

Review: Black Hole Microstate Counting in AdS

Francesco Benini

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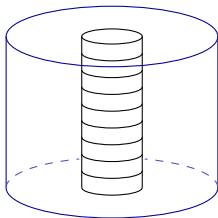
Strings 2022

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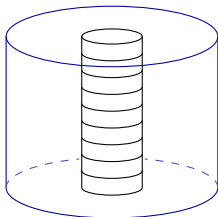
I will review recent developments

in study of **BPS black holes in Anti-de-Sitter space:** entropy and microstates



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in study of **BPS black holes in Anti-de-Sitter space**: entropy and microstates



This topic has been extensively studied in *asymptotically-flat* spacetimes

- ★ String theory reproduces the Bekenstein-Hawking entropy of BPS black holes in **asymptotically-flat** spacetimes [Strominger, Vafa 96]

This has been refined in a very accurate & impressive way since then

[very long list of people. . .]

- ★ The AdS/CFT correspondence provides us with a CONSISTENT and NON-PERTURBATIVE definition of QUANTUM GRAVITY in ANTI-DE-SITTER SPACE, in terms of an ordinary QFT at the boundary
- ★ It is interesting to study black hole entropy in AdS
- ★ AdS₃ and AdS₂ are special. Here AdS_d with $d \geq 4$

Semiclassical Regime for Gravity

★ In AdS:

Gravity is weakly coupled

(AdS much larger
than Planck scale)

and close to Einstein gravity

(scale of higher-derivative corr.'s
much higher than AdS scale)

★ In QFT:

large
"central charge"
(large N)

QFT is
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Need to take advantage of
non-perturbative methods
in QFT:

- conformal bootstrap
- integrability (for certain CFT's)
- supersymmetry
- numerics (lattice and/or Montecarlo)
- ...

Black Hole Entropy

$$S_{\text{Bekenstein-Hawking}} = \frac{\text{Horizon Area}}{4 G_N \hbar / c^3}$$

[Bekenstein 72, 73, 74; Hawking 74, 75]

Black hole = Ensemble of states in quantum gravity $\stackrel{\text{AdS/CFT}}{=} \text{Ensemble of states in boundary QFT}$

$$S_{\text{micro}} = \log N_{\text{micro}} = \frac{\text{Area}}{4 G_N} + \text{corrections: } \left\{ \begin{array}{l} \text{perturbative} \\ \text{higher derivative} \\ \text{non-perturbative (classical sol's)} \\ \text{non-perturbative (branes)} \\ \dots \end{array} \right.$$

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- ★ Some caveats:
- Here consider *large* black holes in AdS
 - Boundary QFT captures *all* states in AdS

Strategies

Count states in boundary QFT employing a **grand canonical partition function**

$$\mathcal{I}(y) = \sum_{\text{states}} y^Q = \sum_{\text{charges } Q} d(Q) y^Q$$

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- Lorentzian: extract the degeneracy

$$d(Q) = \frac{1}{2\pi i} \oint \frac{dy}{y^{Q+1}} \mathcal{I}(y) = \oint d\Delta e^{\log \mathcal{I}(\Delta) - 2\pi i Q \Delta} \quad y = e^{2\pi i \Delta}$$

Assuming large degeneracies, saddle-point approximation \rightarrow **Legendre transform**

$$\text{entropy } S = \log d(Q) \simeq \log \mathcal{I}(\Delta) - 2\pi i Q \Delta \Big|_{\Delta = \text{extremum}}$$

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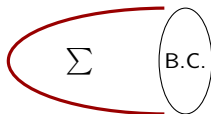
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- Euclidean:

$$\mathcal{I} = Z_{M_{d-1} \times S^1} \stackrel{\text{AdS/CFT}}{=} \int \mathcal{D}\phi$$

Euclidean “**gravitational path integral**” with fixed boundary conditions



Partition function at strong coupling: very hard!

★ Employ a SUSY partition function, or index:

$$\mathcal{I} = \sum_{\text{states}} (-1)^F y^Q$$

Often computable *exactly* with localization techniques

Index counts BPS states: applicable to BPS black holes

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★ Does an index capture the *full* entropy?

At least at leading order, yes!

[FB, Hristov, Zaffaroni 16]

[Cabo-Bizet, Cassani, Martelli, Murthy 18]

[Boruch, Heydemann, Iliesiu, Turiaci 22]

Exploit near-horizon AdS_2 with $\mathfrak{su}(1, 1|1)$ isometry (\mathcal{I} -extremization)

Similar to asymptotically-flat black holes

[Sen 09]

Confirmed by analysis of 2d effective action

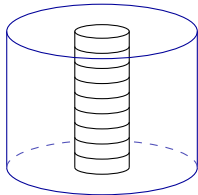
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[see L. Iliesiu's talk]

- Which SUSY partition function?

Holography: black hole solution as an RG flow

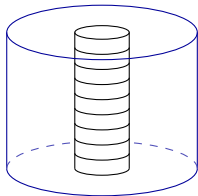
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BPS black holes with
R-symmetry magnetic charge

(possibly rotating and with
electric/magnetic flavor charges)



topologically twisted indices

BPS Kerr-Newman
rotating black holes

(possibly with electric and
magnetic flavor charges)



superconformal indices

Another interesting class of solutions: spindles

[see J. Sparks' talk]

Magnetically-charged
BPS black holes
in AdS_4

BPS Magnetically-Charged Black Holes in AdS₄

- ★ **Spherically symmetric, static, $\frac{1}{16}$ -BPS black holes**

$$ds^2 = -f(r) dt^2 + \frac{1}{f(r)} dr^2 + g(r) ds_{S^2}^2$$

$$X^i = X^i(r), \quad F^{a=1,2,3,4} = n_a d\text{vol}_{S^2}, \quad \sum n_a = 2$$

[Cacciatori, Klemm 09; Hristov, Vandoren 10]

- ★ Constructed in: $4d \mathcal{N} = 2 U(1)^4$ gauge SUGRA (STU model)
or uplift to: 11d supergravity (M-theory) on AdS₄ × S⁷

- ★ **Magnetic charge** for an **R-symmetry** (+ possibly electric flavor charges q_a & angular momentum)

Bekenstein-Hawking entropy:

$$S_{BH} = N^{\frac{3}{2}} F(n_a) \quad \ell_{\text{AdS}}^2 / G_N \sim N^{\frac{3}{2}}$$

- ★ Boundary theory: $3d \mathcal{N} = 8$ ABJM gauge theory $U(N)_1 \times U(N)_{-1}$
Boundary theory is topologically twisted

Topologically Twisted Index

Grand canonical partition function at strong coupling:

protected observable for 3d $\mathcal{N} = 2$ SUSY gauge theories with R-symmetry

$$Z_{\text{TFT}}[y_a, \mathbf{n}_a] = \text{Tr}_{\mathcal{H}} (-1)^F e^{-\beta H} e^{i J^a A_a^{\text{bkgd}}}$$

[Gukov, Pei 15]

[FB, Zaffaroni 15]

[Closset, Kim 16]

\mathcal{H} : Hilbert space of states on S^2 with R-symmetry background (top. twist)

$Q^2 = H - m_a^{\text{bkgd}} J^a$ only BPS states with $Q^2 = 0$ contribute

Complex fugacities: $y_a = e^{i\Delta_a} = e^{i(A_a^{\text{bkgd}} + i\beta m_a^{\text{bkgd}})}$

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★ Computable exactly with localization techniques. For ABJM:

$$Z = \frac{1}{(N!)^2} \sum_{\mathbf{m}, \tilde{\mathbf{m}} \in \mathbb{Z}^N} \int_{\mathcal{C}} \prod_{i=1}^N \frac{dx_i}{2\pi i x_i} \frac{d\tilde{x}_i}{2\pi i \tilde{x}_i} x_i^{m_i} \tilde{x}_i^{-\tilde{m}_i} \times \prod_{i \neq j}^N \left(1 - \frac{x_i}{x_j}\right) \left(1 - \frac{\tilde{x}_i}{\tilde{x}_j}\right) \times$$
$$\times \prod_{i,j=1}^N \prod_{a=1,2} \left(\frac{\sqrt{\frac{x_i}{\tilde{x}_j}} y_a}{1 - \frac{x_i}{\tilde{x}_j} y_a} \right)^{m_i - \tilde{m}_j - n_a + 1} \prod_{b=3,4} \left(\frac{\sqrt{\frac{\tilde{x}_j}{x_i}} y_b}{1 - \frac{\tilde{x}_j}{x_i} y_b} \right)^{\tilde{m}_j - m_i - n_b + 1}$$

TT Index at Large

- ★ Compute contour integral as a multi-dimensional residue [FB, Hristov, Zaffaroni 15]

Distribution of poles at large N : “Bethe Ansatz Equations”

$$1 = x_i \prod_{j=1}^N \frac{(1 - y_3 \frac{\tilde{x}_j}{x_i})(1 - y_4 \frac{\tilde{x}_j}{x_i})}{(1 - y_1^{-1} \frac{\tilde{x}_j}{x_i})(1 - y_2^{-1} \frac{\tilde{x}_j}{x_i})} = \tilde{x}_j \prod_{i=1}^N \frac{(1 - y_3 \frac{\tilde{x}_j}{x_i})(1 - y_4 \frac{\tilde{x}_j}{x_i})}{(1 - y_1^{-1} \frac{\tilde{x}_j}{x_i})(1 - y_2^{-1} \frac{\tilde{x}_j}{x_i})}$$

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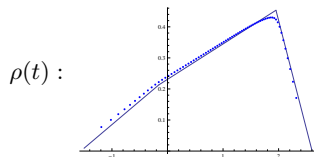
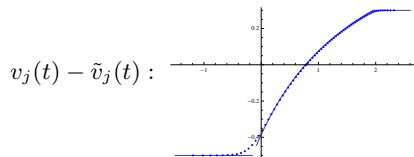
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From a numerical analysis, ansatz: $\log x_j = \sqrt{N} t_j + i v_j$

- ★ Use a continuous distribution of poles:



Partition Function and Entropy

Grand canonical partition function, at leading order in large N :

$$\log Z_{\text{TTI}}(\Delta_a, \mathbf{n}_a) = -\frac{N^{3/2}}{3} \sqrt{2\Delta_1\Delta_2\Delta_3\Delta_4} \sum_a \frac{\mathbf{n}_a}{\Delta_a} + \dots$$

Here $y_a = e^{i\Delta_a}$, $0 \leq \Delta_a \leq 2\pi$ and $\sum \Delta_a = 2\pi$.

Bekenstein-Hawking entropy from a (constrained) Legendre transform:

$$\log Z(\Delta_a, \mathbf{n}_a) - i\Delta_a \mathbf{q}_a \Big|_{\Delta_a=\text{crit}} = S_{\text{BH}}(\mathbf{q}_a, \mathbf{n}_a)$$

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★ **\mathcal{I} -extremization**: Legendre transform is dual to attractor mechanism in AdS_4

[Gauntlett, Martelli, Sparks 19][Hosseini, Zaffaroni 19]

[Kim, Kim 19][van Beest, Cizel, Schafer-Nameki, Sparks 20]

Many Examples in Various Dimensions

- ★ Similar strategies reproduce the **Bekenstein-Hawking entropy** of various types of BPS black holes in different dimensions, from both **twisted** and **superconformal indices**

Magnetically-charged BPS black holes:

(VERY partial list!)

- in AdS_4 , with addition of electric charges and/or angular momentum [FB, Hristov, Zaffaroni 16]
[Hristov, Katmadas, Toldo 18; Choi, Hwang 19]
- with exotic horizon Σ_g [FB, Zaffaroni 16][Closset, Kim 16]
- in other theories / geometries [Hosseini, Hristov, Passias 17][FB, Khachatryan, Milan 17]
(e.g. massive Type IIA on S^6) [Hosseini, Zaffaroni 16]
[Gang, Kim, Pando Zayas 19][Bobev, Crichigno 19]
- in AdS_5 with hyperbolic horizon [Bae, Gang, Lee 19]
- in AdS_6 with toric-Kahler or $\Sigma_{g_1} \times \Sigma_{g_2}$ horizon [Hosseini, Yaakov, Zaffaroni 18]
[Crichigno, Jain, Willett 18][Suh 18] + Hristov, Passias, Fluder, Uhlemann

Many Examples in Various Dimensions

Rotating [Kerr-Newman](#) BPS black holes:

- in AdS_4 [Choi, Hwang, Kim 19][Nian, Pando Zayas 19][Choi, Hwang 19]
- in AdS_5 (see later) [many. . .]
- in AdS_6 (Cardy limit) [Choi, Kim 19]
- in AdS_7 (Cardy limit) [Kantor, Papageorgakis, Richmond 19][Nahmgoong 19]

Perturbative and Higher-Derivative Corrections

★ In QFT, we can compute corrections to the TT index at large N .

Analytic computations turn out to be too difficult,

[Liu, Pando Zayas, Rathee, Zhao 17]

→ resort to **numerical evaluations** and fitting:

[Bobev, Hong, Reys 22]

$$\log Z_0 = -\frac{N^{3/2}}{3} \sqrt{2\Delta_1\Delta_2\Delta_3\Delta_4} \sum_a \frac{\mathbf{n}_a}{\Delta_a}$$

$$\log Z = \log Z_0 + N^{\frac{1}{2}} f_1(\Delta_a, \mathbf{n}_a) - \frac{1}{2} \log N + f_2(\Delta_a, \mathbf{n}_a) + \mathcal{O}(N^{-\frac{1}{2}})$$

In $SO(8)$ -symmetric case $\Delta_a = \frac{\pi}{2}$, for generic horizon Σ_g and internal manifold S^7/\mathbb{Z}_k :

$$\log Z = (1-g) \left[-\frac{\pi\sqrt{2k}}{3} N^{\frac{3}{2}} + \frac{\pi}{\sqrt{2k}} \frac{k^2+32}{24} N^{\frac{1}{2}} - \frac{1}{2} \log N \right] + \dots$$

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$$\log Z = \log Z_0 + \underbrace{N^{\frac{1}{2}} f_1(\Delta_a, \mathbf{n}_a)}_{\text{higher deriv.}} - \underbrace{\frac{1}{2} \log N}_{\text{1-loop}} + f_2(\Delta_a, \mathbf{n}_a) + \mathcal{O}(N^{-\frac{1}{2}})$$

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Perturbative 1-Loop Correction

[Liu, Pando Zayas, Rathee, Zhao 17]

- ★ **log corrections** to entropy have been extensively studied for asymptotically-flat black holes

[Sen 08]

Window into QG: 1-loop effect from matter fields in near-horizon region

- ★ For AdS_4 black holes: contribution from whole space

[Jeon, Lal 17]

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Computation in 11d SUGRA on $M_4 \times S^7$: (M_4 is reg. Euclidean black hole)

- in odd dimensions, **only zero-modes** contribute
- examine fields of 11d SUGRA, including ghosts
- M_4 is non-compact \rightarrow space of L^2 harmonic forms $\mathcal{H}_{L^2}^p(M_4, \mathbb{R})$
 $\dim_{\text{reg}} \mathcal{H}_{L^2}^{p=2} = 2(1-g)$

Reproduces $\log Z_{\Sigma_g \times S^1}(\mathbf{n}_a, \Delta_a) = \dots - \frac{1-g}{2} \log N + \dots$

First Higher-Derivative Correction

[Bobev, Charles, Hristov, Reys 20/21]

★ Add 4-derivative corrections to 4d $\mathcal{N} = 2$ minimal gauged SUGRA

Higher-derivative couplings: Weyl multiplet, T-log multiplet

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- Any solution to 2- ∂ action is also a solution of 4- ∂ action, and preserves same amount of SUSY (special to AdS_4)

$$\log Z = -\pi \mathcal{F} \left(AN^{\frac{3}{2}} + BN^{\frac{1}{2}} \right) + \pi (\mathcal{F} - \chi) C N^{\frac{1}{2}}$$

- \mathcal{F}, χ depend on boundary geometry of asymptotically-locally- AdS_4 solution

Mag. AdS BH: $\mathcal{F} = (1 - g)$ $\chi = 2(1 - g)$

- A, B, C depend on theory: fix them with selected localization computations

ABJM_k: $A = \frac{\sqrt{2k}}{3}$ $B = -\frac{k^2+8}{24\sqrt{2k}}$ $C = -\frac{1}{\sqrt{2k}}$

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ABJM $_k$: $A = \frac{\sqrt{2k}}{3} \quad B = -\frac{k^2+8}{24\sqrt{2k}} \quad C = -\frac{1}{\sqrt{2k}}$

- ★ Grav. evaluation of TT index:

$$\log Z_{\Sigma_g \times S^1} = -(1 - g) \frac{\pi \sqrt{2k}}{3} \left(N^{\frac{3}{2}} - \frac{32 + k^2}{16k} N^{\frac{1}{2}} \right) + \dots$$

- ★ In order to address generic fugacities, need to add vector multiplets

An interesting conjecture

By a very careful numerical analysis,

[Bobev, Hong, Reys 22]

conjecture for TT index of ABJM_k, to all perturbative orders in $\frac{1}{N^{1/2}}$:

$$\begin{aligned} \log Z_{S^1 \times \Sigma_{g \neq 1}} &= -\frac{\sqrt{2k\Delta_1\Delta_2\Delta_3\Delta_4}}{3} \sum_{a=1}^4 \frac{\mathbf{n}_a}{\Delta_a} \left(\hat{N}_\Delta^{\frac{3}{2}} - \frac{\mathbf{c}_a}{k} \hat{N}_\Delta^{\frac{1}{2}} \right) - \frac{1-g}{2} \log \hat{N}_\Delta \\ &\quad + f_0(k, \Delta, \mathbf{n}) + \mathcal{O}(e^{-\sqrt{Nk}}) + \mathcal{O}(e^{-\sqrt{N/k}}) \end{aligned}$$

where

$$\hat{N}_\Delta = N - \frac{k}{24} + \frac{\pi}{12k} \sum_{a=1}^4 \frac{1}{\Delta_a} \quad \mathbf{c}_a = \frac{\prod_{b(\neq a)} (\Delta_b + \Delta_a)}{8\Delta_1\Delta_2\Delta_3\Delta_4} \sum_{b(\neq a)} \Delta_b$$

Kerr-Newman rotating
BPS black holes
in AdS_5

Kerr–Newman BPS black holes in AdS_5

Rotating & electrically-charged $\frac{1}{16}$ -BPS black holes in AdS_5 [Gutowski, Reall 04]
[Chong, Cvetic, Lu, Pope 05][Kunduri, Lucietti, Reall 06]

- Constructed in: 5d $\mathcal{N} = 2$ $U(1)^3$ gauged SUGRA (STU model)
or uplift to: 10d type IIB SUGRA on $\text{AdS}_5 \times S^5$

- Angular momentum Here: J_1, J_2
Electric charges Charges for $U(1)^3 \subset SO(6)$: R_1, R_2, R_3

- SUSY (1 cplx supercharge \mathcal{Q})

- Bekenstein-Hawking entropy (S^3 horizon):
$$S_{\text{BH}} = \frac{\text{Area}}{4G_N} = \pi \sqrt{R_1 R_2 + R_1 R_3 + R_2 R_3 - 2N^2(J_1 + J_2)}$$

- Angular momenta, charges and entropy scale $\sim N^2 \sim \frac{\ell_{\text{AdS}}^3}{G_N}$

4d Superconformal Index

[Romelsberger 05; Kinney, Maldacena, Minwalla, Raju 05]

- Dual boundary theory: $4d \mathcal{N} = 4 SU(N)$ SYM
- ★ Superconformal index:
Counts (with sign) **BPS states** on S^3 = protected operators on flat space

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Index of $\mathcal{N} = 4$ SYM:

$$\mathcal{I}(p, q, y_1, y_2) = \text{Tr} (-1)^F e^{-\beta\{\mathcal{Q}, \mathcal{Q}^\dagger\}} p^{J_1 + \frac{1}{2}R_3} q^{J_2 + \frac{1}{2}R_3} y_1^{\frac{1}{2}(R_1 - R_3)} y_2^{\frac{1}{2}(R_2 - R_3)}$$

Write: $p = e^{2\pi i\tau}$ $q = e^{2\pi i\sigma}$ $y_a = e^{2\pi i\Delta_a}$

Introduce Δ_3 such that: $\Delta_1 + \Delta_2 + \Delta_3 - \tau - \sigma \in \mathbb{Z}$

- ★ Equals the Euclidean partition function on $S^3 \times S^1$

with background flat connections:

$$\mathcal{I} = Z_{S^3 \times S^1}(\tau, \sigma, \Delta_1, \Delta_2)$$

★ Exact integral formula:

[Aharony, Marsano, Minwalla, Papadodimas, Van Raamsdonk 03]

[Sundborg 99][Romelsberger 05][Kinney, Maldacena, Minwalla, Raju 05]

$$\mathcal{I} = \kappa_N \oint_{\mathbb{T}^{\text{rk}(G)}} \prod_{i=1}^{\text{rk}(G)} \frac{dz_i}{2\pi i z_i} \times \frac{\prod_{a=1}^3 \prod_{\rho \in \mathfrak{R}_{\text{adj}}} \tilde{\Gamma}(\rho(u) + \Delta_a; \tau, \sigma)}{\prod_{\alpha \in \mathfrak{g}} \tilde{\Gamma}(\alpha(u); \tau, \sigma)}$$

with

$$z = e^{2\pi i u} \quad \kappa_N = \frac{(p; p)_{\infty}^{\text{rk}(G)} (q; q)_{\infty}^{\text{rk}(G)}}{|\text{Weyl}_G|} \quad \tilde{\Gamma}(u; \tau, \sigma) = \prod_{m,n=0}^{\infty} \frac{1 - p^{m+1} q^{n+1} / z}{1 - p^m q^n z}$$

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★ Taking the **large N limit** turns out to be tricky...

One saddle point with $u_i \in \mathbb{R}$ found long ago

[Kinney, Maldacena, Minwalla, Raju 05]

it describes **gas of gravitons** in AdS_5 , but not black holes

- Many different approaches have been devised by now

★ Integrand simplifies in a (high temperature) **Cardy limit**:

- angular chemical potentials $\tau, \sigma \rightarrow 0$ (with τ/σ fixed)
- electric chemical potentials $\text{Im } \Delta_a \rightarrow 0$ with $\text{Re } \Delta_a$ fixed

$$\mathcal{I} = Z_{S^3 \times S^1} \underset{\tau, \sigma \rightarrow 0}{\simeq} \int d^{\text{rk}(G)} u e^{\frac{i\pi}{6\tau\sigma} V_2(u) + \frac{i\pi(\tau+\sigma)}{2\tau\sigma} V_1(u)}$$

$V_{1,2}(u)$: piecewise polynomial functions, that depends on gauge/matter rep.

In a suitable range of $\text{Re } \Delta_a$'s, V_2 has a local minimum at $u = 0$.

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[Di Pietro, Komargodski 14][Arabi Ardehali 15][Di Pietro, Honda 16]

★ Next, take **large N limit**:

(here $[x] = x - [x]$)

$$\log \mathcal{I} = -i\pi N^2 \frac{[\Delta_1][\Delta_2][\Delta_3]}{\tau\sigma} + \dots$$

★ (Constrained) Legendre transform reproduces **Bekenstein-Hawking entropy**:

$$S_{\text{BH}} = \log \mathcal{I} - 2\pi i \left(\sum X_a \frac{R_a}{2} + 2\tau J \right) \Big|_{\text{constrained extremum}}$$

Cardy limit captures limit of: charges \gg central charge

Cardy limit of $\mathcal{N} = 1$ theories can be studied at generic N , and in greater detail.

★ In 2d, Cardy limit follows from modular invariance

★ In 4d no modular invariance, yet central charges control the Cardy limit

Obtained by reduction on S^1 , careful treatment of

3d EFT of massive and zero modes, involves $SU(N)_N$

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3d EFT of massive and zero modes, involves $SU(N)_N$

• E.g., for the so-called “R-charge index”, or “index on 2nd sheet”:

$$\mathcal{I} = \text{Tr} e^{-i\pi R} e^{-\beta\{\mathcal{Q}, \mathcal{Q}^\dagger\}} e^{2\pi i\tau(J_1 + \frac{1}{2}R)} e^{2\pi i\sigma(J_2 + \frac{1}{2}R)}$$

where R is an R-symmetry (with mild constraints),

[Cassani, Komargodski 21]

[see also: Kim, Kim, Song 19; Cabo-Bizet, Cassani, Martelli, Murthy 19; Lezcano, Hong, Liu, Pando Zayas 20]

[Amariti, Fazzi, Segati 21; Jejjala, Lei, van Leuven, Li 21; Cabo-Bizet 21][Arabi Ardehali, Murthy 21]

$$\begin{aligned} \log \mathcal{I} &= \pi i \frac{(\tau + \sigma + 1)^3}{24\tau\sigma} \text{Tr} R^3 - \pi i \frac{(\tau + \sigma + 1)(\tau^2 + \sigma^2 - 1)}{24\tau\sigma} \text{Tr} R \\ &+ \log |G_{1\text{-form}}| + \mathcal{O}(e^{-\frac{\#}{\tau}}) \end{aligned}$$

• $\mathcal{N} = 4$ SYM: Asymptotic behaviour for $\tau, \sigma \rightarrow \mathbb{Q}$

[Arabi Ardehali, Murthy 21]

- ★ Relax any limit on fugacities, only large N

This analysis reveals interesting *non-perturbative* corrections

Various tools:

- Bethe Ansatz formula [Closset, Kim, Willet 17; FB, Milan 18]
[Lanir, Nedelin, Sela 19; Lezcano, Pando Zayas 19]
[Arabi Ardehali, Hong, Liu 19; FB, Colombo, Soltani, Zaffaroni, Zhang 20]
- Non-analytic extension [Cabo-Bizet, Murthy 19]
[Cabi-Bizet, Cassani, Martelli, Murthy 20; Cabo-Bizet 20]
- Direct saddle-point approximation [Choi, Jeong, Kim, Lee 21]
- Truncation of plethystic expansion [Copetti, Grassi, Komargodski, Tizzano 20]
[Choi, Jeong, Kim 21]
- Giant graviton expansion [Imamura 21/22; Gaiotto, Lee 21]
[Murthy 22; Lee 22]

Bethe Ansatz Formula for 4d Superconformal Index

Alternative formula: (set $\tau = \sigma$)

[Closset, Kim, Willett 17]

[FB, Milan 18]

[FB, Rizi 21]

$$\mathcal{I} = \sum_{u \in \mathfrak{M}_{\text{BAE}}} \mathcal{Z}(u; \Delta, \tau, \tau) H(u; \Delta, \tau)^{-1}$$

- ① $\mathfrak{M}_{\text{BAE}}$ are solutions to “Bethe Ansatz Equations” for $\text{rk}(G)$ complexified holonomies $[u_i]$ living on a complex torus T_τ^2 of modular parameter τ :

$$\mathfrak{M}_{\text{BAE}} : \quad Q_i(u) = \prod_{a=1}^3 \prod_{j=1}^N \frac{\theta(\Delta_a - u_{ij}; \tau)}{\theta(\Delta_a + u_{ij}; \tau)} = 1 \quad u_{ij} = u_i - u_j \neq 0$$

$SU(N)$ $\mathcal{N} = 4$ SYM

Equations are defined on T_τ^2 and are invariant under $SL(2, \mathbb{Z})$

- ② \mathcal{Z} : same integrand as in integral formula H : Jacobian $H = \det_{ij} \frac{\partial Q_i}{\partial u_j}$

★ \exists *Discrete* family of exact solutions

[Hosseini, Nedelin, Zaffaroni 16][Hong, Liu 18]

labelled by $\{m, r\}$ with $m \cdot n = N$ and $r \in \mathbb{Z}_n$

● BASIC SOLUTION $\{1, 0\}$: $u_j \sim \frac{\tau}{N} j$



● Other solutions – forming $SL(2, \mathbb{Z})$ orbits:

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★ Contrib. of BASIC SOLUTION reproduces **Bekenstein-Hawking** entropy:

[FB, Milan 18]

$$\lim_{N \rightarrow \infty} \mathcal{I}(\tau, \Delta_1, \Delta_2) \Big|_{\text{BASIC SOLUTION}} \simeq \exp\left(-i\pi N^2 \frac{[\Delta_1]_\tau [\Delta_2]_\tau [\Delta_3]_\tau}{\tau^2}\right)$$

Non-Perturbative Corrections from QFT

Expansion of the index at large N :

$$\mathcal{I} = \sum_{\text{solutions} \in \mathfrak{M}_{\text{BAE}}} e^{\mathcal{O}(N^2) + \dots}$$

It looks like a **semiclassical expansion**

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★ Large N contribution of $\{m, r\}$ solutions (with fixed m, r): (cfr. Cardy limit)

$$\begin{aligned} \log \mathcal{I}_{\{m, r\}} = & \underbrace{-\frac{i\pi N^2}{m} \frac{[m\Delta_1]_{\check{\tau}} [m\Delta_2]_{\check{\tau}} [m\Delta_3]_{\check{\tau}}}{(m\tau + r)^2}}_{\text{on-shell action}} + \underbrace{\log N + \mathcal{O}(1)}_{\text{1-loop ?}} \\ & + \underbrace{\sum e^{\frac{2\pi i N}{m} \frac{[m\Delta_a]_{\check{\tau}}}{\check{\tau}}}}_{\text{Euclidean D3-branes}} + \dots + \dots \end{aligned}$$

where $\check{\tau} = m\tau + r$

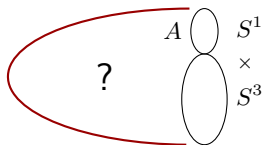
[Lezcano, Hong, Liu, Pando Zayas 20][Aharony, FB, Mamroud, Milan 21]

“Classical” Non-Perturbative Corrections

Fill-in **bulk geometry**
for given boundary conditions

[Witten 98; Dijkgraaf, Maldacena, Moore, Verlinde 00]

[Maloney, Witten 07]



- \exists infinite family of **complex Euclidean solutions** (including orbifolds) of 10d type IIB supergravity
 - ★ **SUSY**, but *not* extremal,
 - with correct boundary conditions

[Cabo-Bizet, Cassani, Martelli, Murthy 18]

[Aharony, FB, Mamroud, Milan 21]

- (Renormalized) on-shell action F_{grav}
Reproduces $\mathcal{O}(N^2)$ contribution to $\log \mathcal{I}_{\{m,r\}}$

“Stringy” Non-Perturbative Corrections

- ★ A class of non-perturbative corrections from **Euclidean SUSY D3-branes** wrapped on 10d geometry at the horizon

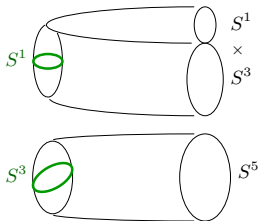
On-shell action:

$$S_{D3} = 2\pi N \frac{\Delta_a^g}{\tau^g} \quad \text{or} \quad S_{D3} = 2\pi N \frac{\Delta_a^g}{\sigma^g}$$

- ★ Effect of D3-brane corrections:

$$\mathcal{I} = Z_{S^3 \times S^1} \simeq e^{-F_{\text{grav}}} + \sum_k e^{-F_{\text{grav}}} e^{ikS_{D3}} \simeq \exp \left\{ \underbrace{-F_{\text{grav}}}_{\mathcal{O}(N^2)} + \sum_k \underbrace{e^{ikS_{D3}}}_{\mathcal{O}(e^{-N})} \right\}$$

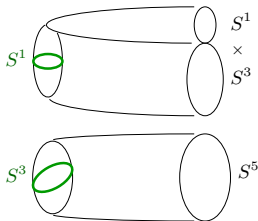
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- ★ **Criterion** to retain a complex saddle:

$$\text{Im } S_{D3} > 0 \quad \text{for all (SUSY) D3-brane embeddings}$$

Violation implies “D3-brane condensation” towards some other saddle point.

Expected to signal that **complex saddle point** does *not contribute* to the integral.

Matches with expansion of index.

Perturbative and Higher-Derivative Corrections

Perturbative and higher-derivative corrections poorly understood in this example

★ $\log N$ term in BASIC SOLUTION

[David, Lezcano, Nian, Pando Zayas 21]

Can be reproduced by Kerr/CFT applied to near-horizon

Computation in Lorentzian signature and microcanonical ensemble

Suggests no contribution from the bulk

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★ $\mathcal{O}(1)$ terms

Absence of $\mathcal{O}(N)$: first higher-derivative correction vanishes

[Melo, Santos 20]

Expected 1-loop contribution from gas of gravitons in black hole background

[cfr. Kinney, Maldacena, Minwalla, Raju 05]

Perturbative series truncates (compatible with Cardy limit). Why?

An Interesting Class of Matrix Models

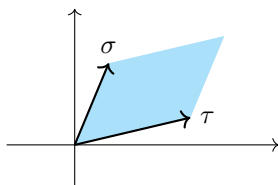
- ★ The integral formula for the index can be recast in the form:

$$\mathcal{I} = \frac{1}{N!} \int d^N u_a \prod_{a \neq b} \left(1 - e^{\frac{2\pi i}{\tau} u_{ab}} \right) \exp \left[- \sum_{a \neq b} \left(V_\sigma(u_{ab}) + V_\tau(u_{ab}) \right) \right]$$

with V_σ periodic in $u \rightarrow u + \sigma$, V_τ periodic in $u \rightarrow u + \tau$

[Choi, Jeong, Kim, Lee 21]

At large N , family of **saddle points** with uniform distribution of eigenvalues on a *parallelogram* in the u -plane



- ★ Saddle are labelled by $r, s \in \mathbb{Z}$

Generalize the $(m = 1, r)$ solutions to BAE's to $\tau \neq \sigma$

\exists only in certain ranges of $\tau, \sigma, \Delta_a \rightarrow$ agreement with D3-brane stability

At leading order, contributions agree.

In all approaches, there seems to be **other** potential **contributions**:

- BAEs: continuous branches of solutions [Arabi Ardehali, Hong, Liu 19]
- Saddle point: multi-cut solutions with filling fractions

Poorly understood.

Hint of new BPS black hole solutions?

★ Truncation of the Plethystic Expansion

[Copetti, Grassi, Komargodski, Tizzano 20]

$$\mathcal{I} = \int_{U \in SU(N)} [DU] \exp \left(\sum_{k=1}^{\infty} \frac{1}{k} f(y_a^k, p^k, q^k) \text{Tr} U^k \text{Tr} U^{-k} \right)$$

Truncation to one or two terms:

at large N reproduces fairly well [Hawking-Page transition](#) between AdS_5 and black hole

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★ Giant graviton expansion

[Imamura 21/22; Gaiotto, Lee 21; Murthy 22; Lee 22]

$$\mathcal{I}(\Delta_a, \tau_i) = \mathcal{I}_{\text{KK}}(\Delta_a, \tau_i) \sum_{n_1, n_2, n_3=0}^{\infty} e^{-N \sum_a \Delta_a n_a} Z_{n_1, n_2, n_3}(\Delta_a, \tau_i)$$

where $Z_{n_1, n_2, n_3} = \oint_{\mathcal{C}} \prod_{I=1}^3 \prod_{a=1}^{n_I} du_a^{(I)} \left(\prod_{I=1}^3 Z_I^{4d} \right) \left(\prod_{I \neq J} Z_{I,J}^{2d} \right)$ [Imamura 21]

$U(n_1) \times U(n_2) \times U(n_3)$ gauge theory with bifundamentals

• Bulk: n_1, n_2, n_3 [D3-branes](#) wrapping three intersecting S^3 's in S^5

• Index: $\mathcal{I}(y) = 1 + \#y + \dots + \#y^N + \dots$

↑ trace relations

At large N , reproduces black hole entropy

[Choi, Kim, Lee, Lee 22]

Quantum mechanics of BPS and **near-BPS** black holes
can be studied in great detail using **near-horizon AdS₂** region
and Schwarzian-like effective field theory

[Iliesiu, Turiaci 20]

[Heydeman, Iliesiu, Turiaci, Zhao 20; Boruch, Heydaman, Iliesiu, Turiaci 22]

[Lin, Maldacena, Rozenberg, Shan 22]

[See L. Iliesiu and J. Maldacena's talks]

Can it be reproduced from the boundary QFT?

Some Open Questions

- AdS Black hole entropy beyond SUSY [see Larsen, Nian, Zeng 19]
Near-horizon JT-like gravity from field theory?
- Classification of Euclidean/Lorentzian BPS gravitational saddles
Index: potential new contributions
Gravity: indications of hairy or multi-center BPS black holes
[Markeviciute, Santos 18]
[Monten, Toldo 21; Cai, Liu 22]
- Resummation of contributions and phase transitions
[see Copetti, Grassi, Komargodski, Tizzano 20]