



Nambu-Goldstone theorem and integrability

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Based on:

Symmetry 11 (2019) 803; Int.J.Mod.Phys.A 32 (2017)
1750127; Int.J.Mod.Phys.A 33 (2018) 07, 1850041

CONTENT

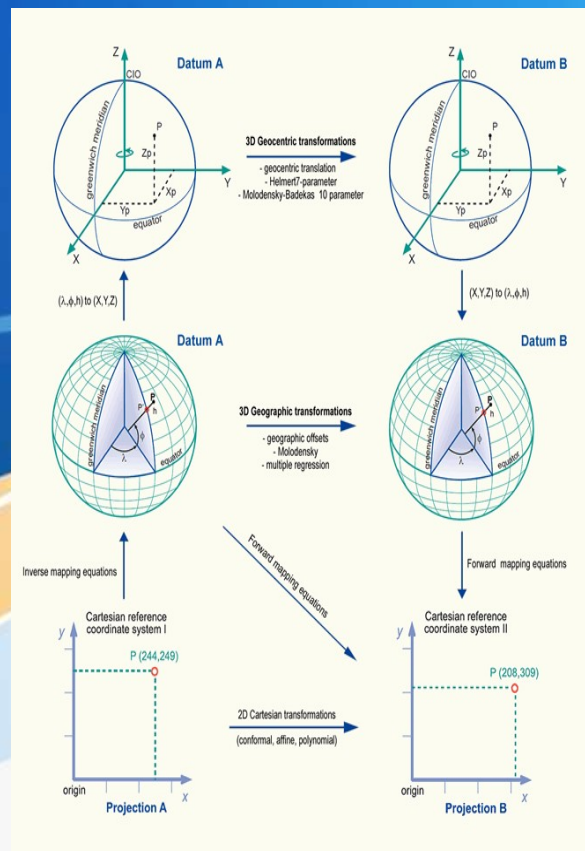
- 1). Internal and external symmetries.
- 2). Spontaneous symmetry breaking.
- 3). Nambu-Goldstone theorem: Classical and Quantum version.
- 4). Discrepancies between the relativistic and non-relativistic situations: Type I and type II NGB.
- 5). Generic character of the NGB Type I and type II: Connection with the Quantum Yang Baxter Equations.

Motivation

- 1) Understand in deeper details the Nambu-Goldstone theorem
- 2) Solve the apparent failure of the Nambu-Goldstone theorem
- 3) Find an alternative tool for analyzing more complex problems where spontaneous symmetry breaking is involved



INTERNAL AND EXTERNAL SYMMETRIES



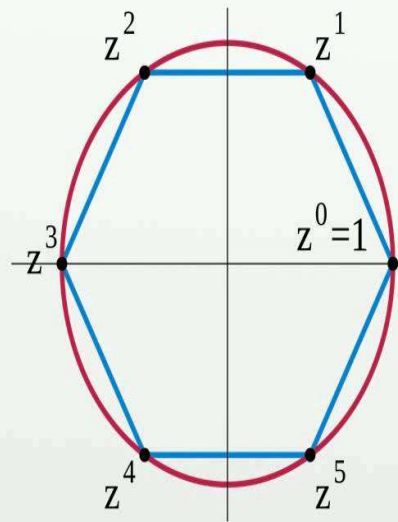
$$S = \frac{1}{2\kappa^2} \int d^4x \sqrt{-g} R$$

Coordinate transformations.

Example of external symmetries.

INTERNAL AND EXTERNAL SYMMETRIES

Permutation group



https://en.wikipedia.org/wiki/File:Cyclic_group.svg

SPONTANEOUS SYMMETRY BREAKING

$$\mathcal{L} = \frac{1}{2}(\partial_\mu \phi^i)^2 + \frac{1}{2}\mu^2(\phi^i)^2 - \frac{\lambda}{4}[(\phi^i)^2]^2,$$

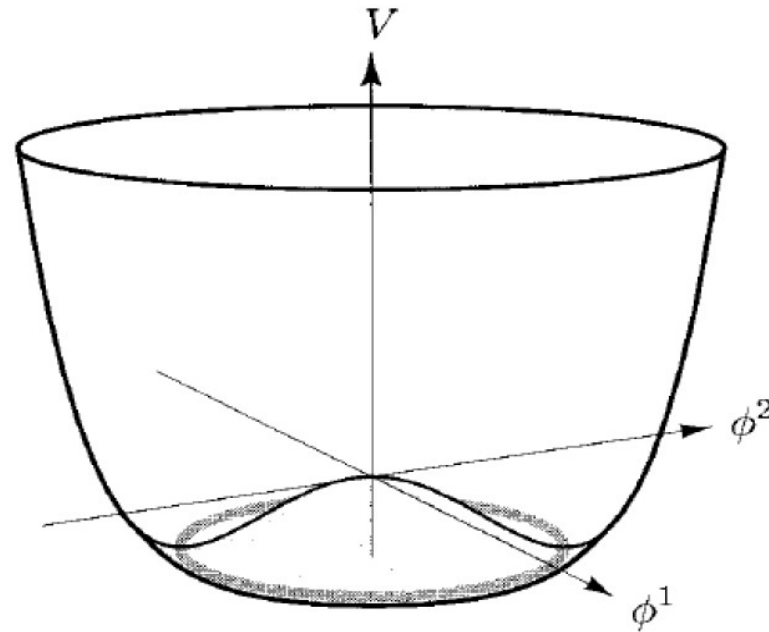
$$\phi^i \rightarrow R^{ij} \phi^j.$$

Representation of $O(N)$ group

$$V(\phi^i) = -\frac{1}{2}\mu^2(\phi^i)^2 + \frac{\lambda}{4}[(\phi^i)^2]^2.$$

The Lagrangian is invariant under the transformation.

SPONTANEOUS SYMMETRY BREAKING



SPONTANEOUS SYMMETRY BREAKING

$$(\phi_0^i)^2 = \frac{\mu^2}{\lambda}.$$

Vacuum condition

$$\phi_0^i = (0, 0, \dots, 0, v), \quad v = \mu/\sqrt{\lambda}.$$

Arbitrary selection of the direction

$$\phi(x) = (\pi^k(x), v + \sigma(x)),$$

Vacuum redefinition

$$k = 1, \dots, N - 1.$$

$$\mathcal{L} = \frac{1}{2}(\partial_\mu \pi^k)^2 + \frac{1}{2}(\partial_\mu \sigma)^2 - \frac{1}{2}(2\mu^2)\sigma^2 - \sqrt{\lambda}\mu\sigma^3 - \sqrt{\lambda}\mu(\pi^k)^2\sigma - \frac{\lambda}{4}\sigma^4 - \frac{\lambda}{2}(\pi^k)^2\sigma^2 - \frac{\lambda}{4}[(\pi^k)^2]^2.$$

Nambu-Goldstone Theorem: Classical approach

$$V(\phi) = V(\phi_0) + \frac{1}{2}(\phi - \phi_0)^a(\phi - \phi_0)^b \left(\frac{\partial^2}{\partial \phi^a \partial \phi^b} V \right)_{\phi_0} + \dots,$$

$$\frac{\partial V}{\partial \phi^a} = 0 \quad \left(\frac{\partial^2}{\partial \phi^a \partial \phi^b} V \right)_{\phi_0} = m_{ab}^2 \geq 0.$$

Nambu et al. 1961

Vacuum condition plus mass matrix

$$\mathcal{L} = (\text{kinetic terms}) - V(\phi),$$

$$G : \phi_0^{a'} = U(g)\phi_0^a \neq \phi_0^a,$$

$$H : \phi_0^{a'} = U(h)\phi_0^a = \phi_0^a,$$

Vacuum is not invariant under the action of the whole group.

Nambu-Goldstone Theorem: Quantum version

$$U(g) = e^{T^a \alpha} \approx \hat{I} + \alpha T^a \rightarrow U(h) \phi_0^a = \phi_0^a + \alpha T^a(\phi_0),$$

$$T^a(\phi_0) T^b(\phi_0) \frac{\partial^2}{\partial \phi^a \partial \phi^b} V(\phi) = T^a(\phi_0) T^b(\phi_0) m_{ab}^2 = 0.$$

We can define a conserved current as

$$j_\mu^a(x) = \frac{\partial \mathcal{L}}{\partial(\partial^\mu \phi)} \frac{\delta \phi(x)}{\delta \alpha^a},$$

$$Q^a(x) = \int d^3x j_0^a(x).$$

Nambu-Goldstone Theorem: Quantum version

$$[Q^a, Q^b] = C^{abc} Q^c,$$

Lie algebra structure

$$U = e^{iQ^a \alpha^a}.$$

$$Q^a |0\rangle = 0.$$

Standard definition of vacuum

$$U \neq e^{iQ^a \alpha^a}, \quad Q^a |0\rangle \neq 0.$$

Degenerate vacuum condition

$$[Q^a, \phi'(x)] \sim \phi(x).$$

Order parameter

$$\langle 0 | Q^a \phi'(x) - \phi'(x) Q^a | 0 \rangle \neq 0.$$

Nambu-Goldstone field

Nambu-Goldstone Theorem: Quantum version

$$\phi = \frac{1}{\sqrt{2}}(\phi_1 + i\phi_2).$$

Convenient separation

$$\langle 0_{SV} | \phi_1 | 0_{SV} \rangle = \pm \mu / \sqrt{\lambda}.$$

Example of order parameter for the case of $U(1)$ symmetry.

$$\sum_n \int d^3y [\langle 0 | j_0^a(y) | n \rangle \langle n | \phi'(x) | 0 \rangle - \langle 0 | \phi'(x) | n \rangle \langle n | j_0^a(y) | 0 \rangle]_{x^0=y^0} \neq 0,$$

$$(2\pi)^3 \sum_n \delta^{(3)}(\vec{p}_n) \left[\langle 0 | j_0^a(0) | n \rangle \langle n | \phi'(x) | 0 \rangle e^{iM_n y^0} - \langle 0 | \phi'(x) | n \rangle \langle n | j_0^a(0) | 0 \rangle e^{-iM_n y^0} \right]_{x^0=y^0},$$

Spacetime translational invariance

The Nambu-Goldstone theorem suggests that the number of Nambu-Goldstone bosons is equal to the number of broken symmetry generators; besides this, the Nambu-Goldstones, being massless, have a linear dispersion relation $E_n \sim p_n$

Systems where this does not apply

- 1) Heisenberg Ferromagnet
- 2) Any system where the Lorentz invariance is violated explicitly (Many examples in condensed matter Physics)

For these systems two things occur, they are:

- 1) Two broken symmetries are related to one Nambu-Goldstone boson
- 2) The dispersion relation of the mode is quadratic and not linear as it is supposed to be

DISCREPANCIES BETWEEN TYPE I AND II NGB

$$n_{\text{BS}} - n_{\text{NG}} = \frac{1}{2} \text{rank } \rho,$$

- 1). Dispersion relation.
- 2). Counting rule. **Watanabe and Murayama.**

$$\langle 0_{SV} | \phi_{a,b}(x) | 0_{SV} \rangle \neq 0.$$

$$\phi_{a,b}(\vec{x}) = \phi_{a,b}^{m,l} \epsilon_m \otimes \epsilon_l$$

$$[Q_{m,k}(y), \phi_{a,b}(x)] \sim \phi'_{a,b}(x).$$

$$\langle 0_{SV} | [Q_{m,k}(y), \phi_{a,b}(x)] | 0_{SV} \rangle \neq 0.$$

$$Q_{m,l} = Q_{m,l}^{p,k} \epsilon_p \otimes \epsilon_k.$$

Generic form of order parameter

QUANTUM YANG BAXTER EQUATIONS

$$\langle 0_{SV} | [\phi_{b,a}(x), [Q_{p,k}(y), Q_{l,m}(z)]] | 0_{SV} \rangle \neq 0.$$

$$Q_{k,p}(y) = e^{-ipy} Q_{k,p}(0) e^{ipy}$$

$$\begin{aligned} & \sum_{n,n'} \langle 0_{SV} | \phi_b(x) | n \rangle \langle n | Q_p(0) | n' \rangle \langle n' | Q_l(0) | 0_{SV} \rangle e^{-i(p_n - p_{n'})y} e^{-i\tilde{p}_{n'}z} \\ & - \langle 0_{SV} | \phi_b(x) | n' \rangle \langle n' | Q_l(0) | n \rangle \langle n | Q_p(0) | 0_{SV} \rangle e^{-i(\tilde{p}_{n'} - \tilde{p}_n)z} e^{-ip_n y} \\ & - \langle 0_{SV} | Q_p(0) | n \rangle \langle n | Q_l(0) | n' \rangle \langle n' | \phi_b(x) | 0_{SV} \rangle e^{-i(\tilde{p}_n - \tilde{p}_{n'})z} e^{ip_n y} \\ & + \langle 0_{SV} | Q_l(0) | n' \rangle \langle n' | Q_p(0) | n \rangle \langle n | \phi_b(x) | 0_{SV} \rangle e^{-i(p_{n'} - p_n)y} e^{i\tilde{p}_{n'}z} \neq 0. \end{aligned}$$

QUANTUM YANG BAXTER EQUATIONS

$$Q_{p,k} = Q_{p,m} = Q_{m,p} = Q_{k,p} = Q_p; \quad Q_{l,m} = Q_{l,a} = Q_{a,l} = Q_{m,l} = Q_l, \text{ and } \phi_{b,a} = \phi_{b,k} =$$

$$\phi_{k,b} = \phi_{a,b} = \phi_b$$

$$\begin{aligned} R_{m,l}^{0,n'} &= \langle 0_{DV} | Q_{m,l}(y) | n' \rangle, & R_{p,n'}^{n,k} &= \langle n' | Q_{k,p}(z) | n \rangle, \\ R_{n,0}^{a,b} &= \langle n | \phi_{a,b}(x) | 0_{DV} \rangle, & R_{p,m}^{n,0} &= \langle n | Q_{p,m}(z) | 0_{DV} \rangle, \\ R_{n,l}^{a,n'} &= \langle n' | Q_{l,a}(y) | n \rangle, & R_{0,n'}^{b,k} &= \langle 0_{DV} | \phi_{b,k}(x) | n' \rangle. \end{aligned}$$

$$R_{(1,2)} R_{(1,3)} R_{(2,3)} = R_{(2,3)} R_{(1,3)} R_{(1,2)}, \quad R : M \otimes V = R_{c,d}^{a,b} m_a \otimes m_b. \quad R_{j,k}^{s_2,s_3} R_{i,s_3}^{s_1,c} R_{s_1,s_2}^{a,b} = R_{i,j}^{r_1,r_2} R_{r_1,k}^{a,r_3} R_{r_2,r_3}^{b,c}.$$

$$R_{m,l}^{0,n'} R_{p,n'}^{n,k} R_{n,0}^{a,b} = R_{p,m}^{n,0} R_{n,l}^{a,n'} R_{0,n'}^{b,k},$$

QUANTUM YANG BAXTER EQUATIONS

$$\sum_{0,n,n'} \langle 0_{DV} | Q_{m,l}(0) | n' \rangle \langle n' | Q_{k,p}(0) | n \rangle \langle n | \phi_{a,b}(x) | 0_{DV} \rangle = \sum_{0,n,n'} \langle 0_{DV} | \phi_{b,k}(x) | n' \rangle \times \\ \langle n' | Q_{l,a}(0) | n \rangle \langle n | Q_{p,m}(0) | 0_{DV} \rangle$$

In simplified notation

$$\sum_0 \langle 0_{DV} | Q_l(0) Q_p(0) \phi_b(x) | 0_{DV} \rangle = \sum_0 \langle 0_{DV} | \phi_b(x) Q_l(0) Q_p(0) | 0_{DV} \rangle .$$

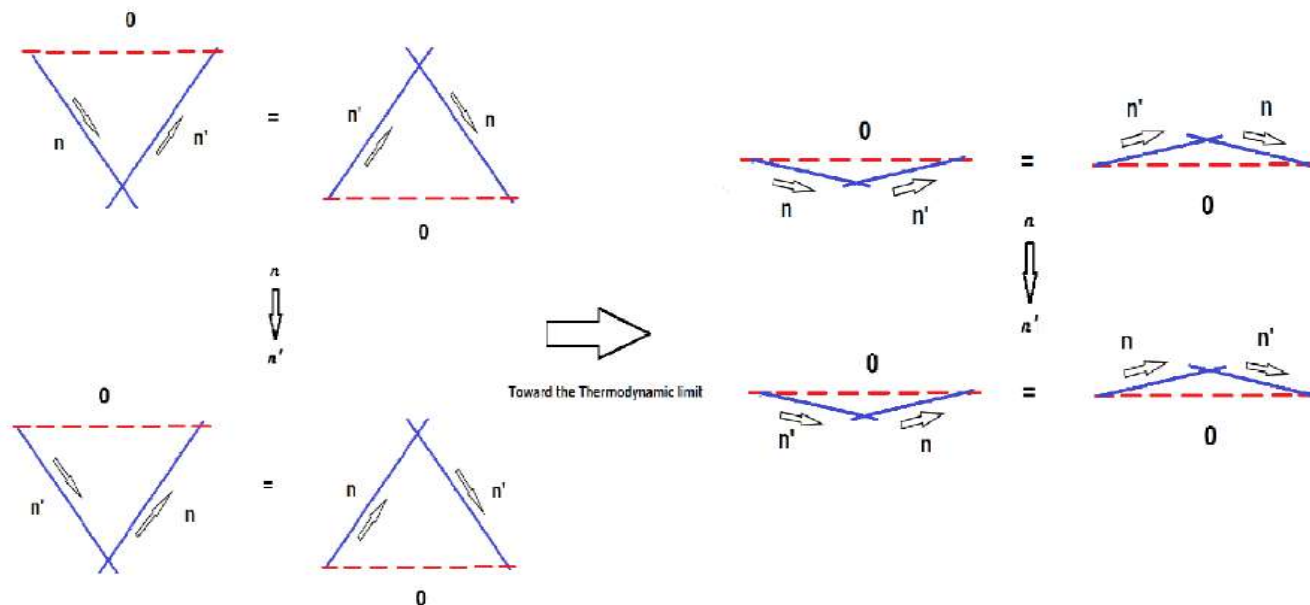
QUANTUM YANG BAXTER EQUATIONS

$$n \rightarrow n'$$

Exchange of particles

$$R_{m,p}^{0,n} R_{l,n}^{n',a} R_{n',0}^{k,b} = R_{l,m}^{n',0} R_{n',p}^{k,n} R_{0,n}^{b,a}$$

$$R_{(3,2)} R_{(3,1)} R_{(2,1)} = R_{(2,1)} R_{(3,1)} R_{(3,2)}.$$



Witten 2016,
Kostello 2013

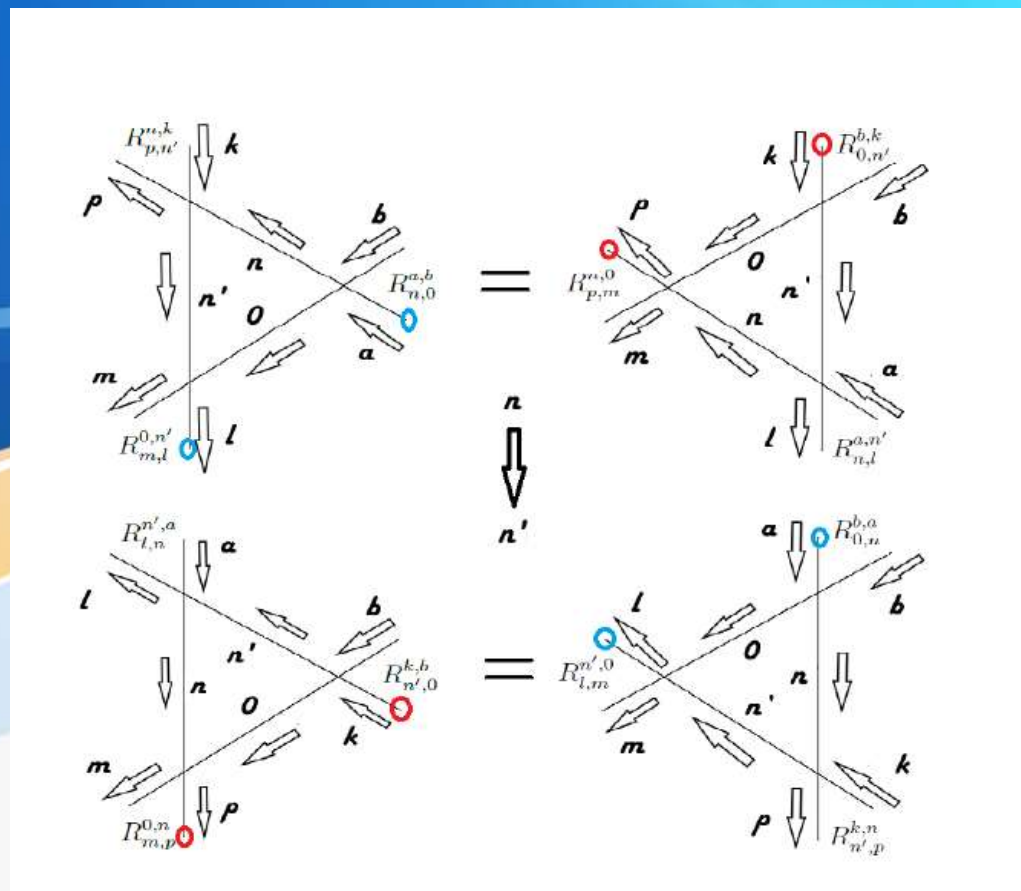
QUANTUM YANG BAXTER EQUATIONS

$$\sum_{0,n,n'} \langle 0_{DV} | Q_{m,p}(0) | n \rangle \langle n | Q_{a,l}(0) | n' \rangle \langle n' | \phi_{k,b}(x) | 0_{DV} \rangle = \sum_{0,n,n'} \langle 0_{DV} | \phi_{b,a}(x) | n \rangle \times \\ \langle n | Q_{p,k}(0) | n' \rangle \langle n' | Q_{l,m}(0) | 0_{DV} \rangle$$

In simplified notation we get

$$\sum_0 \langle 0_{DV} | Q_p(0) Q_l(0) \phi_b(x) | 0_{DV} \rangle = \sum_0 \langle 0_{DV} | \phi_b(x) Q_p(0) Q_l(0) | 0_{DV} \rangle .$$

QUANTUM YANG BAXTER EQUATIONS



M. Jimbo 1989,

QUANTUM YANG BAXTER EQUATIONS: TYPE B NGB

$$\sum_{0,n,n'} \langle 0_{DV} | \phi_b(x) | n \rangle \langle n | Q_p(0) | n' \rangle \langle n' | Q_l(0) | 0_{DV} \rangle 2e^{-i\tilde{E}_{n'}z_0} \cos(\tilde{\mathbf{p}}_n \cdot \mathbf{z})$$

$$- \langle 0_{DV} | Q_p(0) | n \rangle \langle n | Q_l(0) | n' \rangle \langle n' | \phi_b(x) | 0_{DV} \rangle 2e^{iE_n y_0} \cos(\mathbf{p}_n \cdot \mathbf{y}) = 0.$$

$$p_n = p_{n'} \text{ and } \tilde{p}_n = \tilde{p}_{n'} \quad n = n' \quad p_n y = E_n y_0 - \vec{p}_n \cdot \vec{y},$$

$$2 \sum_{0,n,n'} \langle 0_{DV} | \phi_b(x) | n \rangle \langle n | Q_p(0) | n' \rangle \langle n' | Q_l(0) | 0_{DV} \rangle (e^{-i\tilde{E}_{n'}z_0} \cos(\tilde{\mathbf{p}}_n \cdot \mathbf{z}) \times$$

Spatial integration

$$-e^{iE_n z_0} \cos(\mathbf{p}_n \cdot \mathbf{y})) = 0,$$

QUANTUM YANG BAXTER EQUATIONS: TYPE B NGB

Under the conditions $z_0 = y_0$

If $y \rightarrow z$

$$4i \sum_{0,n,n'} \langle 0_{DV} | \phi_b(x) | n \rangle \langle n | Q_p(0) | n' \rangle \langle n' | Q_l(0) | 0_{DV} \rangle \sin(E_n z_0) \cos(\mathbf{p}_n \cdot \mathbf{z})_{y \rightarrow z} = 0$$

$$E_n \rightarrow 0$$

$$p_n \rightarrow 0$$

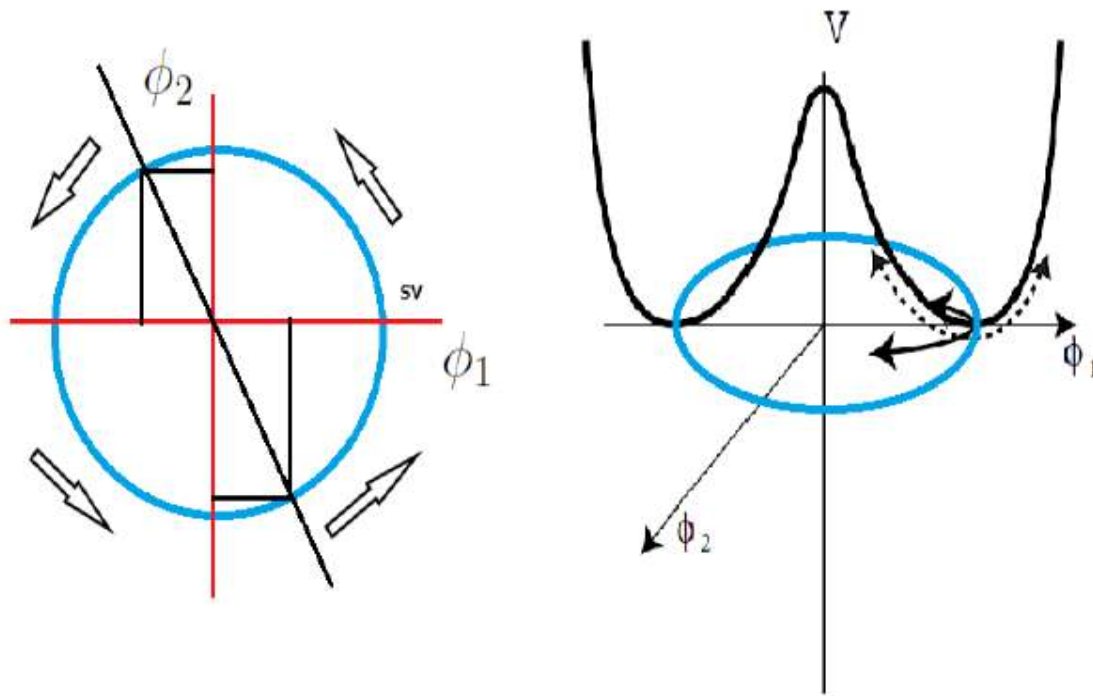
QUANTUM YANG BAXTER EQUATIONS: TYPE A NGB

$$\sum_{0,n,n'} \langle 0_{DV} | \phi_b(x) | n \rangle \langle n | Q_p(0) | n' \rangle \langle n' | Q_l(0) | 0_{DV} \rangle (e^{-i(p_n - p_{n'})y} e^{-i\tilde{p}_{n'}z} - e^{-i(\tilde{p}_n - \tilde{p}_{n'})z} e^{ip_n y}) - \langle 0_{DV} | \phi_b(x) | n' \rangle \langle n' | Q_l(0) | n \rangle \times \langle n | Q_p(0) | 0_{DV} \rangle (e^{-i(\tilde{p}_{n'} - \tilde{p}_n)z} e^{-ip_n y} - e^{-i(p_{n'} - p_n)y} e^{i\tilde{p}_{n'}z}) = 0.$$

$$\text{typeA} : n_A = \text{Rank}(R_{k,l}^{i,j})_{n \neq n'} \text{ and TypeB} : n_B = \frac{1}{2} \text{Rank}(R_{k,l}^{i,j})_{n=n'}$$

$$N_{NG} = n_A + n_B \text{ and } N_{BG} = \text{Rank}(R_{k,l}^{i,j})_{n=n'} + \text{Rank}(R_{k,l}^{i,j})_{n \neq n'} = 2n_B + n_A$$

QUANTUM YANG BAXTER EQUATIONS: JUSTIFICATION OF THE SUM OF VACUUMS



QUANTUM YANG BAXTER EQUATIONS: JUSTIFICATION OF THE SUM OF VACUUMS

$$\sum_0 \langle 0_{DV} | \phi_1 | 0_{DV} \rangle = \bar{\phi}_1 - \bar{\phi}_1 + \bar{\phi}_2 - \bar{\phi}_2 + \dots + \bar{\phi}_n - \bar{\phi}_n = \sum_{n=1}^N \bar{\phi}_i = 0.$$

$$\langle 0_{SV} | [Q, \phi_2] | 0_{SV} \rangle = i \langle 0_{SV} | \phi_1 | 0_{SV} \rangle .$$

$$\sum_0 \langle 0_{DV} | [Q, \phi_2] | 0_{DV} \rangle = 0.$$

Why can we sum over different vacuums which represent in principle different Hilbert spaces?

CONCLUSIONS

- 1). The Nambu-Goldstone theorem is a natural consequence of the constraints coming from the Quantum Yang Baxter Equations. The same constraints illustrate the generic character of the Type I and Type II NG.
- 2). The QYBE suggest that the interaction of N Nambu-Goldstone bosons can be decomposed in the interaction of pairs.
- 3). The interaction of a pair of Nambu-Goldstone bosons is represented by 4 different histories of interaction. The QYBE reduce the total independent histories to 2.

Conclusions

- 4). In some systems, the interaction of a pair of NGB is reduced to only 1 history. In such a case, the two NGB become a single degree of freedom with quadratic dispersion relation.
- 5). For the systems where the histories can be only reduced to 2 for the interaction of 2 NGB, the number of NGB is equal to the number of broken symmetries and the NGB have a linear dispersion relation.