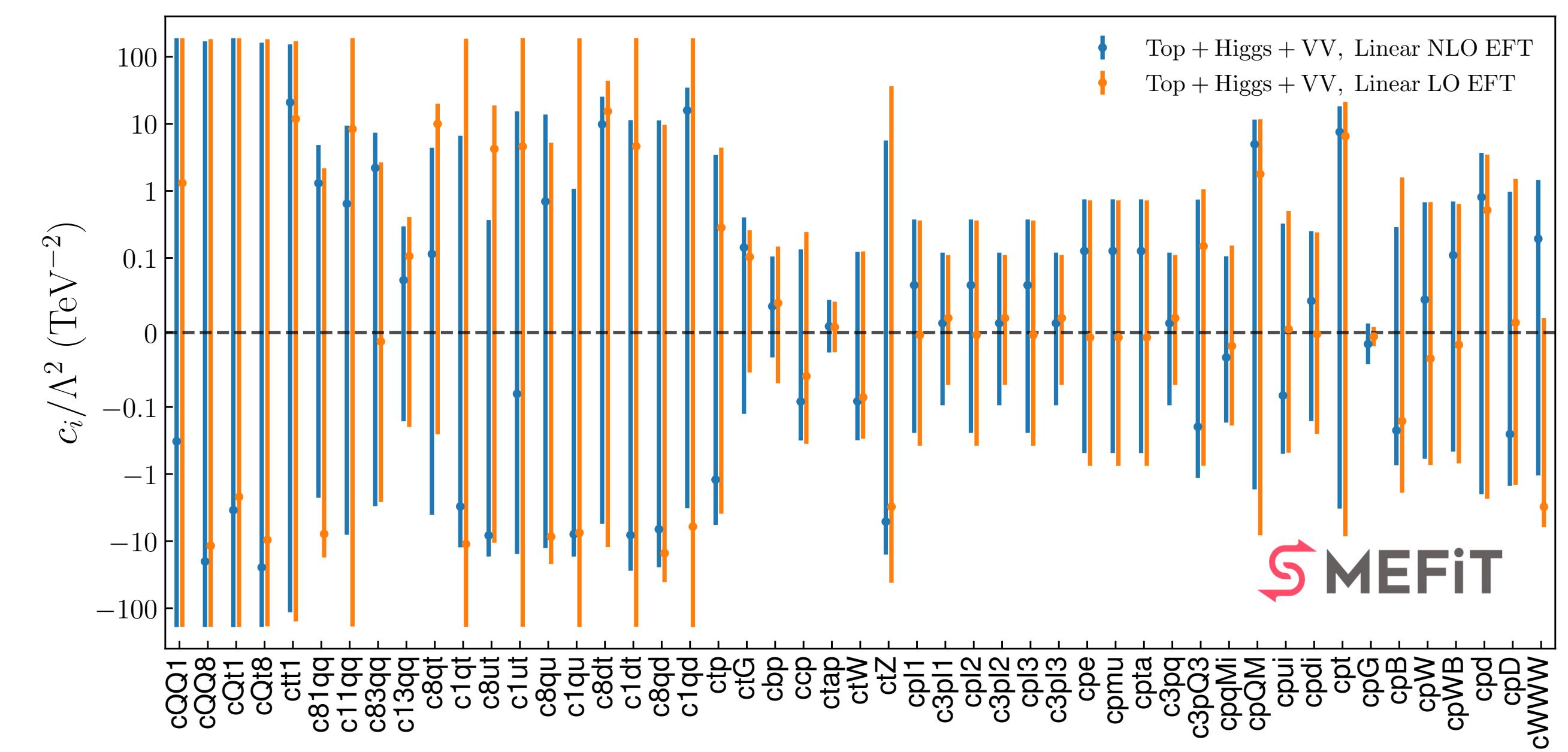
Global EW(PO)+H+Top

LO vs NLO : linear



Posterior distributions for Wilson coefficients

[\[Either et al. \(SMEFiT\) 2105.00006\]](#)

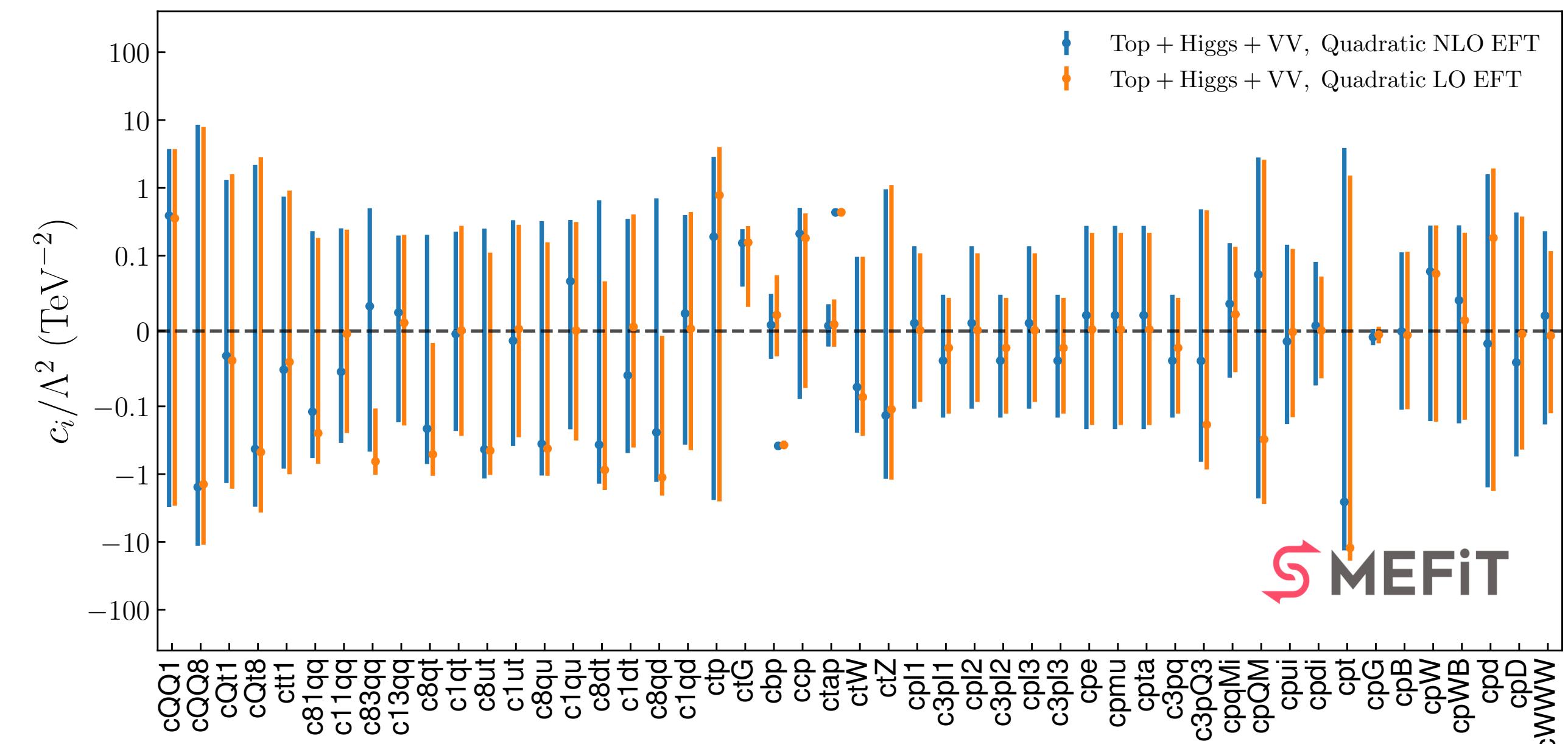
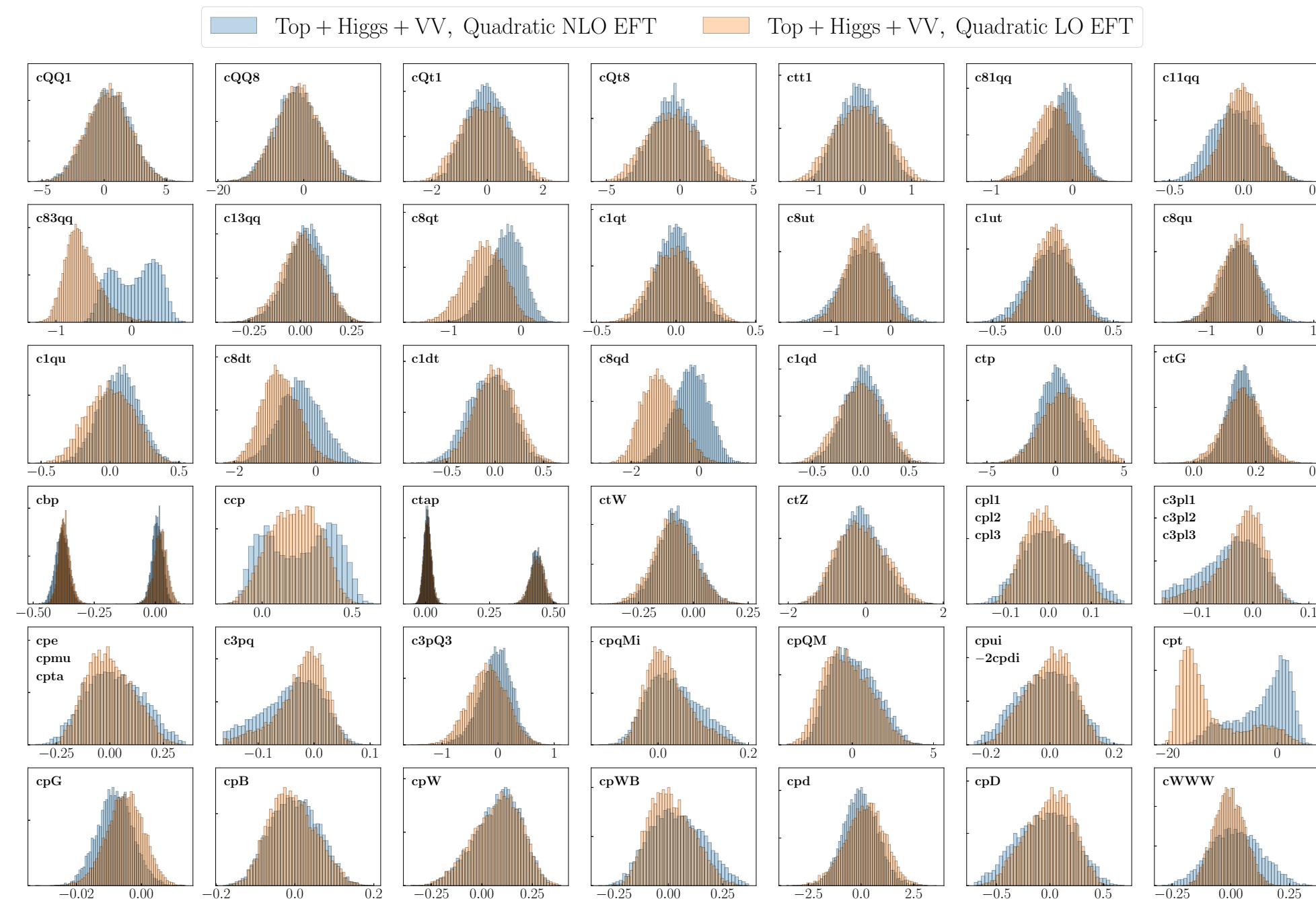


Significant impact of NLO for some operators

NLO resolves non-interference problem for colour singlet 4F operators

Global EW(PO)+H+Top

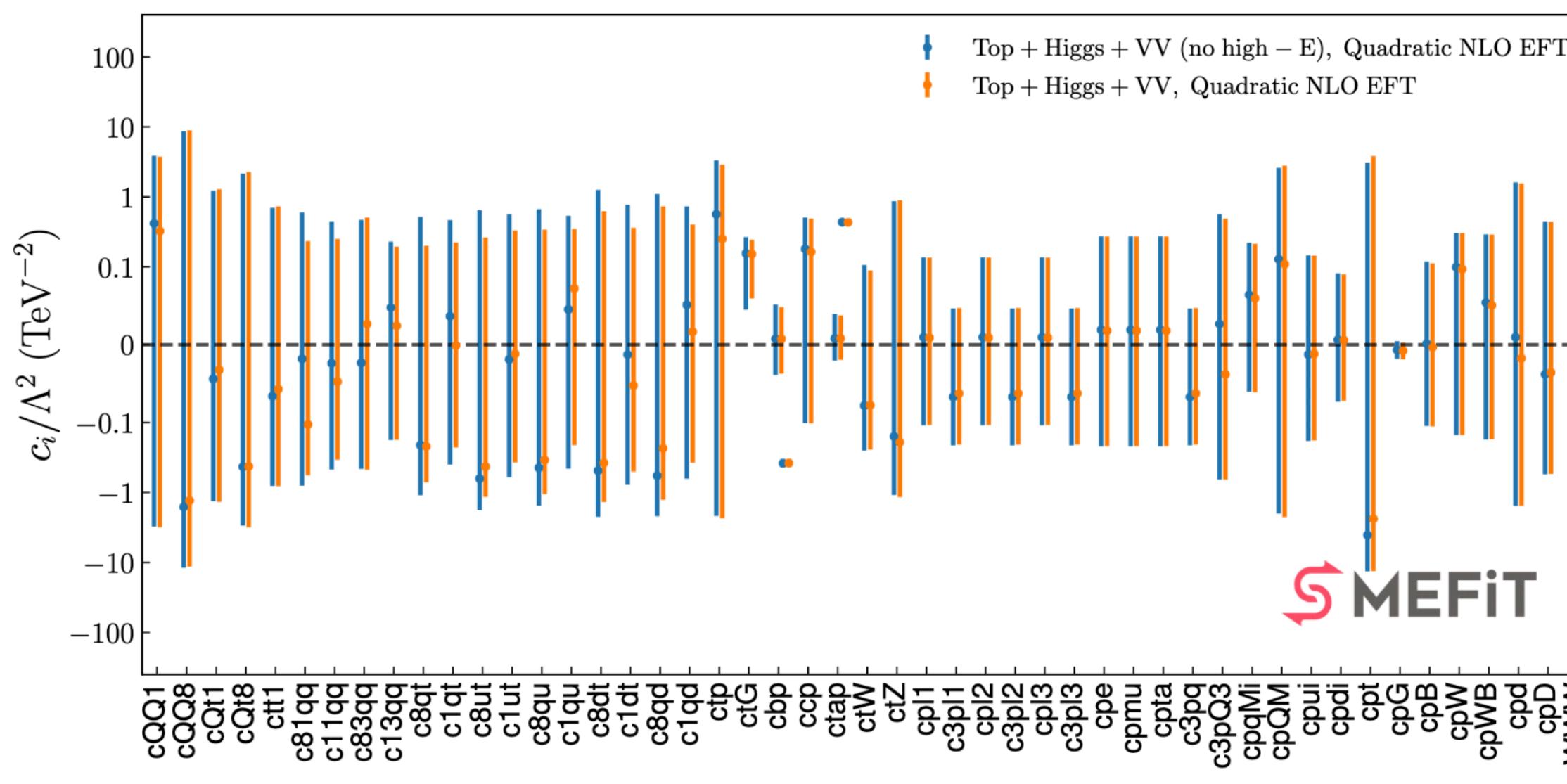
LO vs NLO : quadratic



[Either et al. (SMEFiT) 2105.00006]

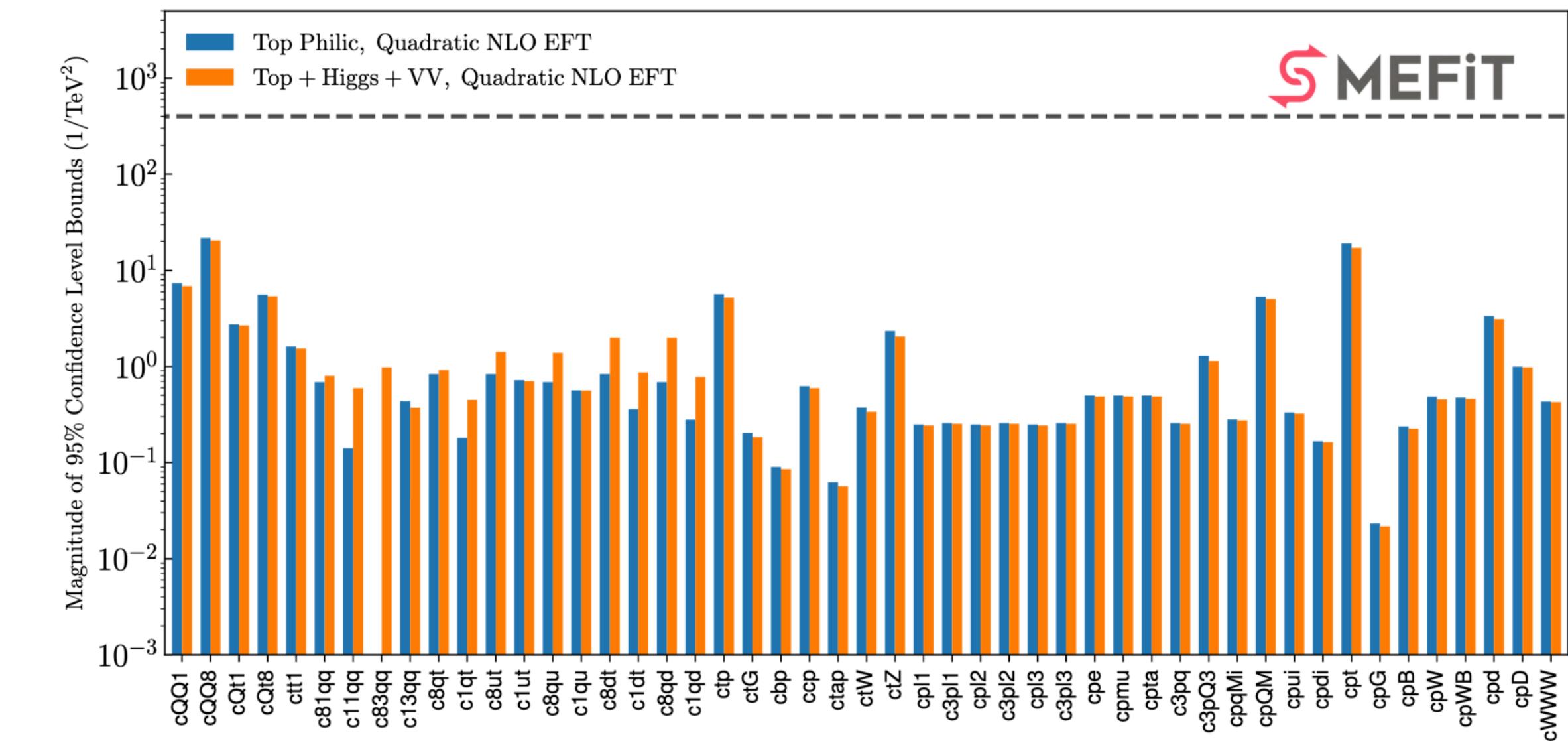
Global EW(PO)+H+Top Restrictions

Data restriction



The limited role of the high energy tails (so far)

Theory restriction

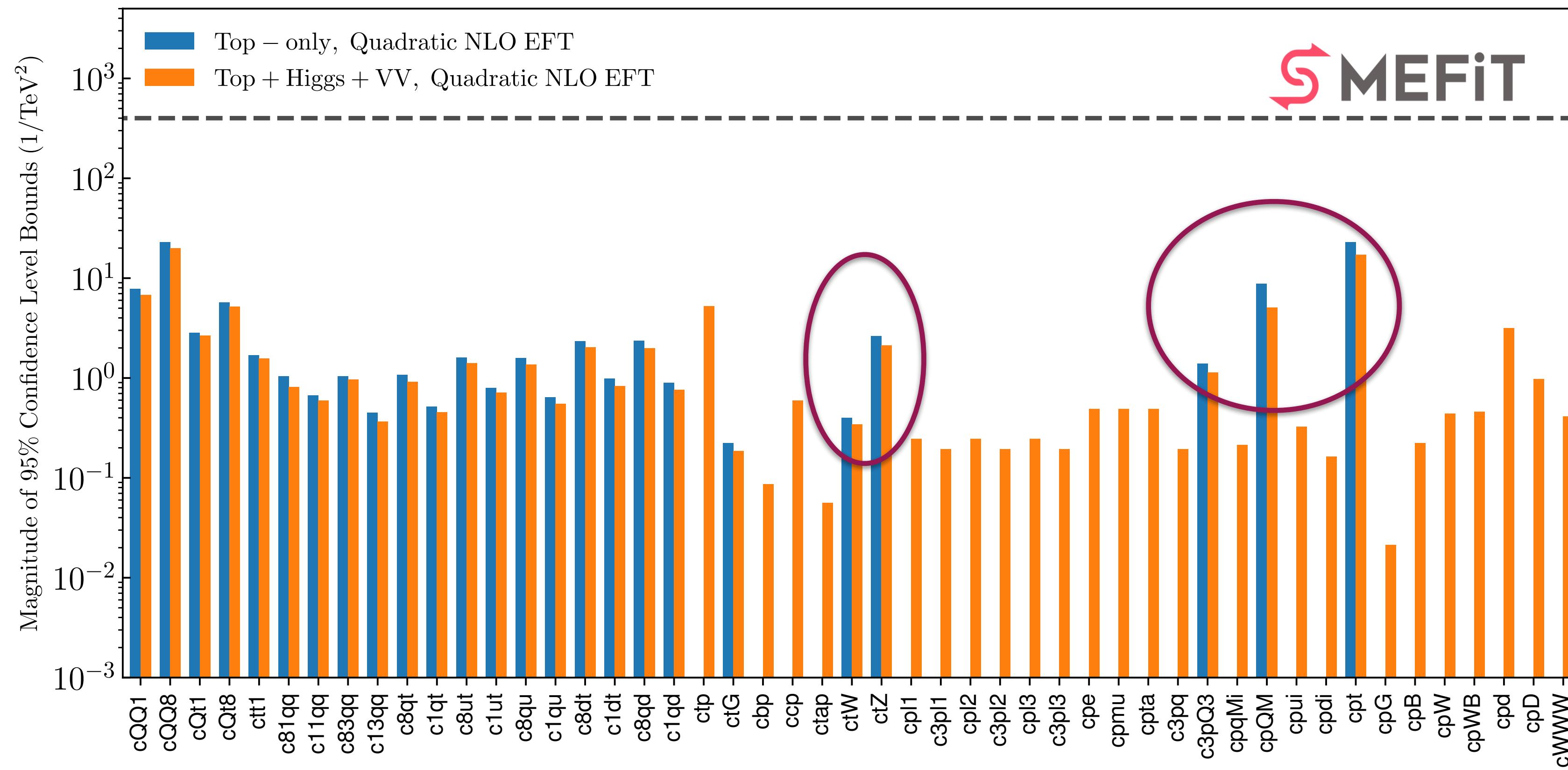


Top-Philic scenario ($14 \rightarrow 5$ dof in the 2Q2q)

[Either et al. (SMEiT) 2105.00006]

Global EW(PO)+H+Top

Top and Higgs

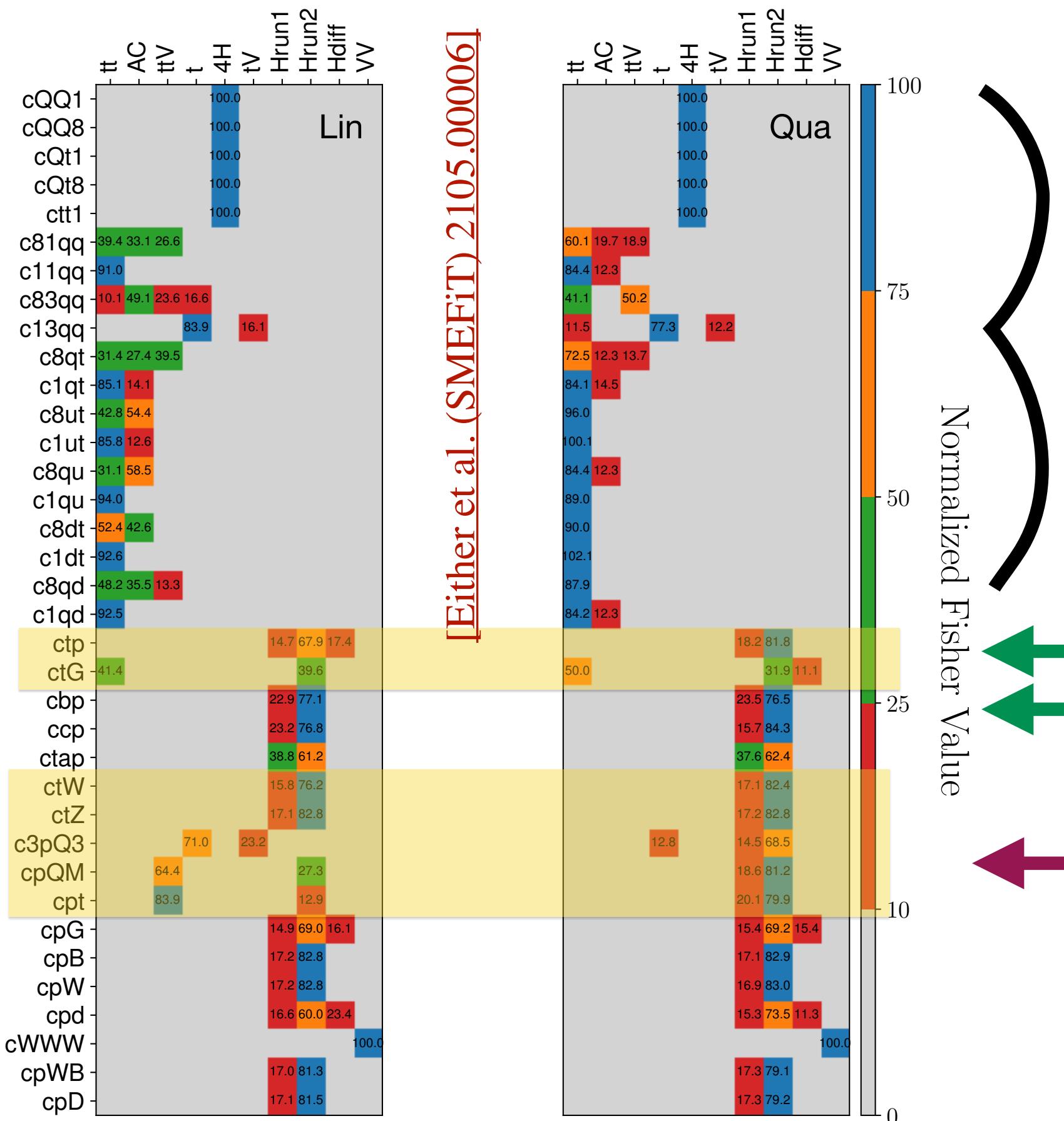


[Either et al. (SMEFiT) 2105.00006]

Higgs data improves certain top operator bounds

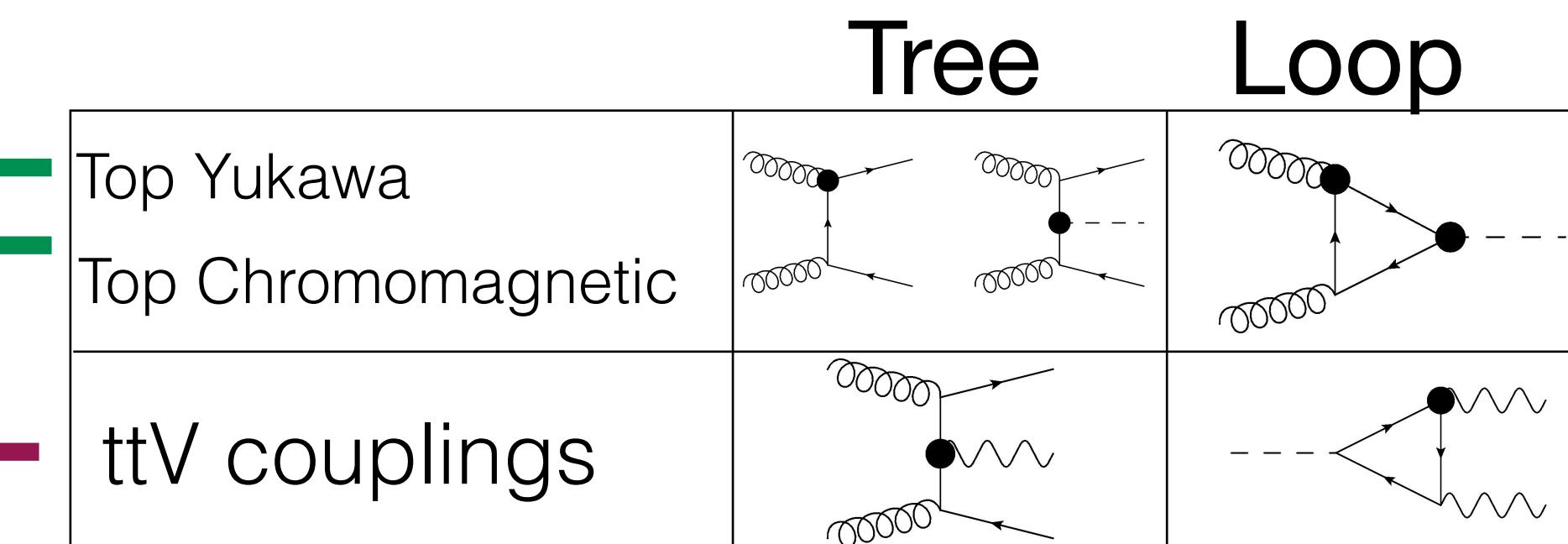
Global EW(PO)+H+Top

Top and Higgs



4F mostly top

Normalized Fisher Value

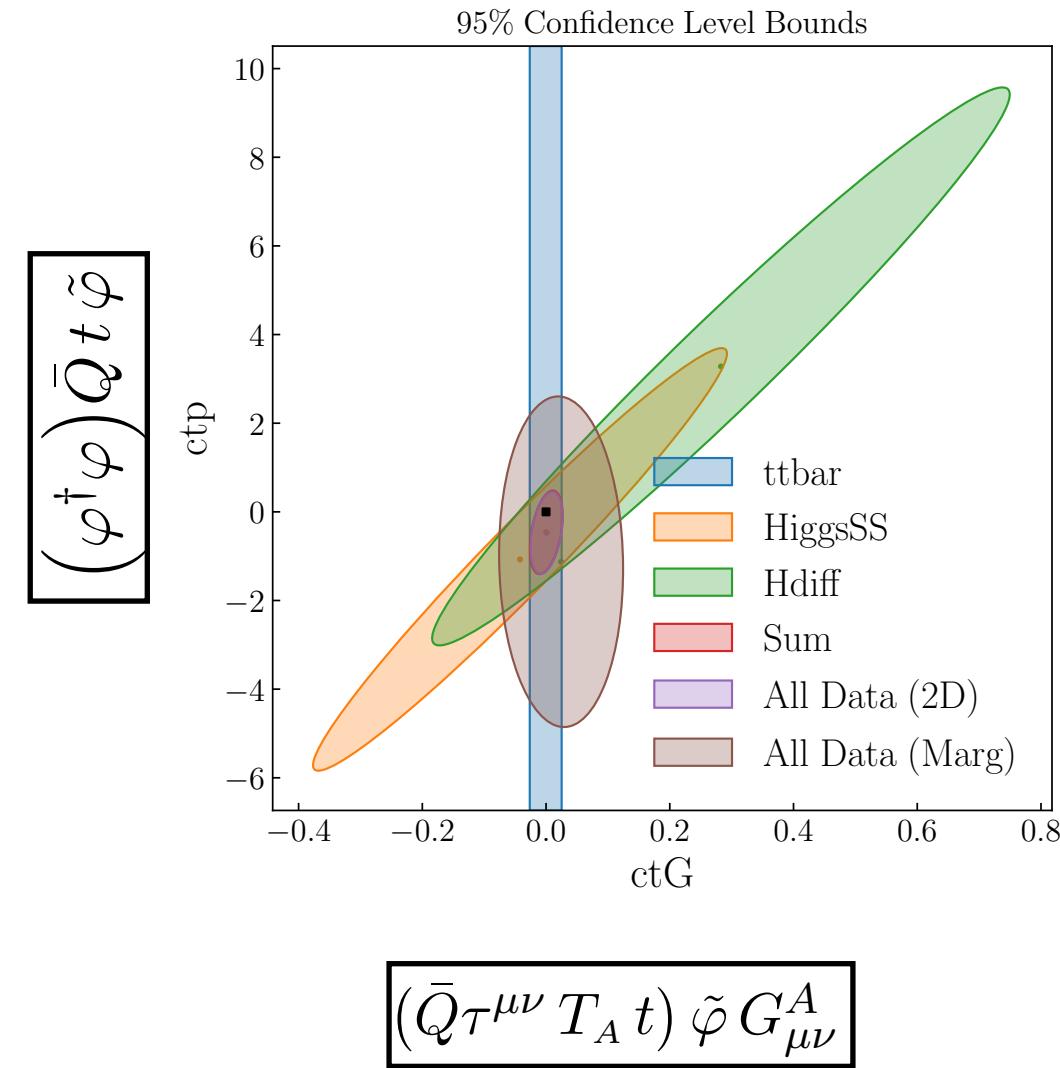


Tree-loop interface

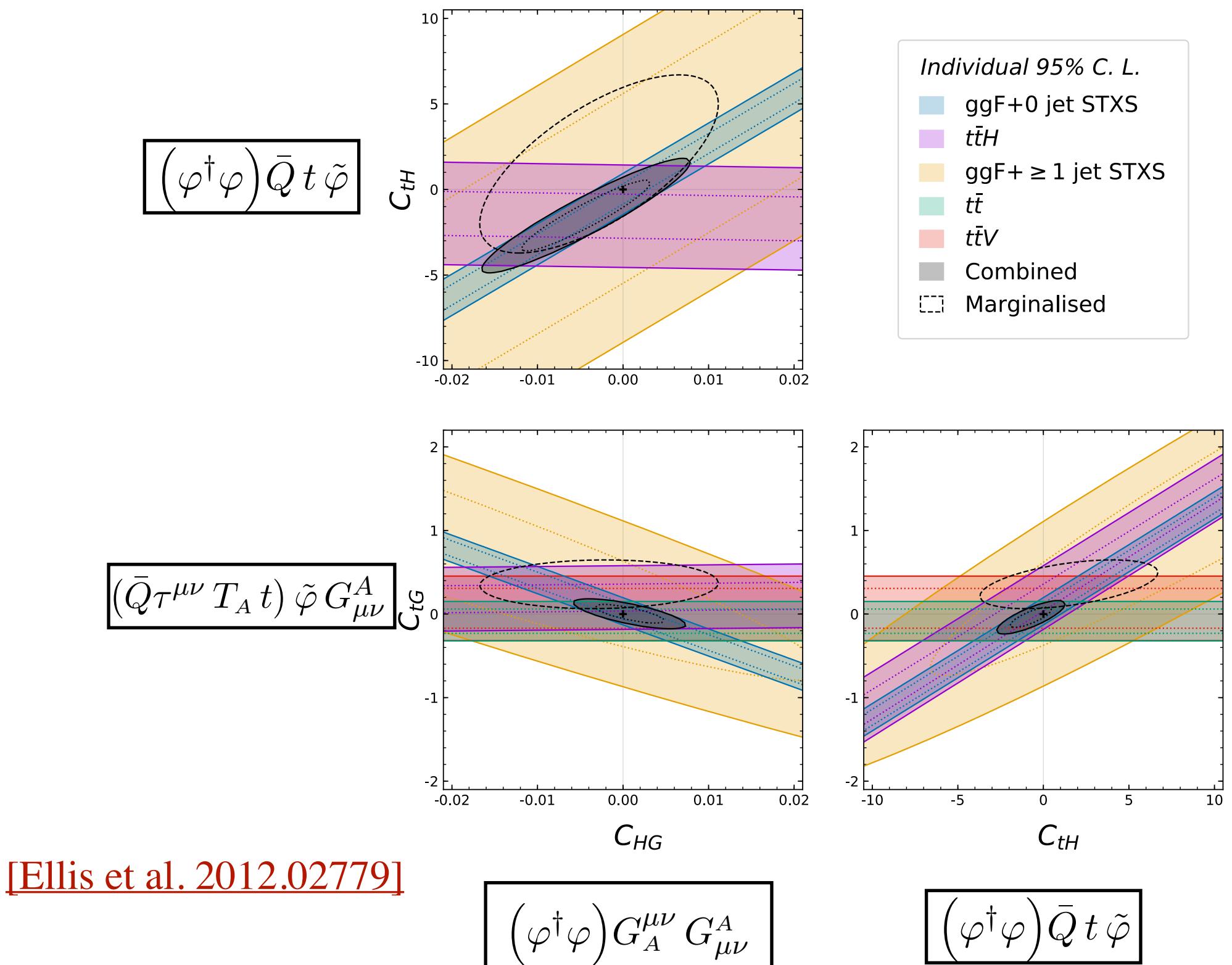
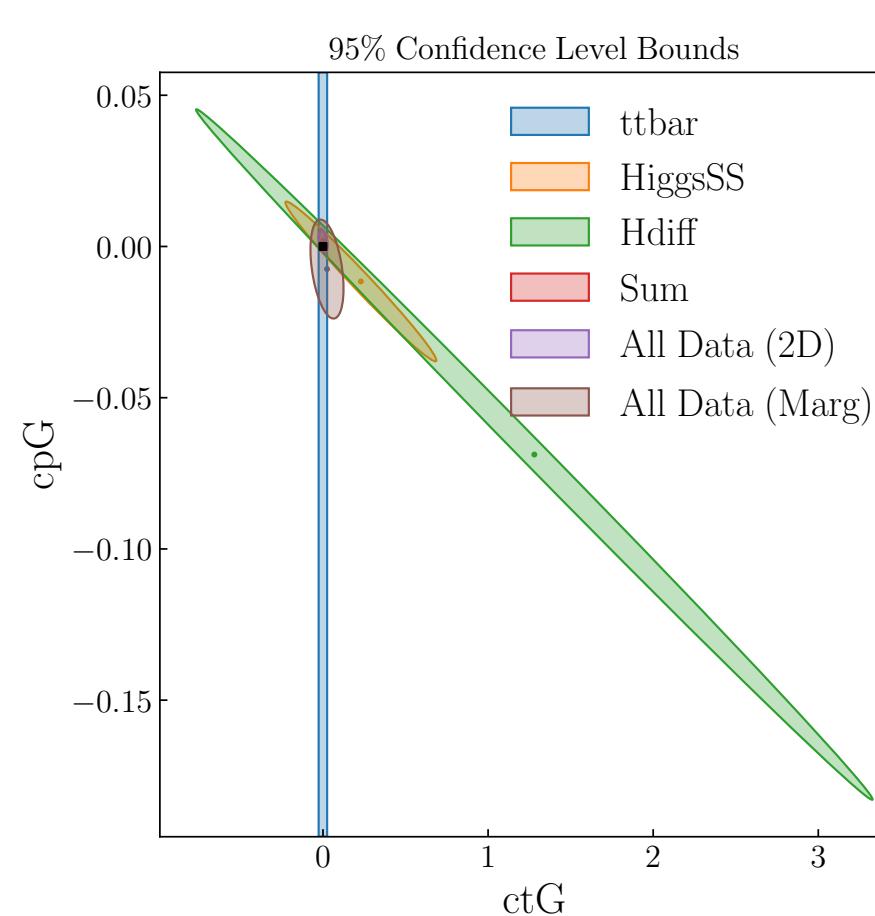
Fisher information table

Global EW(PO)+H+Top

Top and Higgs



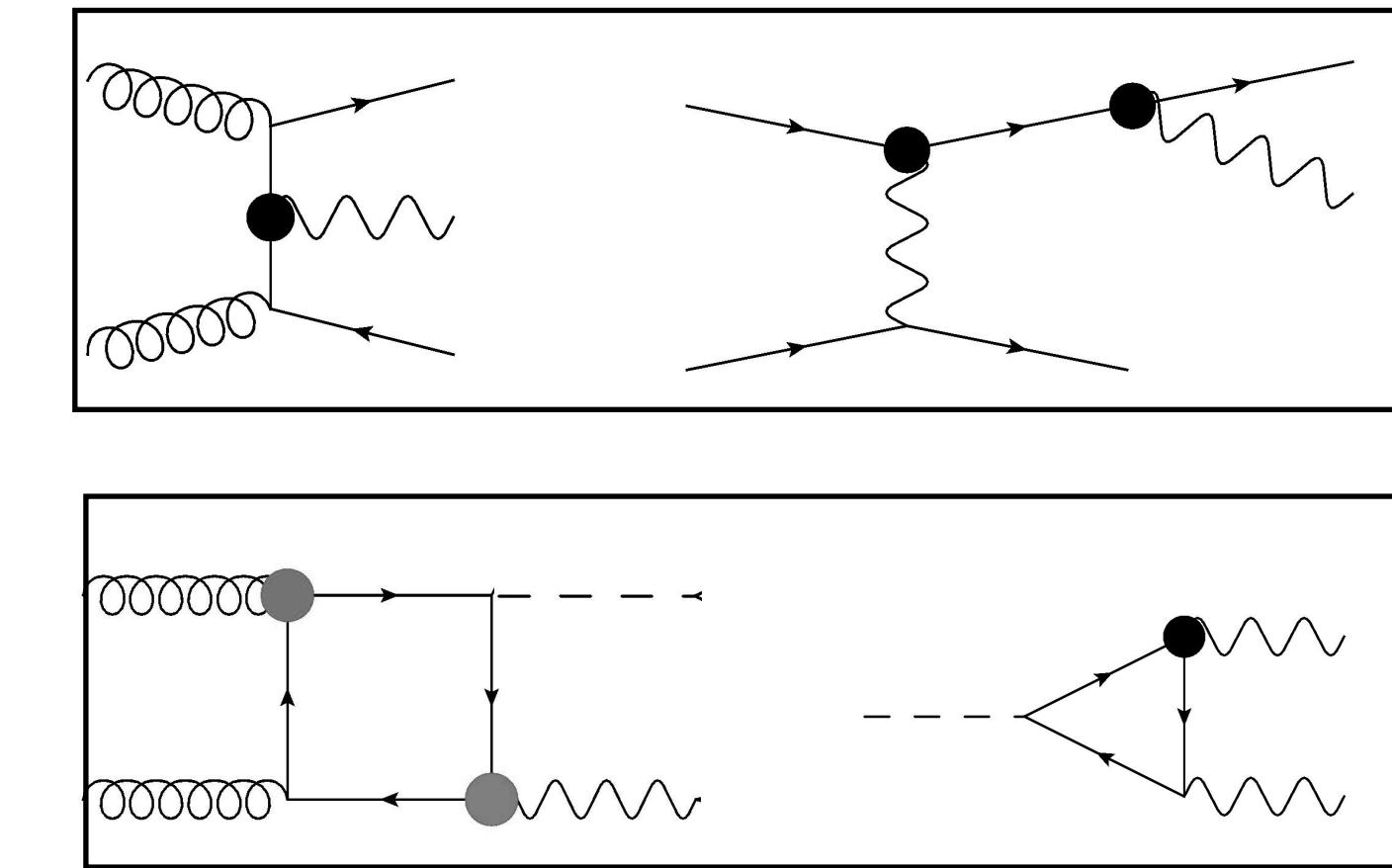
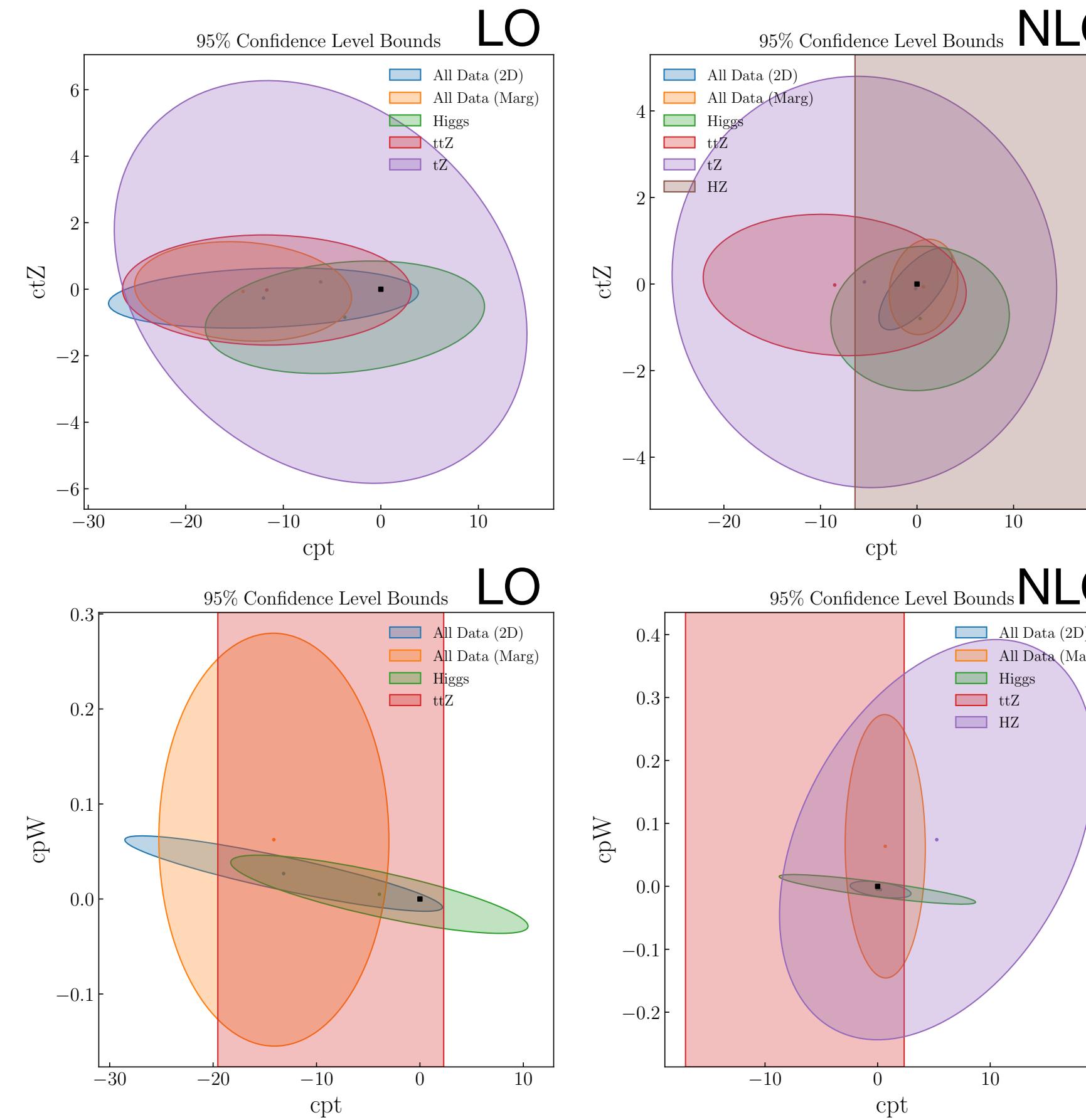
[Either et al. (SMEFiT) 2105.00006]



Top measurements break the degeneracy between Higgs operators

Global EW(PO)+H+Top

Top and Higgs



$\mathcal{O}_{\varphi t}$	cpt	$i(\varphi^\dagger \vec{D}_\mu \varphi)(\bar{t} \gamma^\mu t)$
$\mathcal{O}_{\varphi W}$	cpW	$\left(\varphi^\dagger \varphi - \frac{v^2}{2}\right) W_I^{\mu\nu} W_{\mu\nu}^I$
\mathcal{O}_{tW}	-	$i(\bar{Q} \tau^{\mu\nu} \tau_I t) \tilde{\varphi} W_{\mu\nu}^I + \text{h.c.}$
\mathcal{O}_{tB}	-	$i(\bar{Q} \tau^{\mu\nu} t) \tilde{\varphi} B_{\mu\nu} + \text{h.c.}$

[Either et al. (SMEFiT) 2105.00006]

Global EW(PO)+H+Top

3 points to take home

1. Current fits are at an exploratory state, yet prove feasibility.
2. Dedicated EFT studies/observables needed to improve sensitivity.
3. Shift towards combinable measurements is needed.

Global EW(PO)+H+Top

Work in progress

1. RGE effects
2. Complete-LO
3. Comparisons with UV models

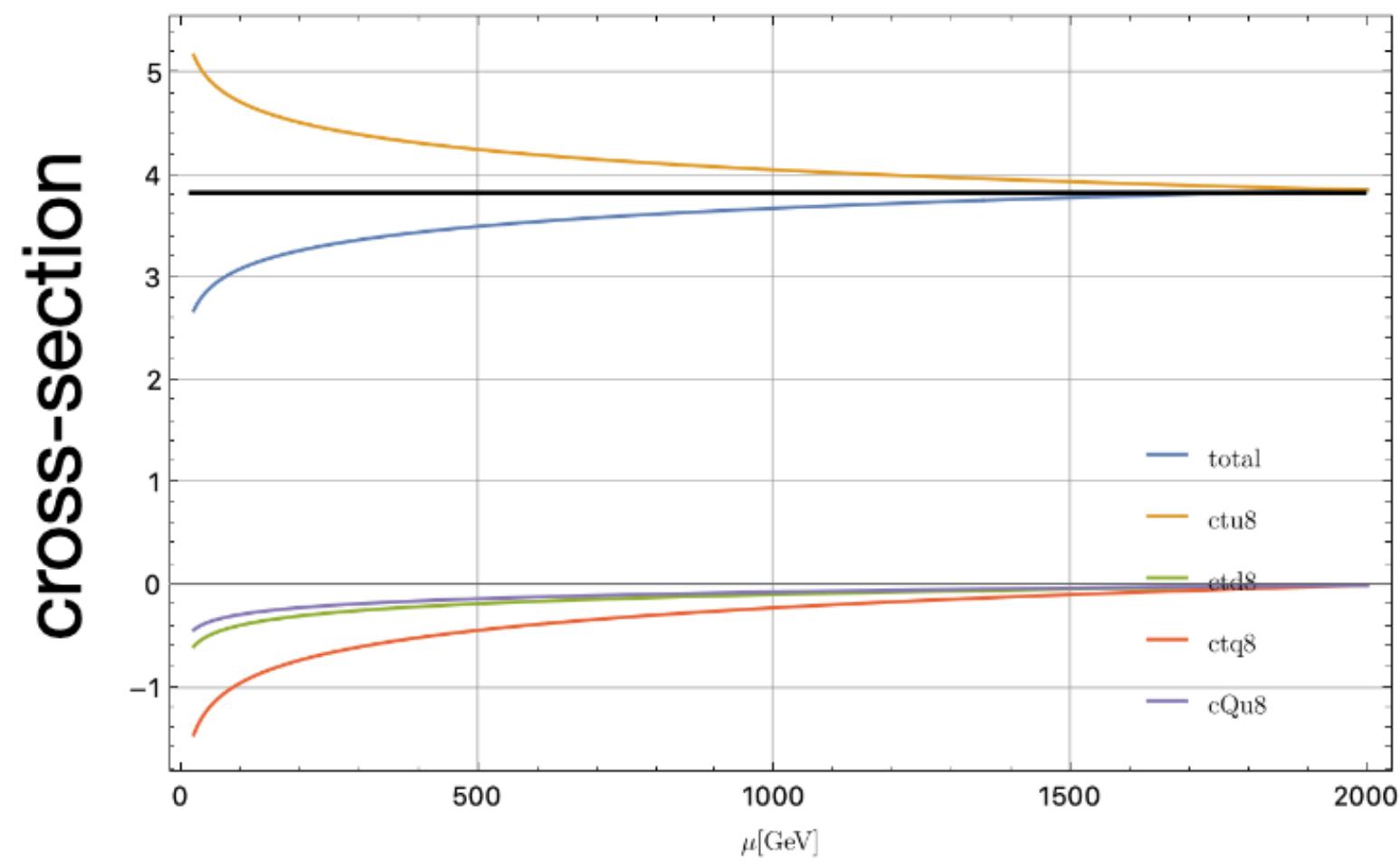
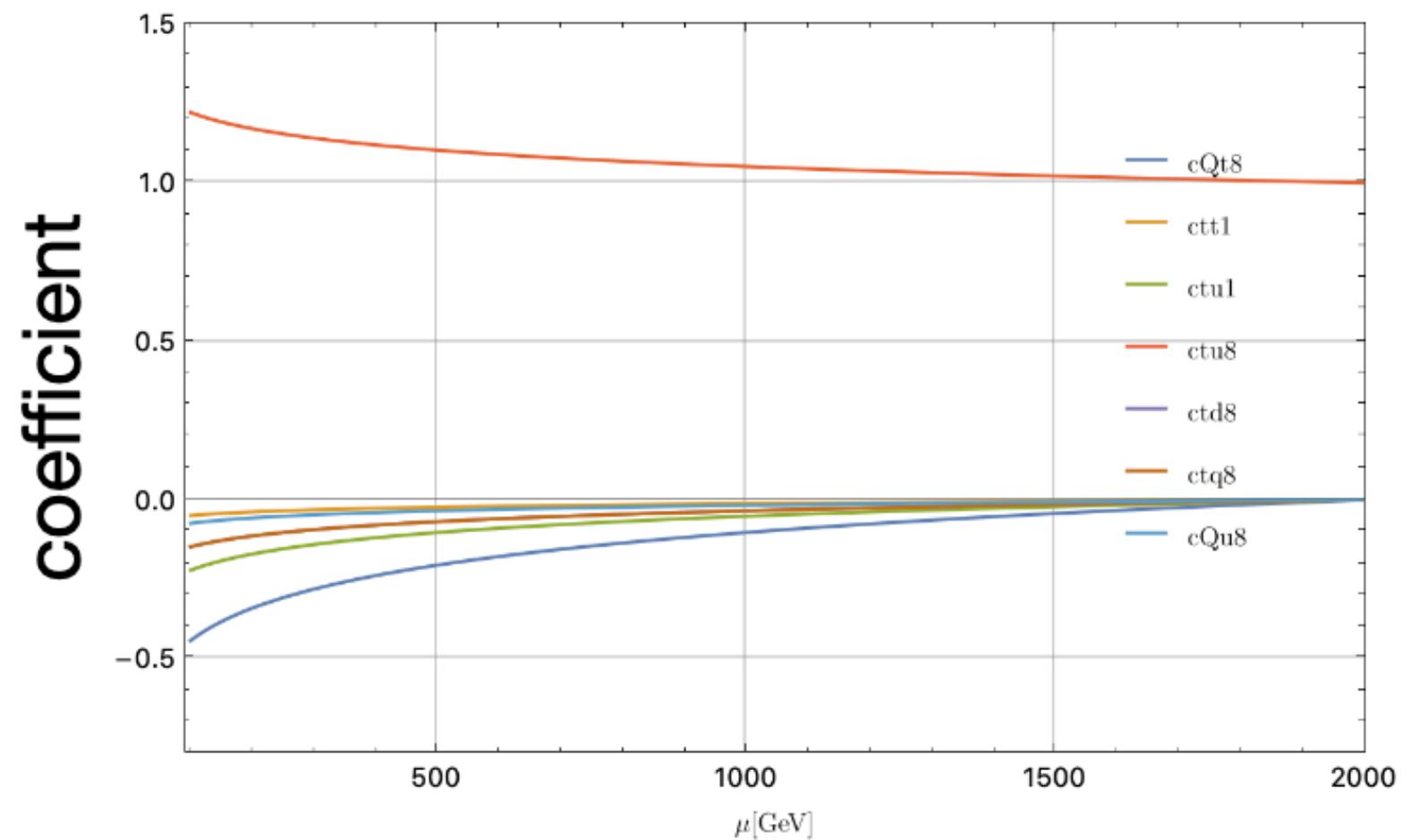
Global EW(PO)+H+Top

Work in progress

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3. Comparisons with UV models



Global EW(PO)+H+Top

Work in progress

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Global EW(PO)+H+Top

Work in progress

[\[Darme, Fuks, FM, 2104.09512\]](#)

1. RGE effects

2. Complete-LO

3. Comparisons with UV models

	LO			NLO	
	$ {\text{new physics}} ^2$	Int. QCD only	Int. EW only	QCD [40]	via K_{SM}
$\mathcal{O}_{LL}^1/2$	$0.8^{+44\%}_{-28\%}$ fb	$0.20^{+47\%}_{-31\%}$ fb	$-0.80^{+41\%}_{-28\%}$ fb	$1.6^{+3\%}_{-10\%}$ fb	$0.62^{+18\%}_{-22\%}$ fb
\mathcal{O}_{LR}^1	$1.1^{+45\%}_{-27\%}$ fb	$-0.02^{+32\%}_{-16\%}$ fb	$0.60^{+44\%}_{-28\%}$ fb	$1.84^{+3\%}_{-10\%}$ fb	$3.9^{+21\%}_{-26\%}$ fb
\mathcal{O}_{RR}^1	$3.4^{+44\%}_{-28\%}$ fb	$0.39^{+55\%}_{-29\%}$ fb	$-1.42^{+40\%}_{-30\%}$ fb	$6.14^{+3\%}_{-10\%}$ fb	$5.5^{+20\%}_{-22\%}$ fb
\mathcal{O}_{LR}^8	$0.28^{+44\%}_{-29\%}$ fb	$0.22^{+52\%}_{-35\%}$ fb	$-0.49^{+42\%}_{-28\%}$ fb	$0.69^{+3\%}_{-8\%}$ fb	$0.01^{+0.10}_{-0.04}$ fb
SM	/	$4.7^{+66\%}_{-38\%}$ fb	$0.50^{+0.95}_{-0.87}$ fb	/	$11.97^{+18\%}_{-21\%}$ fb

Global EW(PO)+H+Top

Work in progress

1. RGE effects
2. Complete-LO
3. Comparisons with UV models

Global EW(PO)+H+Top

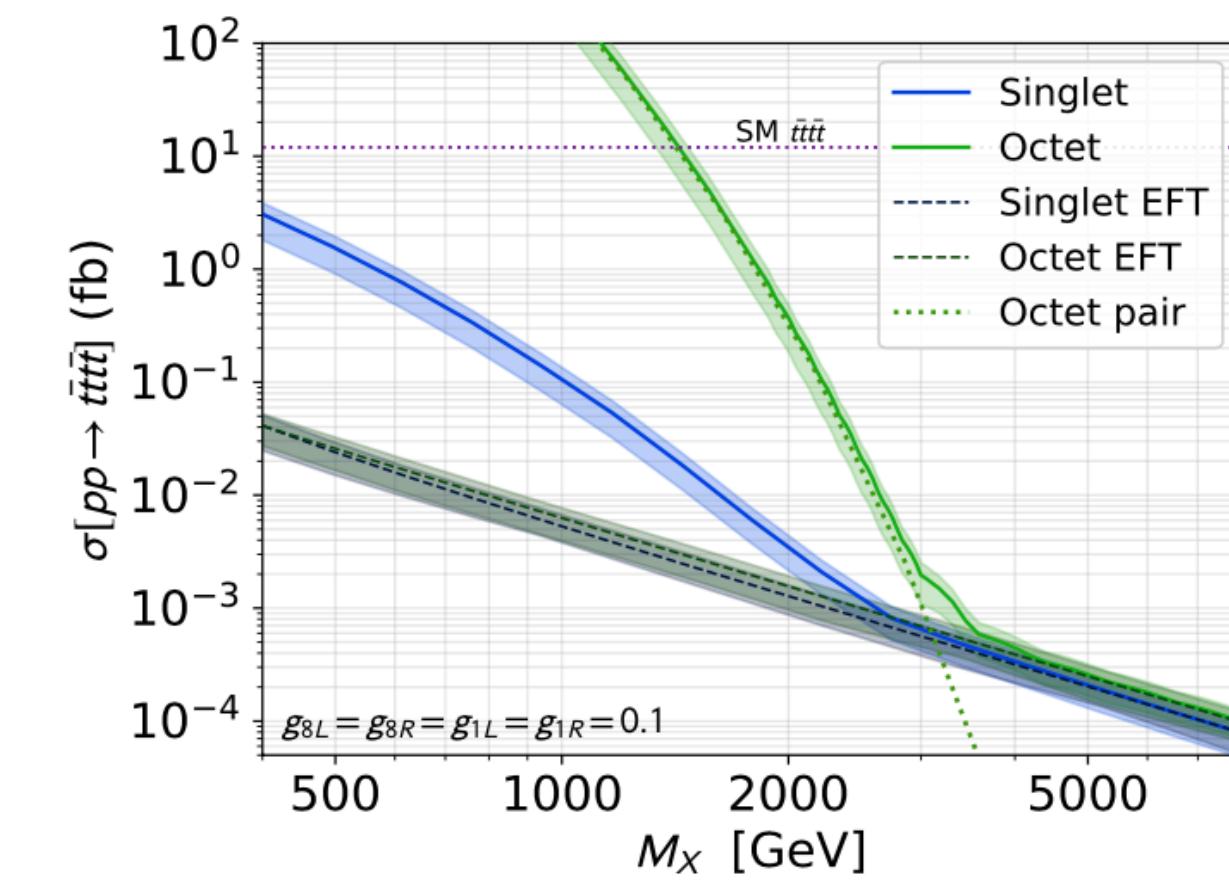
Work in progress

1. RGE effects

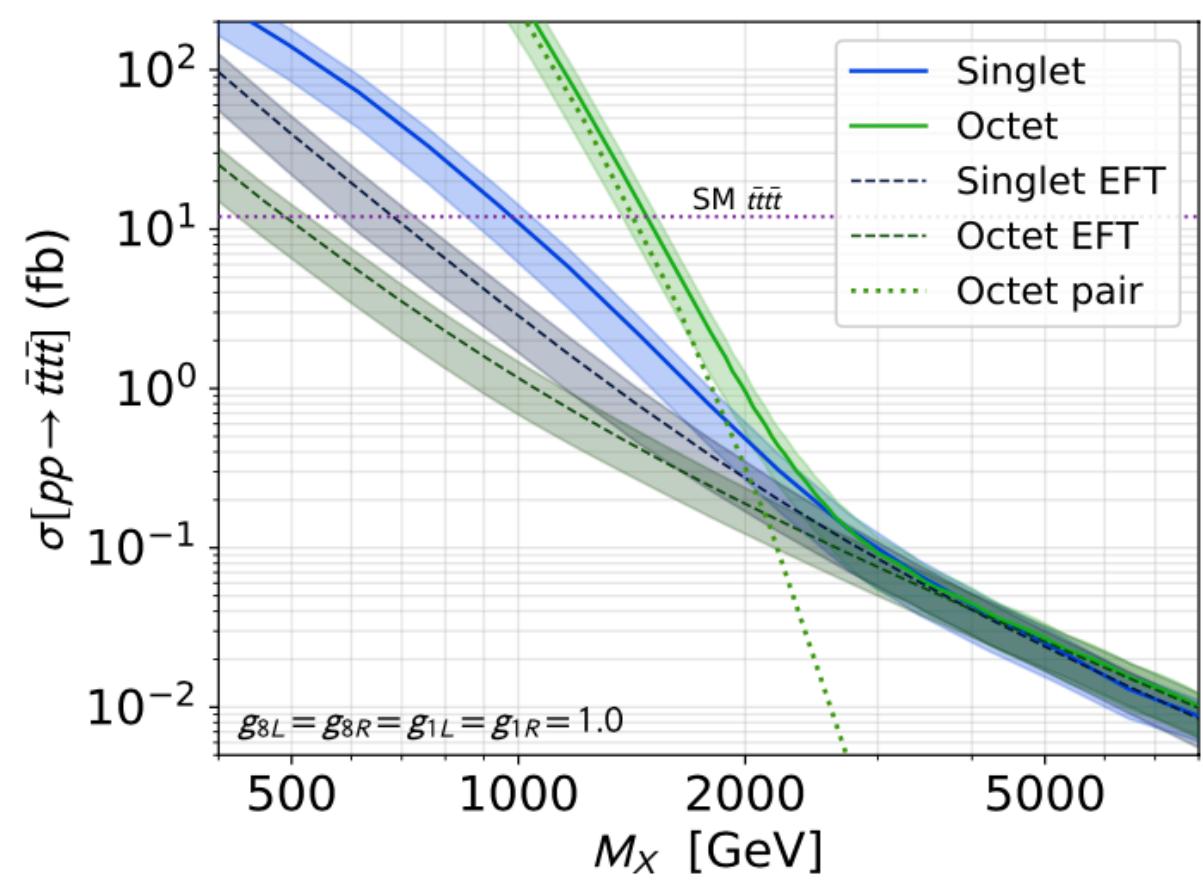
2. Complete-LO

3. Comparisons with UV models

[Darme, Fuks, FM, 2104.09512]



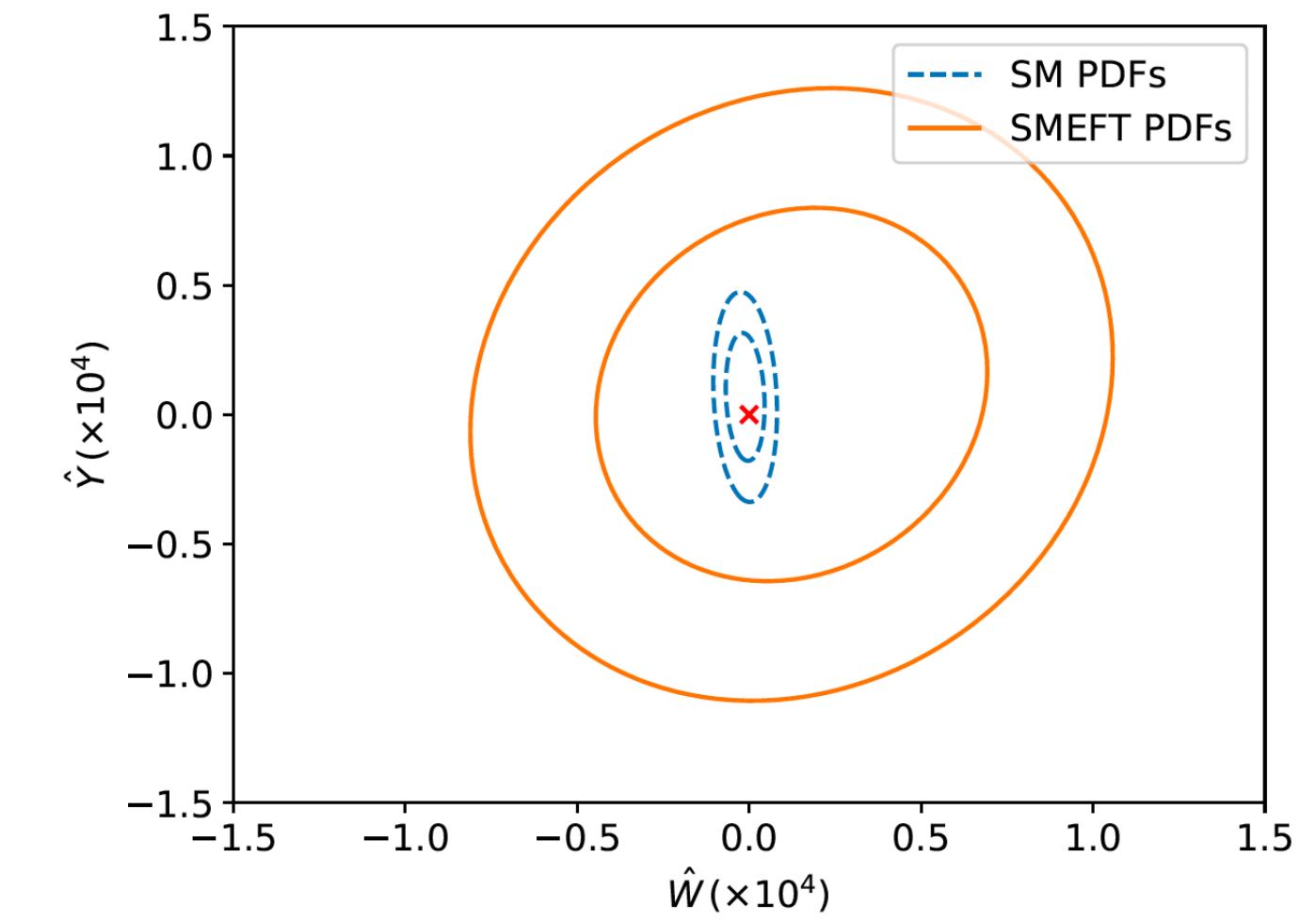
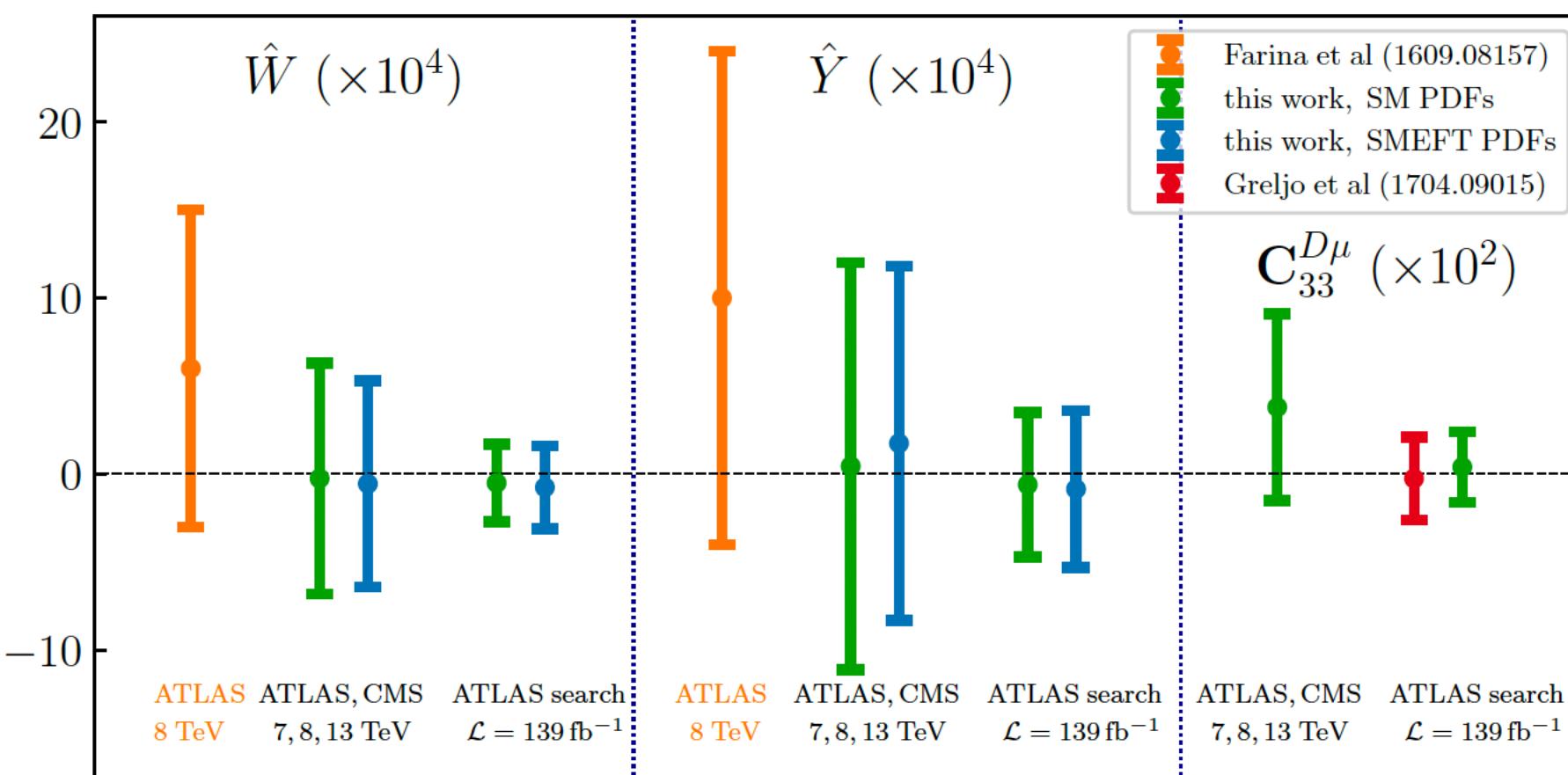
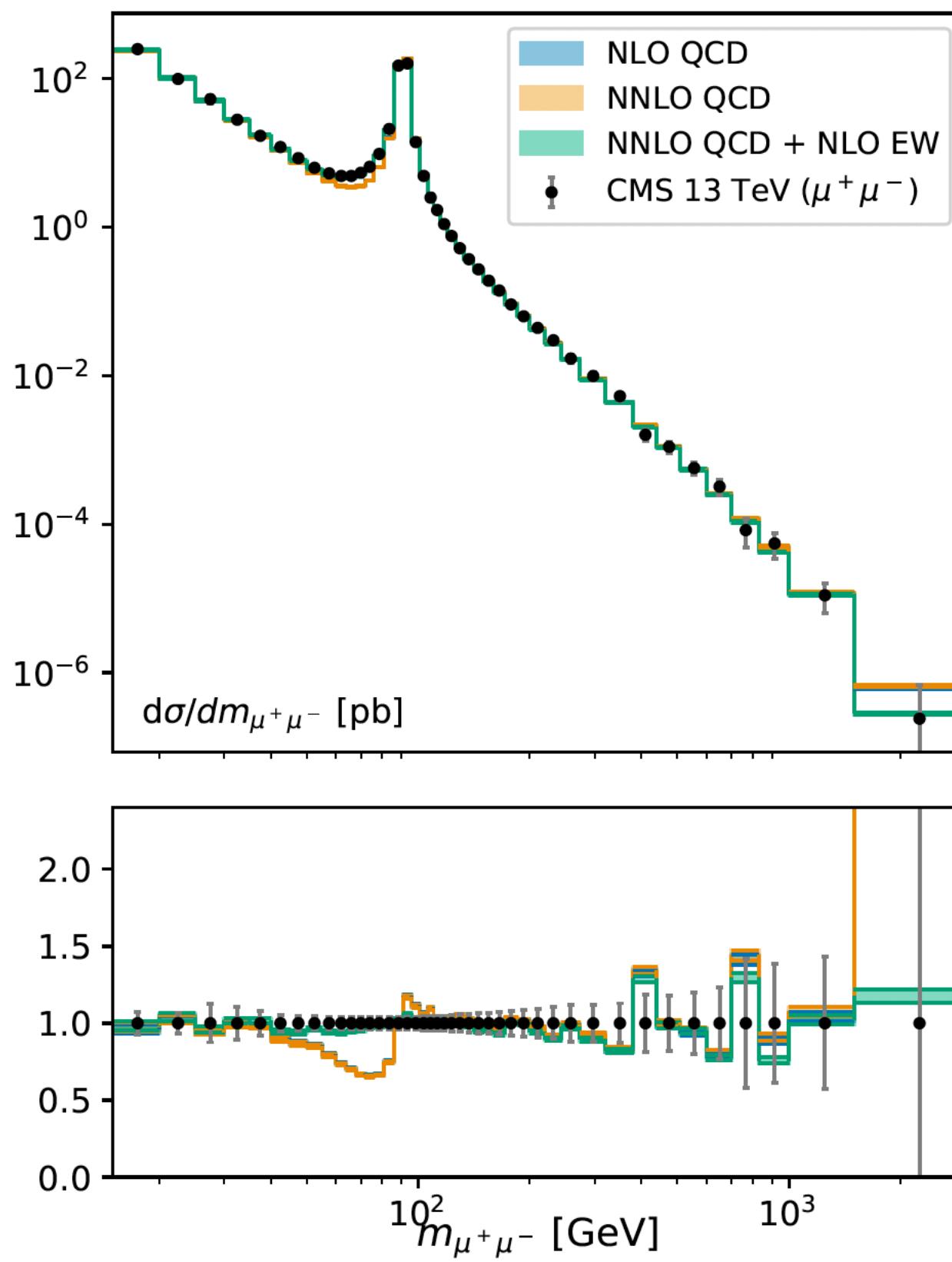
(b)



(b)

Theory trends

EFT and PDF fits



[Greljo et al. 2104.02723]

What's next

TH trends

Many directions of development and improvements in the fits are being pursued in TH:

- [Global] Extension to data sets from other (lower-energy) experiments.
- [NLO] Improvement at NLO (QCD+EW) in the SMEFT on-going. RGE at two loops needed to maintain NLO accuracy at different scales. Inclusion of theory uncertainties.
- [Unlocking] Effects and constraints at dim=8 or HEFT.
- [UV] Constraints from and to UV models, systematic studies of applicability/validity. Mixing.
- [PDF] Evaluation of the theory uncertainties to interplay with the PDF fits.
- [MaxSensitivity] Optimal observables, “energy helps accuracy”, “X without the X”....
- [QFT] General QFT arguments: resummation of higher-order terms, basis independent formulations (e.g. amplitudes), positivity/convexity.

TRUE or FALSE?



10 questions you always wanted to know about
the SMEFT and never dared to ask

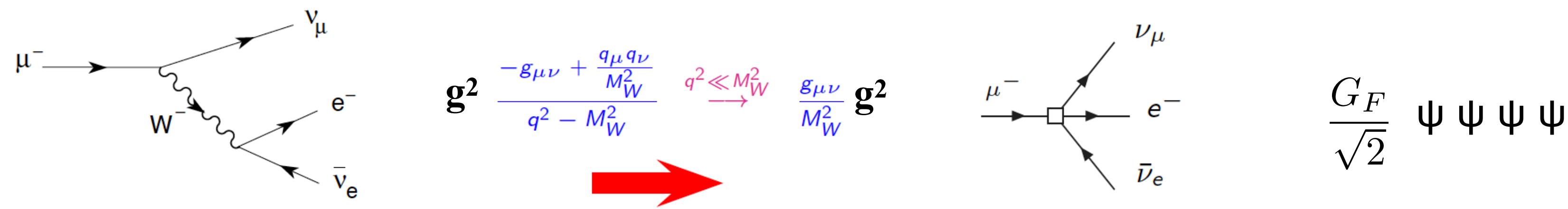
[Contino et al. , 1604.06444] [Aguilar-Saavedra ,1802.07237] [Many discussions...]

Λ is the scale of New Physics

1

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Consider the case of the Fermi theory of the muon decay:



From the measured value of the Fermi constant G_F

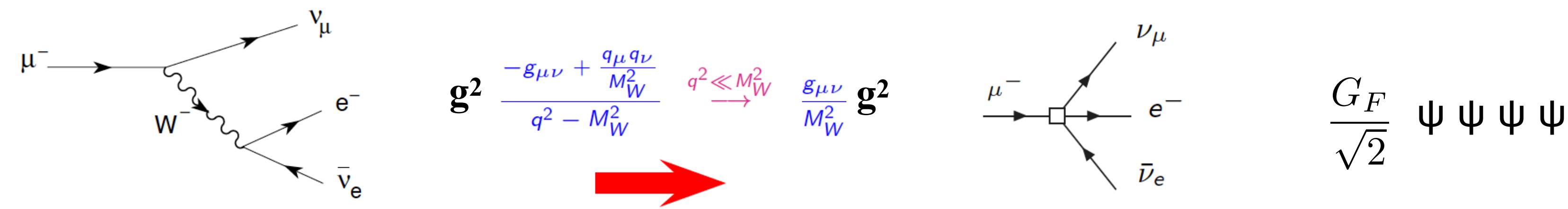
$$\frac{G_F}{\sqrt{2}} = \left(\frac{g}{2\sqrt{2}} \right)^2 \frac{1}{m_W^2} = \frac{1}{2v^2}$$

So $(4\pi)v$ is the upper bound on the scale of New Physics. If the theory is weakly interacting the first massive state will have mass of the order $g v \ll v$. If the theory is strongly interacting, $g \sim 4\pi$, $(4\pi)v$ will coincide with the scale of NP.

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Note: Reinstituting dimensions

$$\mathcal{L}_i^{\text{dim}=6} = \frac{g^{n_i-4}}{\Lambda^2} \mathcal{O}_i$$

$$\text{loop-factor} = \frac{g^2 \hbar}{(4\pi)^2}$$

$$M = g\Lambda = \text{GeV}$$

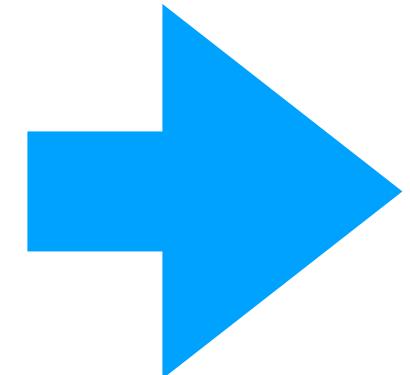
$$[G_{\mu\nu}] = \sqrt{\hbar} \text{ GeV}^2$$

$$[\phi] = [v] = [\Lambda] = \sqrt{\hbar} \text{ GeV}$$

$$[A_\mu] = \sqrt{\hbar} \text{ GeV}$$

$$[\psi] = \sqrt{\hbar} \text{ GeV}^{3/2}$$

$$[g] = [\sqrt{\lambda}] = 1/\sqrt{\hbar}$$



$$\mathcal{L} = \frac{g^2}{\Lambda^2} \phi^6 = \frac{g^4}{M^2} \phi^6$$

$$\mathcal{L} = \frac{g}{\Lambda^2} \phi \phi Q \phi u = \frac{g^3}{M^2} \phi \phi Q \phi u$$

$$\mathcal{L} = \frac{1}{\Lambda^2} \phi^2 G G = \frac{g^2}{M^2} \phi^2 G G$$

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1. The upper bound on the scale of new physics is Λ .
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Associating a “natural” normalisation to (class of) operators implies a UV bias, either some scaling rules and/or already an interpretation in mind. This is certainly legitimate, yet not necessary at the data analysis stage, if maximal flexibility/generality is desired.

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Order by order in the $1/\Lambda$ expansion, the SMEFT is renormalisable, i.e. higher-order contributions can be computed as perturbative series in the gauge couplings. For example., amplitudes with one operator insertion (at order $1/\Lambda^2$) can be renormalised using a finite number of counter-terms at all order in PT.

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Truncating the SMEFT at the dim=6 is always correct

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The usefulness of the up to $1/\Lambda^2$ approximation will depend:

1. On the assumptions (explicit and implicit) on the UV model.
2. On the specific observables/interactions which might not be sensitive to dim=6 effects. For example a ZZZ vertex appears only at dim=8:

$$ie\Gamma_{ZZV}^{\alpha\beta\mu}(q_1, q_2, q_3) = \frac{-e(q_3^2 - m_V^2)}{M_Z^2} \left[f_4^V (q_3^\alpha g^{\mu\beta} + q_3^\beta g^{\mu\alpha}) - f_5^V \epsilon^{\mu\alpha\beta\rho} (q_1 - q_2)_\rho \right]$$

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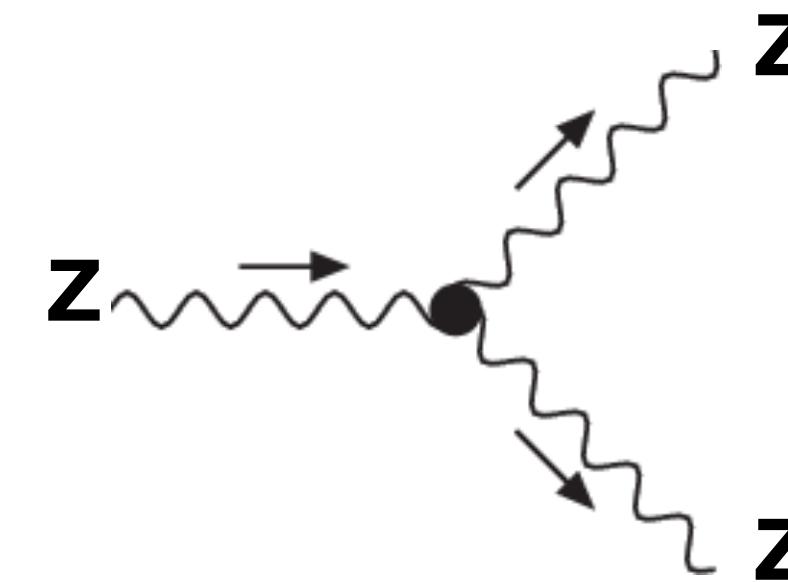
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[Degrande, 1308.6323]



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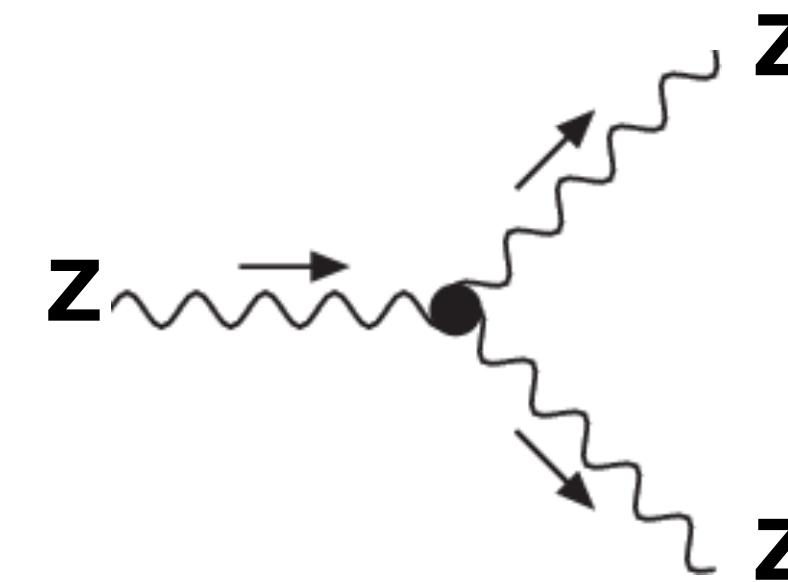
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A necessary condition for the EFT to be consistent is the $E < \Lambda$. However, predictions depend on c_i/Λ^2 .

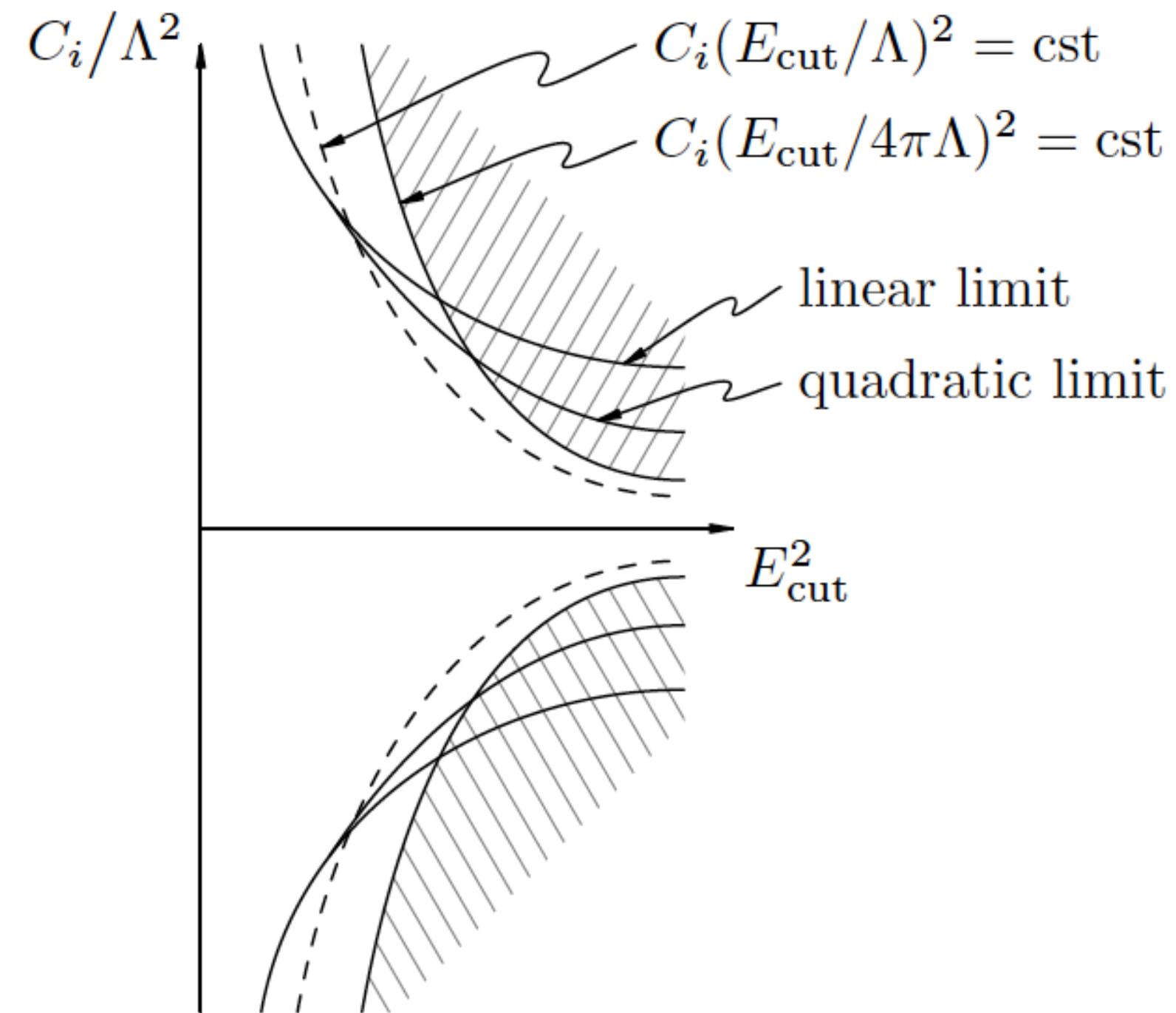


Figure 1: Illustration of the limit set on an EFT parameter as function of a cut on the characteristic energy scale of the process considered (see item 6). Qualitatively, one expects the limits to be progressively degraded as E_{cut} is pushed towards lower and lower values. At high cut values, beyond the energy directly accessible in the process considered, a plateau should be reached. The regions excluded when the dimension-six EFT is truncated to linear and quadratic orders are delimited by solid lines (see item 5c). The hatched regions indicate where the dimension-six EFT loses perturbativity (see item 7). In practice, curves will not be symmetric with respect to $C_i/\Lambda^2 = 0$.

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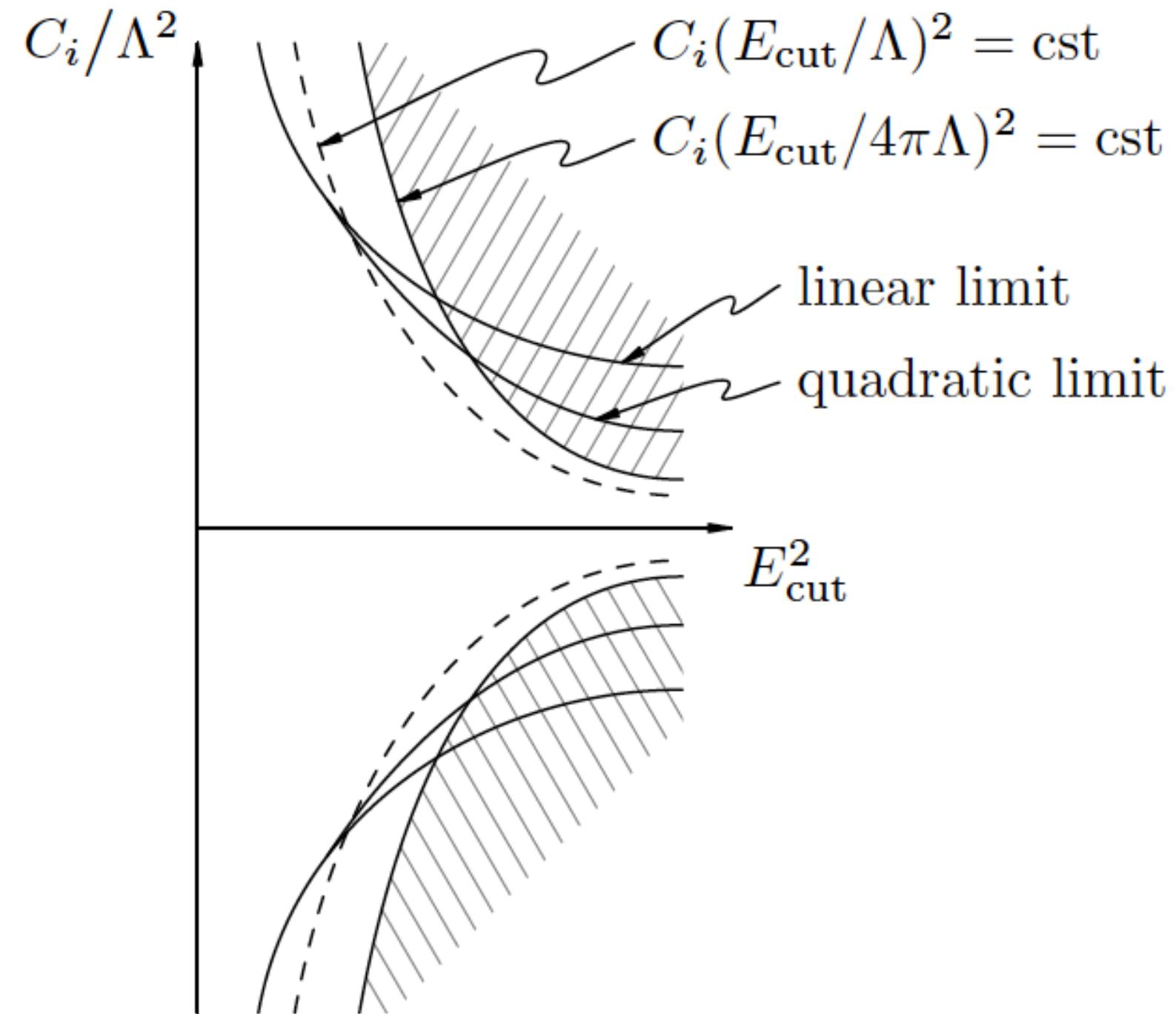


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Squared terms are not uniquely defined and should not be employed in pheno analyses

At the amplitude level:

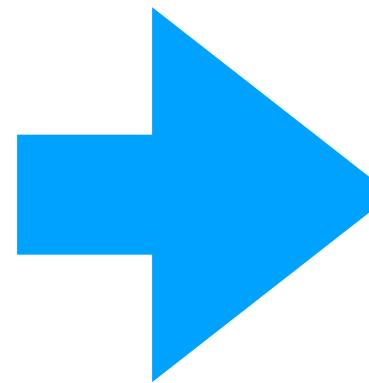
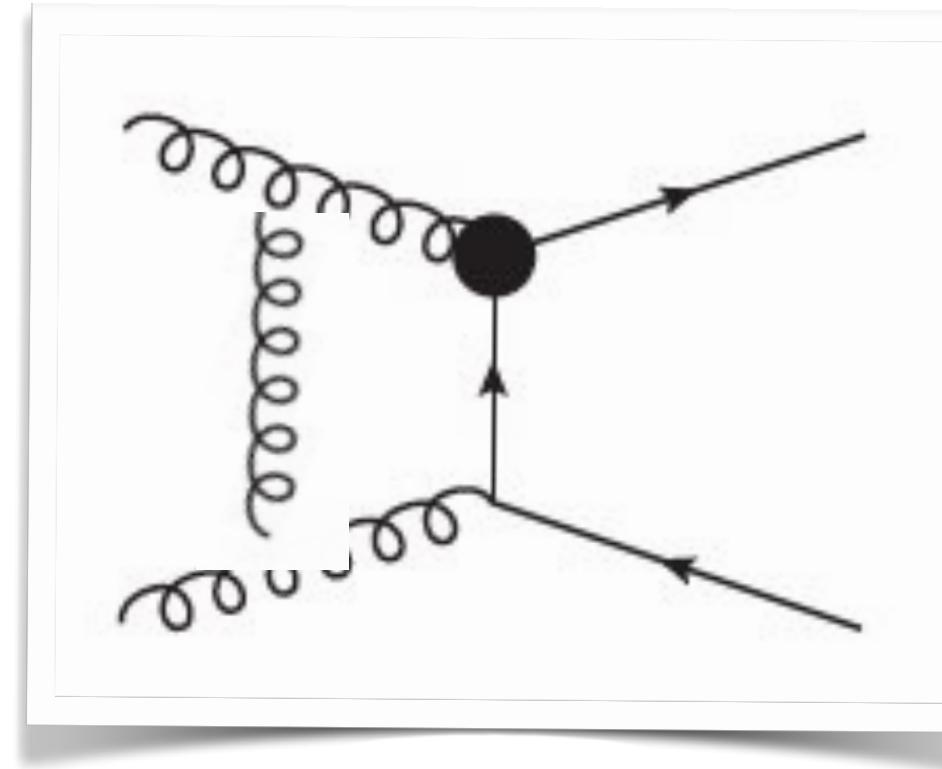
$$A = A_{\text{SM}} + \sum_i \tilde{c}_i^6 A_i^6 + \sum_k \tilde{c}_k^8 A_k^8 + \dots$$

At $1/\Lambda^2$ level, the dim=6 term is uniquely defined. One can change the basis, perform field redefinitions, use the EOM, yet the full blue sum remains the same, generating however, corrections of order $1/\Lambda^4$, feeding into the red term. This means that

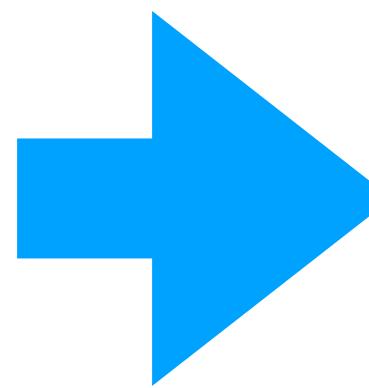
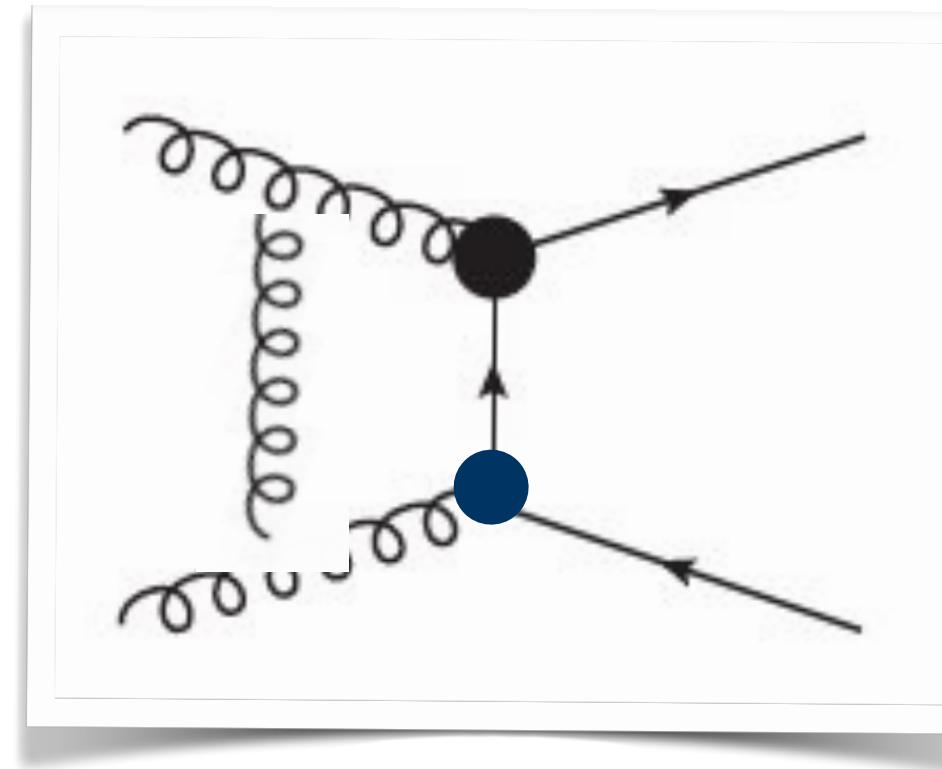
$$\begin{aligned} |A|^2 &= |A_{\text{SM}} + \sum_i \tilde{c}_i^6 A_i^6|^2 \\ &= |A_{\text{SM}}|^2 + 2 \sum_i^{\text{i}} \tilde{c}_i^6 \operatorname{Re} [A_{\text{SM}}^* A_i^6] + \sum_{ij} \tilde{c}_i^6 \tilde{c}_j^{6*} A_i^{6*} A_j^6 \end{aligned}$$

is parametrisation invariant. The last term is order $1/\Lambda^4$, yet uniquely defined.

Squared terms are not uniquely defined and should not be employed in pheno analyses



This amplitude will need max dim=6 operators for renormalisation



This amplitude will generically need dim=8 operators for renormalisation

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In many cases the squared term should be included and in any case can be included:

- 1) If the interference term is highly suppressed because of symmetries (such as absence of FCNC at the tree-level in the SM) or selection rules (helicity selection for VV productions, i.e. the GGG operator in $gg \rightarrow gg$), the squared term is always the dominant contribution.
- 2) There are UV models, for which the squared terms are foreseen to be the dominant $1/\Lambda^4$ contributions:

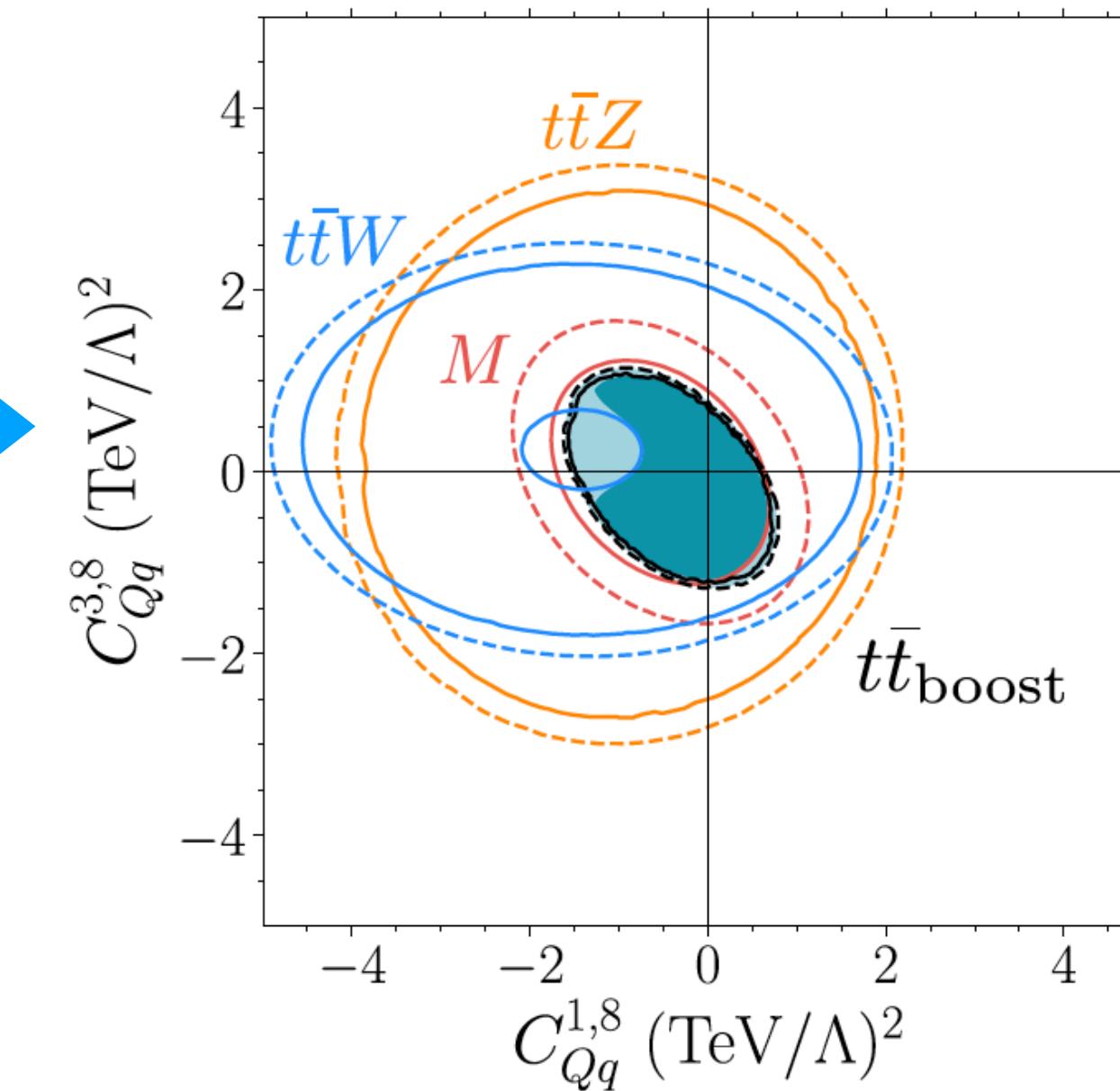
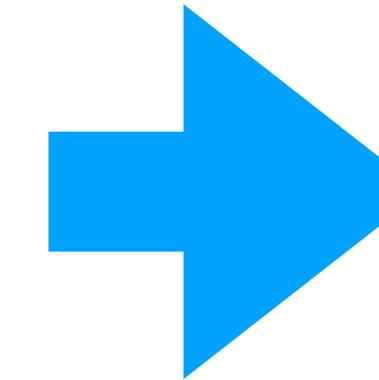
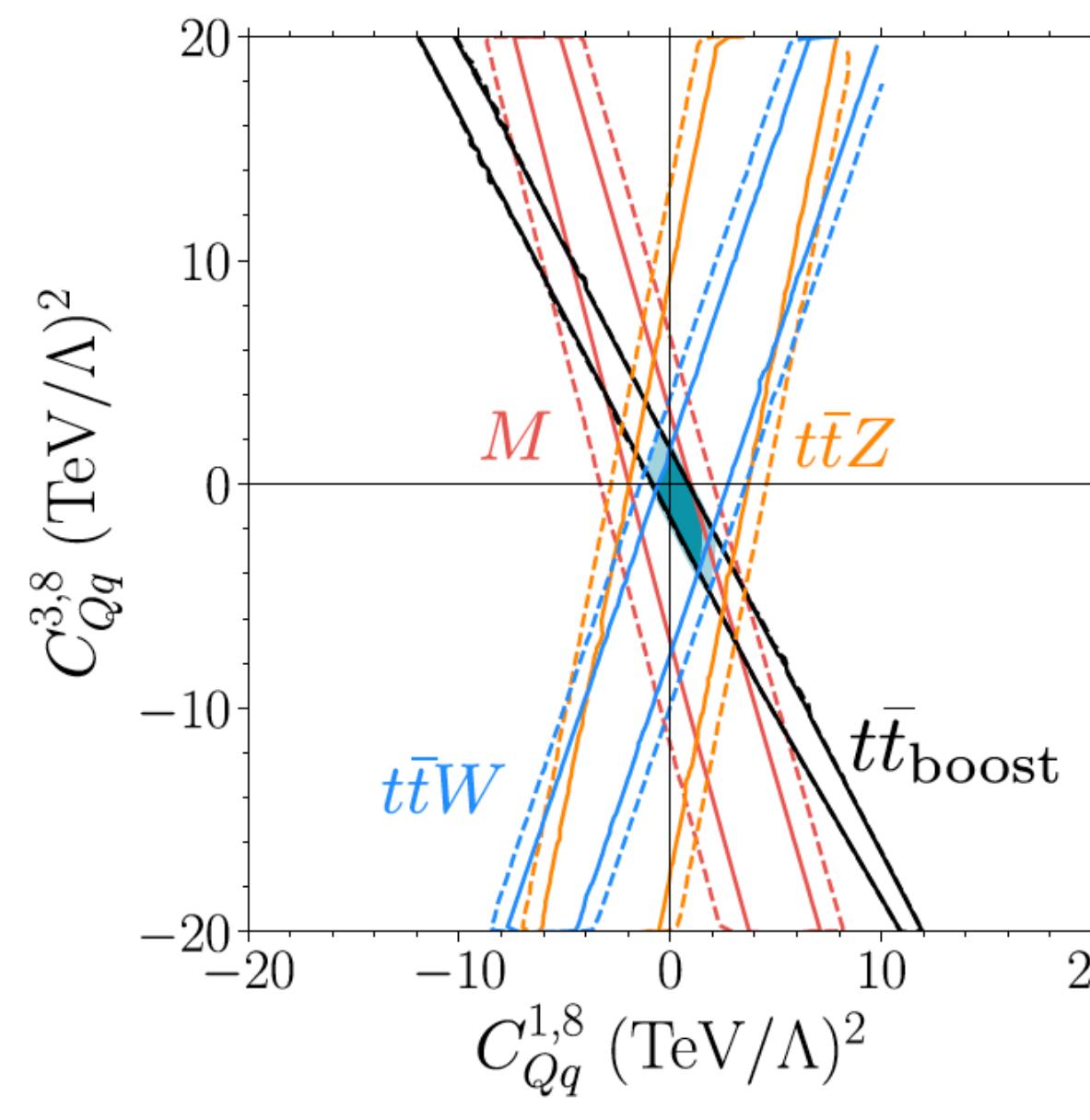
$$C_i^2 \frac{E^4}{\Lambda^4} > C_i \frac{E^2}{\Lambda^2} > 1 > \frac{E^2}{\Lambda^2}$$

EFT condition satisfied but $O(1/\Lambda^4)$ large for large operator coefficients

Squared terms are not uniquely defined and should not be employed in pheno analyses

At the fitting level the squared can have an important effect, as there are no flat directions in the fit with the squares:

[Brivio et al. , 1910.03606]



In general without knowing the effect of the squares one is left in the dark about the mean reliability of the fit.

7

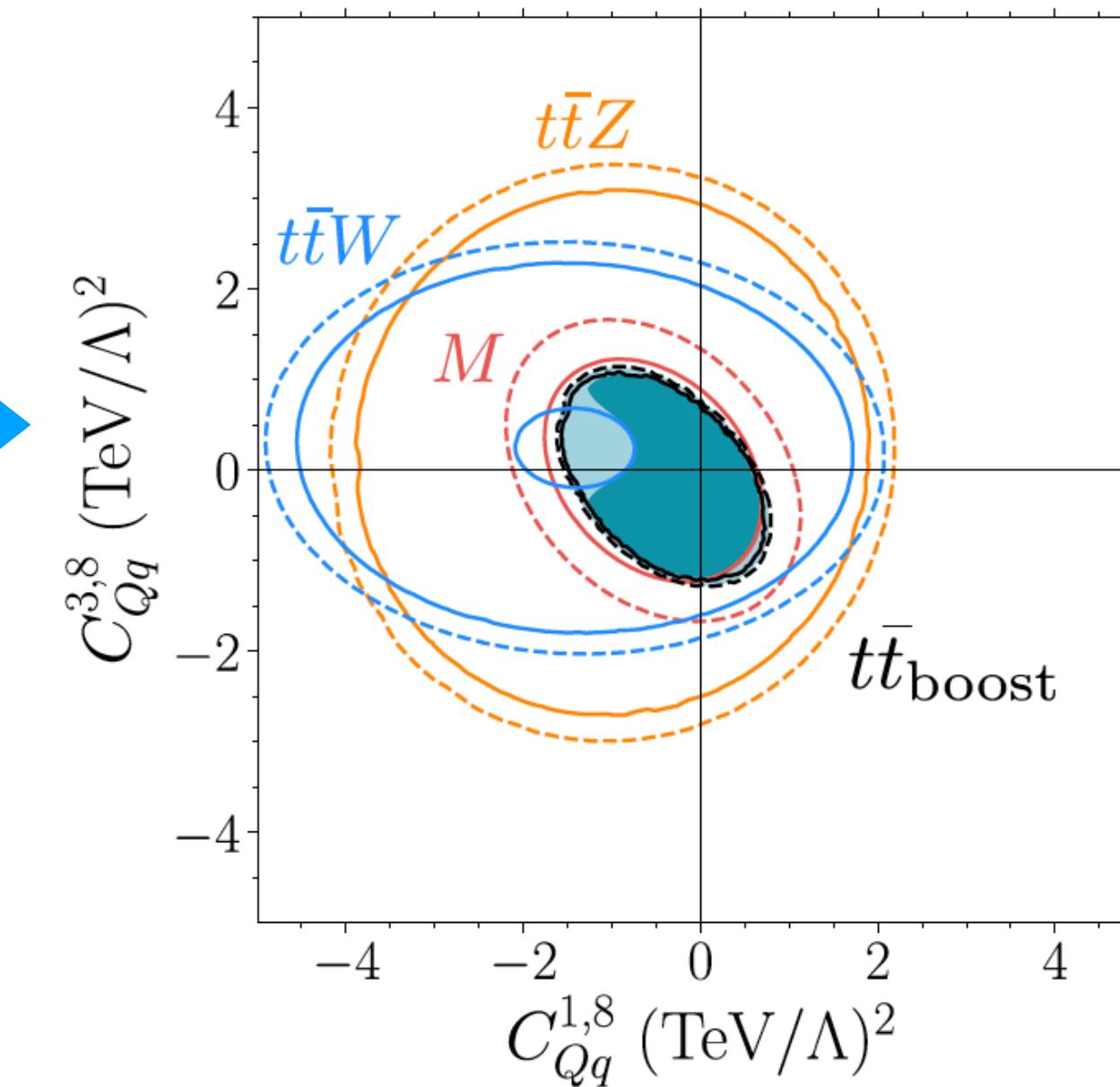
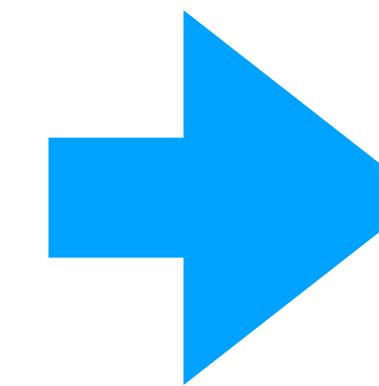
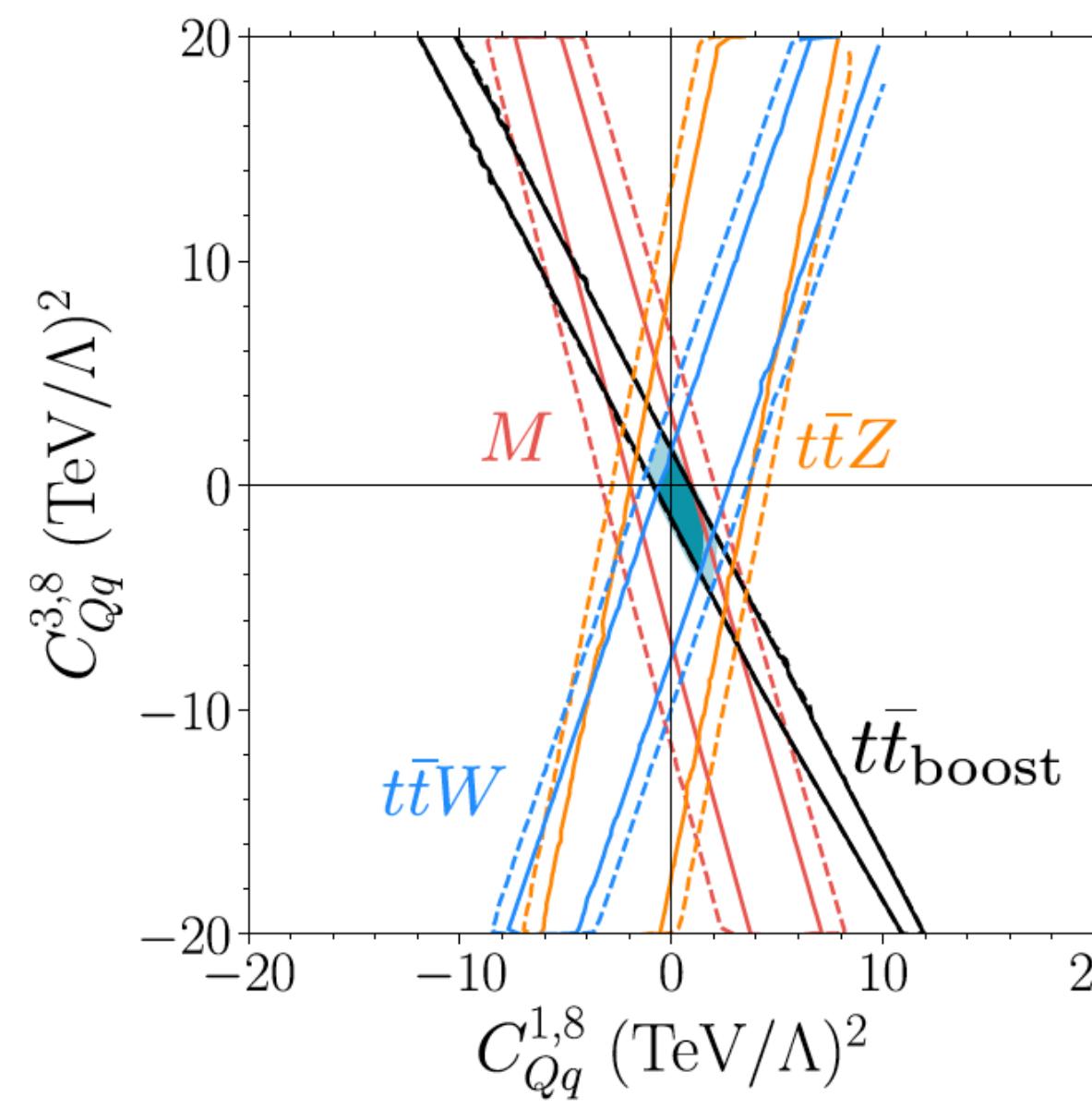
Provide constraints using i) linear and ii) linear+squared terms

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Provide constraints using i) linear and ii) linear+squared terms

If a light resonance is found, the EFT approach is of no use

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There are at least two cases where this will not be the case:

1. The new resonance is quite heavy with respect to the collider energy and no other states are found \Rightarrow it could be the first particle of a new heavy sector. EFT can include it and search for indirect effects of other states/phenomena.
2. The new resonance is light and very weakly interacting (like an axion) so that it does not impact collider phenomenology.



$v = 246 \text{ GeV}$, $f_a \sim 2 \times 10^{12} \text{ GeV}$, $v/f_a \sim 10^{-10}$, $m_a \sim 2 \mu\text{eV}$. Need

$$-\frac{g^2}{2M_W^2} + \frac{1}{f_a^2} \frac{q^2}{q^2 - m_a^2} = -\frac{2}{v^2} \left[1 + \frac{v^2}{2f_a^2} \frac{q^2/m_a^2}{q^2/m_a^2 - 1} \right]$$

$$\left| \frac{q^2}{m_a^2} - 1 \right| \sim \frac{v^2}{f_a^2} \sim 10^{-20} \implies \Delta q \sim 10^{-25} \text{ GeV}$$

Ex. by Manohar

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1. To understand which process is the most constraining one (comparing the impact of an operator on different processes is normalisation independent) SENSITIVITY.
2. Using pairs or triplets to understand the correlations and the flat directions and how to break them.
3. Technically, it might be complicated to include all operators in an analysis. However, having previous knowledge about where the sensitivity of an operator comes from, bounds from other processes/experiments, RGE information and, if desired, also UV model dependent information, one can establish a hierarchy and make maximal use of experimental information.

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Understanding and quantifying the higher order effects in the SMEFT is needed because of many reasons:

1. The structure of the theory manifests itself when quantum corrections are known, such as for example mixing/running and relations between operators at different scales.
2. NLO brings more accurate central values (k-factors) and reduction of the uncertainties (which can be gauged with the scale dependence, including EFT).
3. NLO QCD effects are important at the LHC, due to the nature of the collision. Not only rates can be greatly affected but also distributions.
4. At NLO genuine new effects can come in, such as the appearance of other operators due to loops or real radiation.
5. NLO can reduce the impact of flat directions.

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True!

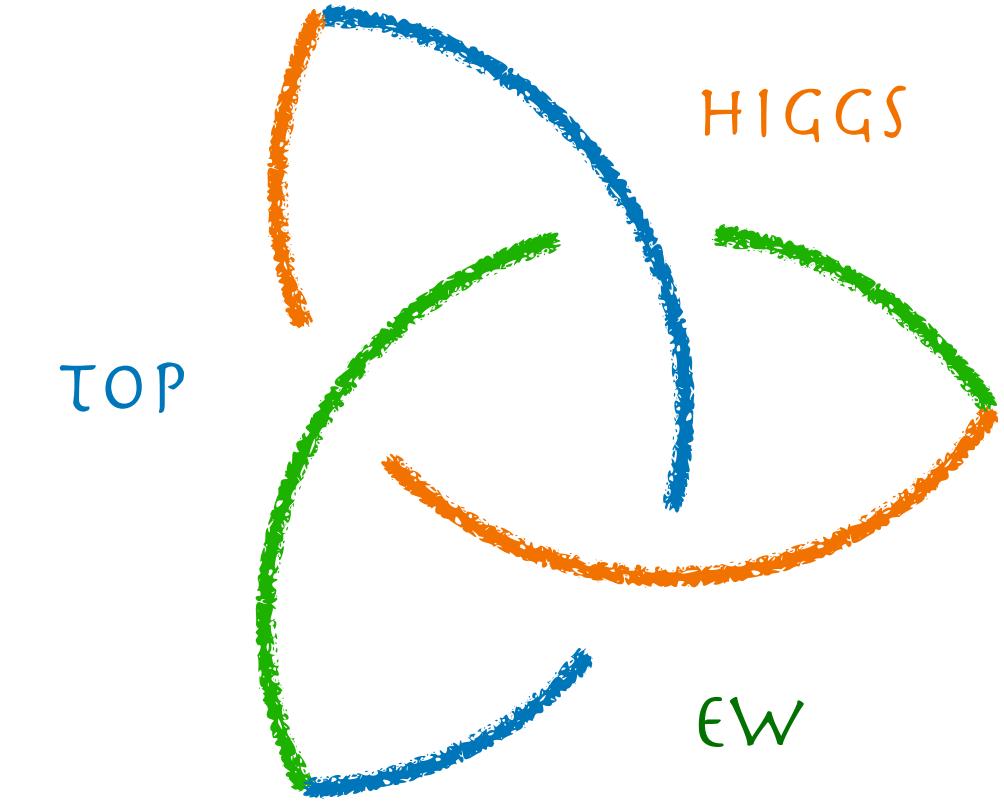
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The way of SMEFT

Conclusions



- LHC precision physics programme has set clear and very challenging goals for the next years.
- A universal and very powerful approach to the interpretation of precision measurements is that of the SMEFT.
- The SMEFT provides challenges that force all of us go out of our confort zone, beyond our current TH/EXP workflows and value system.
- First explorations of the constraining power of present data in a global EW(PO)+Higgs+Top fit have appeared.
- A wonderful realm of opportunities and large room for improvement \Rightarrow many ways to contribute and learn about SM(EFT) physics.

Some extra material



The square story

\mathcal{A} = a scattering amplitude (on shell external states). It's a complex number, gauge invariant and physical.

$$\mathcal{A}_{SMEFT} = \mathcal{A}_{SM} + \frac{1}{\Lambda^2} \sum_i c_i^{(6)} \mathcal{A}_i^{(6)} + \frac{1}{\Lambda^4} \left[\sum_{kl} c_k^{(6)} c_l^{(6)} \mathcal{A}_{kl}^{(6x6)} + \sum_n c_n^{(8)} \mathcal{A}_n^{(8)} \right] + \dots$$

The expansion is well defined (gauge invariant and reparametrization invariant) up to any given order.

Field transformation/basis change (keeping all terms up to $1/\Lambda^2$) $\Rightarrow \mathcal{A}'_{SMEFT} = \mathcal{A}_{SM} + \frac{1}{\Lambda^2} \sum_j c'_j \mathcal{A}'_j^{(6)}$

Now $\mathcal{A}'_{SMEFT} = \mathcal{A}_{SMEFT}$ order by order in $1/\Lambda^2 \Rightarrow \sum_i c_i \mathcal{A}_i^{(6)} = \sum_j c'_j \mathcal{A}'_j^{(6)}$

$$|\mathcal{A}_{SMEFT}|^2 = |\mathcal{A}_{SM}|^2 + \frac{2}{\Lambda^2} \text{Re} \left[\sum_i c_i \mathcal{A}_i^{(6)} \mathcal{A}_{SM}^* \right] + \frac{1}{\Lambda^4} \left| \sum_i c_i \mathcal{A}_i^{(6)} \right|^2 + \frac{2}{\Lambda^4} \text{Re} \left[[\dots] \mathcal{A}_{SM}^* \right]$$

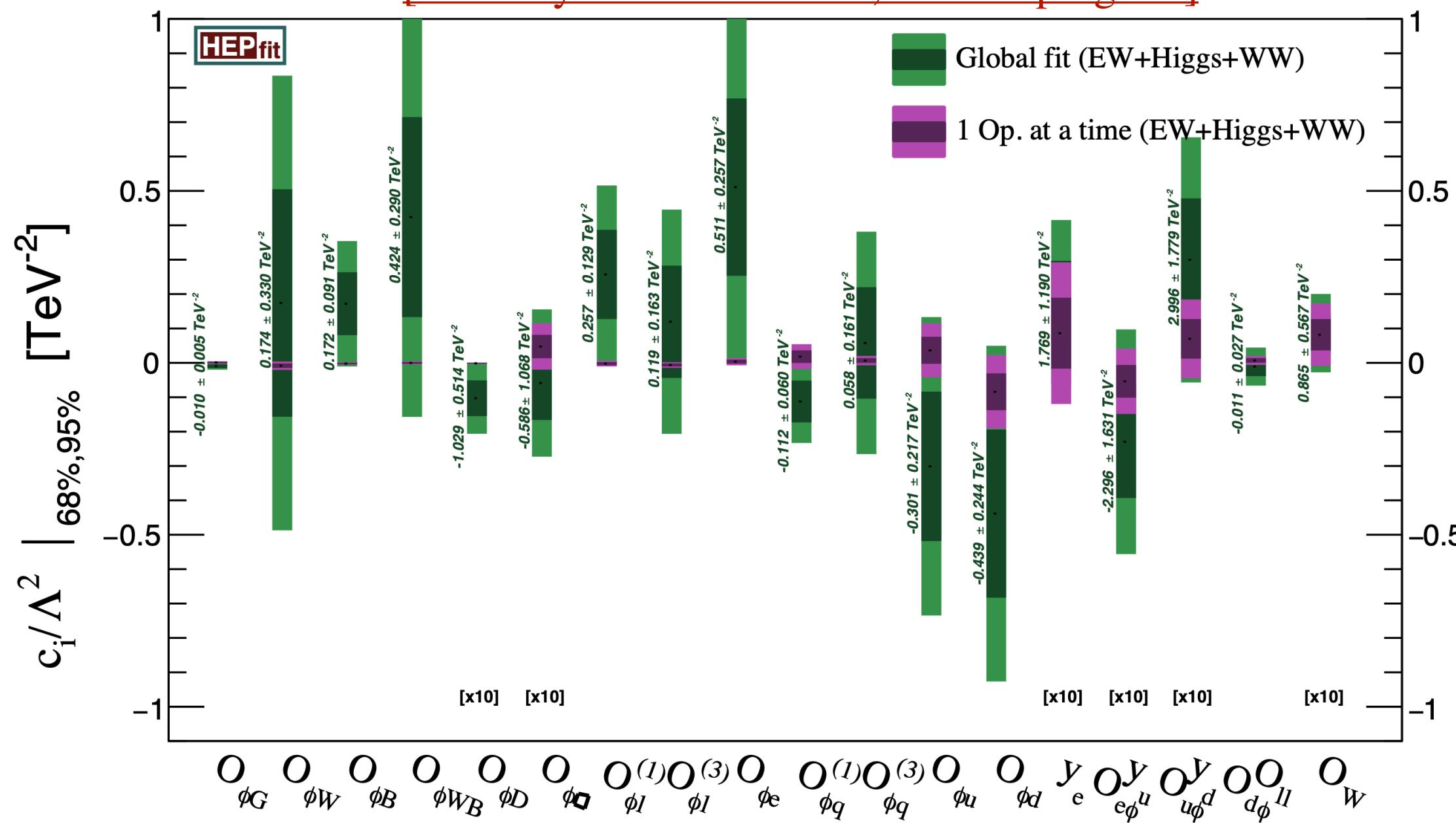
Global fits: now vs Future

EW+Higgs+EWPO

Now

[Courtesy of De Blas et al., work in progress]

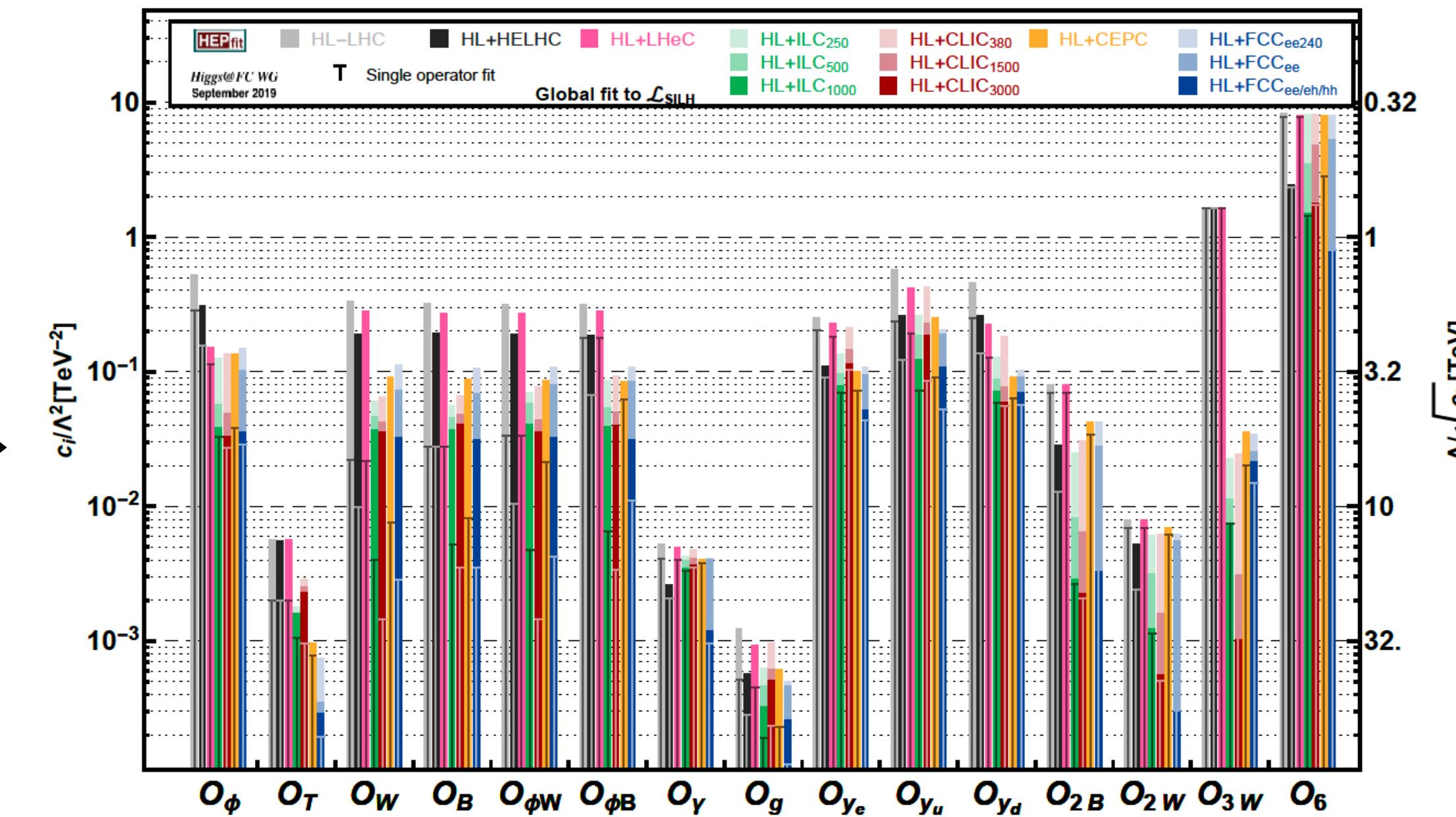
Preliminary



New Physics assumptions: CP-even, U(3)⁵

Future

[De Blas et al., 2020]



Expected more than 1 order of magnitude improvements

Theory trends

Higgs without the Higgs

$$\kappa_t \longleftrightarrow \frac{|H|^2 Q \tilde{H} t_R}{\Lambda^2}$$

HwH Program		$\sim const$	$\sim E^2$
κ_t	$ H ^2 Q \tilde{H} t_R$		
κ_λ	$ H ^6$		
κ_G	$ H ^2 G_{\mu\nu}^a G^{a\mu\nu}$		
κ_γ	$ H ^2 B_{\mu\nu} B^{\mu\nu}$		
$\kappa_{Z\gamma}$	$ H ^2 W_{\mu\nu}^a W^{a\mu\nu}$		
κ_V	$ H ^2 \partial_\mu H^\dagger \partial^\mu H$		

Legs	Order	Diagram	Channels	Xsec[fb]	QCD bgnd	L/T
$1 \rightarrow 4$	QCD		$tW^\pm W^\mp$ $tW^\pm ZZ$	0.7 0.4	/	0.03
	EW		$tbW^\pm W^\mp$ $tbW^\pm W^\mp$ $tbW^\pm Z$ $tbZZ$	3.5 3.5 3.8 0.02	/	0.03
	QCD ²		$ttZWW$ $ttZZZ$ $tbWWW$ $tbWZZ$	0.083 0.008 19 3.8	/	0.03
	EW ²		ttZ ttW^\pm tbZ $tbW^\pm (SS)$ $tbW^\pm (OS)$	0.1 0.3 0.2 0.9 19	/	0.04
$2 \rightarrow 3$	QCD		$tbW^\pm W^\mp$ $tbW^\pm W^\mp$ $tbW^\pm Z$ $tbZZ$	75 75 26 4	467 458 215 0	0.15 0.13 0.15 0.07
	EW		$tW^\pm W^\mp W^\pm$ $tW^\pm ZZ$	0.7 0.4	/	0.03 0.03
	EW * QCD		$tW^\pm W^\mp$ $tW^\pm W^\mp$ $tW^\pm Z$ tZZ	9 8 9 5	7.15 6.44 75.4 2.64	0.09 0.10 0.07 0.07

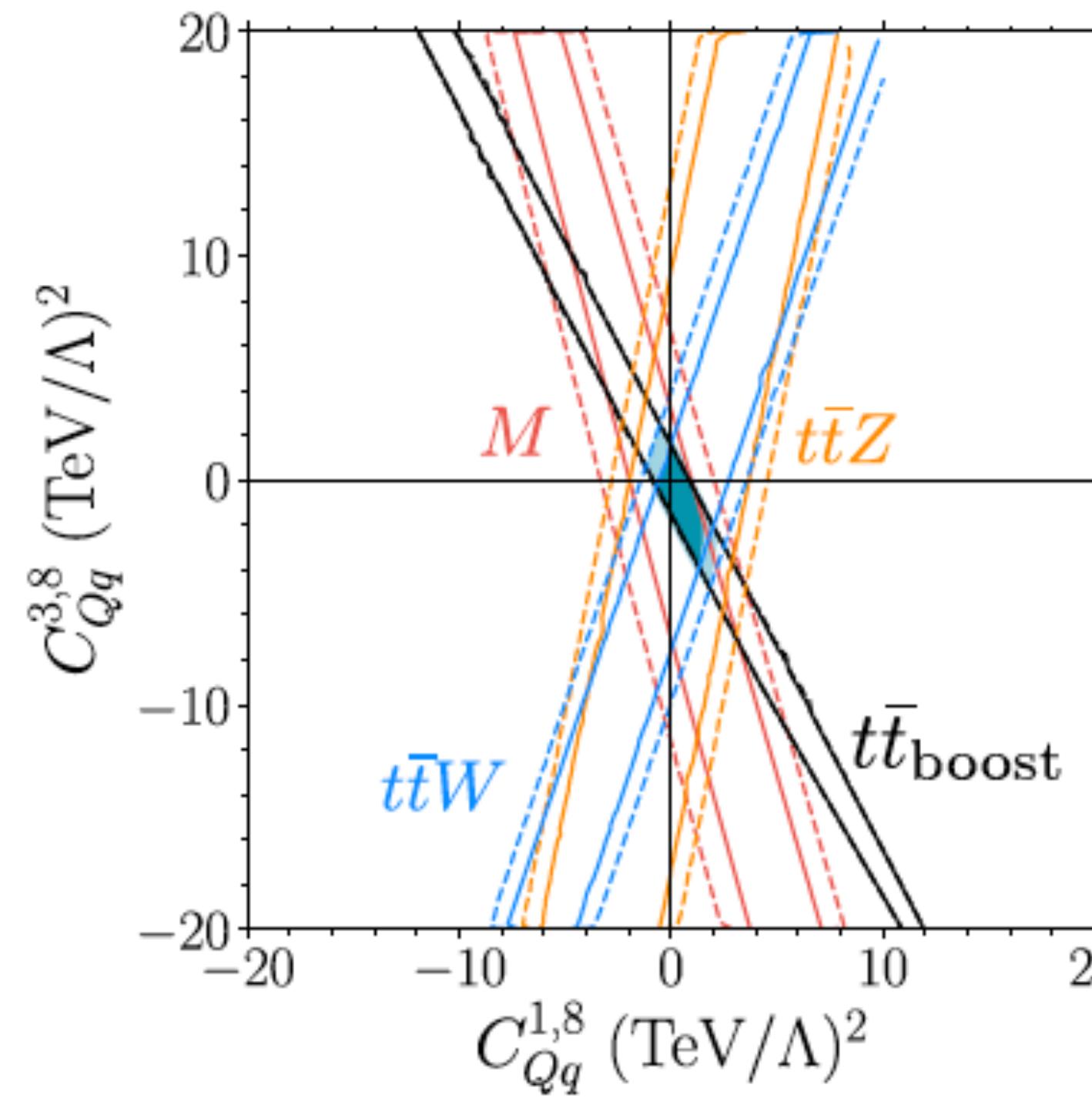
Disentangle SMEFT from HEFT!

[Henning et al. 1812.09299]



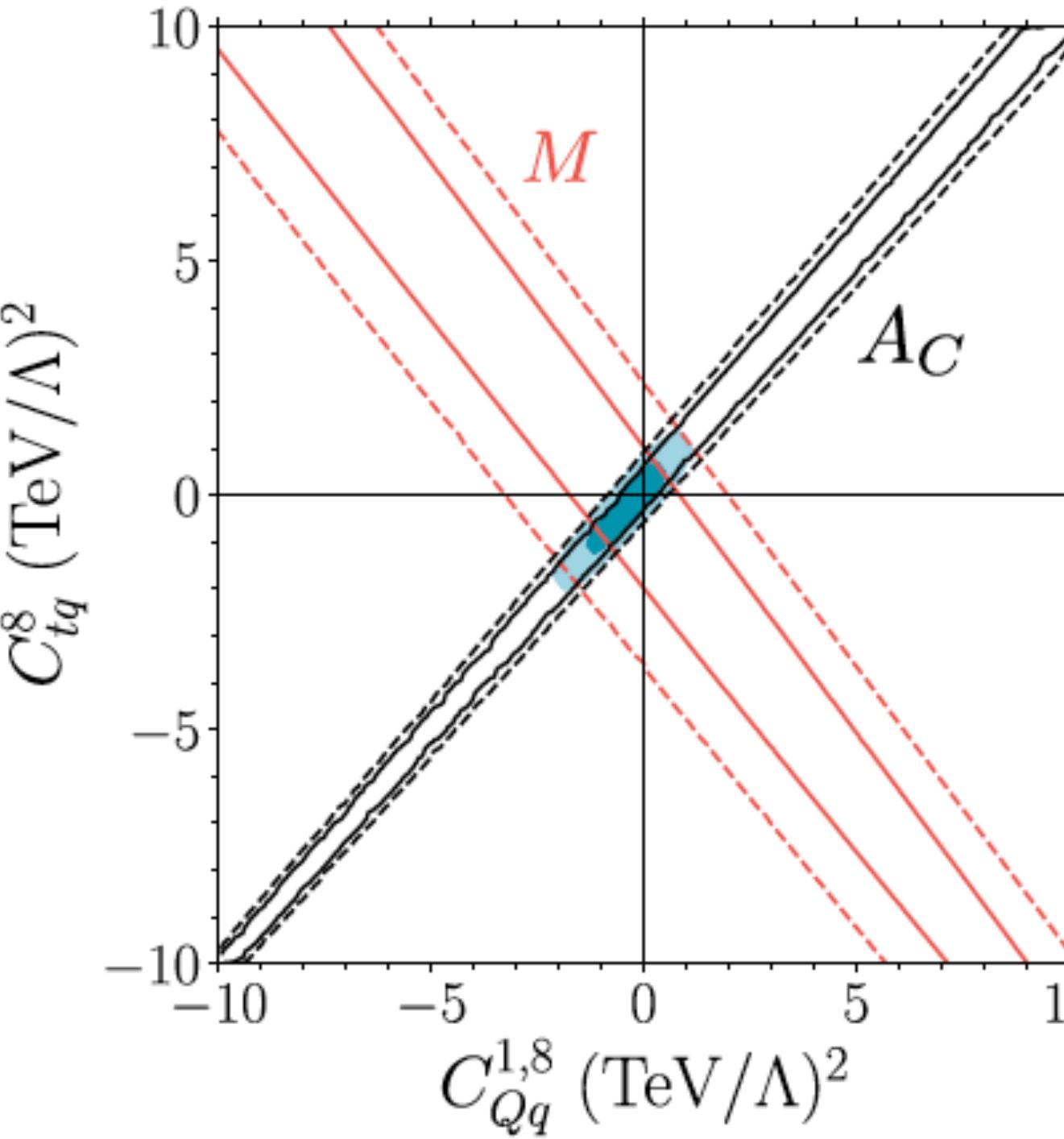
The impact of multiple measurements

Example in the top sector



$$O_{Qq}^{1,8} = (\bar{Q}\gamma_\mu T^A Q)(\bar{q}_i \gamma^\mu T^A q_i)$$

$$O_{Qq}^{3,8} = (\bar{Q}\gamma_\mu T^A \tau^I Q)(\bar{q}_i \gamma^\mu T^A \tau^I q_i)$$



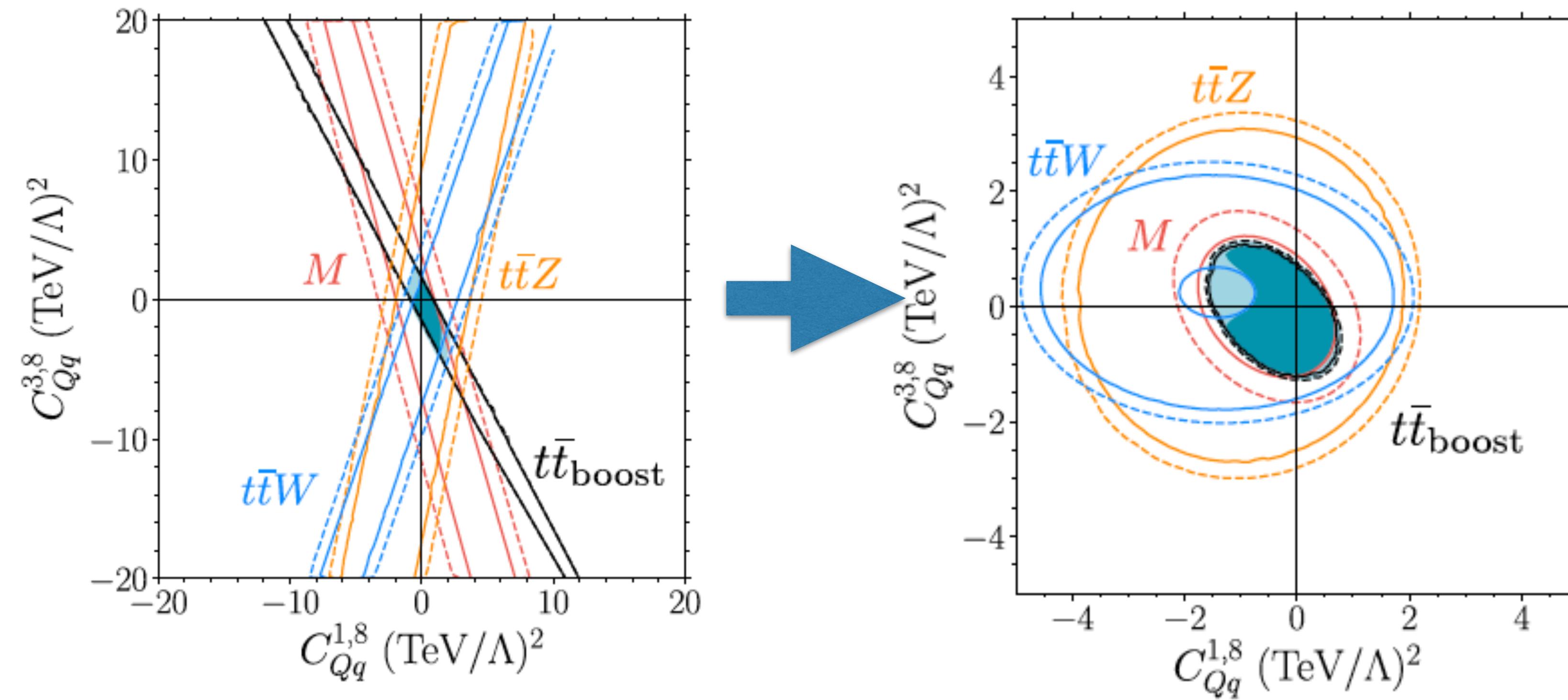
$$O_{tq}^8 = (\bar{q}_i \gamma^\mu T^A q_i)(\bar{t} \gamma_\mu T^A t)$$

$$O_{Qq}^{1,8} = (\bar{Q}\gamma_\mu T^A Q)(\bar{q}_i \gamma^\mu T^A q_i)$$

[\[Brivio et al., 1910.03606\]](#)

Impact of quadratic terms in top production

Example in the top sector



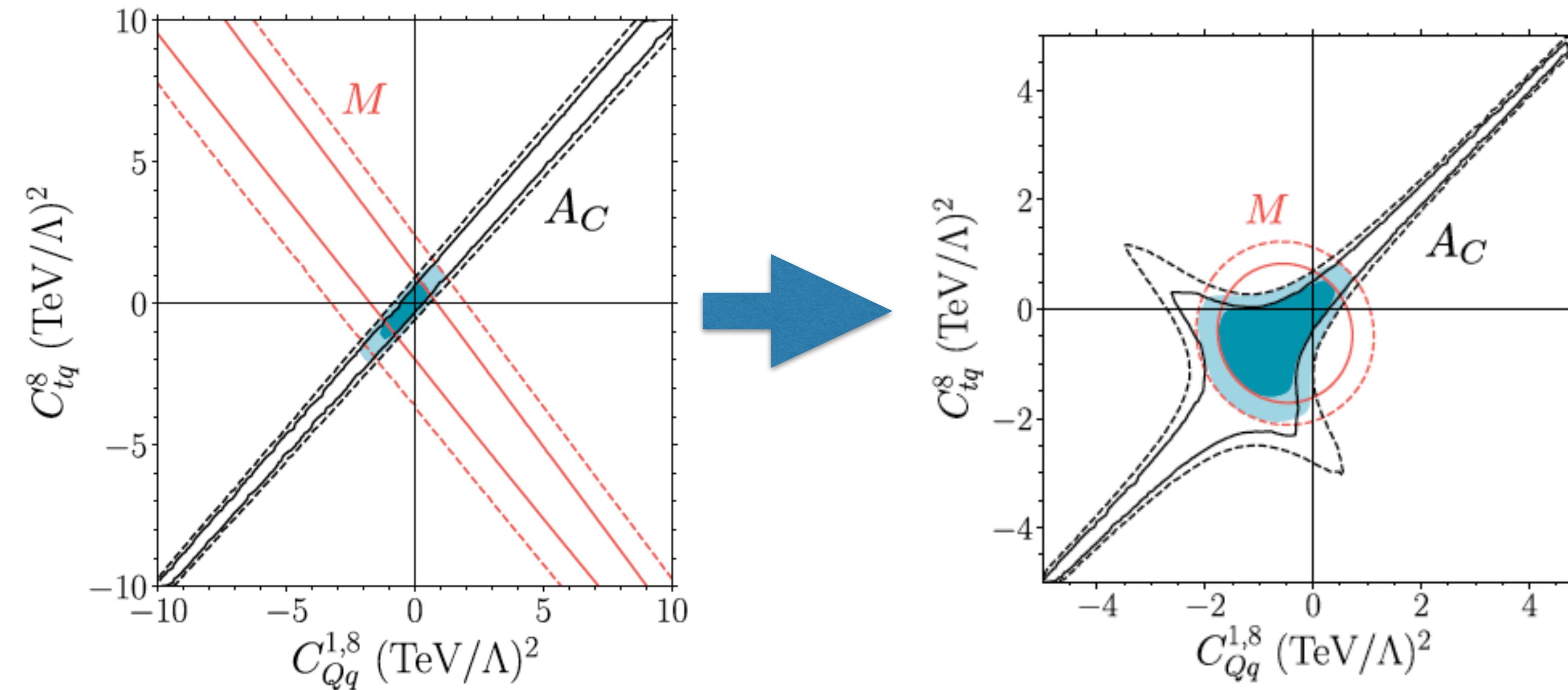
$$O_{Qq}^{1,8} = (\bar{Q}\gamma_\mu T^A Q)(\bar{q}_i \gamma^\mu T^A q_i)$$

$$O_{Qq}^{3,8} = (\bar{Q}\gamma_\mu T^A \tau^I Q)(\bar{q}_i \gamma^\mu T^A \tau^I q_i)$$

[Brivio et al., 1910.03606]

Impact of quadratic terms in top production

Example in the top sector



$$O_{tq}^8 = (\bar{q}_i \gamma^\mu T^A q_i)(\bar{t} \gamma_\mu T^A t)$$

$$O_{Qq}^{1,8} = (\bar{Q} \gamma_\mu T^A Q)(\bar{q}_i \gamma^\mu T^A q_i)$$

[Brivio et al., 1910.03606]