

# Tau LFV at the LHC

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On behalf of the CMS, ATLAS and LHCb Collaborations

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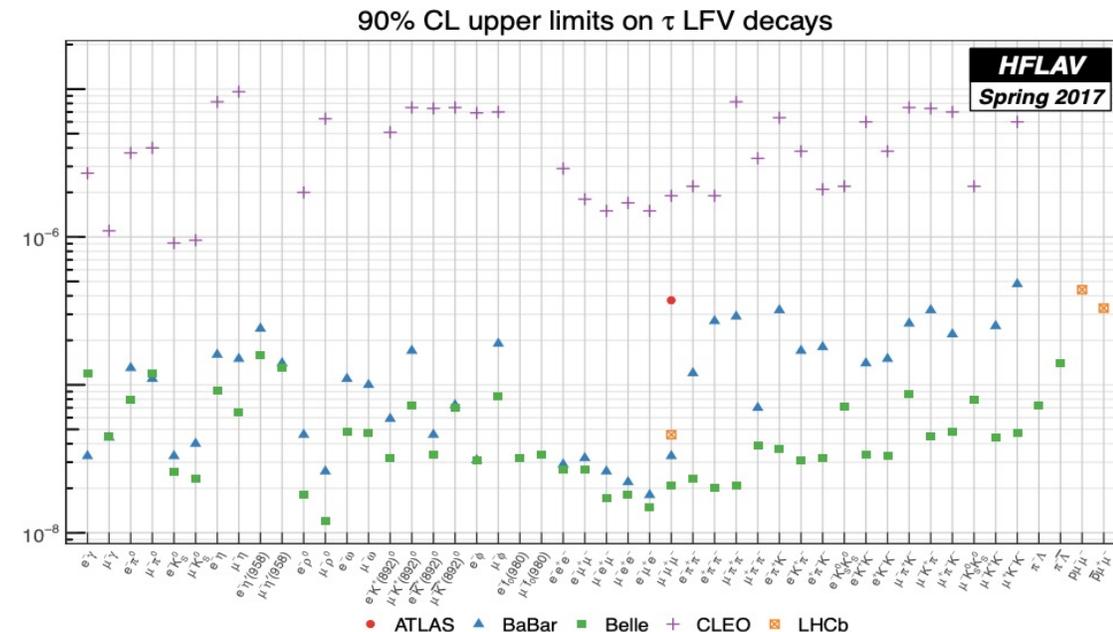
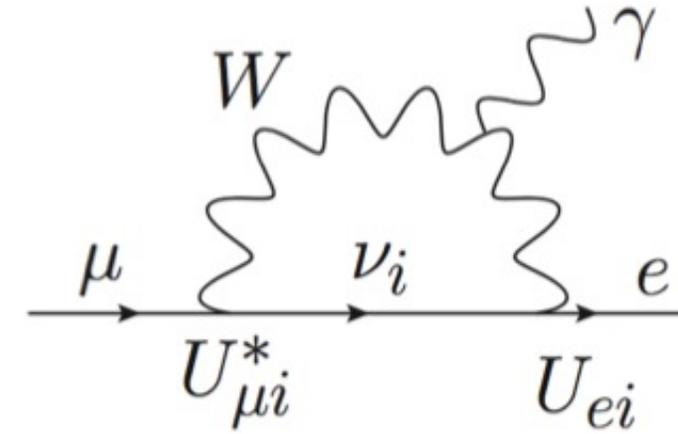


\*Now at University of Minnesota

- Motivation for CLFV searches
- Search for charged-lepton-flavour violation in Z-boson decays with the ATLAS detector ([Nature Physics volume 17, pages 819–825 \(2021\) \[Run 2\]](#), [arXiv:2105.12491v1 \[Run 1, Run 2\]](#))
- Search for lepton-Flavour violating decays of the Higgs boson to  $\mu\tau$  and  $e\tau$  in proton-proton collisions at  $\sqrt{s} = 13$  TeV ([Phys. Rev. D 104 \(2021\) 032013 \[Run 2\]](#), [JHEP06\(2018\)001\[Run 2\]](#), [Eur. Phys. J. C \(2017\) 77:70 \[Run 2\]](#))
- Search for the lepton flavour violating decay  $B^+ \rightarrow K^+\mu^-\tau^+$  using  $B^{*0}_{s2}$  decays ([JHEP 06 \(2020\) 129 \[Run 1, Run2\]](#))
- Search for the lepton-flavour-violating decays  $B_s^0 \rightarrow \tau^\pm\mu^\mp$  and  $B^0 \rightarrow \tau^\pm\mu^\mp$  ([Phys.Rev.Lett. 123 \(2019\) 211801 \[Run 1\]](#))
- CLFV decay of  $\tau \rightarrow \mu\mu\mu$  ([JHEP 02 \(2015\) 121 \[Run 1\]](#), [Eur. Phys. J. C 76 \(2016\) 232 \[Run 1\]](#), [JHEP 01 \(2021\) 163 \[Run 2\]](#))

# Motivation for CLFV Searches

- Charged Lepton Flavour Violation (CLFV) is a transition among  $e$ ,  $\mu$  and  $\tau$  that doesn't conserve lepton family number
- In SM quarks and neutrinos can undergo Flavour change
- Flavour change in charged leptons is not necessarily forbidden by any fundamental symmetry of the SM; yet no such decay is observed
- Flavour change can occur through neutrino oscillations, but the branching ratios are heavily suppressed  $O(10^{-54})$
- Several decades have been spent to improve the search sensitivity in many CLFV decay channels
- Many BSM theories predict the branching ratios much higher than what SM allows  $O(10^{-8})$ - currently, just in the reach of many detectors
- A discovery of CLFV would mean new physics!

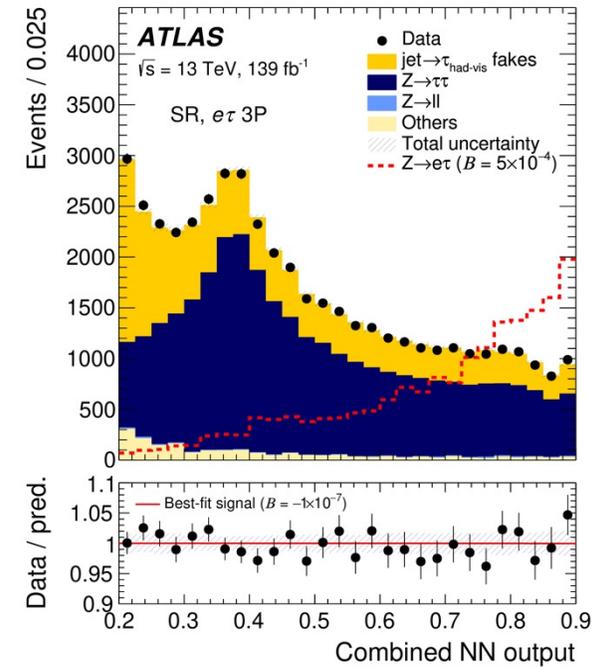
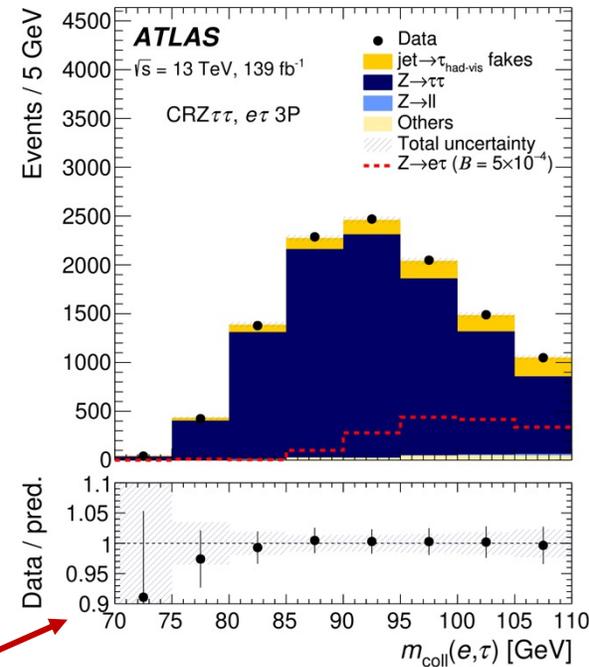
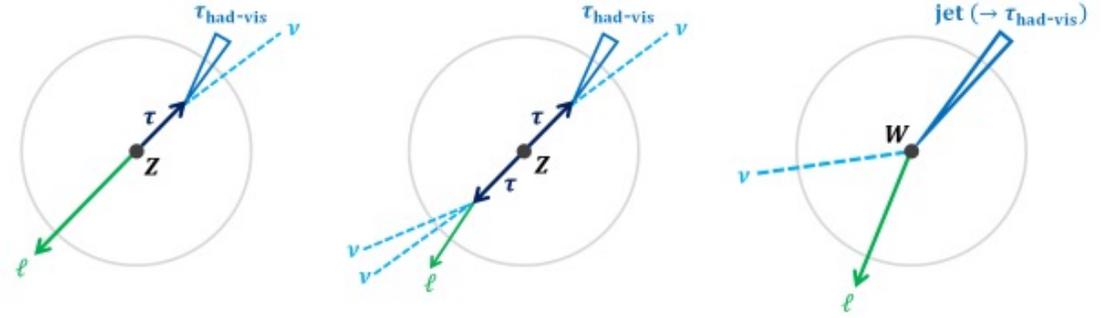


# CLFV Higgs and Heavy Resonance Decays

# $Z \rightarrow \mu\tau_h$ and $Z \rightarrow e\tau_h$ Searches at ATLAS

## Hadronic

- Select isolated lepton and a  $\tau$  candidate (1-prong or 3-prongs) to form a  $l\text{-}\tau_{\text{had-vis}}$  pair
- $m(\tau, E_T^{\text{miss}}) < 35\text{ GeV}$ ,  $m_{\text{vis}}(l, \tau_{\text{had-vis}}) > 60\text{ GeV}$  applied for background suppression
- b-tagged events are excluded to reject top events
- Neural Network is trained to identify signal from backgrounds ( $W$ +jets,  $Z \rightarrow ll$  and  $Z \rightarrow \tau\tau$ )
- High-level variables such as collinear mass ( $m_{\text{coll}}$ ) of  $\tau$  are provided as an input along with momentum components of reconstructed  $l$ ,  $\tau_{\text{had-vis}}$  candidate and  $E_t^{\text{miss}}$
- The overall signal yield is determined by binned maximum likelihood fit in the signal region (SR) and in the control region (CRZ $\tau\tau$ ) enhanced in  $Z \rightarrow \tau\tau$
- A simultaneous binned ML fit to the combined NN output in the and  $m_{\text{coll}}$  in the control region (CRZ $\tau\tau$ ) to constrain the uncertainties



$m_{\text{coll}}$  is the invariant mass of  $e\text{-}\tau\text{-}\nu$  system

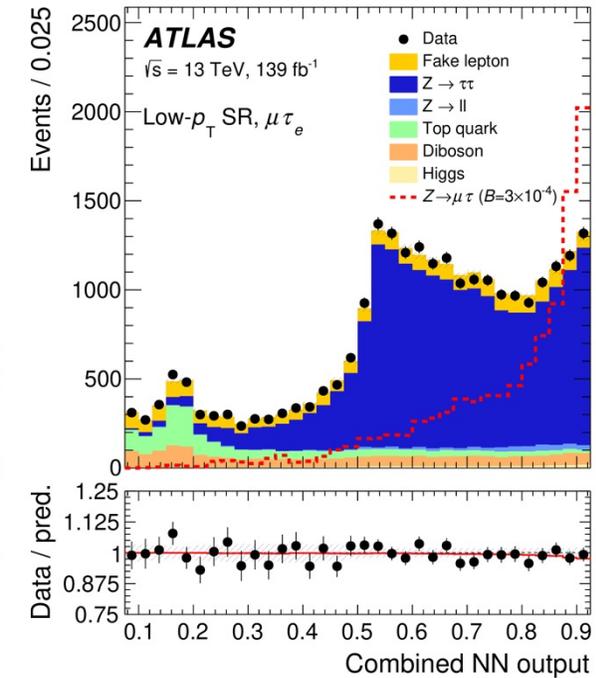
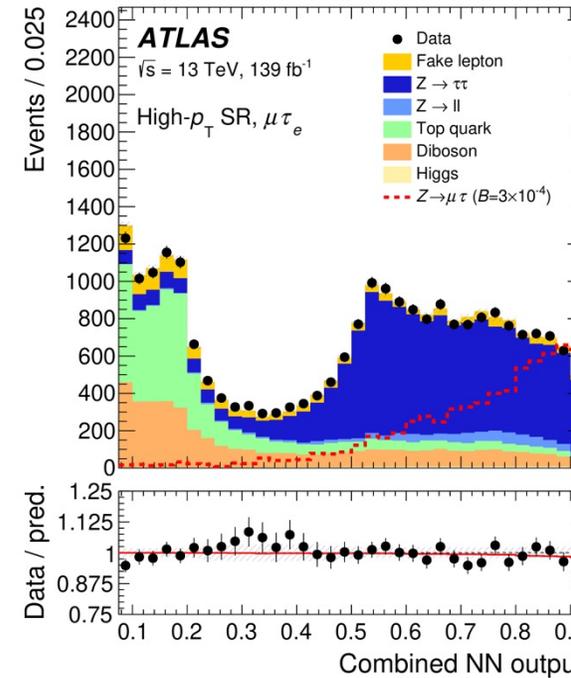
[Nature Physics volume 17, pages 819–825 \(2021\)](#)

# $Z \rightarrow \mu\tau_e$ and $Z \rightarrow e\tau_\mu$ Searches at ATLAS



Leptonic

- Select a pair of opposite flavour isolated leptons
- Reject all  $\tau_{\text{had-vis}}$  candidates
- $m(\tau, E_T^{\text{miss}}) < 35\text{GeV}$ ,  $m(l_0, l_1)$  applied for background suppression
- b-tagged events are excluded to reject top events
- Neural Network is trained to identify signal from backgrounds ( $Z \rightarrow ll$ ,  $Z \rightarrow \tau\tau$ , diboson and top)
- The high-level and low-level variables are similar to hadronic channel, except the  $\tau_{\text{had-vis}}$  properties are replaced by those of the second lepton
- The signal is split into two categories depending on  $p_T$  of the subleading lepton ( $p_T(l_1) < 20$  (25) GeV for  $e\tau_\mu$  ( $\mu\tau_e$ ))
- A similar scheme of statistical analysis is applied as the hadronic channel



[arXiv:2105.12491v1](https://arxiv.org/abs/2105.12491v1)

# $Z \rightarrow \mu\tau$ and $Z \rightarrow e\tau$ Searches at ATLAS



- No statistically significant deviation from the SM predictions is observed
- ATLAS has set the most stringent constraints on LFV Z-boson decays involving  $\tau$  leptons-  $B(e\tau) < 5.0 \times 10^{-6}$  and  $B(\mu\tau) < 6.5 \times 10^{-6}$  at 95% C.L. assuming unpolarized  $\tau$  using Run I and Run II datasets
- The limits vary a little depending on the  $\tau$  polarization scenario
- The results supersede the limits set by LEP over two decades ago
- The precision of the search is mostly limited by the statistical uncertainties

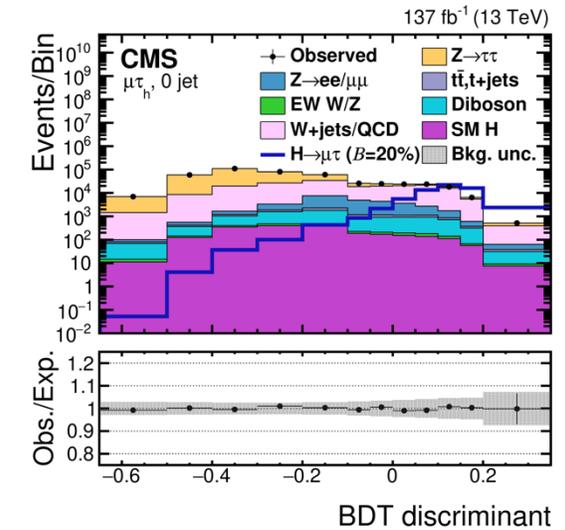
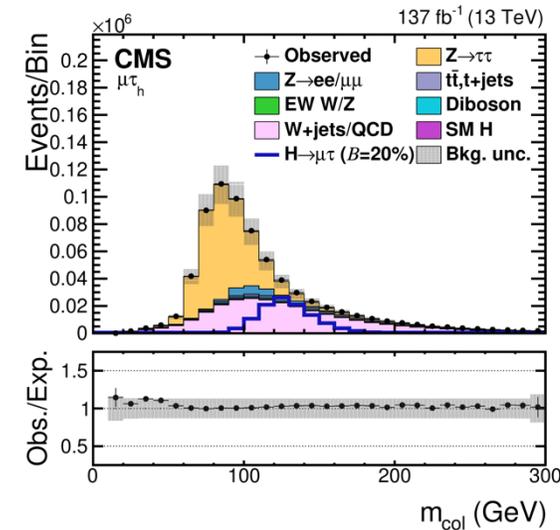
Final state, polarization assumption	Observed (expected) upper limit on $\mathcal{B}(Z \rightarrow \ell\tau)$ [ $\times 10^{-6}$ ]	
	$e\tau$	$\mu\tau$
$\ell\tau_{\text{had}}$ Run 1 + Run 2, unpolarized $\tau$	8.1 (8.1)	9.5 (6.1)
$\ell\tau_{\text{had}}$ Run 2, left-handed $\tau$	8.2 (8.6)	9.5 (6.7)
$\ell\tau_{\text{had}}$ Run 2, right-handed $\tau$	7.8 (7.6)	10 (5.8)
$\ell\tau_{\ell'}$ Run 2, unpolarized $\tau$	7.0 (8.9)	7.2 (10)
$\ell\tau_{\ell'}$ Run 2, left-handed $\tau$	5.9 (7.5)	5.7 (8.5)
$\ell\tau_{\ell'}$ Run 2, right-handed $\tau$	8.4 (11)	9.2 (13)
Combined $\ell\tau$ Run 1 + Run 2, unpolarized $\tau$	5.0 (6.0)	6.5 (5.3)
Combined $\ell\tau$ Run 2, left-handed $\tau$	4.5 (5.7)	5.6 (5.3)
Combined $\ell\tau$ Run 2, right-handed $\tau$	5.4 (6.2)	7.7 (5.3)

[arXiv:2105.12491v1](https://arxiv.org/abs/2105.12491v1)

# $h \rightarrow \mu\tau$ and $h \rightarrow e\tau$ Searches at CMS



- Search is performed using both leptonic and hadronic decay modes  $\tau_\mu$ ,  $\tau_e$  and  $\tau_h$
- For leptonic decays, different flavoured leptons are selected in the  $l'\tau_l$  system due to large DY background
- Hadronic tau decays are reconstructed using the Haddon-Plus-Strips (HPS) algorithm
- Events are divided into different categories depending on the number jets (upto 2 jets)
- Events with 2 jets are separated into two categories depending on the dijet invariant mass to improve sensitivity
- Mass of the higgs candidate estimated using collinear approximation
- BDTs are used to classify signal from background in each category
- A maximum likelihood is performed separately in each category and the best fit branching ratios are obtained

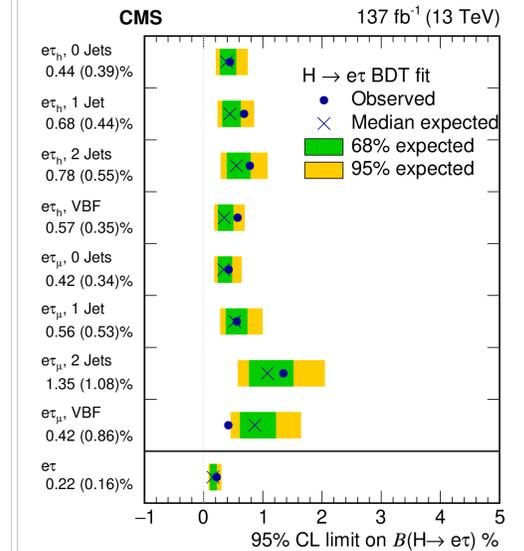
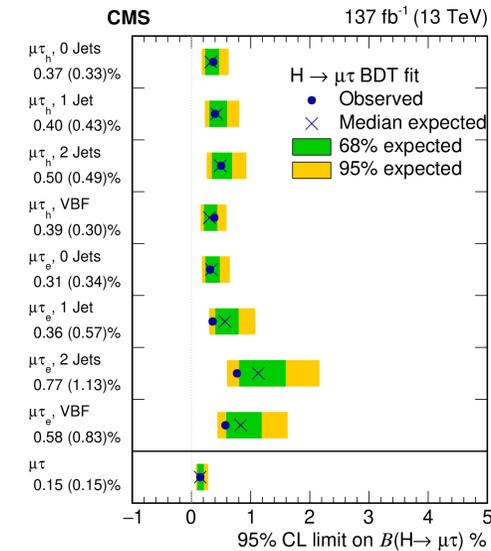


[Phys. Rev. D 104 \(2021\) 032013](https://arxiv.org/abs/2011.03201)

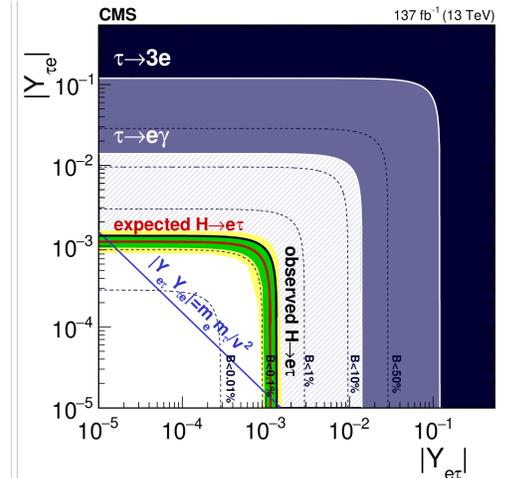
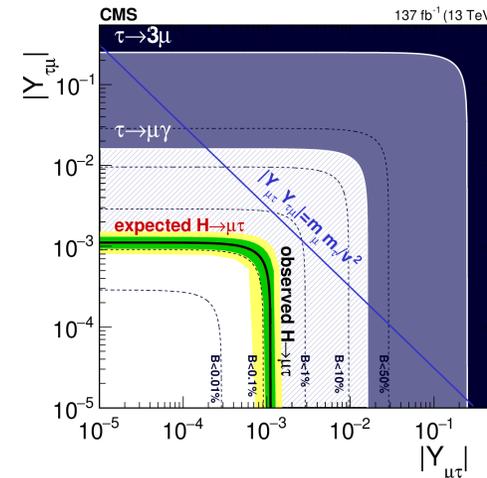
# $h \rightarrow \mu\tau$ and $h \rightarrow e\tau$ Searches at CMS



- No significant excess has been found in both channels in CLFV higgs decays
- The observed (expected) limits on  $B(h \rightarrow \mu\tau) = 0.15$  (0.15) % and  $B(h \rightarrow e\tau) = 0.22$  (0.16) % at the 95% C.L.
- The results are dominated by the systematic uncertainties- bin-by-bin, mis-Id lepton background
- The off-diagonal Yukawa couplings are constrained using the branching ratios-  $\sqrt{|Y_{\mu\tau}|^2 + |Y_{\tau\mu}|^2} < 1.11 \times 10^{-3}$  and  $\sqrt{|Y_{e\tau}|^2 + |Y_{\tau e}|^2} < 1.35 \times 10^{-3}$
- Improvement over previous CMS and ATLAS results



Limits	$h \rightarrow \mu\tau$ (%)	$h \rightarrow e\tau$ (%)
ATLAS <a href="#">JHEP06(2018)001</a>	1.85 (1.24)	1.79 (1.73)
CMS <a href="#">Eur. Phys. J. C (2017) 77:70</a>	0.25 (0.25)	0.61 (0.37)
CMS <a href="#">Phys. Rev. D 104 (2021) 032013</a>	<b>0.15 (0.15)</b>	<b>0.22 (0.16)</b>



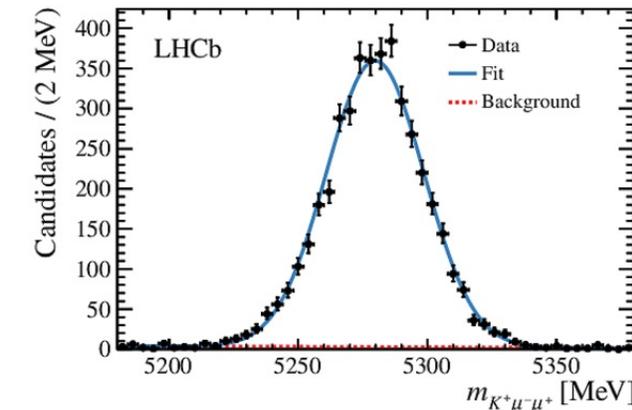
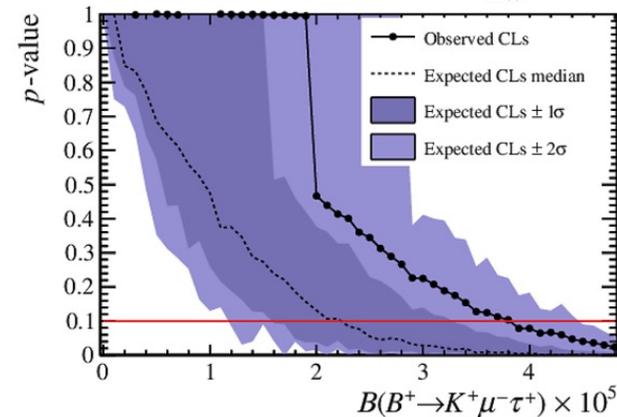
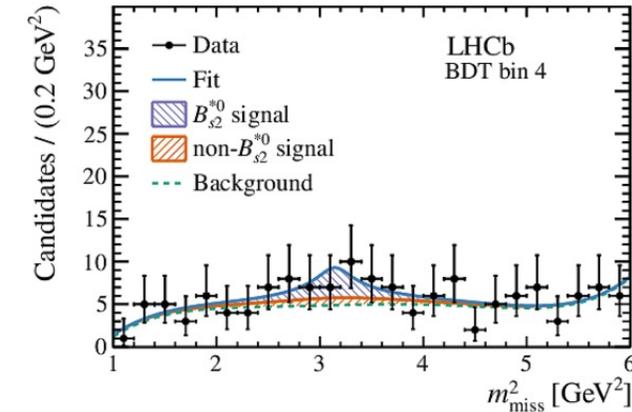
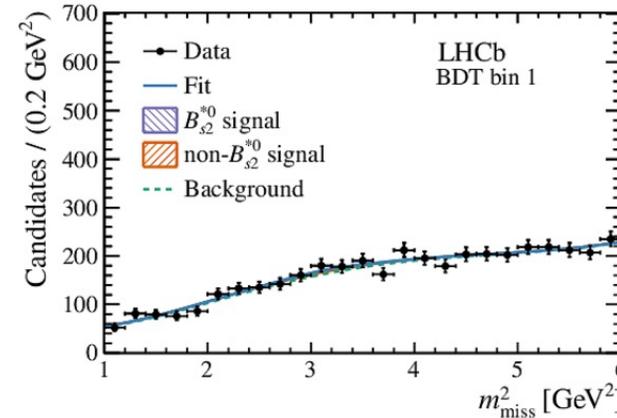
[Phys. Rev. D 104 \(2021\) 032013](#)

# $\tau$ CLFV in B Meson Decays

# CLFV in $B \rightarrow K^+ \mu^- \tau^+$ Decays at LHCb



- CLFV decay of  $B \rightarrow K \mu^\pm \tau^\mp$  can occur through many models such as leptoquarks with large branching ratio
- $B^+$  candidates selected using  $K^+ \mu^-$  pairs with  $m(K^+ \mu^-) > 4.8$  GeV with a common vertex displaced from PV
- Four momenta of  $\tau$  constructed using  $B^{*0}_{s2} \rightarrow B^+ K^-$  decays
- Signal normalized using  $B^+ \rightarrow J/\psi K^+$  decays
- BDT classifiers are used to separate signal from background
- The fit to the signal is performed in 4 bins of the BDT output
- No significant excess is observed, the upper limit for  $B(B^+ \rightarrow K^+ \mu^- \tau^+) < 3.9 \times 10^{-5}$  at 90% C.L.,  $4.5 \times 10^{-5}$  at 95% C.L.
- Competitive with the limit set by BaBar:  $B(B^+ \rightarrow K^+ \mu^- \tau^+) < 2.8 \times 10^{-5}$  at 90% C.L.

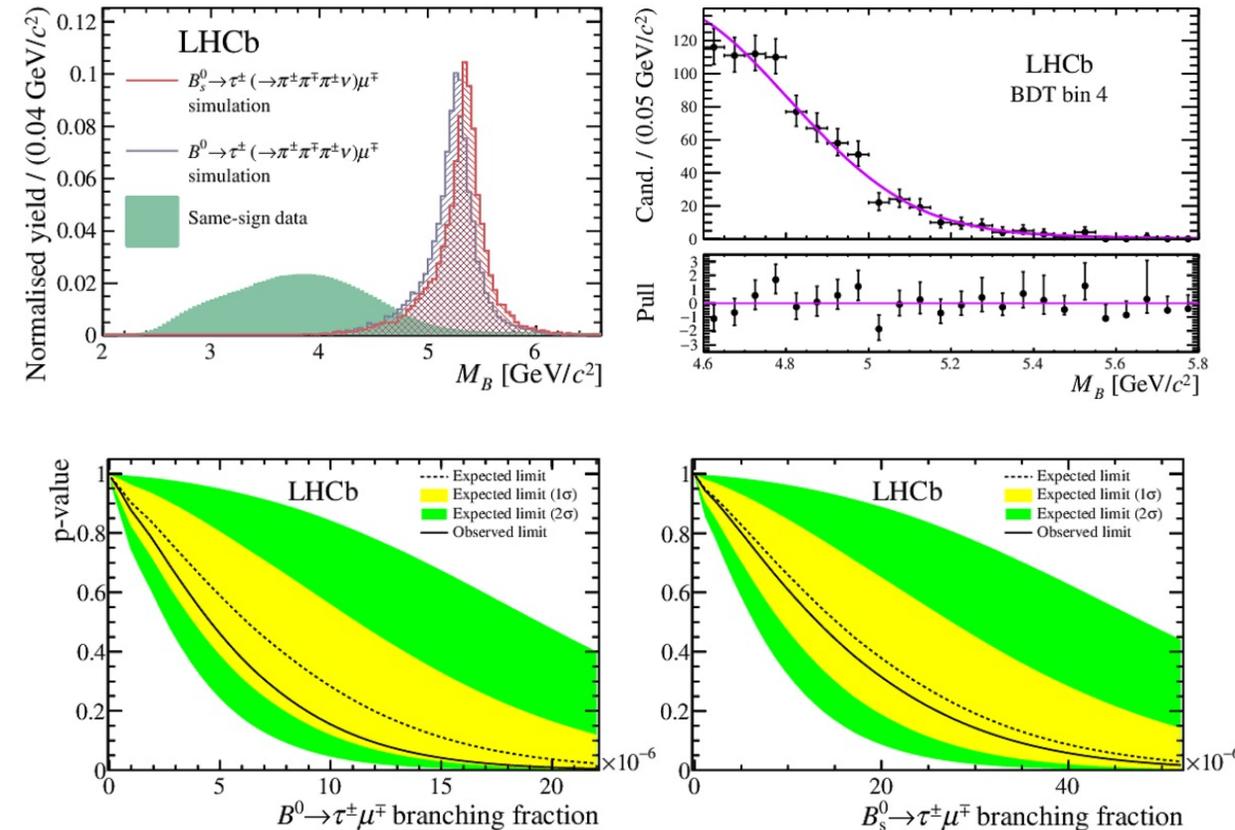


[JHEP 06 \(2020\) 129](#)

# CLFV in $B \rightarrow \mu\tau$ Decays at LHCb



- $\tau$  candidates ( $\tau^- \rightarrow \pi^-\pi^+\pi^-\nu_\tau$ ) are selected using three tracks forming a common vertex
- The neutrino momentum is constrained using the measured position of PV and  $\tau$  decay vertices, muon trajectory and the momenta of decay products
- A set of BDTs is used to discriminate signal from background
- Signal normalization performed by a maximum likelihood fit using  $B^0 \rightarrow D^+(K^+\pi\pi)\pi^-$  channel

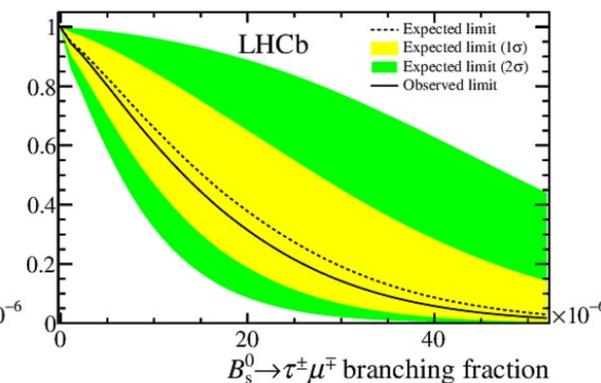
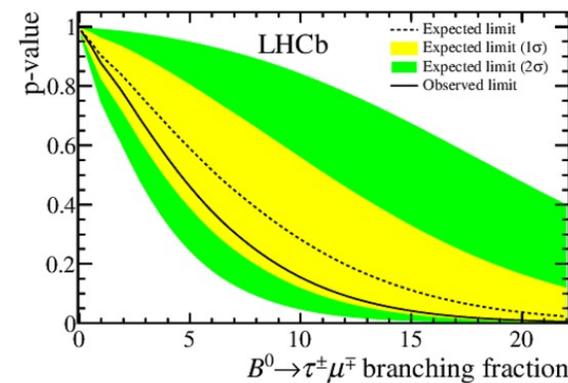
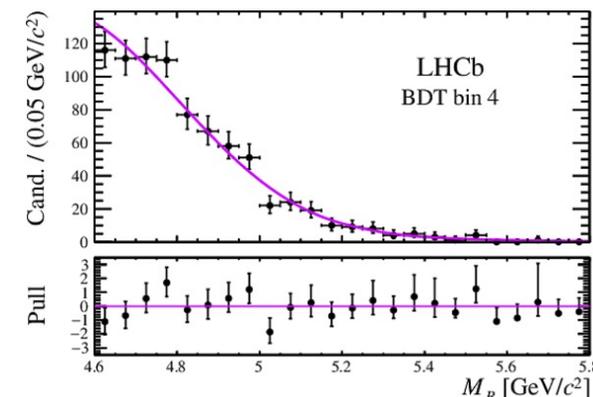
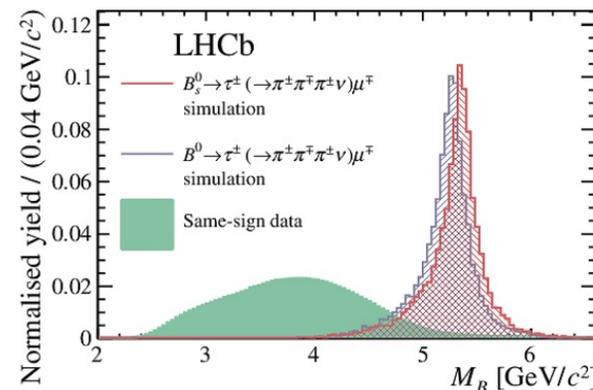


[Phys.Rev.Lett. 123 \(2019\) 211801](https://arxiv.org/abs/1905.02501)

# CLFV in $B \rightarrow \mu\tau$ Decays at LHCb



- $\tau$  candidates ( $\tau^- \rightarrow \pi^-\pi^+\pi^-\nu_\tau$ ) are selected using three tracks forming a common vertex
- The neutrino momentum is constrained using the measured position of PV and  $\tau$  decay vertices, muon trajectory and the momenta of decay products
- A set of BDTs is used to discriminate signal from background
- Signal normalization performed by a maximum likelihood fit using  $B^0 \rightarrow D^+(K^+\pi\pi)\pi^-$  channel
- Assuming no contribution from  $B^0 \rightarrow \mu^\mp \tau^\mp$ ,  $B(B^0_s \rightarrow \mu^\mp \tau^\mp) < 4.2 \times 10^{-5}$  at 95% C.L.
- Assuming no contribution from  $B^0_s \rightarrow \mu^\mp \tau^\mp$ ,  $B(B^0 \rightarrow \mu^\mp \tau^\mp) < 1.4 \times 10^{-5}$  at 95% C.L.
- The first limits on  $B^0_s \rightarrow \mu^\mp \tau^\mp$  search and the most stringent limits on  $B^0 \rightarrow \mu^\mp \tau^\mp$

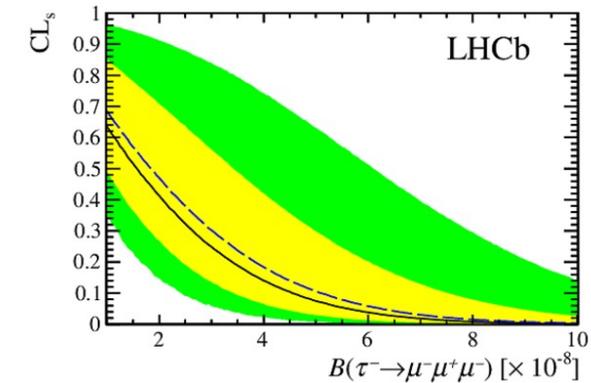
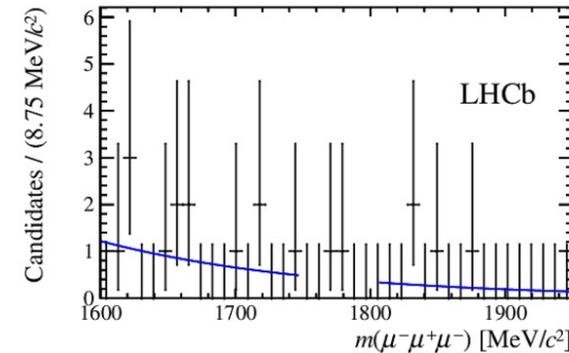
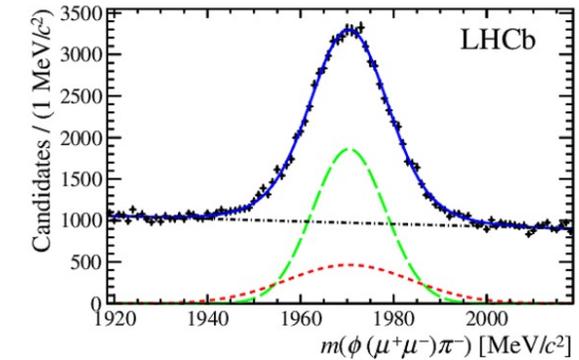
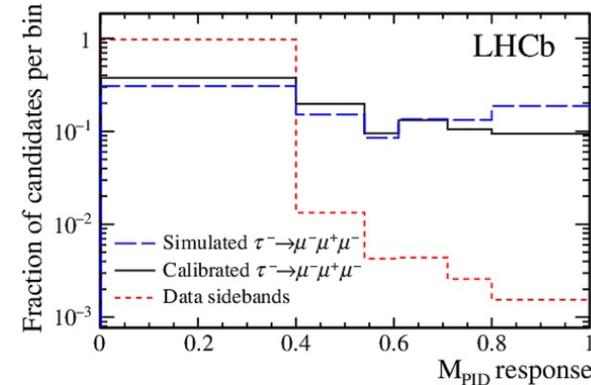


[Phys.Rev.Lett. 123 \(2019\) 211801](https://arxiv.org/abs/1905.02501)

CLFV  $\tau \rightarrow \mu\mu\mu$  decay

# $\tau \rightarrow \mu\mu\mu$ at LHCb

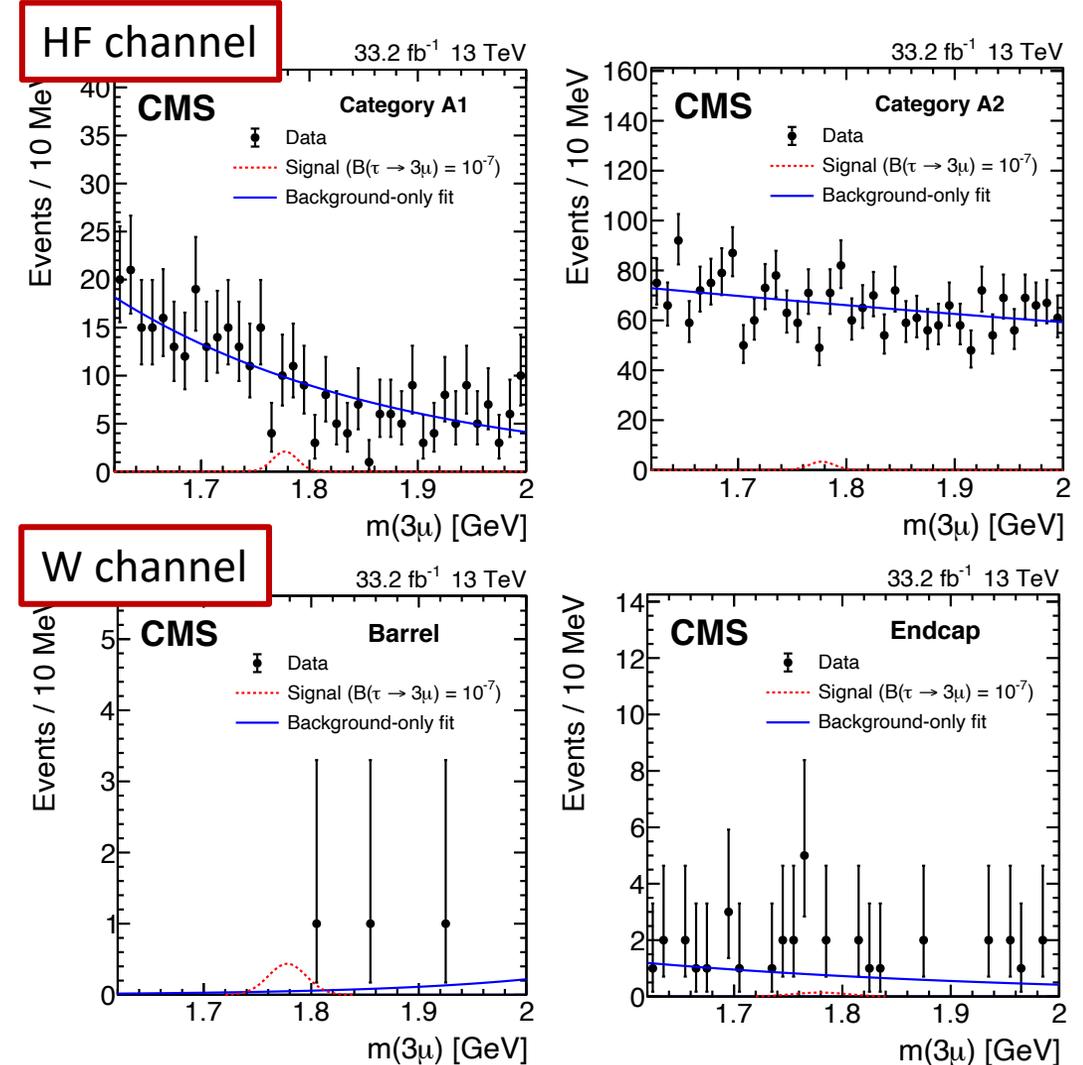
- $\tau$  candidates are formed by selecting three muons forming a displaced secondary vertex w.r.t. the primary vertex
- Signal normalization is performed using  $D_s \rightarrow \Phi(\mu\mu)\pi$  channel
- MVA classifier used to perform signal background discrimination
  - $M_{3\text{body}}$ : BDT classifier to reject background based on geometric and kinematic properties of the 3 body system
  - $M_{\text{PID}}$ : NN based classifier to check the compatibility of tracks with the muon hypothesis
- Fits performed in different categories- events classified in different bins of MVA outputs ( $M_{3\text{body}}, M_{\text{PID}}$ )
- Model independent upper limit for the branching ratio is set to  $B(\tau \rightarrow \mu\mu\mu) < 4.6 \times 10^{-8}$  at 90% C.L.
- Upper limit varies from  $B(\tau \rightarrow \mu\mu\mu) < (4.1-6.8) \times 10^{-8}$  depending on the choice of BSM operator



[JHEP 02 \(2015\) 121](#)

# $\tau \rightarrow \mu\mu\mu$ at CMS

- Search performed in two channels – Heavy flavour (HF) and W channel
- Event preselection to reconstruct displaced  $\tau \rightarrow \mu\mu\mu$  vertex
- Event categorized into different categories depending on the mass resolution of the tau candidate
- Further MVA based sub-categorization to improve the sensitivity
- Full Run 2 analysis is currently ongoing and the results are going to be released soon
  - 4 times more integrated luminosity
  - new pixel detector since 2017



[JHEP 01 \(2021\) 163](#)

Experiment	Observed (Expected) upper limit on $B(\tau \rightarrow 3\mu)$ ( $\times 10^8$ at 90% C.L.)
Belle	2.1 (-)
BaBar	3.3 (4.0)
LHCb (Run I data)	4.6 (5.0)
ATLAS (Run I data)	38 (39) <a href="#">Eur. Phys. J. C 76 (2016) 232</a>
<b>CMS</b>	<b>8.0(6.9)</b>

- ATLAS, CMS and LHCb have published good results, setting the most stringent limits on some of the channels of CLFV tau decays.
- While the others are very competitive the best limits.
- Run 3 and beyond is going to be an exiting era for CLFV searches at LHC. Stay tuned for more interesting results!

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Thank you!

# Backup

# $Z \rightarrow \mu\tau$ and $Z \rightarrow e\tau$ Searches at ATLAS



Selection criterion	Purpose
Exactly two isolated light leptons ( $\ell_0, \ell_1$ ) with opposite electric charge and different flavor ( $e$ or $\mu$ ); $p_T(\ell_0) > p_T(\ell_1)$	Select events consistent with signal decays.
No $\tau_{\text{had-vis}}$ candidate	Orthogonality with $\ell\tau_{\text{had}}$ channel.
Transverse mass <sup>1</sup> $m_T(\ell_1, E_T^{\text{miss}}) < 35$ GeV $ \Delta\phi(\ell_0, E_T^{\text{miss}})  > 1$ rad No $b$ -tagged jets (using the 77% efficiency working point)	Reject top-quark and diboson events.
Invariant mass of the $\ell_0$ - $\ell_1$ pair $m(\ell_0, \ell_1) > 40$ GeV	Reject events incompatible with $Z$ -boson decays.
Neural network (optimized for signal vs. $Z \rightarrow \tau\tau$ ) output $> 0.2$	Ensure selection is orthogonal to the CRZ $\tau\tau$ region.
In $\mu\tau_e$ channel: $p_T^{\text{track}}(e)/p_T^{\text{cluster}}(e) < 1.1$	Reject $Z \rightarrow \mu\mu$ events.

Source of uncertainty	Uncertainty in $\mathcal{B}(Z \rightarrow \ell\tau)$ [ $\times 10^{-6}$ ]	
	$e\tau$	$\mu\tau$
Statistical	$\pm 2.5$	$\pm 2.3$
Systematic	$\pm 1.8$	$\pm 1.2$
$\tau_{\text{had-vis}}$ candidates	$\pm 1.1$	$\pm 1.1$
Light leptons	$\pm 0.4$	$\pm 0.2$
$E_T^{\text{miss}}$ , jets and flavor tagging	$\pm 1.1$	$\pm 0.7$
$E_T^{\text{miss}}$	$\pm 0.2$	$\pm 0.2$
Jets	$\pm 1.0$	$\pm 0.7$
Flavor tagging	$\pm 0.3$	$\pm 0.3$
$Z$ -boson modeling	$\pm 0.6$	$\pm 0.1$
$Z \rightarrow \ell\ell$	$\pm 0.1$	$\pm 0.2$
Luminosity	$\pm 0.2$	$< 0.1$
Other minor backgrounds	$\pm 0.4$	$\pm 0.3$
Fake leptons	$\pm 0.2$	$\pm 0.3$
Total	$\pm 3.0$	$\pm 2.8$

Variable	Description
$p_z(\ell_1)$	$z$ -component of the leading- $p_T$ lepton's momentum.
$E(\ell_1)$	Energy of the leading- $p_T$ lepton.
$p_x(\ell_2)$	$x$ -component of the subleading- $p_T$ lepton's momentum.
$p_z(\ell_2)$	$z$ -component of the subleading- $p_T$ lepton's momentum.
$E(\ell_2)$	Energy of the subleading- $p_T$ lepton.
$E_T^{\text{miss}}$	The missing transverse momentum.
$m(e, \mu)$	The invariant mass of the $e$ - $\mu$ system.
$m_{\text{coll}}(e, \mu)$	The collinear mass: the invariant mass of the $e$ - $\mu$ - $\nu$ system, where the $\nu$ is assumed to have a momentum that is equal in the transverse plane to the measured $E_T^{\text{miss}}$ and collinear in $\eta$ with the subleading- $p_T$ lepton.
$\Delta\alpha$	A kinematic discriminant sensitive to the different fractions of $\tau$ -lepton four-momentum carried by neutrinos in signal and background.