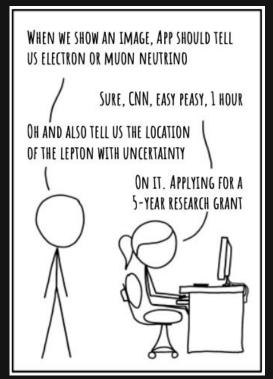
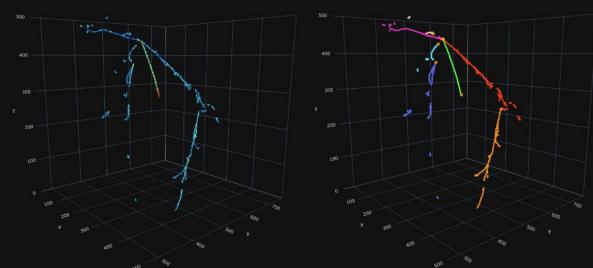
Machine Learning in Particle Physics





Kazuhiro Terao (in place of Alex Himmel)
SLAC National Accelerator Laboratory
COMHEP 2021

Energy Frontier

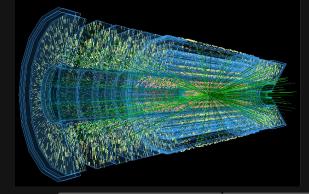
- Multi-modal detector
- Good reconstruction, big-data analysis

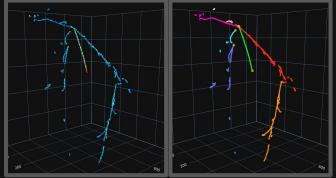
Intensity Frontier

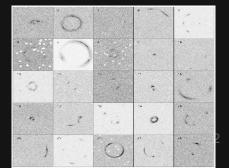
- Big monolithic detector for imaging
- Challenging reconstruction

Cosmic Frontier

- Many low-resolution images
- Need for complex statistical inference







Energy Frontier

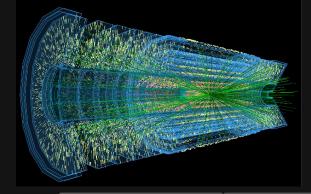
- Multi-modal detector
- Good reconstruction, big-data analysis

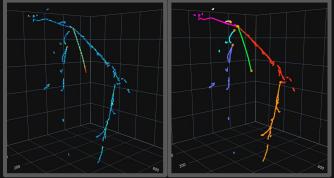
Intensity Frontier

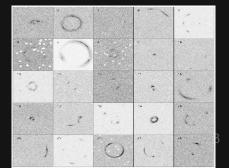
- Big monolithic detector for imaging
- Challenging reconstruction

Cosmic Frontier

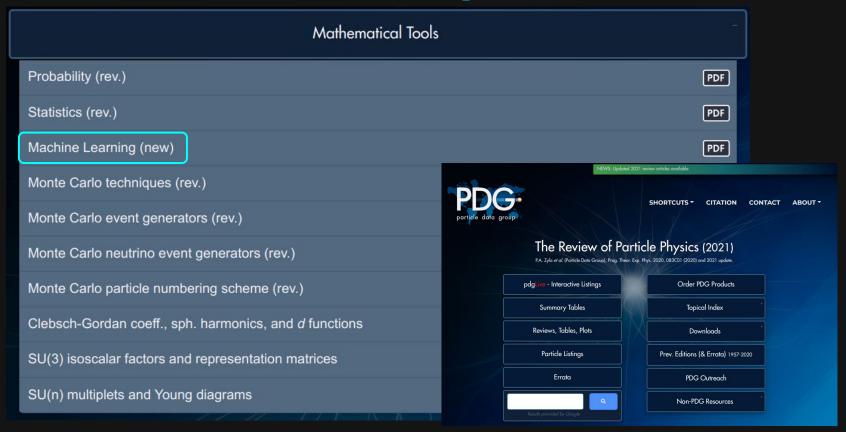
- Many low-resolution images
- Need for complex statistical inference

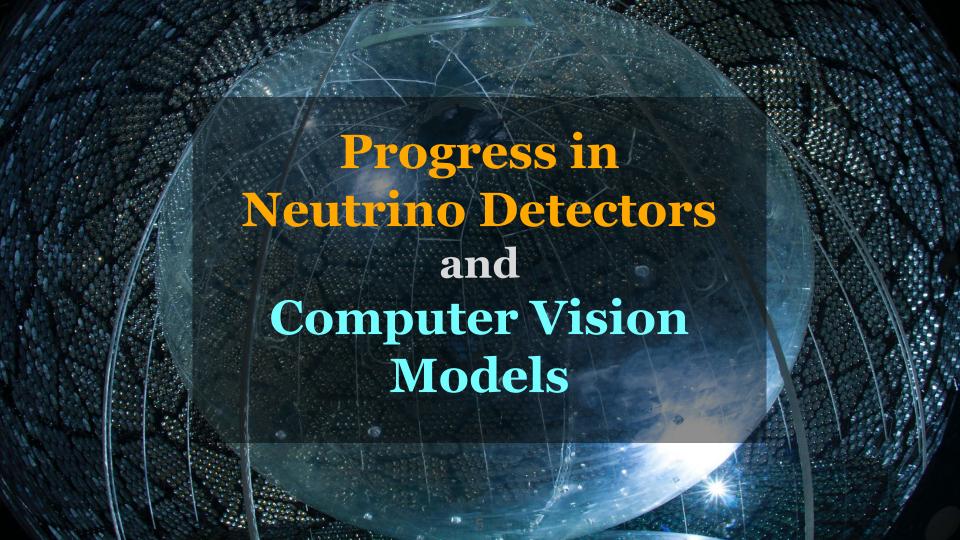




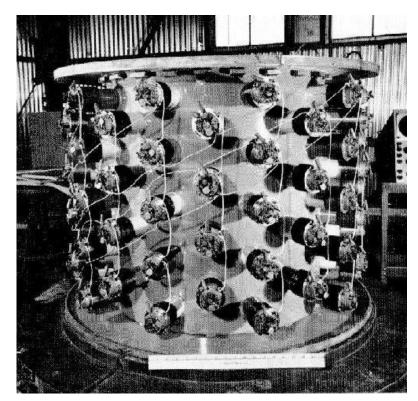


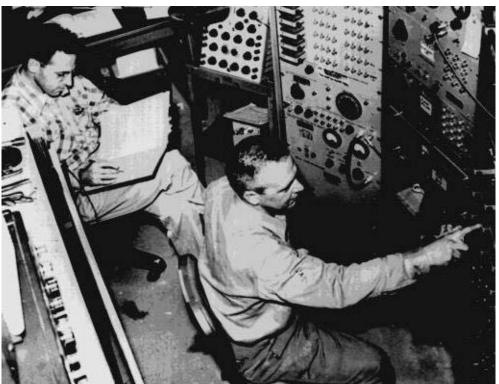
Ads: "Machine Laerning" review for particle physics now available in Particle Data Group review (new in 2021)!



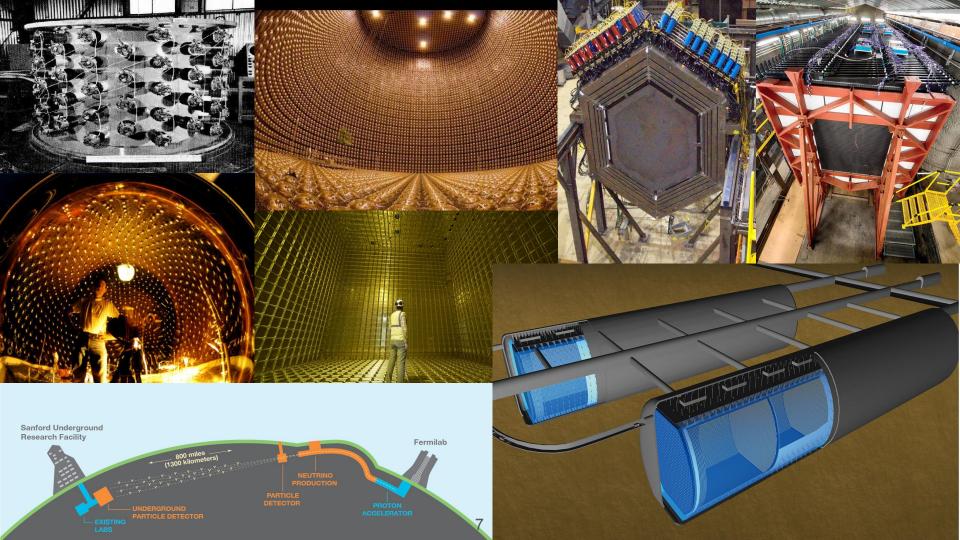


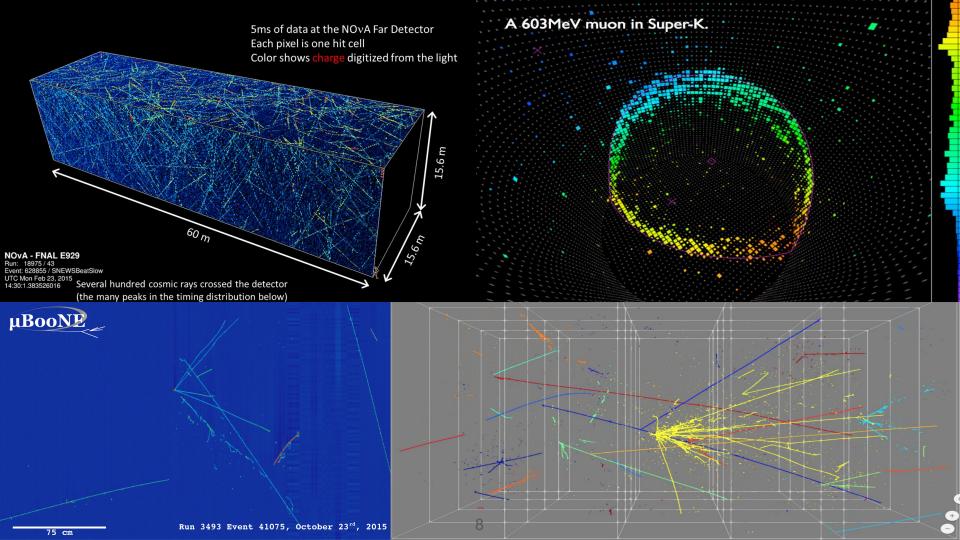
"Discovery"

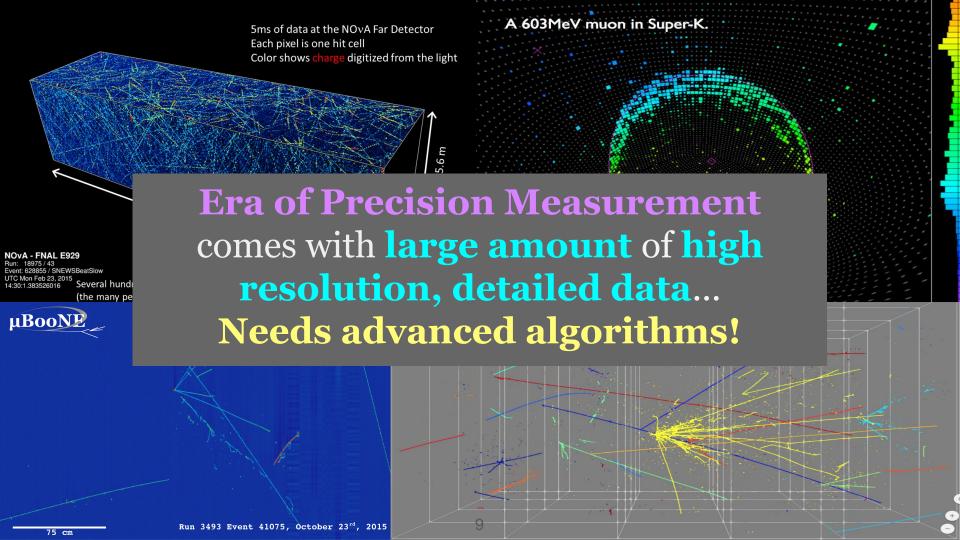




State-of-the-Art >60 years ago









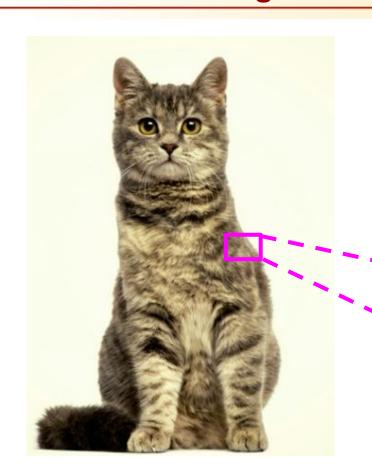


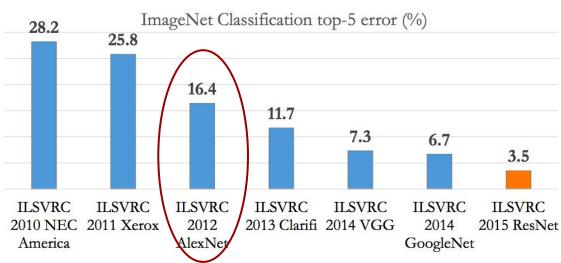
Image analysis can be difficult...

```
16 08 67 15 83 09
37 52 77 23 22 74
35 42 48 72 85 27
68 36 43 54 21 33
79 60 10 25 54 71
18 55 38 73 50 47
```

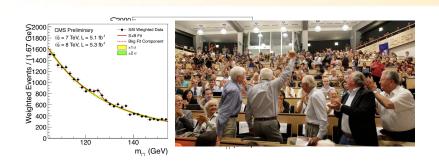


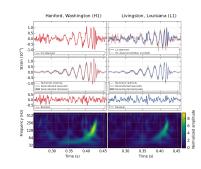
"Deep Learning" sparked 2012 sparked the wave of modern machine learning (ML) and AI





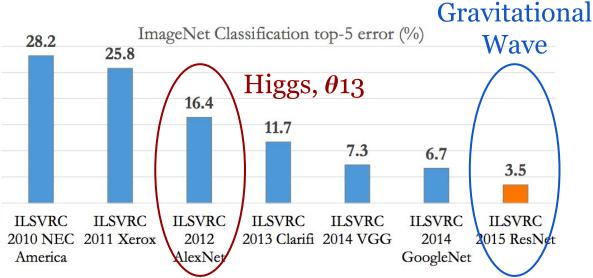




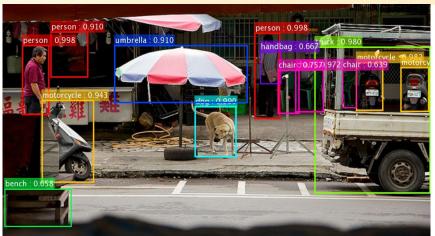




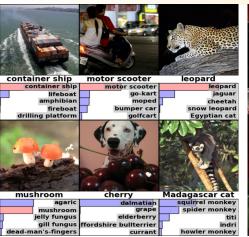


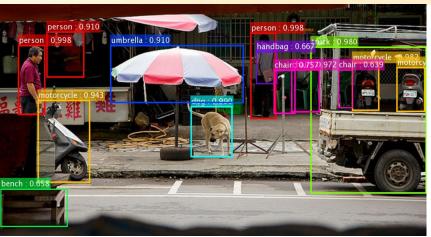








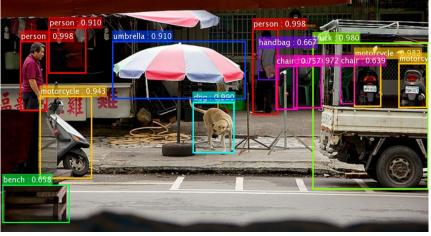












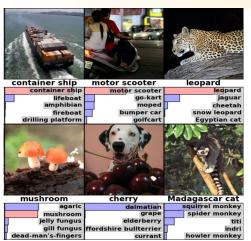


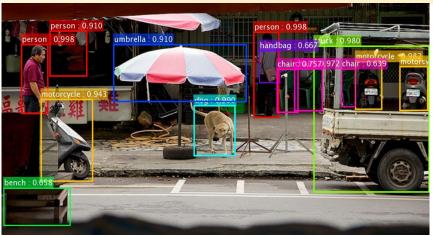




"girl in pink dress is jumping in air."













"girl in pink dress is jumping in





Outline for the rest

Can we apply ML for image analysis?



Can we reconstruct physics features with ML?



Next: ML & simulation

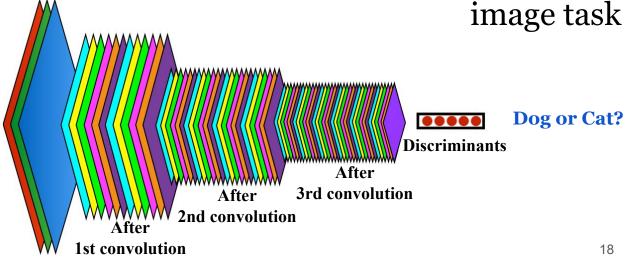


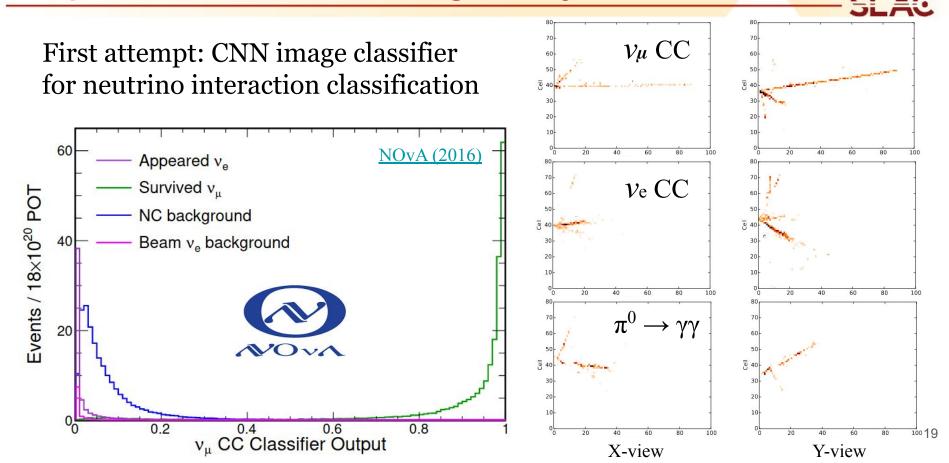




Convolutional Neural Network (CNN)

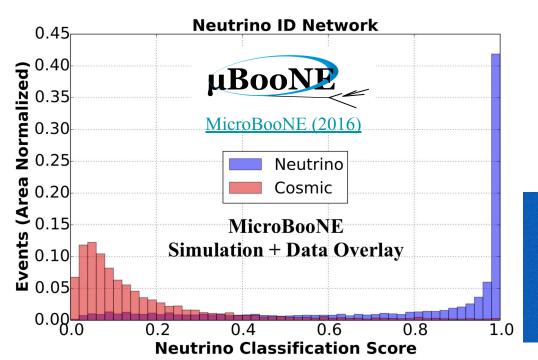
Learns to transform 2D image data into an array of useful features to address an image task

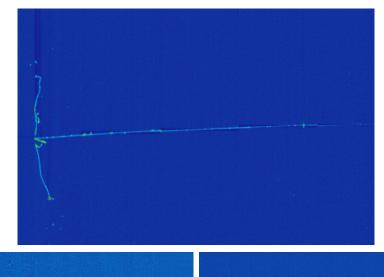


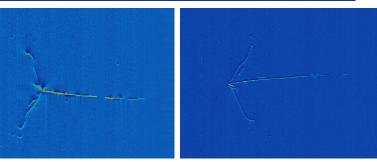


SLAC

First attempt: CNN image classifier for signal v.s. background classification

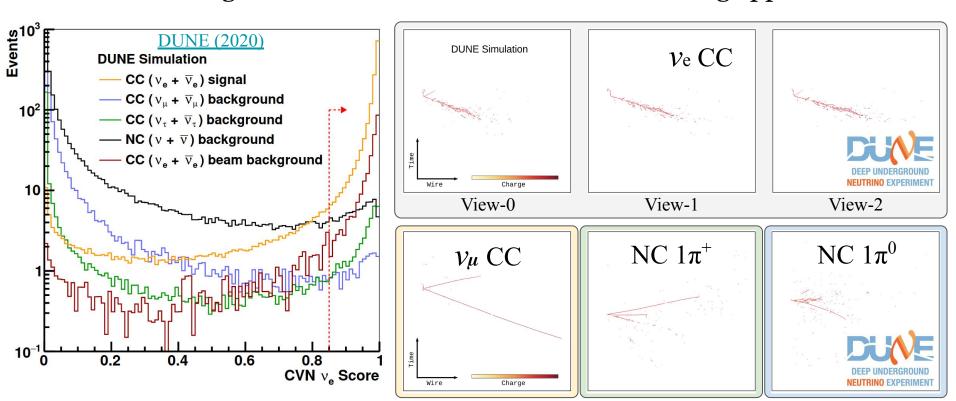






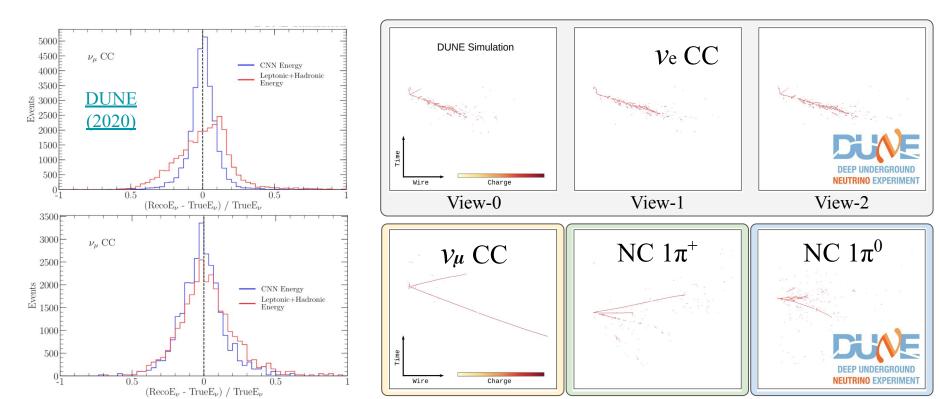


CNN image classification remains to date as a strong approach





... and CNN also used for an image-level regression (e.g. neutrino energy)





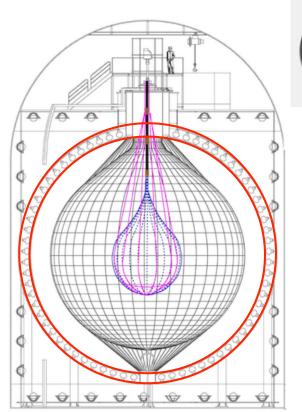
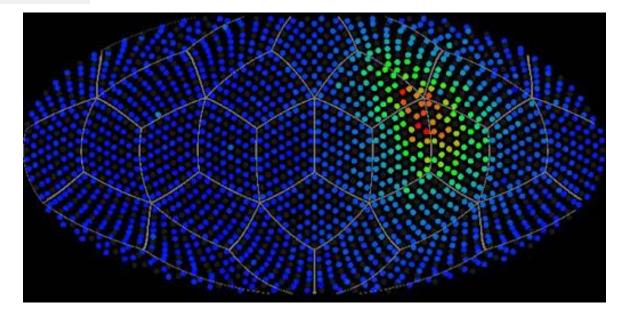
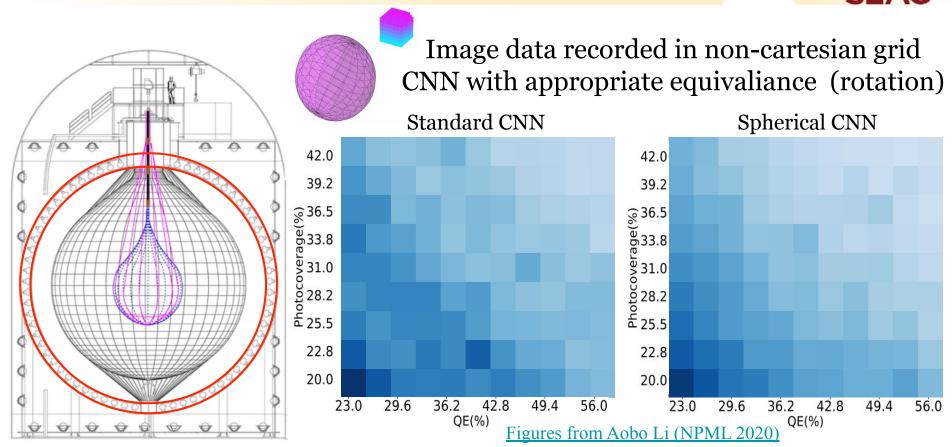




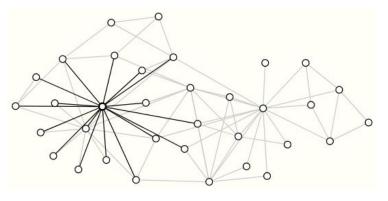
Image data recorded in non-cartesian grid



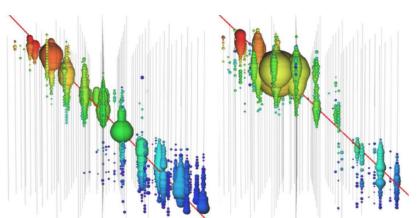


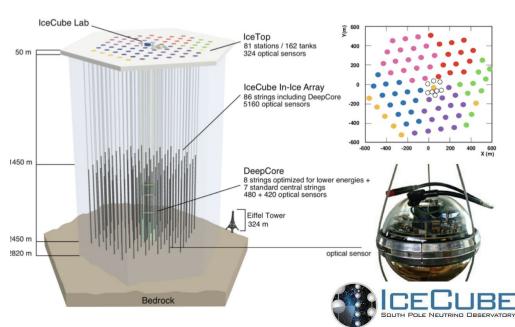




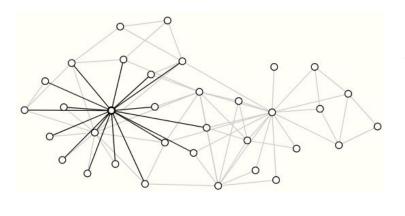


Graph as a flexible representation suited for non-square-grid / multi-modal data.



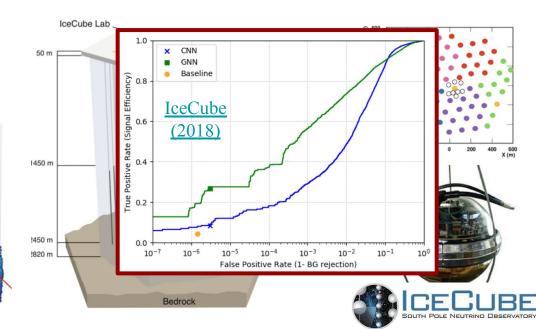


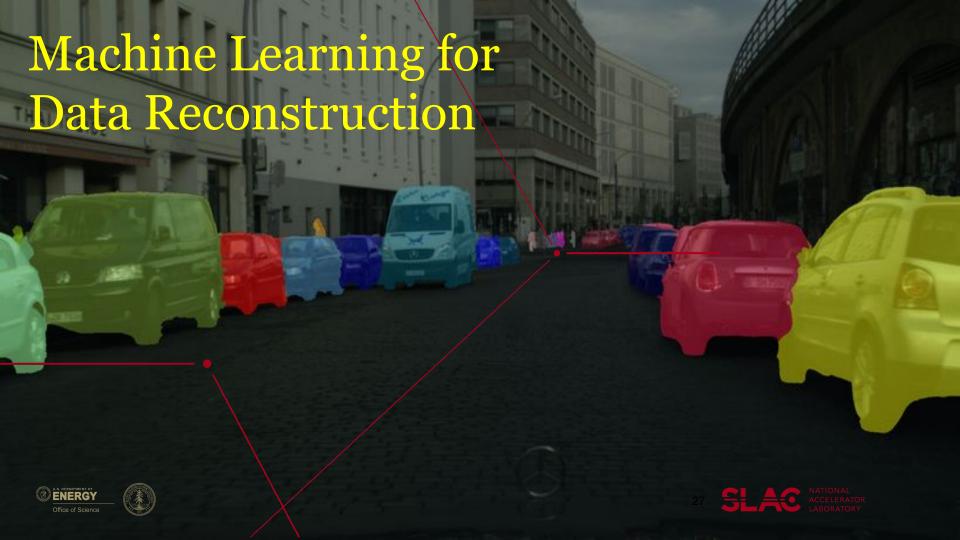




Graph as a flexible representation suited for non-square-grid / multi-modal data.

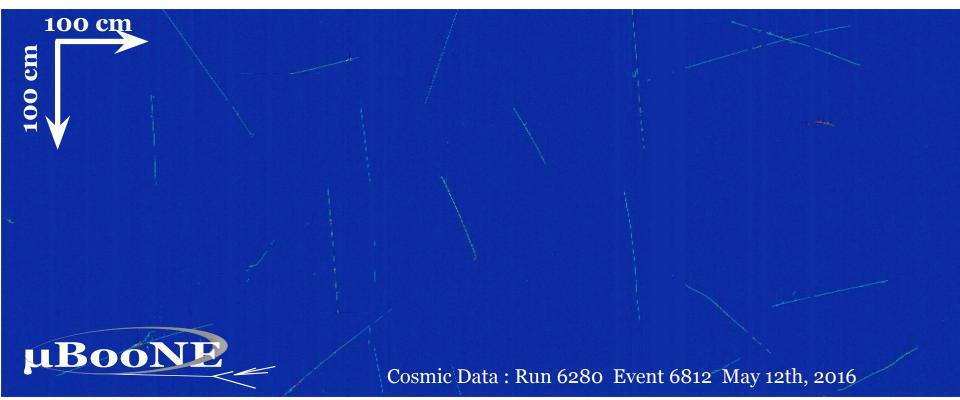
Graph Neural Network for neutrino ID





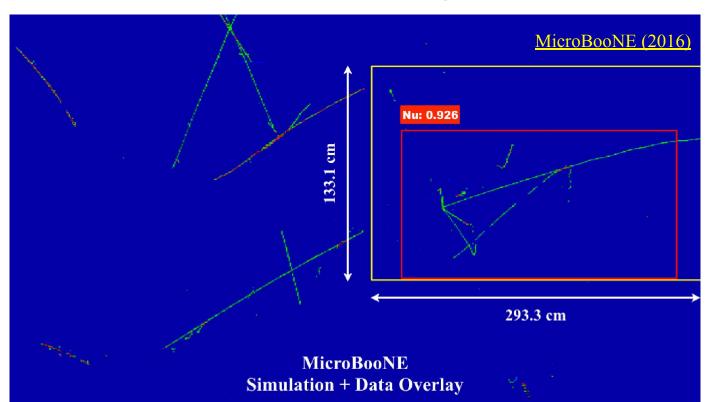
SLAC

Signal v.s. Background? more like "Signal in Background"



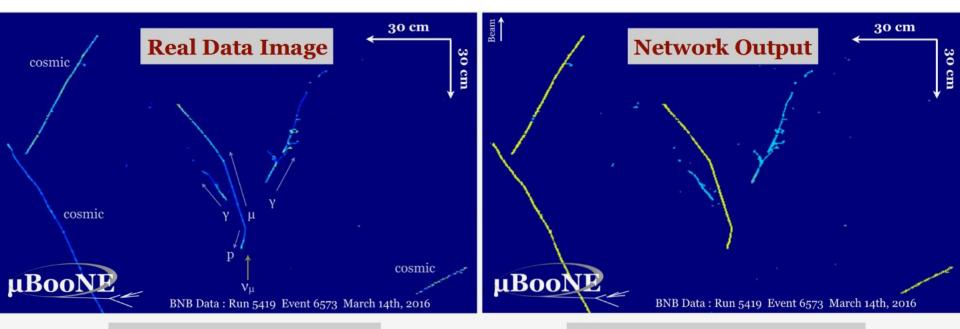


Tell "where" the signal is





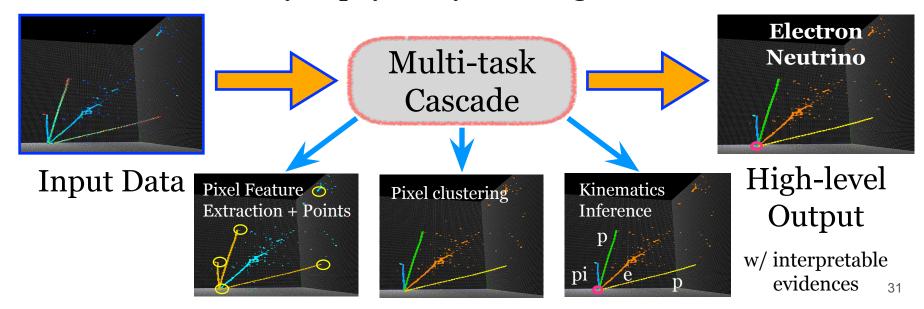
Even at the pixel-level! ... then onto a "full reconstruction chain"





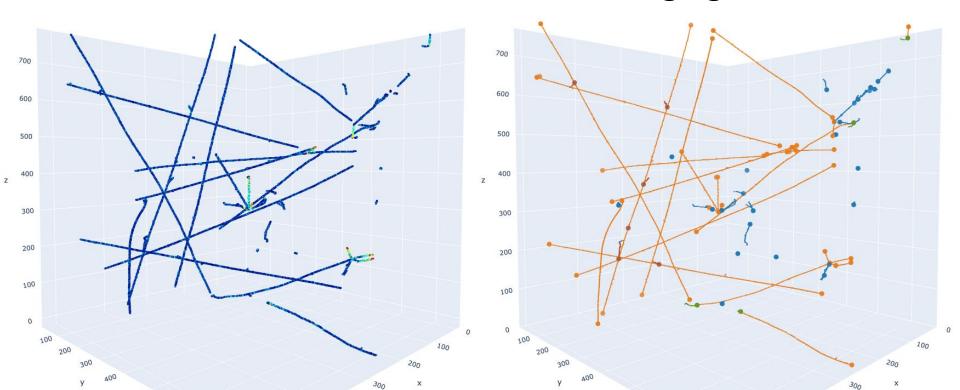
Machine Learning for Data Reconstruction

- Goal: high level abstract information (like image classification)
- **How**: design the algorithm = data transformation architecture that extracts a hierarchy of physically meaningful features (evidences)



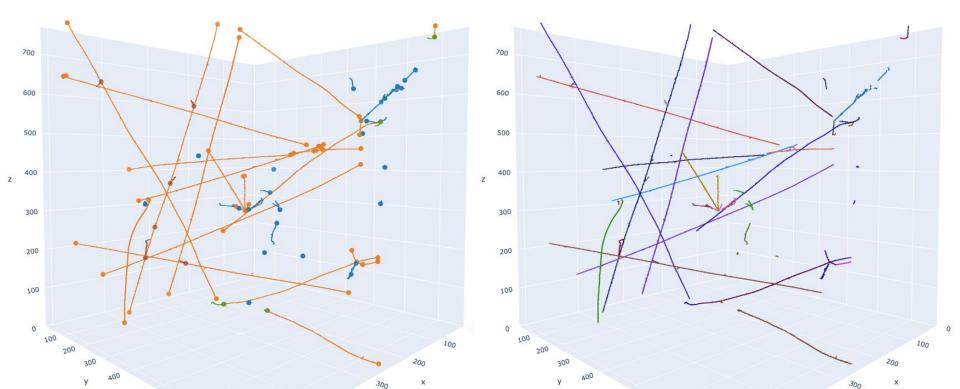


End-to-End Reconstruction for 3D Imaging Detector



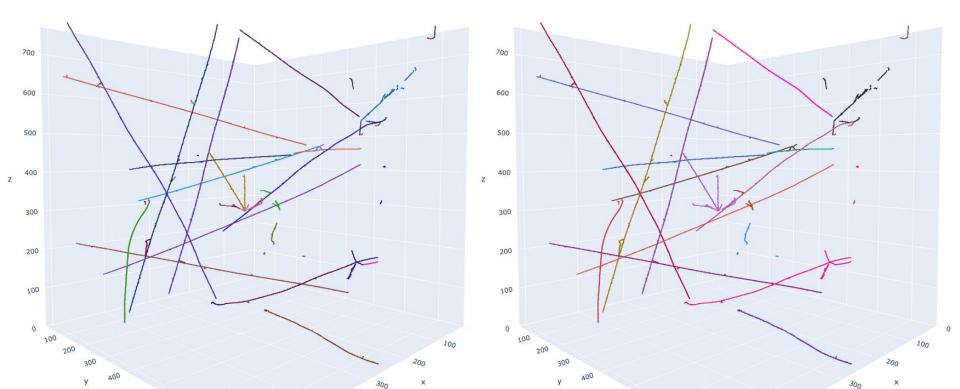


End-to-End Reconstruction for 3D Imaging Detector

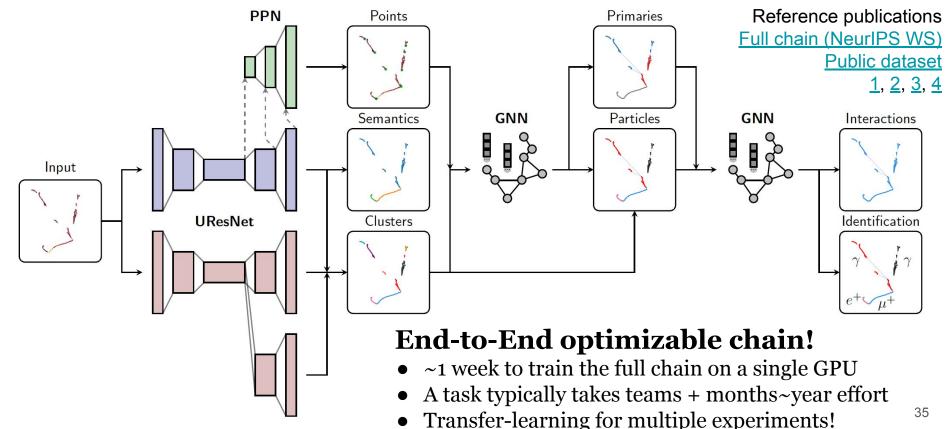


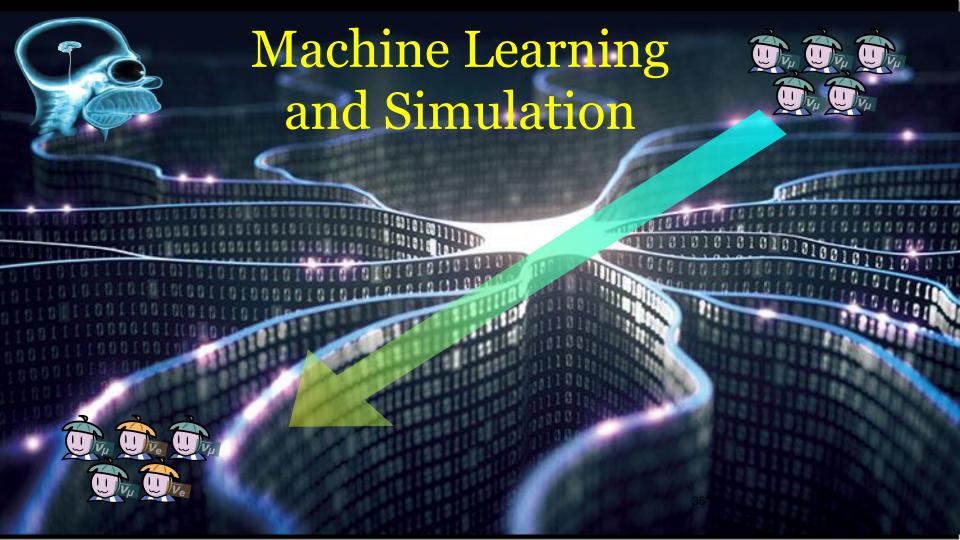


End-to-End Reconstruction for 3D Imaging Detector







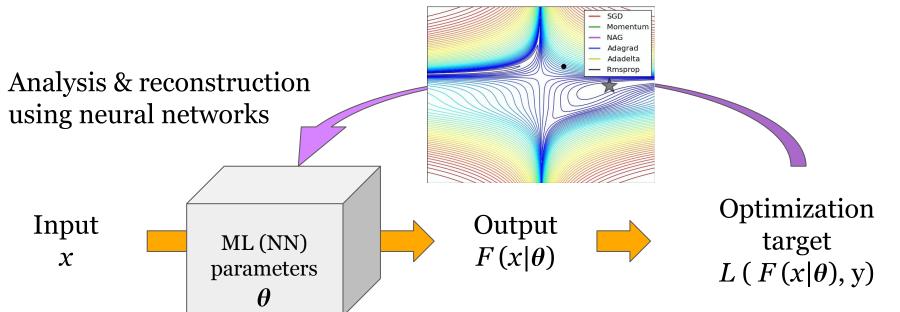




Recent success in machine learning ... much are backed by **deep learning**



Recent success in machine learning ... much are backed by **deep learning** ... for which, one key success is **gradient-based optimization**



SLAC

Recent success in machine learning ... much are backed by **deep learning**

... for which, one key success is **gradient-based optimization**

... which is enabled by computing hardwares & differentiable programming



Yann LeCun January 5, 2018

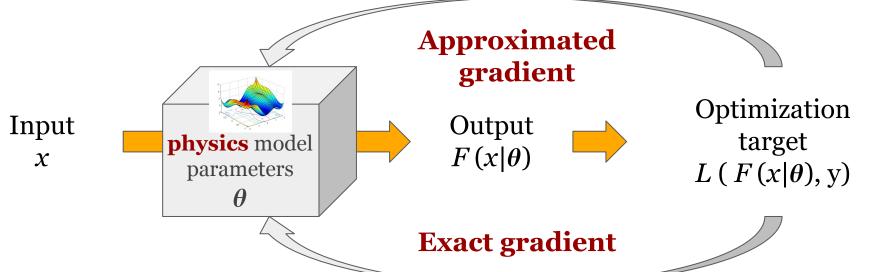
OK, Deep Learning has outlived its usefulness as a buzz-phrase. Deep Learning est mort. Vive Differentiable Programming!



Recent success in machine learning ... much are backed by **deep learning**

... for which, one key success is **gradient-based optimization**

... which is enabled by computing hardwares & differentiable programming

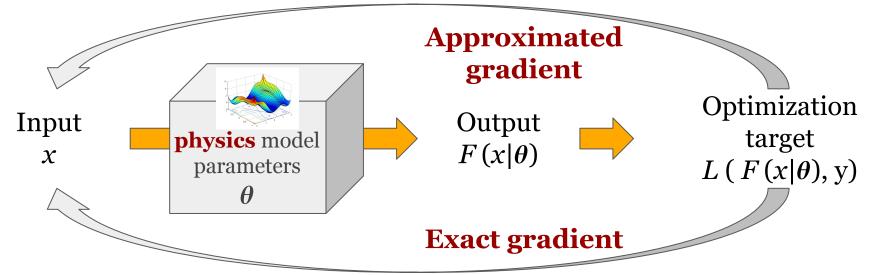


SLAC

Recent success in machine learning ... much are backed by **deep learning**

... for which, one key success is **gradient-based optimization**

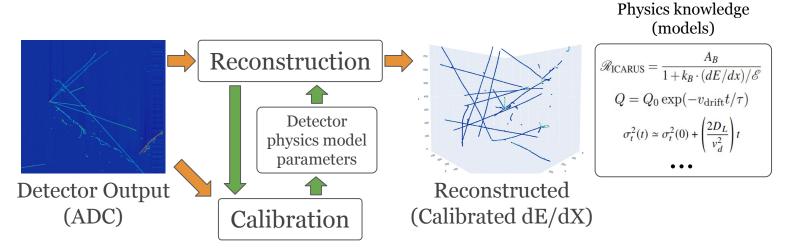
... which is enabled by computing hardwares & differentiable programming



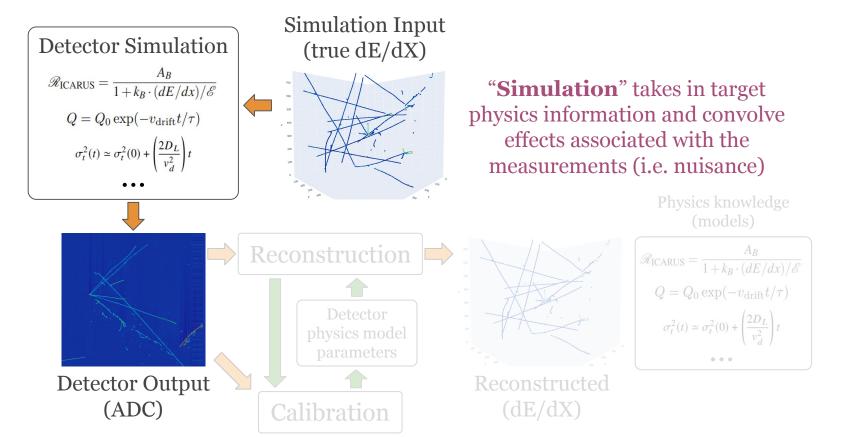


Traditionally: experiments collect data, we infer physics from data **But:** in this process, we draw likelihood using simulation (physics models)

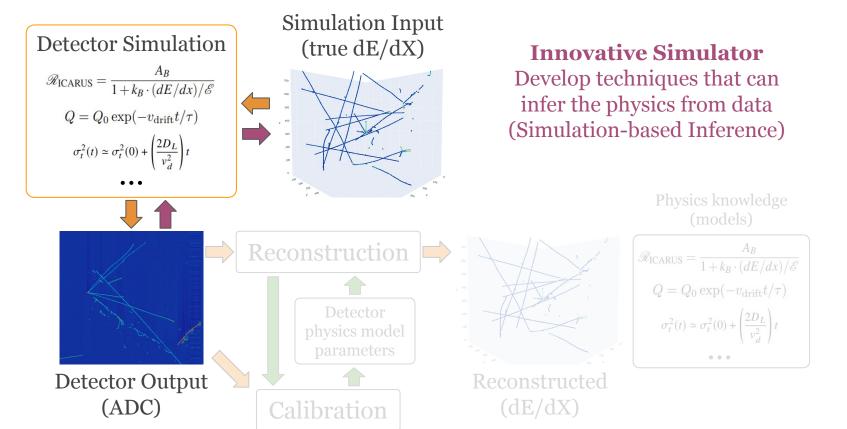
"Reconstruction" as a process of inferring a high(er) level physics quantities from raw data.







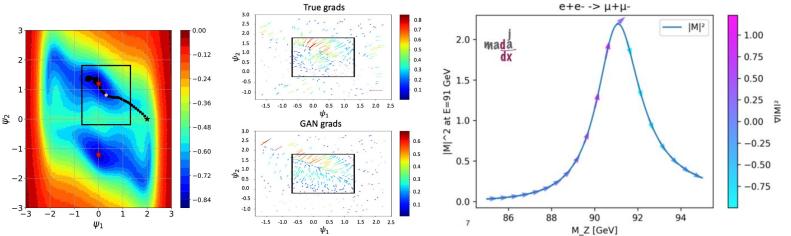






E.g. Differentiable Simulator

- Exploit model derivatives to enable new inference techniques
 - Surrogate (neural network) model to approximate gradients
 - Exact gradient using differentiable programming (ML) frameworks
- Applications: physics inference, design optimization, decision control, etc.



Left: surrogate model for magnet optimization

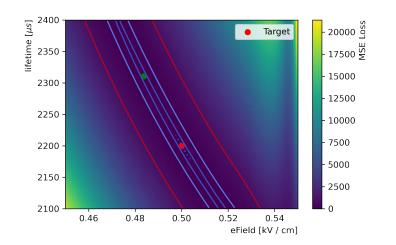
Right: <u>differentiable</u> <u>matrix element</u> calculation (MadJax)

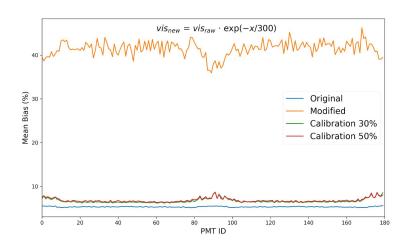
4



Simulator-based Inference being explored across HEP frontiers

- Automation of detector model parameter inference ("calibration")
- Detector design optimization for future experiments (DUNE/Hyper-K)
- Surrogate for event generator studies (100M 1B event re-weighting)
- Exploitation of fully-differentiable analysis chain (sim+reco)







Machine Learning in Neutrino Physics & HEP Closing

Final remarks

- Machine learning is spreading across applications
- Applications in neutrino physics used Computer Vision models for analysis and reconstruction
- Active research in physics inference methods and innovative simulation tools, HEP cross-frontier effort
- ML is interdisciplinary: please see the new PDG review for a wider scope of applications for other frontiers!

Machine Learning in Neutrino Physics & HEP Closing



Nu2020 Satellite (indico link) + Main Workshop (indico link)

Satellite targeted young folks for short technical talks, the main workshop invited collaborations for a summary. 20+40 = 60 talks all recorded:)



Interest? go check out slides and recorded talks!

... + start conversation to collaborate on ML application development with neutrino physicists:)

Planning to hold another in 2022!

Machine Learning in Neutrino Physics & HEP Closing



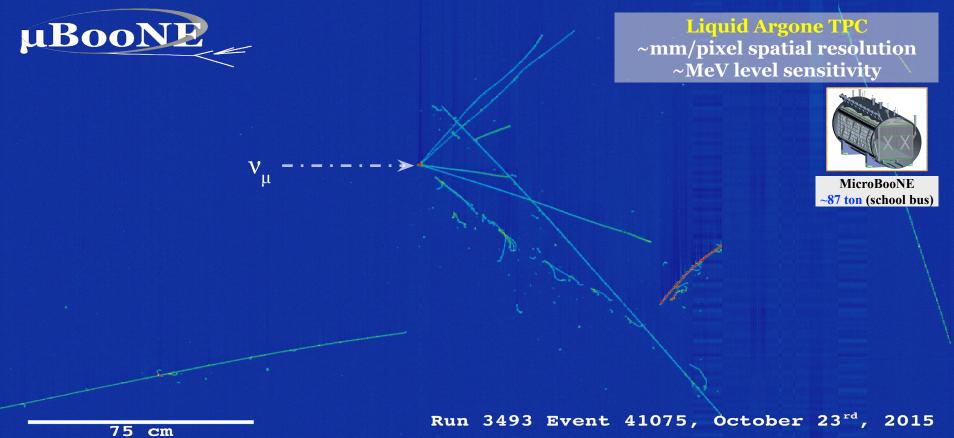
Questions?

Machine Learning in Neutrino Physics & HEP Closing



Back-ups







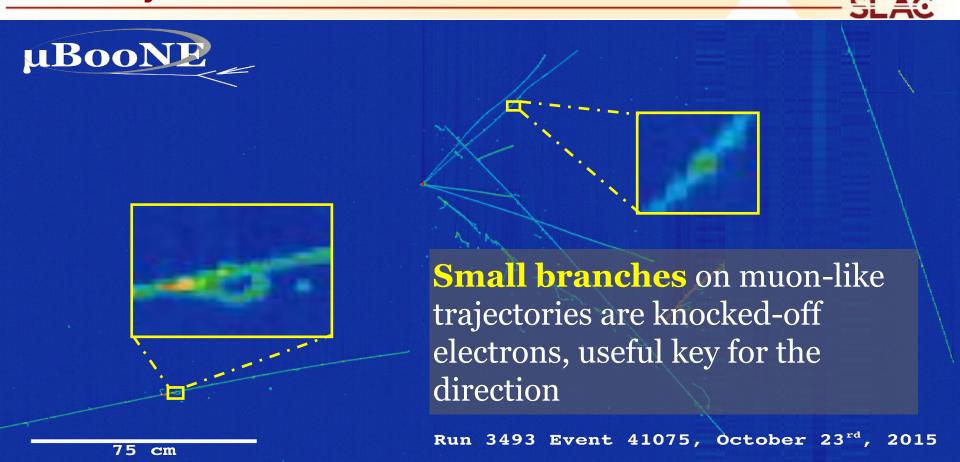
Shape difference is a major distinction for "shower" particles

Run 3493 Event 41075, October 23rd, 2015



Many, local kinks
caused by Multiple Coulomb
Scattering process can be
used for momentum
estimation

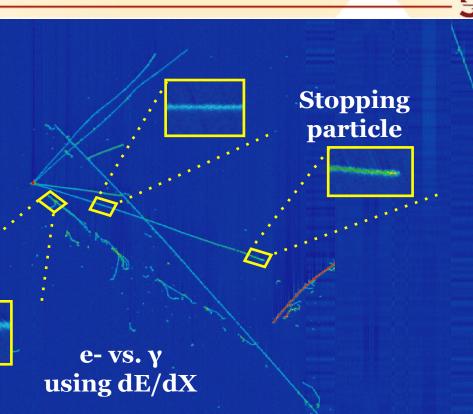
Run 3493 Event 41075, October 23rd, 2015



μBooNE

Energy deposition patterns (dE/dX)

vary with particle mass & momentum, useful for analysis

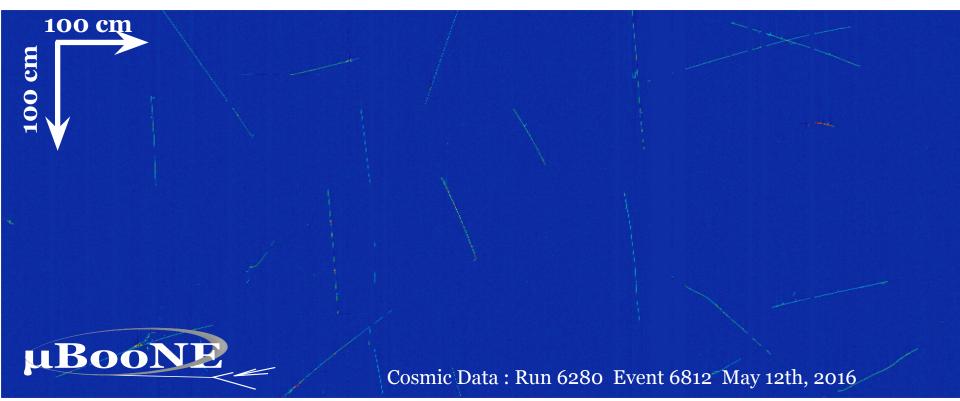


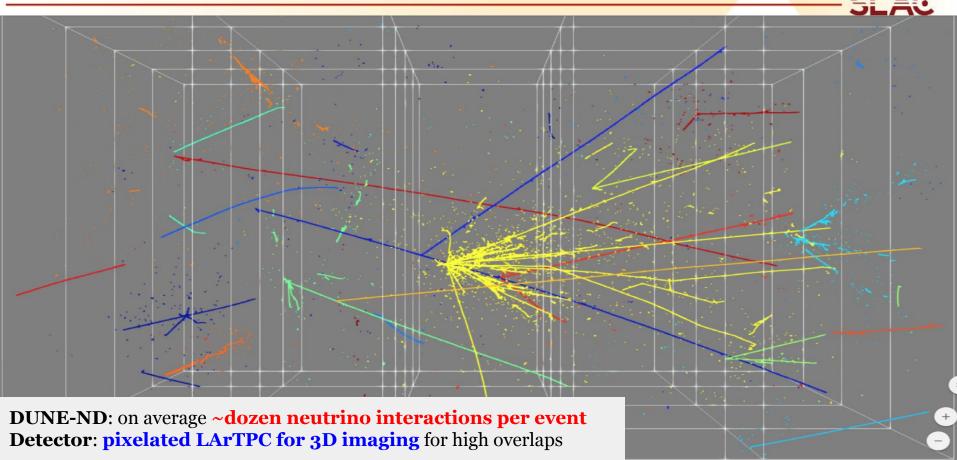
Run 3493 Event 41075, October 23rd, 2015

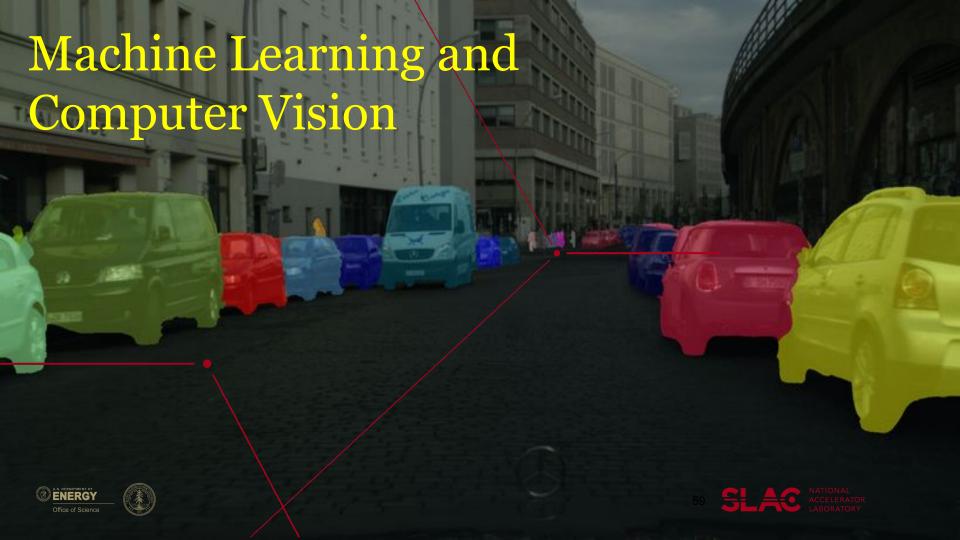
75 cm

SLAC

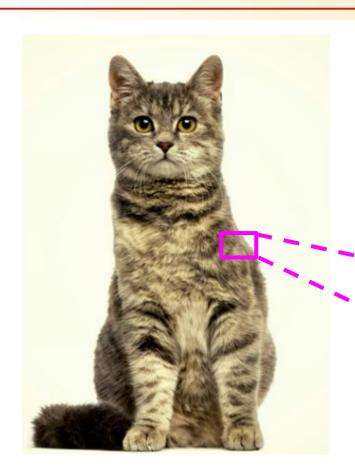
Nope:) In this detector, <1% beam neutrino interacts











How to write an algorithm to identify a cat?

... very hard task ...

```
16 08 67 15 83 09
37 52 77 23 22 74
35 42 48 72 85 27
68 36 43 54 21 33
79 60 10 25 54 71
18 55 38 73 50 47
```

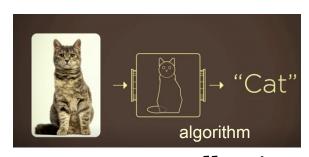
SLAC

Development Workflow for non-ML reconstruction

1. Write an algorithm based on physics principles







A cat = (or, a neutrino)

collection of certain shapes

SLAC

Development Workflow for non-ML reconstruction

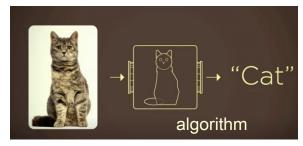
- 1. Write an algorithm based on physics principles
- 2. Run on simulation and data samples
- 3. Observe failure cases, implement fixes/heuristics
- 4. Iterate over 2 & 3 till a satisfactory level is achieved
- 5. Chain multiple algorithms as one algorithm, repeat 2, 3, and 4.



Partial cat
(escaping the detector)
Images courtesy of Fei Fei Li's TED talk



Stretching cat (Nuclear Physics)



A cat = (or, a neutrino)

collection of certain shapes

SLAC

Development Workflow for non-ML reconstruction

- 1. Write an algorithm based on physics principles
- 2. Run on simulation and data samples
- 3. Observe failure cases, implement fixes/heuristics
- 4. Iterate over 2 & 3 till a satisfactory level is achieved
- 5. Chain multiple algorithms as one algorithm, repeat 2, 2, and 4.

"Machine learning"

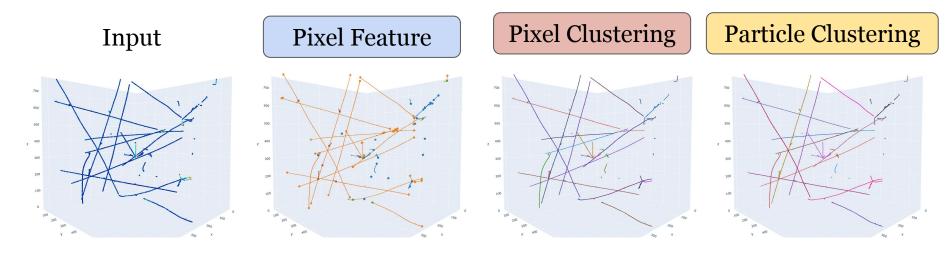
- Model instead of explicit programming
- Automatization of steps 2-4
- Multi-task optimization possible (step 5)

Next: what kind of ML algorithms?

Machine Learning & Computer Vision in Neutrino Physics My Research

Machine Learning for Data Reconstruction

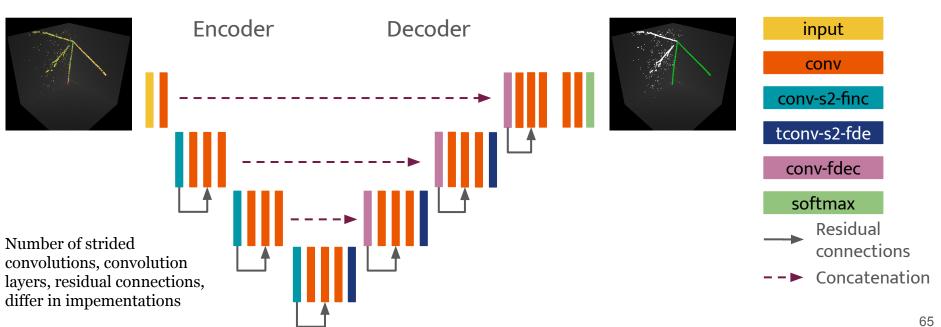
- Goal: high level abstract information (like image classification)
- **How**: design the algorithm = data transformation architecture that extracts a hierarchy of physically meaningful features



The Rest: describe the chain for 3D



Architecture: U-Net + Residual Connections



SLAC

"Applying CNN" is simple, but is it scalable for us?

CNN applies dense matrix operations

In photographs, all pixels are meaningful



grey pixels = dolphins, blue pixels = water, etc...

SLAC

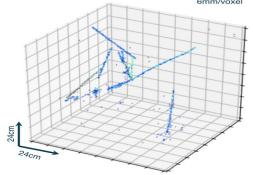
"Applying CNN" is simple, but is it scalable for us? LArTPC data is generally sparse, but locally dense

CNN applies dense matrix operations

In photographs, all pixels are meaningful



grey pixels = dolphins, blue pixels = water, etc...



Empty pixels = no energy

<1% of pixels are non-zero in LArTPC data

Zero pixels are meaningless!

Figures/Texts: courtesy of Laura Domine @ Stanford

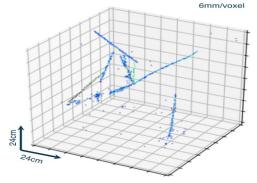


"Applying CNN" is simple, but is it scalable for us? LArTPC data is generally sparse, but locally dense

CNN applies dense matrix operations

In photographs, all pixels are meaningful





<1% of pixels
are non-zero in
LArTPC data

Zero pixels are meaningless!

Figures/Texts: courtesy of Laura Domine @ Stanford

Scalability for larger detectors

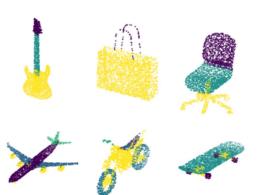
- Computation cost increases linearly with the volume
- But the number of non-zero pixels does not

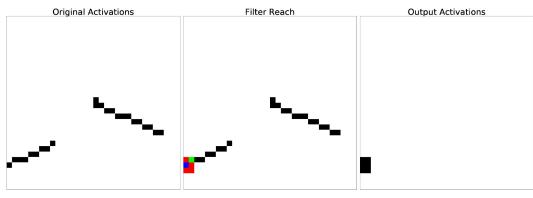
SLAC

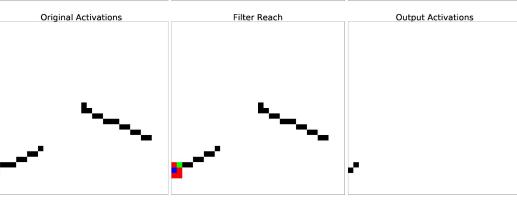
Sparse Submanifold Convolutions

Only acts on an active input pixels + can limit output activations for only the same pixels.

- 1st implementation by <u>FAIR</u>
- 2nd implementation by <u>Stanford VL</u>
 - ... also supported in <u>NVIDIA</u> now

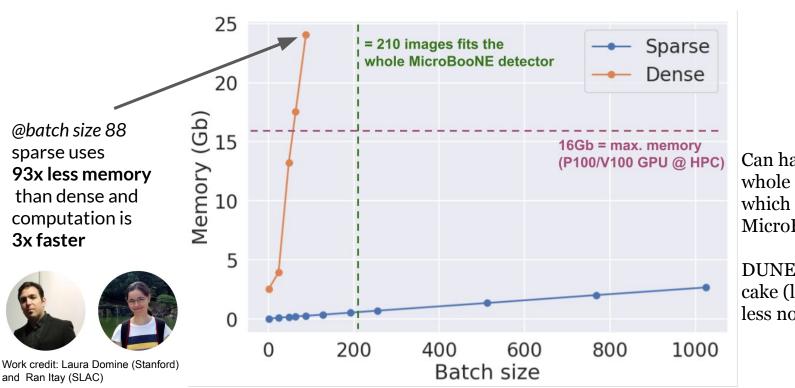








Sparse U-ResNet fits more data in GPU + good scalability



Can handle easily the whole ICARUS detector which is x6 larger than MicroBooNE.

DUNE-FD is piece of cake (larger volume but less non-zero pixels)

SLAC

Sparse Sub-manifold Convolutional NN

- Public LArTPC simulation
 - Particle tracking (Geant4) + diffusion, no noise, true energy

Computer Science - Computer Vision and Pattern Pasagni lon

Scalable Deep Convolutional Neural Networks for Sparse, Locally Dense Liquid Argon Time Projection Chamber Data

Laura Dominé, Kazuhiro Terao

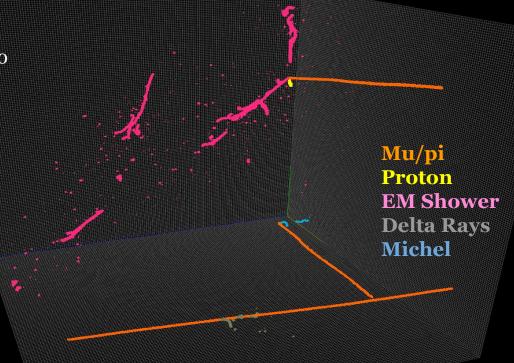
(Submitted on 13 Mar 2019 (v1), last revised 15 Mar 2019 (this version, v2))

Deep convolutional neural networks (CNNs) show strong promise for analyzing scientific data in many domains including particle imaging detectors such as a liquid argon time projection chamber (LArTPC). Yet the high sparsity of LArTPC data challenges traditional CNNs which were designed for dense data such as photographs. A naive application of CNNs on LArTPC data results in inefficient computations and a poor scalability to large LArTPC detectors such as the Short Baseline

<u>PhysRevD.102.012005</u> presented @ <u>ACAT 2019</u>

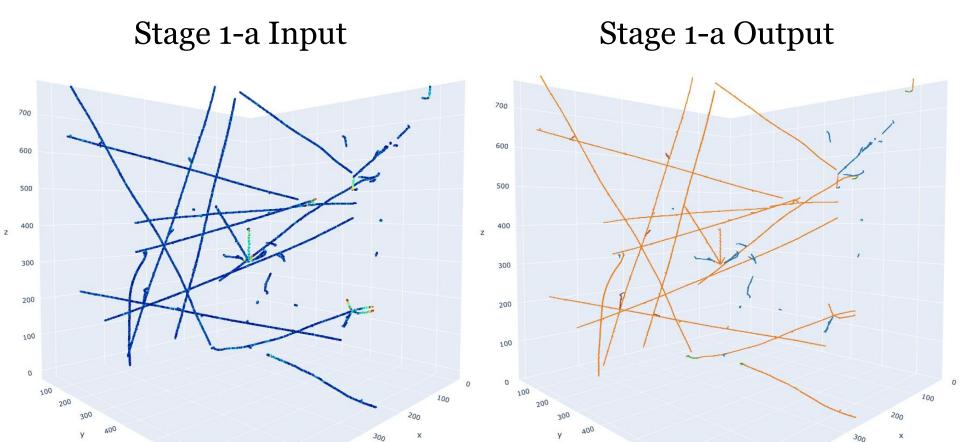
- Memory reduction ~ 1/360
- Compute time ~ 1/30
- Handles large future detectors

Type	Proton	Mu/Pi	Shower	Delta	Michel
Acc.	0.99	0.98	0.99	0.97	0.96



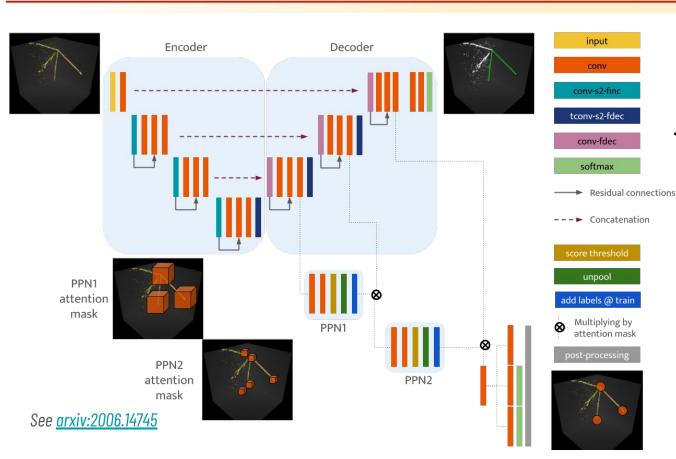
ML-based Neutrino Data Reconstruction Chain Stage 1-a: input & output





ML-based Neutrino Data Reconstruction Chain Stage 1-b: Particle Endpoint Prediction





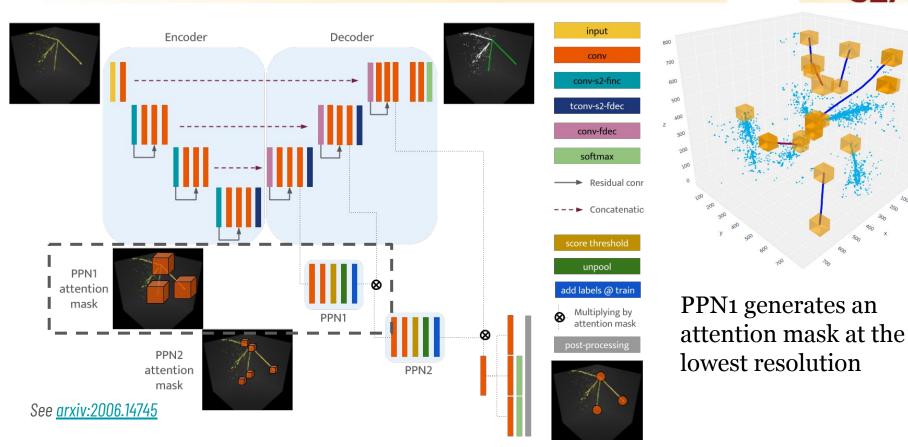
Point Proposal Network (PPN)

... extension of U-ResNet with 3 CNN blocks



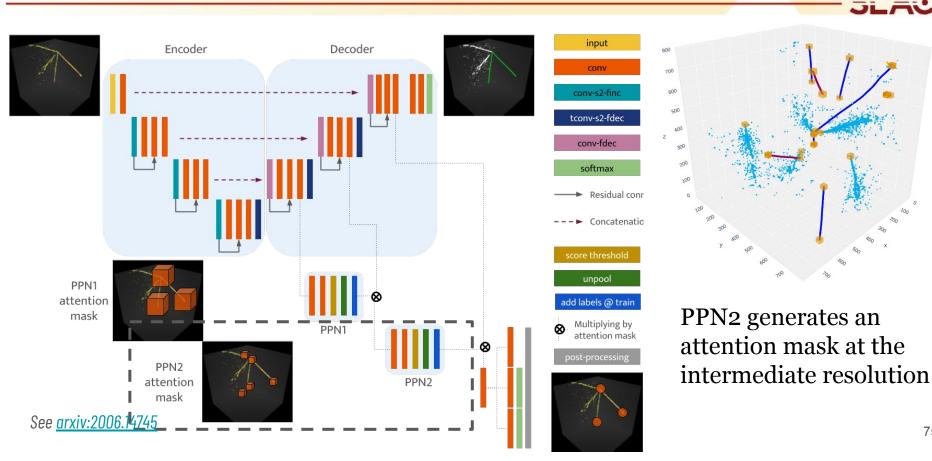
ML-based Neutrino Data Reconstruction Chain Stage 1-b: Particle Endpoint Prediction





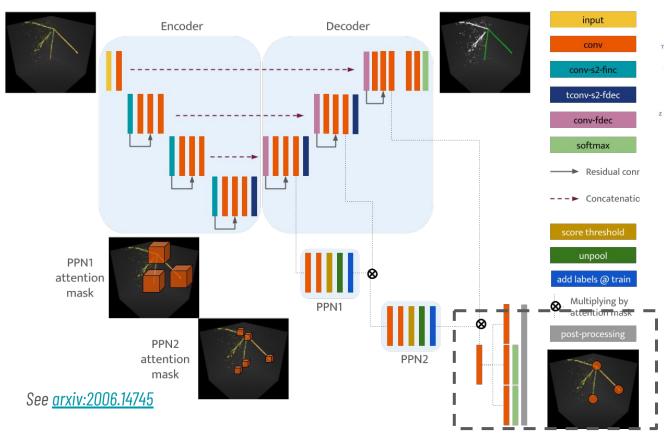
ML-based Neutrino Data Reconstruction Chain Stage 1-b: Particle Endpoint Prediction

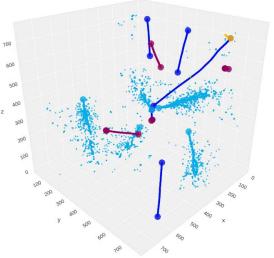




ML-based Neutrino Data Reconstruction Chain Stage 1-b: Particle Endpoint Prediction







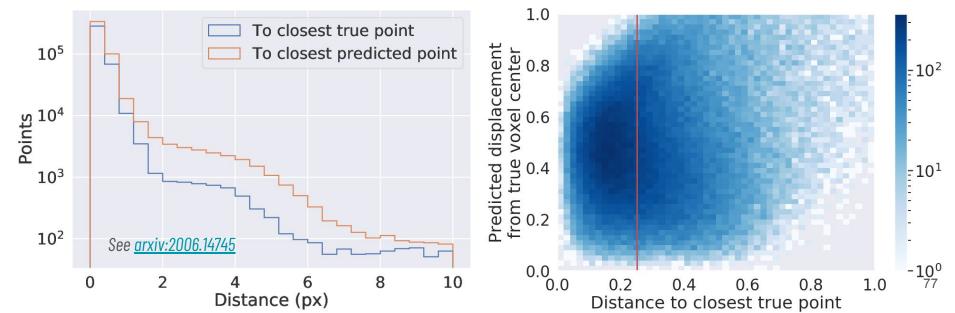
PPN makes the final prediction (point type + coordinate regression)

ML-based Neutrino Data Reconstruction Chain Stage 1-b: Particle Endpoint Prediction



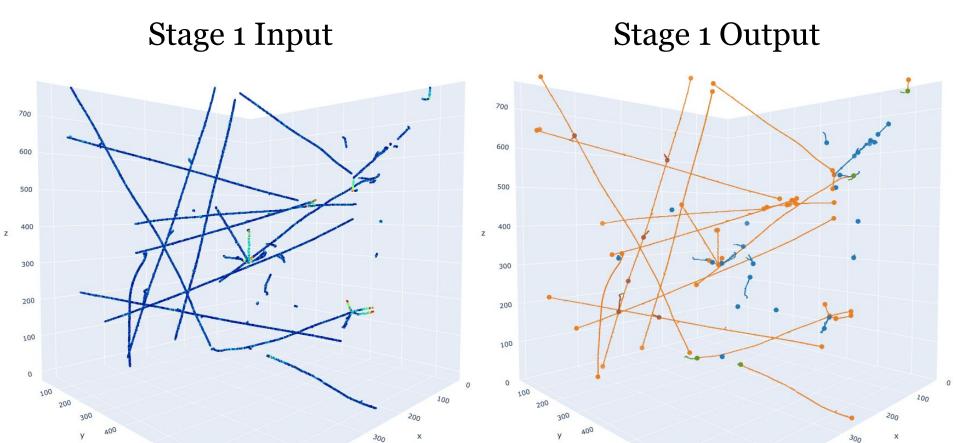
96.8% of predicted points within 3 voxels of a true point

- 68% of true points found within the radius of 0.12 cm
- Traditional (nominal) reconstruction method finds 90% of predicted points within 17 voxels, and 68% of true points found within the radius of 0.74cm



ML-based Neutrino Data Reconstruction Chain Stage 1: input & output

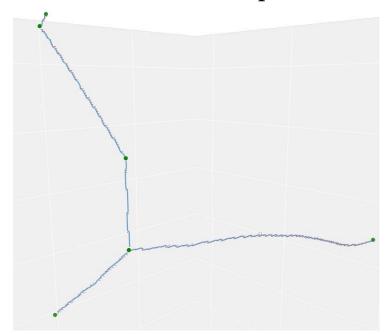


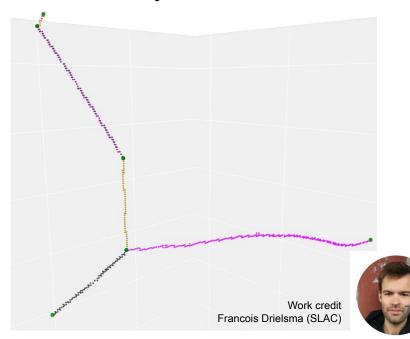


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Simple approach: path-finding between PPN points

- MST to find the "shortest" path between PPN points to cluster pixels
- Works well! BUT it depends on PPN performance directly + not learnable



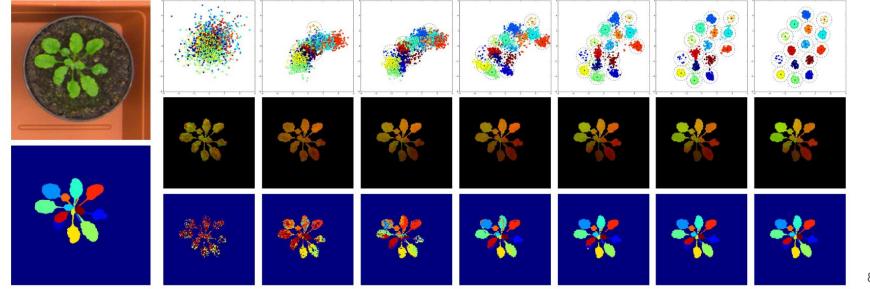


ML-based Neutrino Data Reconstruction Chain Stage 2: Particle & Interaction Clustering



Learnable approach: clustering in the embedding space

• Use CNN to learn a transformation function from the 3D voxels to the embedding space where clustering can be performed in a simple manner

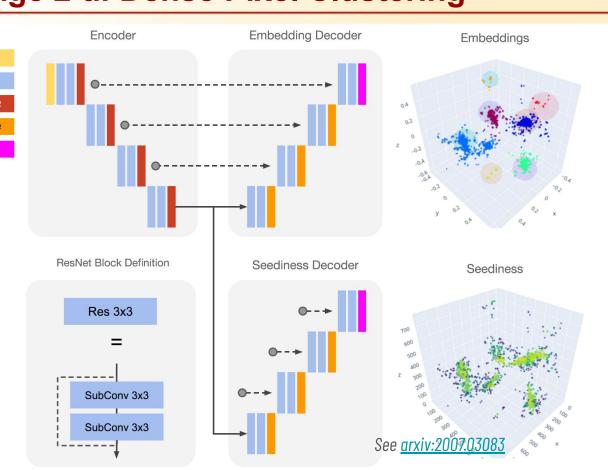


input

Conv 2x2

Decony 2x2

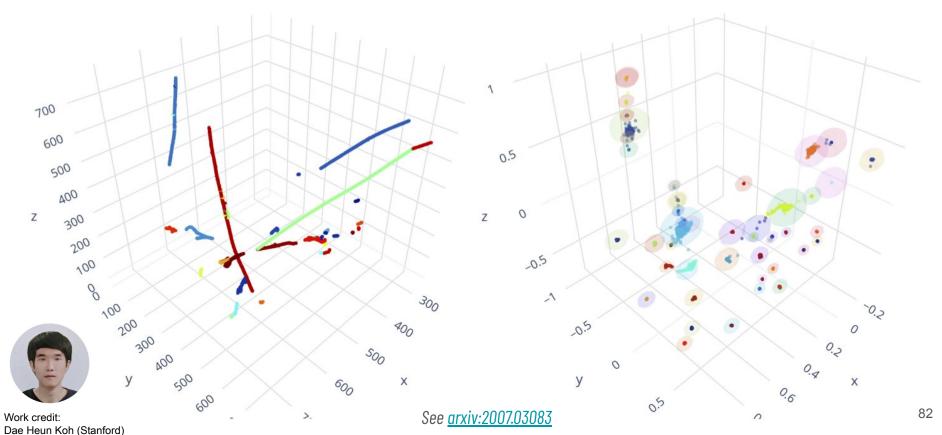




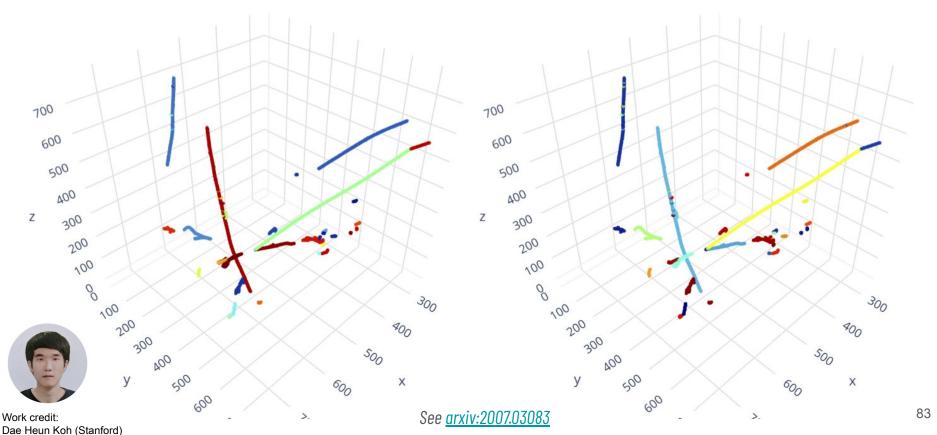
Scalable Particle
Instance Clustering
using Embedding
(SPICE)

- Embedding decoder learns transformation
- Seediness decoder identifies the centroids
- During training, loss is conditioned so that the points that belong to the same cluster follow a normal distribution



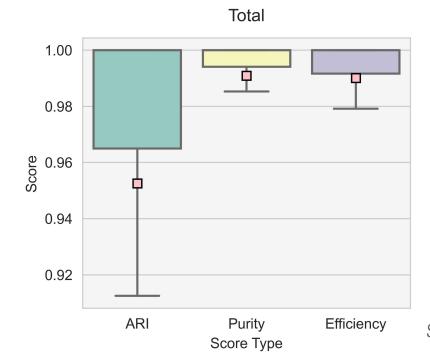


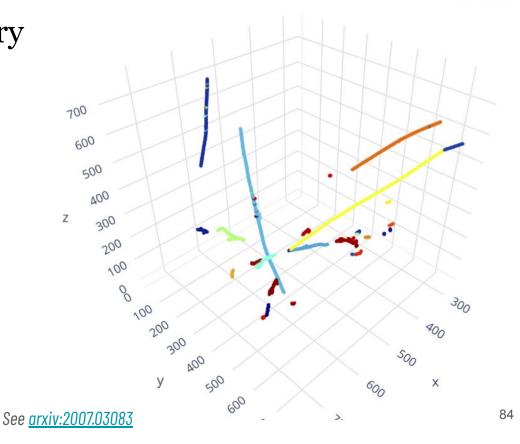






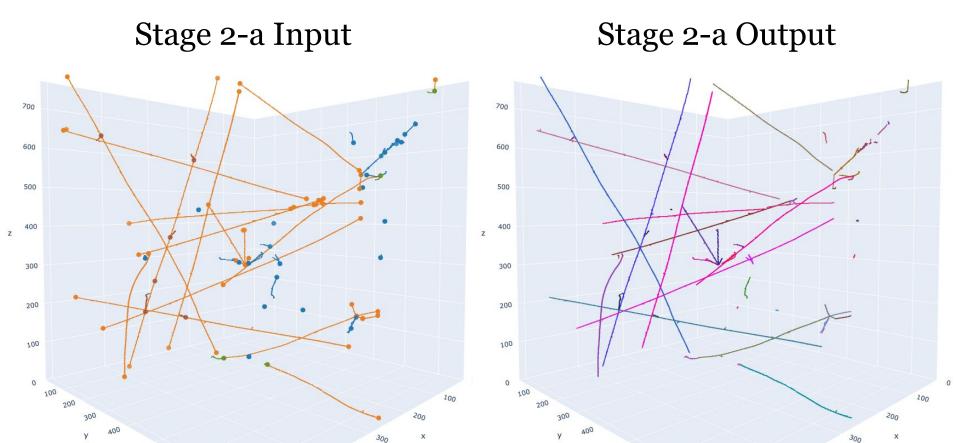
Pixels clustered into trajectory fragments using SPICE





ML-based Neutrino Data Reconstruction Chain Stage 2-a: input & output

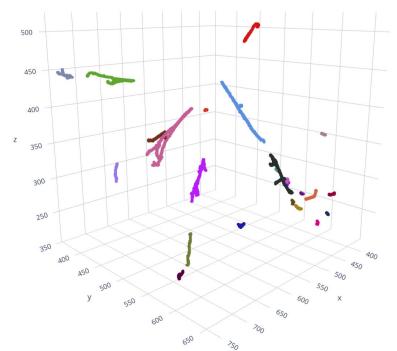






Identifying 1 shower ... which consists of many fragments

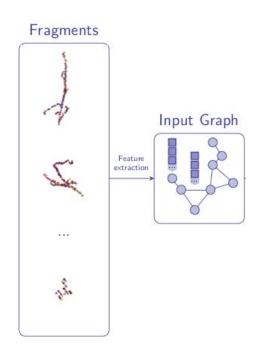


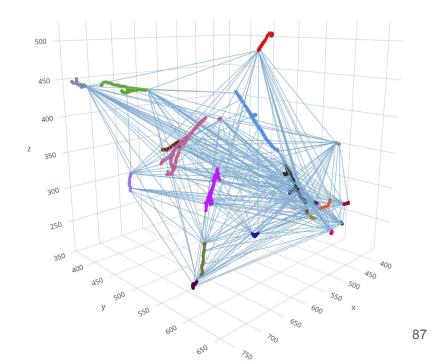




Identifying 1 shower ... which consists of many fragments

• Interpret each fragment as a graph node + edges connect nodes in the same cluster

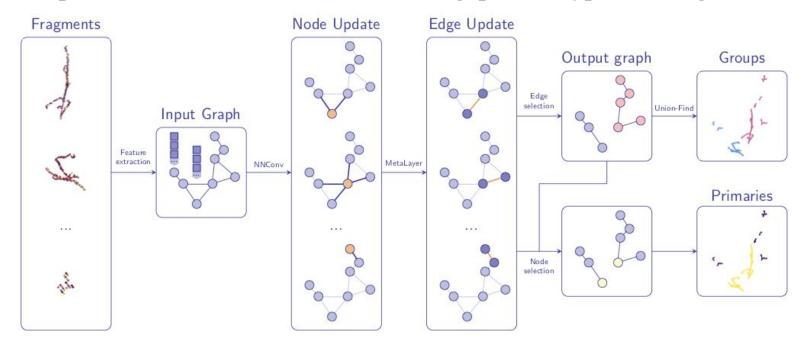






Identifying 1 shower ... which consists of many fragments

- Interpret each fragment as a graph node + edges connect nodes in the same cluster
- Cast the problem to a classification of node (e.g. particle type) and edge (clustering)

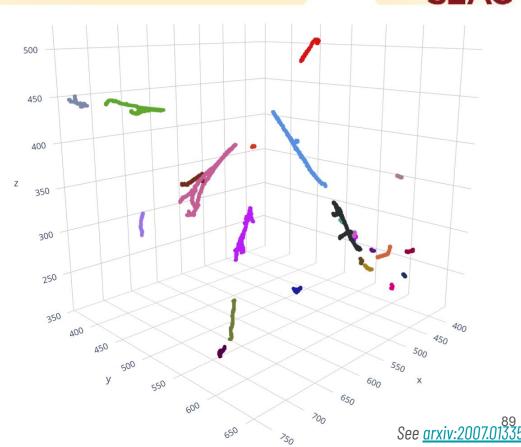


SLAC

Graph-NN for Particle Aggregation (GrapPA)

Input:

• Fragmented EM showers





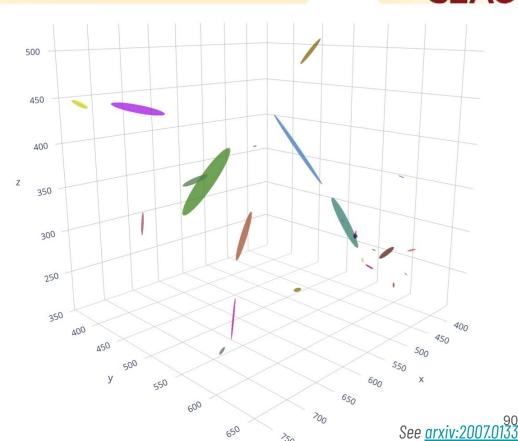
Graph-NN for Particle Aggregation (GrapPA)

Input:

• Fragmented EM showers

Node features:

- Centroid, Covariance matrix, PCA
- Start point, direction (PPN)





Graph-NN for Particle Aggregation (GrapPA)

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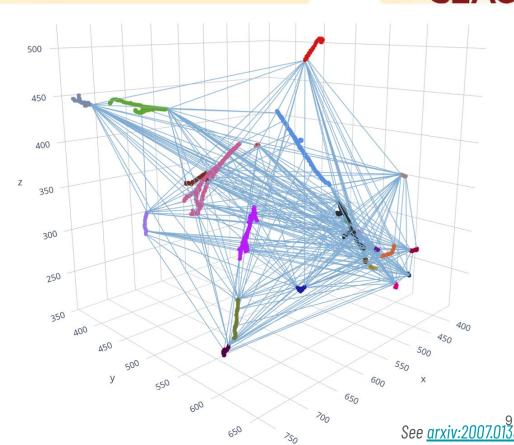
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Input graph:

 Connect every node with every other node (complete graph)



SLAC

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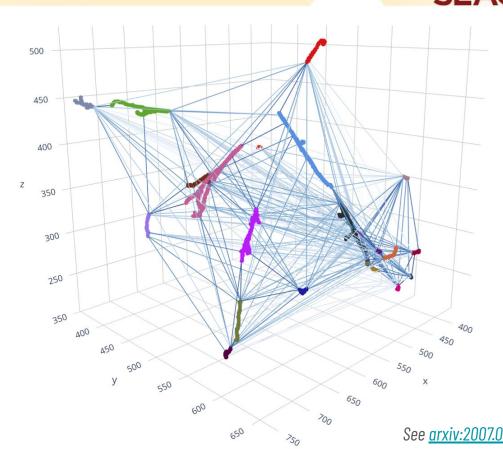
- Centroid, Covariance matrix, PCA
- Start point, direction (PPN)

Input graph:

 Connect every node with every other node (complete graph)

Edge features:

- Displacement vector
- Closest points of approach





Graph-NN for Particle Aggregation (GrapPA)

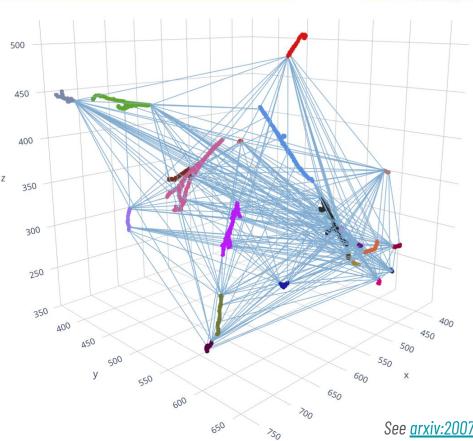
Message passing (MP):

- Meta layer (<u>arxiv:1806.01261</u>)
- Essentially two 3-layer MLPs (BatchNorm + LeakyReLU) for edge feature update and node feature update
- 3 times MP (=Edge+Node feature update)

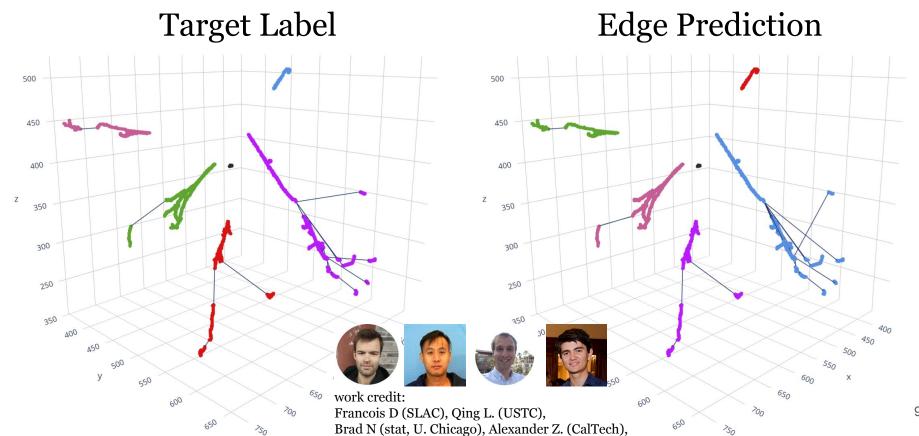
Target:

- Prediction of adjacency matrix representing valid edges (=true partition)
- Apply cross-entropy loss

For more studies, see our paper



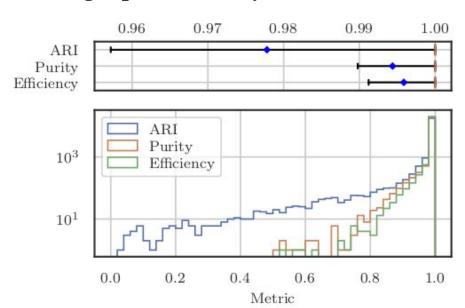




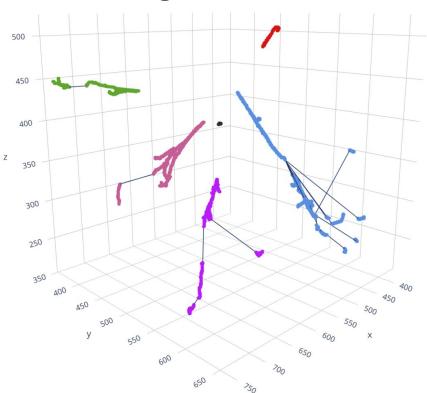


Clustering using GrapPA

- Mean purity and efficiency > 99%
- Sufficient for moving to the next stage (particle analysis)



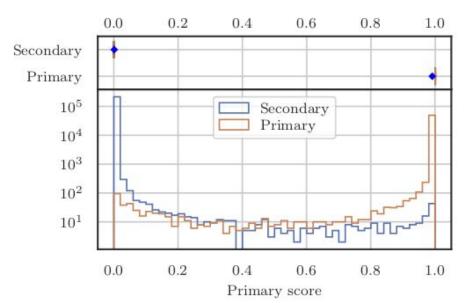
Edge Prediction



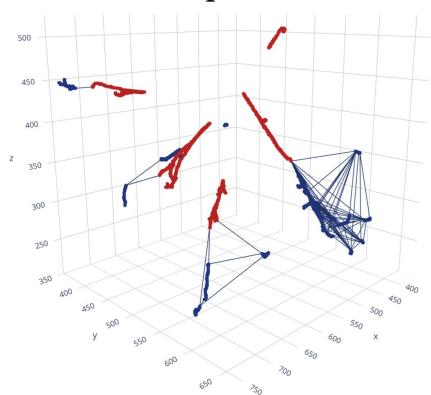


Start ID using GrapPA

- Important to identify the "primary fragment" (=shower start)
- >99% classification accuracy

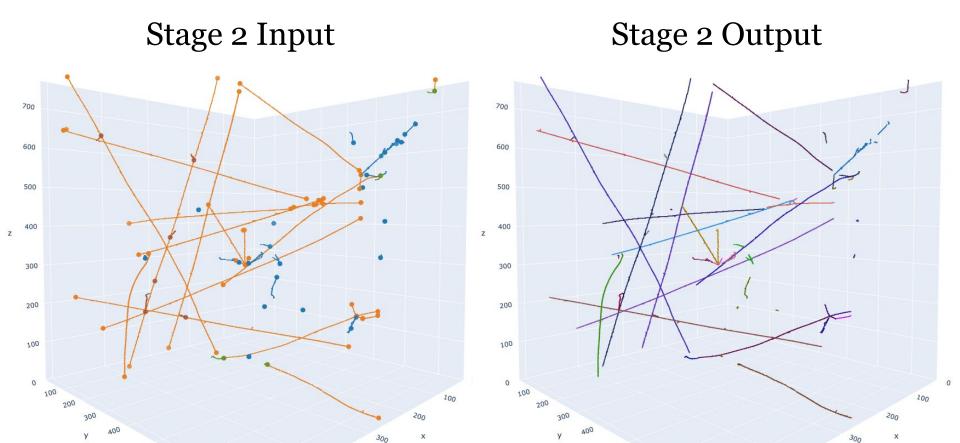


Node prediction



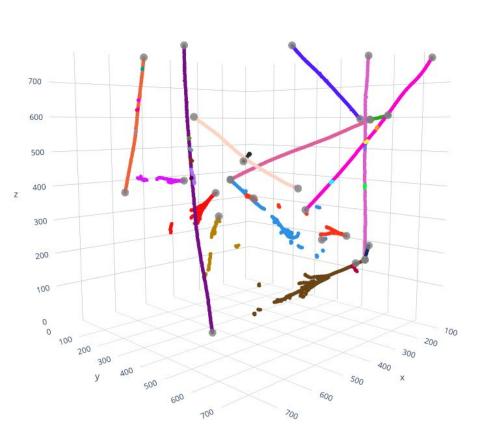
ML-based Neutrino Data Reconstruction Chain Stage 2: input & output





ML-based Neutrino Data Reconstruction Chain Stage 3: Interaction Clustering

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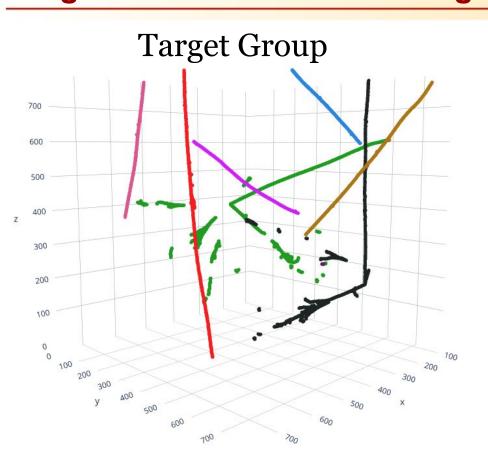
Identifying Each Interaction?

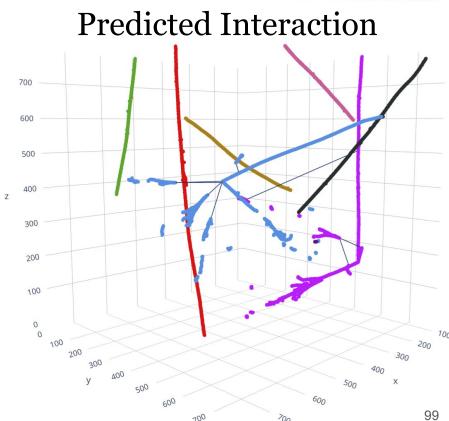
This task can be casted to the same task already solved using GrapPA!

- Interaction = a group of particles that shared the same origin (i.e. neutrino interaction)
- Edge classification to identify an interaction
- Node classification for particle type
 ID

ML-based Neutrino Data Reconstruction Chain Stage 3: Interaction Clustering

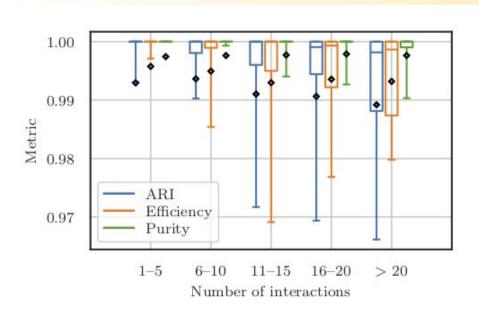




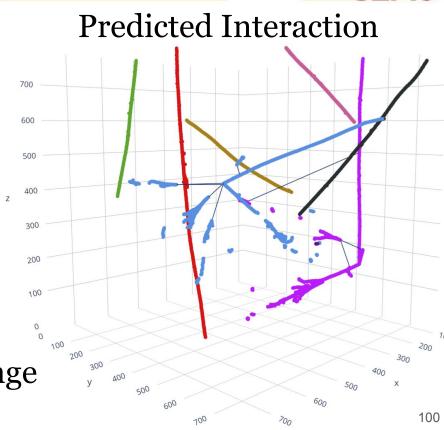


ML-based Neutrino Data Reconstruction Chain Stage 3: Interaction Clustering



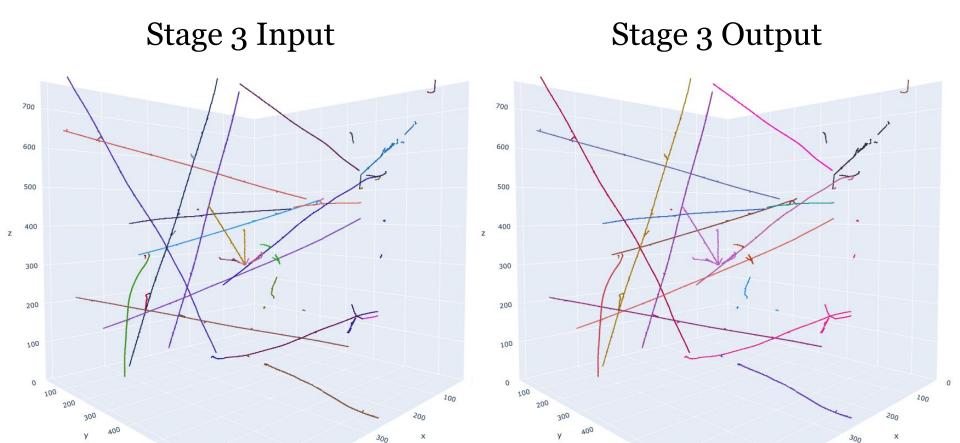


Promising result to address
DUNE-ND reconstruction challenge
(~20 neutrino pile-up)



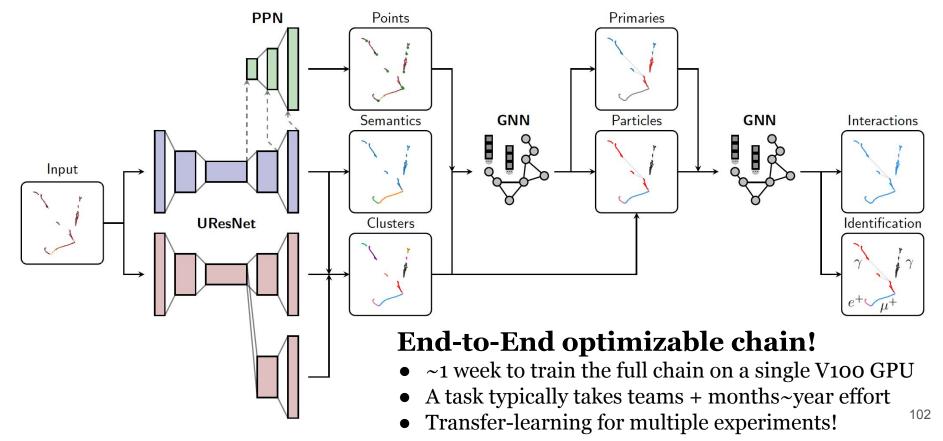
ML-based Neutrino Data Reconstruction Chain Stage 3: input & output





ML-based Neutrino Data Reconstruction Chain Wrapping up...





ML-based Neutrino Data Reconstruction Chain Wrapping up...

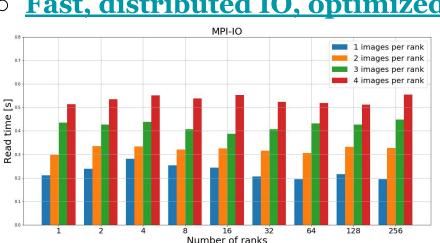
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Inter-experimental collaborative work

- Open simulation sample
 - Open real data? Soon! (3D proto-type R&D @ SLAC)



Fast, distributed IO, optimized for sparse data







Work credit: Corey Adams (ANL) Marco del Tutto (FNAL)

- Custom HDF5 format for sparse data for fast IO
- Custom API for data distribution using MPI
 - Using Horovod, good scaling @ ~100 GPUs test setup (with InfiniBand interconnect)

Custom development among hobby-coders from SLAC/ANL/FNAL, lead by Corey Adams @ ANL

ML-based Neutrino Data Reconstruction Chain Wrapping up...



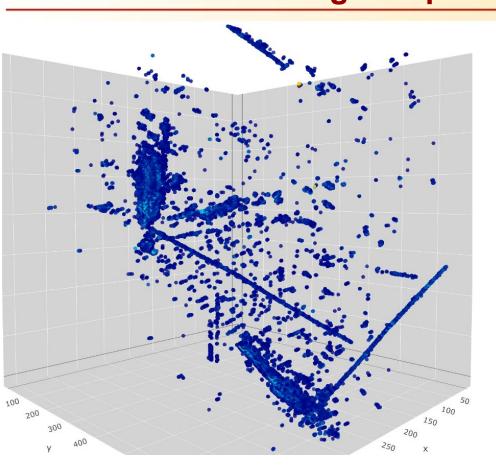
Summary

- Neutrino detector trend: hi-res. particle imaging
- Analysis trend: computer vision algorithms
 - Benefit the hi-resolution image = lots of heuristics (in non-ML)
 - ML-based approach has shown strong promise
- ML-based data reconstruction approach
 - o especially for "busy" detectors ... my research :)
 - Working on implementing inductive-bias/causality ("physics")
- Other active areas: data/sim domain discrepancy adaptation
 - o minimize the discrepancy, identify the source, quantify uncertainty

Backup Slides



Machine Learning & Computer Vision in Neutrino Physics Bonus: isochronous ghost point removal

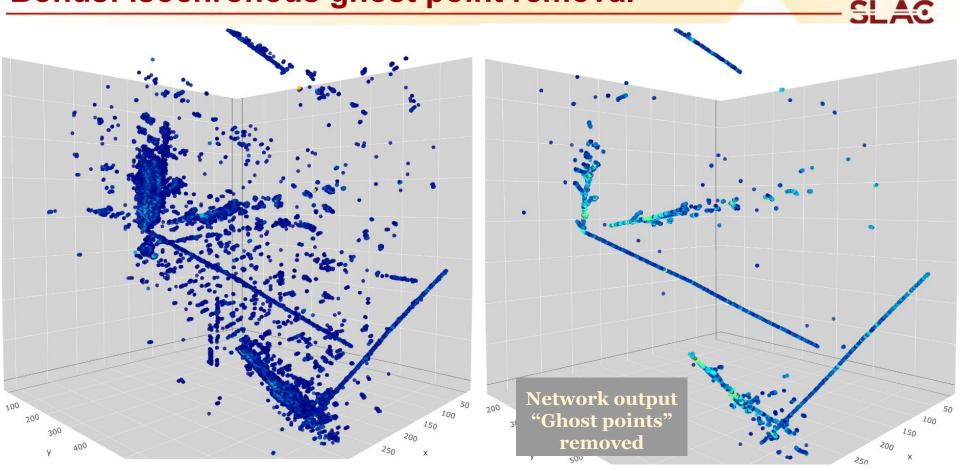


ICARUS Detector Reconstructed 3D points

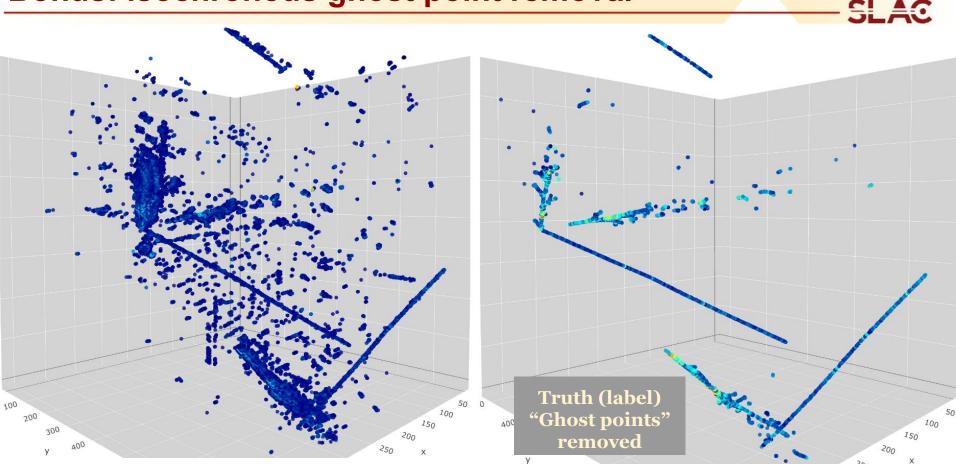


work credit: Laura Domine Patrick Tsang

Machine Learning & Computer Vision in Neutrino Physics Bonus: isochronous ghost point removal



Machine Learning & Computer Vision in Neutrino Physics Bonus: isochronous ghost point removal



Backup Slides



SPICE

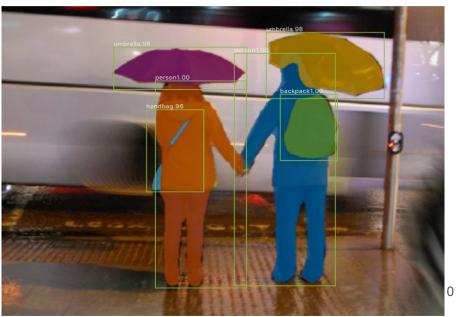
ML-based Neutrino Data Reconstruction Chain Stage 2: Particle & Interaction Clustering

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Instance+Semantic Segmentation

- Mask R-CNN ... a popular solution, many applications in science/industries
 - Object (=instance) detection + instance pixel masking in a bounding box

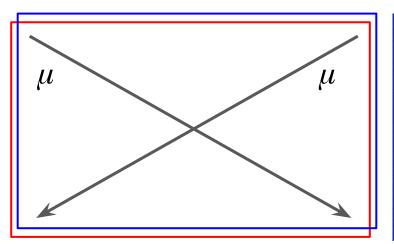


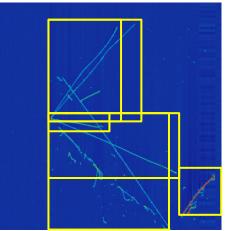


ML-based Neutrino Data Reconstruction Chain Stage 2: Particle & Interaction Clustering

Instance+Semantic Segmentation

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 - Object (=instance) detection + instance pixel masking in a bounding box
 - **Issue**: instance distinction is affected by BB position/size
 - Another family: Single-Shot-Detection (SSD) based (not covered here)





Occlusion issue

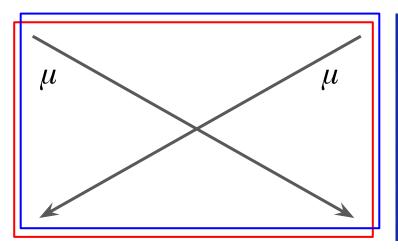
The overlap rate of particles is very high especially for our signal (neutrinos) with an event vertex.

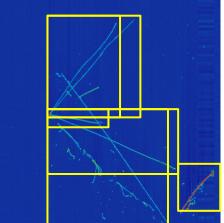
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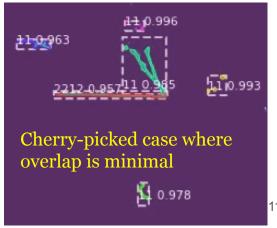


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ML-based Neutrino Data Reconstruction Chain Stage 2: Particle & Interaction Clustering



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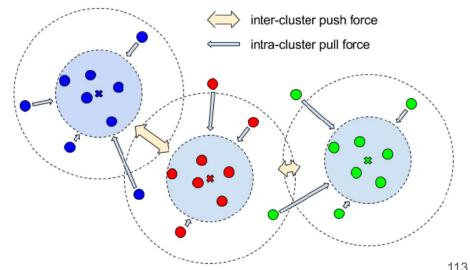
• Three component loss: pull together points that belong to the same cluster, keep distance between clusters, and regularization

$$L = \alpha L_{var} + \beta L_{dist} + \gamma L_{reg},$$

$$L_{var} = \frac{1}{C} \sum_{c=1}^{C} \frac{1}{N_c} \sum_{i=1}^{N_c} [\max(0, \|\mu_c - x_i\| - \delta_v)]^2$$

$$L_{dist} = \frac{1}{C(C-1)} \sum_{\substack{c_A, c_B = 1 \\ c_A \neq c_B}}^{C} [\max(0, 2\delta_d - \|\mu_{c_A} - \mu_{c_B}\|)]^2$$

$$L_{reg} = \frac{1}{C} \sum_{c=1}^{C} \|\mu_c\|$$



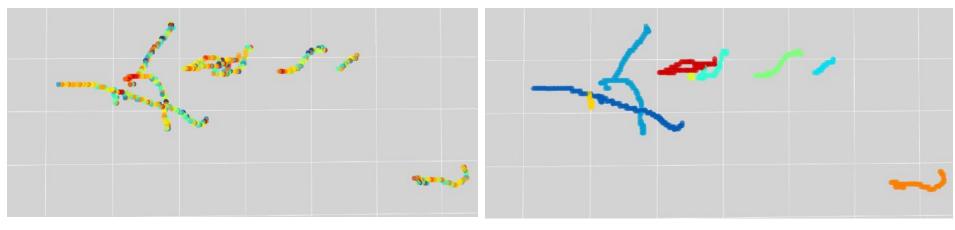
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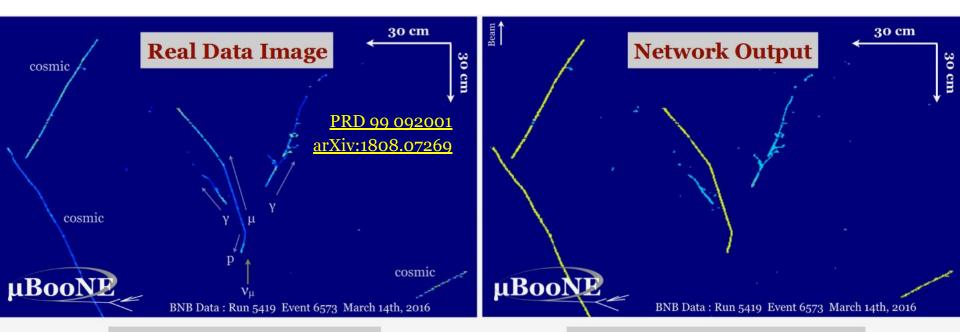


Input: 3D pixel energy depositions

Output: 3D pixel clusters (DBScan in hyperspace)

Machine Learning & Computer Vision in Neutrino Physics Semantic Segmentation for Pixel-level Particle ID

Separate electron/positron energy depositions from other types at raw waveform level. Helps the downstream clustering algorithms (**data/sim comp.** @ **arxiv:1808.07269**)



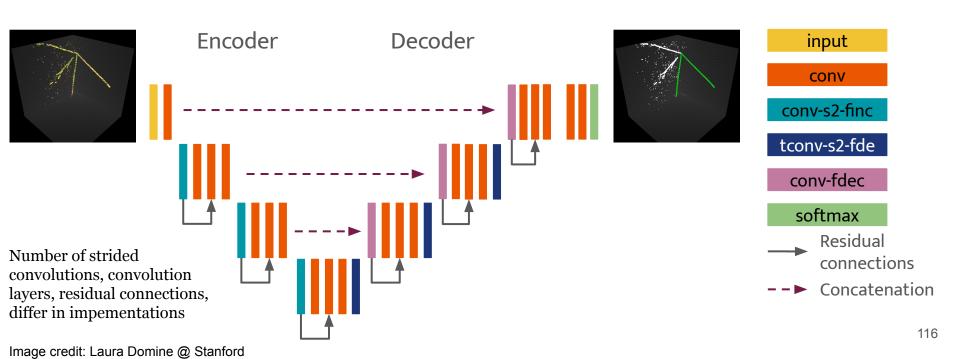
Network Input

Network Output

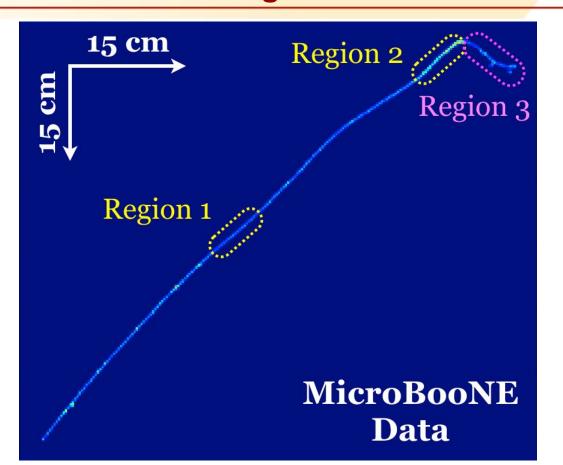
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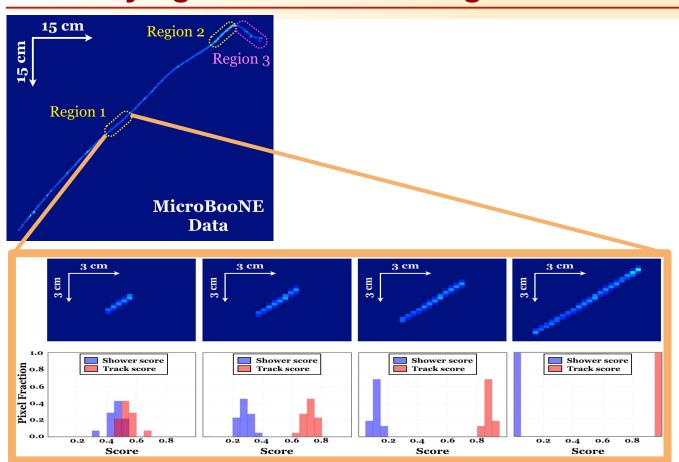
Architecture: U-Net + Residual Connections



Machine Learning & Computer Vision in Neutrino Physics Fun Playing with Semantic Segmentation

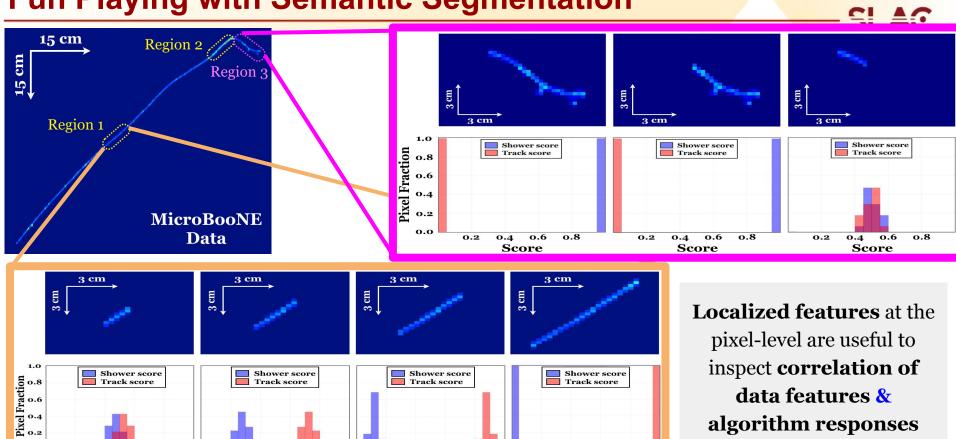


Machine Learning & Computer Vision in Neutrino Physics Fun Playing with Semantic Segmentation



Localized features at the pixel-level are useful to inspect correlation of data features & algorithm responses

Machine Learning & Computer Vision in Neutrino Physics Fun Playing with Semantic Segmentation



0.6

Score

Score

0.0

0.2

Score

0.2

Score

algorithm responses