

# Nuclear Modification of Bound Nucleons and Global QCD Analyses

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- ◆ *Precision studies of high-energy processes with nuclei require an understanding of nuclear effects at the parton level, which were observed to survive at  $Q \gg 1 \text{ GeV}/c$ .*
- ◆ *The study of nuclear corrections in the deuteron provide insights into the mechanisms responsible for modifications of PDFs in the nuclear environment:*
  - *Deuteron is a weakly bound system of two nucleons whose dynamics is better understood than the dynamics of many-particle nuclei;*
  - *Effects of the momentum distribution, nuclear binding and off-shell modification of bound nucleons driven by the deuteron wave function, which is directly related to the underlying N-N interaction.*
  - *Discrepancies among results reported by different groups.*

⇒ *Compare with results obtained from heavier nuclear targets*
- ◆ *Deuterium commonly used as an "effective" neutron target in global QCD analyses:*
  - *Nuclear corrections in deuterium are non negligible and strongly depend on  $x$  and  $Q^2$  at large  $x$ ;*
  - *Nuclear effects in deuterium can introduce significant uncertainties on  $d/u$  ratio at large  $x$ .*

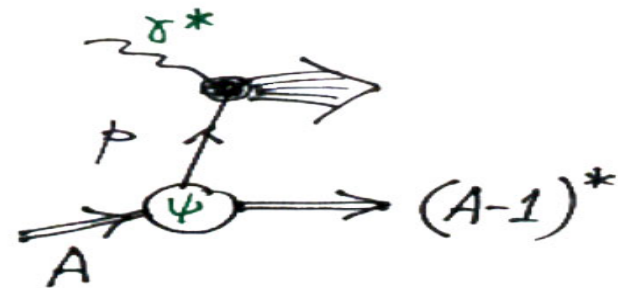
⇒ *Quantify (reduce) uncertainties on  $d/u$  ratio at large  $x$*

Microscopic Kulagin-Petti (KP) model [NPA 765 (2006) 126, PRC 90 (2014) 045204].  
At large  $x$  nuclear DIS dominated by incoherent scattering off bound nucleons:

- ◆ **FERMI MOTION AND BINDING** effects in nuclear PDFs from the *convolution* of nuclear spectral function with (bound) nucleon PDFs:

$$F_2^A = \sum_{i=p,n} \int d\varepsilon d^3\mathbf{p} \mathcal{P}_i(\varepsilon, \mathbf{p}) K_2 F_2^i(x', Q^2, p^2)$$

where  $x' = Q^2/(2p \cdot q)$  and  $p = (M + \varepsilon, \mathbf{p})$  and  $K_2$  kinematic factor ( $K_2 \approx 1 + p_z/M$  for  $Q \gg M$ ).



- ◆ Since bound nucleons are **OFF-MASS-SHELL** there appears dependence on the *nucleon virtuality*  $p^2 = (M + \varepsilon)^2 - \mathbf{p}^2$  and expanding PDFs in the small  $(p^2 - M^2)/M^2$ :

$$F_2^i(x, Q^2, p^2) \approx F_2^i(x, Q^2, p^2 = M^2) (1 + \delta f(x)(p^2 - M^2)/M^2).$$

where we introduced a universal function for the NUCLEON:  $\delta f(x)$

⇒ *Modification of bound nucleon partonic structure in the nuclear environment*

# DEUTERON WAVE FUNCTION

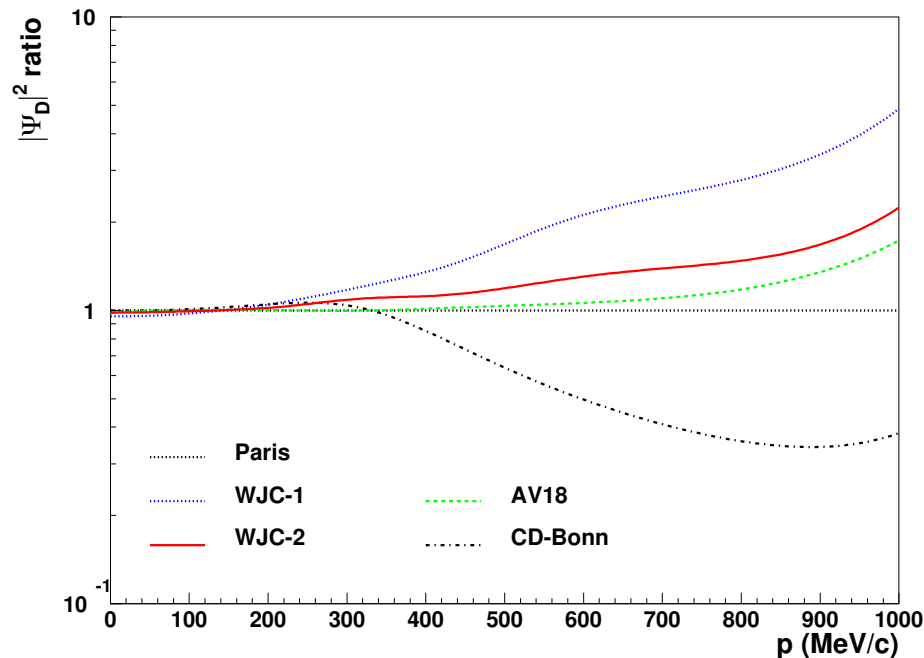
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- ♦ Two-body nucleus whose *spectral function* determined by the wave function  $\Psi_D(\mathbf{p})$ :

$$\mathcal{P}(\varepsilon, \mathbf{p}) = 2\pi\delta\left(\varepsilon - \varepsilon_D + \frac{\mathbf{p}^2}{2M}\right) |\Psi_D(\mathbf{p})|^2$$

where  $\varepsilon_D = M_D - 2M \approx -2.2$  MeV is the binding energy.

- ♦ The deuteron is a superposition of *s*- and *d*-wave states. Different models of  $\Psi_D(\mathbf{p})$  based on the corresponding underlying *N-N* interaction potentials, which are constrained at low momentum ( $p < 300$  MeV/c) by *pp*, *pn* and *nn* scattering data.



$|\Psi_D(\mathbf{p})|^2$  gives deuteron momentum distribution

*Different N-N potentials used*

Paris: PRC 21 (1980) 861

CD-Bonn: PRC 63 (2001) 024001

AV18: PRC 84 (2011) 034003

WJC-1,2: PRC 82 (2010) 034004

[AKP, PRD 96 (2017) 054005]

## DESCRIPTION OF NUCLEON

*Distribution of partons in a nucleon*

$p, m$


 A small circle with diagonal hatching, representing a nucleon. Inside it, there are several smaller, less distinct circles representing partons.

### STRUCTURE FUNCTIONS

$F_i(x, Q^2)$ : NNLO pQCD+TMC+HT from QCD fits

$\delta f(x)$ : KP analysis of  $\sigma^A/\sigma^B$  DIS ratios

## DESCRIPTION OF NUCLEUS

*Distribution of bound nucleons*

$(A, Z)$

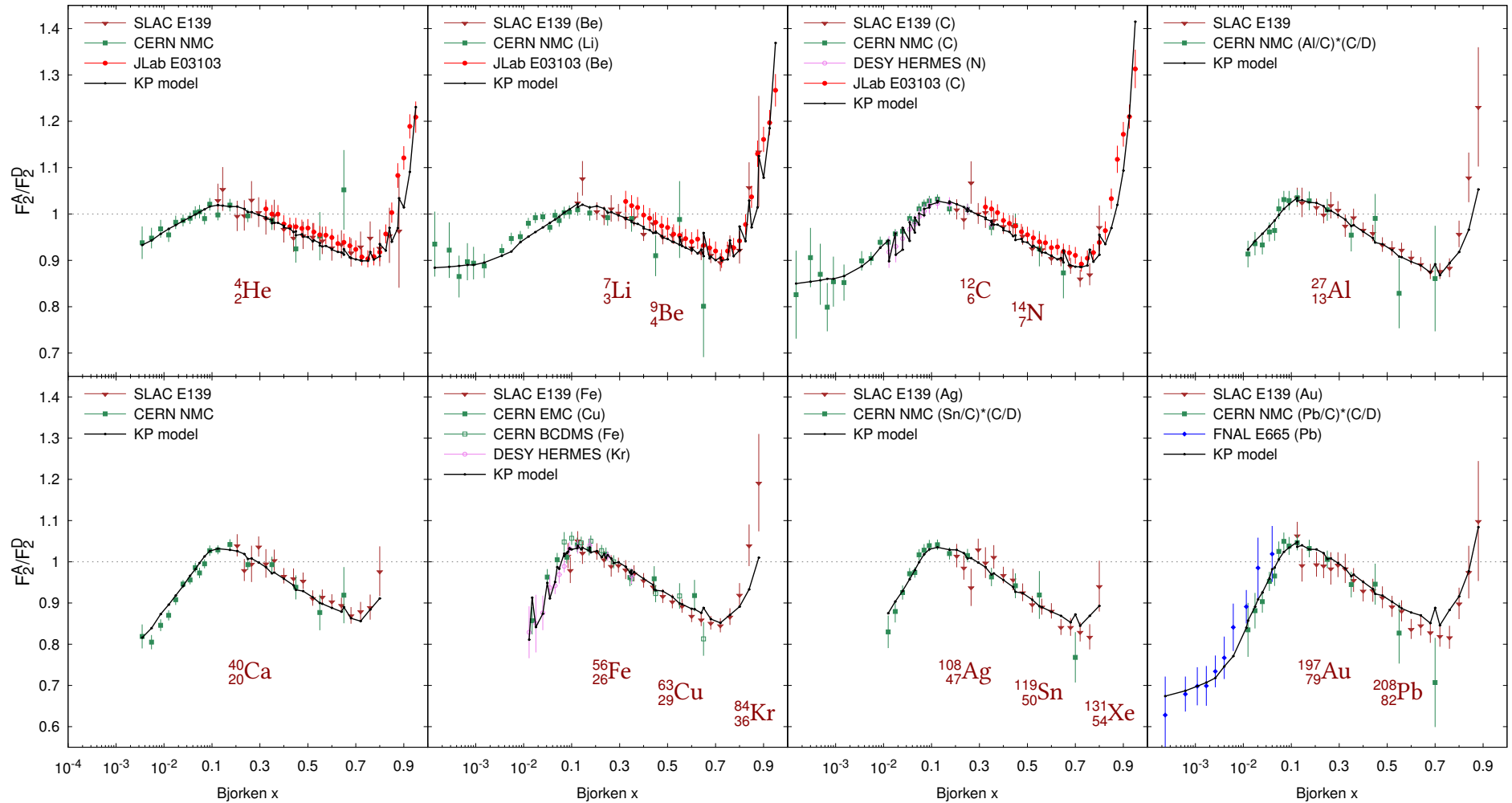

 A large circle representing a nucleus. Inside it, there are several smaller circles with diagonal hatching, representing bound nucleons.

### SPECTRAL FUNCTION

$\mathcal{P}(\varepsilon, \mathbf{p})$ : mean field + N-N correlated part

*Model includes meson-exchange current (MEC) correction balancing nuclear light-cone momentum and coherent multiple scattering effects responsible for nuclear shadowing*

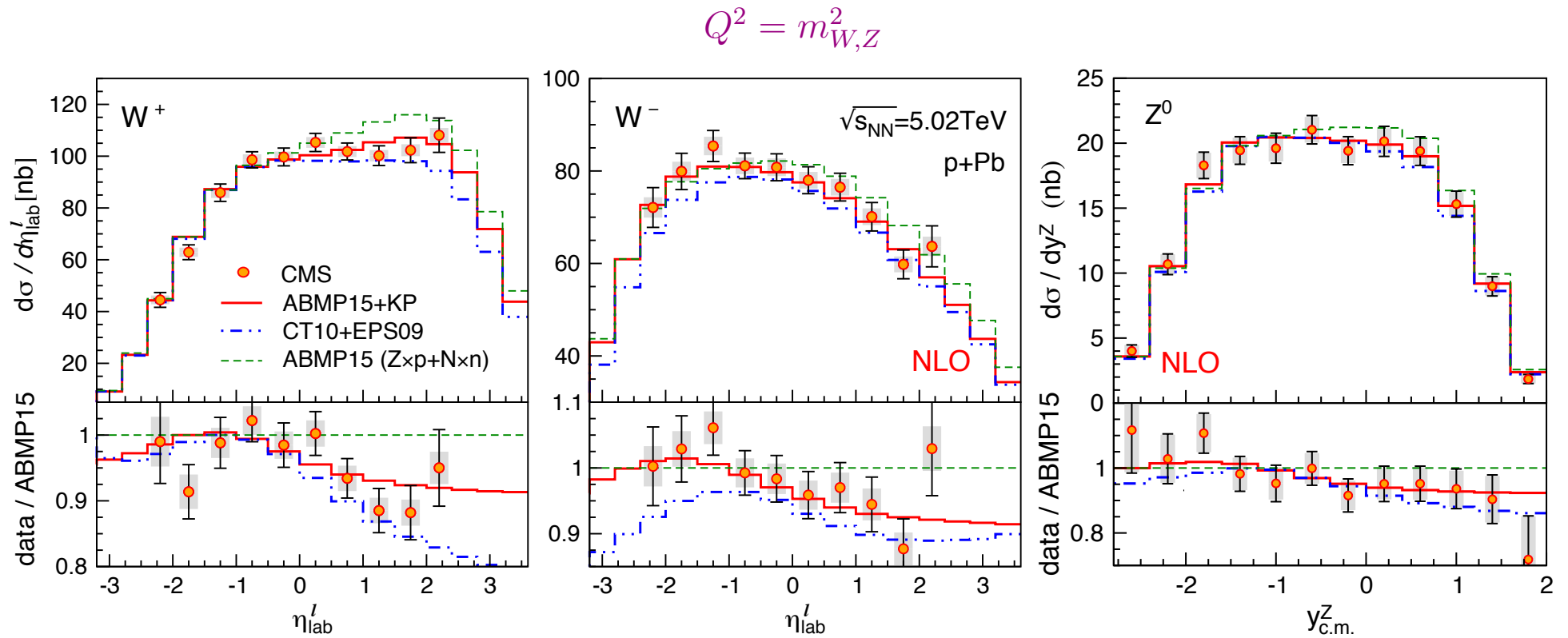
*[NPA 765 (2006) 126, arXiv:hep-ph/0412425]*



*Microscopic KP model provides quantitative description of available data:*

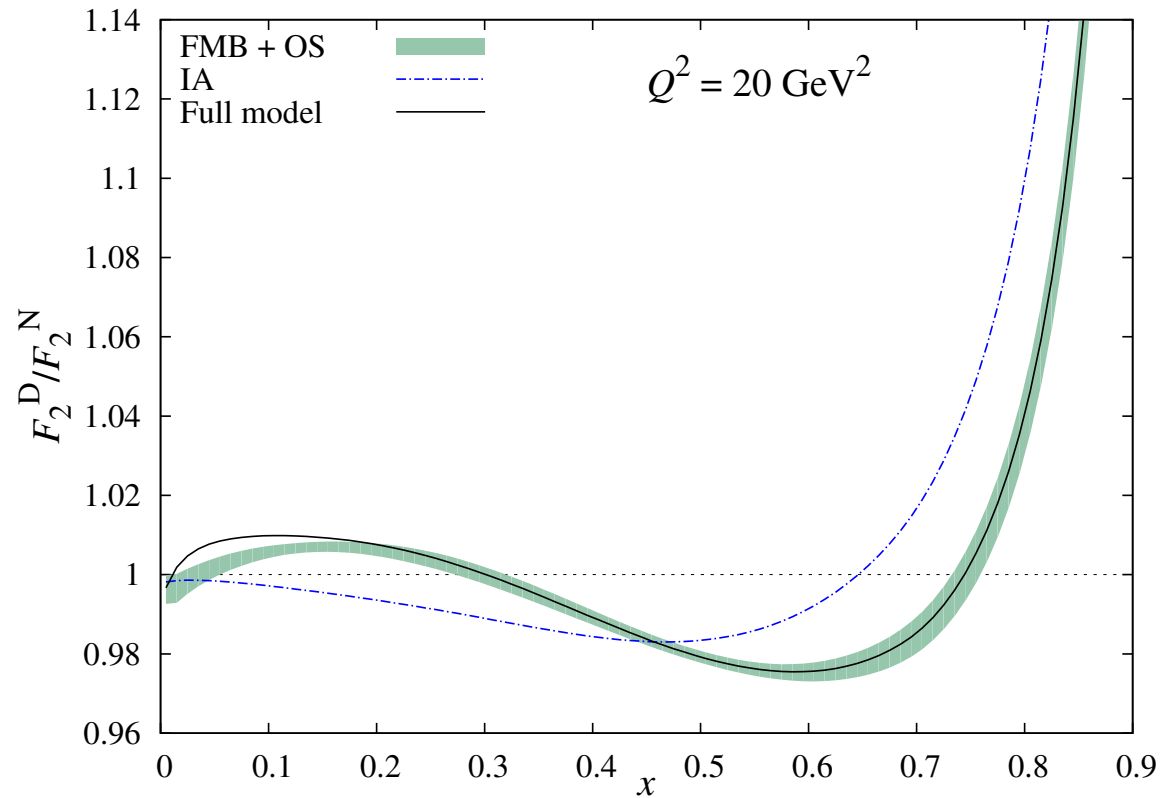
$$\chi^2/N_{\text{Data}} = 466.6/586 \text{ for DIS data with } Q^2 \geq 1 \text{ GeV}^2$$

$\Rightarrow$  *Evidence for off-shell modification of bound nucleons from inclusive DIS*



*Predictions from KP model in excellent agreement with  
Drell-Yan and  $W^\pm/Z$  boson production in pPb collisions up to  $Q^2 = m_{W,Z}^2$*

(PRC 90 (2014) 045204; PRD 94 (2016) 113013)



- ♦ The full model includes nuclear Meson Exchange Currents (MEC) and coherent nuclear interactions from Nuclear Shadowing (NS)

(NPA 765 (2006) 126; PRC 82 (2010) 054614, PRC 90 (2014) 045204)

⇒ This study focuses on the kinematic region  $x > 0.1$  dominated by FMB+OS



- ◆ *Structure functions* are parameterized in the *NNLO QCD approximation*, supplemented by two (isoscalar) High Twist (HT) corrections to  $F_2$  and  $F_T$ :

$$F_{2,T}(x, Q^2) = F_{2,T}^{\text{LT,TMC}}(x, Q^2) + \frac{H_{2,T}^N(x)}{Q^2}$$

- *Target mass corrections (TMC) in the Leading Twist (LT) term following Georgi-Politzer;*
- *Fixed flavor number scheme (FFNS) with  $n_f = 3$  and  $\overline{\text{MS}}$  running masses for heavy quarks;*
- *PDFs are parameterized following ABMP16 at the initial scale  $Q_0^2 = 9 \text{ GeV}^2$  [PRD 96 (2017) 014011];*
- *Analysis performed in the region  $Q^2 > 2.5 \text{ GeV}^2$  and  $W^2 > 3 \text{ GeV}^2$ .*

- ◆ *Off-shell function* parameterized as *generic second order polynomial* to avoid model-dependent biases related to the functional form used:

$$\delta f(x) = a_0 + a_1 x + a_2 x^2$$

- *Neglect nuclear effects related to meson exchange currents and shadowing since focus on the region  $x > 0.1$  dominated by Fermi motion and binding and off-shell correction;*
- *Different deuteron wave functions used: Paris, CD-Bonn, AV18 (default), WJC1, WJC2.*

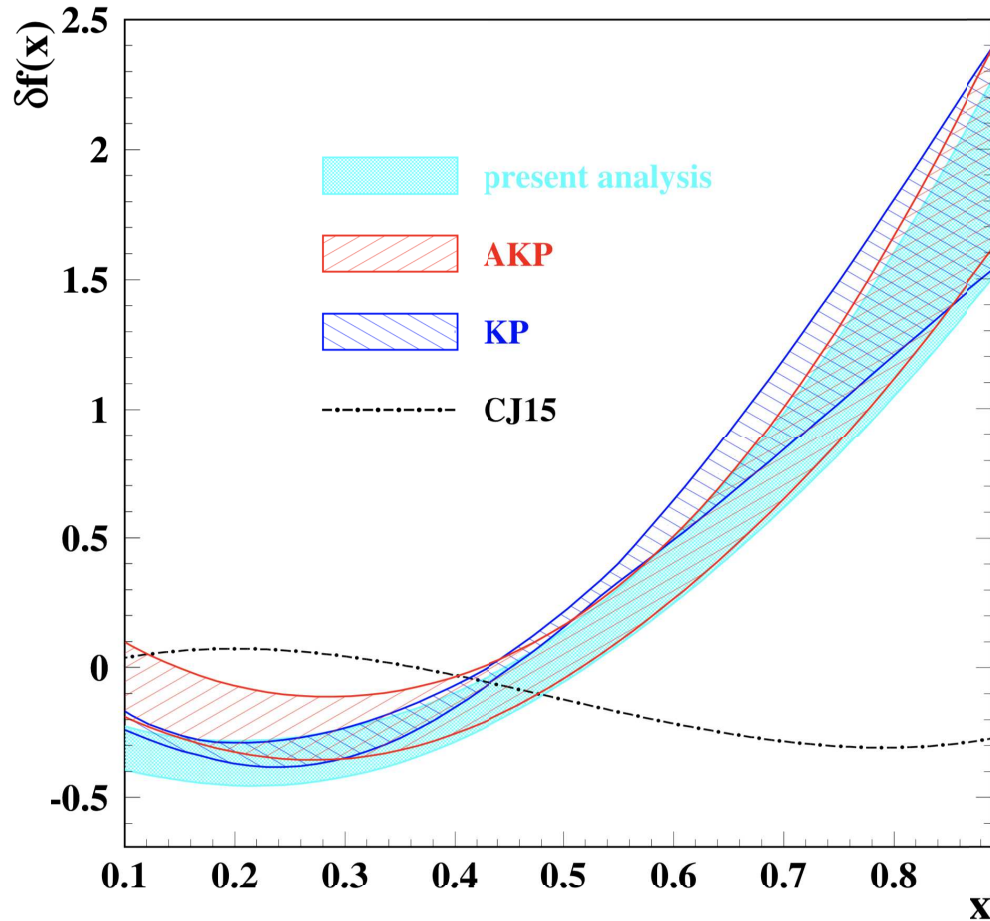
⇒ *Simultaneous extraction of  $\delta f(x)$ , PDFs, and HT from global QCD analysis*

arXiv: 2203.07333 [hep-ph]; PRD 96 (2017) 054005

arXiv: 2203.07333 [hep-ph]

Facility	Experiment	Reference	Beam	Beam energy (GeV)	Observable	Normalization factor	Normalization error(s) (%)	$\frac{\chi^2}{\text{NDP}}$
SLAC	E49a	[20, 21]	$e$	$11 \div 19.5$	$\frac{d^2\sigma^d}{dE'd\Omega}$	0.988(10)	2.1 <sup>a</sup>	25/59
"	E49b	"	"	$4.5 \div 18$	"	0.996(10)	"	187/145
"	E87	"	"	$8.7 \div 20$	"	1.000(9)	"	114/109
"	E89b	[21, 23]	"	$10.4 \div 19.5$	"	0.987(9)	"	52/72
"	E139	[21, 24]	"	$8 \div 24.5$	"	1.002(9)	"	8/17
"	E140	[21, 25]	"	$3.7 \div 19.5$	"	1	1.7	25/26
CERN	BCDMS	[26]	$\mu$	$100 \div 280$	$\frac{d^2\sigma^d}{dx dQ^2}$	0.989(7)	3	273/254
"	NMC	[27]	"	$90 \div 280$	$F_2^d/F_2^p$	1	$< 0.15$	155/165
DESY	HERMES	[28]	$e$	27.6	$\sigma^d/\sigma^p$	1	1.4	21/30
JLab	E00-116	[29]	$e$	5.5	$\frac{d^2\sigma^d}{dE'd\Omega}$	0.981(10)	1.75	208/136
"	BONuS	[30]	"	4.2, 5.2	$F_2^n/F_2^d$	0.97(9)	$7 \div 10$	90/63
"	MARATHON	[14]	"	10.6	$\sigma^d/\sigma^p$	1	0.55	8/7
Total								1166/1083

*List of deuterium data used in the global QCD analysis*



♦ *Different  $Q^2$  dependence allows to disentangle off-shell correction from PDFs and HT*

♦ *Results on  $\delta f(x)$  agree with heavy target determination ( $A \geq 4$ ) and our previous extraction from D data.*

♦ *Clear disagreement with CJ15 results from global QCD fits.*

⇒ *Agreement with KP predictions based on  $\delta f$  universality*

- ◆ *Multiplicative vs. additive implementation of High Twist (HT) terms:*

$$F_{2,T}(x, Q^2) = F_{2,T}^{\text{LT,TMC}}(x, Q^2) + \frac{H_{2,T}^N(x)}{Q^2}$$
$$F_{2,T}(x, Q^2) = F_{2,T}^{\text{LT,TMC}}(x, Q^2) + F_{2,T}^{\text{LT}}(x, Q^2) \frac{h_{2,T}^N(x)}{Q^2}$$

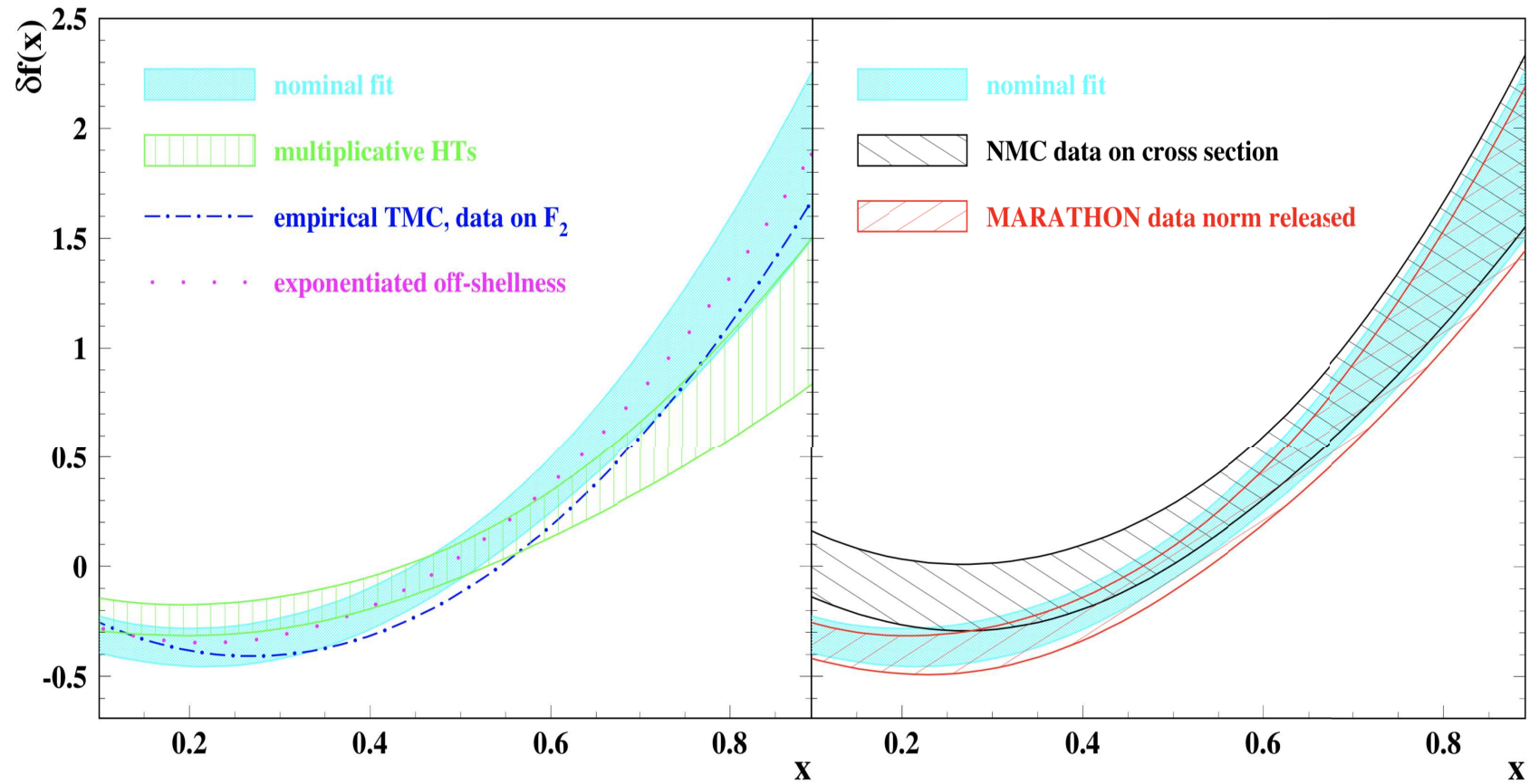
- ◆ *Empirical approximation to TMC for  $F_2$  used in CJ fits [J. Phys. G 35 (2008) 053101] vs. Georgi-Politzer original TMC implementation*

- ◆ *Exponential implementation of off-shell correction:*

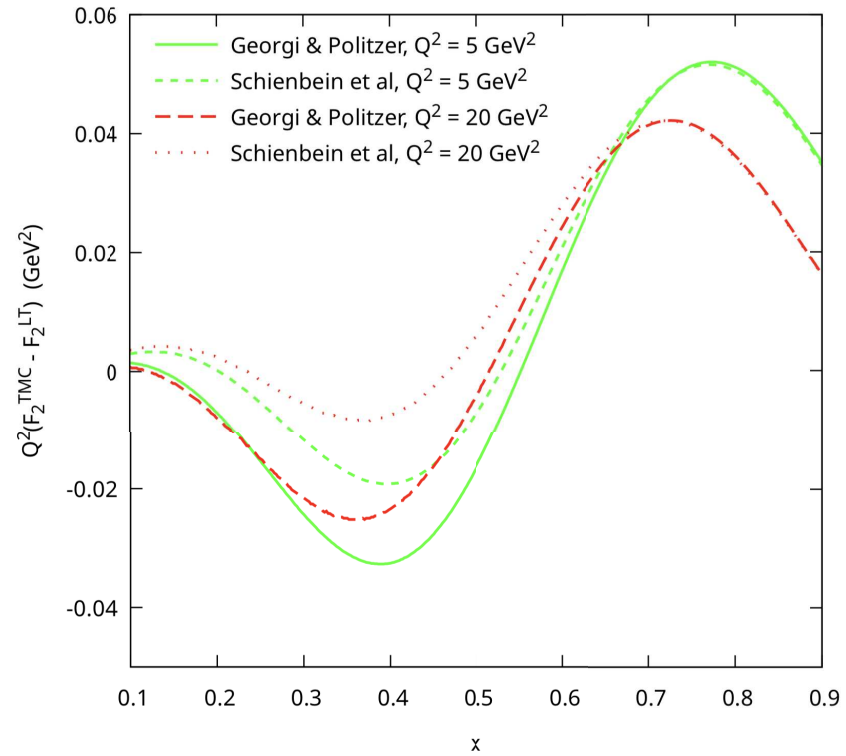
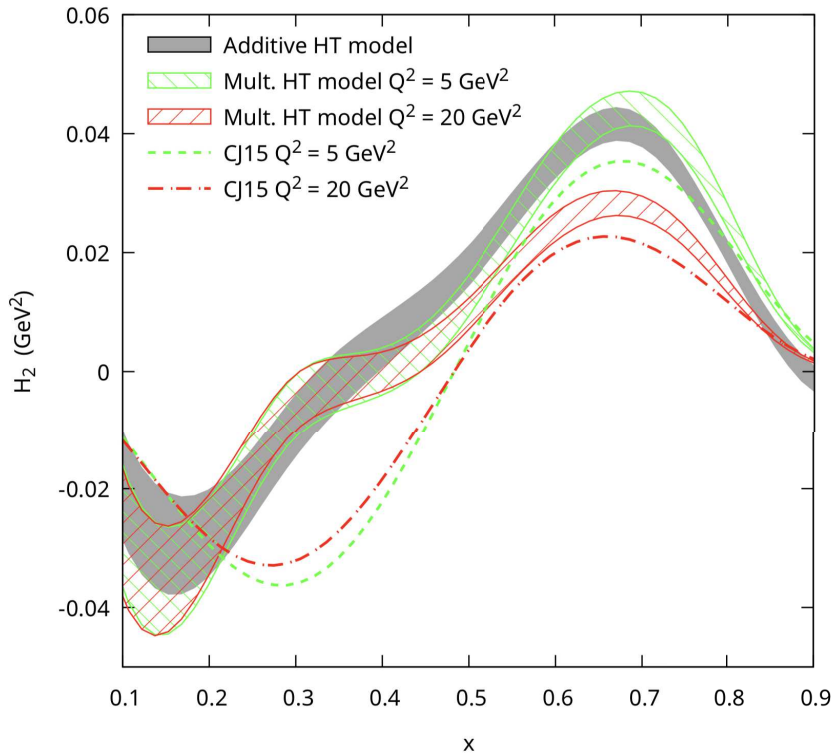
$$F_{2,T}^{\text{LT}}(x, Q^2, p^2) = F_{2,T}^{\text{LT}}(x, Q^2) \exp[\delta f(x)(p^2 - M^2)/M^2]$$

- ◆ *Impact of data sets used in QCD analysis:*

- Structure function  $F_2^D/F_2^p$  vs. cross-section  $\sigma^D/\sigma^p$  data from NMC experiment;
- Normalization on MARATHON  $\sigma^D/\sigma^p$  data [PRL 128 (2022) 132003].



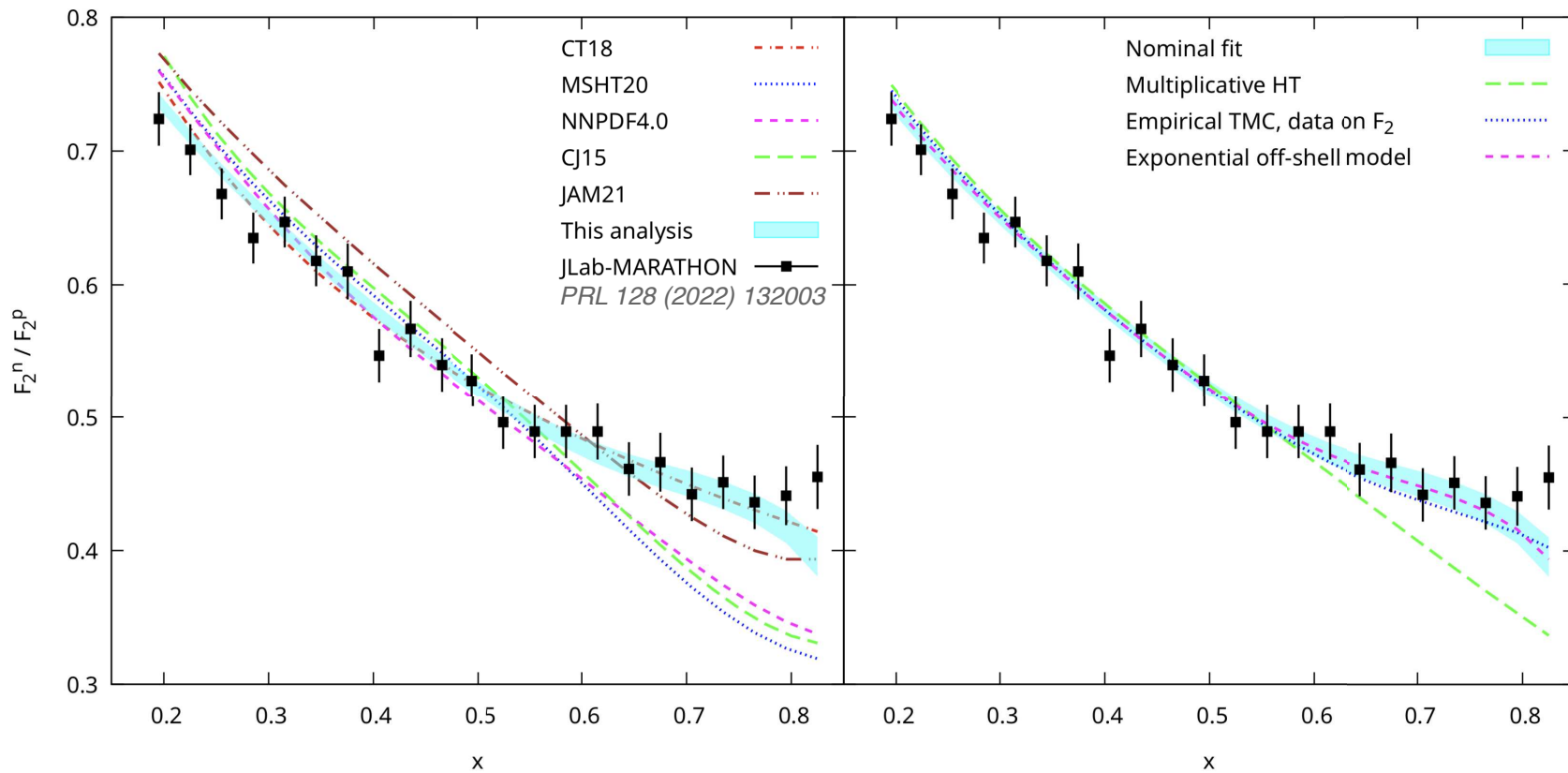
- ◆ *Determination of  $\delta f$  from QCD fits stable against all systematic variations studied*
- ◆ *Effect of model systematics comparable with the ones from use of different data sets*
- ⇒ *Consistency of results with nominal fit excludes model biases*



- ◆ *Different  $Q^2$  dependence for additive and multiplicative HT due to LT contribution*
- ◆ *Consistent results obtained from our fits with additive and multiplicative HT*
- ◆ *Significant differences with CJ15 correlated between HT (multiplicative) and TMC*

# PREDICTIONS FOR $F_2^n / F_2^p$ RATIO

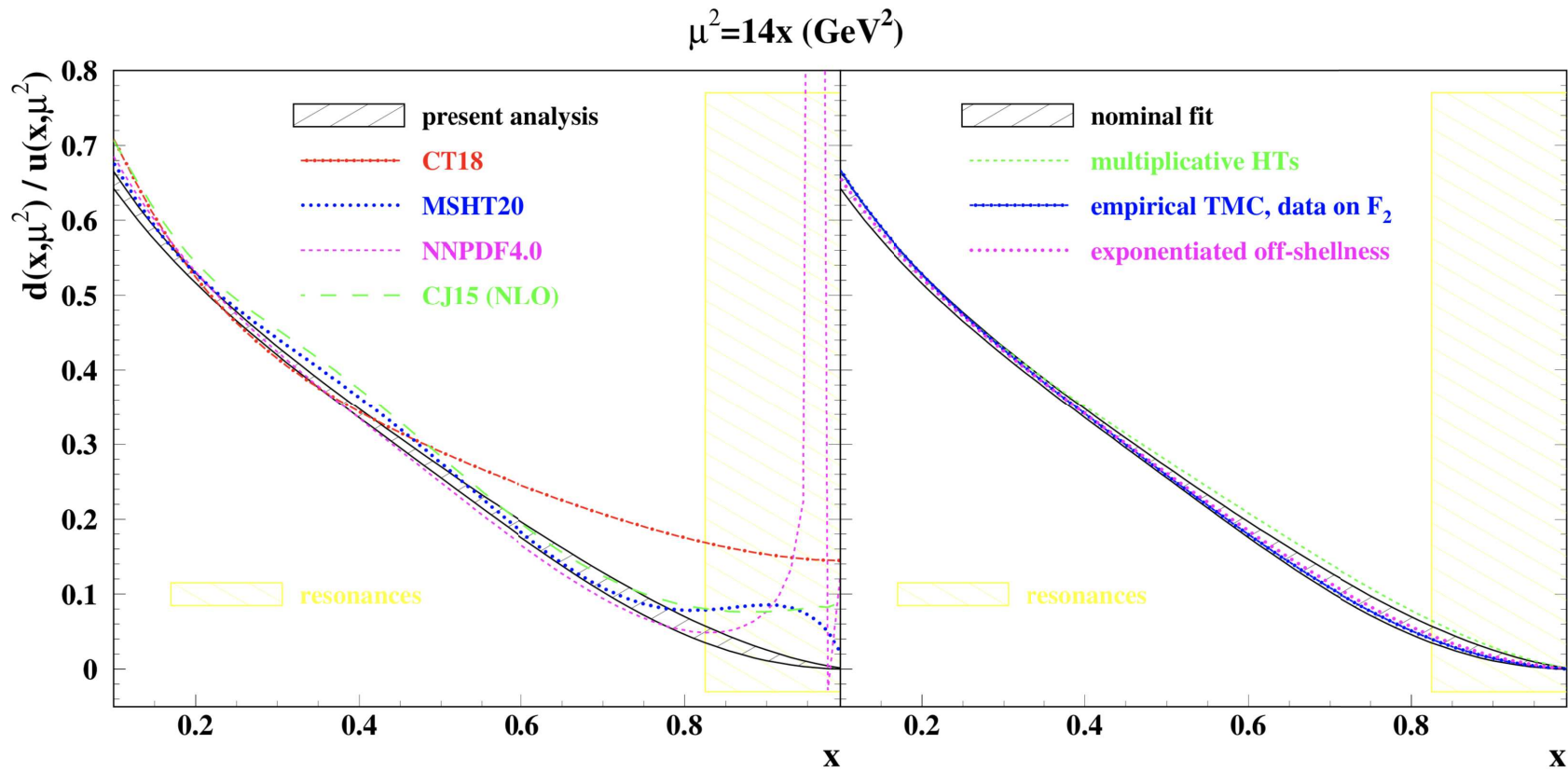
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- ◆ *Our predictions in excellent agreement with MARATHON  $F_2^n / F_2^p$  (not used in fits)*
- ◆ *Sensitivity of MARATHON data to HT contribution at large  $x > 0.6$*

# PREDICTIONS FOR $d/u$ RATIO

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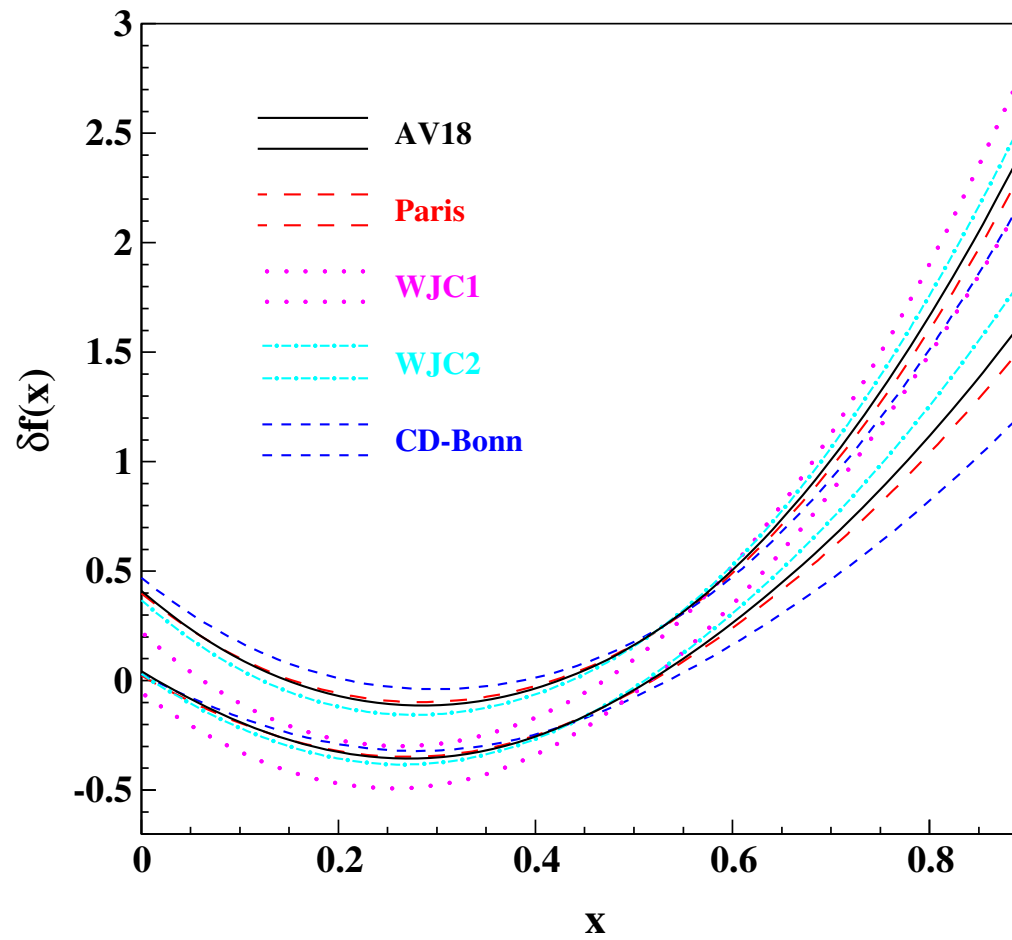


- ◆ Similar  $d/u$  ratio from different QCD analyses at  $x > 0.6$  with the exception of CT18
- ◆ Without additive HT terms MARATHON  $F_2^n / F_2^p$  requires substantial  $d/u$  enhancement
- ⇒ Could be checked with  $W^\pm$  production from LHCb/D0 or future  $e$  &  $\nu(\bar{\nu})$  CC



- ◆ *The off-shell modification of bound nucleons leads to an important nuclear correction which can be described by a universal function  $\delta f(x)$  for all nuclei*
- ◆ *The  $\delta f$  function determined from deuterium data within our global QCD analysis is consistent with the one obtained from inclusive DIS data on nuclear targets with  $A \geq 4$  (Kulagin and Petti)*
- ◆ *The results on  $\delta f$  are stable against systematic studies including variations of both the structure function model and the data sets used in the QCD analysis*
- ◆ *Our predictions for  $F_2^n/F_2^p$  are in excellent agreement with the recent measurement by the MARATHON experiment*
- ◆ *Our analysis indicates that the recent measurement of  $F_2^n/F_2^p$  by the MARATHON experiment is sensitive to HT effects at  $x > 0.6$*

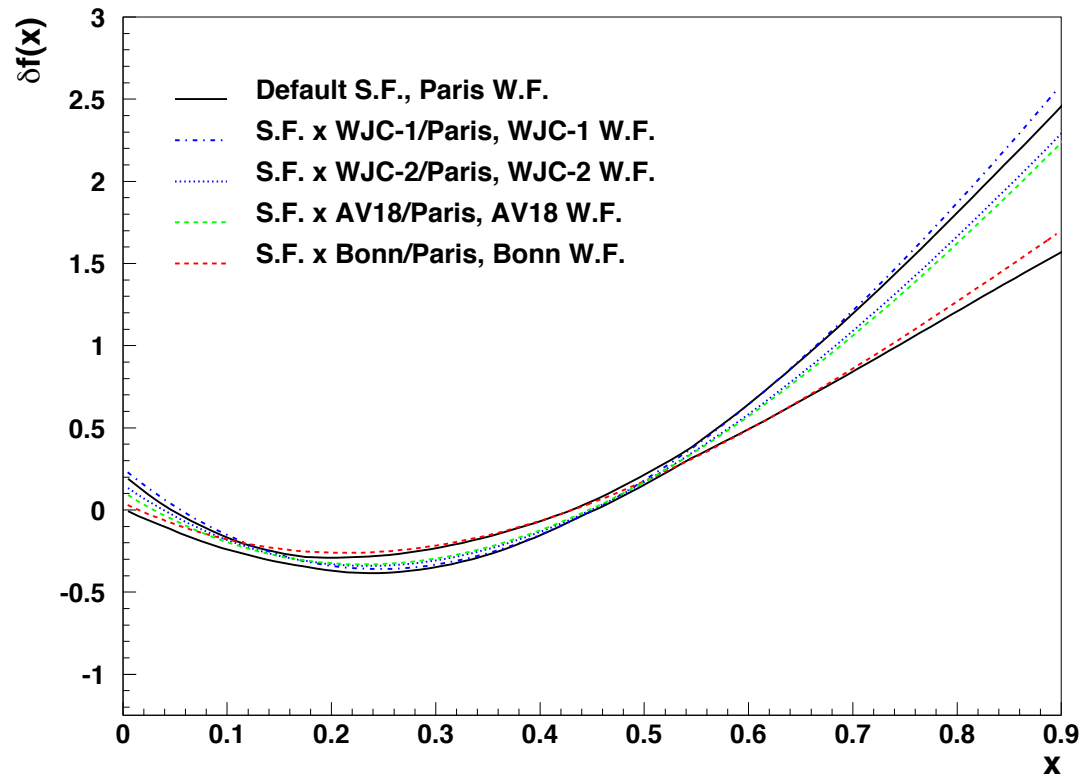
**Backup slides**



*Off-shell function determined from global QCD fits with different wave function models*

PRD 96 (2017) 054005

# OFF-SHELL FUNCTION FROM HEAVY TARGETS ( $A \geq 4$ )



- ♦  $\delta f(x)$  extracted phenomenologically from nuclear DIS ratios  $\mathcal{R}_2(A, B) = F_2^A/F_2^B$ :
- Electron and muon scattering from BCDMS, EMC, E139, E140, E665 and NMC
  - Wide range of targets  $^4\text{He}$ ,  $^7\text{Li}$ ,  $^9\text{Be}$ ,  $^{12}\text{C}$ ,  $^{27}\text{Al}$ ,  $^{40}\text{Ca}$ ,  $^{56}\text{Fe}$ ,  $^{64}\text{Cu}$ ,  $^{108}\text{Ag}$ ,  $^{119}\text{Sn}$ ,  $^{197}\text{Au}$ ,  $^{207}\text{Pb}$
  - Systematic uncertainties including modeling, functional form and spectral/wave function variations
- $\Rightarrow$  Partial cancellation of systematics from spectral function in RATIOS  $\mathcal{R}_2(A, B)$