

SIDIS reconstruction with ML and observables at EIC with ATHENA

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Research supported by:



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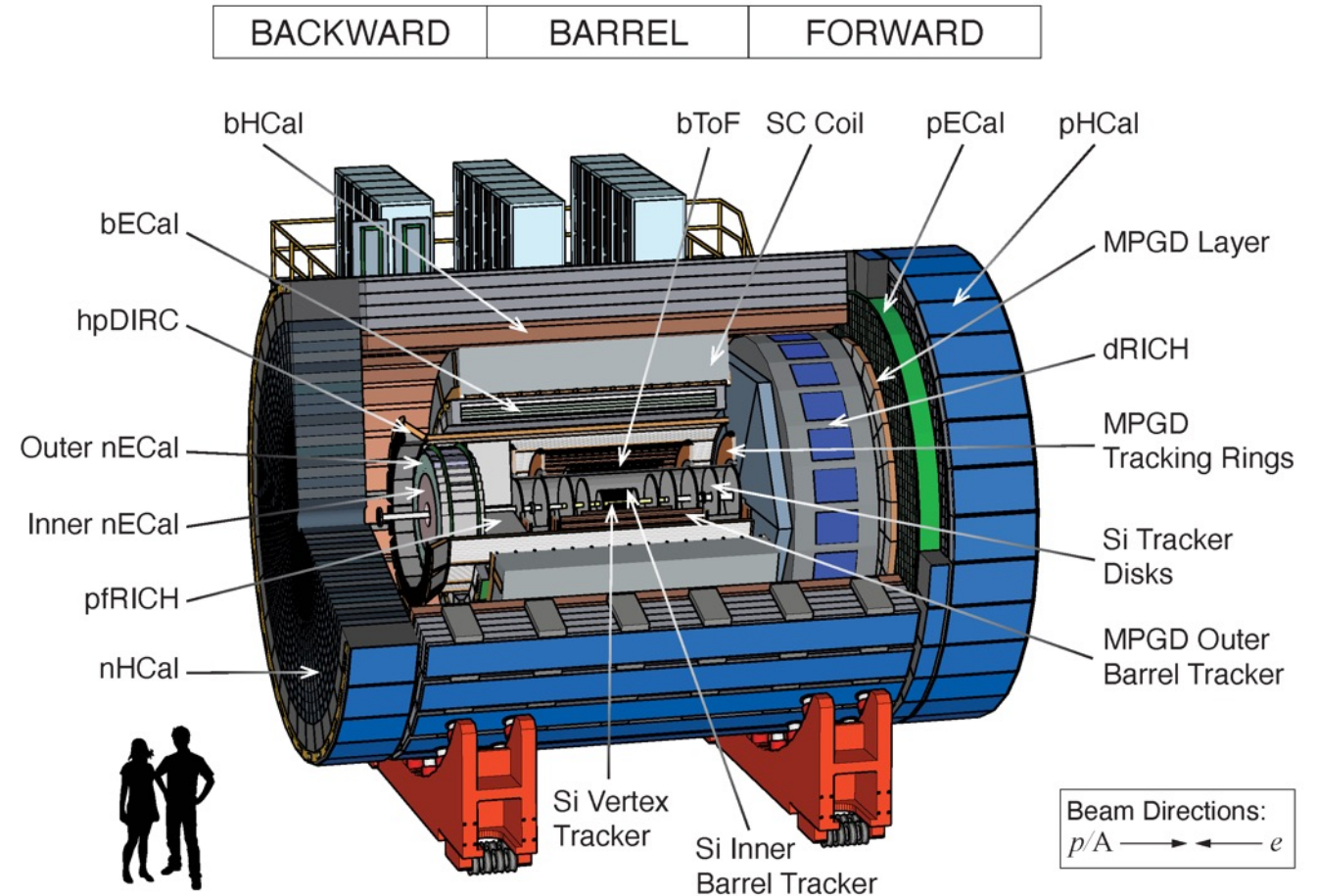
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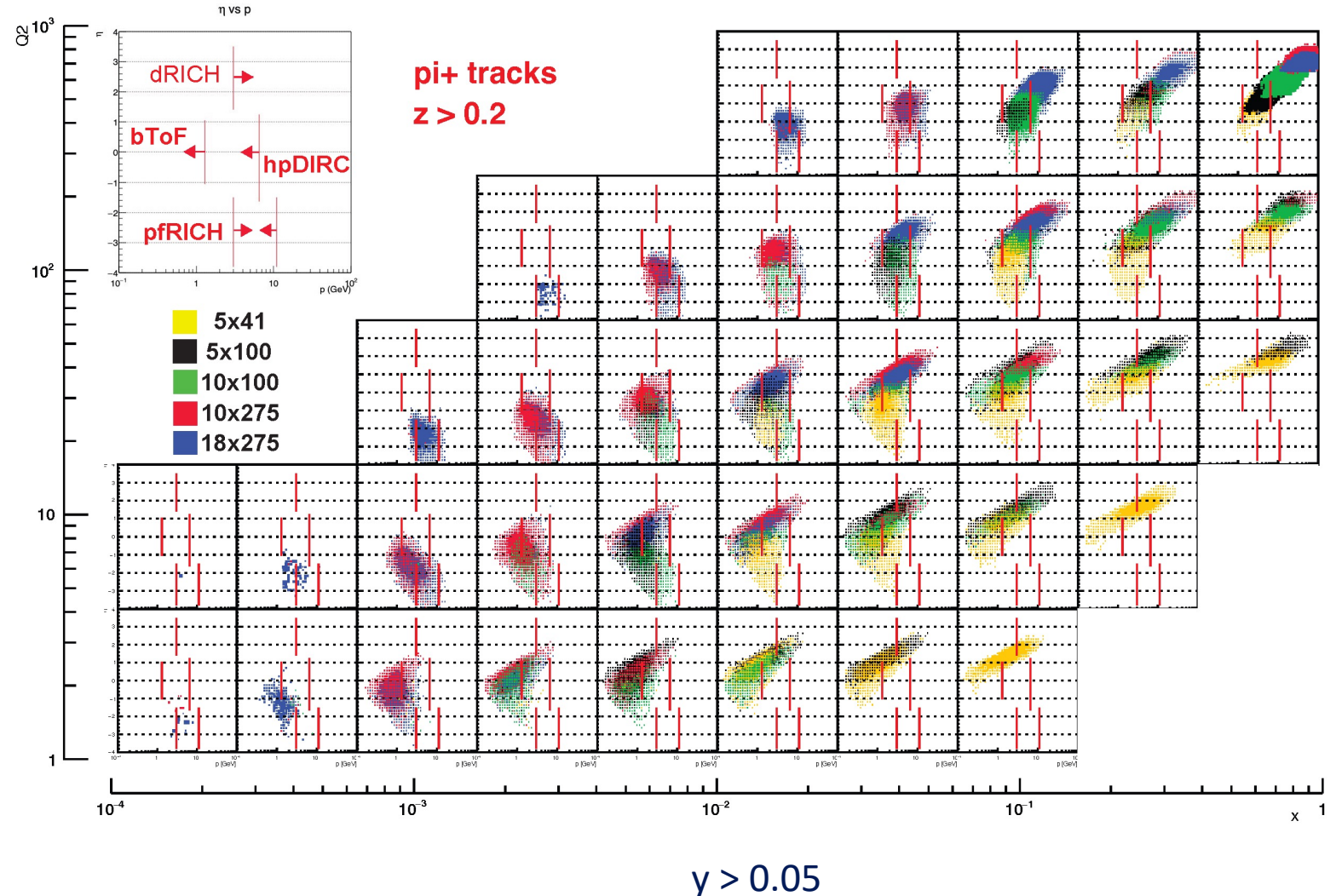
ATHENA detector

- ATHENA (A Totally Hermetic Electron Nucleon Apparatus)
- Precise tracking and PID coverage in wide momentum range – great potential for SIDIS
- ATHENA not chosen as reference detector moving forward at EIC, but these studies still applicable
 - Methods and analysis developed at reconstructed particle level will still be crucial for any EIC detector



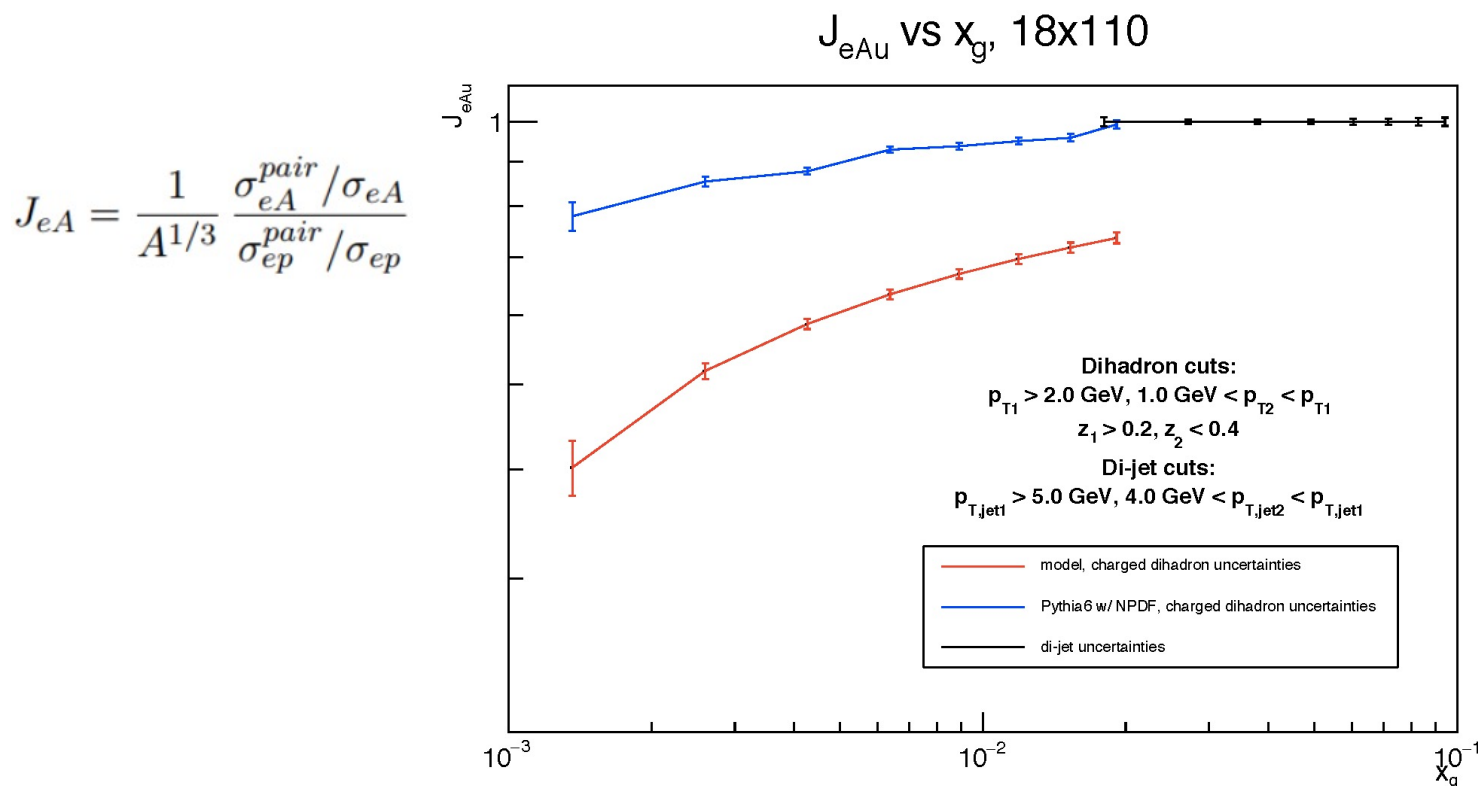
SIDIS observables with ATHENA

- Broad kinematics and PID coverage available at EIC/ATHENA
 - large lever arm for SIDIS multiplicities and asymmetries
- Many SIDIS projections made for proposal:
 - A_{LL} with kaons, gluon saturation with dihadrons, Sivers



Gluon saturation with ATHENA

- Potential to probe gluon saturation with high-pT gluon dijets/dihadrons
- Away side suppression from e+p to e+A



EIC dijet cuts from: Phys. Rev. D 101, 072003 (2020), Page, Chu, Aschenauer

Fast simulation, scaled to 10 fb^{-1}

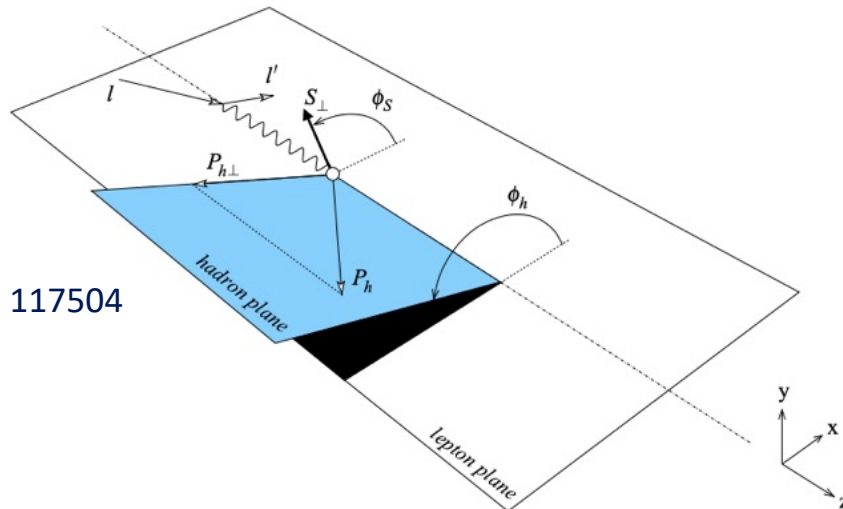
- Red - ATHENA projected dihadron uncertainties on model from Phys.Rev.D. 89, 074037
- Blue - JeAu using NPDF for Au and p, dihadron uncertainties
- Black - dijet uncertainties, no model calculation

SIDIS kinematic reconstruction at EIC

- SIDIS variables: reliant on reconstruction of virtual photon four-momentum, typically determined using

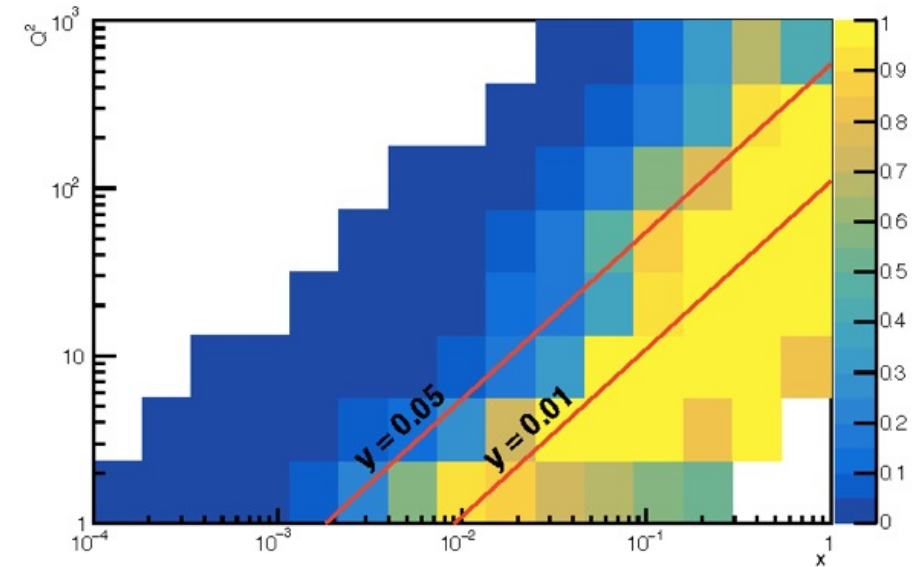
$$q = l - l'$$

- Reliable for larger y , but begins to fail for $y < \sim 0.05$
Low- y : region of interest for TMDs, and important for evolution studies
- To utilize full EIC kinematic reach for SIDIS studies, need improved methods to determine SIDIS variables**
- CC – would require first method without electron



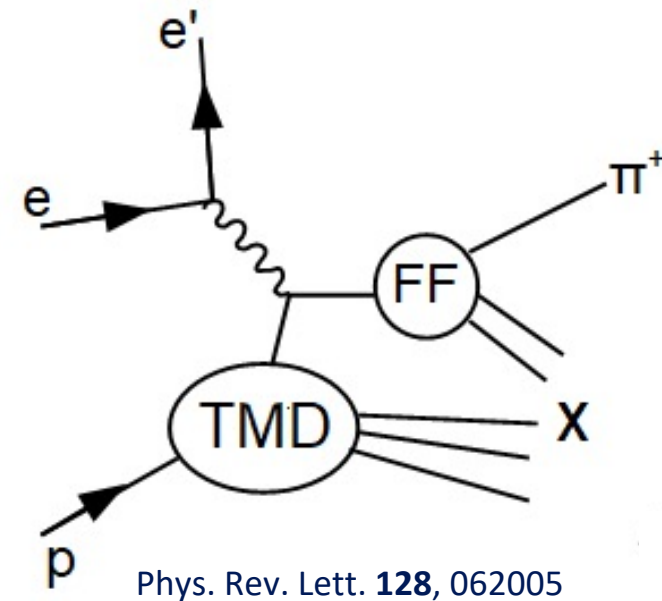
ATHENA full simulation:

pT mean relative error, ele. method



Reconstruction with hadronic final state

- Through conservation of momentum and energy, **hadronic final state (HFS) should also contain enough information to constrain q**
 - To our knowledge, first done by A. Vossen for EIC YR
- Methods utilizing hadronic final state could be more robust with respect to radiative corrections
 - Impact of radiative effects expected to be large at EIC, studies ongoing



Reconstruction with hadronic final state

- Method used in EIC YR and ATHENA proposal to reconstruct virtual photon using hadronic final state (HFS)
 - x and y components - summed HFS momentum
 - z and t components - solved for algebraically using

$$y = \frac{p \cdot q}{p \cdot l} \quad Q^2 = -q^2$$

and DIS variables from any DIS reconstruction method

- | | |
|--|---|
| i) <i>Leptonic variables</i> | $q \equiv q_l = k_2 - k_1, \quad y_l = p_1 \cdot (k_1 - k_2) / p_1 \cdot k_1$ |
| ii) <i>Hadronic variables</i> [81] | $q \equiv q_h = p_2 - p_1, \quad y_l = p_1 \cdot (p_2 - p_1) / p_1 \cdot k_1$ |
| iii) <i>Jacquet-Blondel variables</i> [82] | $Q_{JB}^2 = (\vec{p}_{2,\perp})^2 / (1 - y_{JB}), \quad y_{JB} = \Sigma / (2E(k_1))$
$\Sigma = \sum_h (E_h - p_{h,z})$ |
| iv) <i>Mixed variables</i> [81] | $q = q_l, y_m = y_{JB}$ |

Prog. Part. Nucl. Phys. 2013, Blümlein

v) *Double angle method* [83]

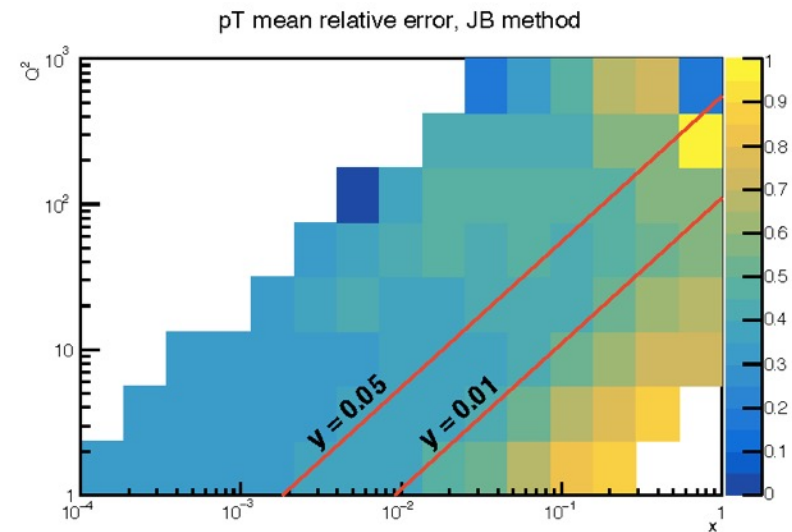
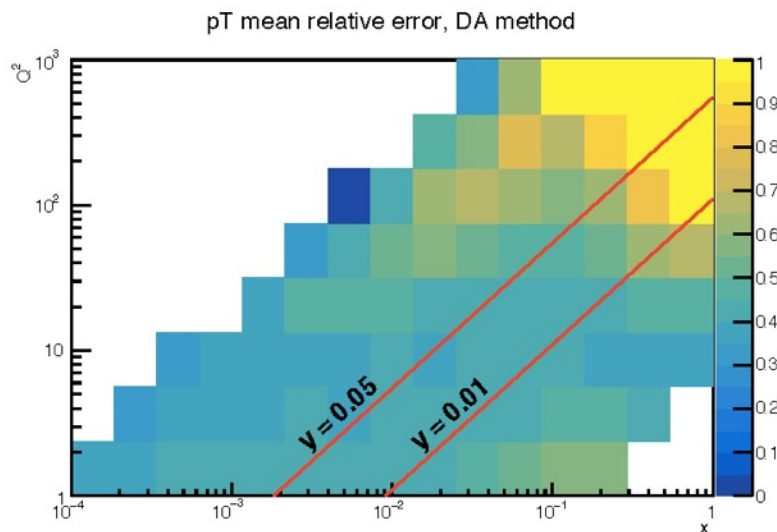
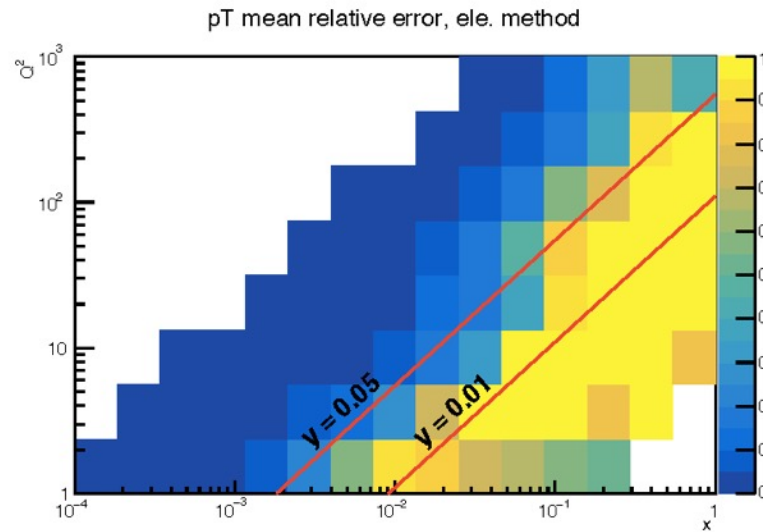
$$Q_{DA}^2 = \frac{4E(k_2)^2 \cos^2(\theta(k_2)/2)}{\sin^2(\theta(k_2)/2) + \sin(\theta(k_2)/2) \cos(\theta(k_2)/2) \tan(\theta(p_2)/2)},$$

$$y_{DA} = 1 - \frac{\sin(\theta(k_2)/2)}{\sin(\theta(k_2)/2) + \cos(\theta(k_2)/2) \tan(\theta(p_2)/2)},$$

- + solution in quadratic equation found to always be closer to MC truth
- Resolution improved if this is carried out in head-on frame, then transformed to lab frame
- Large crossing angle needed for EIC

ATHENA full simulation SIDIS resolution, p_T

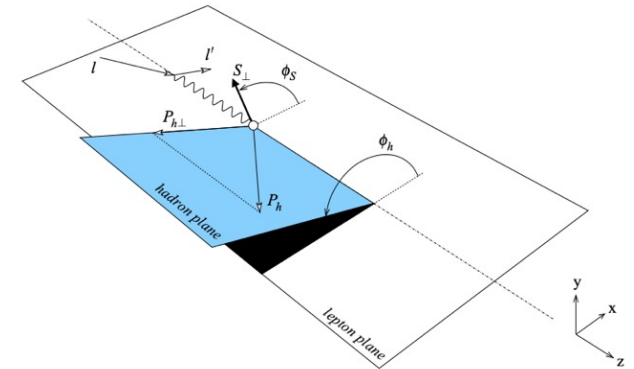
Transverse momentum (w.r.t. q),
10x275, π^+ , $z > 0.2$:



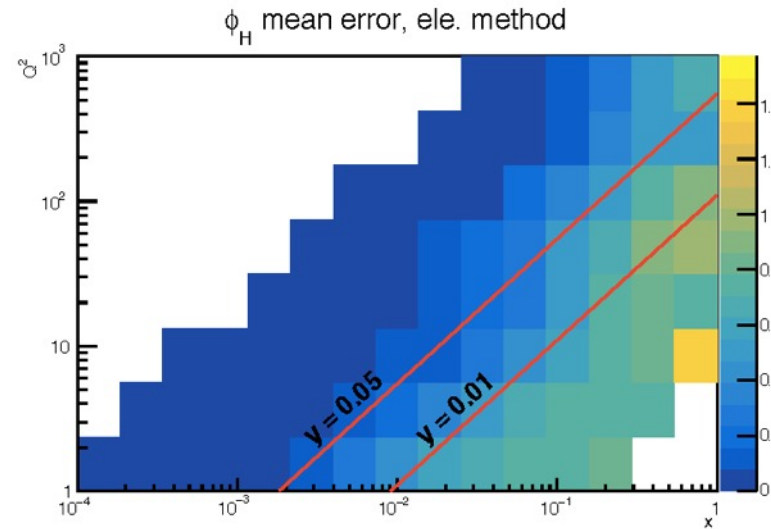
DA, hybrid method ->
pT resolution more
acceptable at low y

<- JB, HFS only
Potential for CC

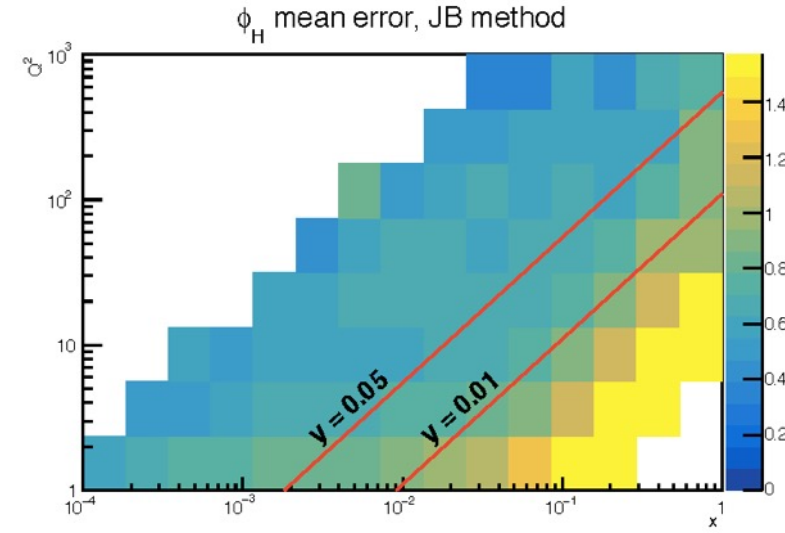
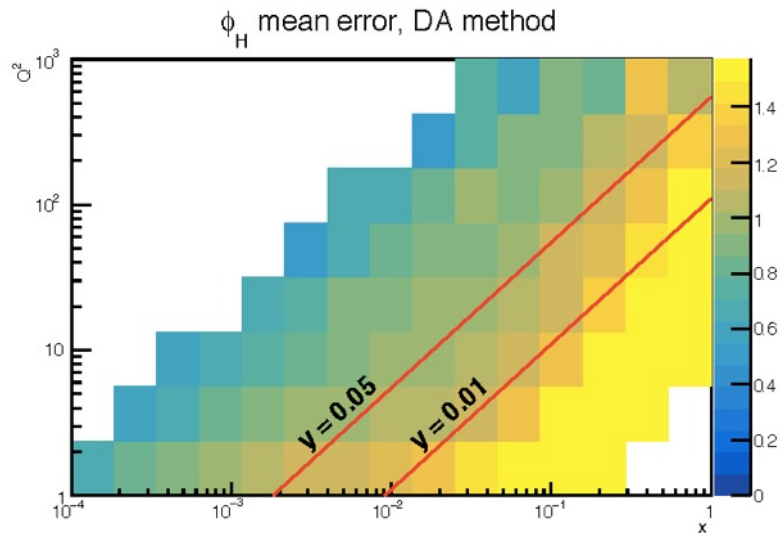
ATHENA full simulation SIDIS resolution, ϕ_h



ATHENA full simulation, 10x275,
pi+, $z > 0.2$



Angular resolution still
poor at low-y with all
methods

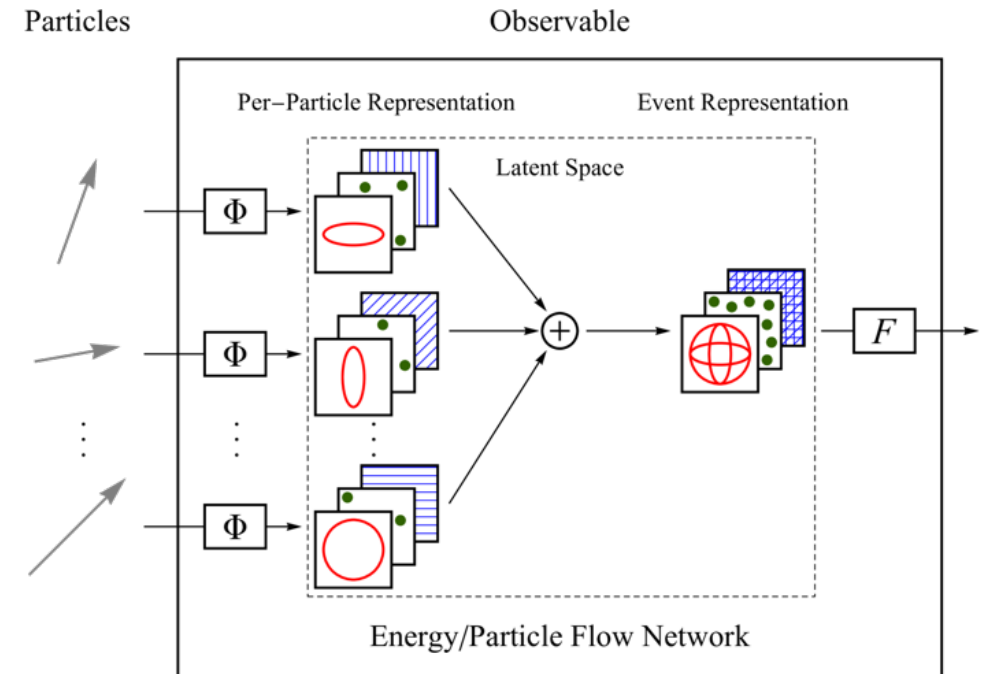


Machine learning reconstruction

- Based on hybrid HFS-electron SIDIS reconstruction, using ML to combine information from both to reconstruct q
 - Potential to correct overall HFS momentum and to more accurately reconstruct z and t components than exact formula
 - ML models used for DIS reconstruction have been shown to be able to naturally account for radiative effects
 - (arXiv:2108.11638 and talk in WG6 by A. Farhat on Wednesday, as well as J.NIM.A, Arratia, Britzger, Long, Nachman, 2021 with talk in WG1 on Wednesday)
- Currently utilizing graph-like neural network architectures designed for jet reconstruction
 - Treating DIS HFS at EIC similar to a jet

Particle flow networks

- Particle flow networks (PFN) developed by Komiske et al., (JHEP 01 (2019) 121, Komiske, Metodiev, Thaler)
 - Accepts unordered set of particles
 - Particles \rightarrow input to layers Φ
 - Summed over to create latent space of ℓ variables
 - Global features of event concatenated with latent space variables
 - Latent space variables and global features fed to layers F , produce final output
- Designed to be general purpose, universal approximator on sets of particles



JHEP 01 (2019) 121

ML SIDIS model and training

- Model combining electron and HFS:
 - Particle features for PFN: momentum, energy, η , φ in lab frame
 - Event-wide features: electron four momentum, DIS variables from JB, DA, electron methods
 - DIS variables will eventually be replaced with final reconstructed Q^2 and x (see talks on DIS reconstruction), but in this study statistics for training were limited
 - Target: MC virtual photon four-momentum in lab frame
- Training sample: ATHENA full simulation
 - HFS at the level of reconstructed particles
 - Version of dd4hep ATHENA full sim. used for detector proposal
 - Still some features missing, e.g. proper scattered electron ID
 - 10 GeV electron beam, 275 GeV proton beam, crossing angle -25 mrad
 - Trained on 3 million events with $Q^2 > 1 \text{ GeV}^2$, 2 million with $Q^2 > 10 \text{ GeV}^2$
 - 1 million $Q^2 > 1 \text{ GeV}^2$ events for validation

ATHENA full simulation,
10x275, pi+, z > 0.2

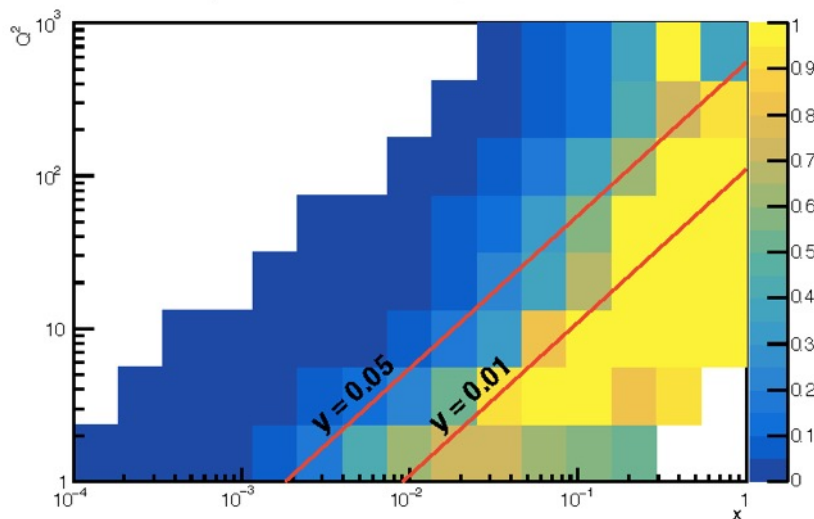
Electron
method

Neural
network

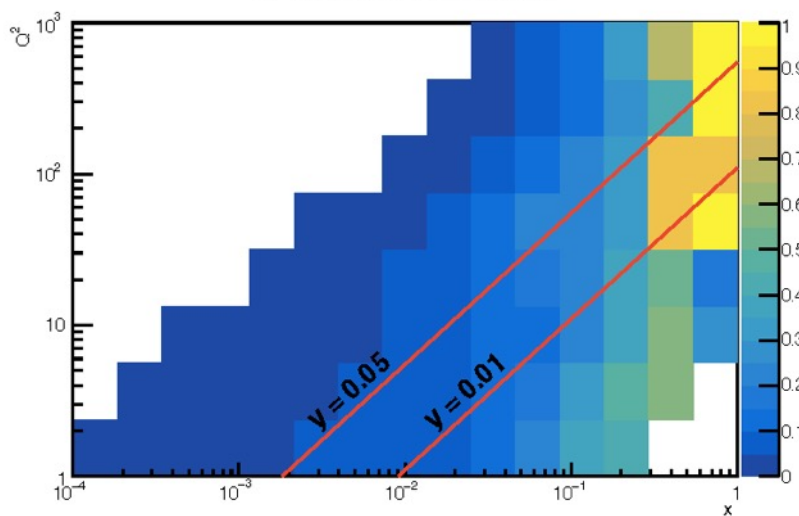
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$$\frac{p_T - p_{T,true}}{p_{T,true}}$$

pT mean relative error, ele. method

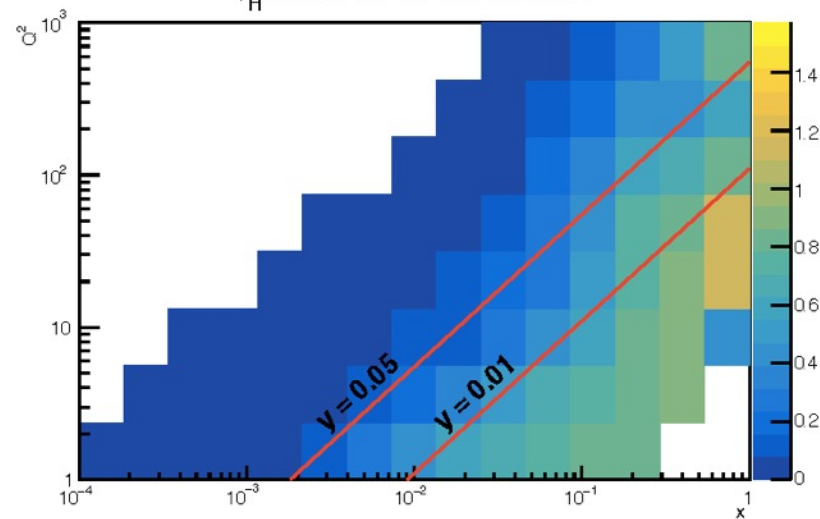


pT mean relative error, NN

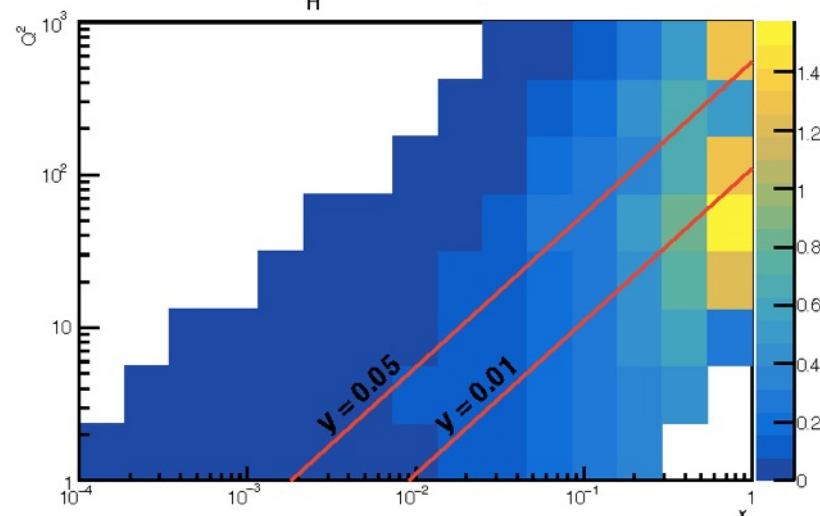


$$\phi_H - \phi_{H,true}$$

ϕ_H mean error, ele. method



ϕ_H mean error, NN



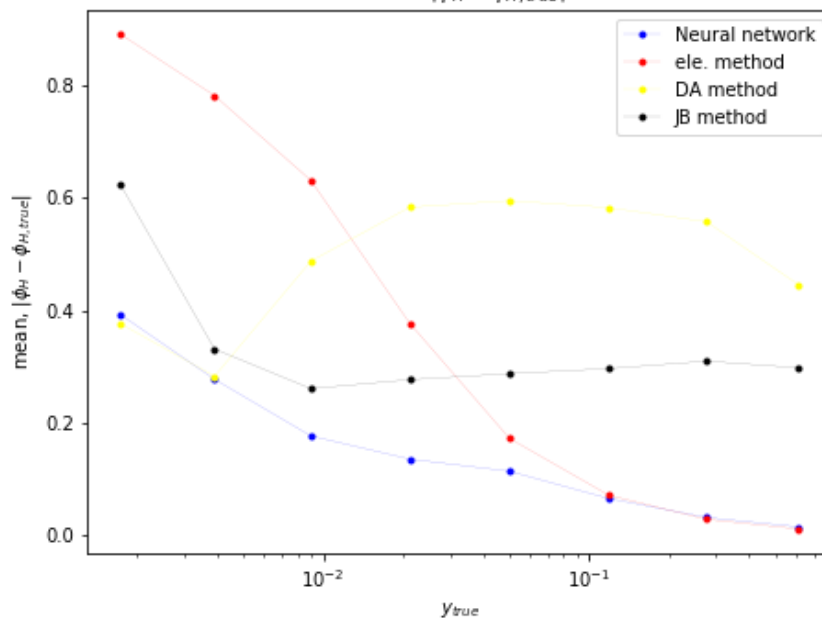
PFN able to
correct electron
method in almost
all of x-Q2

ATHENA full simulation, 10x275, pi+, z > 0.2

- Comparison with other HFS/hybrid methods vs Y_{true}
- NN by far best performance for azimuthal angle, and at least equaling electron method for large y

$$|\phi_h - \phi_{h,true}|$$

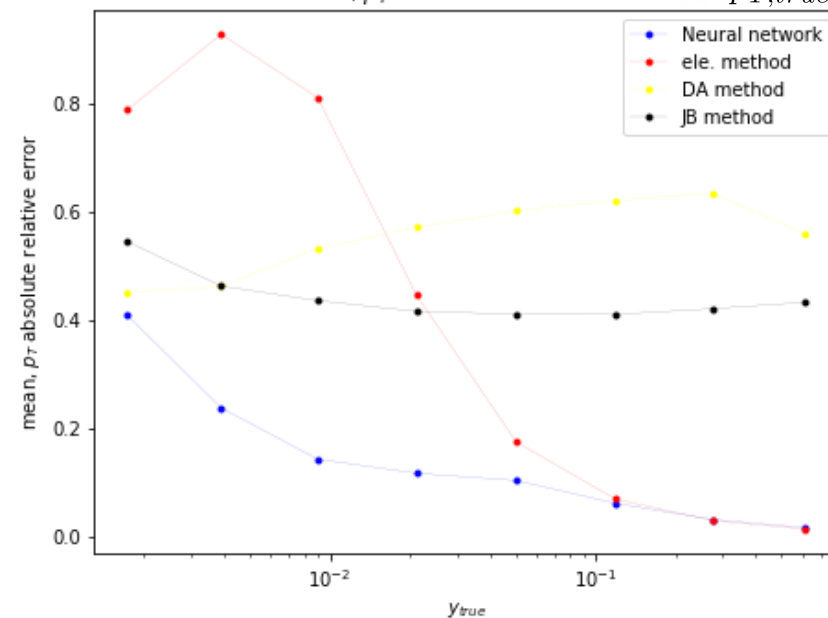
mean, $|\phi_H - \phi_{H,true}|$



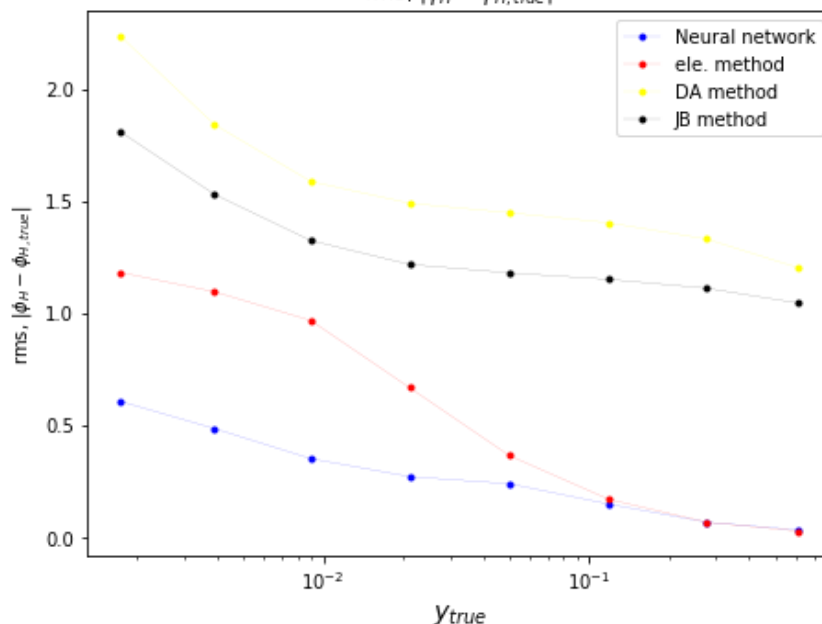
ATHENA full simulation

$$|p_T - p_{T,true}|$$

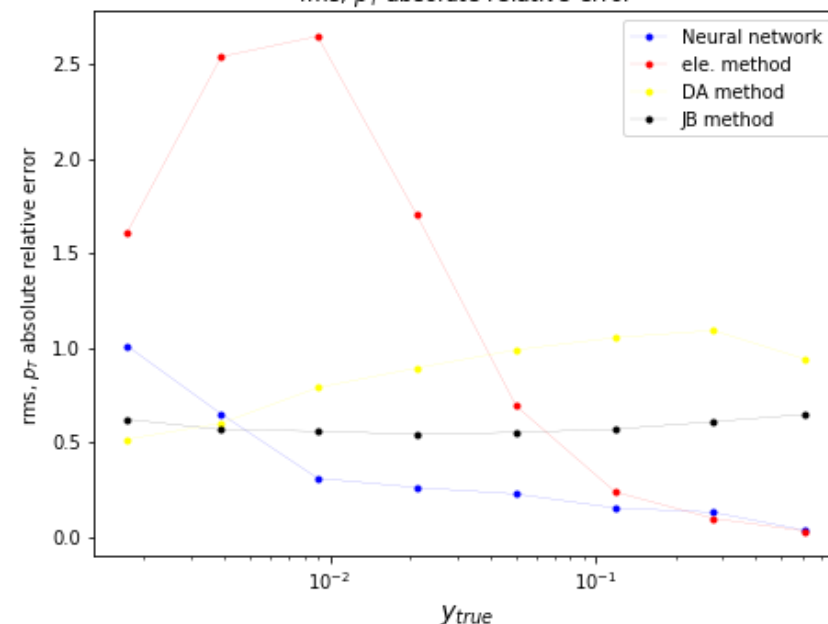
mean, p_T absolute relative error



rms, $|\phi_H - \phi_{H,true}|$



rms, p_T absolute relative error



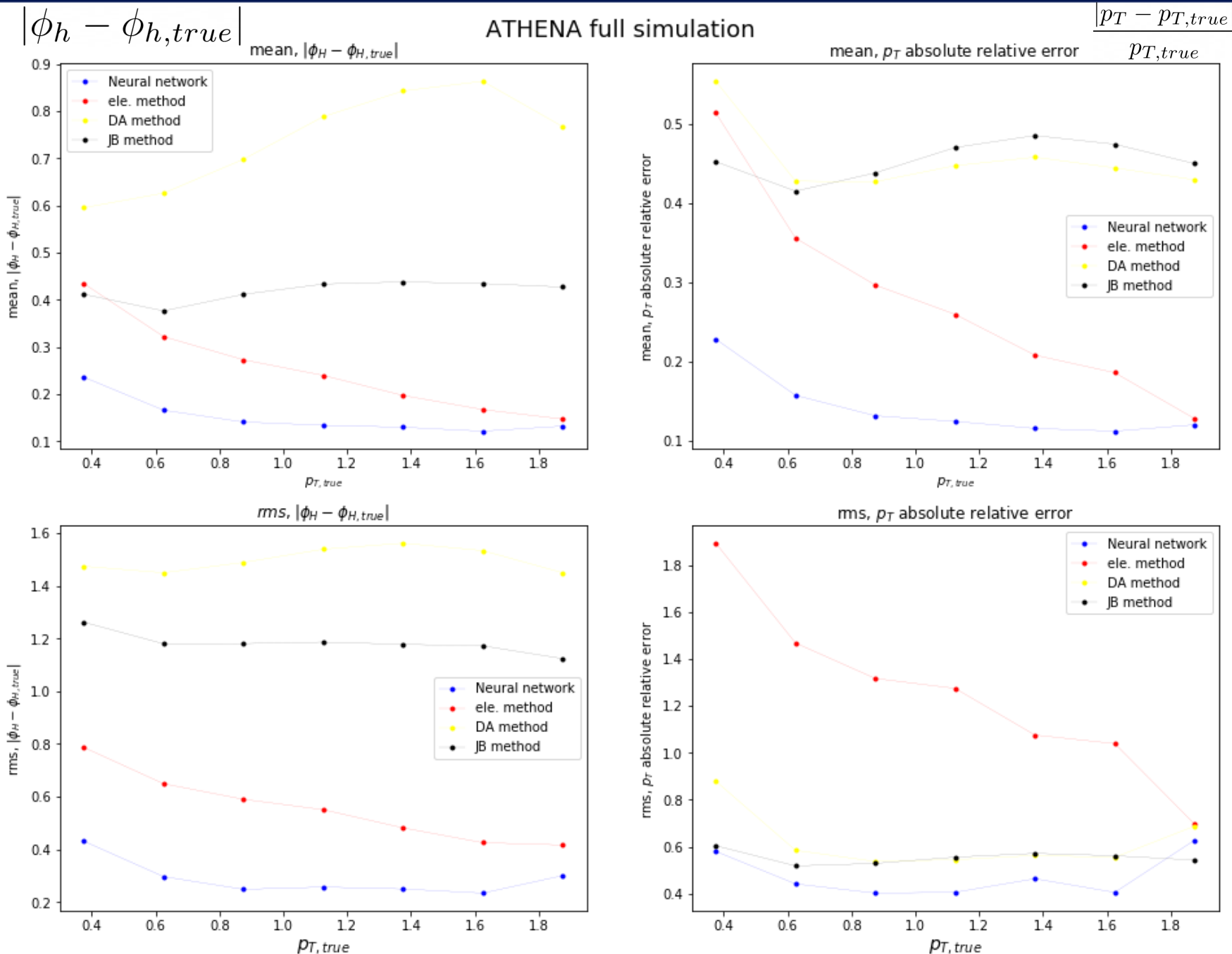
ATHENA full simulation,
10x275, pi+, z > 0.2

Mean:

- Comparison with other HFS methods vs true pT
- NN again clearly outperforming other methods for all pT

RMS:

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Summary

- Projections with the ATHENA detector demonstrate the exciting capabilities and kinematic coverage of the EIC for SIDIS measurements
- The EIC has the potential for a conclusive measurement of gluon saturation
- The electron method fails for $y < 0.05$, but can be improved using the hadronic final state and DIS variables to reconstruct virtual photon axis
- We demonstrate a machine learning approach combining the hadronic final state and scattered electron which surpasses existing methods for all of x - Q^2 and p_T
- Next steps in reconstruction:
 - Currently working on replacing the particle flow network with an architecture which can learn correlations between HFS particles (such as a GNN)
 - Method will need to be tested with better implementation of radiative effects

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