



# Monte Carlo Model of High-Gradient Conditioning and Operation

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# Contents

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1. Introduction.
2. Simulation Setup.
3. A Visual Example.
4. Results of the Model.
5. Conclusion.

# High-Voltage Conditioning

Well known that high-gradient structures (and high-power RF components) are often limited by breakdown.

To achieve stable high-power operation, they must first be **conditioned**.

At CERN a conditioning algorithm has now been developed to automate the process and offers a consistent and reproducible method of component testing [1,2].

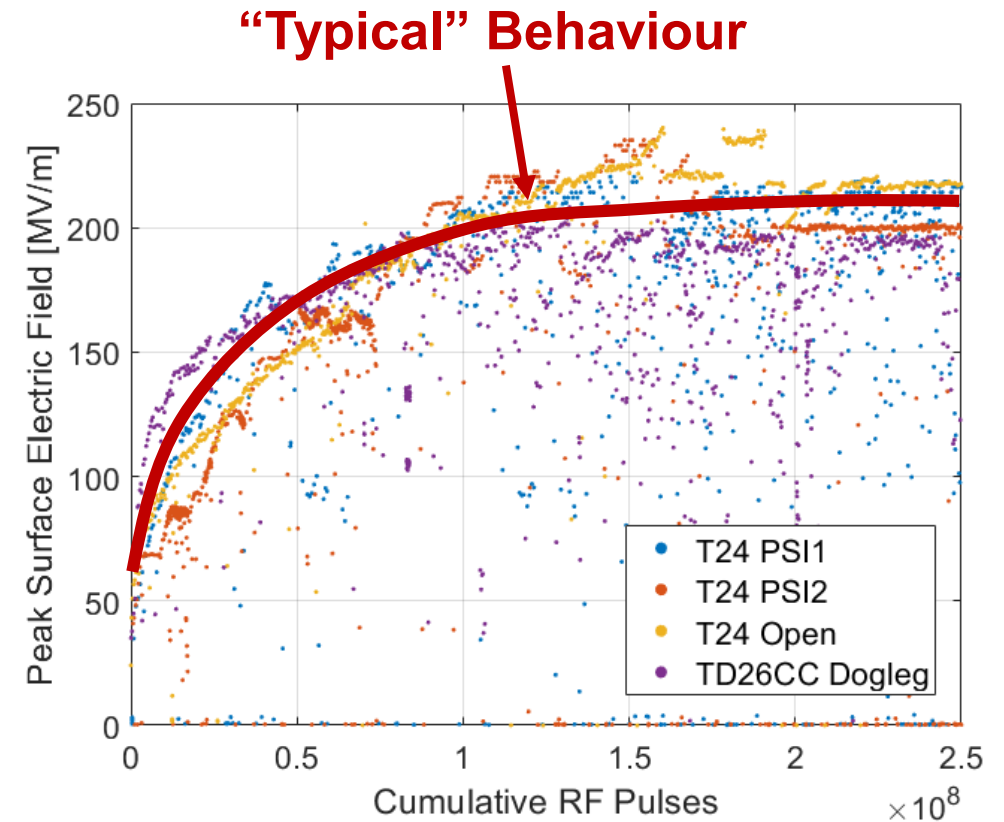


Figure: Preliminary conditioning of several X-band structures tested at CERN.

# So Why Model Conditioning?

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## The Physics Motivation:

Many attempts have been made to connect theory to the measurements (e.g. probabilistic behaviour of BDs, BDR dependency on gradient), but they generally only address a single facet of the problem. Real operation is more complex, the model is an attempt to combine theoretical explanations and connect them to results.

## The Pragmatic Motivation:

Conditioning procedures are largely anecdotal. Despite being essential the conditioning process has yet to be optimised (tests require a long timeframe/significant expense, difficult to do experimentally).

**The first attempt at a comprehensive integration of HG operation to address these issues.**

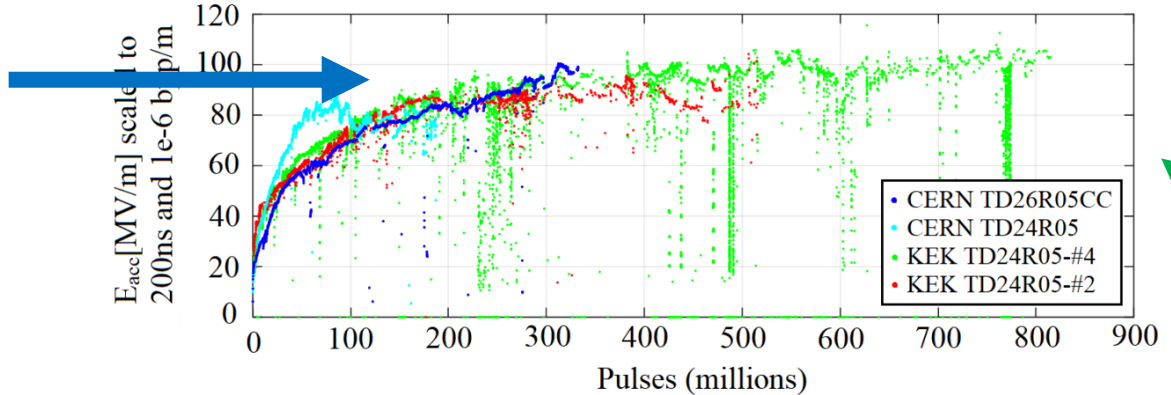
# Contents

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1. Introduction.
- 2. Simulation Setup.**
3. A Visual Example.
4. Results of the Model.
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# Simulation Setup: Assumptions of the Model

**No. 1:**  
Asymptotic behaviour  
(no indefinite conditioning)



**No. 2:**  
Structures condition on  
pulses (pulse count  
usually more  
comparable than BDs).

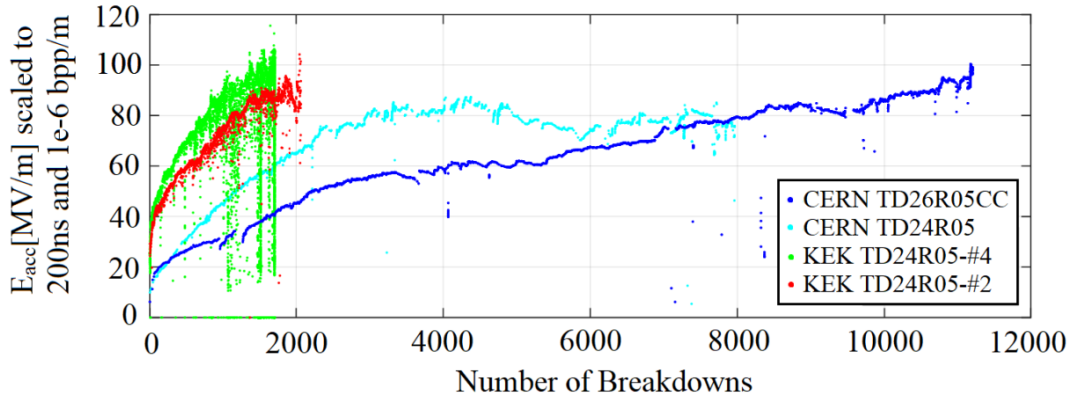
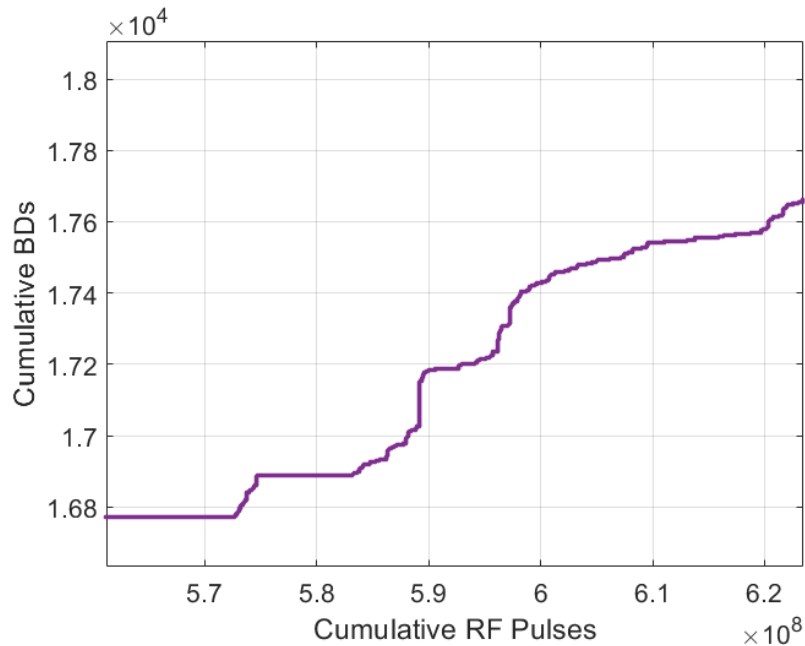


Figure: Scaled gradient vs. cumulative no. pulses (top) and scaled gradient vs. cumulative no. breakdowns (bottom) for four different structures [3].

# Simulation Setup: Assumptions of the Model

## No. 3:

Breakdowns can **improve or worsen** the surface  
(occur both individually or in groups).



Figures: Cumulative breakdowns vs pulses for a TD26CC tested at CERN showing “staircasing”.

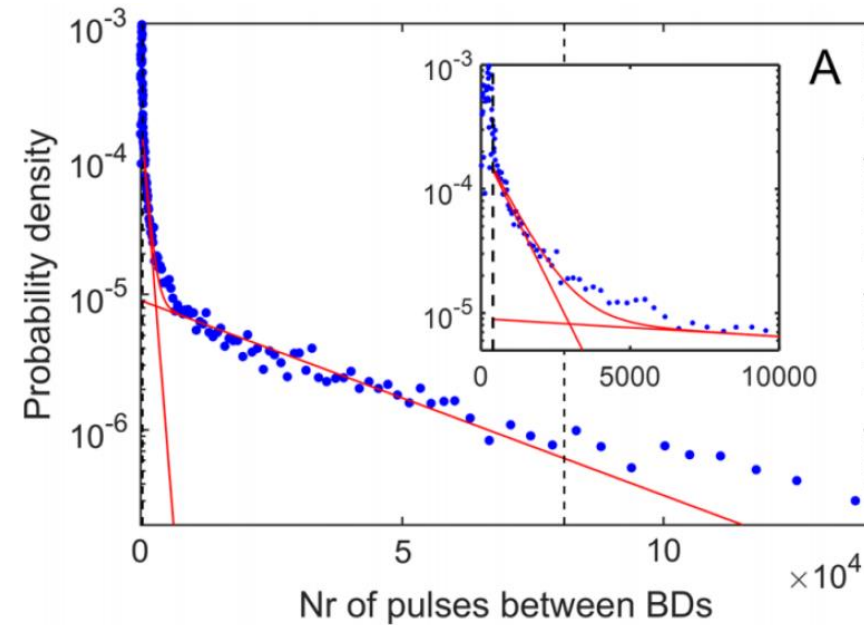
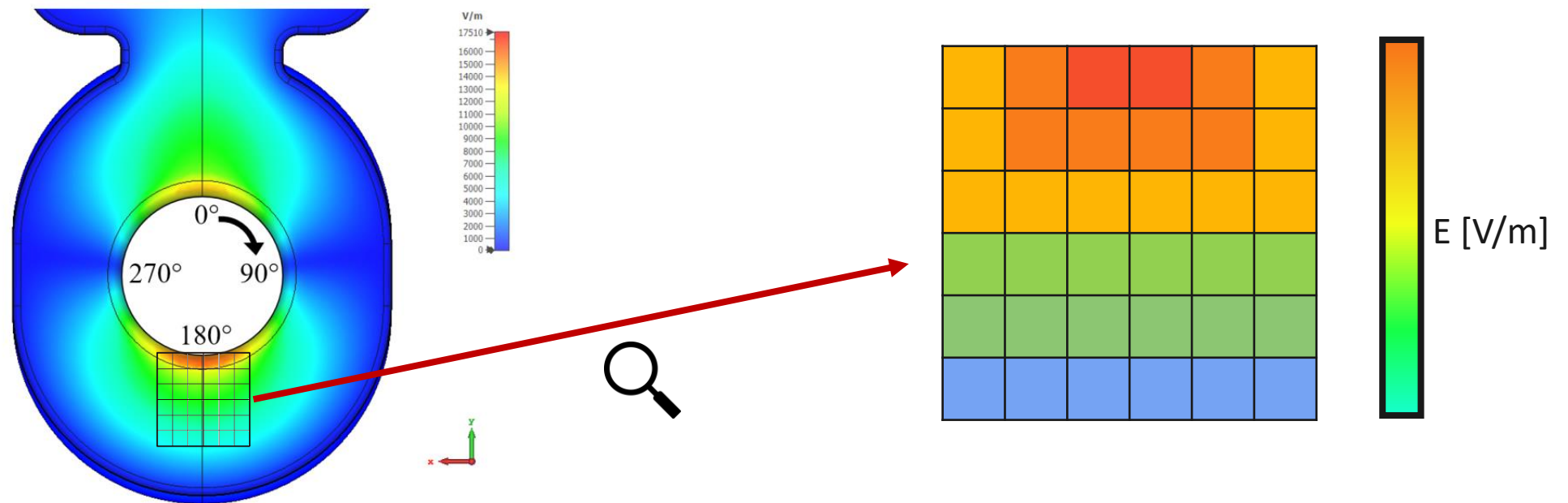


Figure: Probability distribution of the pulses between BDs for a structure tested at CERN and a two-exponential fit [4].

# Simulation Setup: Assumptions of the Model

Field distributions often vary spatially, and the effect of breakdown is a local one, making a **grid/mesh-based approach appropriate**. We can then monitor each element individually.

Surface electric field in an  
dipole-mode RF cavity.





# Simulation Setup: Assumptions of the Model

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I have condensed the process into **three key characteristics**, although many other facets of operation exist. To summarise:

1. Each pulse improves (conditions) the surface elements.
2. Elements asymptotically approach a limit, above which no improvement takes place.
3. Breakdowns may worsen or improve a given surface element.

The model is built around the idea of **progressive modification of the surface on a pulse-to-pulse basis**. To do so, we have used a few terms...

# Simulation Setup: A Reminder

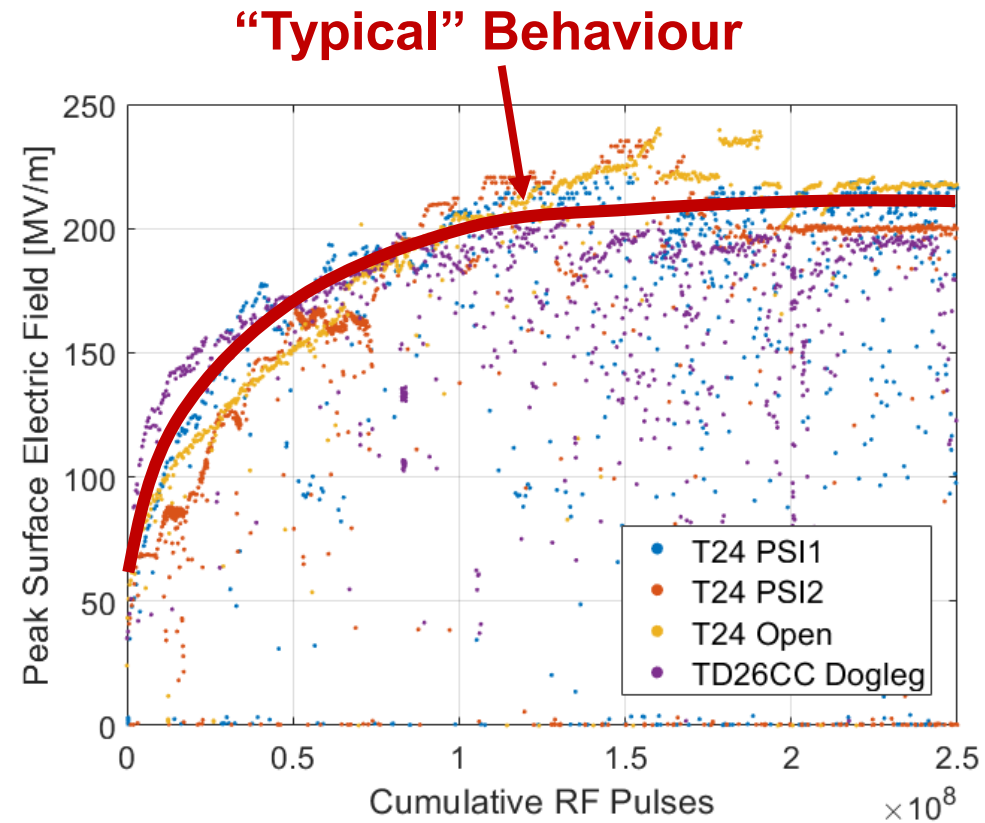


Figure: Preliminary conditioning of several X-band structures tested at CERN.

# Simulation Setup: A Few Terms

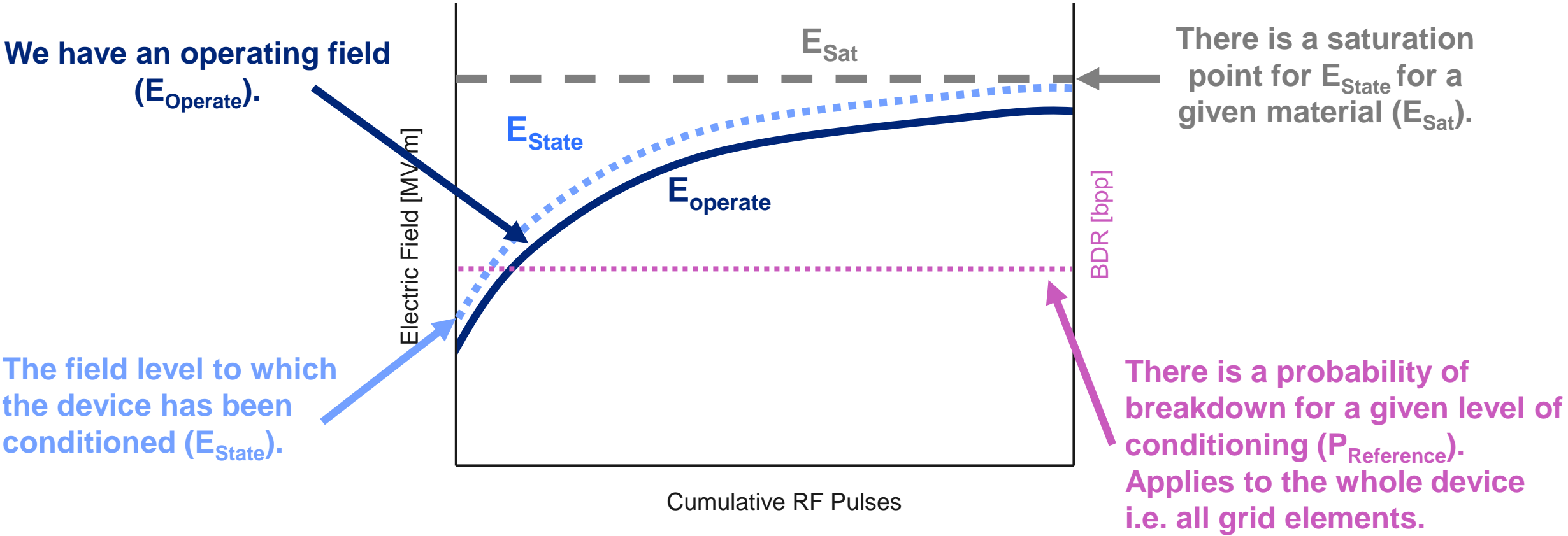


Figure: Visualisation of proposed quantities during conditioning. For illustrative purposes only.

# Simulation Setup

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Using these quantities, we have then derived appropriate equations to capture and quantify:

- The conditioning effect.
- The probability of breakdown for each element.
- How breakdowns affect the grid elements.

Today I'd rather focus on the model's capabilities and some results, so I have left the **equations employed and the relevant justifications in the bonus slides**. For now, I'll show only a broad overview of the model.

# Summary: A (very) Simple Model Outline

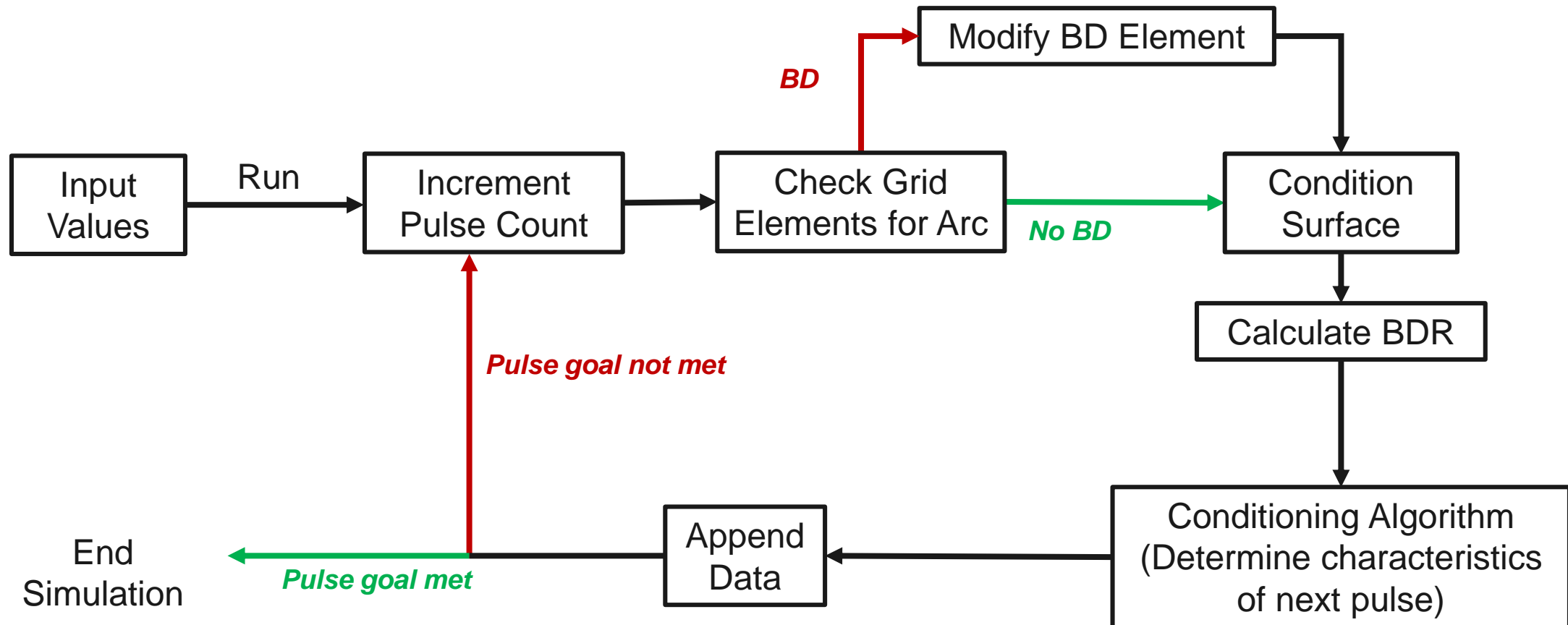


Figure: Simplified block diagram of the model showing the equations implemented.

# Summary: A (very) Simple Model Outline

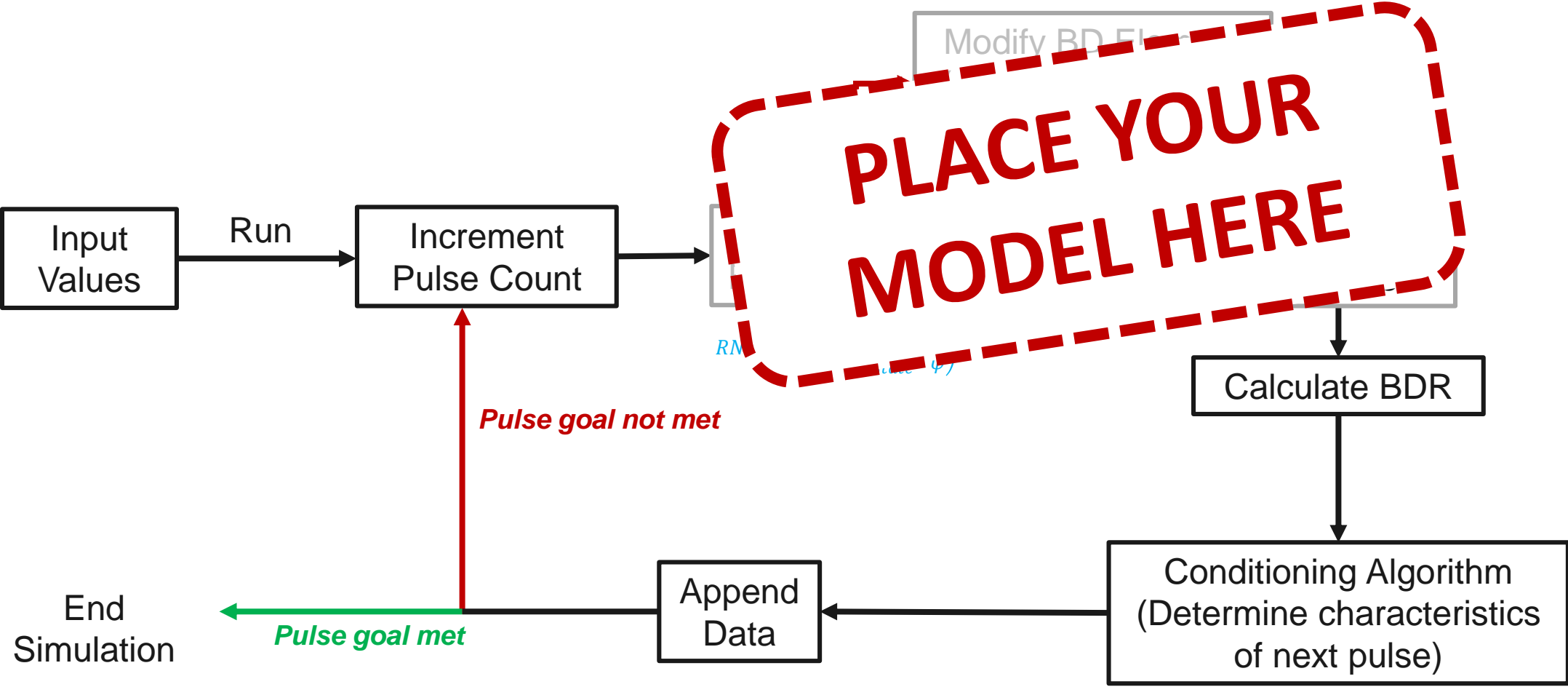


Figure: Simplified block diagram of the model showing the equations implemented.

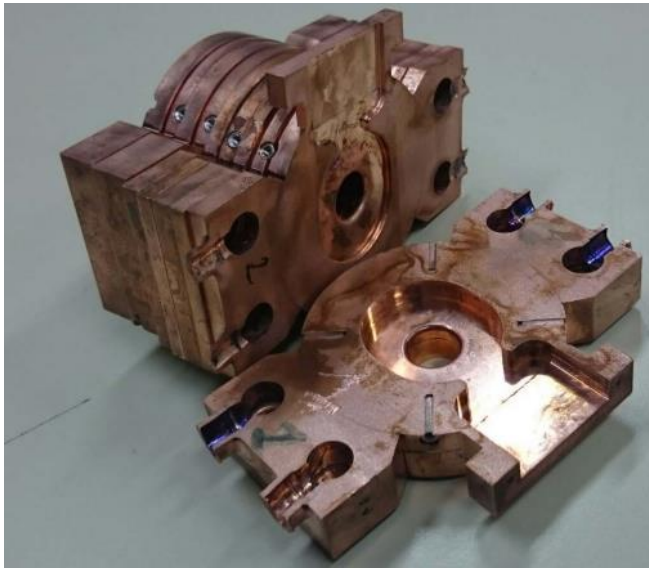
# Contents

---

1. Introduction.
2. Simulation Setup.
- 3. A Visual Example.**
4. Results of the Model.
5. Conclusion.

# A Visual Example: The CLIC Crab Cavity

Cavity after high-power test and cutting.



Face of a single cell.



Breakdown locations superimposed on electric field distribution.

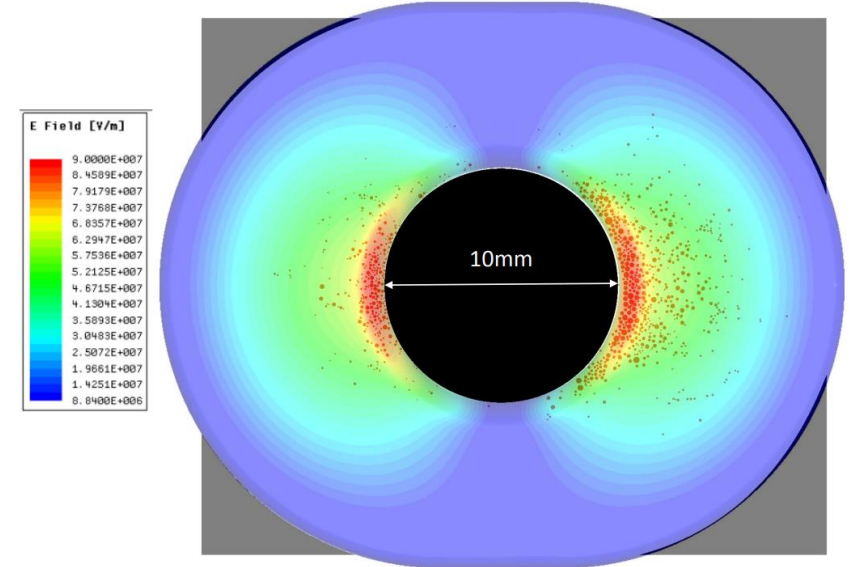
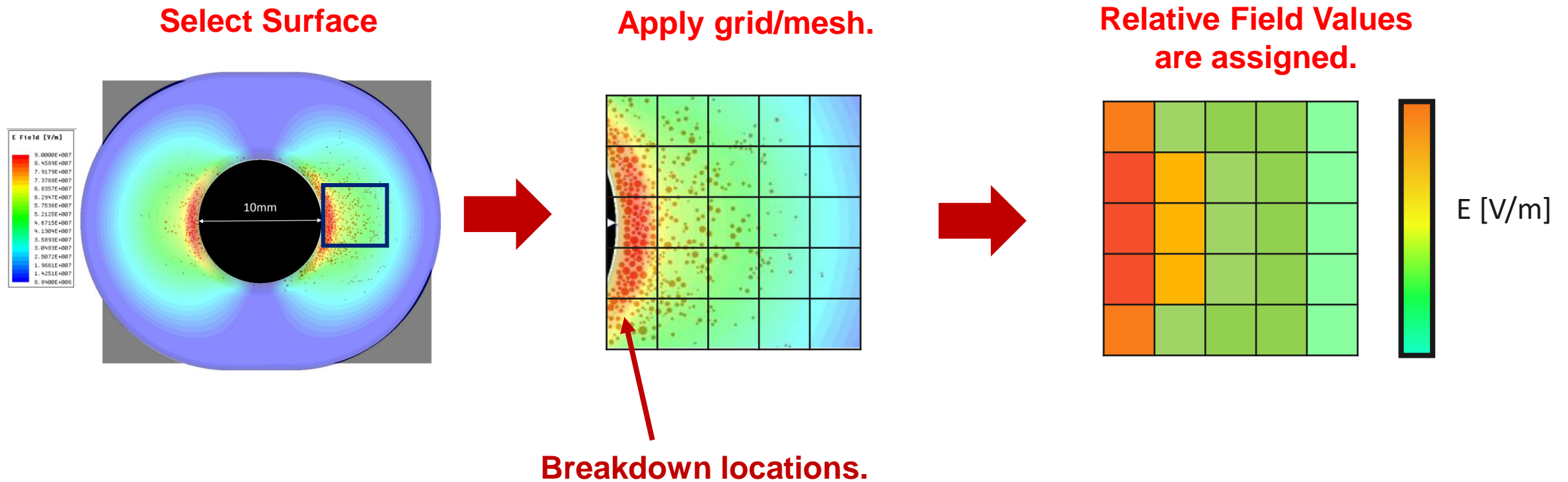


Figure: Images from the post-mortem examination of the CLIC crab cavity [5].

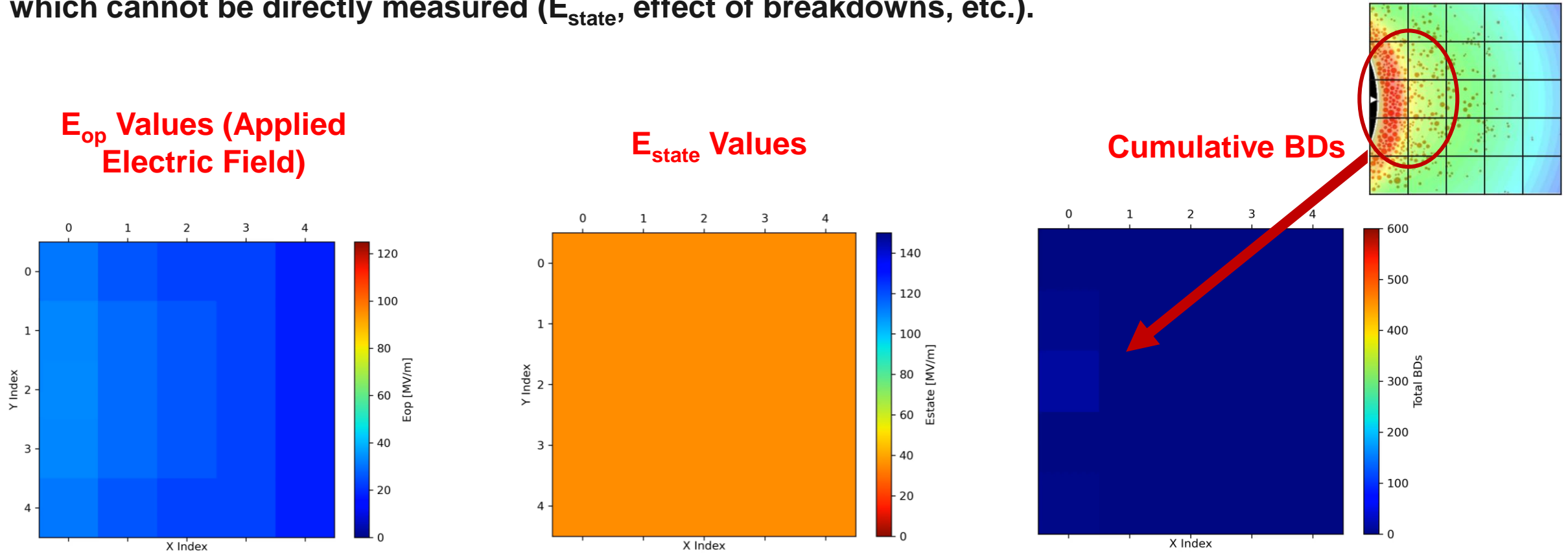


# A Visual Example: The CLIC Crab Cavity



# A Visual Example: The CLIC Crab Cavity

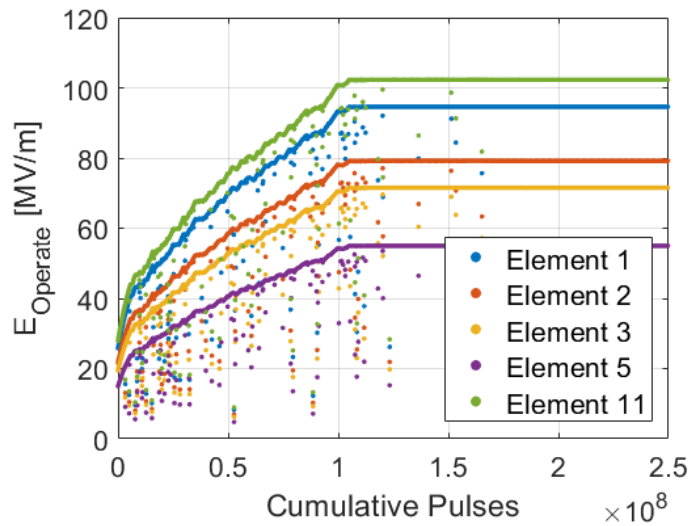
Can then monitor quantities accessible in experiments ( $E_{op}$ , global BDR) and others which cannot be directly measured ( $E_{state}$ , effect of breakdowns, etc.).



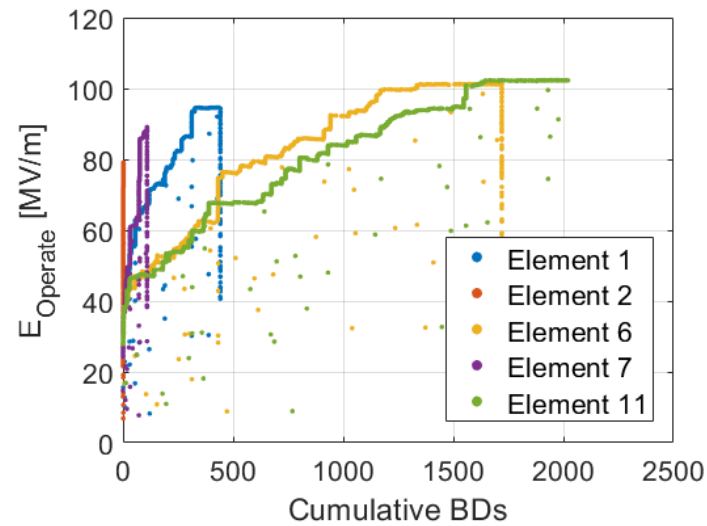
# A Visual Example: The CLIC Crab Cavity

And generate the more familiar plots.....

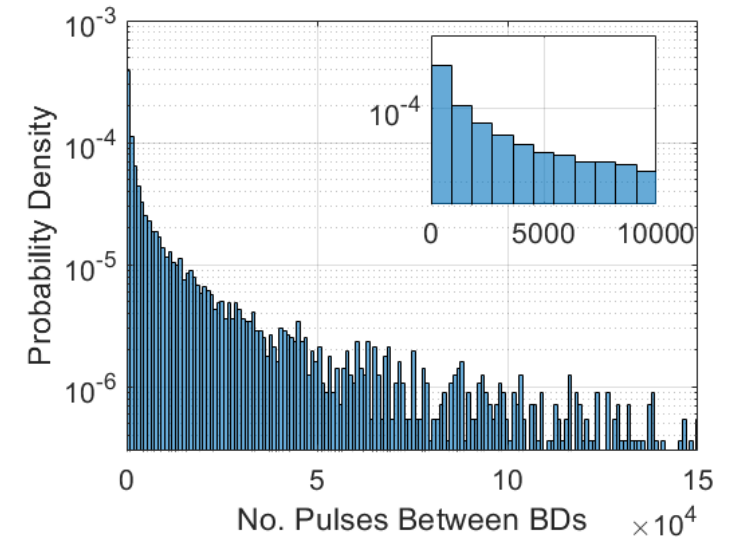
### $E_{op}$ Values (Applied Electric Field)



### BD Accumulation



### Probability Distributions

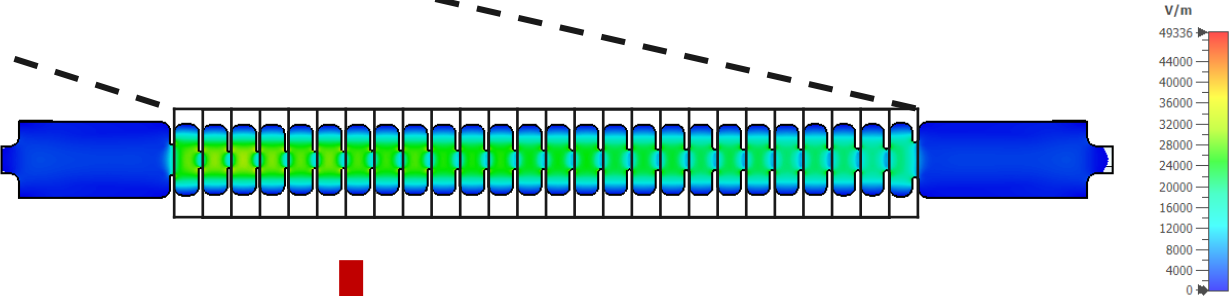
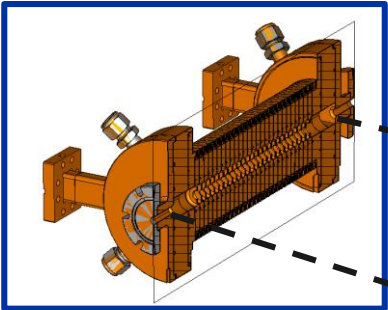


# Contents

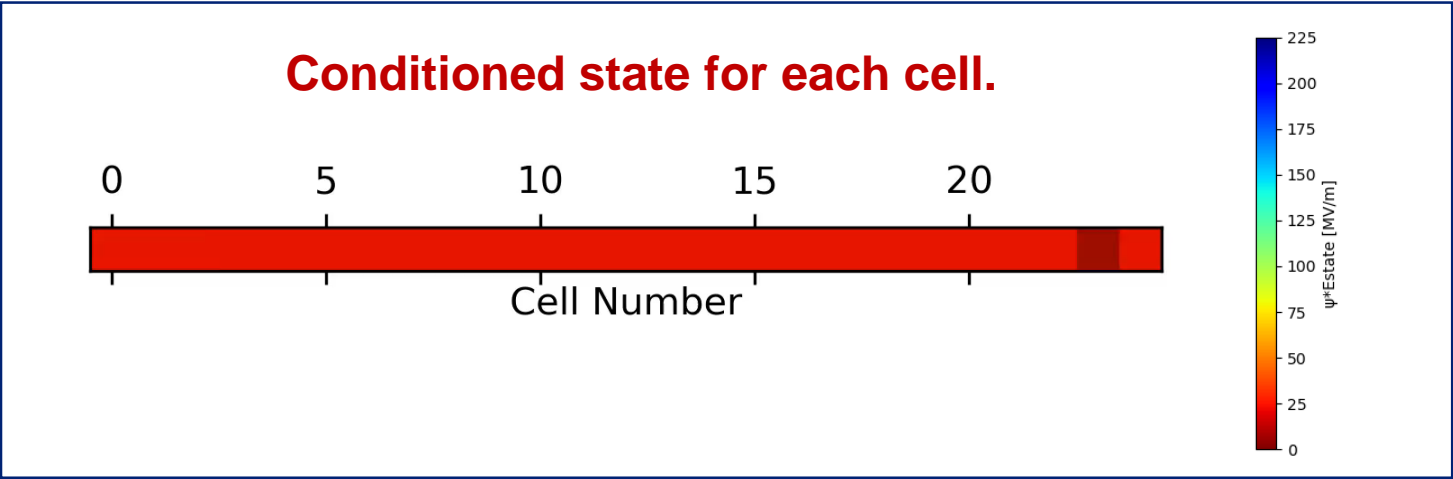
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1. Introduction.
2. Simulation Setup.
3. A Visual Example.
- 4. Results of the Model.**
5. Conclusion.

# Result 1: CLIC Prototype Structure



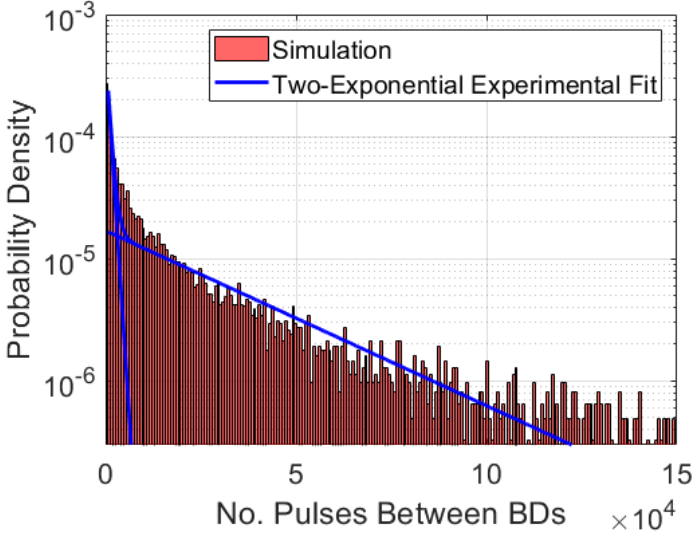
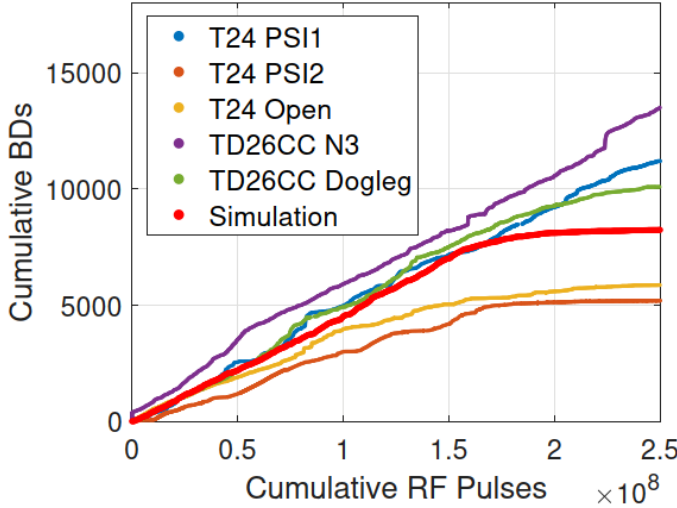
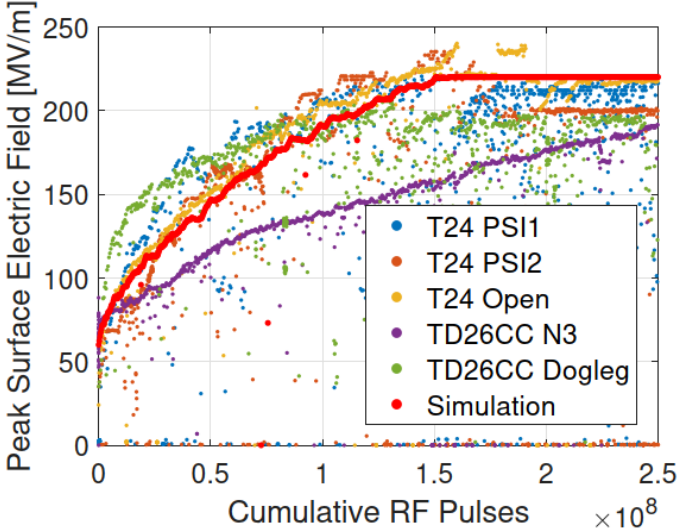
**Modelling each cell as an identical grid element (real structures vary in field and cell surface area).**



**Constant impedance example in the bonus slides.**

# Result 1: CLIC Prototype Structure

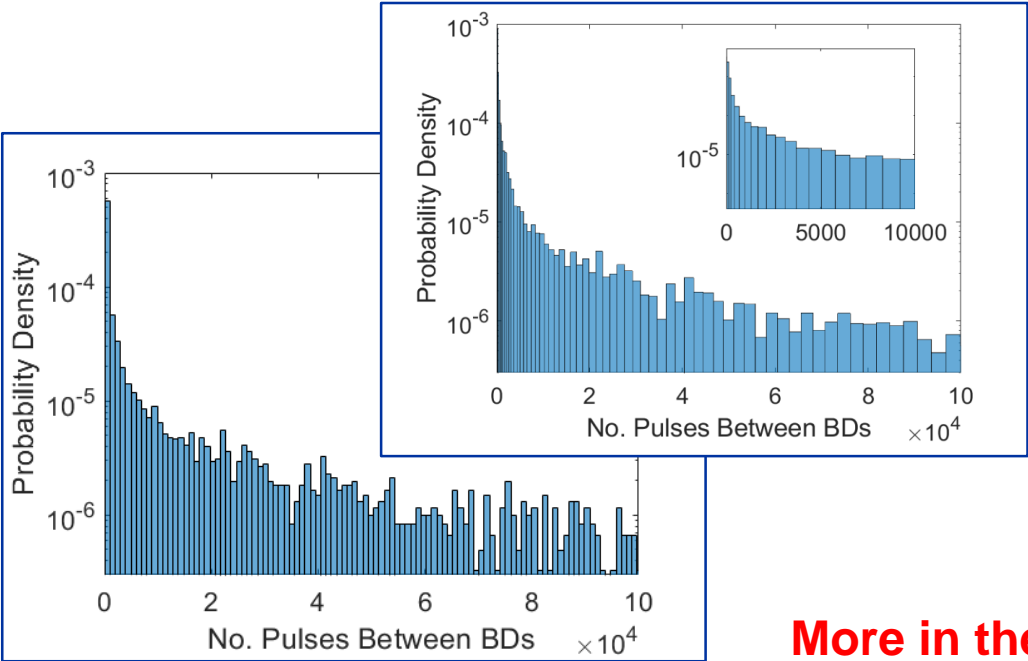
**Simulated conditioning in red  
(25 grid elements).**



# Result 1: CLIC Prototype Structure

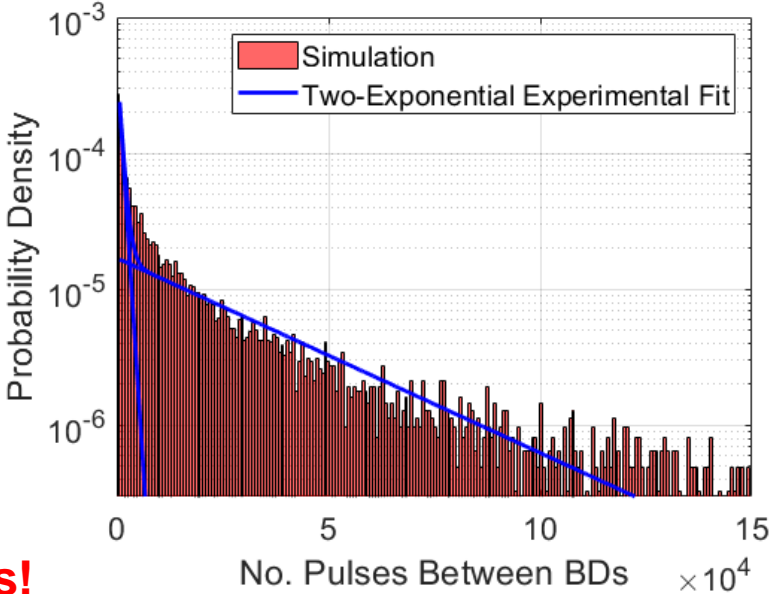
Previously described as a “double Poisson” or having a “two-exponential” fit (referring to primary and secondary breakdowns). Similar behaviour emerges in simulation using only a standard gaussian distribution.

## Experimental Data



**More in the bonus slides!**

## Simulation



# Contents

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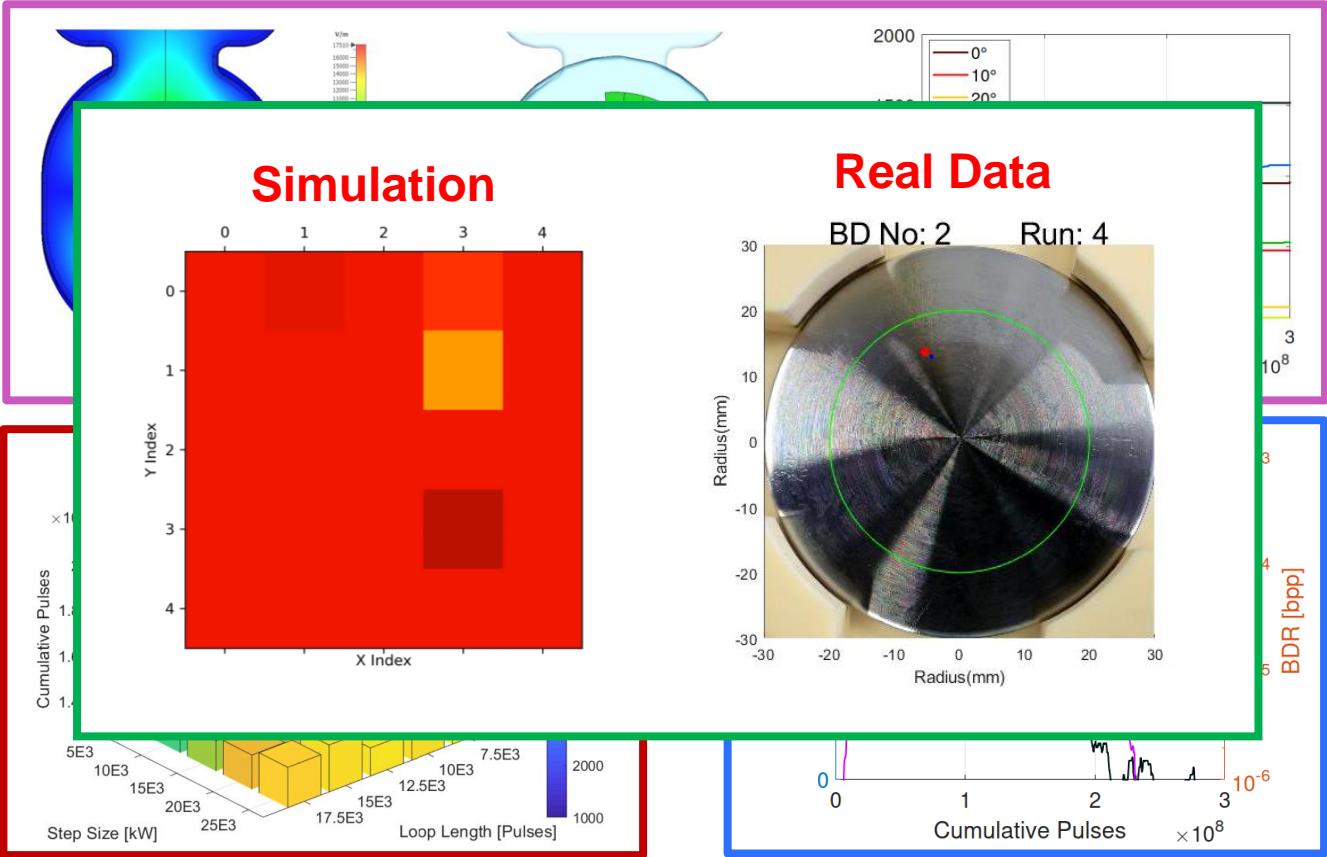
1. Introduction.
2. Simulation Setup.
3. A Visual Example.
4. Results of the Model.
- 5. Conclusion.**



# Conclusion & Future Work

A discretised model of conditioning has been created and the results align well with HG test data. Ongoing studies include:

- Spatially Resolved Conditioning.
- Conditioning algorithm optimisation.
- Multi-Structure arrangements.
- Simulation of DC electrodes (to be compared with CERN Large Electrode System data).
- Trialing alternative models/conditioning theories.



Currently being prepared for publication!

Figure: Additional ongoing Monte Carlo studies. DC Electrode clip courtesy of Ruth Peacock

# Thank you. Questions?

# References

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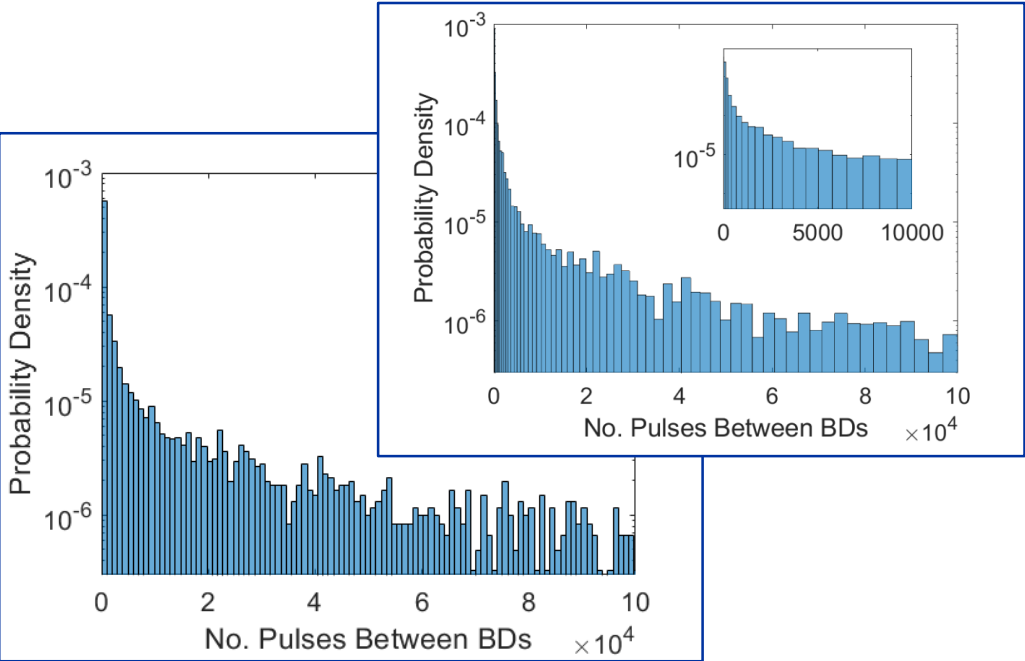
- [1] – B. Woolley, “High Power X-band RF Test Stand Development and High Power Testing of the CLIC Crab Cavity,” Lancaster University, United Kingdom, 2015.
- [2] – L. Millar, “Conditioning and Operational Algorithms”, Presentation, Available online: <https://indico.cern.ch/event/719535/>
- [3] – J. Giner Navarro, Breakdown Studies for High Gradient Rf Warm Technology in: CLIC and Hadron Therapy Linacs, University of Valencia, 2016.
- [4] – Statistics of vacuum breakdown in the high-gradient and low-rate regime. Wuensch, W. et al. 10, s.l. : American Physical Society, 2009, Phys. Rev. Accel. Beams 20, 011007 – Published 25 January 2017, Available online: <https://journals.aps.org/prab/pdf/10.1103/PhysRevAccelBeams.20.011007>
- [5] – Enrique Castro, “CLIC Crab Cavity Post-Mortem analysis” (presentation), Available online: <https://indico.cern.ch/event/449801/contributions/1945273/>
- [6] – W. Wuensch, “The CLIC accelerating structure development program” (presentation), Available online: <https://indico.cern.ch/event/106300/contributions/1306888/>
- [7] – T. Lucas et al., “HIGH POWER TESTING OF A PROTOTYPE CLIC STRUCTURE: TD26CC R05 N3”, Available online: <http://cds.cern.ch/record/002642425>

# Bonus Slides: Extra Results

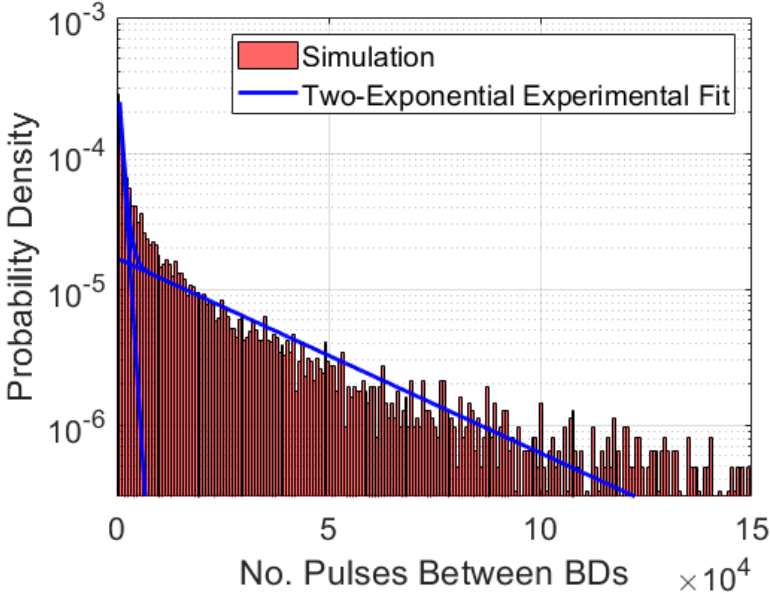
# Result 1: CLIC Prototype Structure

Previously described as a “double Poisson” or having a “two-exponential” fit (referring to primary and secondary breakdowns). Similar behaviour emerges in simulation using a standard gaussian distribution.

## Experimental Data

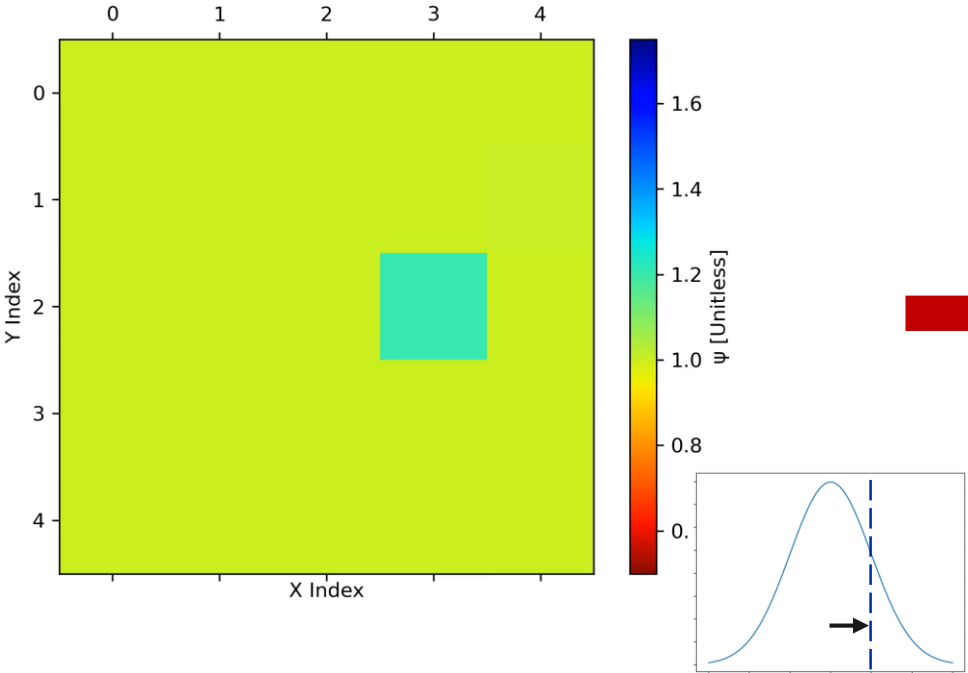


## Simulation

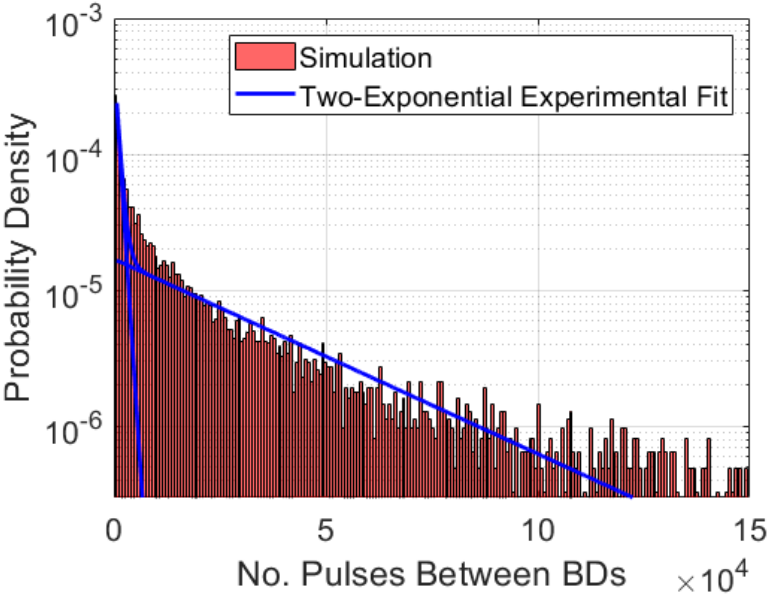


# Result 1: CLIC Prototype Structure

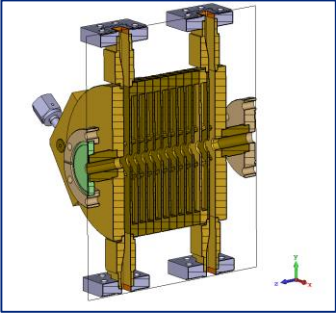
Previously described as a “double Poisson” or having a “two-exponential” fit (referring to primary and secondary breakdowns), emerges naturally in simulation using an enhancement factor taken from a standard gaussian distribution.



Values tend towards stability.



# Result 2: A Constant Impedance Structure



Different cells in the CLIC crab cavity (shown left) accumulated different numbers of BDs.

Cells identical, E-field decreases.

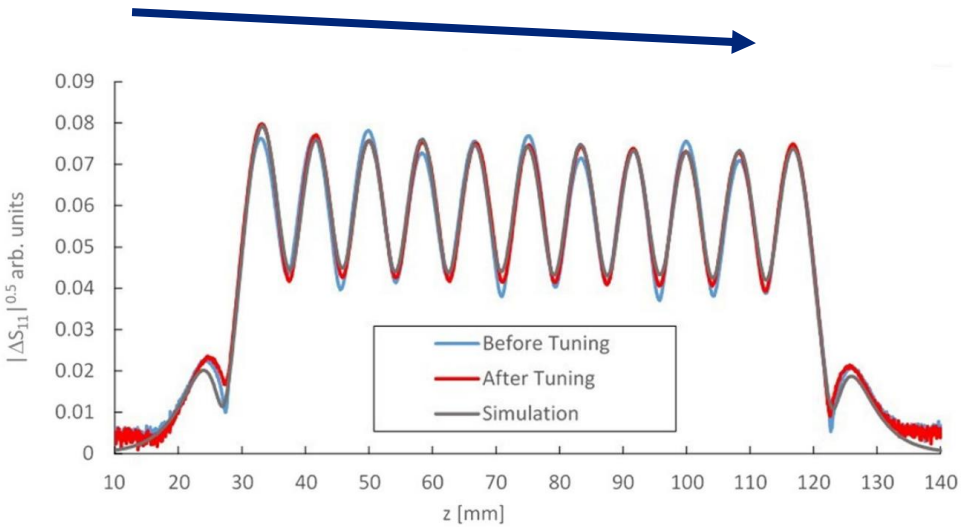


Figure: Field profile of the CLIC crab cavity.

Different BD accumulation.

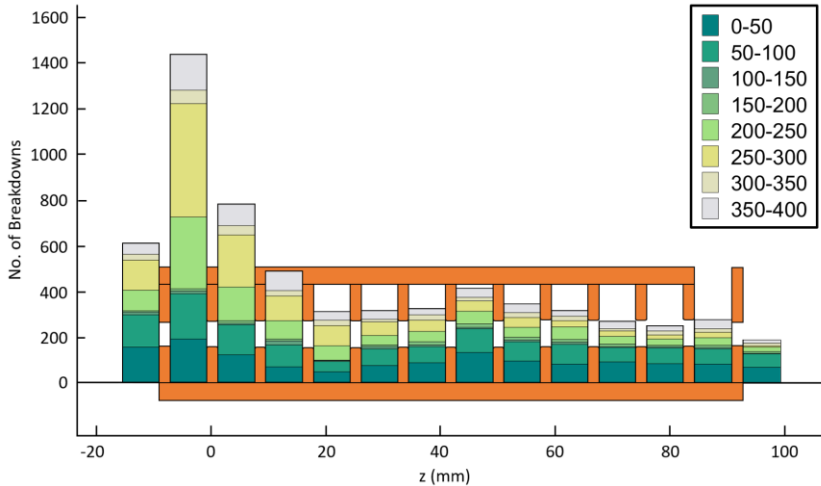
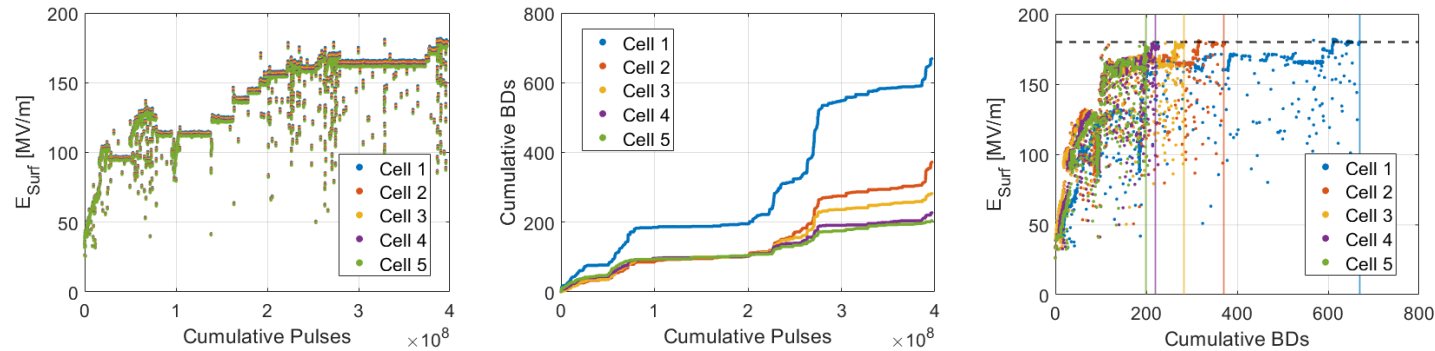


Figure: Number of breakdowns accrued in each cell for different windows of pulses (millions) during the test of the CLIC crab cavity.

# Result 2: A Constant Impedance Structure

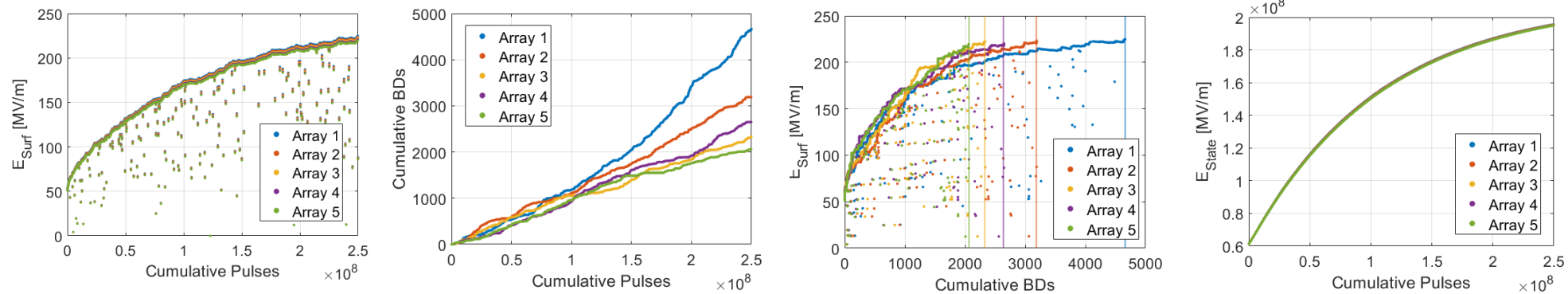
## Experiment



Experimental and simulation data shows cells reach virtually the same field (~97%) but accrue different numbers of breakdowns.

**Simulations agree!**

## Simulation

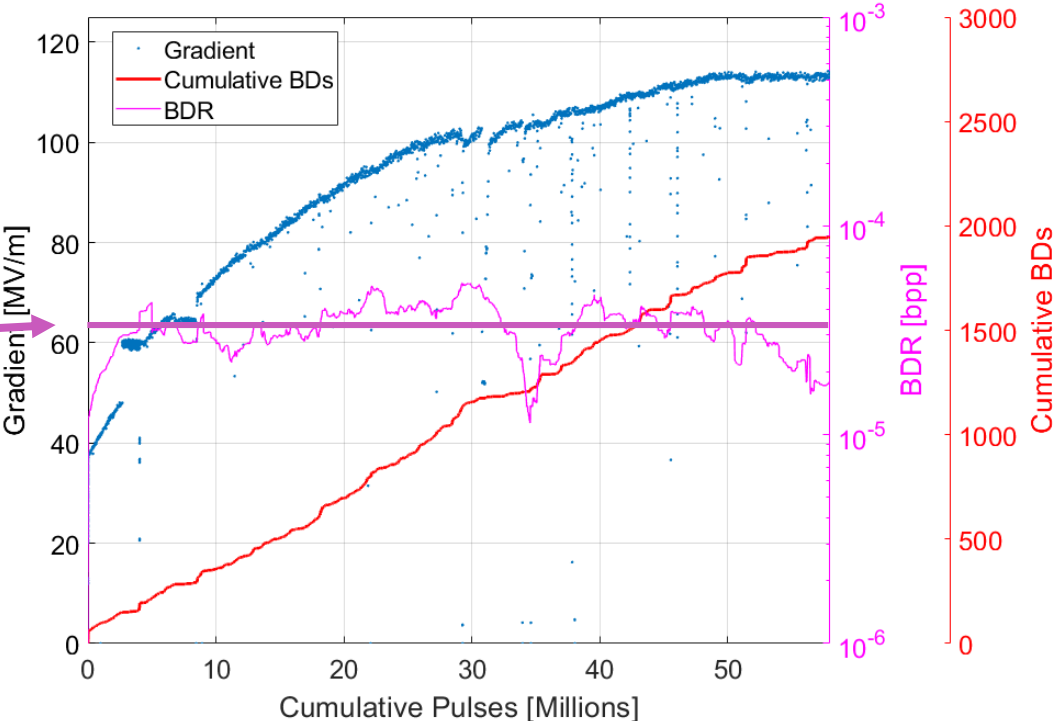




# Result 3: Adjusting CERN's Conditioning Algorithm

One of the goals was to examine **changing the parameters in our conditioning algorithm.**

In the test stands, we track an operator-selected BDR. Little data exists on changing this value.

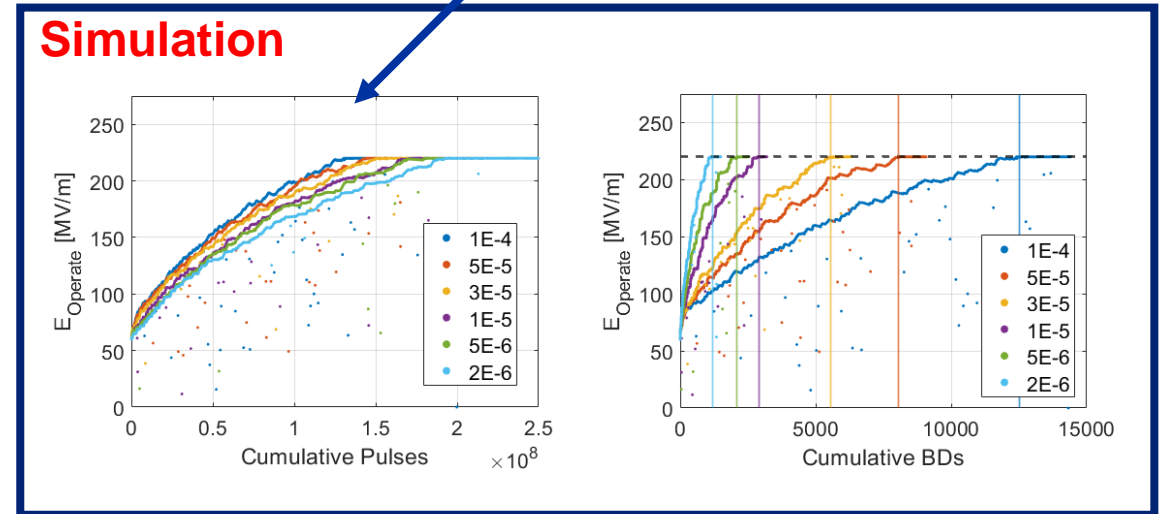
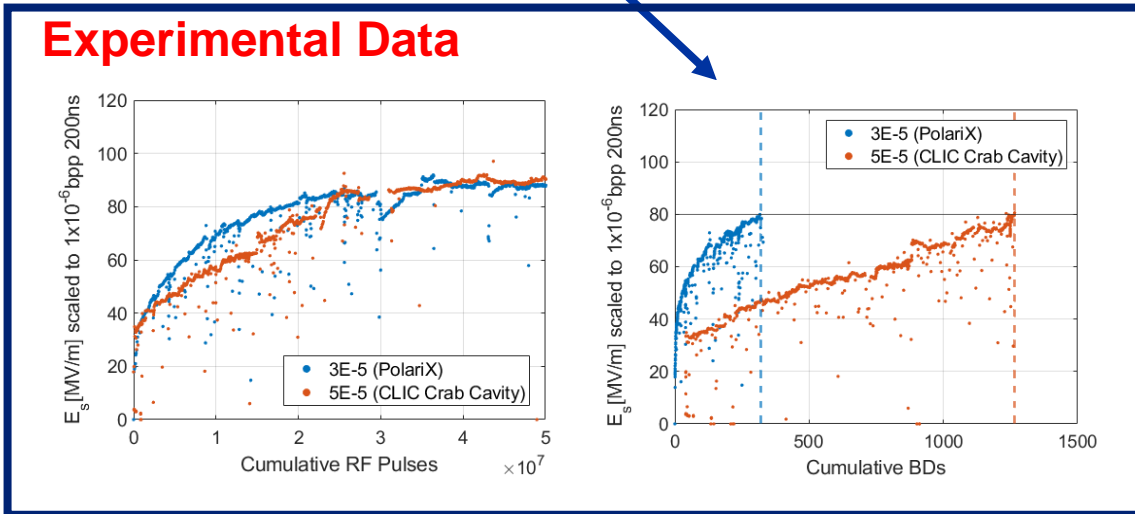


# Result 3: Adjusting CERN's Conditioning Algorithm

Simulation predicts that reducing the BDR setpoint can reduce the BDs accrued without significantly prolonging conditioning. Results agree with an existing experimental example.

Fewer BDs at lower setpoints.

Offers an explanation for the results ✓.



# Bonus Slides: Model Details

# BONUS SLIDE - Definition of Model Terms

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$P_{\text{Reference}}$  = The instantaneous probability of breakdown for a given device operating at the level to which it has been conditioned. **5E-5 bpp** selected as it is generally the max permissible BDR chosen in CERN structure tests.

$E_{\text{Operate}}$  = The electric field level in MV/m at which the device operates.

$E_{\text{State}}$  = The surface electric field level to which the device has been conditioned in MV/m. Operation at this field level results in a probability of breakdown which is equal to  $P_{\text{Baseline}}$ .

$E_{\text{Sat}}$  = The saturation point for a given material in MV/m. Operation above this level does not result in any further improvement in  $E_{\text{State}}$  and thus, this is the maximum surface field attainable at the reference breakdown rate (5E-5 bpp) after the device has been fully conditioned. Typically  $\approx$  **250 MV/m** in our structures.

# Simulation Setup: Assumptions of the Model

$E_{\text{Operate}}$  = The electric field at which the device operates.

$E_{\text{State}}$  = The surface electric field level to which the device has been conditioned.

$E_{\text{Sat}}$  = The maximum achievable value for  $E_{\text{state}}$ . The saturation point for a given material in MV/m.

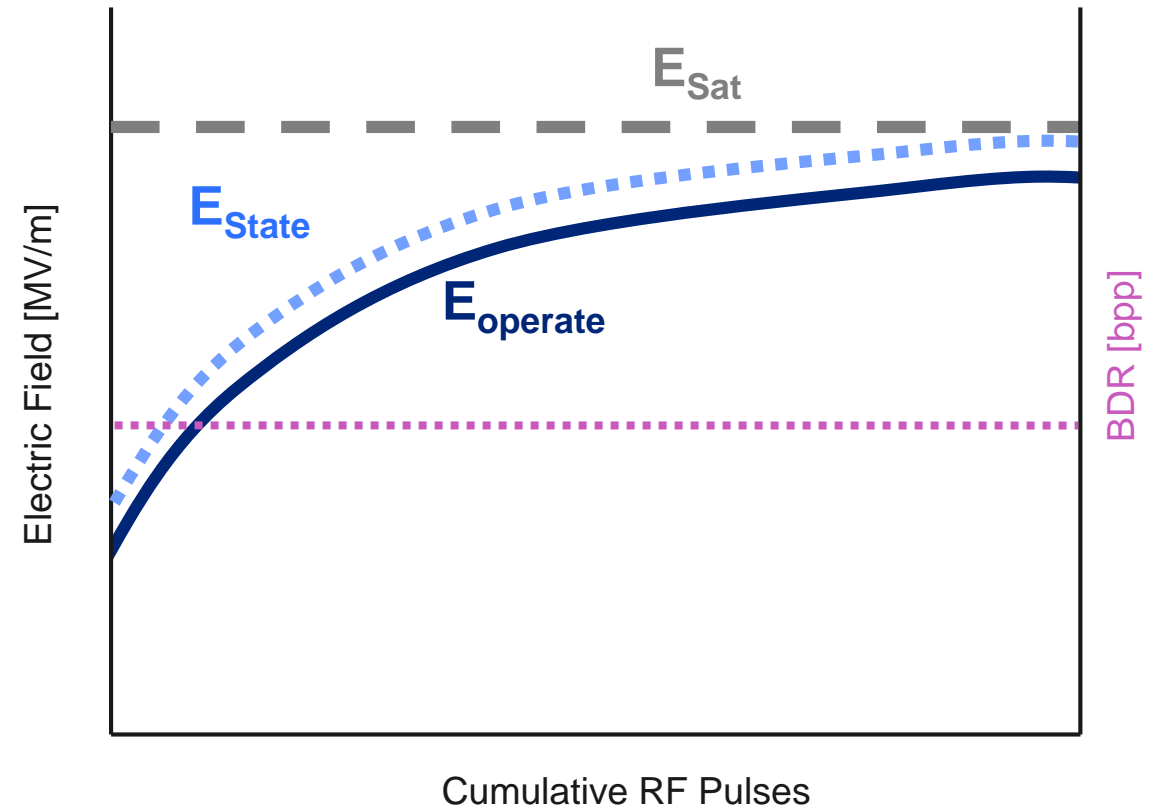


Figure: Visualisation of proposed quantities during conditioning. For illustrative purposes only.

# Simulation Setup: Quantification of Conditioning

Assuming each element has a conditioning rate (CR), the surface state ( $E_{State}$ ) should asymptotically approach a limit ( $E_{Sat}$ ):

$$CR \propto \left[ 1 - \frac{E_{State}}{E_{Sat}} \right]$$

However, to produce a significant conditioning effect the operating field ( $E_{Operate}$ ) must be close to the level to which the surface is conditioned ( $E_{State}$ ):

$$CR \propto \frac{E_{Operate}}{E_{State}} \left[ 1 - \frac{E_{State}}{E_{Sat}} \right]$$

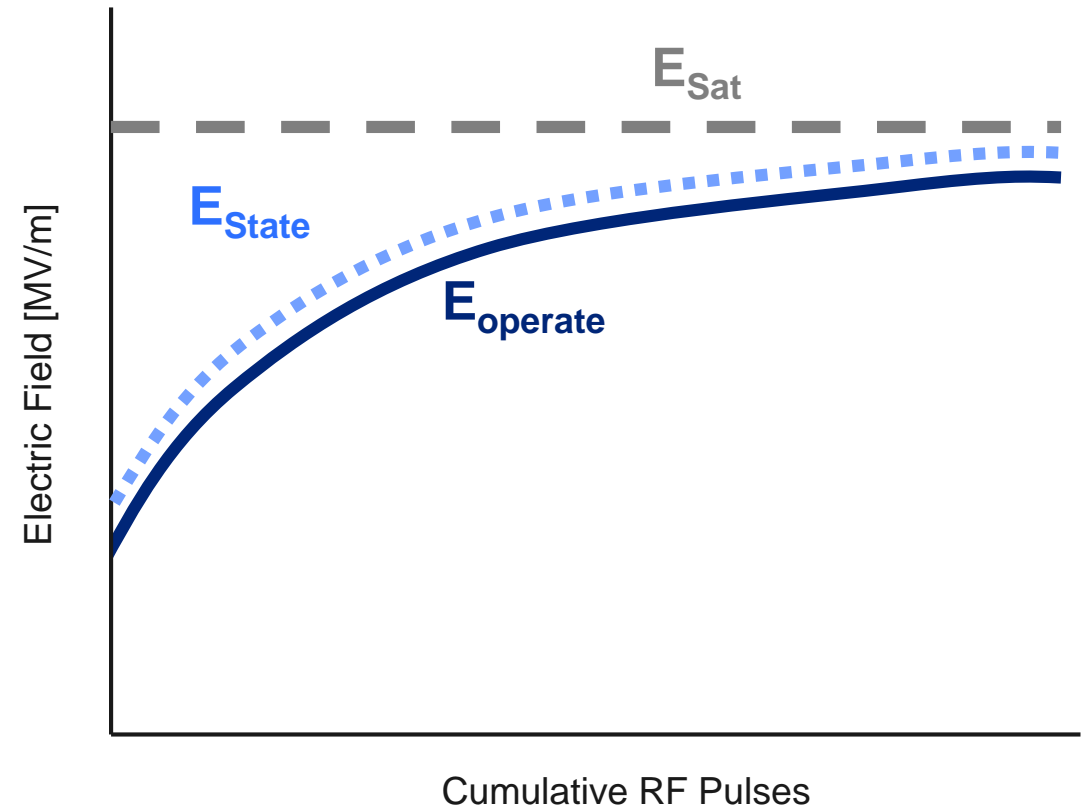


Figure: Visualisation of proposed quantities during conditioning.

# Simulation Setup: Quantification of Conditioning

Adding a constant to facilitate tuning ( $\gamma$ ), we can then modify the state of the surface every pulse i.e.

$$CR \text{ [per pulse]} = \gamma \cdot \frac{E_{Operate}}{E_{State}} \left[ 1 - \frac{E_{State}}{E_{Sat}} \right]$$

The surface state after N pulses is then given:

$$E_{State} = \sum_{i=0}^N CR(i) \quad i = 1, 2, 3 \dots N$$

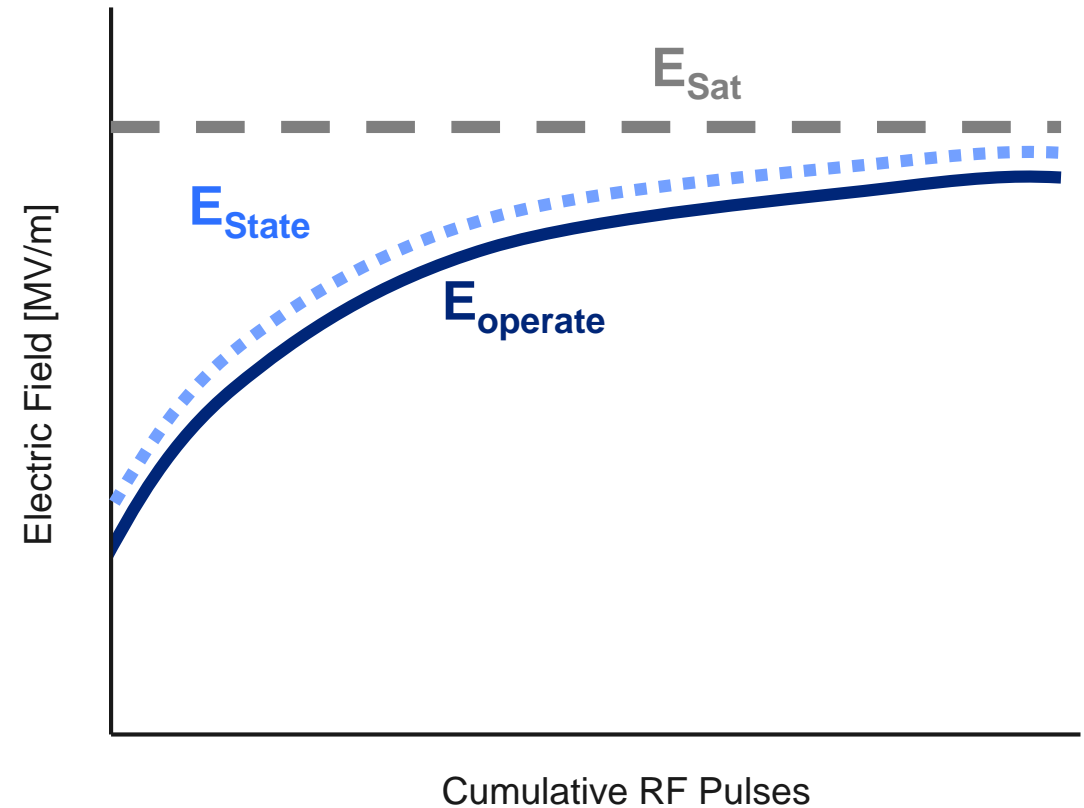
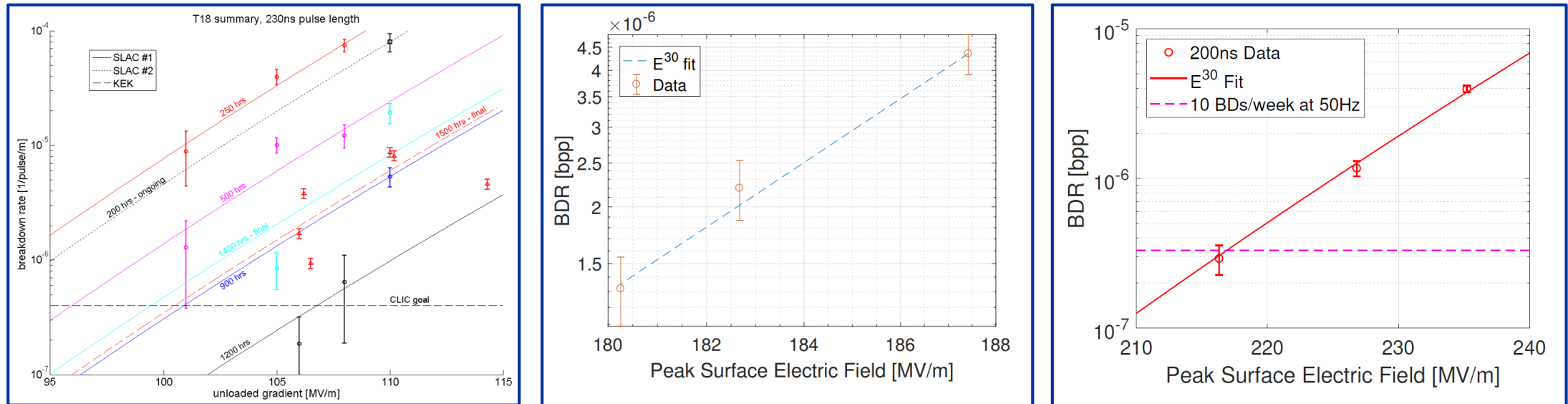


Figure: Visualisation of proposed quantities during conditioning.

# Simulation Setup: Probability of Breakdown

In the CLIC structures the BDR scales strongly with the electric field. Some variance is present, but 30 is the frequently quoted exponent. Values measured in structure tests generally lie around this.



Figures:  $E^{30}$  fit to various HG structure test results [6,7].



# Simulation Setup: Probability of Breakdown

For the time being, it is assumed that changes in the operating field strongly affect the probability of breakdown ( $P_{BD}$ ) as:

$$P_{BD} \propto E_{Operate}^{30}$$

However, the probability of BD **decreases as we condition**, hence:

$$P_{BD} \propto \left( \frac{E_{Operate}}{E_{State}} \right)^{30}$$

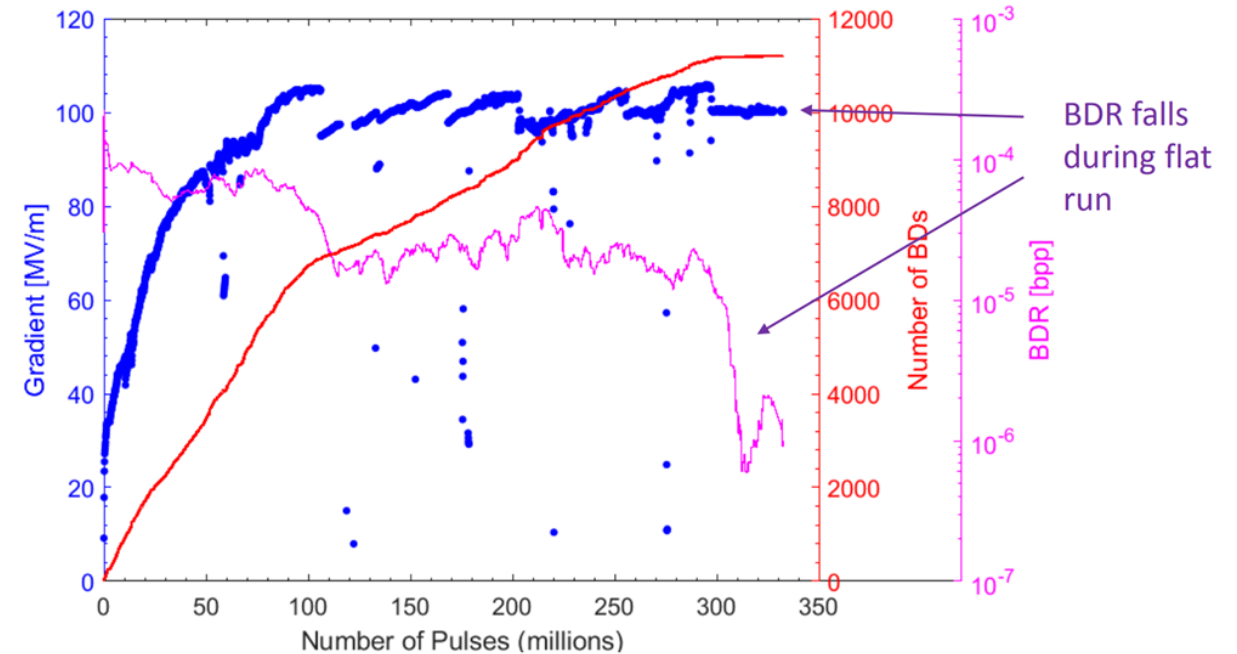


Figure: Conditioning curve of a CERN structure.

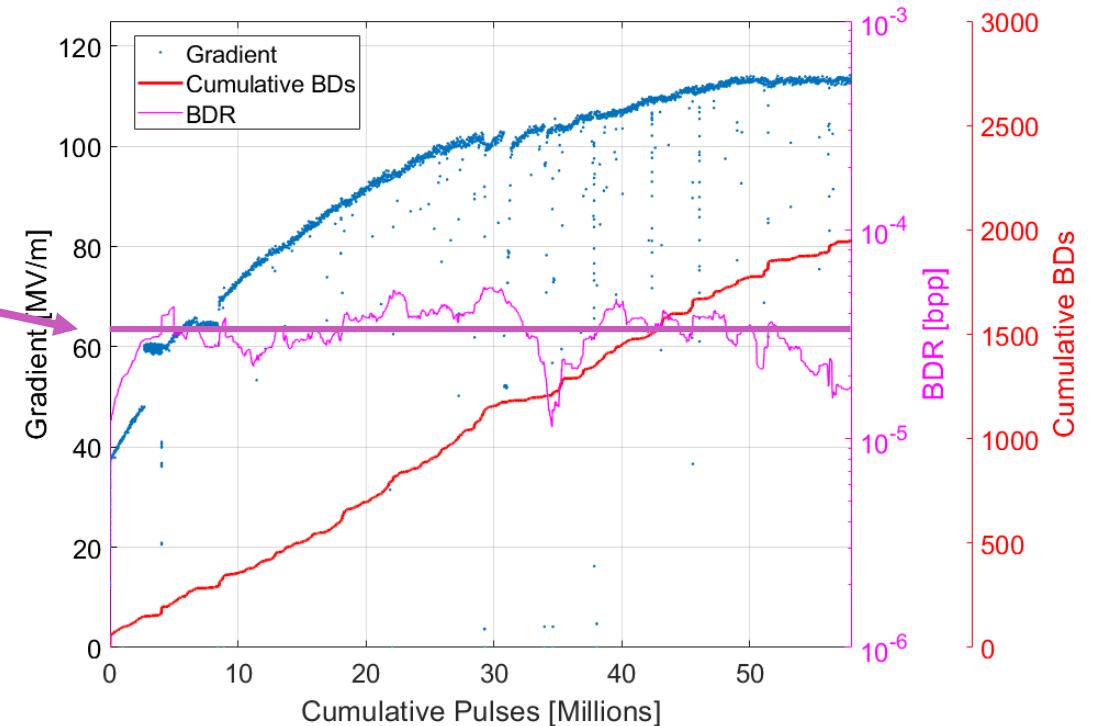
# Simulation Setup: Probability of Breakdown

A reference probability is added to provide a reasonable BDR:

$$P_{BD} = \left( \frac{E_{Operate}}{E_{State}} \right)^{30} \cdot P_{Reference}$$

However,  $P_{reference}$  applies to a whole device, not individual grid elements. The probability corresponding to each element in a grid of  $n$  elements is then:

$$P_{Grid} = \sqrt[n]{1 - P_{Reference}}$$



# Simulation Setup: Probability of Breakdown

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The probability of a BD occurring for each grid element is then:

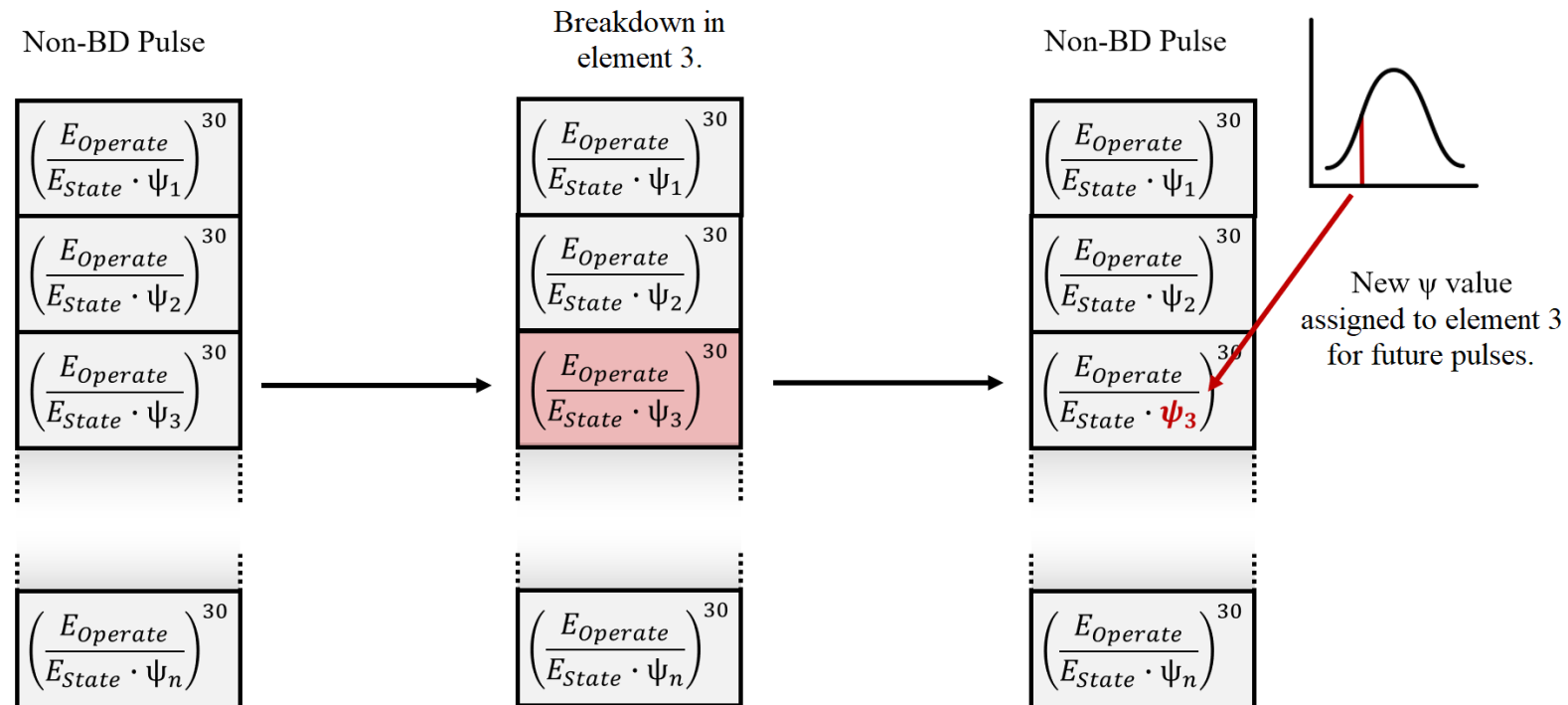
$$P_{BD} = \left( \frac{E_{Operate}}{E_{State}} \right)^{30} \cdot P_{Grid}$$

Given that **breakdown randomly improves or worsens the surface, a quasi-enhancement factor** in the BD calculation for each element taken from a gaussian distribution as:

$$P_{BD} = \left( \frac{E_{Operate}}{\psi \cdot E_{State}} \right)^{30} \cdot P_{Grid}$$

# Simulation Setup: Probability of Breakdown

On every pulse, each element is checked for the occurrence of a breakdown. If a breakdown occurs the relevant grid element is assigned a new  $\psi$  value.





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