

EFT synergies between Top and Beauty



based on works with Stefan Bissmann, Cornelius Grunwald and Kevin Kröniger, 2012.10456 [hep-ph], JHEP 06 (2021) 010, and Rigo Bause, Hector Gisbert and Marcel Golz 2109.01675 [hep-ph].

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DPG spring meeting March 2021: 6 sessions on flavor physics

NA 62	s
Belle, BaBar	c, b
BES III	c
Belle II	c, b
LHCb	$c, b, (s)$
ATLAS, CMS	$b, t (c, s)$
Z-factory (CLIC-like)	$c, b (t)$

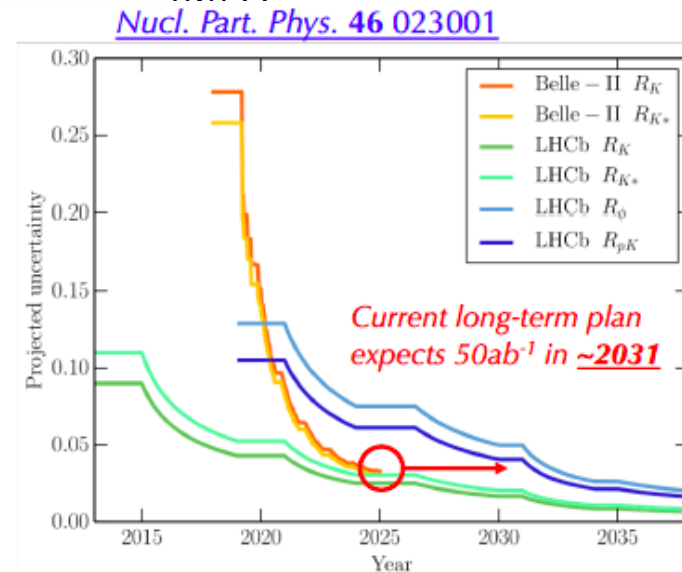
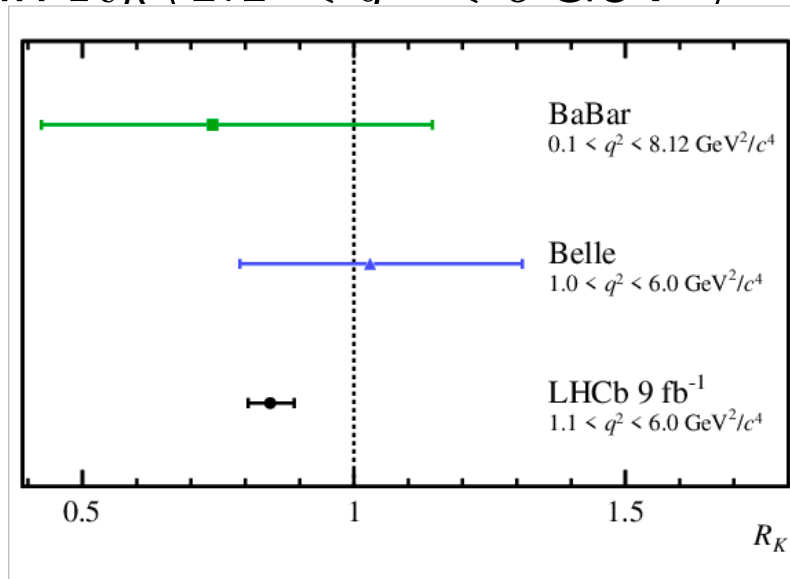
Dream time to be in flavor physics

Flavor is a window to BSM physics

Rare and suppressed processes are great places to look for new physics; Null tests specifically probe SM features. Lepton-universal models (incl. SM): $R_H = 1 + \text{tiny}$ GH, Krüger, hep-ph/0310219

$$R_H = \frac{\mathcal{B}(\bar{B} \rightarrow \bar{H} \mu \mu)}{\mathcal{B}(\bar{B} \rightarrow \bar{H} e e)}, \text{ same cuts for } e \text{ and } \mu, \quad H = K, K^*, X_s, \dots$$

3.1 σ evidence of new physics in $b \rightarrow sll$, non-universality between e 's and μ 's in $R_K(1.1 < q^2 < 6 \text{ GeV}^2) = 0.846_{-0.041}^{+0.044}$ LHCb 2103.11769

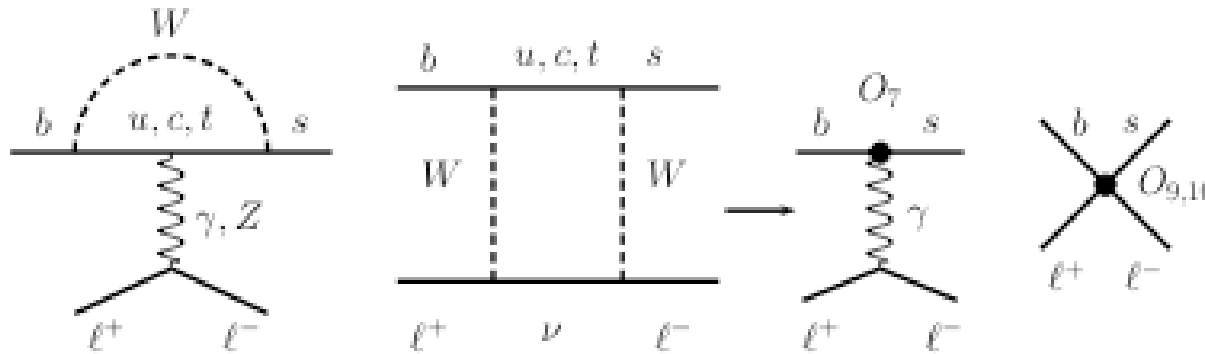


Electrons and muons appear more different than thought.

- R_{K,K^*} : ratio of branching fractions $b \rightarrow s\mu\mu$ vs $b \rightarrow see$ [hep-ph/0310219](https://arxiv.org/abs/hep-ph/0310219), talks

by M.Rama and T.Dong

- rates and angular distributions $b \rightarrow s\mu\mu$, $b \rightarrow s\gamma$ aka "the global fit"



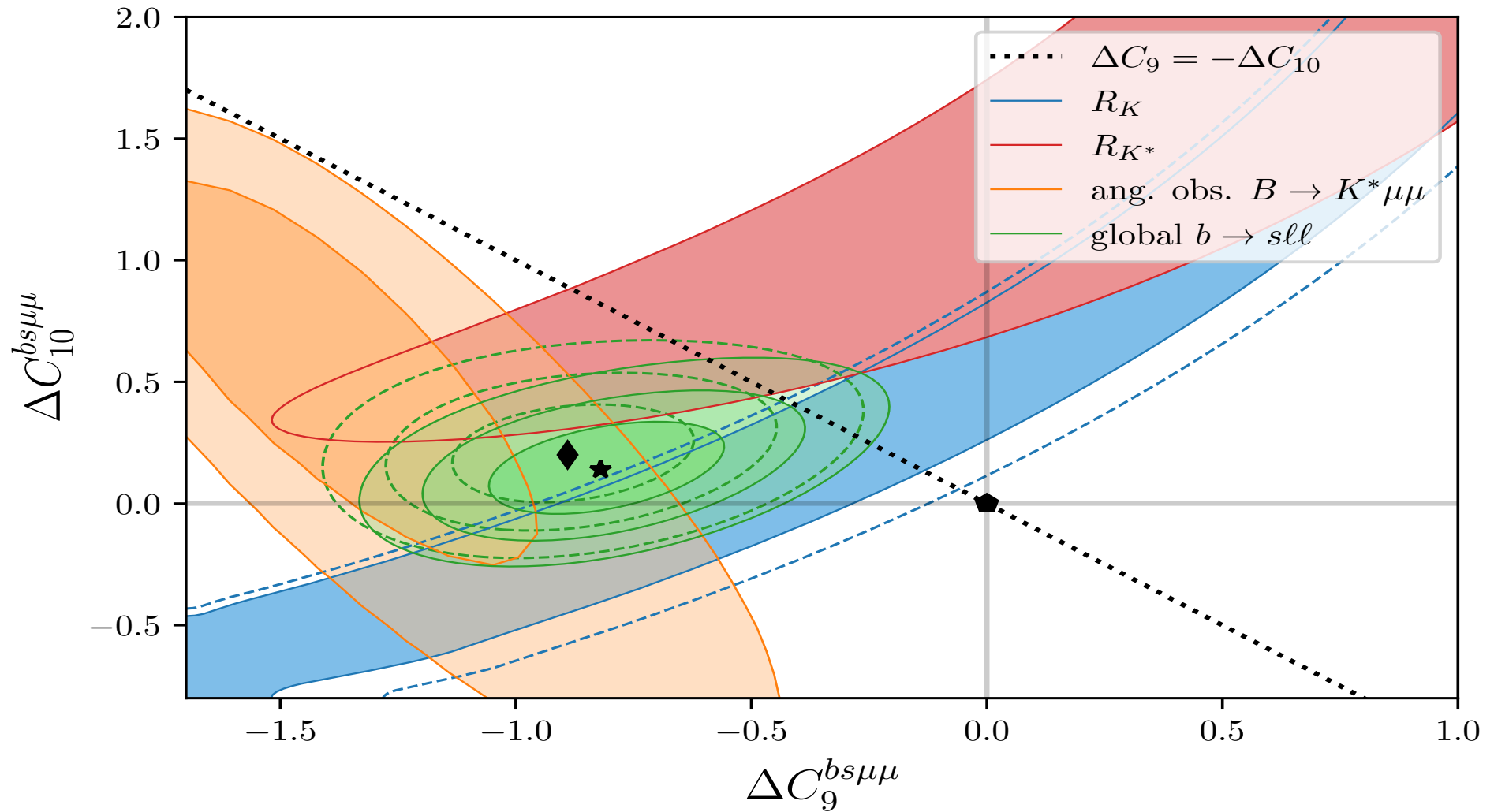
$$\mathcal{H}_{\text{eff}} = -4 \frac{G_F}{\sqrt{2}} V_{tb} V_{ts}^* \sum_i C_i(\mu) O_i(\mu), \quad G_F/\sqrt{2} = g^2/(8m_W^2) \quad (\text{"WET"})$$

$$O_9^\ell = [\bar{s}\gamma_\mu P_L b] [\bar{\ell}\gamma^\mu \ell], \quad O_{10}^\ell = [\bar{s}\gamma_\mu P_L b] [\bar{\ell}\gamma^\mu \gamma_5 \ell], \quad \ell = e, \mu, \tau$$

$$C_{9\text{ SM}} \simeq 4.1, C_{10\text{ SM}} \simeq -4.2 \text{ (lepton universal)} \quad C_i^\ell = C_{i\text{ SM}} + \Delta C_i^\ell$$

see talks by M.Bordone and M.Kreps at this meeting

2021 global $b \rightarrow s$ fit (2104.00015)



$\sim 6\sigma$ pull! (solid green lines, incl R_K from LHCb-March '21)

Moving ahead ... getting more global

towards more global approach across the flavors $s, c, b, t...$

NA 62	s
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ATLAS, CMS	b, t

The tool to achieve a cross community global analysis are effective field theories: Study correlations among multi-observables from different experiments ($B \rightarrow K^{(*)} \mu\mu, B_s \rightarrow \mu\mu, B \rightarrow X_s \gamma$) in WET (the global $b \rightarrow s$ -fit aka C_7, C_9, C_{10} -fits). [ongoing precision program](#)

Use SMEFT to include tops, and exploit unbroken SM symmetries $SU(2)_L \times U(1)_Y$ as a lab for flavor links.

$$\mathcal{L}_{\text{SMEFT}} \supset \mathcal{L}_{\text{SM}} + \sum_i \frac{C_i}{v^2} \mathcal{O}_i \quad \text{SMEFT operators include tops}$$

joint top and beauty fits: why they work

1. The B-anomalies require NP in semileptonic 4-fermion operators

$$\bar{s}_L \gamma_\mu b_L \bar{\mu}_L \gamma^\mu \mu_L$$

2. In SMEFT, these are of type^a

$$\bar{Q} \gamma_\mu Q \bar{L} \gamma^\mu L, \quad \bar{Q} \gamma_\mu \tau^I Q \bar{L} \gamma^\mu \tau^I L$$

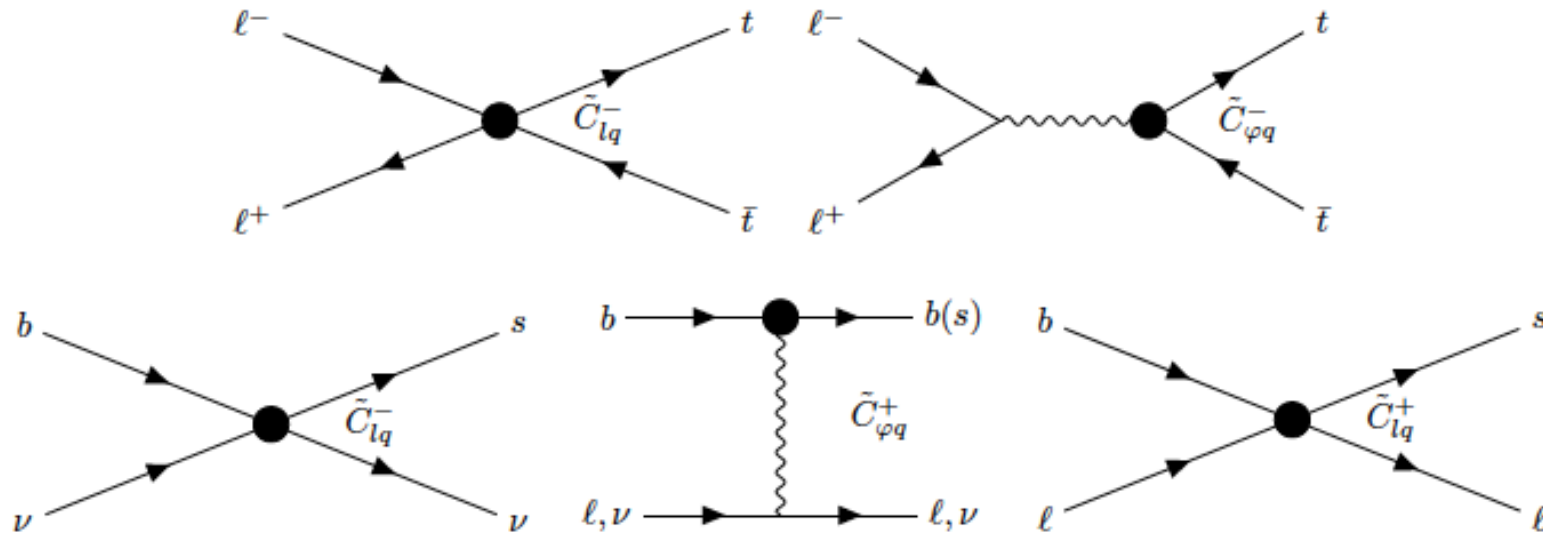
with, by $SU(2)$, $Q_3 = (t_L, b_L)$ and $L = (\nu, \ell_L)$ and so on. That is, a single coupling for different left-handed fermions.

$$C_{lq}^{(1)} \cdot \bar{Q} Q \bar{L} L = C_{lq}^{(1)} \cdot (\bar{t} t \nu \nu + \bar{b} b \ell \ell + \bar{b} b \nu \nu + \bar{t} t \ell \ell)$$

$$C_{lq}^{(3)} \cdot \bar{Q} \tau^3 Q \bar{L} \tau^3 L = C_{lq}^{(3)} \cdot (\bar{t} t \nu \nu + \bar{b} b \ell \ell - \bar{b} b \nu \nu - \bar{t} t \ell \ell)$$

3. It follows a link* between up-type and down-type quarks, as well as charged leptons and neutrinos.

* This link provides test of lepton universality with $B \rightarrow K^{(*)} \nu \bar{\nu}$, or $c \rightarrow u \nu \bar{\nu}$, 2010.02225, 2109.01675. ^a NP heavier than EWK



SMEFT coefficients $C^\pm = C^{(1)} \pm C^{(3)}$ top and beauty, leptons and neutrinos, linked and complementary; **flat directions are removed**

$b \rightarrow s\mu\mu$ (LHC), probes C^+

$b \rightarrow s\nu\nu$ (BelleII), probes C^-

$e^+e^- \rightarrow t\bar{t}$ (CLIC-like), probes C^- — quark flavor link implied $C_{23} = V_{tb}V_{ts}^*C_{33}$, lepton universality,....

11 dim 6 operators in fit [2012.10456](#) . Penguins, dipole operators

$$O_{\varphi q}^{(1)} = \left(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi \right) (\bar{q}_L \gamma^\mu q_L) , \quad O_{\varphi q}^{(3)} = \left(\varphi^\dagger i \overleftrightarrow{D}_\mu^I \varphi \right) (\bar{q}_L \tau^I \gamma^\mu q_L) ,$$

$$O_{\varphi u} = \left(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi \right) (\bar{u}_R \gamma^\mu u_R) , \quad O_{uG} = (\bar{q}_L \sigma^{\mu\nu} T^A u_R) \tilde{\varphi} G_{\mu\nu}^A ,$$

$$O_{uB} = (\bar{q}_L \sigma^{\mu\nu} u_R) \tilde{\varphi} B_{\mu\nu} , \quad O_{uW} = (\bar{q}_L \sigma^{\mu\nu} \tau^I u_R) \tilde{\varphi} W_{\mu\nu}^I ,$$

and semileptonic four-fermion operators

$$O_{lq}^{(1)} = (\bar{l}_L \gamma_\mu l_L) (\bar{q}_L \gamma^\mu q_L) , \quad O_{lq}^{(3)} = (\bar{l}_L \gamma_\mu \tau^I l_L) (\bar{q}_L \gamma^\mu \tau^I q_L) , \quad O_{qe} = (\bar{q}_L \gamma_\mu q_L) (\bar{e}_R \gamma^\mu e_R) ,$$

$$O_{eu} = (\bar{e}_R \gamma_\mu e_R) (\bar{u}_R \gamma^\mu u_R) , \quad O_{lu} = (\bar{l}_L \gamma_\mu l_L) (\bar{u}_R \gamma^\mu u_R) .$$

Corresponding Wilson coefficients have up to four **flavor indices**,

for instance $C_{lq}^{(1)kl ij} \cdot (\bar{l}_{Lk} \gamma_\mu l_{Ll}) (\bar{q}_{Li} \gamma^\mu q_{Lj})$, $i, j, k, l = 1, 2, 3$.

Quark flavor patterns in operators: $\bar{q}_{Li}(\dots)q_{Lj}$, $\bar{q}_{Li}(\dots)u_{Rj}$ and $\bar{u}_{Ri}(\dots)u_{Rj}$.

Top-(beauty)-philic flavor pattern: only $C^{i=3,j=3}$ switched on.

Consider second-third generation only

Top-(beauty)-philic: $C_x^{ij} = C_x^{33} \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}$ for all 11 ops O_x .

Flavor mixing for doublets q_L : $V_{CKM} = V_u V_d^\dagger$. In up-mass basis $V_u = 1$. $d_L^{\text{mass}} = V_{CKM} d_L^{\text{flavor}}$

all $\bar{q}_{Li}(\dots)q_{Lj}$ ops:

$$C_{lq}^{(1,3)}, C_{\varphi q}^{(1,3)}, C_{qe} \propto \begin{pmatrix} |V_{ts}|^2 & V_{tb} V_{ts}^* \\ h.c. & |V_{tb}|^2 \end{pmatrix} \sim \begin{pmatrix} 0 & -0.04 \\ -0.04 & 1 \end{pmatrix}$$

tree level FCNCs; synergies between **top** and **$b \rightarrow s$** anomalies

1. most of today's data, e.g., $b \rightarrow s\ell^+\ell^-$, is for $\ell = \mu$. Therefore, most of the results are "lepton-specific" $k = l = 2$.

2. notable exceptions are bounds on dineutrino modes

$$B(B \rightarrow K^{(*)}\nu\bar{\nu}) = \sum_{k,l} B(B \rightarrow K^{(*)}\nu_k\bar{\nu}_l), \text{ which are flavor-summed.}$$

3. To include 2., we assume lepton universality. So, in the semileptonic 4-fermion operators, we assume for the lepton flavor $C^{kl} \propto \delta_{kl}$.

(in view of 1., this is only a mild assumption, however, turns out that $B(B \rightarrow K^{(*)}\nu\bar{\nu})$ in particular when observed, is an important constraint)

4. In view of current tensions with R_K etc, it is desirable to perform lepton-specific fits for $ee, \mu\mu (\tau\tau)$ operators as well as LFV ones.

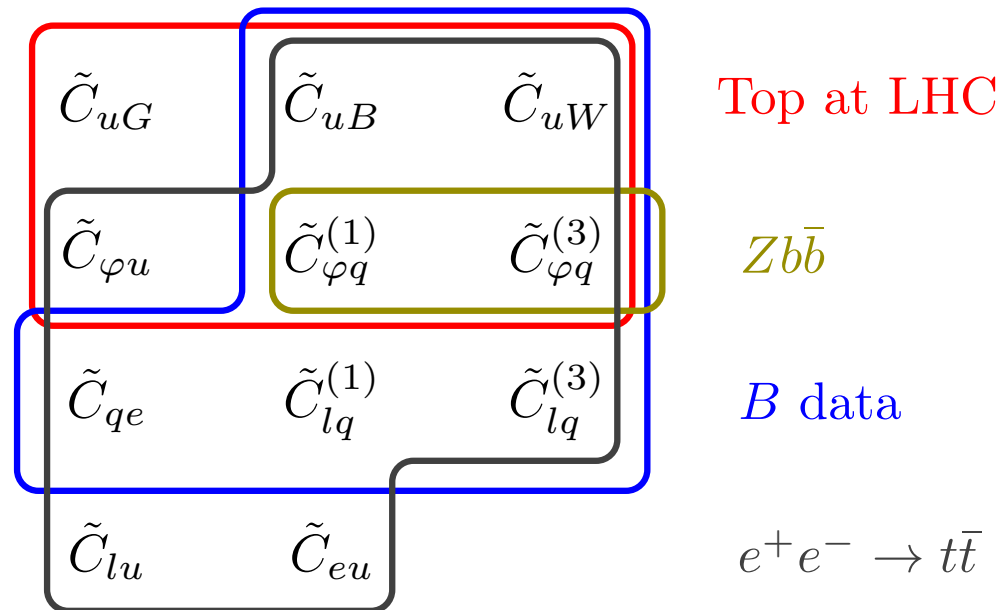
choose your initial state: e^+e^- -collider, muon collider are complementary

top and beauty synergies – a global fit

procedure:

scan 11 C_i at $\Lambda = 1$ TeV. 1-loop RGE to m_t, m_W . Matching onto WET, computation of b -observables, flavio, wilson tools

confronting to data; EFT-fitter



Global top- b fit: Input and sensitivities

Process	Observable	Two-fermion operators	sl. four-fermion operators
$pp \rightarrow t\bar{t}$	σ^{inc}	\tilde{C}_{uG}	-
$pp \rightarrow t\bar{t}\gamma$	σ^{fid}	$\tilde{C}_{uB}, \tilde{C}_{uW}, \tilde{C}_{uG}$	-
$pp \rightarrow t\bar{t}Z$	σ^{inc}	$\tilde{C}_{uB}, \tilde{C}_{uW}, \tilde{C}_{uG}, \tilde{C}_{\varphi q}^-, \tilde{C}_{\varphi u}$	-
$t \rightarrow bW$	$F_{0,L}$	\tilde{C}_{uW}	-
Top decay	Γ_t	$\tilde{C}_{\varphi q}^{(3)}, \tilde{C}_{uW}$	-
$Z \rightarrow b\bar{b}$	$A_{FB}^b, R_b, \sigma_{\text{had}}$	$\tilde{C}_{\varphi q}^+$	-
$b \rightarrow s\gamma$	BR	$[\tilde{C}_{uB}], [\tilde{C}_{uW}], \{\tilde{C}_{uG}\}, [\tilde{C}_{\varphi q}^{(3)}]$	-
$b \rightarrow sl^+l^-$	BR, $A_{FB}, P_i^{(l)}, \dots$	$[\tilde{C}_{uB}], [\tilde{C}_{uW}], \{\tilde{C}_{uG}\}, \tilde{C}_{\varphi q}^{+(*)}, [\tilde{C}_{\varphi q}^{(3)}]$	$\tilde{C}_{lq}^{+(*)}, \tilde{C}_{qe}^{(*)}$
$b \rightarrow s\nu\bar{\nu}$	BR	$\tilde{C}_{\varphi q}^{+(**)}$	$\tilde{C}_{lq}^{-(*)}$
Mixing	ΔM_s	$[\tilde{C}_{uW}], \{\tilde{C}_{uG}\}, [\tilde{C}_{\varphi q}^{(1,3)}]$	-
$e^+e^- \rightarrow t\bar{t}$	σ, A_{FB}	$\tilde{C}_{uB}, \tilde{C}_{uW}, \{\tilde{C}_{uG}\}, \tilde{C}_{\varphi q}^-, \tilde{C}_{\varphi u}$	$\tilde{C}_{eu}, \tilde{C}_{qe}, \tilde{C}_{lu}, \tilde{C}_{lq}^-$

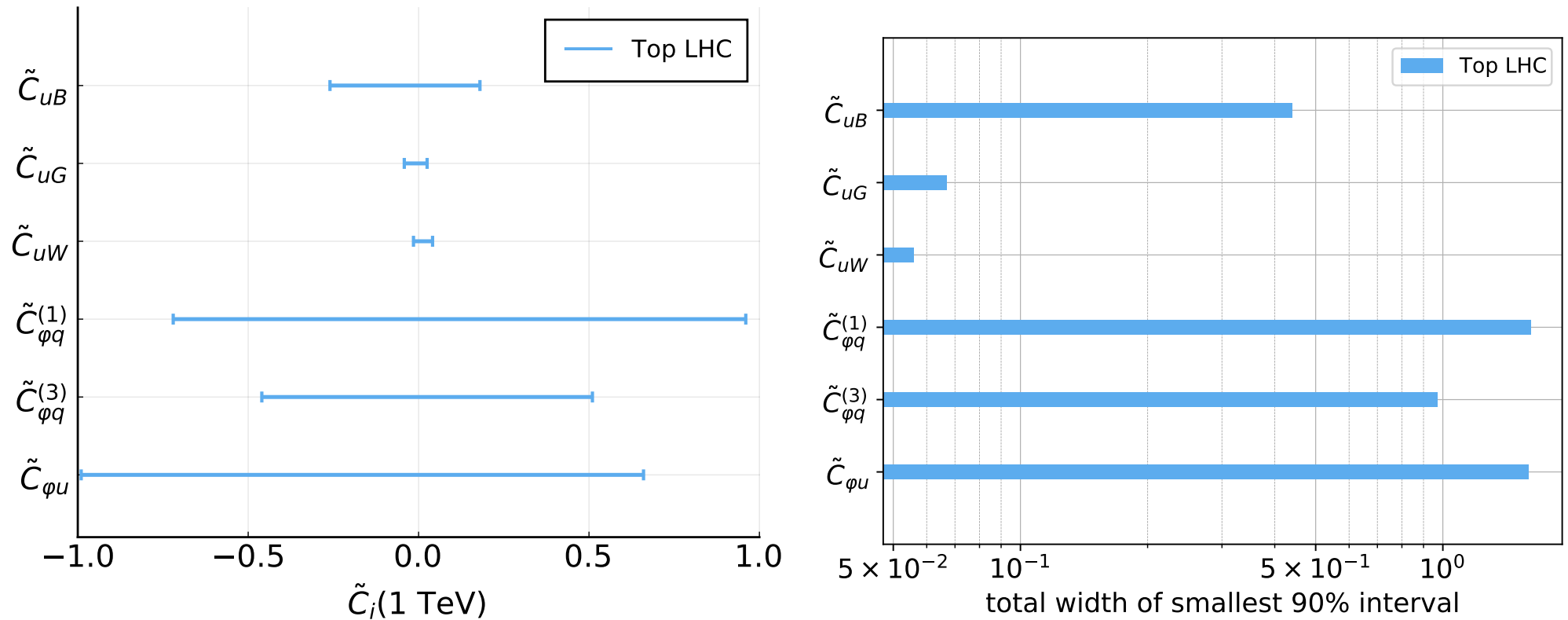


Figure 1: Constraints on SMEFT \tilde{C}_i at $\Lambda = 1$ TeV from top measurements ; marginalized smallest intervals containing 90 % posterior probability (left) and the total width of these intervals (right). For all coefficients we choose a uniform distribution in $-1 \leq \tilde{C}_i \leq 1$ as the prior. **6 WCs constrained, all penguins $C_{\varphi x}$ poorly**

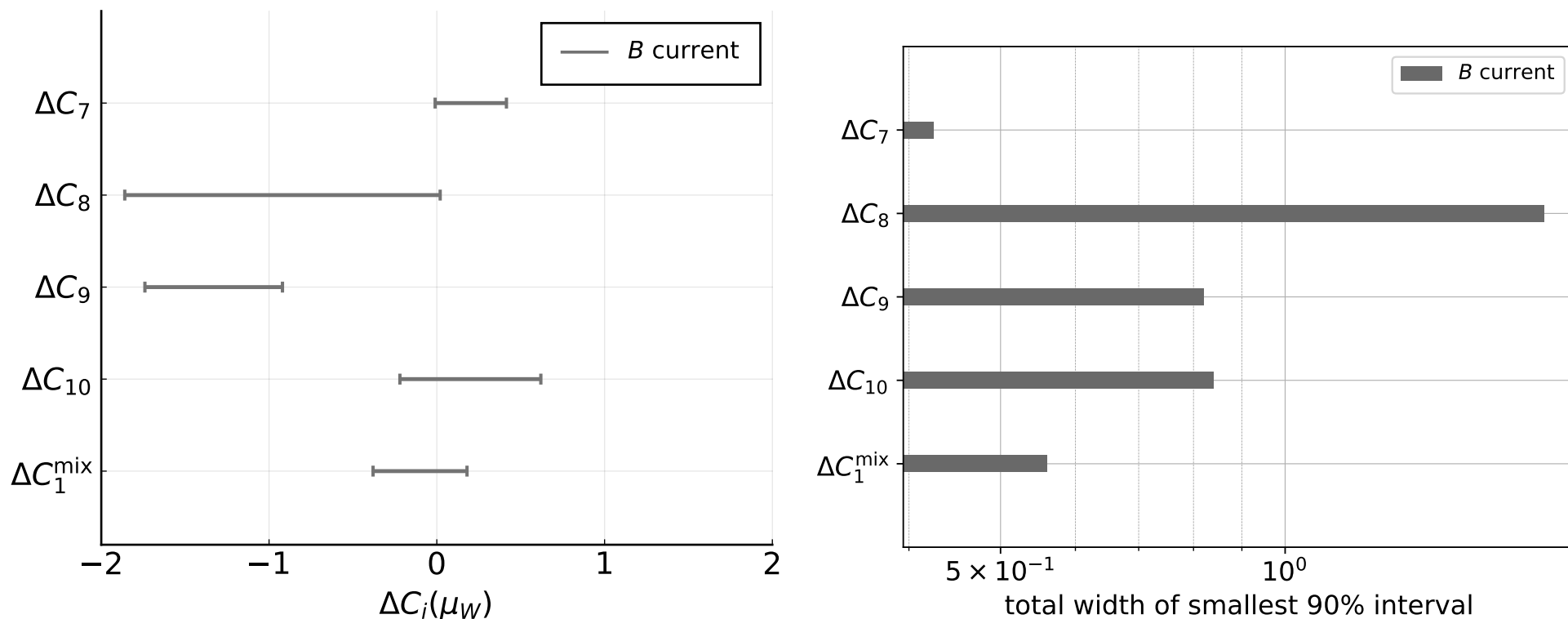


Figure 2: Constraints on WET coefficients ΔC_i at the scale $\mu = \mu_W$. **5 WET-WCs constrained, new physics hint in C_9**

$b \rightarrow s$ and $Zb\bar{b}$ -output: now, SMEFT

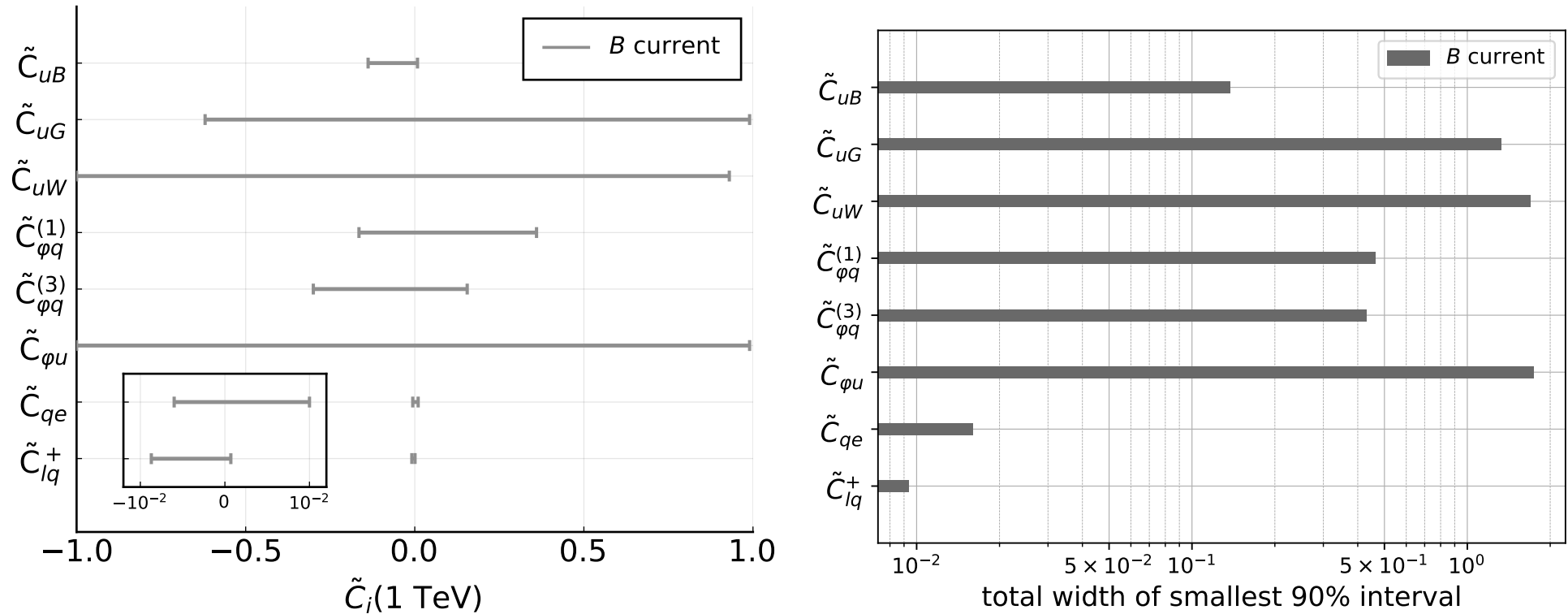


Figure 3: Constraints on SMEFT coefficients (lower plots) from measurements of B observables. Shown are the marginalized smallest intervals containing 90 % of the posterior probability (left) and the total width of these intervals (right) obtained in a fit of five WET (upper plots) and eight SMEFT coefficients (lower plots) to the data. **8 SMEFT WCs constrained, incl 2 sl 4-fermis with tight constraints, others (penguins, dipoles) except C_{uB} not too great**

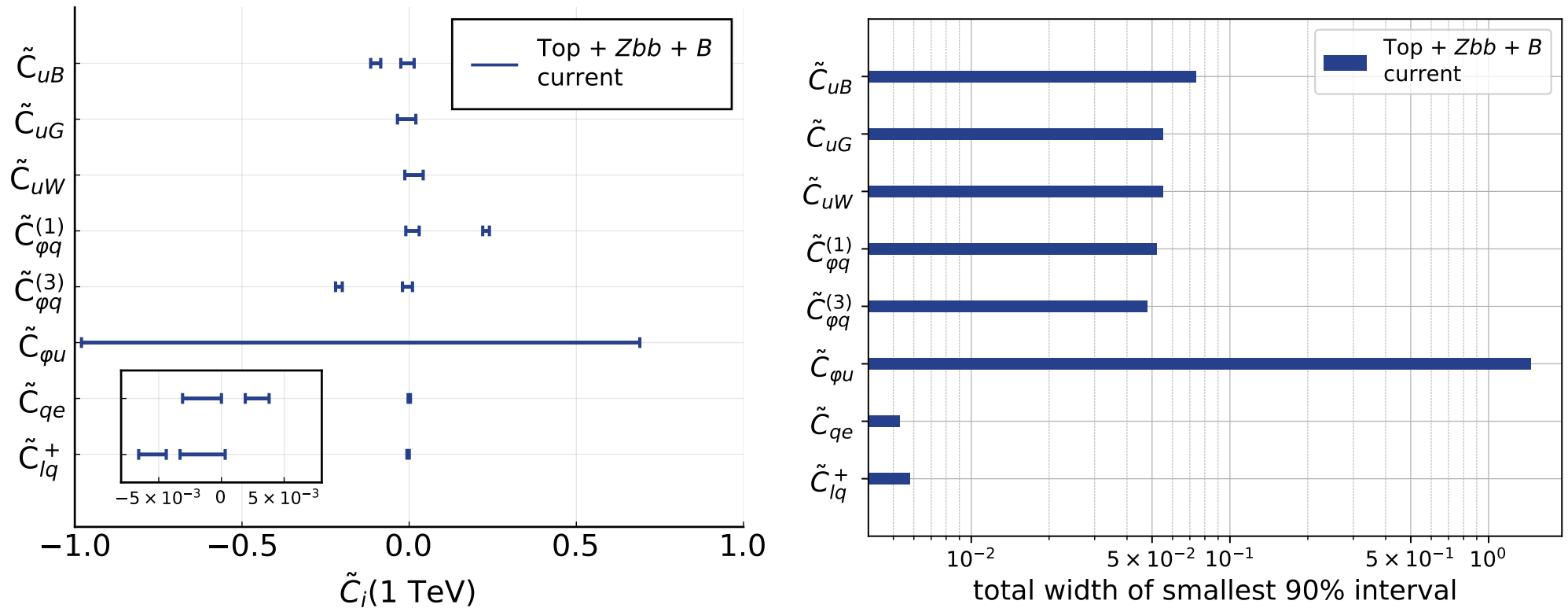


Figure 4: Constraints on SMEFT coefficients \tilde{C}_i obtained in a fit to top-quark data, Zbb data, and B physics data. Shown are smallest intervals containing 90 % posterior probability (left) and total width of these intervals (right). For the prior we assume a uniform distribution over the interval $-1 \leq \tilde{C}_i \leq 1$. **8 WCs constrained, including 2 sl 4-fermis, $C_{lq}^+ \lesssim 10^{-2}$, C_{uB} and penguins improved, $C_{\varphi u}$ still a mess**

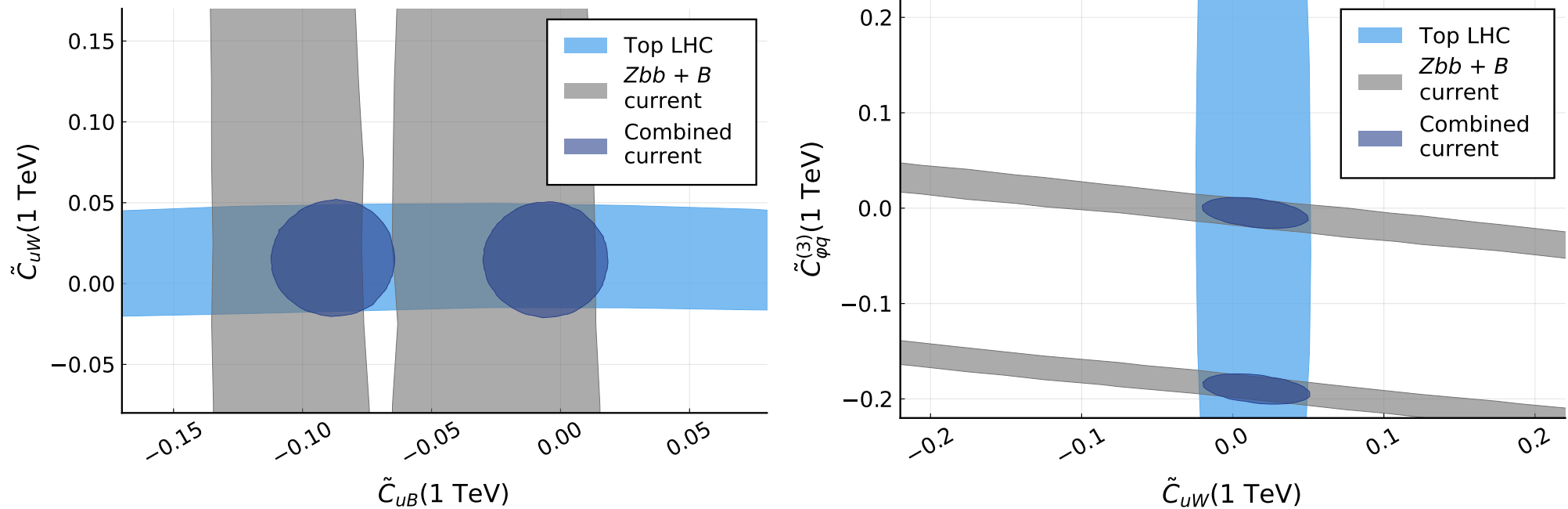


Figure 5: Examples for two-dimensional posterior distributions of SMEFT coefficients \tilde{C}_i obtained in a fit to top-quark data (light blue), B physics data (grey) and the combined dataset including Zbb data (blue). Shown are the smallest intervals containing 90 % of the posterior distribution. For the prior we assume a uniform distribution over the interval $-1 \leq \tilde{C}_i \leq 1$. synergies at work, see also [1909.13632](https://arxiv.org/abs/1909.13632) for C_{uB}

top- b synergies near: w Belle II+HL-LHC

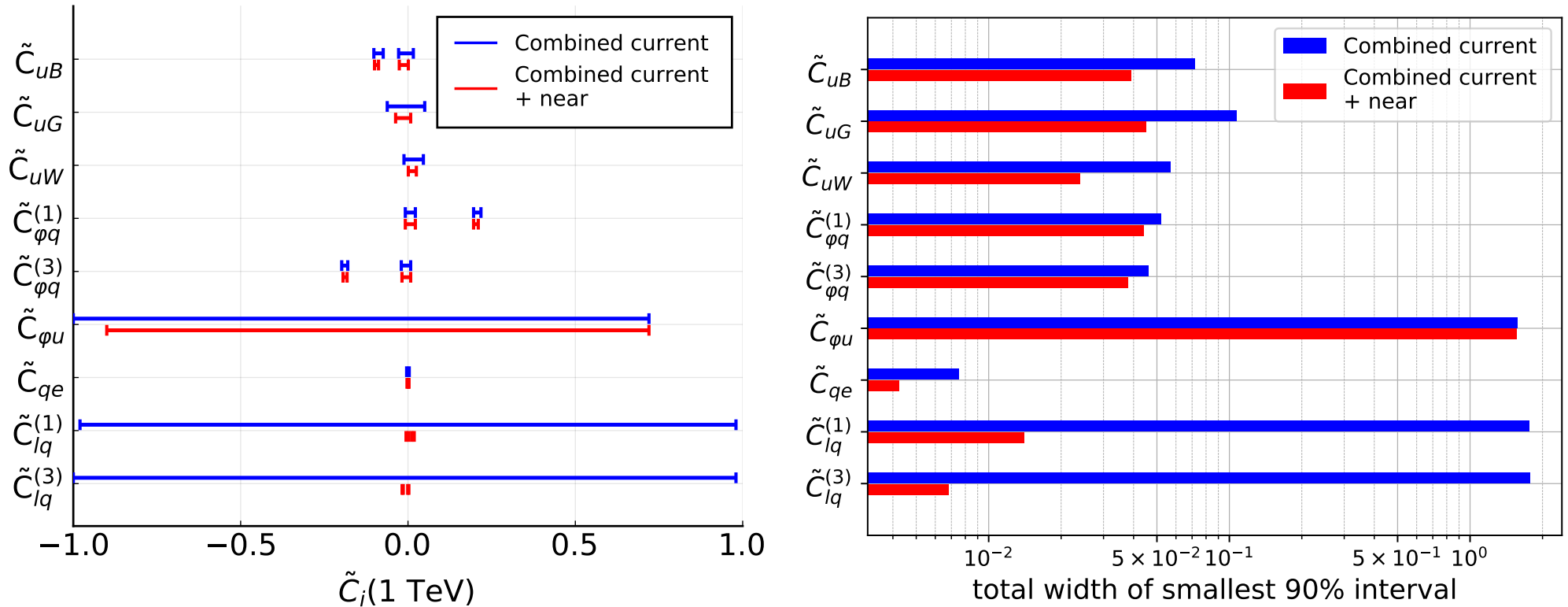
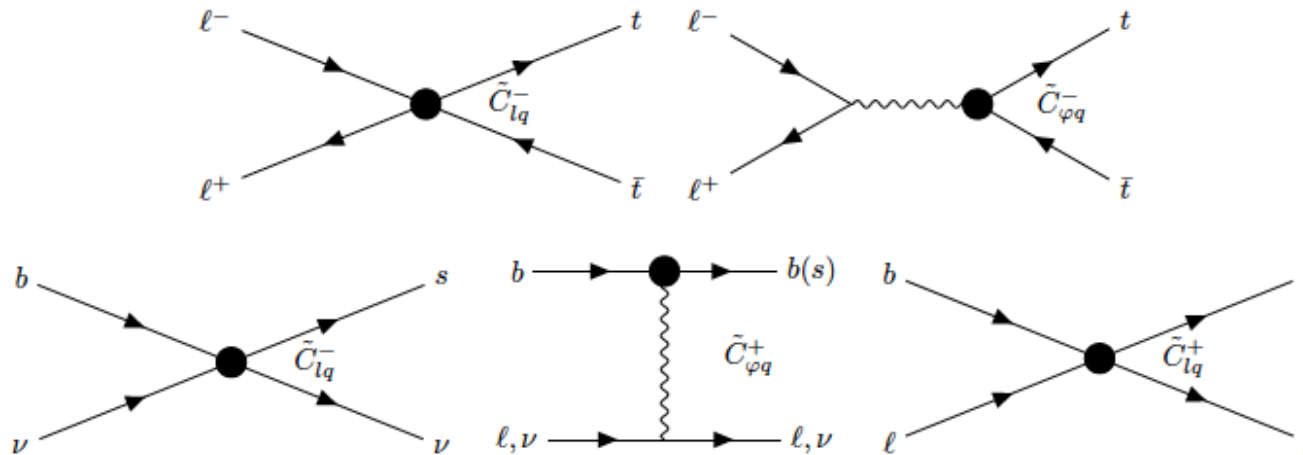


Figure 6: Constraints on coefficients \tilde{C}_i from fits to current top-quark and B measurements. (blue) and projections of top-quark and B observables. (red). Shown are the marginalized smallest intervals containing 90 % posterior probability (left) and the total widths of these intervals (right). **9 WCs constrained; both C_{lq}^{\pm} resolved; BSM solution due to b -anomalies visible in $C_{lq}^{(1)}$ and $C_{lq}^{(3)}$.**

top-*b* synergies future (w CLIC)

Observable	\sqrt{s}	Polarization (e^-, e^+)	Ref. experiment
$\sigma_{t\bar{t}}, A_{\text{FB}}$	380 GeV	(80%, 0)	Abramowicz:2018
$\sigma_{t\bar{t}}, A_{\text{FB}}$	1.4 TeV	(80%, 0)	Abramowicz:2018
$\sigma_{t\bar{t}}, A_{\text{FB}}$	3 TeV	(80%, 0)	Abramowicz:2018

Table 1: Observables at different energies and polarizations for $t\bar{t}$ production at CLIC Abramowicz:2018. SM predictions are taken from Durieux:2018tev.



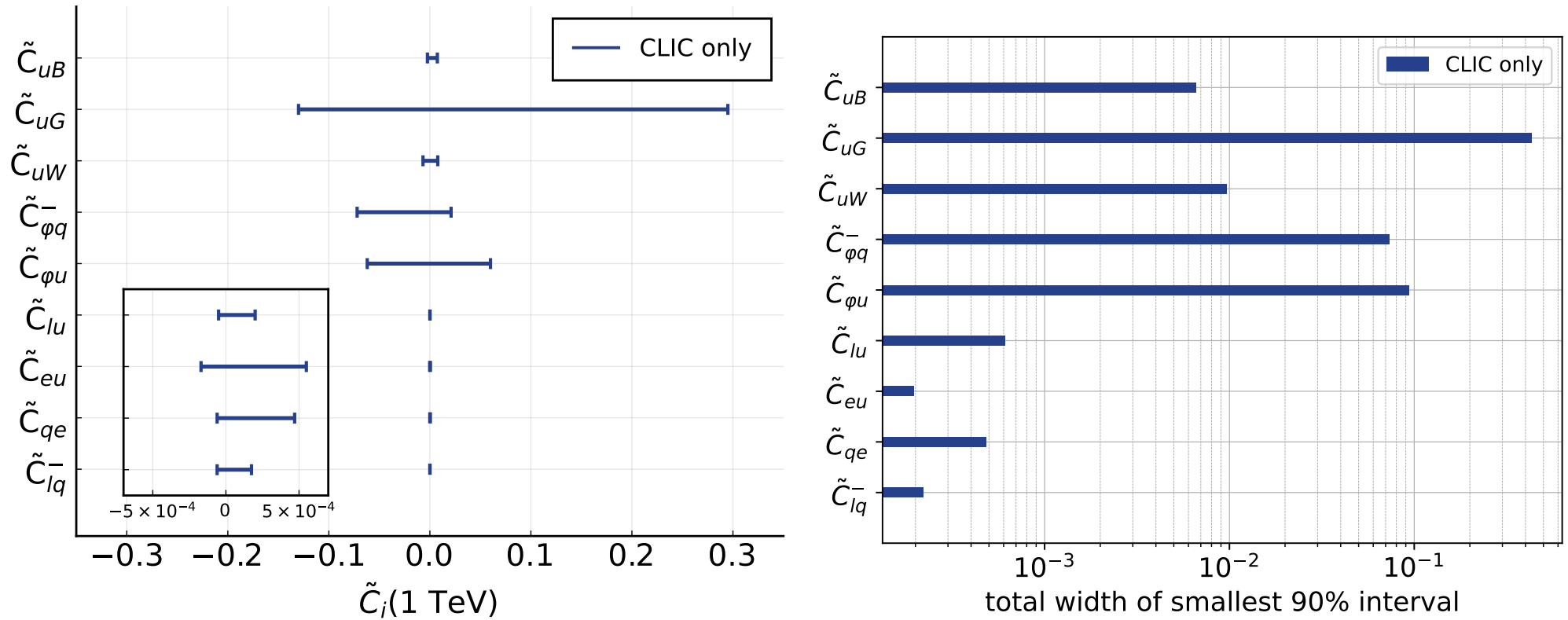


Figure 7: Constraints on coefficients \tilde{C}_i from fits to CLIC observables in Tab. 1. Shown are the marginalized smallest intervals containing 90 % posterior probability (left) and the total widths of these intervals (right). **4 sl 4-fermis; electron-specific; only C_{lq}^- and $C_{\varphi q}^-$**

top- b synergies future (Belle II+HL-LHC+CLIC)

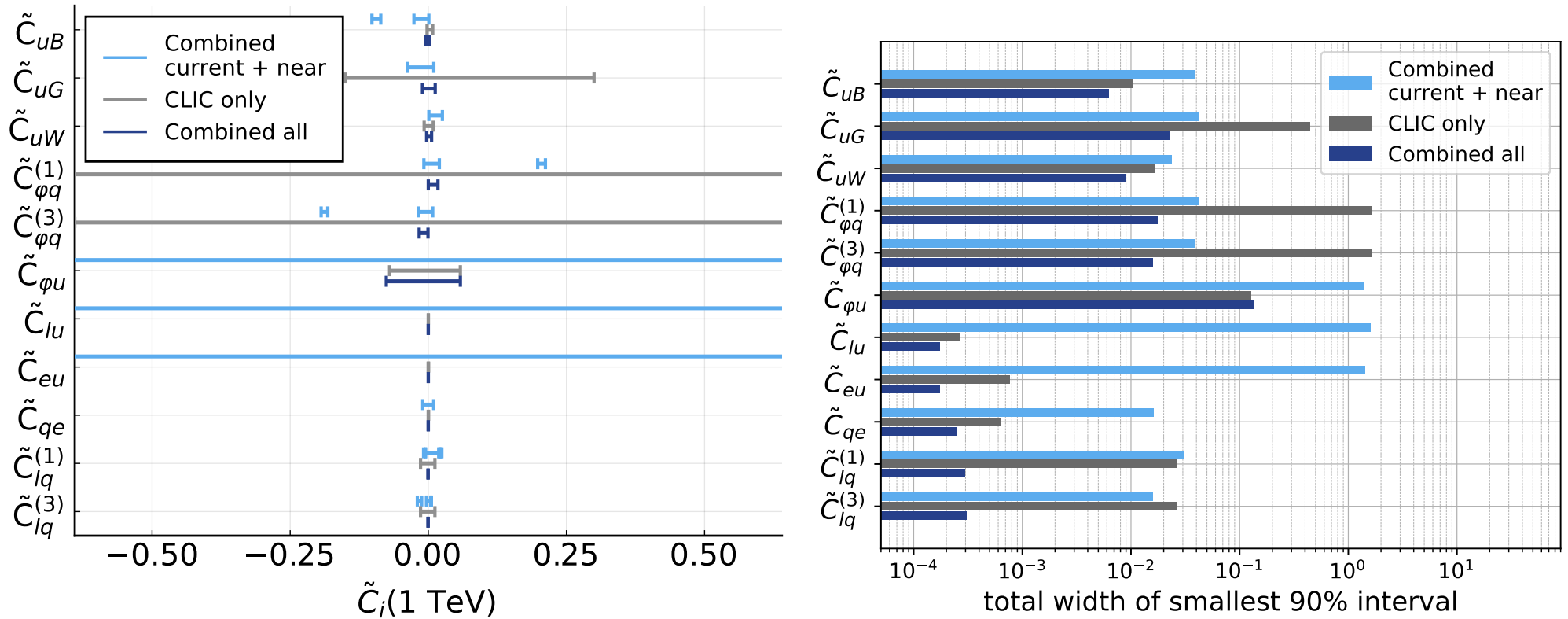
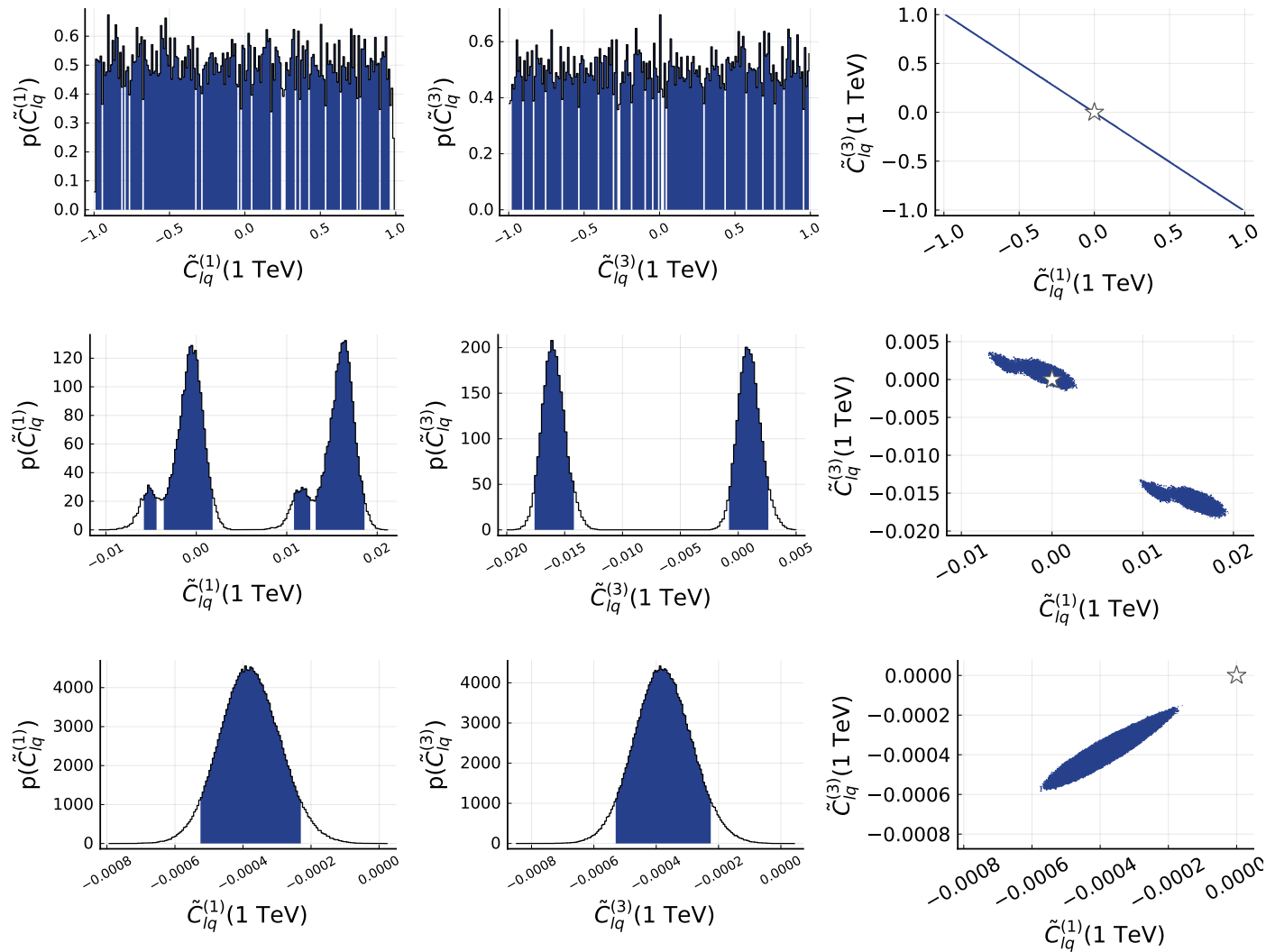


Figure 8: Constraints on coefficients \tilde{C}_i from fits to top-quark and B data and near-future projections at HL-LHC and Belle II and CLIC future projections. Shown are the marginalized smallest intervals containing 90 % posterior probability (left) and the total widths of these intervals (right). synergies for semileptonic 4-fermi operators

b-anomalies today, near, far (Belle II+HL-LHC+CLIC)



1D and 2D (right) projections of the posterior distribution for $\tilde{C}_{lq}^{(1)}$ and $\tilde{C}_{lq}^{(3)}$; star denotes SM. **b-anomalies become visible in future fit**

- Synergies between beauty and top are happening [Fox et al 2007](#), [Bissmann '21](#), [Brugisser '21](#) and do work!
- More top-observables could have been considered in fit, however, here bin-to-bin correlations for distributions are not publicly available, and those matter in SMEFT fits [1912.06090](#)
- Semileptonic 4-fermion operators connect top to b -anomalies
comment 1: CMS reports constraints on semileptonic four-fermion operators from tops with leptons [2012.04120](#); weaker than our bounds for C_{qe}, C_{lq}^- , but CMS also probes C_{eu}, C_{lu} which are presently unconstrained.
comment 2: Top-FCNC couplings $ctll'$ and $utll'$ for all $ll' = ee, \mu\mu, \tau\tau, e\mu, e\tau, \mu\tau$ are constrained by $B \rightarrow K^{(*)}\nu\bar{\nu}$ and $B^+ \rightarrow (\pi^+, \rho^+)\nu\bar{\nu}$ limits due to $SU(2)_L$ [2109.01675](#).
Constraints are stronger than those available for $ttee, tt\mu\mu$ from CMS.

Data source	$ \kappa_A^{q_1 q_2 \ell \ell'} $	ee	$\mu\mu$	$\tau\tau$	$e\mu$	$e\tau$	$\mu\tau$
Rare B decays to Dineutrinos	$ \kappa_R^{bd\ell\ell'} $	210	210	210	210	210	210
	$\kappa_L^{tull\ell'}$	$[-197, 223]$	$[-197, 223]$	$[-197, 223]$	210	210	210
	$ \kappa_R^{bs\ell\ell'} $	35	35	35	32	32	32
	$\kappa_L^{tc\ell\ell'}$	$[-22, 47]$	$[-22, 47]$	$[-22, 47]$	32	32	32
Rare B decays to Charged dileptons	$\kappa_R^{bd\ell\ell'}$	~ 10	$[-4, 4]$	~ 2500	~ 20	~ 280	~ 200
	$\kappa_L^{bd\ell\ell'}$	~ 10	$[-8, 2]$	~ 2500	~ 20	~ 280	~ 200
	$\kappa_R^{bs\ell\ell'}$	$\mathcal{O}(1)$	$[0.2, 0.8]$	~ 800	~ 2	~ 50	~ 60
	$\kappa_L^{bs\ell\ell'}$	$\mathcal{O}(1)$	$[-1.6, -1.1]$	~ 800	~ 2	~ 50	~ 60
Drell-Yan	$ \kappa_{L,R}^{bd\ell\ell'} $	578	311	1112	260	800	866
	$ \kappa_{L,R}^{bs\ell\ell'} $	328	177	631	142	486	529
$t + \ell$	$\kappa_L^{tt\ell\ell'}$	$[-196, 243]$	$[-196, 243]$	—	—	—	—

Table 2: Upper limits on bd , bs , tu , tc charged lepton couplings $\kappa_A^{q_1 q_2 \ell \ell'}$, from [2109.01675](#). $t + \ell$ bounds adapted from CMS [2012.04120](#)

- In view of b -anomalies hinting at universality violation lepton specific fits/analyses desirable; **all of them – e, μ, τ, ν , also LFV**