



# Dark Matter searches with Baikal NT&GVD

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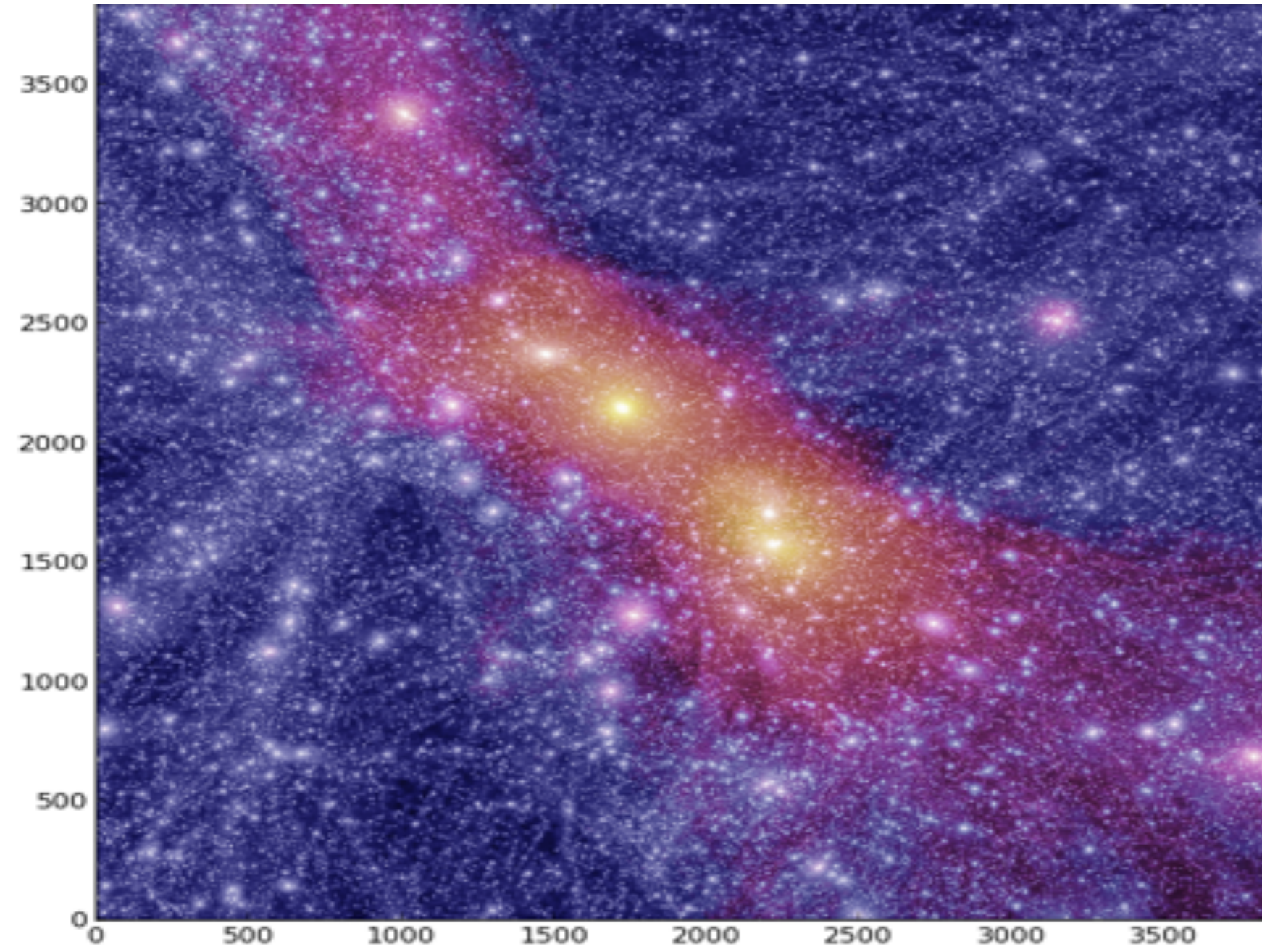


for the Baikal-GVD collaboration

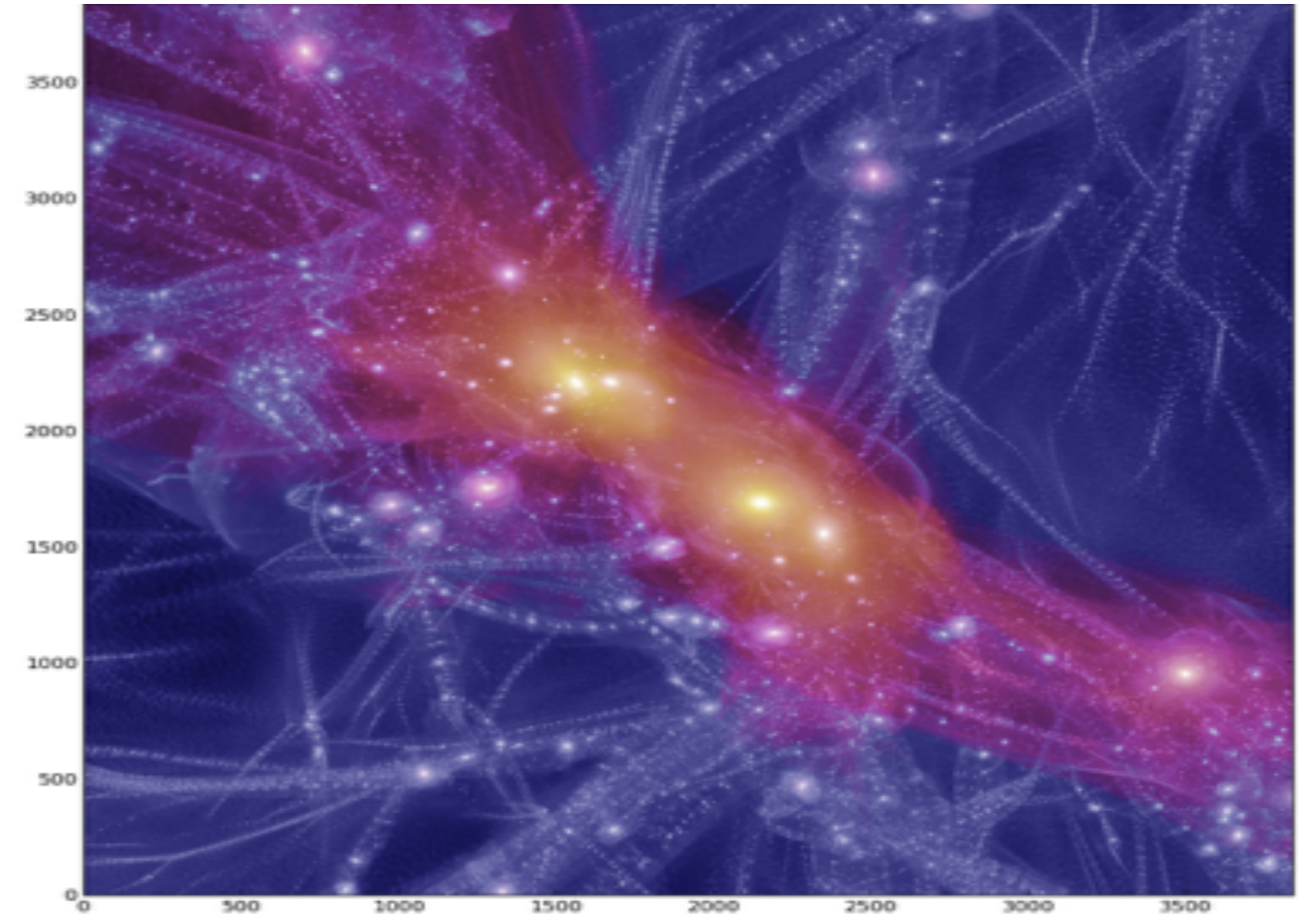
WIMPs are CDM candidates

# Instead of Introduction

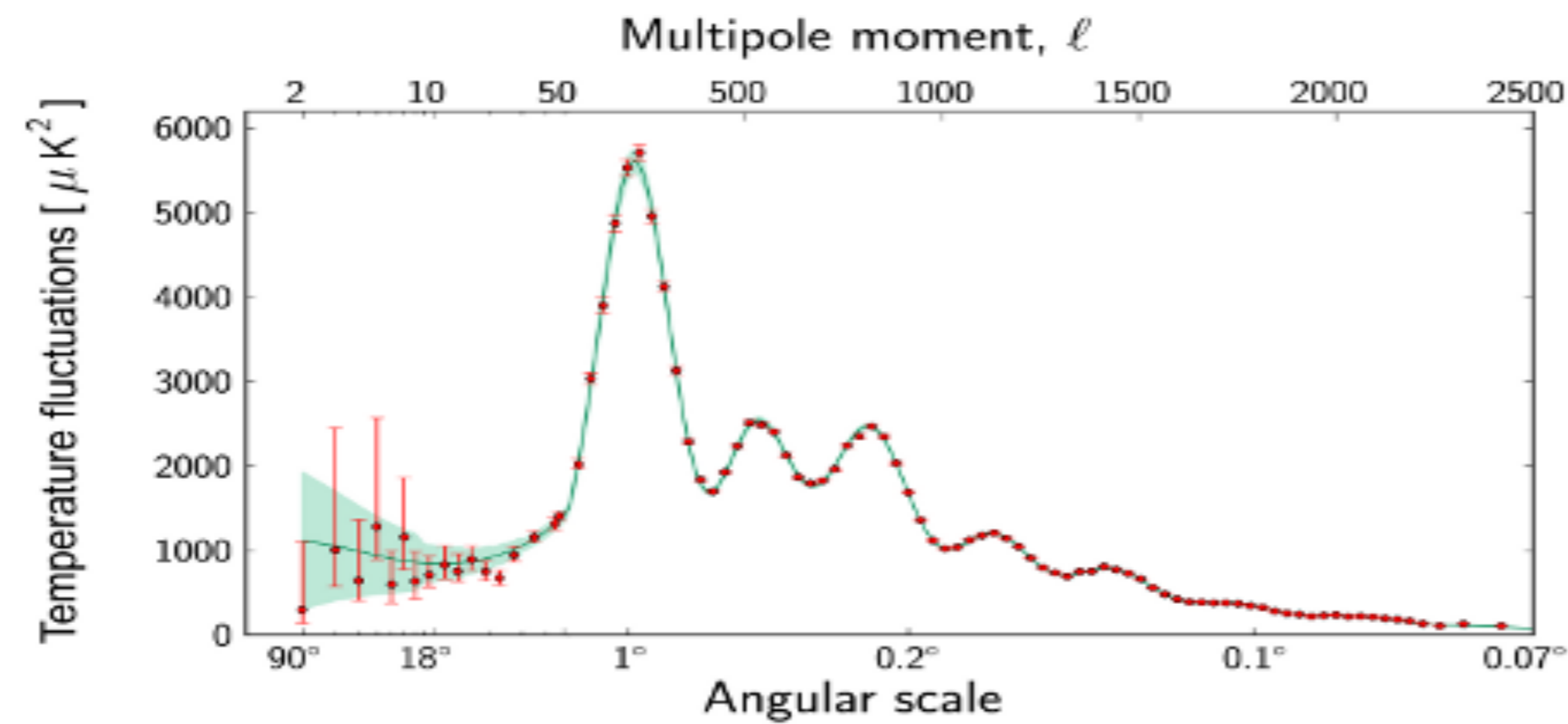
Simulation of what the Universe looks like



~ Simulation of what the Universe would look like without DM



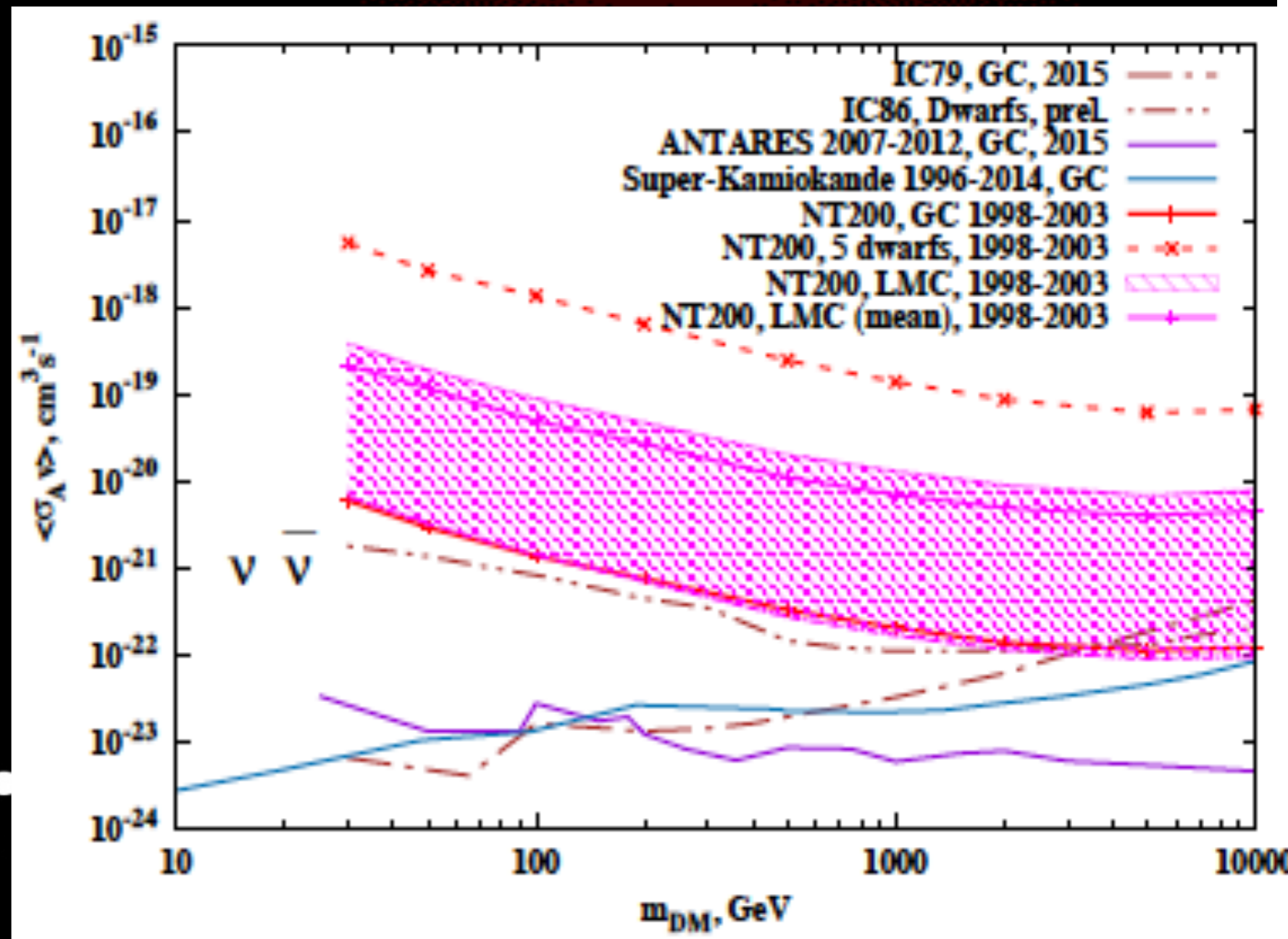
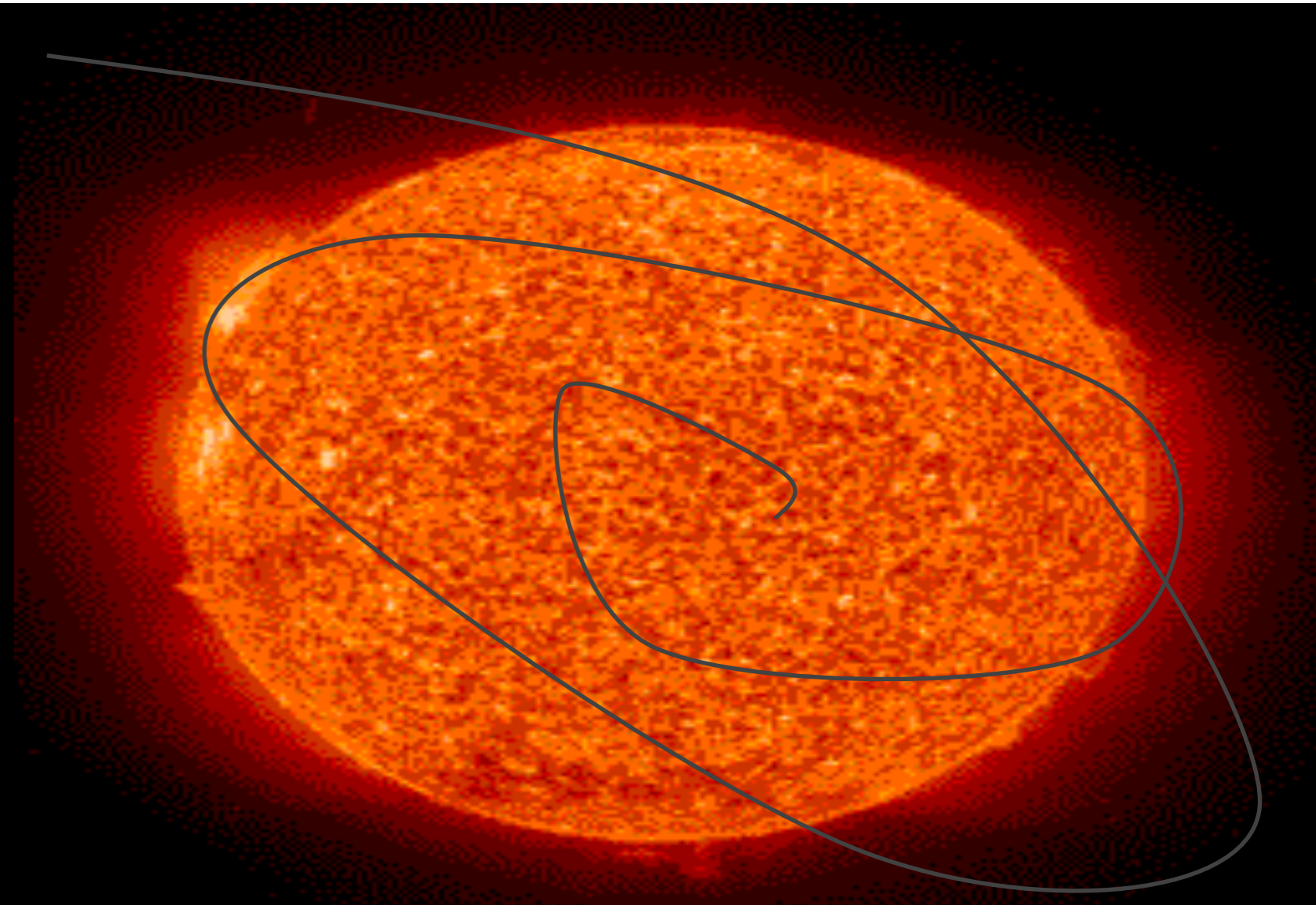
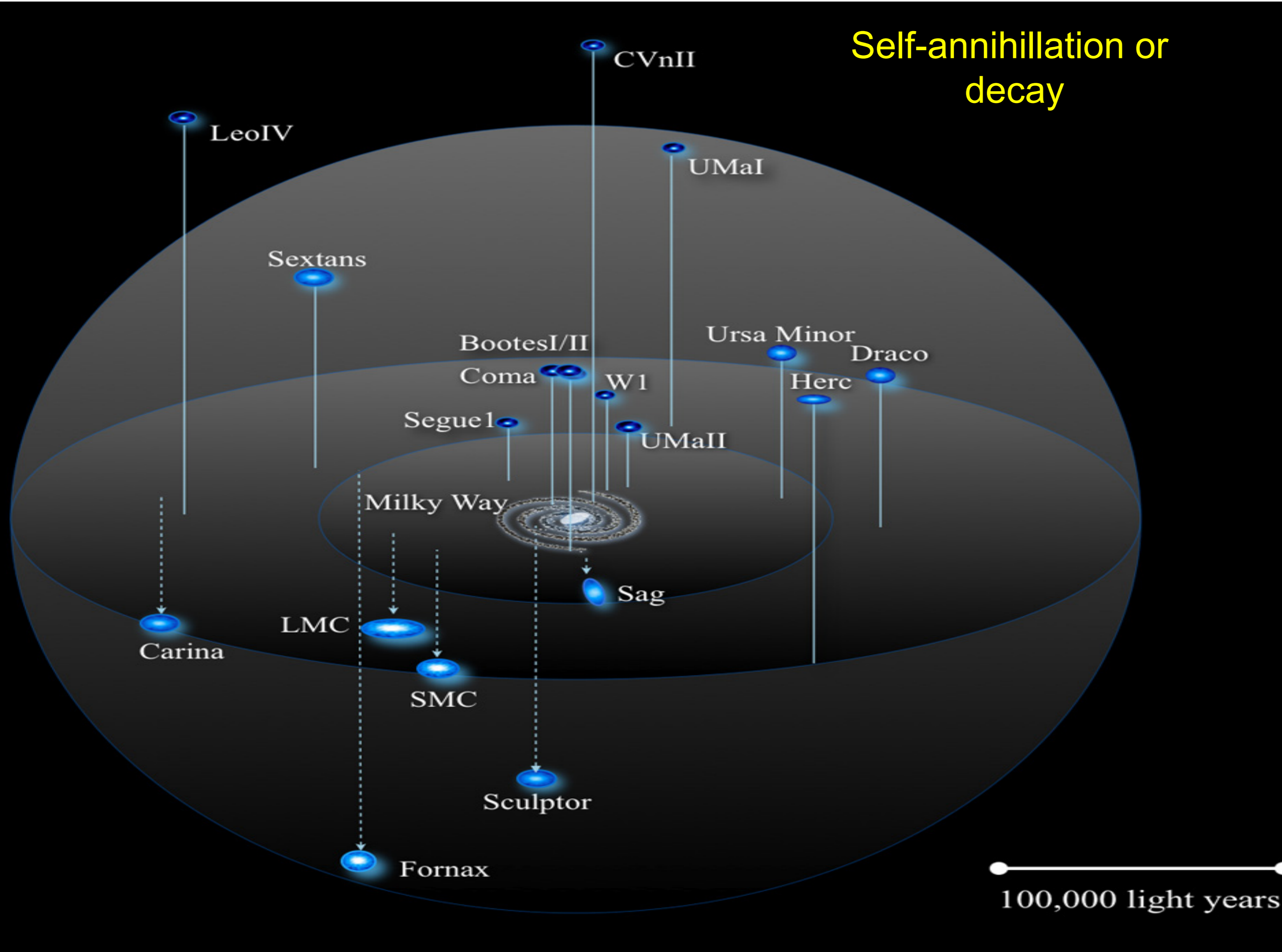
CDM fit observations

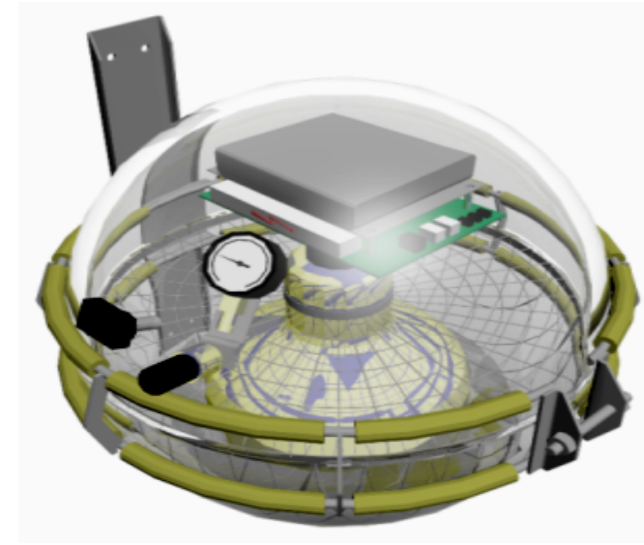
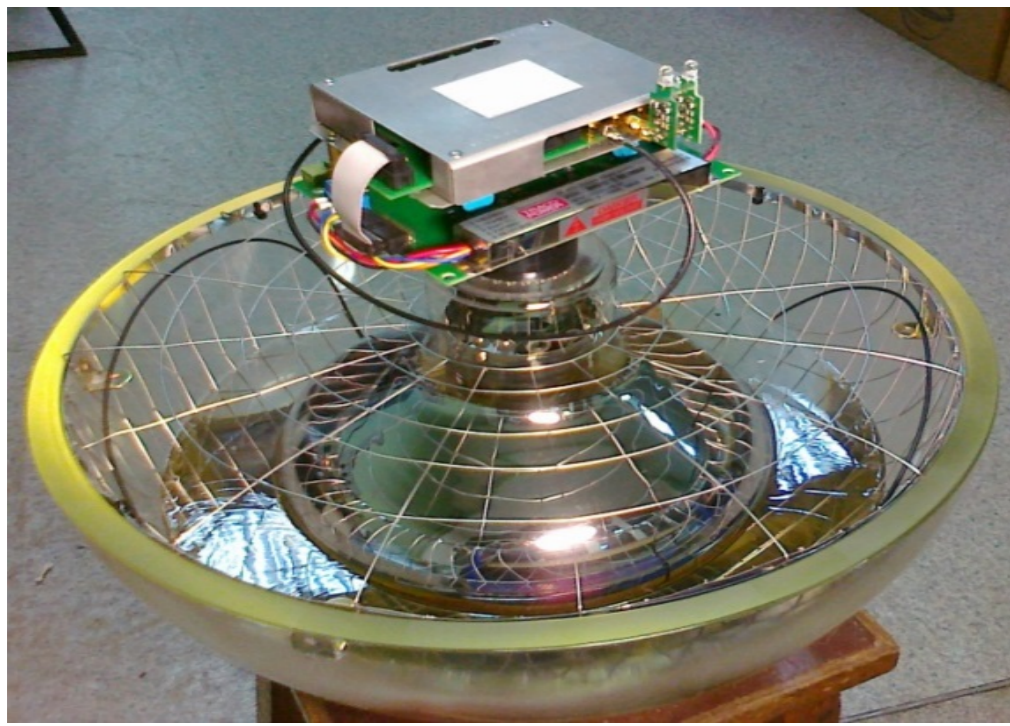


# Baikal DM searches

## Capture and scattering

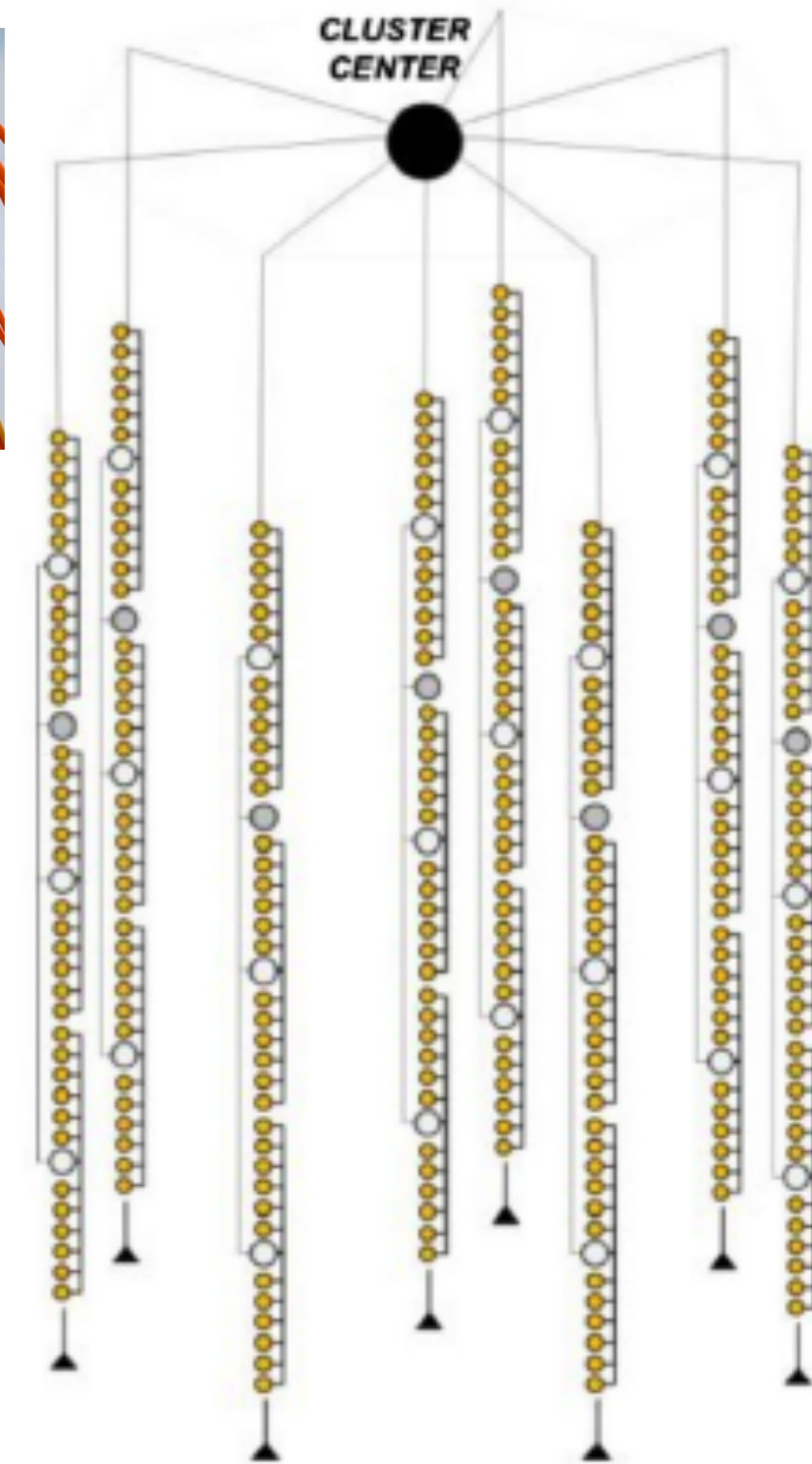
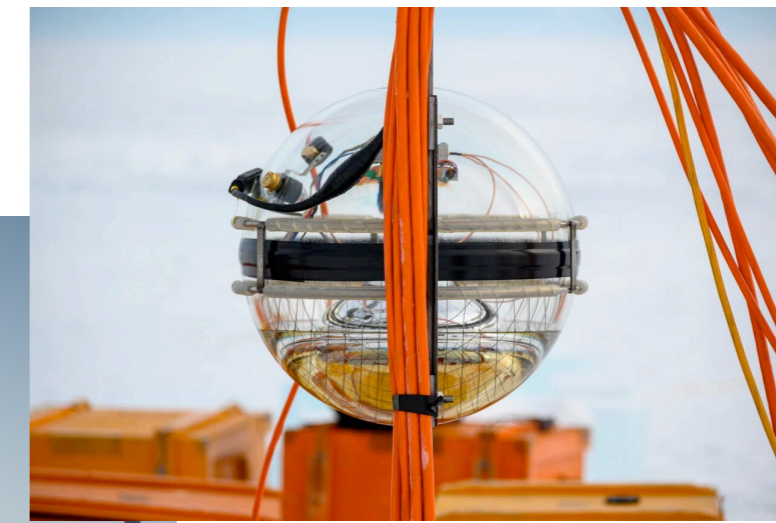
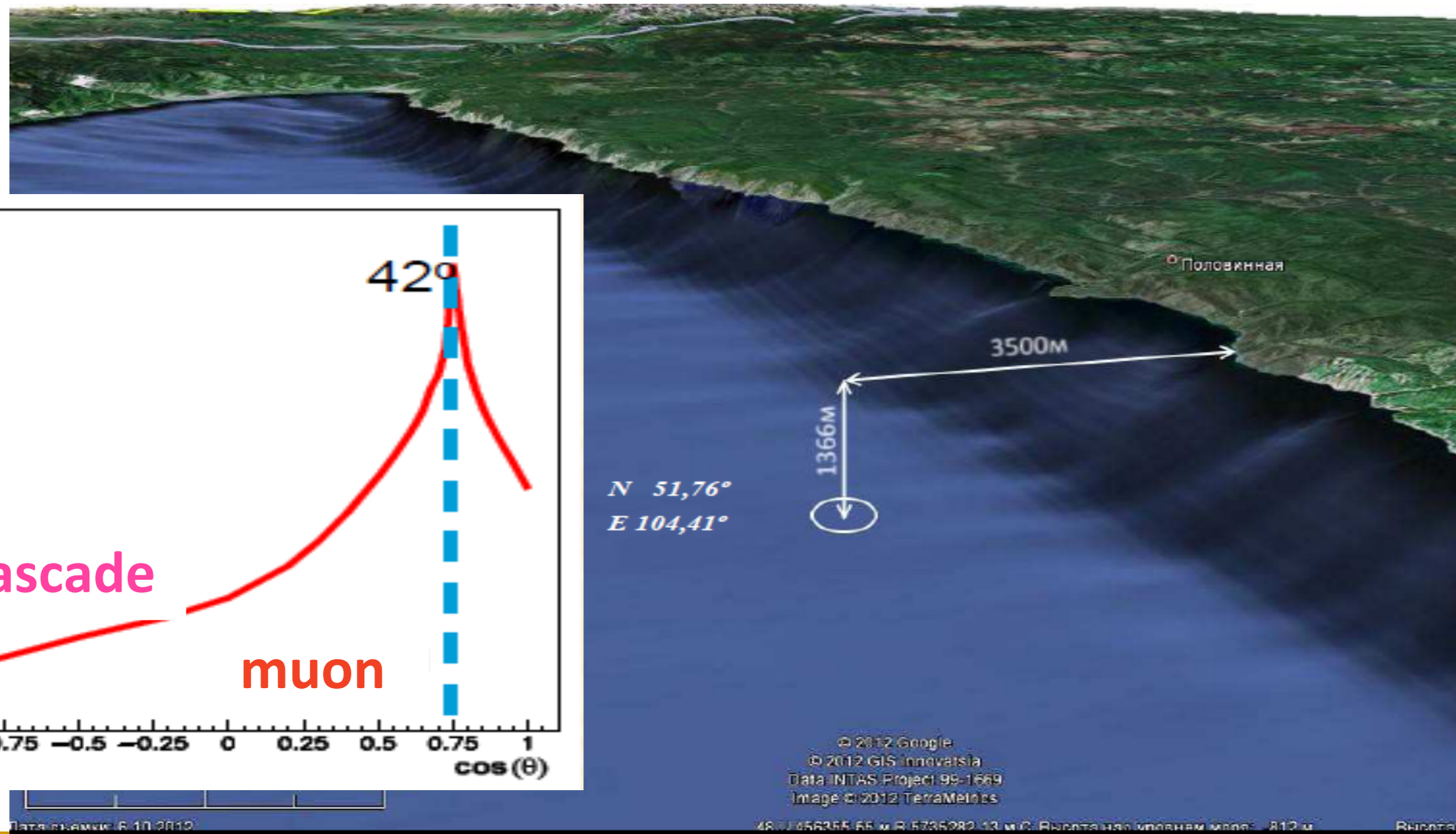
### Self-annihilation or decay





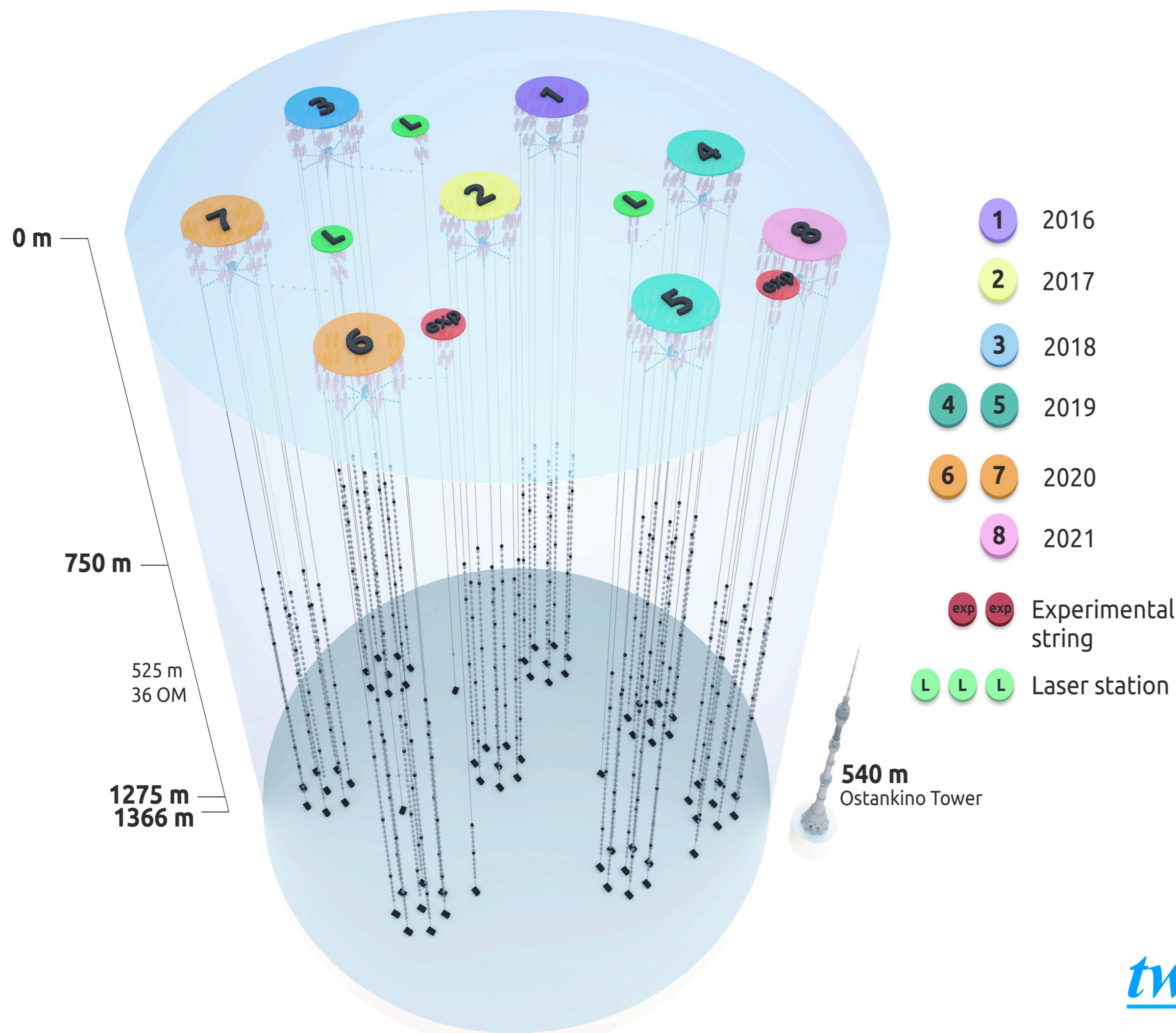
Optical module  
PMT: R7081-100

# Baikal-GVD



# Baikal-GVD of 2021yr:

# 2304 OMs/ 64 strings/ 8 clusters



120 m - diameter of a single cluster,  
300 m - distance between clusters

**0.4 km<sup>3</sup> for cascades of E >> 100 TeV**

**Apr 2022:**  
**two new clusters**

## Deployment schedule

Year	Number of clusters	Number of OMs
2016	1	288
2017	2	576
2018	3	864
2019	5	1440
2020	7	2016
<b>2021</b>	<b>8</b>	<b>2304</b>
2022	10	2880
2023	12	3456
2024	14	4032

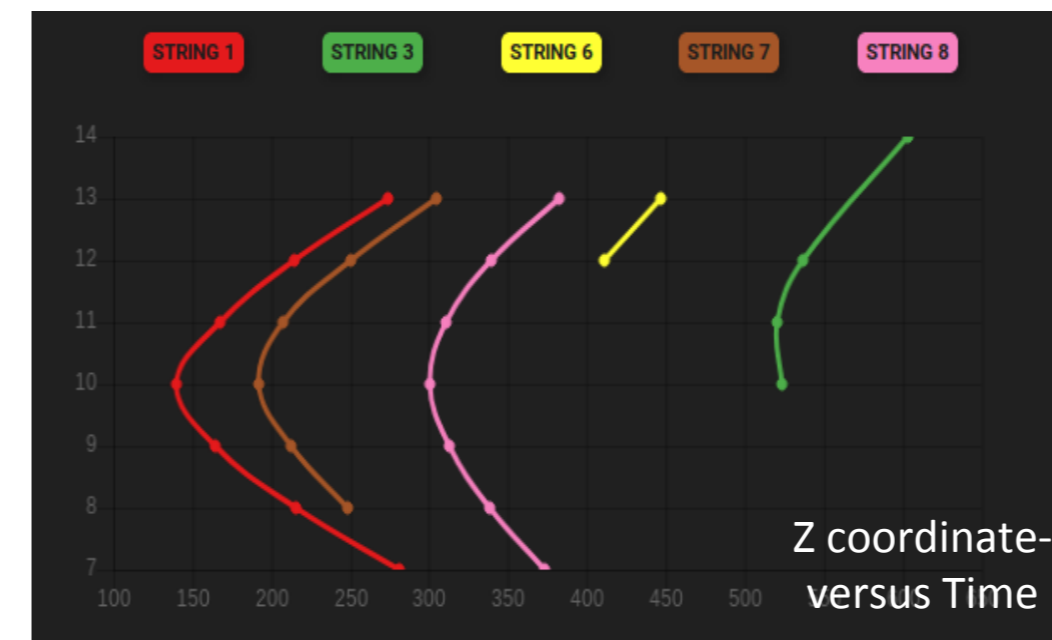
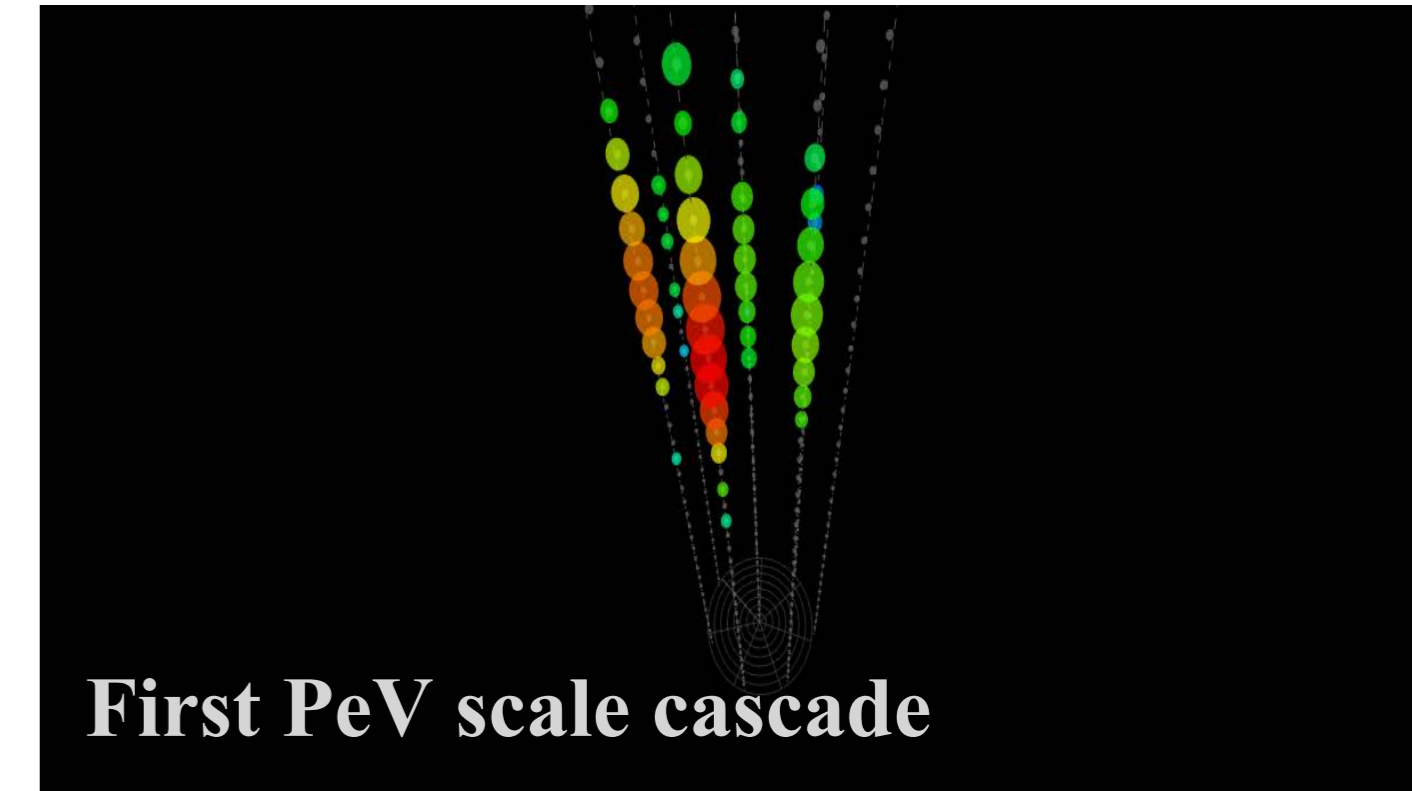
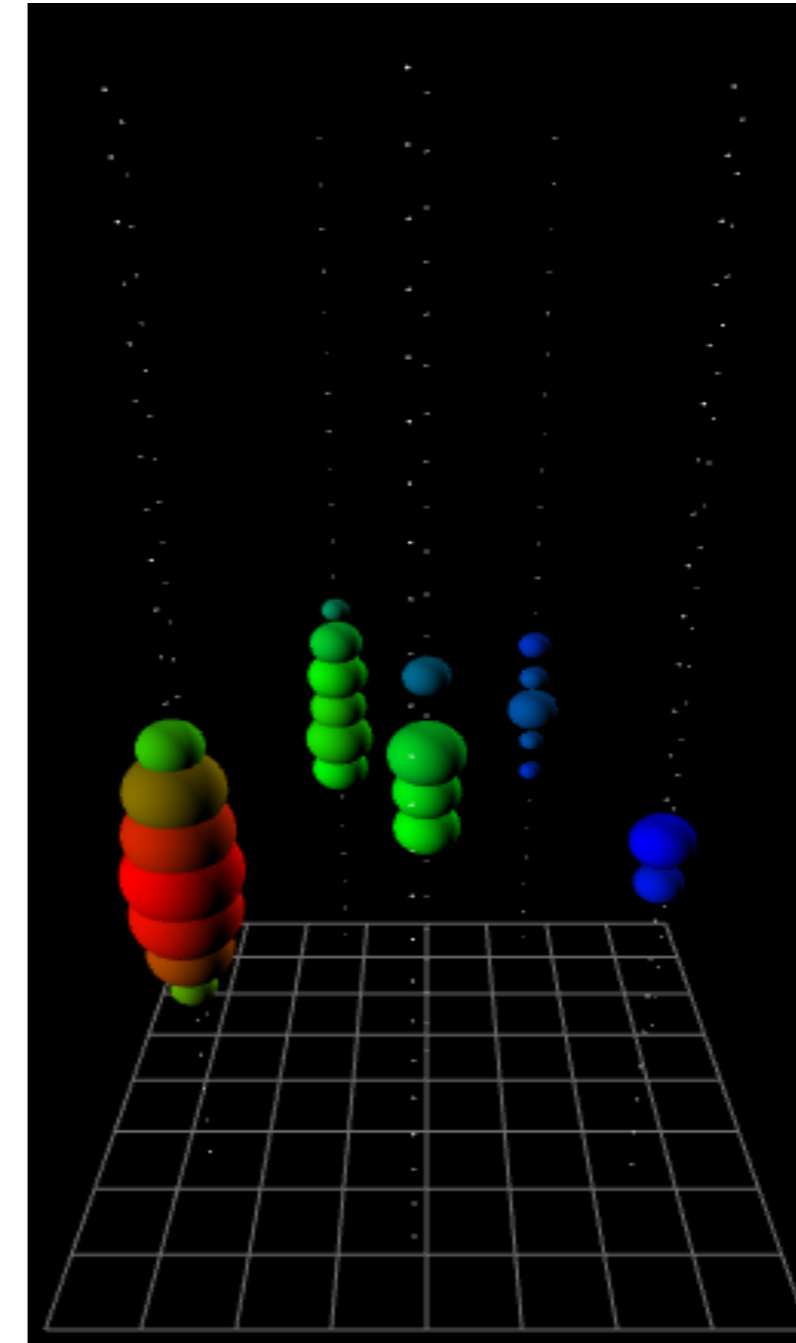
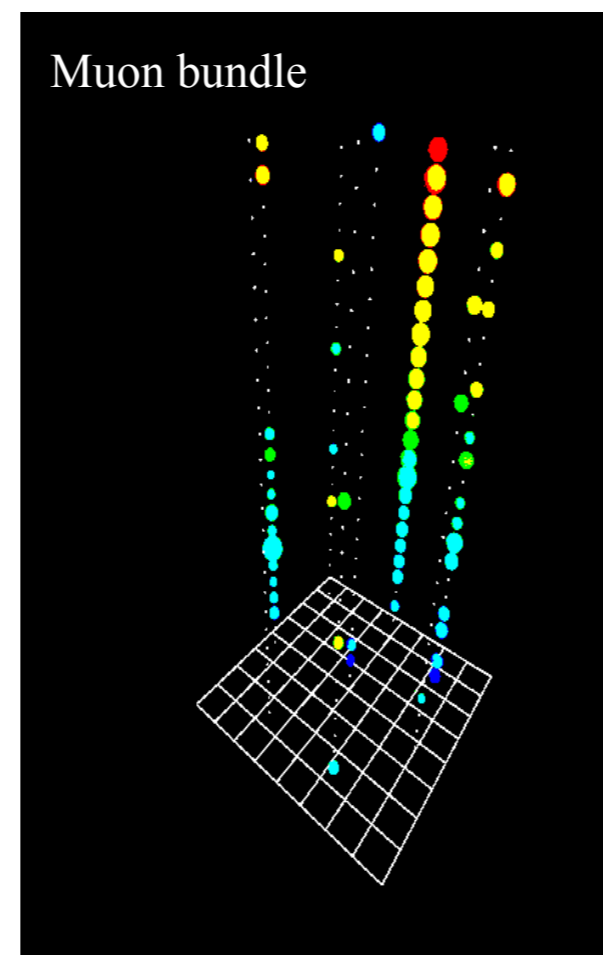
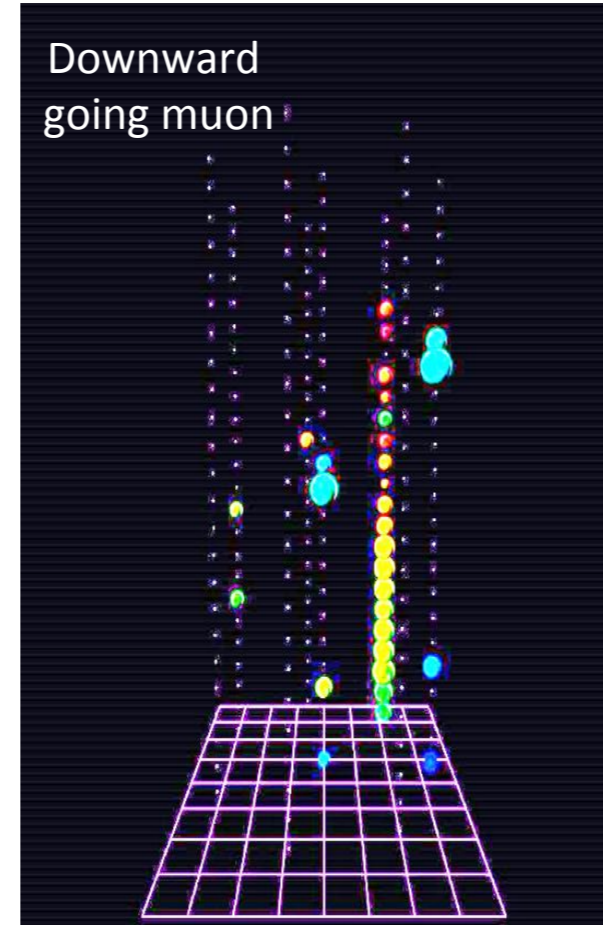
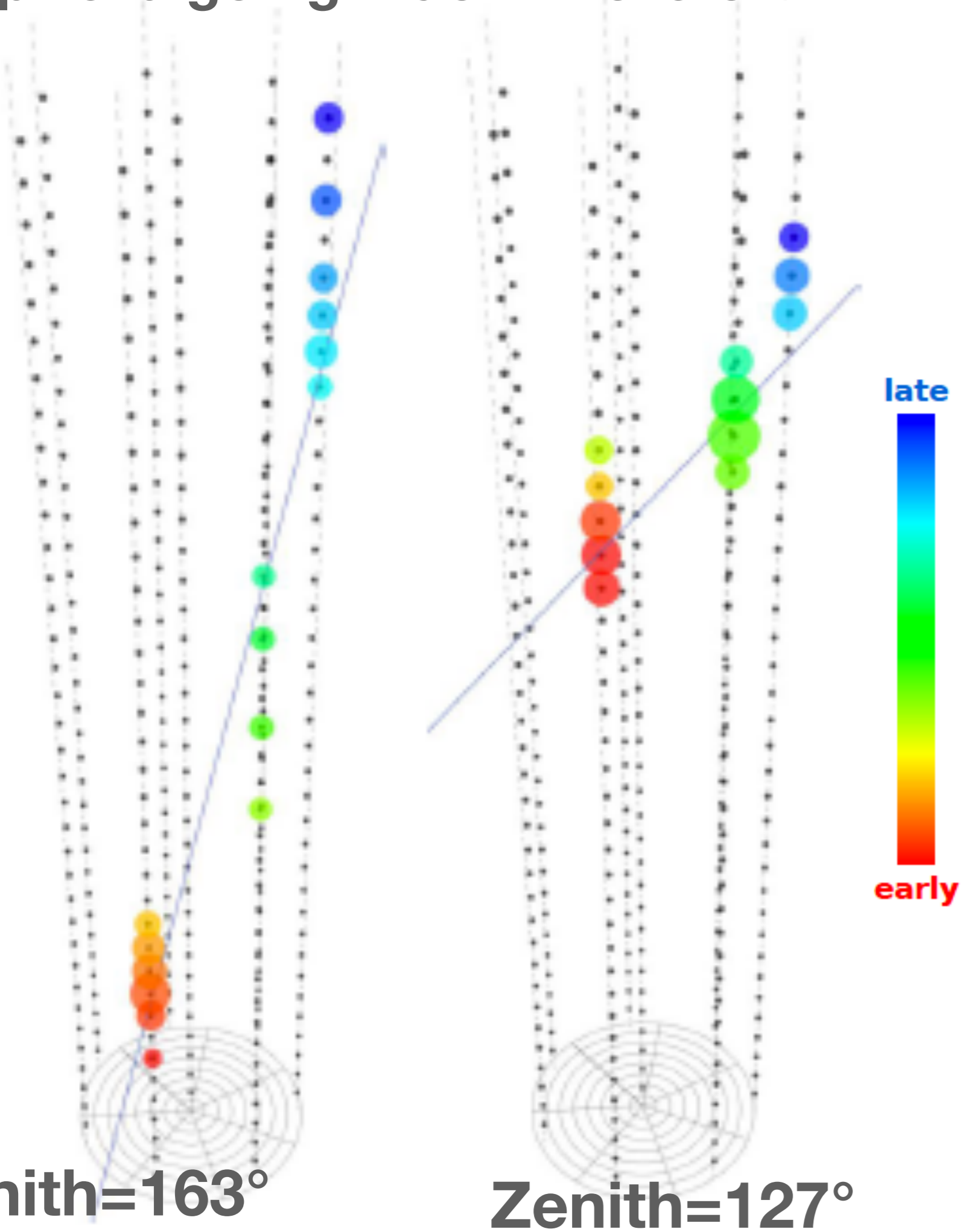
# Data performance in fast /offline regimes

Upward going muon-like alert

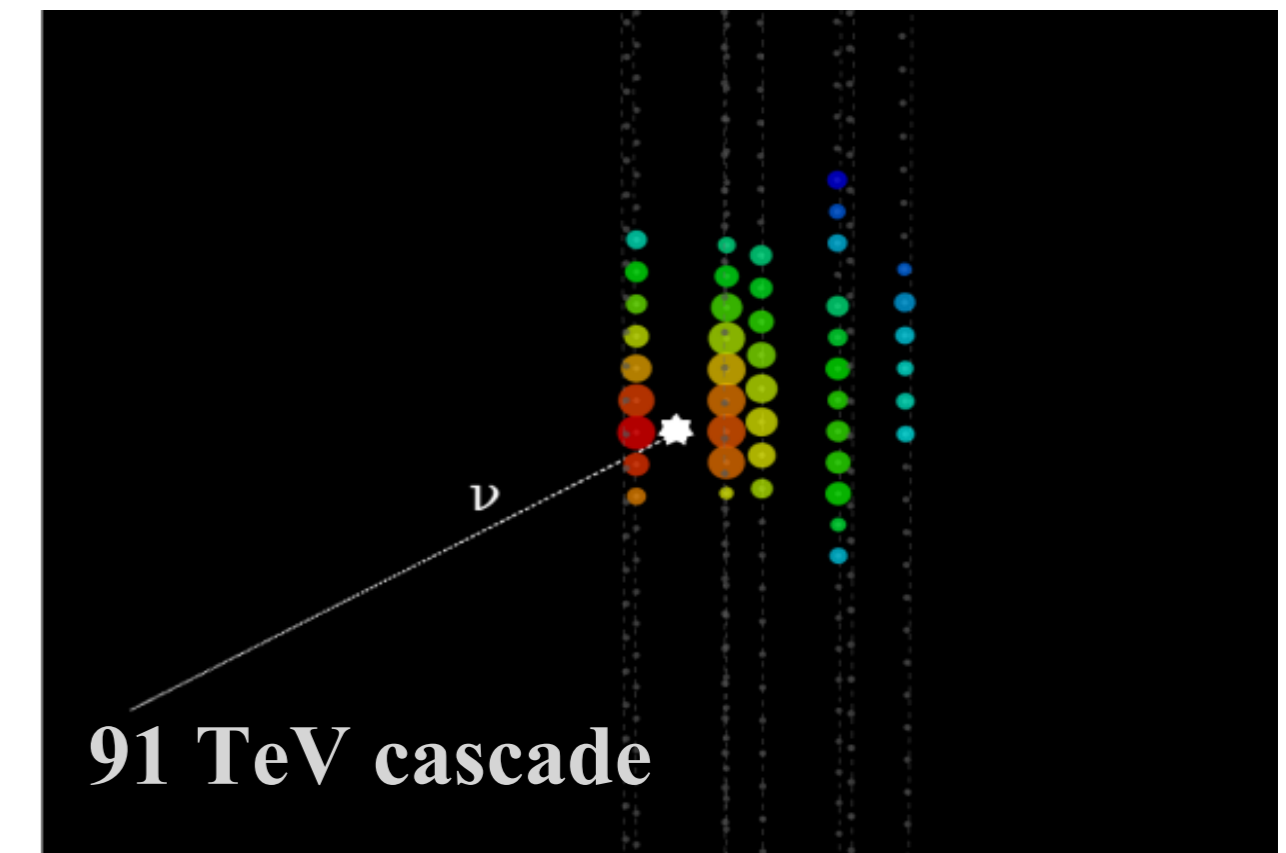
Background events

HE cascade-like alert

Downward going HE cascade-like alert



Upward going HE cascade-like alert



Fast algorithm of track reco in a single cluster events rejects a near horizon directions ( $<120^\circ$ )  
(ICRC2021, G.Safronov, D.Zaborov)

Cascades reco algorithm, selections and HE alerts  
(ICRC2021, Zh.Dzhilkibaev; ICRC2021, L.Fajt)

# Search for muon neutrinos

(analysis of 2019 data sample –current *iteration*)

Muon neutrinos are detected as a muon tracks from bottom hemisphere.

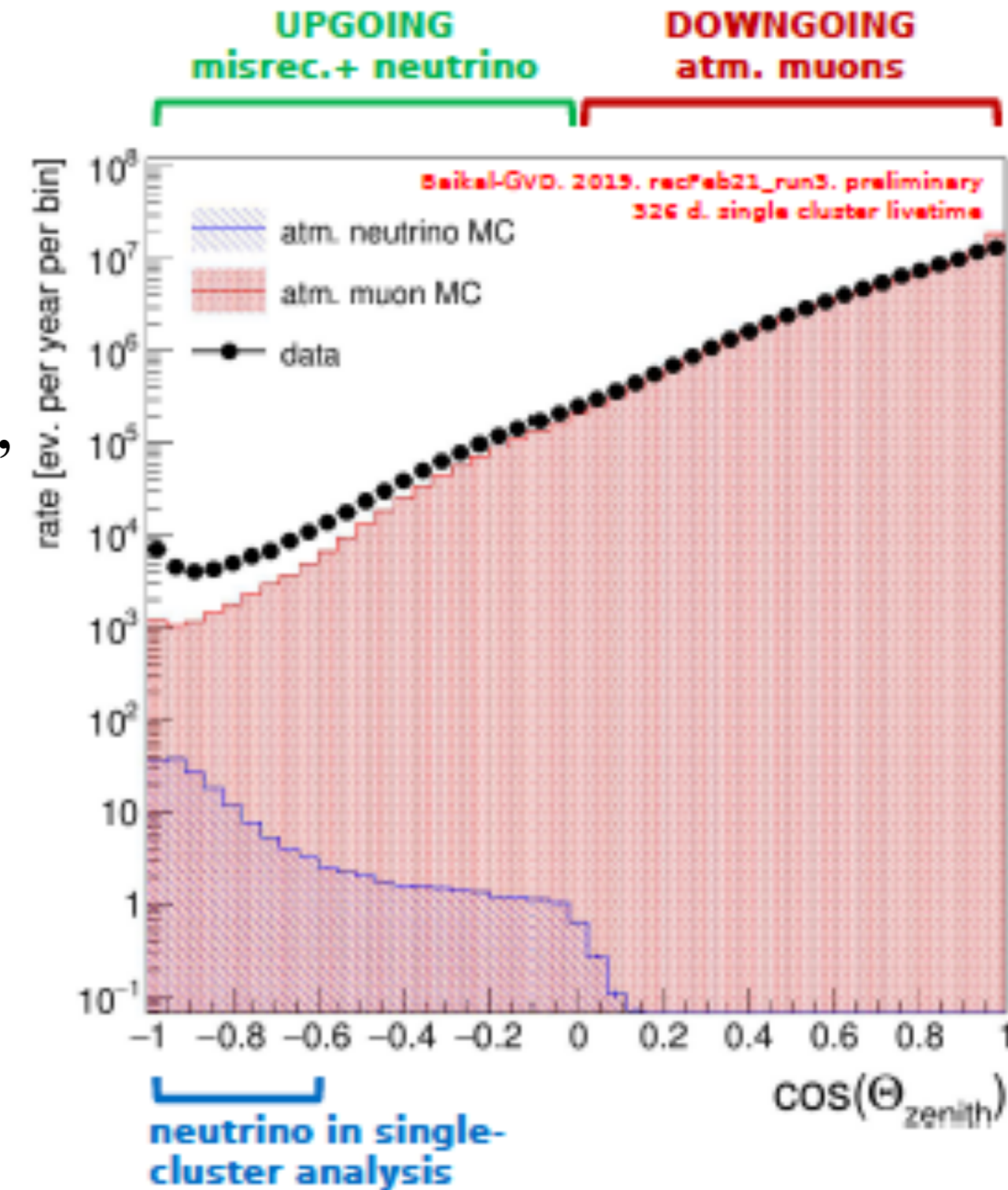
MC atmospheric muons - Corsika 7.74, SIBYLL 2.3c;  
MC atmospheric neutrinos – Bartol flux.

**A single cluster reconstruction algorithms are developed, a multicluster reconstruction is in progress.**

Two ways for neutrino selection were applied

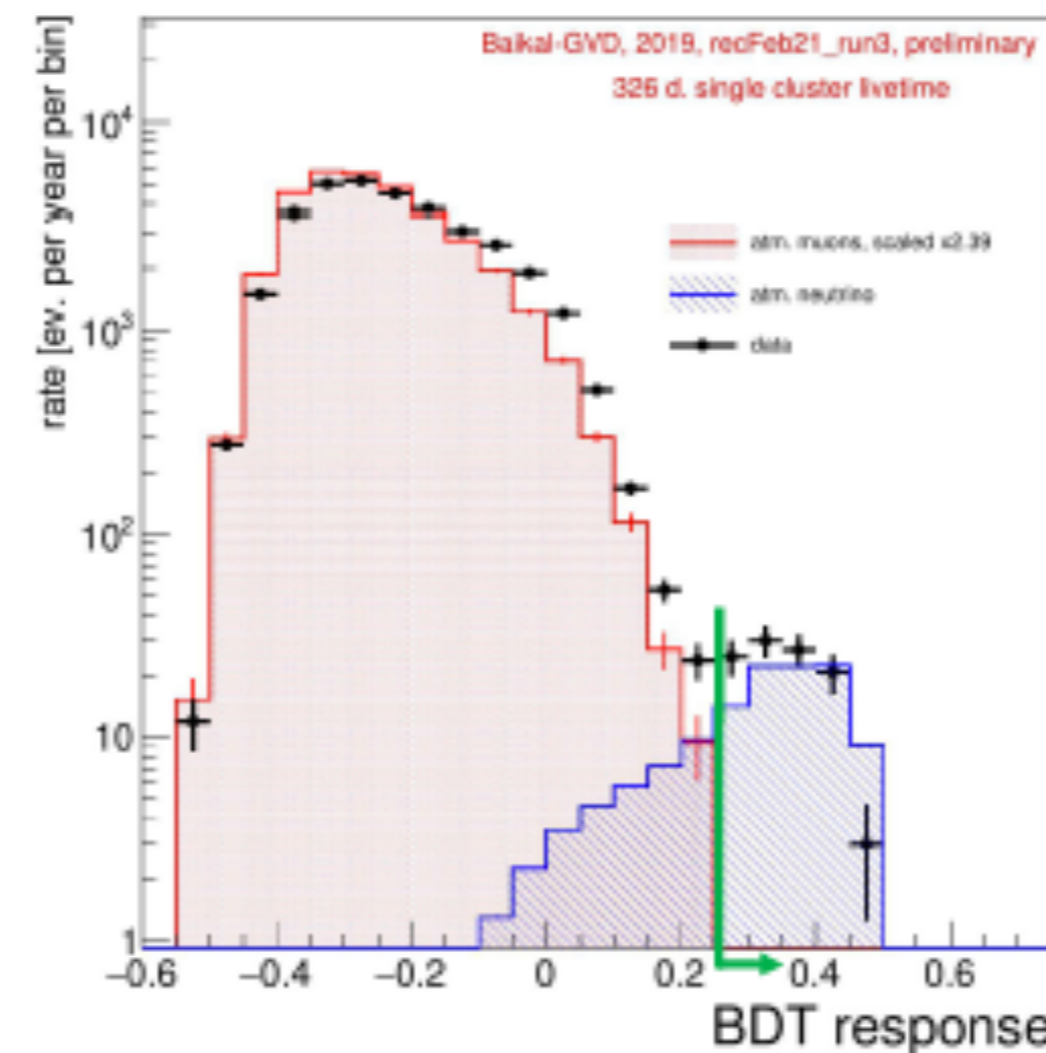
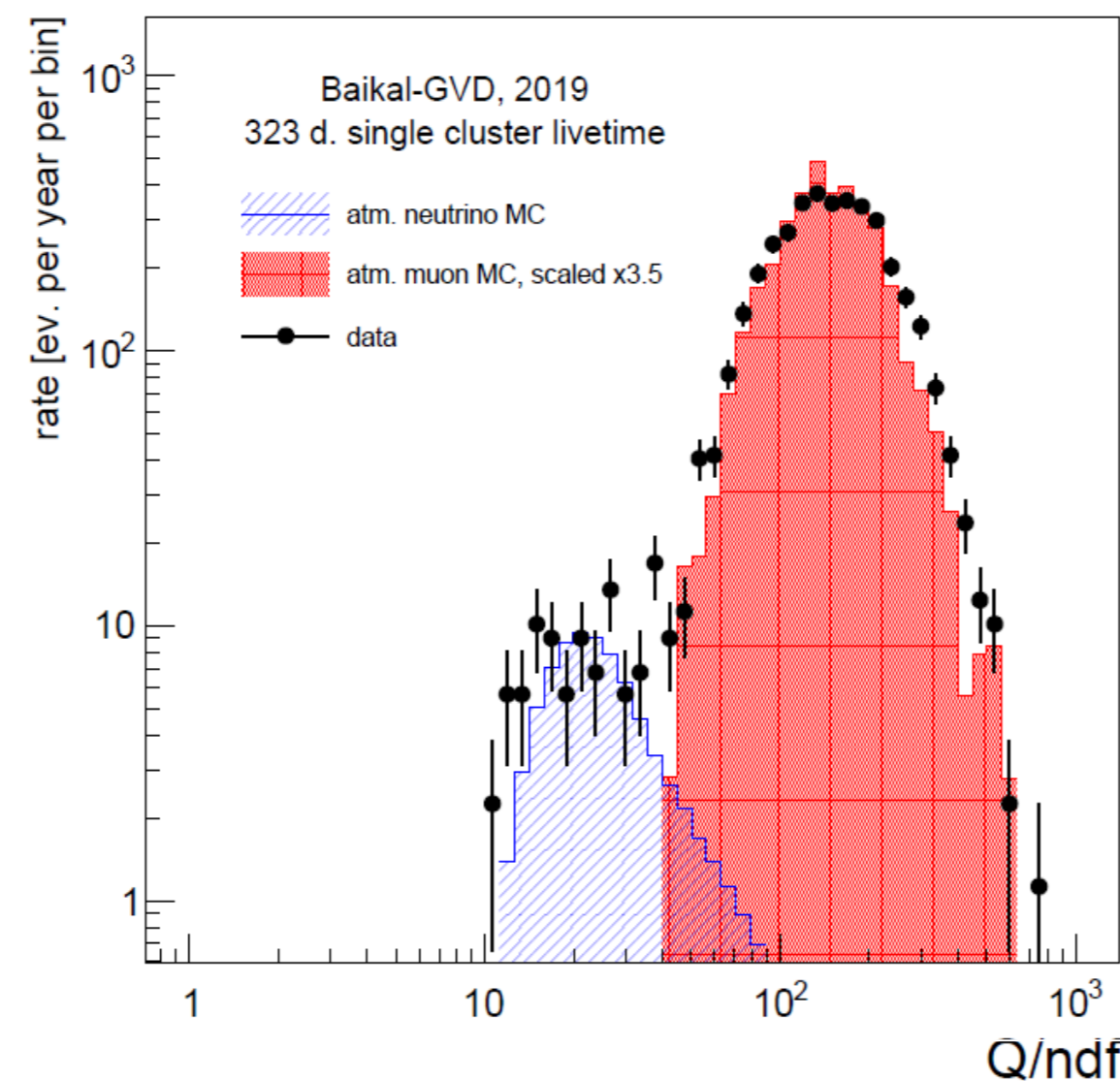
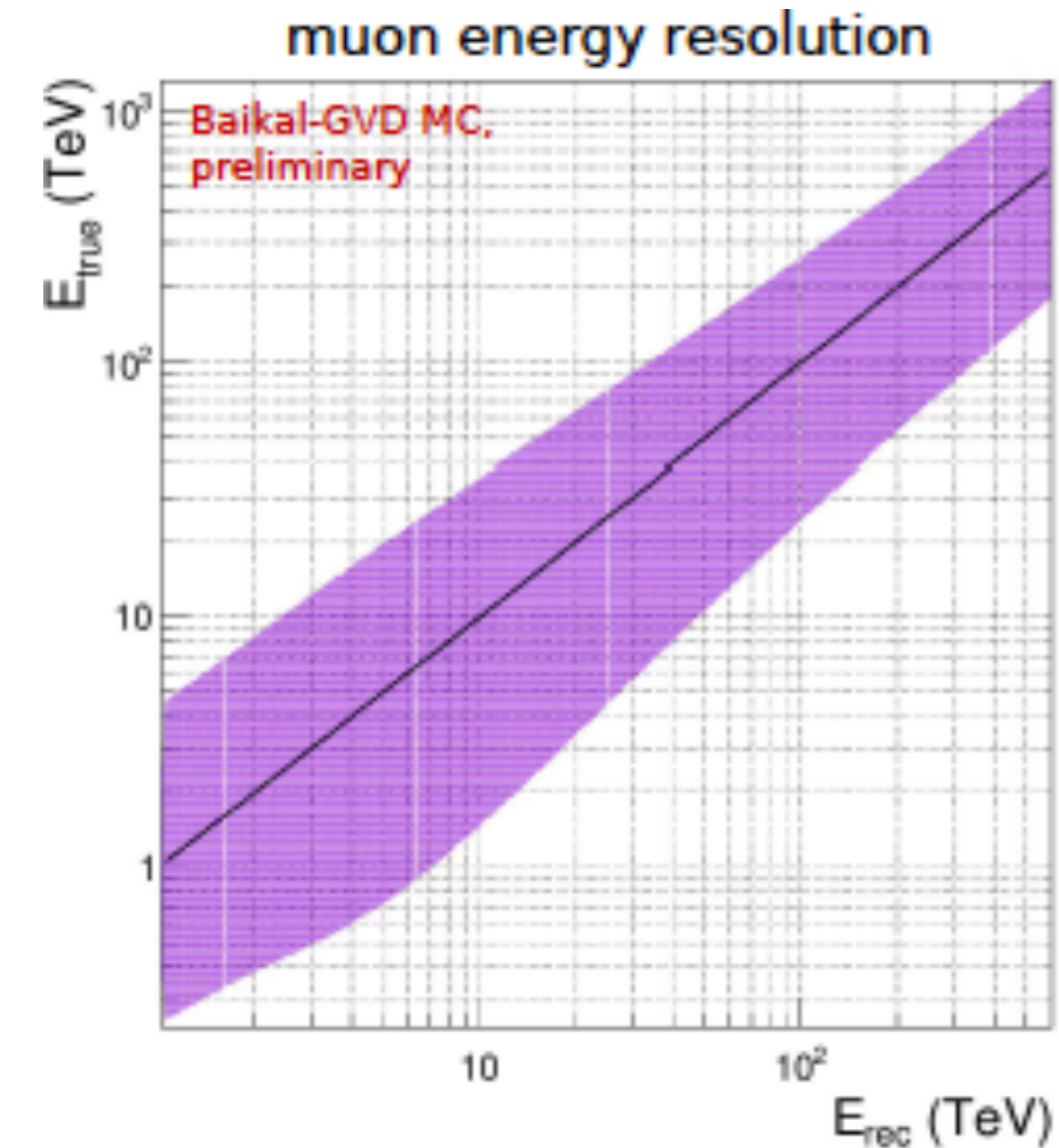
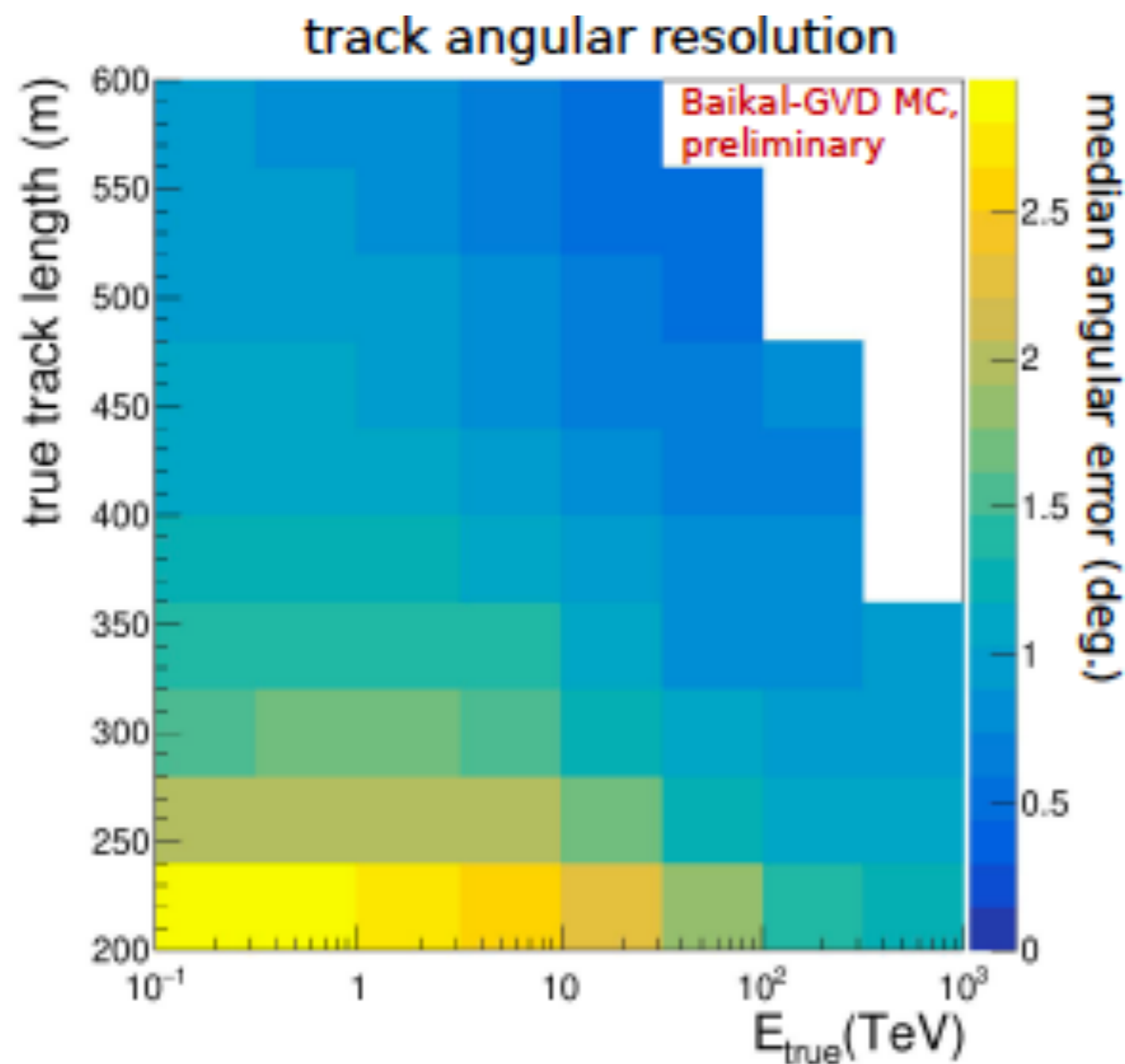
- Cut-based (cuts on set of 13 variables)
- Mashine learning: Boosted Decision Tree (BDT) classifier (15 input variables)

Zenith angle distributions of muons



The median energy of the neutrino events 500 GeV

**MC expected:  $43.6 \pm 6.6$  (stat.)**  
**Observed: 44 for 323 l.d.(cls)**



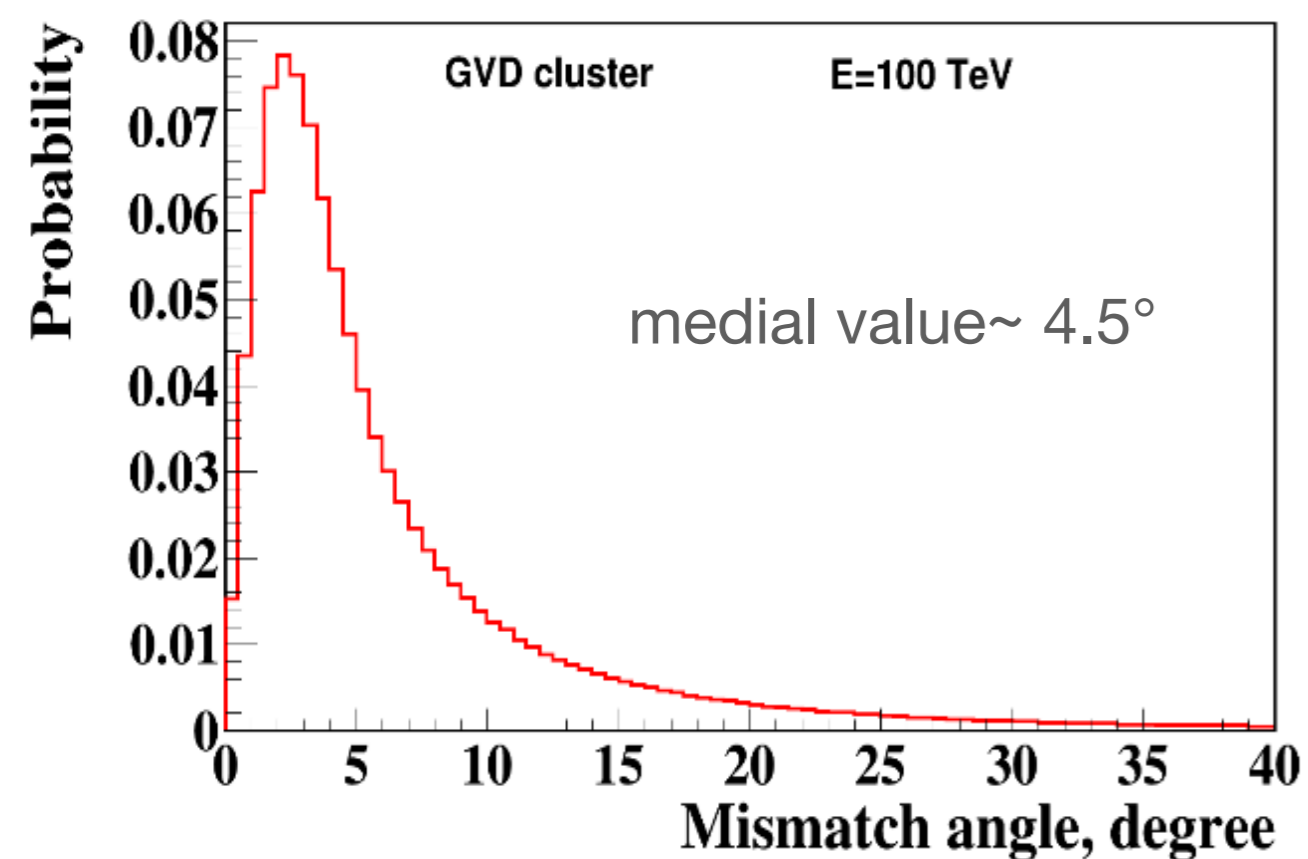


# Search for cascades of astro (alert)

(estimates from MC analysis)

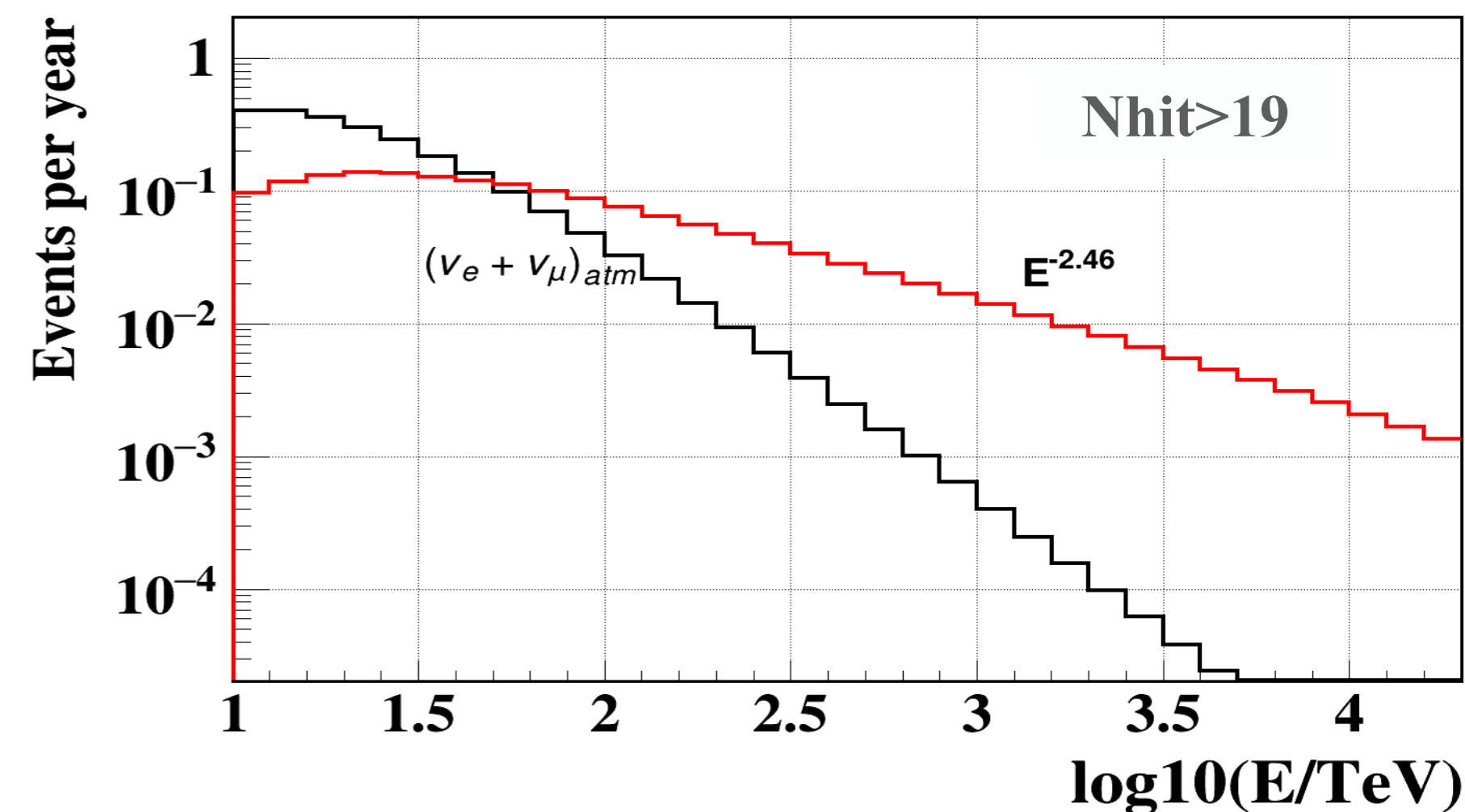
Expected  $\sim 0.3-0.5$  astro-cascades/year for  
1 GVD cluster for  $E_{sh} > 100$  TeV &  $N_{hit} > 19$

## Shower angular resolution



## Cascade selection:

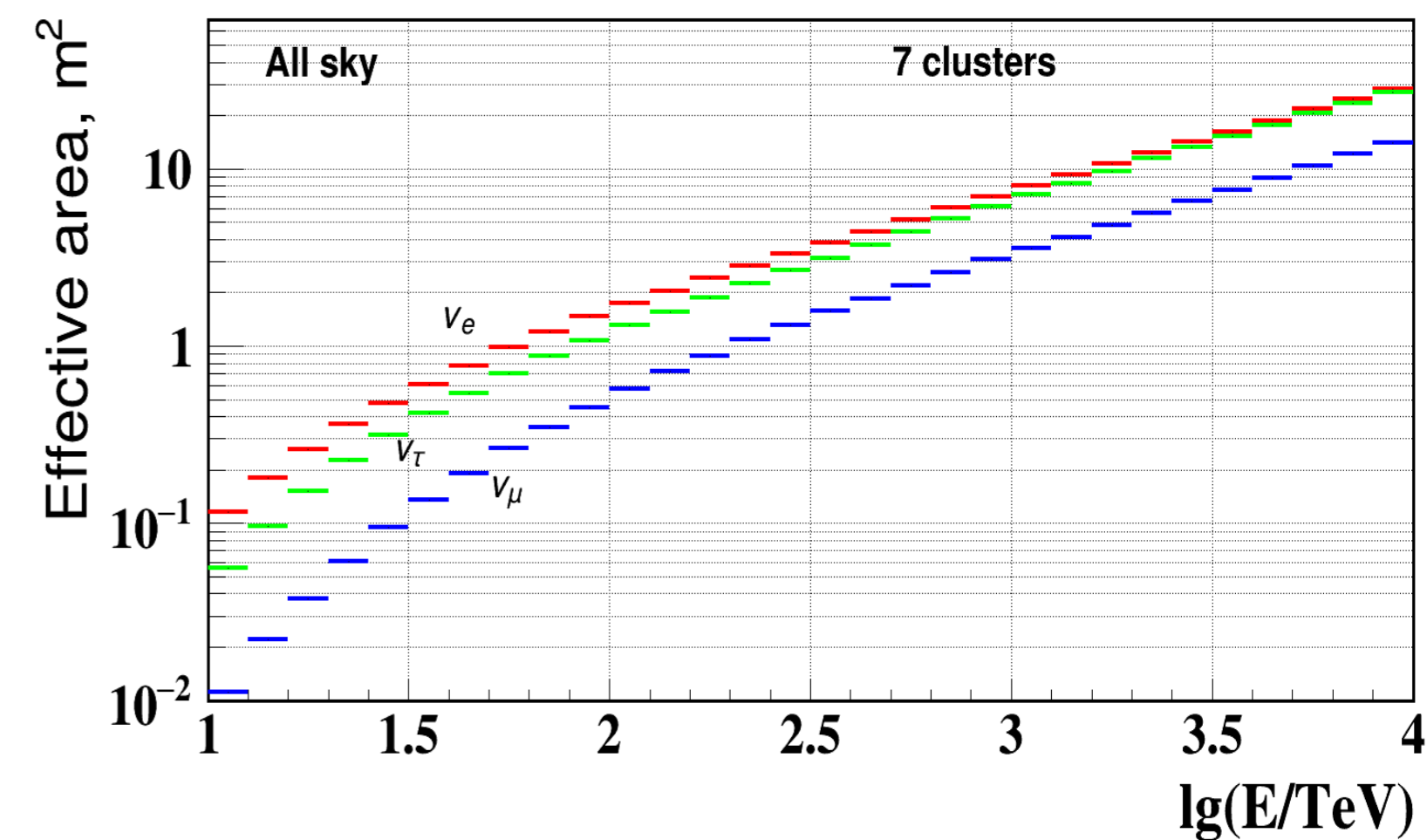
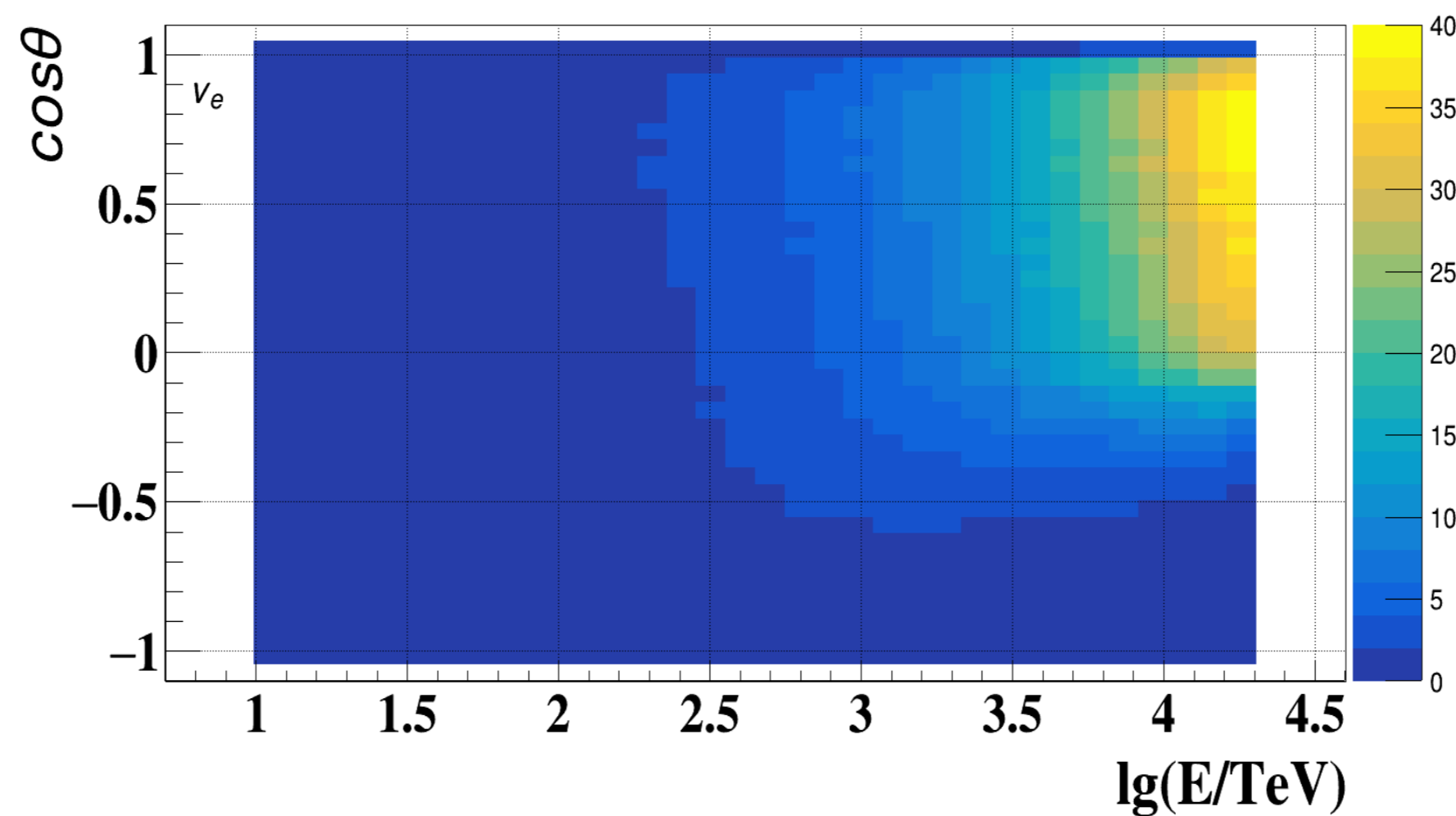
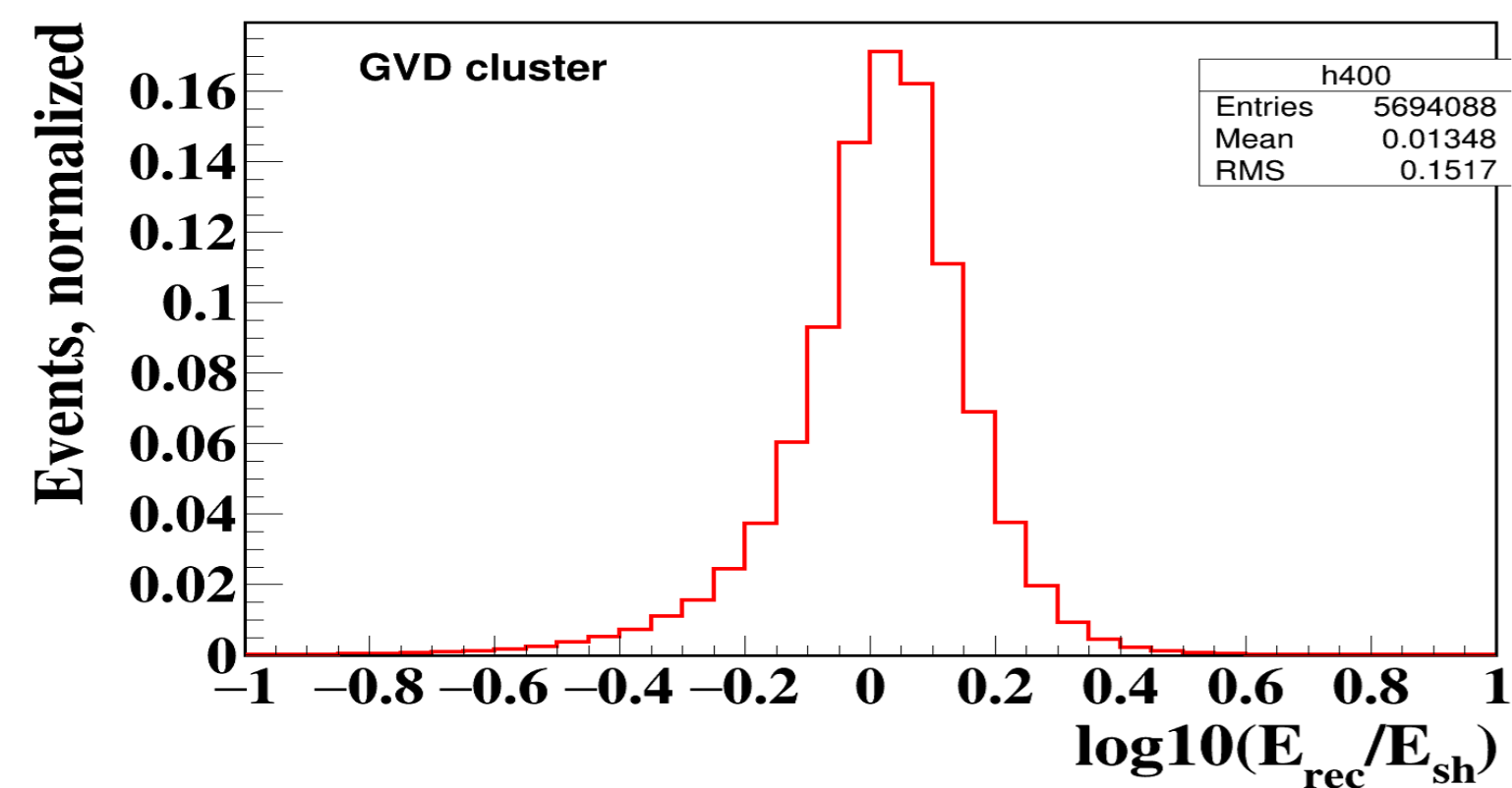
- Causality cuts (noise rejection);
- Reconstruction of cascade position direction and energy and cuts on quality parameters;
- $N_{hit} > 19$



Energy resolution:  $\delta E/E \sim 30\%$   
averaged by  $E^{-2} \nu_e$  spectrum

$\nu_e$  effective area ( $m^2$ ) for single GVD cluster  
after all cuts: vs (Direction and Energy)

Neutrino effective area for 7 GVD clusters  
after all cuts

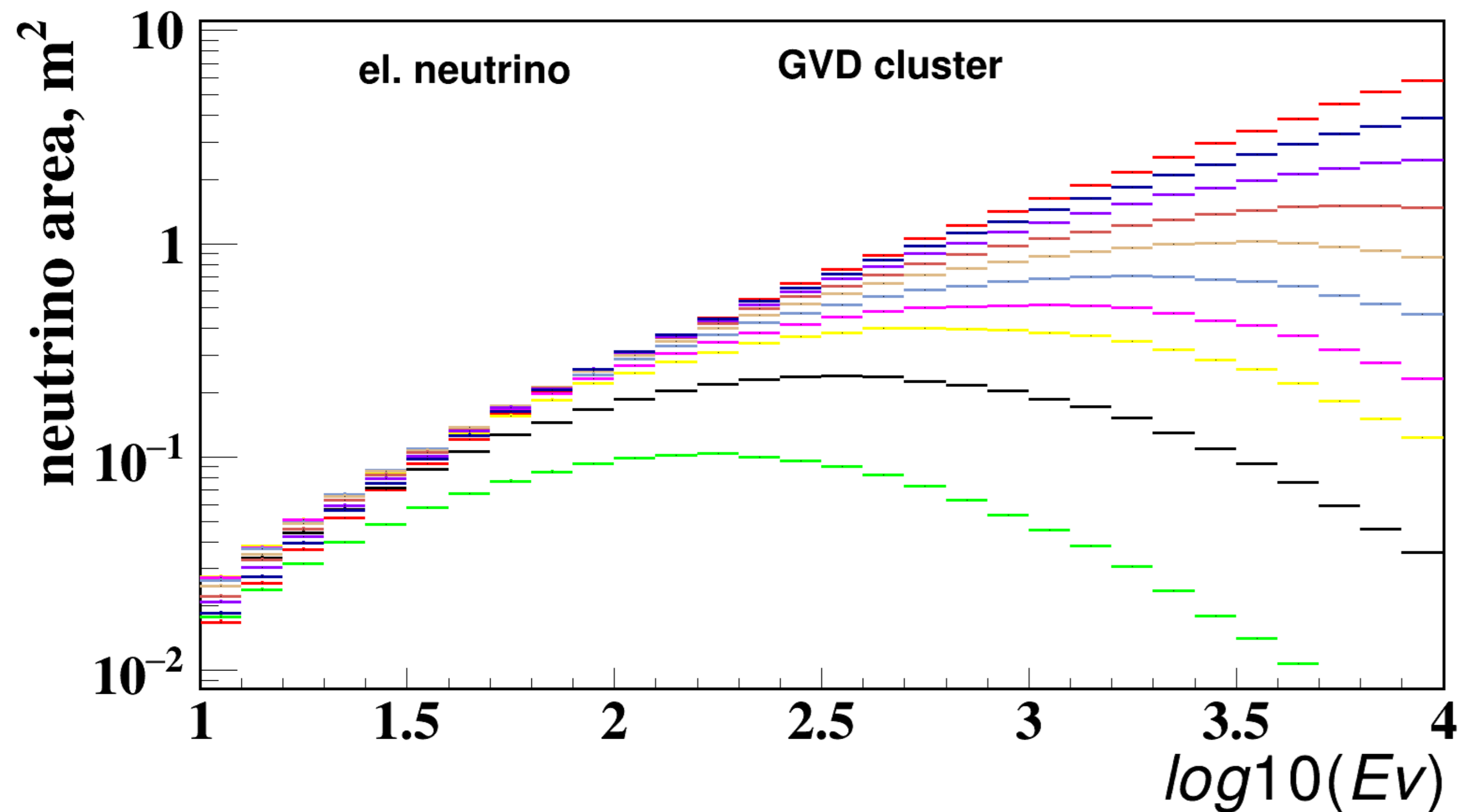




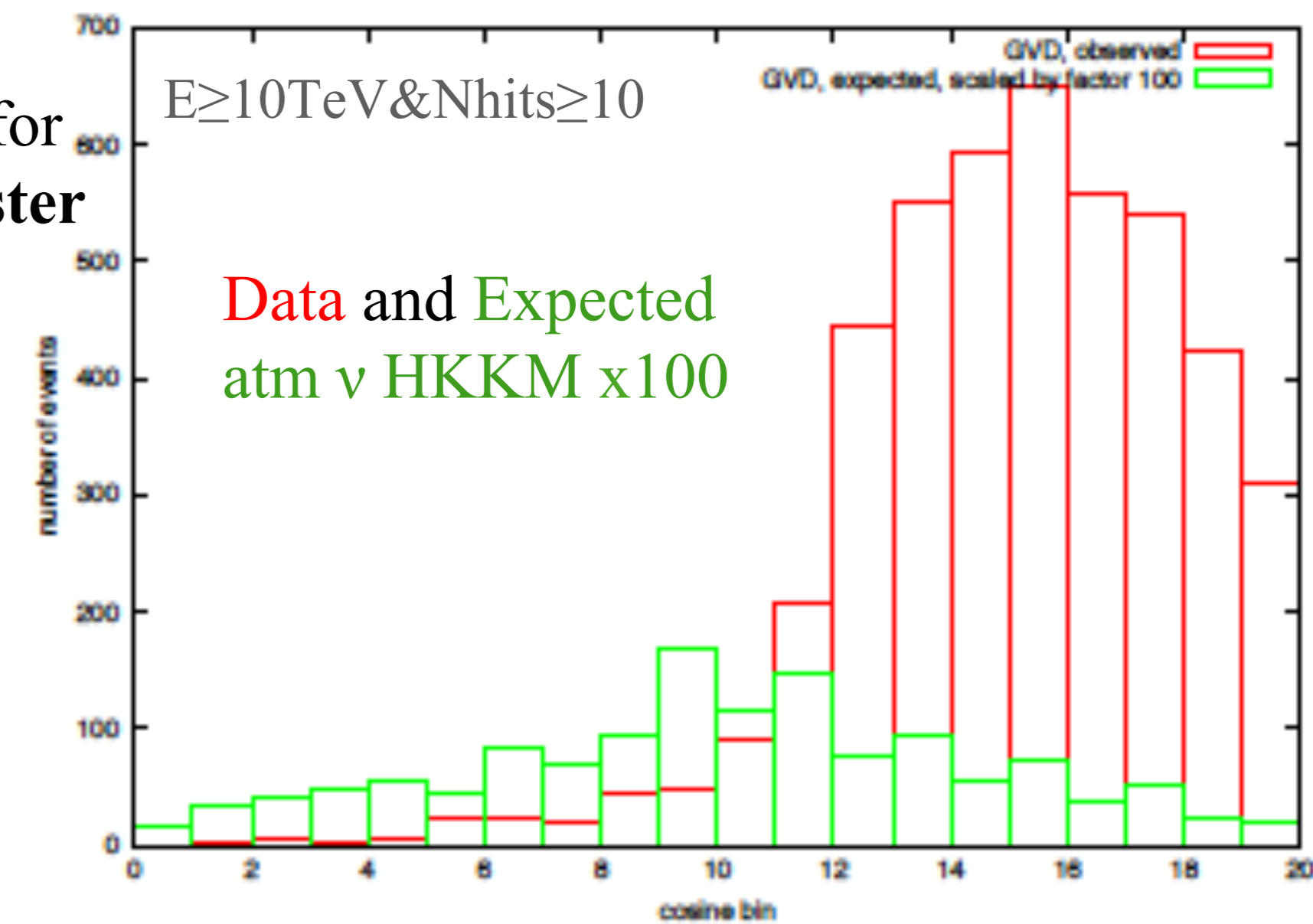


# Baikal Gigaton Volume Detector (GVD-I)

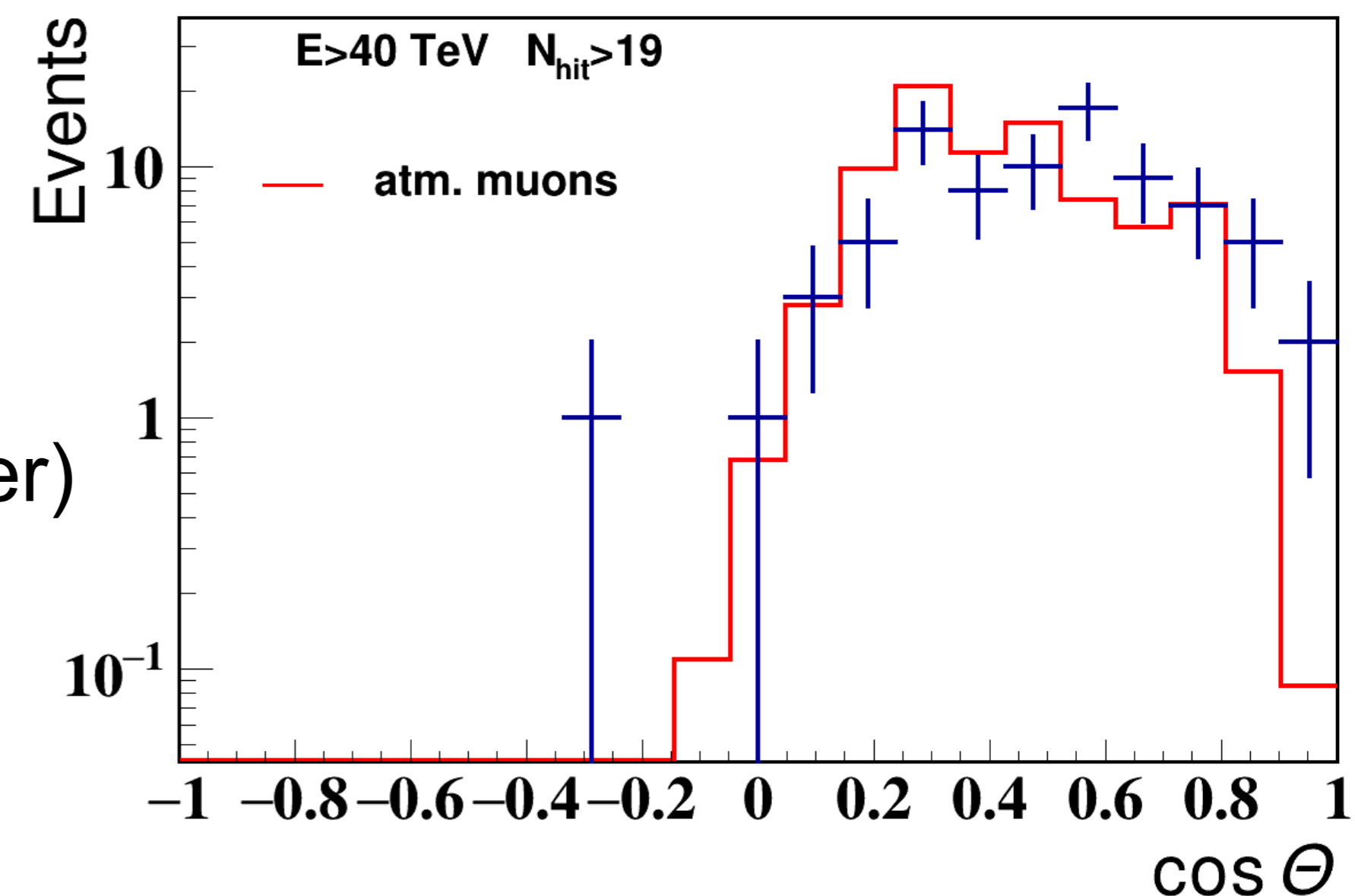
is designed to measure neutrino fluxes in TeV-to-PeV energies.



HE cascades for  
690 days/cluster



For livetime **2915 days** (in terms of one cluster)  
HE cascades were selected: 72 events with  
 $E > 40\text{ TeV}$  and  $N_{\text{hit}} > 19$

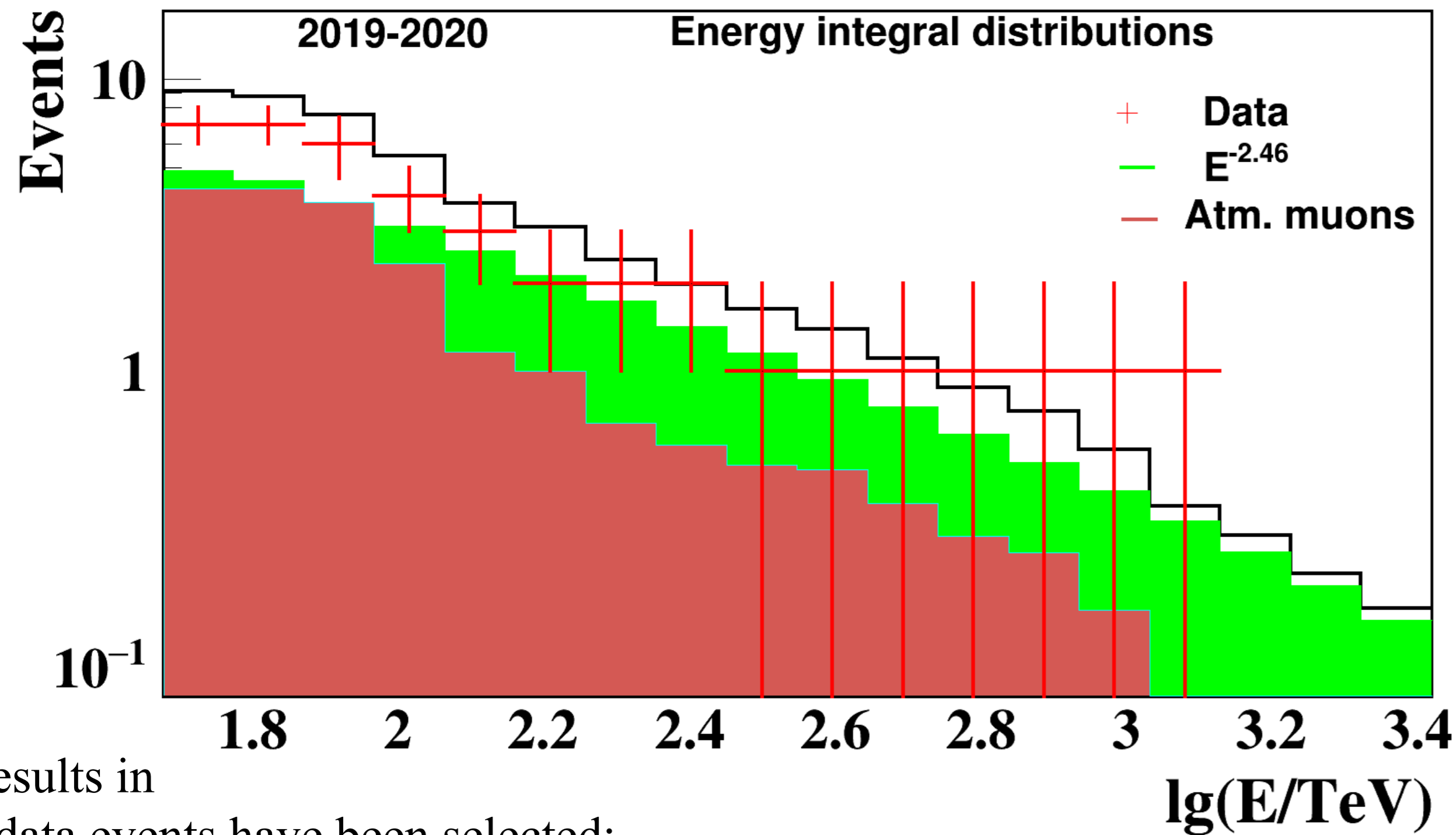




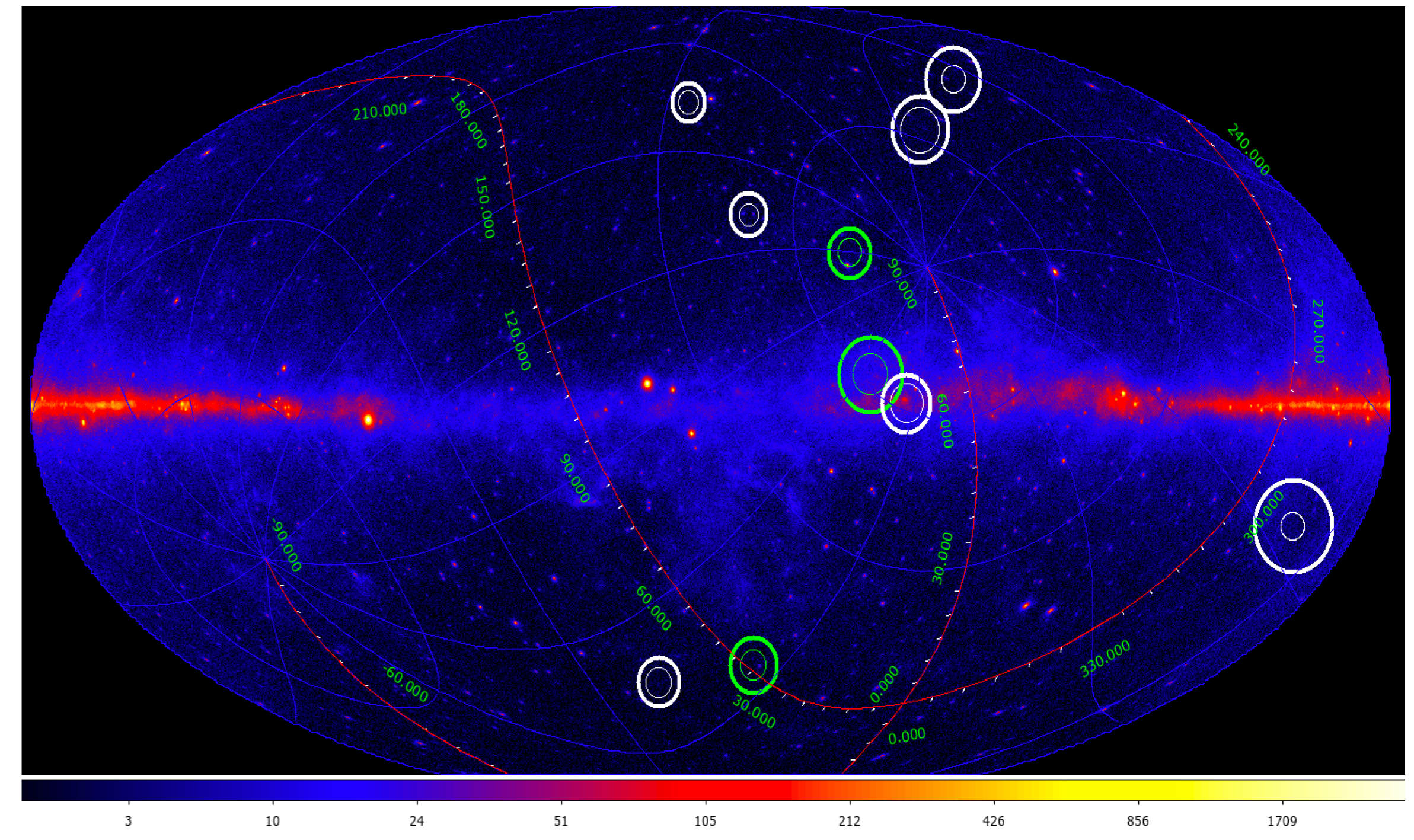
# Baikal Gigaton Volume Detector (GVD-I)

is designed to measure neutrino fluxes in TeV-to-PeV energies

For **lifetime 2915 days** (in terms of one cluster) HE cascades were selected: 72 events with  $E > 40$  TeV and  $N_{hit} > 19$ , **10 events with  $E > 100$  TeV and  $N_{hit} > 19$** . Additional requirements to reject atm muon bundles: analysis of hits vs time ( $N_{Type\_2} = 0, E_{rec} \geq 60$  TeV) or ( $N_{Type\_2} = 1, E_{rec} \geq 100$  TeV)



Results in  
7 data events have been selected;  
4 events are expected from atm.muons;  
5 events are expected from  $E^{-2.46}$  astrophysical flux with  
IceCube normalization  $4.1 \cdot 10^{-6} \text{ GeV}^{-1} \text{ cm}^{-2} \text{ s}^{-1} \text{ sr}^{-1}$



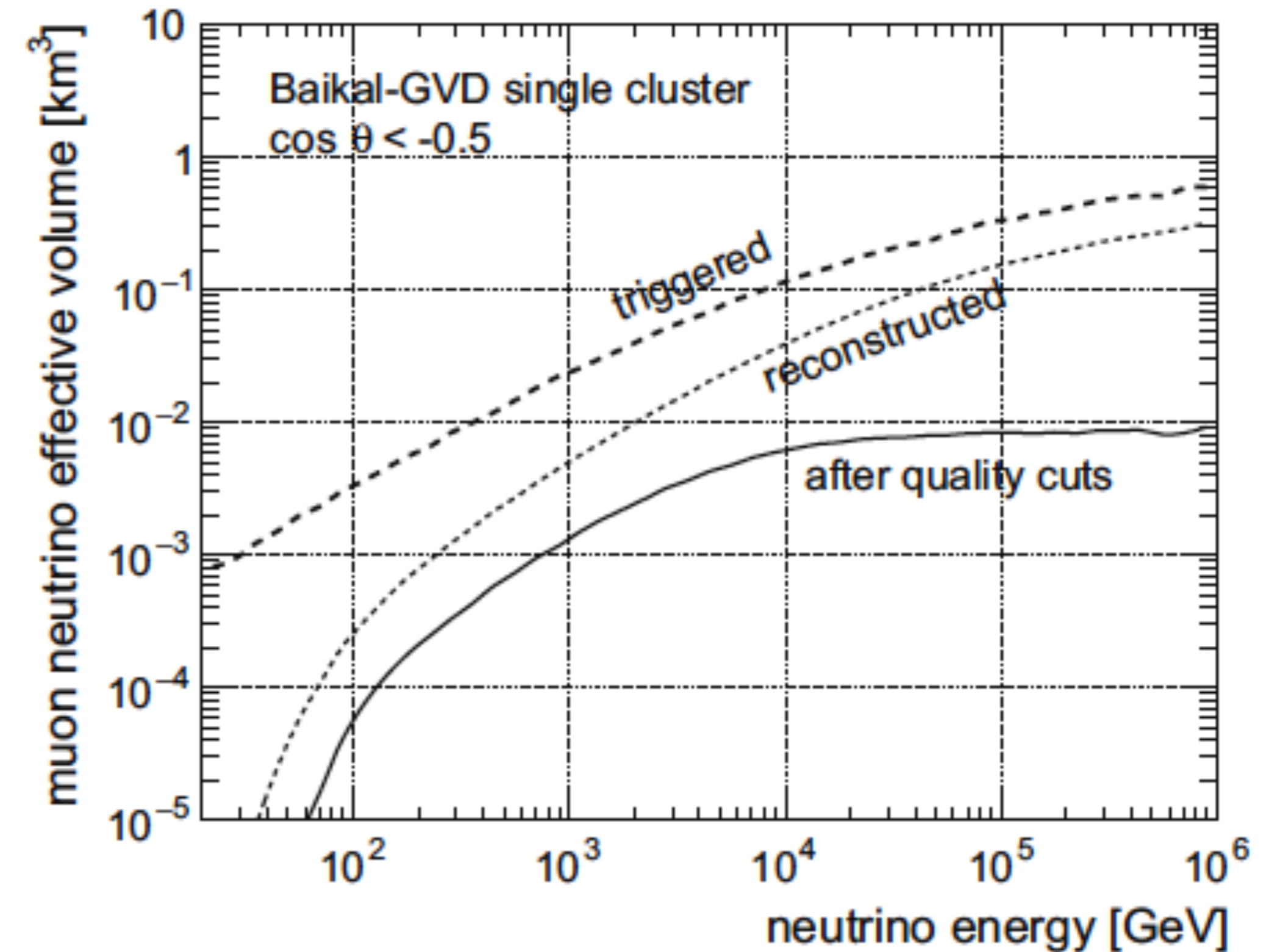
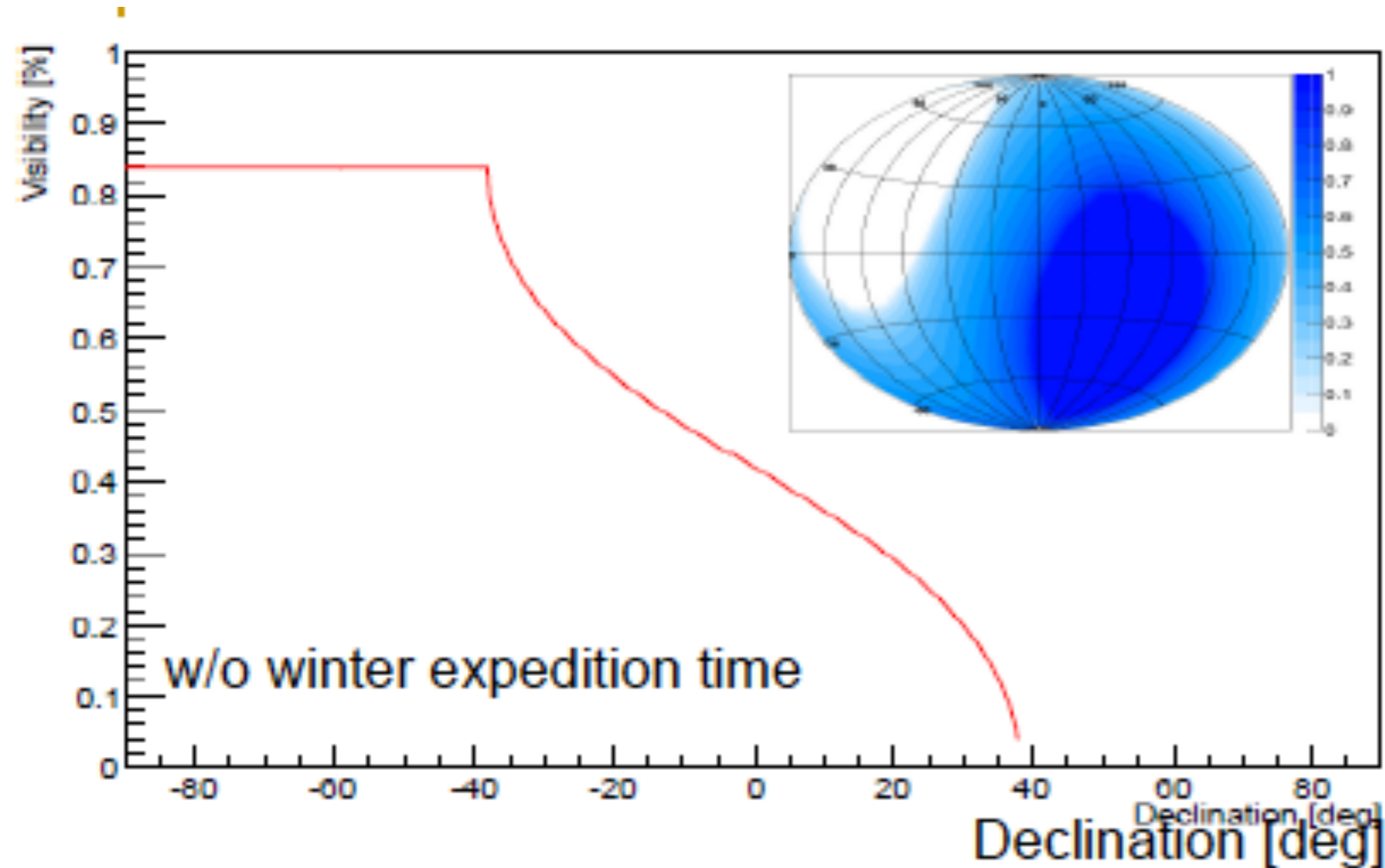
Containment circles 95%(68%):  
**Green – 2018 data sample of 3 selected events for 690 live days;**  
**White – 2019 data sample of 7 selected events for 2915 live days.**

*JETP, 2022, 161-4, A.D.Avrarin et al (Baikal-GVD Coll.)*



# Baikal-GVD effective volume for upward-going muon neutrinos

The current DM abundance emerges naturally assuming typical weak interaction cross sections and WIMPs in mass range of GeV to TeV



Note: Baikal-GVD's FoV is upto +40° on declination for upgoing muons and 4π view in cascade search mode.

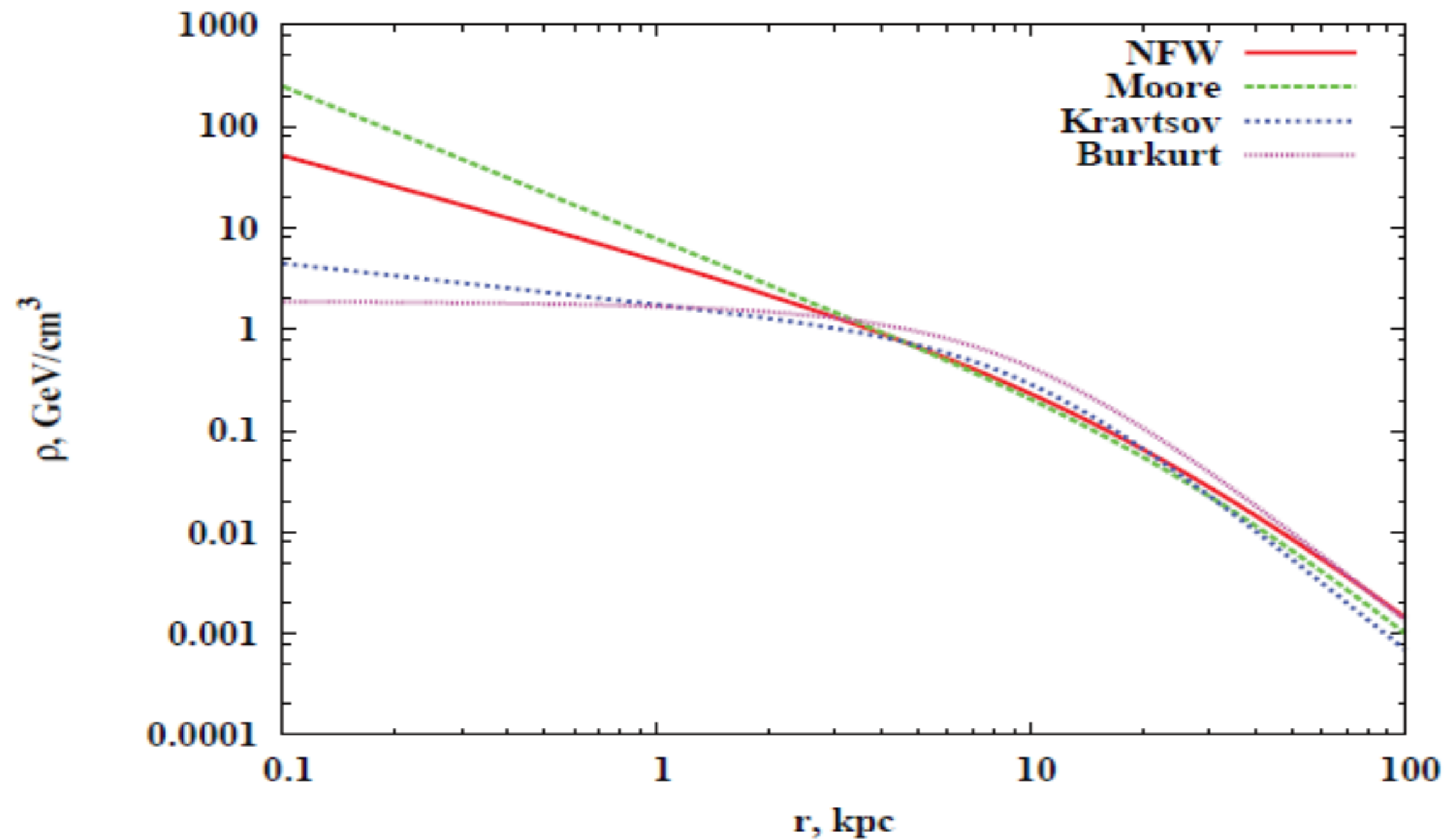
Fig. 5 Effective volume of a standard Baikal-GVD cluster for muon neutrino CC interactions at trigger level (thick dashed line), at reconstruction level using the  $\chi^2$ -based reconstruction (thin dashed line), and after the event selection cuts optimized for the atmospheric neutrino spectrum (solid line). Shown are the average curves over the zenith angle range  $\theta > 120^\circ$  (upward-going)

# WIMP signature in gamma-rays or neutrino fluxes

$$\frac{d\Phi_\gamma}{dE_\gamma}(E_\gamma, \phi, \theta) = \frac{1}{4\pi} \frac{\langle \sigma_{ann} v \rangle}{2m_{WIMP}^2} \sum_f \frac{dN_\gamma^f}{dE_\gamma} B_f$$

$$\times \int_{\Delta\Omega(\phi, \theta)} d\Omega' \int_{los} \rho^2(r(l, \phi')) dl(r, \phi')$$

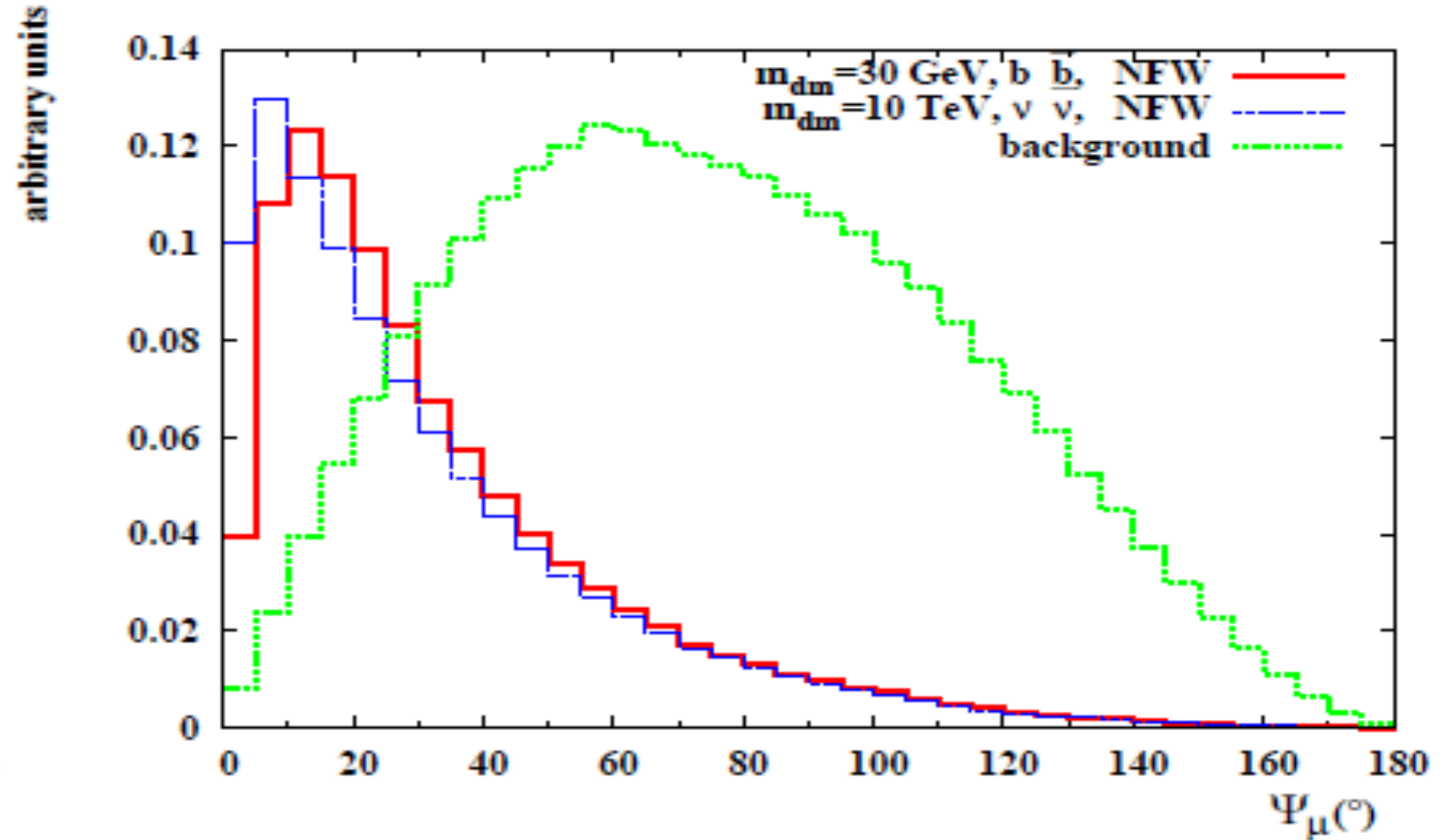
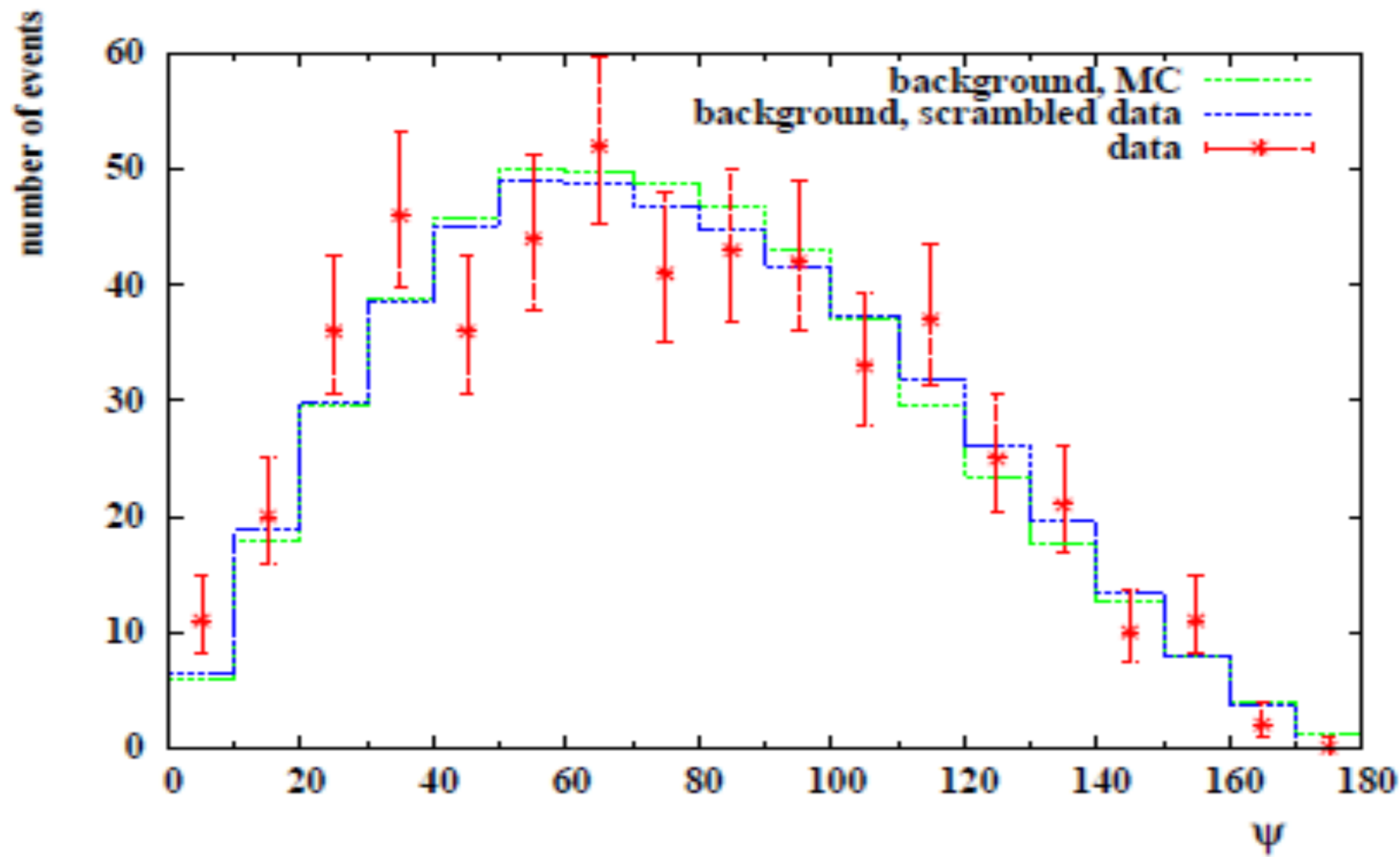
DM distribution (J-factor)



Model	$\alpha$	$\beta$	$\gamma$	$\delta$	$r_*$ , kpc	$\rho_*$ , GeV/cm <sup>3</sup>
NFW	1	3	1	0	20	0.3
Burkert	2	3	1	1	9.26	1.88
Moore	1.5	3	1.5	0	28	0.27

$$\rho(r) = \frac{\rho_0}{\left(\delta + \frac{r}{r_s}\right)^\gamma \left[1 + \left(\frac{r}{r_s}\right)^\alpha\right]^{(\beta-\gamma)/\alpha}}$$

# Angular mu-GC distributions: real data, mix-bckg and expected signal

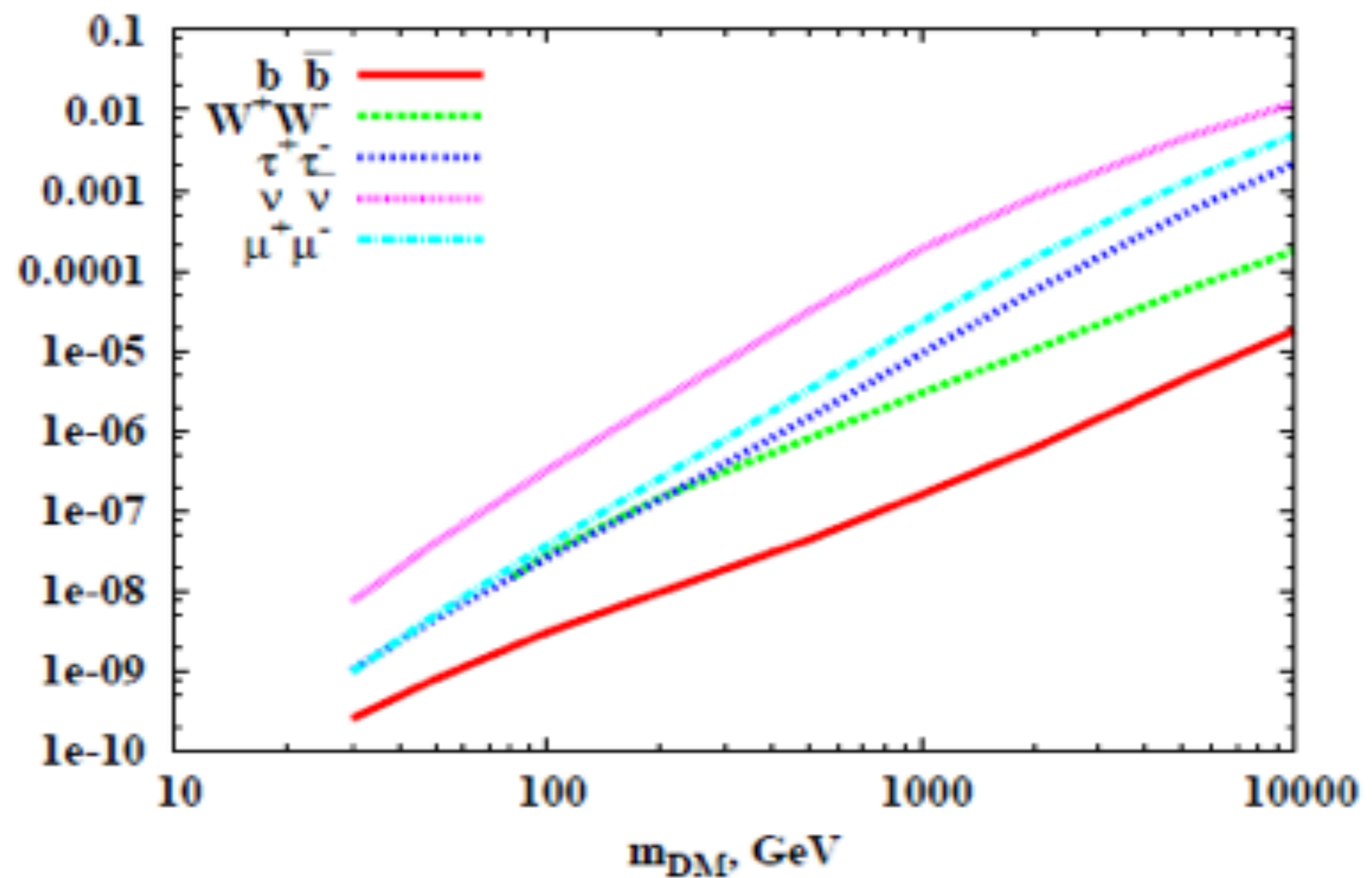
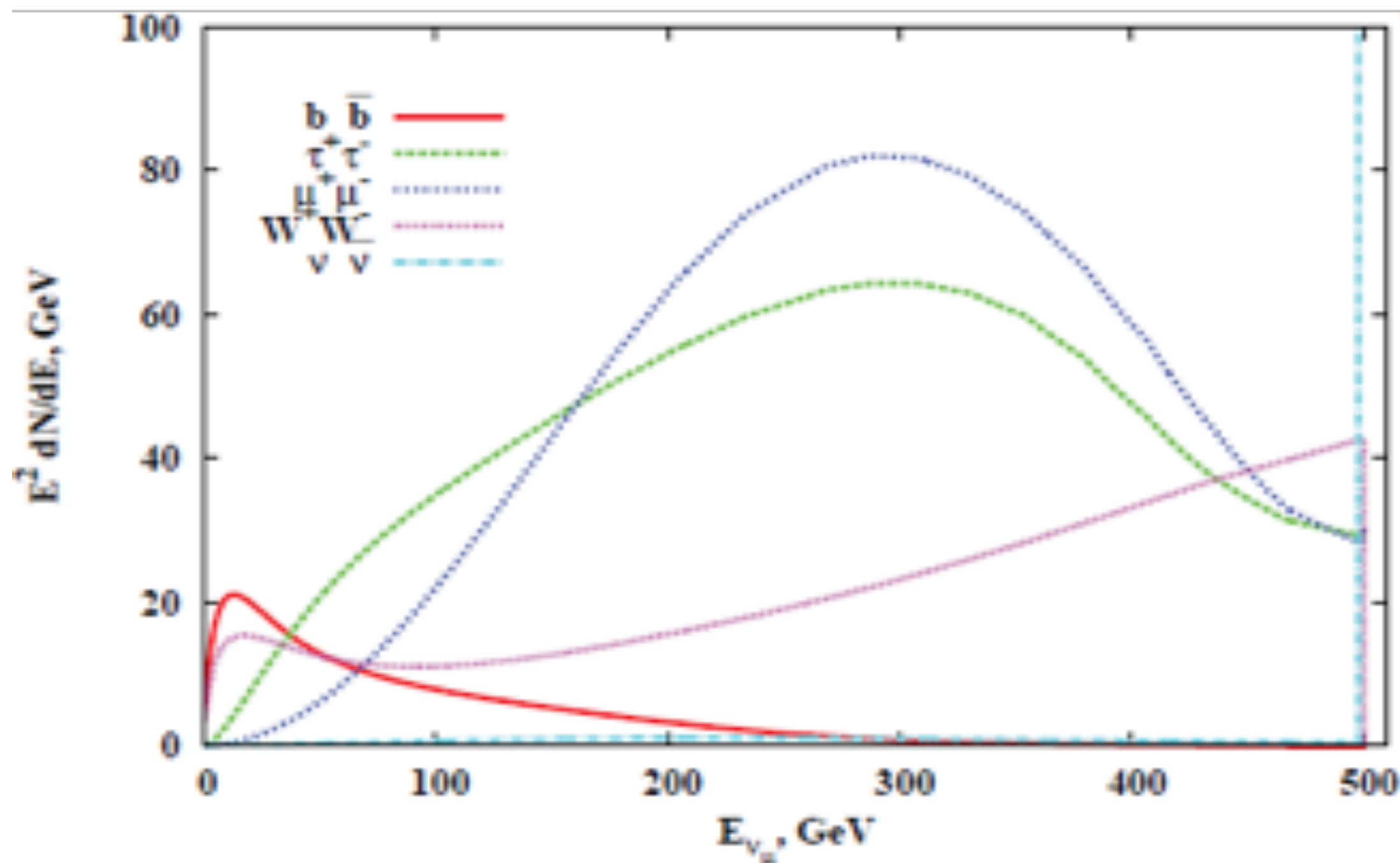


Cone	20°	5°	2.5°
N_obs	31	2	2
N_bkg	25.1	1.63	0.42



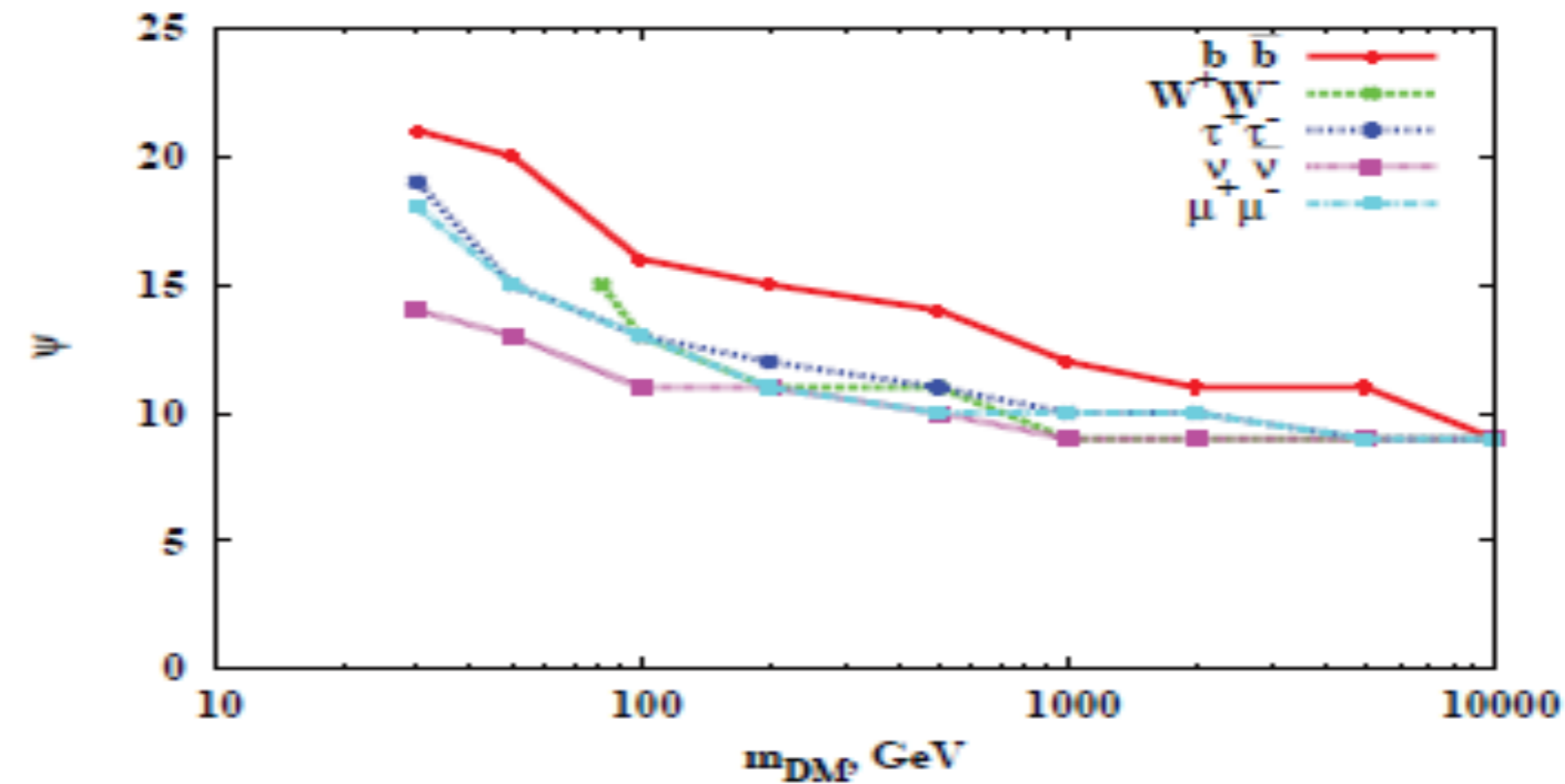
# Neutrino spectra in WIMP annihilations and NT200 $\nu$ -Effective Areas

$\nu$ -generation in the GC and 3-flavors  $\nu$ -propagation through the Earth



## Galactic Center: Baikal NT200 search for WIMPs

## Analysis I:

Choose cone half-angle  $\psi$ maximize S/N ratio  $\frac{\bar{N}^{90}}{\sqrt{N_B}}(\psi)$ 

## Analysis II:

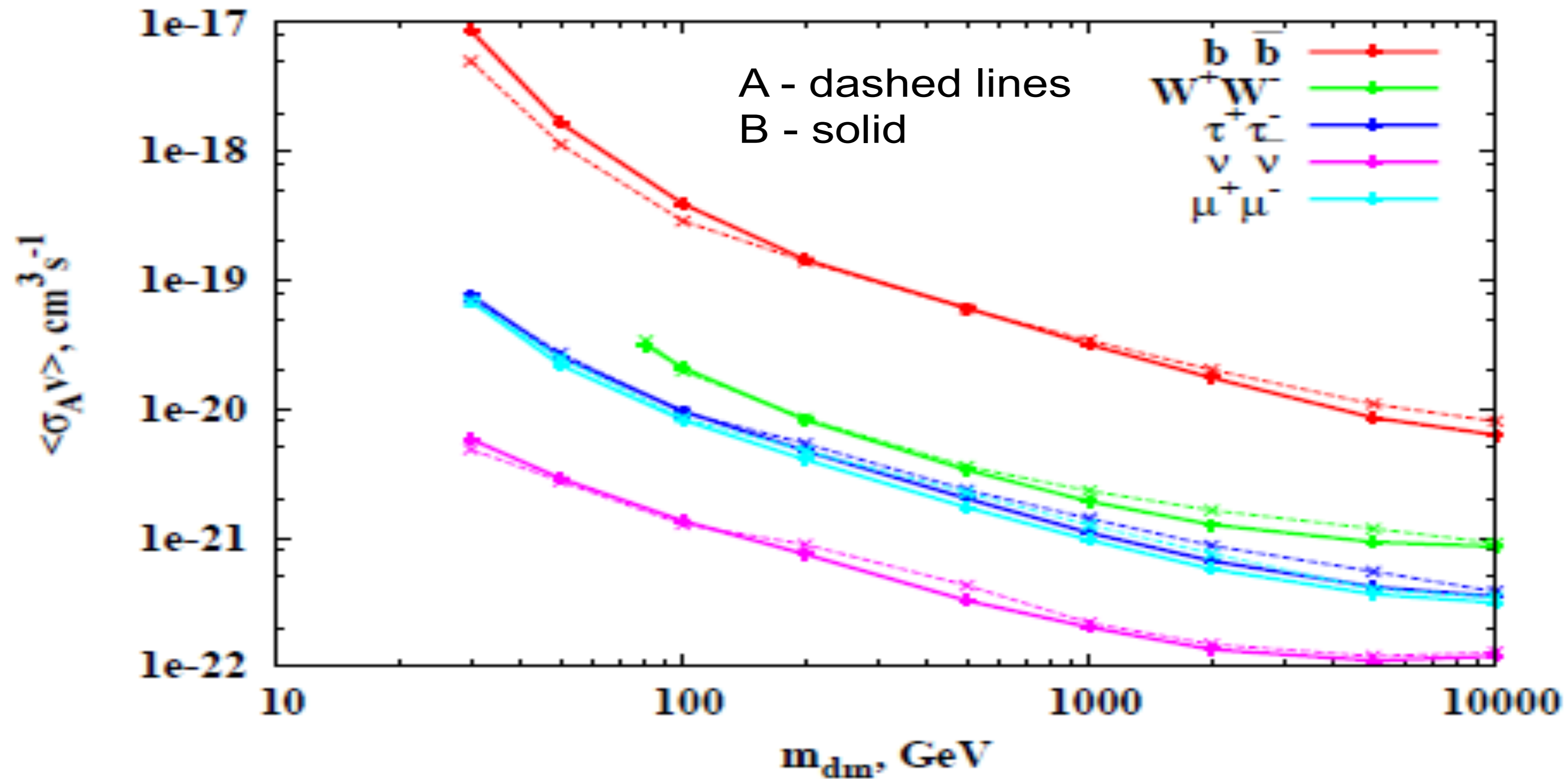
Angular distribution  $f(\psi) = \frac{1}{N_S + N_B} (N_S f_S(\psi) + N_B f_B(\psi))$ 

Likelihood function

$$\mathcal{L}(N_S) = \frac{(N_B + N_S)^n}{n!} e^{-(N_B + N_S)} \prod_{i=1}^n f(\psi_i, N_B, N_S)$$

Systematic uncertainties: profile likelihood,  $\lambda(N_S) = -2 \ln \frac{\mathcal{L}(N_S, \hat{\theta})}{\mathcal{L}(\hat{N}_S, \hat{\theta})}$

## Baikal NT200 results: the upper limits at 90% CL

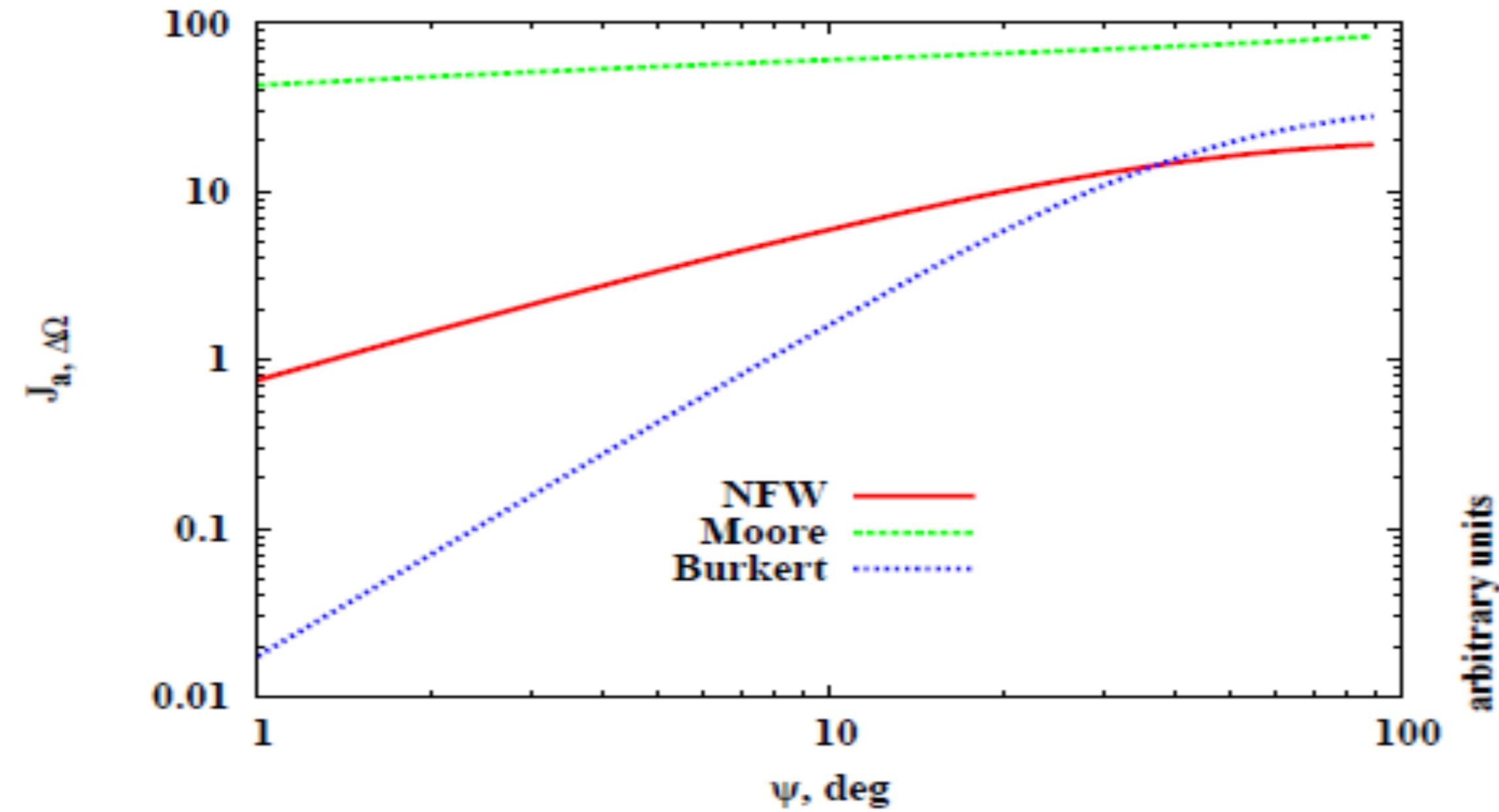


Systematics: experiment (about 30%) and theory (upto 15%)  
without astrophysical uncertainties

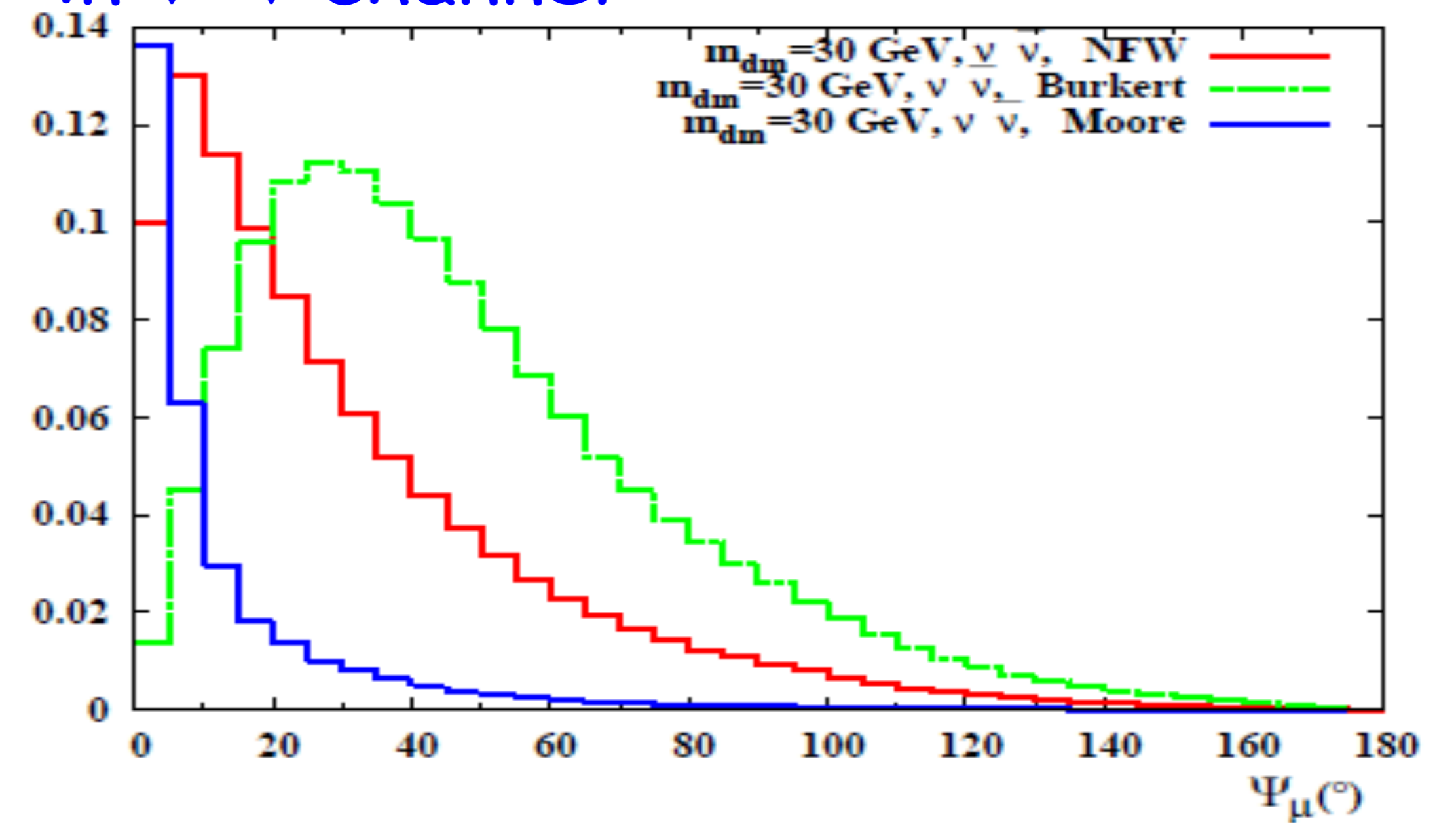




## Astrophysical uncertainties in DM profiles

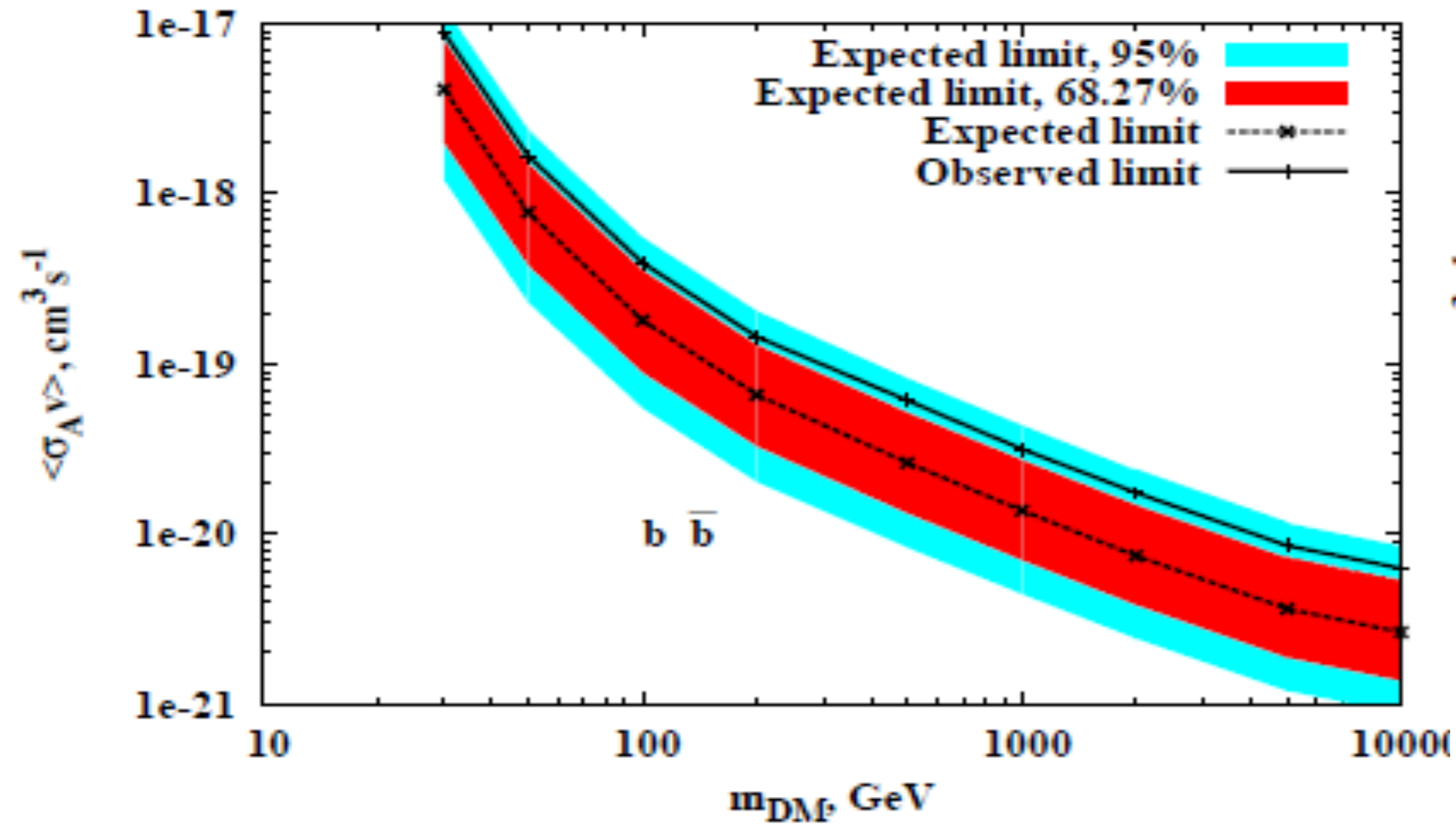


## Angular mu-GC distr of a signal in $\nu-\nu$ channel

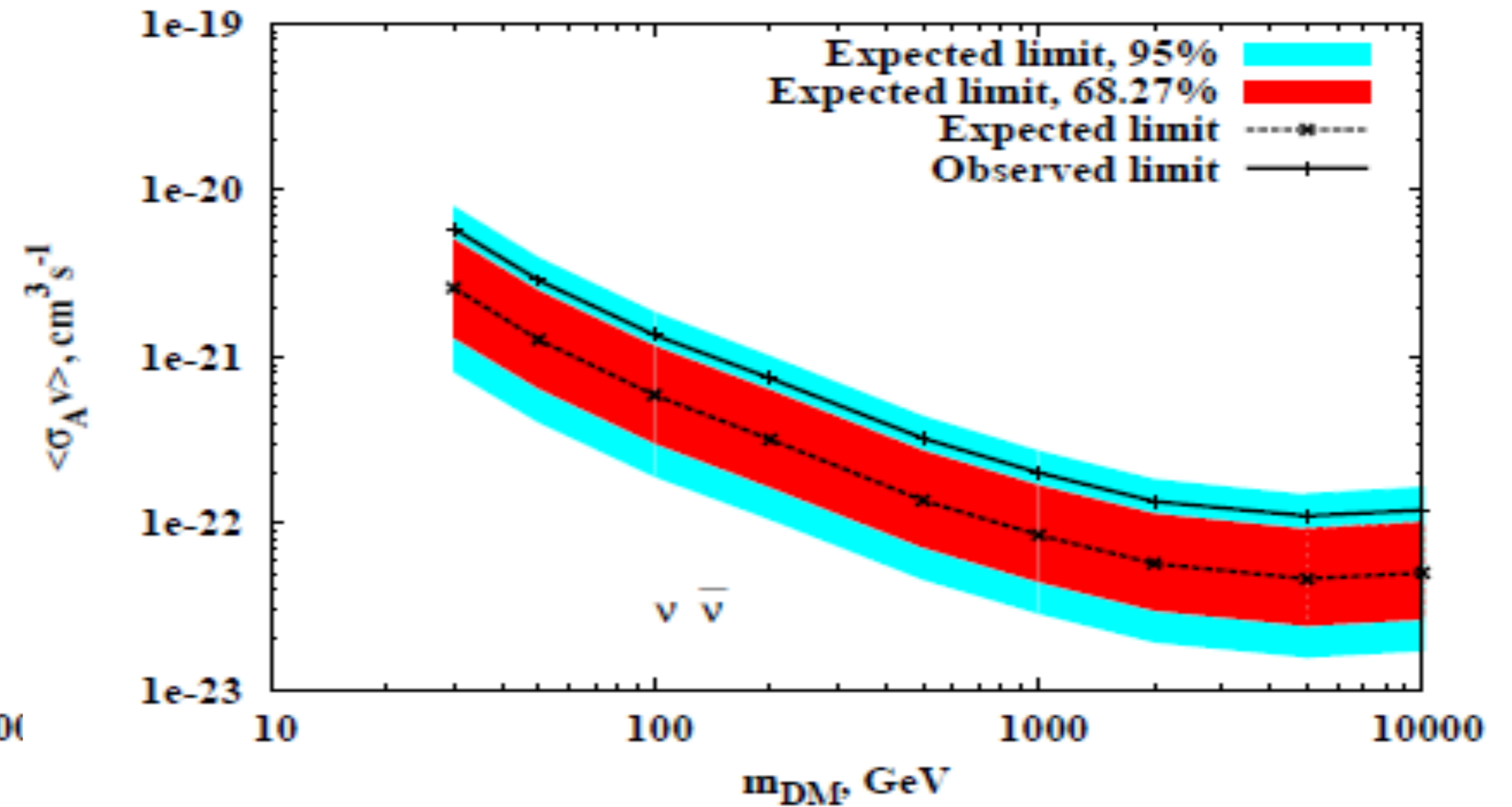


## Baikal NT200: sensitivities to GC dm-signal from pseudo-experiments

Soft spectra: bb



Hard spectra: nu-nu



$N_{\text{obs}}=113$  @  $\psi < 40^\circ$

TS= 5.8 - 6.6 (no syst) and TS= 1.4 - 1.6 with syst.



# Baikal-GVD 1year GC-sensitivity for 12 clusters (2304 OM, 345m), first estimates

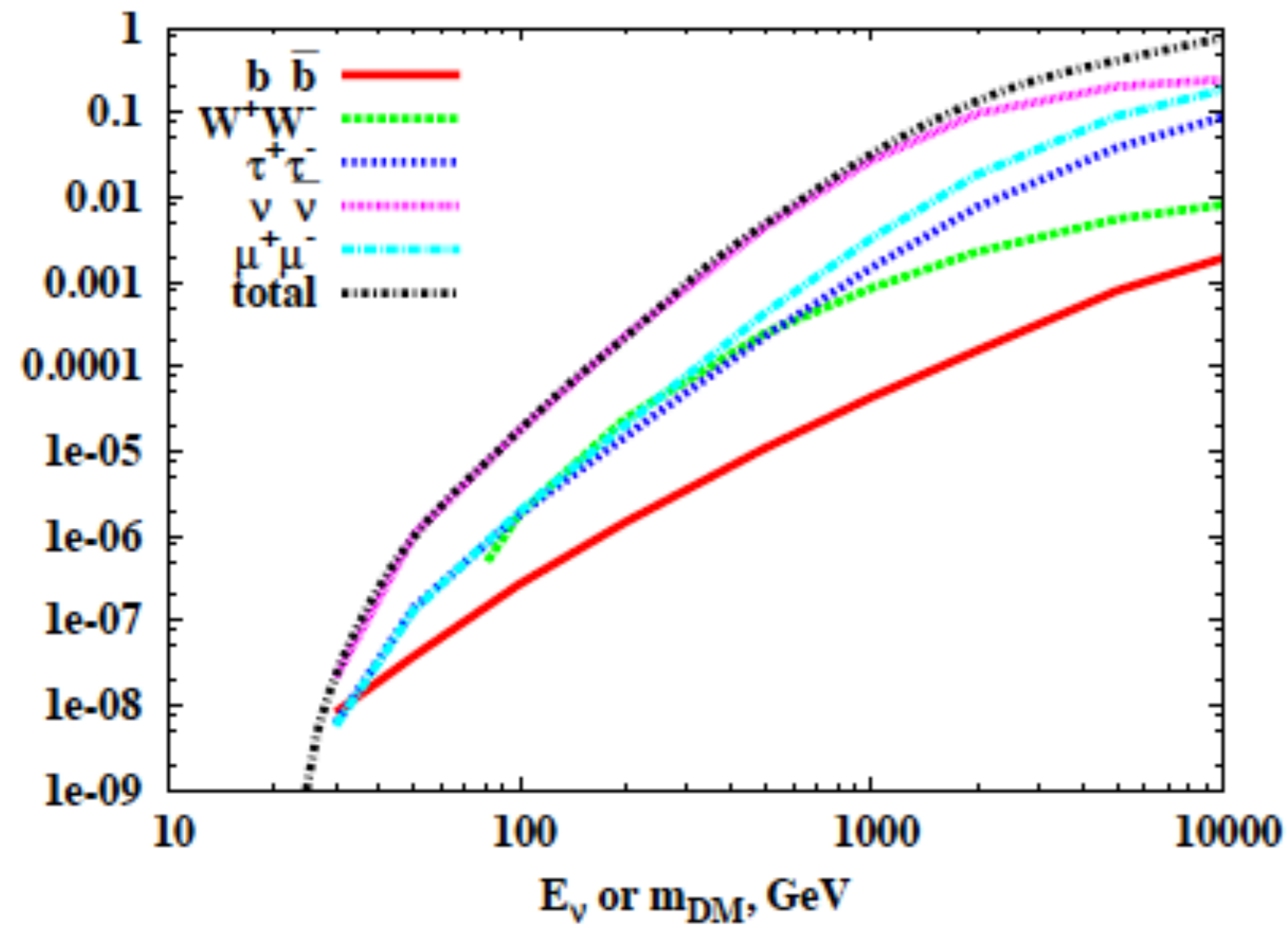
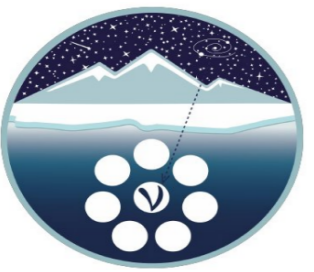


Figure 2: Neutrino effective area of single Baikal-GVD cluster (black) and averaged over neutrino spectra effective areas for different annihilation channels (color).

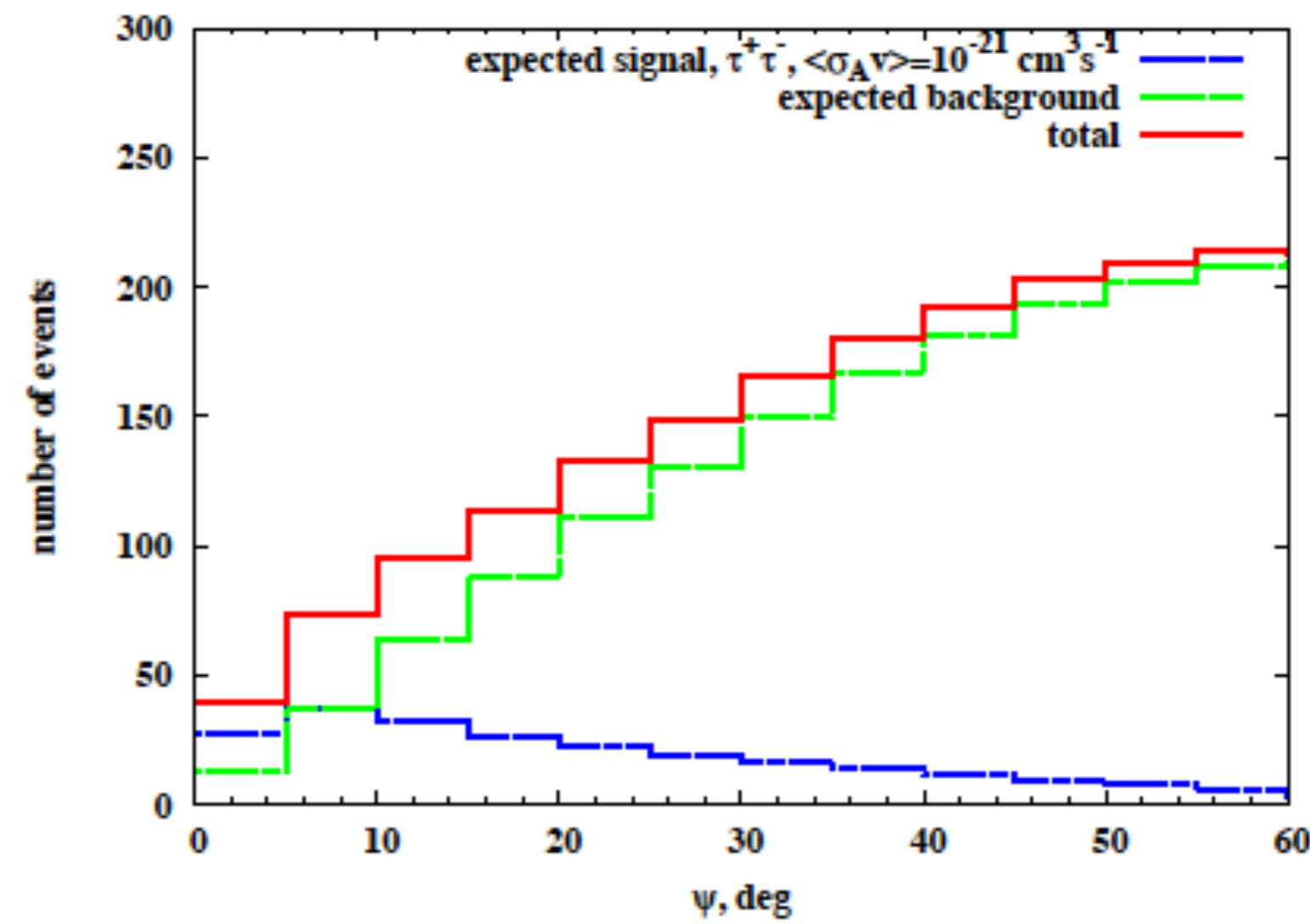


Figure 4: Distribution of background and signal events in angular distance from GC.

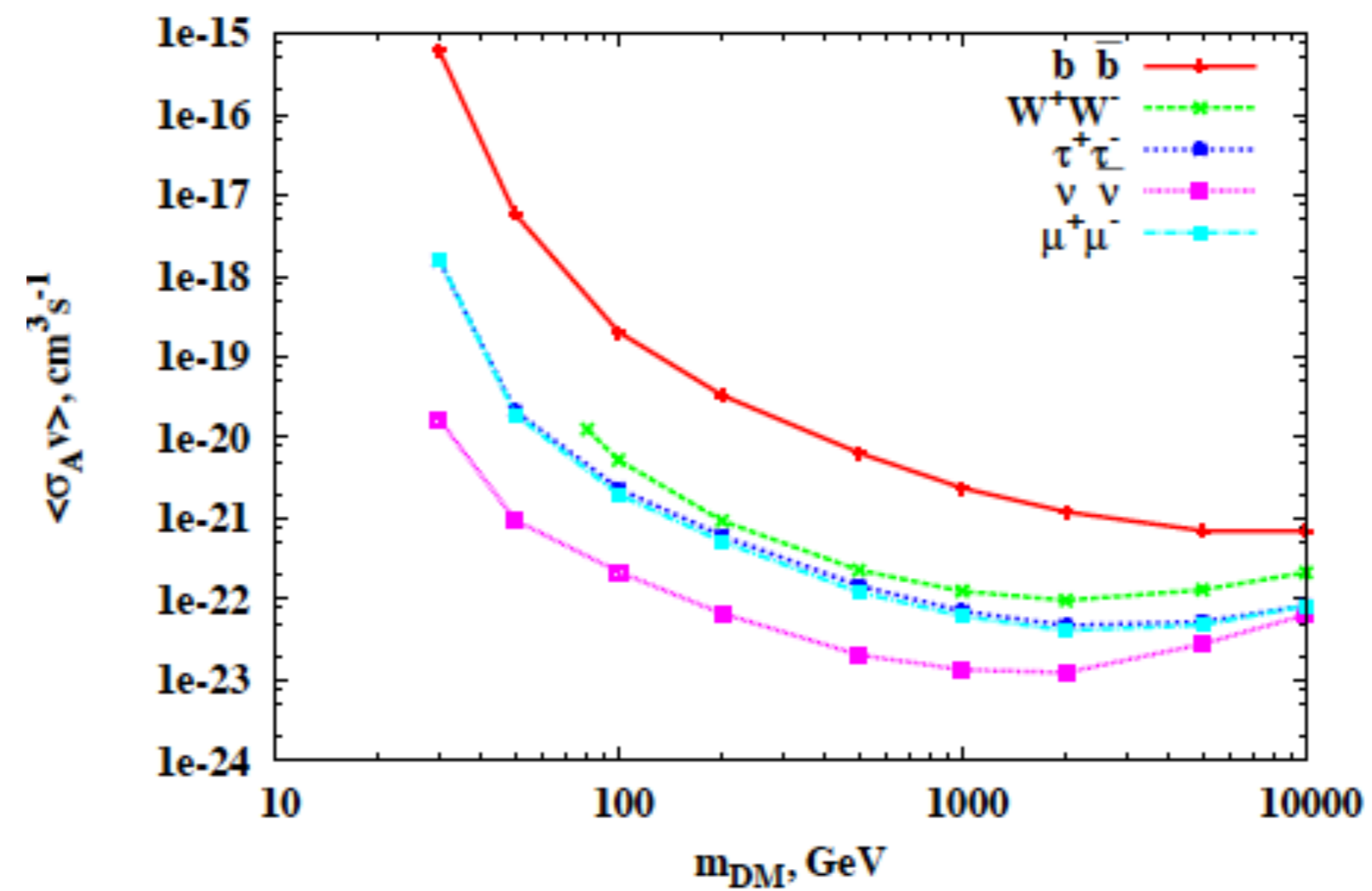


Figure 5: Sensitivity of GVD to  $\langle\sigma v\rangle$  for one year for different annihilation channels.

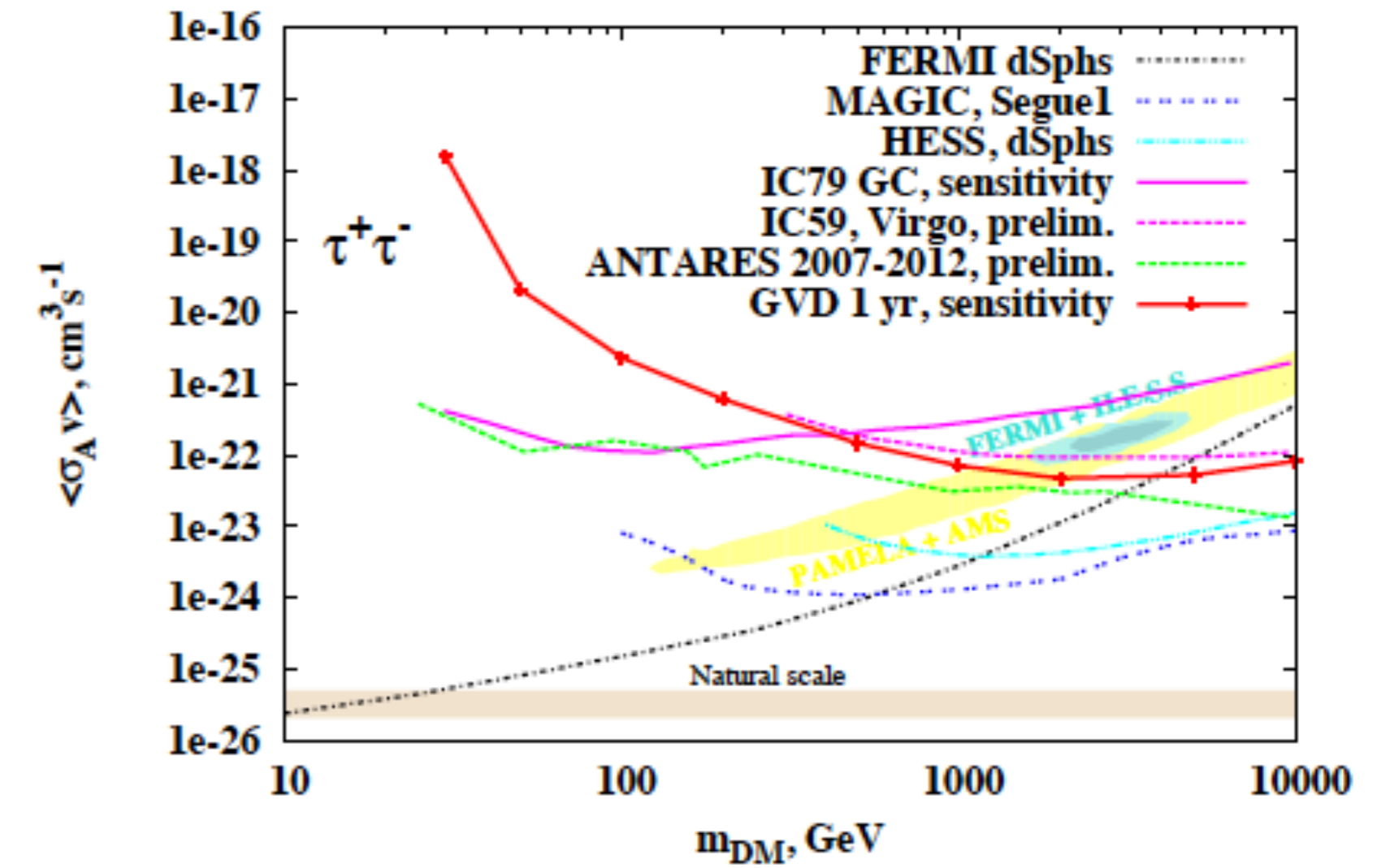


Figure 6: Sensitivity of GVD to  $\langle\sigma v\rangle$  in comparison with other experiments.

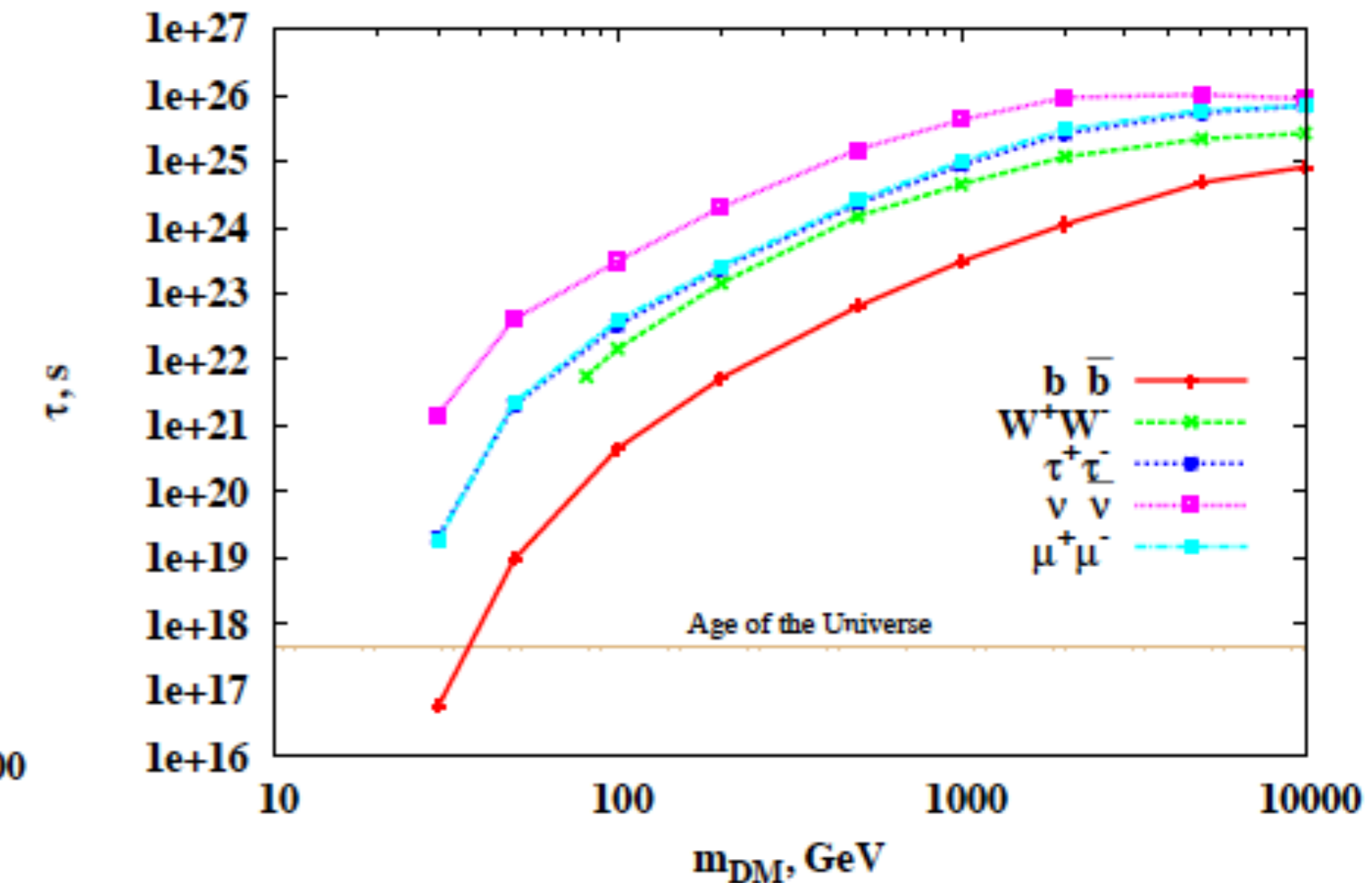
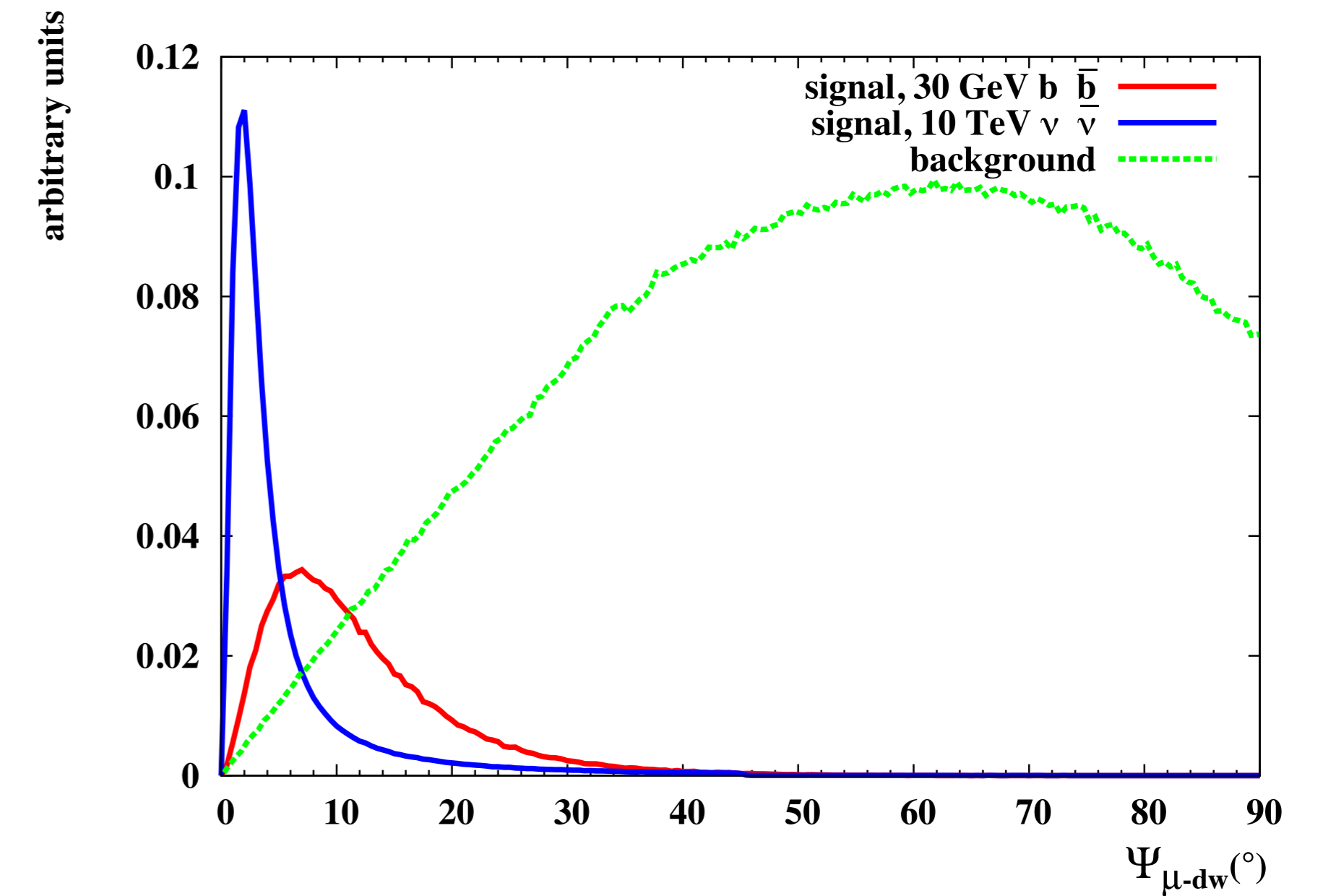


Figure 7: GVD-Baikal sensitivity to  $\tau_{DM}$  for  $T = 1$  yr.

# DM constrains from observation of 22 dSphs by Baikal-NT200

Name	Dec	RA	$\overline{\log_{10} J}$	$N_S$	$N_B$	TS, $b\bar{b}$ 30 GeV	TS, $\nu\bar{\nu}$ 10 TeV
Carina	-50.97	100.40	$18.1\pm 0.23^a$	30	29.3	0.10	1.11
Fornax	-34.45	40.0	$18.2\pm 0.21^a$	25	26.0	0.02	0
Leo-I	12.31	152.12	$17.7\pm 0.18^a$	14	11.6	1.15	0.05
Leo-II	22.15	168.37	$17.6\pm 0.18^a$	11	6.81	2.19	0
Sculptor	-33.71	15.04	$18.6\pm 0.18^a$	29	24.5	0	0.25
Sextans	-1.61	18.26	$18.4\pm 0.27^a$	23	17.6	2.50	0
Bootes-I	14.50	210.03	$18.8\pm 0.22^a$	12	10.7	0.05	0.95
Coma Berenices	23.90	186.75	$19.0\pm 0.25^a$	10	6.12	0.76	0.12
Hercules	12.79	247.76	$18.1\pm 0.25^a$	9	11.3	0	0
Leo-IV	-0.53	173.24	$17.9\pm 0.28^a$	18	16.8	0.0	0.48
Leo-V	2.22	172.79	$16.37\pm 0.9^b$	18	15.4	0.0	0
Leo-T	17.05	143.72	$17.11\pm 0.4^b$	14	9.34	0	0
Segue-1	16.08	151.77	$19.5\pm 0.29^a$	13	9.76	1.28	0.78
Segue-2	20.18	34.82	$16.21\pm 1.0^b$	8	7.83	0.03	0.99
Reticulum-2	-54.05	53.92	$19.8\pm 0.9^c$	20	28.7	0.01	0.76
Eridanus-2	-43.53	56.09	$17.3\pm 0.4^d$	25	27.5	0	0
Horologium-1	-54.11	43.87	$18.4\pm 0.4^d$	22	28.8	1.02	0
Pictor-1	-50.28	70.95	$18.1\pm 0.4^d$	19	28.6	0	0
Phoenix-2	-54.41	354.99	$18.4\pm 0.4^d$	35	28.2	2.34	0
Indus-1	-51.16	317.20	$18.3\pm 0.4^d$	28	27.3	0	0
Eridanus-3	-52.28	35.69	$18.3\pm 0.4^d$	29	28.7	0.63	4.96
Tucana-2	-58.57	343.06	$18.8\pm 0.4^d$	31	27.4	2.38	1.98

$$\frac{d\phi_\nu}{dE_\nu d\Omega} = J_a(\psi) \frac{\langle \sigma_a v \rangle}{8\pi m_{DM}^2} \frac{dN_\nu}{dE_\nu}$$



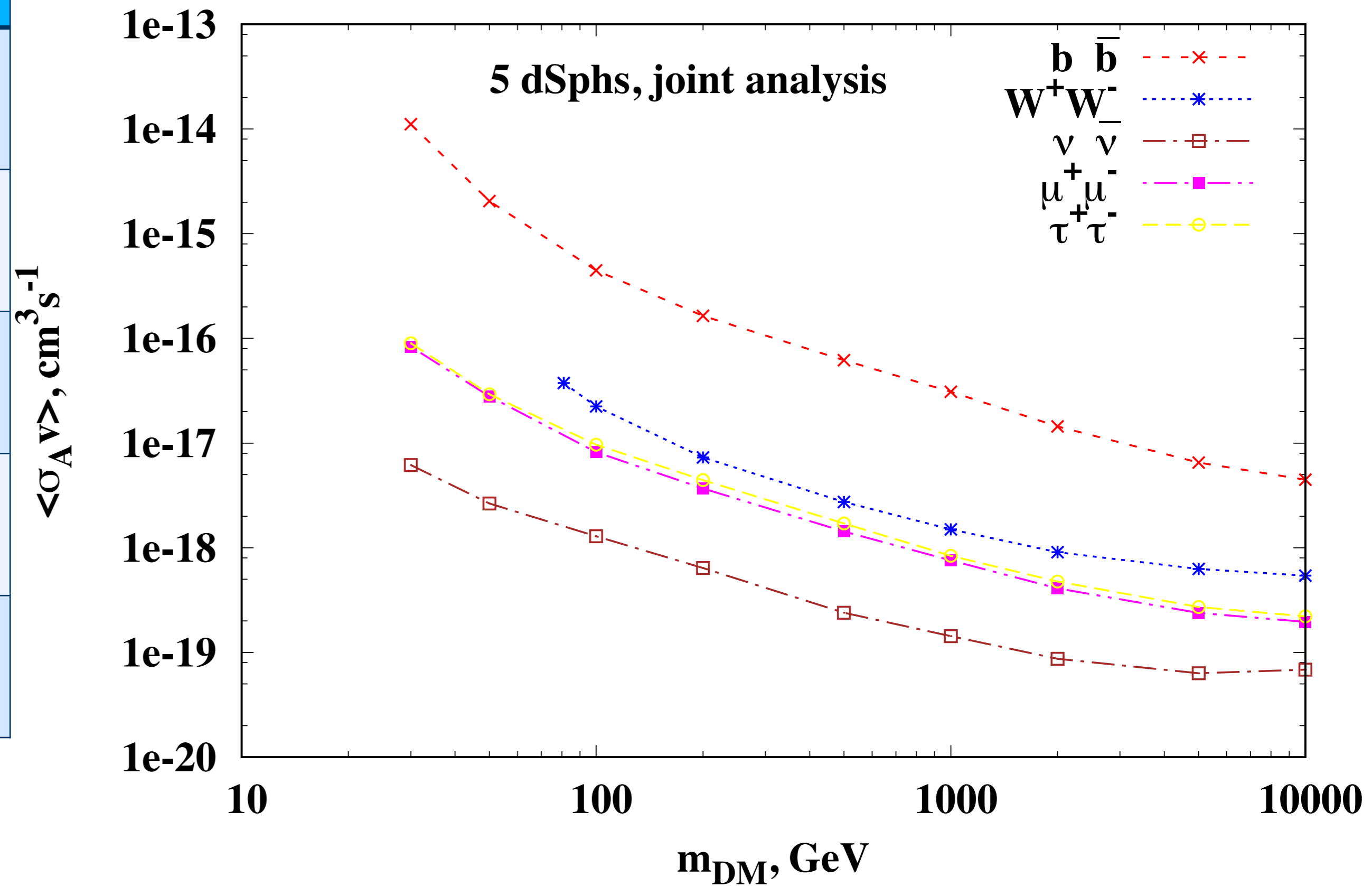


# Baikal sensitivity to DM annihilation: TS, UL in combined analysis

JTEP, 125 (2017) 80, V.Avrarin et al (Baikal-GVD Coll.)

dSphs	nu-nu 30 GeV	nu-nu 10 TeV	bb 30 GeV	bb 10 TeV
Sculptor	0.43	0.25	0.11	0.40
Coma Berenices	0.63	0.20	0.98	0.30
Seque-1	2.06	1.19	1.82	1.39
Reticulum-2	0.77	1.39	0.21	1.30
Tucana-2	4.45	2.80	3.34	3.25

$$\lambda(N_S) = -2 \ln \frac{\mathcal{L}(N_S, \hat{\theta}(N_S))}{\mathcal{L}(\hat{N}_S, \hat{\theta})}$$



$$f(\psi, N_S, N_B) = \frac{1}{N_S + N_B} (N_S f_S(\psi) + N_B f_B(\psi)),$$

$$\mathcal{L}(\langle \sigma_a v \rangle) = \frac{(N_B + N_S)^n}{n} e^{-(N_B + N_S)} \times \prod_{i=1}^n f(\psi_i, N_B, N_S)$$

$$\mathcal{L}(\langle \sigma_a v \rangle, \theta) = \mathcal{N} \frac{(\epsilon_B N_B + \epsilon_S N_S)^n}{n} e^{-(\epsilon_B N_B + \epsilon_S N_S) - \frac{(\epsilon_S - 1)^2}{2\sigma_S^2} - \frac{(\epsilon_B - 1)^2}{2\sigma_B^2} - \frac{(\log_{10}(J) - \overline{\log_{10}(J)})^2}{2\sigma_J^2}} \prod_{i=1}^n f(\psi_i, \epsilon_B N_B, \epsilon_S N_S)$$



# Baikal limits on DM annihilations in the LMC

$$N_S = T \frac{\langle \sigma_a v \rangle}{8\pi m_{DM}} J_{\Delta\Omega} \int_{E_{th}}^{m_{DM}} dE_\nu \frac{dN_\nu}{dE_\nu} S_\nu(E_\nu)$$

Applied DM profiles:

$$\rho(r) = \frac{\rho_0}{\left(\frac{r}{r_S}\right)^\gamma \left[1 + \left(\frac{r}{r_S}\right)^\alpha\right]^{\frac{\beta-\gamma}{\alpha}}} \theta(r_{max} - r)$$

Profile	$\alpha$	$\beta$	$\gamma$	$r_S$ , kpc	$\rho_0$ , GeV/cm <sup>3</sup>	$\log_{10} J$
<i>sim-max</i>	0.35	3.0	1.3	5.4	4.19	21.94
<i>sim-mean</i>	0.96	2.85	1.05	7.2	0.32	20.38
<i>sim-min</i>	1.56	2.69	0.79	4.9	0.46	20.25

Table 2. Parameters of dark matter halo profiles for Large Magellanic Cloud.

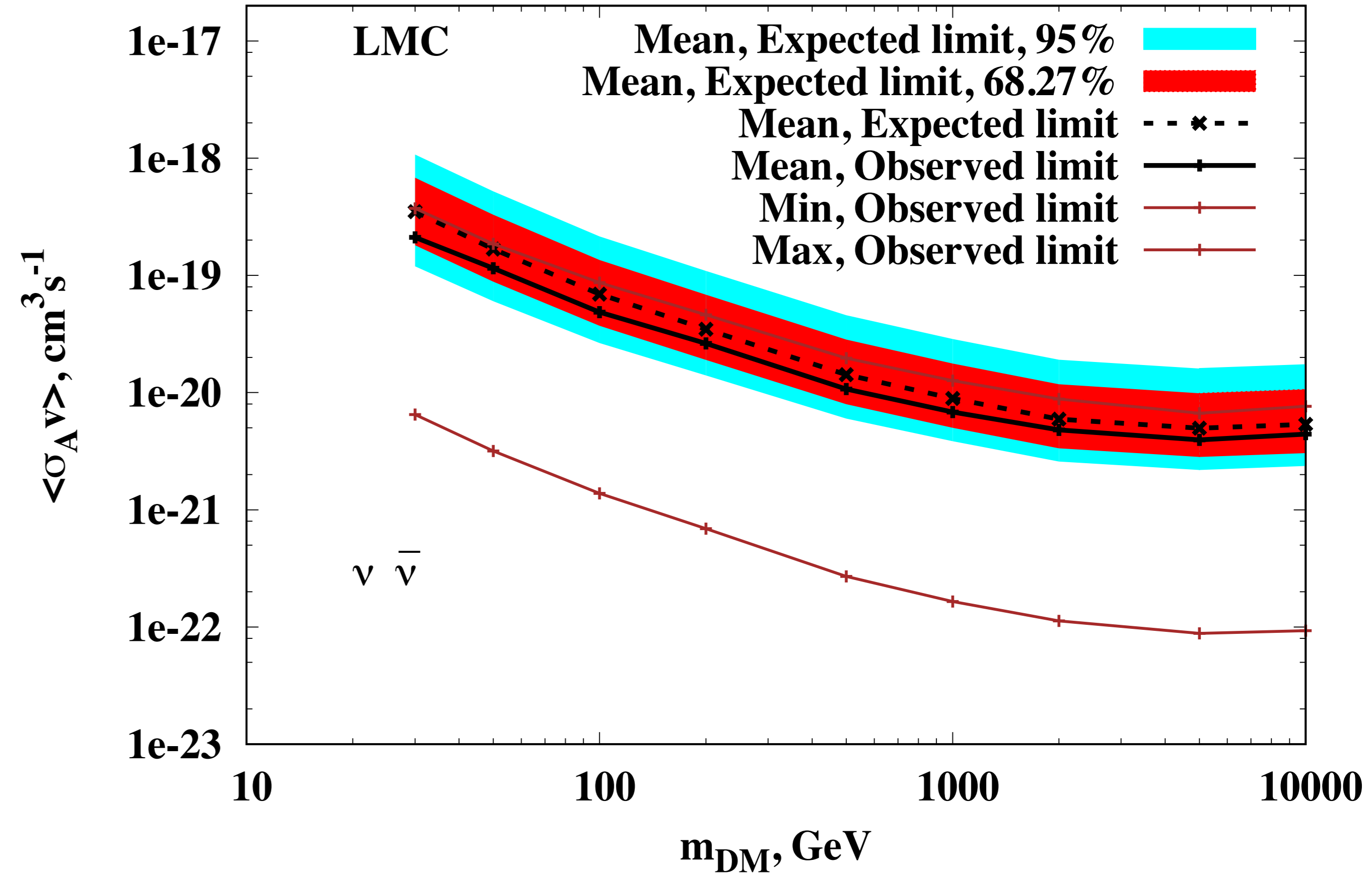
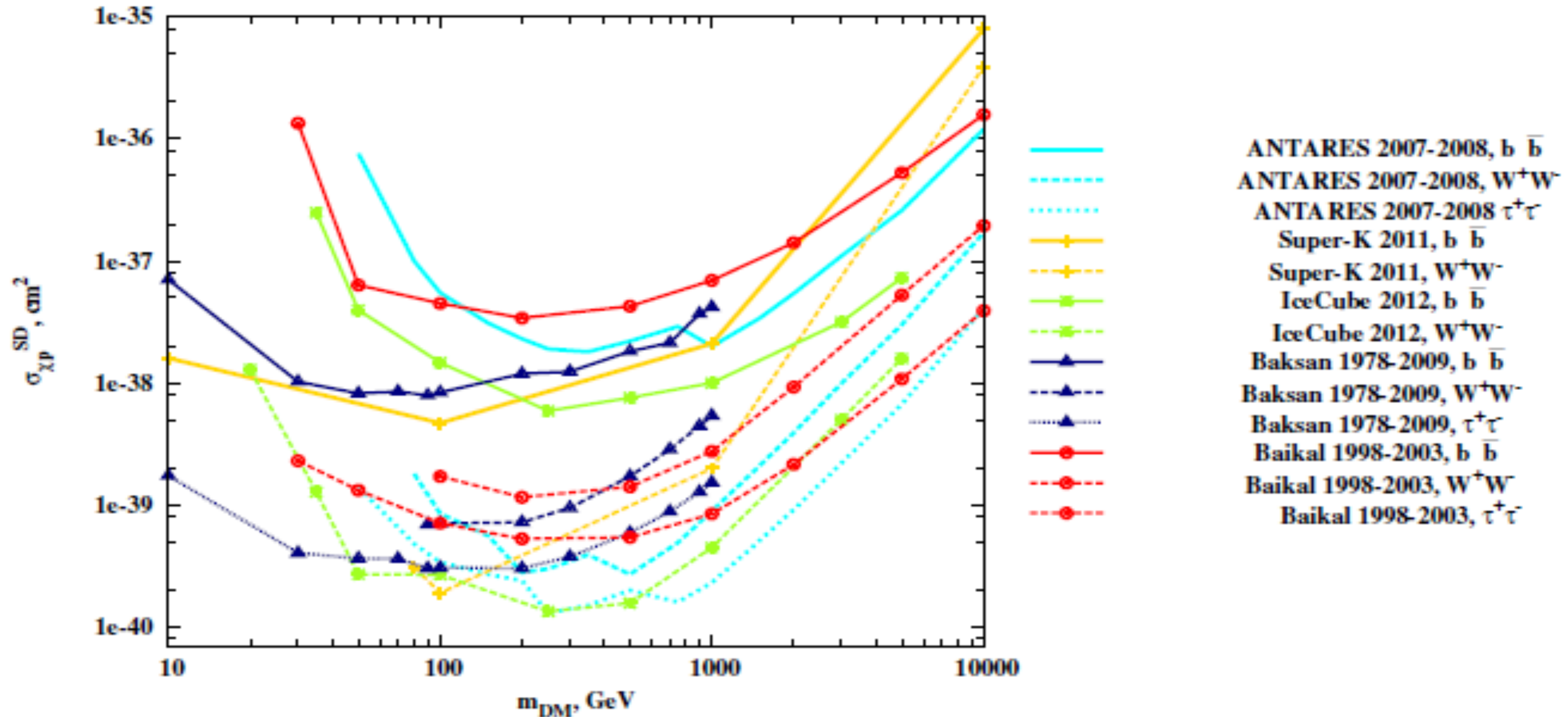


Fig. 8. 90% CL upper limits from the NT200 data assuming different dark matter density profiles for LMC (solid lines) and sensitivity (dashed line) on dark matter annihilation cross section assuming annihilation to  $\nu\bar{\nu}$ . Colored bands represent 68% (red) and 95% (blue) quantiles.

# Solar DM: UL on spin-dependent (SD) cross section DM-p

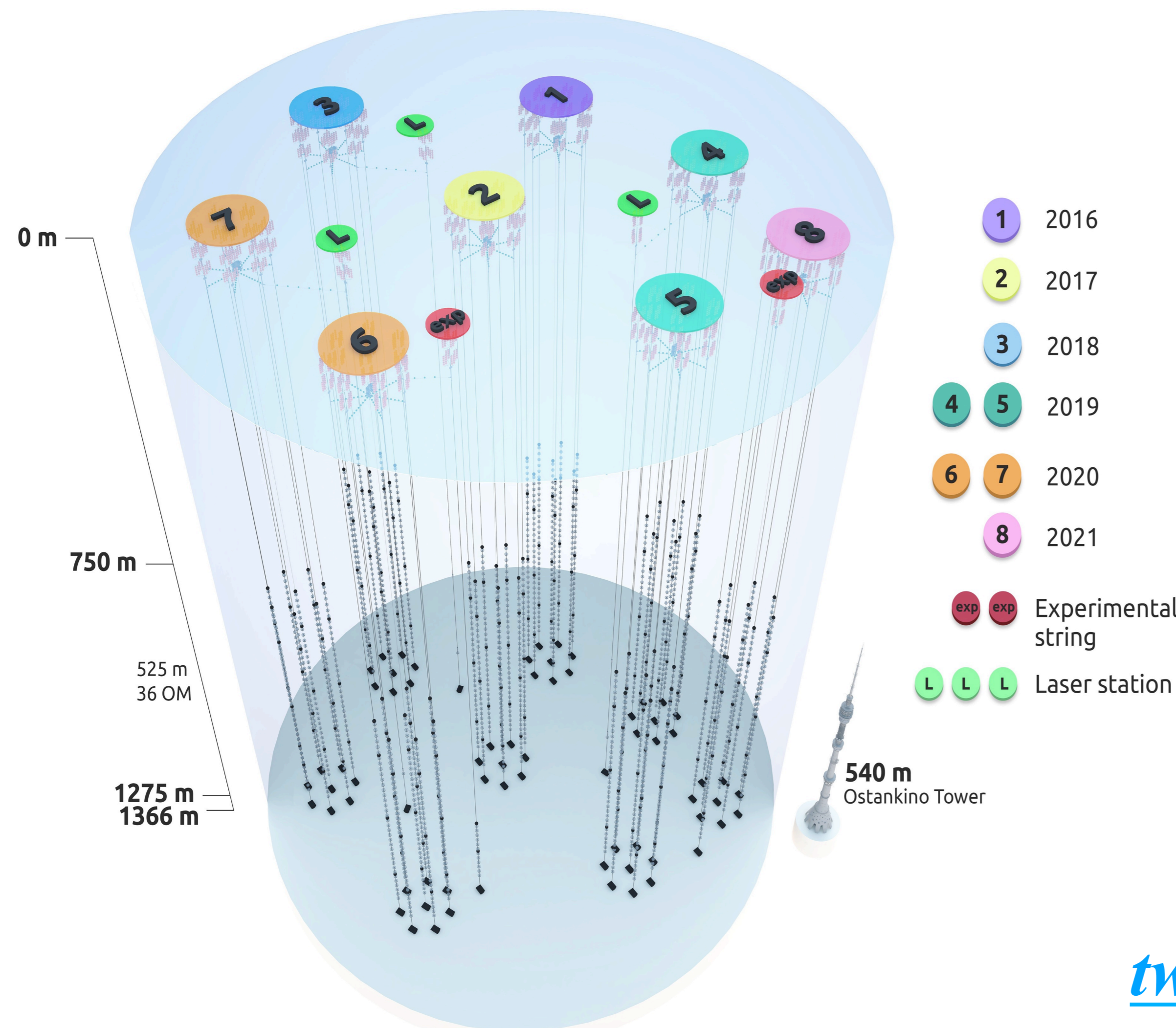
## Baikal NT200, Baksan, ANTARES, SK, IceCube (2014)

$$\frac{\sigma_p^{SD}}{\Gamma_A^{SD}} \cdot \Gamma_A^{Upp.Lim.} = \sigma_p^{SD, Upp.Lim.}, \quad \frac{\sigma_p^{SI}}{\Gamma_A^{SI}} \cdot \Gamma_A^{Upp.Lim.} = \sigma_p^{SI, Upp.Lim.}$$



# Baikal-GVD of 2021yr:

# 2304 OMs/ 64 strings/ 8 clusters



## Deployment schedule

Year	Number of clusters	Number of OMs
2016	1	288
2017	2	576
2018	3	864
2019	5	1440
2020	7	2016
<b>2021</b>	<b>8</b>	<b>2304</b>
2022	10	2880
2023	12	3456
2024	14	4032

**0.4 km<sup>3</sup> for cascades of E >> 100 TeV**

**Apr 2022:**  
**two new clusters**

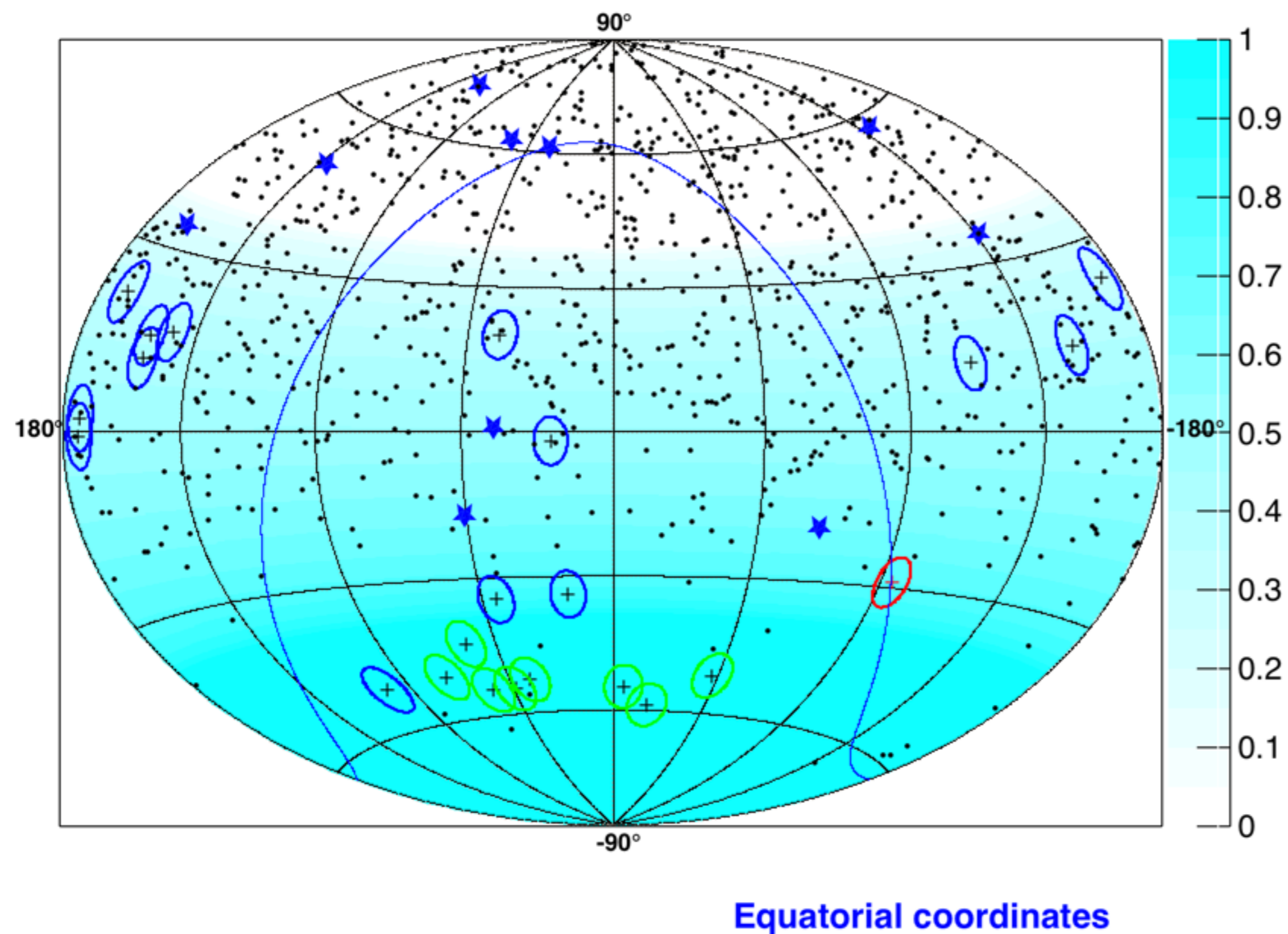
120 m - diameter of a single cluster,  
300 m - distance between clusters



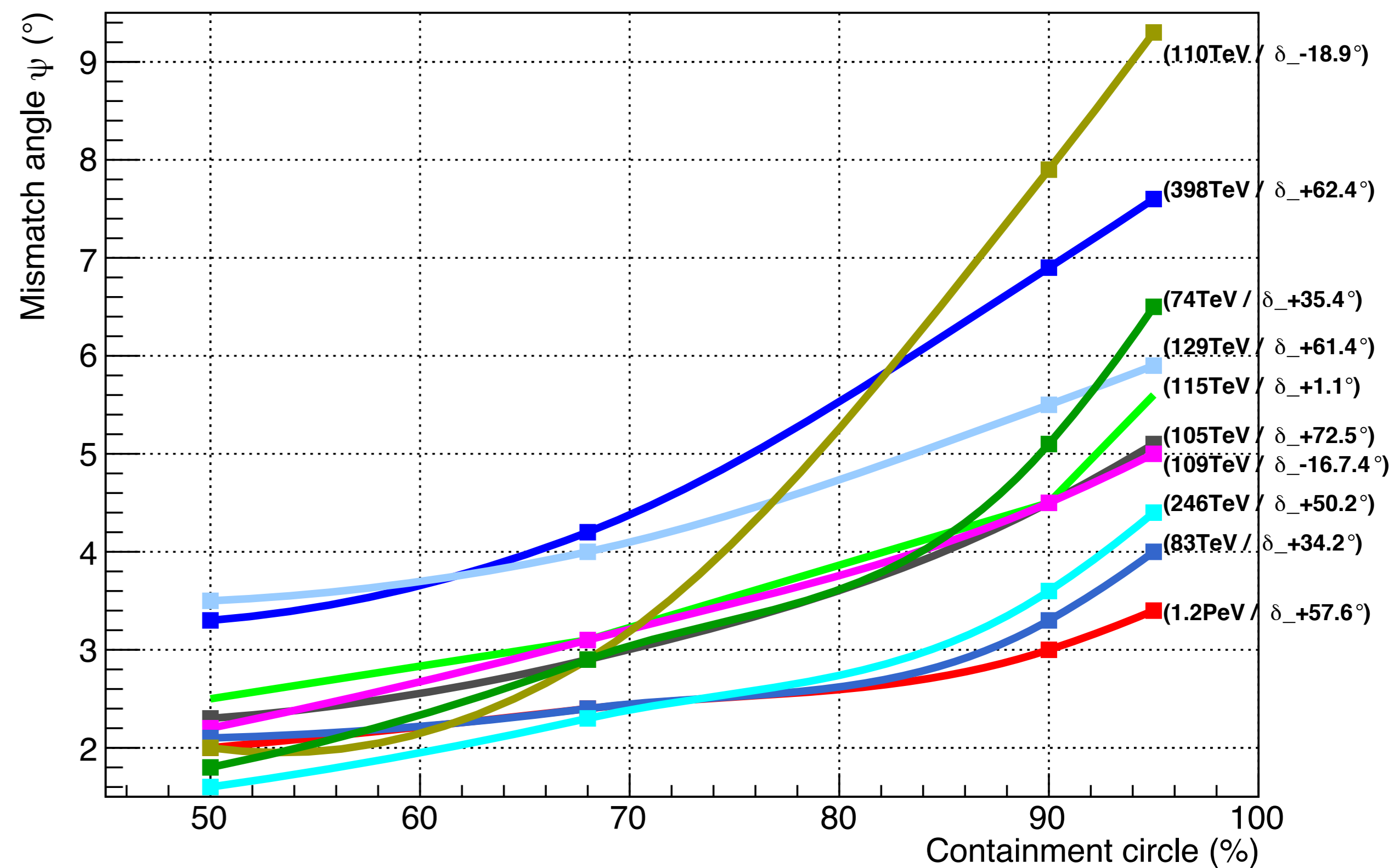


# 22-dSphs, GVD sample with $E > 40 \text{ TeV}$ and 10 Baikal-GVD alerts

## Baikal-GVD, cascades Apr2018 - Dec2021, $E_{sh} > 40 \text{ TeV}$



## GVD astrocascades



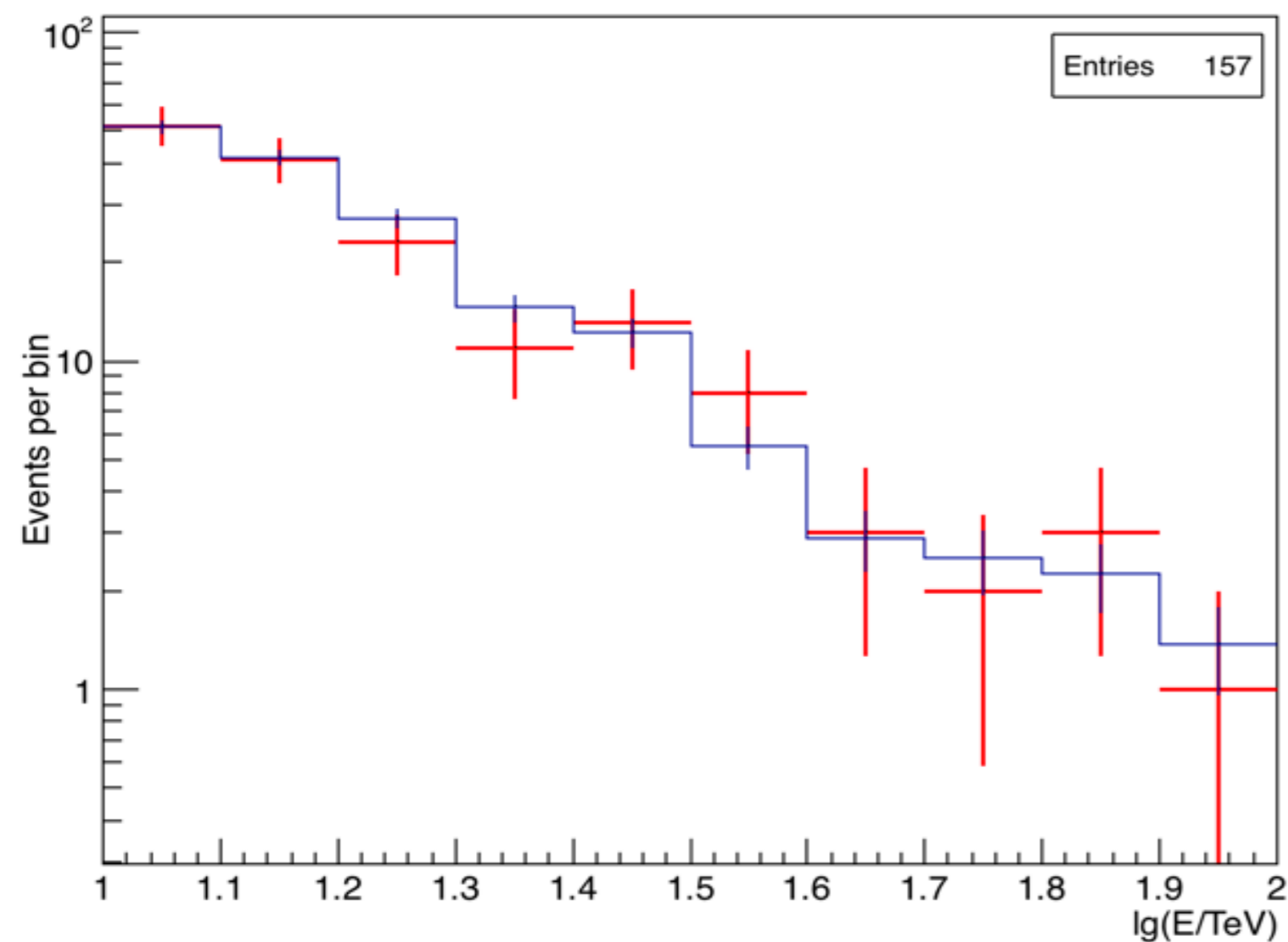
## Half-open cone of event containments at 50%, 68%, 90% and 95% levels

- ★ Baikal-GVD,  $E_{sh} > 60 \text{ TeV}$ ,  $N_{hit} \geq 20$
- Baikal-GVD,  $E_{sh} > 40 \text{ TeV}$ ,  $N_{hit} \geq 10$
- Galaxy Center
- + Dwarfs



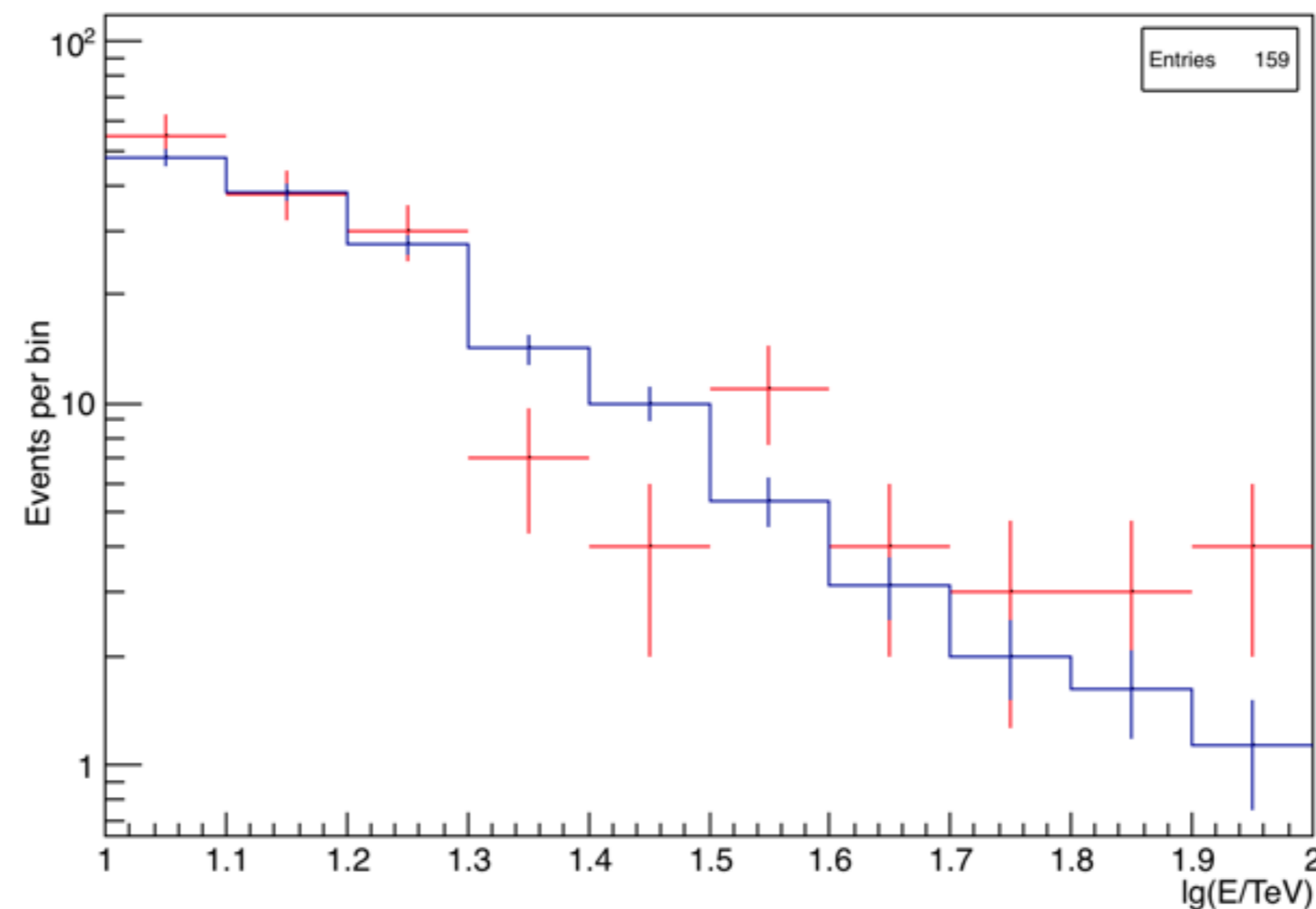
# GVD cascades twd dwarfs Seg-2, Bootes-I, Leo-V/ Esh\_cone\_10

Segue-2, (ra\_34.82/dec\_20.18)



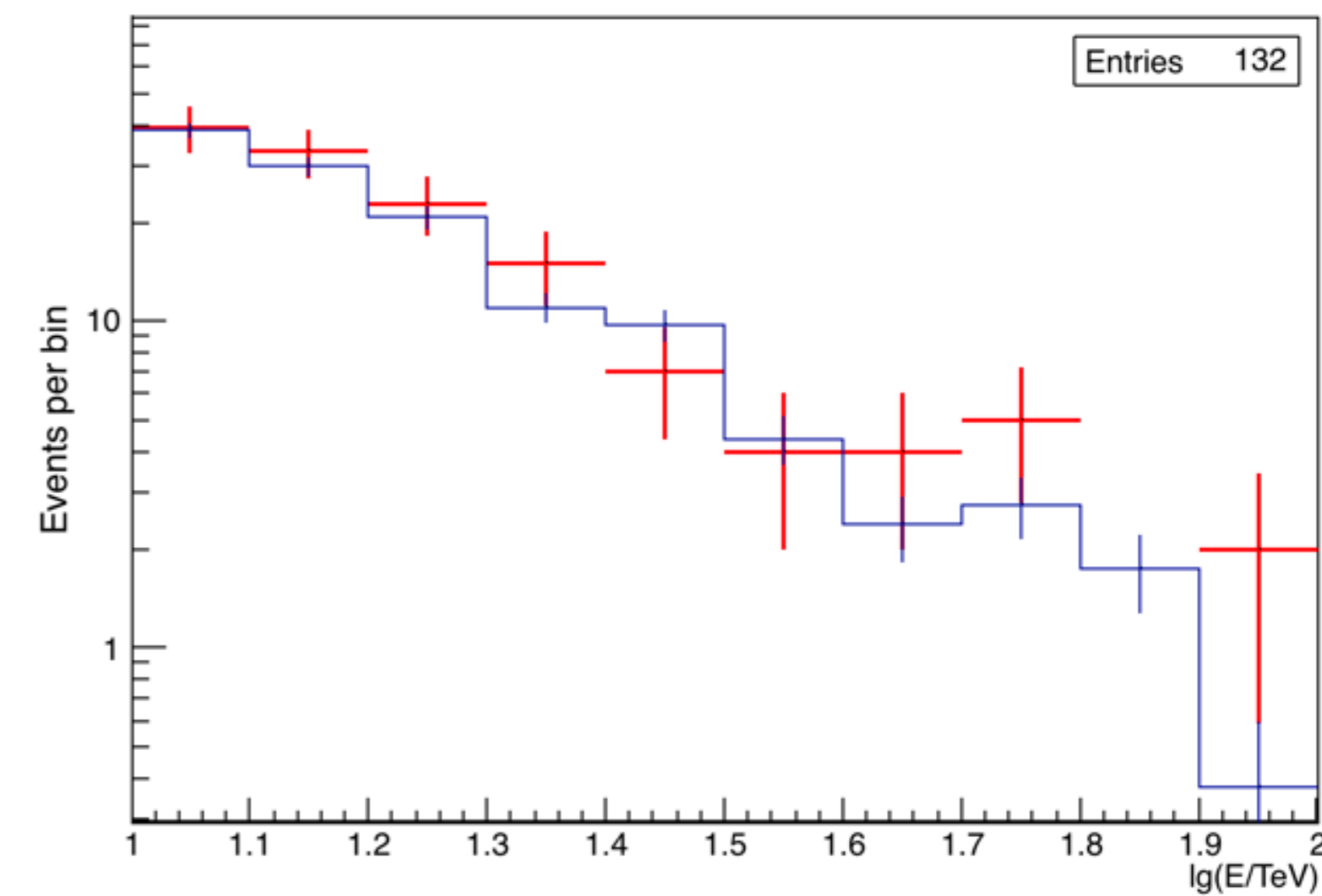
sample\_cascades2018-2021: Seque-2

Bootes-I, (210.0/14.5)

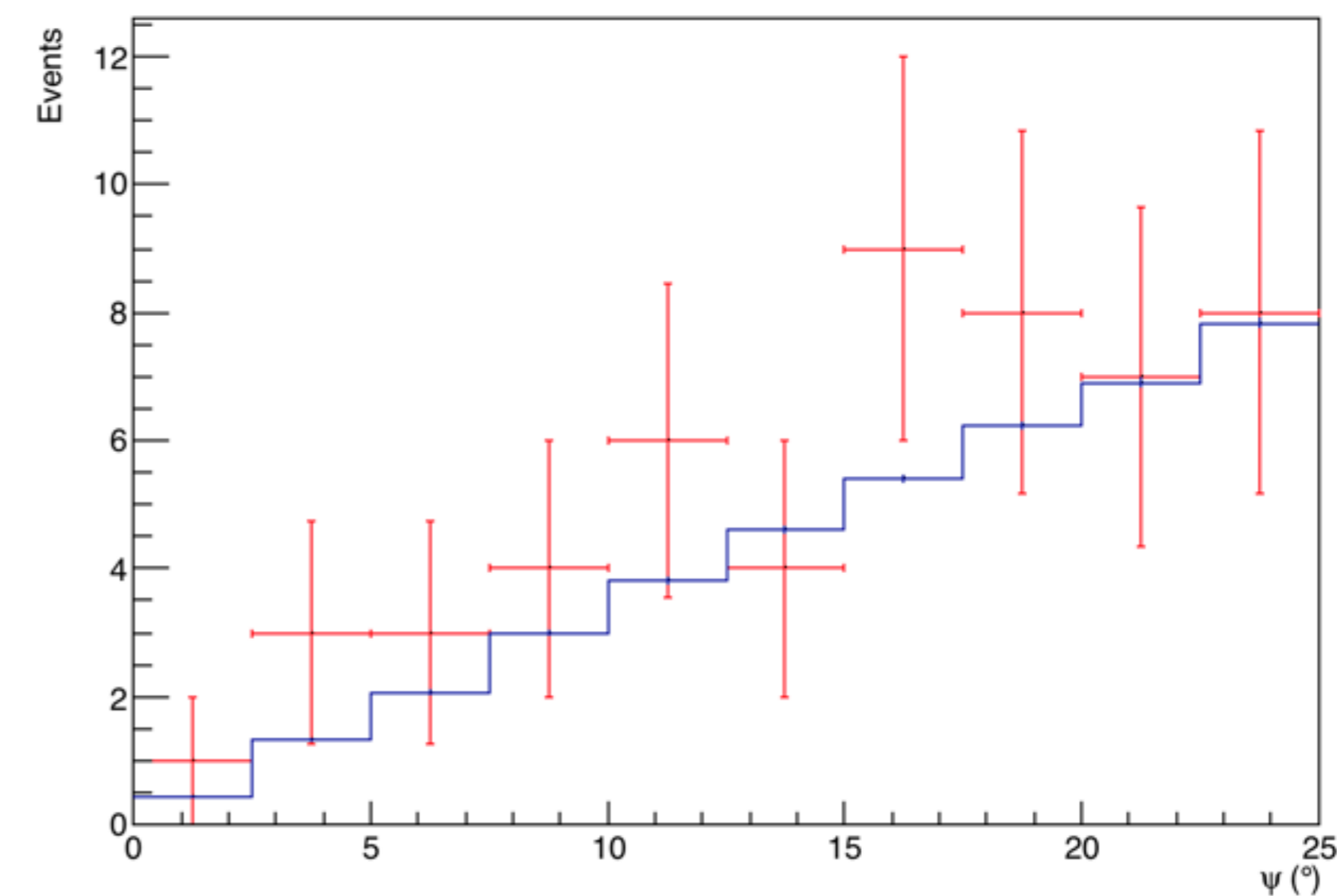
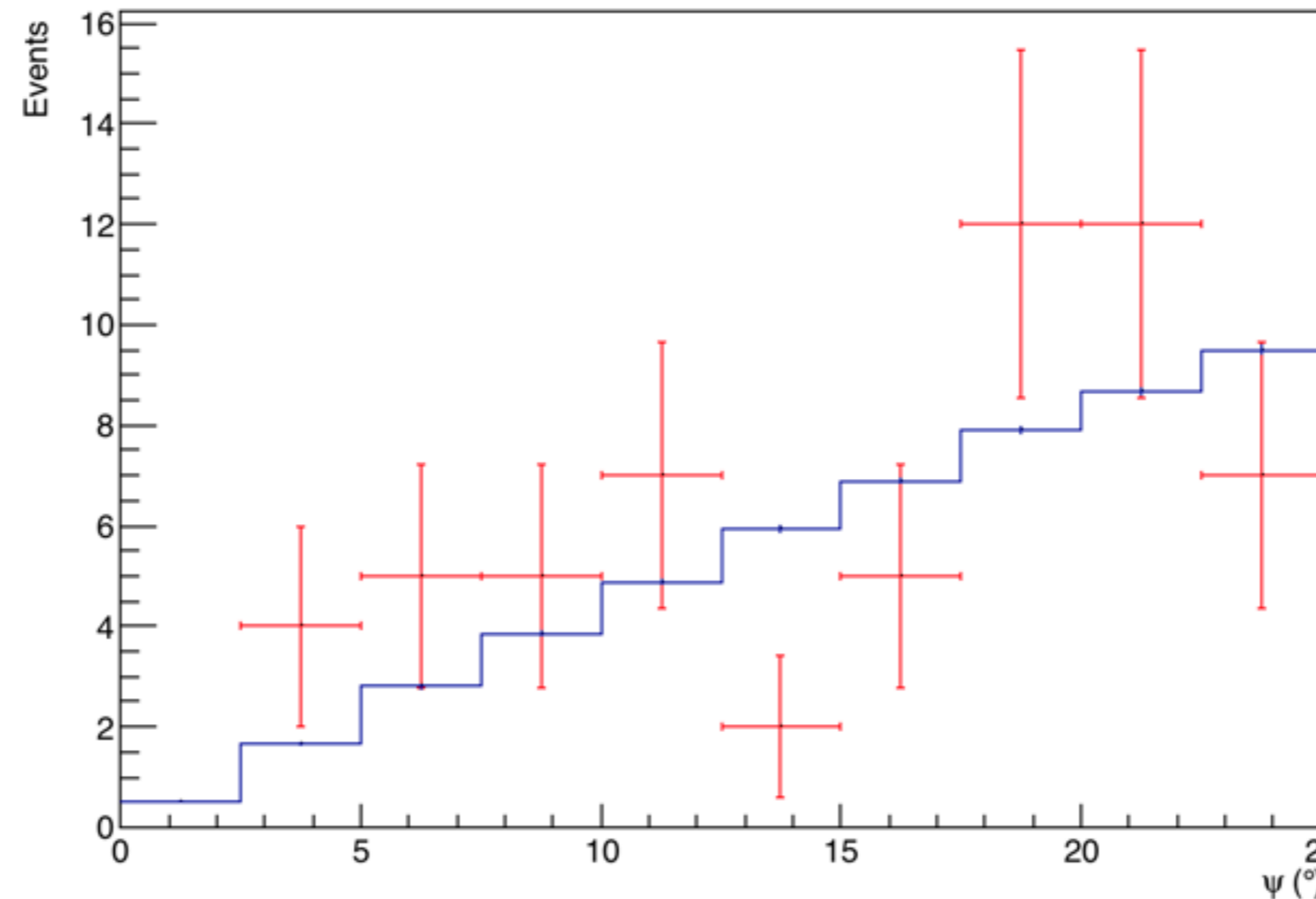
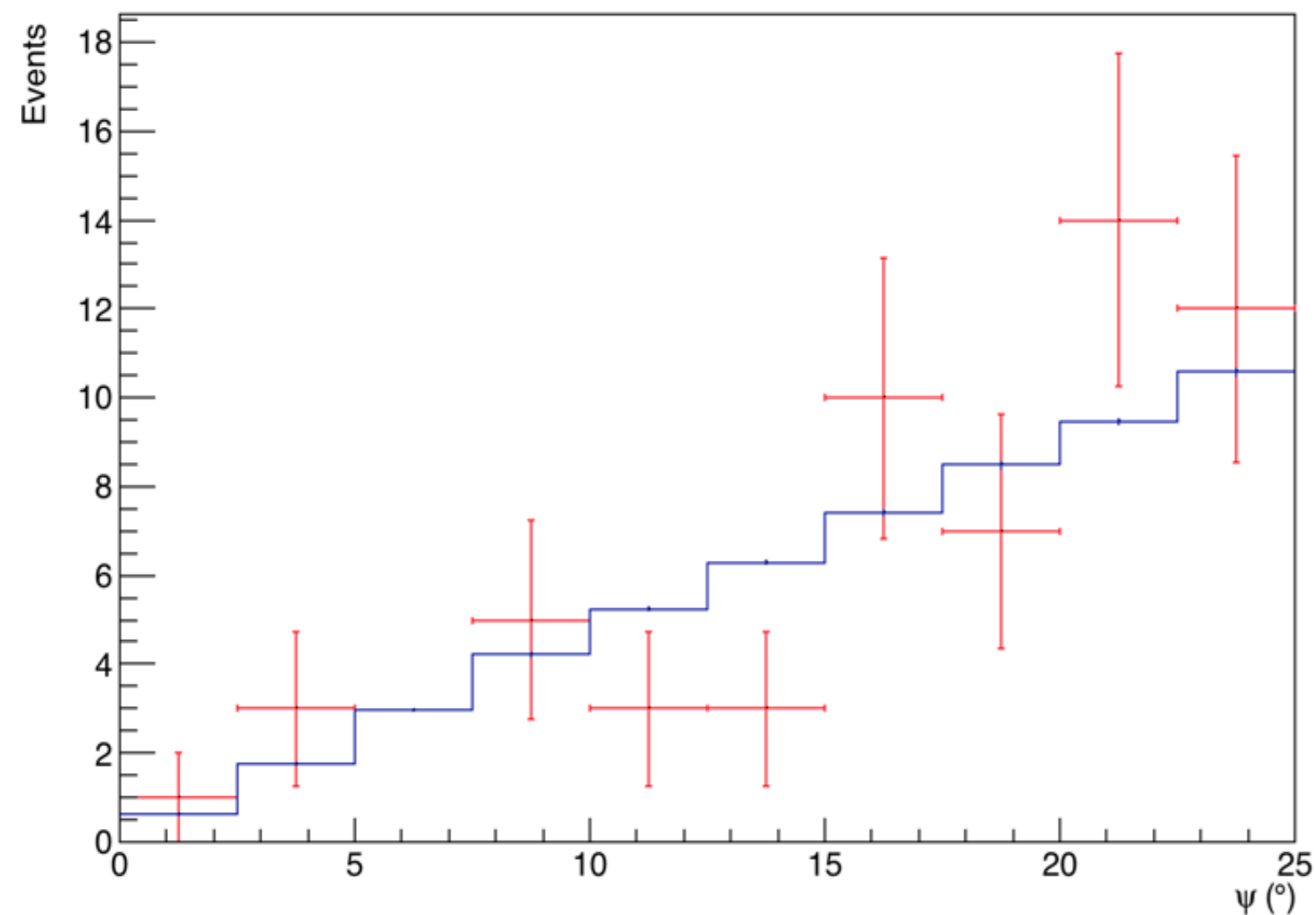


sample\_cascades2018-2021: Bootes-I

Leo-V, (ra\_172.79/dec\_2.22)



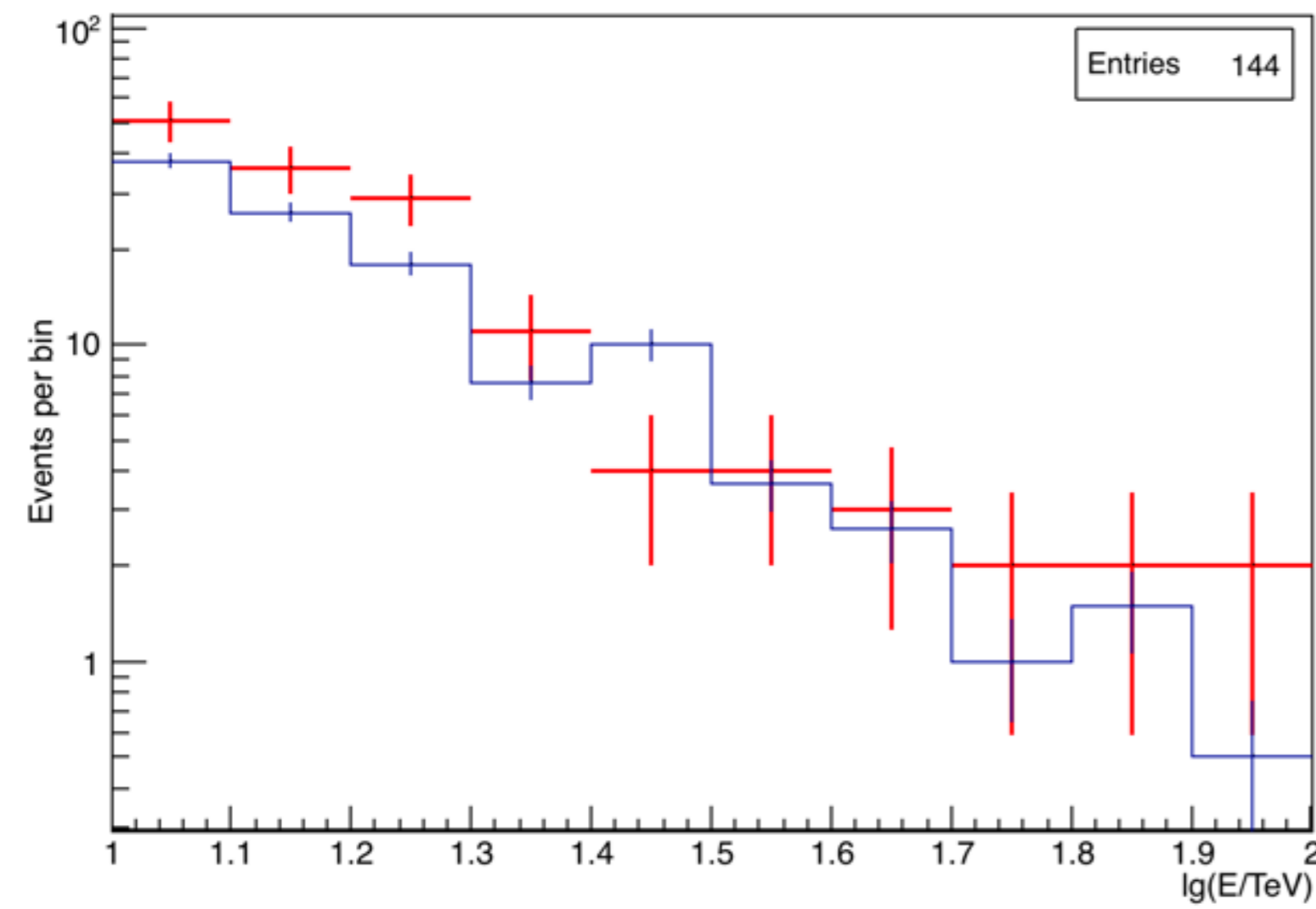
sample\_cascades2018-2021: Leo-V



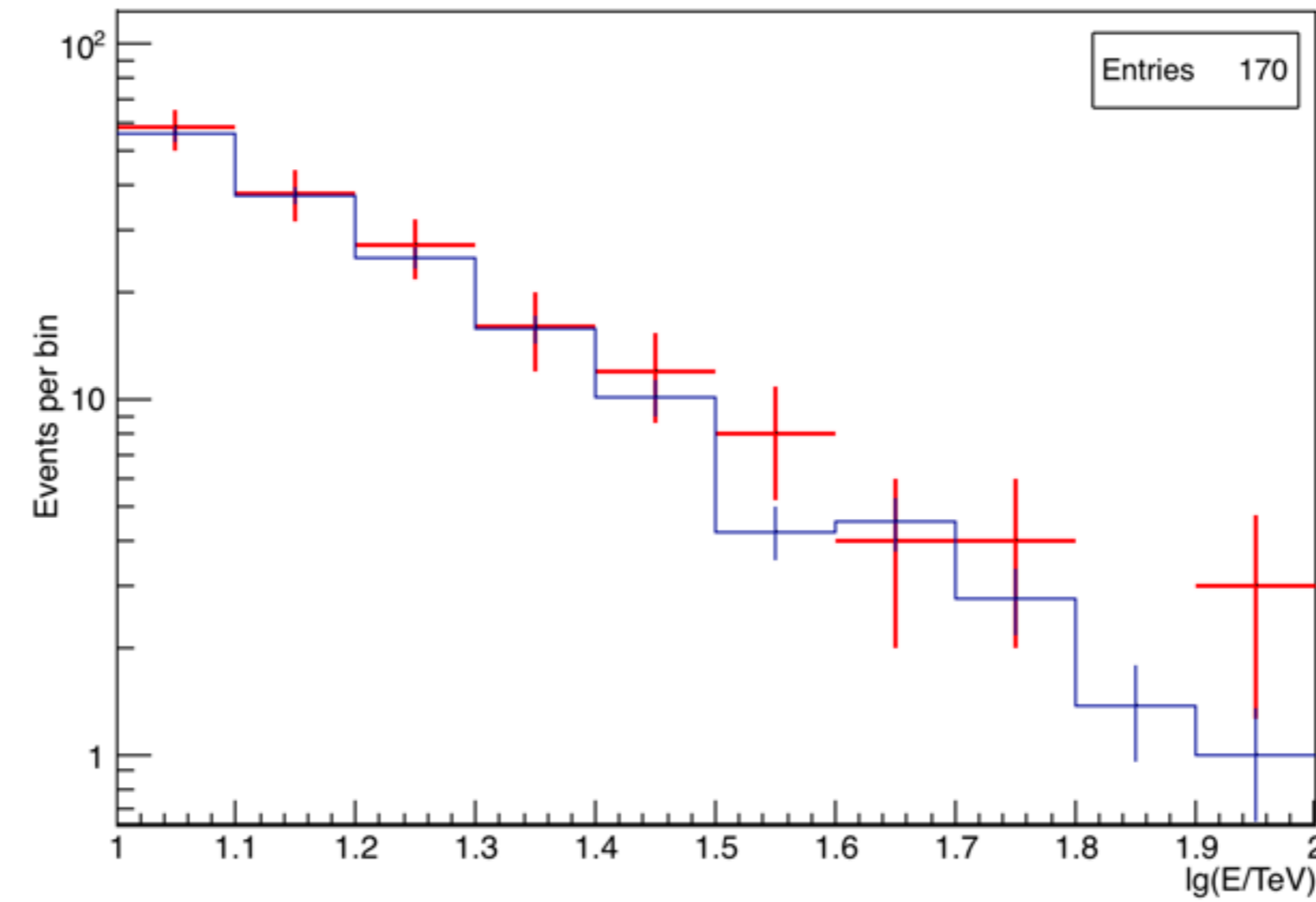


# GVD cascades twd dwarfs Sextans, Leo-T, Seg-1 / Esh\_cone\_10

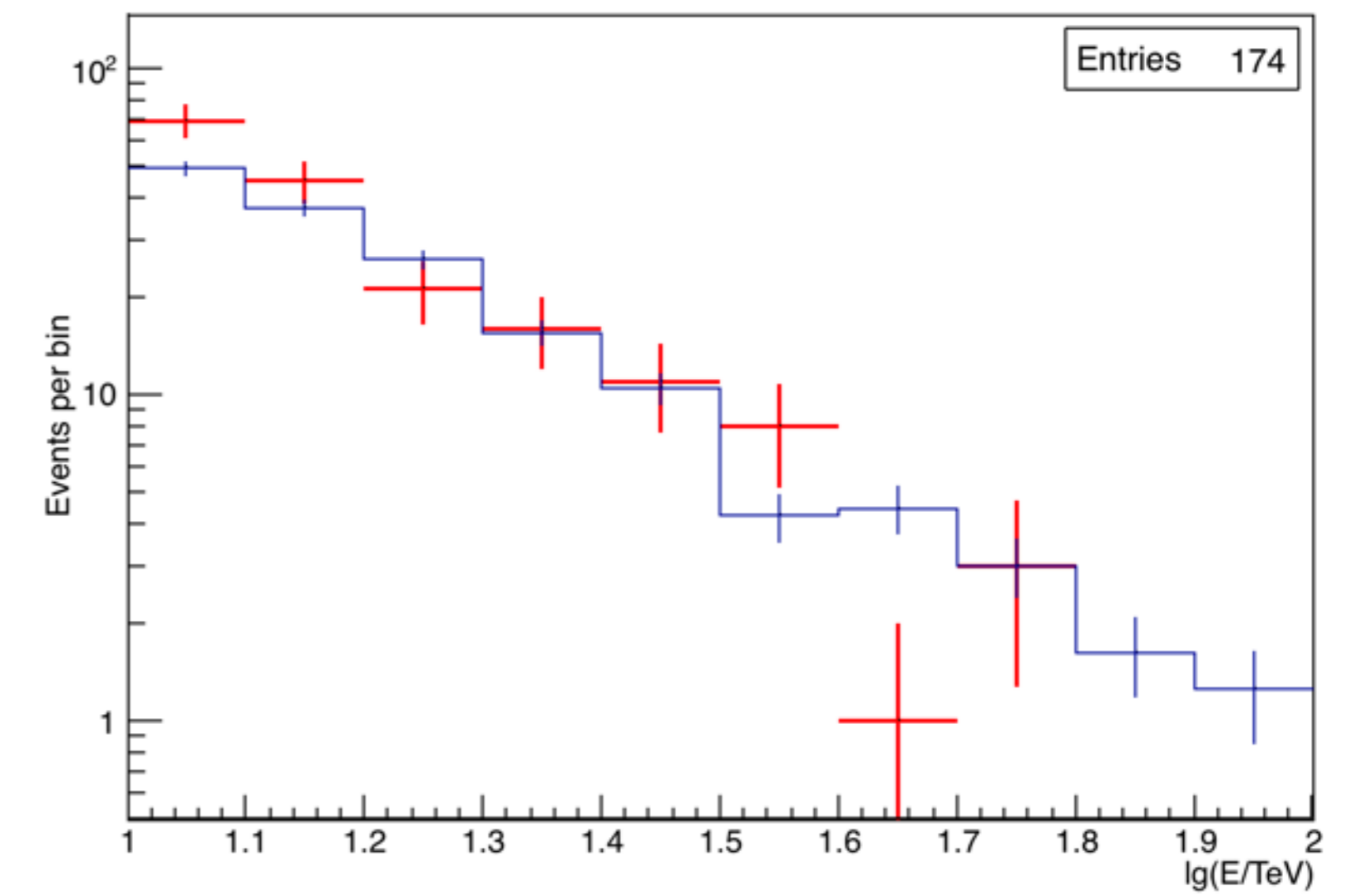
Sextans, (ra\_18.26/dec\_-1.61)



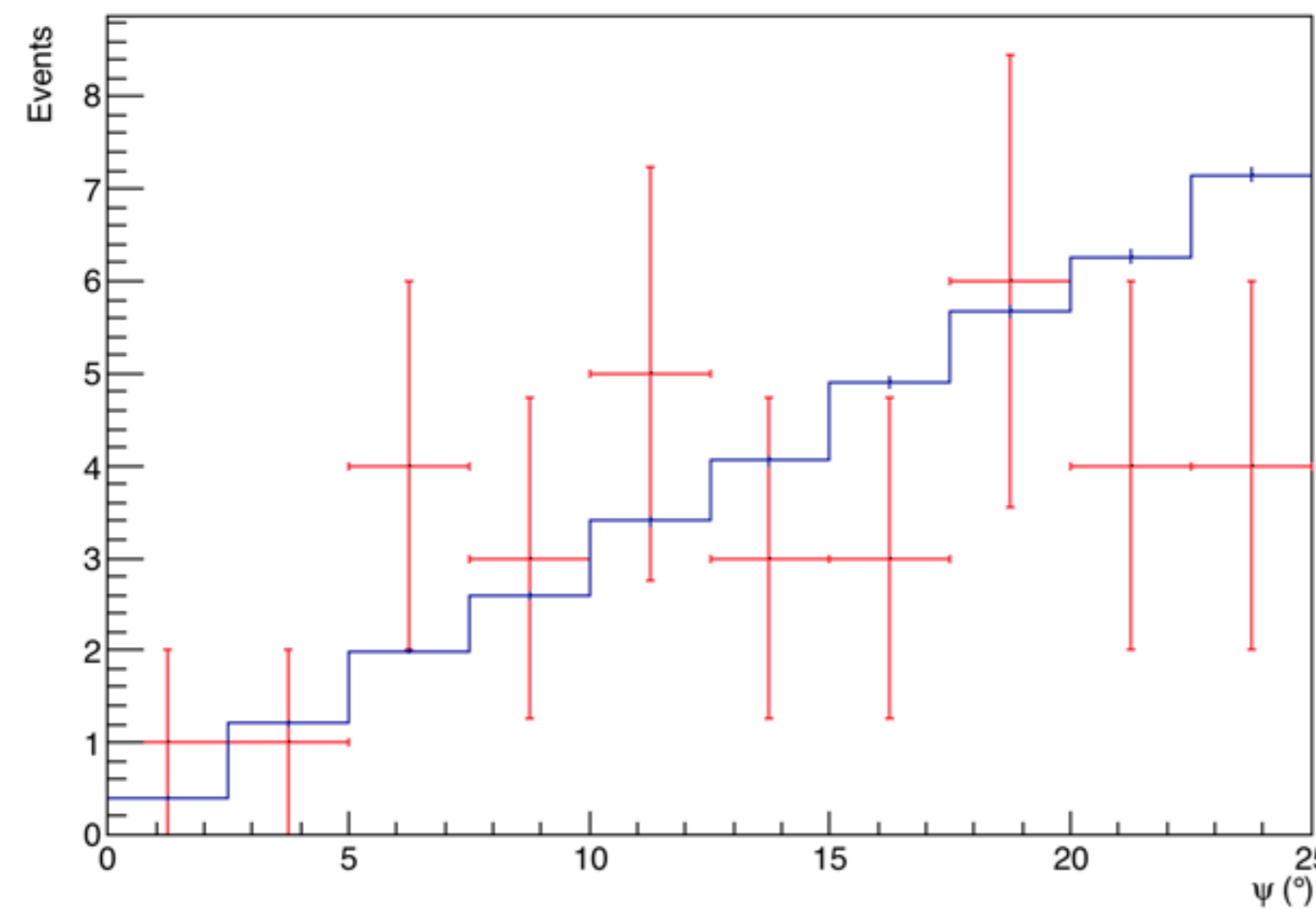
Leo-T, (ra\_143.72/dec\_17.05)



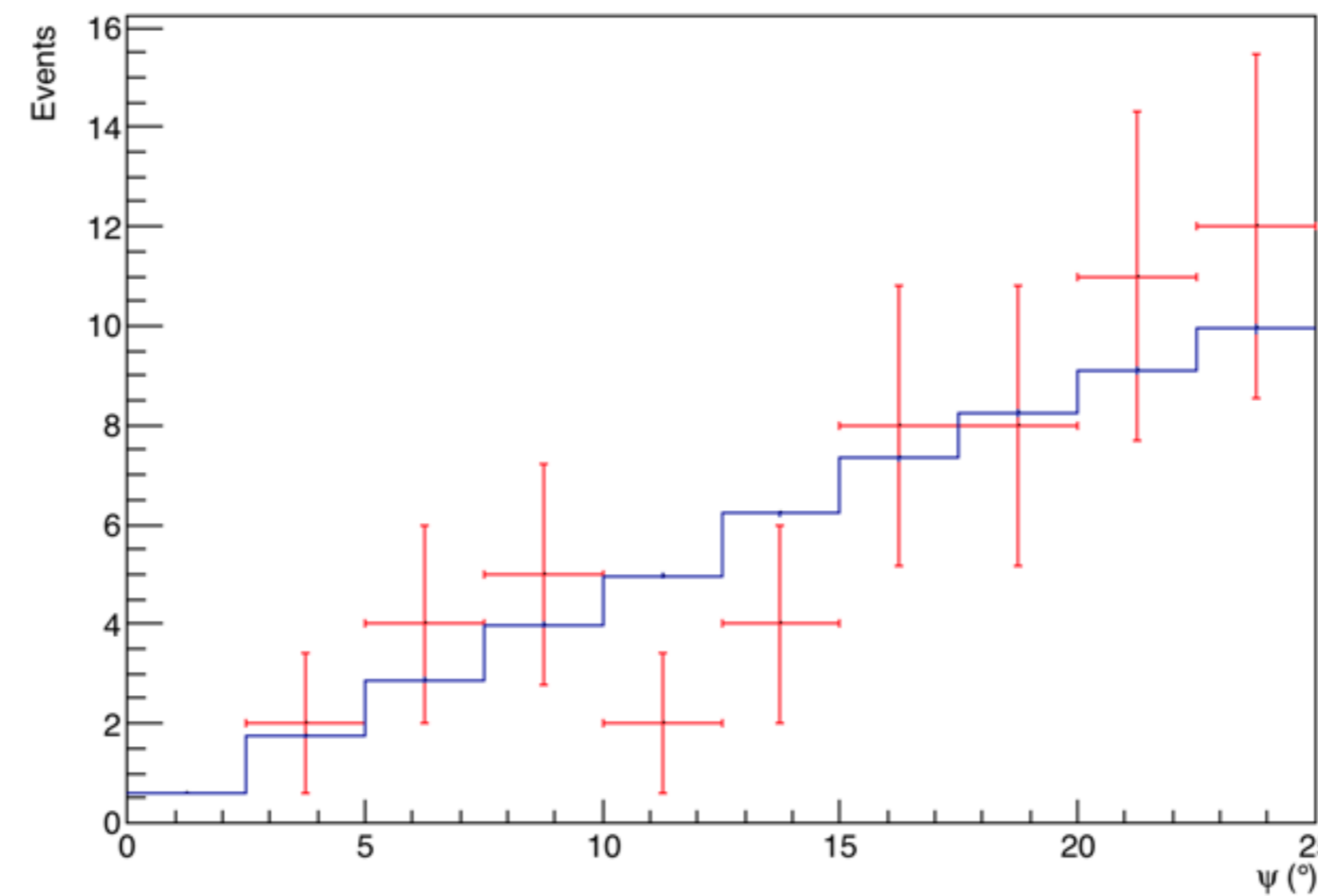
Segue-1, (ra\_151.77/dec\_16.08)



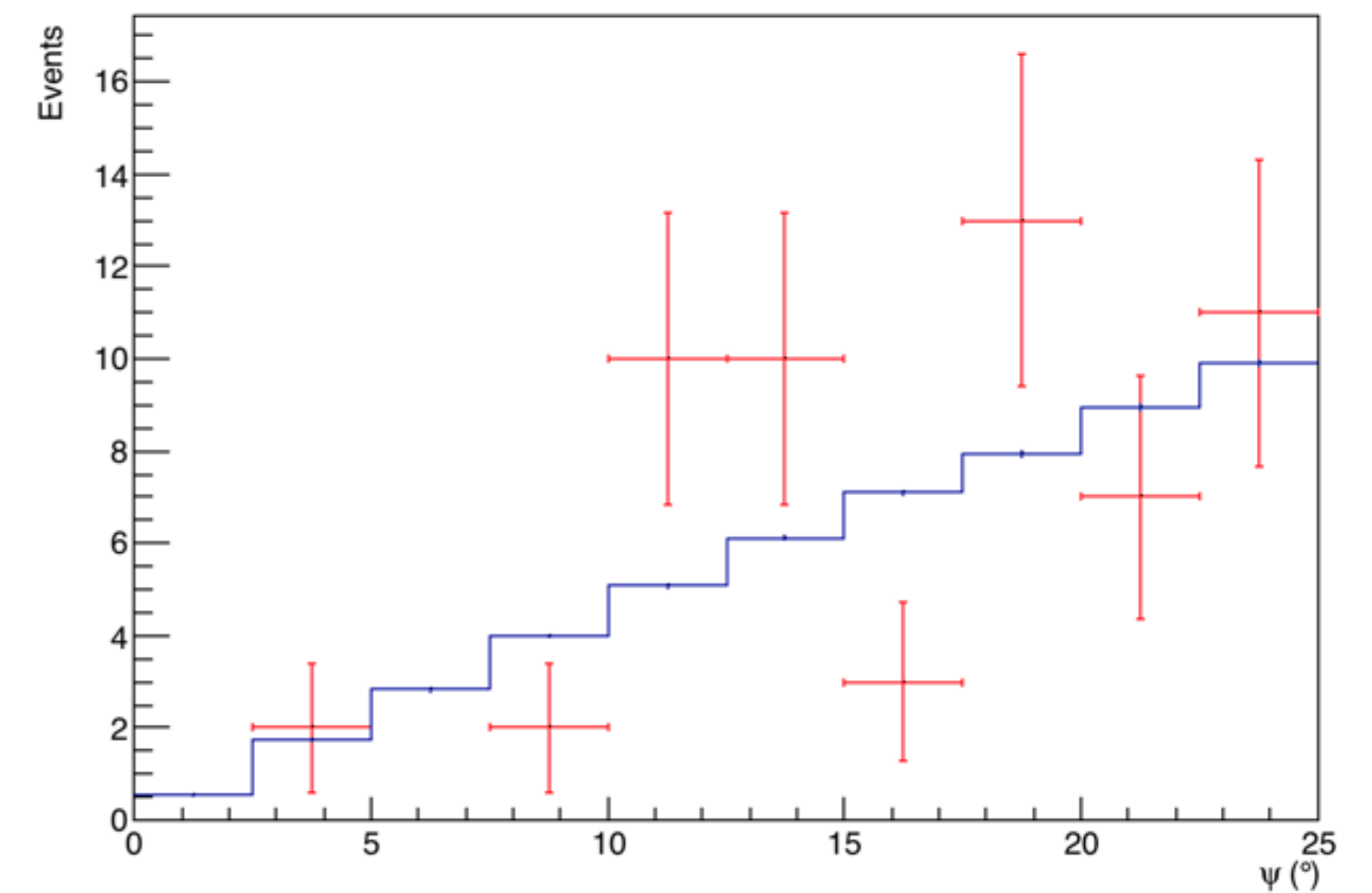
sample\_cascades2018-2021: Sextans

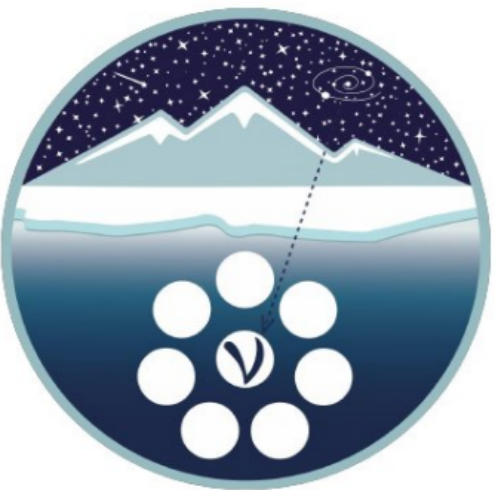


sample\_cascades2018-2021: Leo-T



sample\_cascades2018-2021: Seque-1





# \* **SUMMURY & OUTLOOK**

- ✓ Baikal-GVD aims on detection of neutrinos in TeV-PeV range. First 10 GVD alerts ( $E > 60$  TeV) have been selected in 2018-2020 yrs. There is no their association with hidden sources of DM.
- ✓ Data sample of HE GVD cascades is currently under investigation towards dSphs on base of earlier studies and new advantages of energy binning.
- ✓ Further progress in track reconstruction lies in the potential of multicluster events (HE) as well as parameter optimization in single-cluster events with low E-threshold (LE).

**Thank you for your attention!**

