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**Primordial black holes and
Gravitational waves from inflationary
models based on supergravity**

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Overview

1. Introduction
2. An inflection point in the potential
3. Steep step-like potential
4. Model with a waterfall trajectory
5. Conclusions-Perspectives

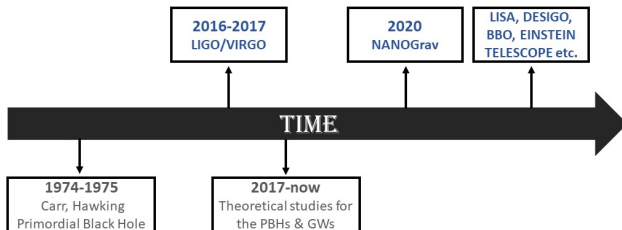
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Introduction

Introduction

Why do we study the production of PBHs and GWs ?

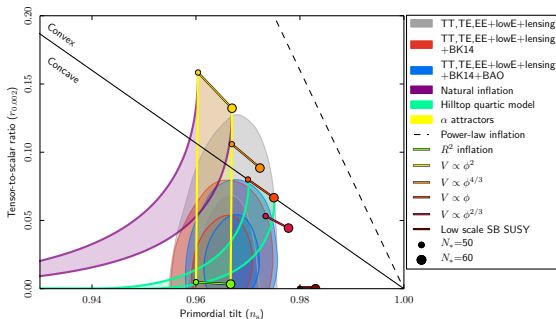
- ▶ The detection of Gravitational Waves (GWs) by a binary black hole merge by LIGO/VIRGO rekindles the old study of the Primordial Black Holes (PBHs).
- ▶ As a result there are numerous recent studies which show that the origin of PBHs can explain a fraction of Dark Matter (DM) in the Universe.



- ▶ The signal of the GWs is expected to be detected by future space-based GW interferometers such as LISA, BBO and DECIGO.
- ▶ Both the generation of PBHs and GWs can be explained in the framework of inflation. It is proposed that a significant amplification in the scalar power spectrum can explain both PBHs and GWs.

Constraints on the inflationary models

- The new theoretical models which have been proposed for explaining the generation of PBHs and GWs have to be in accordance with observable constraints on inflation released by Planck collaboration. [A&A 641 (2020)A10]



- Models based on Starobinsky-like potential give acceptable values for the spectral index n_s and tensor-to-scalar ratio r .
- Models which leads to Starobinsky-like effective scalar potential can be found through no-scale supergravity (SUGRA) theory.

How to obtain an enhancement in scalar power spectrum

We investigate three different mechanisms in order to obtain an amplification in scalar power spectrum:

1. An inflection point in the potential.
2. Steep step-like potential.
3. Models with a waterfall trajectory.

We match each mechanism with a model based on SUGRA theory in order to obtain an explicit model which respects the observable constraints:

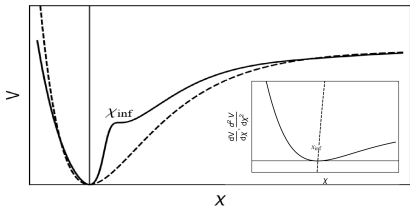
1. No-Scale SUGRA Theory.
2. α -Attractor SUGRA.
3. Hybrid model with SUGRA corrections.

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An inflection point in the
potential

Inflection point in the scalar potential

Significant peaks in the scalar power spectrum, which can interpret the production of PBHs & GWs, can be produced by a near inflection point in the scalar potential.



This inflection point:

$$\frac{dV(\chi_{inf})}{d\chi_{inf}} \simeq 0, \quad \frac{d^2V(\chi_{inf})}{d\chi_{inf}^2} = 0.$$

Pros:

- Simple mechanism for single field inflation & many recent works have adopted it.
- Provides significant results for abundances of PBHs and GWs.

Cons:

- Fine-tuning is required in order to achieve the proper peak in scalar power spectrum.

► We apply this mechanism to no-scale SUGRA.

Basic aspects of no-scale theory

The general Lagrangian in the context of SUGRA:

$$\mathcal{L} = K_i^{\bar{j}} \partial_\mu \varphi^i \partial^\mu \bar{\varphi}_{\bar{j}} - V(\varphi, \bar{\varphi}). \quad (1)$$

The F -term of the scalar potential:

$$V = e^K (D_\varphi W K^{\bar{\varphi}\varphi} D_{\bar{\varphi}} \bar{W} - 3|W|^2) \quad (2)$$

where K is the Kähler potential, W is the superpotential and D is the covariant derivative.

- The cosmological constant vanishes due to the identity:

$$K^{\varphi\bar{\varphi}} K_{\varphi} K_{\bar{\varphi}} = 3.$$

A flat potent can be found by the Kähler potential [Cremmer et. al. (1983)]:

$$K = -3 \ln(\varphi + \bar{\varphi}). \quad (3)$$

- Consider $\varphi = (y + 1)/(y - 1)$, we derive $K = -3 \ln \left(1 - \frac{|y|^2}{3} \right)$, which is invariant under the transformation of $y \rightarrow (\alpha y + \beta)/(\bar{\beta} y + \bar{\alpha})$, $|\alpha|^2 - |\beta|^2 = 1$. **SU(1,1)**

SU(1,1) group for a vanishing cosmological constant:

$$K = -3 \ln(\varphi + \bar{\varphi}) \quad \text{or} \quad K = -3 \ln\left(1 - \frac{|y|^2}{3}\right)$$

[Ellis, Kounnas, Nanopoulos (1984)]

SU(2,1)/SU(2) × U(1) group for finding Starobinsky-like scalar potential:

$$K = -3 \ln\left(1 - \frac{|y_1|^2}{3} - \frac{|y_2|^2}{3}\right) \quad \text{or} \quad K = -3 \ln(T + \bar{T} - \frac{|\varphi|^2}{3})$$
$$W_{WZ} = \left(\frac{\hat{\mu}}{2} \left(y_1^2 + \frac{y_1^2 y_2}{\sqrt{3}} \right) - \lambda \frac{y_1^3}{3} \right) \quad \text{or} \quad W_C = m \left(-y_1 y_2 + \frac{y_2 y_1^2}{i\sqrt{3}} \right)$$

where $(y_1, y_2) \rightarrow \left(\frac{2\varphi}{1+2T}, \sqrt{3} \left(\frac{1-2T}{1+2T} \right) \right)$ and

$$W(T, \varphi) \rightarrow \bar{W}(y_1, y_2) = (1 + y_2/\sqrt{3})^3 W$$

[Ellis, Nanopoulos, Olive, Verner (2018)]

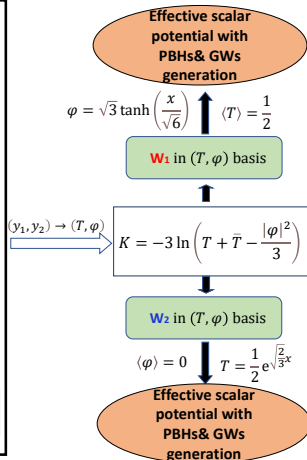
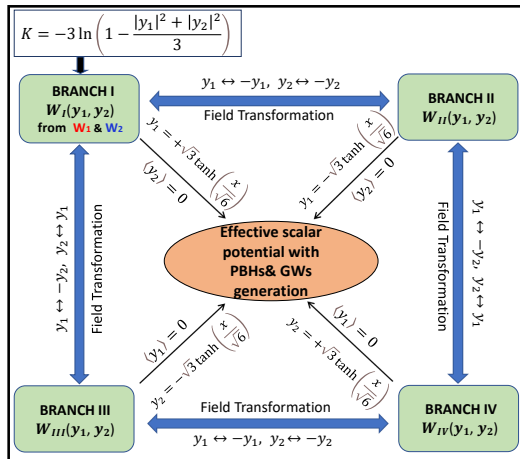
SU(2,1)/SU(2) × U(1) group for explaining the generation of PBHs & GWs:

$$K = -3 \ln\left(1 - \frac{|y_1|^2}{3} - \frac{|y_2|^2}{3}\right) \quad \text{or} \quad K = -3 \ln(T + \bar{T} - \frac{|\varphi|^2}{3})$$
$$W_1 = \left(\frac{\hat{\mu}}{2} \left(y_1^2 + \frac{y_1^2 y_2}{\sqrt{3}} \right) - \lambda \frac{y_1^3}{3} \right) \left(1 + g_1(y_1) \right) \quad \text{or} \quad W_2 = m \left(-y_1 y_2 + \frac{y_2 y_1^2}{i\sqrt{3}} \right) \left(1 + g_2(y_1) \right)$$

Superpotentials

$$W_1 = \left(\frac{\hat{\mu}}{2} \left(y_1^2 + \frac{y_1^2 y_2}{\sqrt{3}} \right) - \lambda \frac{y_1^3}{3} \right) (1 + e^{-b_1 y_1^2} (c_1 y_1^2 + c_2 y_1^4)) &$$

$$W_2 = m \left(-y_1 y_2 + \frac{y_2 y_1^2}{l \sqrt{3}} \right) (1 + c_3 e^{-b_2 y_1^2} y_1^2)$$



Scalar Power Spectrum

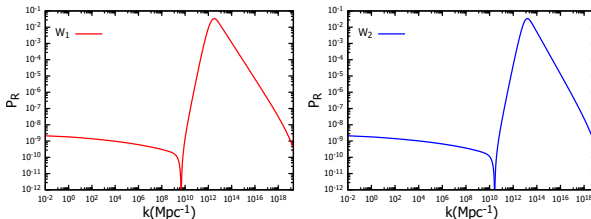
- The scalar power spectrum:

$$P_R = \frac{k^3}{2\pi^2} |R_k|^2, \quad (4)$$

where R_k is the comoving curvature perturbation:

$$R_k = \Psi + \frac{\delta\phi}{\phi'}.$$

- The power spectra for the cases W_1 & W_2 : [V. C. Spanos, IDS(2022)]



We notice that the scalar power spectrum has a significant enhancement due to inflection point.

Evaluating the production of PBHs

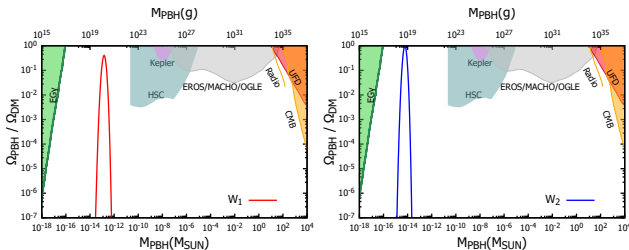
- We assume that PBHs are formed in the radiation dominated era.
- The present fraction of PBHs is given from:

$$\frac{\Omega_{PBH}}{\Omega_{DM}} = \frac{\beta(M_{PBH}(k))}{8 \times 10^{-16}} \left(\frac{\gamma}{0.2} \right)^{3/2} \left(\frac{g}{106.75} \right)^{-1/4} \left(\frac{M_{PBH}(k)}{10^{-18} \text{grams}} \right)^{-1/2} \quad (5)$$

The mass is given as a function of k mode:

$$M_{PBH}(k) = 10^{18} \left(\frac{\gamma}{0.2} \right) \left(\frac{g}{106.75} \right)^{-1/6} \left(\frac{k}{7 \times 10^{13} \text{Mpc}^{-1}} \right)^{-2} \quad (6)$$

- The fractional abundance of PBHs for the cases W_1 & W_2 :



Energy density of GWs

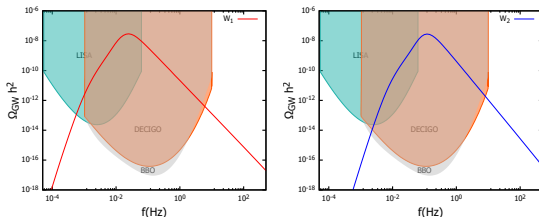
- The energy density of the GWs is given: [Espinosa, Racco, Riotto (2019)]

$$\Omega_{GW}(k) = \frac{\Omega_r}{36} \int_0^{\frac{1}{\sqrt{3}}} dd \int_{\frac{1}{\sqrt{3}}}^{\infty} ds \left[\frac{(s^2 - 1/3)(d^2 - 1/3)}{s^2 + d^2} \right]^2 \times P_R \left(k \frac{\sqrt{3}}{2} (s + d) \right) P_R \left(k \frac{\sqrt{3}}{2} (s + d) \right) (I_c^2 + I_s^2) \quad (7)$$

where the radiation density $\Omega_r \approx 5.4 \times 10^{-5}$. The functions I_c and I_s are given:

$$I_c = -36\pi \frac{(s^2 + d^2 - 2)^2}{(s^2 - d^2)^3} \theta(s - 1), \quad I_s = -36 \frac{(s^2 + d^2 - 2)^2}{(s^2 - d^2)^2} \left[\frac{(s^2 + d^2 - 2)}{(s^2 - d^2)} \log \left| \frac{d^2 - 1}{s^2 - 1} \right| + 2 \right].$$

- The energy density of GWs for the cases W_1 & W_2 : [V. C. Spanos, IDS(2022)]



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Steep step-like potential

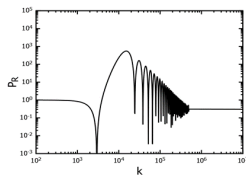
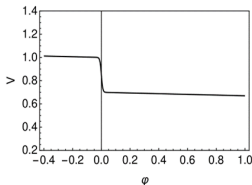
Step-like potential

The reinforcement in the scalar power spectrum can be achieved from the potential: [K.Kefala, G.P. Kodaxis, N.Tetradis, IDS(2020)]

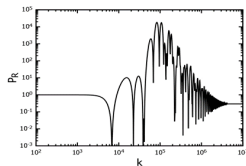
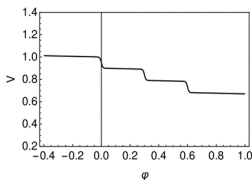
$$V(\varphi) = V_0 \left(1 + \frac{1}{2} \sum_i A_i (1 + \tanh [c_i (\varphi - \varphi_i)]) \right),$$

where A_i , c_i , φ_i and V_0 are the parameters.

1 STEP



3 STEPS



An explicit model

- There are studies of production of PBHs from α attractors SUGRA [Dalianis, Kehagias, Tringas (2018)]

- These models are based on the scheme: [Kallosh, Linde, Roest (2014)]

$$K = -3\alpha \ln \left(1 - \frac{|S|^2 + |\Phi|^2}{3} - \frac{g|S|^4}{3 - |\Phi|^2} \right), \quad W = Sf \left(\frac{\Phi}{\sqrt{3}} \right) (3 - \Phi^2)^{(3\alpha-1)/2}.$$

If we consider the direction of inflation as:

$$\text{Re}\Phi = \varphi, \quad \text{Im}\Phi = S = 0$$

the Lagrangian takes the form:

$$\mathcal{L} = \sqrt{-g} \left[\frac{1}{2} R - \frac{1}{2} \partial_\mu \varphi \partial^\mu \varphi - F^2 \left(\tanh \frac{\varphi}{\sqrt{6\alpha}} \right) \right].$$

Therefore the potential is given:

$$V(\varphi) = F^2 \left(\tanh \frac{\varphi}{\sqrt{6\alpha}} \right).$$

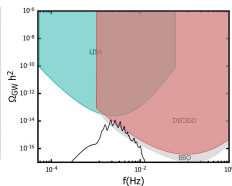
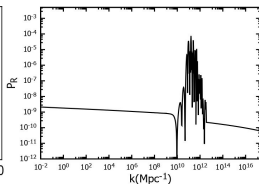
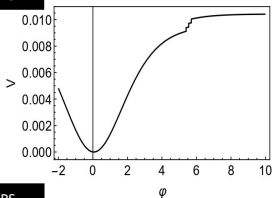
- In our study we consider: [I. Dalianis, G. P. Kodaxis, N. Tetradis, A. Tsigkas-Kouvelis, IDS(2021)]

$$F(x) = F_0 \left(x + \sum_i^n c_i \tanh [d(x - x_i)] \right).$$

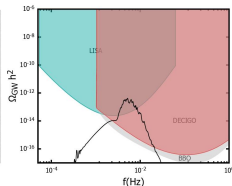
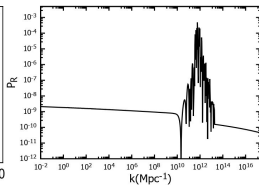
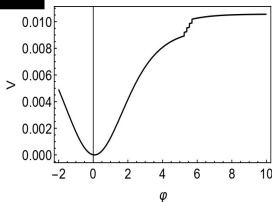
Production of GWs

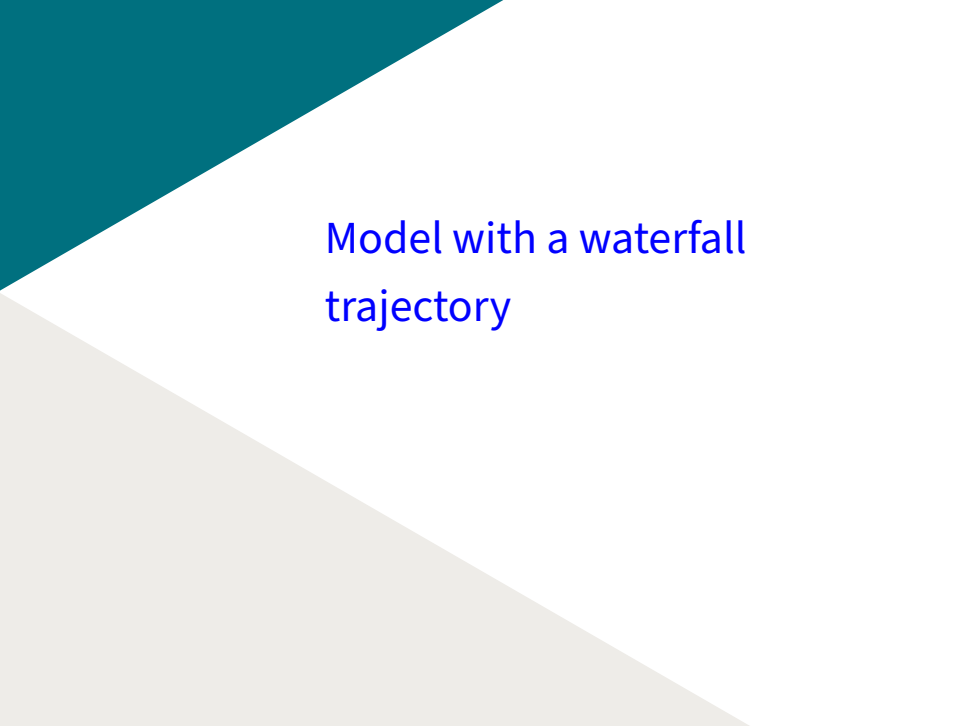
We obtain oscillation features in the energy density of GWs.

3 STEPS



4 STEPS



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Model with a waterfall
trajectory

Basic aspect in hybrid models

The hybrid model is derived by the globally supersymmetric (SUSY) renormalizable superpotential:
[Copeland, Liddle, Lyth, Stewart, Wands (1994)]

$$W = \kappa S(\bar{\Psi}_1 \Psi_2 - m^2). \quad (8)$$

The F -term SUSY potential takes the form:

$$V_F^{\text{SUSY}} = \kappa^2 \left[(\psi^2 - m^2)^2 + \phi^2 \psi^2 \right] \quad (9)$$

where we have assumed $|S| = \phi/\sqrt{2}$ and $|\Psi_1| = |\bar{\Psi}_2| = \psi$. The field ψ develops tachyonic solutions, if

$$\kappa^2(-2m^2 + \phi^2 + 6\psi^2) < 0.$$

Along the flat direction ($\psi = 0$) we have:

$$\phi^2 < \phi_c^2 = 2m^2 \equiv M^2,$$

where ϕ_c is the critical value of the field ϕ , after which this field ψ becomes tachyonic.

- ✗ Hybrid models predict spectral index $n_s = 1$.
- ✓ One loop corrections for $n_s < 1$. [Dvali, Shafi, Schaefer (1994)]
We can use SUGRA corrections for obtaining an acceptable value for n_s .

SUGRA corrections

- SUGRA correction in the context of hybrid inflation had been studied. [Lazarides, Tetradis (1998)]
- We assume SUGRA corrections in order to obtain acceptable n_s . We consider the following Kähler potential: [V. C. Spanos, IDS(2021)]

$$K = S\bar{S} + b_1(S + \bar{S}) + b_2(S + \bar{S})^2 + \frac{1}{2}\Psi_1\bar{\Psi}_1 + \frac{1}{2}\Psi_2\bar{\Psi}_2.$$

The general F -term of scalar potential is

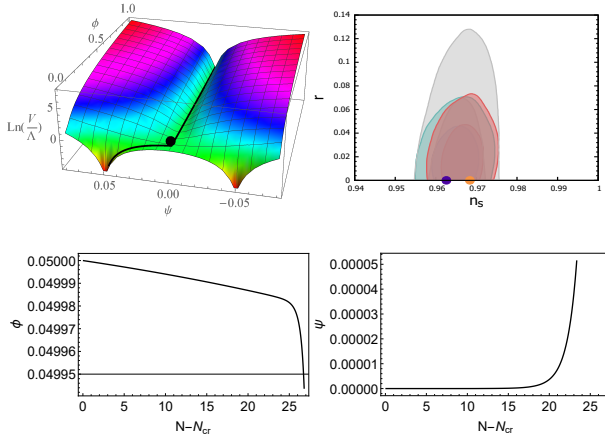
$$V_F^{\text{SUGRA}} = e^{K/M_P^2} \left[(K^{-1})^i_j \left(W^{\bar{j}} + \frac{W K^{\bar{j}}}{M_P^2} \right) \left(\bar{W}_i + \frac{\bar{W} K_i}{M_P^2} \right) - \frac{3|W|^2}{M_P^2} \right],$$

and it takes the form:

$$V_F^{\text{SUGRA}} = \frac{\kappa^2(M^4 - 4M^2\psi^2 + 4\psi^2\phi^2 + 4\psi^4)}{4 + 8b_2} + \frac{\mathcal{A}_1}{M_P^2} + \frac{\mathcal{A}_2}{M_P^4} + \mathcal{O}\left(\frac{1}{M_P^6}\right),$$

where $S = \frac{\phi}{\sqrt{2+4b_2}}$ and $|\Psi_1| = |\bar{\Psi}_2| = \psi$.

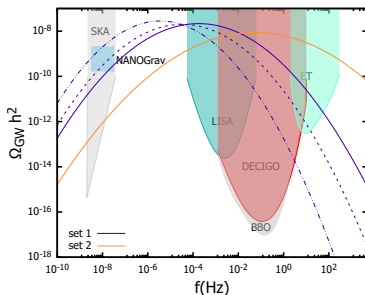
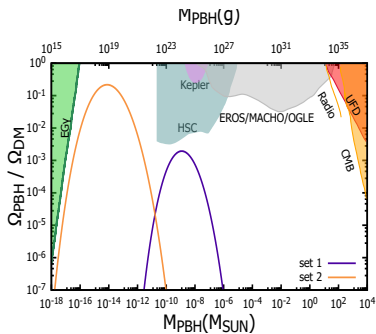
- The inflaton field ϕ slowly rolls through the valley until it reaches the critical point. After that the waterfall field ψ acquires tachyonic solutions.
- The potential of our proposed model, the prediction of observable constraints and the evolution of the fields: (• $b_1 = 3.51 \times 10^{-4} M_P$, $b_2 = -3.5$, $M = 0.05 M_P$ and
• $b_1 = 8.92 \times 10^{-4} M_P$, $b_2 = -5.0$, $M = 0.1 M_P$).



- The waterfall trajectory in the framework of hybrid inflation in order to explain the production of PBHs have been previously studied. [Clesse & Bellido (2015)]

Generation of PBHs & GWs

For the previous sets we evaluate the amount of PBHs and GWs.



- ▶ The set 1 satisfies the NANOGrav signal and it can explain the 1% of the Dark Matter in the Universe. This is due to restriction era of HSC etc.
- ▶ The set 2 can explain both the whole DM though hybrid model and LISA, DECIGO etc.

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Conclusions-Perspectives

Conclusions-Perspectives

In this presentation:

- ▶ We present three different mechanisms in order to obtain an enhancement in scalar power spectrum
 1. An inflection point in potential
 2. Steep step-like potential
 3. Waterfall trajectory in the context of hybrid inflation
- ▶ All models proposed give us acceptable values for the observable constraints of inflation.
- ▶ We evaluate the power spectrum in our models and we find significant peaks.
- ▶ We evaluate the abundances of PBHs and GWs by using the scalar power spectra.

Perspectives

A study in order to explain the generation of PBHs as well as the GWs without fine-tuning .

Thank you!