

# Scale-separated Type IIA $AdS_3$ vacua and O6-plane backreaction

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Talk based on: [F. Farakos, G. T, T. Van Riet, \[2005.05246\]](#)  
[M. Emelin, F. Farakos, G. T, \[2202.13431\]](#)

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# Outline

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## 1 Introduction

## 2 Part I: 3d vacua in Type IIA

- Internal space
- Spectrum and local sources
- $\text{AdS}_3$  and its properties

## 3 Part 2: O6-backreaction

- Smeared and localized sources
- Field expansion & NLO field corrections
- Corrections to the effective potential

## 4 Conclusion

# Motivation-Goal

Construct EFTs with Einstein gravity from string theory.



An elementary starting point is the construction of vacua.

Einstein equations at the vacuum:

$$S = \int d^d x \sqrt{-g} \left( \frac{1}{2} R + \dots + V(\phi) \right) \xrightarrow[\Lambda \equiv V(\phi^0)]{\nabla_\phi V|_{\phi^0=0}} R_{mn} - \frac{1}{2} R g_{mn} + \Lambda g_{mn} = 0 \quad (1)$$

In this analysis we are looking for consistent AdS vacua:

$$\Lambda < 0 \quad (2)$$

We want to construct such vacua from string theory and check their consistency.

# Goal

*Three-dimensional EFTs cannot physically describe our Universe*

$$M_{10} = M_3 \times X^7 : 10d \text{ Type IIA supergravity} \rightarrow 3d \text{ EFT}$$

*however they can provide us with information about the existence of EFTs with "good" properties from string theory*

Setup similar to the 4d [O. DeWolfe, A. Giryavets, S. Kachru, W. Taylor, \[0505160\]](#)

Our goal is to find  $AdS_3$  vacua with :

- Stabilize the massless scalars  $\rightarrow$  Introduce fluxes
- Make the extra dimensions not detectable: Scale separation  $\frac{L_{KK}^2}{L_\Lambda^2} \rightarrow 0$
- Quantized fluxes (Requirement for string theory origin)
- No tachyons
- Classical string theory regime – (Weak coupling & Large internal volume)

# Swampland

Effective field theory space:

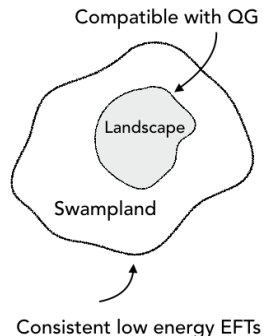
- Swampland: Consistent EFTs that **cannot** be UV-completed to quantum gravity.
- Landscape: Consistent EFTs that **can** be UV-completed to quantum gravity

AdS Conjectures

- [D. Luest, E. Palti, C. Vafa \[1906.05225\]](#) SUSY  $\Lambda \rightarrow 0$  then light KK modes, [H. Ooguri, C. Vafa \[1610.01533\]](#) non-SUSY develops instabilities

One can study such conjectures in 3d too!

- 3d constructions are simpler than 4d
- The validity of possible  $AdS_3$  vacua can be checked with  $CFT_2$  which is better understood. see [F. Apers, M. Montero, T. Van Riet, T. Wrase, \[2202.00682\]](#).



## Type IIA setup

The bosonic Type IIA action ( $n=\text{even}$ ) in the Einstein frame has the form

$$S_{\text{IIA}} = \frac{1}{2\kappa_{10}^2} \int d^{10}X \sqrt{-G} \left( R_{10} - \frac{1}{2} \partial_M \phi \partial^M \phi - \frac{1}{2} e^{-\phi} |H_3|^2 - \frac{1}{2} \sum_n e^{\frac{5-n}{2}\phi} |F_n|^2 \right)$$

**D-branes, O-planes** effective action: Span  $p + 1$  dimensions of the 10d space and wrap internal cycles.

$$S_{\text{D}p/\text{O}p} = -T_{\text{D}p/\text{O}p} \int d^{10}X e^{-\phi} \sqrt{-\det(g_{p+1})} \delta^{(9-p)}(y) + CS, \quad (3)$$

We use the so called **smearing approximation** and replace the singular function that appears in the EoM with a regular one:

$$\delta_{9-p} \rightarrow j_{9-p},$$

**Smearing local sources** is a simplification to obtain explicit flux compactifications.

## From G2-manifold to Toroidal orbifold

A G2-manifold (Ricci flat) is characterized by the **fundamental three-form**

$$\Phi = e^{127} - e^{347} - e^{567} + e^{136} - e^{235} + e^{145} + e^{246},$$

We choose the internal manifold  $X_7$  to be a **seven-torus** with the orbifold  $\Gamma$ :

$$X_7 = \frac{T^7}{\mathbb{Z}_2 \times \mathbb{Z}_2 \times \mathbb{Z}_2} \quad (4)$$

with specific  $\mathbb{Z}_2$  involutions and periodically identified coordinates

$$y^m \sim y^m + 2\pi r^m \quad (5)$$

The vielbein of the torus  $e^m = r^m dy^m$

$$\Phi = s^i \Phi_i, \quad s^1 \Phi_1 = e^{127} \rightarrow s^1 = r^1 r^2 r^7, \quad \text{etc.} \quad (6)$$

where the  $s^i$  are the **metric/structure moduli** related to the seven-torus **radii**  $r^m$ .

## Allowed sources and EFT spectrum

### Field content

- O2/D2 & O6/D6 planes and branes
- Fluxes:  $F_0, F_4=f^i\Psi_i, H_3=h^i\Phi_i$
- Moduli:  $x, y, \tilde{s}^i, i=1,\dots,6$ .
- Remaining supercharges :  $32 \xrightarrow{\Gamma \text{ orbifold}} 4 \xrightarrow{\text{O2-plane}} 2$  real

The 3d bosonic effective action has the form

$$e^{-1}\mathcal{L}_{EFT} = \frac{1}{2}R_3 - \frac{1}{4}(\partial x)^2 - \frac{1}{4}(\partial y)^2 - \frac{1}{4}\text{vol}(\tilde{X}_7)^{-1} \int_7 \Phi_i \wedge \tilde{\star}\Phi_j \partial\tilde{s}^i \partial\tilde{s}^j - V$$

The dimensionally reduced scalar potential is reproduced by  $V = G^{IJ}\partial_I P \partial_J P - 4P^2$  using the following superpotential

$$P = \frac{e^y}{8} \left[ e^{\frac{x}{\sqrt{7}}} \int \star\Phi \wedge H_3 \text{vol}(X_7)^{-\frac{4}{7}} + e^{-\frac{x}{\sqrt{7}}} \int \Phi \wedge F_4 \text{vol}(X_7)^{-\frac{3}{7}} \right] + \frac{F_0}{8} e^{\frac{1}{2}y - \frac{\sqrt{7}}{2}x}$$



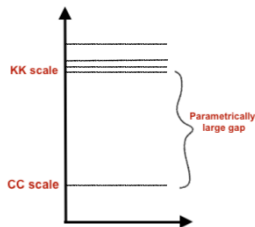
# AdS & Indication for parametric scale separation

Minimizing the potential one finds AdS vacuum

$$\langle V \rangle = -\frac{1}{4}|F_4|^2 e^{6\phi} e^{-22\beta v} < 0.$$

Parametric scale separation for **large values** of  $F_4$  flux

$$\frac{L_{KK}^2}{L_\Lambda^2} \sim e^{2\phi} e^{-6\beta v} \times |F_4|^2 \sim f_4^{-1}$$



⇒ However the flux of  $F_4$  ( $f_4^i$ ) is bounded by the tadpole cancellation conditions:

$$dF_6 = H_3 \wedge F_4 + \mu_{O2/D2} j_7 \rightarrow h_{3i} f_4^i = -\mu_{O2/D2}$$

Specific flux ansatz :  $f_4^i = (-f, -f, -f, -f, -f, -f, +6f)$ ,  $h_3 = h$

# Explicit SUSY AdS vacua with Scale Separation

We solve the supersymmetric equations for  $\langle \tilde{s}^\alpha \rangle = \sigma$

$$\partial_i P = 0, \quad \text{for } i = x, y, \tilde{s}^a \quad (7)$$

- Stabilized moduli

$$\text{for } 0.51 \approx \frac{h}{f} e^{\frac{2x}{\sqrt{7}}}, \quad 3.43 \approx \frac{m}{f} e^{-\frac{y}{2} - \frac{5x}{2\sqrt{7}}}, \quad \langle \tilde{s}^\alpha \rangle = \sigma \approx 1.32 \dots \quad (8)$$

- Parametric Scale-separation:  $L_{KK}^2 / L_\Lambda^2 \sim f^{-1}$

- Parametric Large volume and weak coupling

$$g_s = e^\phi \sim f^{-\frac{3}{4}}, \quad \text{vol}(X_7) = e^{7\beta u} \sim f^{\frac{49}{16}} \quad (9)$$

- The fluxes can be quantized and we have no tachyons.

$AdS_3/CFT_2$ : F. Apers, M. Montero, T. Van Riet, T. Wrase, [2202.00682]

- Flipping the sign  $f \rightarrow -f$  we get non-SUSY vacuum with the same properties
- Stable non-SUSY AdS? Is the instability hidden due to the smearing approx?

## Instability using Blons?

In [F. Marchesano, D. Prieto, J. Quirant \[2110.11370\]](#) a system of  $D6/D8$  branes was used to study the effects of NLO terms on stability.

It was found that

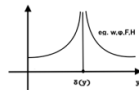
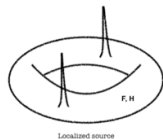
- In the smeared approximation both SUSY and non-SUSY vacua are stable.
- Considering NLO terms the tension and charge got modified. The SUSY was still protected by BPS however the non-SUSY develops instability  $T < Q$ .

This can be computed also in the non-SUSY  $AdS_3$  setup for the systems  $D2/D4$  and  $D6/D8$ , [G. T, \[work in progress\]](#).

# Smearing process

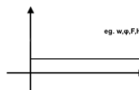
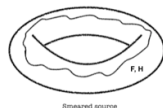
## Localized sources:

- The density is locally distributed by singular function  $\delta(y)$ .
- Strong backreaction  
→ Non-trivial field profile close to the locii,  $F \sim F_0 + 1/r + \dots$



## Smeared sources:

- Smeared sources are distributed all over the cycles  $j(y)$ .
- The fields *ignore* local backreaction : Trivial profile.



We obtained our results using smeared sources. We want to

- Estimate the distance  $r_0$  from the locii where the smeared solution breaks down.
- Find O6-backreaction effects on the fields at NLO order.
- Can be used to test stability?

## Study of O6-plane backreaction - Methodology

Follow the steps of 4d DGKT example from [D. Junghans \[2003.06274\]](#)

- Start from a general ansatz for the 10d metric:

$$ds_{10}^2 = \underbrace{w^2(y)g_{\mu\nu}}_{AdS_3} dx^\mu dx^\nu + \underbrace{g_{mn}}_{7d} dy^m dy^n \quad (10)$$

- Proper field expansion with the leading term being the smeared field

$$F = F^{(0)} + F^{(1)} + \dots, \quad (1/n^q, q > 0) \quad (11)$$

- Use the exact + smeared **equations of motion** to calculate the corrections.

The smeared EoMs are invariant under the following scaling of fluxes:

$$F_4^{(0)} \sim n, \quad F_0^{(0)} \sim n^0, \quad H_3^{(0)} \sim n^0, \quad \tau^{(0)} \sim n^{\frac{3}{4}}, \quad w^{(0)} \sim n^{\frac{3}{4}}, \quad g_{mn}^{(0)} \sim n^{\frac{1}{2}}$$

where  $n$  can be thought as the VEV of  $F_4$ .

## Next to leading order equations

- The scaling rate of **next to leading order terms**, is **not uniquely dictated** by the system of equations we have at our disposal. We choose for example

$$g_{mn} = g_{mn}^{(0)} n^{1/2} + g_{mn}^{(1)} n^{-1/2} + \mathcal{O}(n^{-3/2}). \quad (12)$$

Using the dilaton, Bianchi and Einstein equations we get the NLO expressions:

$$dF_2^{(1)} = 2\mu_6 \sum_i (j_{i,3} - \delta_{i,3}) \quad (13)$$

$$\nabla^2 \tau^{(1)} = -\frac{3}{2} \mu_6 \sum_i (j_{\pi_i} - \delta(\pi_i)) \quad (14)$$

$$\nabla^2 w^{(1)} = \frac{1}{2} \frac{w^{(0)}}{\tau^{(0)}} \mu_6 \sum_i (j_{\pi_i} - \delta(\pi_i)) \quad (15)$$

$$\tau^{(0)} R_{mn}^{(1)} - 3 \frac{\tau^{(0)}}{w^{(0)}} \nabla_m \partial_n w^{(1)} - 2 \nabla_m \partial_n \tau^{(1)} = \sum_i \left( \frac{1}{2} g_{mn}^{(0)} - \Pi_{i,mn}^{(0)} \right) (j_{\pi_i} - \delta(\pi_i)) \quad (16)$$

**SOLUTION:** Consider a single O6-plane localized at  $y^5, y^6, y^7$ , wraps  $y^1, y^2, y^3, y^4$

## First order corrections

The first order corrections on the RR fields **near the locus** of a *single* O6-plane:

$$F_2^{(1)} = -2 \star_7 (d\beta(y) \wedge \Psi_3) \quad (17)$$

$$F_4^{(1)} = G^i \Psi_i - 2\beta(y) \sum_i h^i \Psi_i \quad (18)$$

$$\vdots$$

for  $\beta(\vec{y}) = \frac{1}{4\pi} \frac{r_5 r_6 r_7}{r}$  and  $r^2 = (r_5 y_5^2 + r_6 y_6^2 + r_7 y_7^2)$ . For the rest of the fields:

$$\tau = \tau^{(0)} n^{3/4} - \frac{3}{8\pi r} n^{-1/4} + \mathcal{O}(n^{-5/4}) \quad (19)$$

$$w = w^{(0)} n^{3/4} + \frac{w^{(0)}}{\tau^{(0)}} \frac{1}{8\pi r} n^{-1/4} + \mathcal{O}(n^{-5/4}) \quad (20)$$

$$\vdots$$

similar for the metric. For distances away from the source the correction becomes negligible.

## Potential corrections – Cut off distance

Plugging in the potential the field expansion we find the corrections  $V = V^{(0)} + V^{(1)}$

The scaling rate of the smeared/leading order part:

$$V^{(0)} = \frac{1}{(2\pi)^2 \tilde{\mathcal{V}}_7^{(0)1/2} \tau^{(0)2}} \left( \frac{1}{2} \tau^{(0)2} |H_3^{(0)}|^2 + \frac{1}{2} \sum_{p=0,4} |F_p^{(0)}|^2 \right) n^{-17/4}$$

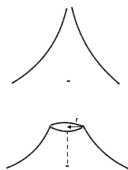
$$- \frac{\mu_6}{(2\pi)^2 \tilde{\mathcal{V}}_7^{(0)3/2}} \sum_i \int \text{vol}_{\pi_i}^{(0)} \wedge \left( \tau^{(0)} w^{(0)3} H_3^{(0)} F_0 \right) n^{-17/4}$$

The first order correction:

$$V^{(1)} \in - \frac{\mu_6}{(2\pi)^2 \tilde{\mathcal{V}}_7^{(0)3/2}} \sum_i \int \text{vol}_{\pi_i}^{(0)} \wedge \left( d(3\tau^{(0)} w^{(0)2} w^{(1)} + \tau^{(1)} w^{(0)3}) \wedge F_2^{(1)} \right) n^{-21/4}$$

Requiring the backreaction to be negligible we estimate the *cut off distance*  $r_0$  where the smeared approximation breaks down

$$V^{(0)} \gg V^{(1)} \rightarrow n \gg r_0^{-1}.$$





# Conclusion slide

- We found three-dimensional AdS vacua in the classical regime with "good" properties:
  - ▶ Moduli-stabilization
  - ▶ Scale-separation
  - ▶ weak coupling – large volume regime
  - ▶ Flux-quantization
  - ▶ Absence of tachyon
- However the SUSY vacua seems to be ruled out by AdS/CFT.
- We found the NLO corrections to the fields.
- We used a proper expansion to estimate the regions where the smeared approximation breaks down.
- It can be probably shown that the non-SUSY  $AdS_3$  is unstable (Using the NLO correction).

Thank you!