

LHCb Upgrade(s)

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on behalf of the LHCb Collaboration

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Outline

- LHCb as **Forward General-Purpose Detector** at the LHC
- **Motivations** for upgrading the detector
- Upgrade plans for the upcoming LHC Run3 and Run4
 - Readout System
 - Data processing and trigger
 - **New detector technologies**
 - Vertexing
 - Tracking
 - Particle ID
 - Calorimetry and Muon ID
 - SMOG2 and Fixed Target physics
- **Conclusions**

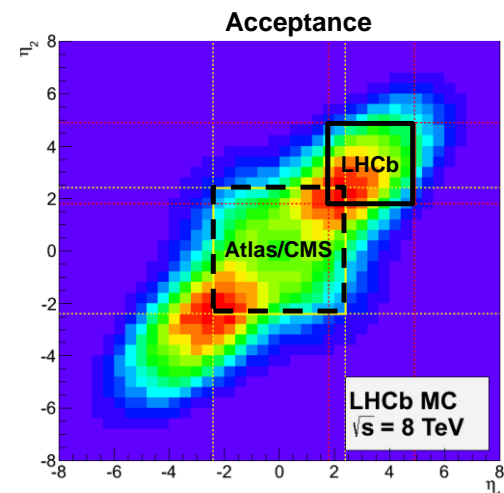
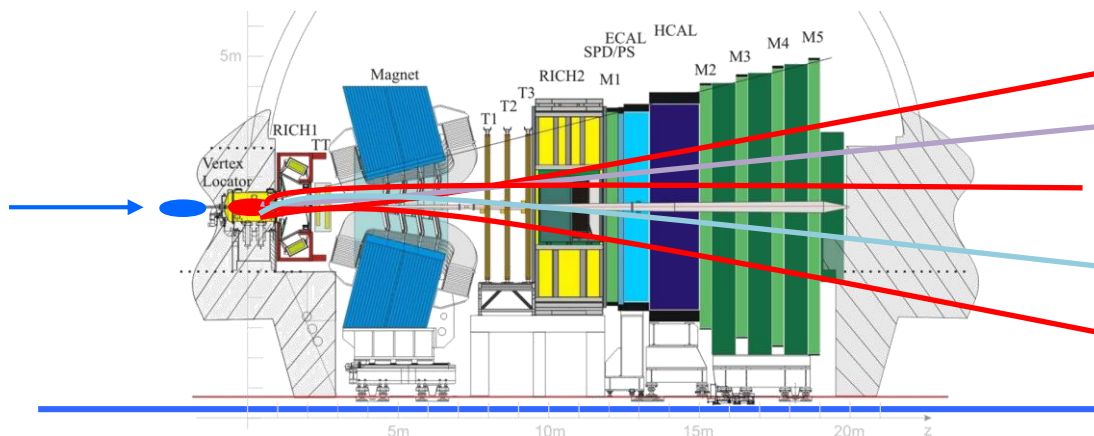




The LHCb detector at the LHC

LHCb proved itself to be the **Forward General-Purpose Detector** at the LHC:

- forward arm spectrometer with unique coverage in pseudorapidity ($2 < \eta < 5$)
- catching 40% of heavy quark production cross-section in 4% of solid angle
- **precision measurements in beauty and charm sectors**
 - ✓ $\Delta p / p = 0.5\%$ at $< 20 \text{ GeV}/c$ to 1.0% at $200 \text{ GeV}/c$
 - ✓ IP resolution $15+29/p_T[\text{GeV}] \mu\text{m}$ for high- p_T tracks
 - ✓ decay time resolution 45 fs for $B_s \rightarrow J/\psi \phi$ and $B_s \rightarrow D_s \pi$
- **Extended physics program** to QCD, EW, direct searches
- Participation in **heavy ion runs** as well



Excellent performance in
Run 1 and Run2
→ Benchmark for upgrades

Int. J. Mod. Phys. A 30, 1530022 (2015)



Motivations for upgrading LHCb

Go beyond Flavor Physics: from **exploration studies to precision studies**

→ No significant signs of New Physics in Run1 and 2 but **anomalies observed!**

✓ $R(D^*)$, $R(K)$, $R(K^*)$, angular analysis of $K^*\mu^+\mu^-$ and more

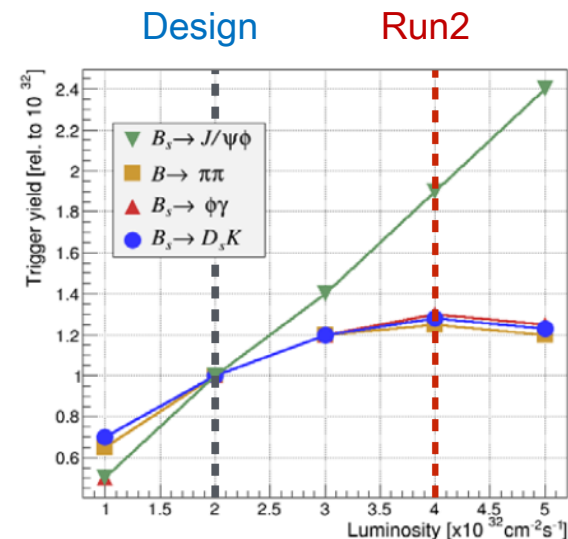
Aim at more precision!

- $BR(B_s \rightarrow \mu^+\mu^-)$ down to **$\sim 10\%$** of SM
- CKM γ angle to **$\sim 1^\circ$**
- $2\beta_s$ to precision **$< 20\%$** of SM value
- charm **CPV** search below **10^{-4}**

Only one way forward

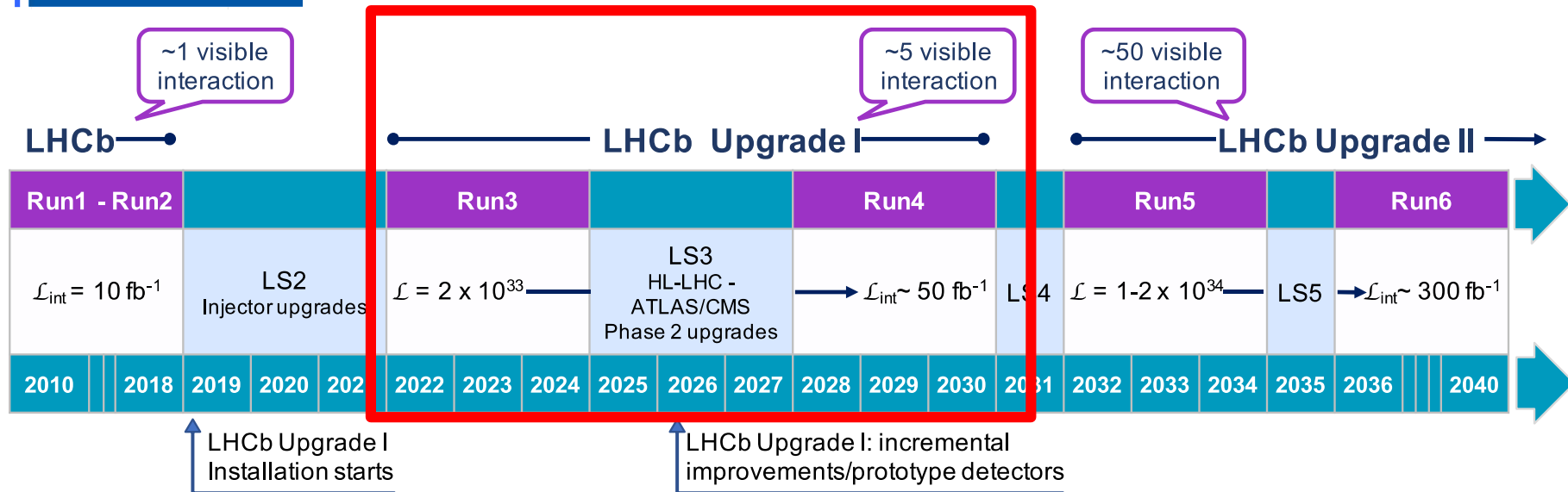
→ remove limitations from hardware trigger!

- **factor 2 yield between di-muon and fully hadronic decays**
- remove necessary harsher cuts on p_T and E_T
- increase complexity of track reconstruction
- ageing and fast degradation of sub-detectors that needs replacing





LHCb upgrades plan & strategy



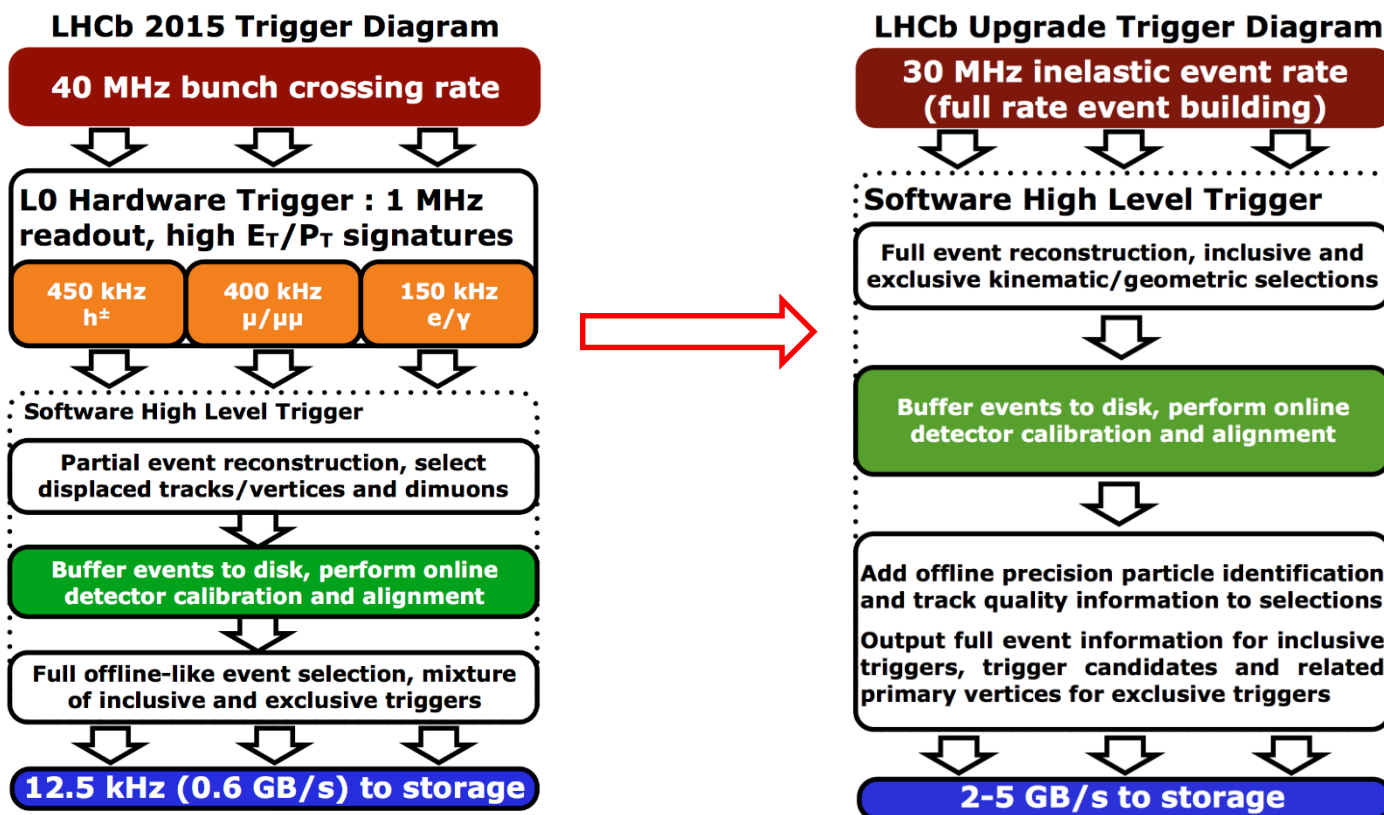
LHCb Phase-I upgrade ongoing now during LS2 for Run3 and Run4

- full software trigger and readout all detectors at 40MHz
- replace tracking detectors + PID + VELO and $\mathcal{L} \sim 2 \times 10^{33} \text{ sec}^{-1} \text{ cm}^{-2}$
- Consolidate PID, tracking and ECAL during LS3

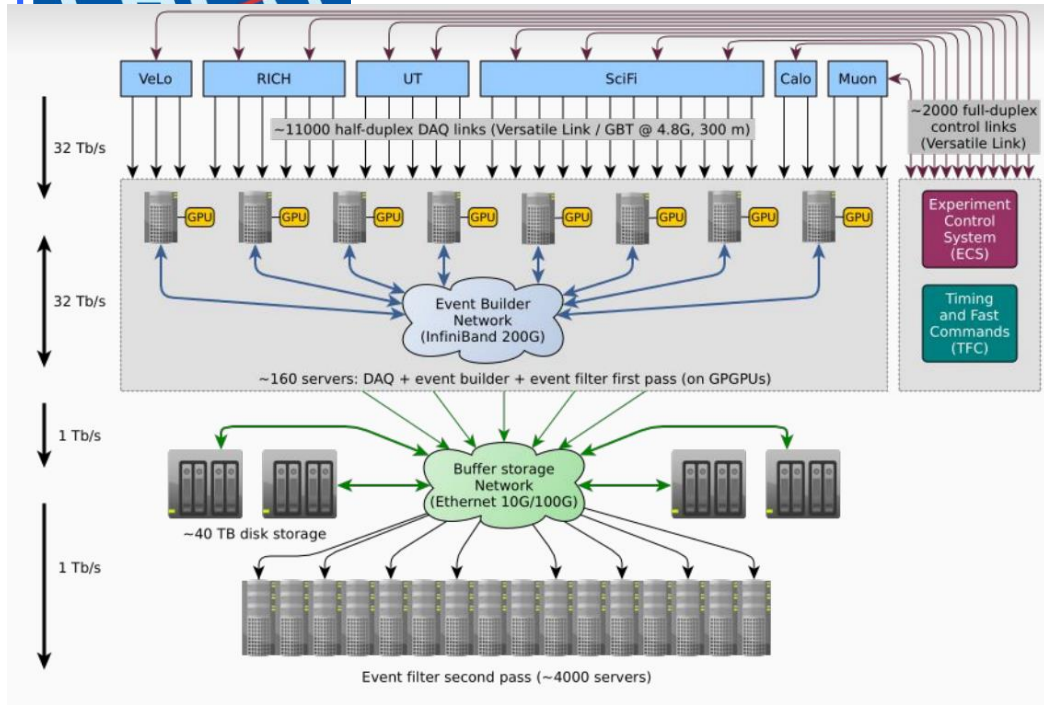
LHCb Phase-II upgrade during LS4 beyond Run4

- Use new detector technologies + **timing** to increase $\mathcal{L} \sim 1 \times 10^{34} \text{ sec}^{-1} \text{ cm}^{-2}$

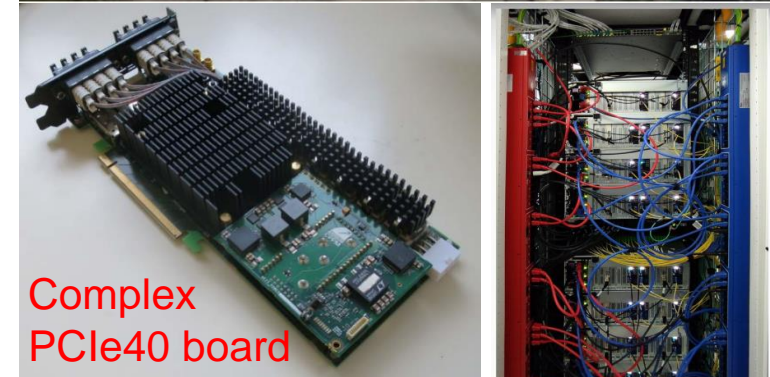
Achieve same reconstruction performance in harsher environment
Record all bunch crossings with fully software trigger



Trigger-less readout system

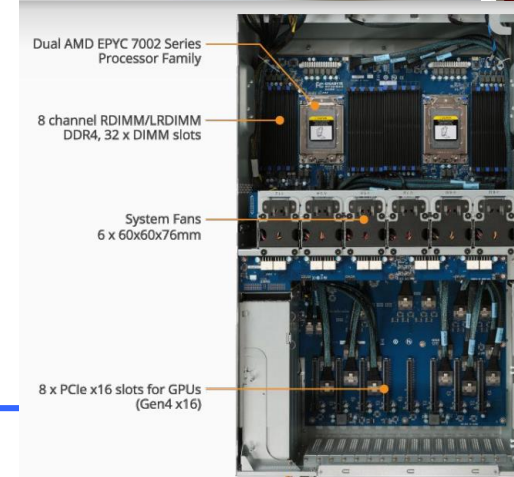


Modern Data center

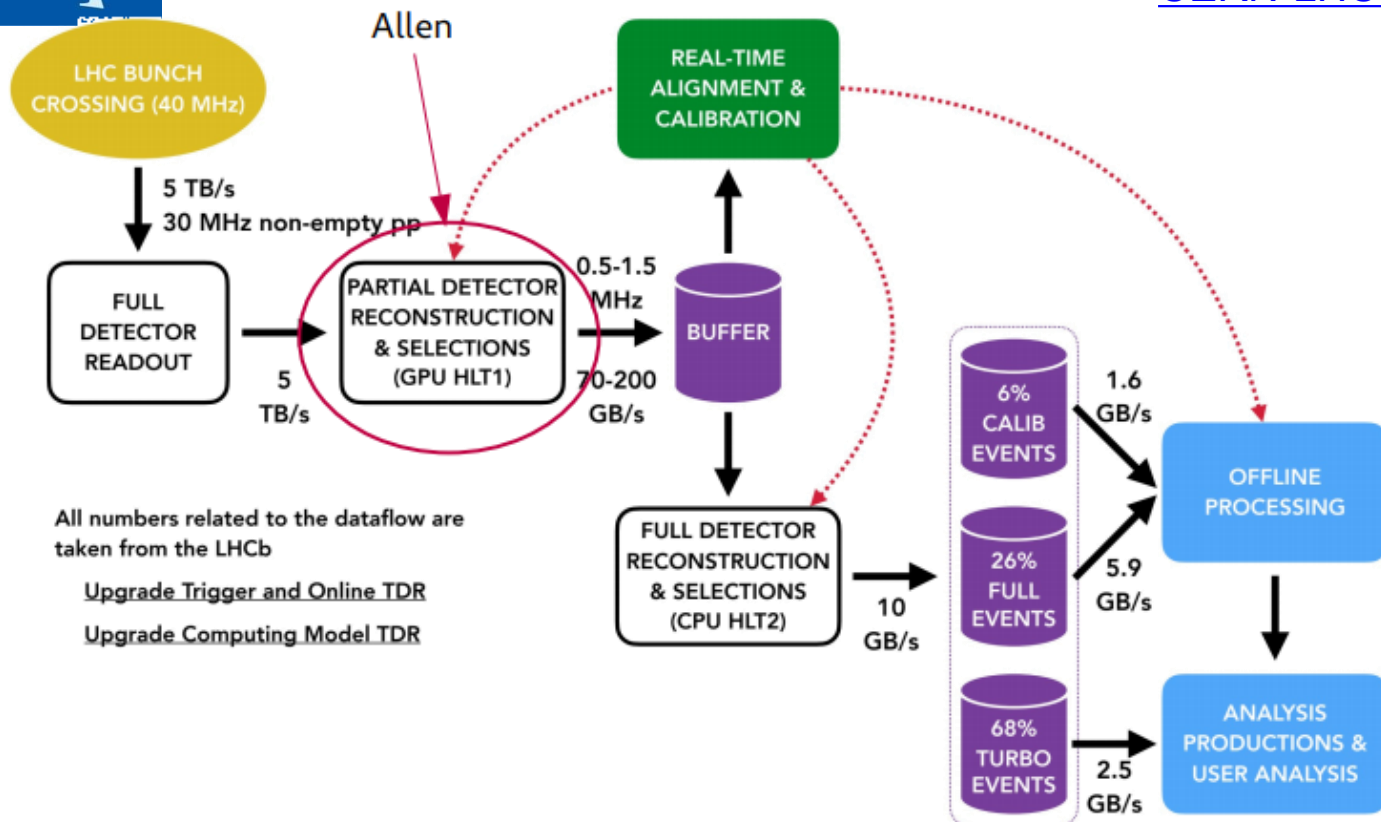


Complex PCIe40 board

- Back-End electronics on surface in data center
- ~19000 long distance optical fibers (99.75% yield)
- **Common Back-End boards (PCIe40)**
 - ✓ Large FPGA and optical links (48 x 10 Gbps)
 - ✓ Flavor of firmware defines functionality
- **Total effective bandwidth of 32 Tbps**



PCIe40+ GPU server



- HLT1 reconstruction in GPUs
- Offline reconstruction in HLT2
- TURBO model for exclusive selections

Comput. Phys. Commun. **208** 35-42
 Run 2: 2019 *JINST* **14** P04013
 GPU: Comput Softw Big Sci 4, 7 (2020)
 TURBO: 2019 *JINST* **14** P04006

Upgrade-I LHCb detector

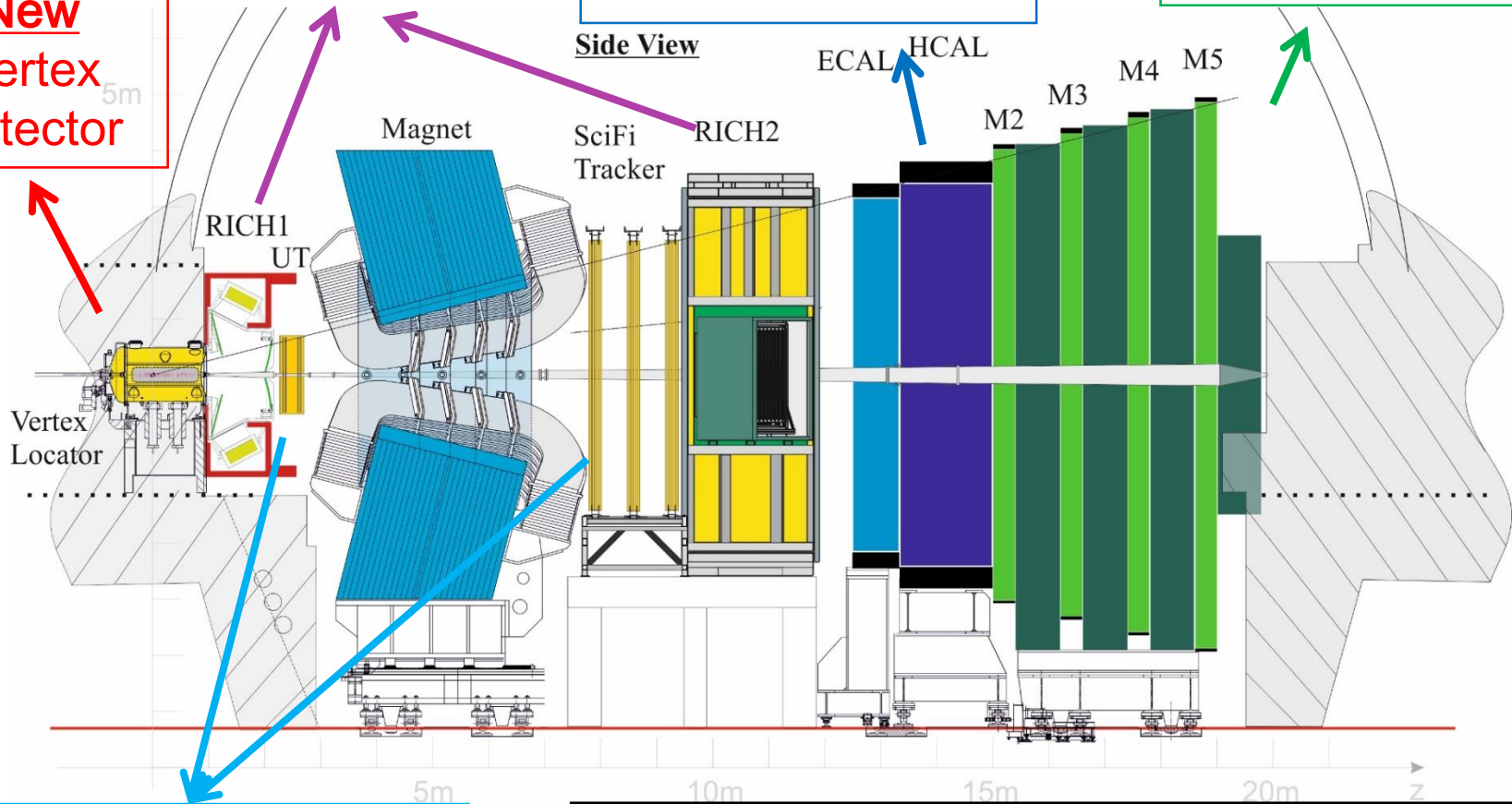
Particle ID
New
detector +
electronics

Calorimeters
Reduce PMT gain
+ **new electronics**

Muon
new electronics

New
Vertex
Detector

Side View



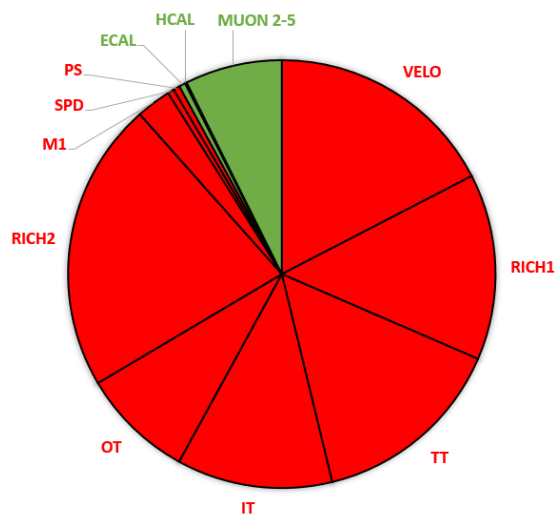
New Tracking stations

+ trigger-less readout & sw trigger on GPUs

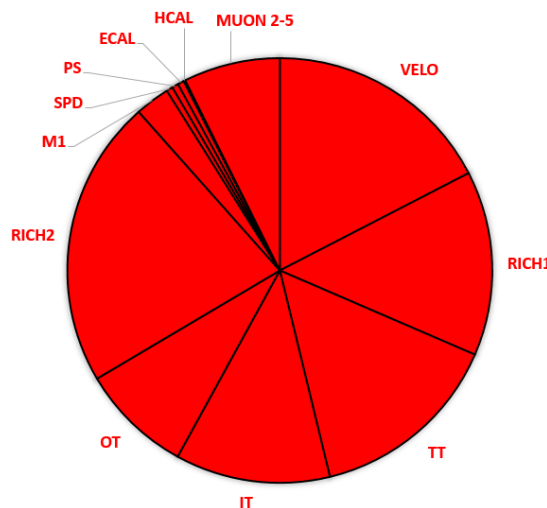
Upgraded LHCb Detector

To be UPGRADED
To be kept

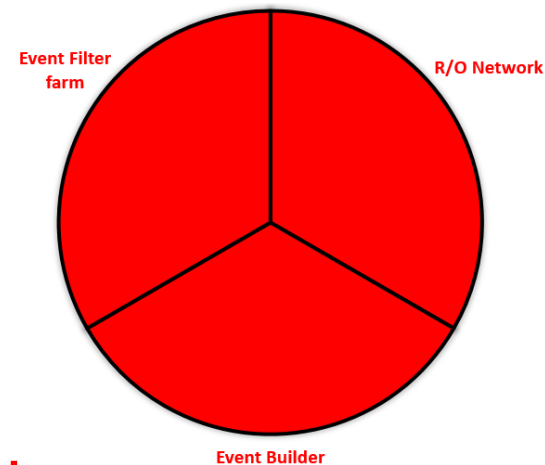
Detector Channels



R/O Electronics



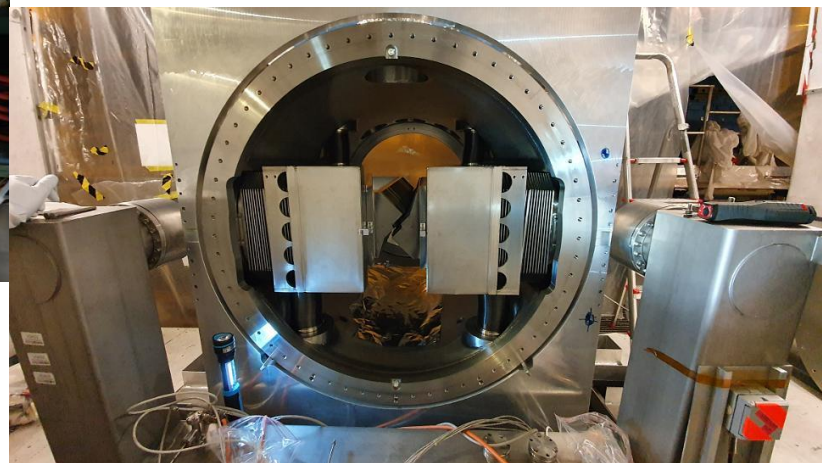
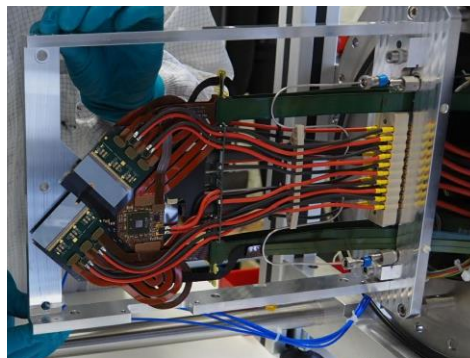
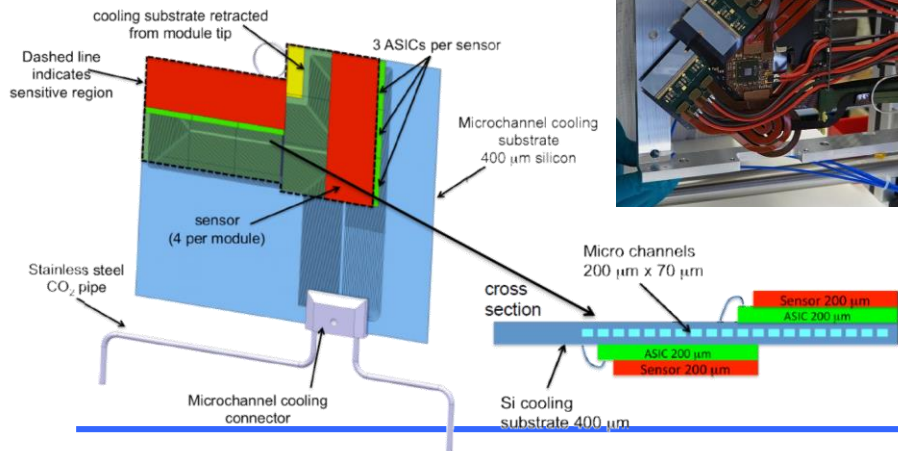
DAQ



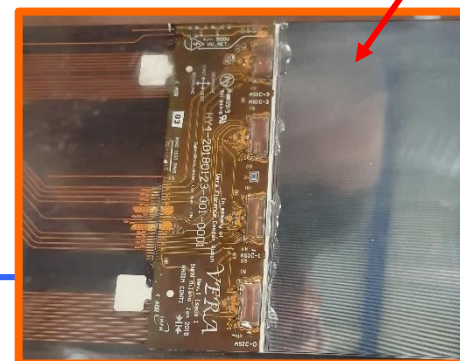
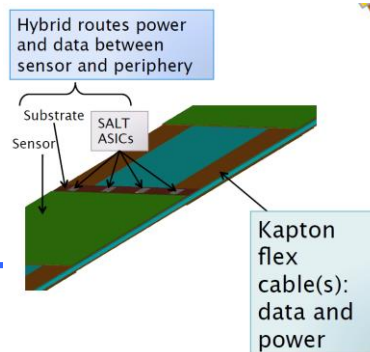
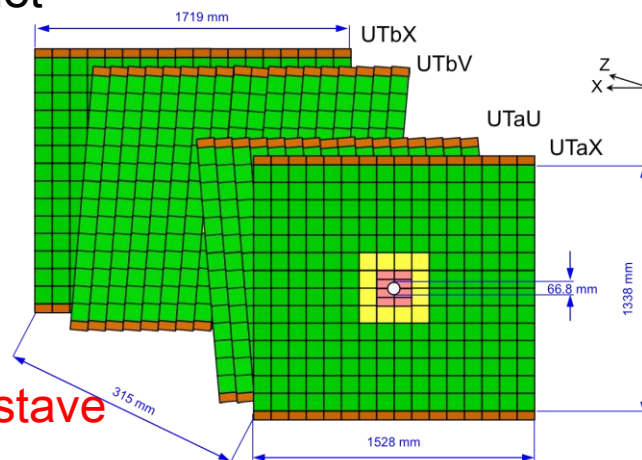
Major upgrade → it's a new detector all together!

- Two movable halves: get **closer to beam** (5mm to 3.5mm) to improve IP resolution
 - ✓ 52 modules for a total of 41M pixels covering total area $\sim 1.2 \text{ m}^2$
- Hybrid Pixel Silicon detector modules **cooled down with fluid** (bi-phase CO₂) which passes under the chips in etched **micro-channels** ($T < -20 \text{ C}$)
 - ✓ 200 μm n-on-p sensor tiles
- New ASIC VeloPix, $\sim 20 \text{ Gbps}$ in hottest ASIC and **total of $\sim 3 \text{ Tbps}$**

VELO



- Silicon micro-strip detector
 - Four layers (x, u, v, x) upstream of magnet
 - with finer granularity and closer to beam
- Four types of sensors
 - n- and p-type with 512 or 1024 strips
 - 320/250 μm thick; 190/95 μm pitch
- Modules mounted on double-sided staves
 - Bi-phase CO_2 cooling pipe integrated in staff
- New read-out ASIC (SALT)
 - 128 channels with 6-bit ADC
 - Pedestal & common-mode subtraction, zero-suppression
- FE readout electronics mounted on detector frame
 - 1048 4-asic read-out sectors = 4192 ASICs



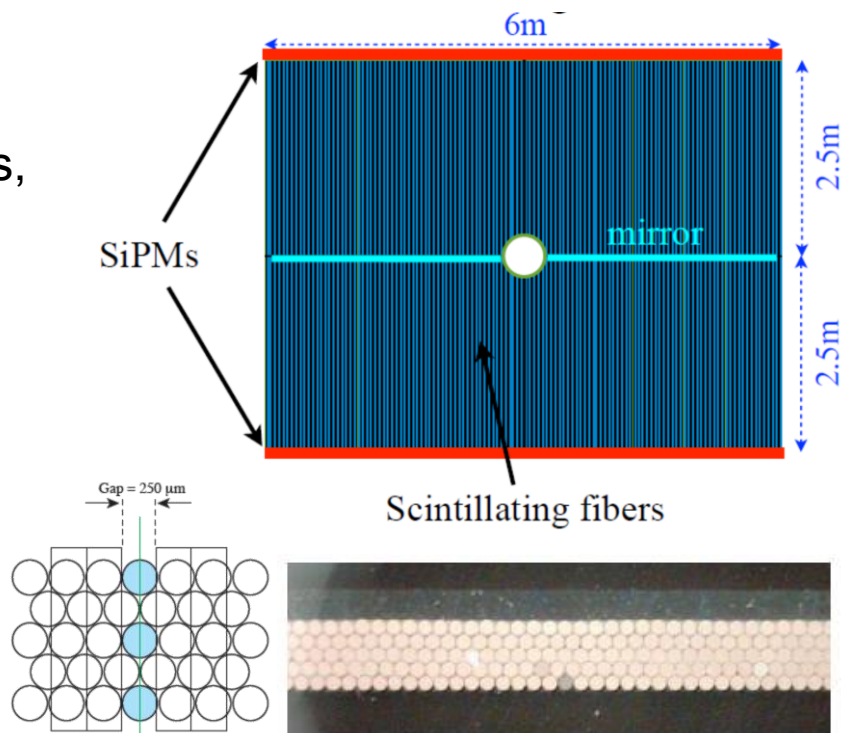
Upgraded Tracking System

[CERN-LHCC-2014-001](#)

Scintillating Fibers (SciFi)

Completely new detector based on Scintillating Thin Fibers

- blue-emitting multi clad fibers, laid down as a mat
- 2.4m long, 250 μm diameter (2.8 ns decay time)
- 12 layers of modules in different layout 3 x (x-u-v-x)
- read out with SiPM at -40C
- new ASIC, 64 channels 130 nm CMOS
 - ADC with 3 hardware thresholds
- FPGA on FE cluster board

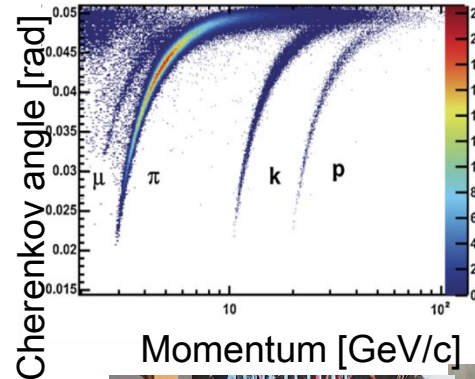


Upgraded Particle ID

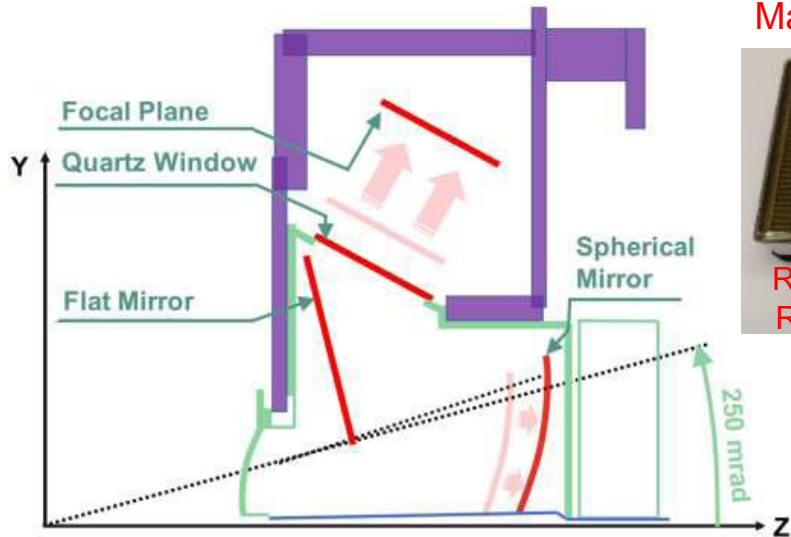
Ring-Imaging Cherenkov (RICH)

Maintain excellent Particle ID:

- **RICH1** with C_4F_{10} (10-65 GeV/c)
- **RICH2** with CF_4 (15-100 GeV/c)
 - ✓ Replace HPDs with MaPMTs
 - ✓ **New Readout ASIC (CLARO)**
 - ✓ FPGA on FE boards for serialization and latching of signal



RICH2



MaPMTs (Hamamatsu)

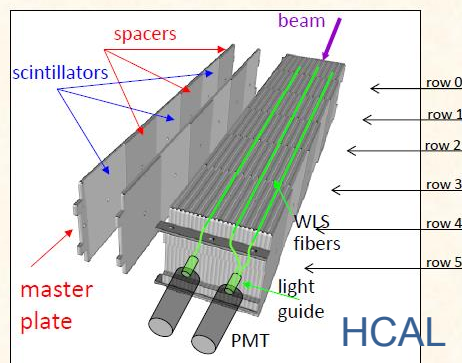


Elementary cell

ECAL + HCAL

Present Calorimeter detectors will be kept:

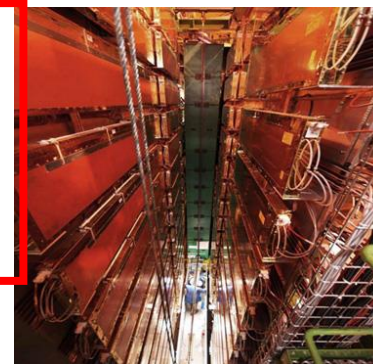
- **ECAL** (Shashlik 25 X_0 Pb + scintillator)
- **HCAL** (TileCal Fe + scintillator)
 - ✓ PS/SPD removed
- PMT gain reduced by a factor 5
- **Front-End electronics redeveloped**
 - For trigger-less readout and sending Non-Zero Suppressed data



Present Muon detector will be kept:

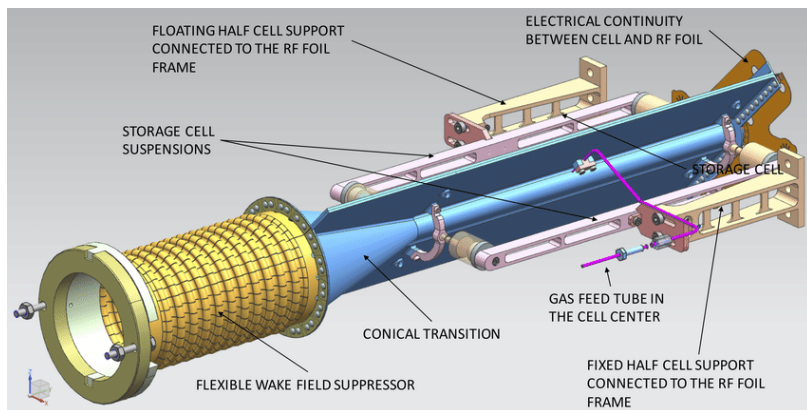
- 4 layers (M2-M5) of Multi-Wire Proportional Chambers (MWPC)
 - ✓ first layer (M1 – used in first-level trigger, with GEMs) removed
- ✓ **Front-End electronics redeveloped**
 - For trigger-less readout

R&D to replace inner part of M2 (close to IP) with MWPC detector for higher-granularity and better efficiency



New SMOG2 system installed to inject various gas species in the LHCb IP

- Fixed Target physics at the LHC collider: in // with pp data taking
- Gas cell attached to VELO, displaced p-gas IP for easy distinction from pp data



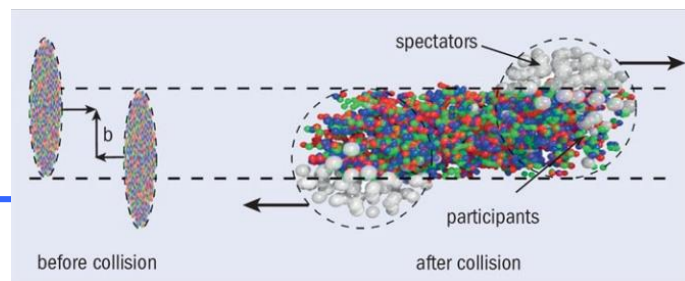
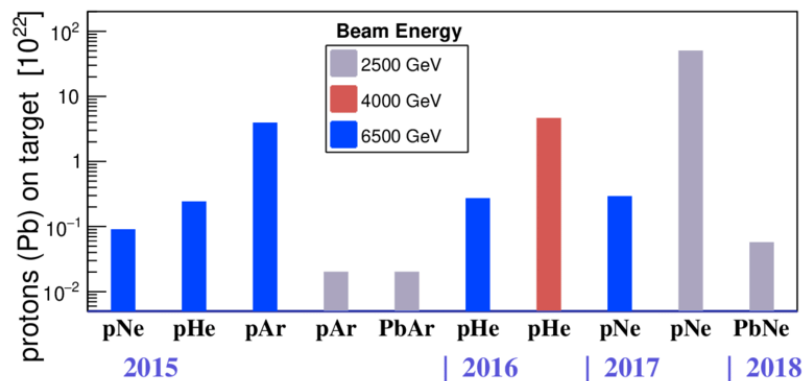
Physics program spans over:

- anti-proton production
- Central exclusive production
- $X(3872)/\psi(2S)$
- $\psi(2S) / J/\psi$
- Strangeness production
- $\Lambda_c \rightarrow pK\pi$

+ LHCb participation in Heavy Ion runs

(PbPb and pPb data taking)

✓ Down to 30% centrality in LHCb in Run3!





LHCb physics reach with the Upgrade-I and Upgrade-II

Aim at collecting **50 fb⁻¹ in Run3-4** and **300 fb⁻¹ in Run5-6**

✓ Collected ~9 fb⁻¹ of data in Run1-2

[arXiv:1808.08865](https://arxiv.org/abs/1808.08865)

| Observable | Current LHCb | LHCb 2025 | Belle II | Upgrade II |
|---|--------------------------|------------------------------|-------------------------------------|------------------------------|
| EW Penguins | | | | |
| $R_K (1 < q^2 < 6 \text{ GeV}^2 c^4)$ | 0.1 | 0.025 | 0.036 | 0.007 |
| $R_{K^*} (1 < q^2 < 6 \text{ GeV}^2 c^4)$ | 0.1 | 0.031 | 0.032 | 0.008 |
| R_ϕ, R_{pK}, R_π | – | 0.08, 0.06, 0.18 | – | 0.02, 0.02, 0.05 |
| CKM tests | | | | |
| γ , with $B_s^0 \rightarrow D_s^+ K^-$ | $(^{+17}_{-22})^\circ$ | 4° | – | 1° |
| γ , all modes | $(^{+5.0}_{-5.8})^\circ$ | 1.5° | 1.5° | 0.35° |
| $\sin 2\beta$, with $B^0 \rightarrow J/\psi K_S^0$ | 0.04 | 0.011 | 0.005 | 0.003 |
| ϕ_s , with $B_s^0 \rightarrow J/\psi \phi$ | 49 mrad | 14 mrad | – | 4 mrad |
| ϕ_s , with $B_s^0 \rightarrow D_s^+ D_s^-$ | 170 mrad | 35 mrad | – | 9 mrad |
| ϕ_s^{ss} , with $B_s^0 \rightarrow \phi \phi$ | 154 mrad | 39 mrad | – | 11 mrad |
| a_{sl}^s | 33×10^{-4} | 10×10^{-4} | – | 3×10^{-4} |
| $ V_{ub} / V_{cb} $ | 6% | 3% | 1% | 1% |
| $B_s^0, B^0 \rightarrow \mu^+ \mu^-$ | | | | |
| $\mathcal{B}(B^0 \rightarrow \mu^+ \mu^-)/\mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-)$ | 90% | 34% | – | 10% |
| $\tau_{B_s^0 \rightarrow \mu^+ \mu^-}$ | 22% | 8% | – | 2% |
| $S_{\mu\mu}$ | – | – | – | 0.2 |
| $b \rightarrow c \ell^- \bar{\nu}_\ell$ LUV studies | | | | |
| $R(D^*)$ | 0.026 | 0.0072 | 0.005 | 0.002 |
| $R(J/\psi)$ | 0.24 | 0.071 | – | 0.02 |
| Charm | | | | |
| $\Delta A_{CP}(KK - \pi\pi)$ | 8.5×10^{-4} | 1.7×10^{-4} | 5.4×10^{-4} | 3.0×10^{-5} |
| $A_\Gamma (\approx x \sin \phi)$ | 2.8×10^{-4} | 4.3×10^{-5} | 3.5×10^{-4} | 1.0×10^{-5} |
| $x \sin \phi$ from $D^0 \rightarrow K^+ \pi^-$ | 13×10^{-4} | 3.2×10^{-4} | 4.6×10^{-4} | 8.0×10^{-5} |
| $x \sin \phi$ from multibody decays | – | $(K3\pi) 4.0 \times 10^{-5}$ | $(K_S^0 \pi\pi) 1.2 \times 10^{-4}$ | $(K3\pi) 8.0 \times 10^{-6}$ |



Conclusion

The LHCb experiment successfully completed its first decade of data taking in the LHC Run1 and Run2

- LHCb established itself as the GPD in the forward region
- Physics program extended well beyond beauty and charm sectors

Currently preparing the upgrade of the detector in view of Run3 and Run4

- Aim at collecting at least 50 fb^{-1} by end of Run4
- New detectors and readout electronics to maintain performance at higher luminosities and collecting more data
 - Completely new readout system with common electronics and throughput of $\sim 32 \text{ Tbps}$
 - Full software trigger on modern GPUs with ~ 170 GPGPUs (High-End)
 - Improved vertexing (VELO) and tracking systems (UT and SciFi)
 - New RICH detectors to maintain excellent Particle ID
 - New readout electronics for Calorimeters and MUONs
 - New SMOG2 system for injecting various gas species
 - ✓ Unique fixed target physics program
 - Participation in Heavy Ion runs



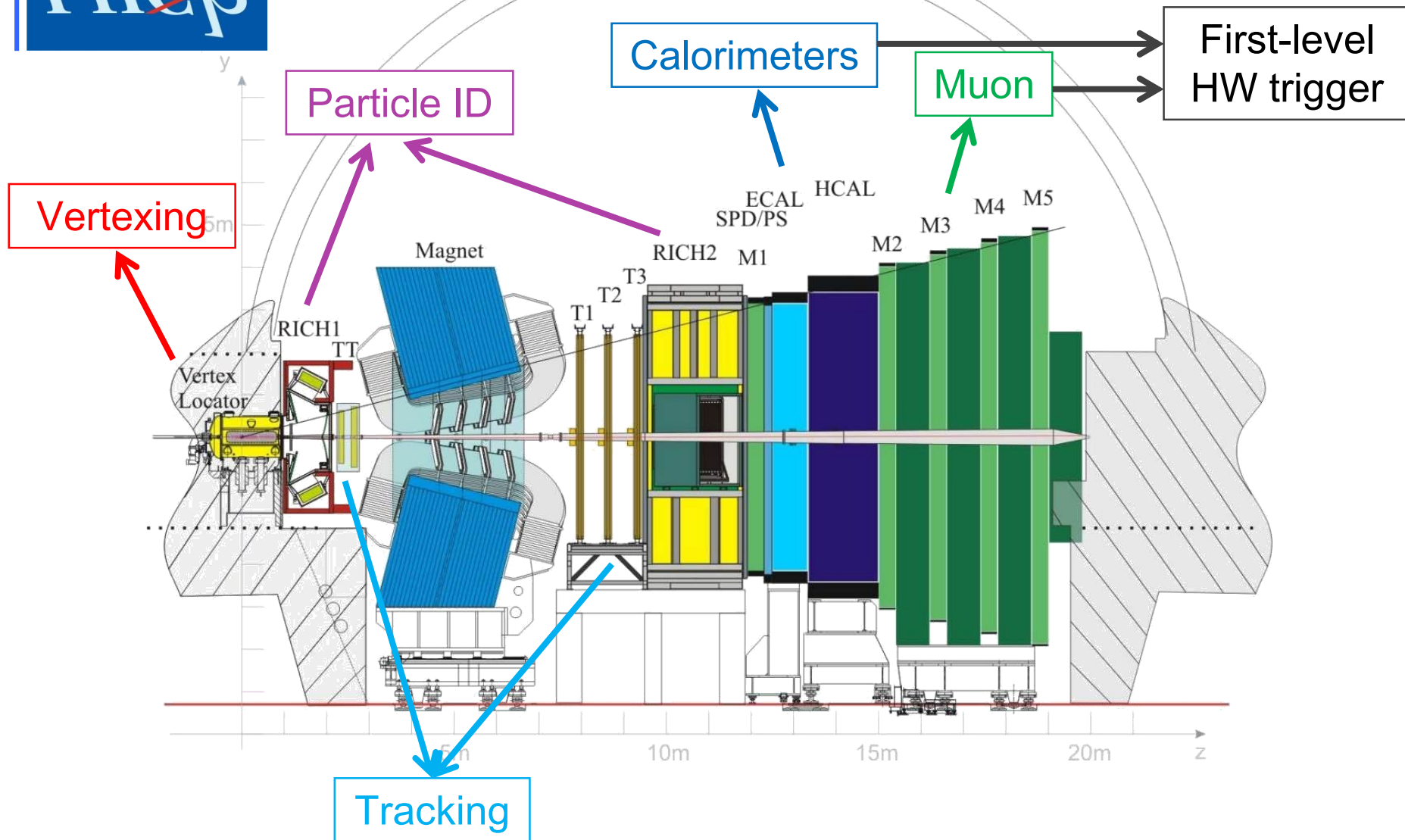
Exciting times ahead at LHCb!



Backup



The LHCb detector in Run1 and Run2





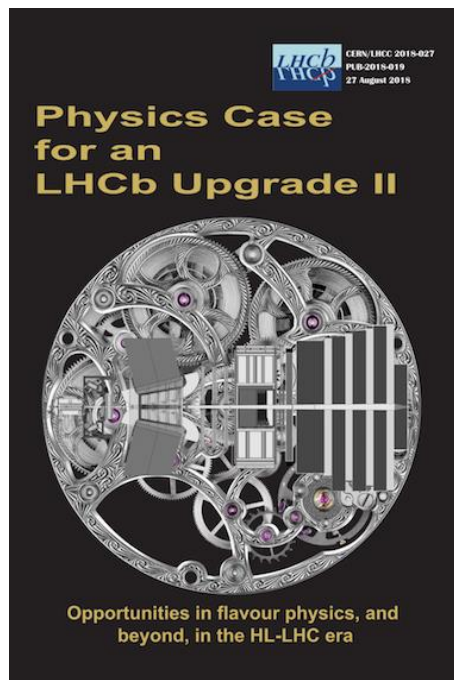
Upgrade I documents



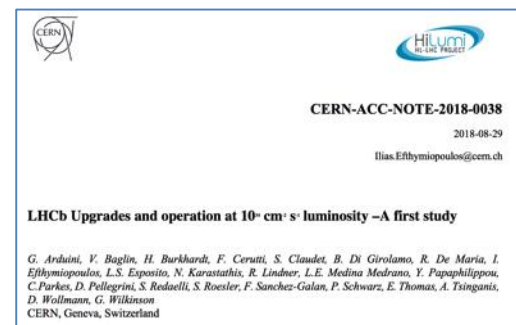
- CERN-LHCC-2008-007
- CERN-LHCC-2011-001
- CERN-LHCC-2012-007
- CERN-LHCC-2013-021
- CERN-LHCC-2013-022
- CERN-LHCC-2014-001
- CERN-LHCC-2014-016
- CERN-LHCC-2018-007
- CERN-LHCC-2018-014
- CERN-LHCC-2019-005



Upgrade II documents

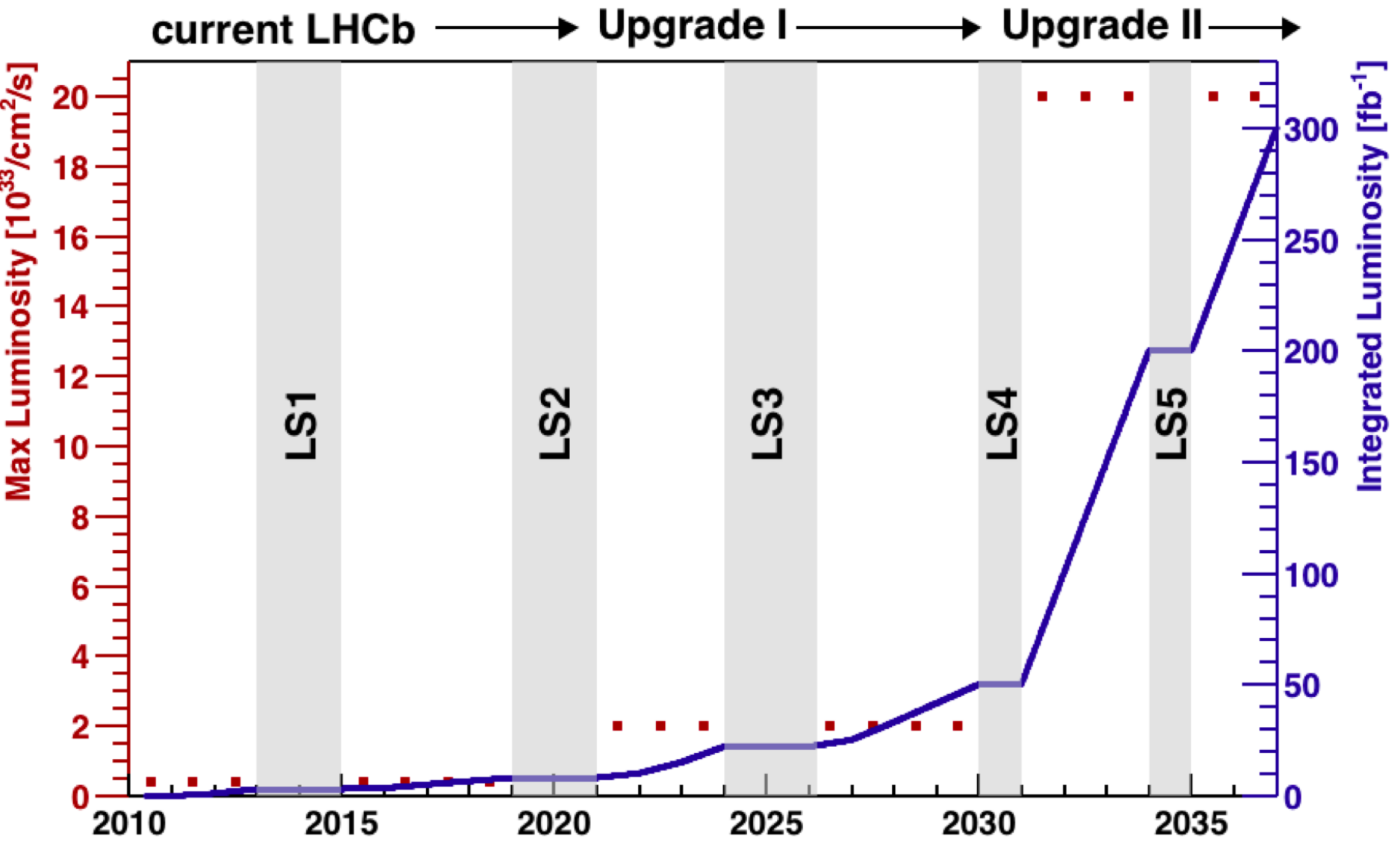


CERN-LHCC-2017-003
CERN-LHCC-2018-027





Target luminosity





Phase-I Upgrade of LHCb

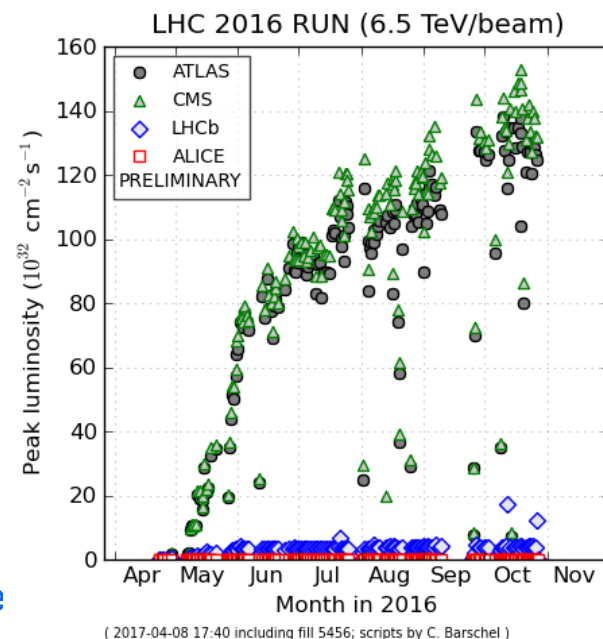
The amount of data and the physics yield from data recorded by the LHCb experiment was limited by its detector.

While LHC accelerator will keep steadily increasing ...

- energy / beam (3.5 \rightarrow 4 \rightarrow 6.5 TeV \rightarrow 7 TeV)
- luminosity (peak $8 \times 10^{33} \rightarrow 2 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1} \rightarrow \text{HL-LHC}$)

... but LHCb will stay limited in terms of

- data bandwidth: limited to 1.1 MHz / 40 MHz max
- physics yields for hadronic channels at the hardware trigger
- detectors degradation at higher luminosities

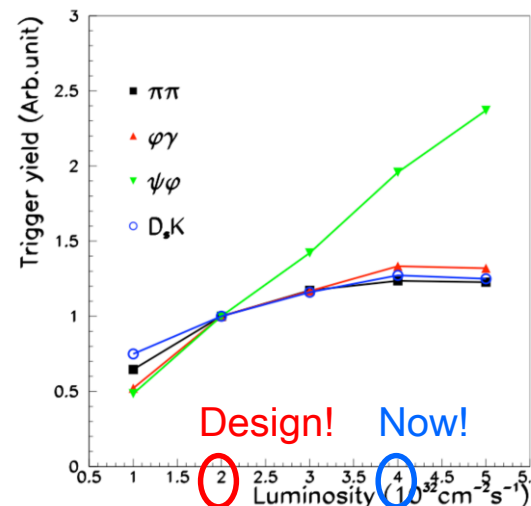
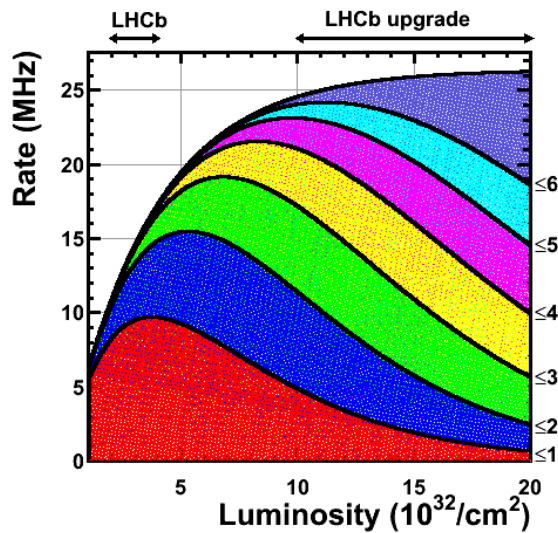


Factor ~40 between LHCb and ATLAS/CMS instantaneous luminosity!

Current limitations

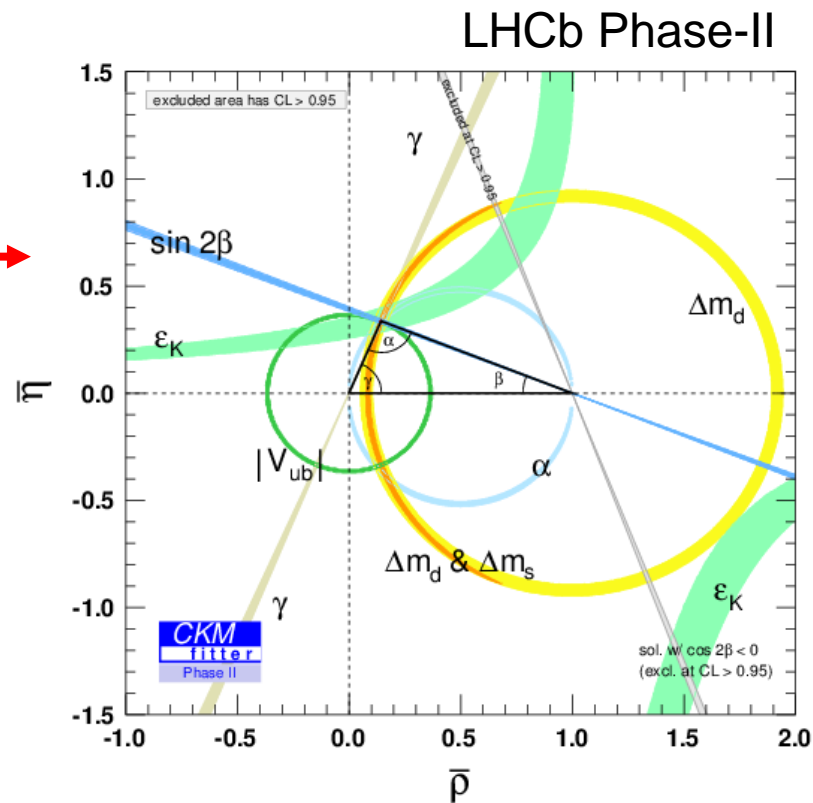
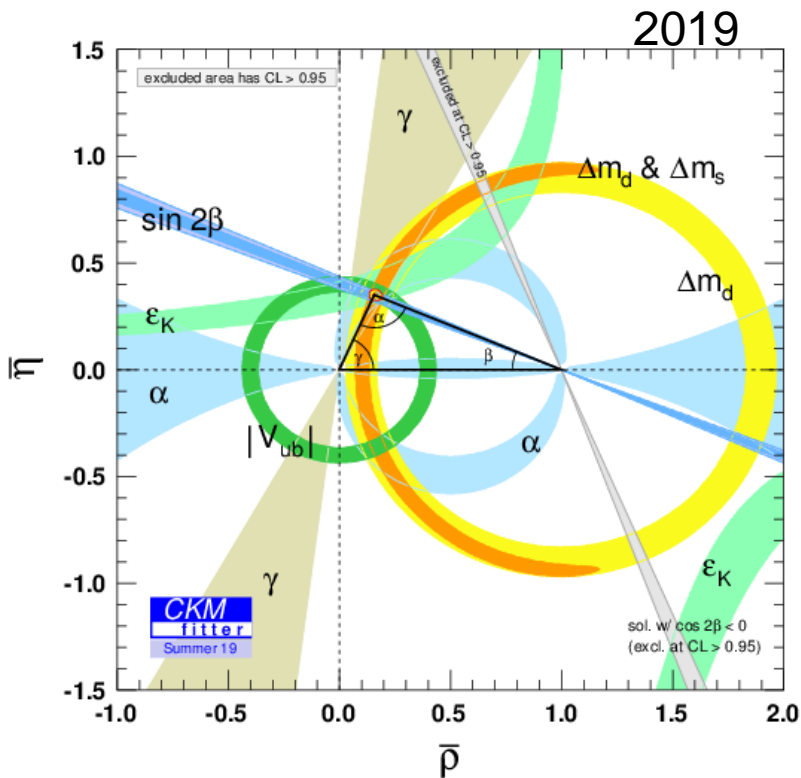
First-level hardware trigger is limited at higher luminosities for hadronic channels:

- almost a factor 2 between di-muon events and fully hadronic decays
- due to trigger criteria based on p_T and E_T to reduce trigger rate to the bandwidth limited to 1.1 MHz



At higher luminosities \rightarrow harsher cuts on p_T and E_T

- waste luminosity while not retaining amount of data
- increases complexity of track reconstruction
 - higher computational times in processing farm
- ageing and fast degradation of sub-detectors
 - designed to operate 5 yr at $2 \times 10^{32} \text{ cm}^{-2} \text{ s}^{-1}$
 - currently reaching 5 years at $>3 \times 10^{32} \text{ cm}^{-2} \text{ s}^{-1}$ and still two years to go...

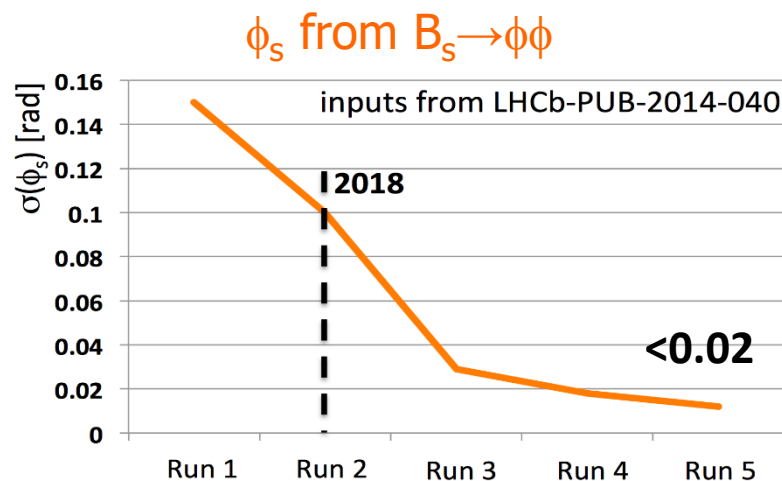
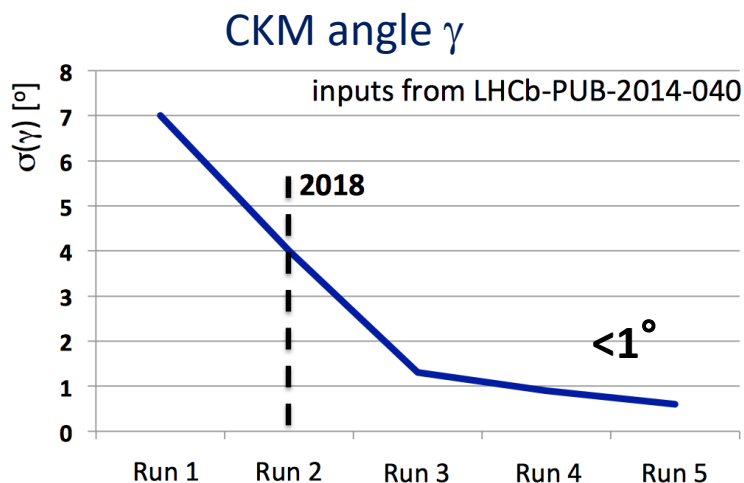
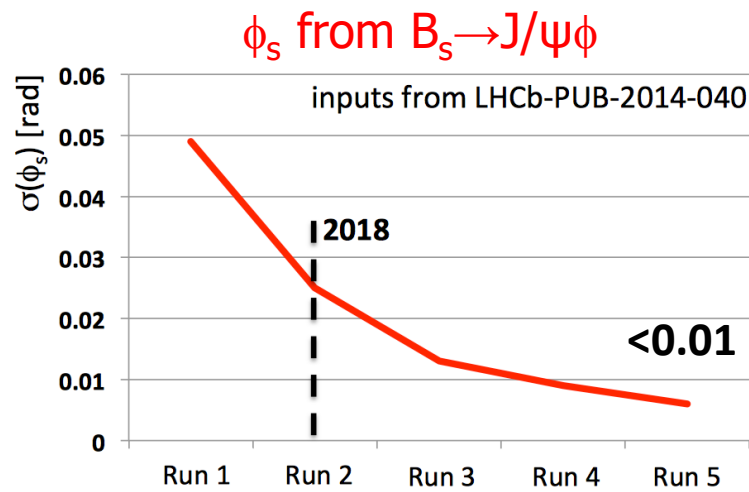
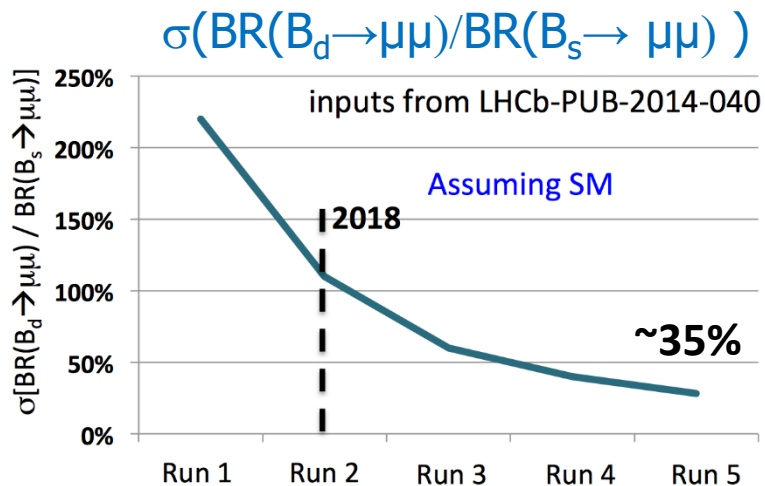


LHCb (300 fb⁻¹), Belle-II (50 ab⁻¹)
ATLAS & CMS (3000 fb⁻¹)

$\sigma_\gamma \approx 5^\circ$ (2019) $\rightarrow 1^\circ$ (Phase 1) $\rightarrow 0.35^\circ$ (Phase 2)



LHCb physics reach with a Phase-I upgrade





LHCb physics prospects for a Phase-I upgrade

| Type | Observable | Current precision | LHCb 2018 | Upgrade (50 fb ⁻¹) | Theory uncertainty |
|--|--|---|--|--|--|
| <i>B⁰</i> mixing | $\phi_2 (B^0 \rightarrow \mu^+ \mu^-)$ | 0.10 [6] | 0.005 | 0.003 | 0.003 |
| <p>Rare decays can give hints against Minimal Flavour Violation (MFV) hypothesis in case of significantly inconsistent measurements with the SM</p> <p>→ Important to make sure that ratio of $B(B^0 \rightarrow \mu^+ \mu^-) / B(B_s \rightarrow \mu^+ \mu^-)$ since MFV predicts that this is given by its SM value, $V_{td}/V_{ts} ^2$</p> <p>Observation of $B^0 \rightarrow \mu^+ \mu^-$ requires huge statistics and excellent control of background and can only be made by the upgraded LHCb background to the desired precision</p> | | | | | |
| | $B(B^+ \rightarrow \pi^+ \mu^+ \mu^-) / B(B^+ \rightarrow K^+ \mu^+ \mu^-)$ | 25% [16] | 8% | 2.5% | ~ 10% |
| Higgs penguin | $B(B_s^0 \rightarrow \mu^+ \mu^-)$ $B(B^0 \rightarrow \mu^+ \mu^-) / B(B_s^0 \rightarrow \mu^+ \mu^-)$ | 1.5×10^{-9} [2] – | 0.5×10^{-9} ~ 100% | 0.15×10^{-9} ~ 35% | 0.3×10^{-9} ~ 5% |
| Unitarity triangle angles | $\gamma (B \rightarrow D^{(*)} K^{(*)})$ $\gamma (B_s^0 \rightarrow D_s K)$ $\beta (B^0 \rightarrow J/\psi K_S^0)$ | ~ 10–12° [19, 20] – 0.8° [18] | 4° 11° 0.6° | 0.9° 2.0° 0.2° | negligible negligible negligible |
| Charm <i>CP</i> violation | A_Γ ΔA_{CP} | 2.3×10^{-3} [18] 2.1×10^{-3} [5] | 0.40×10^{-3} 0.65×10^{-3} | 0.07×10^{-3} 0.12×10^{-3} | – – |



LHCb physics prospects for a Phase-I upgrade

| Type | Observable | Current precision | LHCb 2018 | Upgrade (50 fb ⁻¹) | Theory uncertainty |
|----------------|--|---------------------------|----------------------|--------------------------------|-----------------------|
| B_s^0 mixing | $2\beta_s (B_s^0 \rightarrow J/\psi \phi)$ | 0.10 [9] | 0.025 | 0.008 | ~ 0.003 |
| | $2\beta_s (B_s^0 \rightarrow J/\psi f_0(980))$ | 0.17 [10] | 0.045 | 0.014 | ~ 0.01 |
| | $A_{fs}(B_s^0)$ | 6.4×10^{-3} [18] | 0.6×10^{-3} | 0.2×10^{-3} | 0.03×10^{-3} |
| Glueball | $2\beta_s^{gl}(B_s^0 \rightarrow \phi\phi)$ | – | 0.17 | 0.03 | 0.02 |

Primary goal of LHCb is to probe NP in B_s mixing

→ $B_s \rightarrow J/\psi\phi$ dominated by $b \rightarrow c \bar{c} s$ tree diagram and sensitive to the weak phase $\beta_s = \arg(-V_{ts}V_{tb}^* / V_{cs}V_{cb}^*)$

If no anomalous effect is seen in this channel, then it is necessary to control experimental systematics from an experiment point of view

→ LHCb upgrade will address such systematics

| | | | | | |
|---------------------------|---|-------------------------------------|-----------------------|-----------------------|------------|
| Unitarity triangle angles | $\gamma (B \rightarrow D^{(*)}K^{(*)})$ | $\sim 10\text{--}12^\circ$ [19, 20] | 4° | 0.9° | negligible |
| | $\gamma (B_s^0 \rightarrow D_s K)$ | – | 11° | 2.0° | negligible |
| | $\beta (B^0 \rightarrow J/\psi K_S^0)$ | 0.8° [18] | 0.6° | 0.2° | negligible |
| Charm | A_Γ | 2.3×10^{-3} [18] | 0.40×10^{-3} | 0.07×10^{-3} | – |
| CP violation | ΔA_{CP} | 2.1×10^{-3} [5] | 0.65×10^{-3} | 0.12×10^{-3} | – |



LHCb physics prospects for a Phase-I upgrade

| Type | Observable | Current precision | LHCb 2018 | Upgrade (50 fb ⁻¹) | Theory uncertainty |
|--|---|-------------------------------------|-----------------------|--------------------------------|-----------------------|
| B_s^0 mixing | $2\beta_s (B_s^0 \rightarrow J/\psi \phi)$ | 0.10 [9] | 0.025 | 0.008 | ~ 0.003 |
| | $2\beta_s (B_s^0 \rightarrow J/\psi f_0(980))$ | 0.17 [10] | 0.045 | 0.014 | ~ 0.01 |
| | $A_s(B_s^0)$ | 6.4×10^{-3} [18] | 0.6×10^{-3} | 0.2×10^{-3} | 0.03×10^{-3} |
| Gluonic penguin | $2\beta_s^{\text{eff}}(B_s^0 \rightarrow \phi\phi)$ | – | 0.17 | 0.03 | 0.02 |
| | $2\beta_s^{\text{eff}}(B_s^0 \rightarrow K^{*0}\bar{K}^{*0})$ | – | 0.13 | 0.02 | < 0.02 |
| | $2\beta_s^{\text{eff}}(B^0 \rightarrow \phi K_S^0)$ | 0.17 [18] | 0.30 | 0.05 | 0.02 |
| Right-handed | $2\beta_s^{\text{eff}}(B^0 \rightarrow \phi\phi)$ | – | 0.09 | 0.02 | < 0.01 |
| <p>Charmless hadronic B decays highly sensitive to NP → Rare decay topologies such as penguin diagrams → Big experimental challenge to control SM uncertainties to the necessary precision</p> | | | | | |
| Flavour specific penguin | $\mathcal{B}(B_s^0 \rightarrow \mu^+\mu^-)/\mathcal{B}(B_s^0 \rightarrow \mu^+\mu^-)$ | 1.5×10^{-3} [4] | 0.5×10^{-3} | 0.15×10^{-3} | 0.5×10^{-3} |
| Unitarity triangle angles | $\gamma (B \rightarrow D^{(*)}K^{(*)})$ | $\sim 10\text{--}12^\circ$ [19, 20] | 4° | 0.9° | negligible |
| | $\gamma (B_s^0 \rightarrow D_s K)$ | – | 11° | 2.0° | negligible |
| | $\beta (B^0 \rightarrow J/\psi K_S^0)$ | 0.8° [18] | 0.6° | 0.2° | negligible |
| Charm | A_Γ | 2.3×10^{-3} [18] | 0.40×10^{-3} | 0.07×10^{-3} | – |
| CP violation | ΔA_{CP} | 2.1×10^{-3} [5] | 0.65×10^{-3} | 0.12×10^{-3} | – |



LHCb physics prospects for a Phase-I upgrade

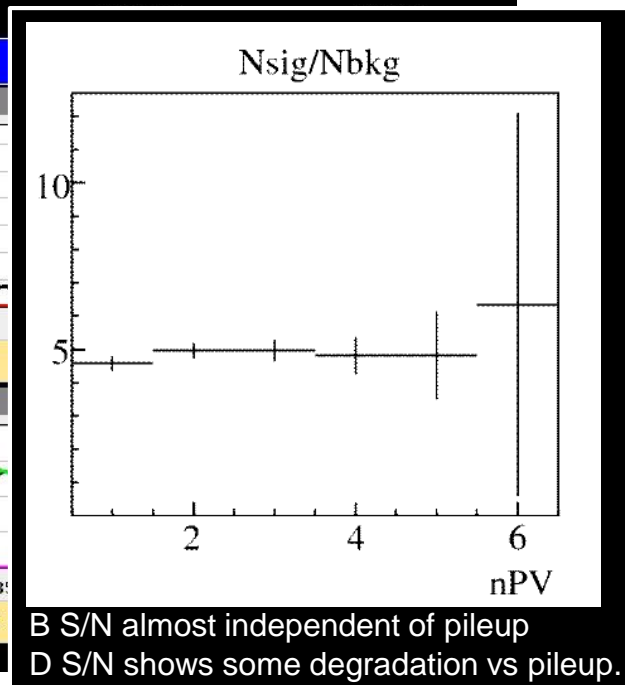
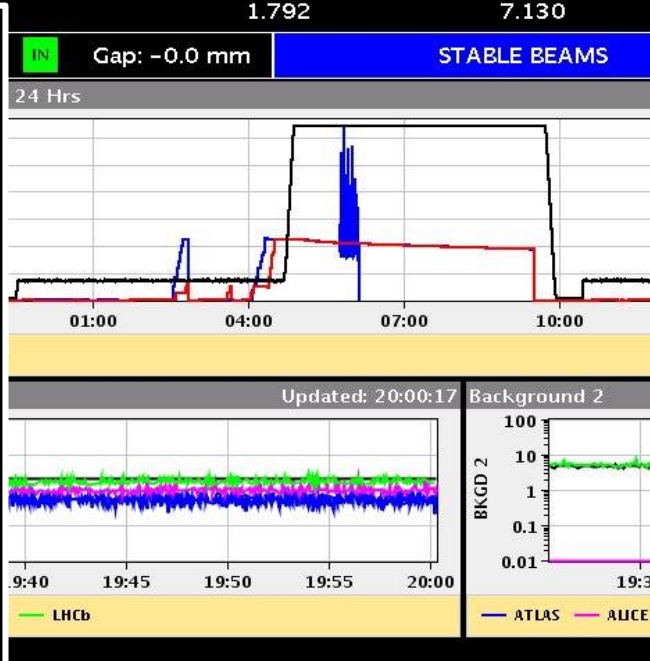
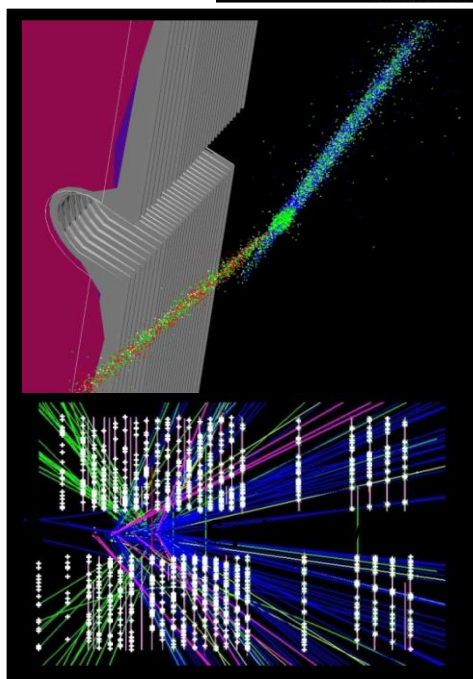
| Type | Observable | Current precision | LHCb 2018 | Upgrade (50 fb ⁻¹) | Theory uncertainty |
|--|---|-------------------------------------|-----------------------|--------------------------------|--------------------|
| B_s^0 mixing | $2\beta_s (B_s^0 \rightarrow J/\psi \phi)$ | 0.10 [9] | 0.025 | 0.008 | ~ 0.003 |
| | $2\beta_s (B_s^0 \rightarrow J/\psi f_0(980))$ | 0.17 [10] | 0.045 | 0.014 | ~ 0.01 |
| <p>Only the LHCb upgrade will provide the huge statistics needed to reach the precision that is necessary to remove the SM uncertainty in NP searches.</p> <p>→ γ measurement is ideally suited for LHCb as it's largely based on analyses</p> <ol style="list-style-type: none"> 1. that do not require flavour-tagging 2. that exploit LHCb's unique capability to trigger on fully hadronic decay modes. <p>→ With 50 fb⁻¹, γ will be determined to better than 1° precision</p> | | | | | |
| penguin | $B(B^0 \rightarrow \mu^+ \mu^-) / B(B_s^0 \rightarrow \mu^+ \mu^-)$ | – | $\sim 100\%$ | $\sim 35\%$ | $\sim 5\%$ |
| Unitarity triangle angles | $\gamma (B \rightarrow D^{(*)} K^{(*)})$ | $\sim 10\text{--}12^\circ$ [19, 20] | 4° | 0.9° | negligible |
| | $\gamma (B_s^0 \rightarrow D_s K)$ | – | 11° | 2.0° | negligible |
| | $\beta (B^0 \rightarrow J/\psi K_S^0)$ | 0.8° [18] | 0.6° | 0.2° | negligible |
| Charm | A_Γ | 2.3×10^{-3} [18] | 0.40×10^{-3} | 0.07×10^{-3} | – |
| CP violation | ΔA_{CP} | 2.1×10^{-3} [5] | 0.65×10^{-3} | 0.12×10^{-3} | – |



Is it feasible?

YES! We already tried in 2012: took some data at 10^{33} (5x designed values)

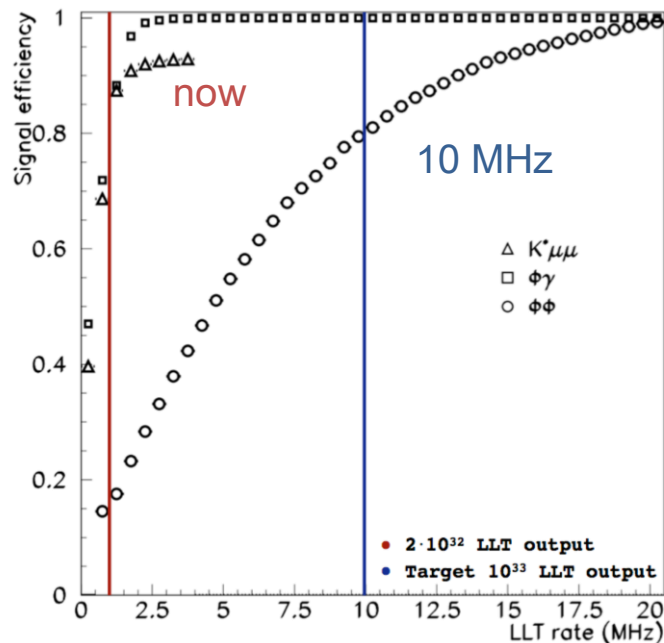
| 04-Dec-2012 20:00:17 Fill #: 3374 Energy: 4000 GeV I(B1): 2.03e+14 I(B2): 2.01e+14 | | | | | |
|--|---------|---------|---------|----------|--|
| Experiment Status | ATLAS | ALICE | CMS | LHCb | |
| | PHYSICS | PHYSICS | PHYSICS | Upgrade! | |
| Instantaneous Lumi [(ub.s) ⁻¹] | 5460.0 | 6.595 | 5604.2 | 999.1 | |
| BRAN Luminosity [(ub.s) ⁻¹] | 5494.5 | 4.272 | 5521.6 | 1123.1 | |
| Fill Luminosity (nb) ⁻¹ | 27394.6 | 30.5 | 28708.4 | 2803.3 | |
| BKGD 1 | 0.723 | 0.982 | 2.195 | 1.615 | |
| BKGD 2 | 102.929 | 0.000 | 4.883 | 5.478 | |
| | 1.792 | 7.130 | | | |



Implications of upgrade strategy

Removal of first-level hardware trigger implies

- read out every LHC bunch crossing
 - trigger-less Front-End electronics
 - multi-Tb/s readout network
- fully software flexible trigger
 - full event information available to improve trigger decision
 - maximize signal efficiencies at high events rate



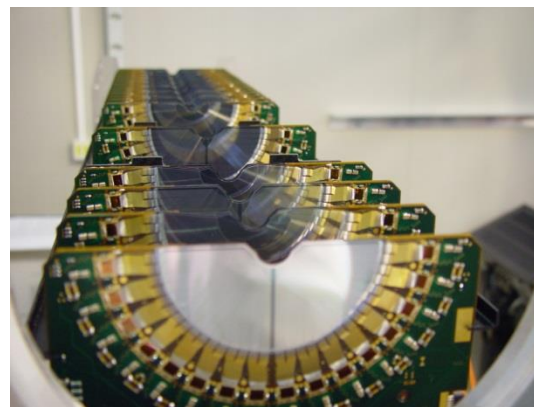
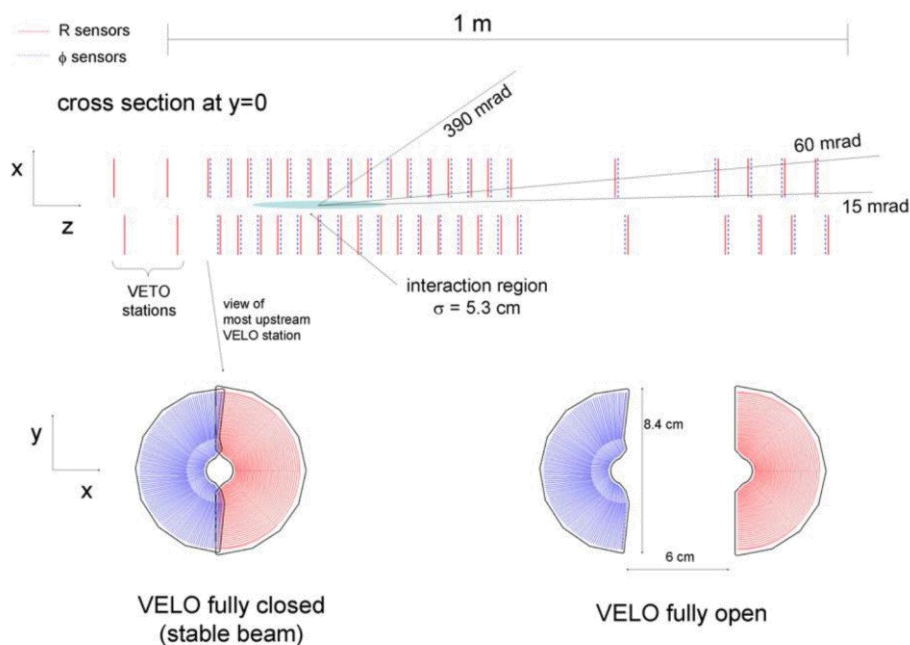
- higher luminosities: redesign (incompatible) sub-detectors for a **peak luminosity of $2 \times 10^{33} \text{ cm}^{-2} \text{ s}^{-1}$** (x5-x10 more than today)
- more data by increasing bandwidth: redesign readout architecture to record **40 MHz events**



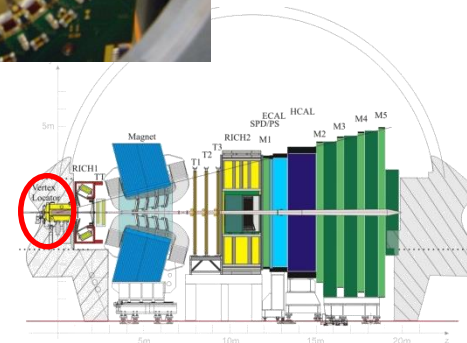
Current LHCb Vertex Detector

Current Vertex Detector (VELO) is at the heart of LHCb tracking, triggering and vertexing

- Excellent performance, reliable, cluster efficiency >99.5%, best hit resolution down to $4\mu\text{m}$
- **Movable device!** ~50mm to ~5mm close to LHC beams when in collisions (autonomously...)



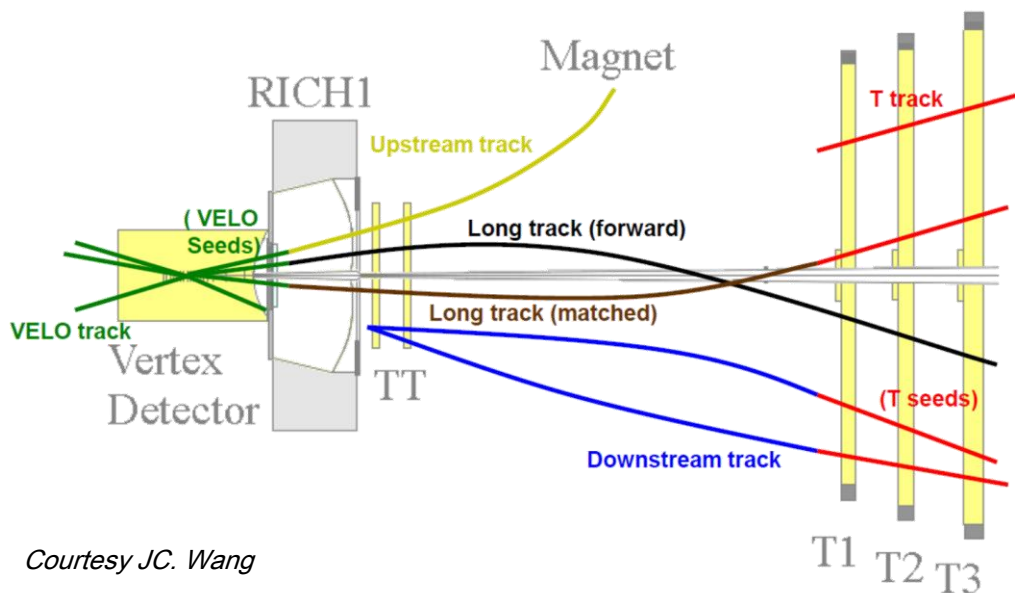
Si-strips measuring r and ϕ



Current LHCb Tracking system

Present Tracking System will be upgraded:

- VELO + TT (Si-strip) + DIPOLE (no change) + IT (2% inner area, Si) / OT (Straw Tubes)

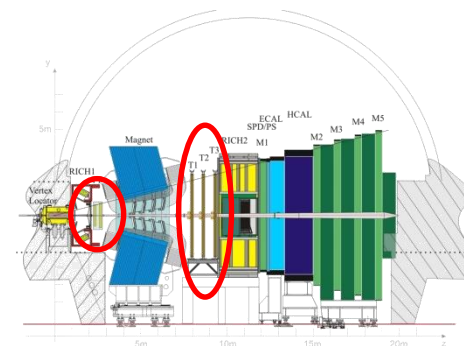


Current pattern-recognition based on current tracking system would not be efficient in upgraded scenario

- Too high occupancy in central region
- R&D for different solutions
 - for downstream and upstream tracking

Courtesy JC. Wang

Sidenote: R&D in increasing Dipole field (x1.8 Bdl)





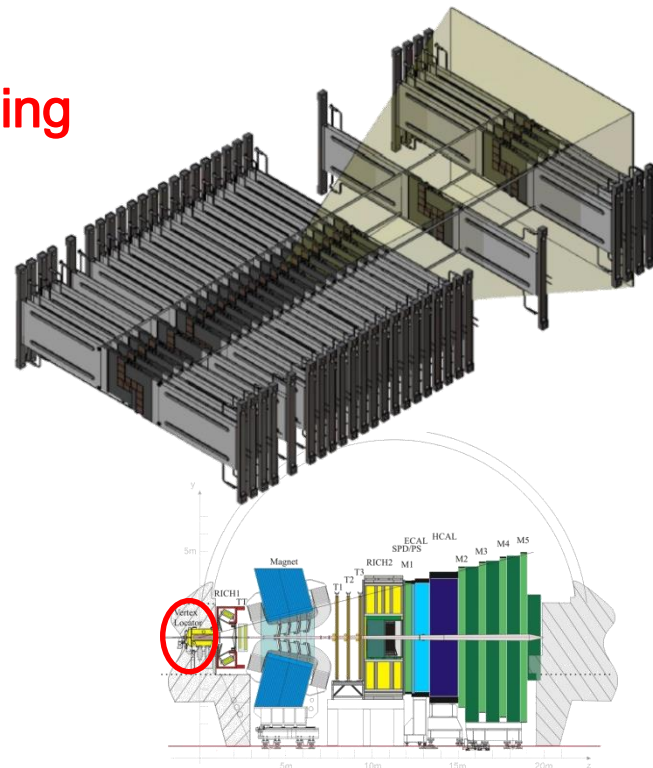
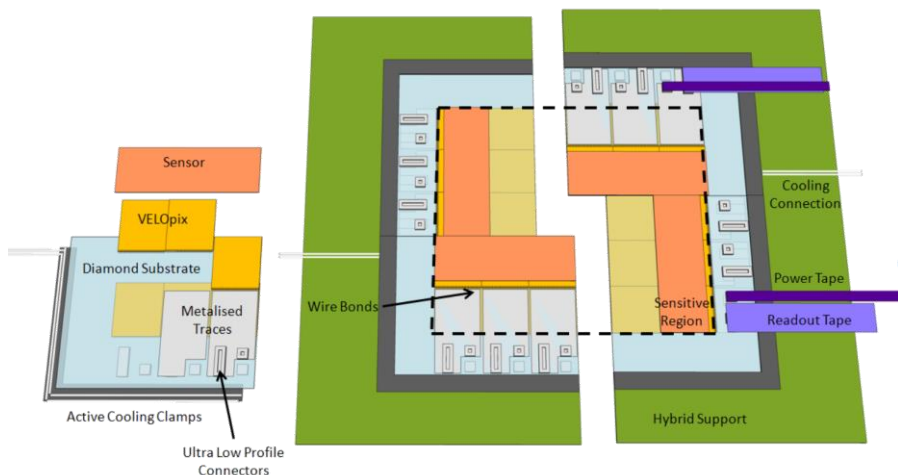
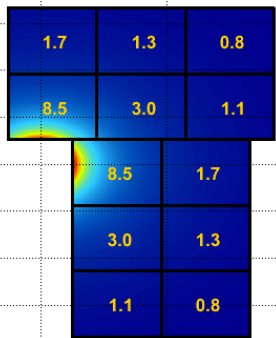
New LHCb Vertex Detector

Future VELO must maintain same performance, but in harsher conditions

- Low material budget, cope with > radiation damage, deal with > multiplicities
 - Trigger-less readout ASICs and provide fast and efficient reconstruction at HW level
- Recent technology reviews favored the choice of a

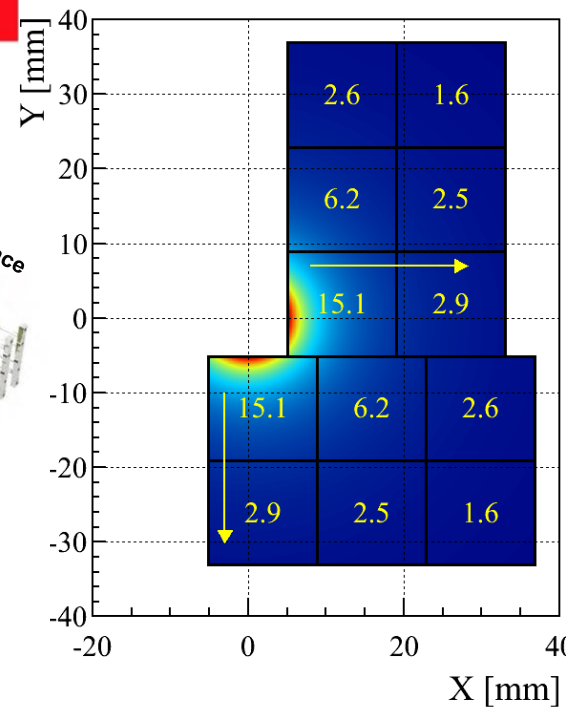
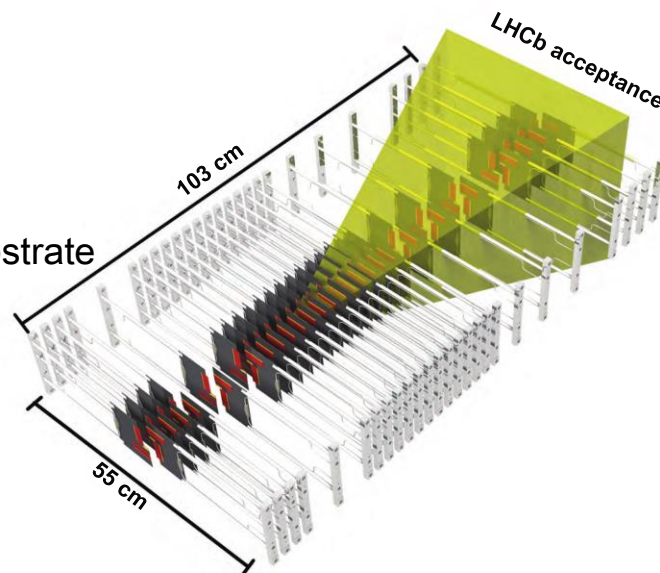
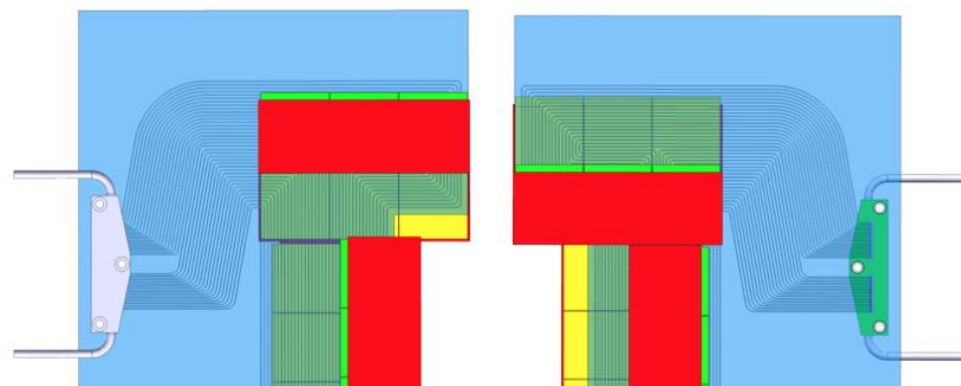
Si-pixel detector with microchannel cooling

occupancy



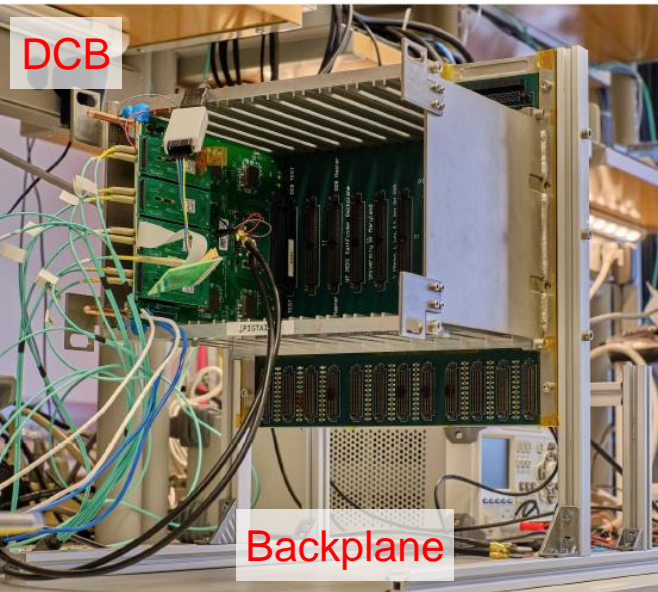
Upgraded VELO

- Hybrid pixel detector
 - Easier pattern recognition
 - Thinner sensors ($300\ \mu\text{m} \rightarrow 200\ \mu\text{m}$)
- Move closer to beam
 - First measurement: $8.13\ \text{mm} \rightarrow 5.1\ \text{mm}$
- New RF foil
 - Reduce material before first measurement
- New ASIC (VeloPix)
 - Based on Medipix/TimePix
 - 256×256 ($55\ \mu\text{m} \times 55\ \mu\text{m}$)
 - 12 per module
- Non-uniform irradiation
 - Extremely high data rates
 - Micro-channel cooling in substrate

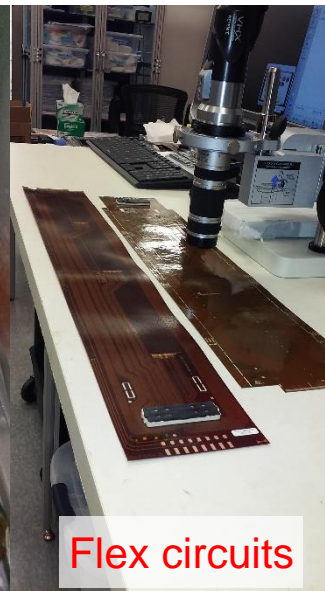
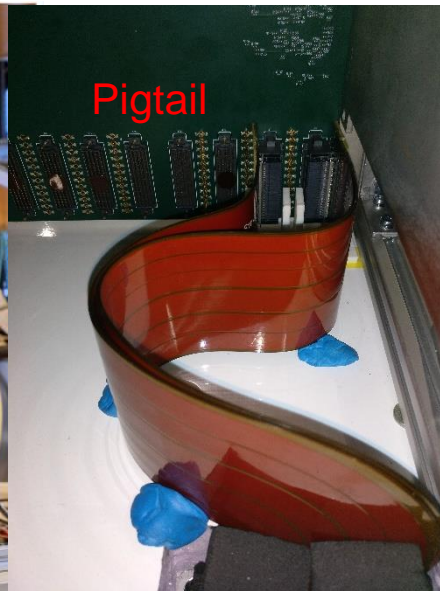


UT

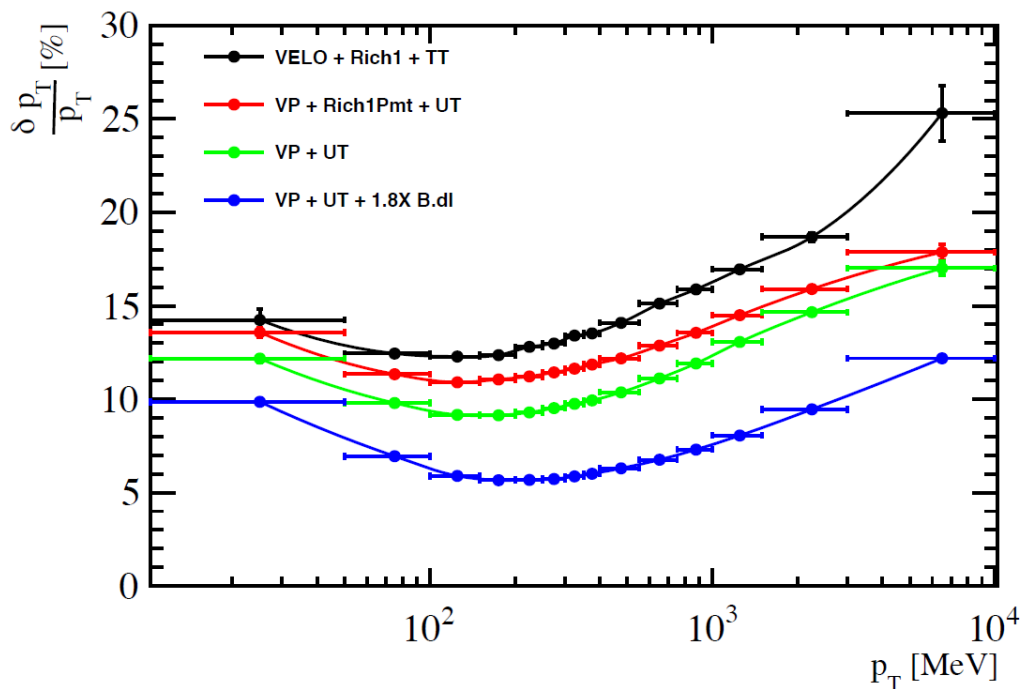
- Near-detector electronics outside acceptance.
 - Distributes TFC&ECS signals.
 - Collects serial data from ASICs (320 Mbps).
 - Transmits optical serial data via GBTx/VTTx (~4.8 Gbps).
 - Connected to stave via pigtail flex cables.
- Two versions of read-out ASIC (SALT).
 - Problems found in previous iterations have been solved.
 - Analogue power issues, 40 MHz oscillations.
 - 4- (8-) ASIC modules assembled with SALT v3.5 (v3.8).
- Full read-out chain validated in system test.
 - First tests with final powering and grounding scheme.
 - CO₂ cooling tests at -30°C.



Backplane

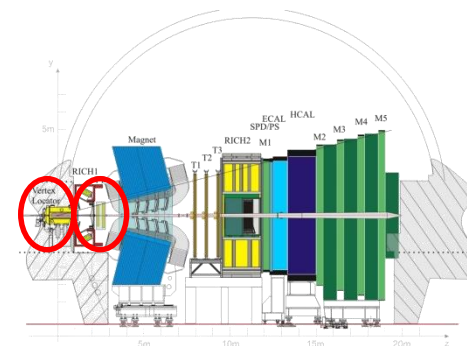


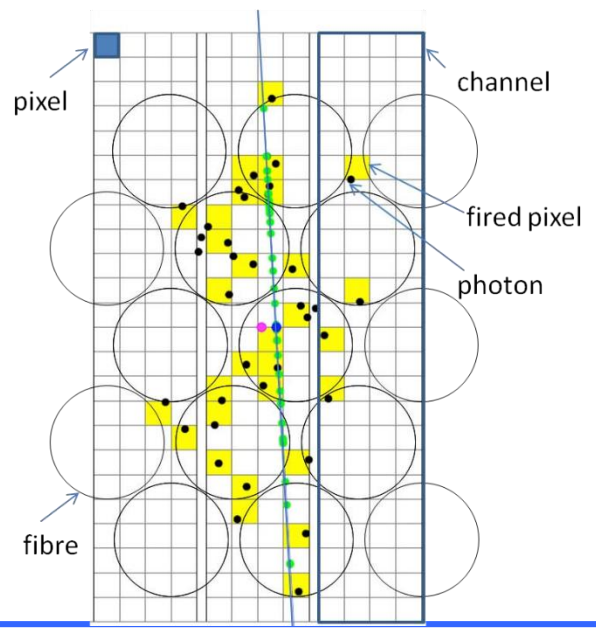
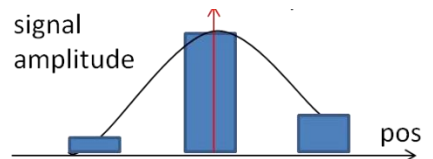
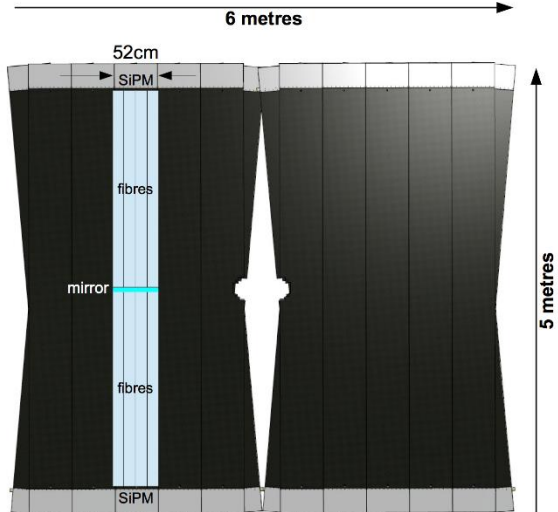
New UT + Phase-I VELO



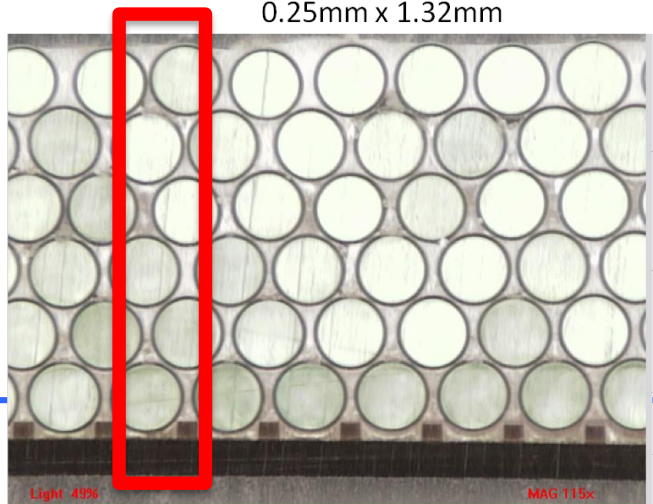
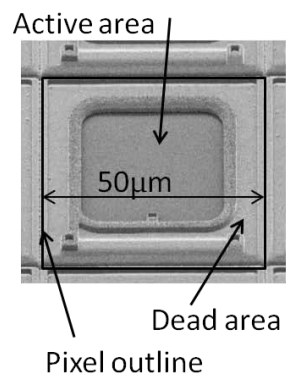
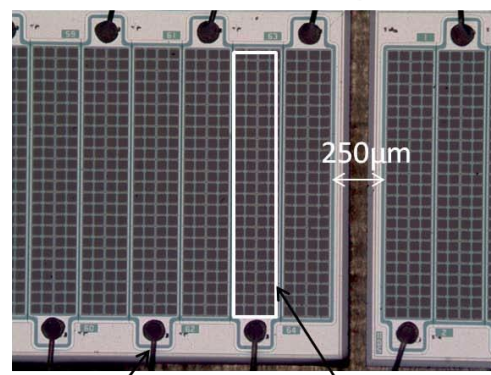
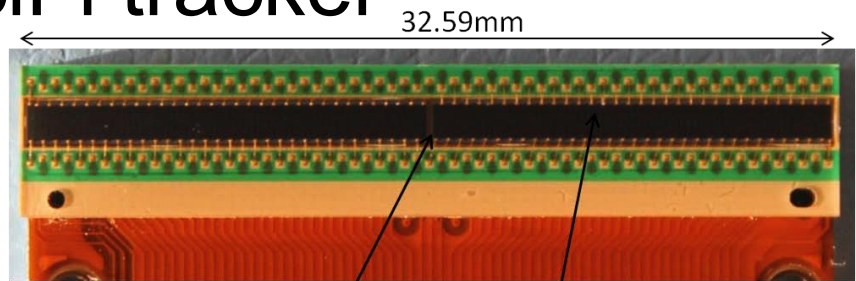
better p_T resolution
 +
 drastic reduction in
 ghost rate
 +
 large gain in
 reconstruction time!

| | ϵ (%) | GR(%) | t(ms) | err | range | min_{p_T} (MeV) |
|--------------------|----------------|-------|-------|------|-------|-------------------|
| No p -estimate | 96.3 | 52.1 | 25.7 | | | 1100 |
| $\delta p/p = 0.0$ | 96.7 | 2.9 | 3.0 | 0.06 | 60 | 1245 |
| $\delta p/p = 0.1$ | 96.7 | 3.4 | 5.2 | 0.17 | 60 | 1000 |
| $\delta p/p = 0.2$ | 96.7 | 4.9 | 8.2 | 0.28 | 60 | 750 |
| $\delta p/p = 0.3$ | 96.7 | 7.2 | 16.6 | 0.39 | 60 | 500 |





SciFi tracker



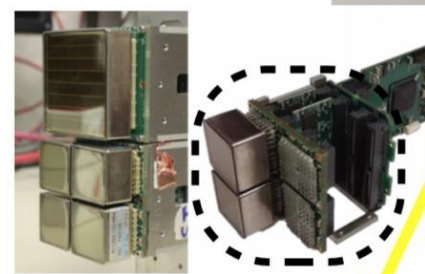
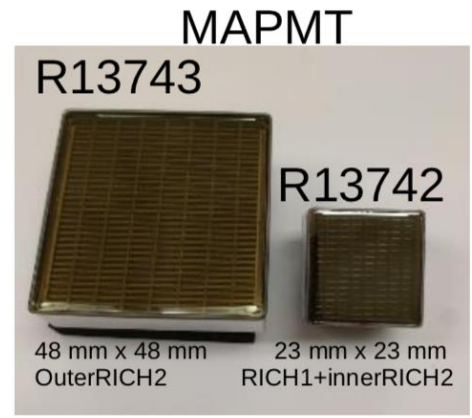
RICH

RICH1:
Change everything but the magnetic shielding

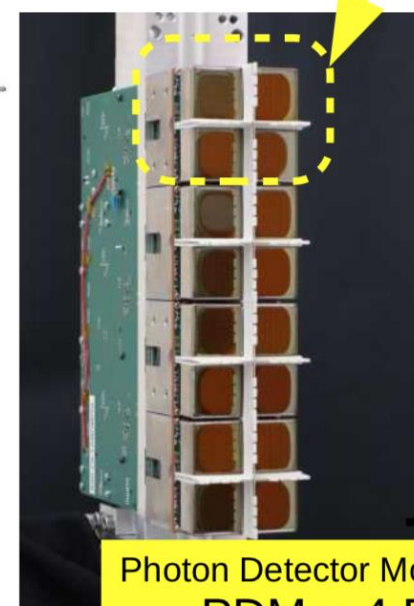
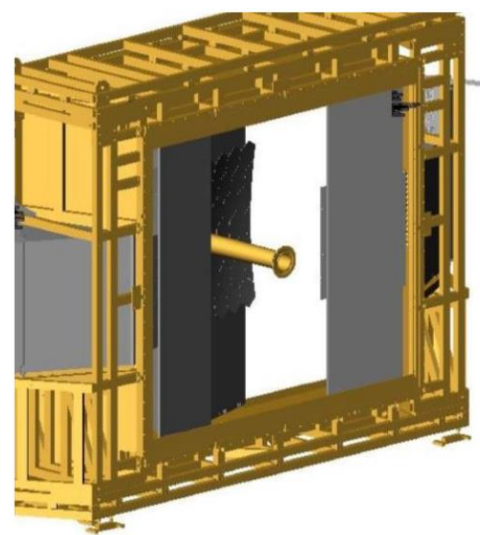
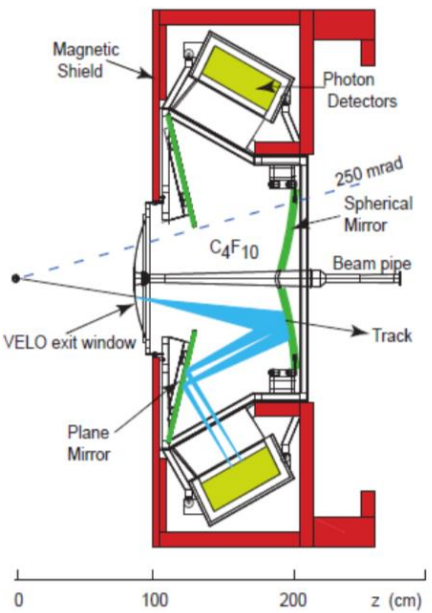
- mirrors, gas enclosure, quartz windows
 - Photon detectors, electronics, detector mechanics
- => 22 columns

RICH2:
Change only detectors

- Photon detectors, electronics, detector mechanics
- => 24 columns

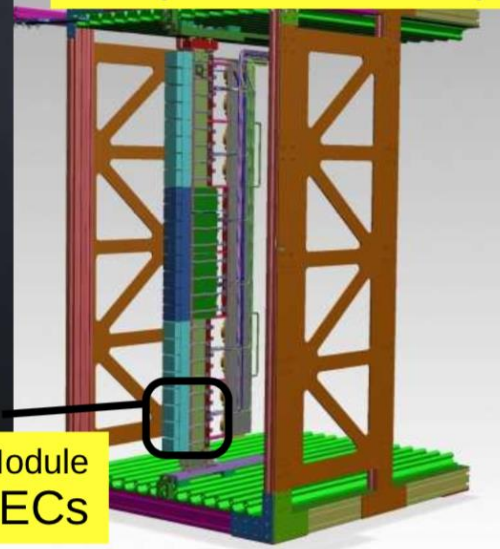


Elementary Cell
EC = 4 or 1 xMAPMT + Baseboards



A column = 6 PDMs (on a "cold bar")

Photon Detector Module
PDM = 4 ECs



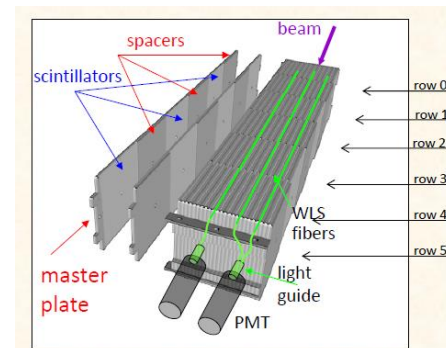
Upgraded Calorimeters

HCAL

Present Calorimeters detectors will be kept:

- ECAL (Shashlik 25 X_0 Pb + scintillator)
- HCAL (TileCal Fe + scintillator)

→ PreShower / ScintillatingPadDetector (PS/SPD) will be removed



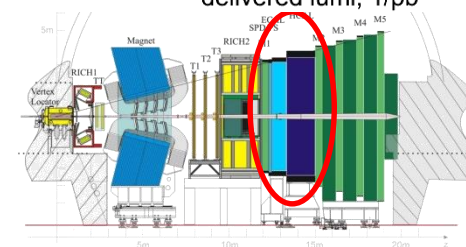
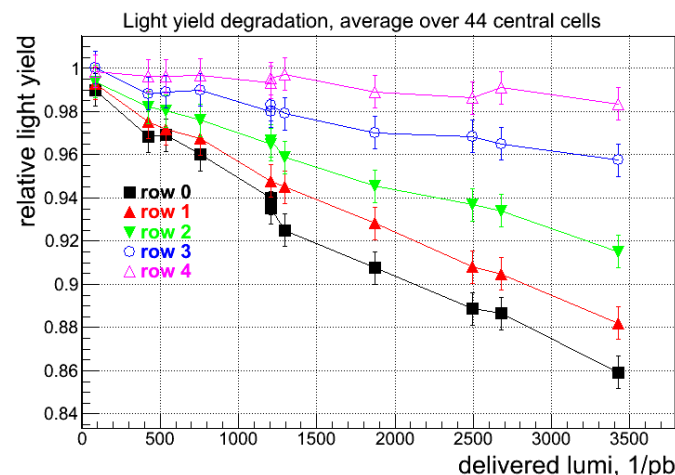
Main changes:

PMT gain will be reduced by a factor 5

- to reduce ageing due to higher luminosities

Front-End electronics will be redeveloped

- to be compatible with the reduced gain (R&D)
- to be compatible with trigger-less readout



Upgraded Muon Detectors

Present Muon detector will be kept:

- 4 layers (M2-M5) of Multi-Wire Proportional Chambers (MWPC)
- first layer of Muon Detector (M1 – used in first-level trigger, with GEMs) will be removed

Main changes:

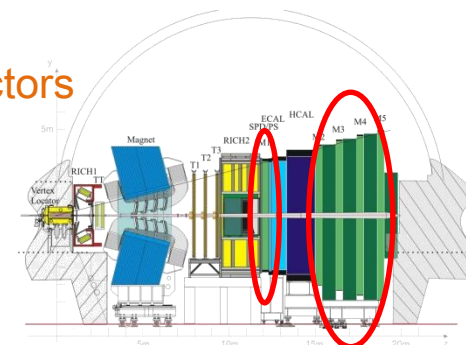
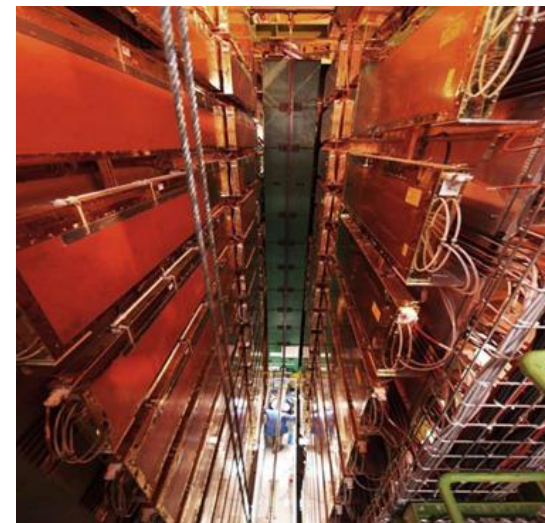
Front-End electronics will be redeveloped

- to be compatible with trigger-less readout

R&D:

Replace inner part of M2 (closest to IP) with RWEL and GEMs detectors

- to have higher-granularity



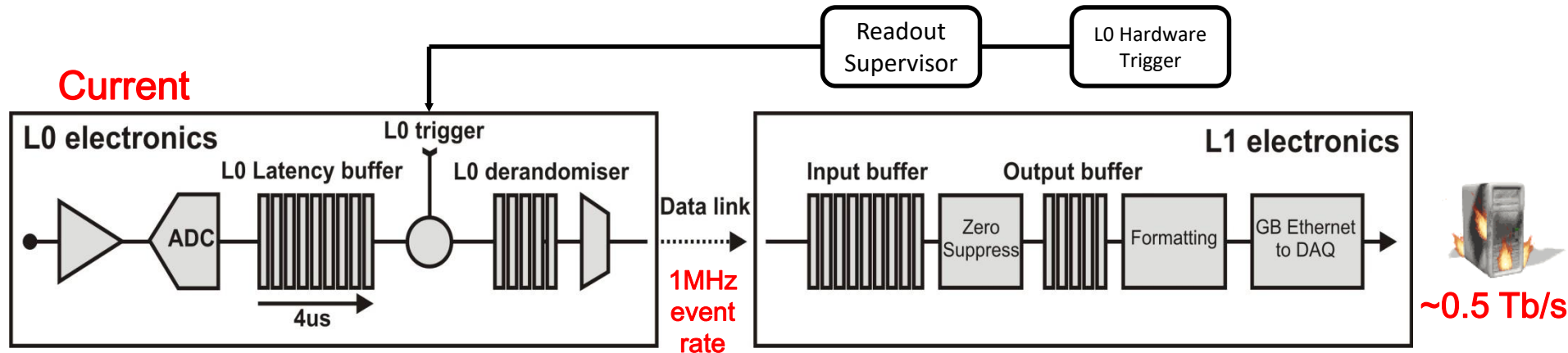


Upgraded Readout Architecture

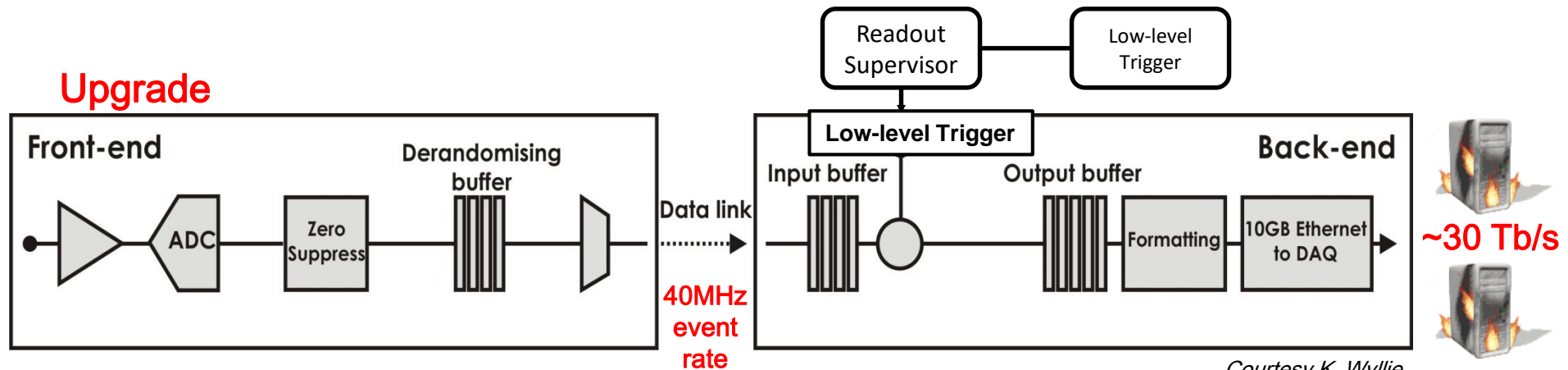
Reminder: remove the first-level hardware trigger

→ accept all LHC bunch crossing: **trigger-less Front-End electronics!**

Current

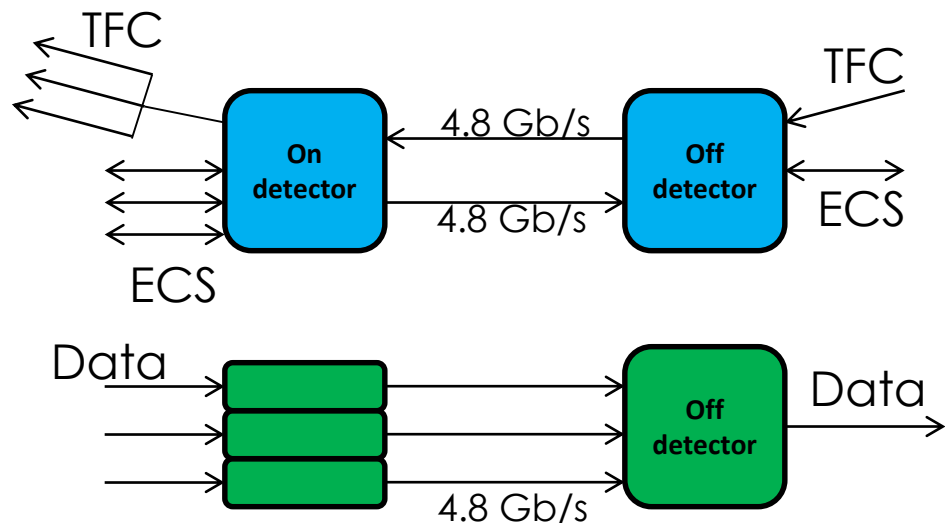
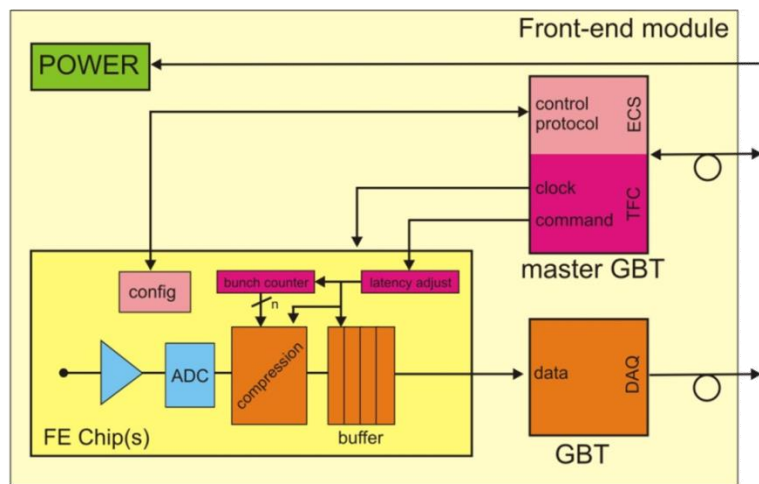


Upgrade



Courtesy K. Wyllie

Trigger-less Front-Ends



1. Need to **compress (zero-suppress) data already at the FE** to reduce data throughput
 - reduce # of links from ~80000 to ~12500 (20 MCHF to 3.1 MCHF)
 2. Use separate **link bandwidth efficiently for data**
 - Pack data across data link continuously with elastic buffer before link
 3. **Compact links merging Timing, Fast (TFC) and Slow Control (ECS).**
 - Extensive usage of the CERN GBT development
- Support data driven readout (asynchronous) + big latencies!



Readout & DAQ

Future LHC DAQs in numbers

| | Event-size [kB] | Rate [kHz] | Bandwidth [Gb/s] | Year [CE] |
|-------|-----------------|------------|------------------|-----------|
| ALICE | 20000 | 50 | 8000 | 2019 |
| ATLAS | 4000 | 200 | 6400 | 2022 |
| CMS | 2000 | 200 | 3200 | 2022 |
| LHCb | 100 | 40000 | 32000 | 2019 |

Courtesy N. Neufeld

- Exploit the economies of scale → try to do what everybody does but smarter!
- Some overlapping trends across experiments, at least conceptually
 - custom-made Readout Boards with fast optical links and big&powerful FPGAs
 - ✓ ideally with fast interface to PCs (PCIe Gen3 or future...)
 - ✓ ideally with some co-processing (GPUs...)
 - commercial network technologies following market trends in terms of BW & costs
 - ✓ distributed vs data-center-like network. Ethernet vs InfiniBand.

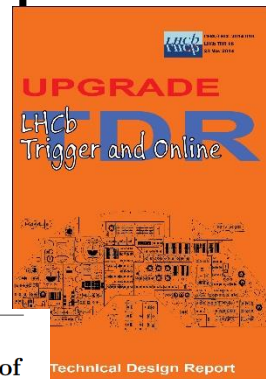


Full HLT1 trigger is called Allen

[LHCb-TDR-016](#)

Allen project for fully software trigger on GPUs

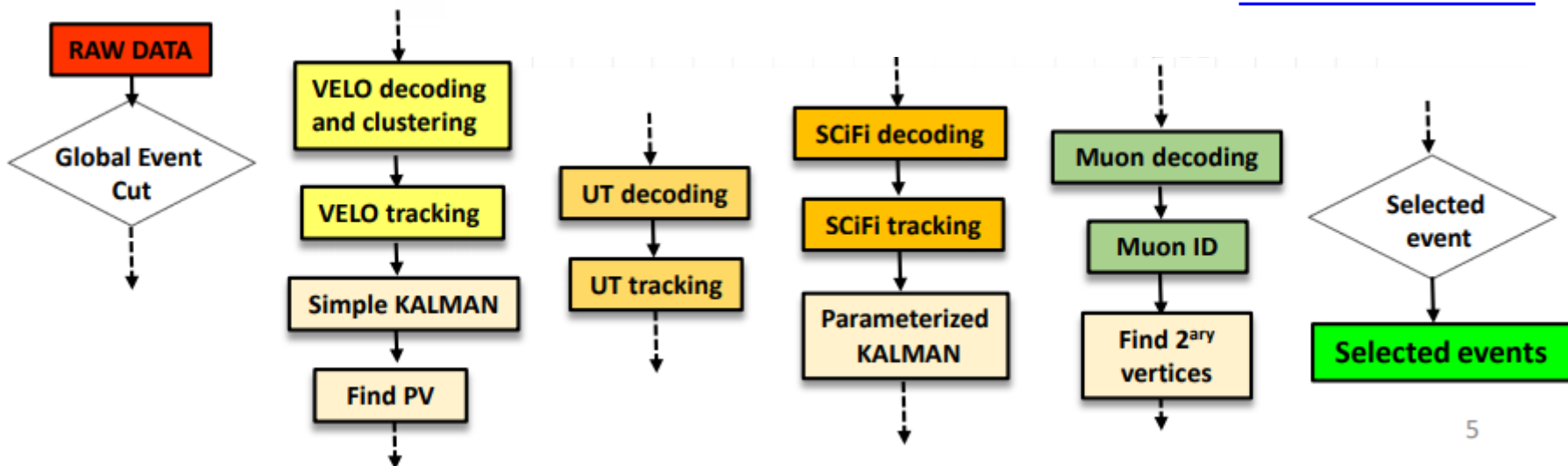
- Implemented on C++, CUDA and python
- Running also for CPU and HIP (AMD, experimental)
- It can run standalone
- Continuously improving
- Getting ready to be commissioned



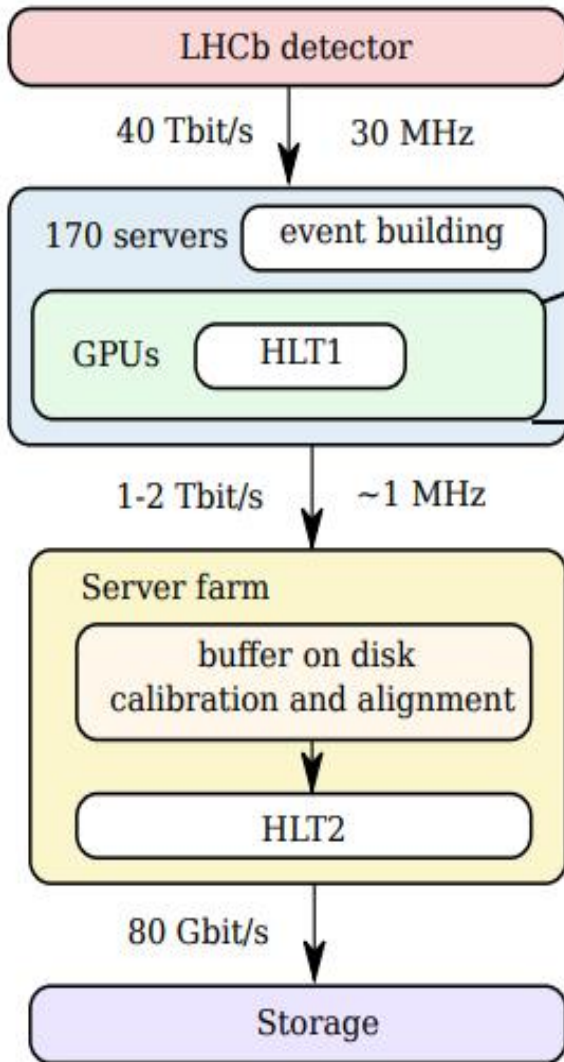
Technical design and performance of a GPU HLT1

R. Aaij¹, J. Albrecht², M. Belous^{3,2}, P. Billir⁶, T. Boettcher⁴, A. Brea Rodriguez⁵, D. von Bruch⁶, D. H. Campora Perez^{6,7}, A. Cassin Vidal⁸, T. Colombo⁷, D. C. Craik¹, P. Fernandez Declara^{2,7}, L. Funke², V. V. Gligorov⁶, B. Jasha⁹, N. Kazeev^{3,2}, D. Martnez Santos⁵, X. Mayo Lopez⁵, F. Pisani^{4,6,7}, D. Plushchenko^{4,3}, S. Popov^{3,2}, R. Quagliani⁶, M. Rangel¹⁰, F. Reiss⁶, C. Sanchez Mayordomo⁹, R. Schwemmer⁷, M. Sokoloff¹¹, H. Stevens², A. Ustyuzhanin³, X. Vilasis-Cardona⁸, M. Williams¹, L. Zhang¹²

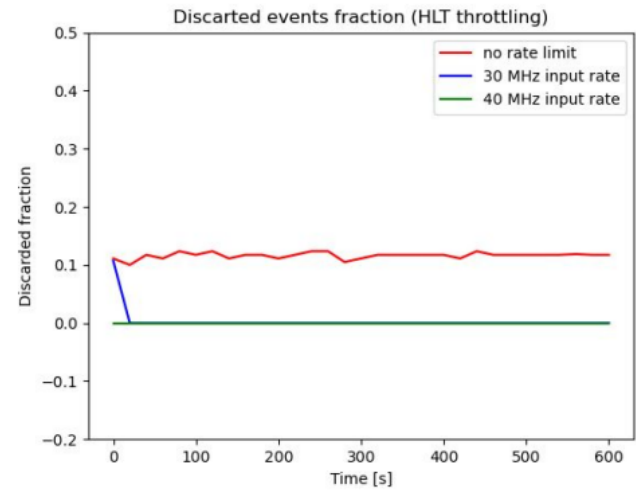
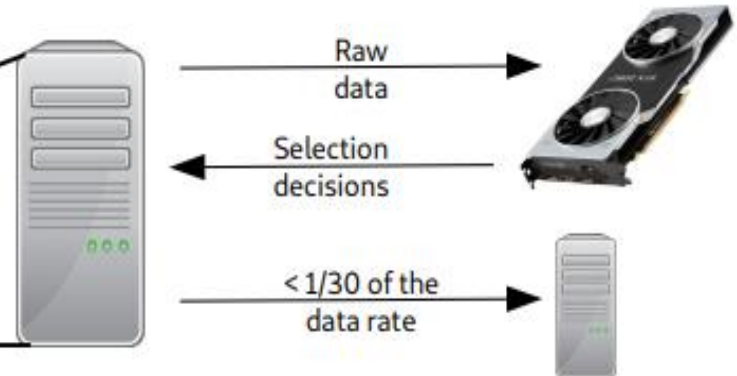
[LHCb-TDR-021](#)



Full HLT1 on GPUs

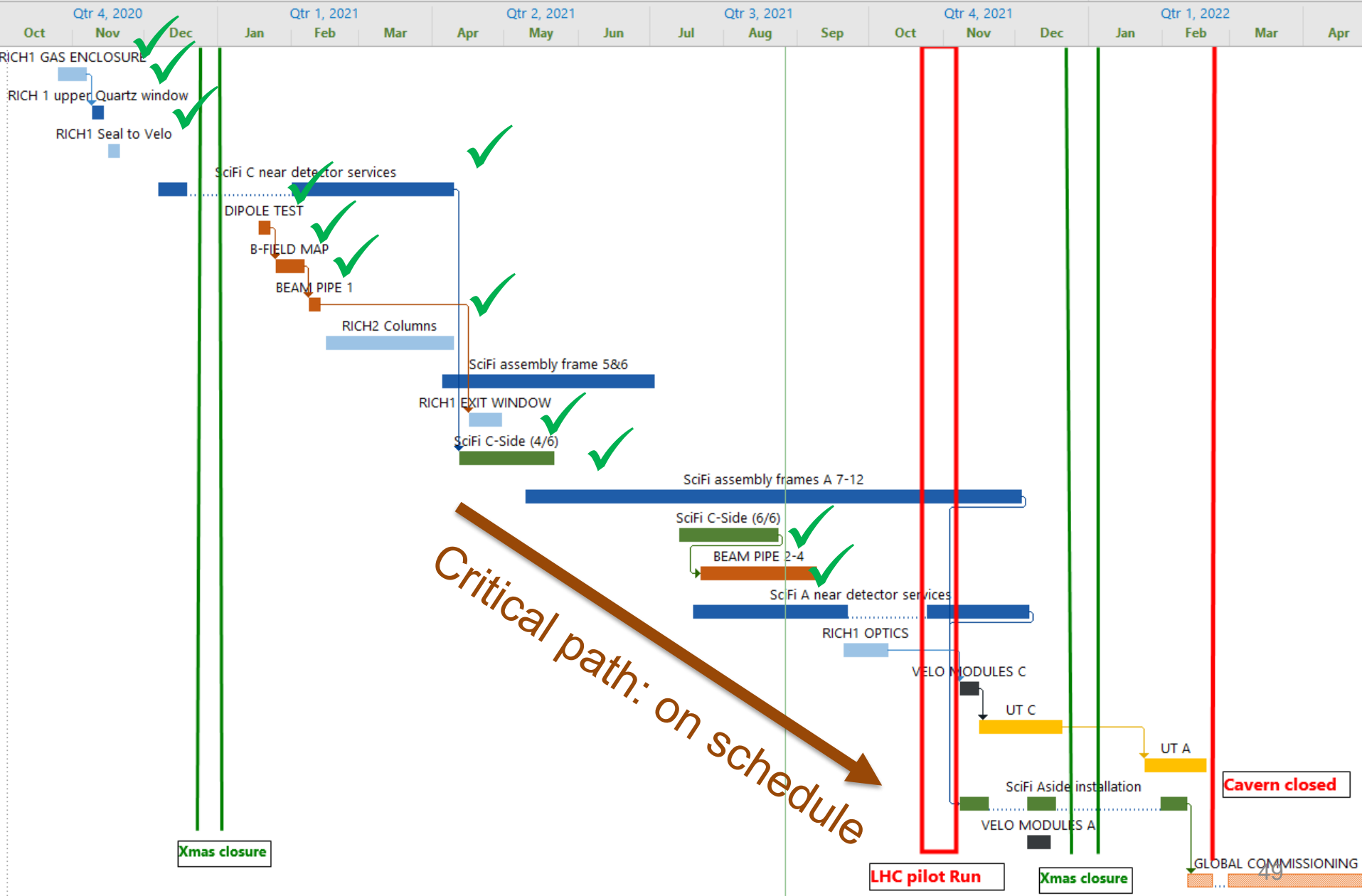


HLT1 (*Allen*):
 partial & fast
 reconstruction +
 selections
 → runs at visible
 collision rate



Current performance already adequate!
 → No events discarded at 40 MHz.

Installation schedule Upgrade-I



Critical path: on schedule

Xmas closure

LHC pilot Run

Xmas closure

Cavern closed

Installation

RICH 1 mechanics installed:

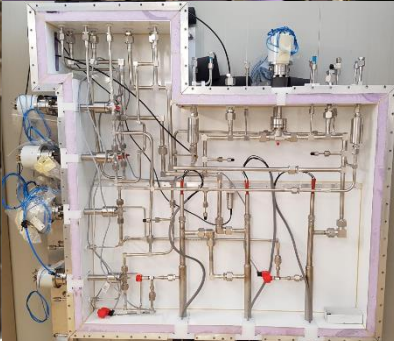
- Magnetic shielding shelves, MaPMT supports, gas enclosure.

Detector Services:

- 100% of long distance copper cables installed.
- 100% of optical fibres installed & tested.
 - Few fibres with power loss > threshold.
- 100% of long distance pipes installed.

CO₂ cooling plants (VELO & UT):

- CO₂ cooling plants and distribution boxes installed.
- Connections and first tests performed.
- Cleaning required to remove “oil”.

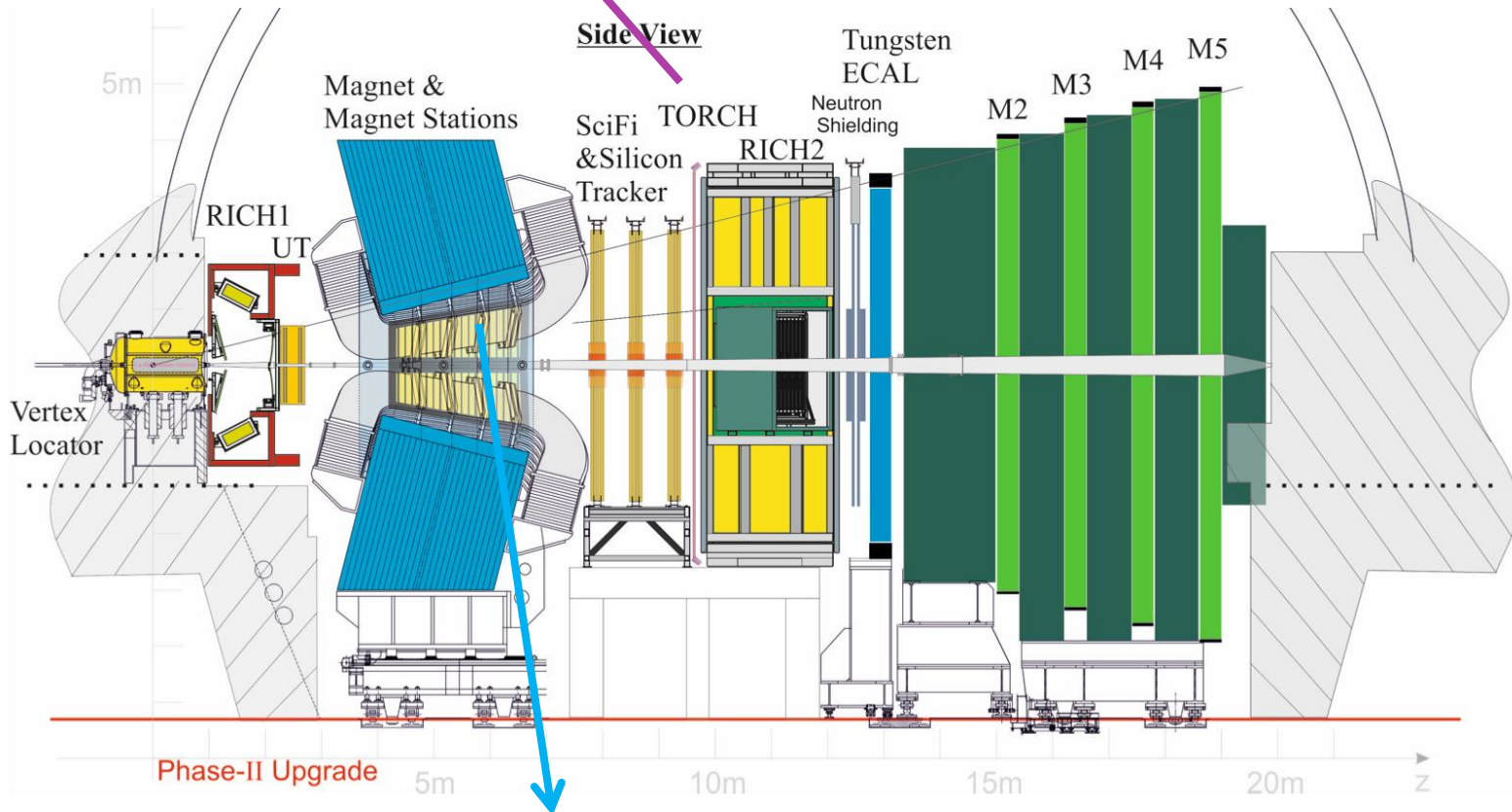


Services

CO₂ cooling

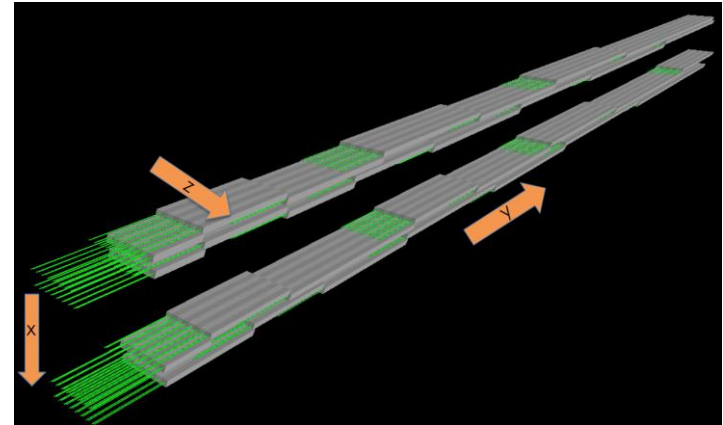
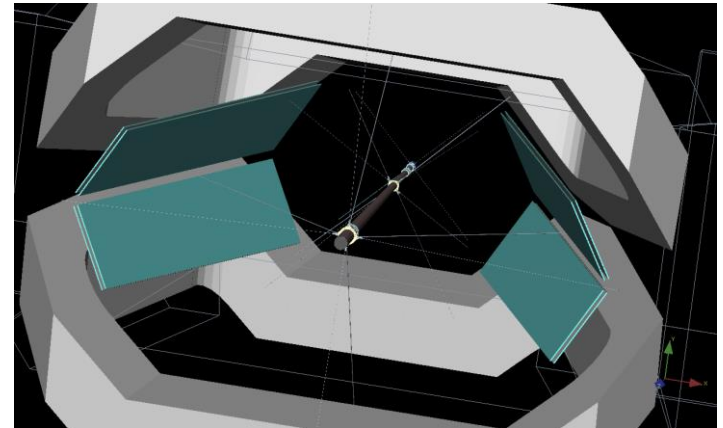
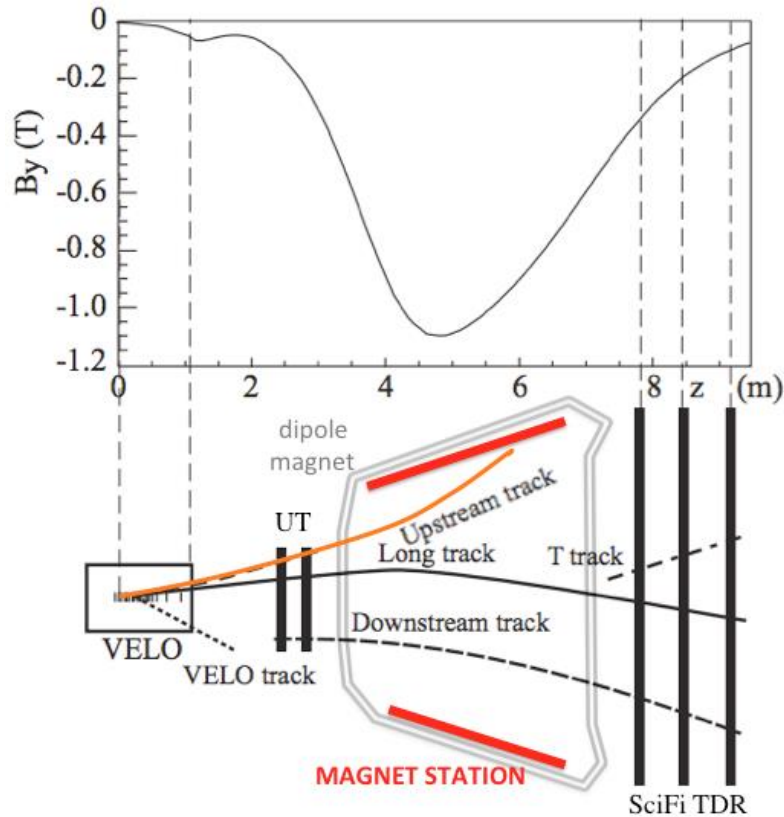
Add TORCH detector in front of RICH2

Phase-Ib consolidation (still R&D phase)



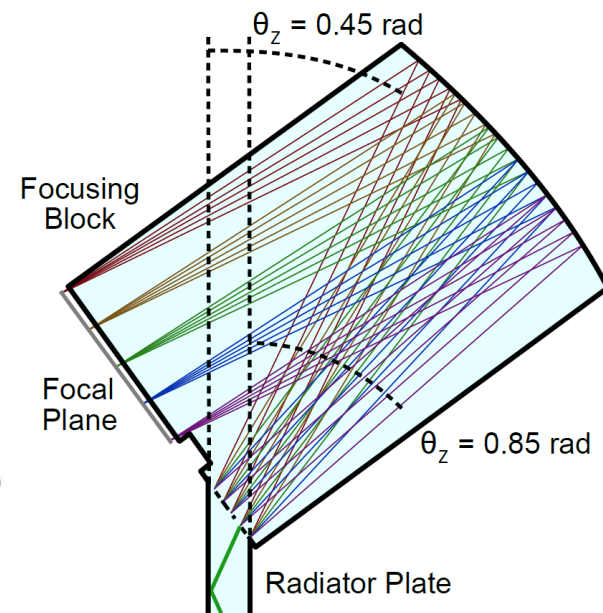
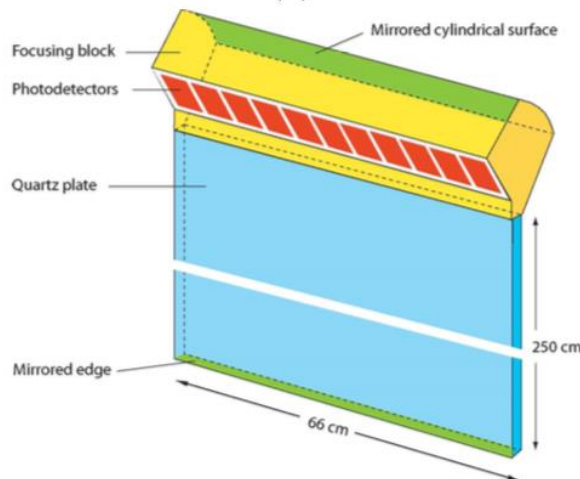
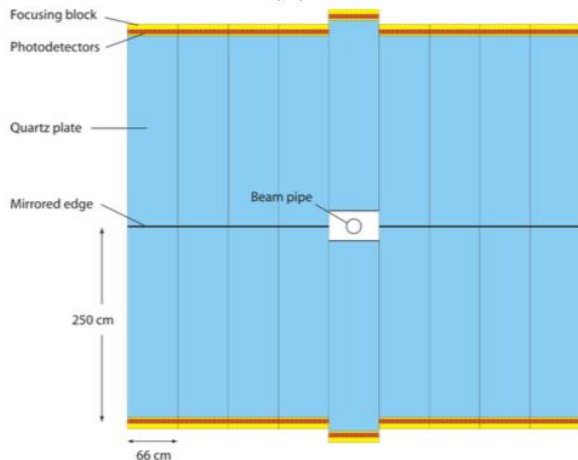
Additional Tracking stations in the magnet

Tracking stations in the magnet



- Reconstruction low momentum tracks
- Scintillator bars read out with SiPMs outside acceptance
 - Re-use existing SciFi Tracker electronics (ASIC, read-out boards, etc)

TORCH



- Time Of internally Reflected Cherenkov light
 - Large area time-of-flight detector
 - Provide PID in momentum range 1 – 10 GeV/c
- Cherenkov light produced in quartz plates
 - Photons travel to detector plane via total internal reflection
- Focusing block focuses image on detection plane
- Multichannel plate PMTs with 35 ps time resolution
 - Resolutions of 88 – 130 ps achieved in test beams



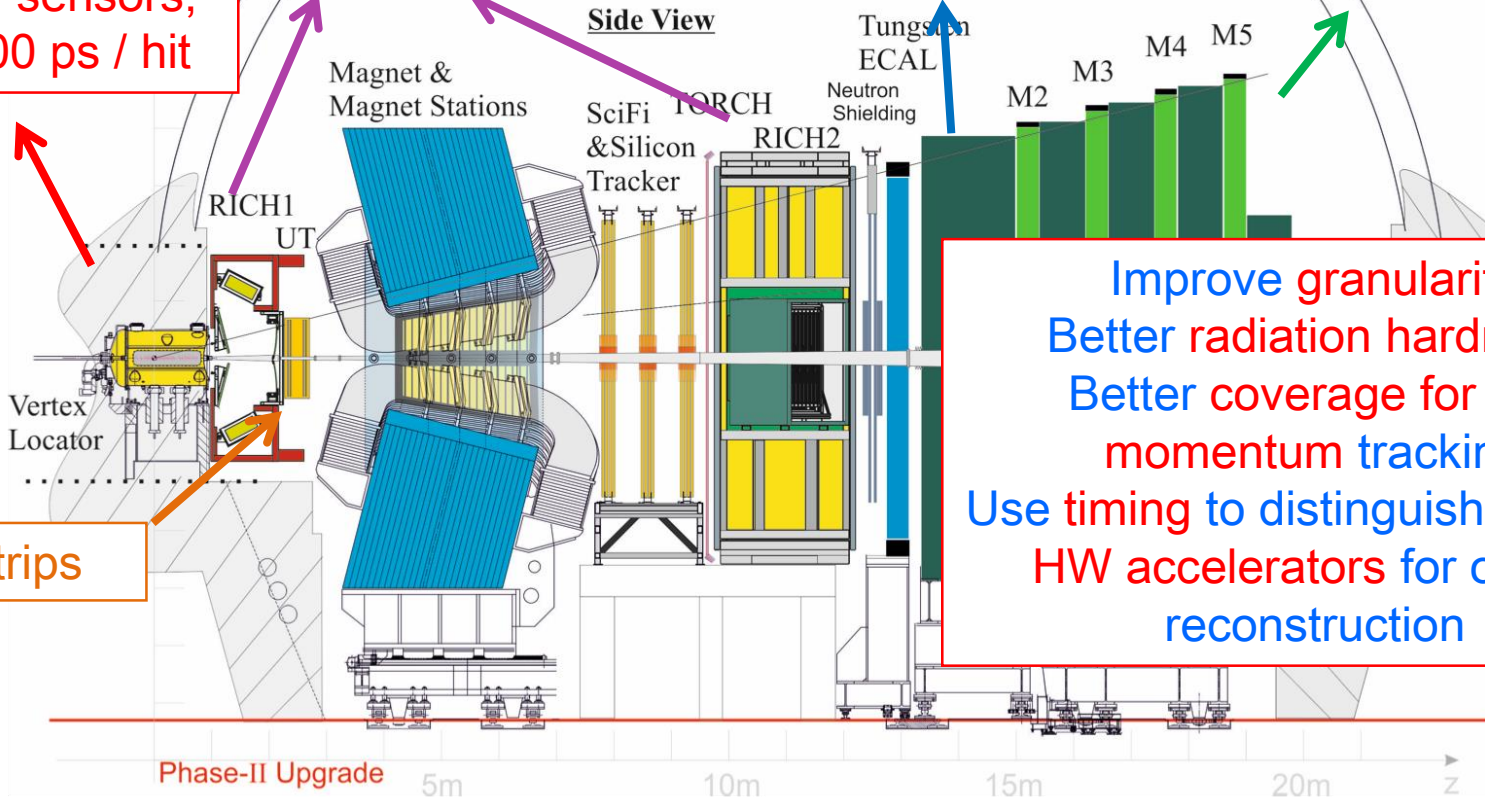
Phase-II upgraded LHCb detector

New Vertex Detector II
Smaller pixels, thinner sensors, $\sigma_t < 200$ ps / hit

RICH with new photon detectors
 $\sigma_t < 100$ ps / photon

ECAL with finer segmentation and timing with $\sigma_t \approx 20 - 50$ ps

MUON with MPGD (μ -RWELL), modified shielding

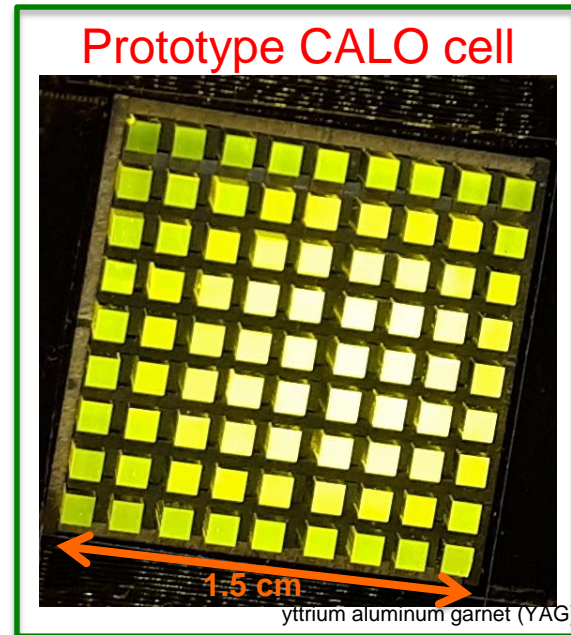


Improve granularity
Better radiation hardness
Better coverage for low momentum tracking
Use timing to distinguish vertices
HW accelerators for online reconstruction

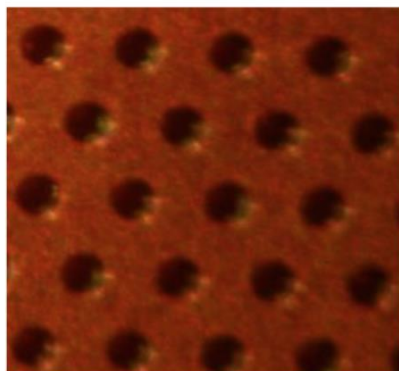
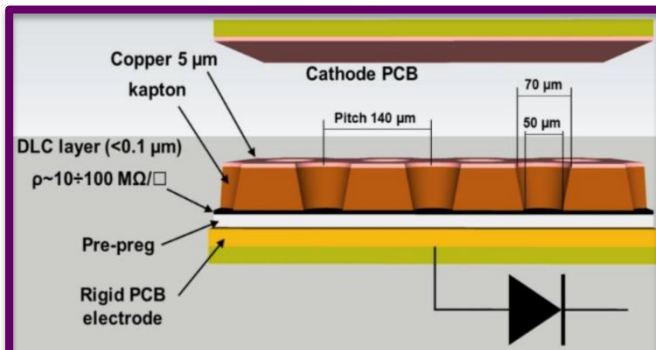
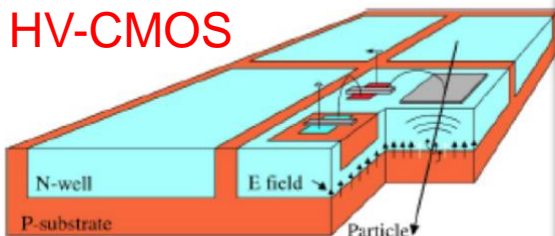
+ trigger-less readout (PCIe Gen4++) & accelerators for online reconstruction

Ongoing R&Ds across collaboration

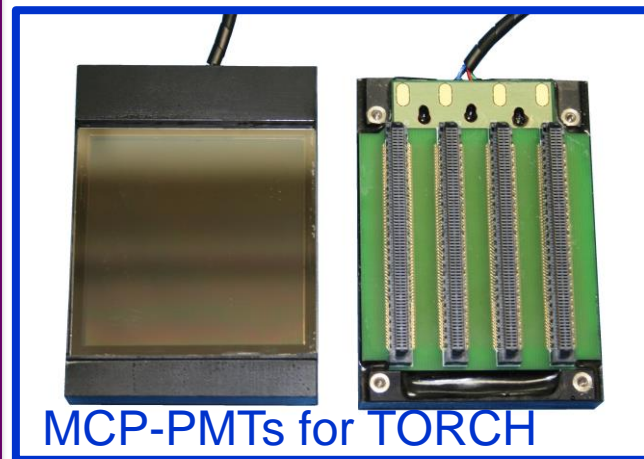
- SPACAL with crystal fibers
- CMOS tracker chip in design
- Silicon with timing capabilities
- Photon sensors with timing
- New MPGDs for high-rate MUON detection



HV-CMOS



The μ -RWELL amplification stage



arXiv:2002.07253v1



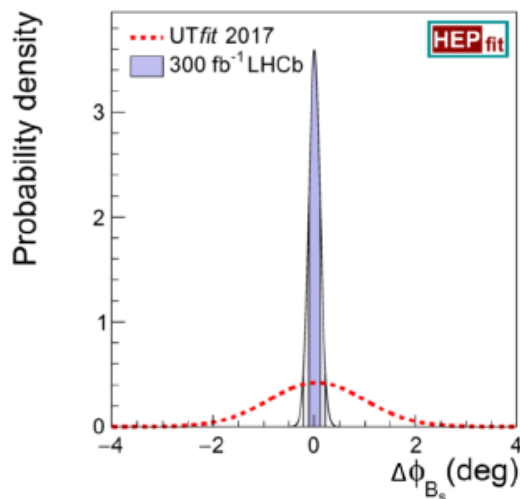
LHCb Phase-II upgrade

Just recently submitted an EoI to install an upgraded LHCb detector that can operate up to a peak instantaneous luminosity of $2 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$

- between x50-x100 more than today and x10 more than Phase-I upgrade
- to be ready for LHC Run V and to fully exploit HL-LHC

Improve even more the Phase-I LHCb precision:

- Comprehensive measurement programme of observables in a wide range of $b \rightarrow s \ell^+ \ell^-$ and $b \rightarrow d \ell^+ \ell^-$ employing both muon and electron modes
- Measurement of the CP-violation phases γ and ϕ_s with a precision of 0.4° and 3 mrad



NP contribution to ϕ_s

(dark blue 68%, light blue 95%)



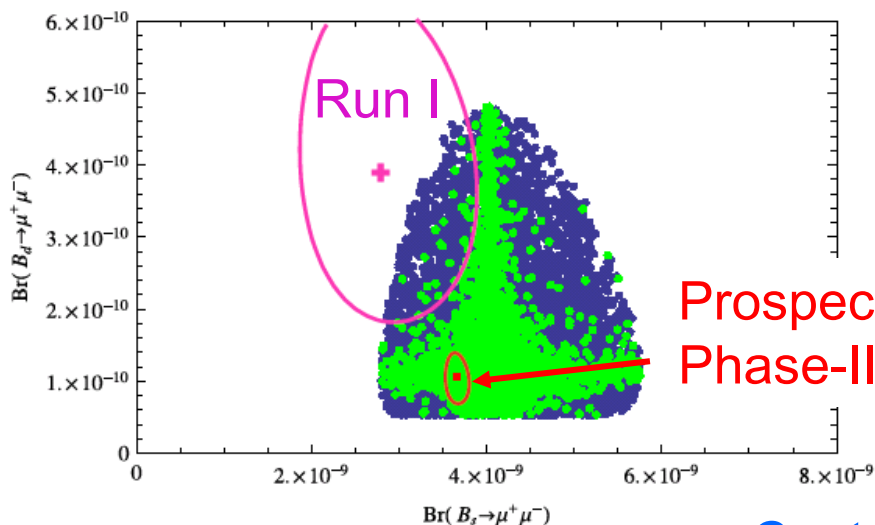
LHCb Phase-II upgrade

For an exhaustive list see [CERN-LHCC-2017-003](https://cds.cern.ch/record/227003)

Improve even more the Phase-I LHCb precision:

- Measurement of $B(B^0 \rightarrow \mu^+\mu^-) / B(B_s \rightarrow \mu^+\mu^-)$ with **20% uncertainty**
- CP-violation studies in **charm with 10^{-5} precision**
- **Exotica searches, dark photons?**

Close up on $B^0 \rightarrow \mu^+\mu^-$ and $B_s \rightarrow \mu^+\mu^-$ with **14% precision on ratio**



Prospect with Phase-II upgrade

Contribution to knowledge of $|V_{ub}/V_{cb}|$

