

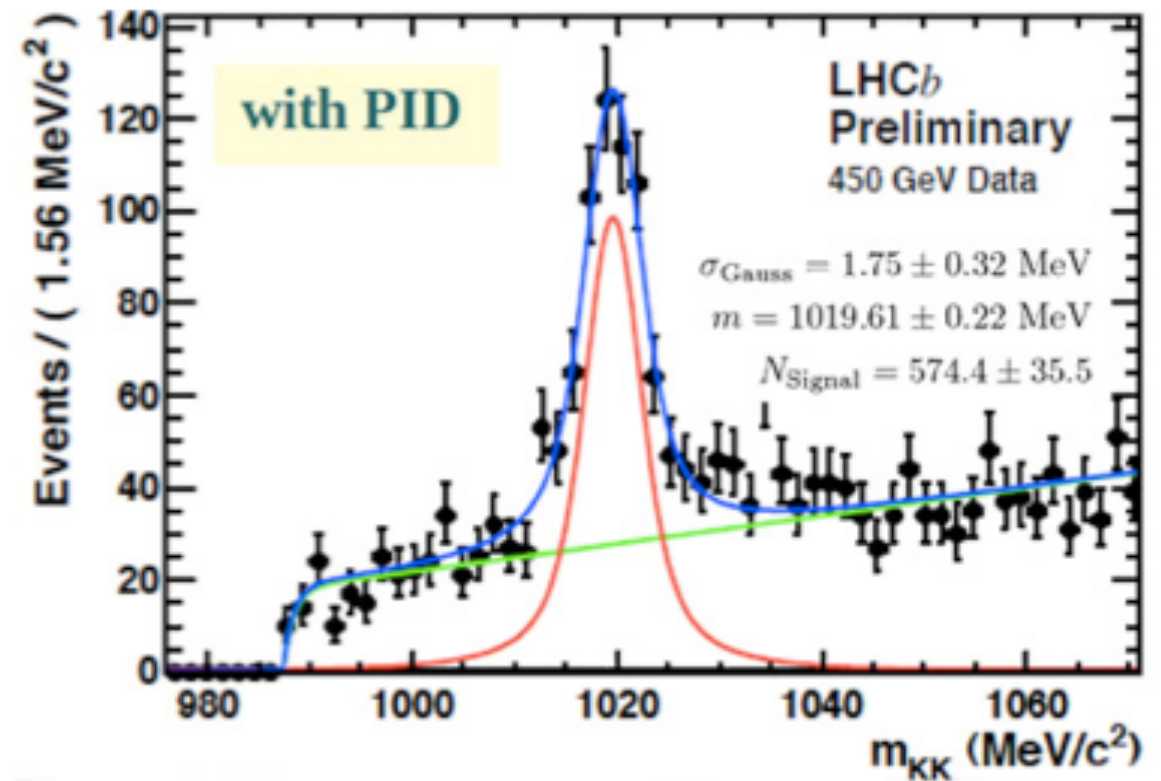
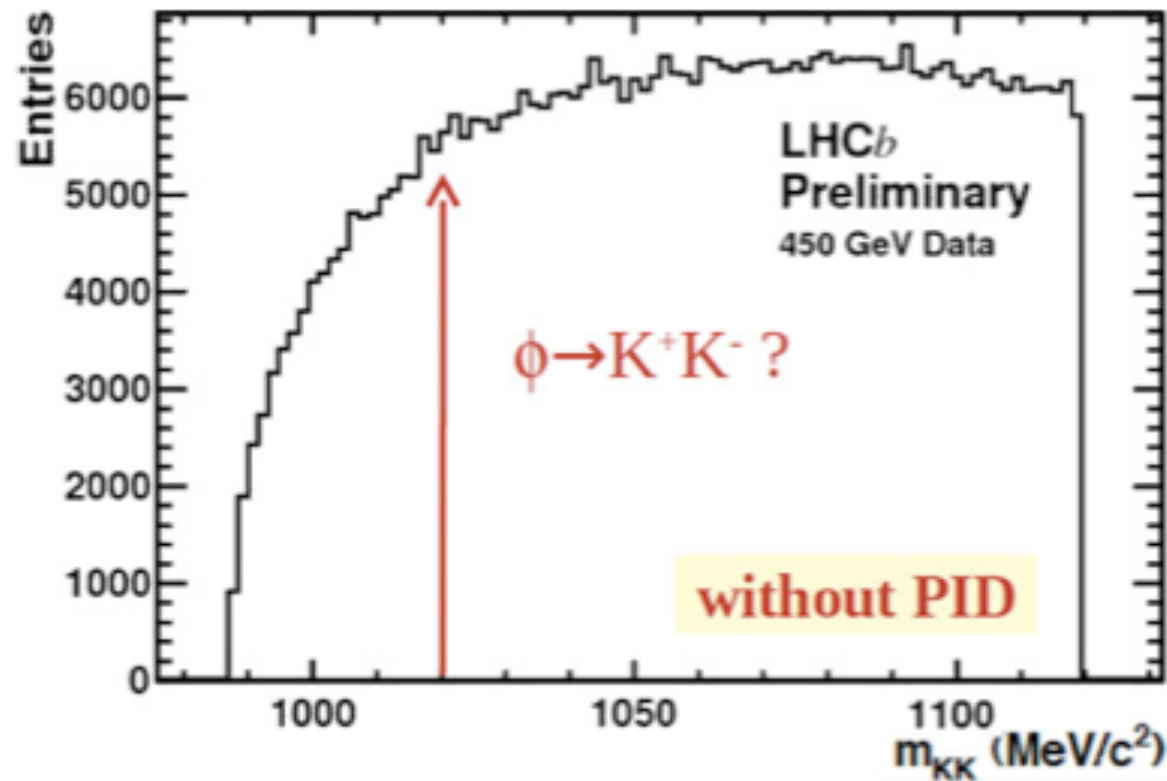
# Particle identification

G.Unal (CERN)

# Why particle identification ?

- *Is particle X decaying to electrons or muons ? Which are the corresponding branching ratio ?*
  - Understand properties (couplings) of this particle
- *Use particle Identification to separate signal and backgrounds*
  - To search for  $H \rightarrow \gamma \gamma$  at LHC identify photons in the final state
- *Use particle Identification to optimize measurement of complicated final state*
  - «particle flow» event reconstruction in collider experiments

# Example of particle ID in flavor physics



many more examples where K/pion  
discrimination is important to study beauty  
and charm decays

# What is a «stable» particle ?

- Only few known particles are stable: photon, electron, proton, neutron(in nuclei), neutrinos
- Everything else decays but sometime are stable «enough» at the scale of the detector
- $L = \beta \gamma c \tau$
- Can a  $E=40$  GeV muons (mass= $105$  MeV,  $\tau=2.2 \cdot 10^{-6}$ s ) in a collider experiment (size  $\sim 20$ m) be considered stable ?
  - $\Rightarrow \gamma = E / M \sim 40 / 0.105 \sim 380$  and  $\beta \sim 1$
  - $\Rightarrow L = \beta \gamma c \tau \sim 380 * 3 \cdot 10^8 * 2.2 * 10^{-6} \sim 2500 \cdot 10^2 \text{ m} \sim 250 \text{ km}$

Particle Identification depends on the experimental context and which particles are «directly» detected and which particle are «indirectly» detected (through their decay products)



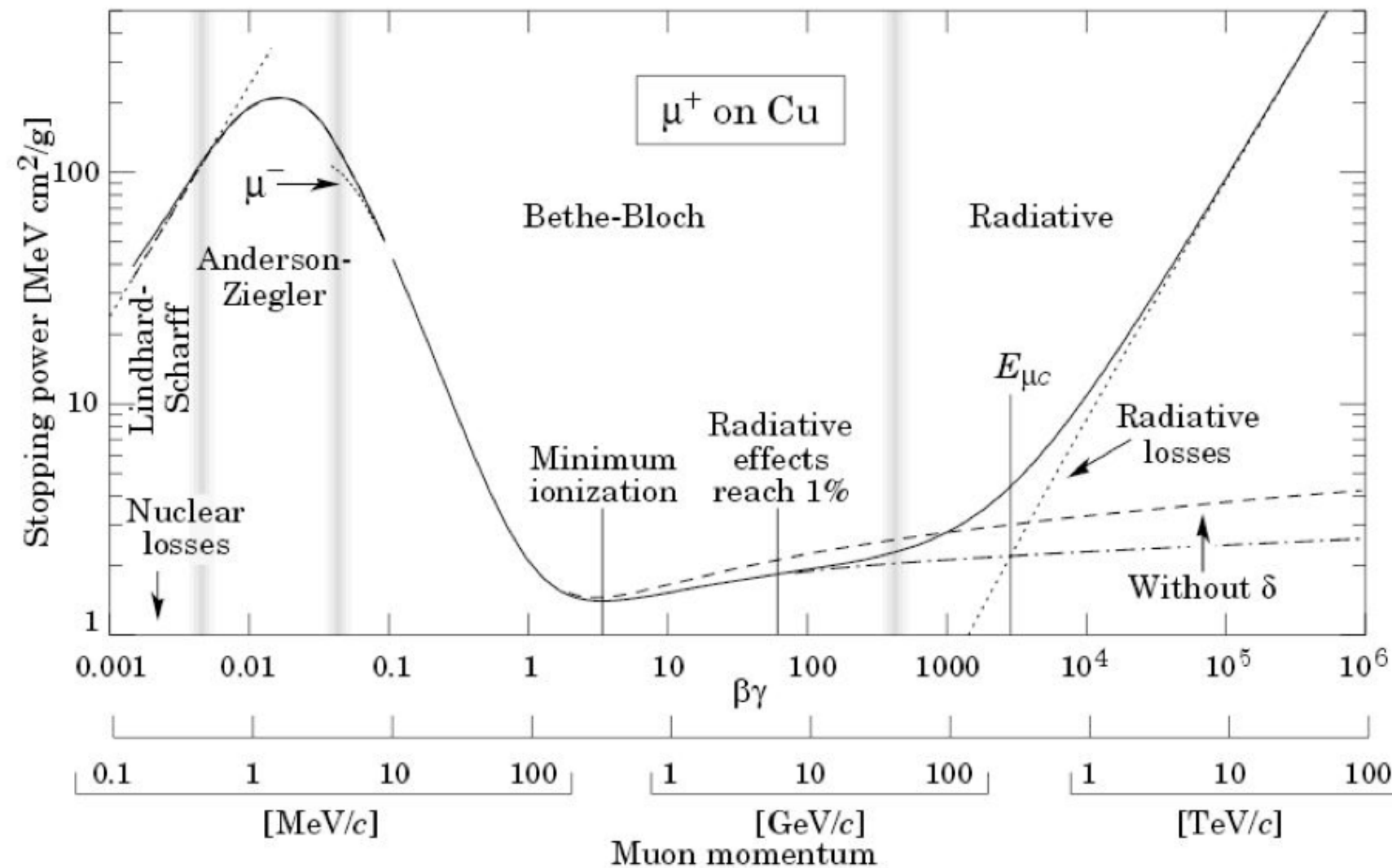
# Particle identification covers a wide range of techniques

- **Exploit very different interaction of particles with matter** (for instance calorimeter)
  - electron/photon/muon/hadron discrimination, neutrinos
- **Measure mass of particle**
  - Mass and charge enough to identify a particle
  - Once energy or momentum are measured, mass can be measured through measurement of beta (velocity) or gamma
  - mass from beta measurement works better a low energy
- **Reconstruct decay of a particle to identify it**
  - «identify» H by mass peak in  $H \rightarrow \gamma \gamma$
  - identify «long lived» particles by displaced decay vertex reconstruction

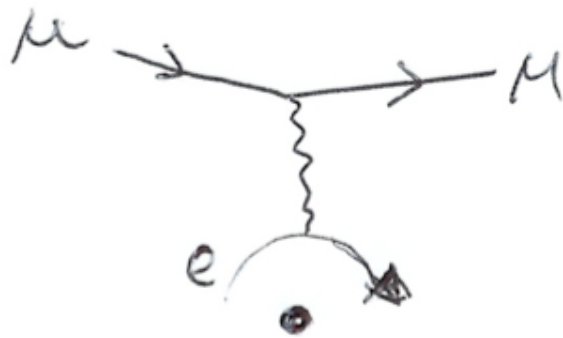
# Exploiting different interactions with matter

- Mostly useful for e / muon / «hadron» discrimination
- In collider, high energy hadrons are not isolated but produced in «jets» from high energy quark and gluons
- Neutrinos are a special case

# Muon energy loss



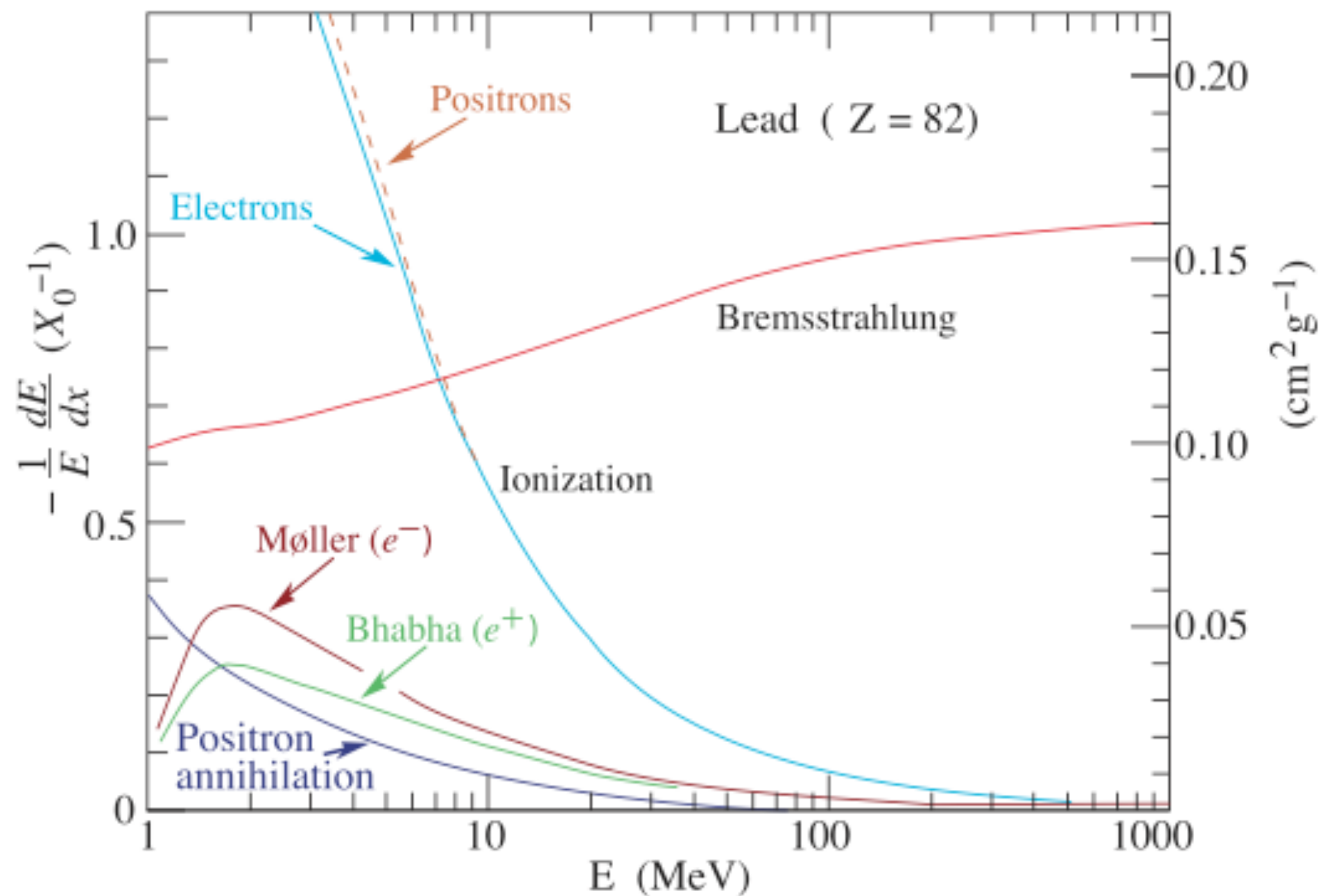
## Ionization



## Bremsstrahlung

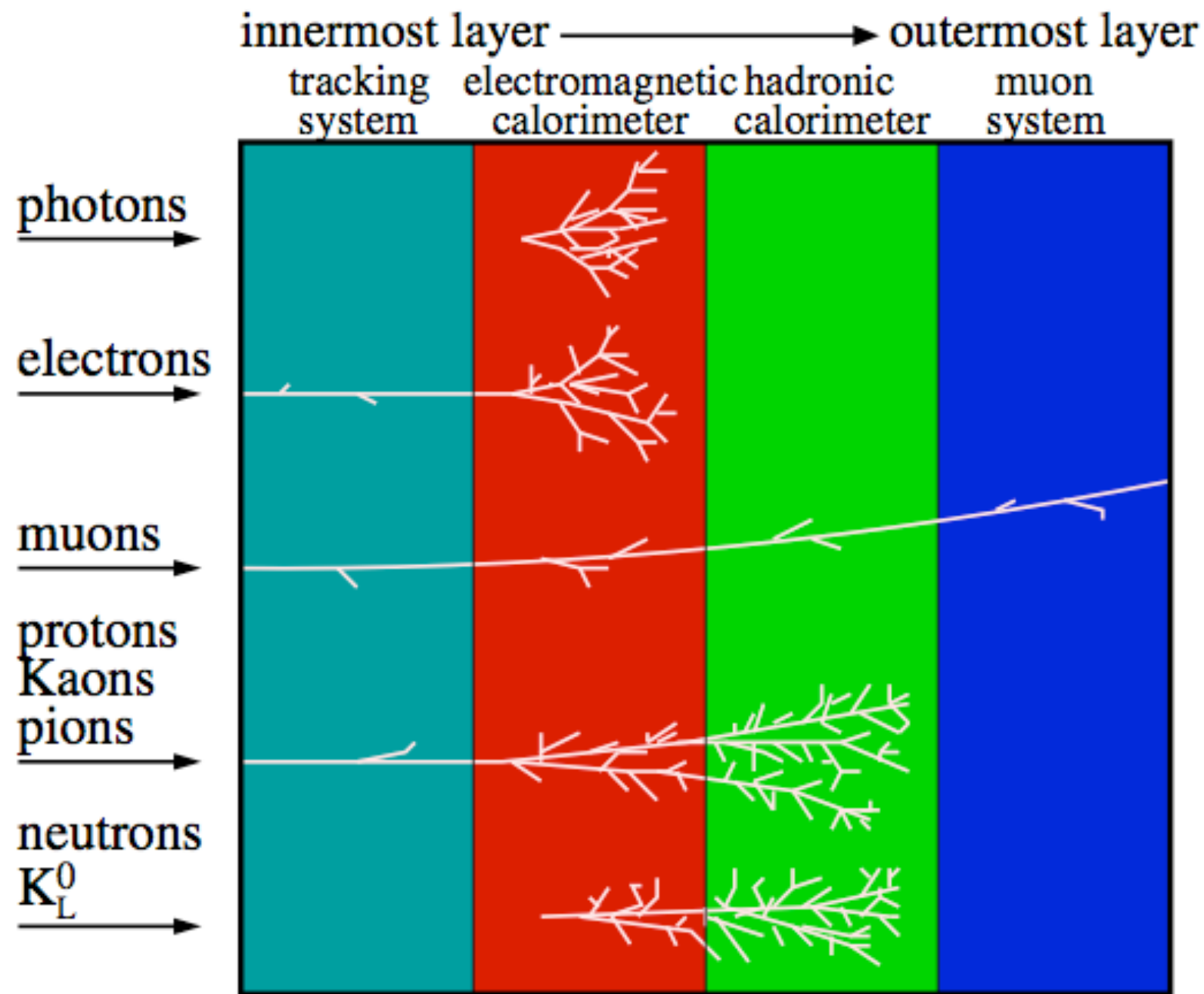


# Electron energy loss



What is the most striking difference compared to the muon case ?  
Much higher loss by Bremsstrahlung

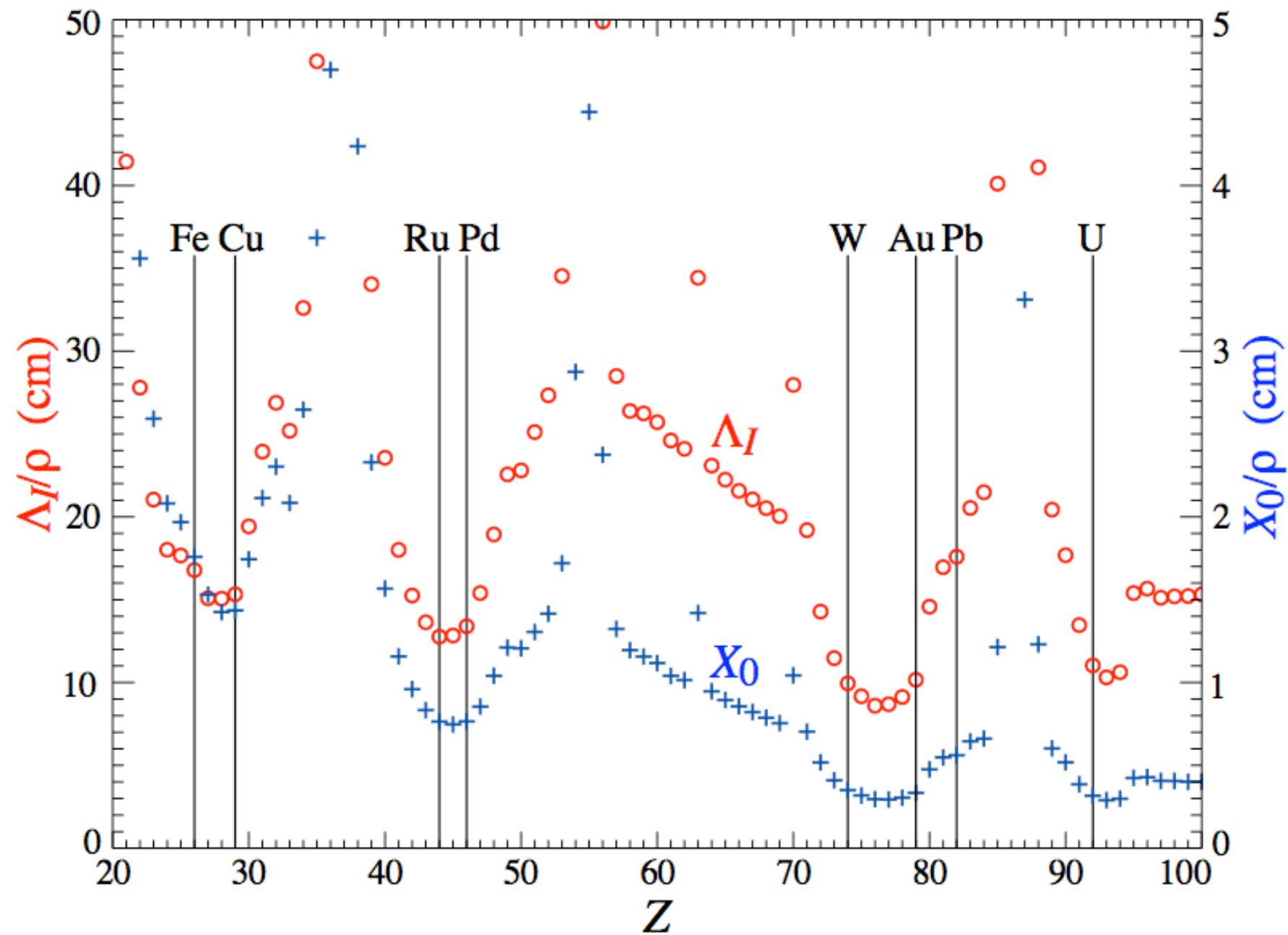
# Sketch of particle interactions in detector



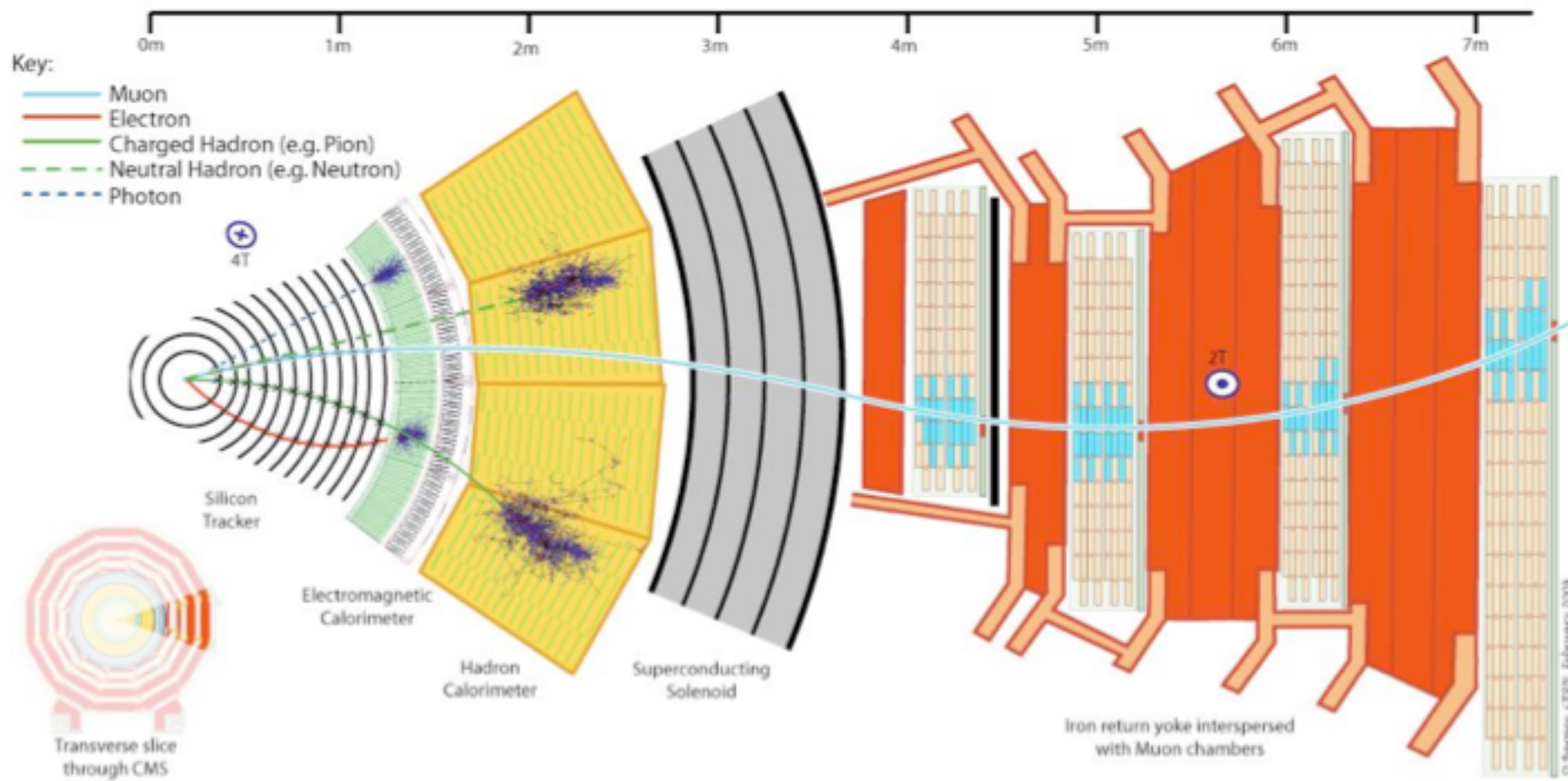
C. Lippmann – 2003

$X_0$  = distance in which electron energy is reduced by  $1/e$  by bremsstrahlung

$\Lambda_I$  = interaction length for hadronic interaction



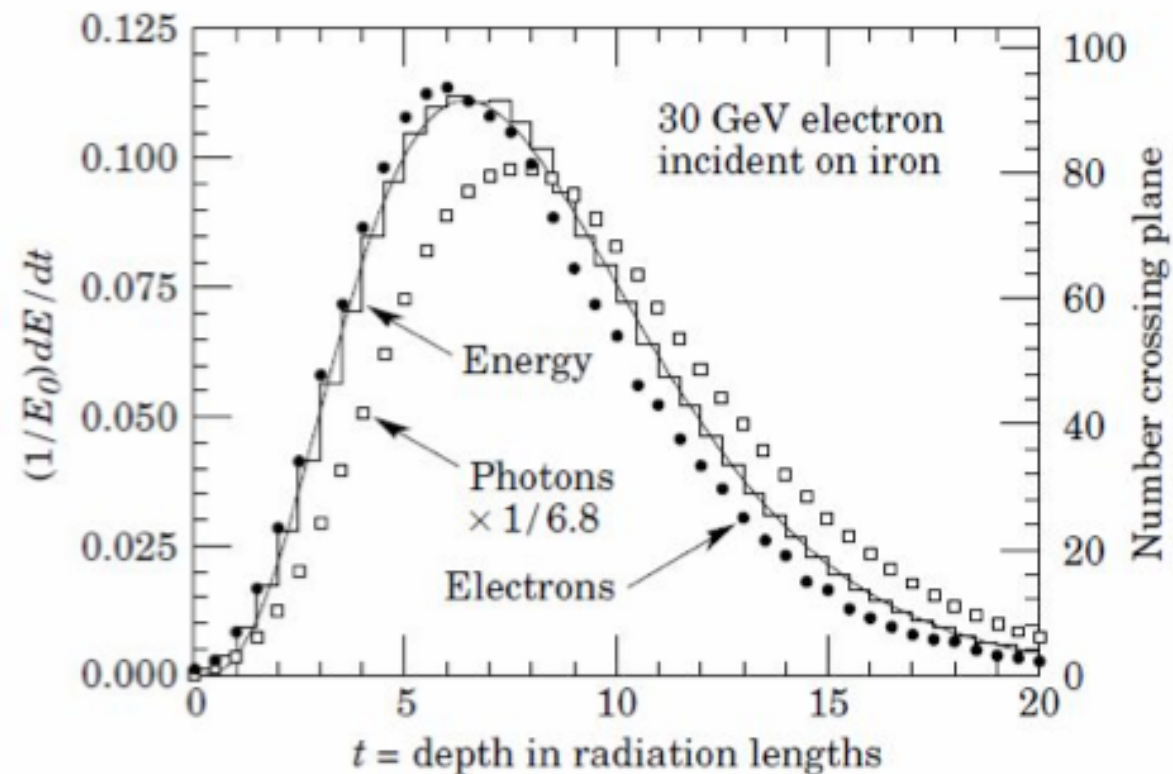
$$X_0 = \frac{716.4 \cdot A}{Z(Z+1) \ln \frac{287}{\sqrt{Z}}} \text{ g} \cdot \text{cm}^{-2}$$





# Calorimeter showers initiated by e / photon

longitudinal



lateral

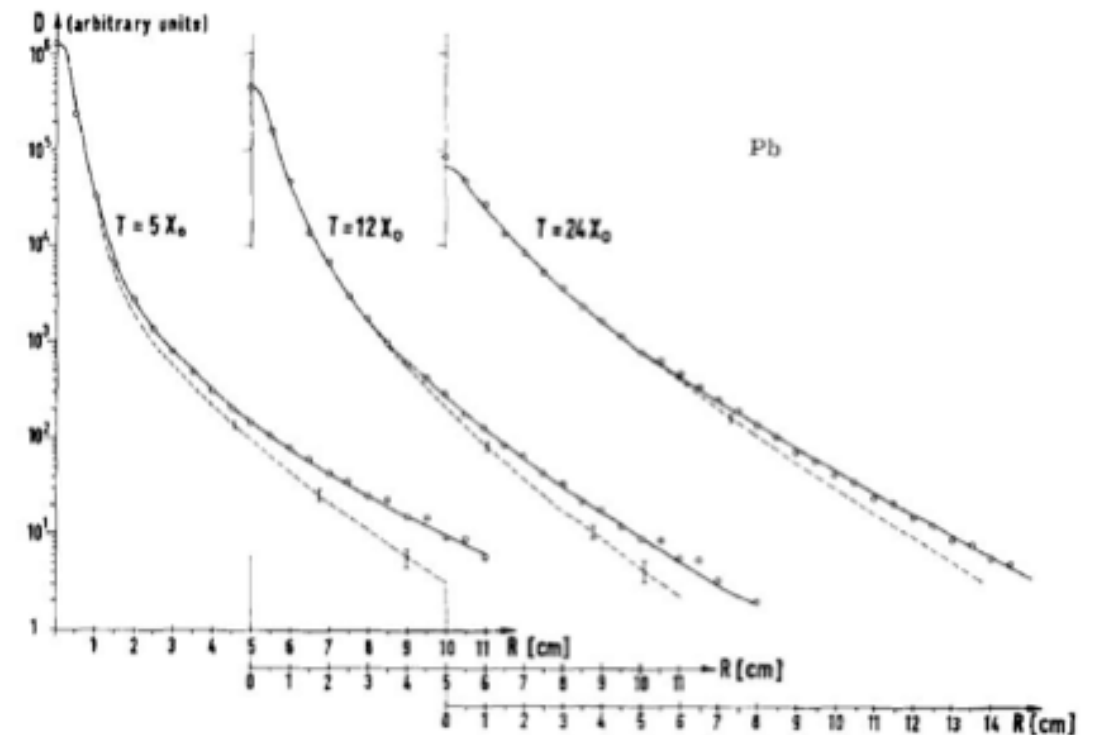


Fig. 4. Measured lateral distribution for lead (circles) in comparison with Monte-Carlo results (dotted line with error bars).

Difference electron-photon ?

Photon has to convert first  
 $P(\text{not convert}) \sim \exp(-7/9 * x/x_0)$

Moliere radius  $\sim X_0(21 \text{ MeV}/E_c)$   
 cylinder of  $\sim 2 R_m$  contains  
 $\sim 95\%$  of energy

Powerful discriminant to separate  
 e/photon induced showers from  
 hadron showers

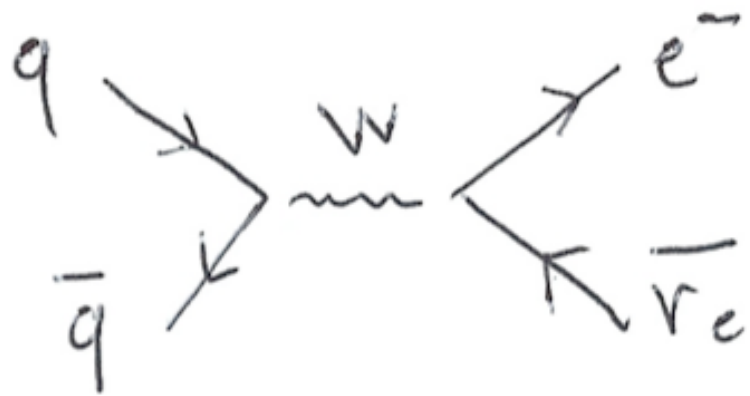


# Electron identification in hadron colliders

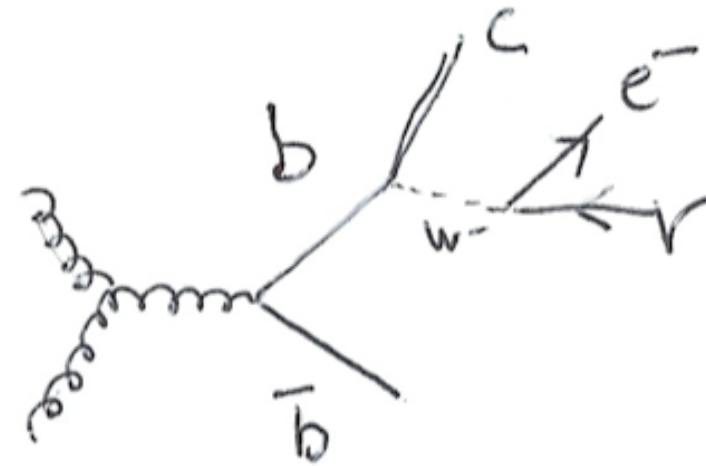
- High energy charged leptons are usually indication of «interesting» physics events, for instance decays of  $W$  or  $Z$  boson
- What are the backgrounds ?
- How to distinguish «good» electrons from them ?

# Description of different type of electron backgrounds

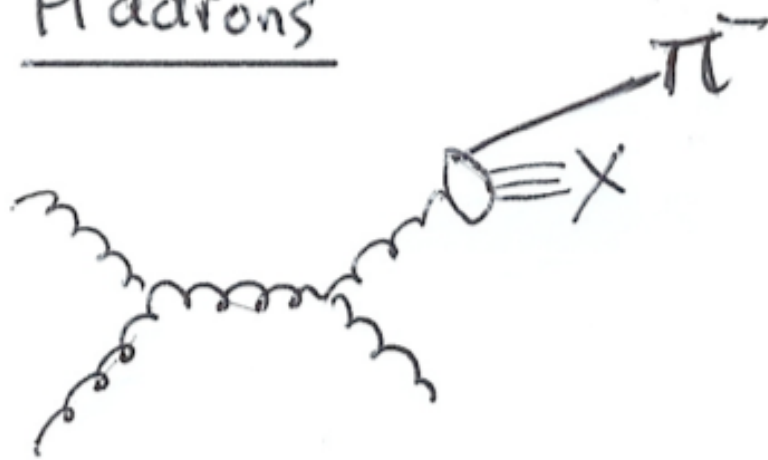
Isolated electron



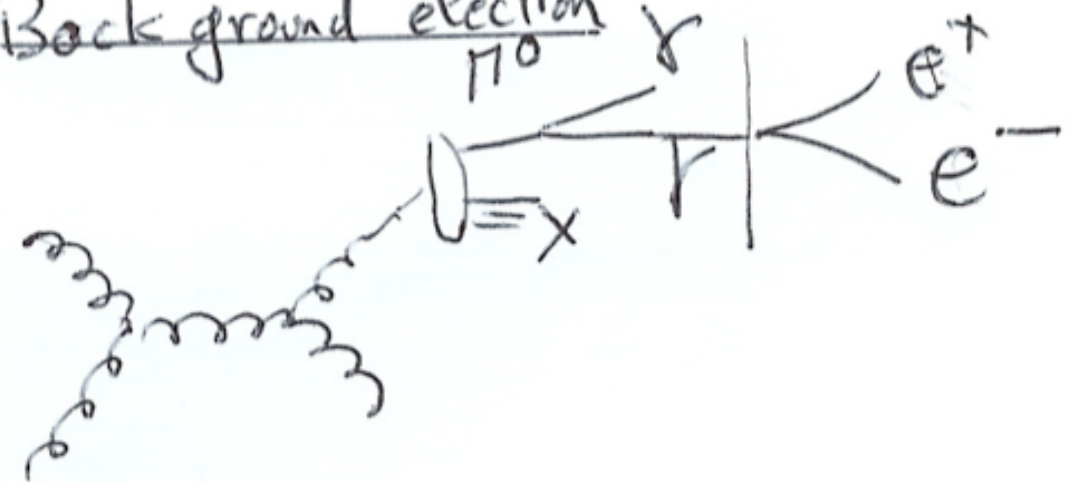
Non-Isolated electron

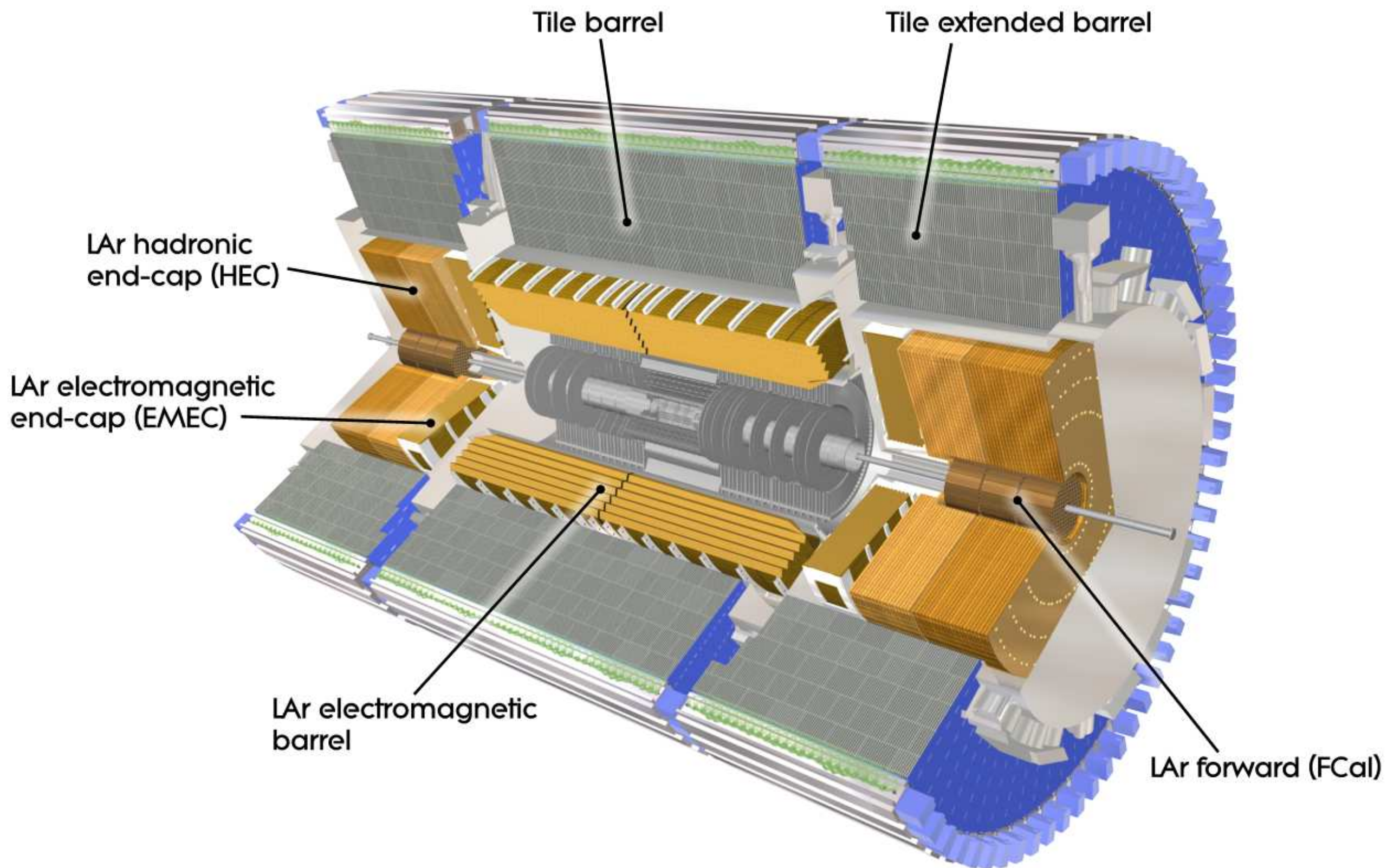


Hadrons

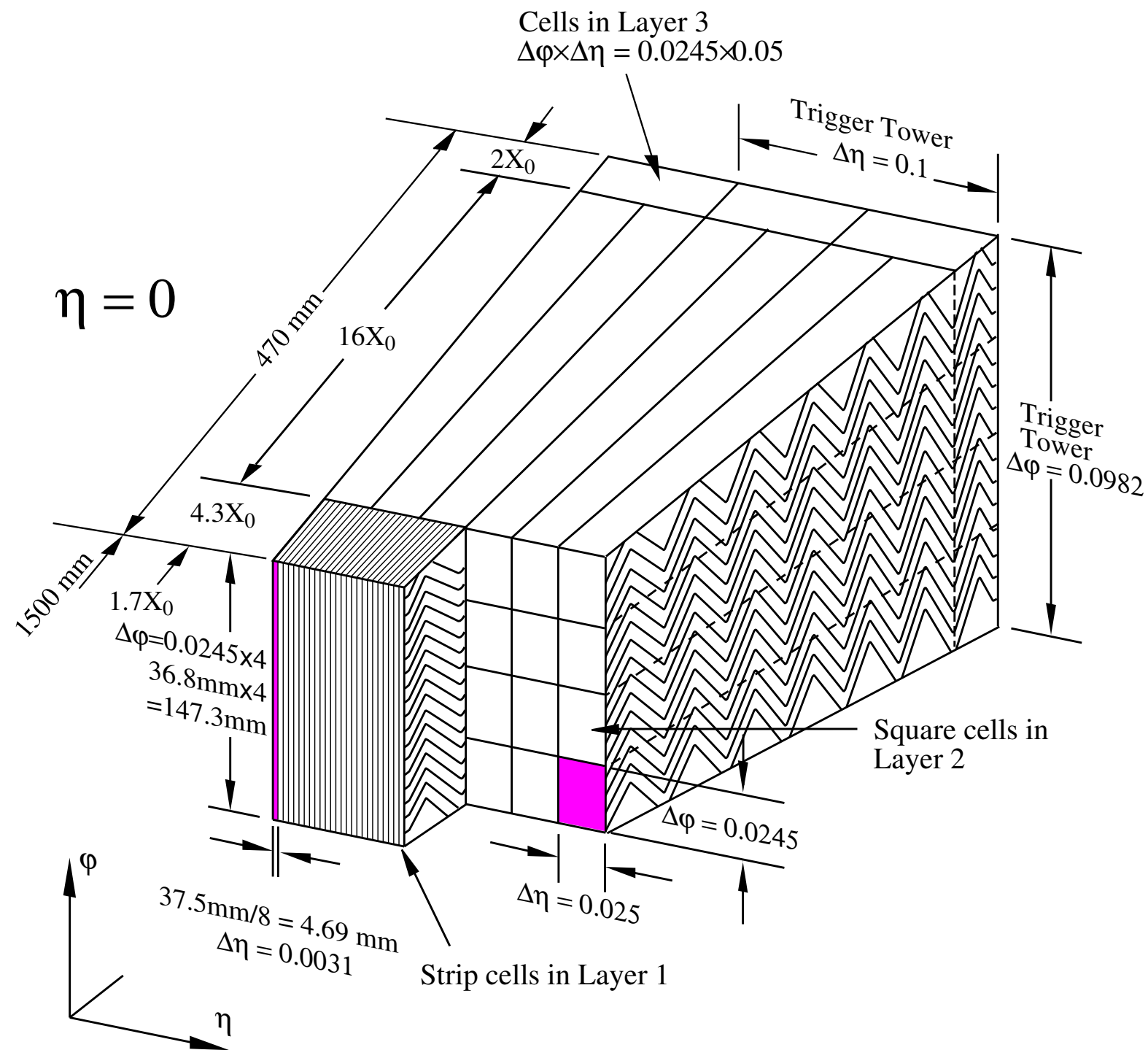


Background electron

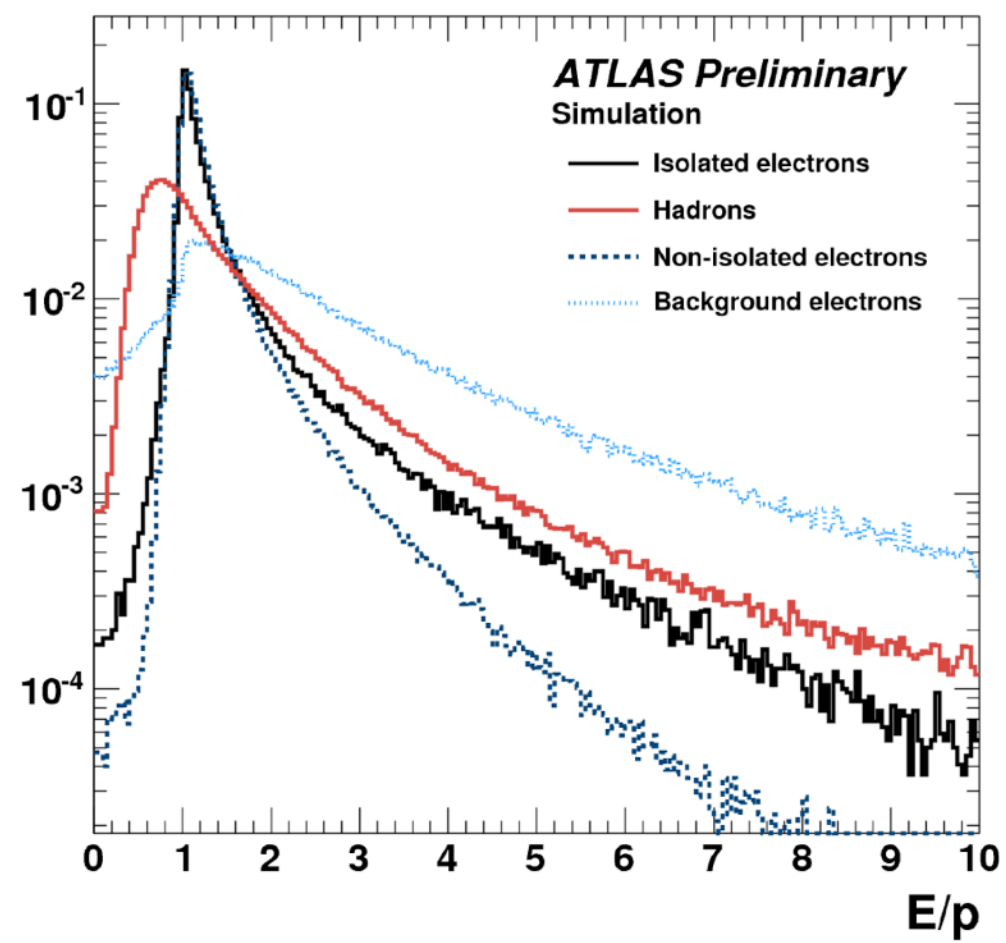
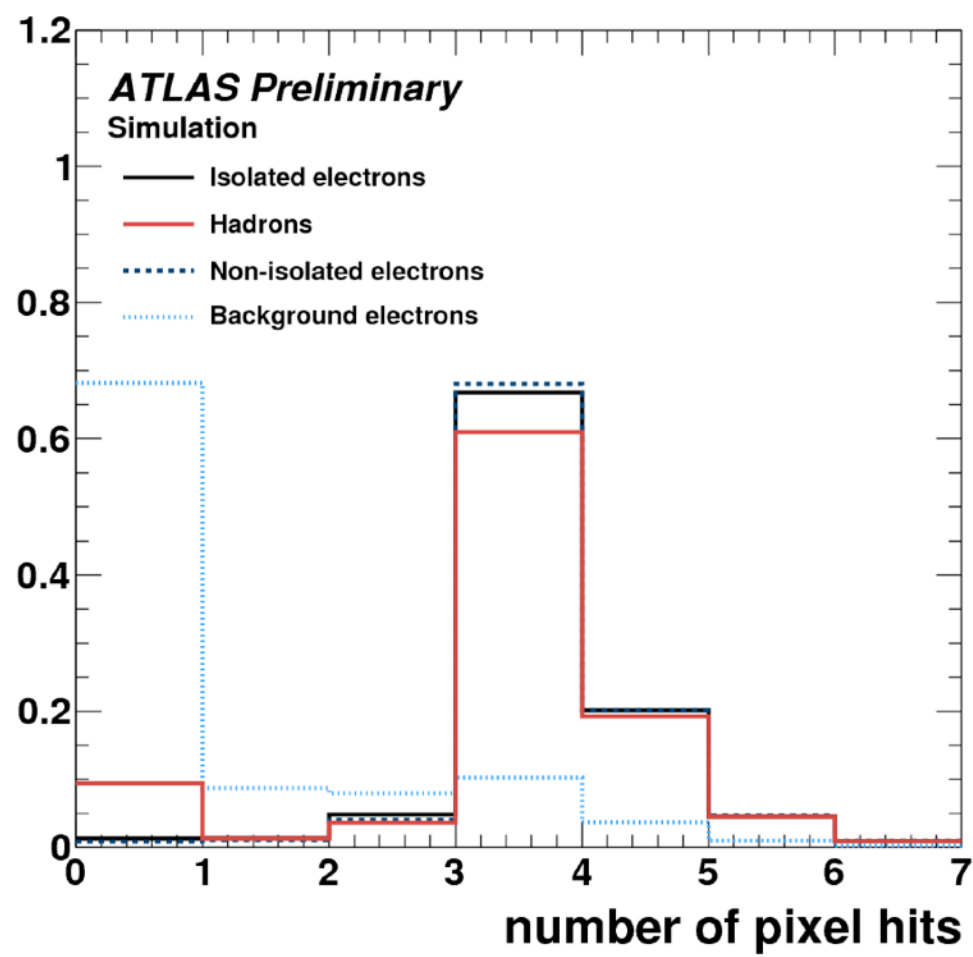
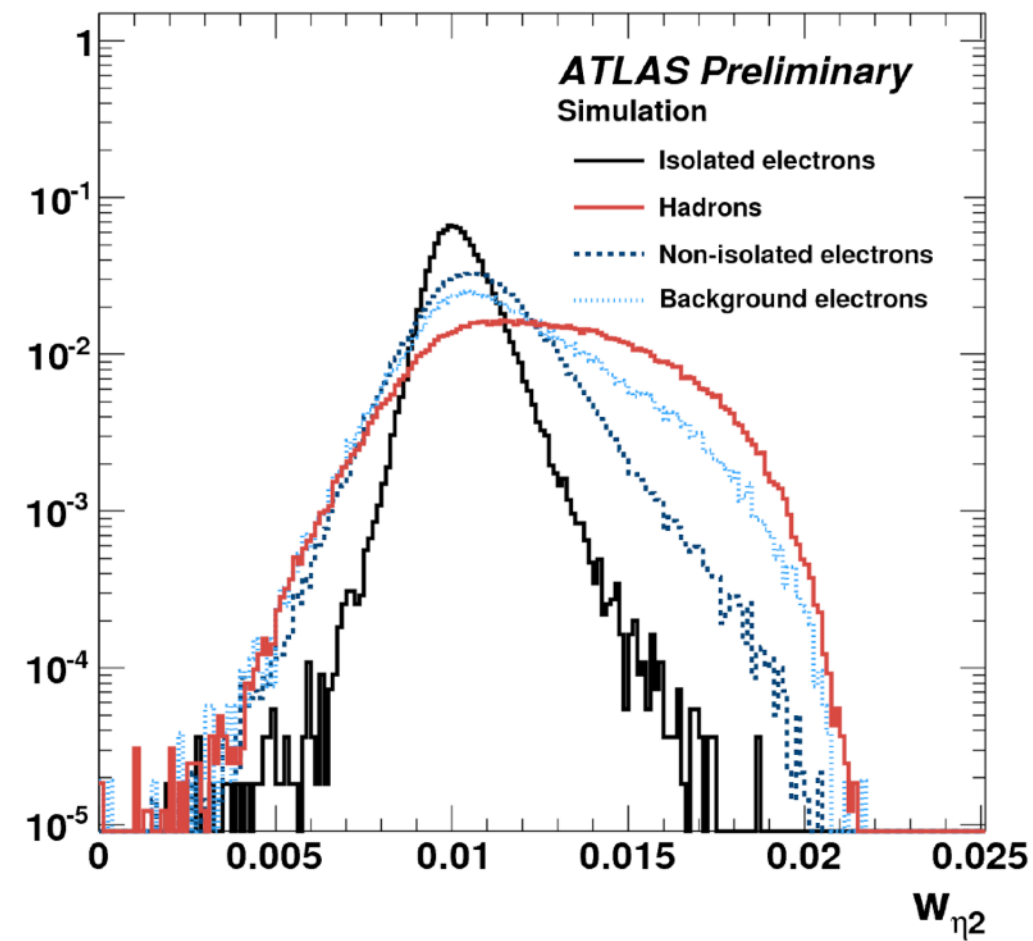
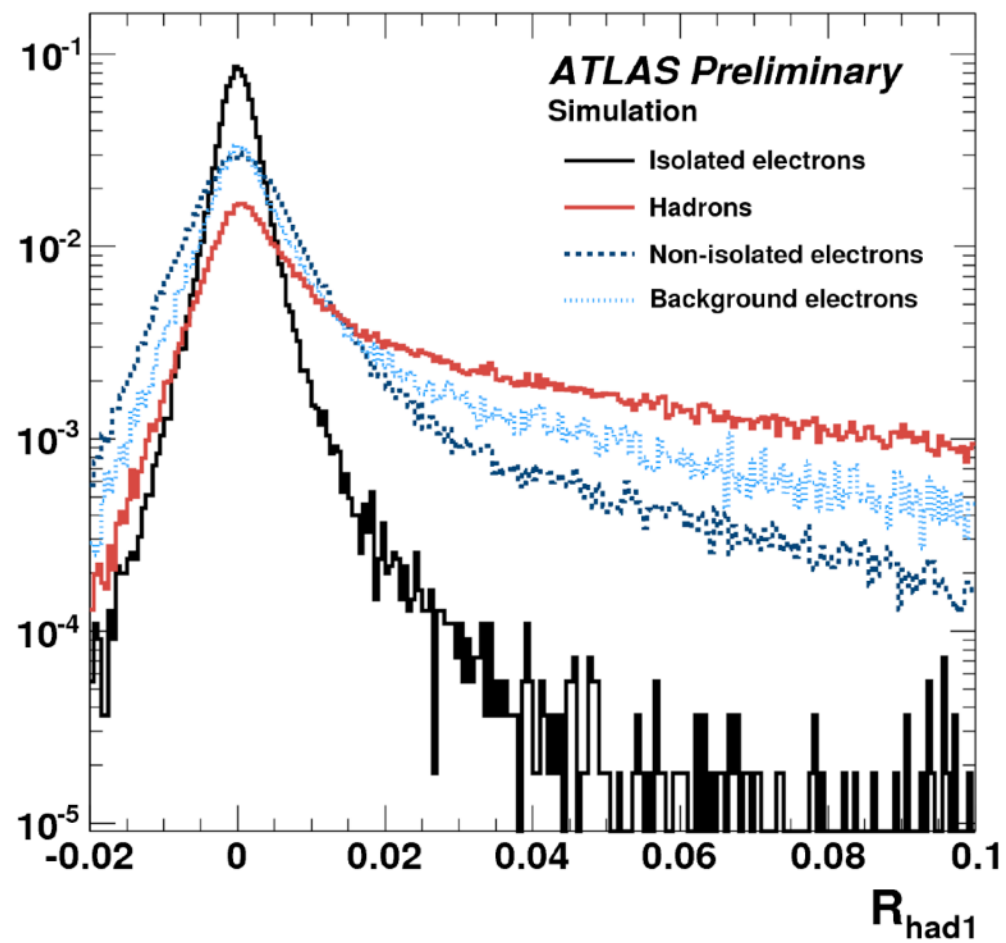


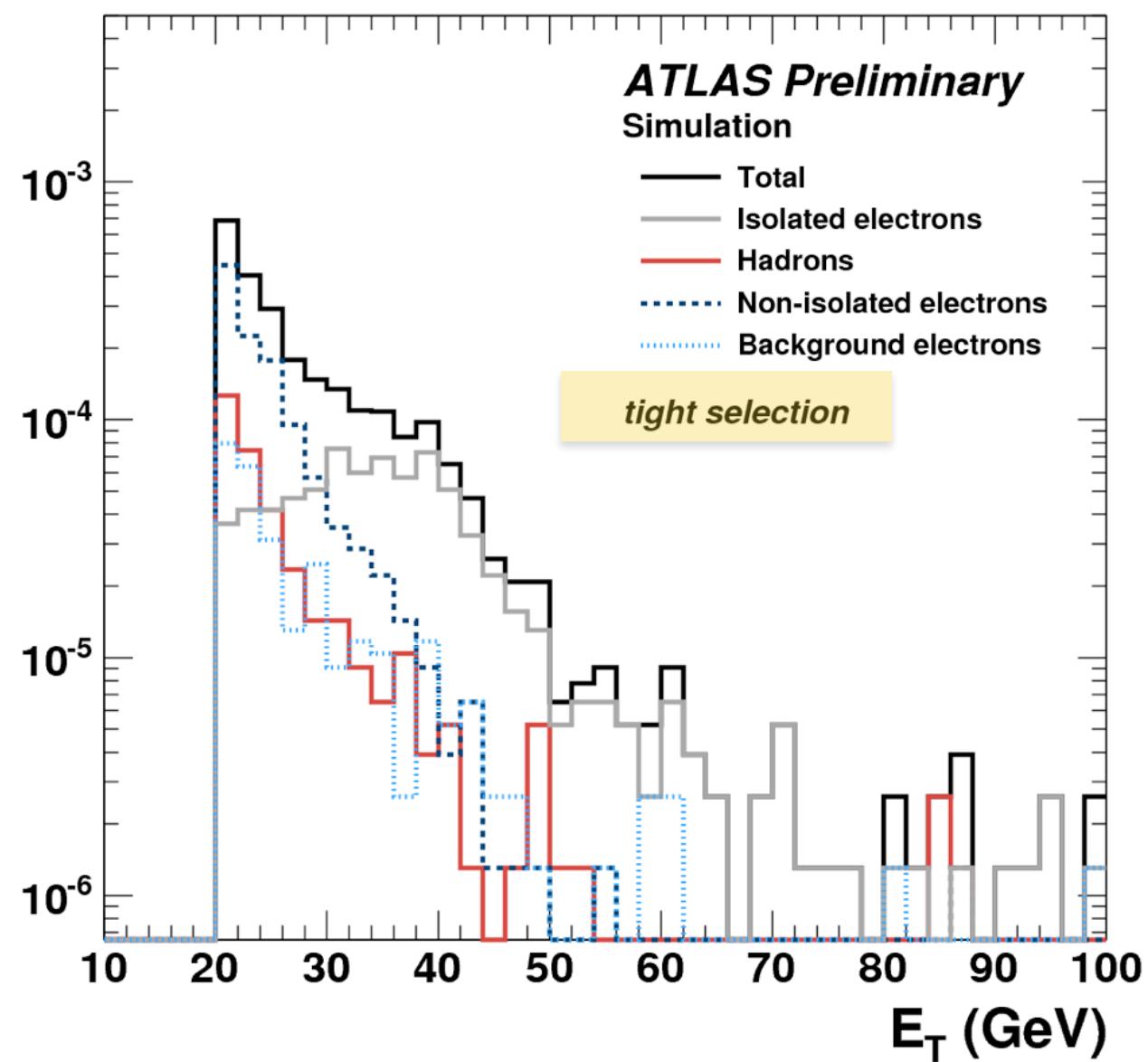
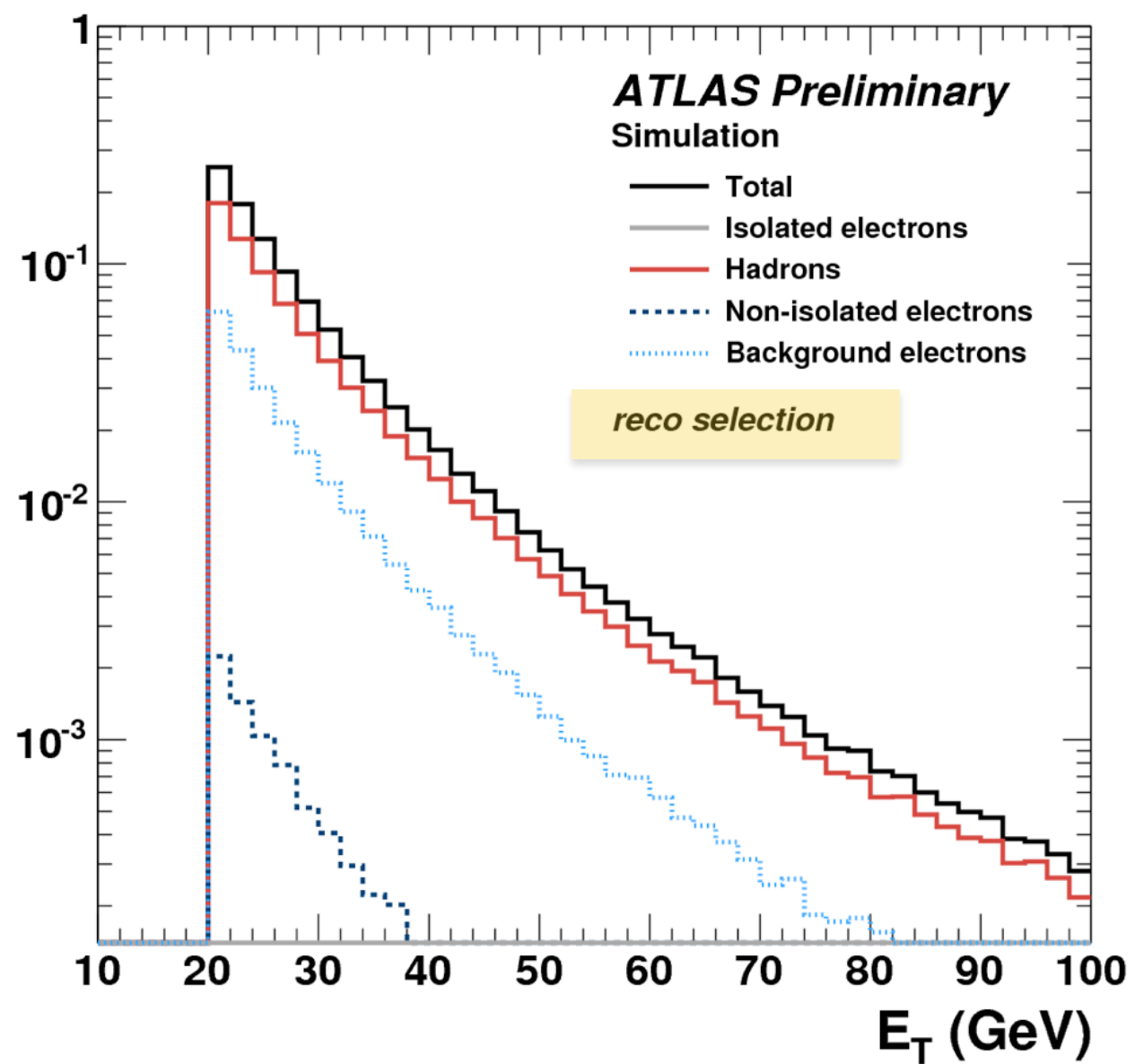


# Granularity of EM calorimeter to measure shower development





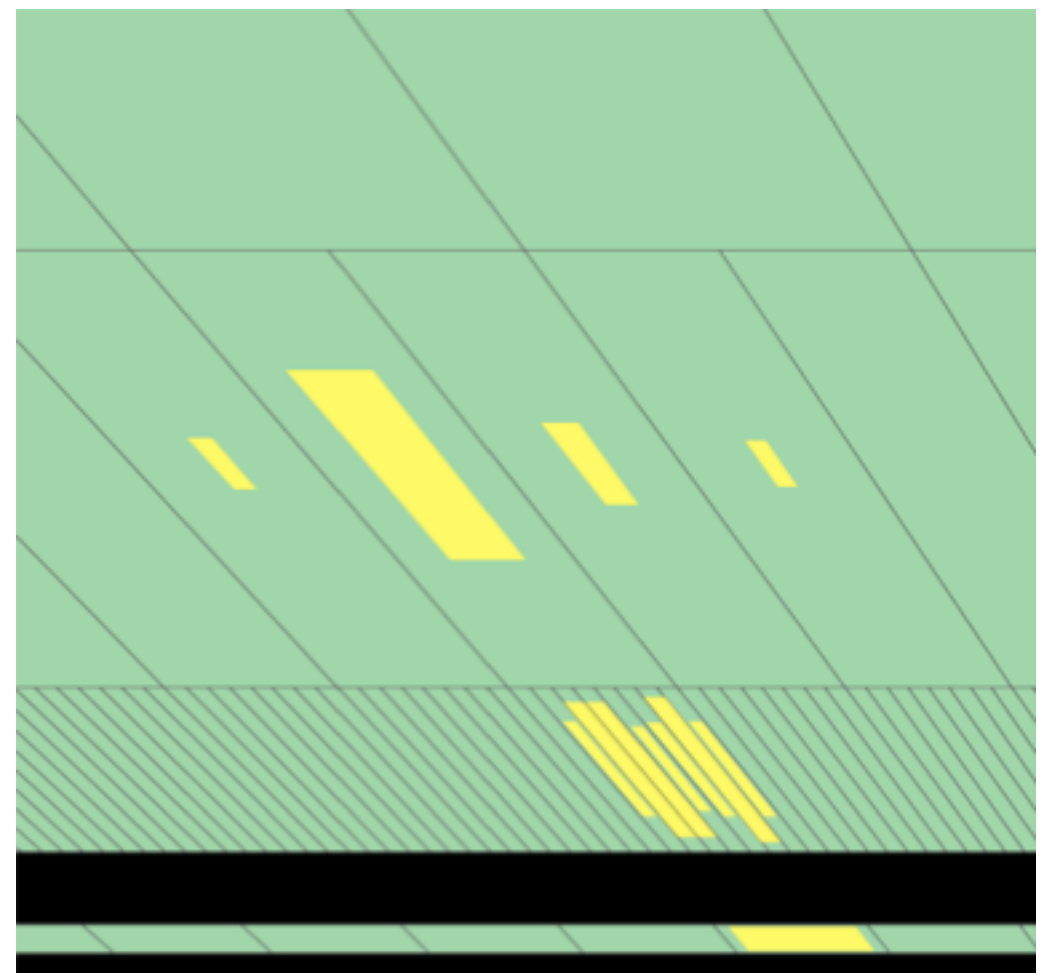
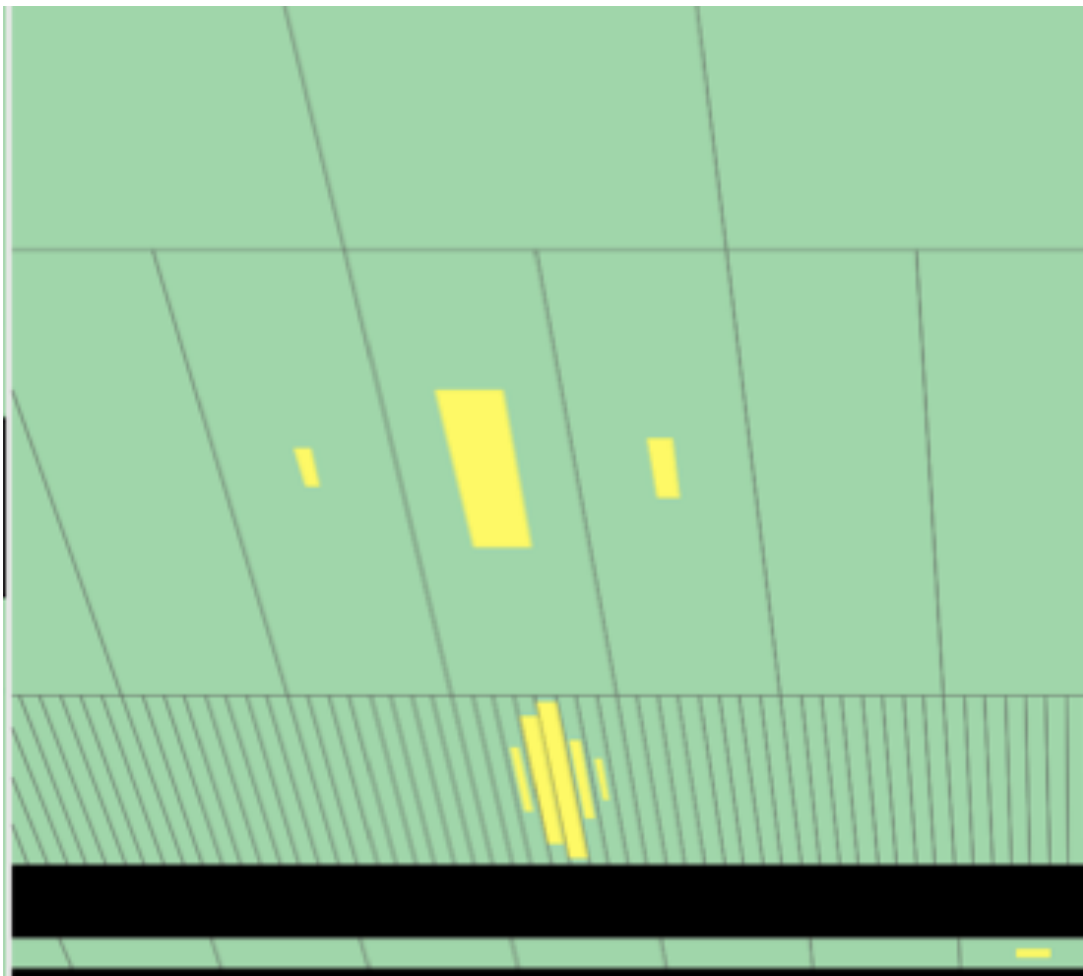




# Photon identification in collider experiment

Background from high energy  $\pi^0 \rightarrow \gamma\gamma$   
What is the separation between the photons ?  
What information can be exploited ?

$\theta_{\min} \sim 2/\gamma$   
 $\sim 0.0067$  at  $E=40$  GeV  
 $\Rightarrow 1\text{ cm @ } R=150\text{ cm}$



*Which one is the single photon shower ?*

Some of these techniques are also used in Space

- Fermi LAT : identify and measure  $\sim 50$  MeV to  $\sim 300$  GeV gamma rays with good angular resolution



# Fermi LAT

**4x4 array** of identical towers (tracker + calorimeter)  
surrounded by an Anti-Coincidence Detector

## Tracker

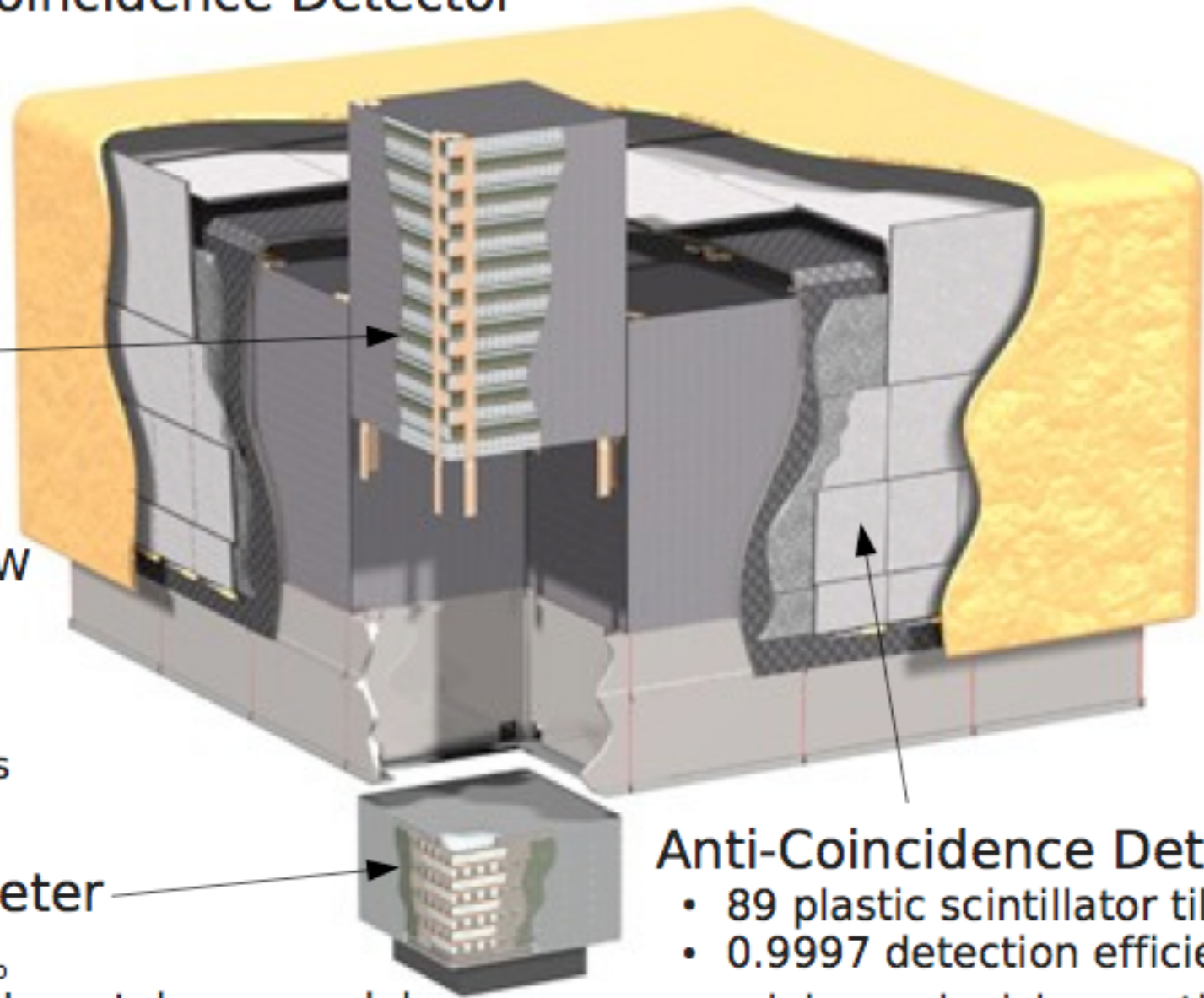
- 18 layers (x-y) with silicon strip detectors + tungsten conversion foil
- 2 sections (depending on W thickness):
  - Thin (front) :  $12 \times 0.03 X_0$
  - Thick (back) :  $4 \times 0.18 X_0$
  - No W in the 2 bottom layers
- $1.4 X_0$  on axis

## Calorimeter

- $8.6 X_0$
- 96 CsI crystals per module

## Anti-Coincidence Detector

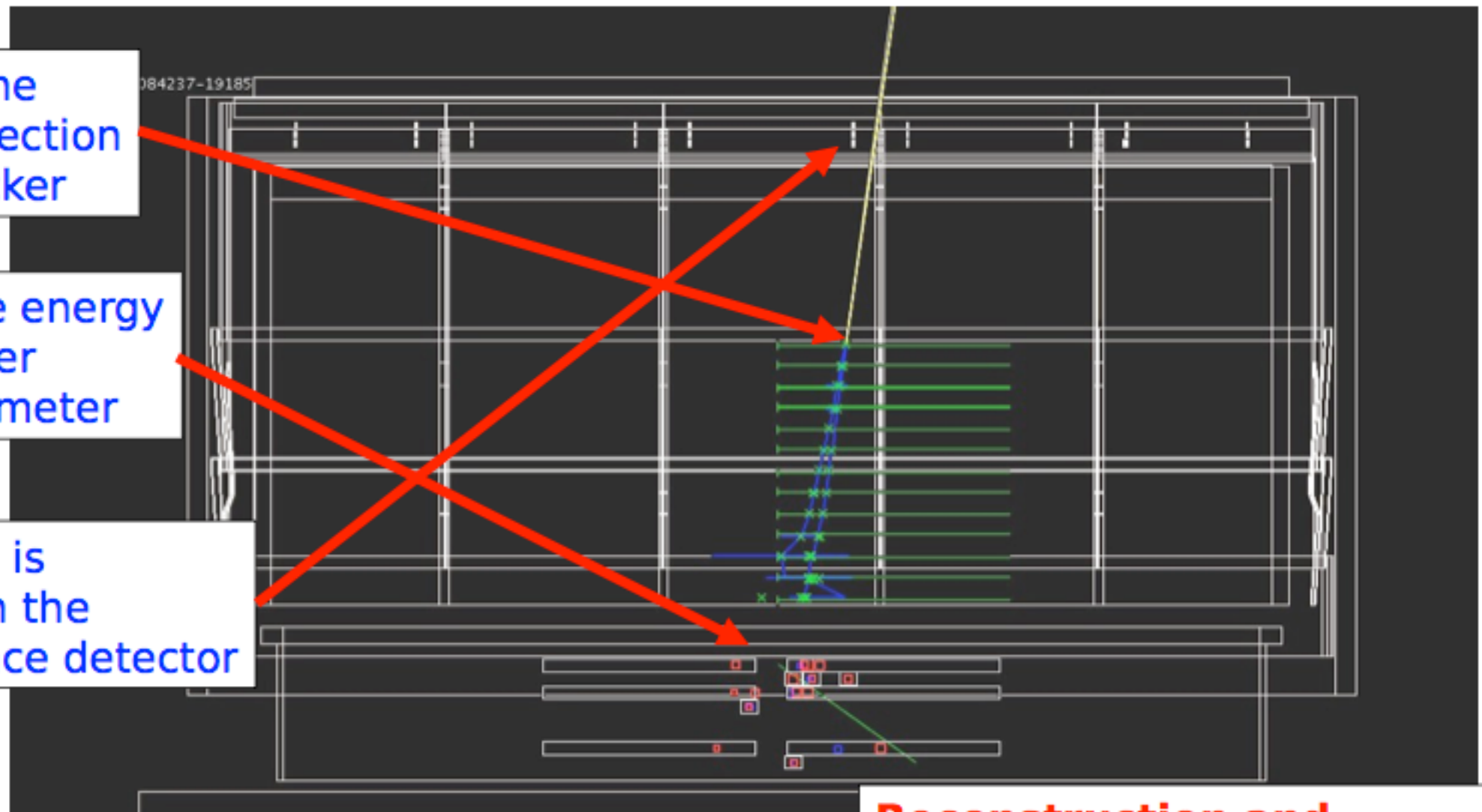
- 89 plastic scintillator tiles
- 0.9997 detection efficiency for minimum-ionizing particles



Determine the incoming direction with the tracker

Determine the energy with the tracker and the calorimeter

Check if there is some signal in the anti-coincidence detector

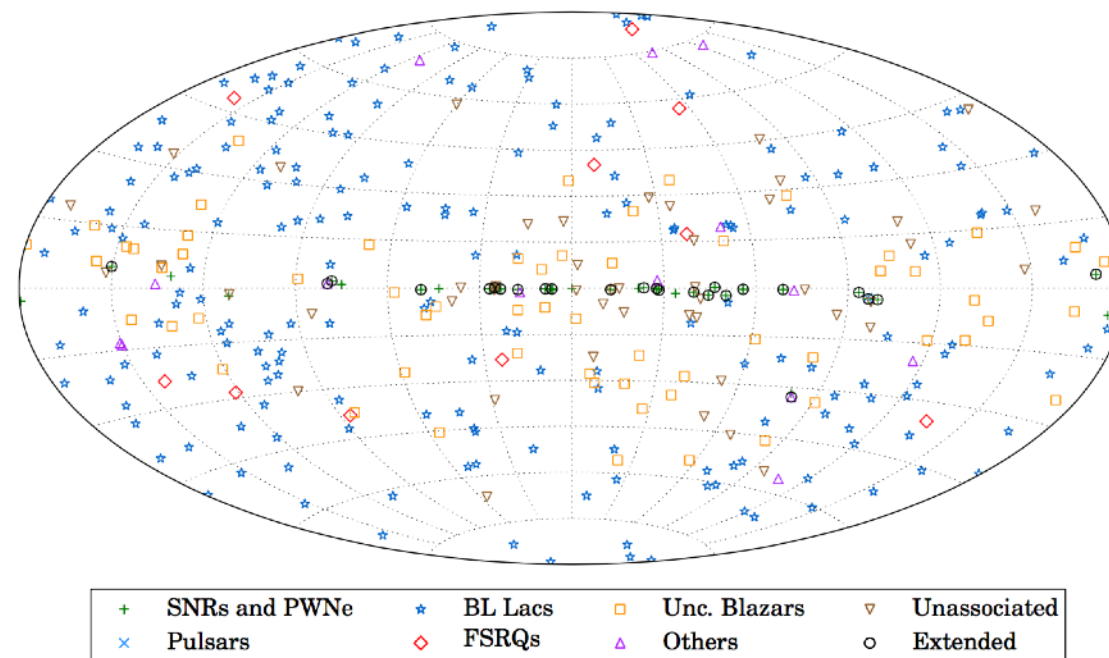
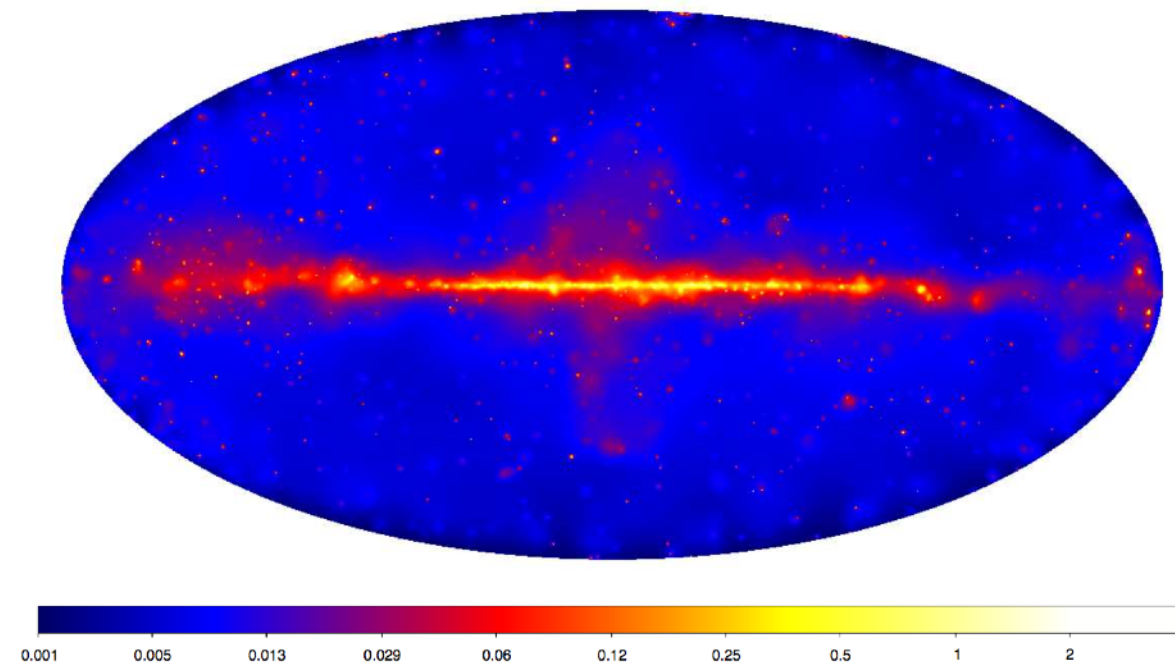


The calorimeter is used in the event selection : match between the track and the cluster (position, angle), cluster transverse size.

**Reconstruction and selection are optimized using classification trees.**

# FERMI-LAT map of gamma-ray sources with $E > 50$ GeV

<https://arxiv.org/abs/1508.04449>



# Muon identification in hadron colliders

- Muons are usually clean signatures, less background than electrons
- Main sources of «muons»
  - punch through of hadronic showers
  - $\pi/k$  decays in the inner detector
  - Semileptonic B-hadron decays  $\Rightarrow$  «true» non-isolated muons
    - Usually main background at high energy in collider experiments
- Precise measurement of muons requires large magnetic detectors

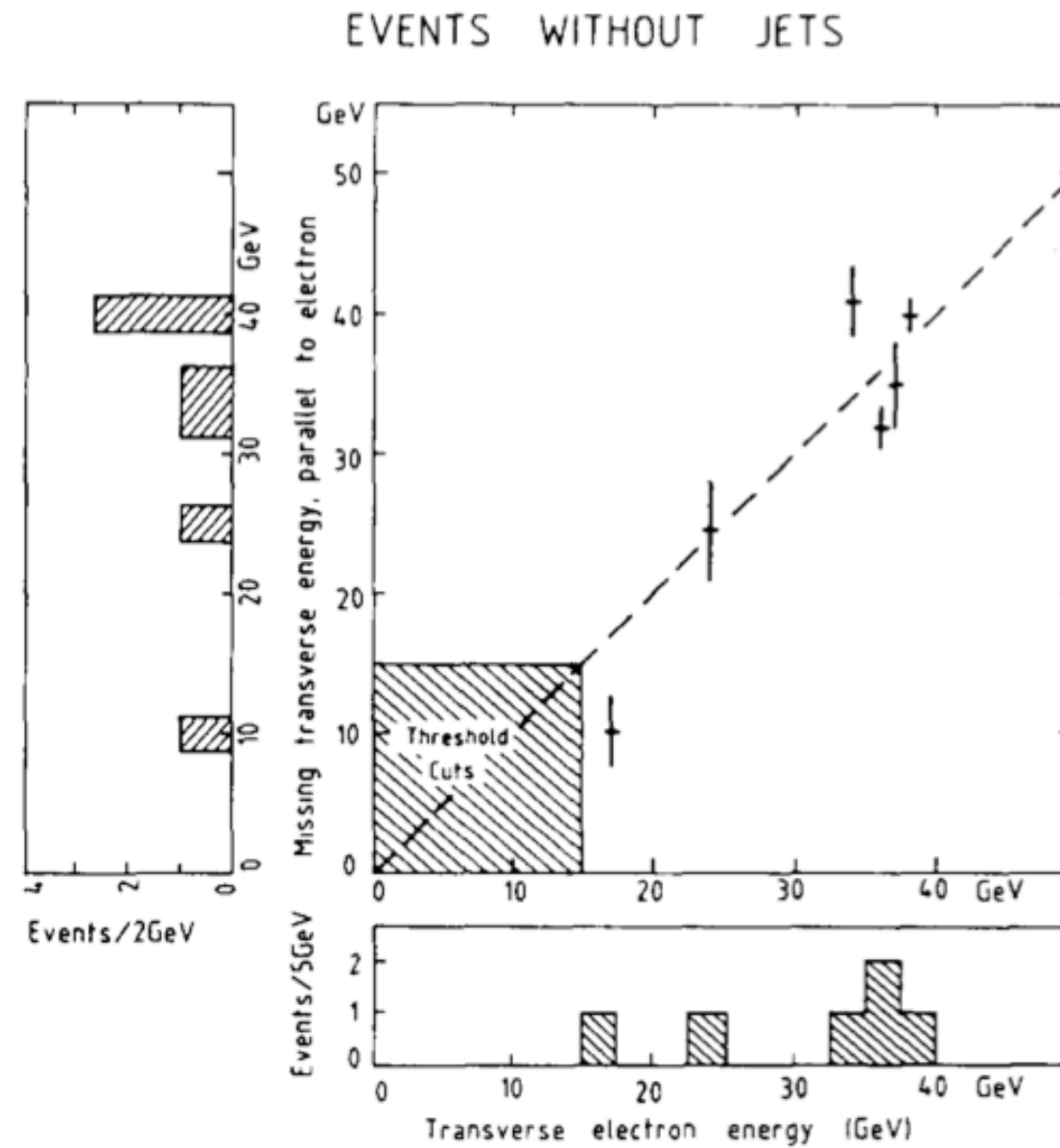
# Neutrino «identification» in hadron colliders

- The probability of neutrino interaction in a collider experiment is  $\sim$ null
- How to measure something that one does not detect ?



$$\vec{p}_T(\nu) = - \sum_i \vec{p}_T(\text{seen})$$

# Missing transverse momentum for W boson discovery (1983)



# Direct detection of neutrinos

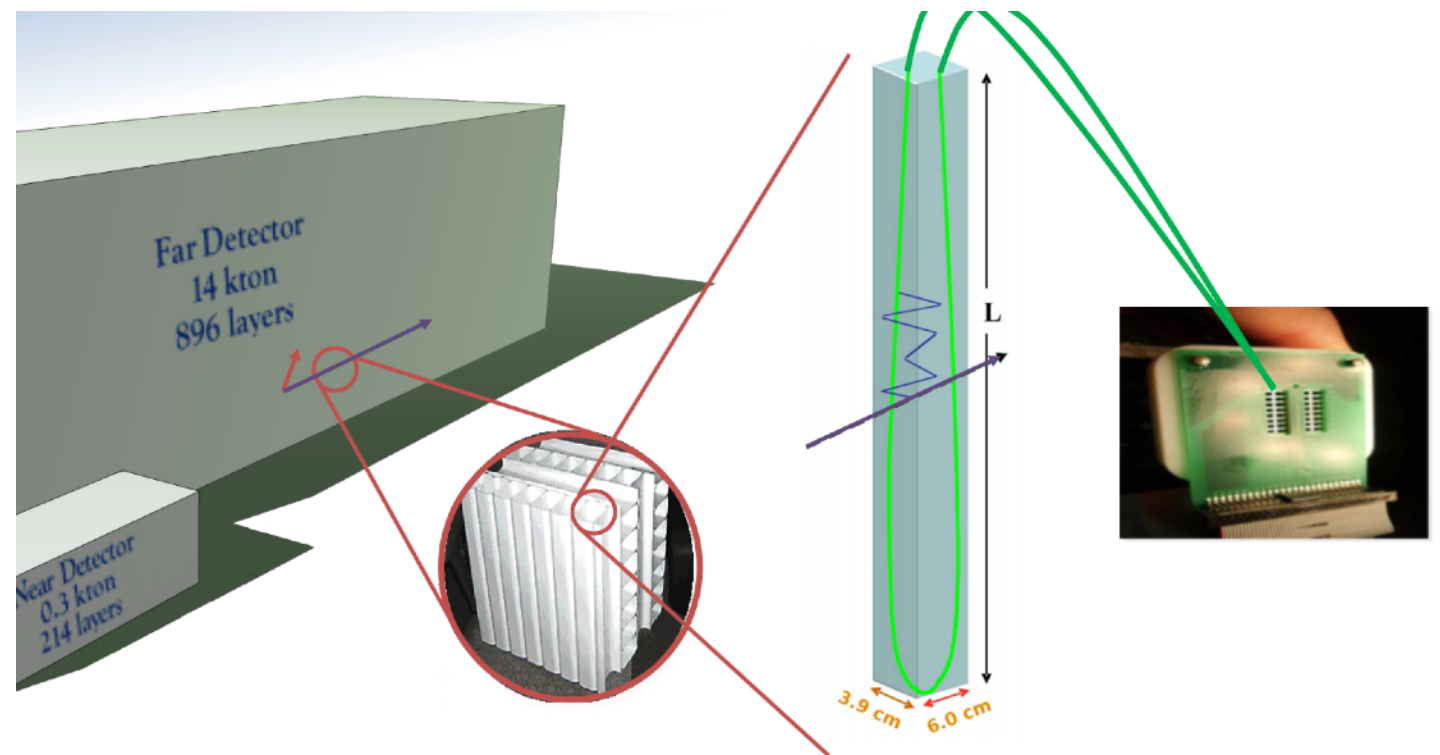
- High flux of incoming neutrinos (for instance neutrino beams)
- High mass detector
- $\Rightarrow$  can observe neutrino interactions
  - Charged currents: produce e, mu or tau depending on neutrino flavor at the interaction  $\Rightarrow$  identify flavor of neutrino
  - Neutral currents:  $\sim$ universal for all (non-sterile) neutrinos
- Neutrino cross-section increases with energy
  - at  $O(> \text{PeV})$  energy, earth becomes opaque to neutrinos



# NOVA neutrino experiment



Start with muon neutrino beam  
and look at rate of remaining muon neutrino  
and appearing electron neutrino at a long distance

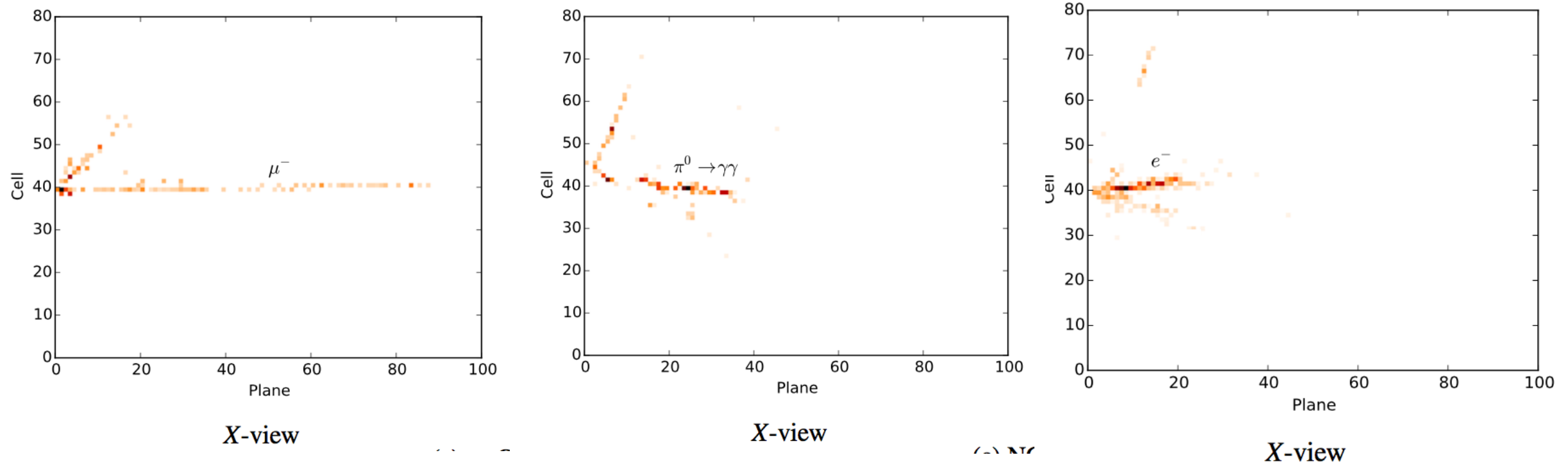


Charged current reaction used to identify flavor of interacting neutrino  
=> need good identification of electrons and muons induced by neutrinos  
(+ rejection of cosmic background)



# Use algorithm inspired by computer vision to optimize particle identification

<https://arxiv.org/abs/1604.01444>



many other examples of this kind of application  
need good reference samples to "train" AI algorithm

# Measure beta or gamma of particle

- Direct measurement of velocity («time of flight»
  - $v = d/t$
- Measurement of beta.gamma through ionization energy loss
- Measurement of beta through Cherenkov radiation
- Measurement of gamma through Transition radiation

# time of flight

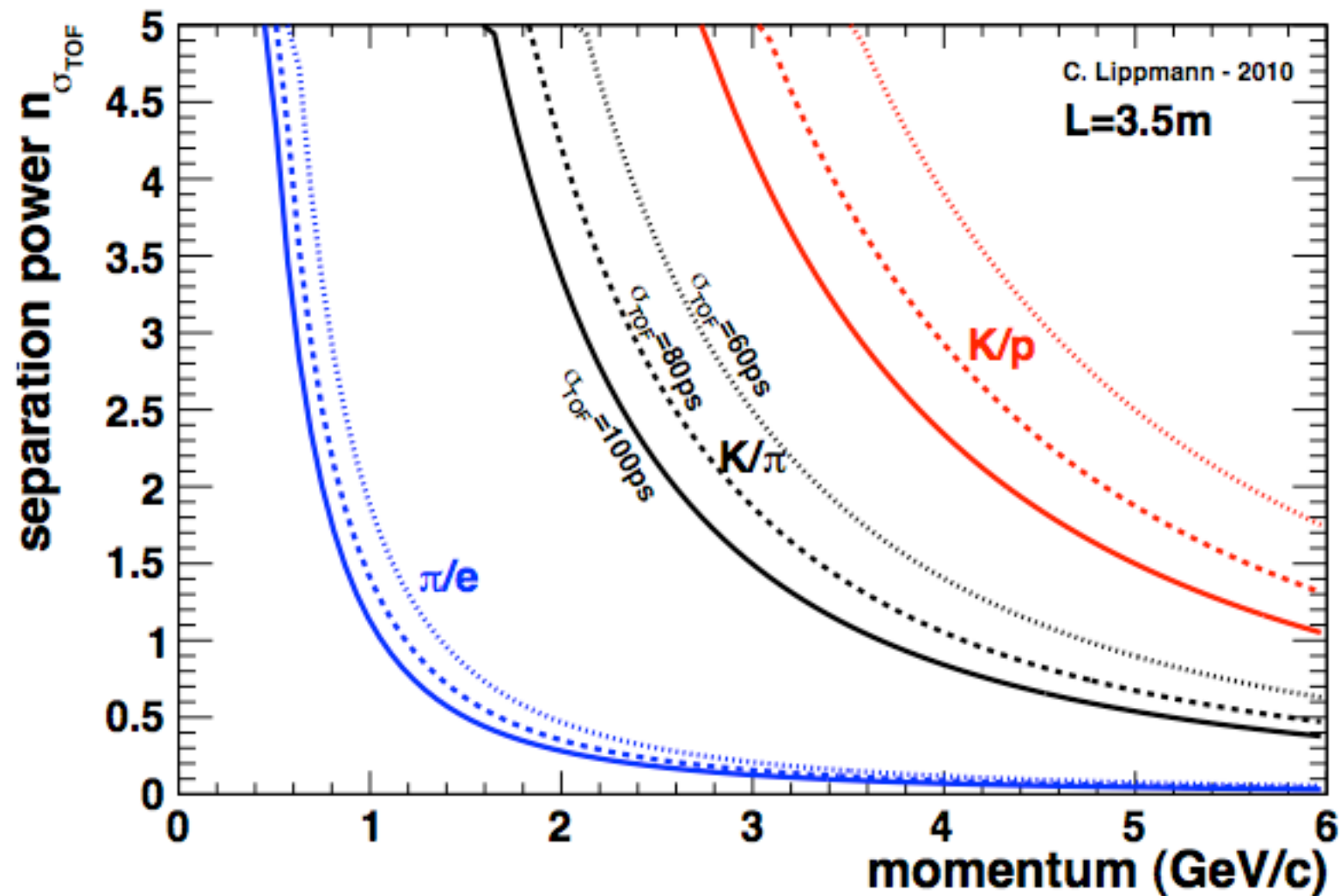
$$\beta = \frac{v}{c} = \frac{L}{t \cdot c}$$

$$m = \frac{p}{c} \sqrt{\frac{c^2 t^2}{L^2} - 1}$$

$$\frac{dm}{m} = \frac{dp}{p} + \gamma^2 \left( \frac{dt}{t} + \frac{dL}{L} \right)$$

For 2 hypothesis  $m_A, m_B$ :

$$|t_A - t_B| = \frac{L c}{2p^2} |m_A^2 - m_B^2|$$



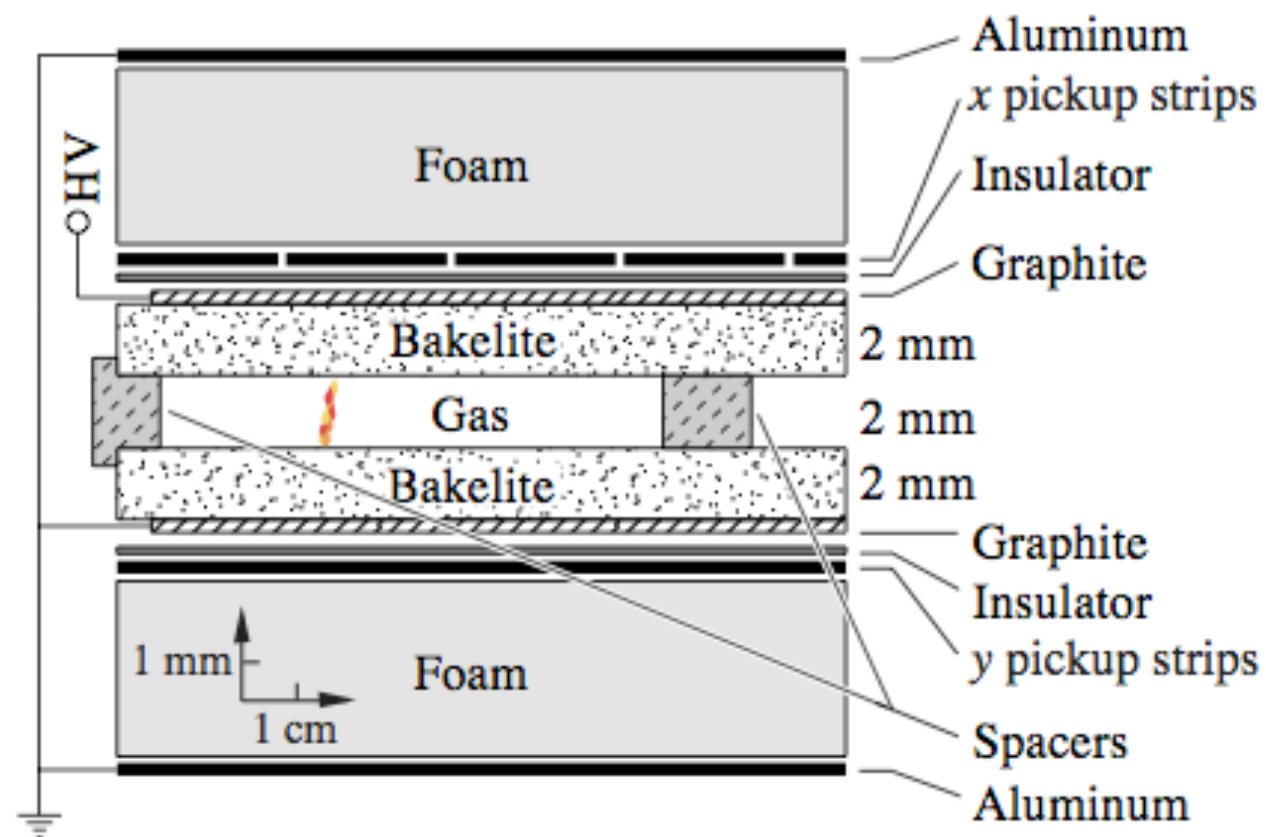
Dedicated detectors for time measurement can reach  $< 100$  ps accuracy even on large system

At LHC, the collision time has an intrinsic jitter of  $\sim 140$  ps (bunch length)

Need dedicated measurement to remove this contribution from time resolution

Most commonly used detectors for timing were based on scintillation  
(can also use other techniques like calorimetry, etc..)

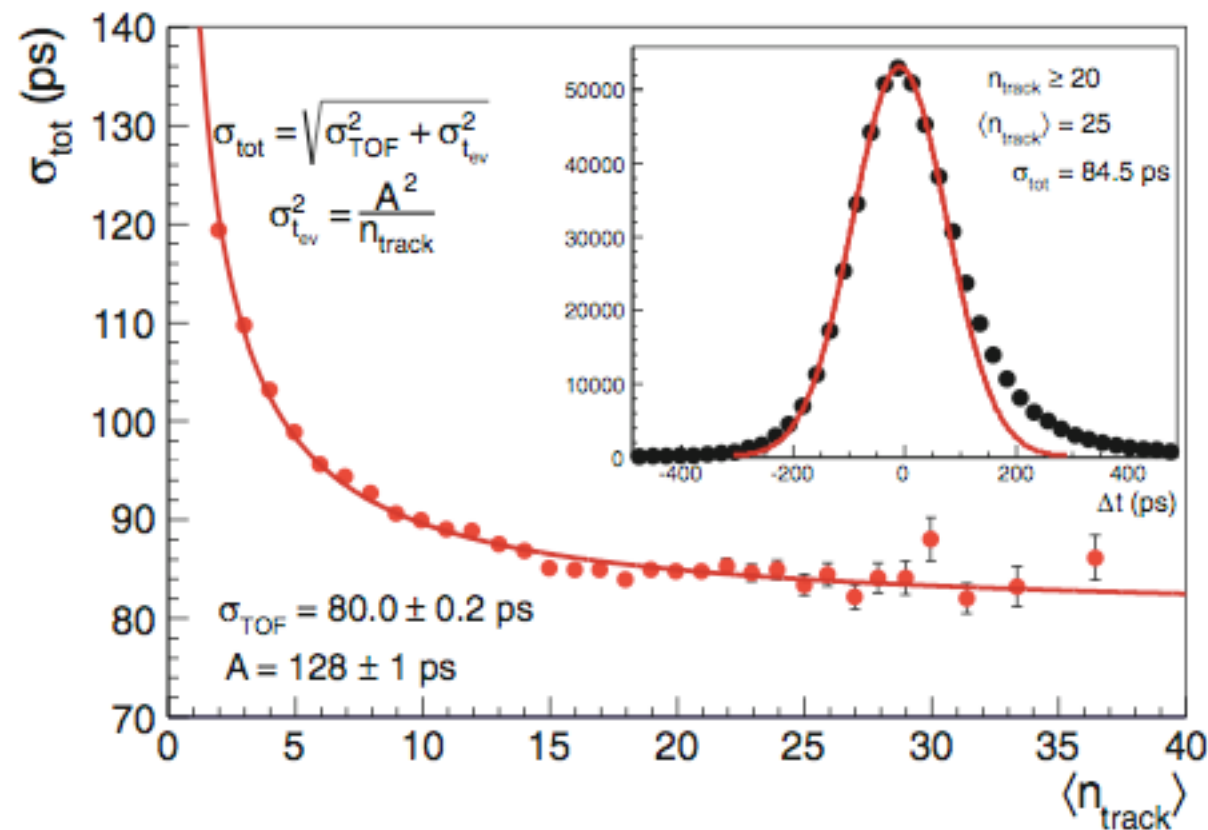
Gaseous ionization detectors like RPC developed to cover large area in a  
cost-effective way



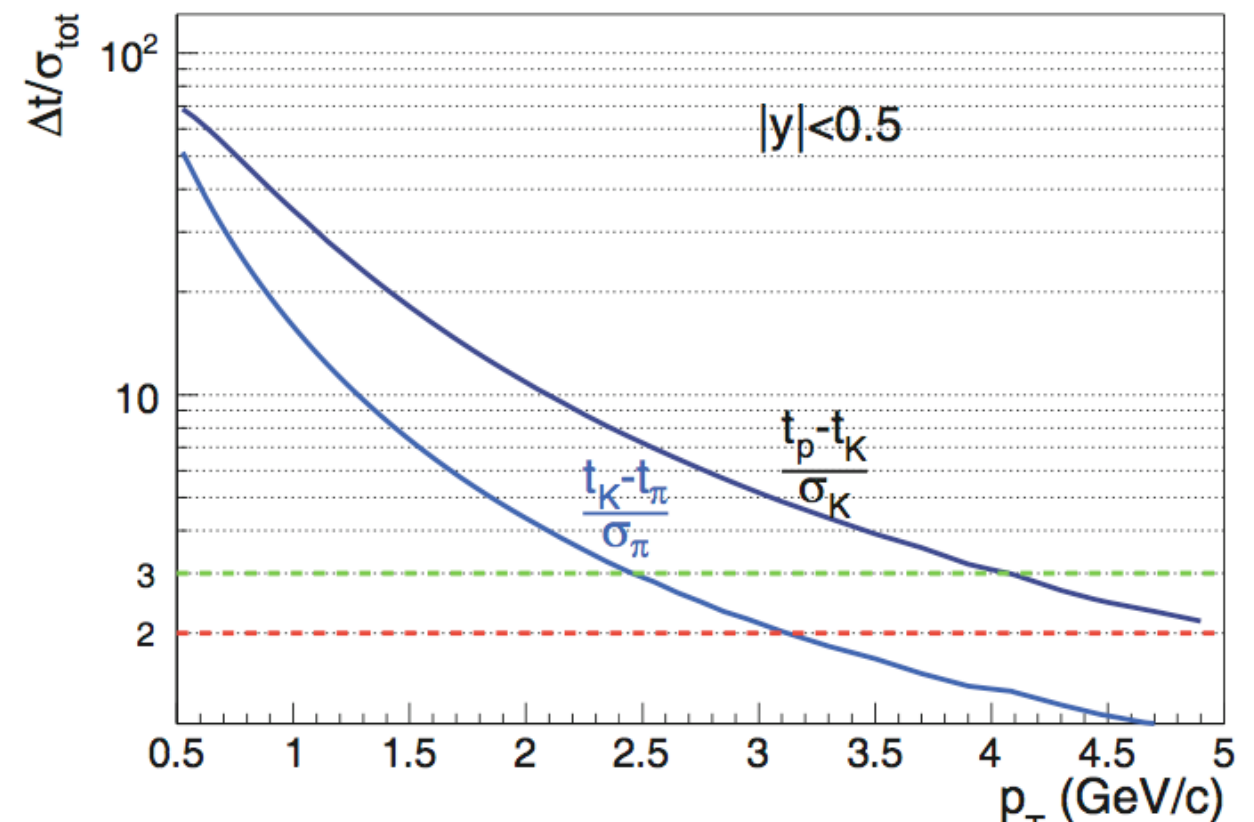
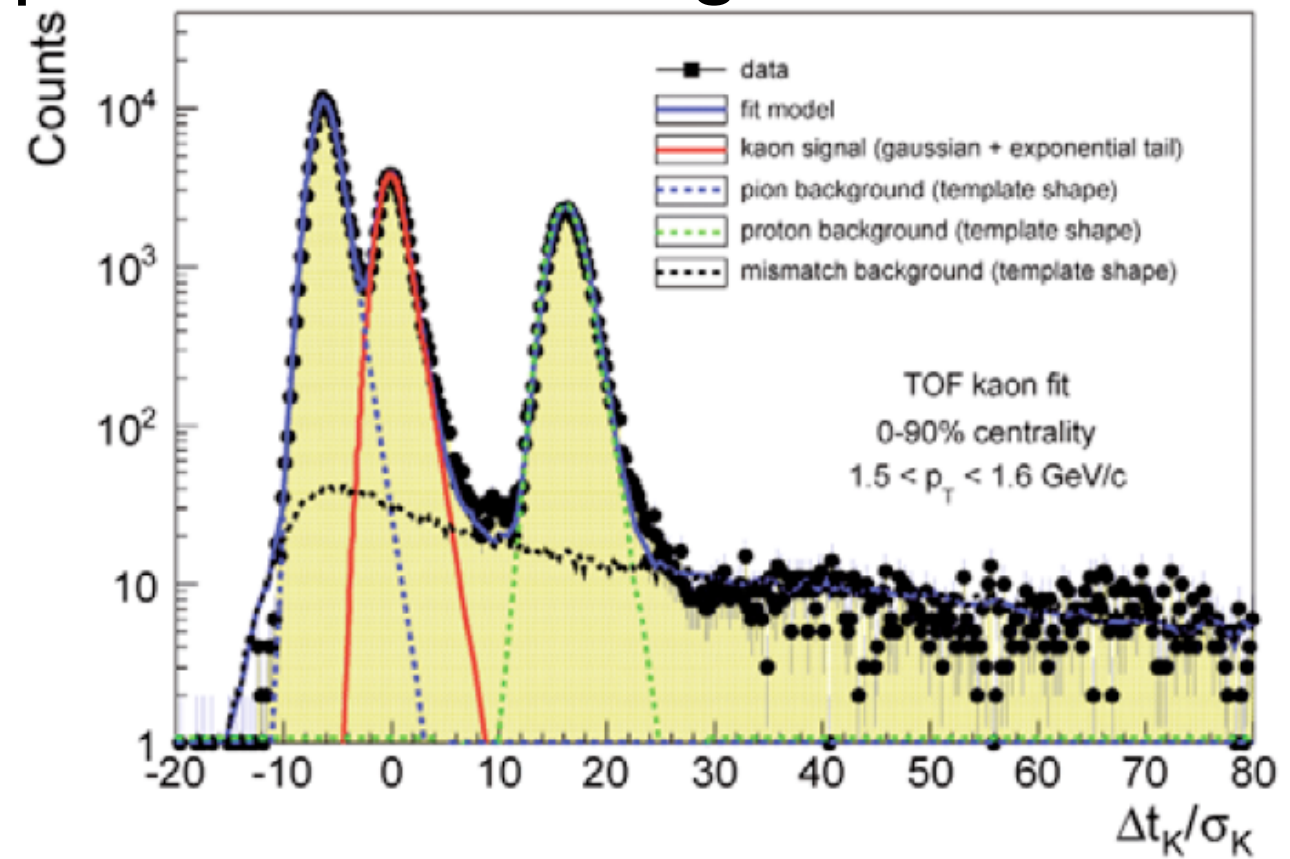
Strong uniform electric field => avalanche starts immediately after primary ionization  
Can reach intrinsic time resolution of  $\sim 50$  ps for multigap RPC  
Rate limitation  $O(\text{kHz}/\text{cm}^2)$

- measure time of flight over 3.7 m distance
- measure momentum
- compare time of flight to predicted time of flight assuming  $M = M(K^+)$

### Measured time resolution in ALICE

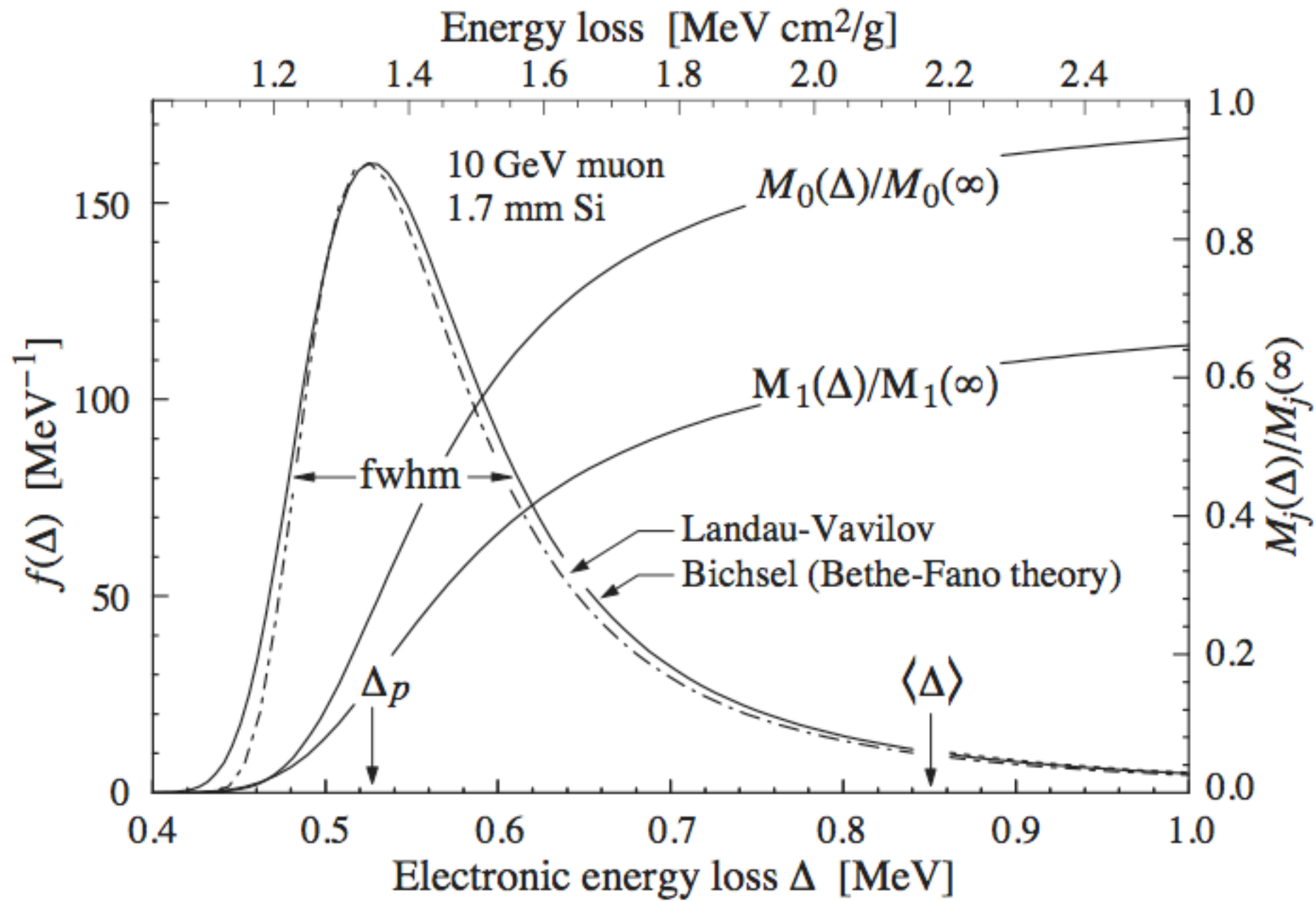


Intrinsic resolution + time jitters (electronics, clock) + channel to channel variation + residual time slewing effects





# Ionization measurement



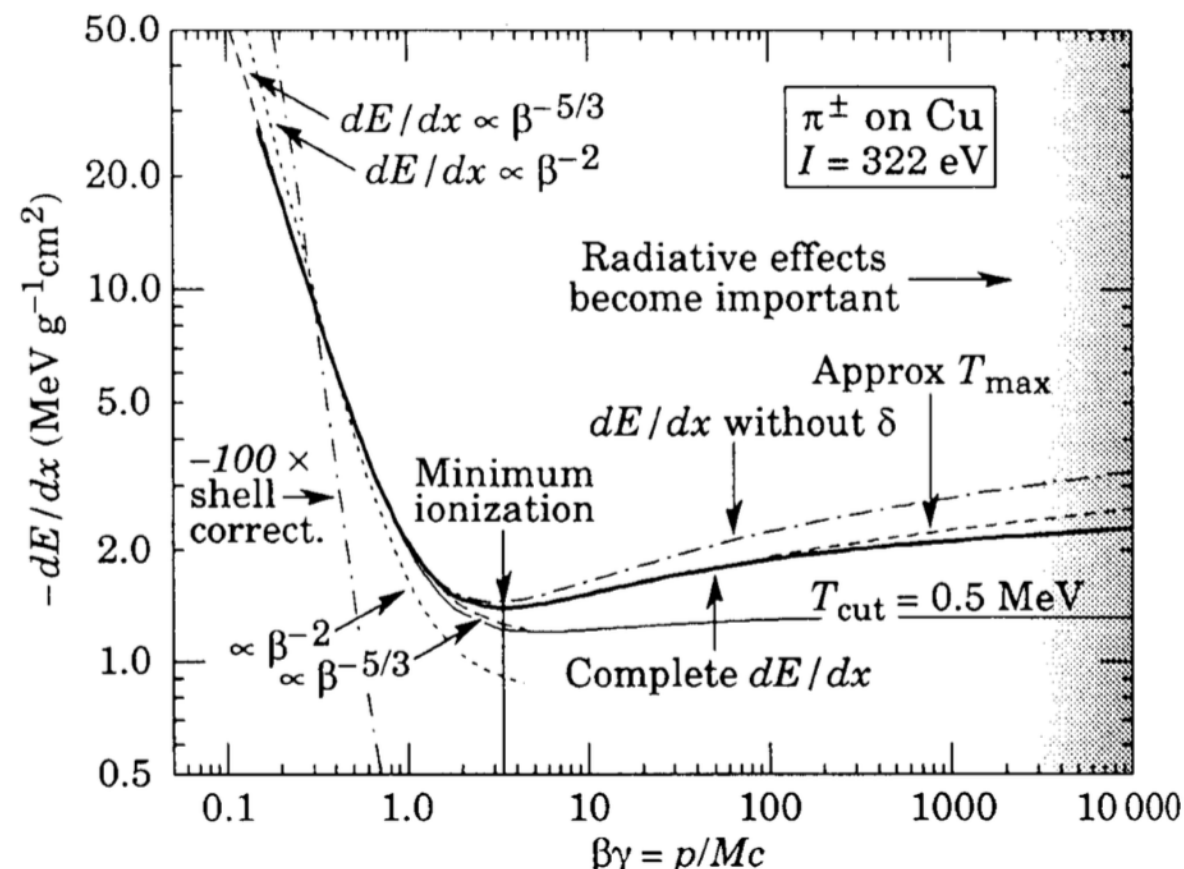
# Formula for restricted energy loss

$$\left\langle \frac{dE}{dx} \right\rangle \propto \frac{Z^2}{\beta^2} \left( \log \frac{\sqrt{2m_e c^2 E_{\text{cut}}} \beta \gamma}{I} - \frac{\beta^2}{2} - \frac{\delta}{2} \right)$$

$I$  = effective excitation energy

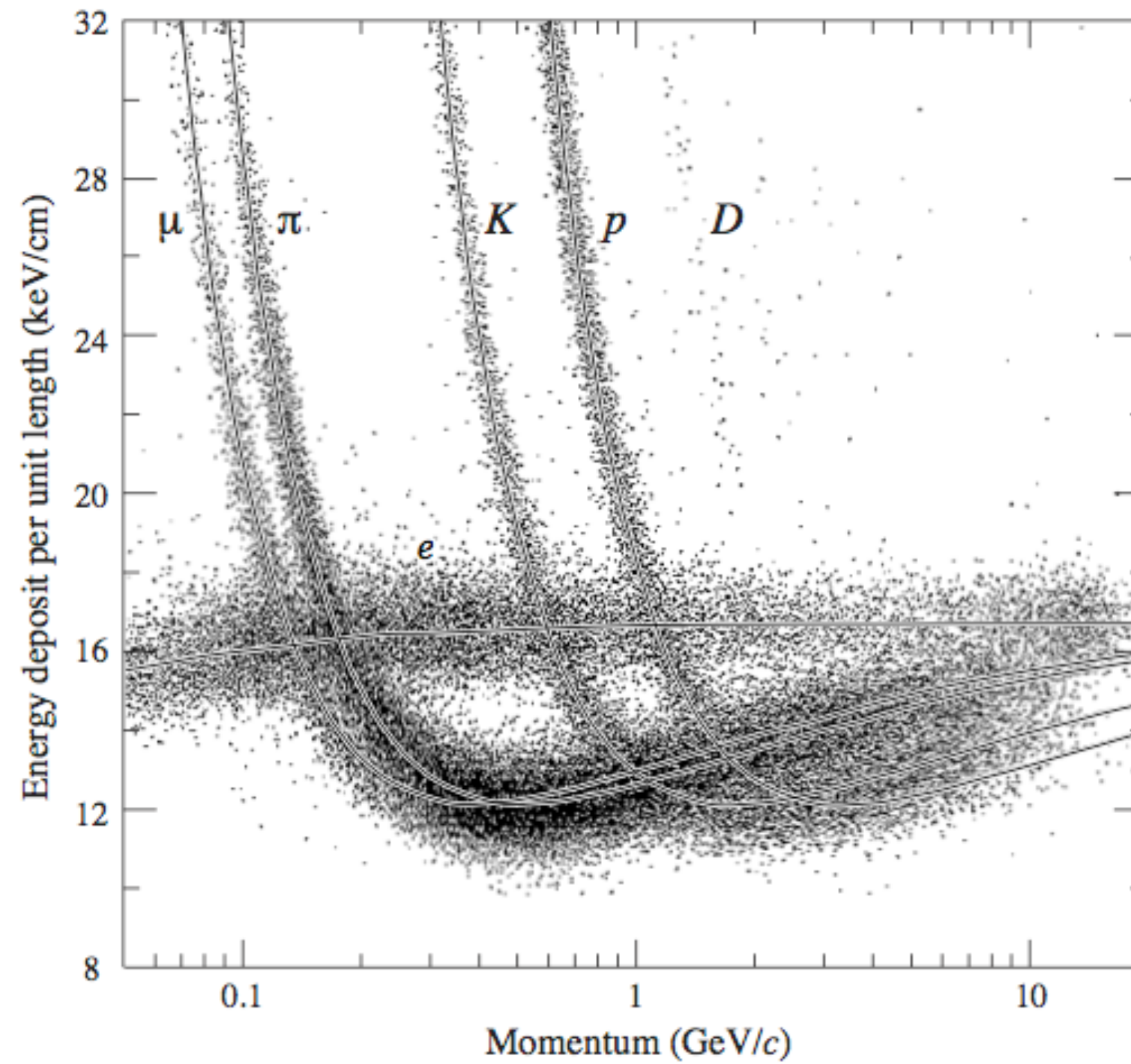
$\delta$  = density correction effect

$E_{\text{cut}}$  = upper limit for energy transfer in single collision





# Ionisation measurement in a TPC



Can use gaseous or solid state counter to measure ionisation

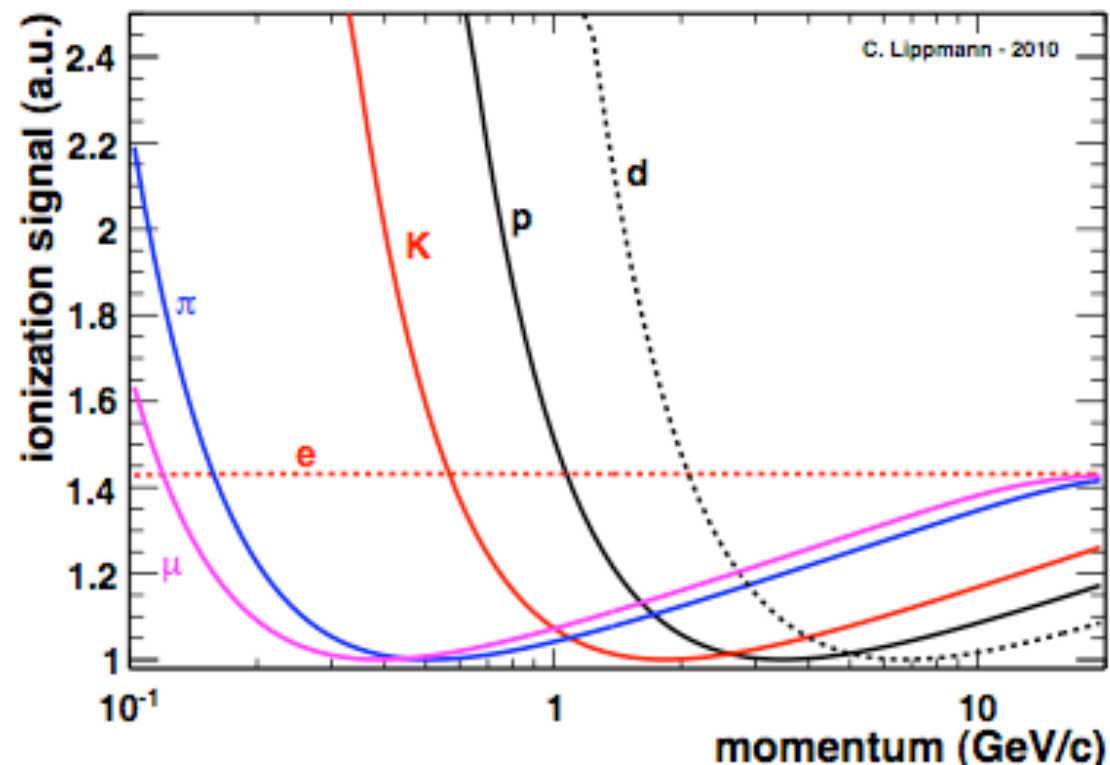
Provide signal pulse height  $\sim N$ (electrons liberated in ionization) and measurement of track length  
 $\Rightarrow$  allows one to compute  $dE/dx$

Average several measurements with a truncated mean to reduce tail impact

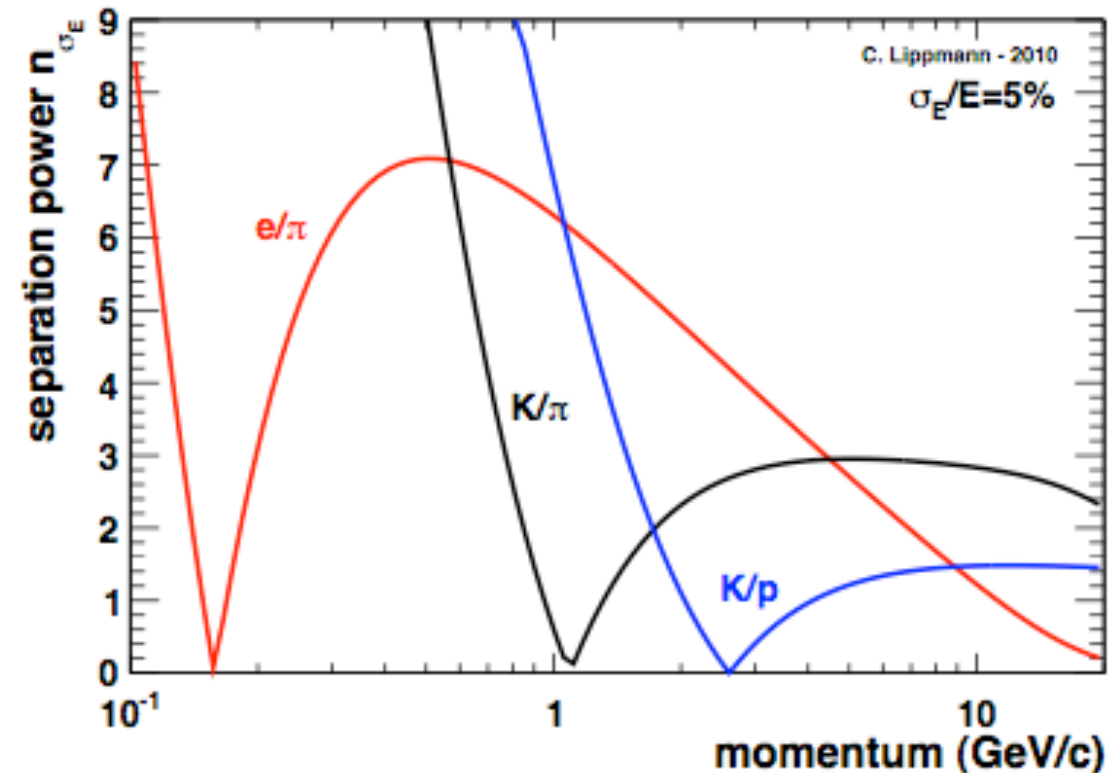
Typical other errors affecting measurement:

- energy calibration of the detector
- detector conditions (for instance gas pressure)
- detector geometry and track orientation (affects track length)
- overlapping tracks in dense environment
- etc..

Typical ionization signals vs p (gaseous detector)  
 (for Si detector, plateau only slightly above  
 minimum => less separation at high energy)



Separation assuming 5% resolution

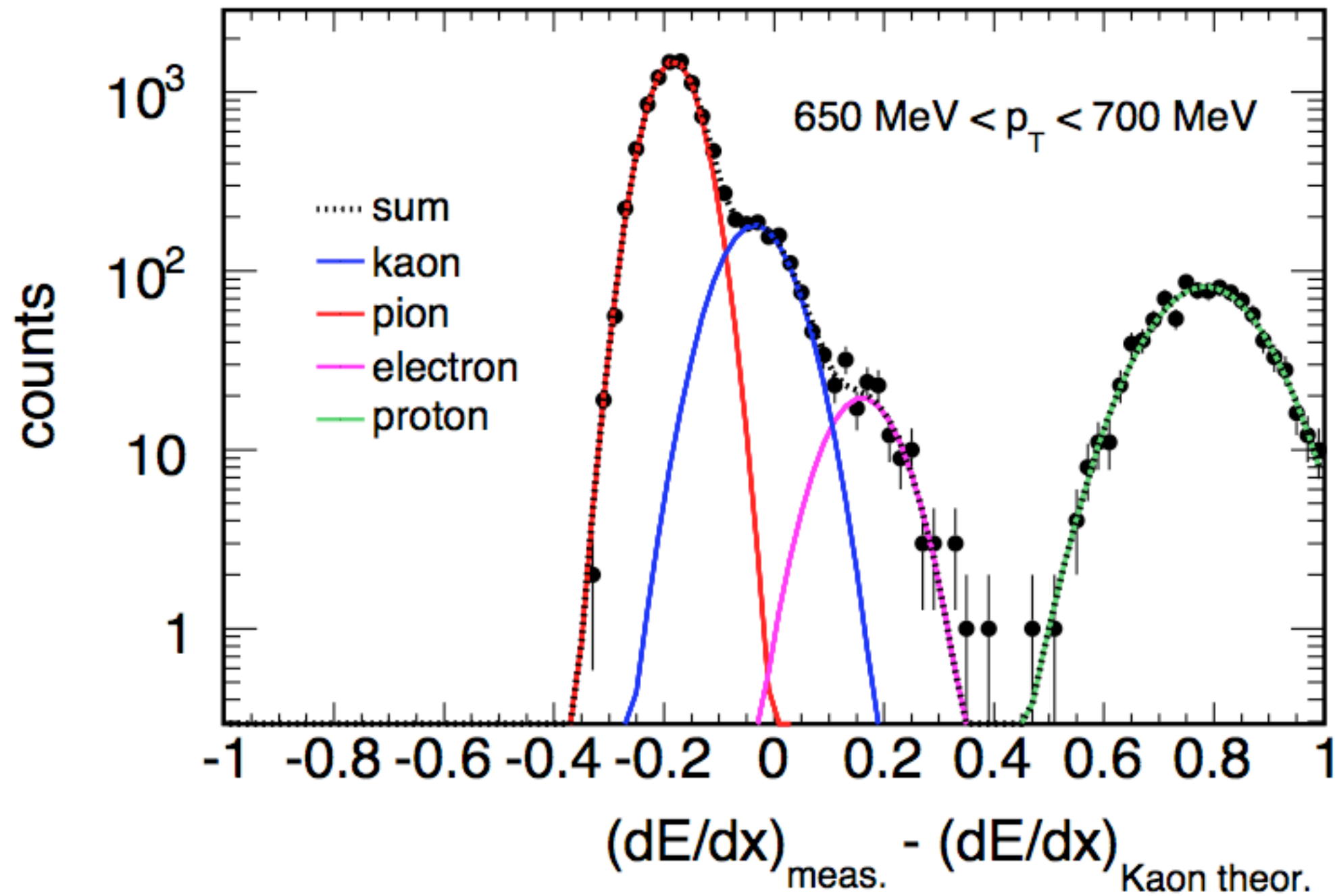


Empirical scaling formula for resolution in gaseous detector:

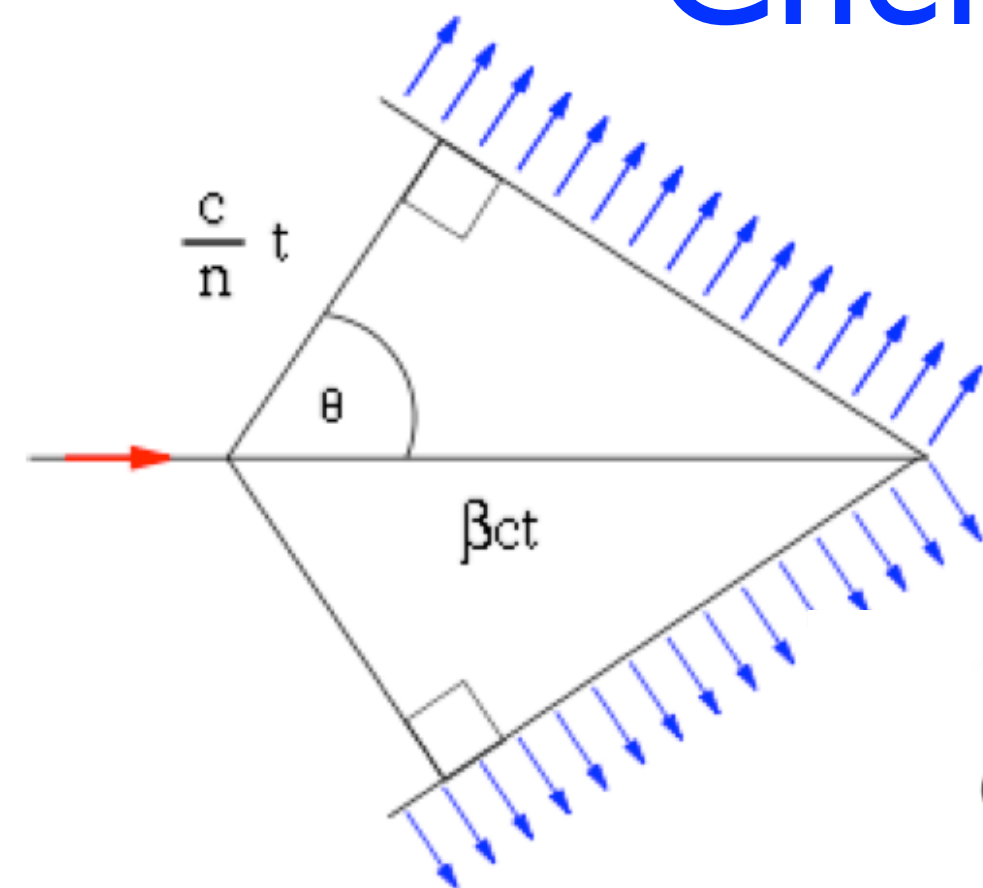
$$\sigma_E = 0.41 N_R^{-0.43} (xP)^{-0.32} .$$

Nr = number of measurements  
 x = thickness of sampling layers  
 (x.Nr = total detector thickness)  
 P = pressure

# ALICE TPC detector reaches $\sim 5\%$ dEdx resolution



# Cherenkov radiation



$$\cos \theta_c = \frac{1}{\beta n} \quad (n = n(E_{\text{photon}}))$$

$$\text{Threshold velocity} \quad \beta_t = \frac{1}{n}$$

$$\frac{d^2 N_\gamma}{dE dx} = \frac{\alpha z^2}{\hbar c} \sin^2 \theta_c \quad \text{or} \quad \frac{d^2 N}{d\lambda dx} \propto \frac{1}{\lambda^2}$$

$$\approx 370 \sin^2 \theta_c(E) \text{ eV}^{-1} \text{ cm}^{-1} \quad \text{for } z=1$$

# different type of Cherenkov detectors

- threshold Cherenkov detectors: yes/no decision depending if particle is above/below threshold  $\beta = 1/n$ 
  - main issue is optimising photon detection and minimising noise
- Imaging Cherenkov detectors

$$\frac{\sigma_\beta}{\beta} = \tan \theta_c \sigma(\theta_c)$$

$$\text{with } \sigma(\theta_c) = \frac{\langle \sigma(\theta_i) \rangle}{\sqrt{N_{p.e}}} \oplus C$$

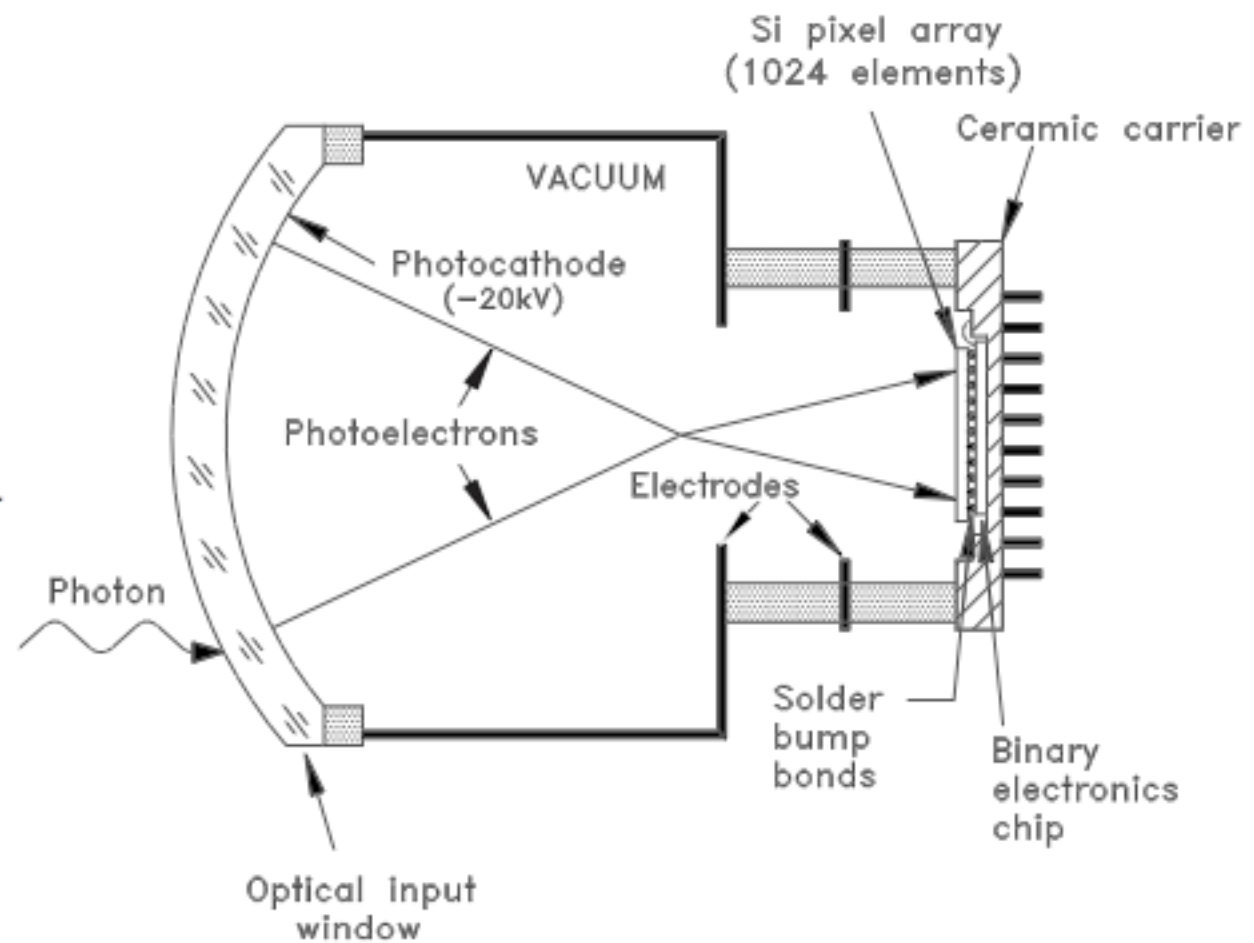
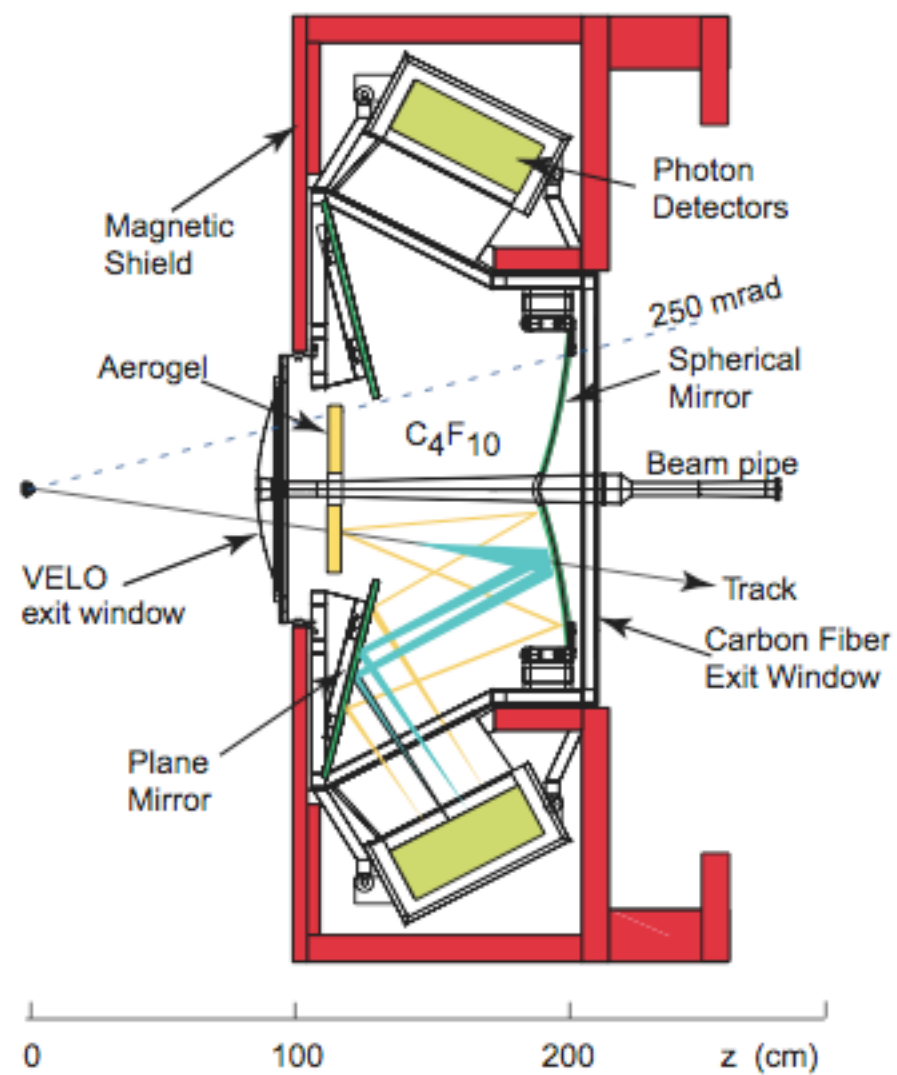
$$\left\{ \begin{array}{l} \langle \sigma(\theta_i) \rangle = \text{average single photoelectron resolution} \\ \quad (\text{optics, detector geometry, ...}) \\ N_{p.e} = \text{number of photoelectron detected} \\ C = \text{alignment, multiple scattering, ambiguities} \\ \quad \text{background, etc...} \end{array} \right.$$

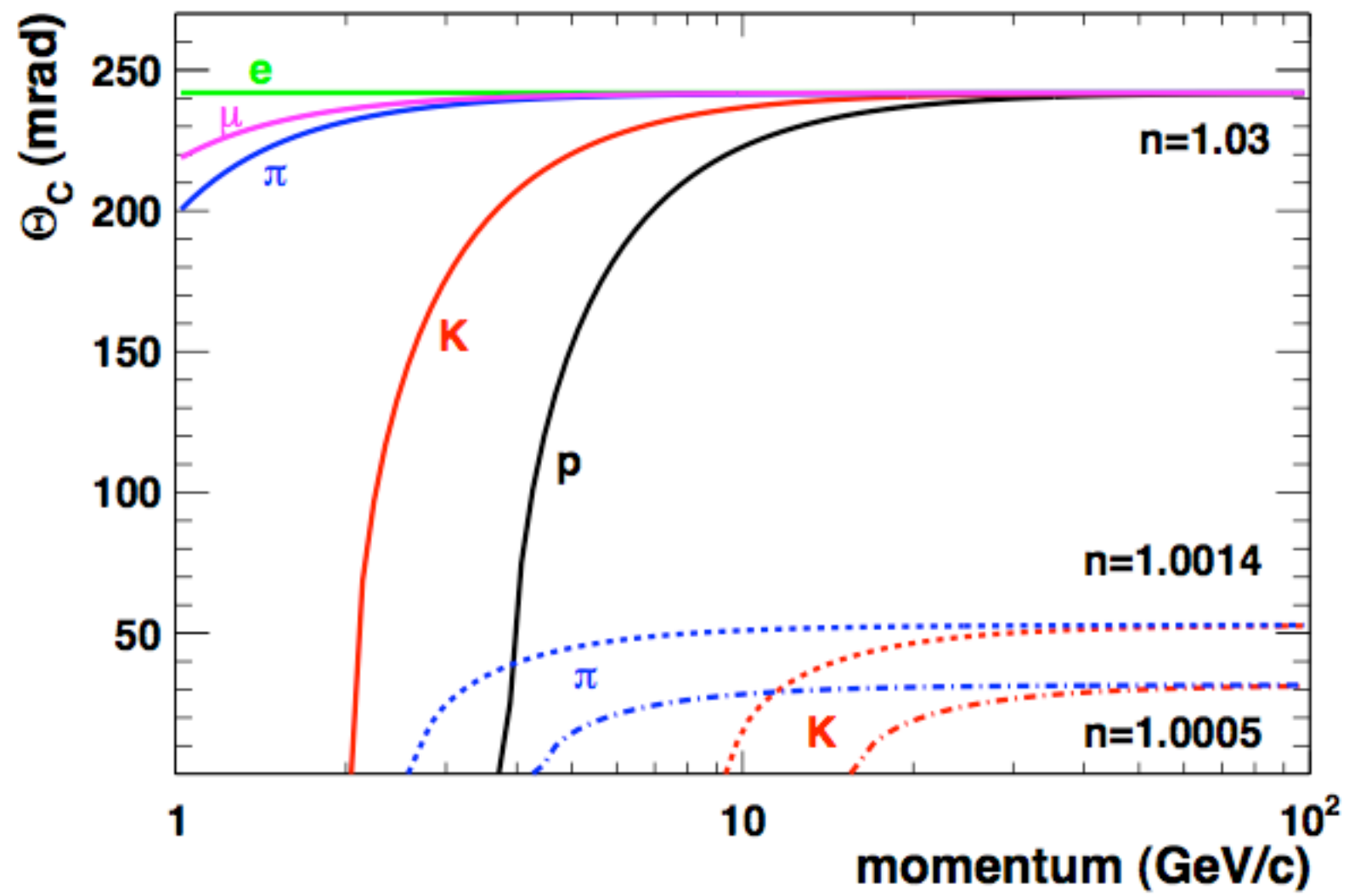
$$N_{\text{sigma}} \approx \frac{|m_1^2 - m_2^2|}{2 p^2 \sigma(\theta_c) \sqrt{n^2 - 1}}$$



# Cherenkov imaging detector

## LHCb example





in real life:

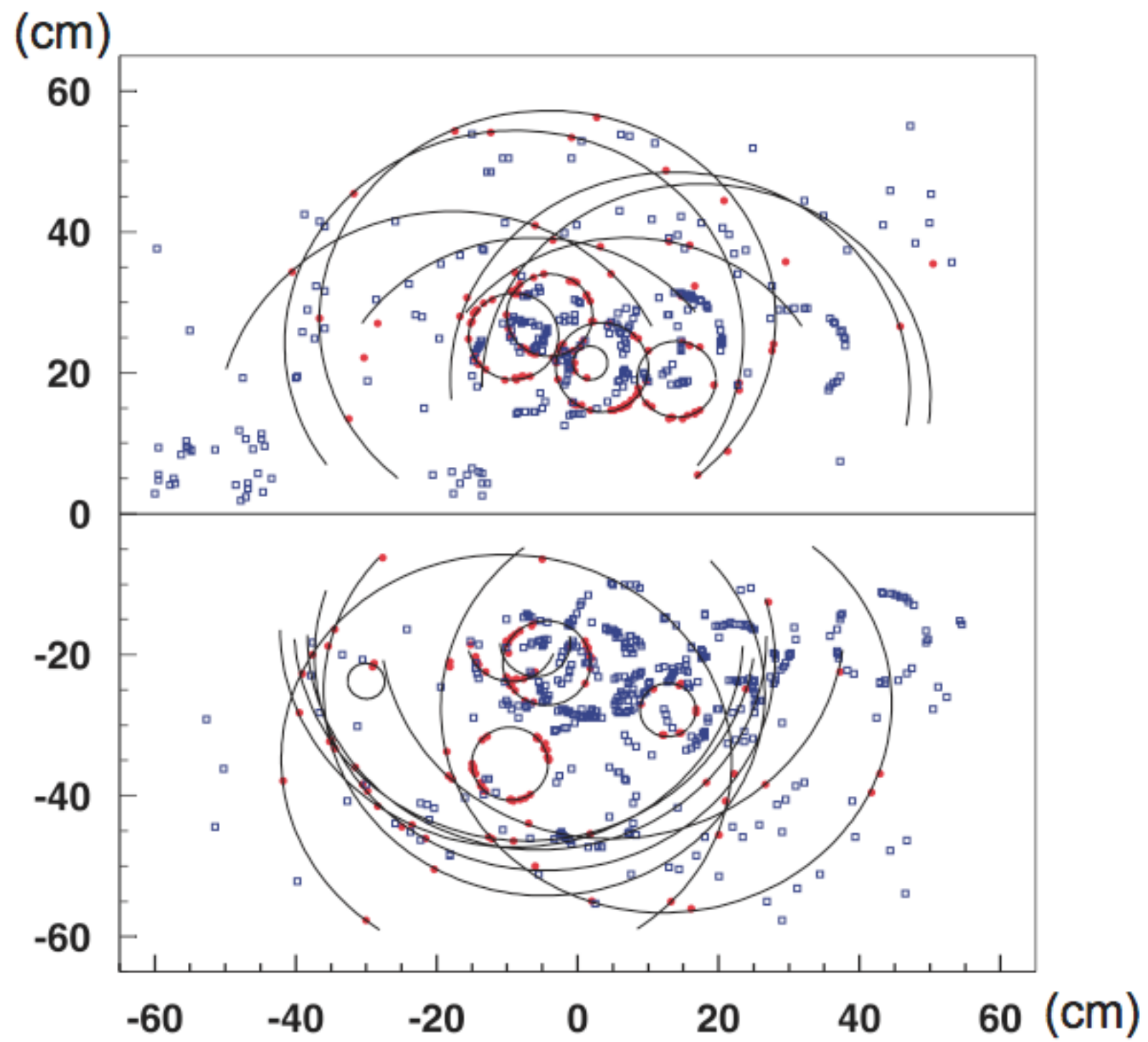
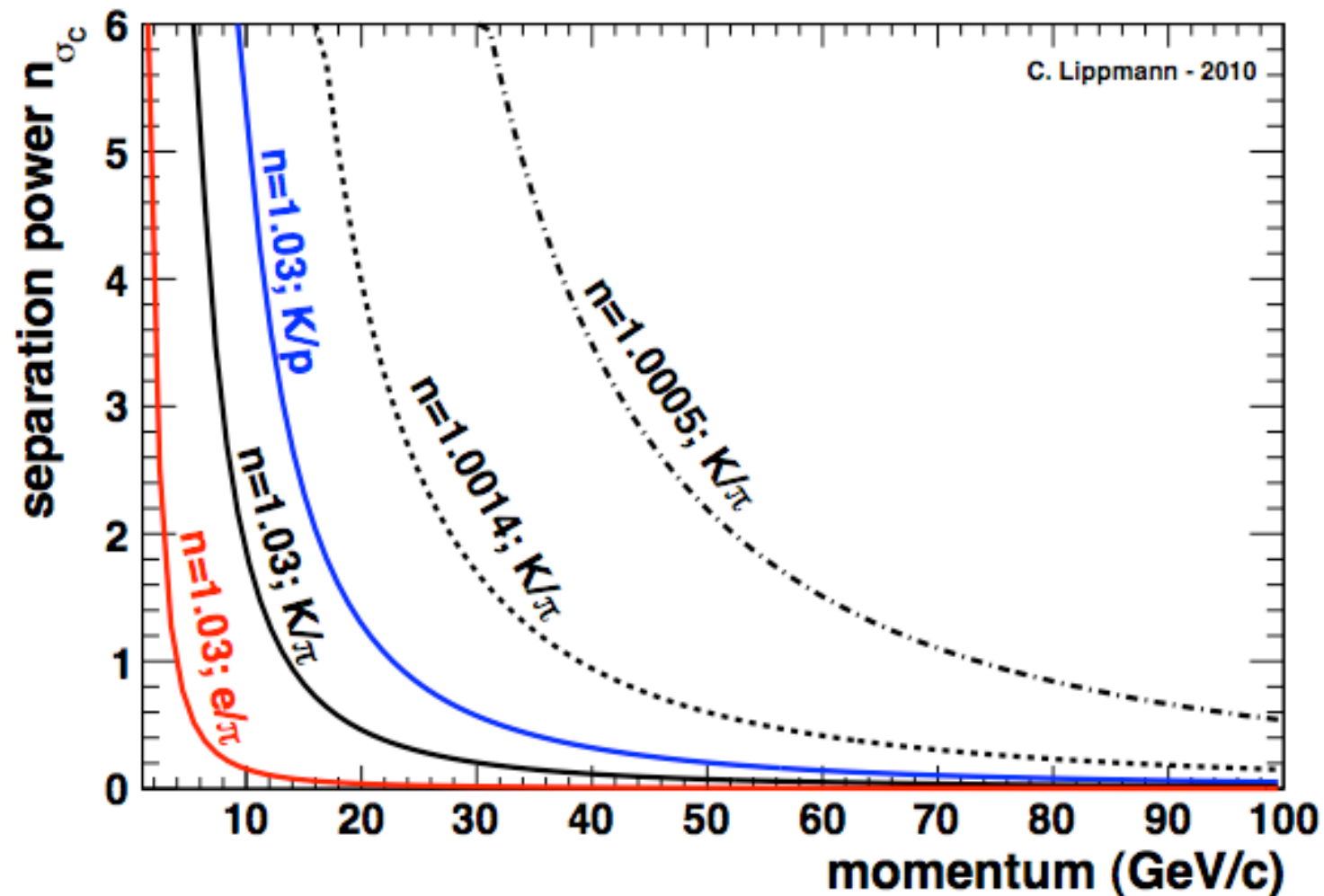
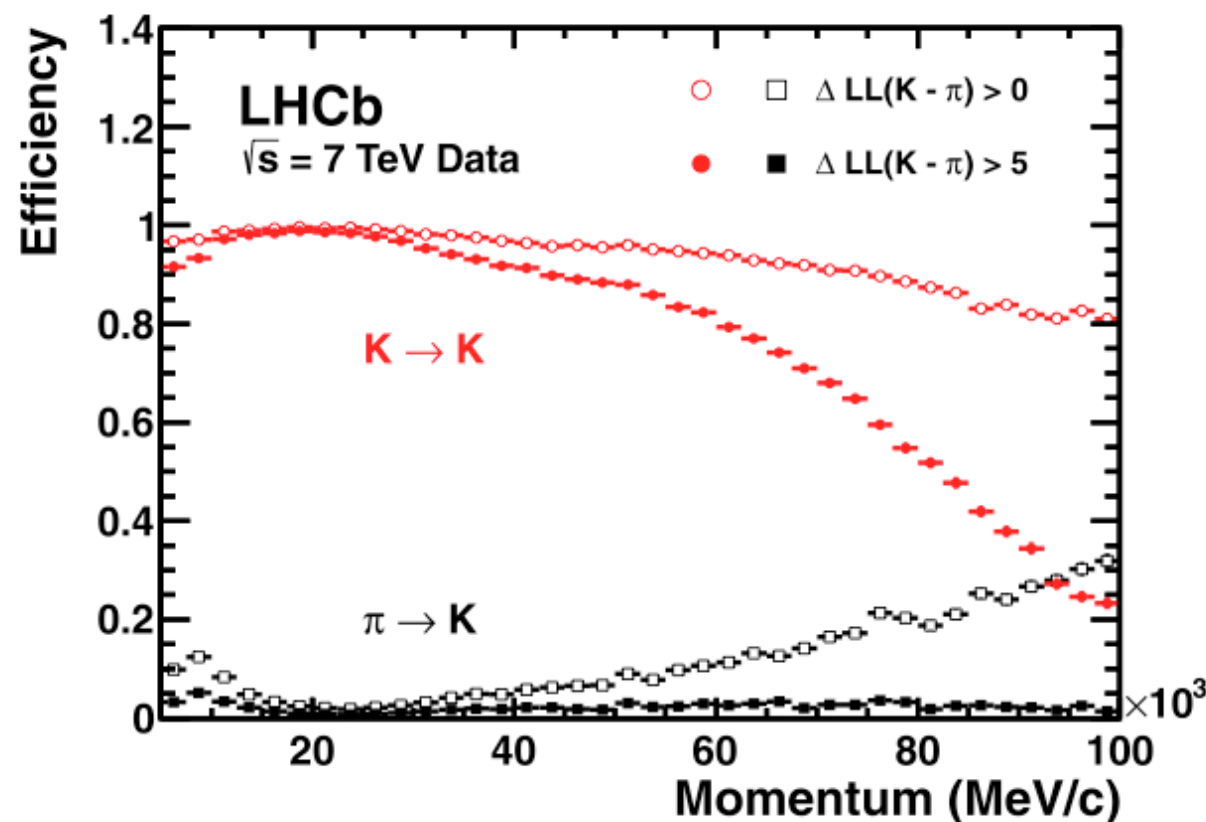


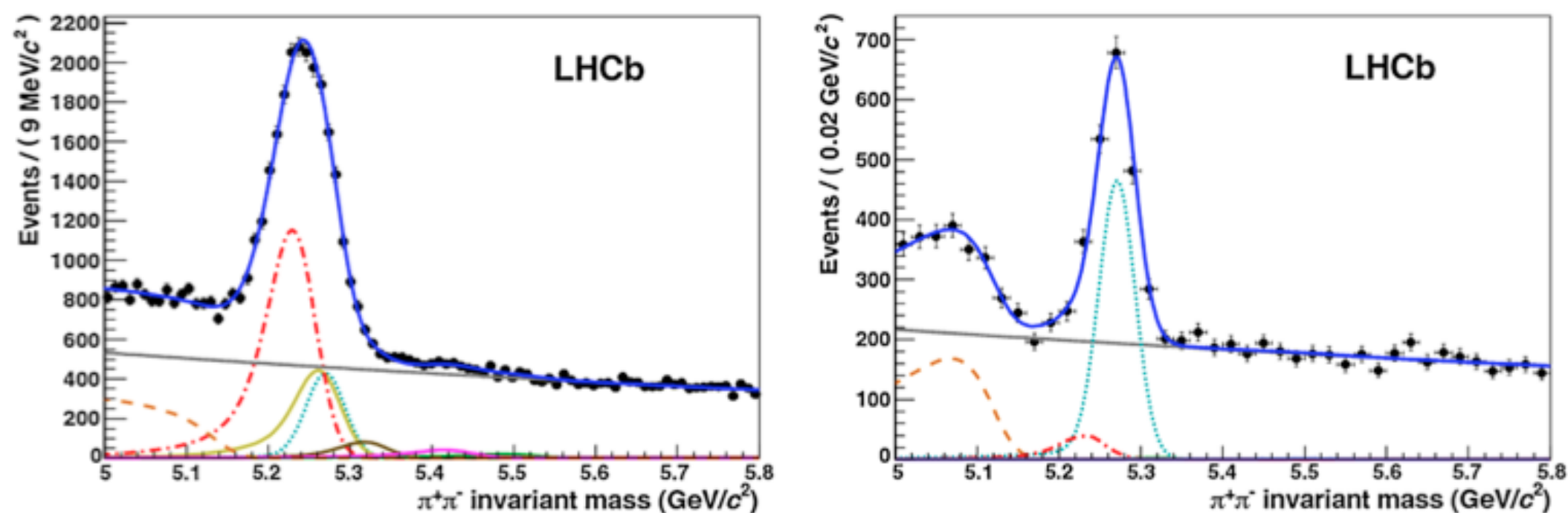
Table 3: Some parameters of the LHCb RICH detectors. The measured single photoelectron angular resolutions [87] are for the preliminary alignment available from the first data sample with p-p collisions at  $\sqrt{s} = 7$  TeV.

		RICH1		RICH2
		Silica aerogel	C <sub>4</sub> F <sub>10</sub>	CF <sub>4</sub>
Momentum range [GeV/c]		$\leq 10$	$10 \lesssim p \lesssim 60$	$16 \lesssim p \lesssim 100$
Angular acceptance [mrad]	vertical	$\pm 25$ to $\pm 250$		$\pm 15$ to $\pm 100$
	horizontal	$\pm 25$ to $\pm 300$		$\pm 15$ to $\pm 120$
Radiator length [cm]		5	95	180
Refractive index $n$		1.03 (1.037)	1.0014	1.0005
Maximum Cherenkov angle [mrad]		242 (268)	53	32
Expected photon yield at $\beta \approx 1$		6.7	30.3	21.9
$\sigma_{\Theta_i}$ [mrad]	expected	2.6	1.57	0.67
	measured	$\sim 7.5$	2.18	0.91





pi/kaon separation using RICH  
in LHCb

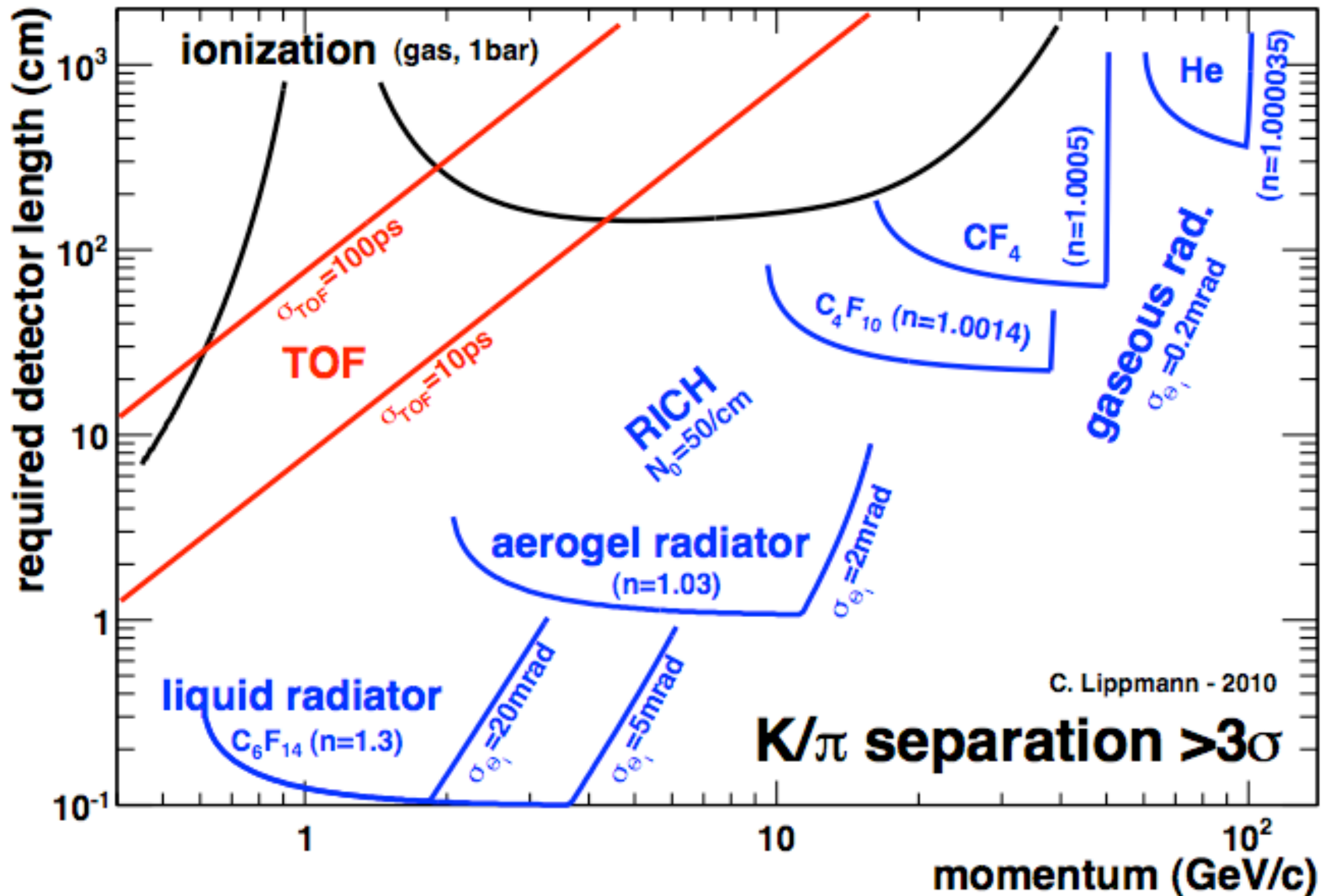


**Fig. 2** Invariant mass distribution for  $B \rightarrow h^+h^-$  decays [6] in the LHCb data before the use of the RICH information (*left*), and after applying RICH particle identification (*right*). The signal under study is the decay  $B^0 \rightarrow \pi^+\pi^-$ , represented by the turquoise *dotted* line. The contributions from different  $b$ -hadron decay modes ( $B^0 \rightarrow K\pi$  *red dashed-dotted* line,  $B^0 \rightarrow 3$ -body *orange dashed-dashed* line,

$B_s \rightarrow KK$  *yellow* line,  $B_s \rightarrow K\pi$  *brown* line,  $\Lambda_b \rightarrow pK$  *purple* line,  $\Lambda_b \rightarrow p\pi$  *green* line), are eliminated by positive identification of pions, kaons and protons and only the signal and two background contributions remain visible in the plot on the right. The *grey solid* line is the combinatorial background (Color figure online)



# Comparison of different techniques





# Transition radiation

When charge  $ze$  crosses boundary vacuum/medium

$$I = \frac{1}{3} \alpha z^2 \gamma \hbar \omega_p$$

$$\hbar \omega_p = \sqrt{4\pi N e r_e^3 \frac{m_e c^2}{\alpha}} = \sqrt{S / (\text{g/cm}^3) \left\langle \frac{Z}{A} \right\rangle} \times 28.81 \text{ eV}$$

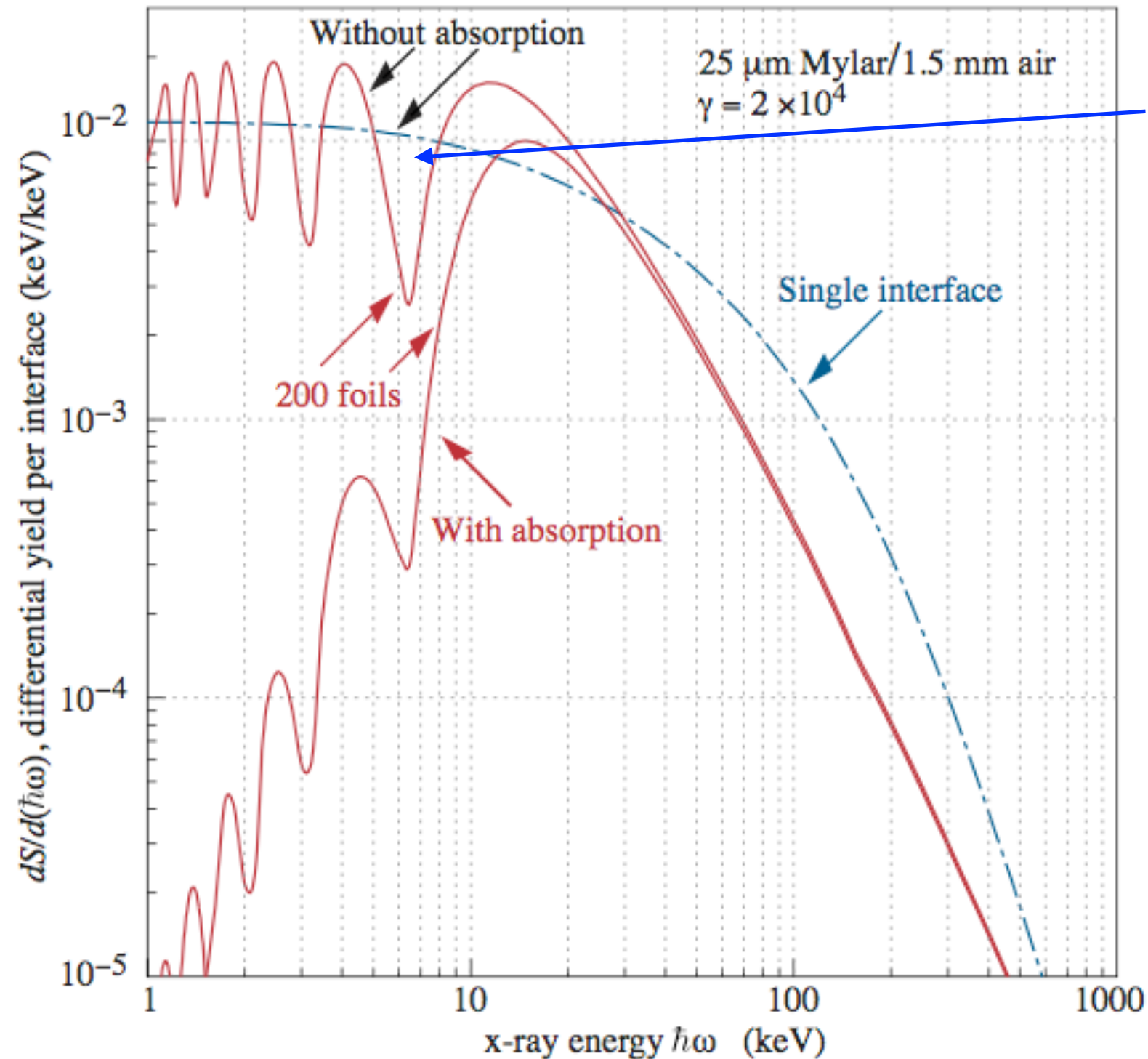
Typical values  $\hbar \omega_p \sim 20 \text{ eV}$  (0.7 for air)

Half energy between 0.1 and 1,  $\gamma \hbar \omega_p$

Typically  $\sim 0.005 \gamma$  with  $\hbar \omega > 0.1 \gamma \hbar \omega_p$

Formation length  $\sim$  tens of  $\mu\text{m}$

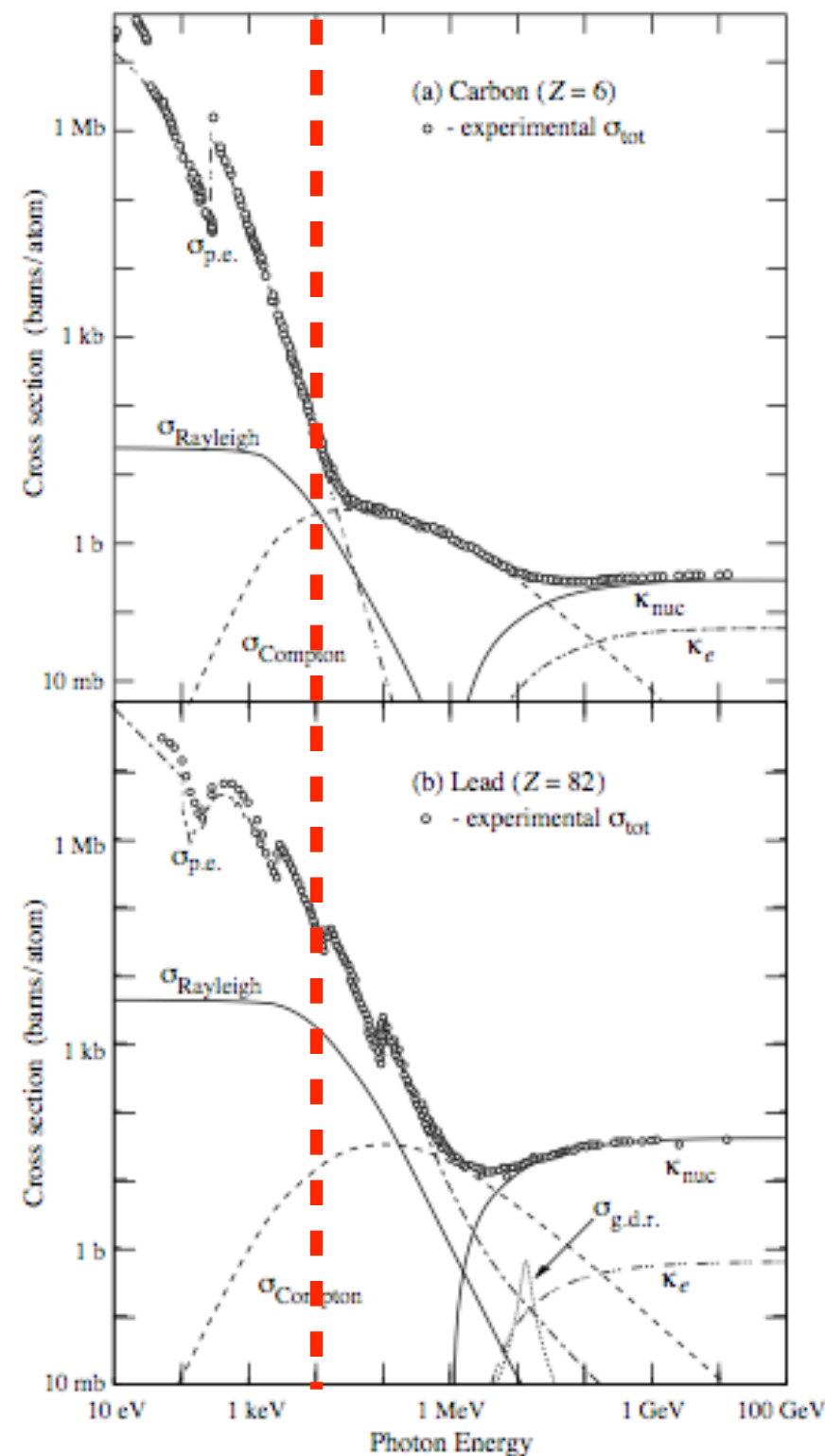
# Needs many interfaces to increase photon yield



What is this pattern ?  
=>Interference

X-rays detected for instance by photo-electric effect in high  
Z material like Xenon gas  
=> Detector consists of radiator + photon detector

# Photon interaction in matter

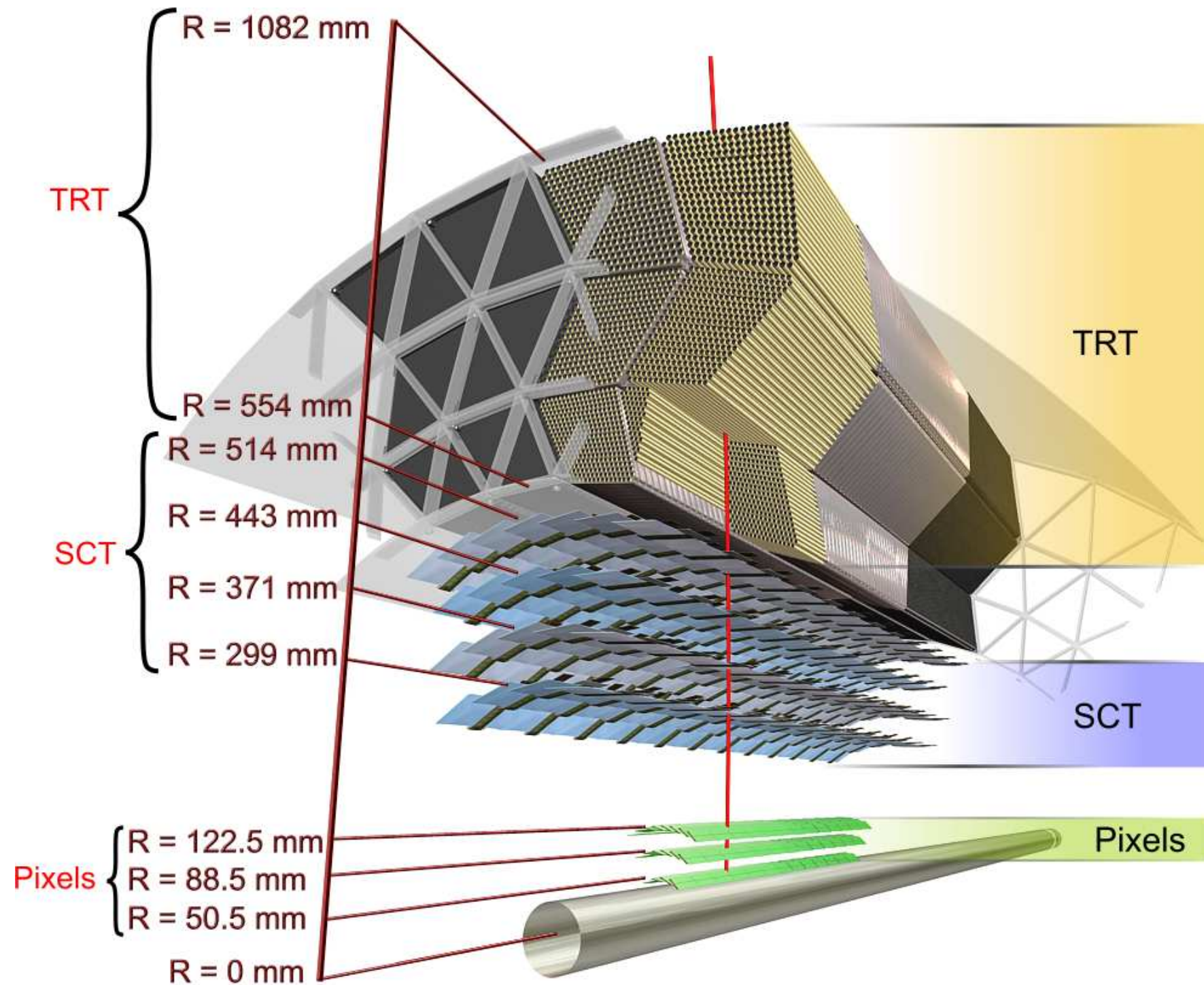


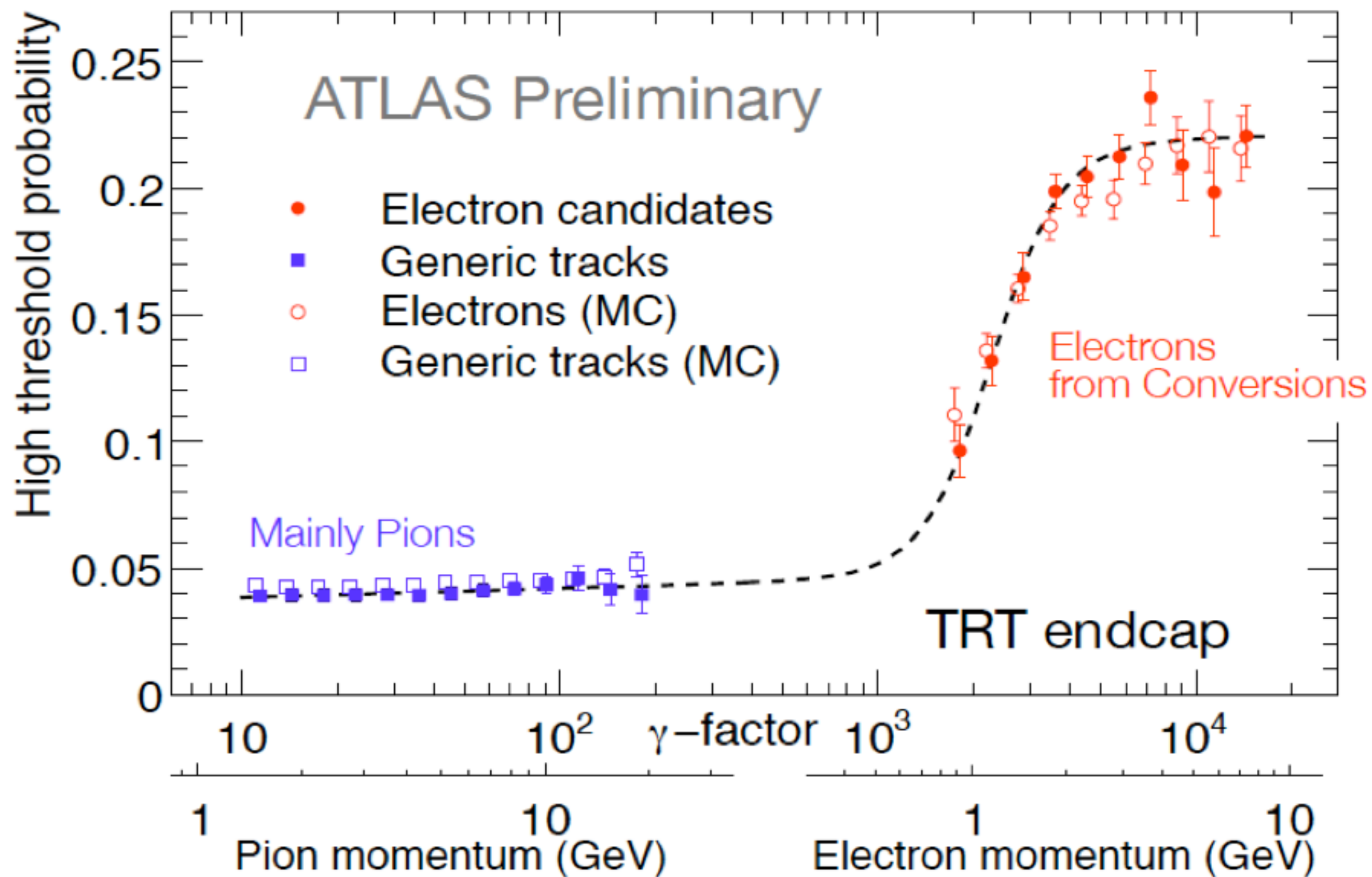
**Figure 31.15:** Photon total cross sections as a function of energy in carbon and lead, showing the contributions of different processes [51]:

- $\sigma_{\text{p.e.}}$  = Atomic photoelectric effect (electron ejection, photon absorption)
- $\sigma_{\text{Rayleigh}}$  = Rayleigh (coherent) scattering—atom neither ionized nor excited
- $\sigma_{\text{Compton}}$  = Incoherent scattering (Compton scattering off an electron)
- $\kappa_{\text{nuc}}$  = Pair production, nuclear field
- $\kappa_e$  = Pair production, electron field
- $\sigma_{\text{g.d.r.}}$  = Photonuclear interactions, most notably the Giant Dipole Resonance [52].  
In these interactions, the target nucleus is broken up.



Radiator = polypropylene foils  
Detector = Straws with wire in the middle containing Xe  
(to absorb X-rays)  
Edeposited  $\sim 2$  keV from ionization,  $\sim 8$ -10 KeV from TR photons

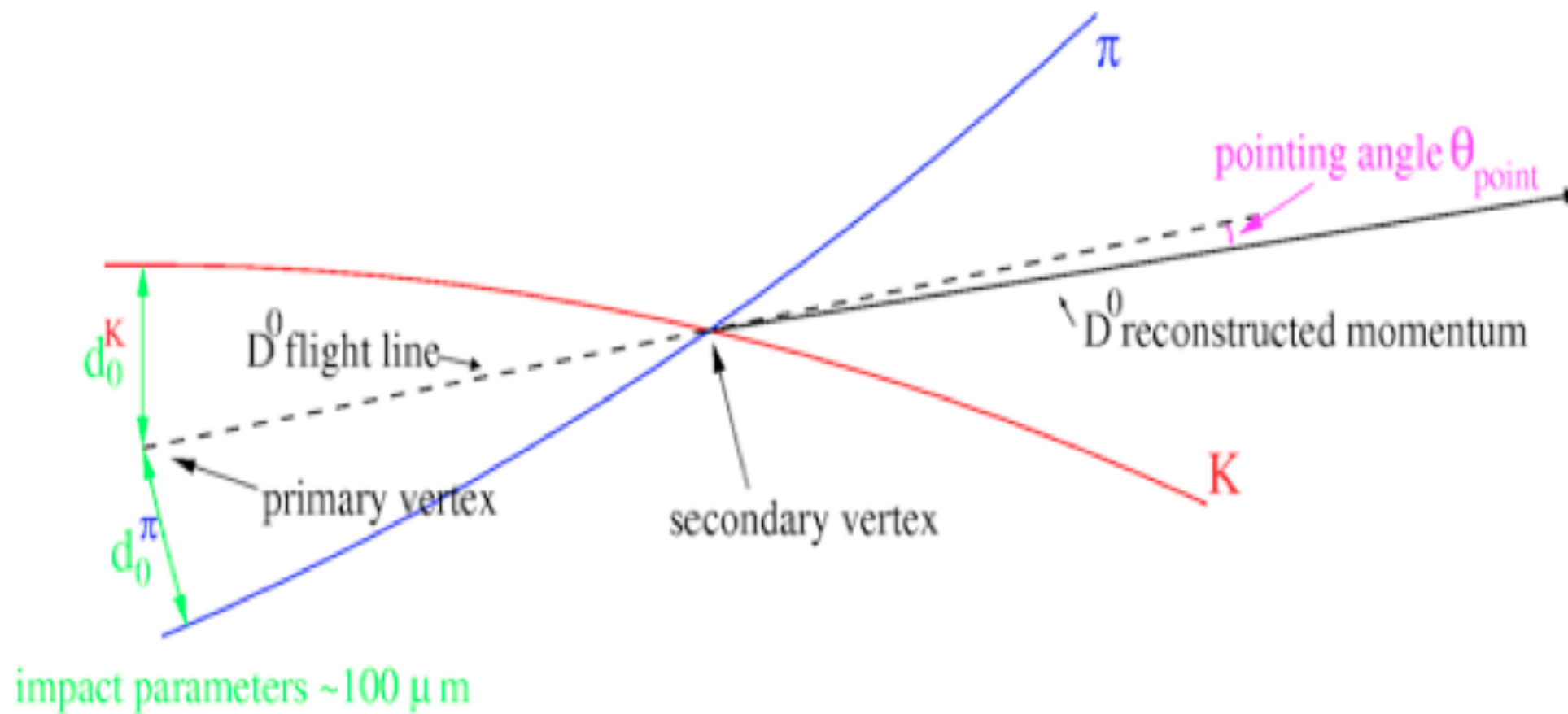




# Reconstruction of particle decay

- Useful for short lived particles
  - very short lived  $\Rightarrow$  use invariant mass of daughter particles
    - Examples are  $K_S \rightarrow \pi^+ \pi^-$ ,  $J/\psi \rightarrow \mu^+ \mu^-$ ,  $W, Z$  decays, etc..
  - not so short lived  $\Rightarrow$  can measure distance between production and decay positions:
    - tau lepton
    - B-hadron





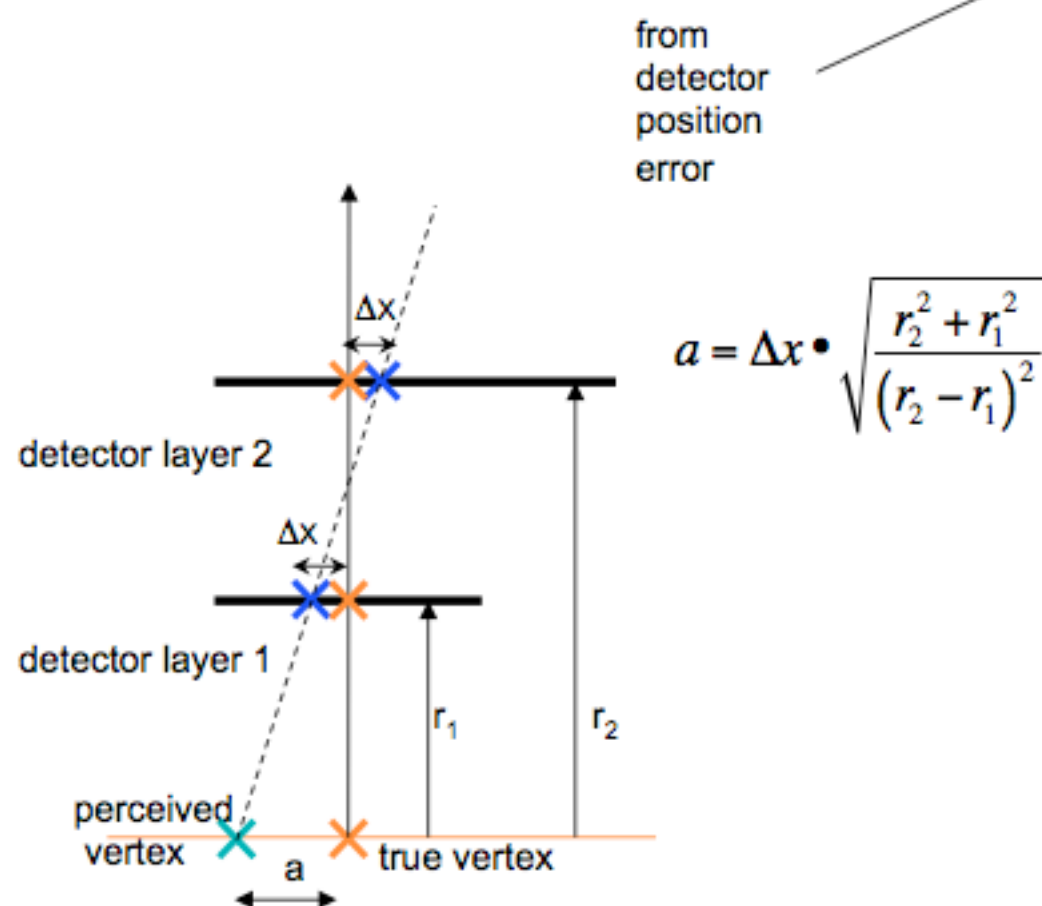
lifetimes:  $D^0: 4 \cdot 10^{-13}\text{s}$ ,  $B^0_d: 1.5 \cdot 10^{-12}\text{s}$ ,  $\tau: 2.9 \cdot 10^{-13}\text{s}$

Decay length  $\beta \cdot \gamma \cdot c \cdot \tau \Rightarrow \beta \cdot \gamma \cdot 450 \text{ microns}$  for  $B^0_d$

Impact parameter  $\sim (c \cdot \tau)$

## Vertex projection from two points: a simplified approach (telescope equation)

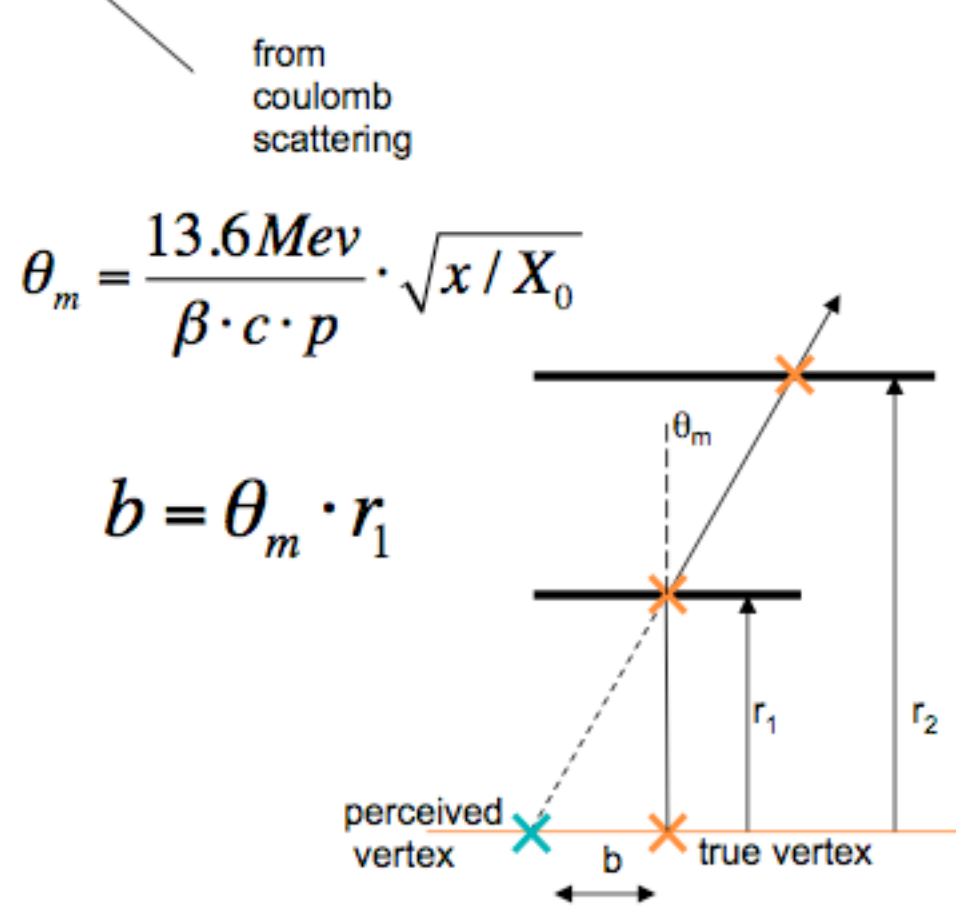
$$\text{pointing resolution} = (a \oplus b) \mu\text{m}$$



Detector Granularity, minimize  $\Delta x$ :

e.g. 50 $\mu\text{m}$  pixel and  $r_2$  very large compared to  $r_1$

$$\rightarrow a = \Delta x = 50 / \sqrt{12} = 15 \mu\text{m}$$

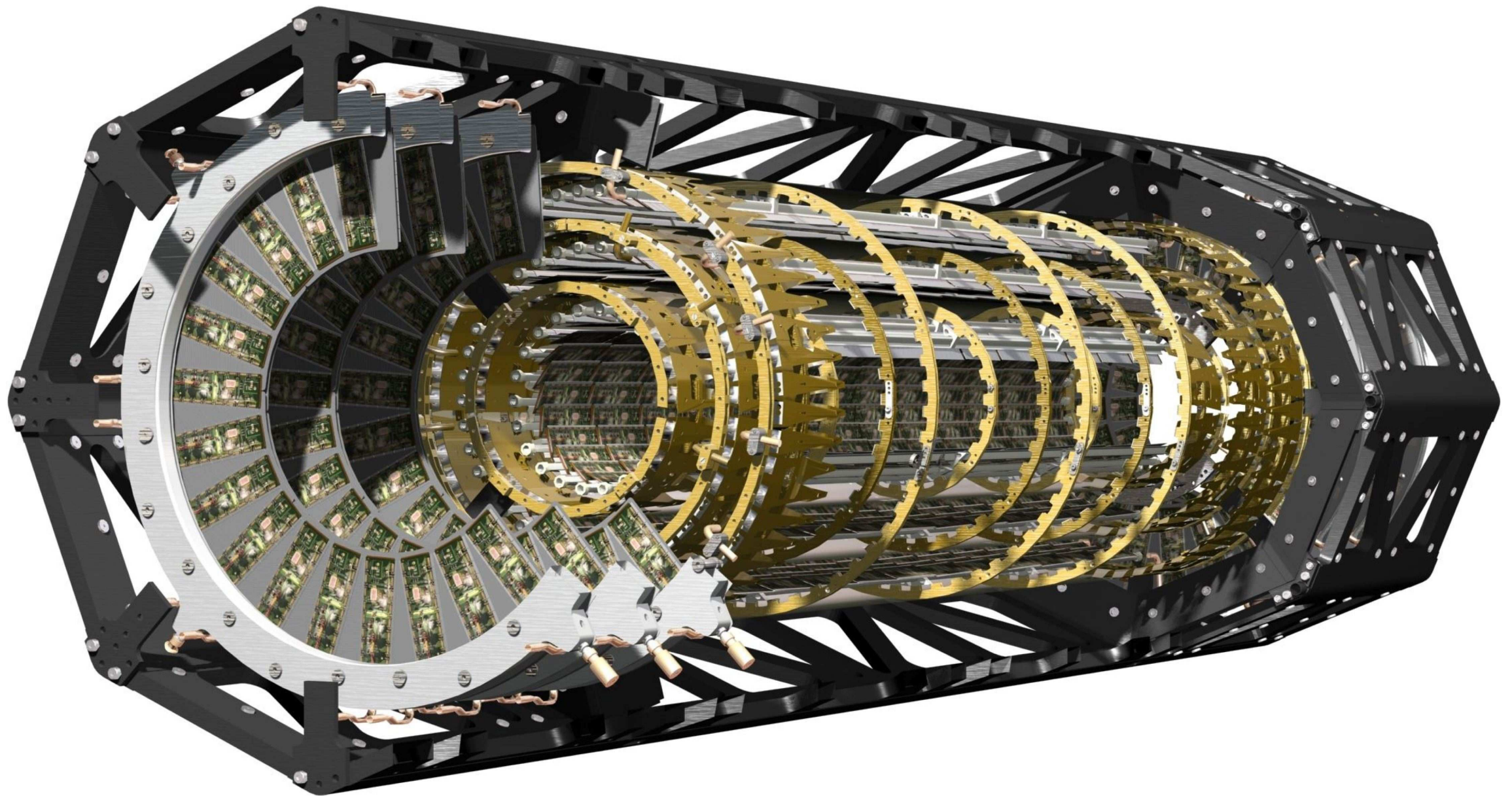


First layer as close as possible to the vertex and First layer with minimal amount of material.

e.g.  $x/X_0 = 0.0114$ ,  $r_1 = 39 \text{ mm}$

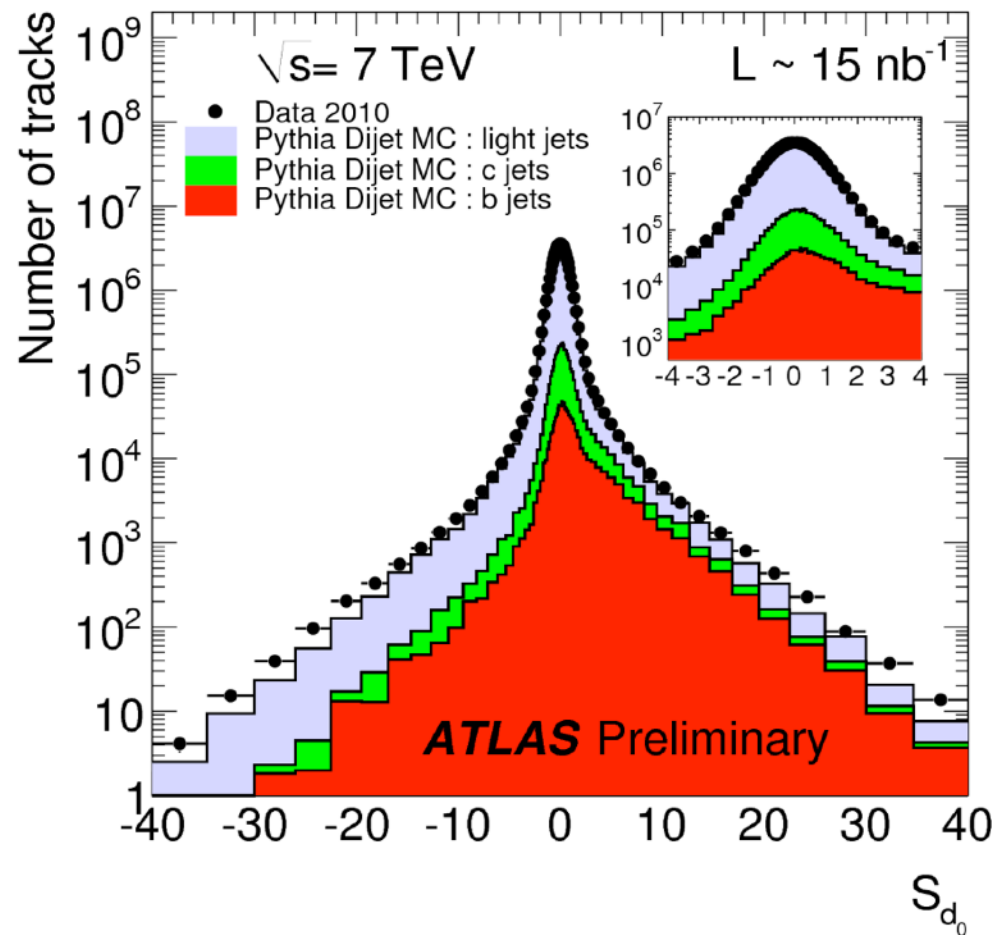
$$\rightarrow b = 57 \mu\text{m} \text{ for } p = 1 \text{ GeV}/c$$

# Example of ATLAS pixel silicon detector



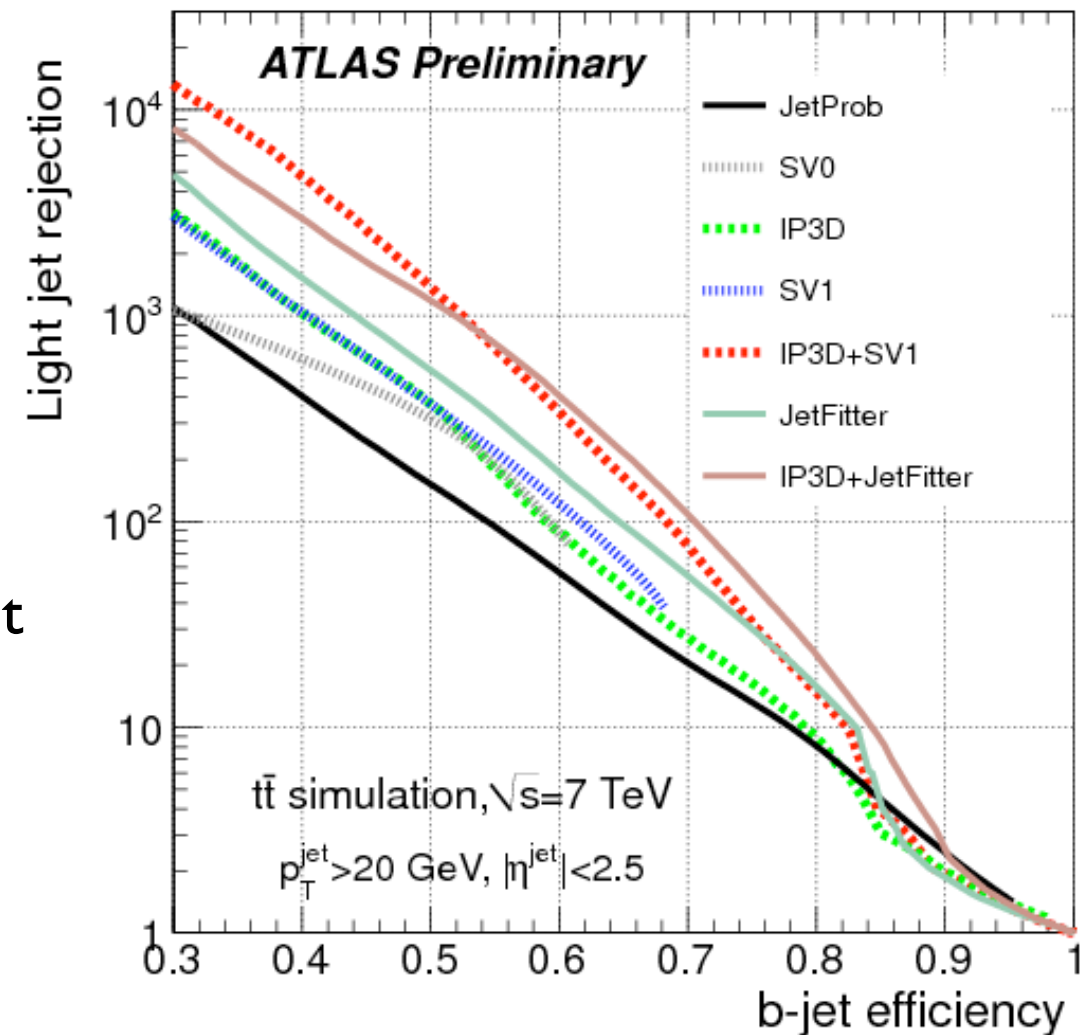


# b-tagging performances



Track impact parameter/error

Algorithms combining impact parameter information + secondary vertex reconstruction

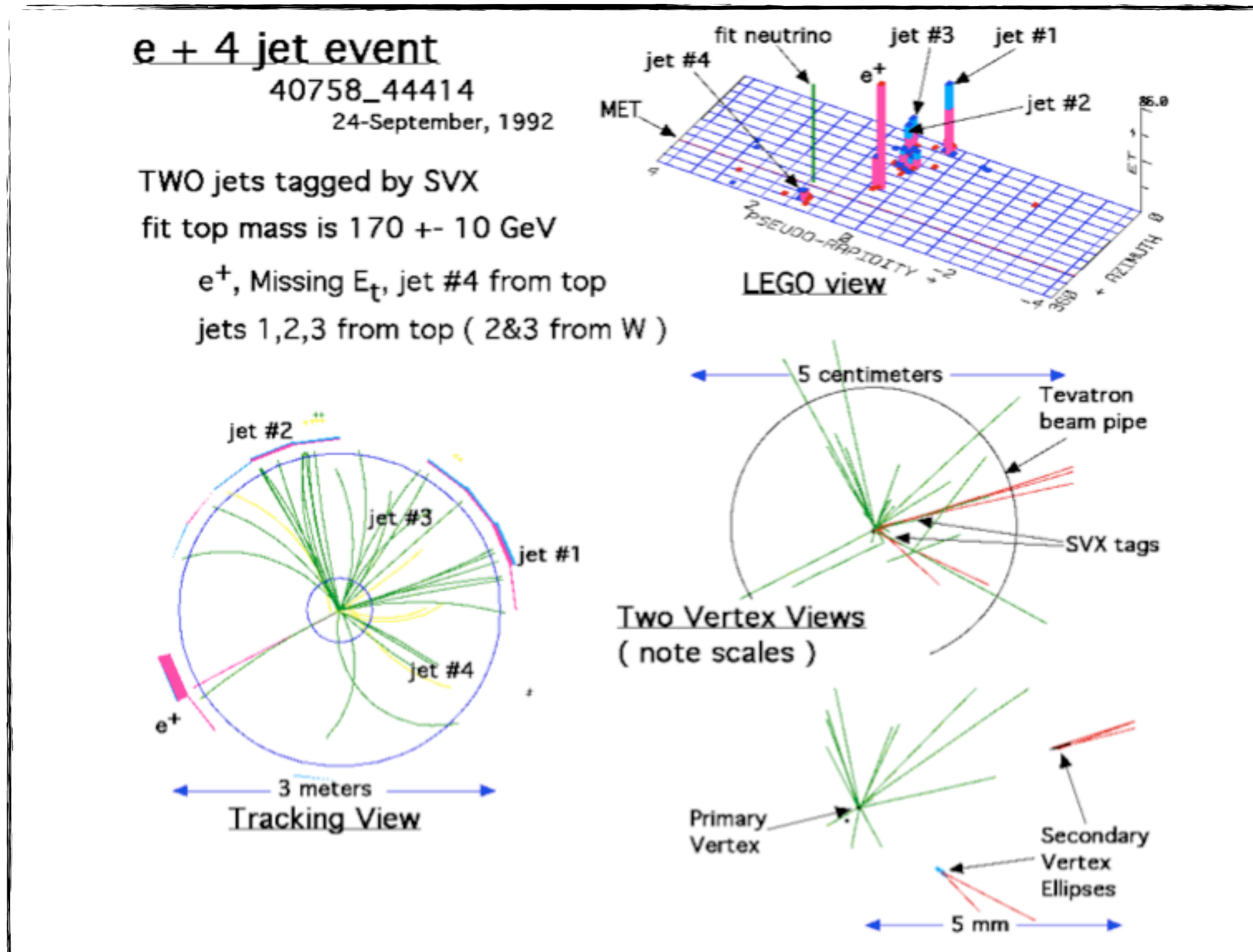


# Example of b-tagging usage for top quark discovery

Signal  $t \bar{t} \rightarrow W W b \bar{b}$ , one  $W \rightarrow \text{lepton}$ , one  $W \rightarrow \text{jets}$

Background:  $W(-\rightarrow \text{lepton}) + \text{jet}$

Only a small amount of these jets have b quarks.

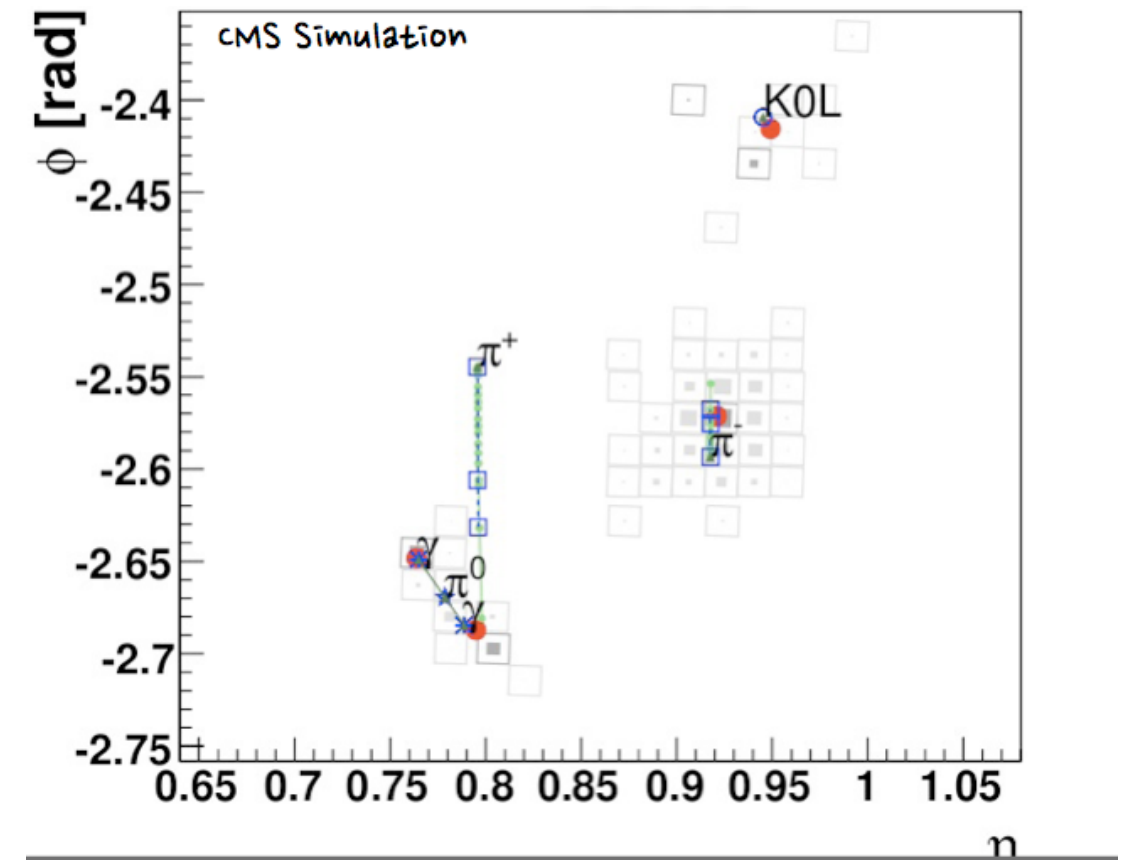
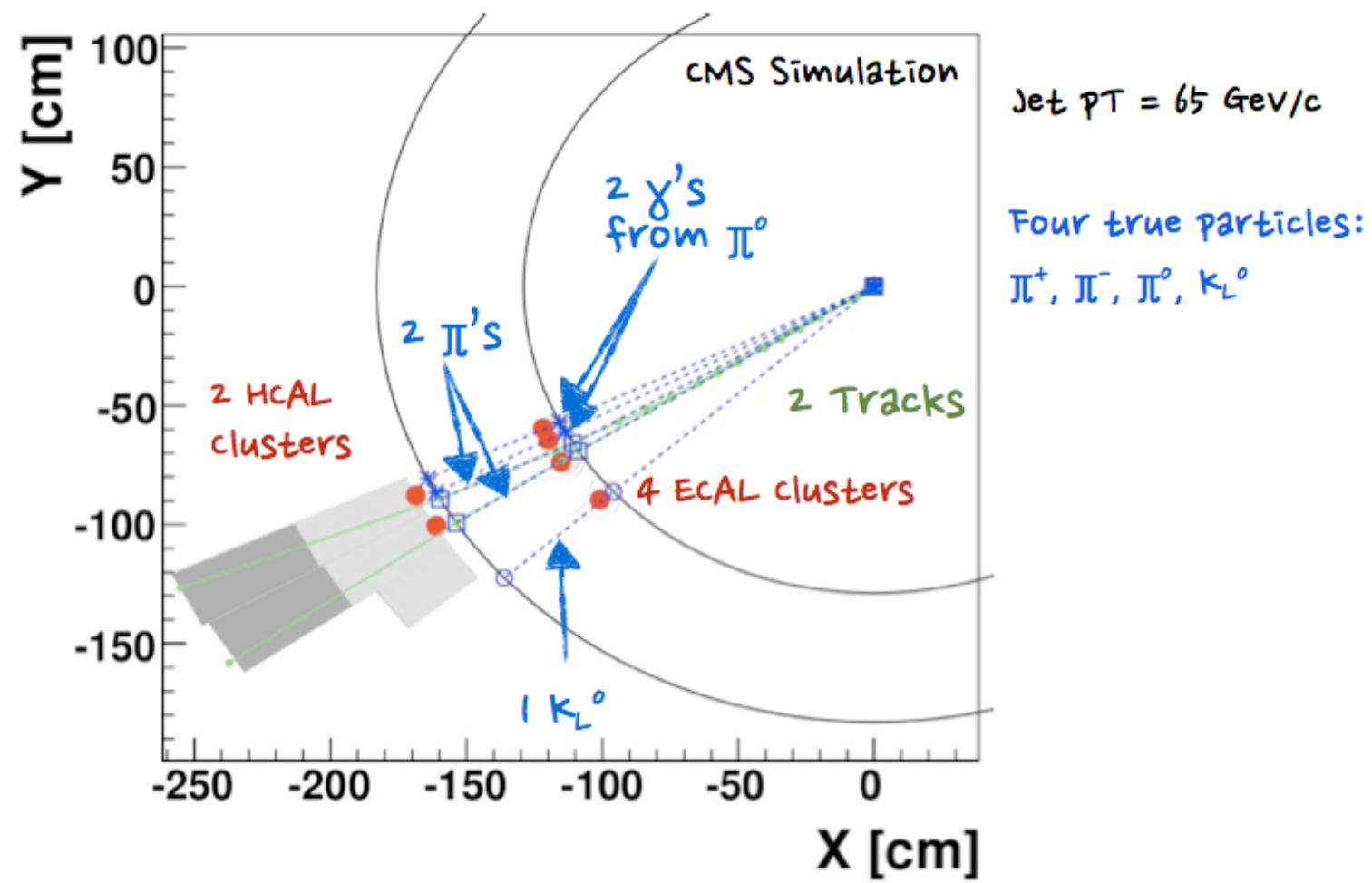


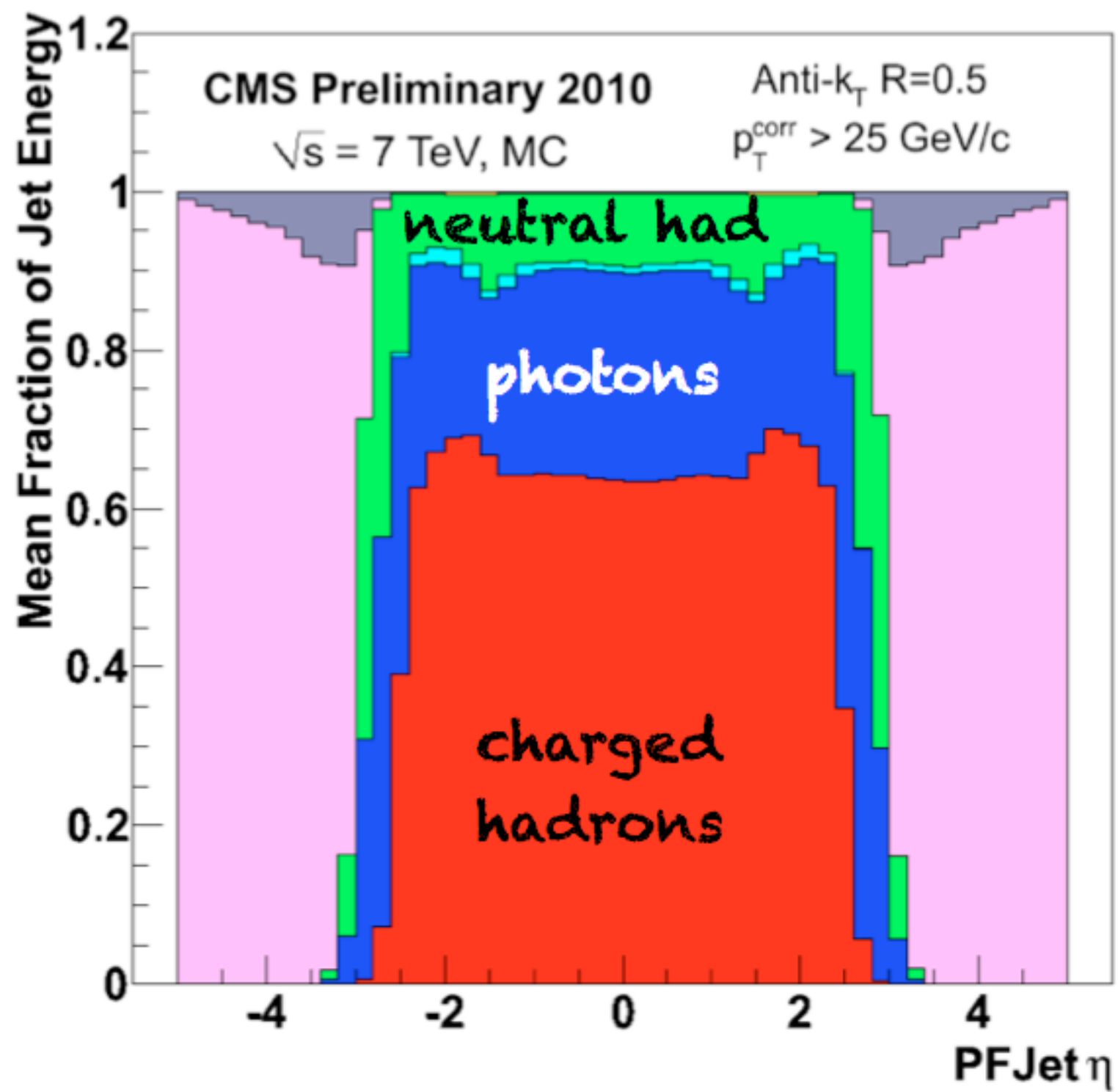
# Particle Flow techniques in collider experiments

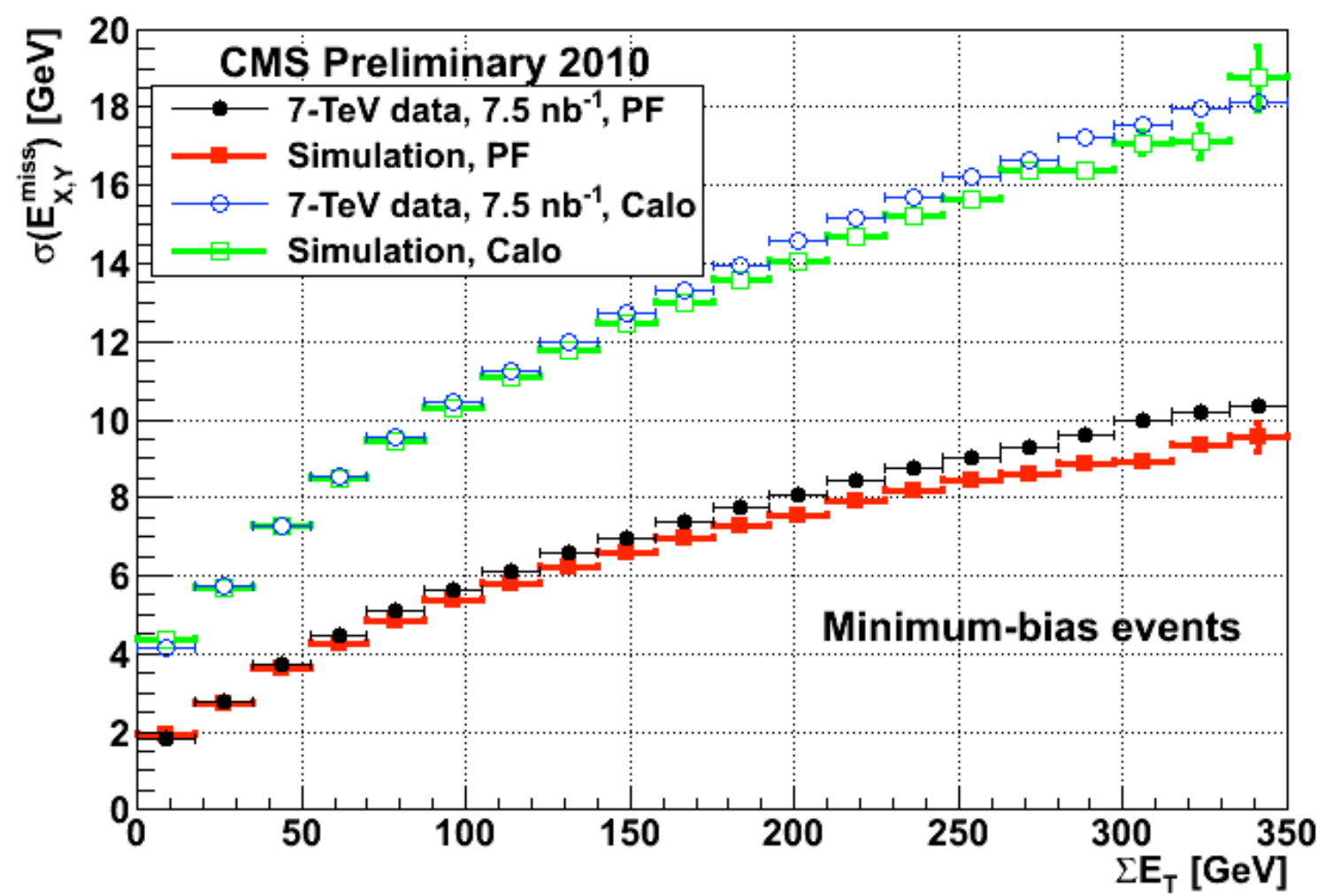
- Different particles species are measured more accurately with different techniques
  - What is the most precise technique for  $E=100$  GeV electron energy measurement in a LHC experiment ? => EM Calorimeter
  - What is the most precise technique to measure a few GeV charged pion ? => tracking detector
  - What is the most precise technique to measure a 5 GeV K0L ? => Hadronic calorimeter (even if not very precise)
  - How can one separate particles from different interactions in the same bunch crossing at the LHC ? => for charged particles, reconstructed vertex from which it is coming. Different pp interactions are typically separated by few cm in z. Resolution is much better. But this becomes challenging for high number of collisions



# Particle flow principle







## some references/links

- PDG reviews on particle interactions and particle detectors <http://pdg.lbl.gov/>
- C.Lippmann, hep-ex arXiv:1101.3276
- ATLAS, CMS, LHCb, ALICE performance papers
- R.Cavanaugh's lectures at HCP school 2012
- D.Bortoletto's lectures for CERN summer student
- W.Riegler's CERN academic training lectures, February 2014