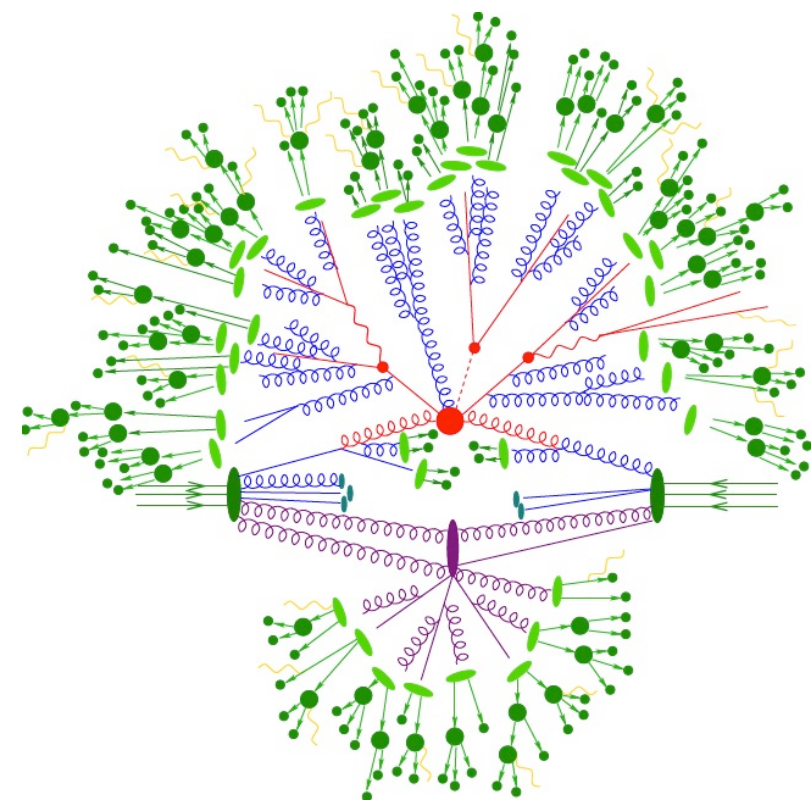
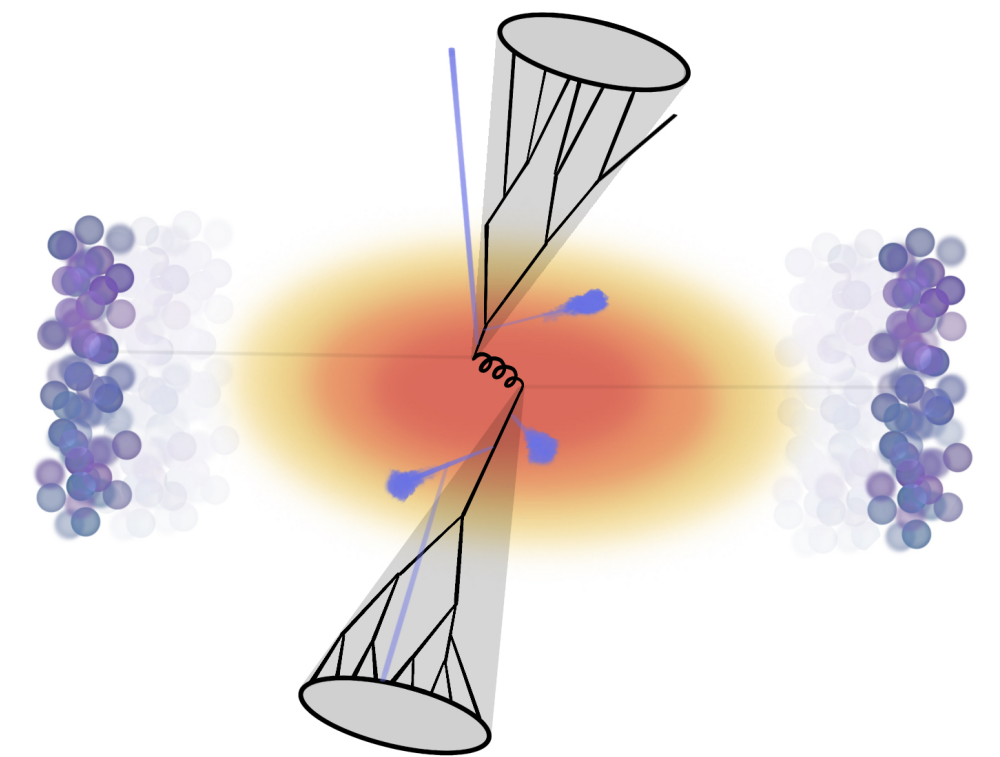


# Jet substructure: from proton-proton to heavy-ion collisions with ALICE



James Mulligan  
Lawrence Berkeley National Laboratory  
on behalf of the ALICE Collaboration

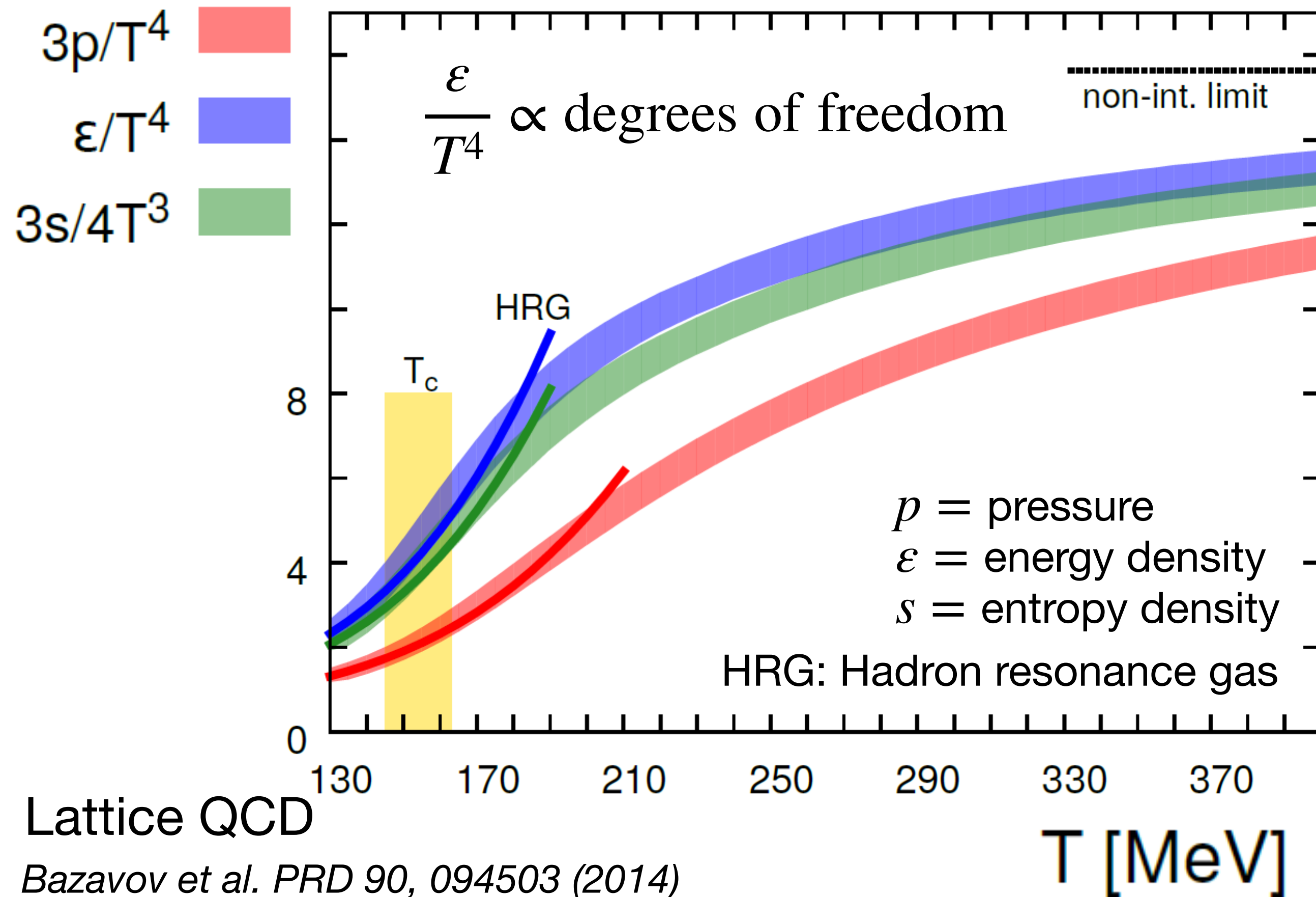


CERN LHC Seminar  
Dec 7 2021

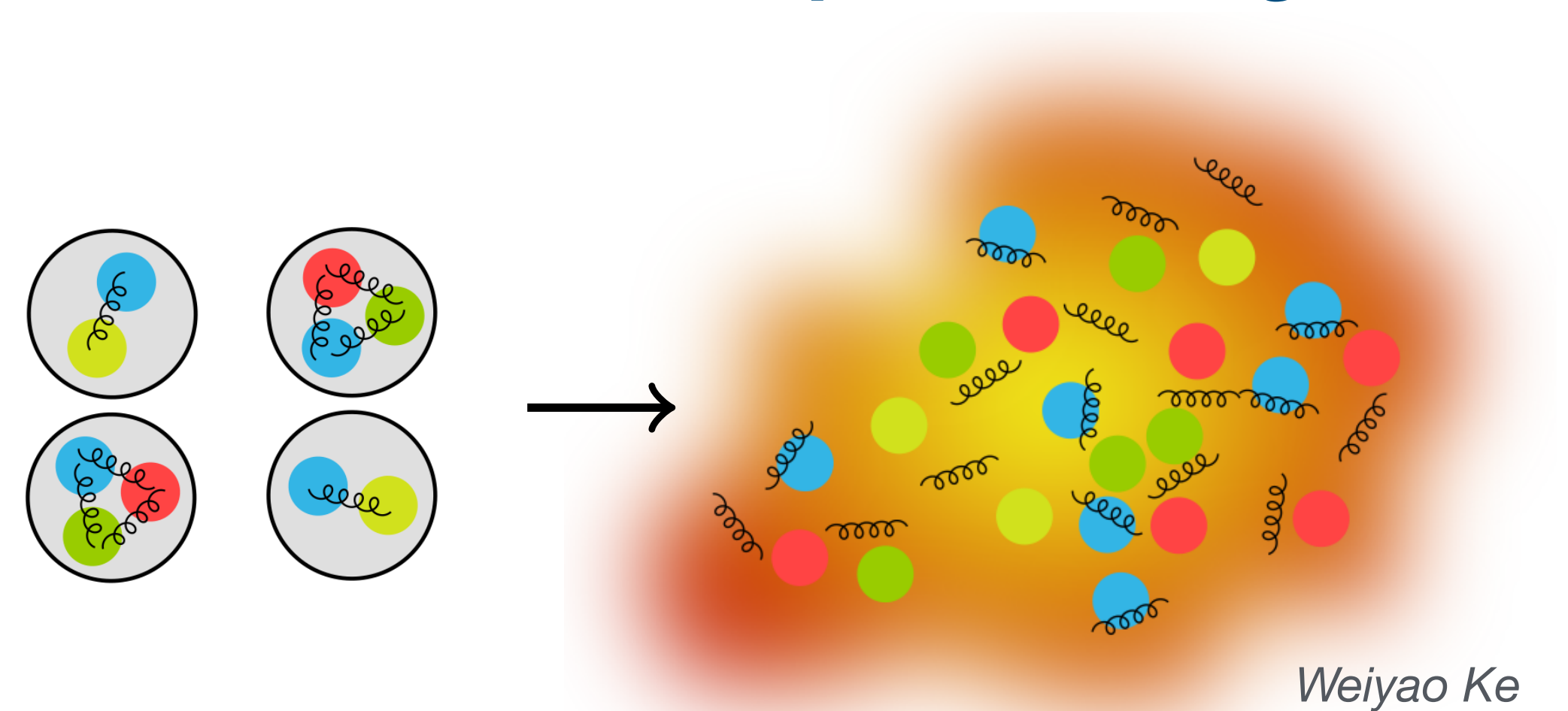


# The quark-gluon plasma

If we heat nuclear matter to  $T = \mathcal{O}(100 \text{ MeV})$ , thermodynamic quantities exhibit a rapid rise near a crossover temperature  $T_c$

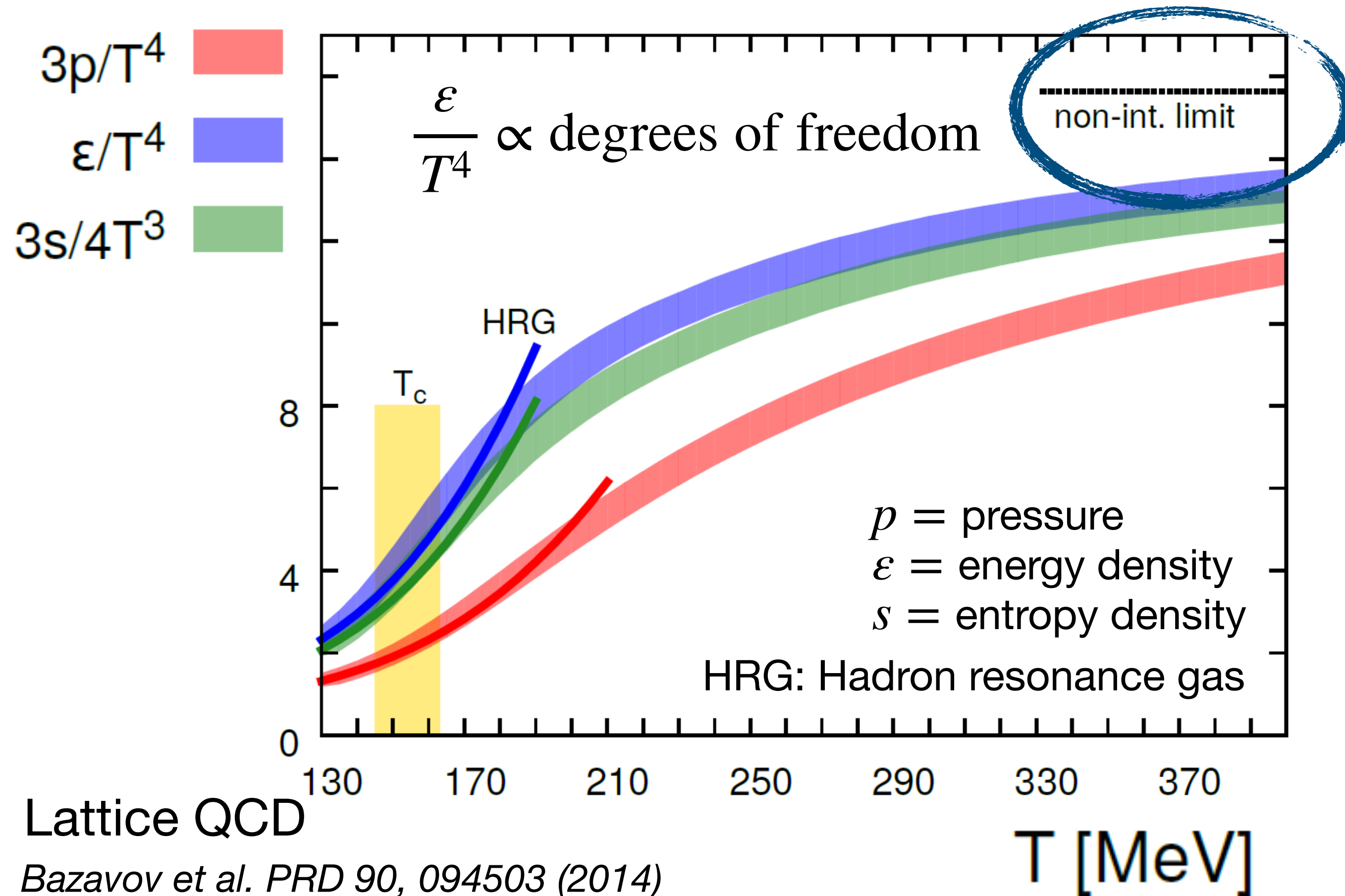


## Deconfinement of quarks and gluons

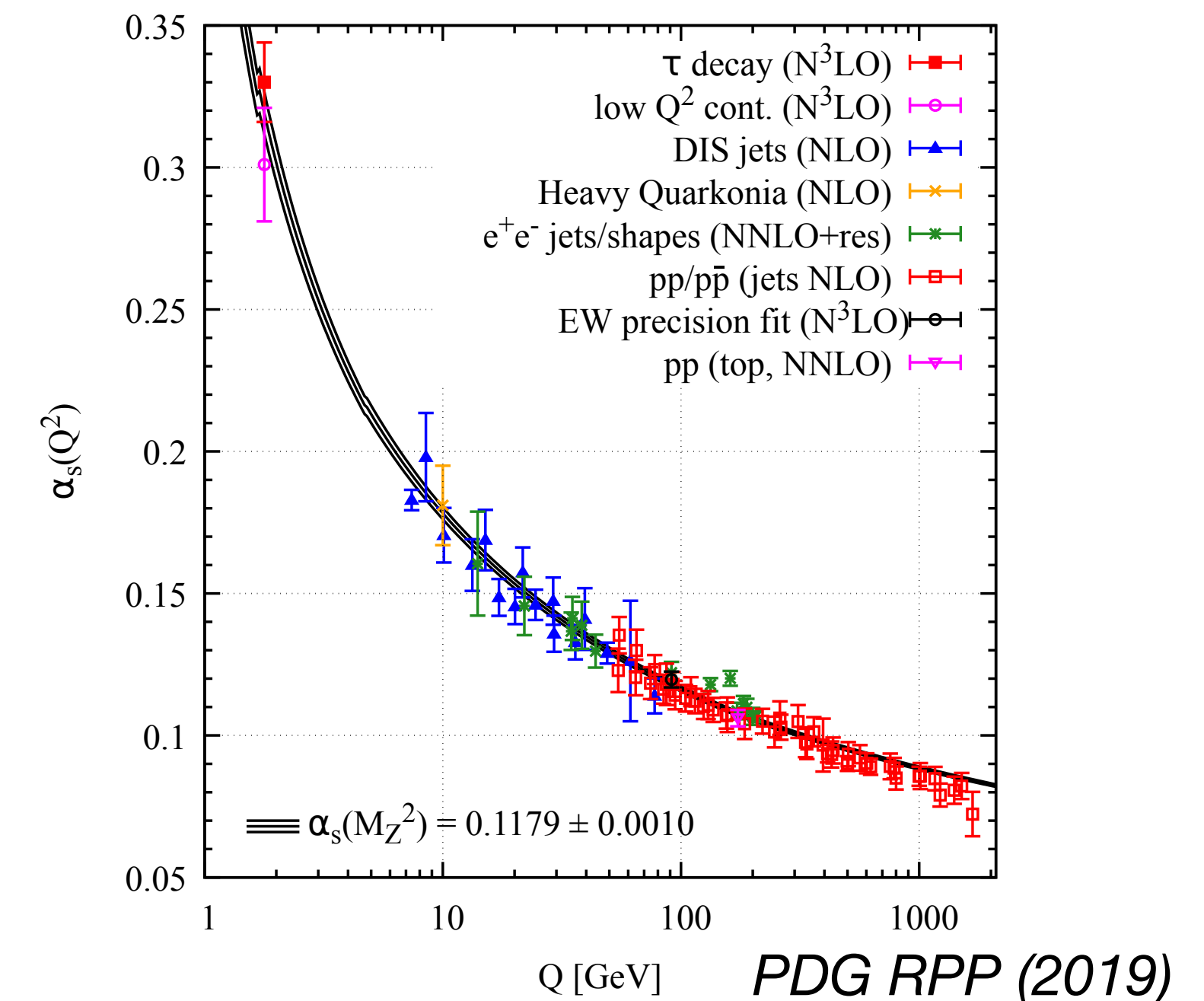


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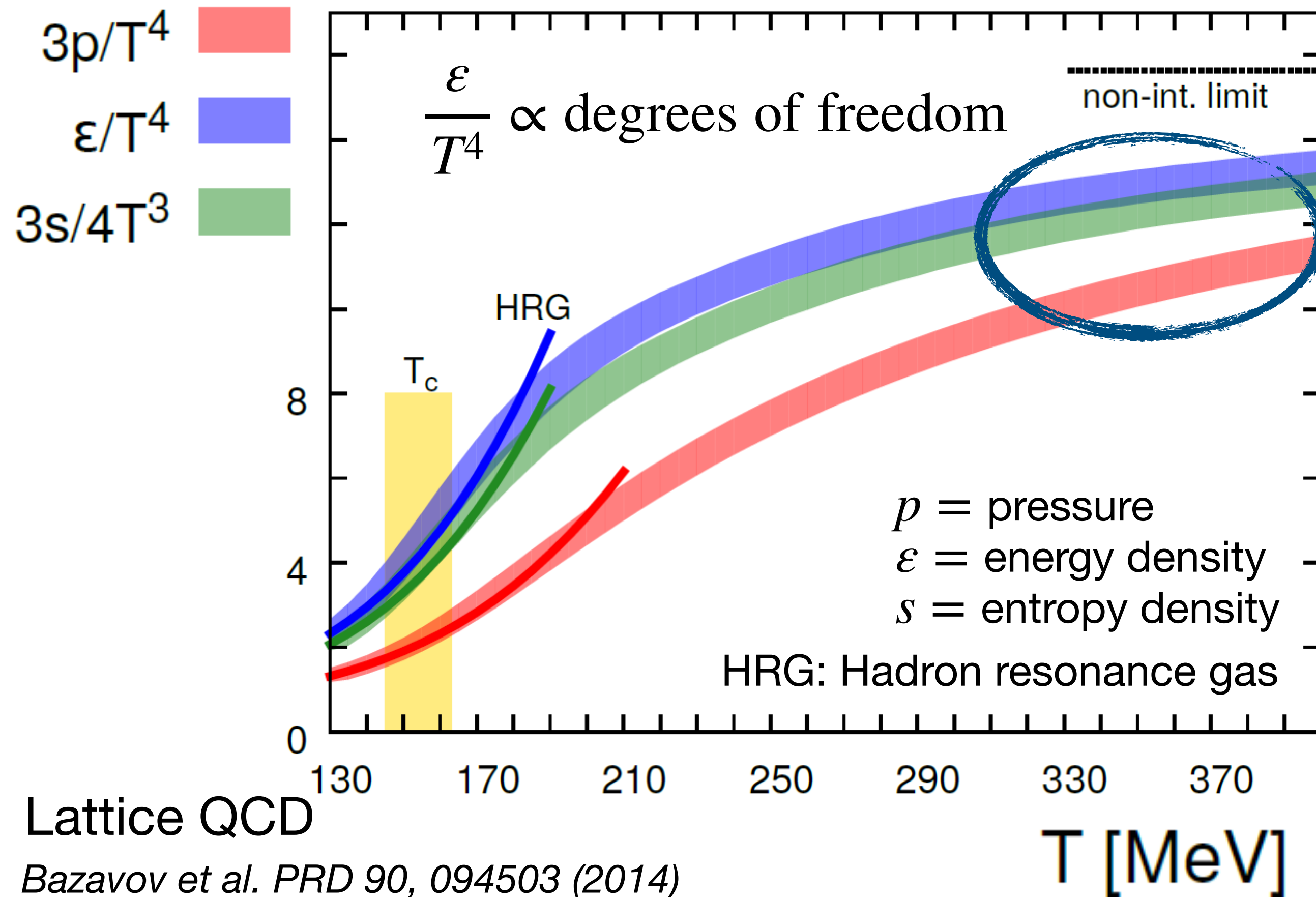


For very large  $T$ , we expect this deconfined matter to be **asymptotically free**



# The quark-gluon plasma

If we heat nuclear matter to  $T = \mathcal{O}(100 \text{ MeV})$ , thermodynamic quantities exhibit a rapid rise near a crossover temperature  $T_c$

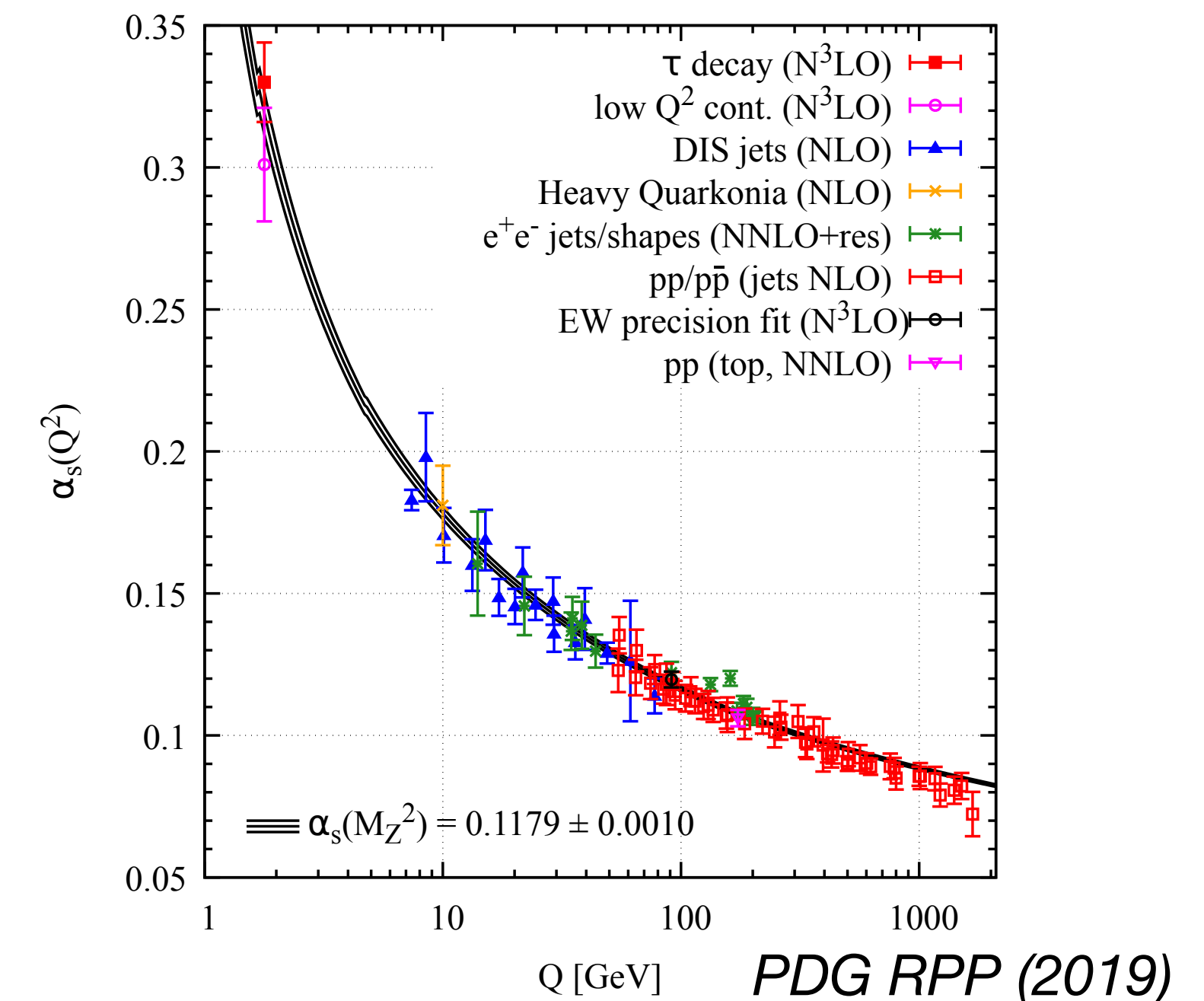


Lattice QCD

Bazavov et al. PRD 90, 094503 (2014)

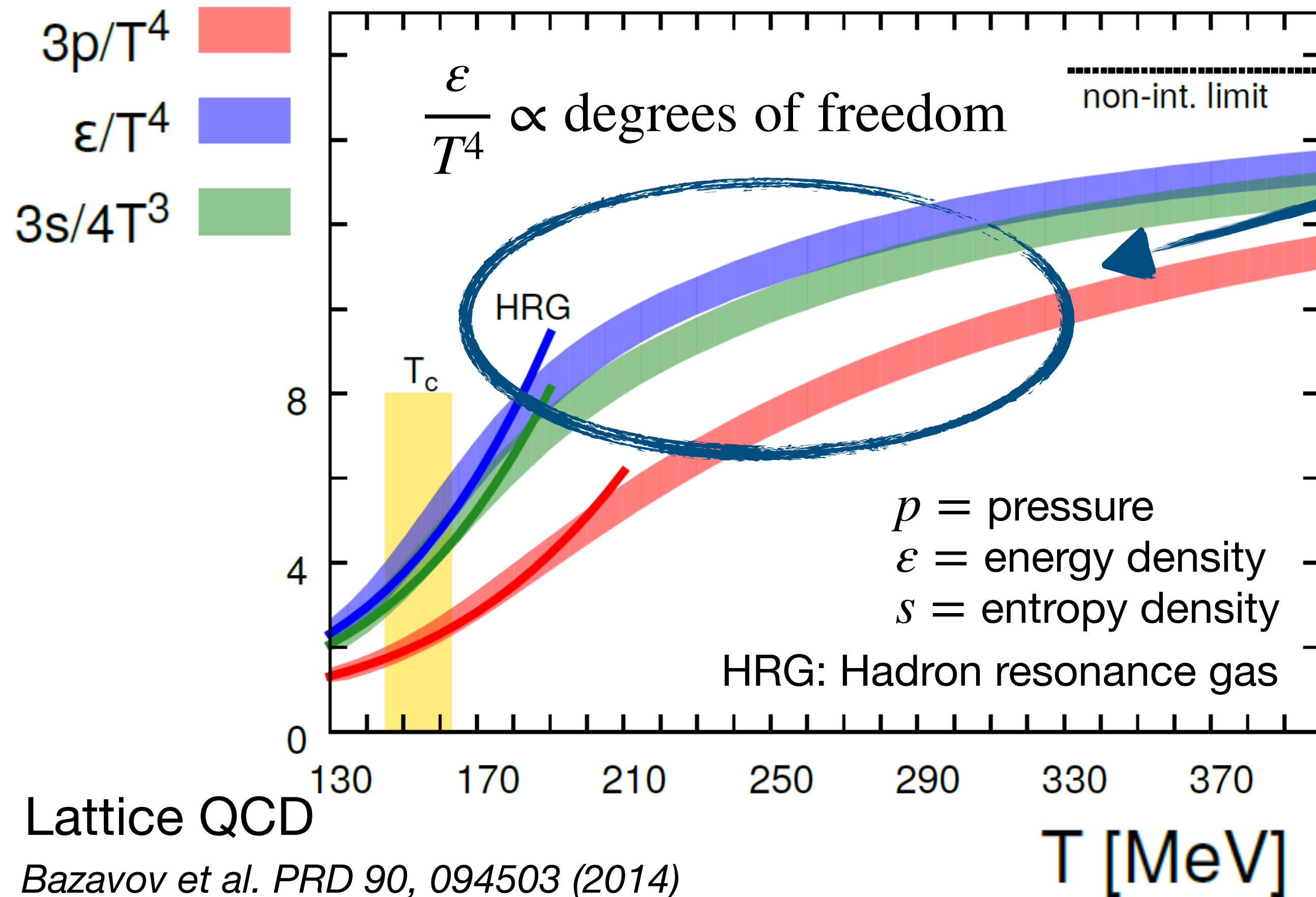
What is the coupling here?

It turns out to still be quite strong



# The quark-gluon plasma

If we heat nuclear matter to  $T = \mathcal{O}(100 \text{ MeV})$ , thermodynamic quantities exhibit a rapid rise near a crossover temperature  $T_c$

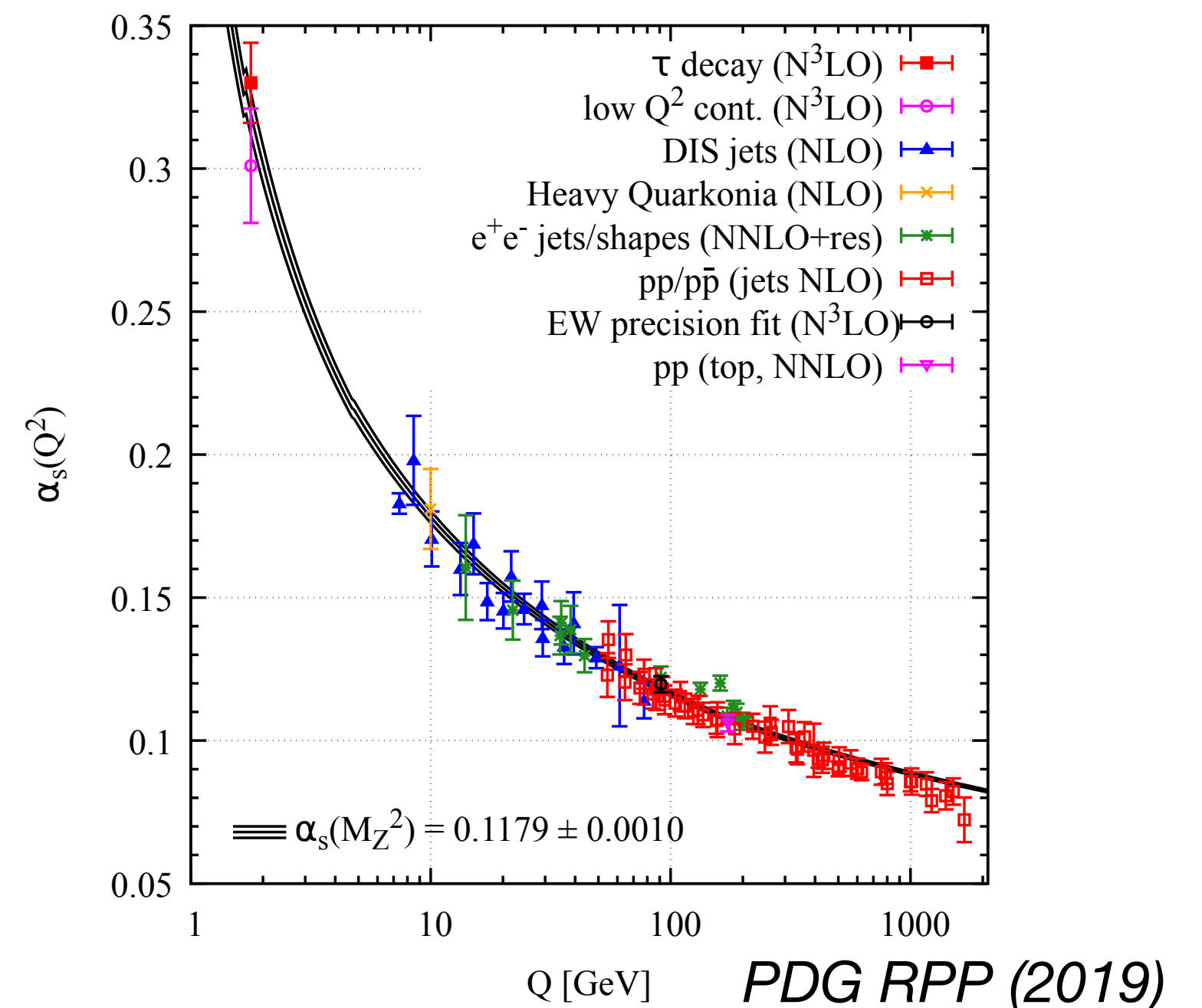


Lattice QCD

Bazavov et al. PRD 90, 094503 (2014)

What is the structure of QCD matter as a function of  $T$ ?

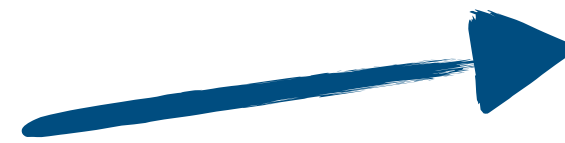
We don't know!



# The quark-gluon plasma

In the last two decades it has been established that hot QCD matter is:

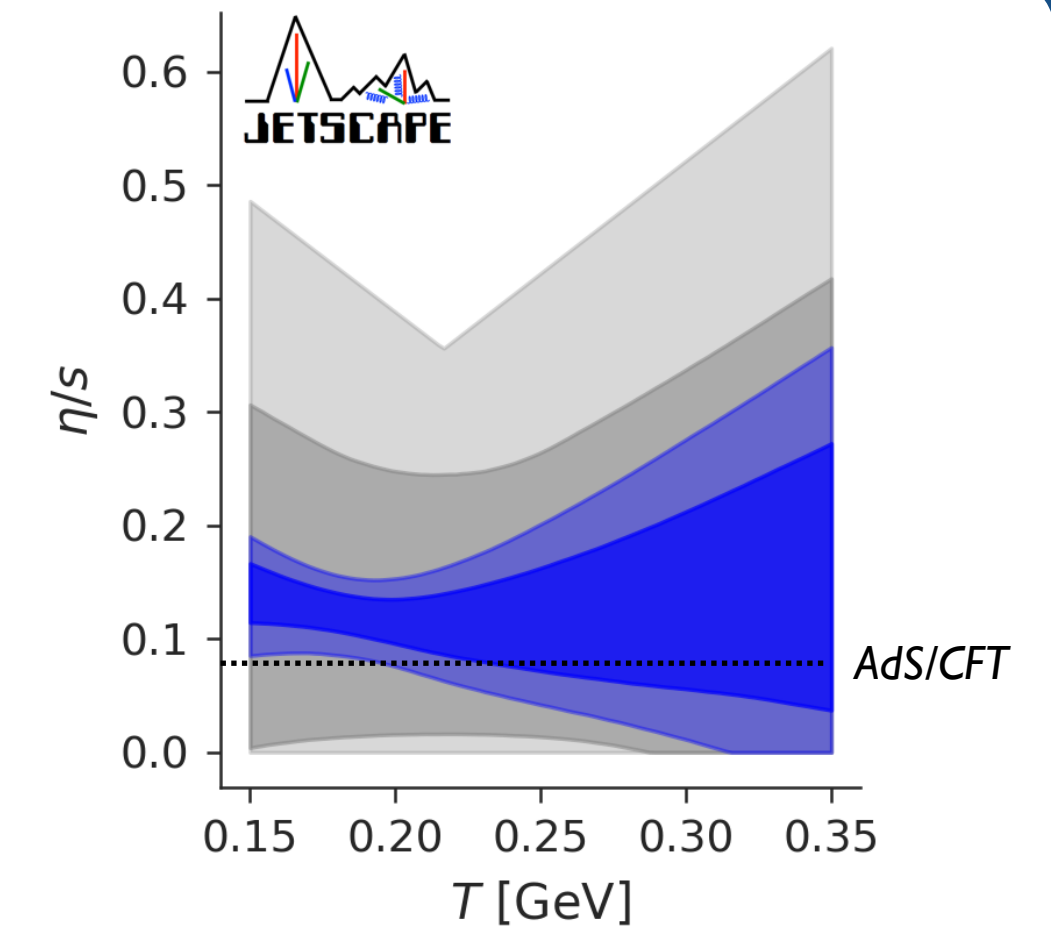
- Deconfined
- Strongly-coupled



But much more to learn!

Example: Bayesian extraction of specific shear viscosity ( $\eta/s$ )

e.g. JETSCAPE PRL 126, 242301 (2021)



# The quark-gluon plasma

In the last two decades it has been established that hot QCD matter is:

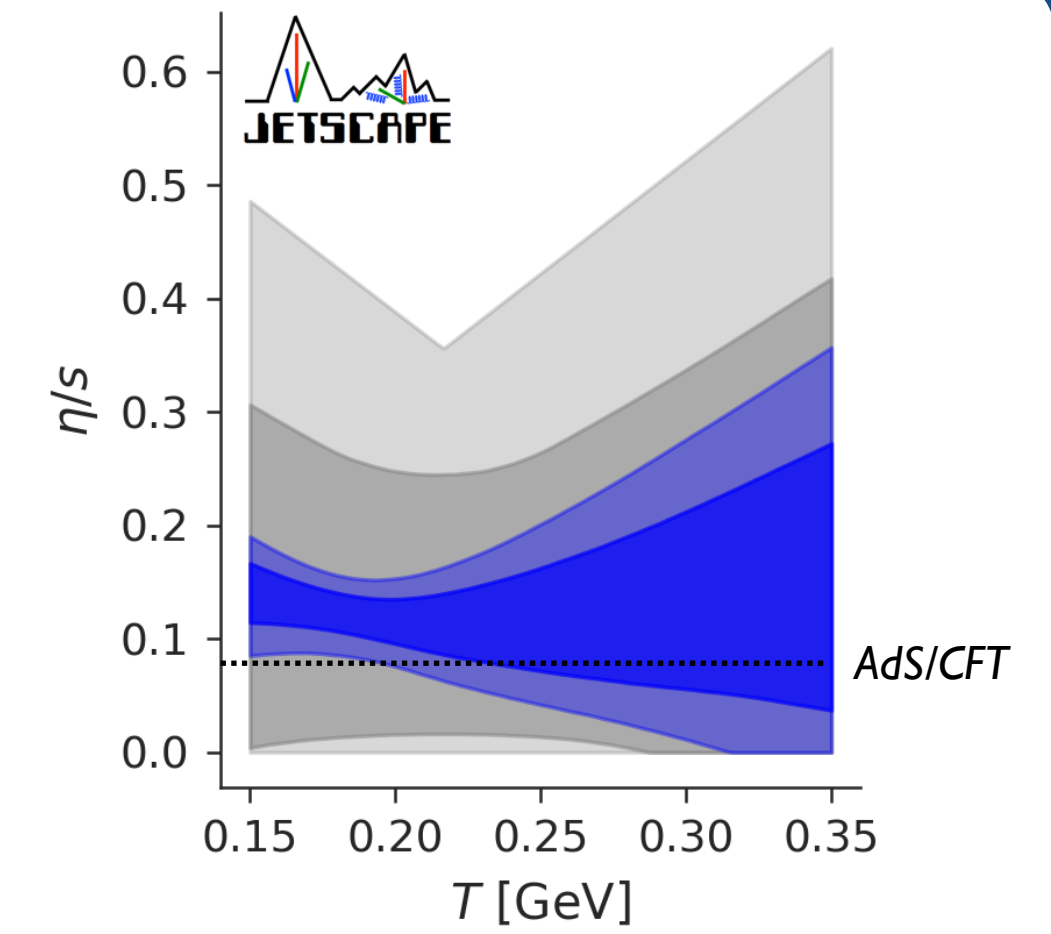
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Example: Bayesian extraction of specific shear viscosity ( $\eta/s$ )

e.g. JETSCAPE PRL 126, 242301 (2021)



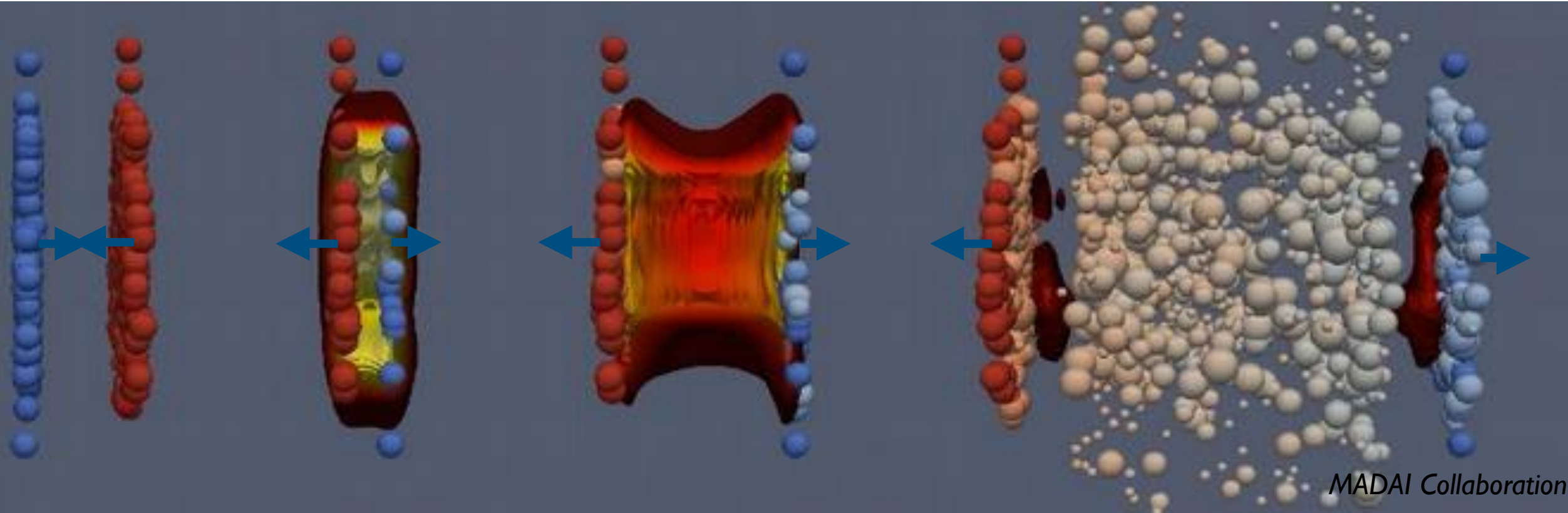
The quark-gluon plasma is a laboratory to study how complex properties emerge from the fundamental laws of quantum chromodynamics

*How does this strongly-coupled fluid arise from the Lagrangian of QCD?*

*What are the relevant degrees of freedom of the QGP as a function of resolution scale?*

*How does color confinement emerge?*

# Heavy-ion collisions

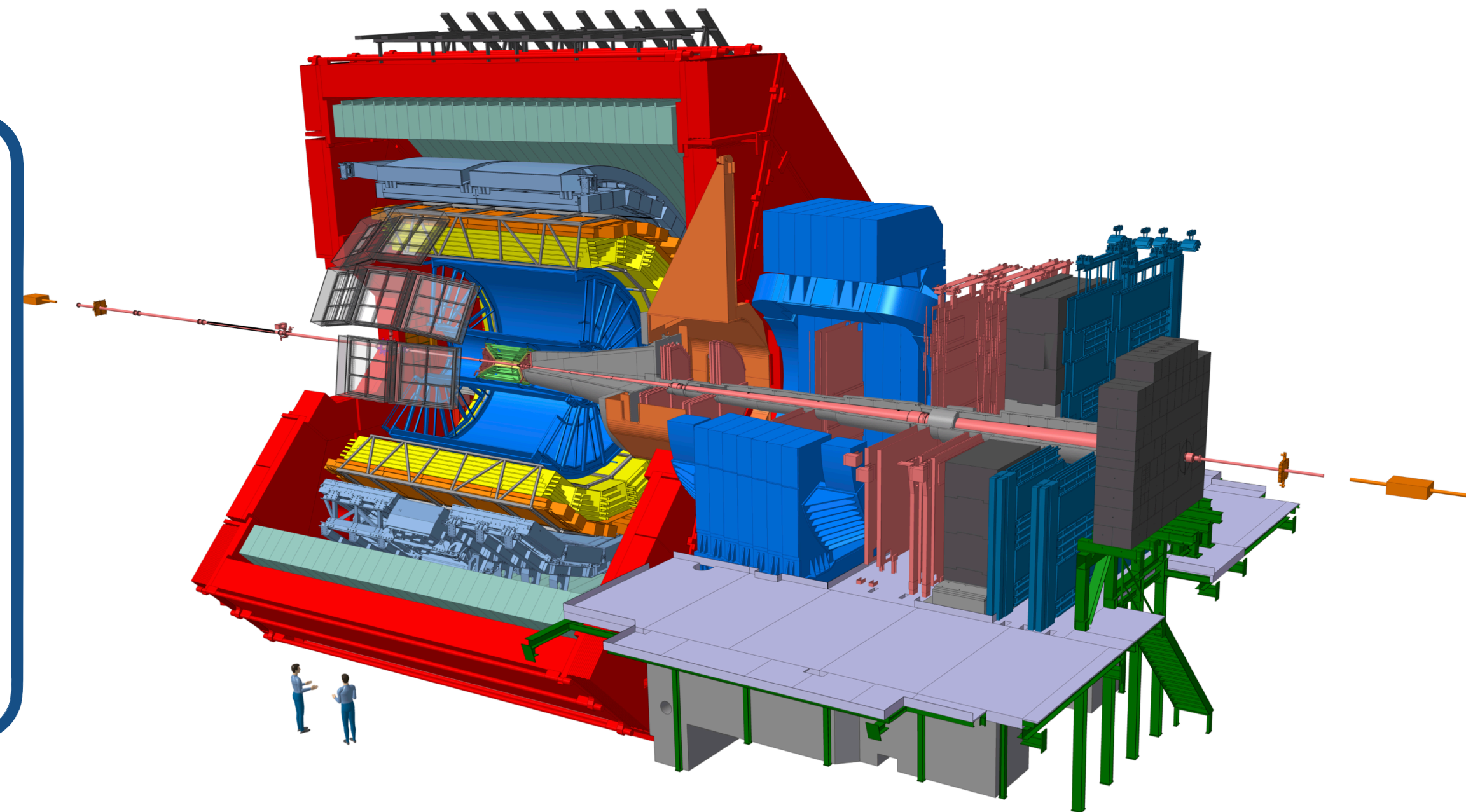
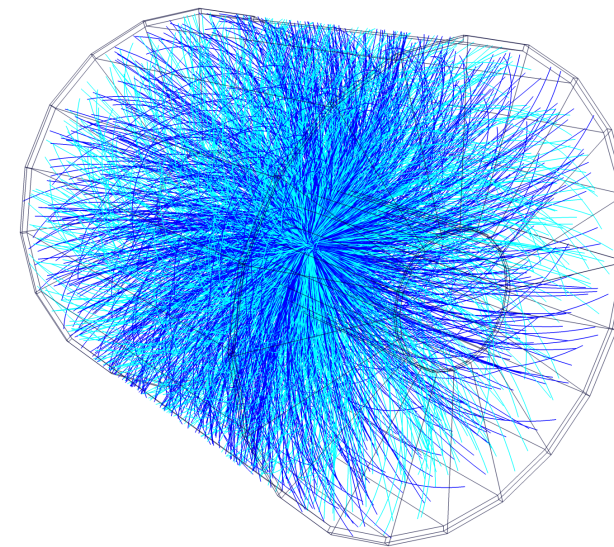


At the LHC, we collide nuclei to produce droplets of this hot, dense state of matter known as the quark-gluon plasma

$$T \approx 150-500 \text{ MeV} \quad t \sim \mathcal{O}(10 \text{ fm}/c)$$

The **ALICE detector** is designed for the high multiplicity environment of heavy-ion collisions

- High-precision tracking system
- Particle identification
- Forward muon arm
- Calorimetry

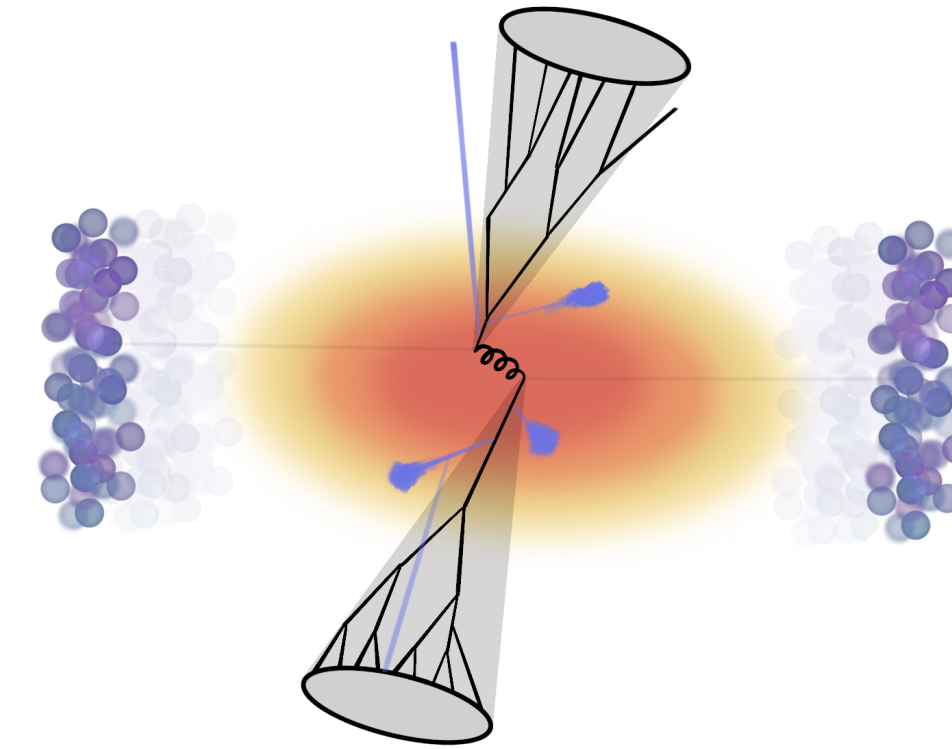




# Jet quenching in the quark-gluon plasma

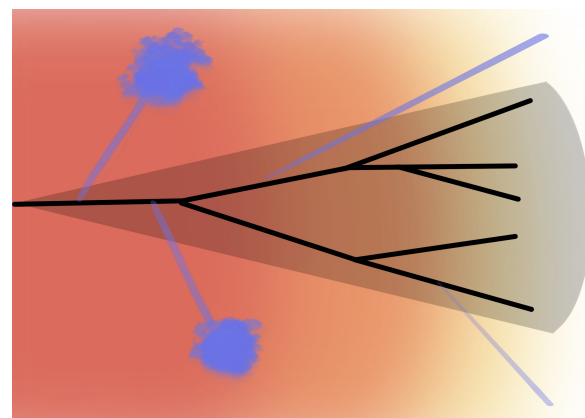
The QGP is too small and short-lived to be probed by traditional scattering beams

→ Use jets as probes



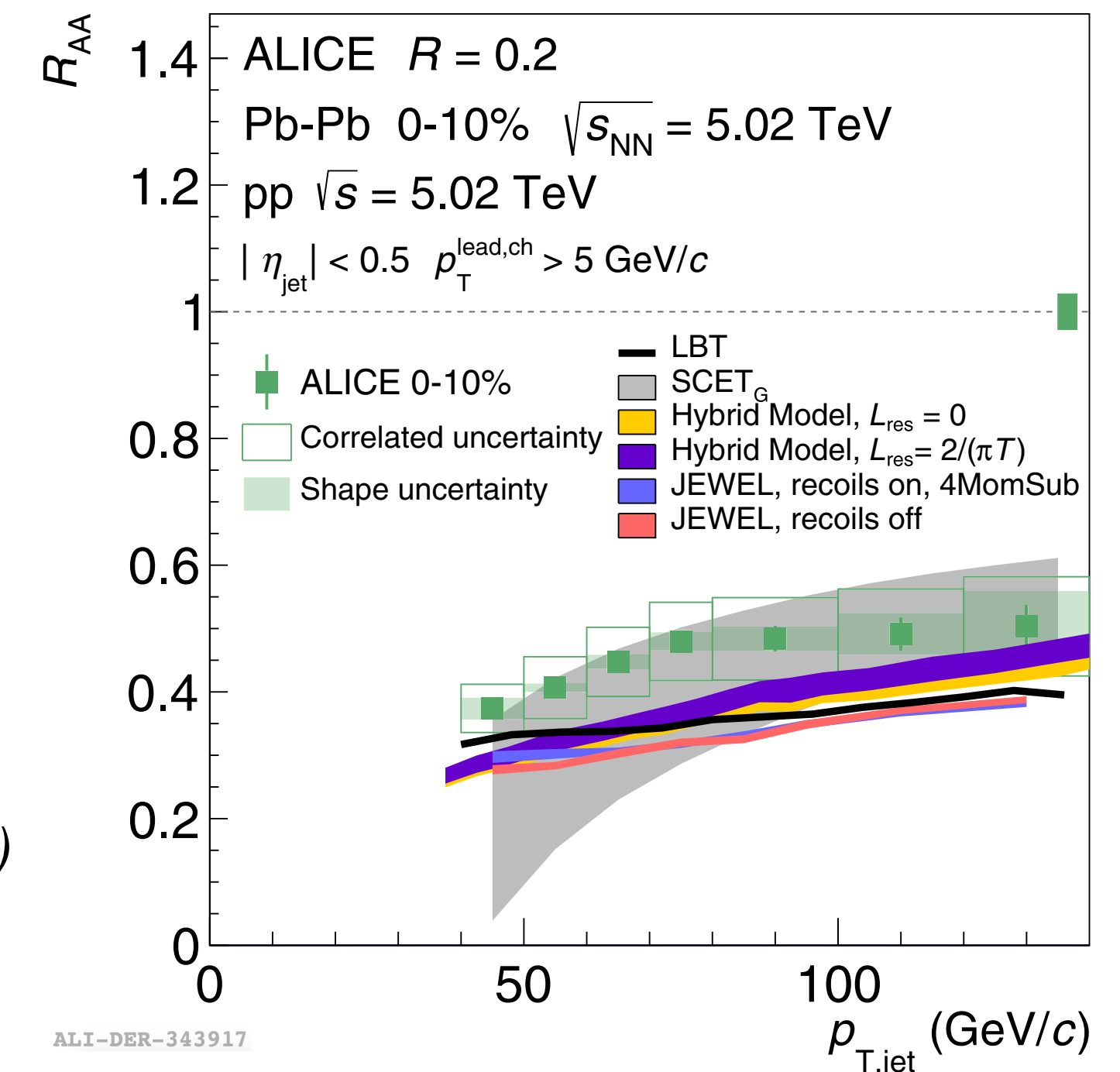
Jets interact with the quark-gluon plasma as they traverse it:

“Energy loss”



$$R_{AA} = \frac{1}{\langle N_{\text{coll}} \rangle} \frac{dN^{\text{PbPb}}/dp_T}{dN^{\text{pp}}/dp_T}$$

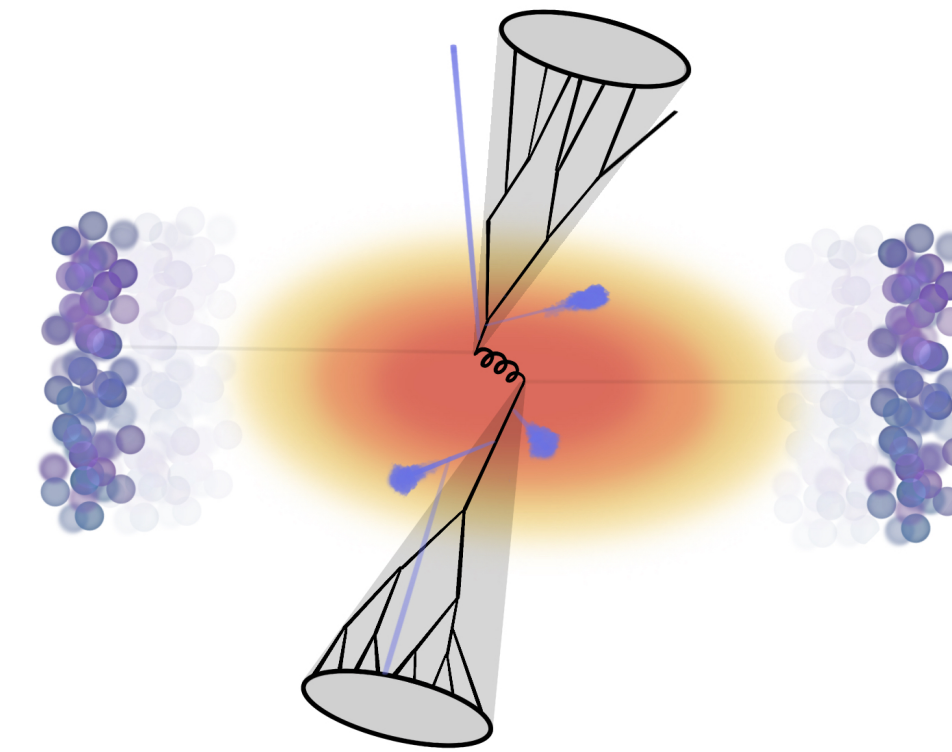
ALICE PRC 101 034911 (2020)  
 CMS JHEP 05 (2021) 284  
 ATLAS PLB 790 (2019) 108



# Jet quenching in the quark-gluon plasma

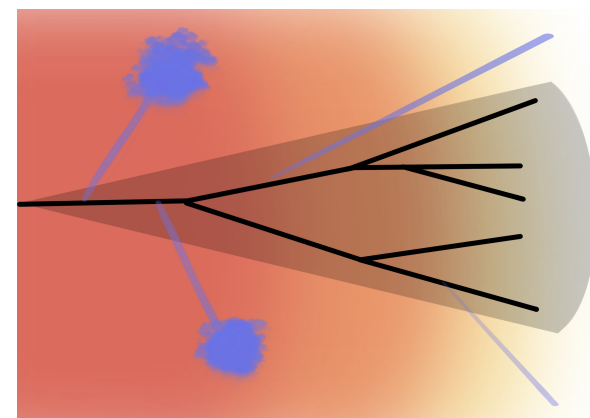
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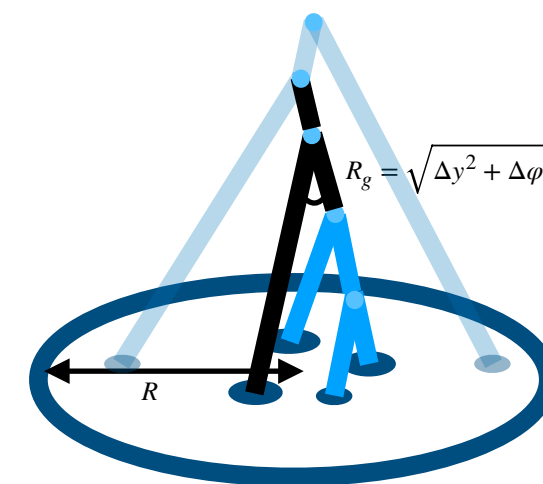


Jets interact with the quark-gluon plasma as they traverse it:

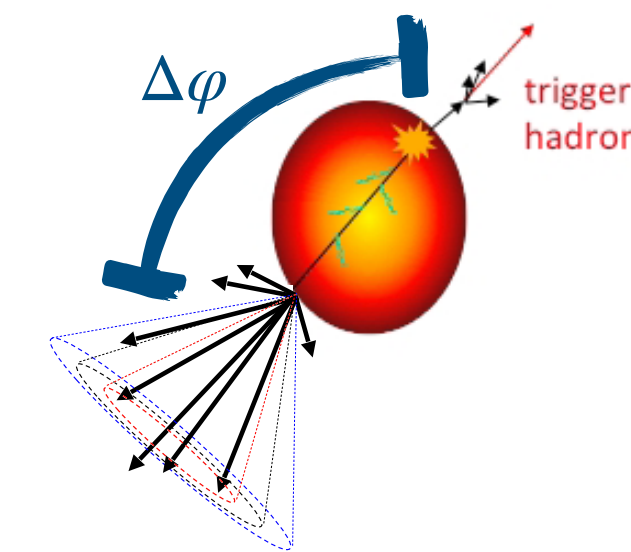
**“Energy loss”**



**Substructure modification**



**Deflection**

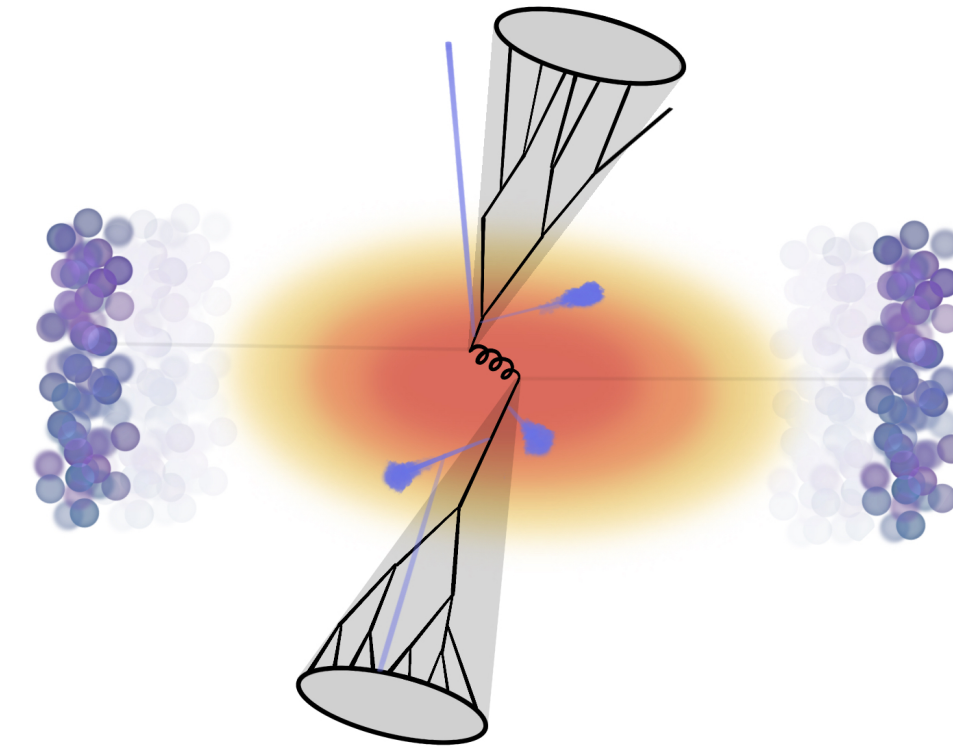


By modeling these interactions, we hope to determine the structure of the QGP

# Jet quenching in the quark-gluon plasma

## Theoretical challenges in AA

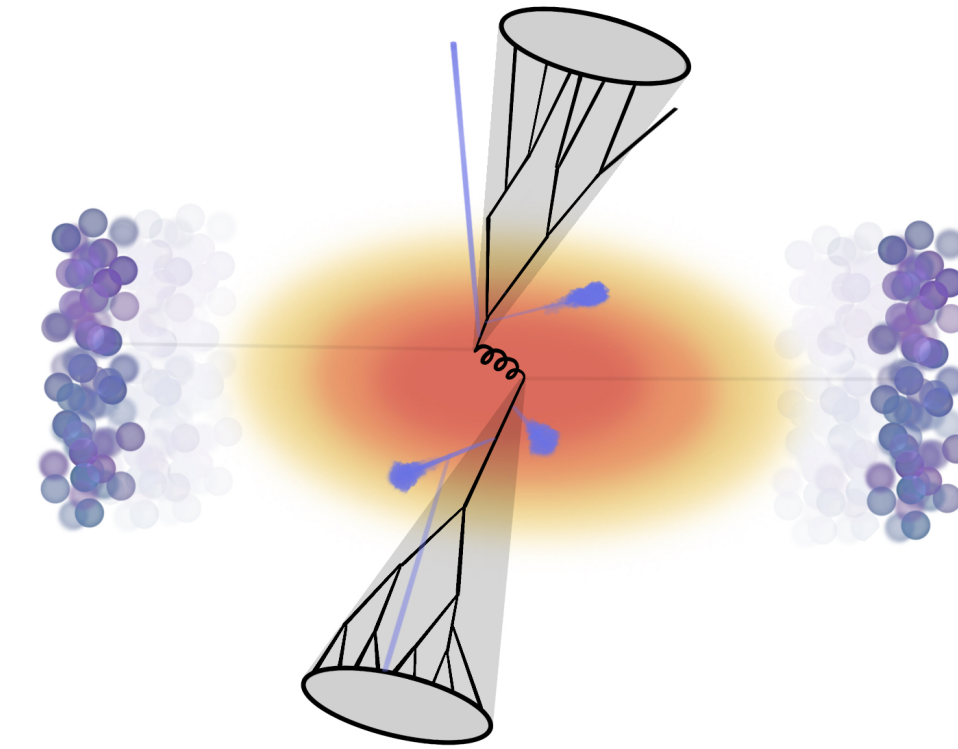
- Strongly-coupled vs. weakly coupled interaction?
- Factorization in AA?
- Spacetime picture of parton shower?
- ...



# Jet quenching in the quark-gluon plasma

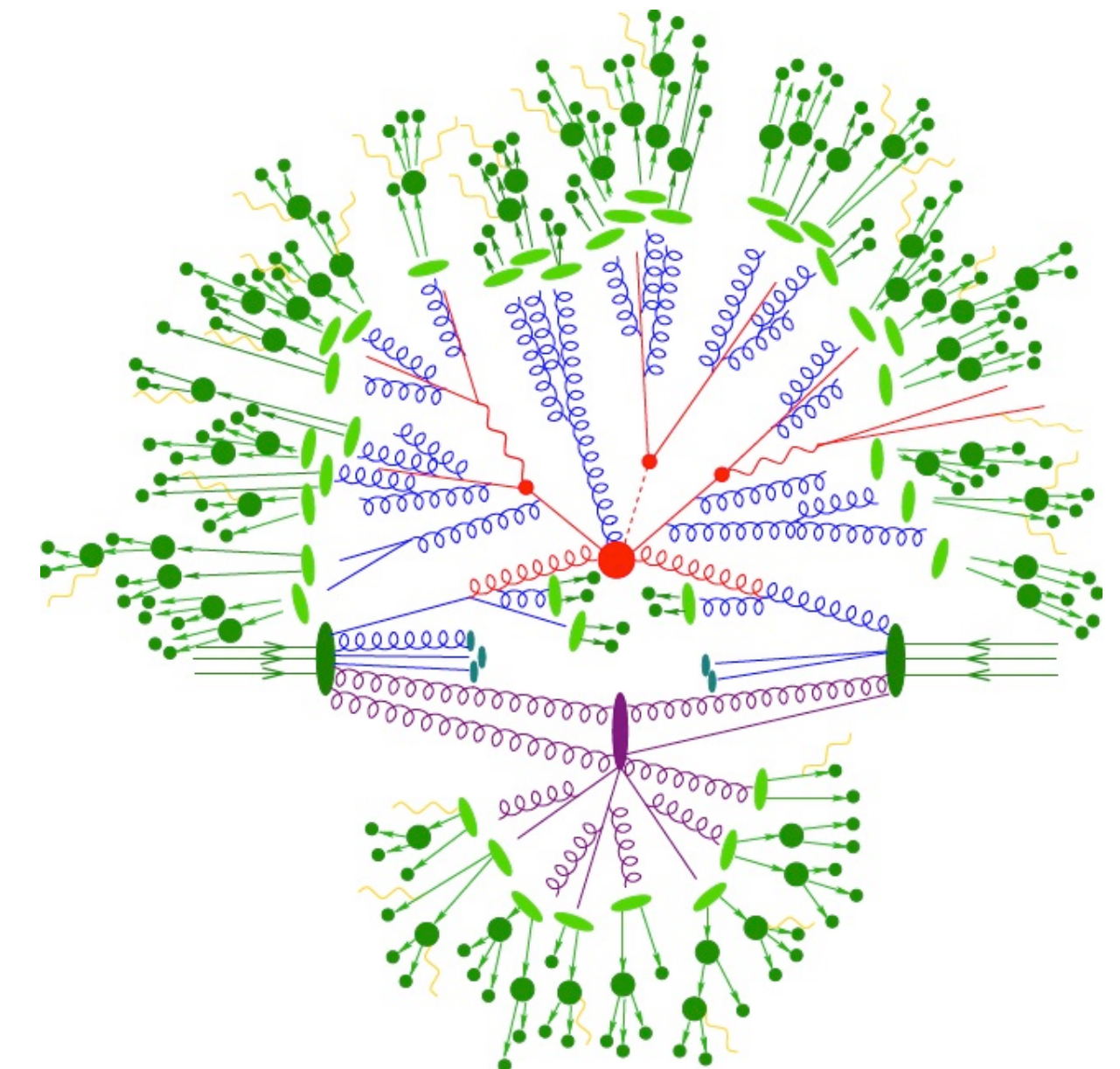
## Theoretical challenges in AA

- Strongly-coupled vs. weakly coupled interaction?
- Factorization in AA?
- Spacetime picture of parton shower?
- ...



## But jet evolution is already quite complicated in pp!

- NLO, NNLO effects can be large
- Large logarithms in cross sections that contribute at all orders in  $\alpha_s$
- Non-perturbative effects: hadronization, underlying event
- ...



S. Prestel

Understanding jet quenching begins in proton-proton collisions

# Jet substructure

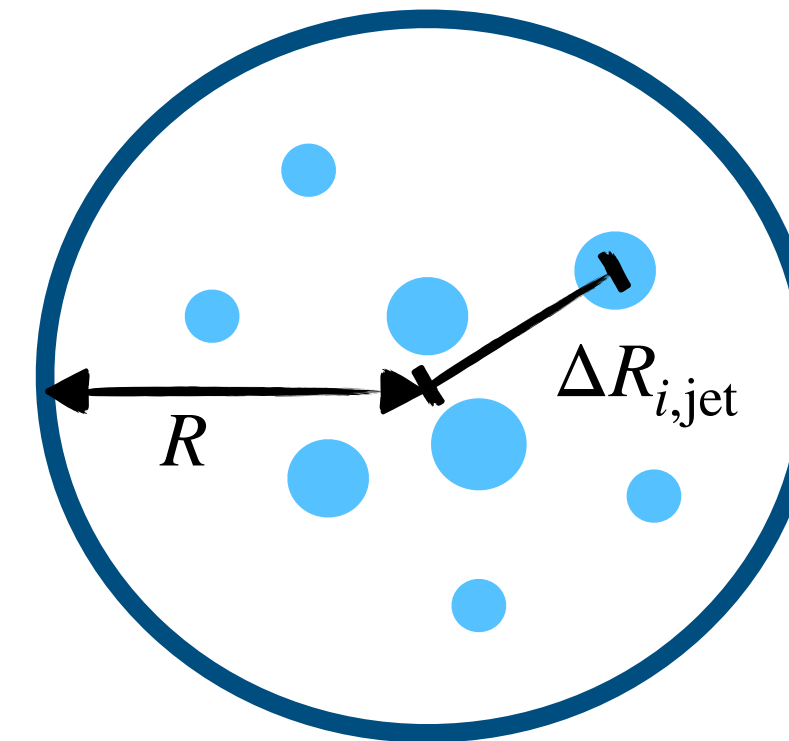
First cluster a jet, then construct an observable from its constituents

Groomed observables



Recluster the jet constituents  
and identify a high- $Q^2$  splitting

Ungroomed observables



Sum a quantity over all jet constituents

e.g. jet mass:  $m^2 = \left( \sum p_i \right)^2$

...

# Jet substructure

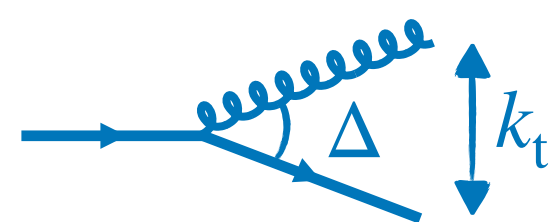
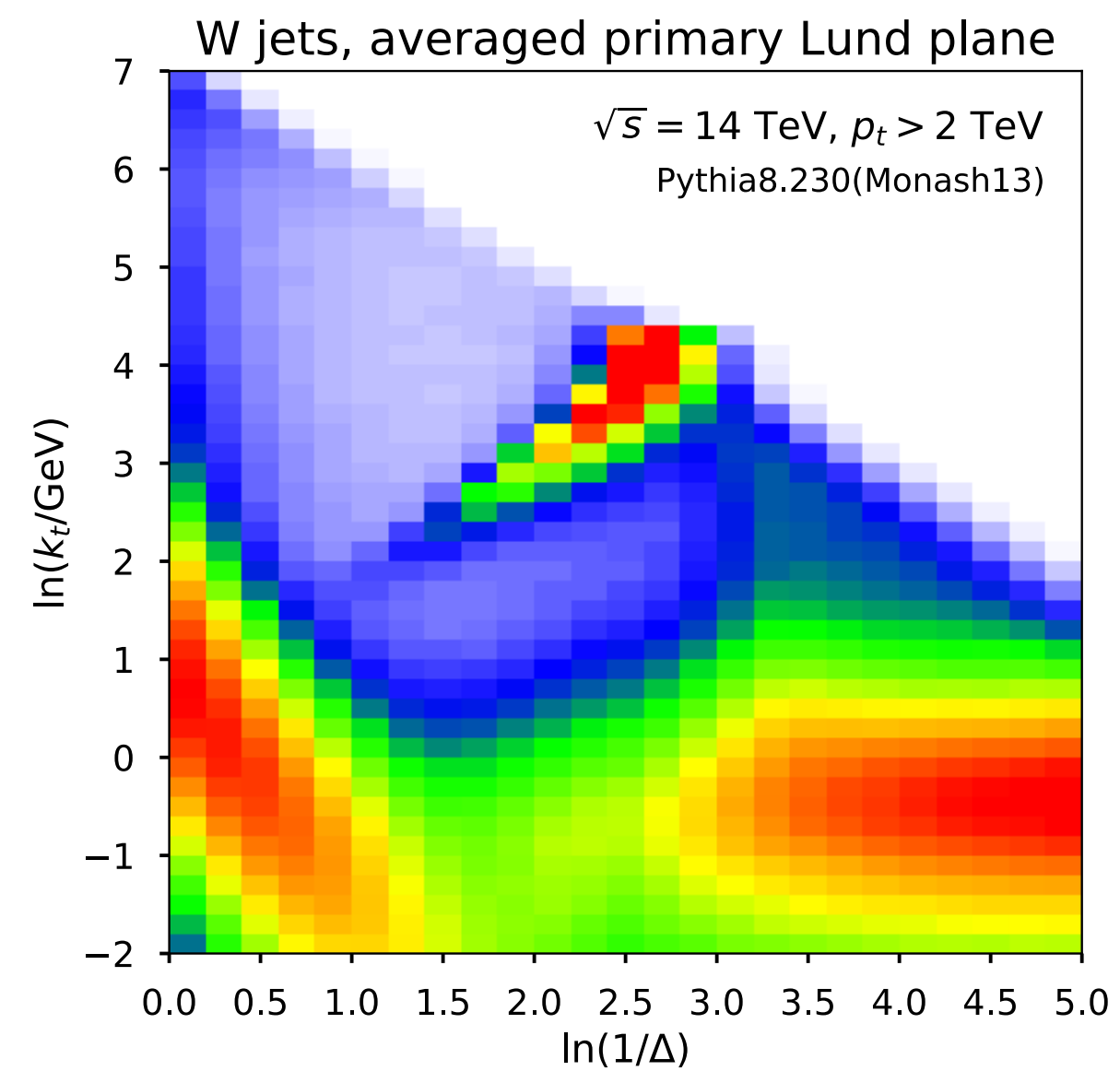
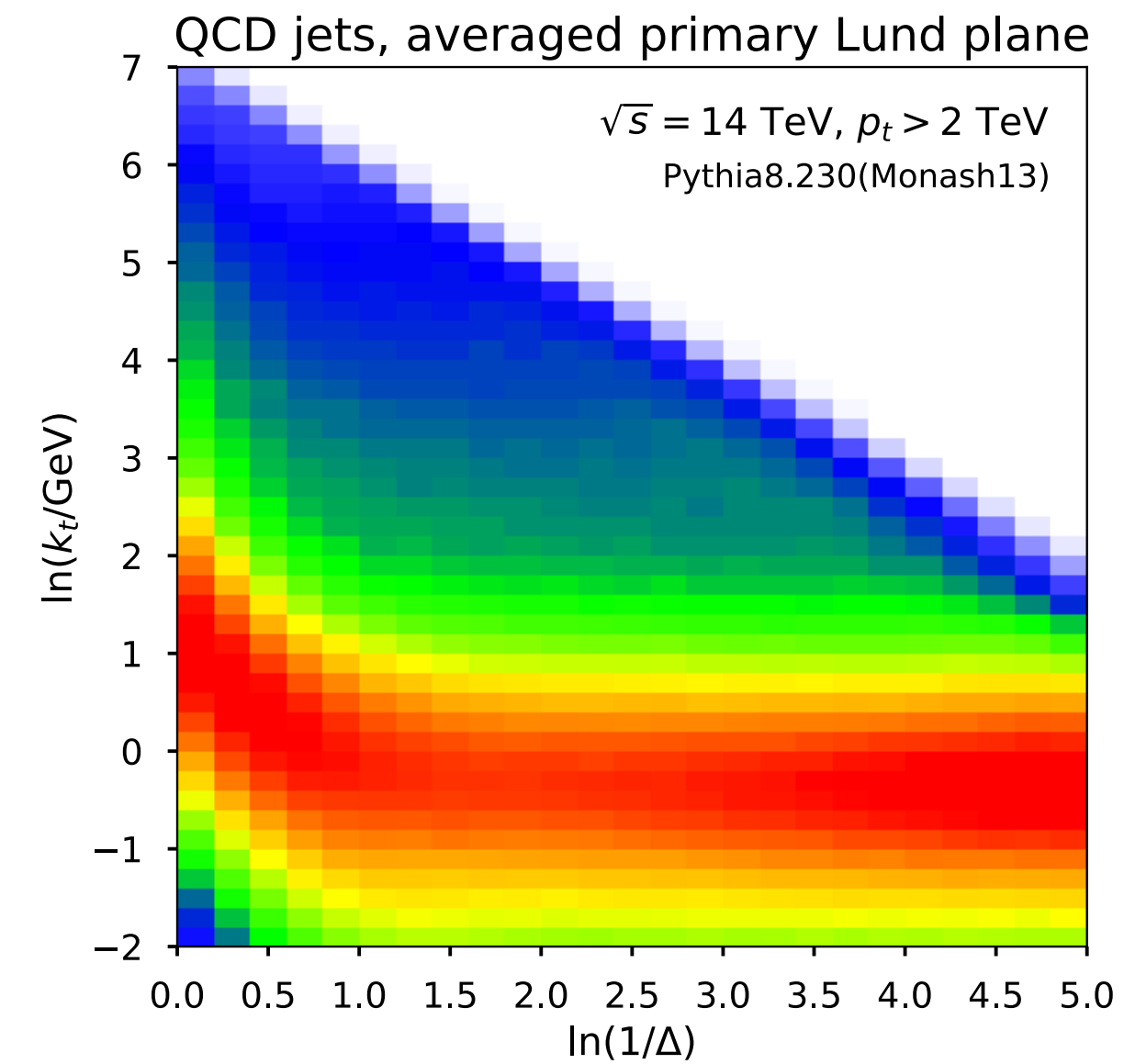


# Jet substructure

## Tagging

- Boosted objects
- Quark vs. gluon jets

## Fundamental QCD



Dreyer, Salam, Soyez *JHEP* 12 (2018) 064

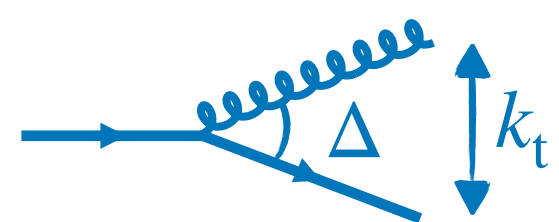
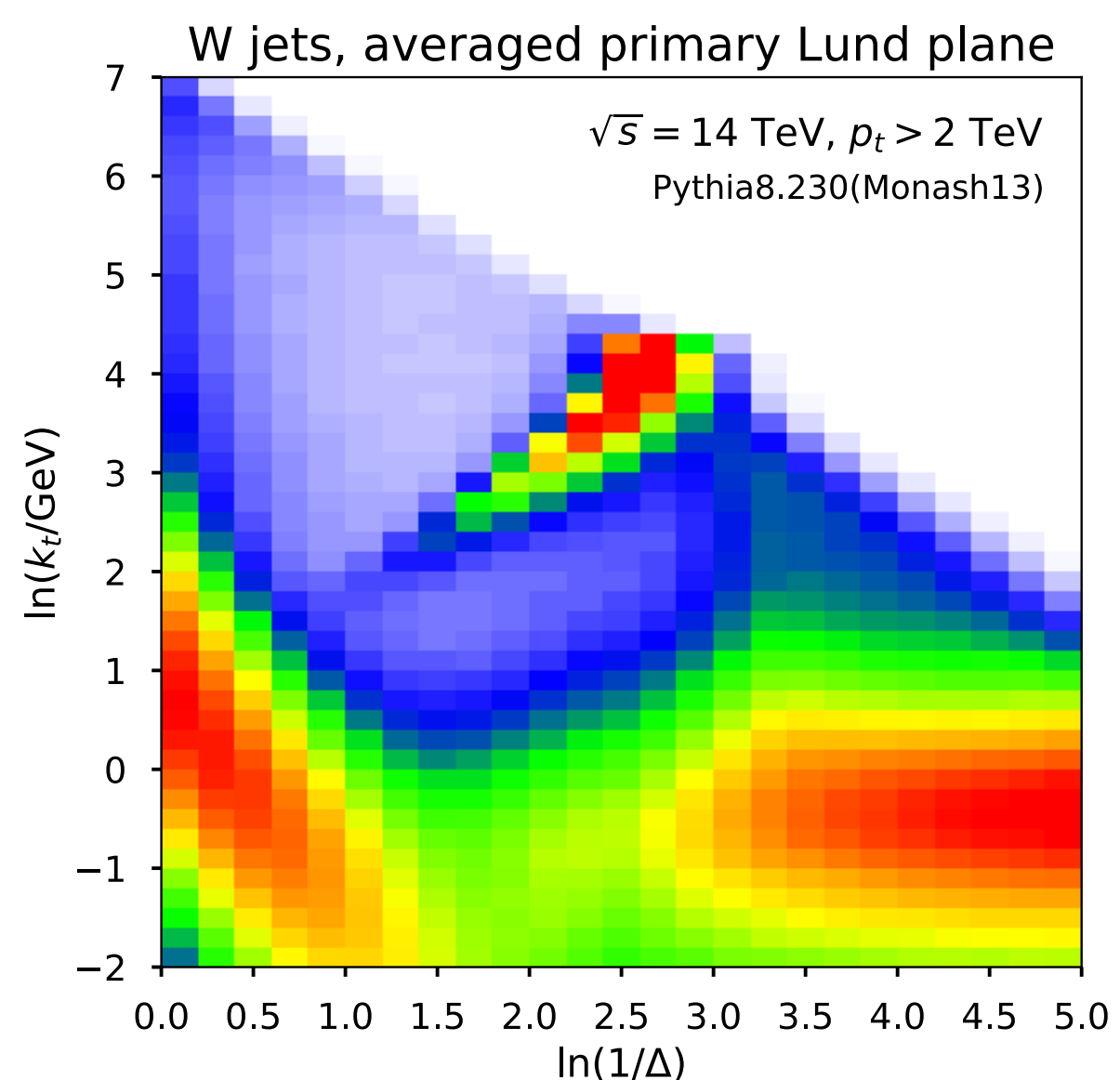
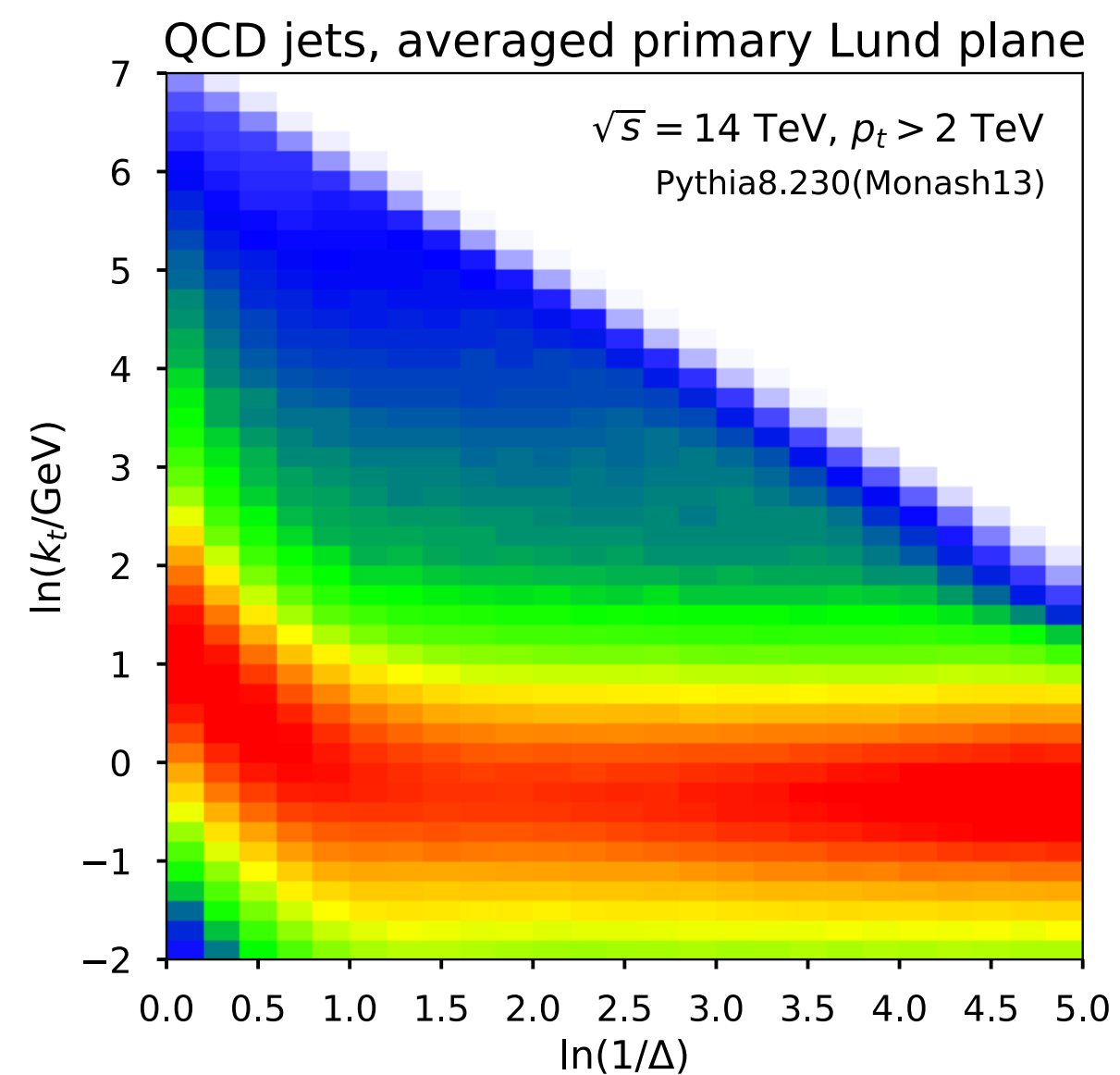
# Jet substructure

## Tagging

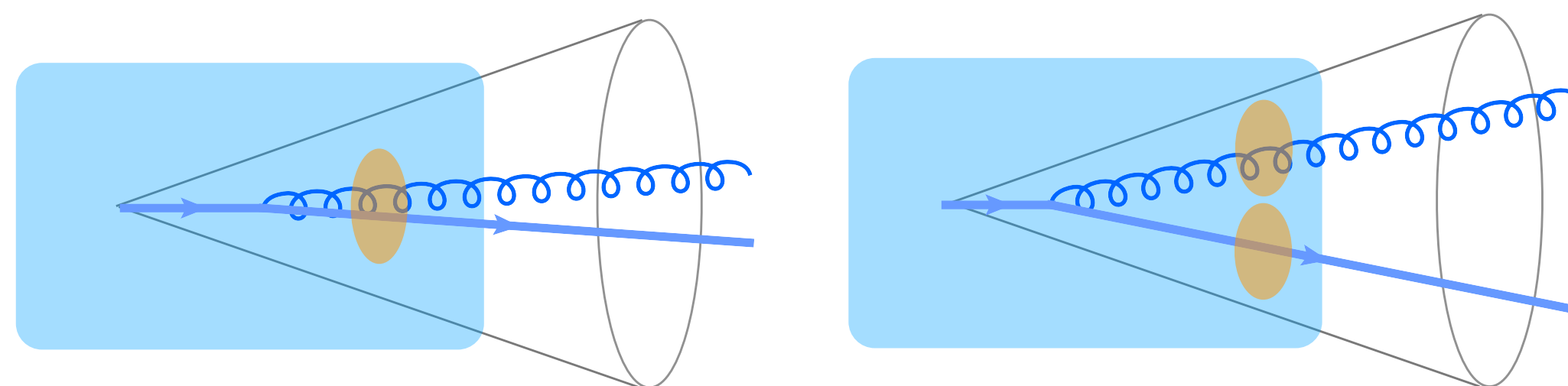
- Boosted objects
- Quark vs. gluon jets

## Fundamental QCD

- Understanding validity of perturbative vs. non-perturbative physics
- Probes of quark-gluon plasma



Dreyer, Salam, Soyez JHEP 12 (2018) 064

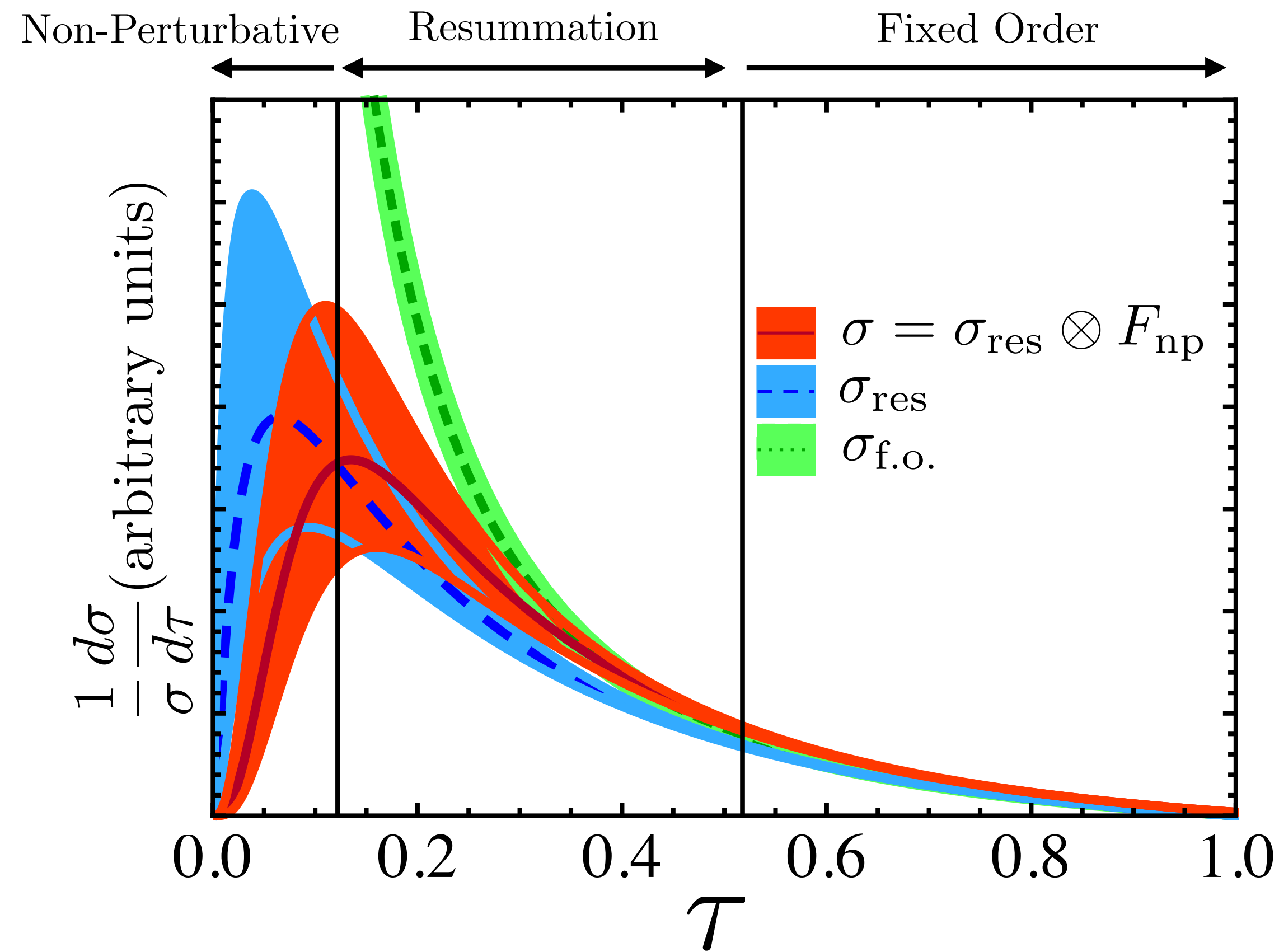


Y. Mehtar-Tani

→ **This talk**

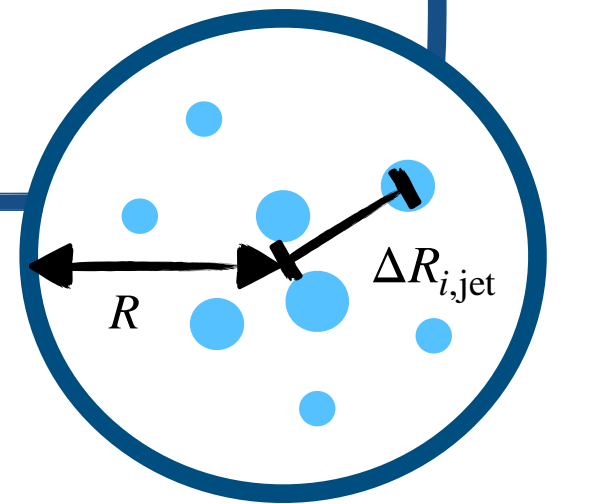


# Jet substructure in proton-proton collisions



Larkoski, Moult, Nachman JPR 841 1 (2020)

Jet substructure observables are sensitive to **specific regions** of QCD radiation phase space



Each observable has:

- Fixed-order regime —  $\mathcal{O}(\alpha_s^n)$
- Resummation regime — large logarithms to all orders in  $\alpha_s$
- Non-perturbative regime

# Jets with ALICE

ALICE reconstructs jets at midrapidity with a high-precision tracking system (ITS+TPC) and EMCal

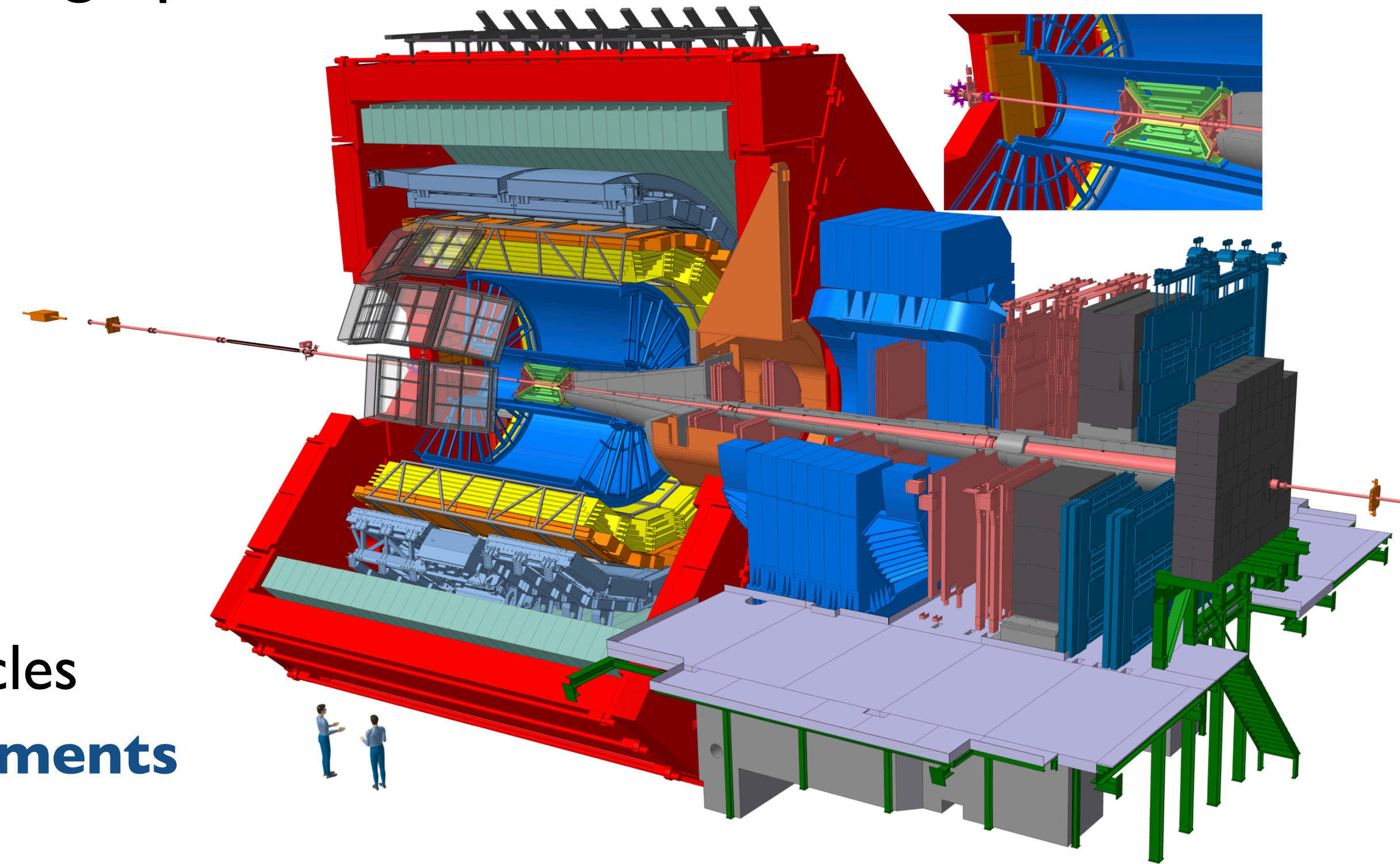
- $p_{T,\text{jet}} \approx 20 - 200 \text{ GeV}/c$
- $|\eta| < 0.9$

## Charged particle jets

- High-precision spatial resolution to resolve particles  
→ **Excellent for jet substructure measurements**

## Full jets (charged tracks + EMCal $\pi^0, \gamma$ )

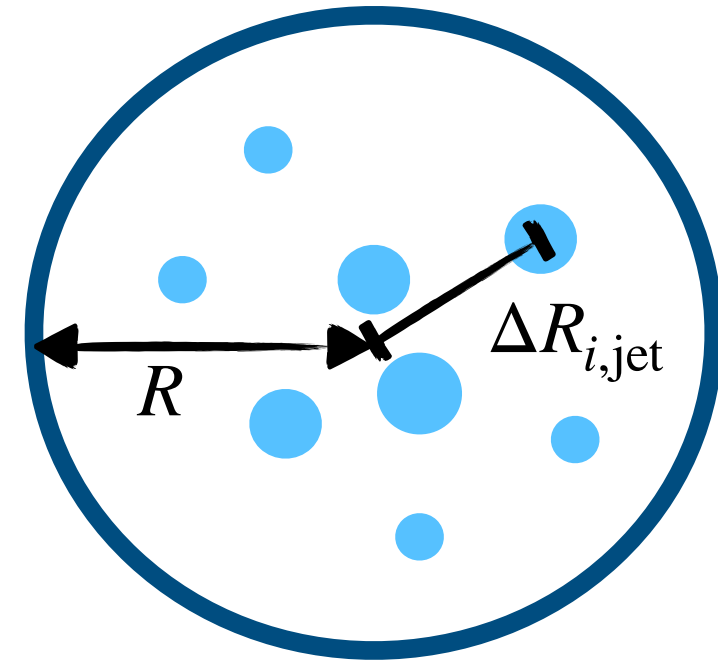
- More direct comparison to theory



# Jet angularities — pp

Class of IRC-safe observables:

$$\lambda_\alpha \equiv \sum_{i \in \text{jet}} z_i \theta_i^\alpha$$



$$z_i \equiv \frac{p_{T,i}}{p_{T,\text{jet}}}$$

$$\theta_i \equiv \frac{\Delta R_{i,\text{jet}}}{R}, \quad \Delta R_{i,\text{jet}} = \sqrt{\Delta y^2 + \Delta \varphi^2}$$

Continuous parameter  $\alpha > 0$  systematically varies weight of collinear radiation

*Almeida, Lee, Perez, Sterman, Sung, Virzi PRD 79 (2009) 074017*

*Larkoski, Thaler, Waalewijn JHEP 11 (2014) 129*

...

# Jet angularities — pp

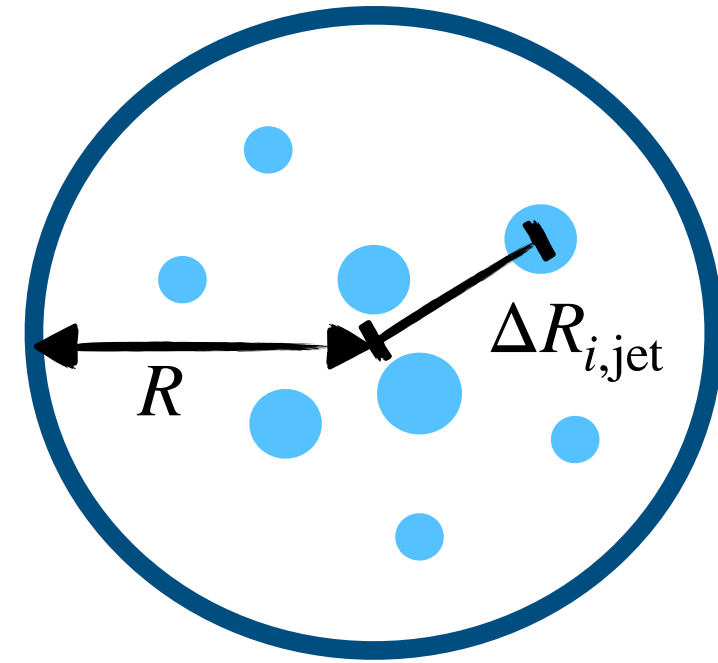
ALICE arXiv 2107.11303

New



Class of IRC-safe observables:

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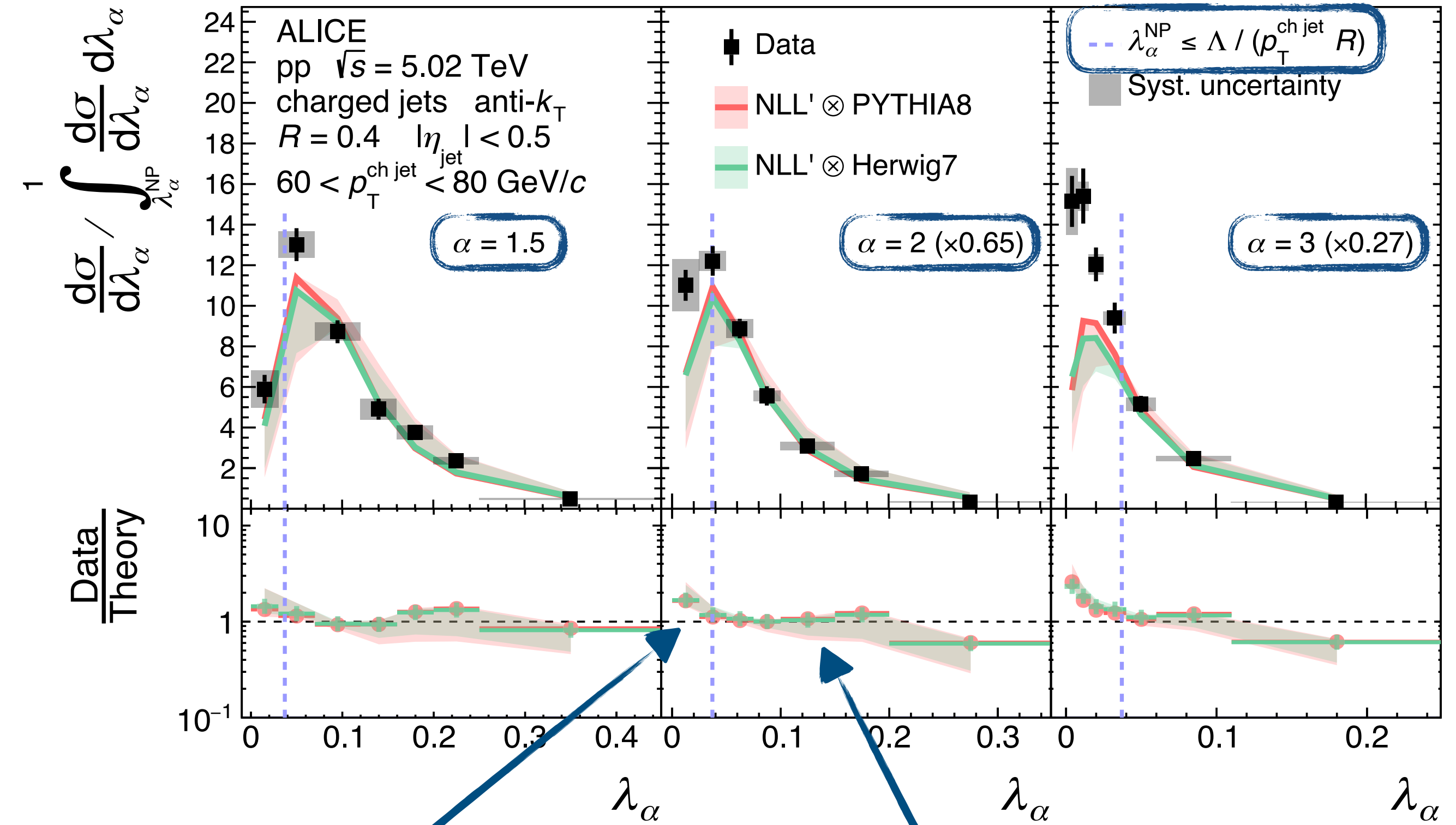
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Almeida, Lee, Perez, Sterman, Sung, Virzi PRD 79 (2009) 074017  
Larkoski, Thaler, Waalewijn JHEP 11 (2014) 129  
...

## New measurement of jet angularities



Small  $\lambda_\beta$ : Non-perturbative

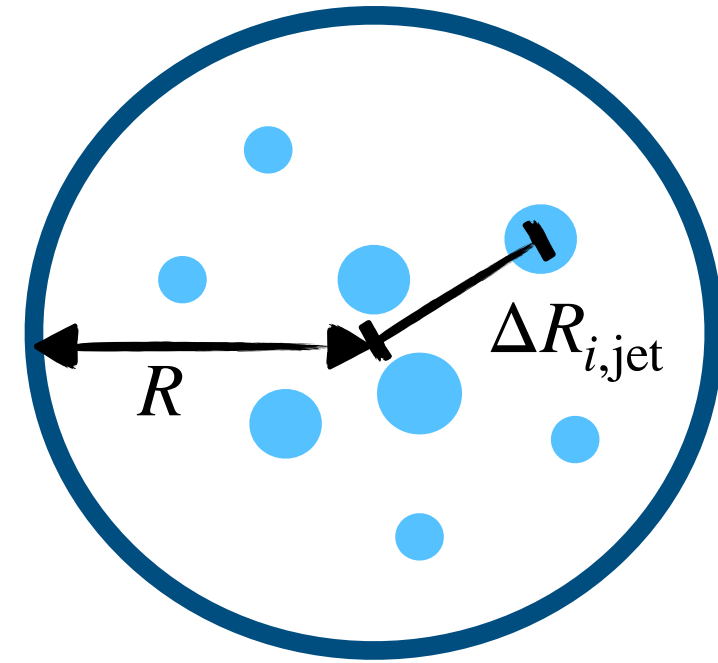
Larger  $\lambda_\beta$ : Good agreement with pQCD calculations

Kang, Lee, Ringer JHEP 04 (2018) 110

# Jet angularities — pp

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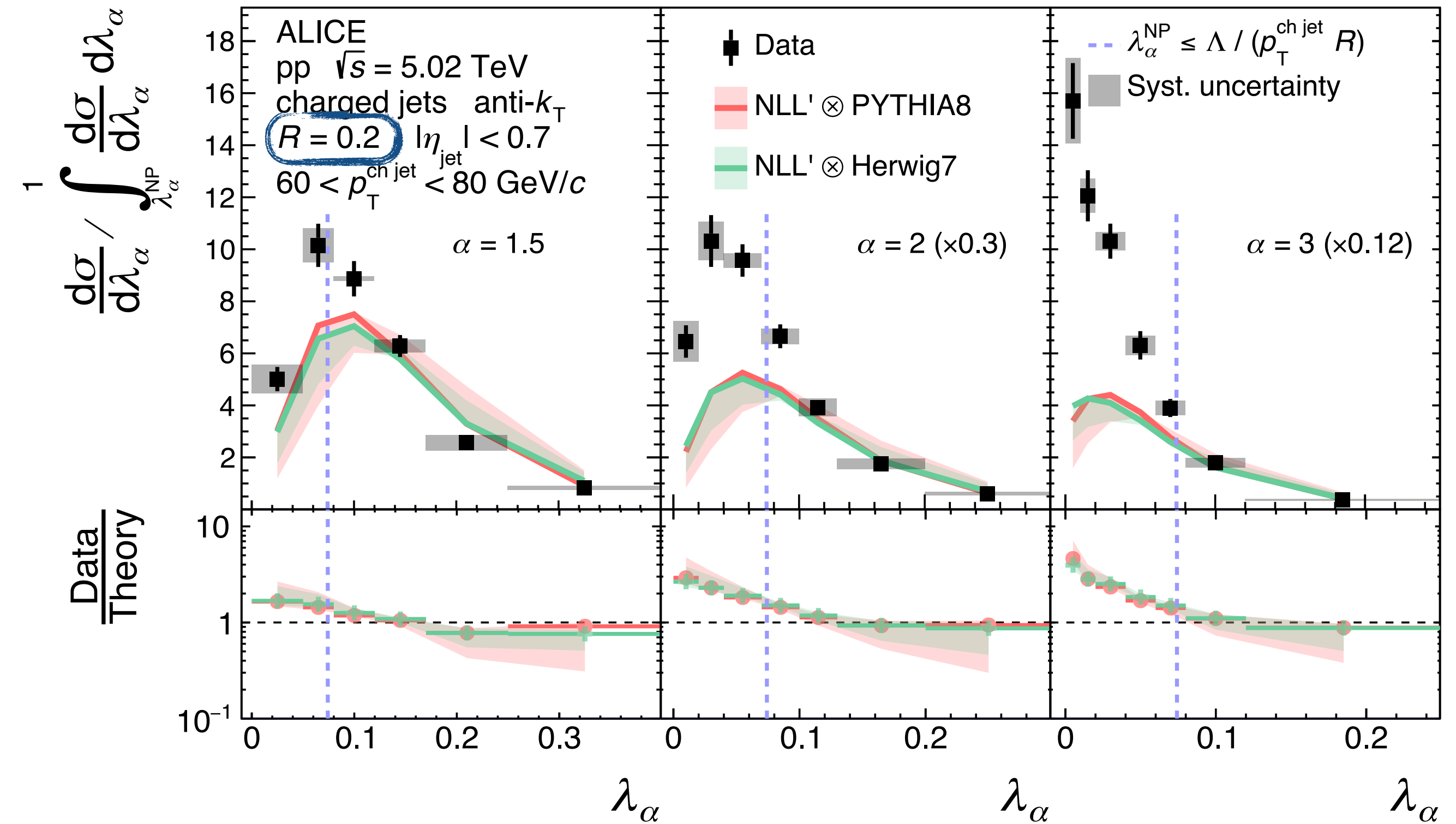
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Almeida, Lee, Perez, Sterman, Sung, Virzi PRD 79 (2009) 074017  
Larkoski, Thaler, Waalewijn JHEP 11 (2014) 129  
...

Smaller  $R \longrightarrow$  larger non-perturbative region




Most of the distribution can be non-perturbative — can spoil agreement in perturbative region due to self-normalization

# Jet angularities — pp



Non-perturbative shape function  
 $F(k)$  to describe hadronization  
and underlying event effects

$$\frac{d\sigma}{d\lambda_\alpha} = \int F(k) \frac{d\sigma^{\text{parton-level}}}{d\lambda_\alpha} \left( \lambda_\alpha - \frac{k}{p_T^{\text{jet}} R} \right) dk$$


$$F(k) = \frac{4k}{\Omega_\alpha^2} \exp\left(-\frac{2k}{\Omega_\alpha}\right)$$

Test predicted scaling of  $F(k)$  with  $\alpha$

$$\Omega_\alpha = \Omega / (\alpha - 1)$$

Korchensky, Sterman Nucl. Phys. B 555 1 (1999)

Stewart, Tackmann, Waalewijn PRL 114, 092001 (2015)

Kang, Lee, Ringer JHEP 04 (2018) 110

Kang, Lee, Liu, Ringer JHEP 10 (2018) 137

# Jet angularities — pp

ALICE arXiv 2107.11303

New



Non-perturbative shape function  $F(k)$  to describe hadronization and underlying event effects

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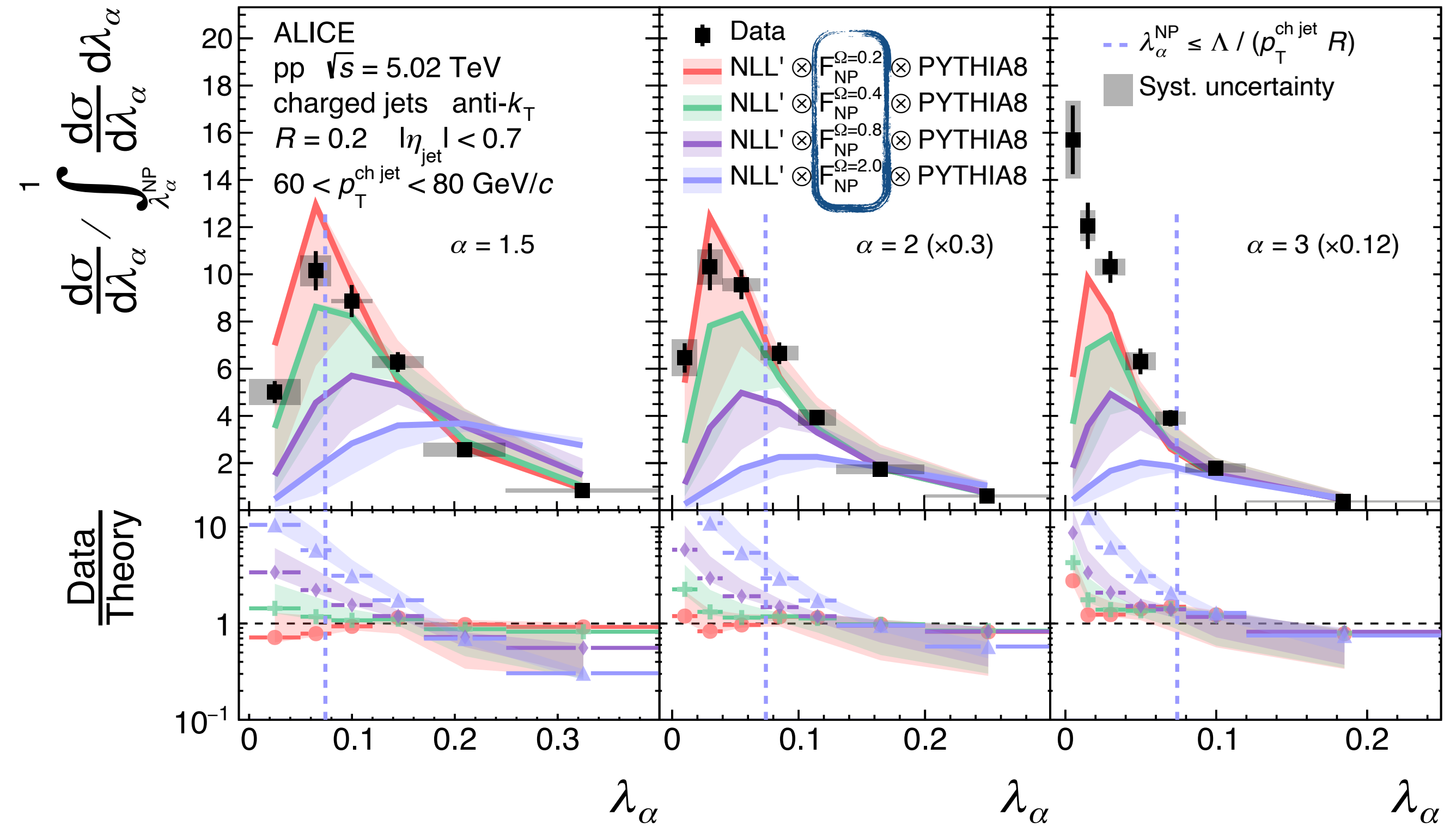
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Stewart, Tackmann, Waalewijn PRL 114, 092001 (2015)

Kang, Lee, Ringer JHEP 04 (2018) 110

Kang, Lee, Liu, Ringer JHEP 10 (2018) 137



Universal description of data for  $\Omega < 1$  GeV

See also: Kang, Lee, Liu, Ringer JHEP 10 (2018) 137

# Jet angularities — pp

Groomed jet angularities:

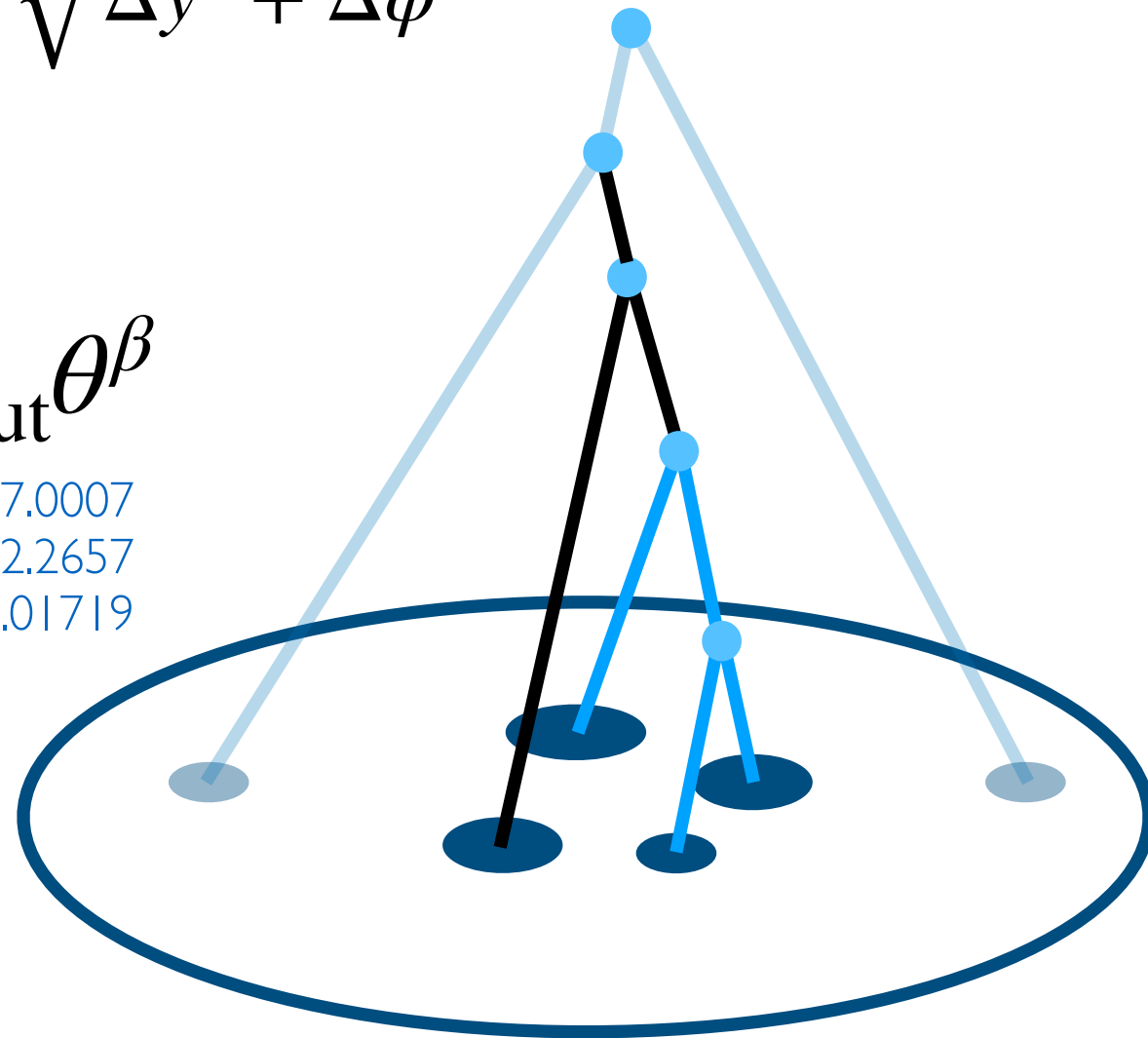
$$\lambda_{\alpha,g} \equiv \sum_{i \in \text{groomed jet}} z_i \theta_i^\alpha$$

$$z_i \equiv \frac{p_{T,i}}{p_{T,\text{jet}}}$$

$$\theta_i \equiv \frac{\Delta R_{i,\text{jet}}}{R}, \quad \Delta R_{i,\text{jet}} = \sqrt{\Delta y^2 + \Delta \phi^2}$$

Soft Drop:  $z < z_{\text{cut}} \theta^\beta$

Dasgupta, Fregoso, Marzani, Salam | 307.0007  
Larkoski, Marzani, Soyez, Thaler | 402.2657  
Larkoski, Marzani, Thaler | 502.01719





# Jet angularities — pp

ALICE arXiv 2107.11303

New

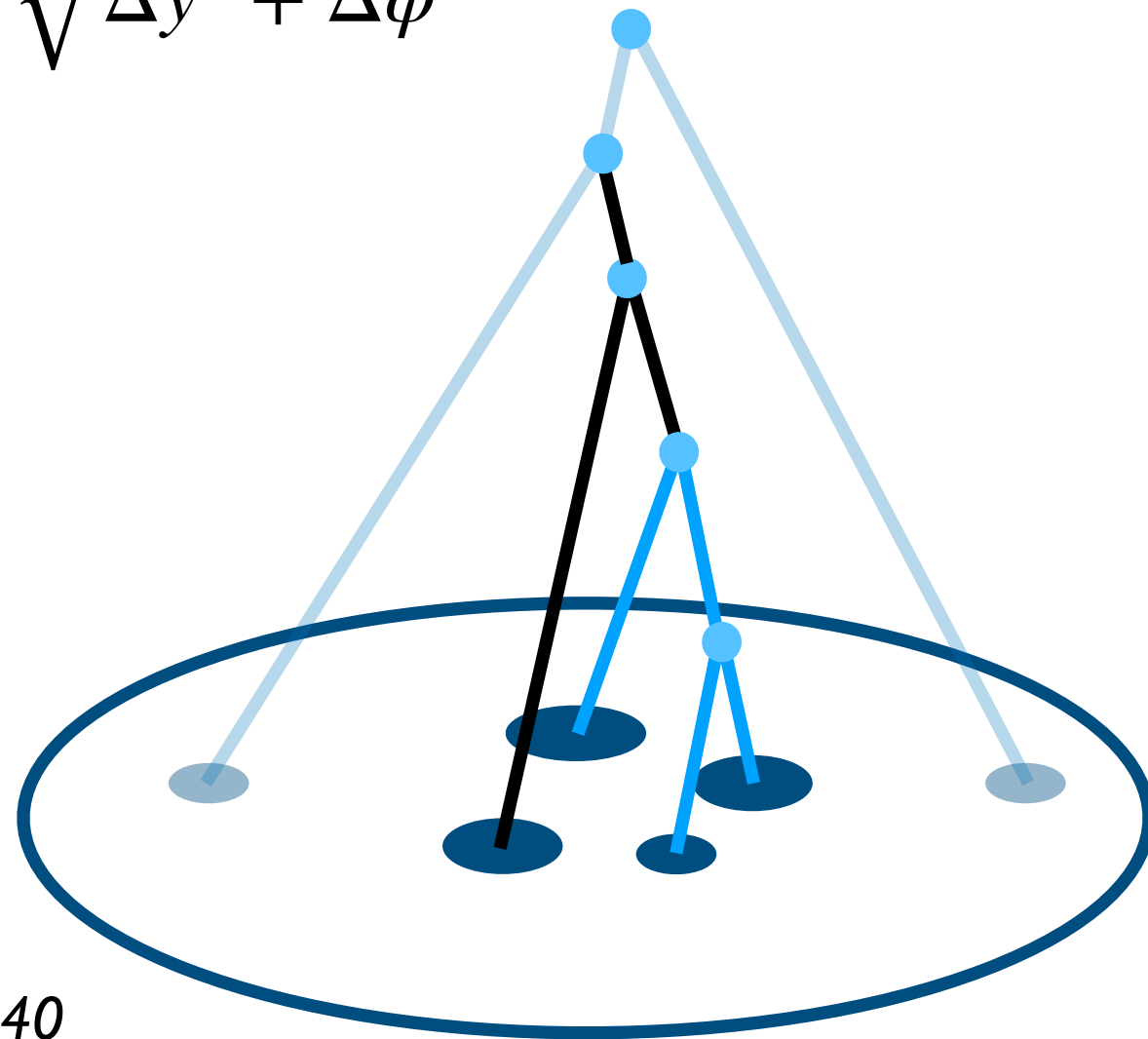


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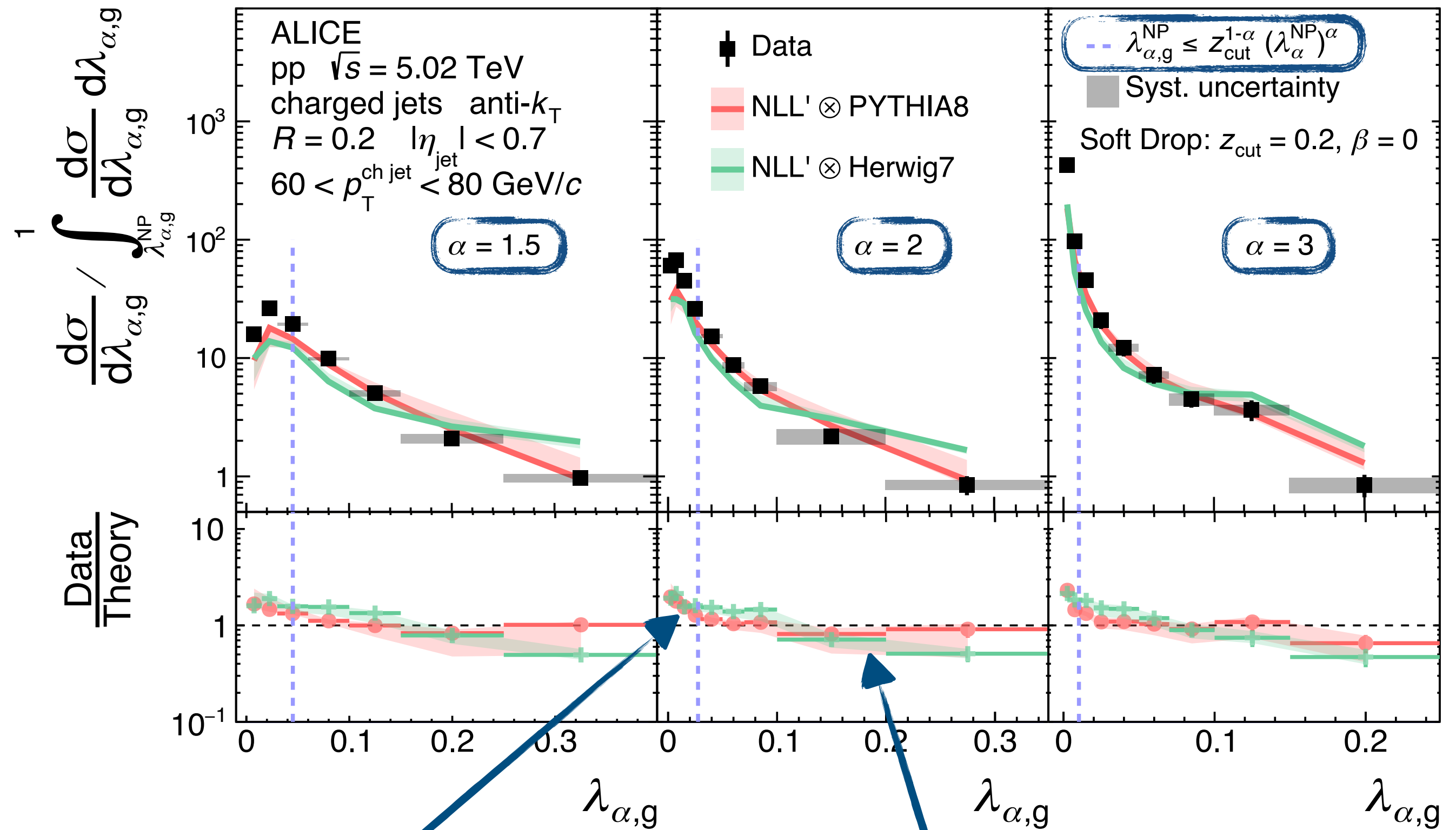
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$$\theta_i \equiv \frac{\Delta R_{i,\text{jet}}}{R}, \quad \Delta R_{i,\text{jet}} = \sqrt{\Delta y^2 + \Delta \phi^2}$$



See also: CMS arXiv 2109.03340

Soft Drop grooming recovers perturbative physics



Small  $\lambda_\beta$ : Non-perturbative

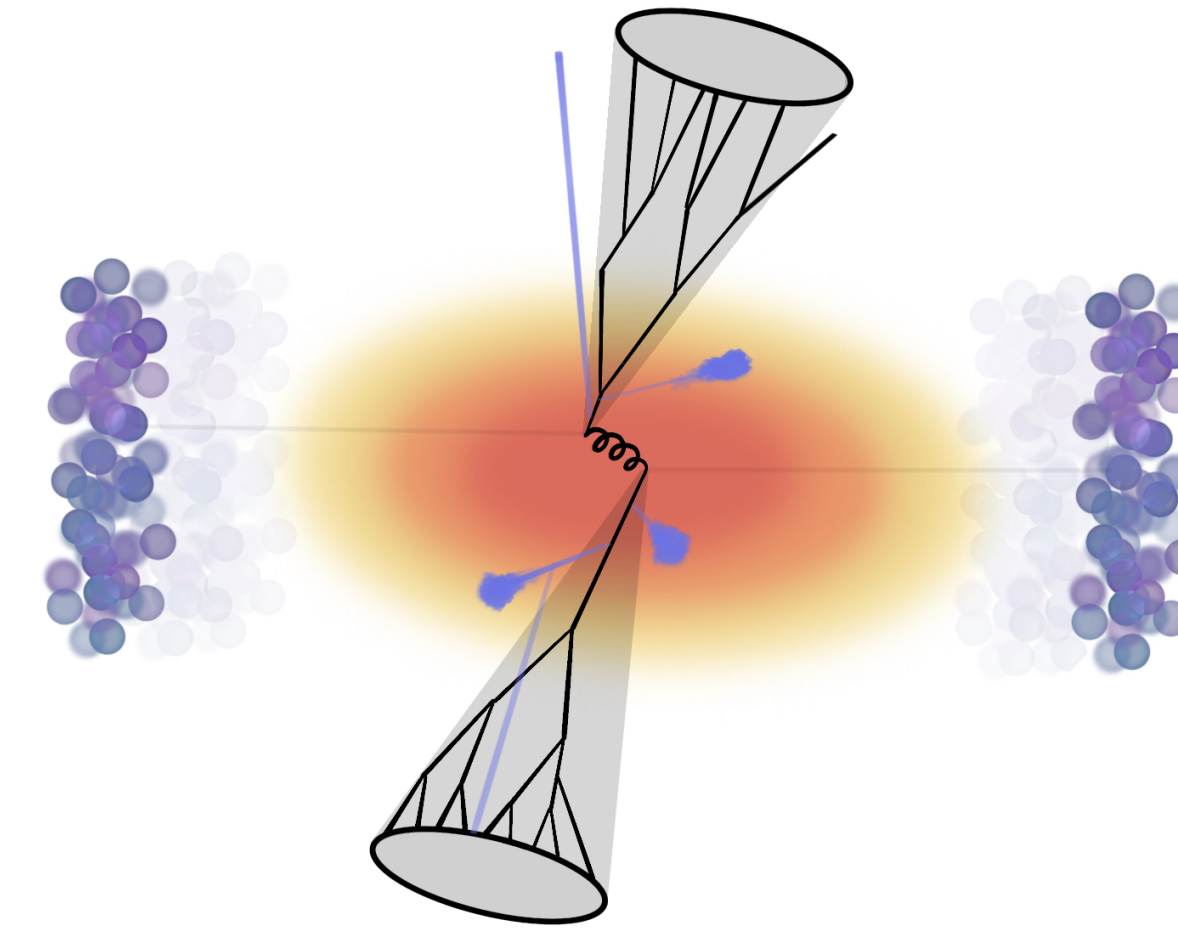
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Kang, Lee, Liu, Ringer PLB 793 (2019) 41

# Jet quenching in heavy-ion collisions

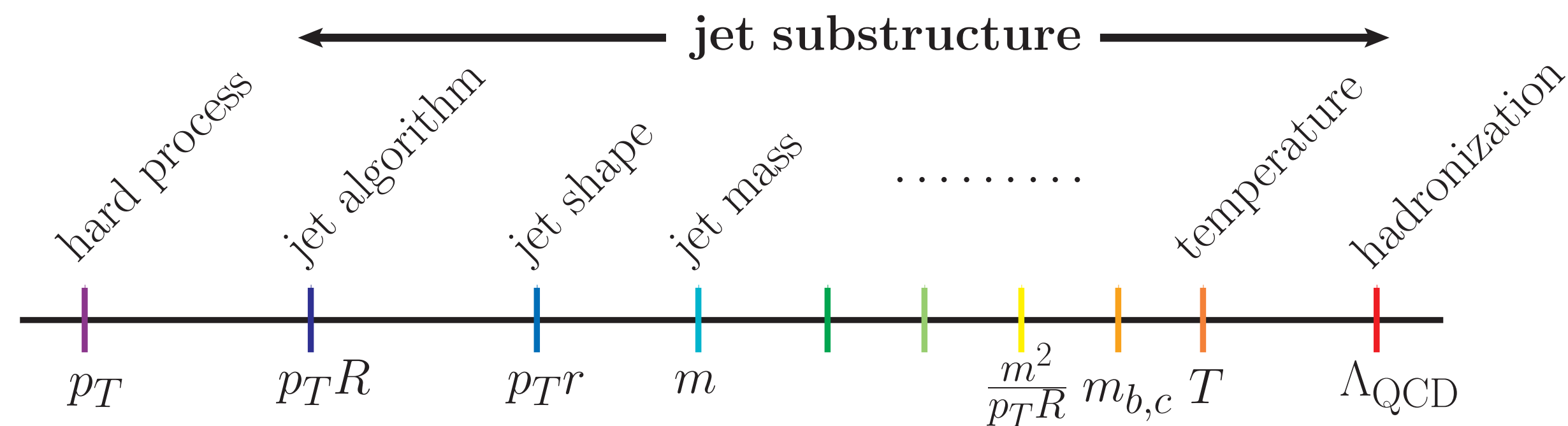
## Theoretical challenges in AA

- Strongly-coupled vs. weakly coupled interaction?
- Factorization in AA?
- Spacetime picture of parton shower?
- ...

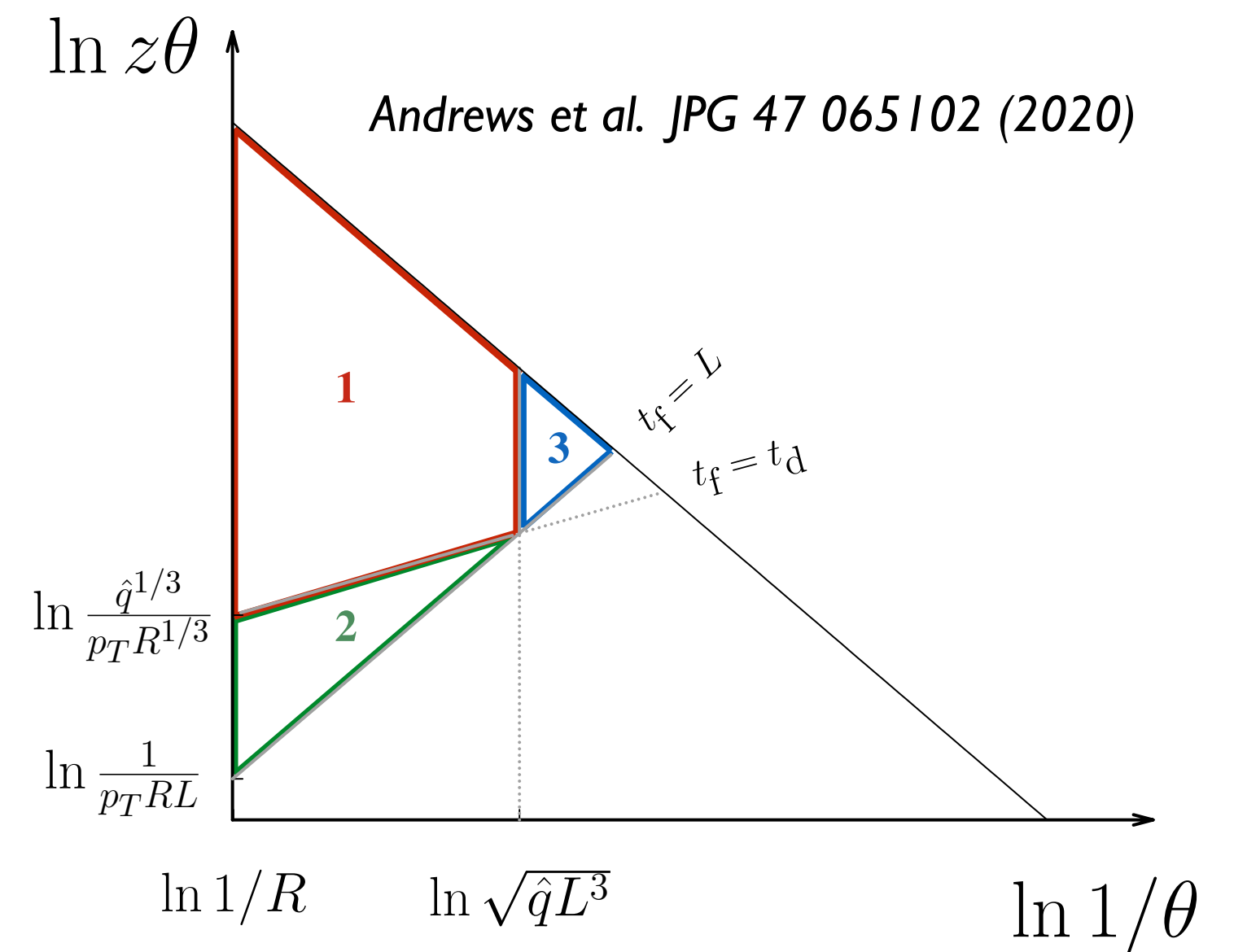


## Jet substructure is an appealing tool to disentangle these

- Target specific regions of phase space



Yang-Ting Chien, QM2018

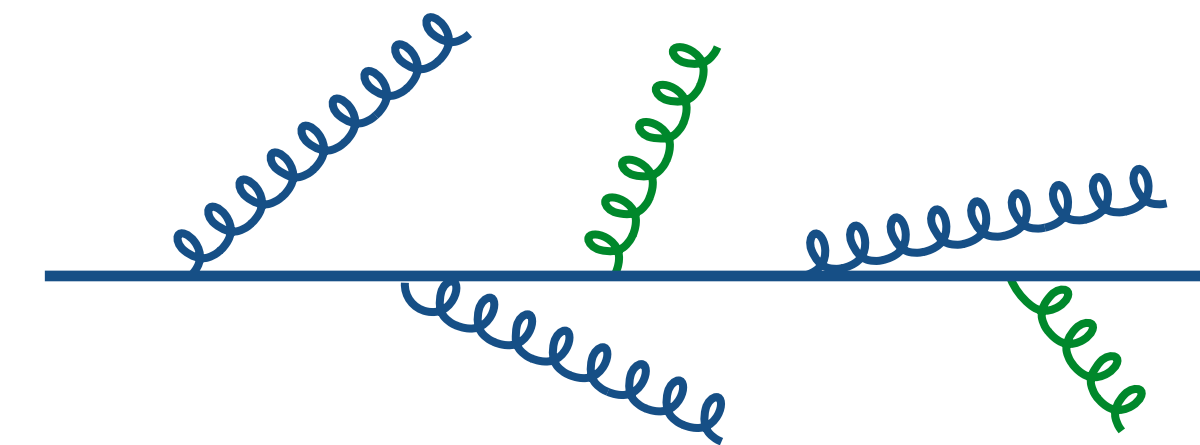


# Jet quenching in heavy-ion collisions

State-of-the-art jet quenching models include:

- **Modified jet fragmentation**, such as:

- Medium-induced soft gluon radiation *e.g. JEWEL JHEP 03 (2013) 080*
- SCET-based factorization with modified jet function *e.g. Ringer et al. PRL 122 (2019) 25*
- Strongly-coupled AdS/CFT-based drag *e.g. Hybrid Model JHEP 09 (2015) 175*
- ...

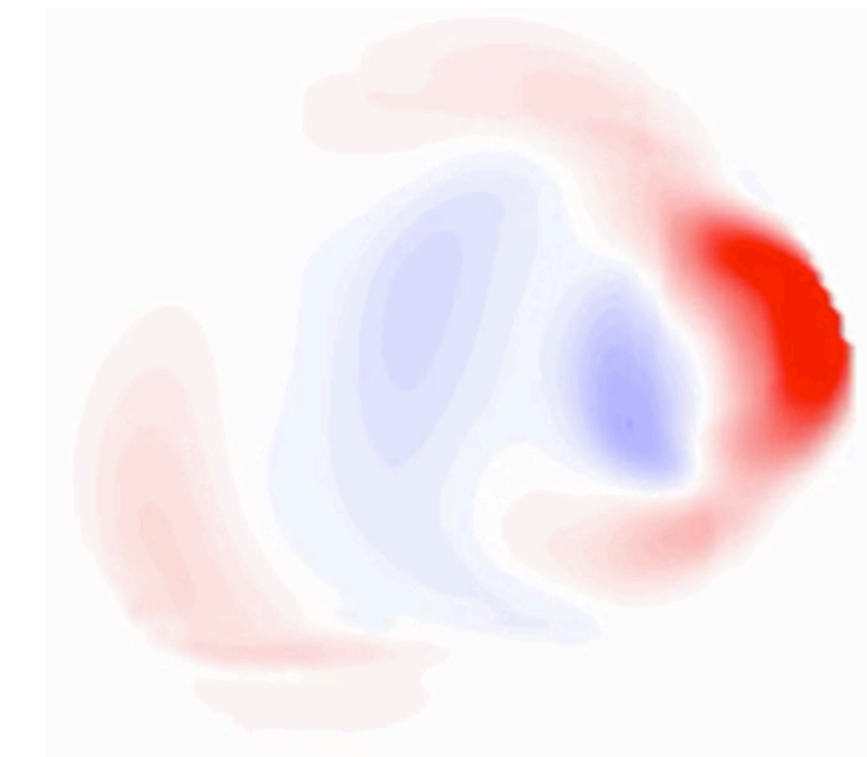


- **Expanding medium described by relativistic viscous hydrodynamics**

- Tuned to measurements of soft observables  
*e.g. JETSCAPE PRC 103, 054904 (2021)*

- **Medium response and transport**

- Measurements include all energy flow correlated to hard jet  
*e.g. LBT PRC 91 (2015) 054908*

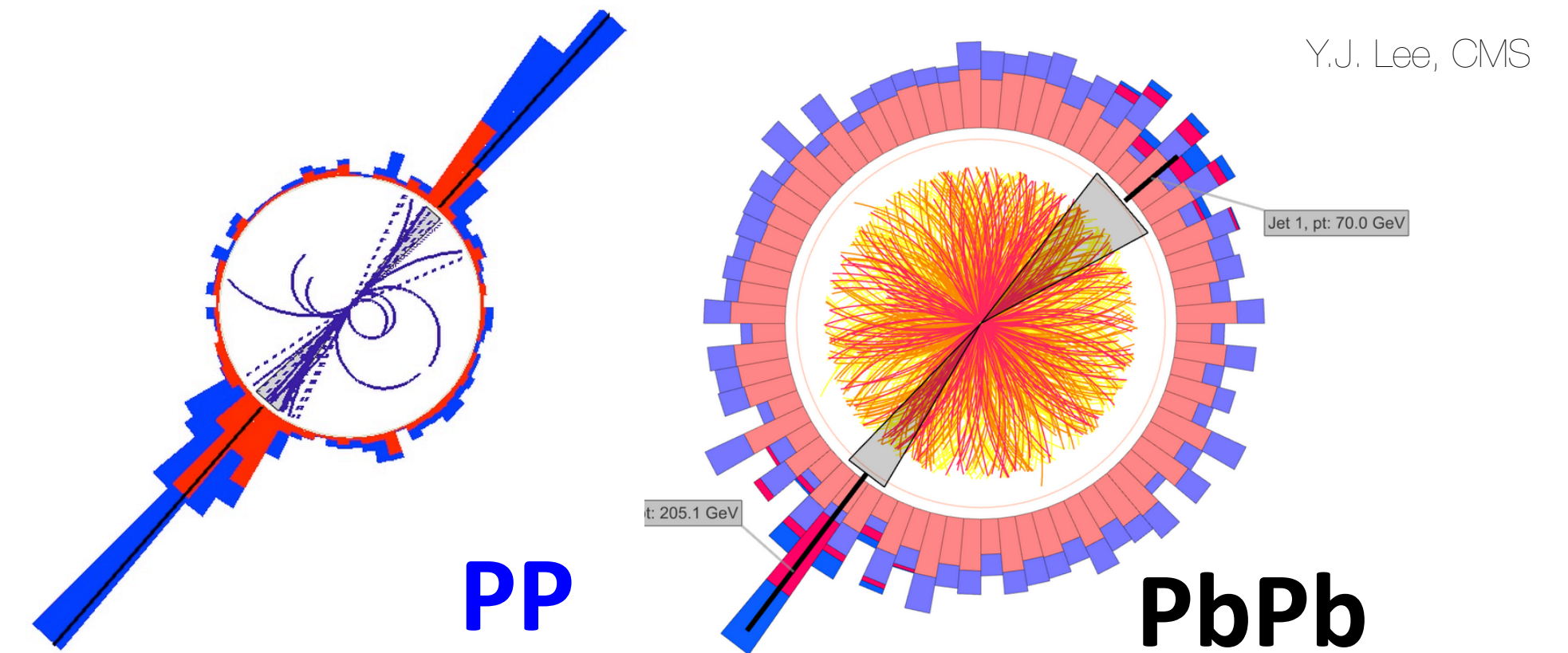


Major advances in last decade — but large landscape of models remain to be differentiated

# Heavy-ion collisions: Background

Heavy-ion collisions produce a large underlying event due to the hadronization of the QGP

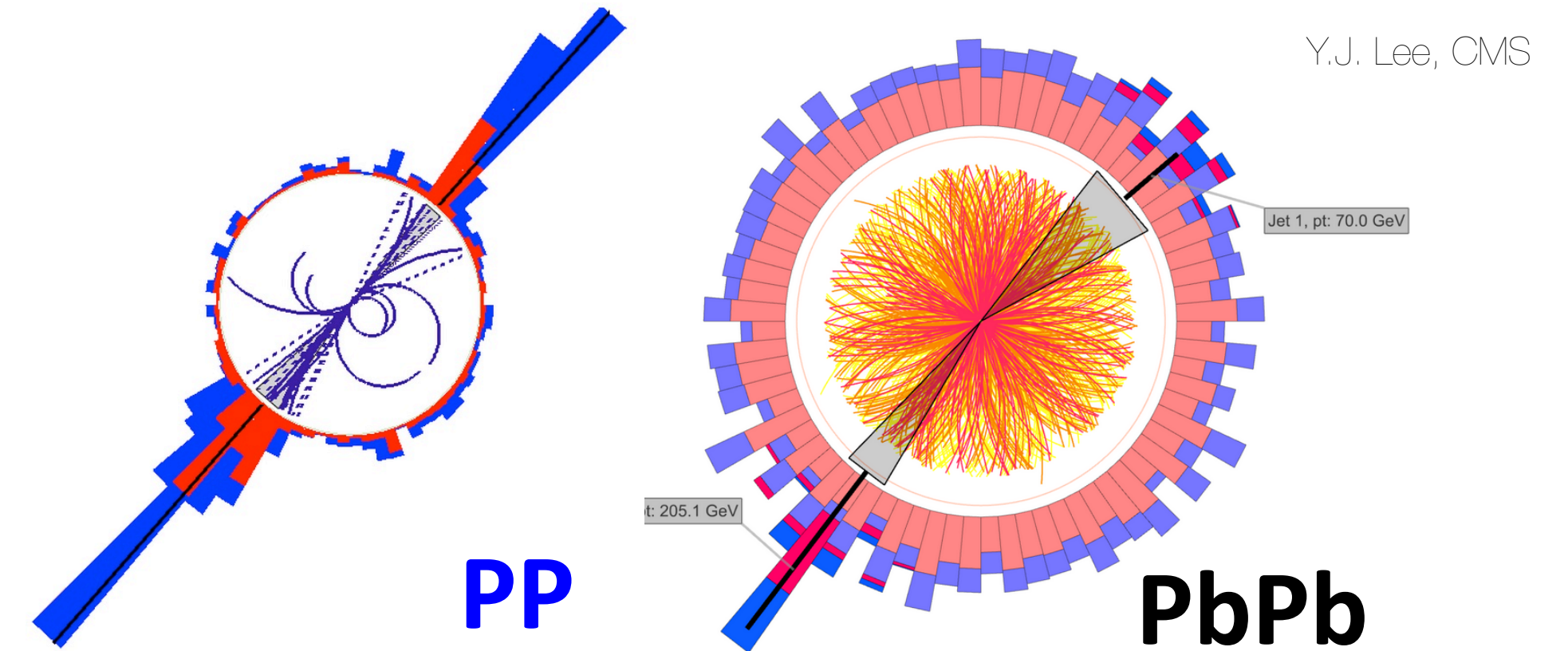
$$p_T^{\text{UE}} \approx 100 \text{ GeV}/c \text{ for } R = 0.4 \text{ jet}$$



# Heavy-ion collisions: Background

Heavy-ion collisions produce a large underlying event due to the hadronization of the QGP

$$p_T^{\text{UE}} \approx 100 \text{ GeV}/c \text{ for } R = 0.4 \text{ jet}$$



Background effects must be corrected for, in addition to detector effects

- Measurements challenging at low- $p_T$ , large- $R$

*ALICE JHEP 09 (2015) 170*

*CMS JHEP 05 (2021) 284*

...

- Mis-tagging of substructure objects: groomed splittings, leading subjets

*JM, Ploskon PRC 102 (2020) 044913*

**pp**

Soft Drop  $z_{\text{cut}} = 0.1$

CA reclustering

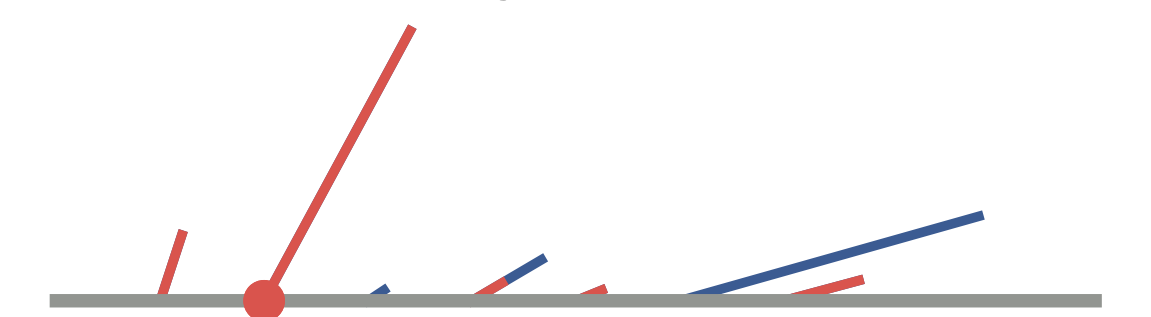
$p_{T, \text{jet}} = 49 \text{ GeV}/c$



**pp + thermal**

— PYTHIA

— Background

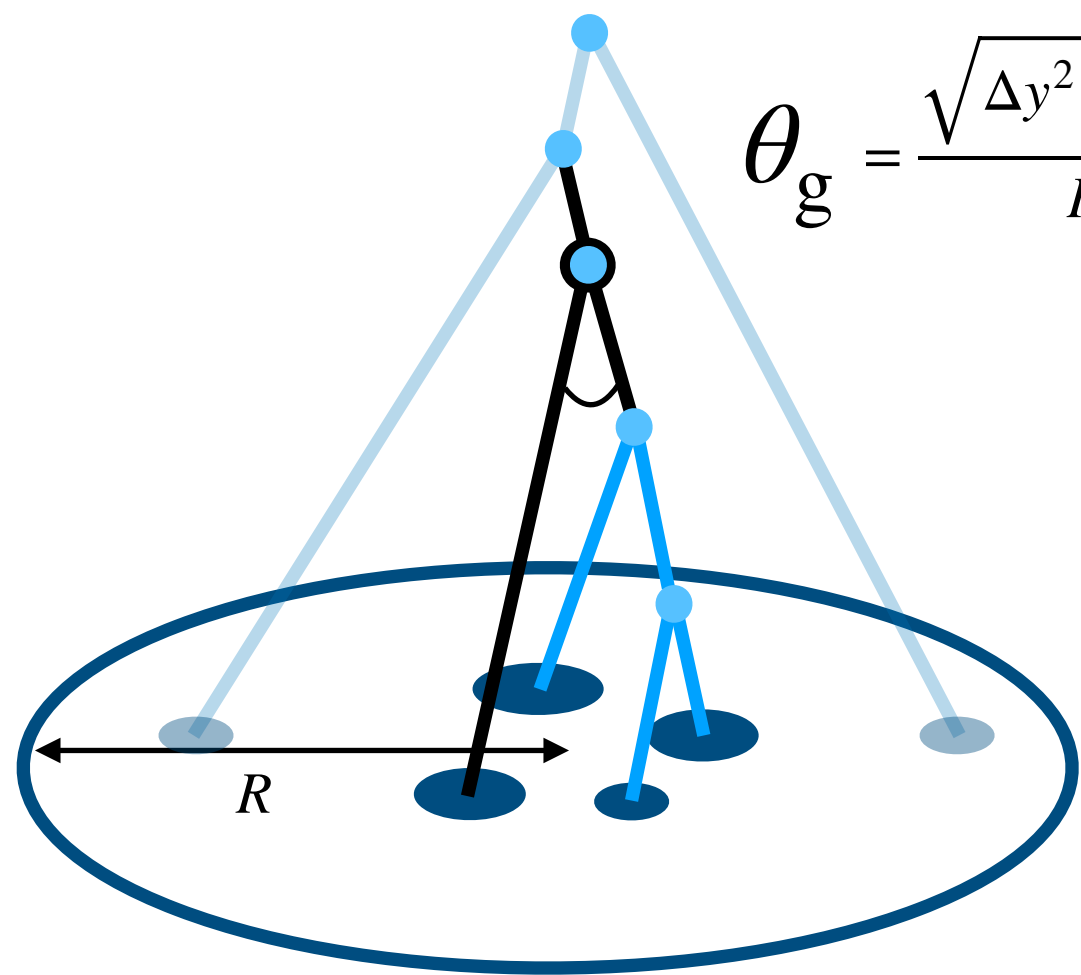


# Groomed substructure — Pb-Pb

How is the hard jet substructure modified in heavy-ion collisions?

$$z_g \equiv \frac{p_{T,\text{subleading}}}{p_{T,\text{leading}} + p_{T,\text{subleading}}}$$

$$\theta_g = \frac{\sqrt{\Delta y^2 + \Delta\phi^2}}{R}$$



Grooming condition:  $z > z_{\text{cut}} = 0.2$

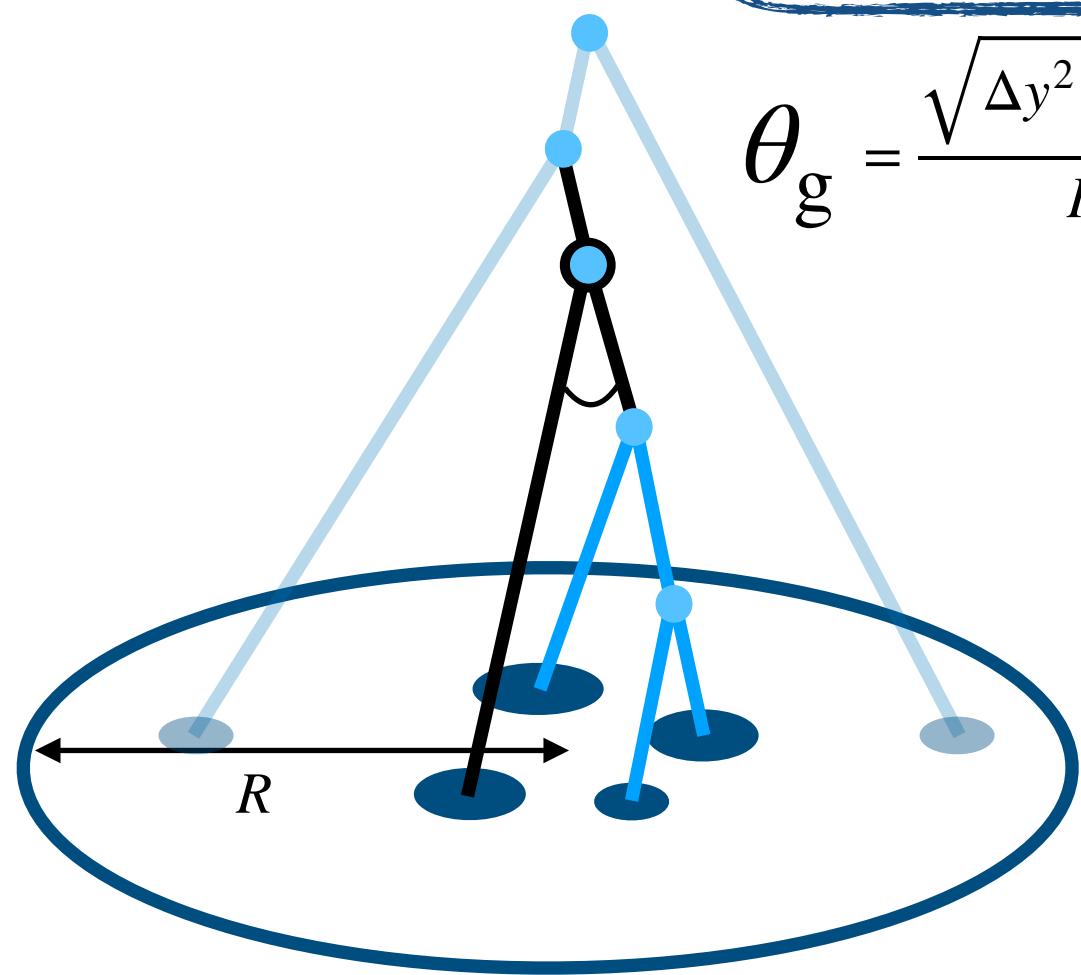
# Groomed substructure — Pb-Pb



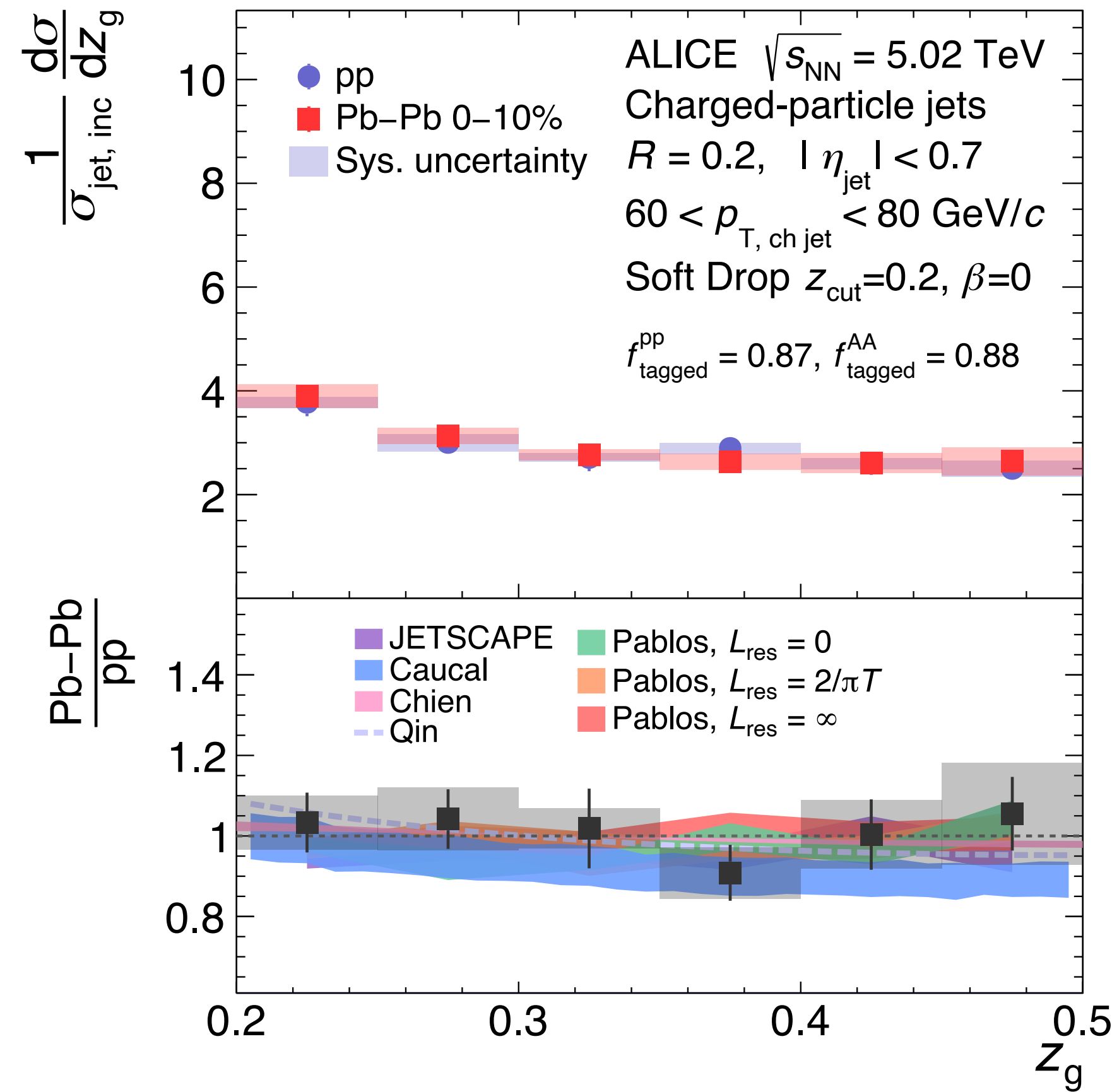
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Grooming condition:  $z > z_{\text{cut}} = 0.2$



No significant modification in  $z_g$  distribution

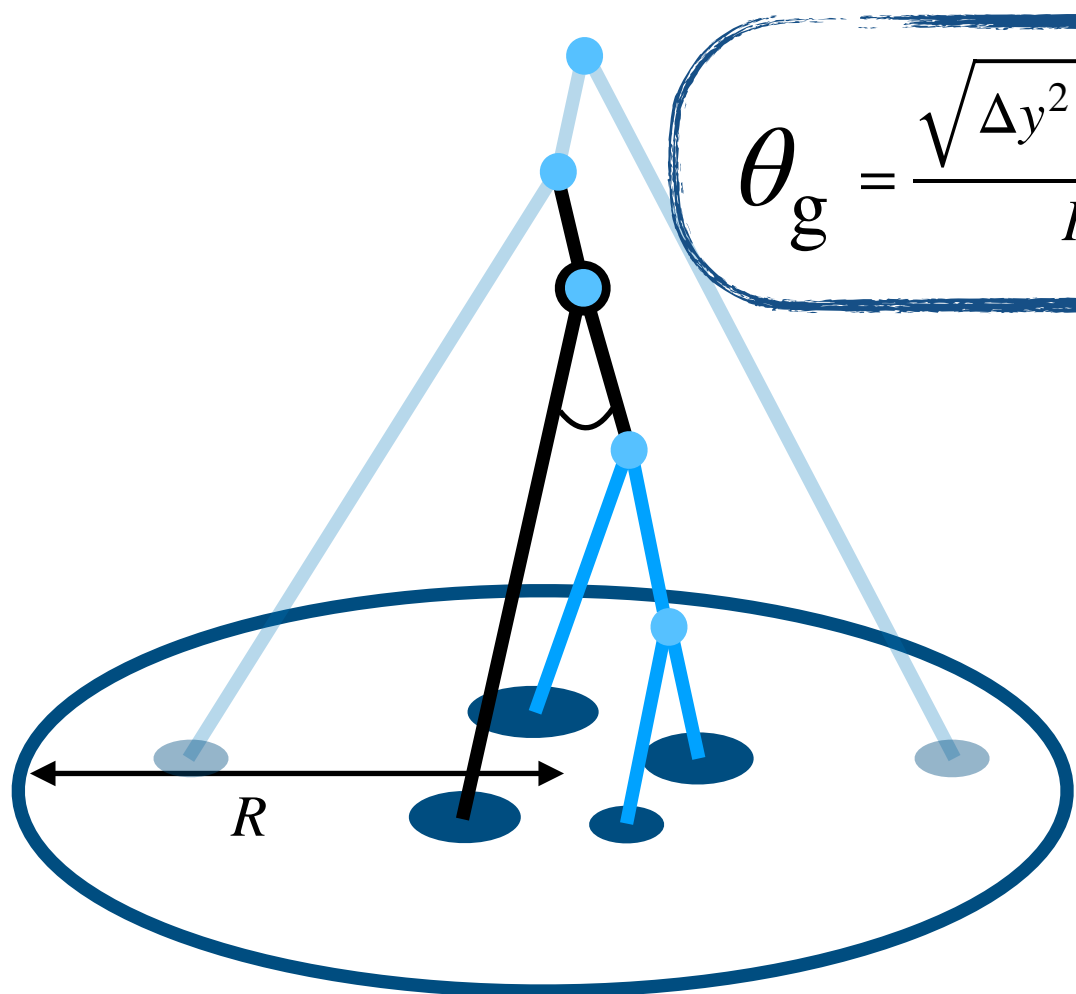
# Groomed substructure — Pb-Pb

New

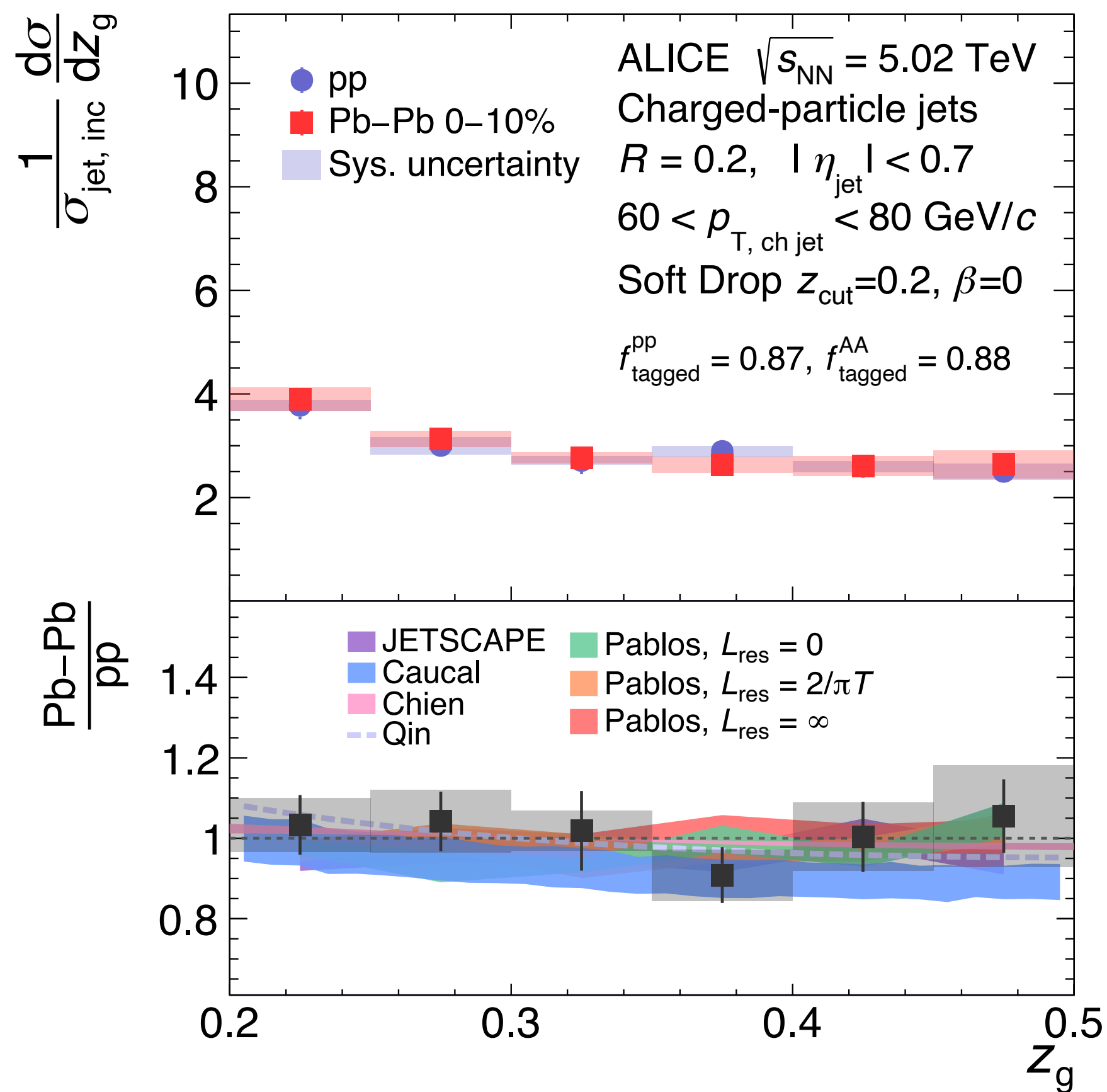
How is the hard jet substructure modified in heavy-ion collisions?

$$z_g \equiv \frac{p_{T,\text{subleading}}}{p_{T,\text{leading}} + p_{T,\text{subleading}}}$$

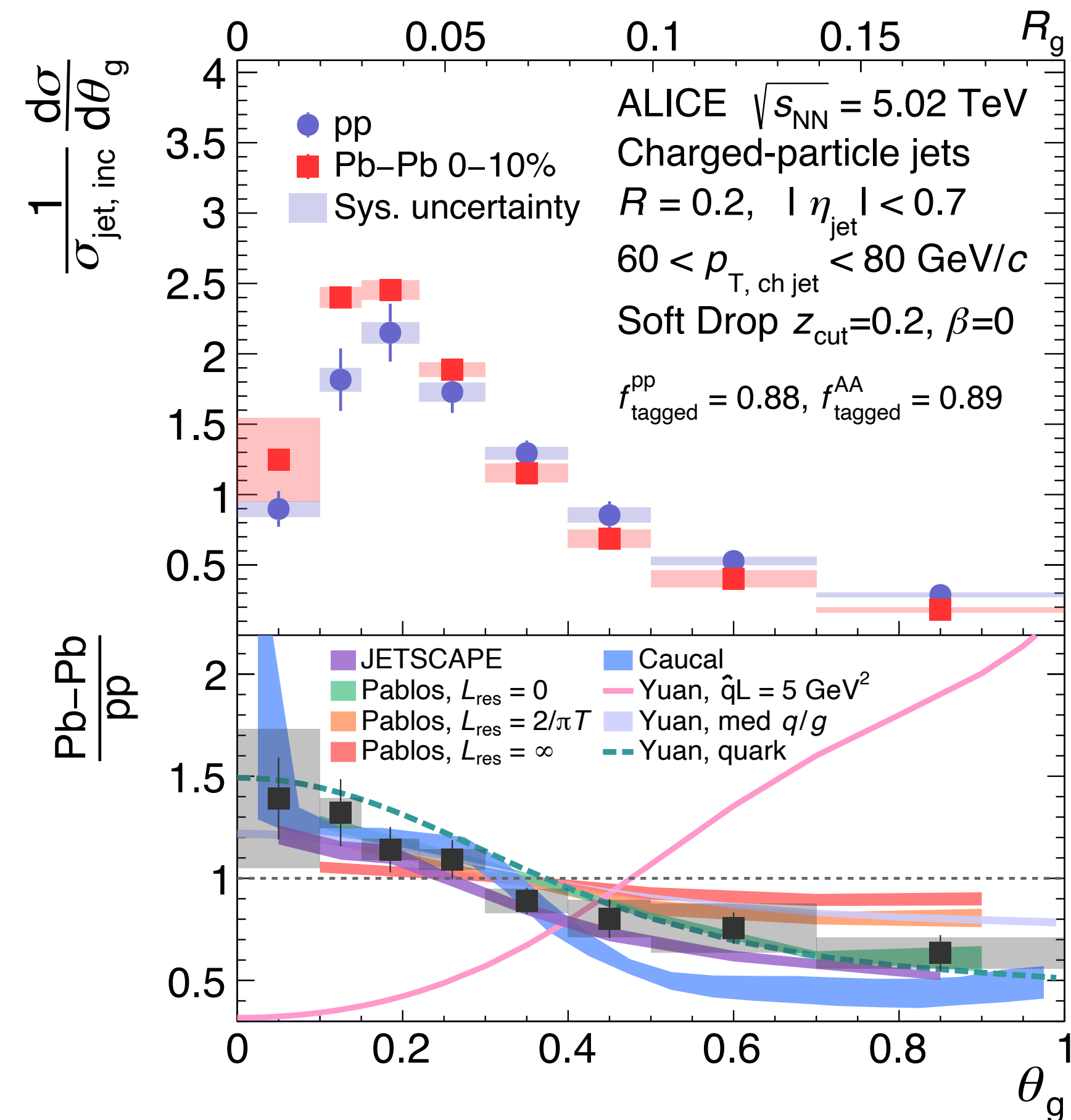
$$\theta_g = \frac{\sqrt{\Delta y^2 + \Delta \varphi^2}}{R}$$



Grooming condition:  $z > z_{\text{cut}} = 0.2$



No significant modification in  $z_g$  distribution



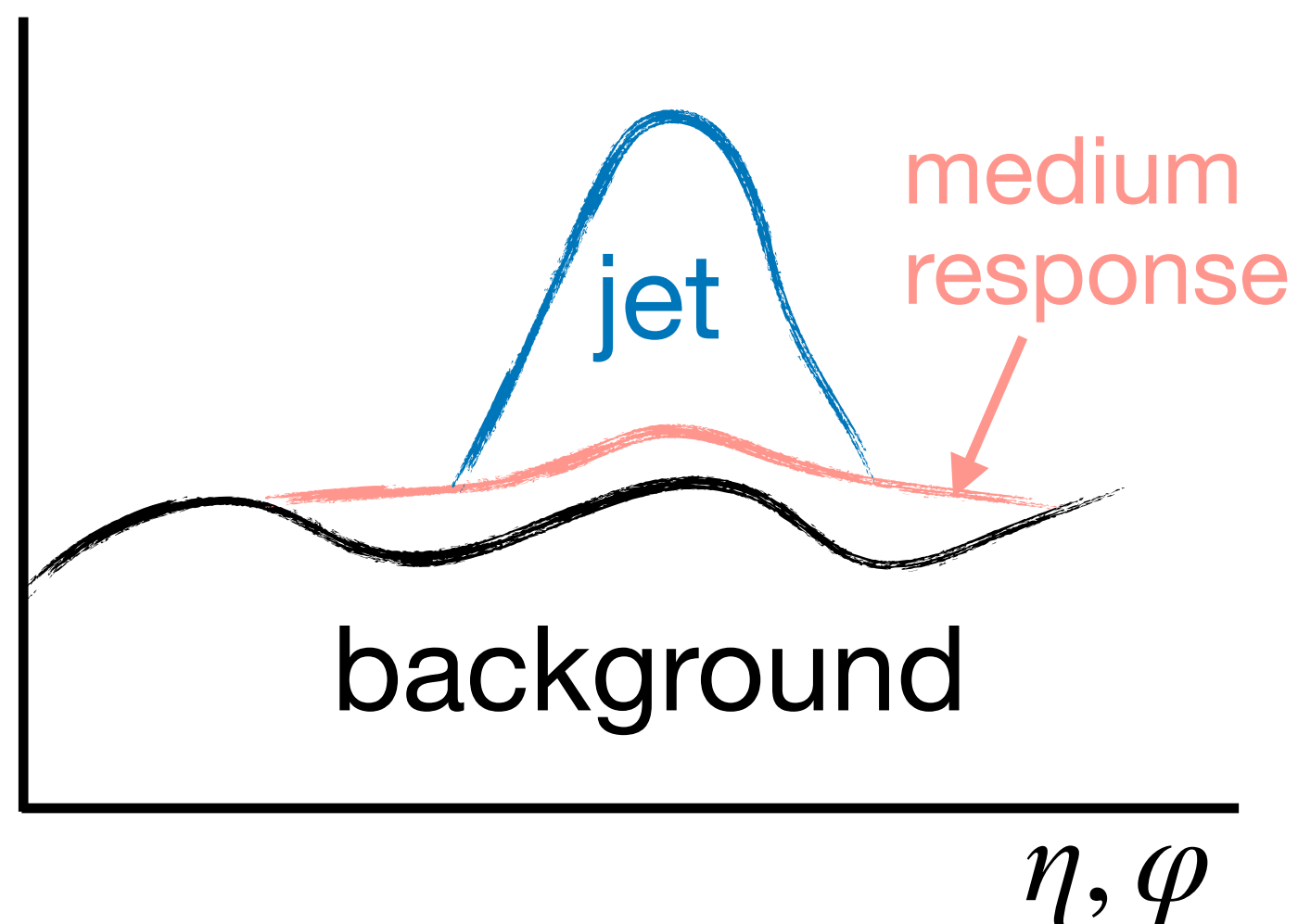
The cores of jets are narrower in Pb-Pb compared to pp collisions

Sensitive to QGP resolution length  
 → Microscopic structure of QGP



# Ungroomed jet substructure

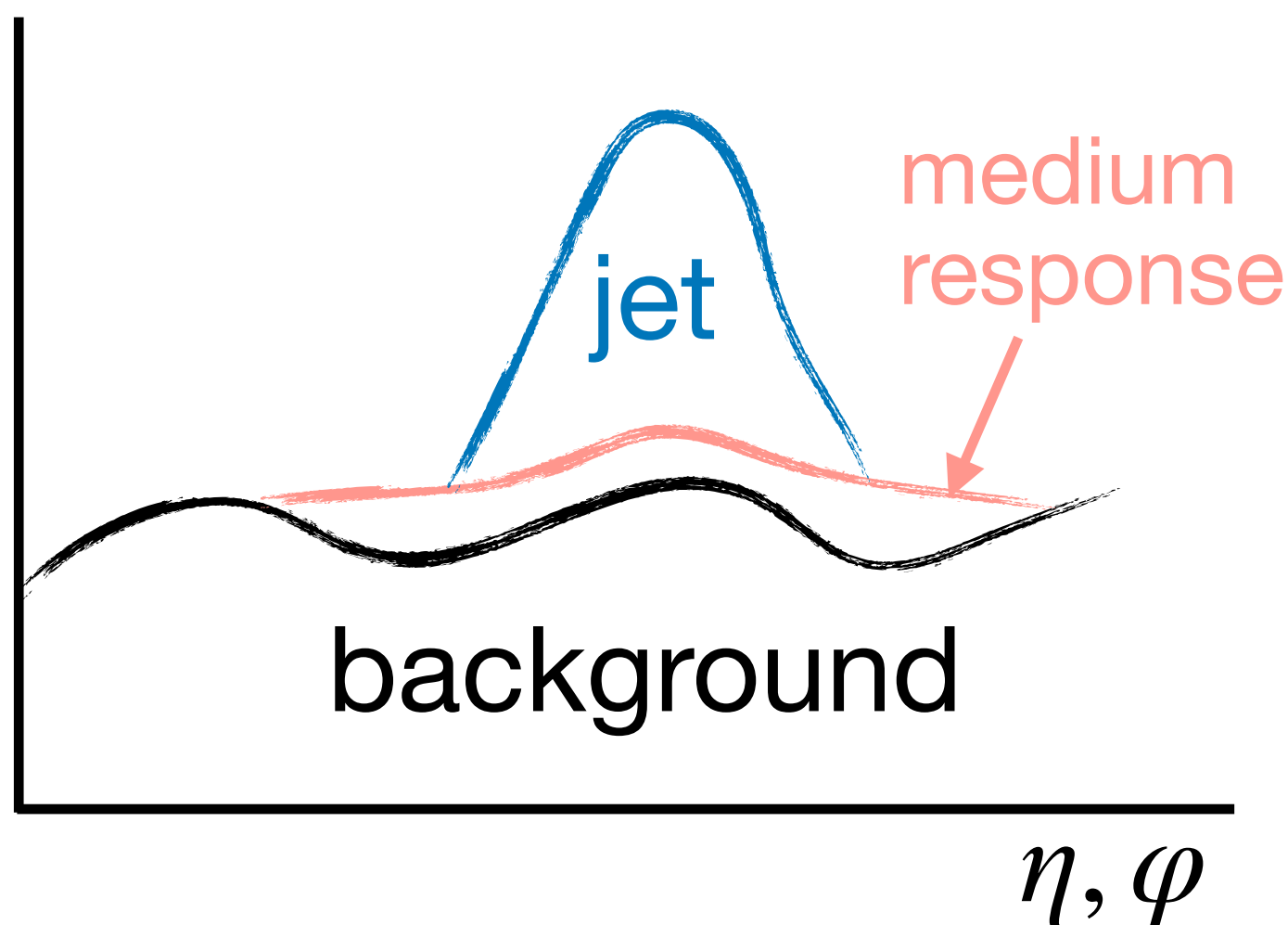
How is the soft jet substructure modified in heavy-ion collisions?



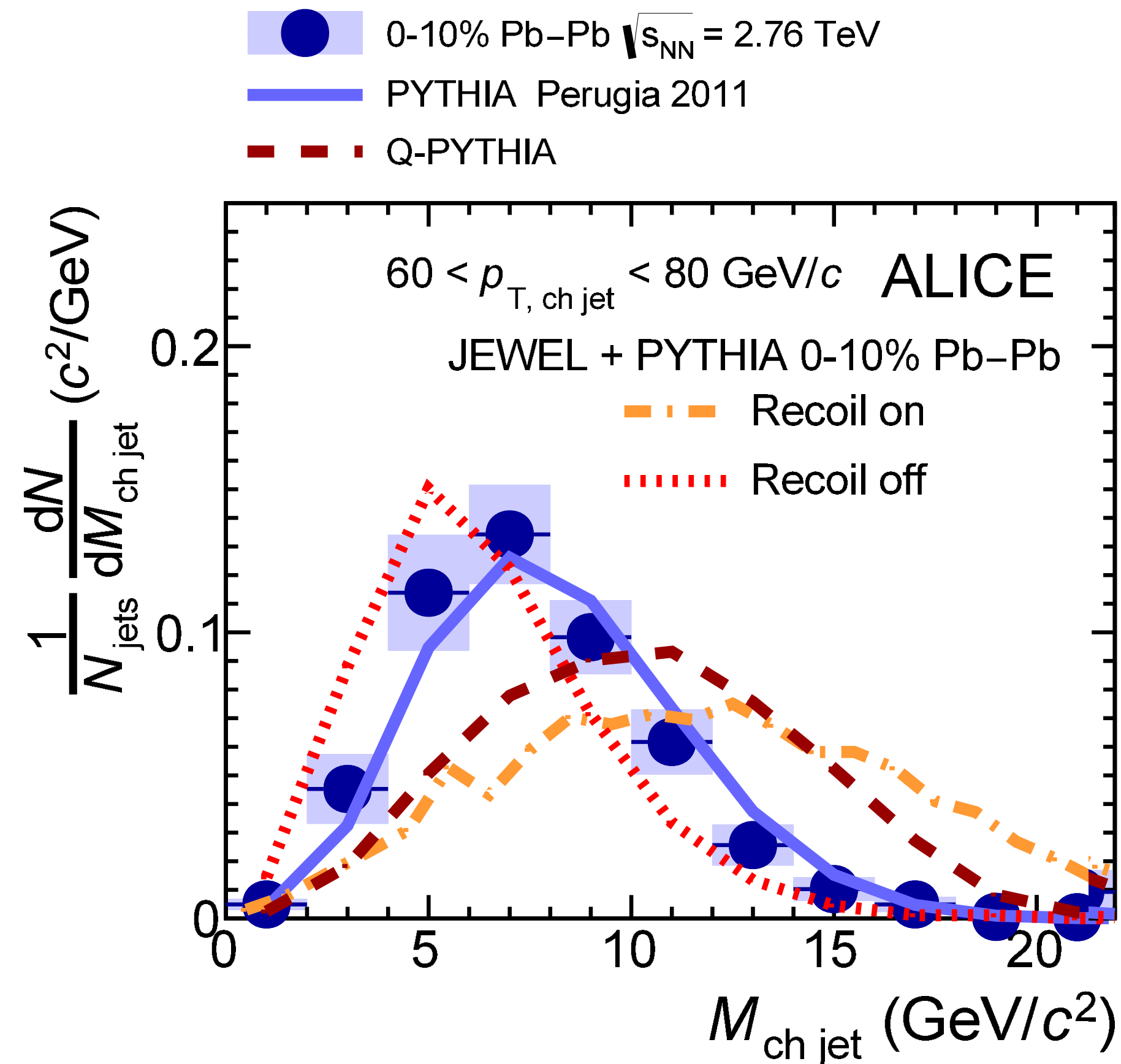
# Ungroomed jet substructure

ALICE PLB 776 (2018)

How is the soft jet substructure modified in heavy-ion collisions?



Jet mass 
$$M = \sqrt{E^2 - p_T^2 - p_z^2}$$



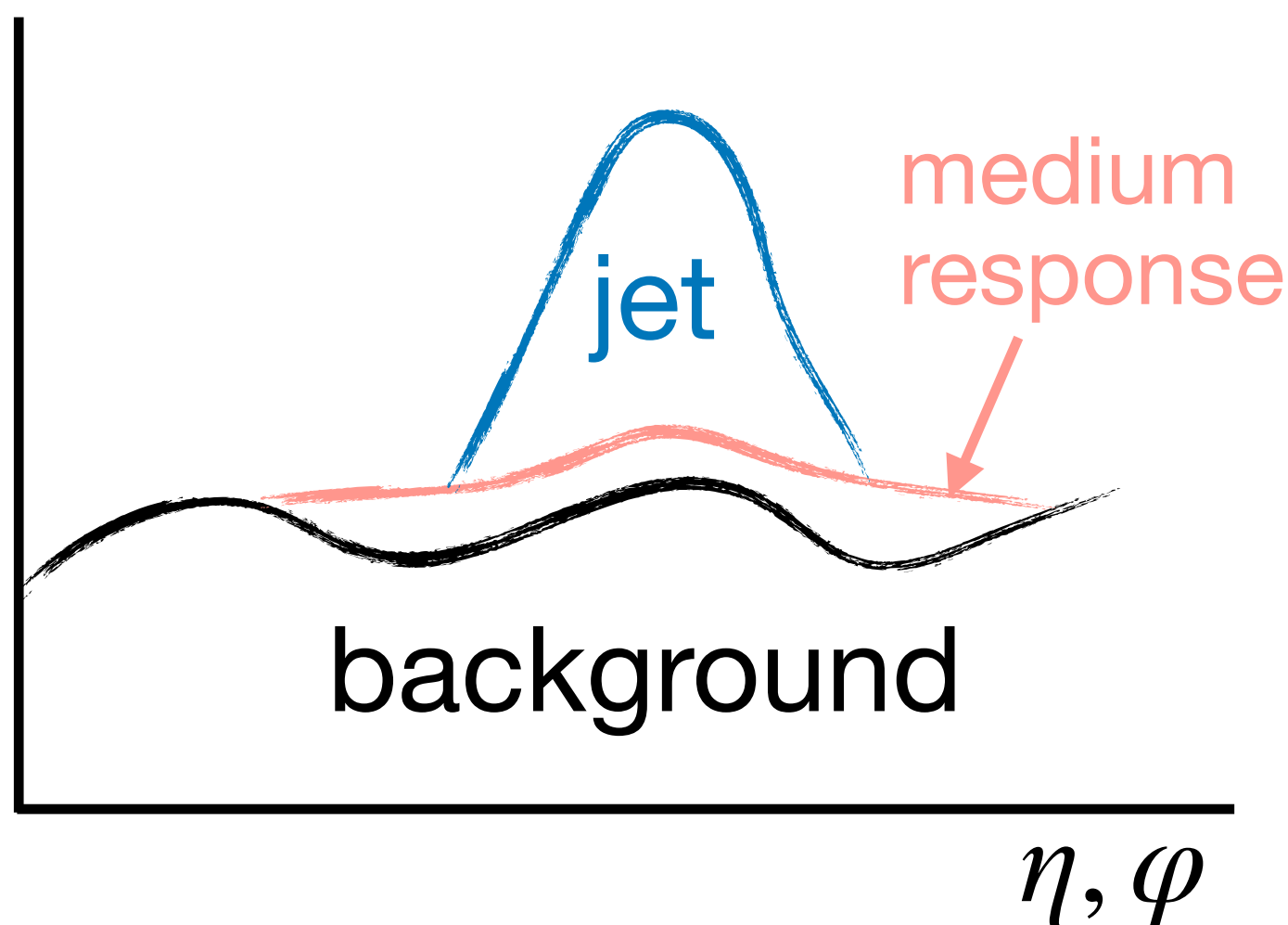
Poor description by jet quenching models

Large impact of medium response

# Ungroomed jet substructure

ATLAS PRL 123 042001 (2019)

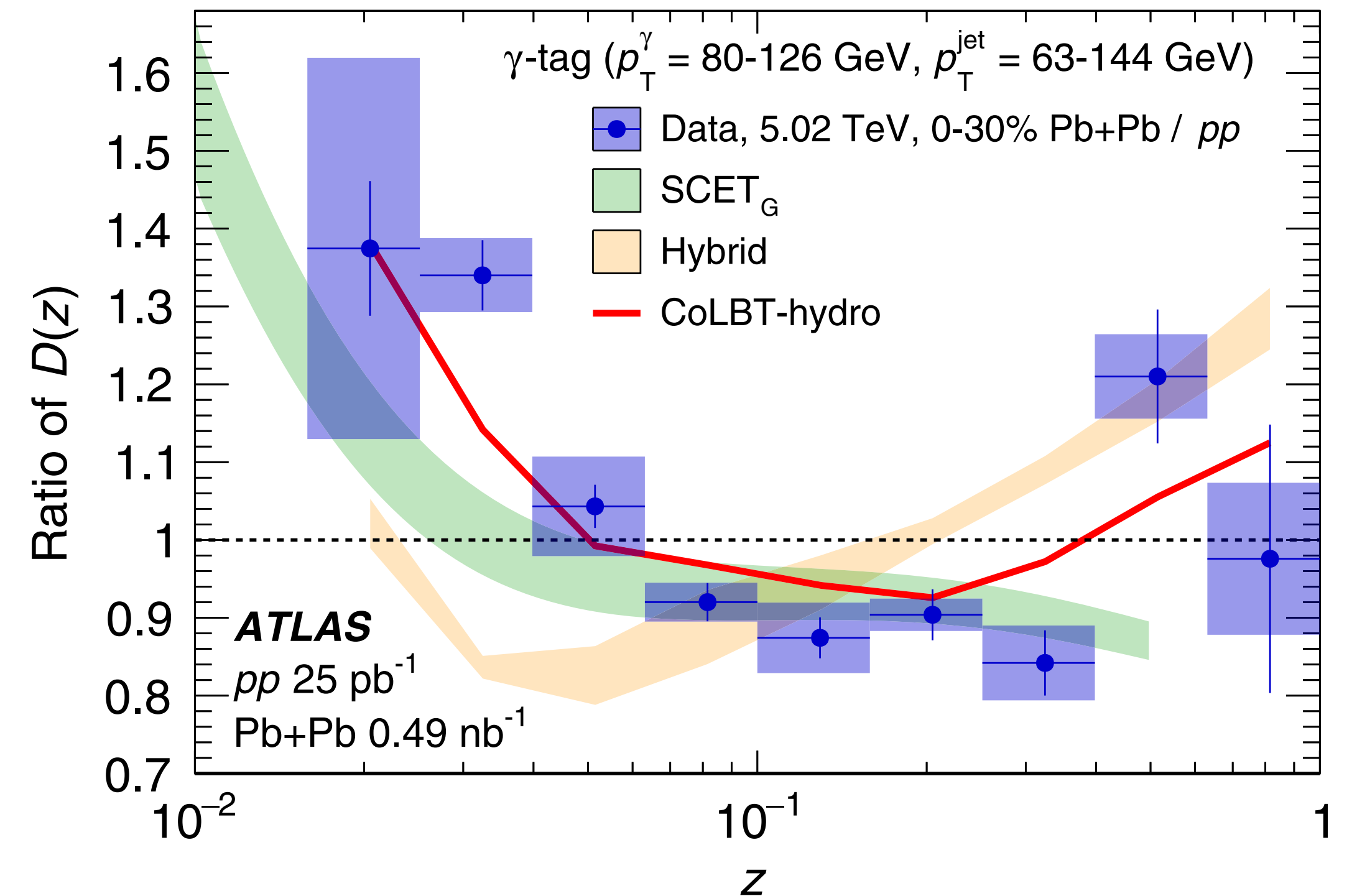
How is the soft jet substructure modified in heavy-ion collisions?



Longitudinal momentum fraction of hadrons in jets

$$D(z) \equiv \frac{1}{N_{\text{jet}}} \frac{dn_{\text{ch}}}{dz}$$

$$z \equiv p_T \cos \Delta R / p_T^{\text{jet}}$$



Simultaneous description of high- $z$  and low- $z$  is an open question

See also: CMS PRC 90, 024908 (2014)

# Subjet fragmentation

Cluster inclusive jets with radius  $R$ , then recluster with anti- $k_T$  with radius  $r$



$$z_r = \frac{p_T^{\text{ch subjet}}}{p_T^{\text{ch jet}}}$$

Neill, Ringer, Sato JHEP 07 (2021) 041

Kang, Ringer, Waalewijn JHEP 07 (2017) 064

## Measure subjets to probe jet quenching

- Probe higher  $z$  than hadron fragmentation measurements

CMS PRC 90 (2014) 2 024908

ATLAS PRL 123 (2019) 4 042001

- Opportunity to test universality of jet fragmentation functions

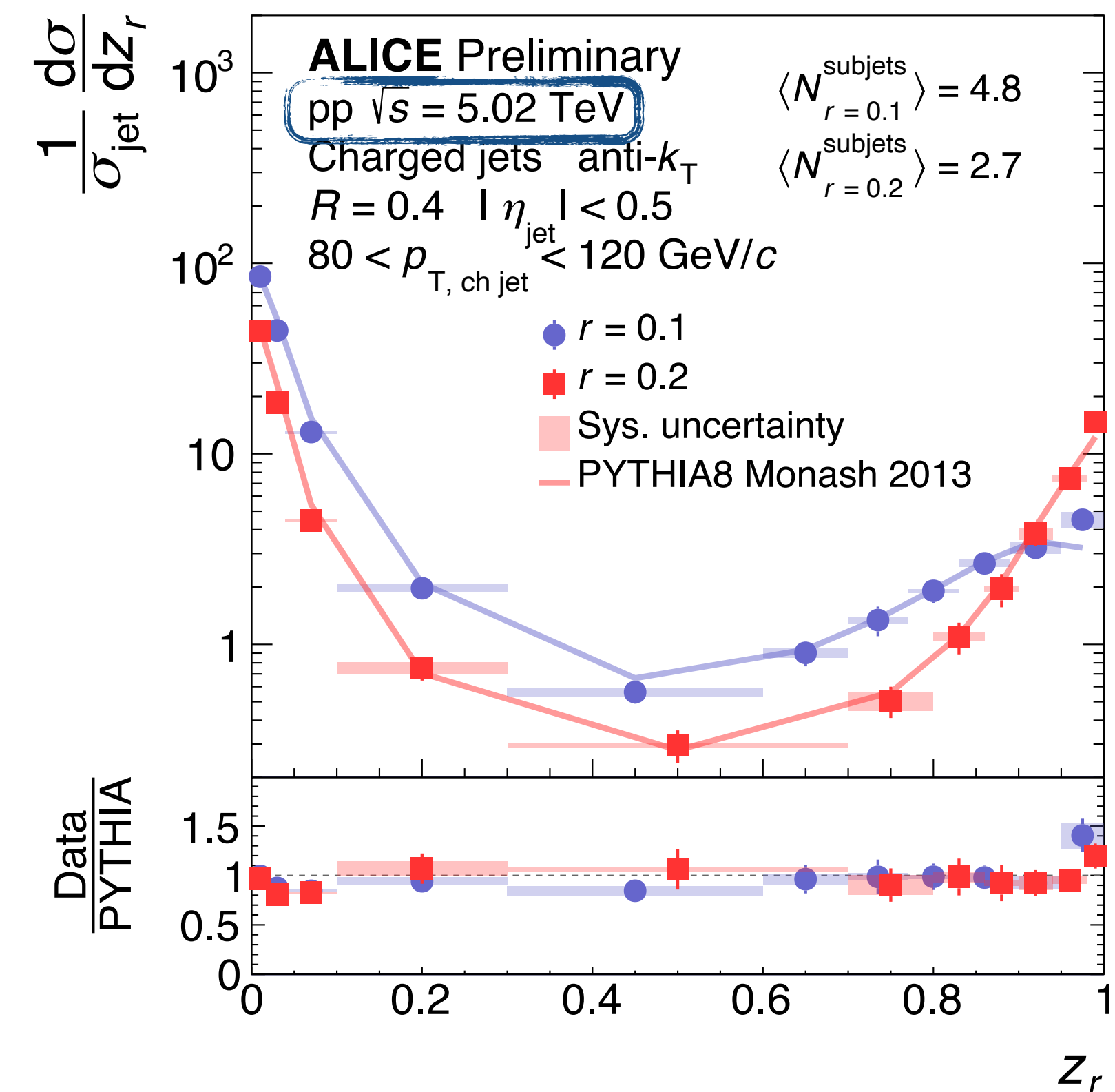
Qiu, Ringer, Sato, Zurita PRL 122 (2019) 25

$$J_{r,\text{med}}(z) = J_{\text{med}}(z)$$

parton → subjet      parton → jet

## Inclusive subjets

ISMD arXiv 2110.15467



ALI-PREL-490650

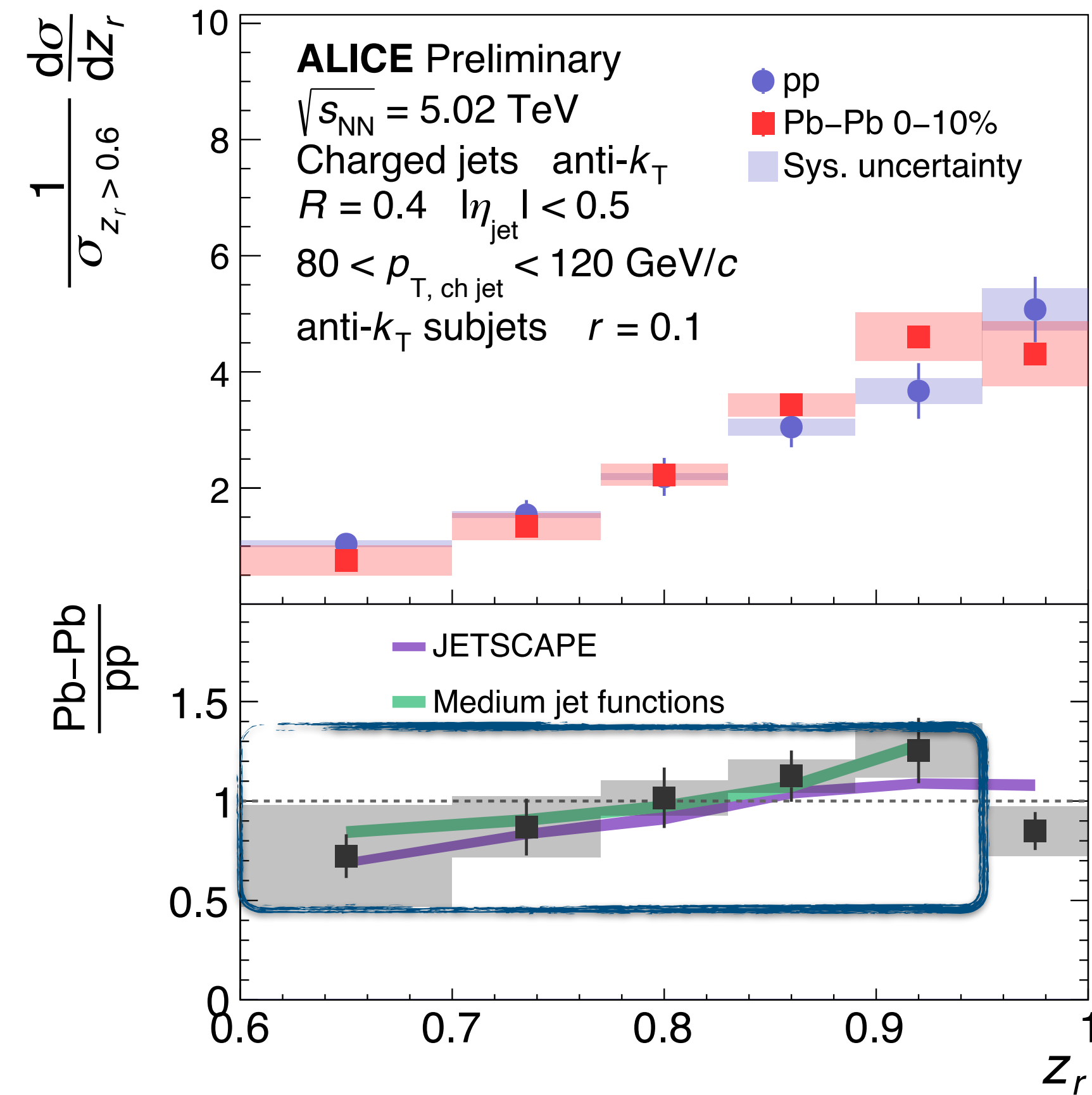


# Subjet fragmentation — Pb-Pb



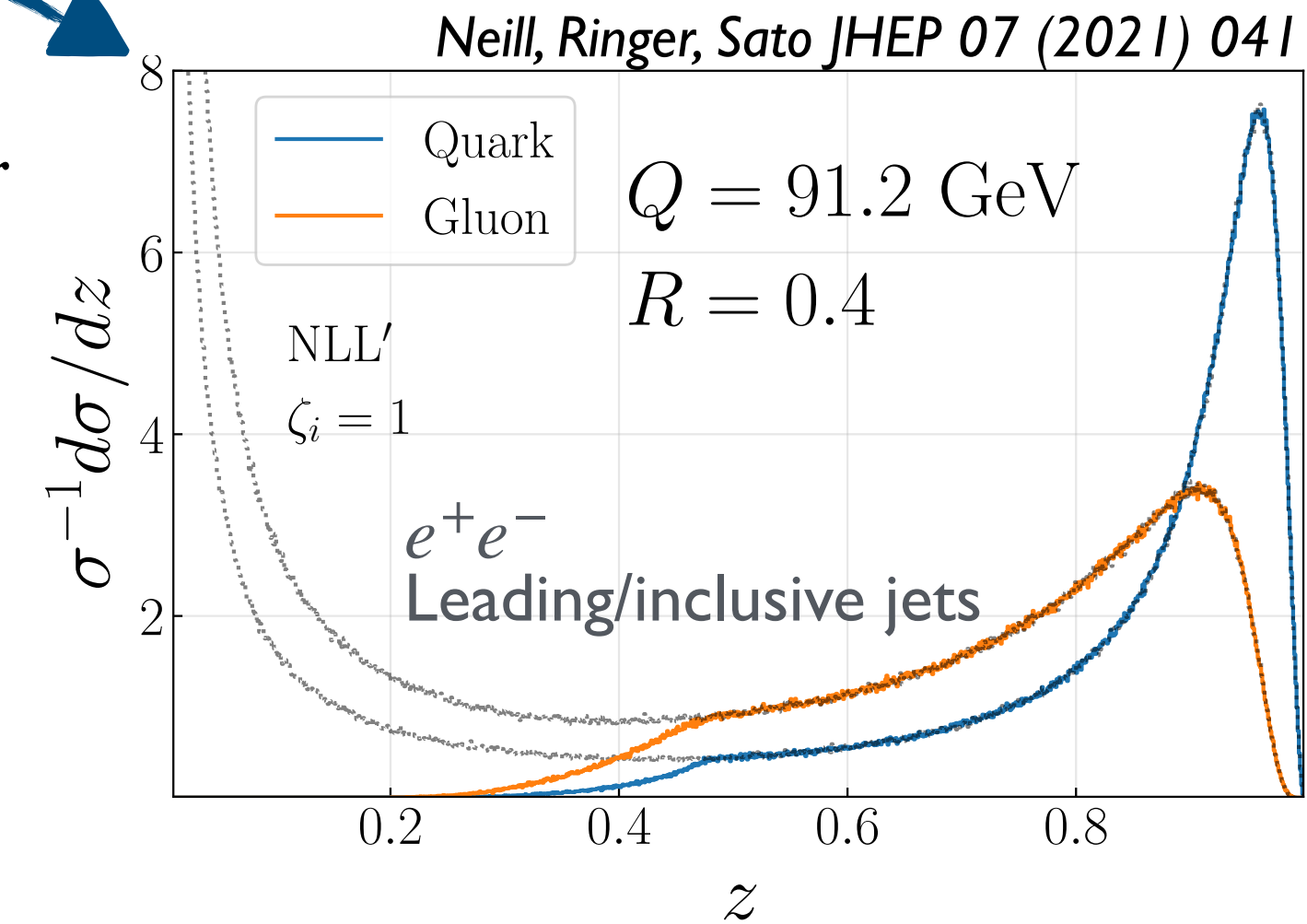
## Leading subjets

ISMD arXiv 2110.15467



Hardening distribution at intermediate  $z_r$

- Large quark-gluon differences in vacuum
- Competing effects
  - Gluon suppression  $\rightarrow$  larger  $z_r$
  - Soft radiation  $\rightarrow$  smaller  $z_r$



Well-described by theoretical predictions

$\rightarrow$  Consistent with universality of jet fragmentation in QGP

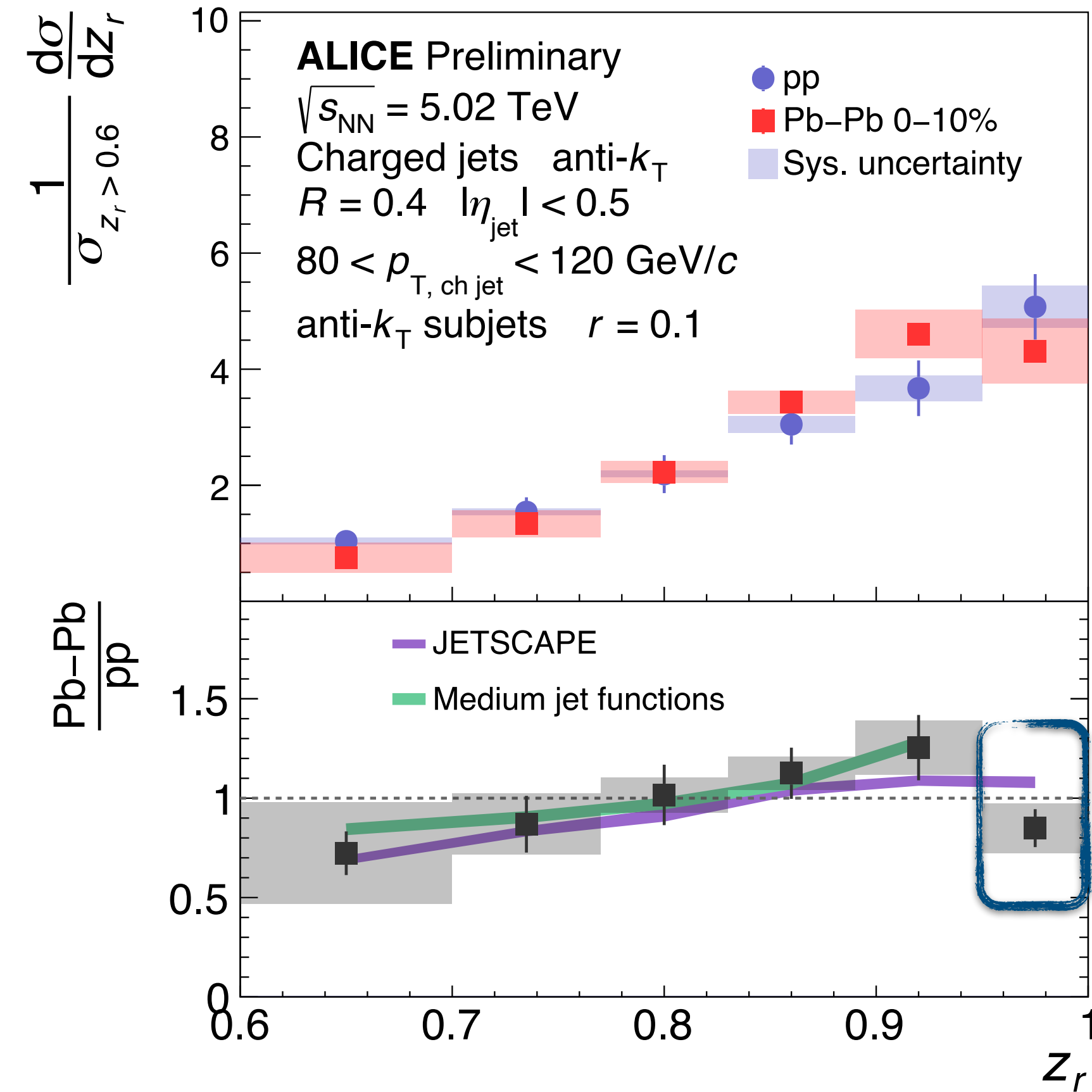
Qiu, Ringer, Sato, Zurita PRL 122 (2019) 25



# Subjet fragmentation — Pb-Pb

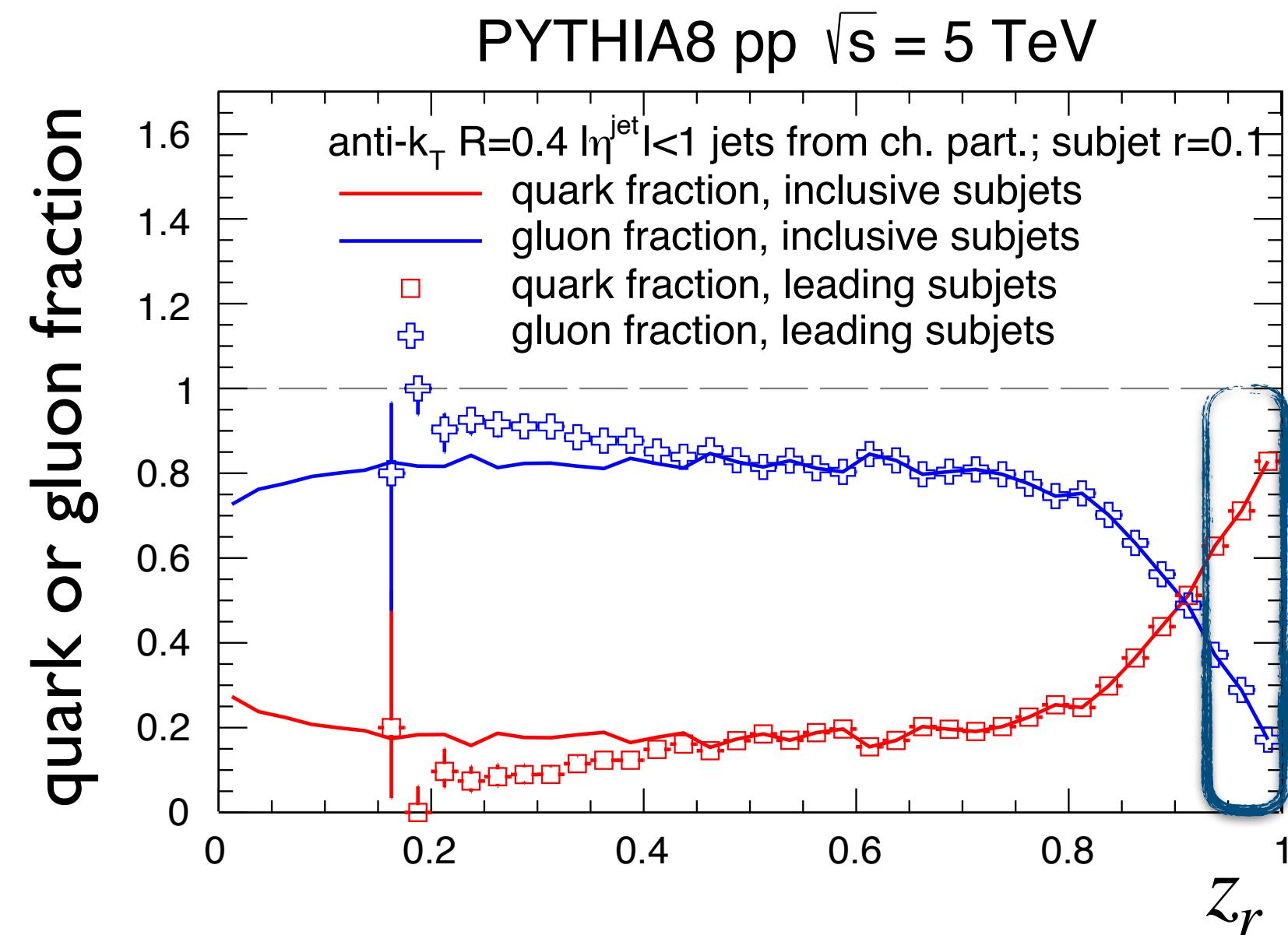
## Leading subjets

ISMD arXiv 2110.15467



Hint of suppression as  $z_r \rightarrow 1$

- At  $z_r \rightarrow 1$ , the sample becomes closer to purely quark jets!
- Expose region depleted by soft medium induced emissions



**New path to disentangle quenching effects**

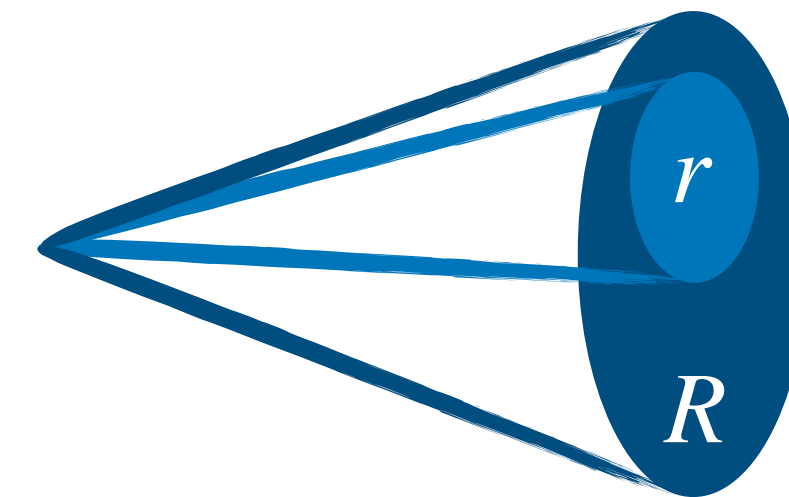
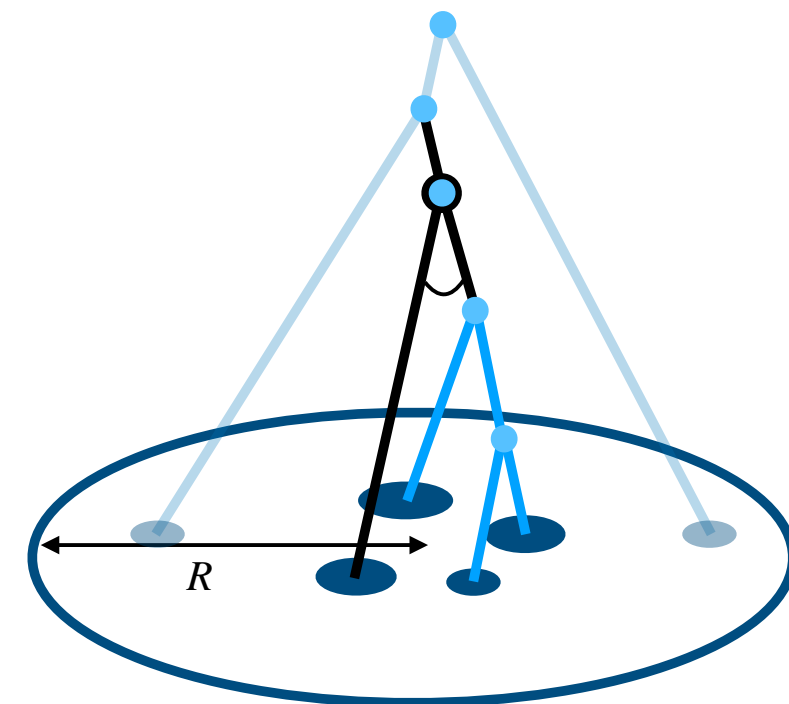
# Outlook — jet substructure in heavy-ion collisions

By measuring carefully chosen observables...

- Calculable in proton-proton collisions
- Corrected for background and detector effects

...we are producing an emerging picture of jet quenching phenomenology

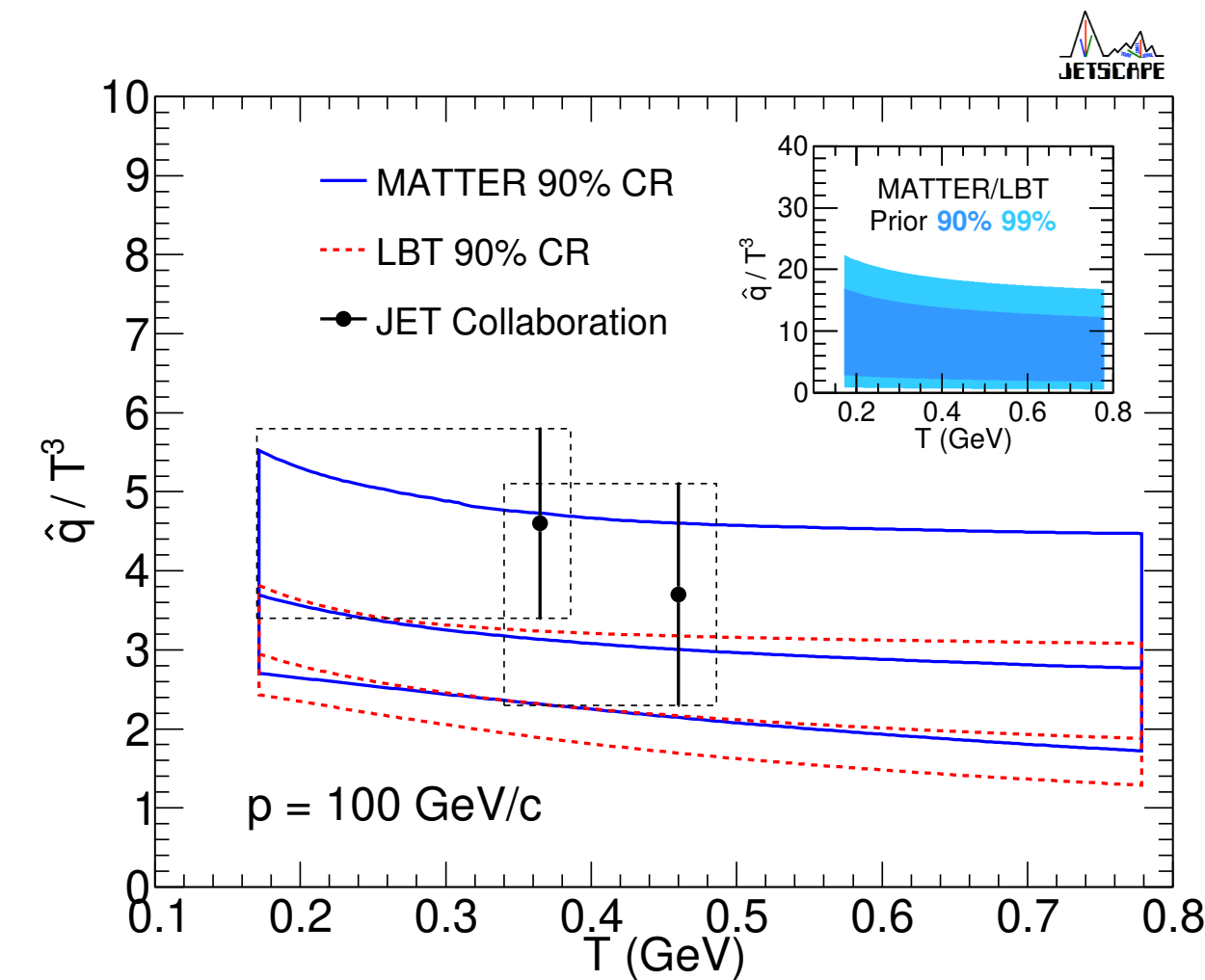
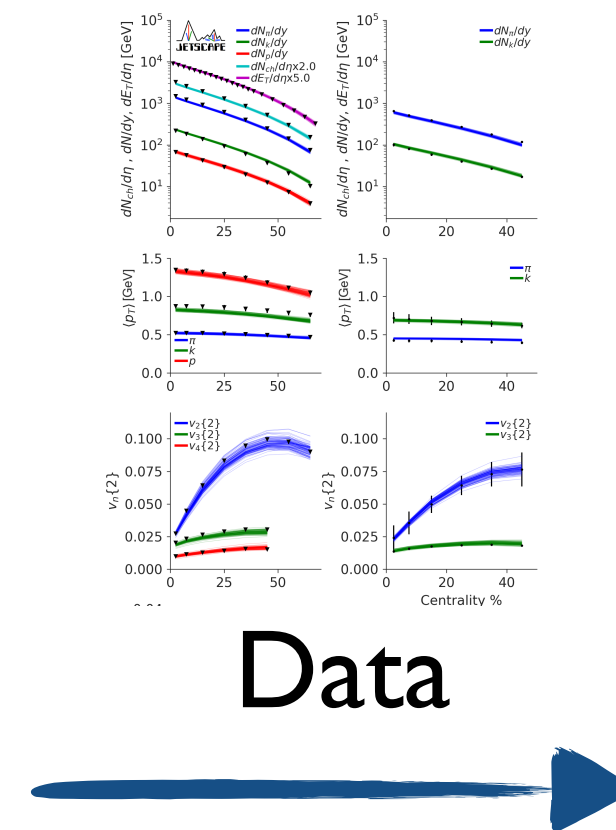
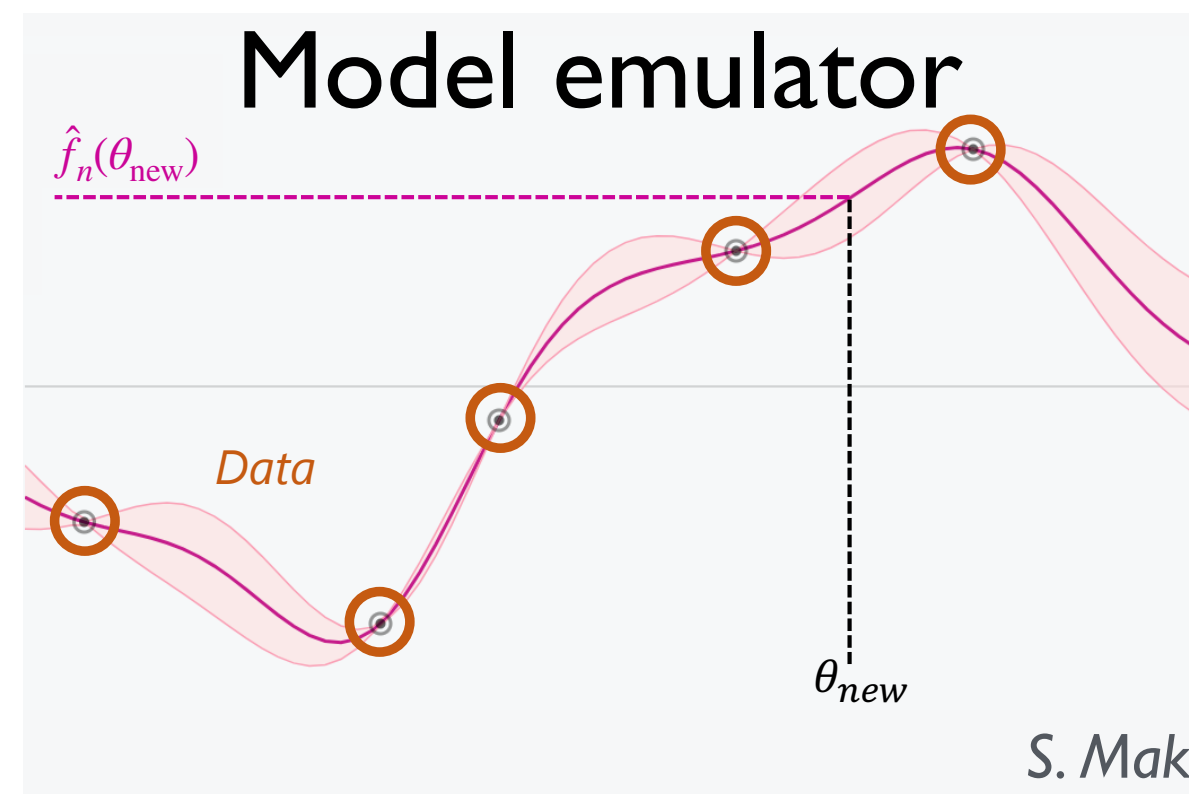
- Hard splitting momentum distribution not strongly modified —  $z_g$
- Collimation/filtering of wide jets —  $\theta_g$
- Medium-induced soft splitting can be exposed in region dominated by quark jets —  $z_r$



# Where do we go from here?

Bayesian inference to extract QGP properties using multiple jet observables

- Controlled comparisons of specific aspects within model (q vs. g, medium response, ...)



JETSCAPE PRC 104, 024905 (2021)

See also:

- Ke, Wang JHEP 05 041 (2021)
- Andrés et al. EPJC 76 475 (2016)
- JET PRC 90, 014909 (2014)

Observable design to add new information to these global extractions

- Model sensitivity *JETSCAPE PRC 103, 054904 (2021)*  
*Lai arXiv 1810.00835*  
*Sangaline, Pratt PRC 93, 024908 (2016)*

- Information content distinguishing pp from AA jets *Lai, JM, Płoskoń, Ringer arXiv 2111.14589*



# Summary

**ALICE measurements of jet substructure in proton-proton collisions are providing new tests of our first-principles understanding of QCD**

- Explore the transition from the perturbative to non-perturbative regimes
- More results not shown here: dead cone, Lund plane, axis differences, Dynamical grooming, ...

**New measurements of jet substructure in heavy-ion collisions are producing an emerging picture of jet quenching and starting to connect to QGP properties**

- Emphasis on observables that can be directly compared to theoretical calculations

**ALICE jet capabilities in LHC Runs 3+4 will enable new extractions of QGP properties**

- Increased statistics by 50-100x, improved tracking — HF-jet,  $\gamma$ -jet, larger  $p_T$