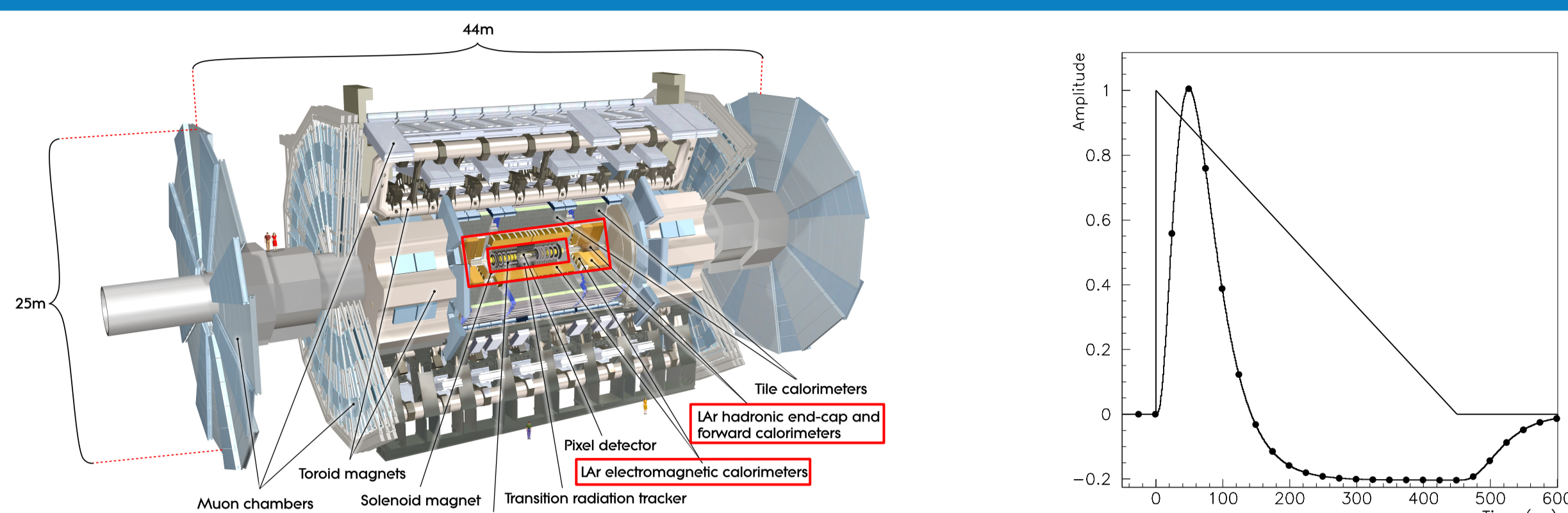
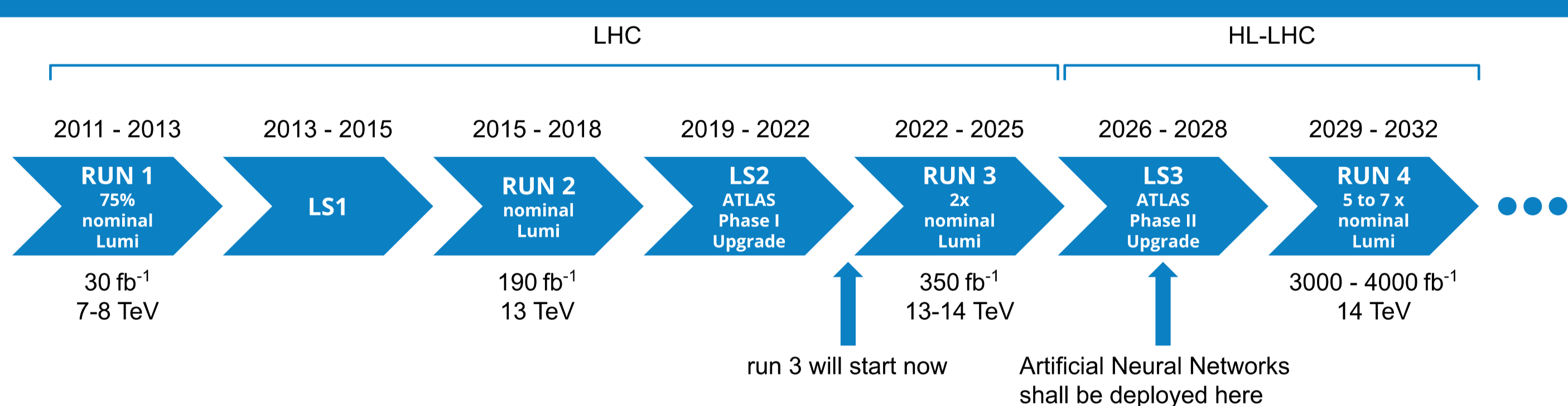


## 1. ATLAS Liquid Argon Calorimeter



- Sampling calorimeters for the measurement of energy deposited by electrons, photons and hadronic jets
- 182 000 cells filled with Liquid Argon as medium for ionization
- Resulting triangular pulse is amplified, shaped and digitized at 40 MHz
- Energy reconstruction with **Optimal Filter (OF)**: linear combination of up to 5 samples

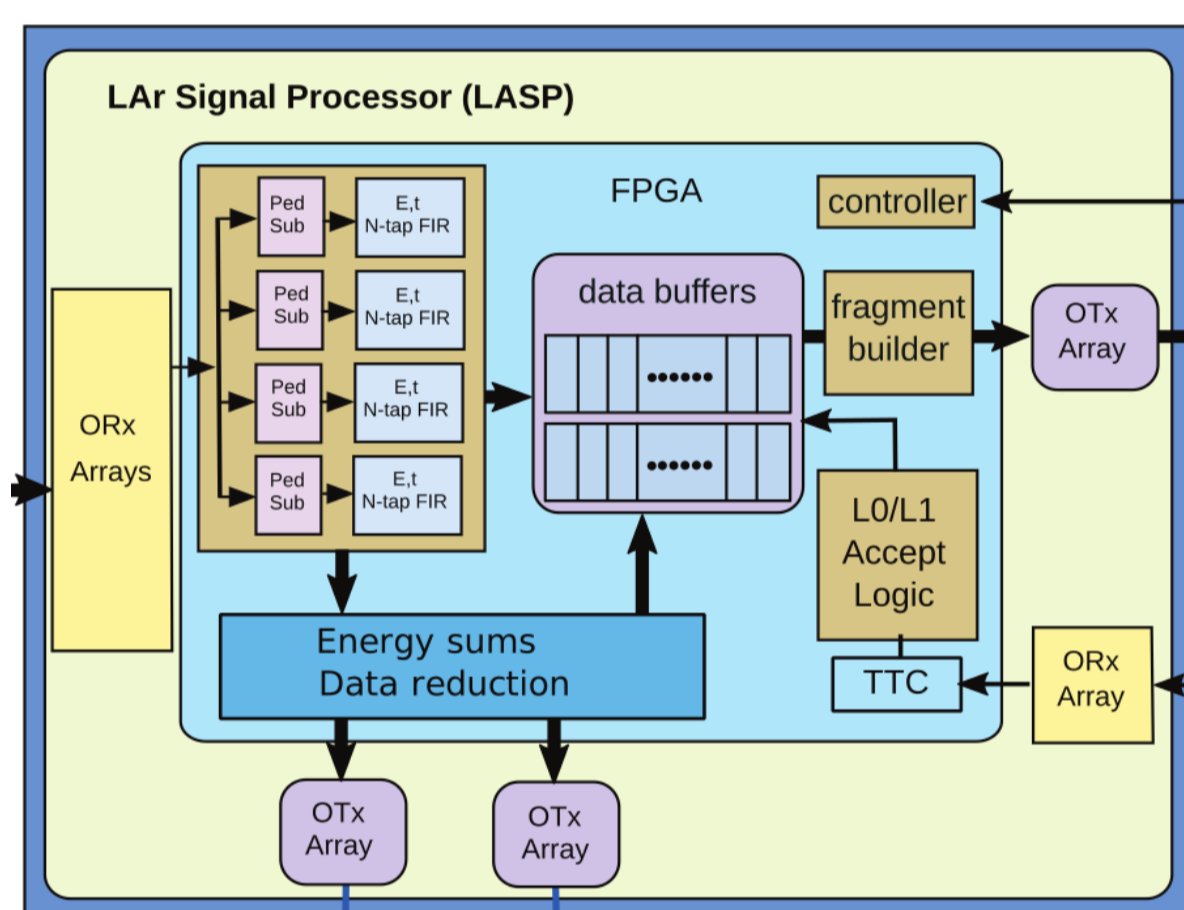
## 2. Phase II Readout Electronics Upgrade



- High Luminosity LHC (HL-LHC) planned to start in 2029 with up to 7.5 times nominal luminosity
- About **140 proton-proton-collisions per bunch crossing**
- Challenges for LAr calorimeter readout under HL-LHC conditions:
  - Revised trigger scheme allows selection of events at 1 MHz and in subsequent bunch crossings (BC)
  - Number of events with **overlapping signals will increase** → in-time and out-of-time pile-up

• New Liquid Argon Signal Processor (LASP) boards will be installed during Long Shutdown 3 (LS3, Phase-II upgrade):

- **FPGA to implement more complex real-time energy reconstruction algorithms**
- Maximum latency of ~150 ns required by trigger
- Up to 512 detector cells processed on one FPGA



- Readout chain can be **simulated with AREUS** software:
  - Supports electronics noise, digitization and LHC bunch train structure
  - Generates digitized pulse sequence as expected from specific detector cell

## 3.1 Convolutional Neural Networks (CNN)

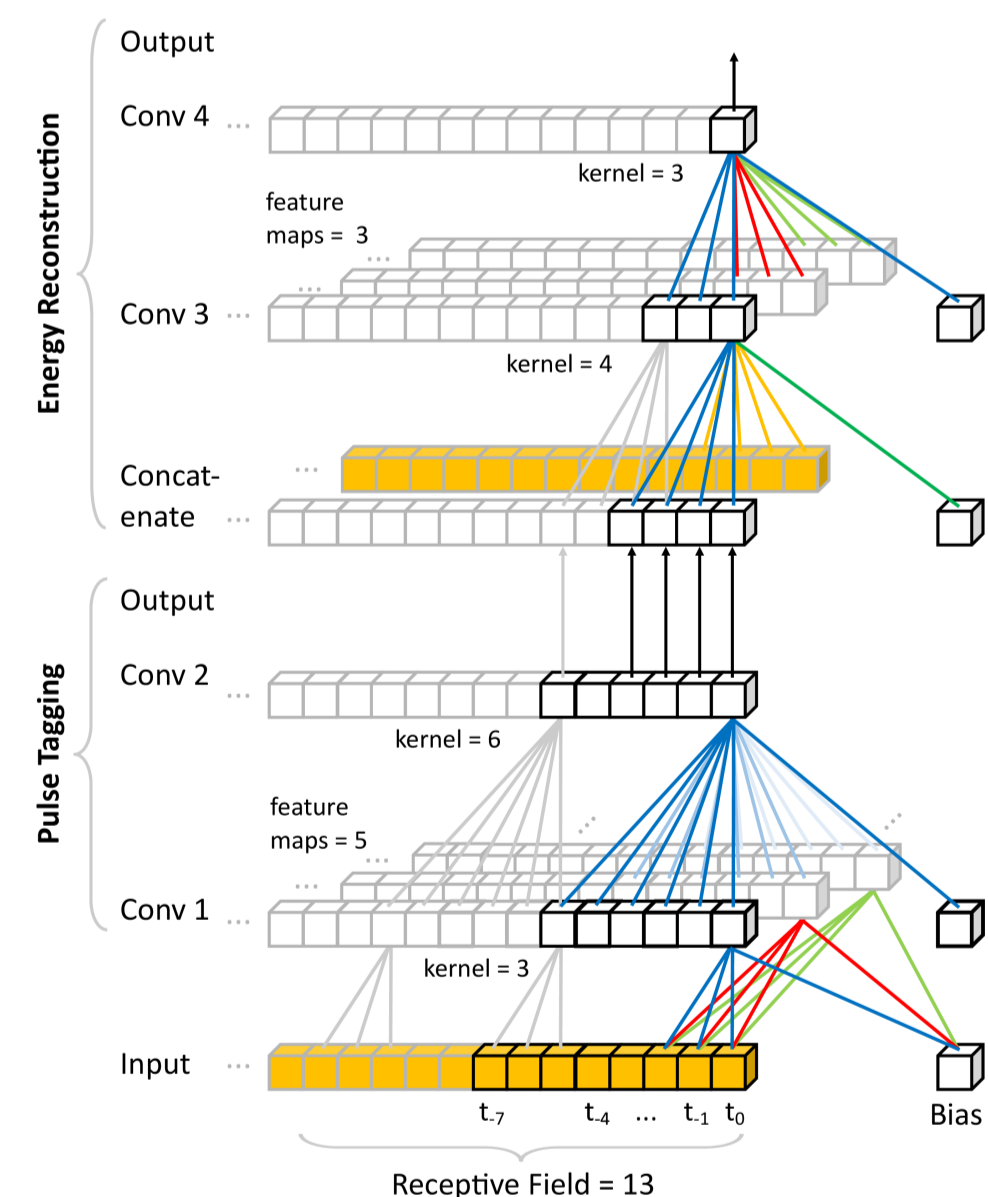
- **Machine Learning** solutions under investigation to **replace OF** in future HL-LHC conditions

- **Pulse tagging sub-network** (2 layers)
  - Sigmoid activation function

- **Energy reconstruction sub-network** (1-2 layers)
  - Uses results of tagging sub-network and raw ADC samples
  - ReLU activation function

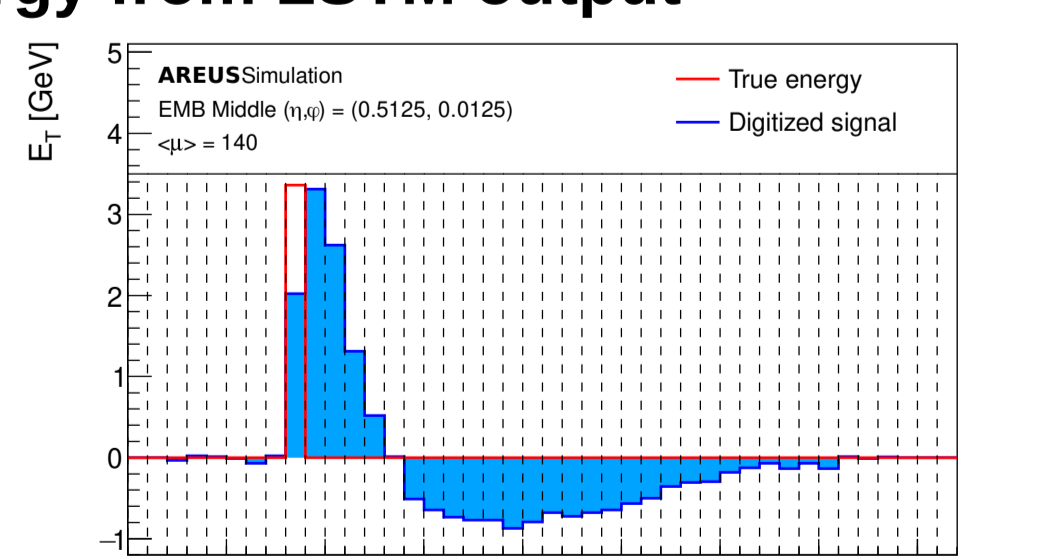
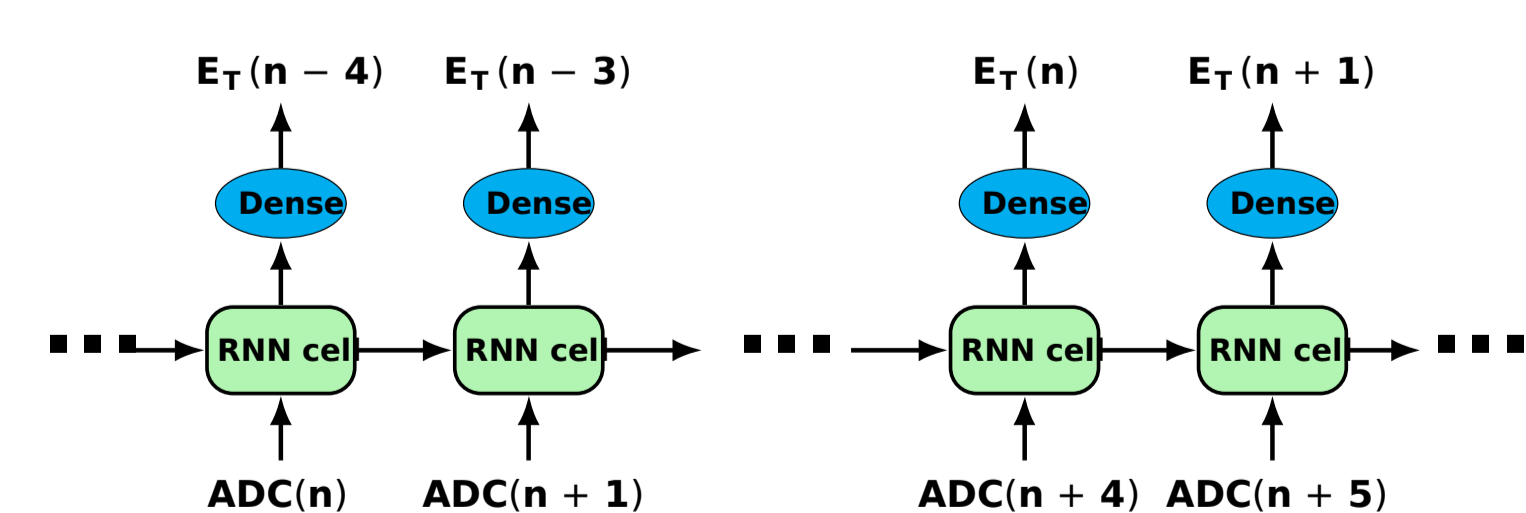
• Trained and evaluated on AREUS samples

- Training in two stages:
  1. Tagging part only as pre-training
  2. All layers together for energy reconstruction

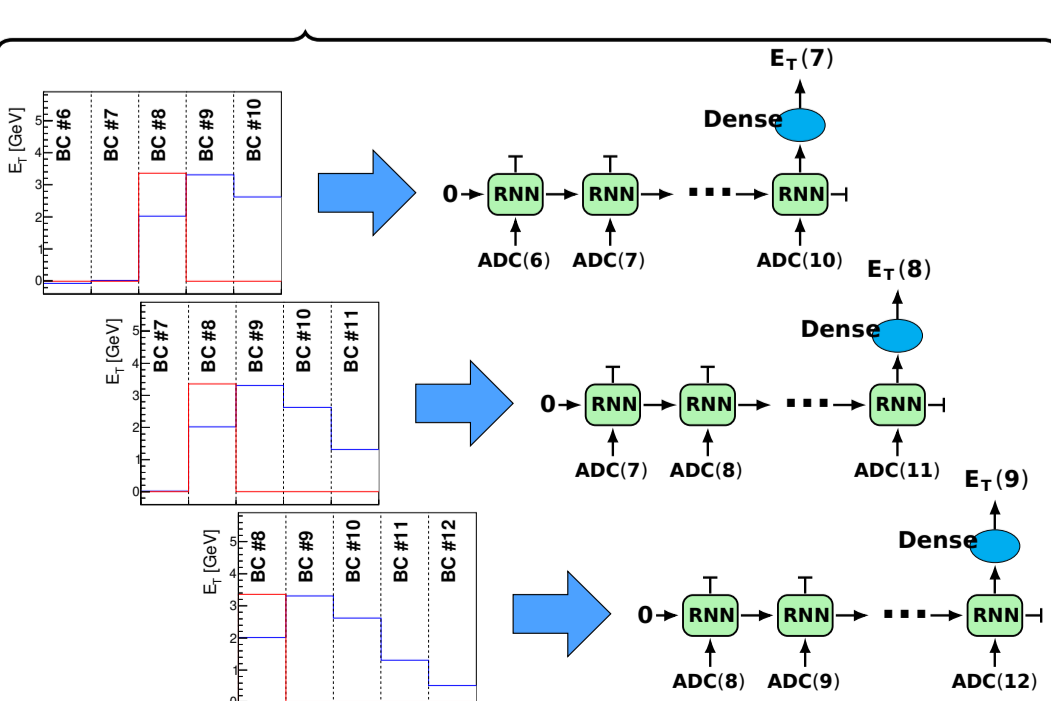


## 3.2 Recurrent Neural Networks (RNN)

- RNNs have connections between nodes over time → memory
- Long Short-Term Memory (LSTM) well suited for long sequences due to gated design
- Limited number of internal dimensions and only one layer due to FPGA resource constraints
- **Single dense neuron** as decoder to **reconstruct energy from LSTM output**



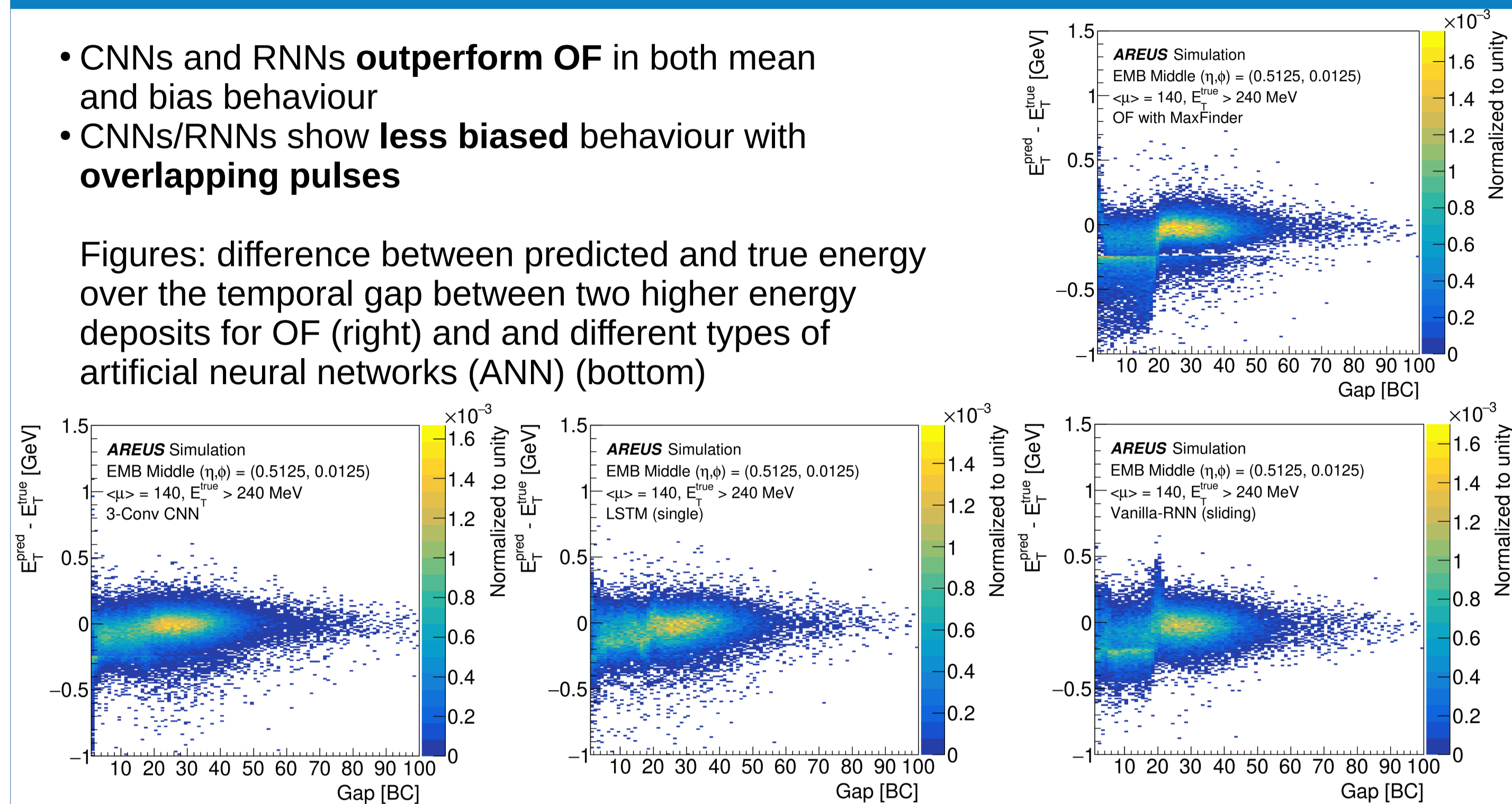
- Single-cell LSTM (above)
  - Operates sample per sample **on entire sequence**
  - Expected to be more robust for overlapping pulses
- Sliding-window LSTM (right)
  - **Newly instantiated** LSTM operates on fixed number of **past samples at each BC**
  - Expected to be more robust for isolated pulses
- Vanilla RNN: simple structure to reconstruct energy and forward information



## 3.3 Performance in Simulation

- CNNs and RNNs **outperform OF** in both mean and bias behaviour
- CNNs/RNNs show **less biased** behaviour with **overlapping pulses**

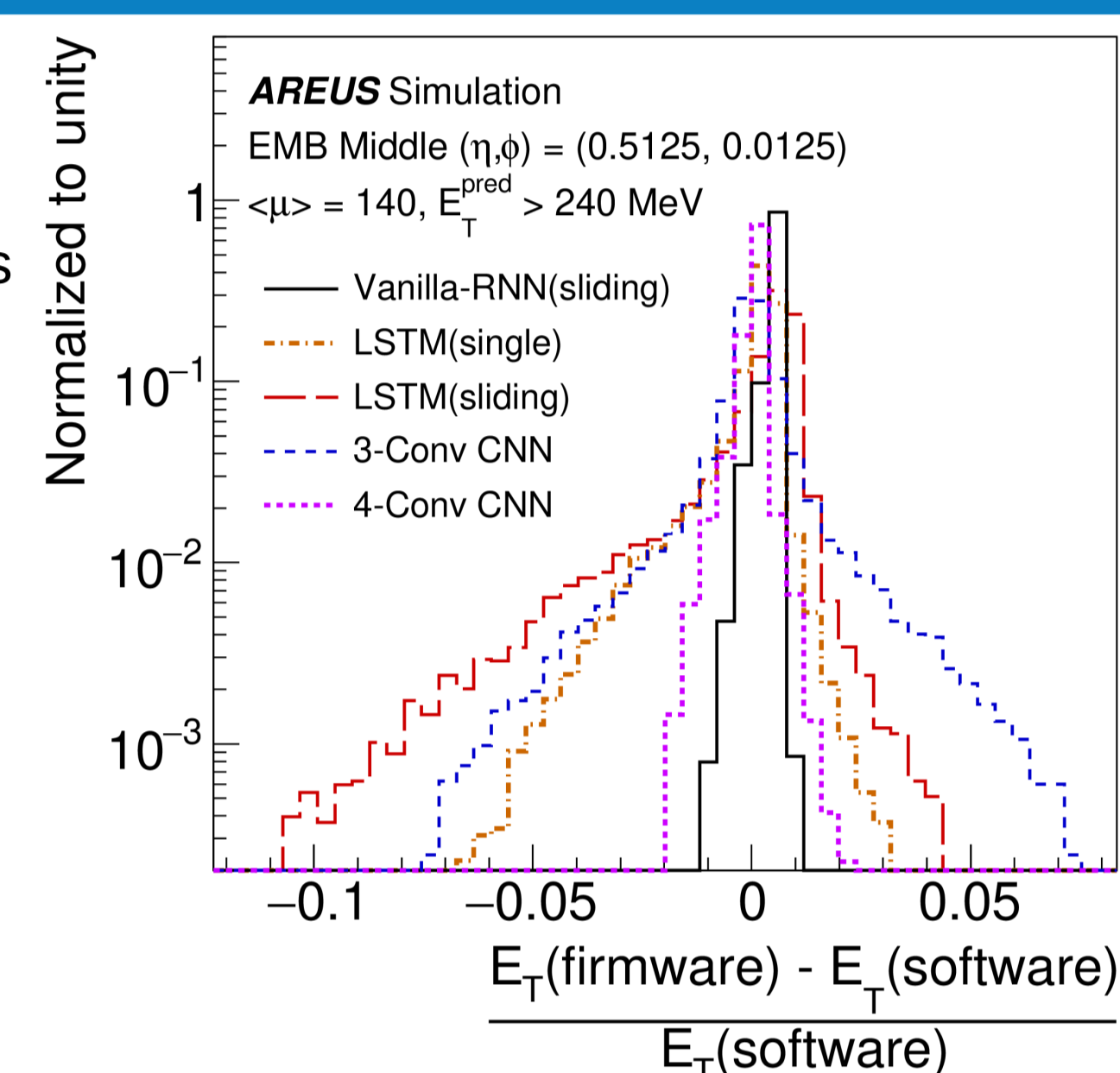
Figures: difference between predicted and true energy over the temporal gap between two higher energy deposits for OF (right) and different types of artificial neural networks (ANN) (bottom)



## 4. FPGA Implementation and Performance

- **CNN: Direct implementation in VHDL**
- Optimal use of DSP resources on FPGA
- Architecture automatically configured from files obtained during training
- RNN: **High Level Synthesis** approach
- Easier design and more flexibility in architecture
- **Good agreement between firmware simulation results and software reference model**
- Inherent inaccuracies from fixed point numbers

- Performance and resource usage for single instance (left) and multiplexed model (right):



	3-Conv CNN	4-Conv CNN	Vanilla RNN (sliding)	LSTM (single)	LSTM (sliding)
Frequency F <sub>max</sub> [MHz]	493	480	641	560	517
Latency clk <sub>core</sub> cycles	62	58	206	220	363
#DSPs	46 (0.8%)	42 (0.7%)	34 (0.6%)	176 (3.1%)	738 (12.8%)
#ALMs	5684 (0.6%)	5702 (0.6%)	13115 (1.4%)	18079 (1.9%)	69892 (7.5%)

	3-Conv CNN	4-Conv CNN	Vanilla RNN
Multiplicity	6	6	15
Frequency F <sub>max</sub> [MHz]	344	334	640
Latency clk <sub>core</sub> cycles	81	62	120
Max. Channels	390	352	576
#DSPs	46 (0.8%)	42 (0.7%)	152 (2.6%)
#ALMs	14235 (1.5%)	15627 (1.7%)	5782 (0.6%)

- CNNs:
  - short latency and small usage of Digital Signal Processors (DSP)
  - Usage of FPGA logic (ALM) and maximum execution frequency of multiplexed version need to be optimized further
- Vanilla RNN:
  - meets requirements for resource usage and maximum clock frequency in multiplexed version

- **Energy reconstruction using CNNs/RNNs can be implemented on LASP FPGA, shows good agreement between software and firmware model and outperforms OF**

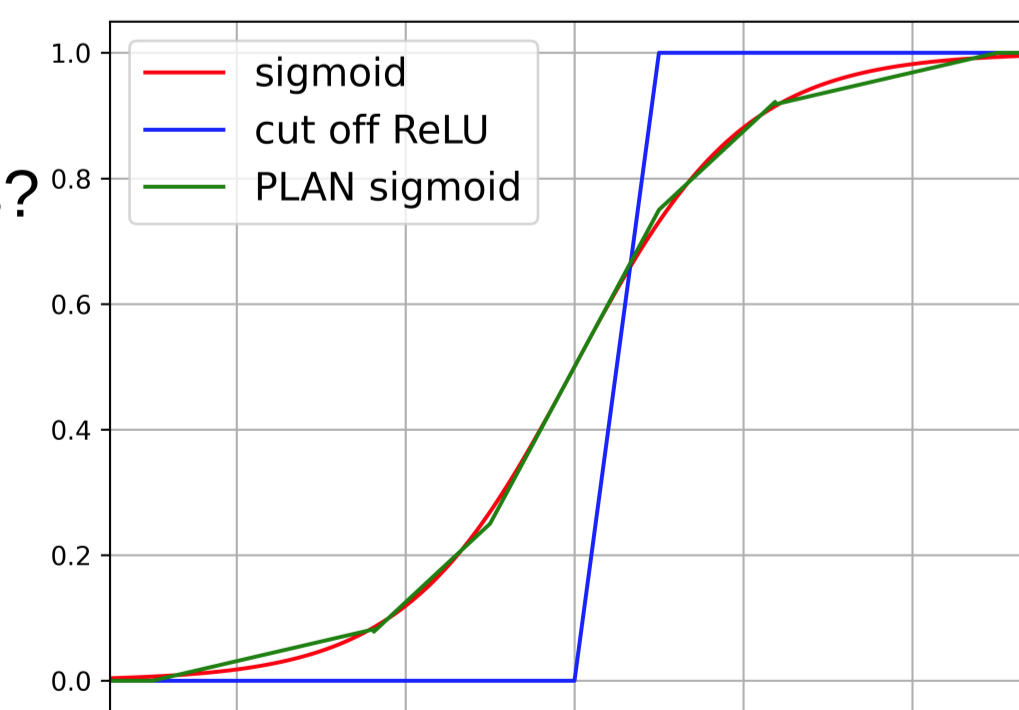
## 5. Outlook

- Reliability of ANNs for **varying pulse shapes** and sequences must be tested in more detail:

- Influence of bunch train structure expected at HL-LHC
- Temporal and spatial variation of proton-proton collisions at same bunch crossing leading to shifts of pulse digitization
- Influence of varying pulse shapes in different detector regions
  - Can the same network structure be used in all detector regions?

- Studies on **reproducibility of performance** for multiple trainings of same ANN architecture:

- How often do we have to retrain / recalibrate the ANNs?
- Training is a statistical process
  - How many trainings are needed to obtain best performance?
- Can optimized loss functions increase the reproducibility?



- All ANN architectures must be **optimized for minimal FPGA resource usage**

- **FPGA implementation is ongoing:**
  - Multiplexing of CNNs and RNNs
  - Optimization of internal fixed point calculation

Examples for resource-saving replacements for sigmoid activation function

## 6. References

1. The ATLAS Collaboration, ATLAS Liquid Argon Calorimeter Phase-II Upgrade: Technical Design Report, CERN-LHCC-2017-018, ATLAS-TDR-027, CERN, 2017
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3. W.E. Cleland, E.G. Stern, Signal processing considerations for liquid ionization calorimeters in a high rate environment, NIM A Vol. 338, 467-497, 1994
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