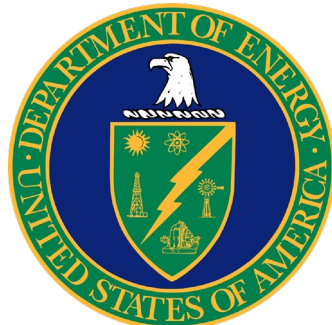


Studying the jet angularities with ALICE

Ezra D. Lesser
LHC-EW Working Group
25 October 2021



Our definition of the jet angularities

- **Substructure observable** dependent on p_T and **angular** distributions of tracks within jets

$$\lambda_{\alpha}^{\kappa} \equiv \sum_{i \in \text{jet}} \left(\frac{p_{T,i}}{p_{T,\text{jet}}} \right)^{\kappa} \left(\frac{\Delta R_{i,\text{jet}}}{R} \right)^{\alpha}$$

Tunable, continuous parameters for relative weighting
Constituent angle in (η, ϕ) space
Constituent p_T

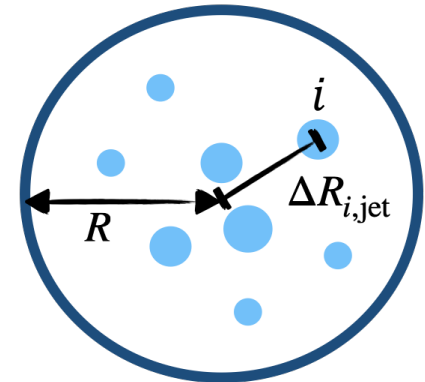


diagram: James Mulligan, LBNL

- IRC-safe observable for $\kappa = 1, \alpha > 0 \rightarrow$ calculable from pQCD
- Each $\kappa, \alpha,$ and radius R defines a different observable capable of probing some phase space of jet structure
 - Provides a systematic way to test certain aspects of theory

Angularities in pp collisions

$$\lambda_{\alpha}^{\kappa} \equiv \sum_{i \in \text{jet}} z_i^{\kappa} \theta_i^{\alpha}$$

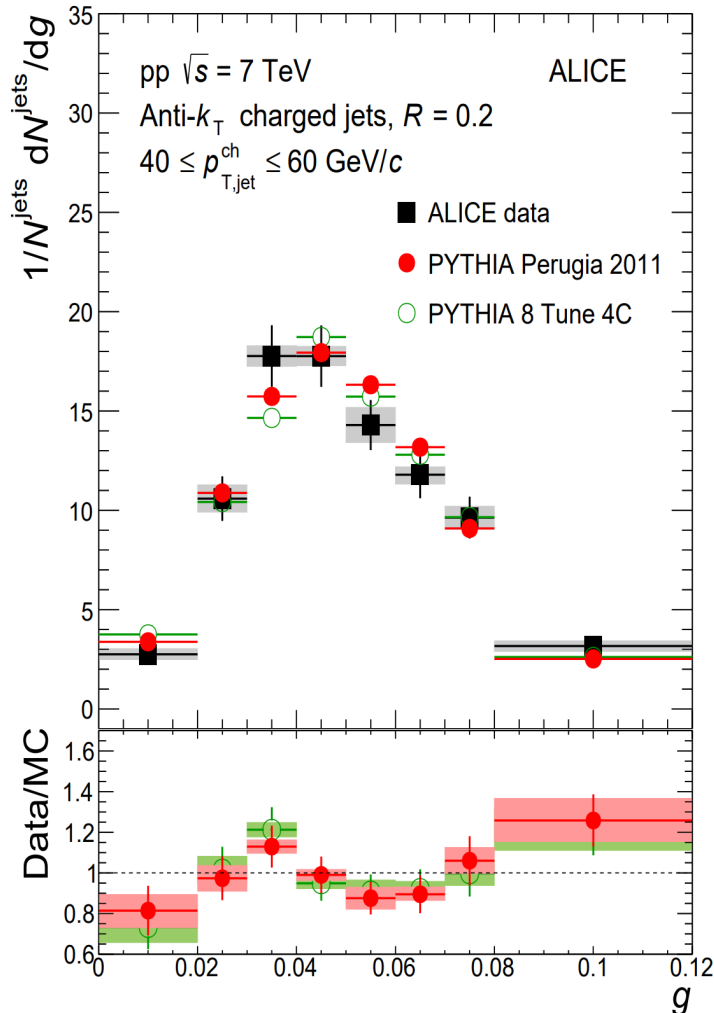


- Girth g : $\kappa = 1, \alpha = 1$

- Also related to jet mass m_{jet} : $\kappa = 1, \alpha = 2$

- These observables have been measured several times by ATLAS, CMS, ALICE, CDF, ...

$$\left(\lambda_{\alpha=2}^{\kappa=1} \sim \frac{m_{\text{jet}}^2}{p_{T,\text{jet}}^2} \right)$$



Goals of our recent studies:

- Provide a more systematic study with various R and α to test perturbative & nonperturbative QCD
 - Explore both with and without grooming
 - Test validity of nonperturbative shape functions
- Provide a baseline for comparison to Pb-Pb

Motivation for Pb-Pb studies

- **Quark-Gluon Plasma (QGP)** believed to form in heavy ion collisions
- Modifies jet interactions:
 - Jet quenching (see figure on right)
 - Momentum broadening
- Open questions:
 - Lumpy or smooth? What are the d.o.f.? q / g fraction? Hadronization? Factorization breaking? ...
- How does the QGP modify the jet angularities?
 - → how can we study the QGP with the jet angularities?

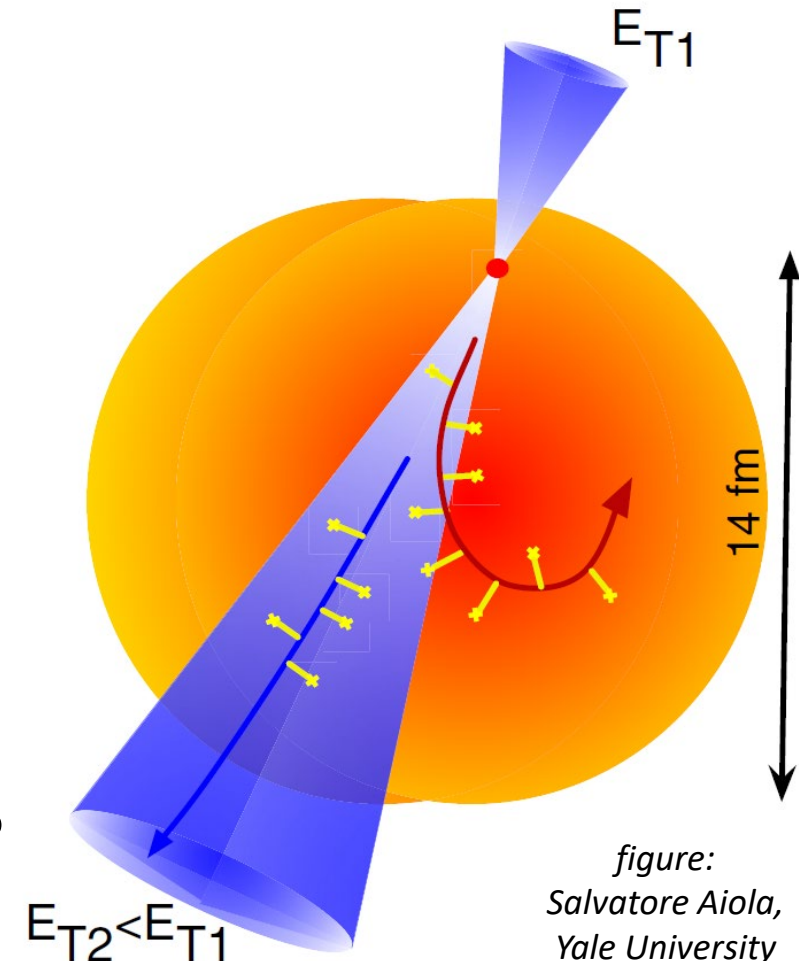


figure:
Salvatore Aiola,
Yale University

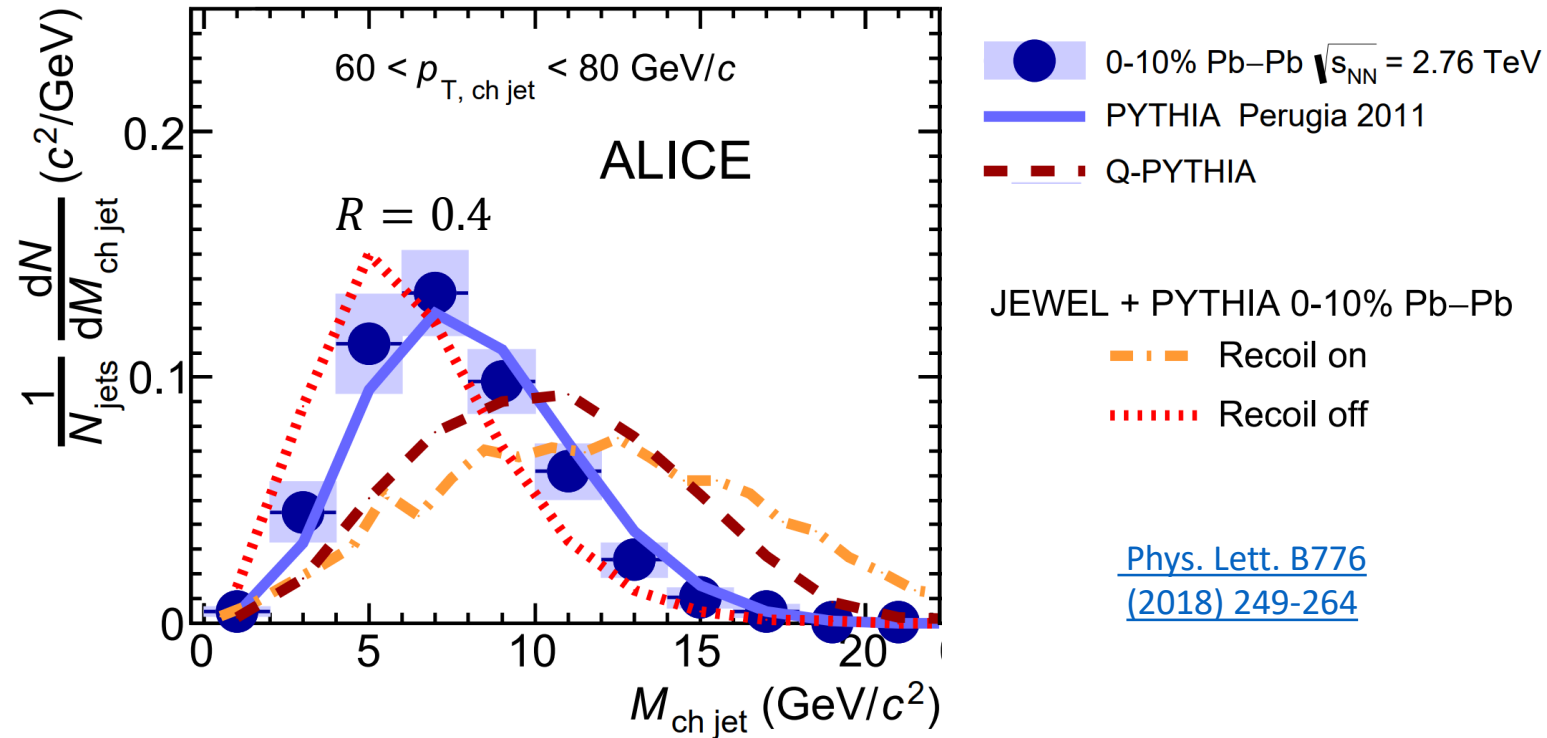
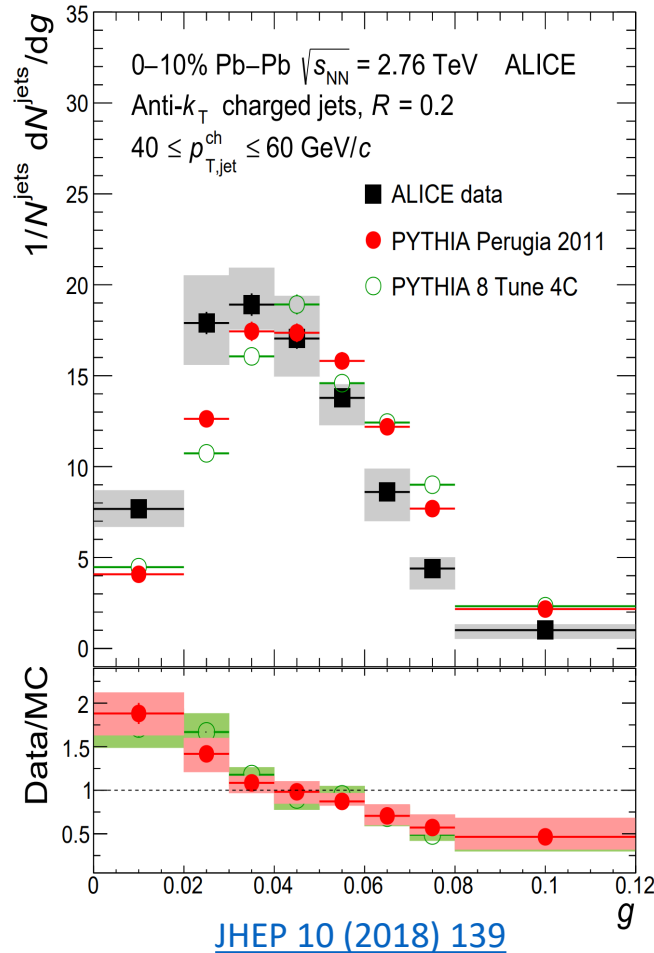
Motivation for Pb-Pb studies

$$\lambda_\alpha^\kappa \equiv \sum_{i \in \text{jet}} z_i^\kappa \theta_i^\alpha$$



$\alpha = 1, R = 0.2$: significant modification

$\alpha = 2, R = 0.4$: no significant modification

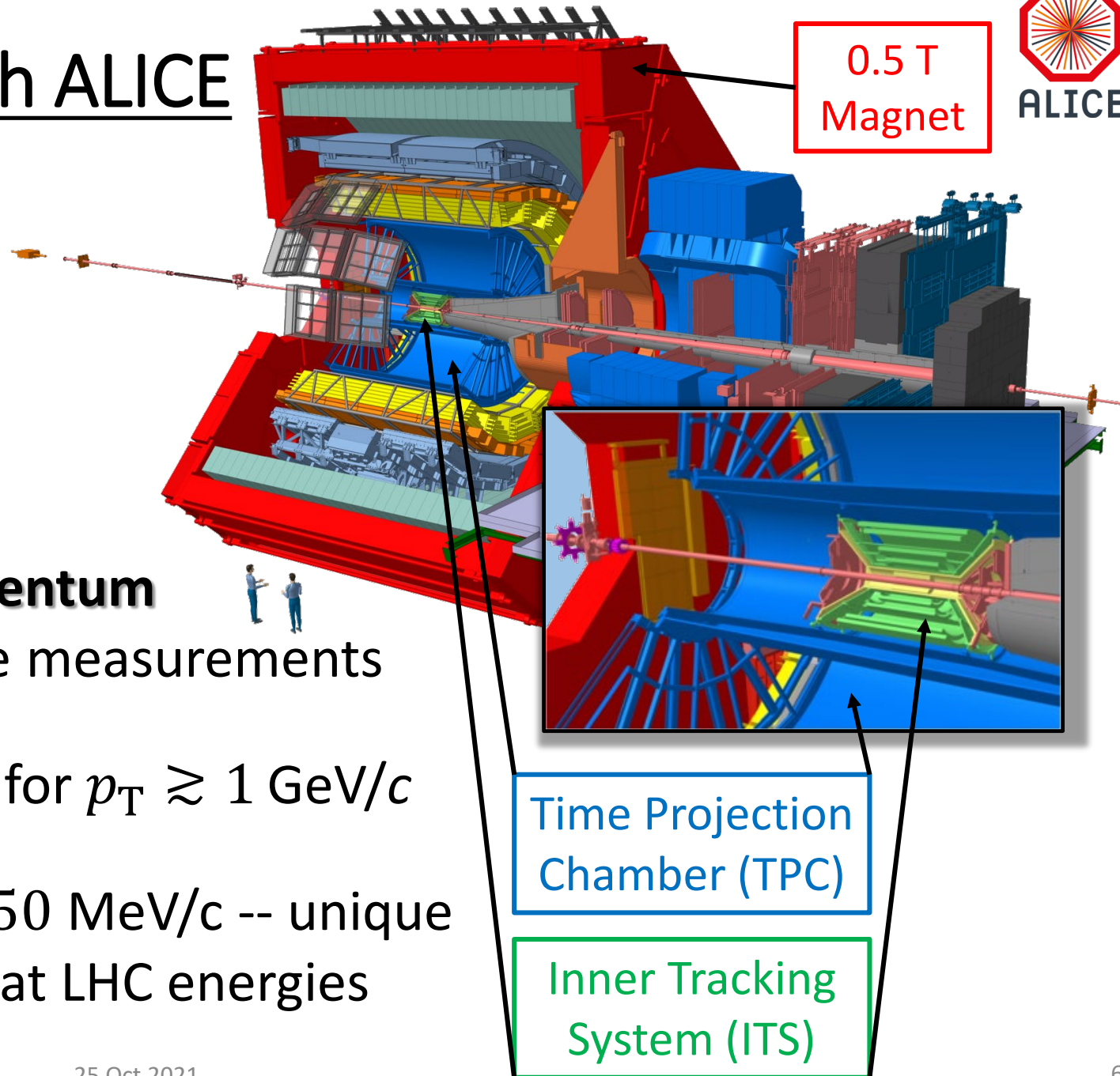


Goals of future Pb-Pb measurements:

- Understand observables with different α (e.g. 1.5) and R
- Differentiate between models of jet quenching

Measuring charged jets with ALICE

- Charged tracks reconstructed using silicon inner tracking system (ITS) and gaseous TPC in a 0.5 Tesla \vec{B} -field
- **High-precision spatial and momentum resolution**, ideal for substructure measurements
- Good tracking efficiency ($\sim 85\%$) for $p_T \gtrsim 1 \text{ GeV}/c$
- Measure tracks down to $p_T \sim 150 \text{ MeV}/c$ -- unique ability for low- p_T tracks and jets at LHC energies





Our measurements of the jet angularities

- Use $\sqrt{s} = 5.02$ TeV data since it exists for both pp and Pb-Pb collisions
- Perform measurement for charged anti- k_T jets with parameters $\kappa = 1$, $\alpha \in \{1, 1.5, 2, 3\}$, and $R \in \{0.2, 0.4\}$.
 - With and without Soft Drop grooming: ($z_{\text{cut}} = 0.2, \beta = 0$)
- New paper is submitted to the arXiv:
 - <https://arxiv.org/abs/2107.11303>
 - Currently undergoing journal review (JHEP)

$$\lambda_{\alpha}^{\kappa} \equiv \sum_{i \in \text{jet}} z_i^{\kappa} \theta_i^{\alpha}$$

EUROPEAN ORGANIZATION FOR NUCLEAR RESEARCH

ALICE

CERN-EP-2021-145
18 July 2021

**Measurements of the groomed and ungroomed jet angularities
in pp collisions at $\sqrt{s} = 5.02$ TeV**

ALICE Collaboration*

Abstract

The jet angularities are a class of jet substructure observables which characterize the angular and momentum distribution of particles within jets. These observables are sensitive to momentum scales ranging from perturbative hard scatterings to nonperturbative fragmentation into final-state hadrons. We report measurements of several infrared- and collinear-safe jet angularities in pp collisions at $\sqrt{s} = 5.02$ TeV with the ALICE detector. Jets are reconstructed using charged particle tracks at midrapidity. The anti- k_T algorithm is used with jet resolution parameters $R = 0.2$ and $R = 0.4$ for several transverse momentum p_T^{jet} intervals in the 20–100 GeV/c range. Using the jet grooming algorithm Soft Drop, the sensitivity to softer, wide-angle processes, as well as the underlying event, can be reduced in a way which is well-controlled in theoretical calculations. We report the ungroomed jet angularities, λ_{α} , and groomed jet angularities, $\lambda_{\alpha, \text{g}}$, to investigate the interplay between perturbative and nonperturbative effects at low jet momenta. Various angular exponent parameters $\alpha = 1, 1.5, 2, \text{ and } 3$ are used to systematically vary the sensitivity of the observable to collinear and soft radiation. Results are compared to analytical predictions at next-to-leading-logarithmic accuracy, which provide a generally good description of the data in the perturbative regime but exhibit discrepancies in the nonperturbative regime. Moreover, these measurements serve as a baseline for future ones in heavy-ion collisions by providing new insight into the interplay between perturbative and nonperturbative effects in the angular and momentum substructure of jets. They supply crucial guidance on the selection of jet resolution parameter, jet transverse momentum, and angular scaling variable for jet quenching studies.

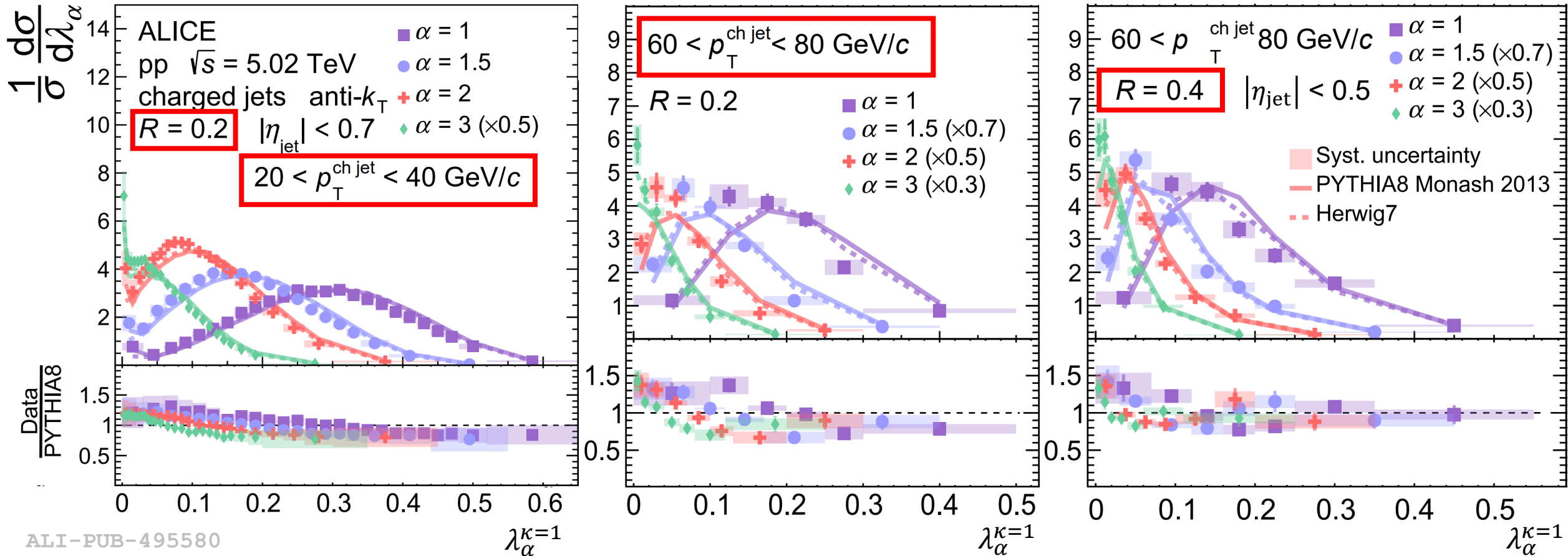
Example ungroomed measurements

$$\lambda_\alpha^\kappa \equiv \sum_{i \in \text{jet}} z_i^\kappa \theta_i^\alpha$$



- Distributions shift to the left for higher α , $p_T^{\text{ch jet}}$

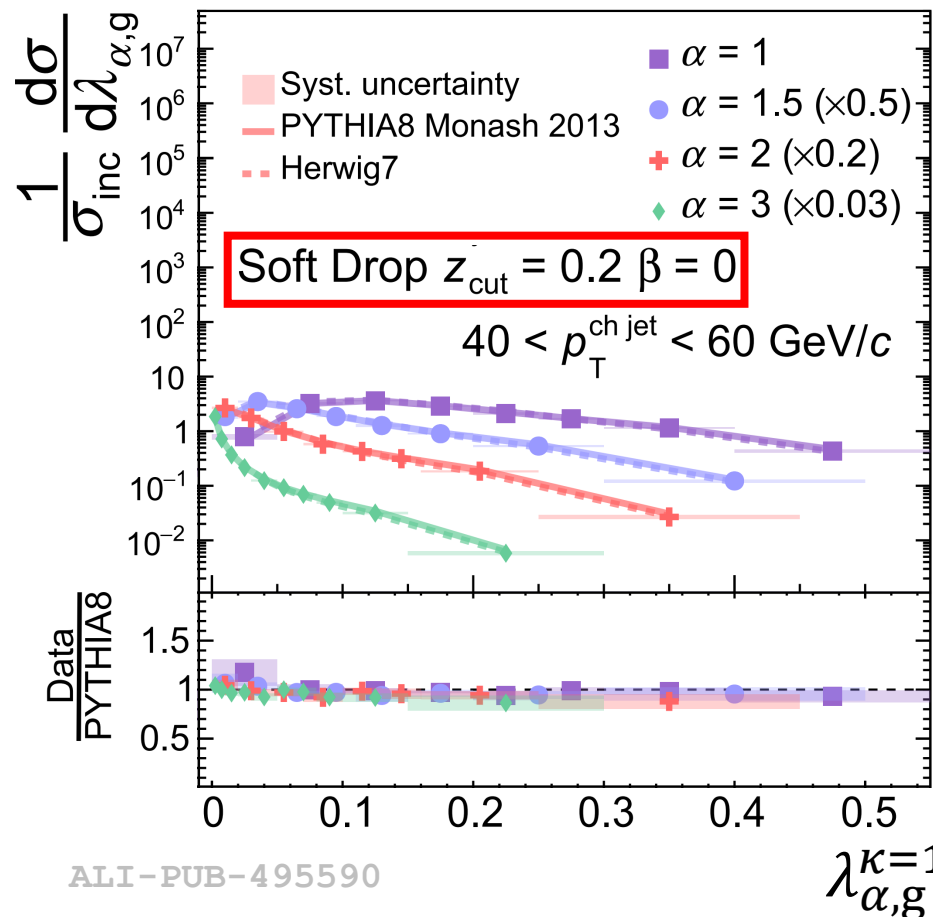
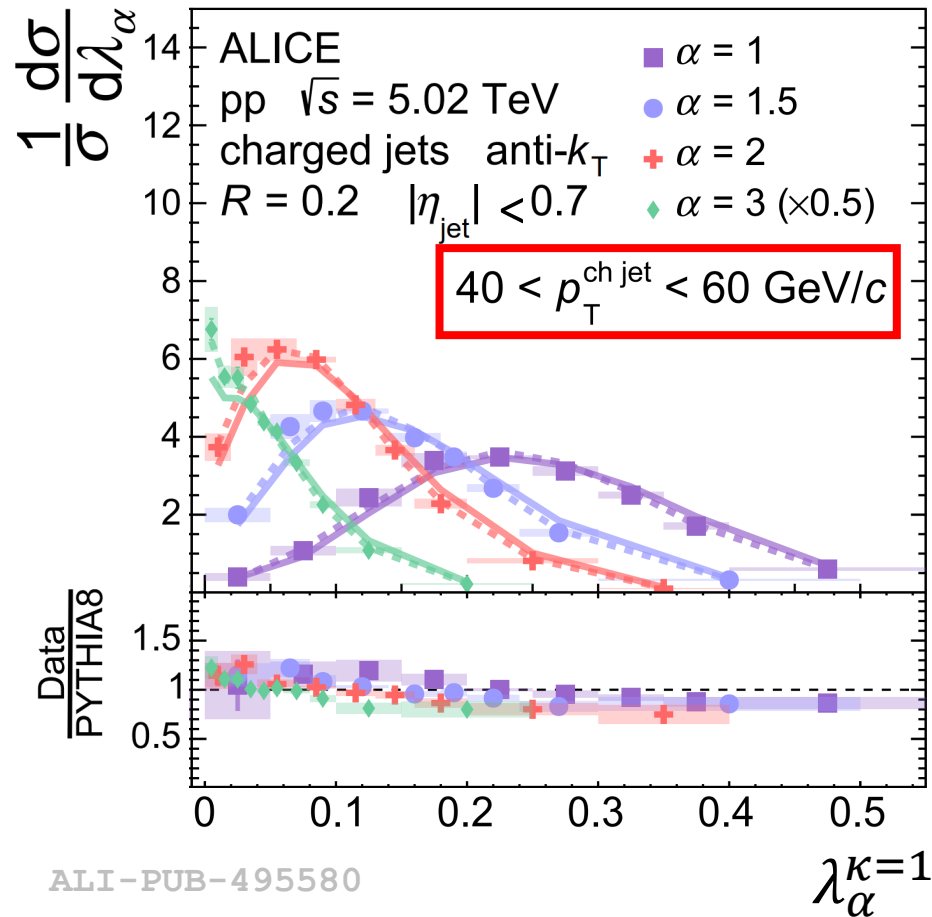
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ALI-PUB-495580

- Full figures (including Herwig7 comparisons) are available publicly: <https://alice-publications.web.cern.ch/node/7264>

Ungroomed vs. Groomed angularities ($R = 0.2$)



**Better agreement
seen after
grooming**

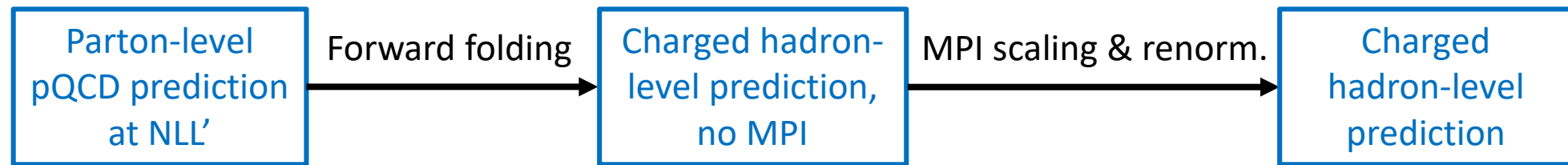
- Removing some nonperturbative effects from data and models increases the agreement, as would be expected

- Similar improvement in agreement is seen for all α , R , and $p_T^{\text{ch jet}}$ bins

$$\lambda_\alpha^\kappa \equiv \sum_{i \in \text{jet}} z_i^\kappa \theta_i^\alpha$$

Comparing to pQCD predictions with SCET

- Theoretical predictions for **parton** jets by F. Ringer & K. Lee (LBNL) ^[3] at **Next-to-Leading Log (NLL')** perturbative accuracy
- Apply a “forward folding” procedure to correct for multi-parton interactions (MPI), hadronization, and **charged** jets
 - 2D folding with $p_{T,jet}$ and λ_α axes; followed by bin-by-bin scaling for MPI



- There is a model dependence introduced, which we address by repeating the folding procedure with both Herwig and PYTHIA

[3] [JHEP 1804 \(2018\) 110](#)

Determining regions of interest

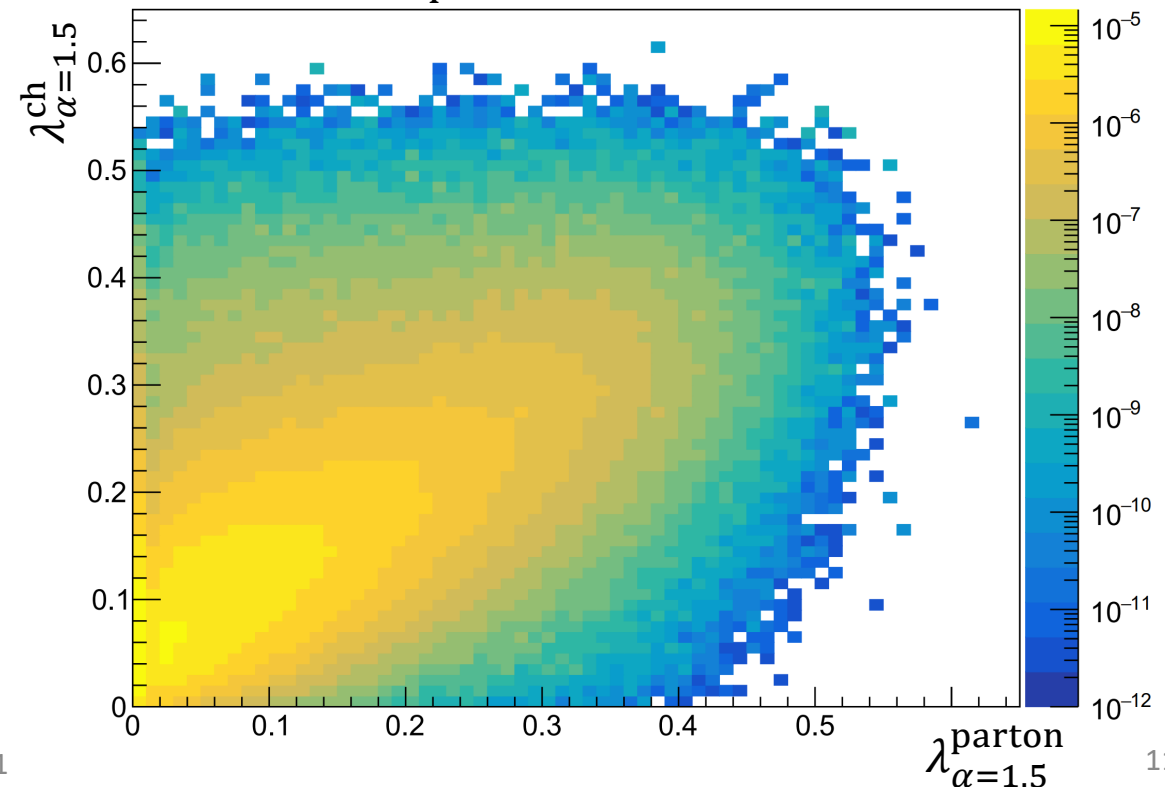
$$\lambda_\alpha^\kappa \equiv \sum_{i \in \text{jet}} z_i^\kappa \theta_i^\alpha$$

- Nonperturbative effects are larger at low p_T^{jet} and small R :

$$\lambda_\alpha^{\text{NP region}} \lesssim \Lambda / (p_T^{\text{jet}} R) \quad (\text{we use } \Lambda = 1 \text{ GeV})$$

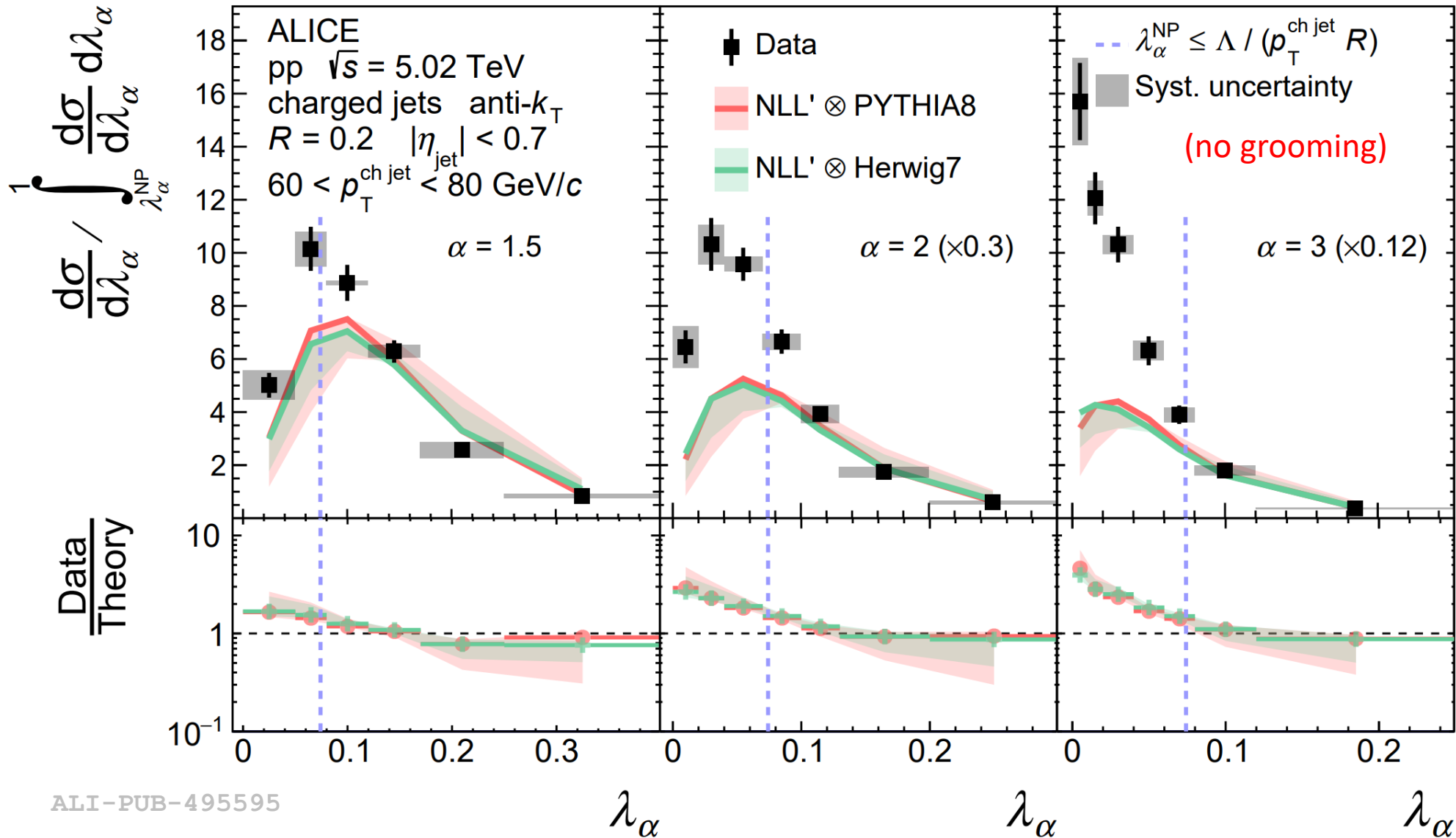
- Parton-to-charged response is largely non-diagonal for **small** R , low p_T^{jet}
 - Due primarily to hadronization
 - Corresponds to an increased dependence on the choice of hadronization model and tuning
 - **These regions** can be used for testing & tuning MC models

2D projection for $R = 0.2$,
 $p_T^{\text{ch jet}} \in [60, 80] \text{ GeV}/c$ with **PYTHIA8**



pQCD predictions with SCET ($R = 0.2$)

$$\lambda_\alpha^\kappa \equiv \sum_{i \in \text{jet}} z_i^\kappa \theta_i^\alpha$$

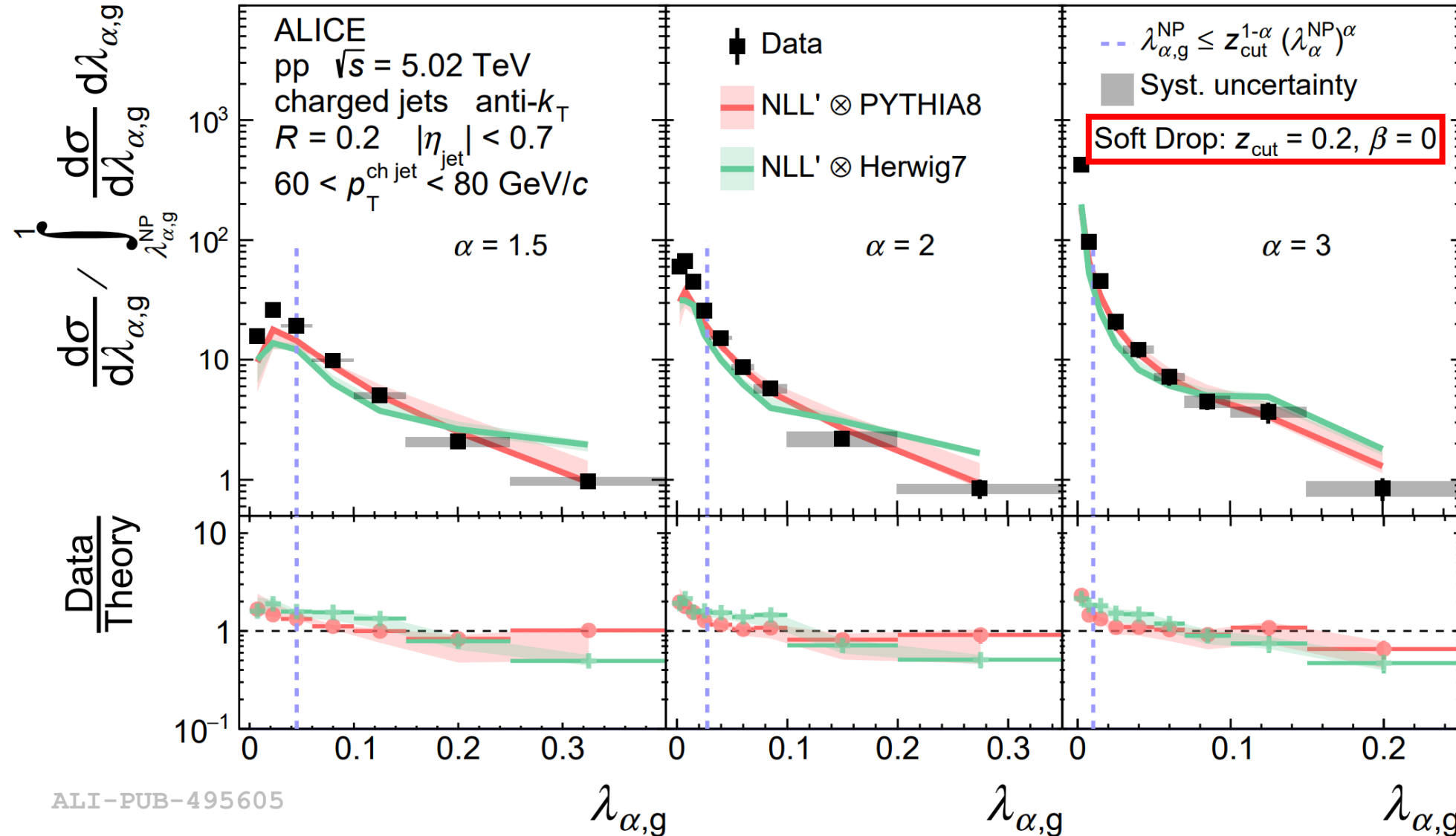


Distributions dominated by nonperturbative effects at large α

Agreement within perturbative region is reasonable

pQCD predictions with SCET ($R = 0.2$)


$$\lambda_{\alpha}^{\kappa} \equiv \sum_{i \in \text{jet}} z_i^{\kappa} \theta_i^{\alpha}$$

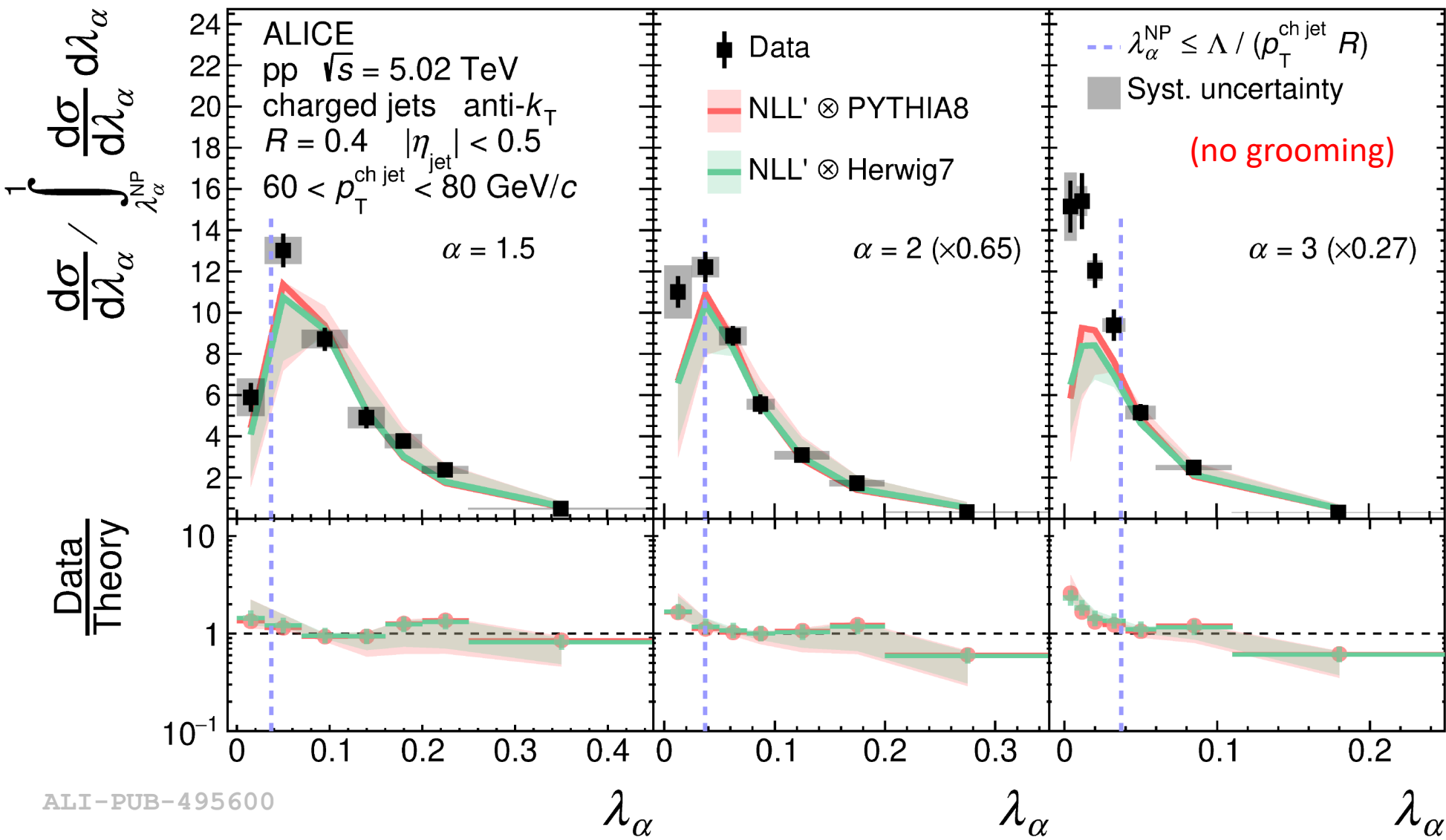


SD grooming greatly increases the perturbative region for predictions

Reasonable agreement still seen within uncertainties

pQCD predictions with SCET ($R = 0.4$)

$$\lambda_\alpha^\kappa \equiv \sum_{i \in \text{jet}} z_i^\kappa \theta_i^\alpha$$




For larger R we see increased tension at large values of λ_α

This could hint at the importance of higher-order terms (for example, power corrections in λ_α)

Alternate hadronization correction

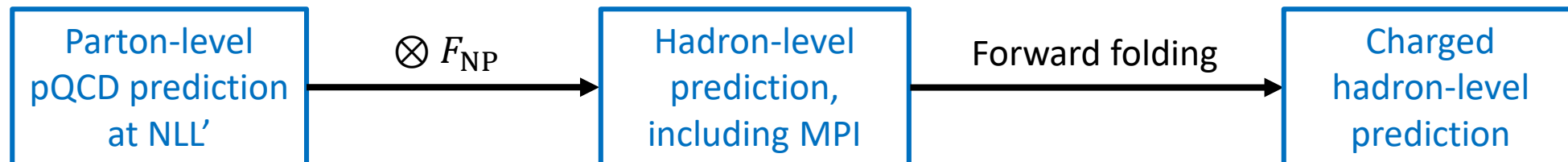
$$\lambda_\alpha^\kappa \equiv \sum_{i \in \text{jet}} z_i^\kappa \theta_i^\alpha$$

- Comparisons to Monte Carlo predictions are limited in interpretation
 - Highly-tuned phenomenological models

- Apply **nonperturbative shape function F** [4] from first principles: $\Omega_\alpha = \frac{\Omega}{\alpha - 1}$

$$\frac{d\sigma}{dp_T d\lambda_\alpha} = \int dk F(k) \frac{d\sigma^{\text{pert}}}{dp_T d\lambda_\alpha} \left(\lambda_\alpha - \frac{k}{p_T R} \right) \sim \left(F * \frac{d\sigma^{\text{pert}}}{dp_T d\lambda_\alpha} \right) (\lambda_\alpha) \quad \text{where} \quad F(k) = \frac{4k}{\Omega_\alpha^2} \exp\left(-\frac{2k}{\Omega_\alpha}\right)$$

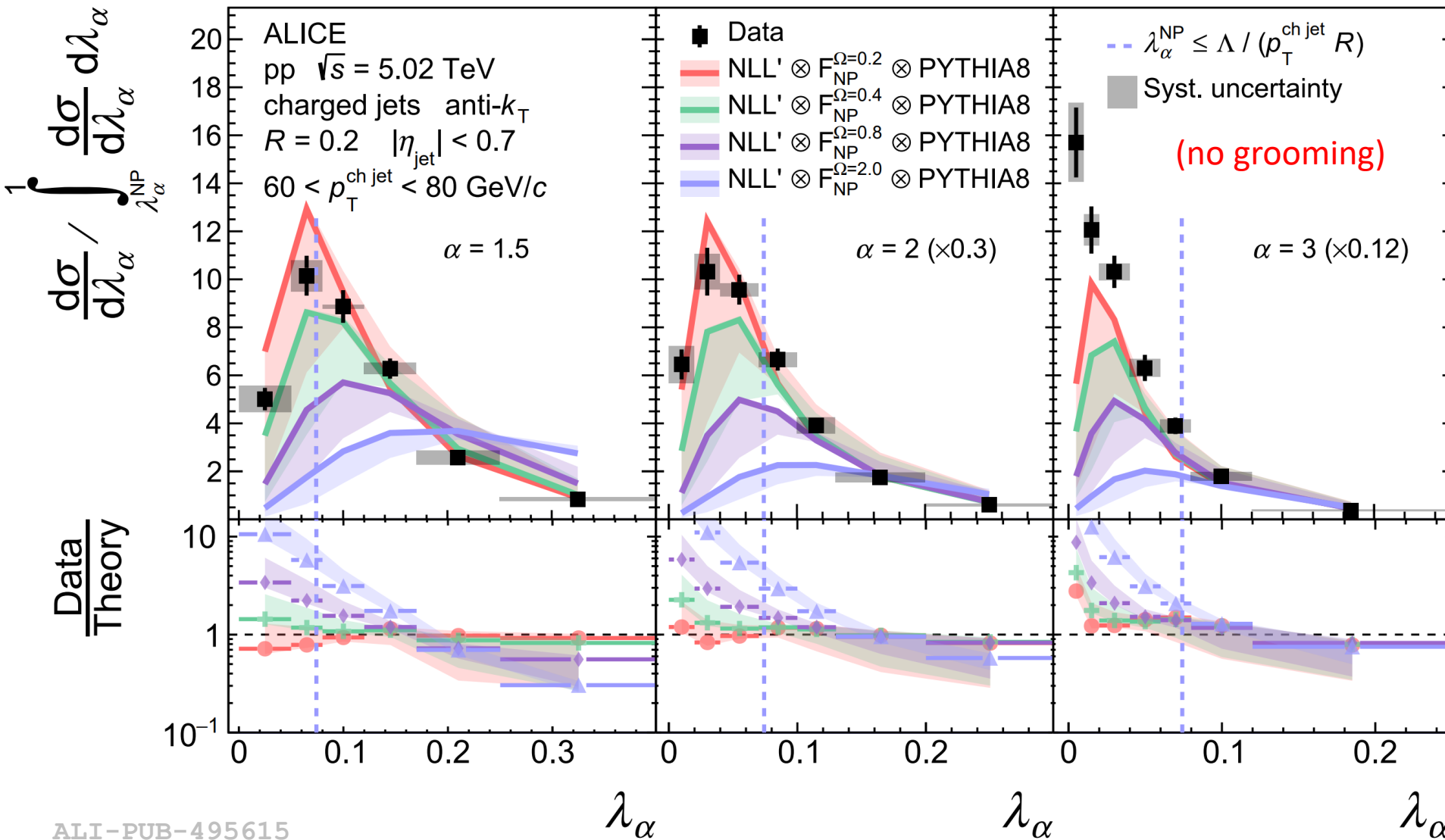
- Single-parameter (Ω) function: hadronization effects should be described by one (unknown to pQCD) parameter, **expected to be universal**
- Still requires folding to charged level, which is mostly well-described p_T shift



[4] [Phys. Rev. D 101, 054028 \(2020\)](https://arxiv.org/abs/2005.00001)

pQCD predictions with SCET ($R = 0.2$)

$$\lambda_\alpha^\kappa \equiv \sum_{i \in \text{jet}} z_i^\kappa \theta_i^\alpha$$



Best agreement seen with smaller values of $\Omega = 0.2$ or 0.4 GeV/c

Tension with previous result of $\Omega = 3.5$ GeV/c ($R = 0.4$ full jets, higher p_T^{jet} , and for jet mass) [5]

[5] [JHEP 1810 \(2018\) 137](https://arxiv.org/abs/1801.03200)

What we've learned so far

$$\lambda_\alpha^\kappa \equiv \sum_{i \in \text{jet}} z_i^\kappa \theta_i^\alpha$$

- We have systematically measured the generalized jet angularities for a variety of R , α , and $p_T^{\text{ch jet}}$
 - Sensitive to widely different physics with different configurations
- Looking at **groomed-jet angularities** is useful for reducing nonperturbative effects and more directly testing pQCD predictions
- It will be **important to consider the perturbative versus non-perturbative regions** also when looking at Pb-Pb data and jet quenching models

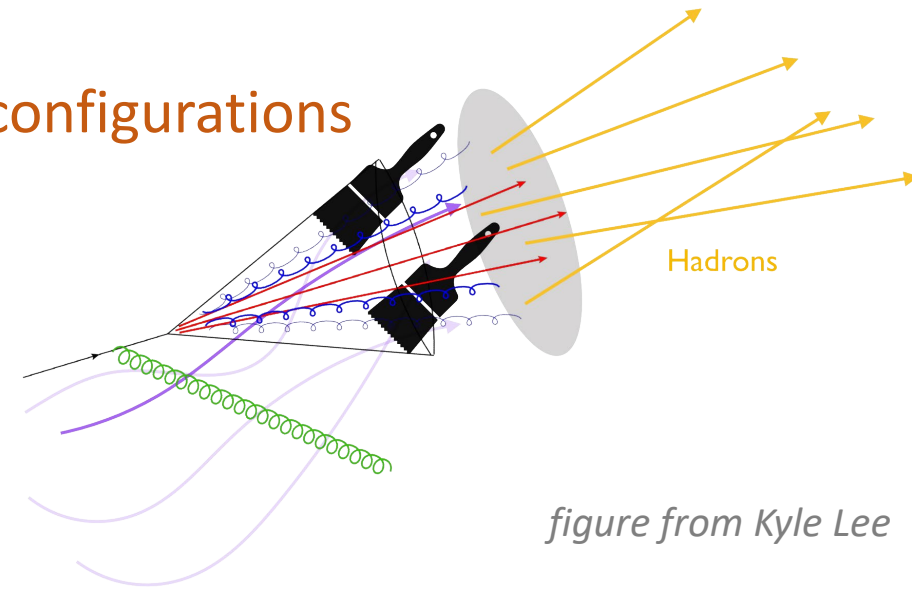
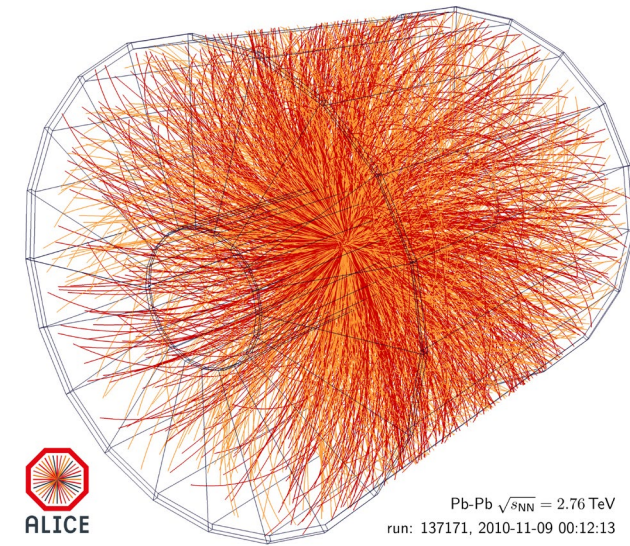


figure from Kyle Lee

Outlook

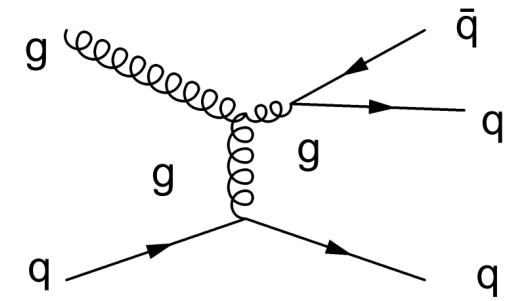
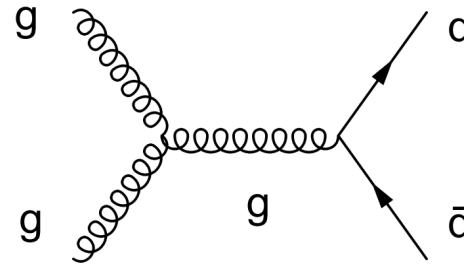
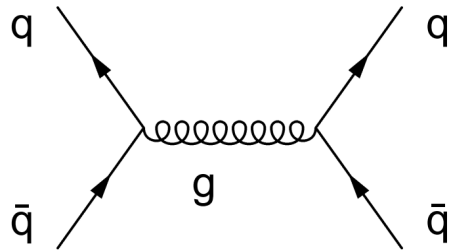
- **Repeating with Pb-Pb data** requires some changes:
 - Pb-Pb has a high background
 - Example: $R = 0.4$ jet has roughly $p_T = 100$ GeV/ c of background!
 - We have to perform event-by-event background subtraction
 - For MC, we will use pp MC embedded in Pb-Pb background from data
- Fantastic opportunity to learn about **how the QGP develops and interacts with “intermediate”- p_T (~ 60 - 100 GeV/ c) particles**
 - Compare to jet quenching models: Jet broadening? In/Coherent? Factorization breaking? Weak or strong?
- Which other observables are most useful for **probing different stages of jet / QGP evolution?**





Backup

QCD jets

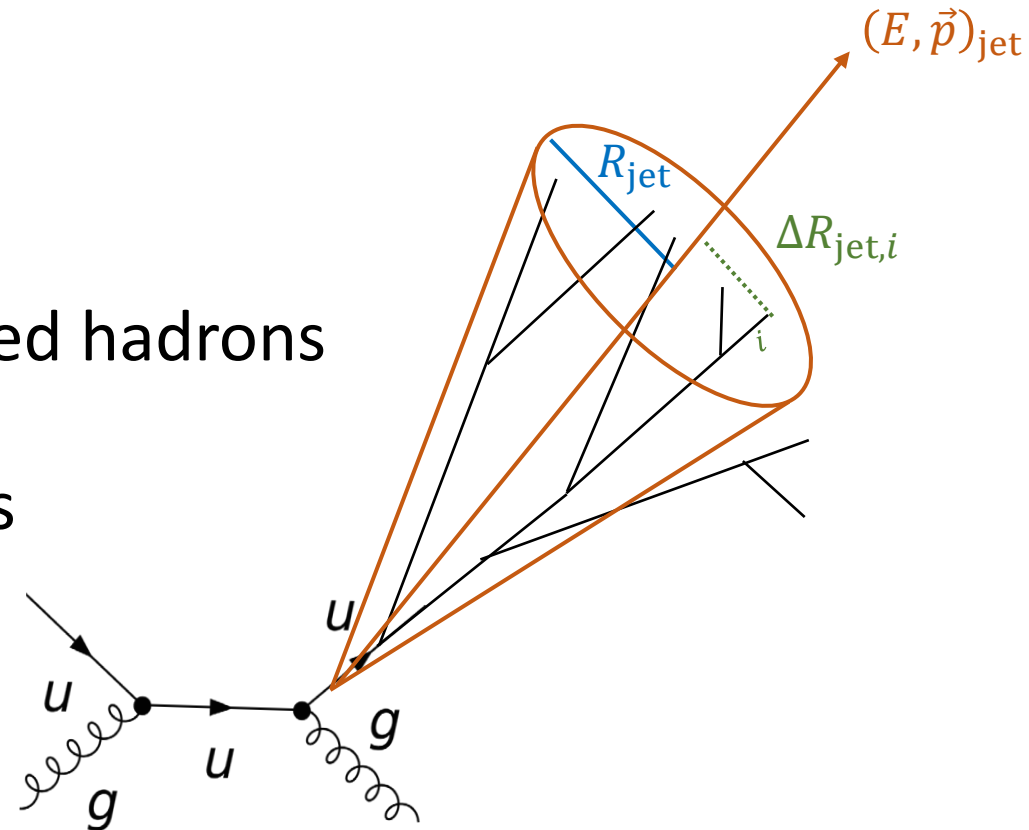


- Interesting probe for various scales of strong interactions:

- Initial, hard (high- Q^2) scattering
- Parton shower
- Fragmentation into hadrons

- Experimentally reconstructed from grouped hadrons

- **Dynamically recombined, tunable** objects which can be sensitive to either/both perturbative and nonperturbative physics



Analysis procedure

$$\lambda_{\alpha}^{\kappa} \equiv \sum_{i \in \text{jet}} z_i^{\kappa} \theta_i^{\alpha}$$

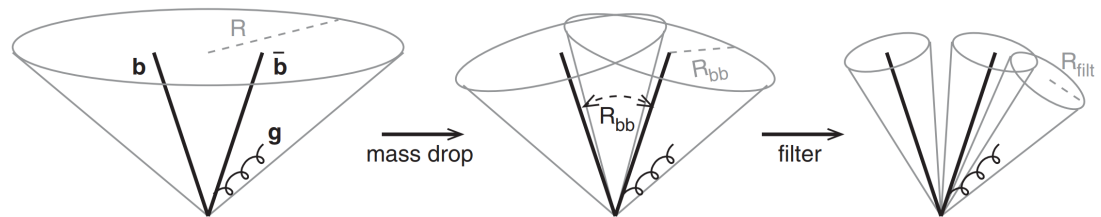
- Generate **4D response matrix** using PYTHIA8 Monte Carlo simulation followed by GEANT3 detector simulation

$$\left[p_{T,\text{det}}^{\text{ch jet}}, \quad p_{T,\text{tru}}^{\text{ch jet}}, \quad \lambda_{\alpha}^{\text{det}}, \quad \lambda_{\alpha}^{\text{tru}} \right]$$

- Apply unfolding procedure to correct for detector effects (tracking efficiency, particle-detector interactions)
- Quality assurance checks:
 - Response matrix projections
 - Kinematic efficiency
 - Unfolding tests

Jet substructure

- Looking at the structure composed from constituents inside of reconstructed jets, e.g.:
 - What does the (transverse) momentum distribution look like?
 - What are most of the particles located?
 - Are there smaller “subjets” inside? (Higgs/BSM searches: $H \rightarrow b\bar{b}$) [1]
 - ...

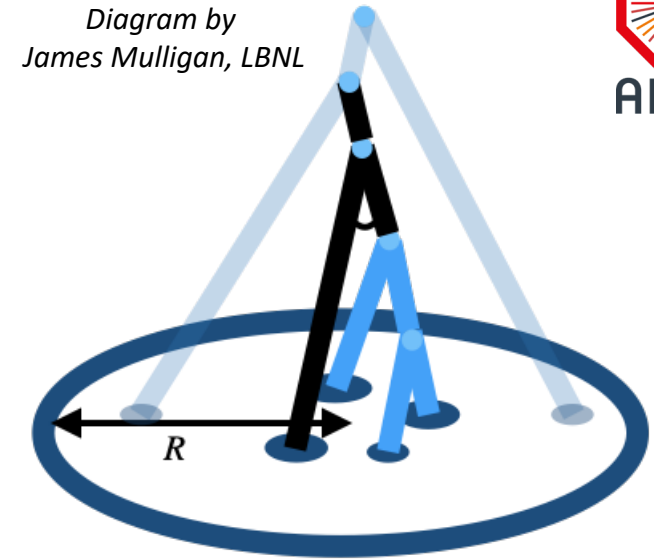


- With such a large space, what questions are most useful to ask? Meaning, what observables are most useful to study?

- We want to be able to:
 - 1) Test our theoretical predictions from QCD (on the lattice, pQCD, effective theories, ...)
 - 2) Gain some overarching intuition and measure nonperturbative physics

[1] [Phys. Rev. Lett. 100, 242001 \(2008\)](https://arxiv.org/abs/0805.4573)

Diagram by
James Mulligan, LBNL



Soft Drop Condition: $\frac{\min(p_{T1}, p_{T2})}{p_{T1} + p_{T2}} > z_{\text{cut}} \left(\frac{\Delta R_{12}}{R_0} \right)^\beta$
Larkowski et al., JHEP 1405 (2014) 146

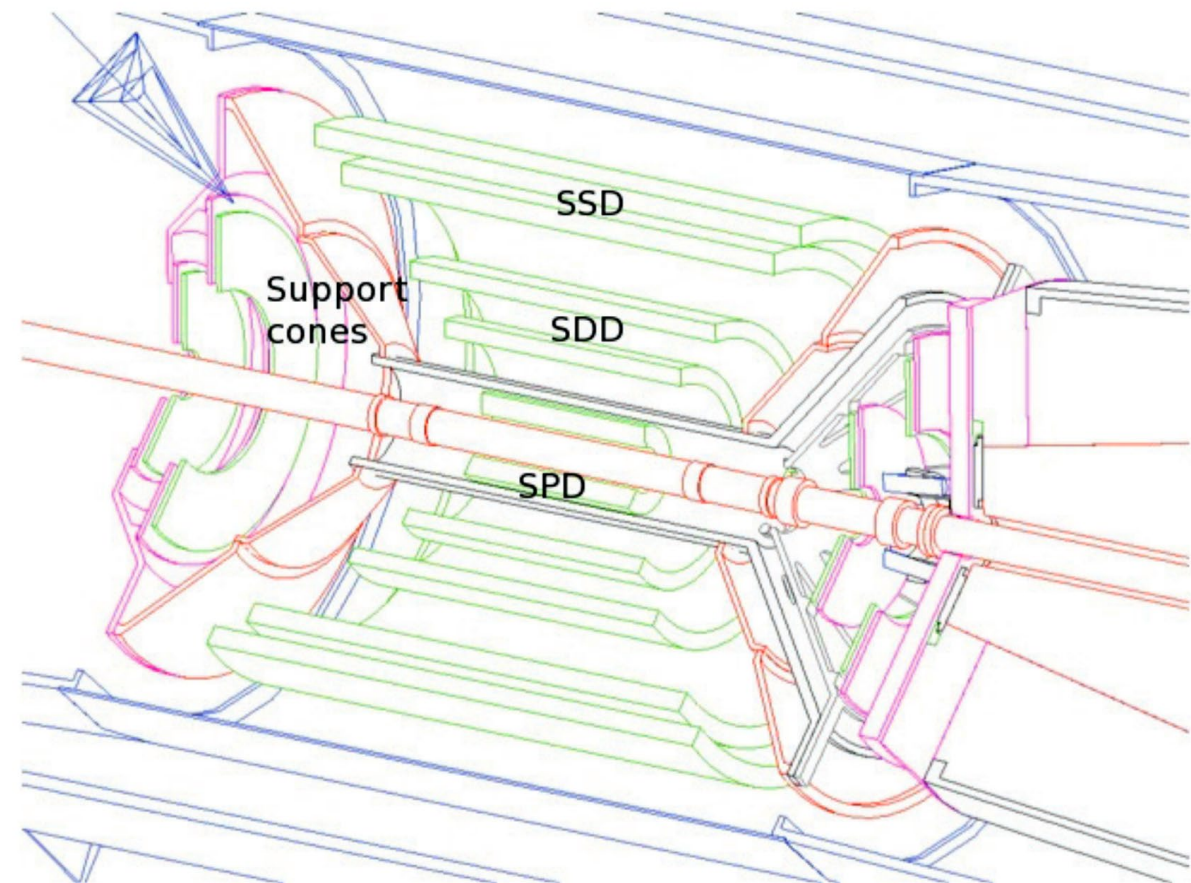
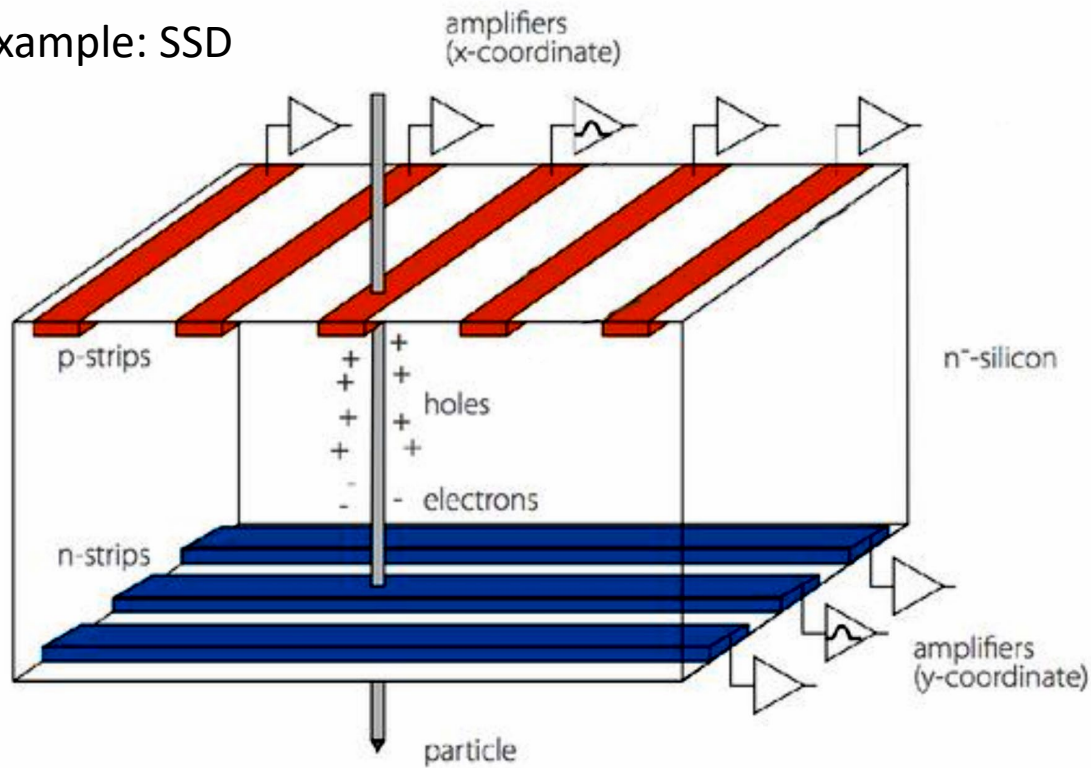
Jet grooming

- Procedure used to modify jet structure after the initial reconstruction
- Often aimed at removing soft, wide-angle radiations, which are strongly affected by underlying event and nonperturbative effects
- One of the most popular algorithms is called **Soft Drop**
 - **1)** Recluster jet with C/A algorithm into tree (~angularly ordered);
 - **2)** Remove branches until the Soft Drop Condition is satisfied, then stop
 - Process can also be applied to theoretical calculations

ALICE Inner Tracking System (ITS)

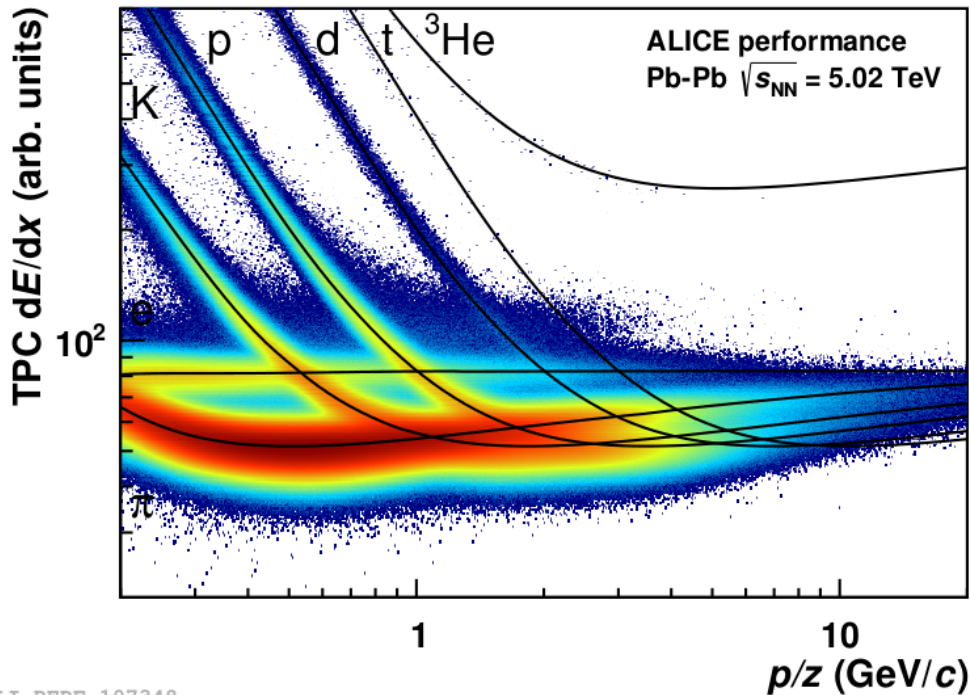
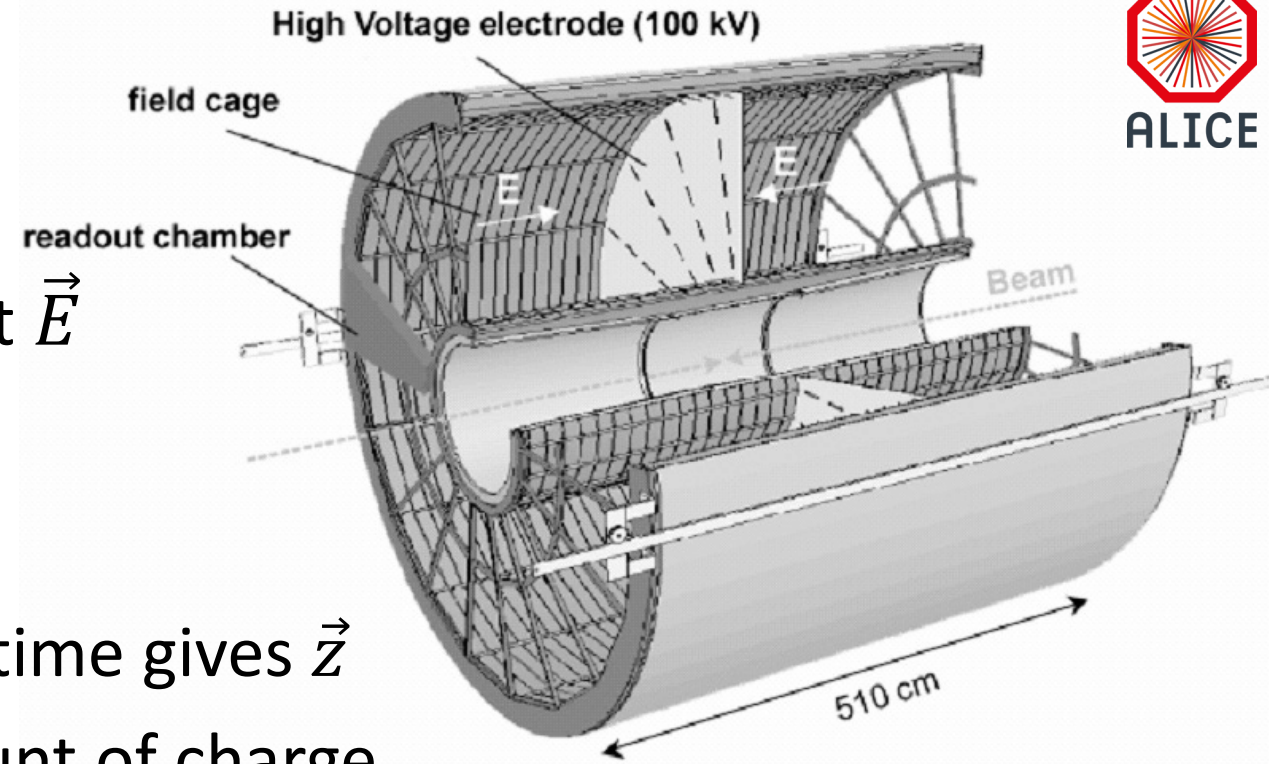
- 6 layers (two each of pixel, drift, and strip detectors)
- SSD & SDD can measure charge $\rightarrow \frac{dE}{dx}$


Example: SSD



ALICE TPC

- HV electrode creates high-gradient \vec{E}
- Ionization electrons drift to wire chamber readout



- Drift time gives \vec{z}
- Amount of charge (pulse height) correlates to the energy
- The first TPC was invented by David Nygren at LBNL 



David Nygren

$$\lambda_{\alpha}^{\kappa} \equiv \sum_{i \in \text{jet}} z_i^{\kappa} \theta_i^{\alpha}$$

Systematic uncertainties

- Primarily dominated by **tracking efficiency** and **model dependence**
- **Unfolding uncertainty** probed via variation of:
 - the regularization parameter
 - the prior distribution;

$$p_{\text{T}}^{\pm 0.5} \times [1 \pm 0.5 (2\lambda_{\alpha} - 1)]$$

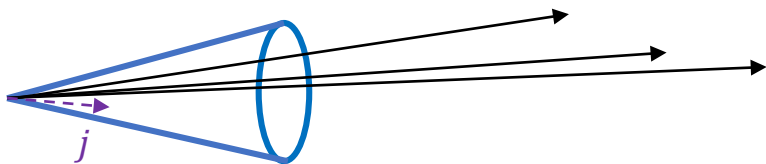
- the binning of λ_{α} ;
- truncation region for $p_{\text{T,det}}^{\text{ch jet}}$.
- The total unfolding systematic uncertainty is then the standard deviation of the variations

What is IRC safety?

$$\lambda_{\beta}^{\kappa} \equiv \sum_{i \in \text{jet}} \left(\frac{p_{T,i}}{p_{T,\text{jet}}} \right)^{\kappa} \left(\frac{\Delta R_{\text{jet},i}}{R} \right)^{\alpha} \equiv \sum_{i \in \text{jet}} z_i^{\kappa} \theta_i^{\alpha}$$

- Stands for **I**nfra-**R**ed and **C**ollinear (**IRC**) safety
- Class of reconstruction algorithms & observables which satisfy certain conditions in order to avoid singularities from appearing in a well-defined path towards theoretical calculation

Infra-Red safety: the observable should not change if an infinitely-low-momentum particle is added to the event/jet



$$\lambda_{\beta,\text{new}}^{\kappa} = \sum_{i \in \text{jet}} z_i^{\kappa} \theta_i^{\beta} + z_j^{\kappa} \theta_j^{\beta}$$

$$z_j = 0 \rightarrow z_j^{\kappa} \theta_j^{\beta} = 0 \quad (\kappa > 0)$$

$$\lambda_{\beta,\text{new}}^{\kappa} = \lambda_{\beta,\text{old}}^{\kappa}$$

Collinear safety: the observable should not change if one particle splits into two collinear particles

$$\lambda_{\beta,\text{new}}^{\kappa} = \sum_{(i \neq j) \in \text{jet}} z_i^{\kappa} \theta_i^{\beta} + (\lambda z_j)^{\kappa} \theta_j^{\beta} + [(1 - \lambda) z_j]^{\kappa} \theta_j^{\beta}$$

Need $\lambda^{\kappa} + (1 - \lambda)^{\kappa} = 1 \quad \forall \{\lambda \in [0,1]\} \rightarrow \kappa = 1$

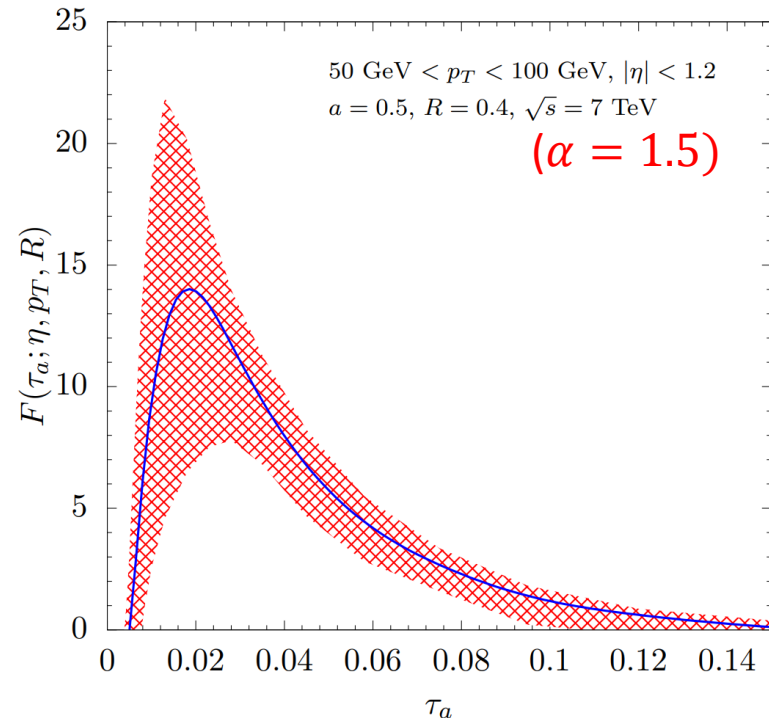
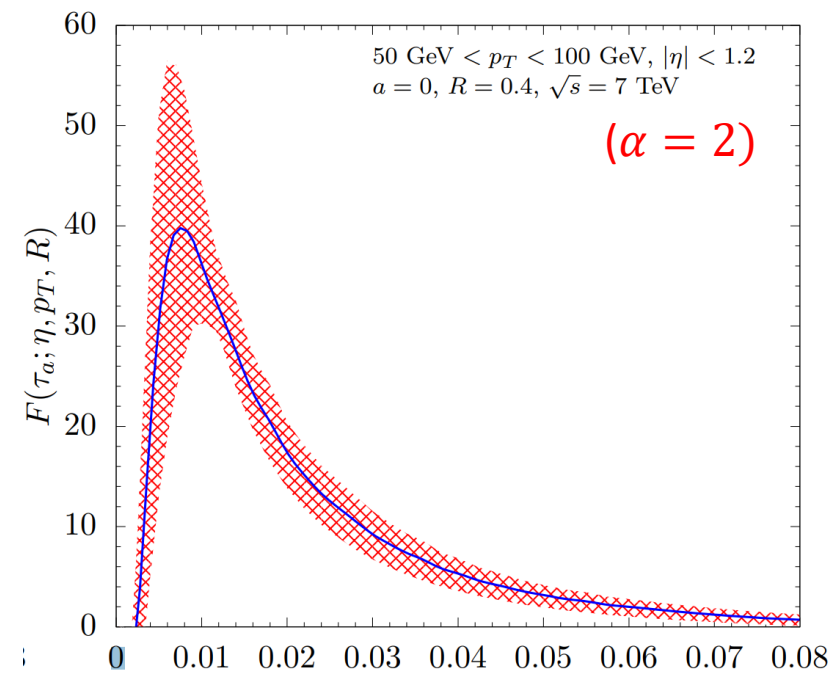
Consider 1-particle jet: $\lambda_{\beta,\text{new}}^{\kappa} = (\lambda z_j)^{\kappa} \theta_j^{\beta} + [(1 - \lambda) z_j]^{\kappa} \theta_j^{\beta}$

$$\theta_j = 0 \rightarrow z_j^{\kappa} \theta_j^{\beta} = 0 \quad (\beta > 0)$$

Theoretical calculations

$$\lambda_\alpha^\kappa \equiv \sum_{i \in \text{jet}} z_i^\kappa \theta_i^\alpha$$

- Kang/Lee/Ringer: NLL' calculations within Soft Collinear Effective Theory ^[2]: [JHEP 1804 \(2018\) 110](#)



$$F(\tau_a; \eta, p_T, R) = \frac{d\sigma^{pp \rightarrow (\text{jet } \tau_a) X}}{d\eta dp_T d\tau_a} \bigg/ \frac{d\sigma^{pp \rightarrow \text{jet } X}}{d\eta dp_T}$$

$$\frac{d\sigma^{pp \rightarrow (\text{jet } \tau_a) X}}{d\eta dp_T d\tau_a} = \sum_{abc} f_a(x_a, \mu) \otimes f_b(x_b, \mu) \otimes H_{ab}^c(x_a, x_b, \eta, p_T/z, \mu) \otimes \mathcal{G}_c(z, p_T, R, \tau_a, \mu)$$

PDFs (NP)
Hard Function (P)
siAJFs (P / NP)

$$\tau_a \equiv \tau_a^{pp} \equiv \frac{1}{p_T} \sum_{i \in J} p_T^i (\Delta \mathcal{R}_{iJ})^{2-a} \equiv \lambda_{\alpha=2-a}^{\kappa=1} * R^{2-a}$$

We can directly compare
our data to predictions
from theory

[2] [Phys. Rev. D 63 \(2000\) 014006](#)

Jet reconstruction

- Jets are reconstructed from charged particle tracks using the **anti- k_T** sequential recombination algorithm [5]
 - From an **IRC-safe** class of algorithms
 - **Soft-resilient**: shape is not strongly affected by soft, wide-angle radiation

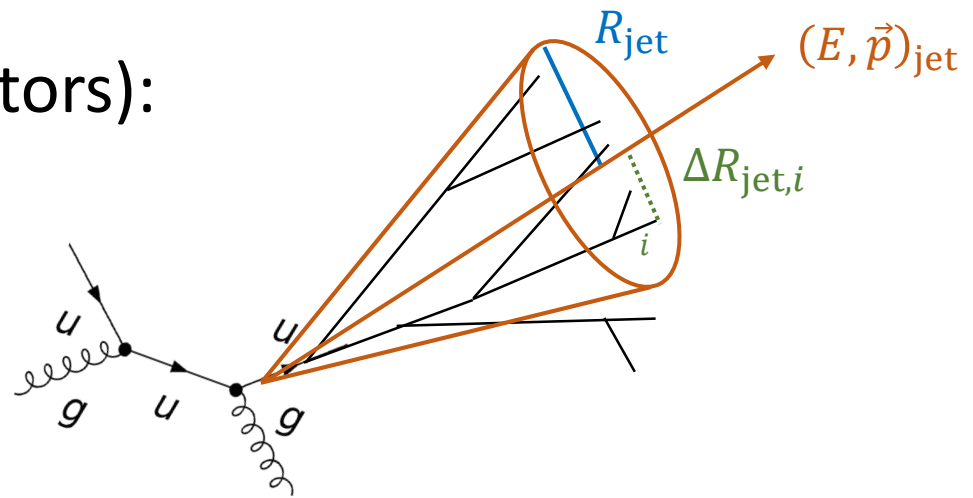
$$d_{ij} = \min \left(k_{Ti}^{2p}, k_{Tj}^{2p} \right) \frac{\Delta_{ij}^2}{R^2}$$

$$d_{iB} = k_{Ti}^{2p}$$

$$p = \begin{cases} 1, & \text{"inclusive"} k_T \\ 0, & \text{Cambridge/Aachen} \\ -1, & \text{anti } k_T \end{cases}$$

- **E-scheme** recombination (adding four vectors):

$$(E, \vec{p})_{\text{jet}} = \sum_{i \in \text{jet}} (E, \vec{p})_i$$



[5] [JHEP 0804:063,2008](https://arxiv.org/abs/0804.063)

Event / track selection requirements

- Minimum bias events (hit in both V0A and V0C)
 - Require there is a successfully reconstructed primary vertex within 10cm longitudinally of the nominal IP
- $p_T > 0.15 \text{ GeV}/c$
- 70 space points found in the TPC
- At least 3 hits in the ITS
- If no hits in SPD, add primary vertex to track fit (improves momentum resolution)

Unfolding tests

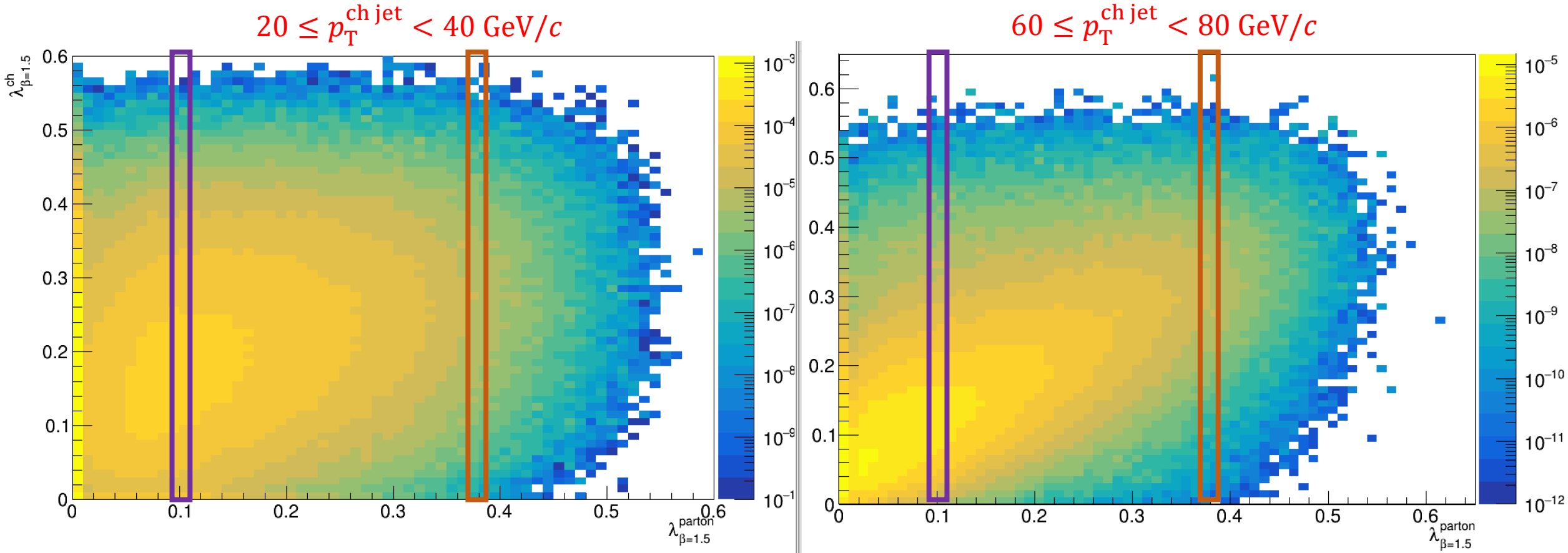
- Convergence test: unfolding process must converge as n_{iter} increases
- Refolding test: multiply RM by unfolded solution & compare to original
- Statistical closure test:
 - Smear MC det-level by statistical errors on measured data
 - Unfold the smeared MC det-level spectrum
 - Compare to MC truth-level
- Shape closure test:
 - Vary shape of input in some reasonable way
 - Do as above

Perturbative versus Non-Perturbative regions

- In the theoretical calculations of the jet angularities, there are 5 different characteristic factorization **scales**
 - These are varied to produce the NLL' uncertainty bands
- The prediction can become NP-dominated if the “**soft scale**” ($\lambda_\beta p_T R$) approaches a non-perturbative value (say, $\Lambda \sim 1 \text{ GeV}$)
 - This divides plots into perturbative (P) and NP-dominated regions
- Similarly, the *entire distribution* can become NP-dominated if the “**hard scale**” (p_T) or “**jet scale**” ($p_T R$) approach some NP value
 - We don't have to worry about this for our (ungroomed) jet measurements

Angularity response matrix projections

- PYTHIA
- $\lambda_{\beta=1.5}$; $R = 0.2$



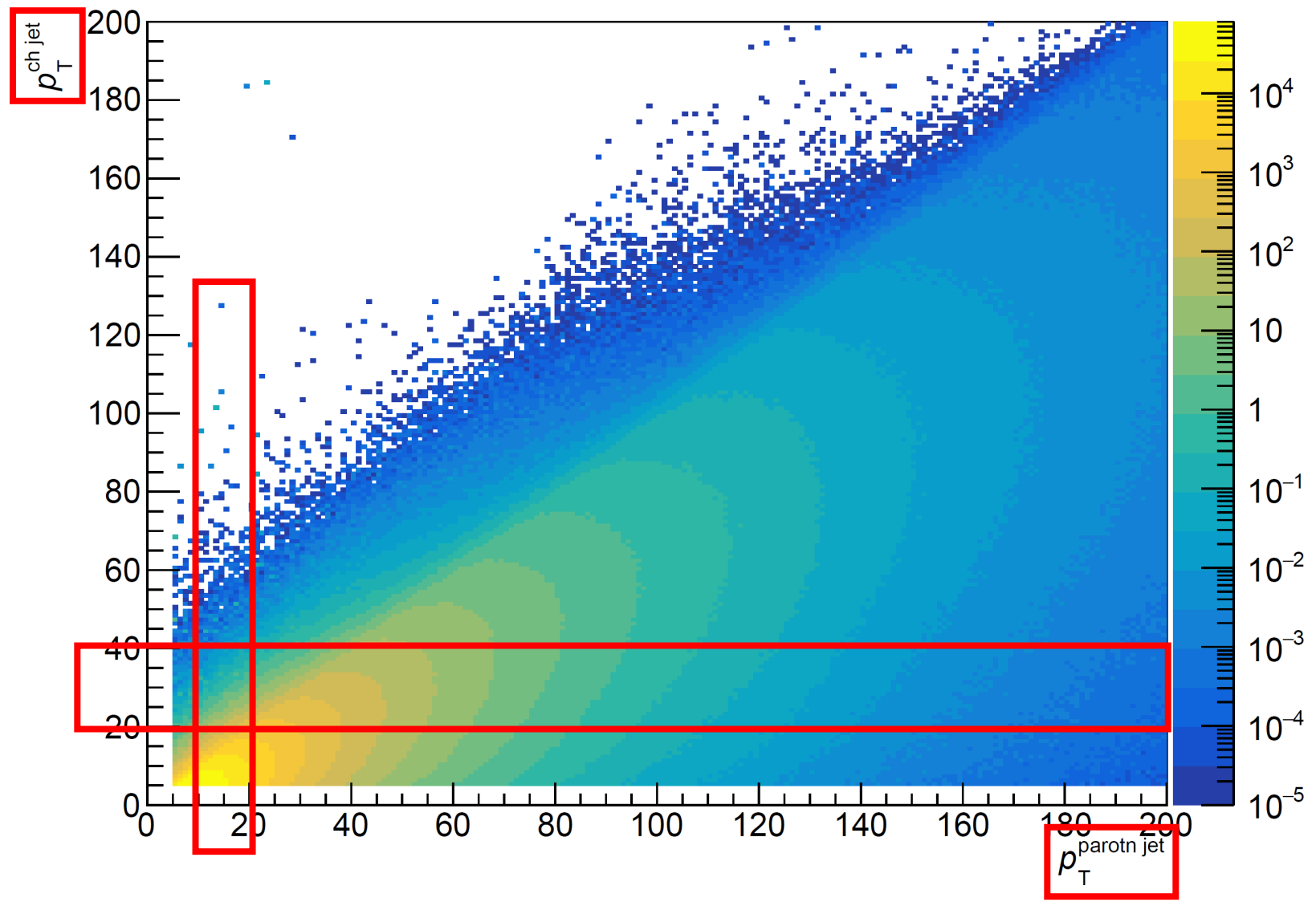
Consider the (Green's function-like) response of a delta function at parton-level ...and for a second value

→ Output distributions are smeared & shifted from input distributions, and appear more similar at ch-level

→ Large (but well-defined) model dependence & output shape bias, especially at lower $p_T^{\text{ch jet}}$

PYTHIA8 response matrix projection onto p_T

- Some contribution at $p_{T,\text{jet}}^{\text{ch}} \in [20, 40]$ GeV/c from $p_{T,\text{jet}}^{\text{parton}} < 20$ GeV/c
- Strongly emphasized by \sim order-of-magnitude scaling per $p_{T,\text{jet}}^{\text{parton}}$ bin (increments of 10 GeV/c)
- Sensitive to low- $p_{T,\text{jet}}^{\text{parton}}$ distributions



Parton-level distributions per $p_{T,jet}^{parton}$ bin

- Agreement improves with increased R and increased $p_{T,jet}$

