

Non-invertible chiral symmetry in 3+1-dimensions

Kantaro Ohmori (大森寛太郎)

The University of Tokyo

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[Kaidi, KO, Zheng arXiv: 2111.01141], [Cordova, KO arXiv: 2205.06243]
c.f. [Choi, Cordova, Hsin, Lam, Shao arXiv:2111.01139], [Choi, Lam, Shao arXiv:2205.05086]

ABJ-anomalous symmetry as symmetry

- ▶ ABJ-anomalous symmetry [Adler '69], [Bell, Jackiw '69]

$$\partial_\mu j^\mu = \frac{1}{8\pi^2} f_{\mu\nu} \tilde{f}^{\mu\nu}$$

- ▶ Usually said that the symmetry is quantumly broken.
- ▶ When the gauge group is abelian, we can “cure” the symmetry.

[Choi, Lam, Shao '22], [Cordova, KO '22]

- ▶ Price: **non-invertibility**

Chiral symmetries in an abelian gauge theory is a generalized symmetry, called **non-invertible symmetry**.

Expanding Symmetry

[c.f. talk by Rudelius]

[c.f. talk by Shao in Strings 2021]

► Global symmetry has been generalized over the last decade.

- Higher-form (higher-group) symmetry

[Gukov, Kapustin '13], [Kapustin, Thorngren '13]

[Gaiotto, Kapustin, Seiberg, Willet '14]...

[Cordova, Dumitrescu, Intriligator' 18], [Benini, Cordova, Hsin '18], ...

- Subsystem symmetry (non-relativistic systems)

[Vijay, Haah, Fu '16], [You, Devakul, Burnell, Sondhi '18]... [Seiberg Shao '20], ...

- **Non-invertible symmetry**

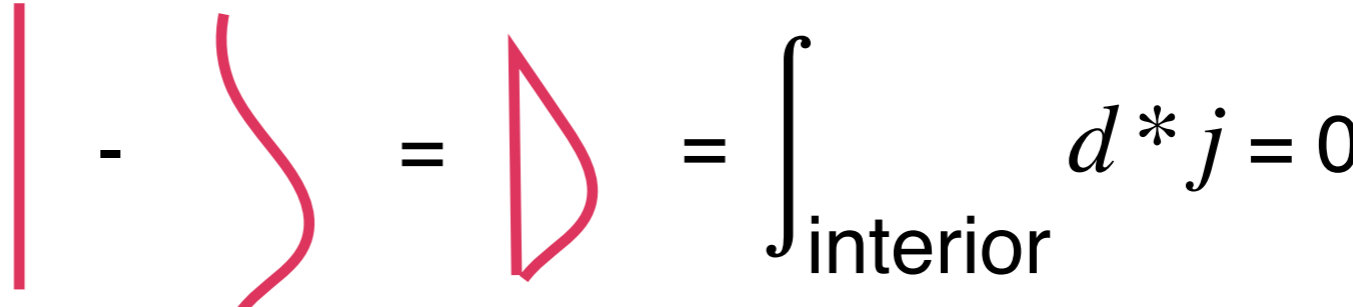
Non-invertible symmetries in 3+1d

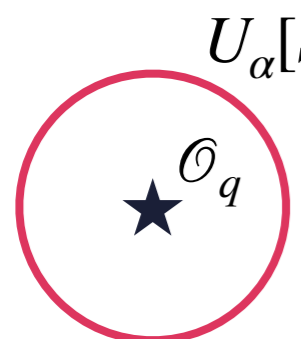
- ▶ Non-invertible symmetries has been known in 1+1d:
[Verlinde '88], ... [Fuchs Runkel Schweigert '02], ..., [Bhardwaj, Tachikawa '17],
[Chang, Lin, Shao, Wang, Yin '18], [Thorngren Wang '19+'21] ...
- ▶ Ubiquitous in TQFTs.
- ▶ More recently, many examples in $d > 2$ non-top. theories:
[Tachikawa '17] ..., ...
[Ngyuen, Tanizaki, Unsal, Koide, Nagoya, Yamaguch, Choi, Cordova, Hsin, Lam, Shao, Kaidi, KO, Zheng, Roumpedakis, Seifnashri, Bhardwaj, Bottini, Schafer-Nameki, Tiwari, Zafrir, Rudelius, Arias-Tamargo, Rodriguez-Gomez, Hayashi, Antinucci, Galati, Rizi, Bashmakov, Del Zotto, Hasan, Damia, Argurio, Tizzano, Garcia-Valdecasas ... '21-'22]
- ▶ **Exciting emerging area of research!**
- ▶ No need to go to exotic examples:

Infinite non-invertible symmetry in massless QED

Topological Symmetry operator

- ▶ $U(1)$ Symmetry \rightarrow Symmetry op. $U_\alpha[\Sigma] = e^{i\alpha Q[\Sigma]} = e^{i\alpha \int_\Sigma *j}$
on codim-1 surface Σ

- ▶ $U_\alpha[\Sigma]$ is topological :  $= \int_{\text{interior}} d*j = 0$

- ▶  $U_\alpha[S^{d-1}] = e^{i\alpha q} \mathcal{O}_q : U(1)$ action

- ▶ For general G , $g \in G \rightarrow U_g[\Sigma]$

Fusion product

- ▶ Given two topological surface operators, one can “fuse” them:

$$L_1 \quad \left| \begin{array}{c} \color{red}{|} \\ \color{blue}{|} \end{array} \right. \quad L_2 \quad = \quad \left| \begin{array}{c} \color{green}{|} \end{array} \right. \quad L_3 = L_1 \otimes L_2$$

- ▶ For top. operators for conventional symmetry,

$$U_{g_1} \otimes U_{g_2} = U_{g_1 g_2}$$

$$U_{g_1} \quad \left| \begin{array}{c} \color{red}{|} \\ \color{blue}{|} \end{array} \right. \quad U_{g_2} \quad = \quad \left| \begin{array}{c} \color{green}{|} \end{array} \right. \quad U_{g_1 g_2}$$

- ▶ $U_g[\Sigma]$ has its **inverse**:

$$U_{g^{-1}} \quad \left| \begin{array}{c} \color{red}{|} \\ \color{blue}{|} \end{array} \right. \quad U_g \quad = \quad \left| \begin{array}{c} \color{green}{\vdots} \\ \color{green}{\vdots} \\ \color{green}{\vdots} \end{array} \right.$$

conventional symmetry \Leftrightarrow **codim-1 invertible** topological operator

Higher form symmetry

[Gaiotto, Kapustin, Seiberg, Willet '14]

(Ordinary) symmetry \Leftrightarrow **codim-1 invertible** topological operators



Generalize

“p-form symmetry” \Leftrightarrow **codim-(p+1) invertible** topological operators

- ▶ Acts on **p-dim extended operator** instead of local (point) operators.
- ▶ Precise formulation of “center symmetry” in a gauge theory. (p=1)
- ▶ Magnetic one-form symmetry in abelian gauge theory:
 - $f = dA, j_{\mu\nu} \propto \epsilon_{\mu\nu\rho\sigma} f^{\rho\sigma} \rightarrow \partial^\mu j_{\mu\nu} = 0$
 - Symmetry op. $U_\alpha^{(1)}(\Sigma) := e^{i\alpha \int_\Sigma f/2\pi}$

Non-invertible top. operator

(Ordinary) symmetry \Leftrightarrow **codim-1 invertible** topological operators



Generalize

“**non-invertible** symmetry” \Leftrightarrow general topological operators

- ▶ **RG-flow invariant** [Chang, Lin, Shao, Wang, Yin '18], ...

- ▶ $O_i \otimes O_j = \sum_k O_k$: beyond group

[Verlinde '88], ...

- ▶ E.g.: 1+1d Ising CFT: L_ϵ : \mathbb{Z}_2 -line (invertible), L_σ : KW duality line

- ▶ $L_\epsilon \otimes L_\epsilon = 1$, $L_\sigma \otimes L_\epsilon = L_\sigma$, $L_\sigma \otimes L_\sigma = 1 + L_\epsilon$

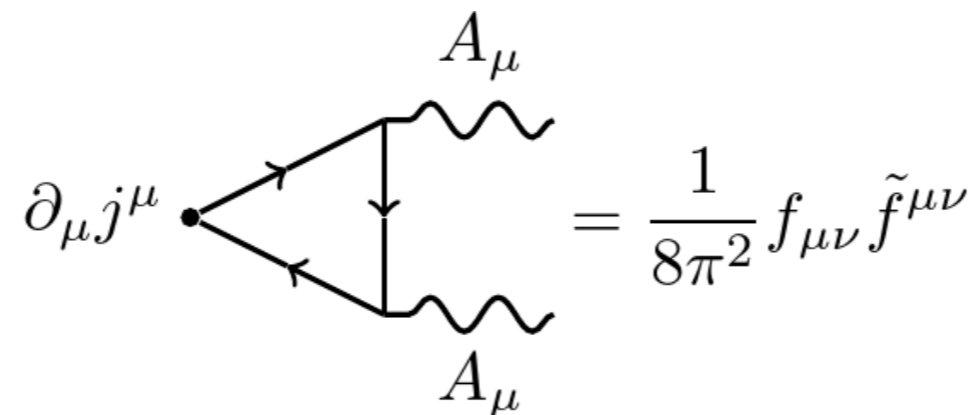
- ▶ L_σ is non-invertible.

L_σ
 \star^σ = 0

Non-invertible Chiral Symmetry

Massless QED chiral symmetry

- ▶ QED: $U(1)$ gauge field (f) + Weyl massless fermions e_+, e_-
- ▶ chiral $U(1)$ (global) sym: $e_- \rightarrow e^{i\alpha} e_-$: ABJ-anomalous
[Adler '69], [Bell, Jackiw '69]



The diagram shows a fermion triangle loop. On the left, a vertex is labeled $\partial_\mu j^\mu$. Two wavy lines, representing gauge bosons, extend from the right side of the loop, labeled A_μ at the top and A_μ at the bottom. The loop itself consists of two fermion lines forming a triangle with a vertical internal line. Arrows on the fermion lines indicate a clockwise flow. The diagram is equated to the expression $= \frac{1}{8\pi^2} f_{\mu\nu} \tilde{f}^{\mu\nu}$.

- ▶ $j \rightarrow j - *CS, dCS = \frac{1}{8\pi^2} f \wedge f$?
The CS term is not gauge invariant.

Non-invertible Chiral Symmetry

[Kaidi, Ohmori, Zheng '21], [Choi, Lam, Shao '22], [Cordova, KO '22]

- ▶ Set the parameter rational: $\alpha = 2\pi \frac{p}{q}$

The “naive” symmetry operator: $U_{2\pi p/q}[\Sigma_3] = e^{i\frac{2\pi p}{q} \int_{\Sigma_3} j}$

- ▶ The “naive modification” leads to

$$\text{“ } e^{i\frac{2\pi p}{q} \int_{\Sigma_3} *(j+*CS(f)) = U_{2\pi p/q} e^{-i\frac{2\pi p}{q} \int_{\Sigma_3} CS(f) \text{ ”}$$

- ▶ We are wanting fractional CS term of EM field:

response action for **fractional hall state** (FQH) with $\nu = \frac{p}{q}$

- ▶ We can cancel the ABJ-anomaly by FQH state on the defect!

Non-invertible Chiral Symmetry

[Kaidi, Ohmori, Zheng '21], [Choi, Lam, Shao '22], [Cordova, KO '22]

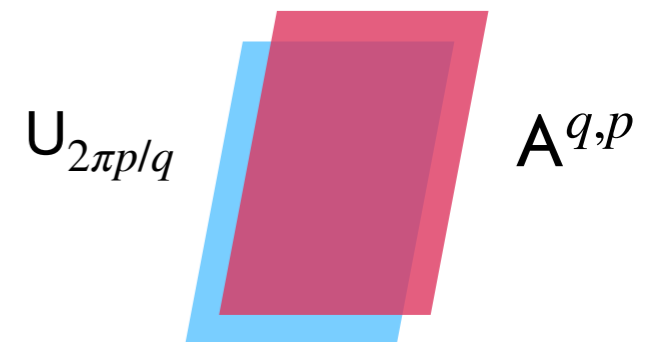
- ▶ The ill-quantized response of FQH is reproduced by a 2+1d TQFT, which is the IR fixed point of FQH system.

- ▶ **2+1d TQFT $A^{q,p}$** for filling $\nu = \frac{p}{q}$: [Hsin, Lam, Seiberg '18]

a universal part of FQH TQFT that coupled to EM.
e.g. $A^{q,1} = U(1)_q$ dynamical CS theory.

- ▶ Then, the modified defect is

$$D_{p/q}[\Sigma_3] = U_{2\pi p/q}[\Sigma_3] \otimes A^{q,p}[\Sigma_3, f]: \text{topological}$$



- ▶ $A^{q,p}$ is coupled with bulk EM field strength f , as its \mathbb{Z}_q one-form symmetry background, as the actual FQH does.

$D_{p/q}$ defines the **non-invertible chiral symmetry**.

Fusion rule

- ▶ A fusion rule:

$$\begin{aligned} \left(D_{-1/q} \otimes D_{1/q} \right) [\Sigma_3] &= \left(A^{q,-1} \otimes A^{q,1} \right) [\Sigma_3, f] \\ &= \sum_{S \in H_2(\Sigma_3, \mathbb{Z}_q)} U_{2\pi/q}^{(1)}[S] e^{2\pi i/q T(S)} \end{aligned}$$

: “condensation operator”
...[Gaiotto, Johnson-Freyd '19],
..., [Roumpedakis, Seifnashri, Shao '22]

- ▶ Ubiquitous in non-invertible symmetry in 3+1 dimensions.

[Kaidi, KO, Zheng '21], [Choi, Cordova, Hsin, Lam, Shao '21]

Symmetry action on S^3

▶ $D_{p/q}[M_3] = C_{p/q}[M_3] \times A^{q,p}[M_3, f]$

▶ How $D_{p/q}$ acts on the Hilbert space \mathcal{H}_{M_3} on space mfd. M_3 ?

▶ Simplest case: $M_3 = S^3$

$$Z(A^{q,p})[S^3, f] = 1/\sqrt{q}, \quad \rightarrow D_{p/q}[S^3] \propto U_{p/q}$$

- ▶ Chiral charge is preserved on S^3 or flat limit \mathbb{R}^3 .
as if it were a conventional symmetry. : fermion helicity conservation
c.f. [Harlow, Ooguri '18], [Choi, Lam, Shao '22]
- ▶ No instanton on $S^3 \times \mathbb{R}$, or flat limit \mathbb{R}^4 for abelian gauge group.

Symmetry action on general manifold

▶ $D_{p/q}[M_3] = U_{p/q}[M_3] \times A^{q,p}[M_3, f]$

▶ $M_3 = S^2 \times S^1: \mathcal{H}_{S^2 \times S^1} = \bigoplus_m \mathcal{H}_m, m = \int_{S^2} \frac{f}{2\pi}.$

$Z(A^{q,p})[S^2 \times S^1, m] = \begin{cases} 0 & m \neq 0 \pmod{q} \\ 1 & m = 0 \pmod{q} \end{cases} : \text{non-invertible.}$

▶ $D_{p/q}[S^1 \times S^2] = U_{2\pi p/q} \times P(m = 0 \pmod{q}).$

Chiral charge is preserved modulo m on \mathcal{H}_m .

▶ Magnetic catalysis.

▶ Symmetry action on arbitrary M_3

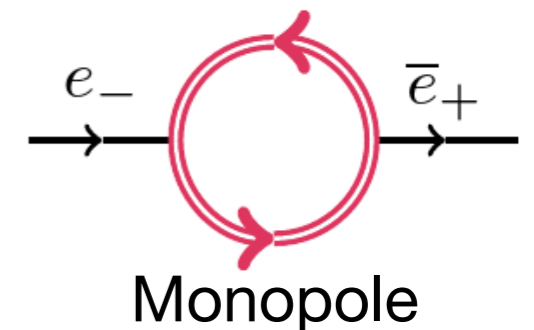
Breaking by monopole bubbles

- ▶ The non-invertible symmetry also acts on 't Hooft operator:

$$T(\gamma) \text{ D}_{plq}(S^3) = W_{plq}(S^3) \text{ U}_{plq}$$

- ▶ Dynamical monopole  Explicit chiral symmetry breaking.

- ▶ Such a term is suppressed by $e^{-\#MR} \sim e^{-S_{inst}(g_{UV})}$, where M : monopole mass, R : monopole core size.



Monopole loop effect = UV instanton effect.

- ▶ The same non-invertible symmetry in Maxwell-axion: SSB phase. Potential generated by monopole loops. [\[Fan, Fraser, Reece, Stout '18\]](#)

Summary

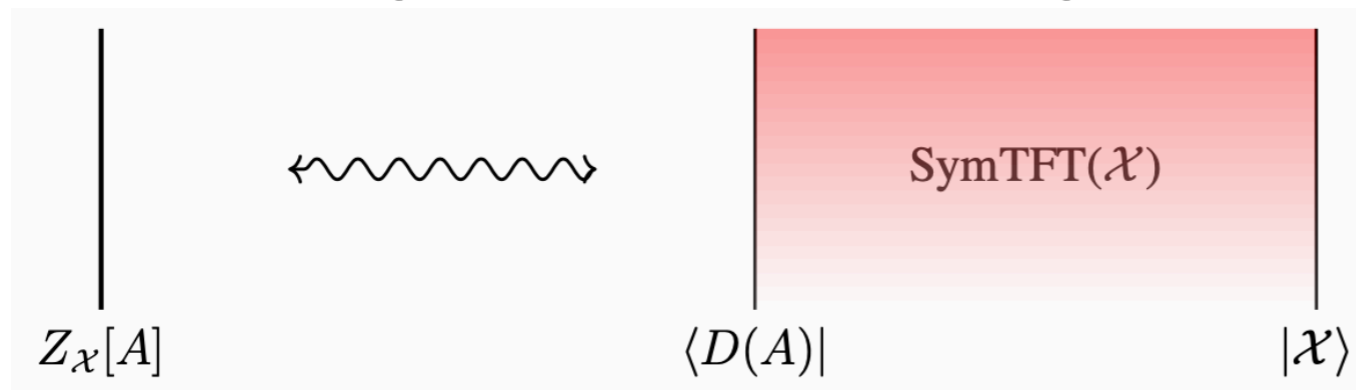
- ▶ Many examples of non-invertible symmetry in $d > 1 + 1$
- ▶ One of them: ABJ-anomalous chiral sym. in abelian gauge theory.
 - $\alpha = 2\pi p/q$
 - $D_{p/q}[\Sigma_3] = e^{i\frac{2\pi p}{q} \int_{\Sigma_3} j} \otimes$ (Fractional Hall State TQFT): topological
 - Symmetry action on general spacial manifold
 - Dynamical monopole can break the chiral symmetry
→ exponentially suppressed breaking.

Non-invertible symmetry provides
a renewed understanding of chiral symmetry!

Future directions

► Better formal understanding

- Symmetry TFT: TQFT_{d+1} governing finite symmetry in QFT_d :
“topological sector of holography”



[Witten '98]...

[Freed, Teleman '12]

[Gaiotto, Kulp '20]

[Apruzzi, Bonetti, García-Etxebarria,
Hosseini, Schafer-Nameki '21]

[talk by García-Etxebarria]

Construction for 3+1 d Kramers-Wannier duality symmetry

[Kaidi, KO, Zheng WIP]

► Concrete applications

[Choi, Lam, Shao '22]

- Phenomenology? New selection rule? **New naturalness?**

[Rudelius's talk]

- Swampland

[Rudelius Shao '20],

[Ben Heidenreich, McNamara, Montero, Reece, Rudelius '21],

[Arias-Tamargo, 'Rodriguez-Gomez '22]

Thank you for your attention!

Summary

- ▶ Many examples of non-invertible symmetry in $d > 1 + 1$
- ▶ One of them: ABJ-anomalous chiral sym. in abelian gauge theory.
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