

Tests of lepton flavour universality with (semi-)leptonic decays of charmed mesons

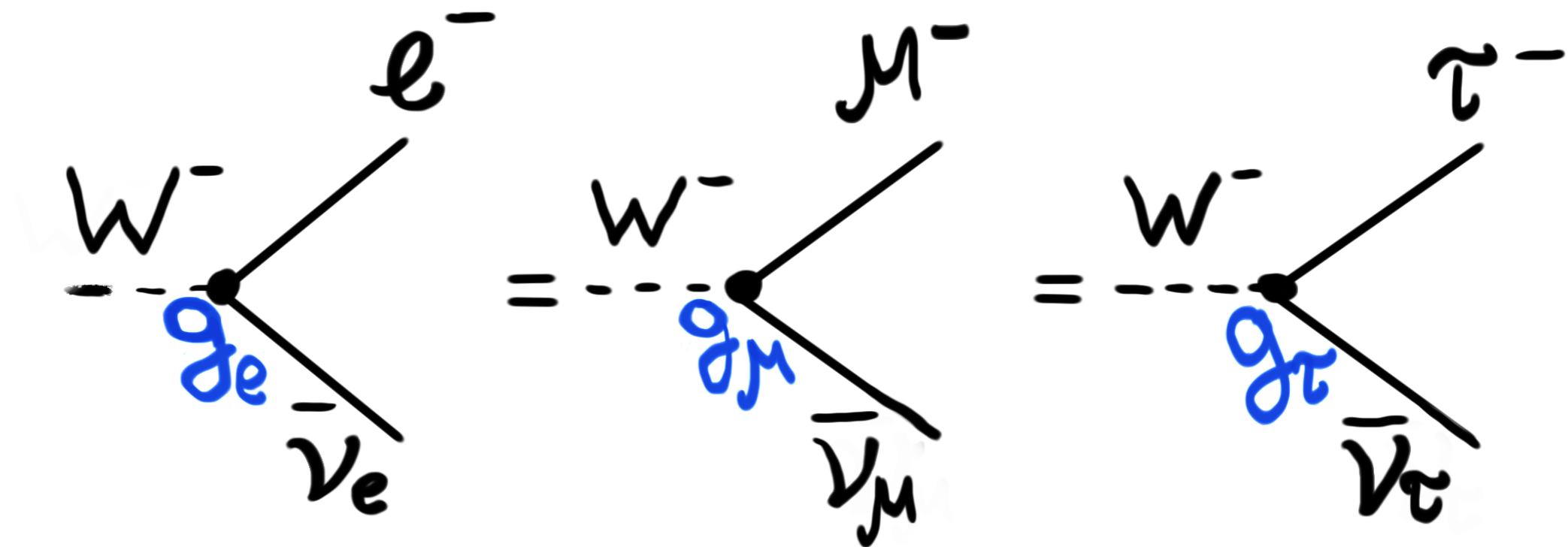
Evelina Gersabeck on behalf of the BESIII collaboration

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8th International Symposium on
Symmetries in Subatomic Physics
(SSP2022)

Testing the lepton flavour universality



Intrinsic to the SM

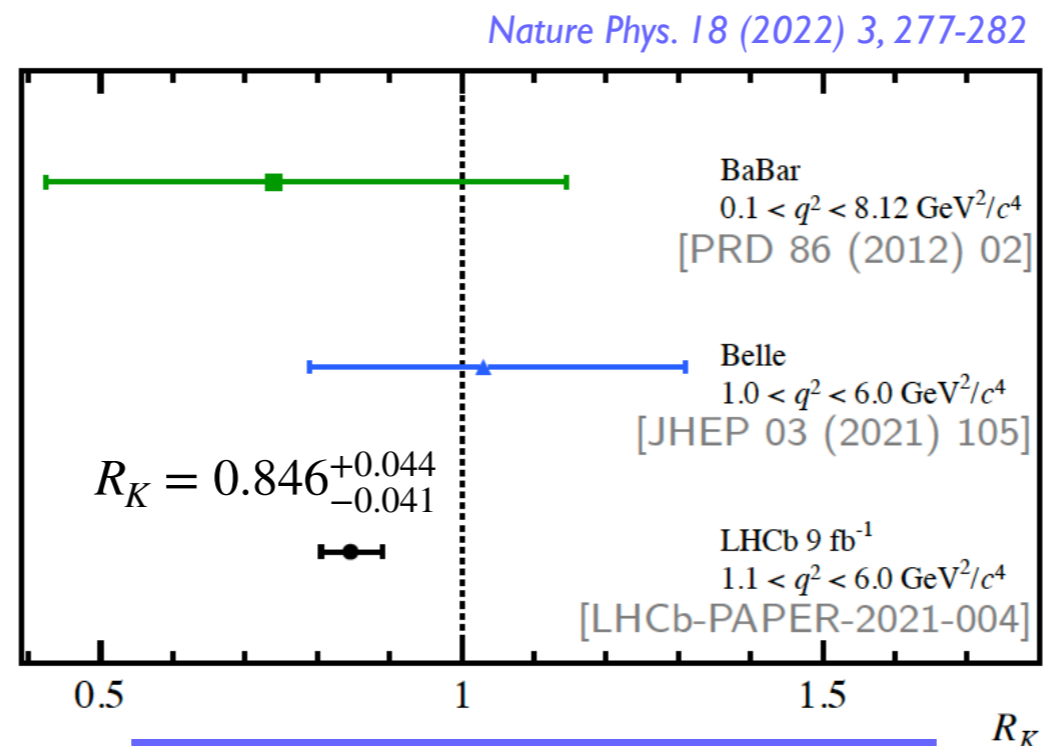
The only difference here should be the masses of the leptons

LFU anomalies in B meson decays

- Theoretically very clean $R_K = 1 + \mathcal{O}(10^{-2})$
- Experimentally very clean:
 - Measured as a double ratio using $B^+ \rightarrow K^+ J/\psi(\rightarrow \ell^+ \ell^-)$ decays

$$R_K = \frac{\mathcal{B}(B^+ \rightarrow K^+ \mu^+ \mu^-)}{\mathcal{B}(B^+ \rightarrow K^+ e^+ e^-)} \bigg/ \frac{\mathcal{B}(B^+ \rightarrow K^+ J/\psi(\rightarrow (\mu^+ \mu^-)))}{\mathcal{B}(B^+ \rightarrow K^+ J/\psi(\rightarrow (e^+ e^-)))}$$

- Observation of non-LFU would be a clear sign of new physics

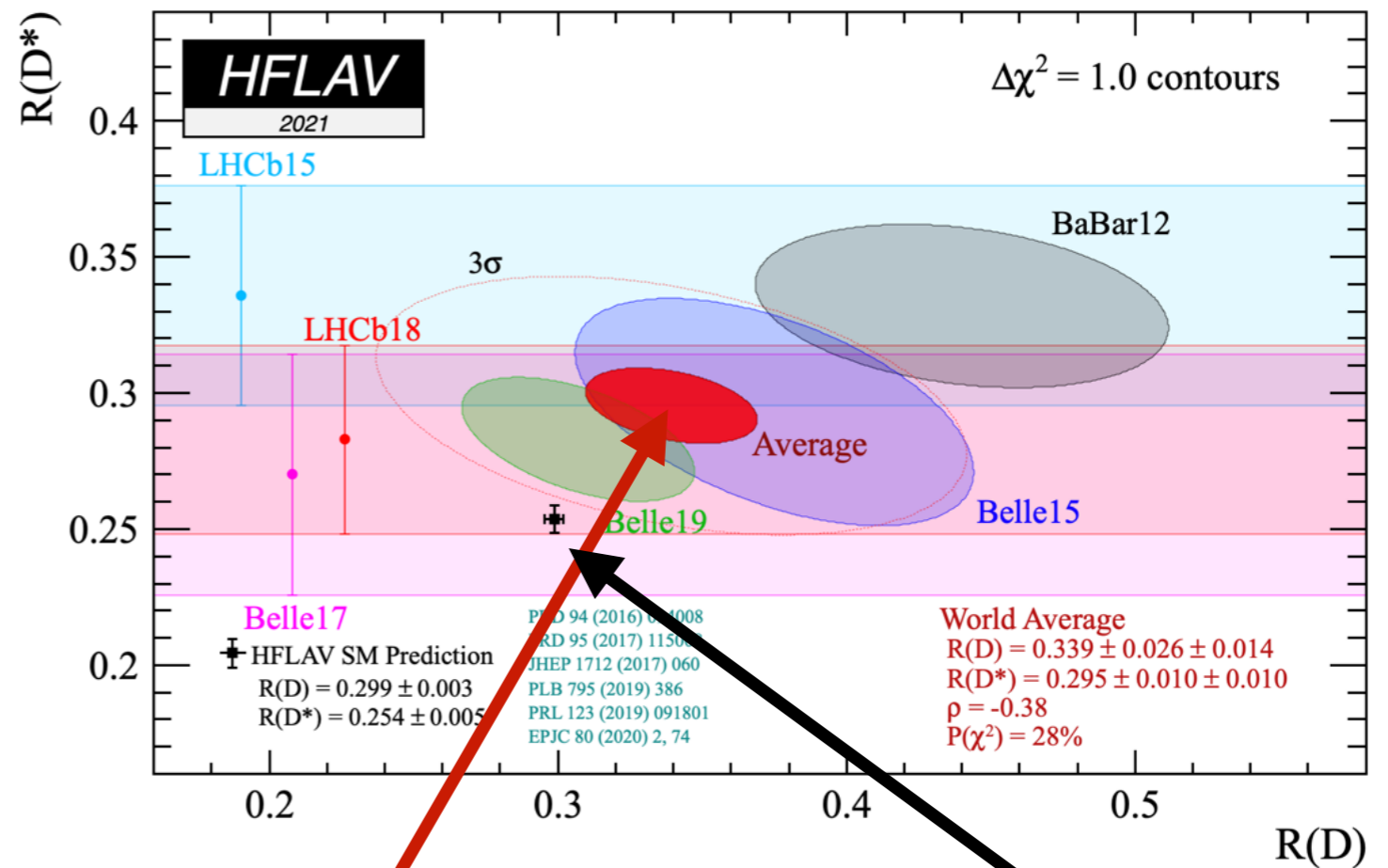
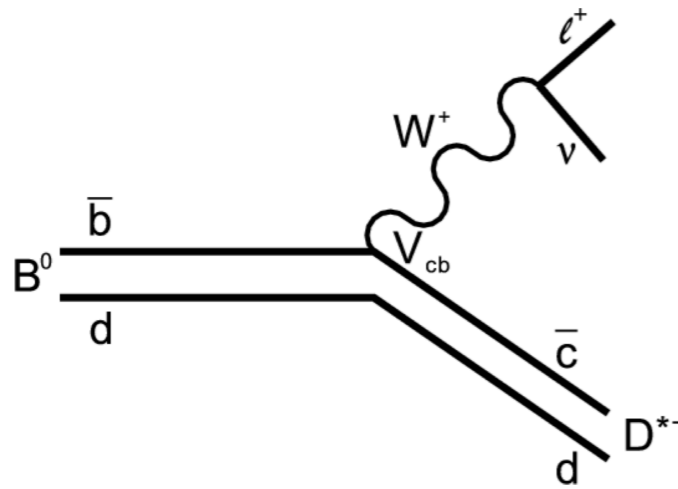


~3.1 σ away from the SM prediction

Lepton Universality in $b \rightarrow c l \nu$ transitions

Measure the ratio $R_{D^{(*)}} = \frac{\mathcal{B}(B^0 \rightarrow D^{(*)} \tau \nu_\tau)}{\mathcal{B}(B^0 \rightarrow D^{(*)} \mu \nu_\mu)}$ with $\tau \rightarrow \mu \nu_\mu \bar{\nu}_\tau$
 $\tau \rightarrow \pi \pi \pi (\pi^0) \bar{\nu}_\tau$

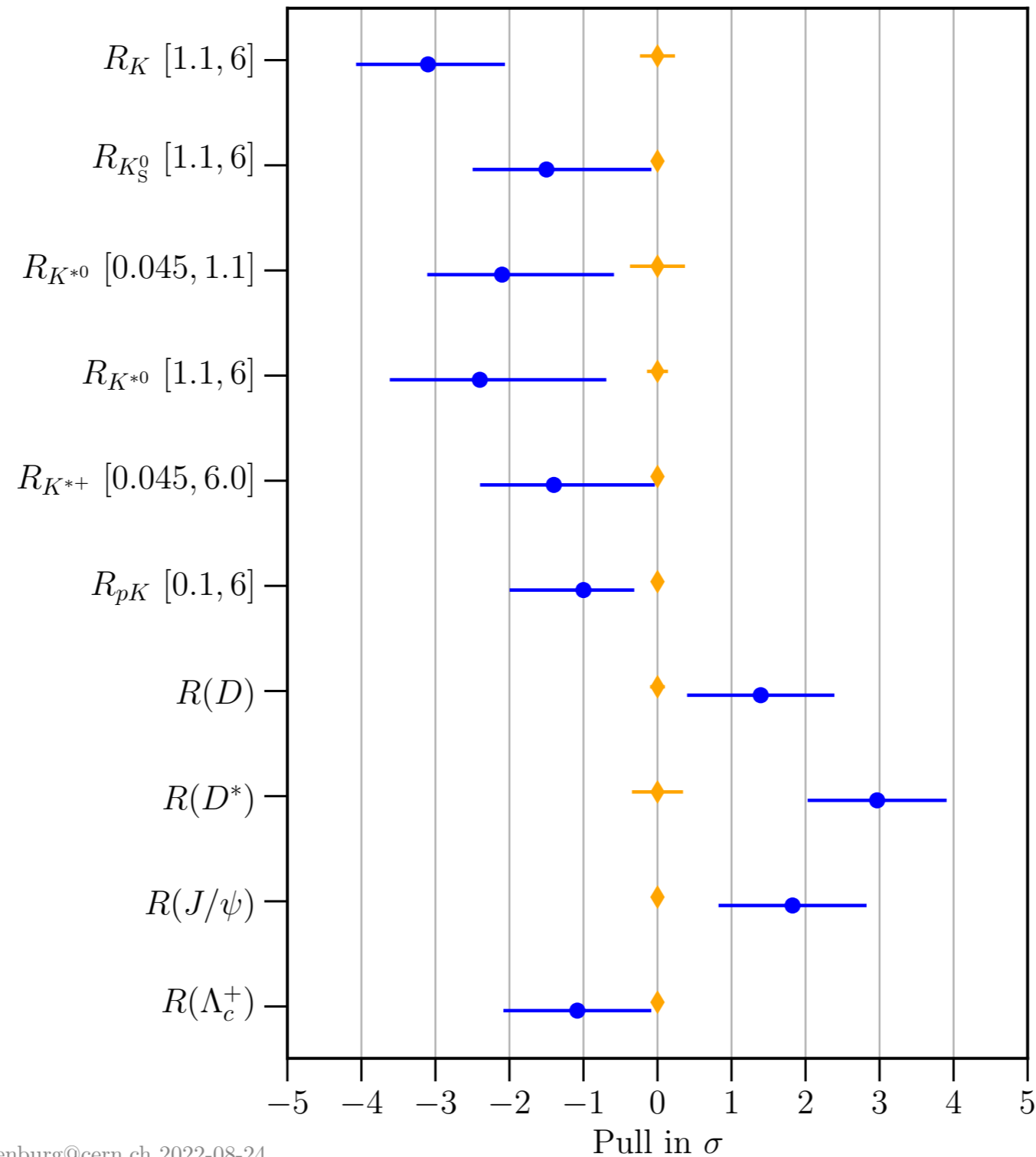
Tree level decays



Combination ~ 3.3 standard deviations away from SM prediction

LFU tests and tensions

Image credit Patrick Koppenburg
<https://www.nikhef.nl/~pkoppenb/anomalies.html>



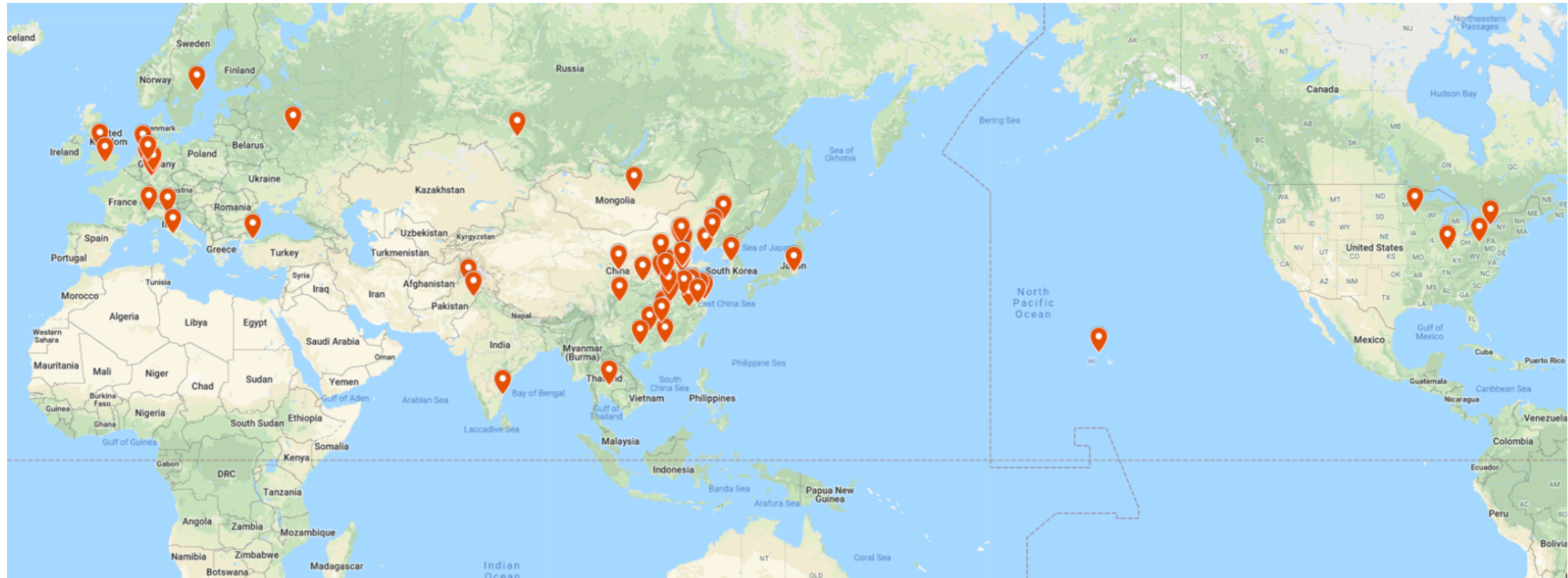
What about LFU in charm meson decays?

Precision tests of LFU in charm decays will be essential in understanding the nature of these anomalies

What about LFU in charm meson decays?

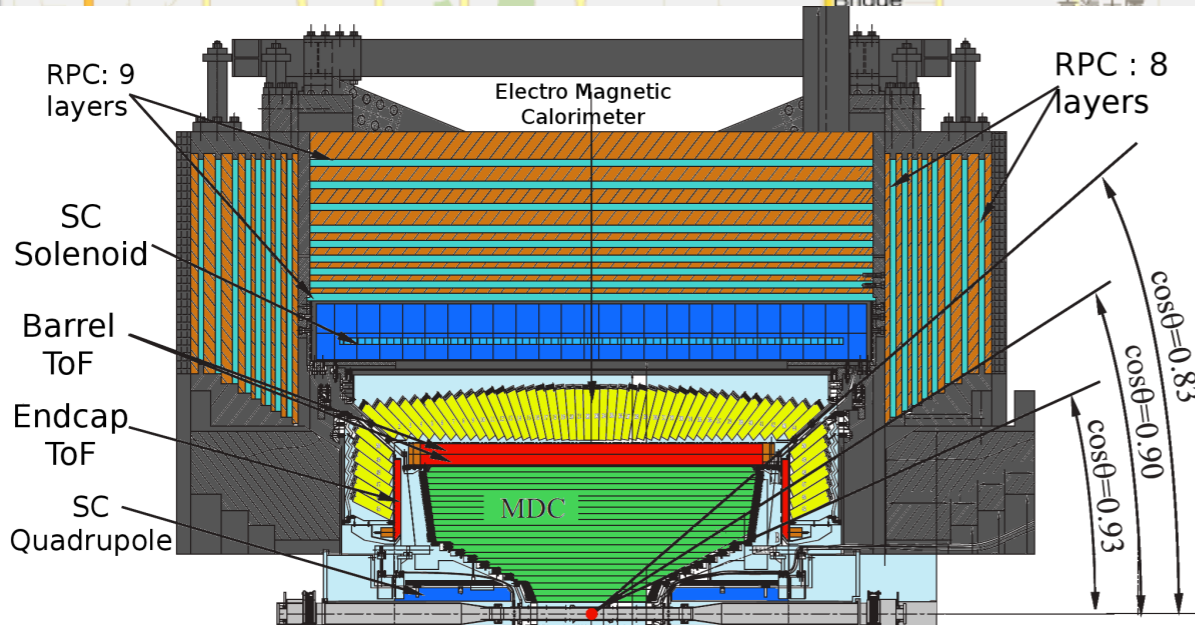
We can probe LFU in charm meson decays at the **BESIII** experiment

The BESIII collaboration



About 500 members from 72 institutions in 15 countries.

Experiment downtown Beijing

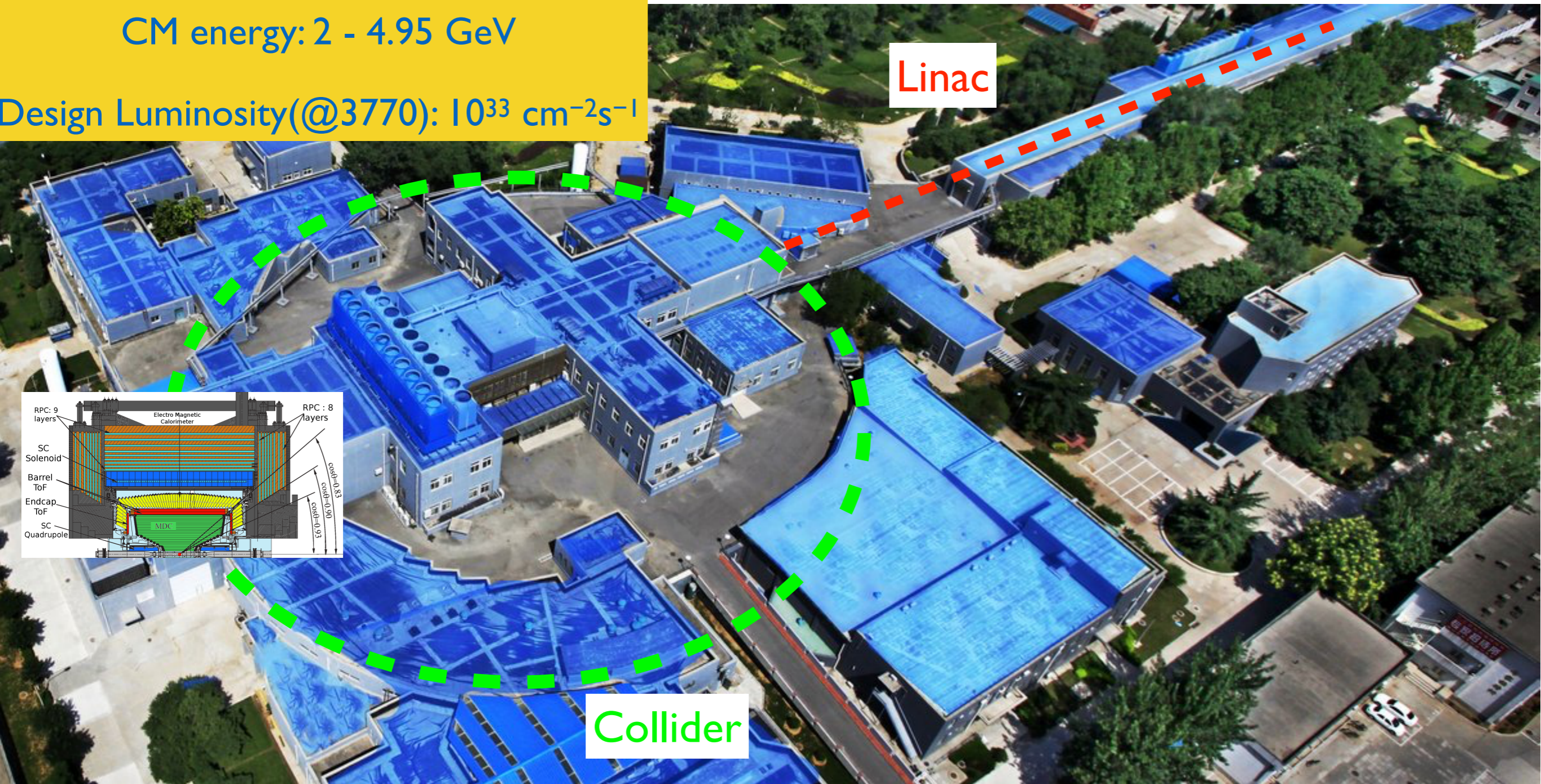


BEPCII @ IHEP (Beijing, PRC)

e^+e^- central collider

CM energy: 2 - 4.95 GeV

Design Luminosity(@3770): $10^{33} \text{ cm}^{-2}\text{s}^{-1}$

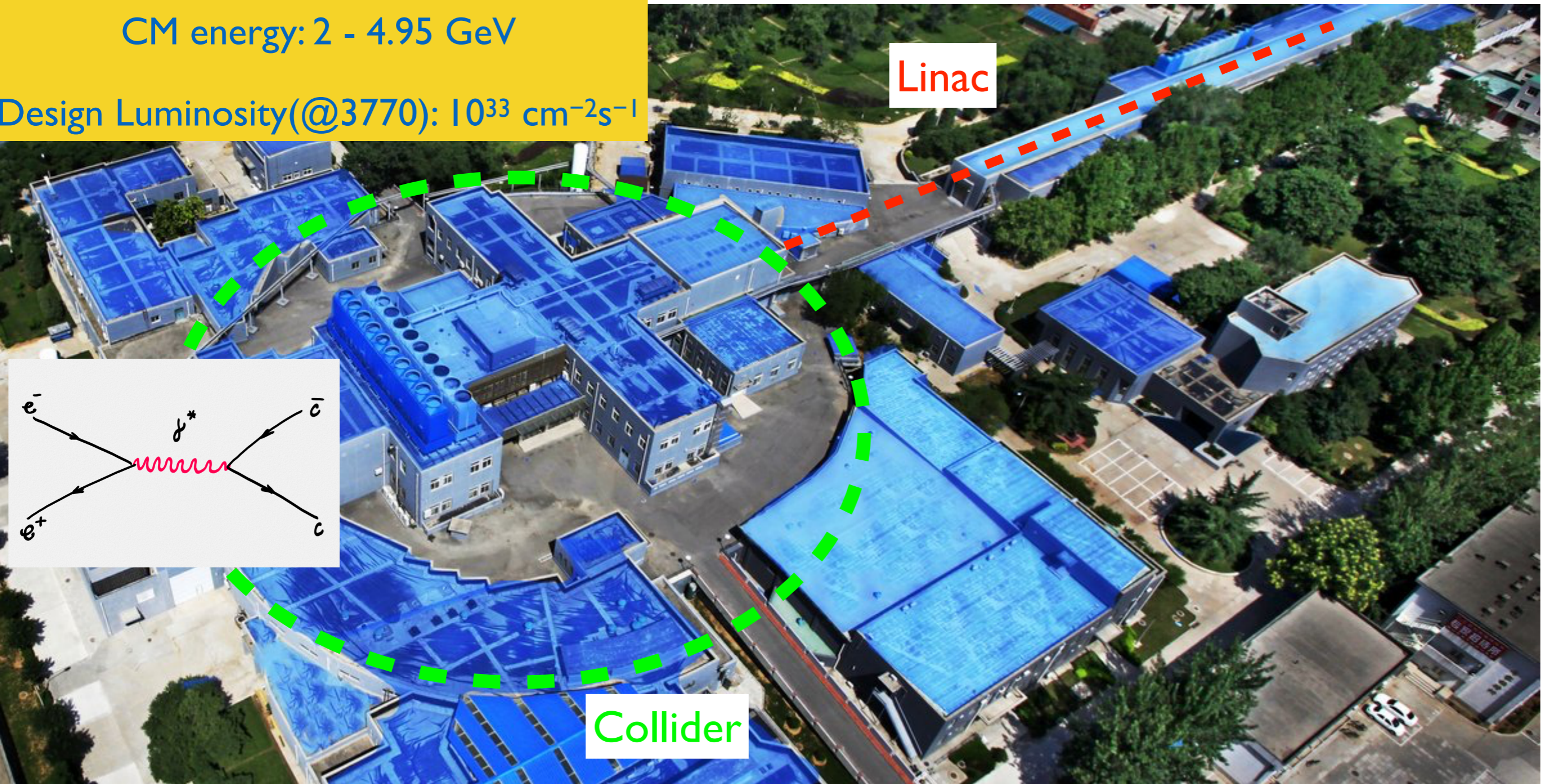


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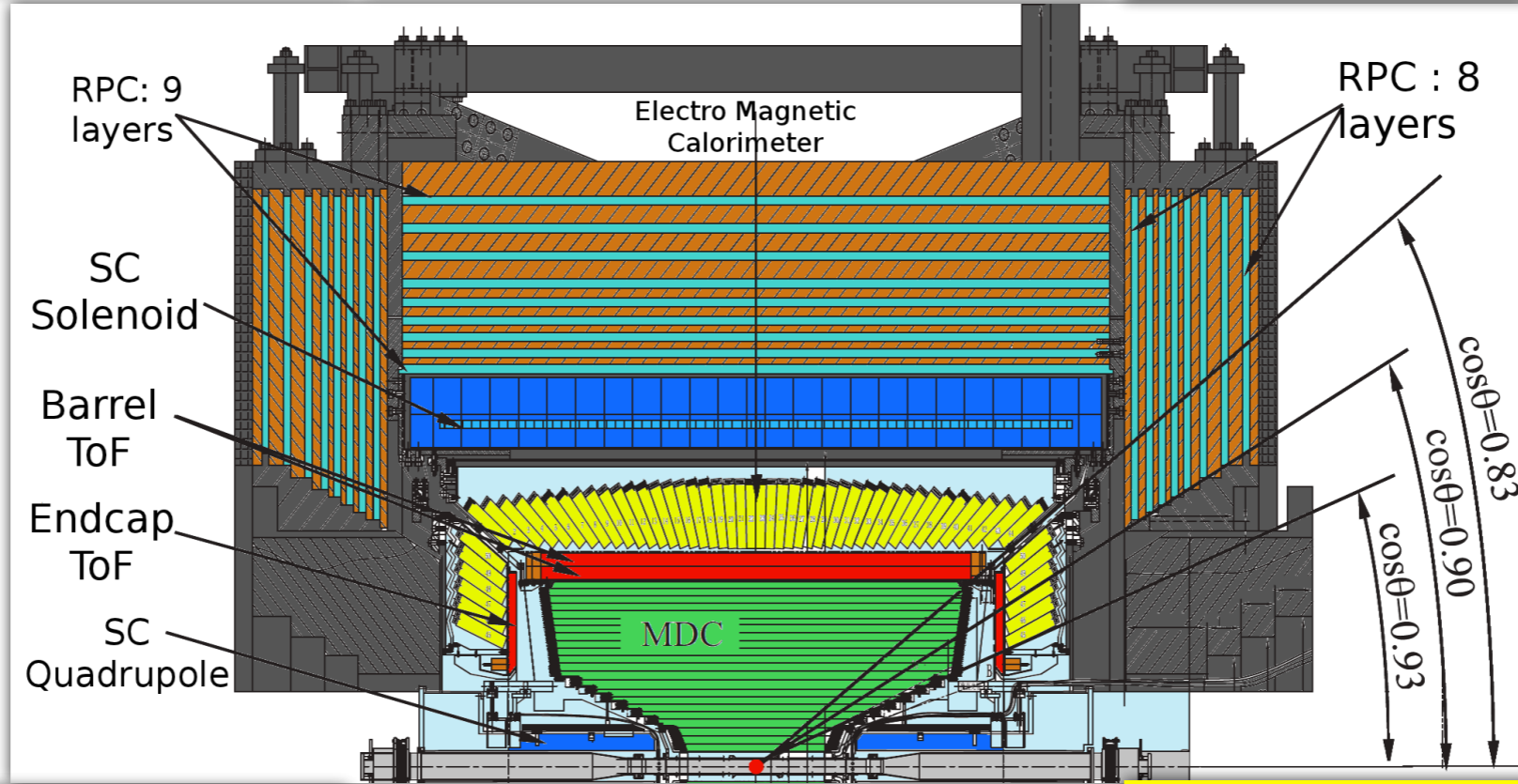
Design Luminosity(@3770): $10^{33} \text{ cm}^{-2}\text{s}^{-1}$



PID: Time Of Flight
2 layer plastic scintillators
time resolution σ_t (barrel) ~ 90 ps
 σ_t (endcap) ~ 110 ps, (65 ps after the 2015 upgrade)

Superconducting magnet | T

Muon ID: Muon counters
9 layers of RPC
Spatial resolution $\delta_{r\phi} = 1.4$ cm - 1.7 cm

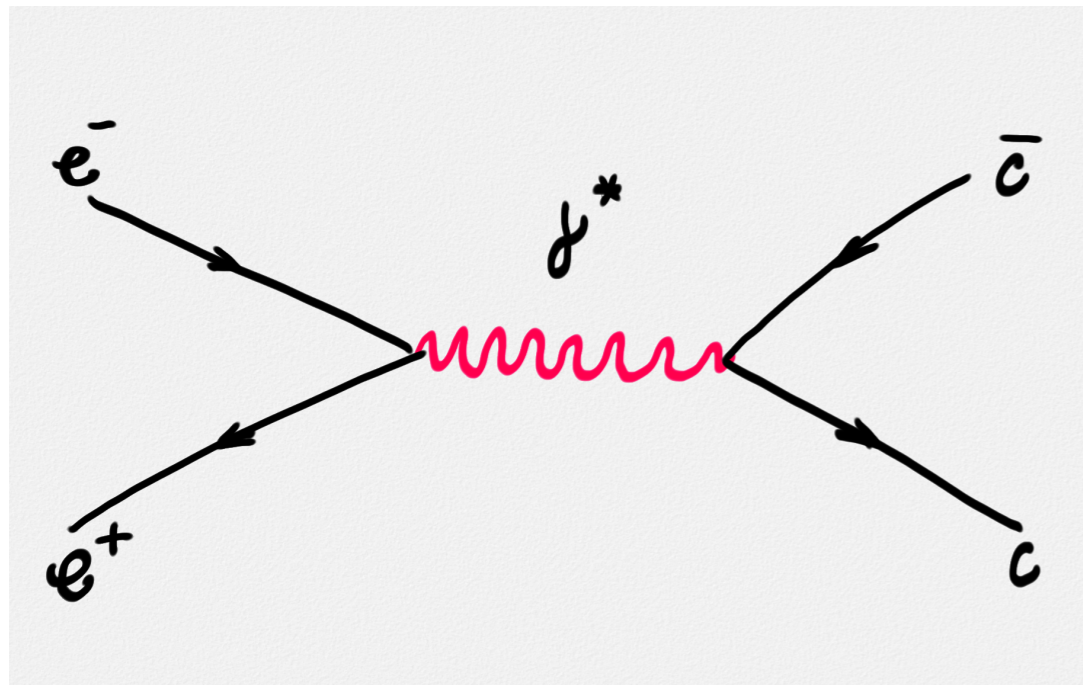


Main Drift Chamber
gas: He/ C₃H₈ 60/40
43 layers
spatial resolution σ_x (1 GeV/c) ~ 130 μ m
momentum resolution dp/p (1 GeV/c) = 0.5%

hermetic
barrel $|\cos\theta| < 0.83$
endcap $0.85 < |\cos\theta| < 0.93$

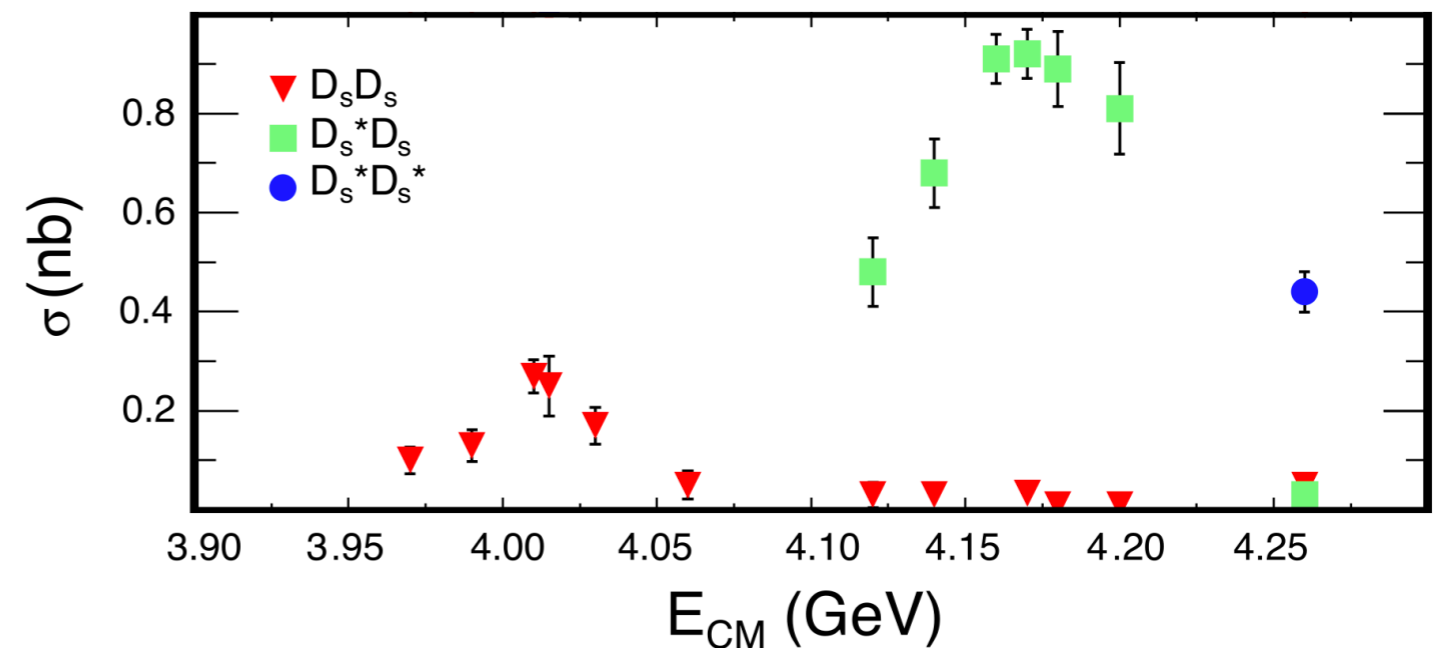
Electromagnetic Calorimeter
CsI (TI) scintillating crystals 6240
energy resolution dE/\sqrt{E} (1 GeV) = 2.5%
Spatial resolution σ_x (1 GeV) = 6 mm

Charm meson production



- $D\bar{D}$ pairs @ 3.770 GeV
- Can produce $D_s^+D_s^-$ @ threshold but
- $D_s^+D_s^{*-}$ ($\rightarrow D_s^-\gamma$ or $D_s^-\pi^0$) has a higher cross section @ 4.160 GeV

CLEO Phys. Rev. D 80, 072001 (2009)



Tagging method for charm@threshold

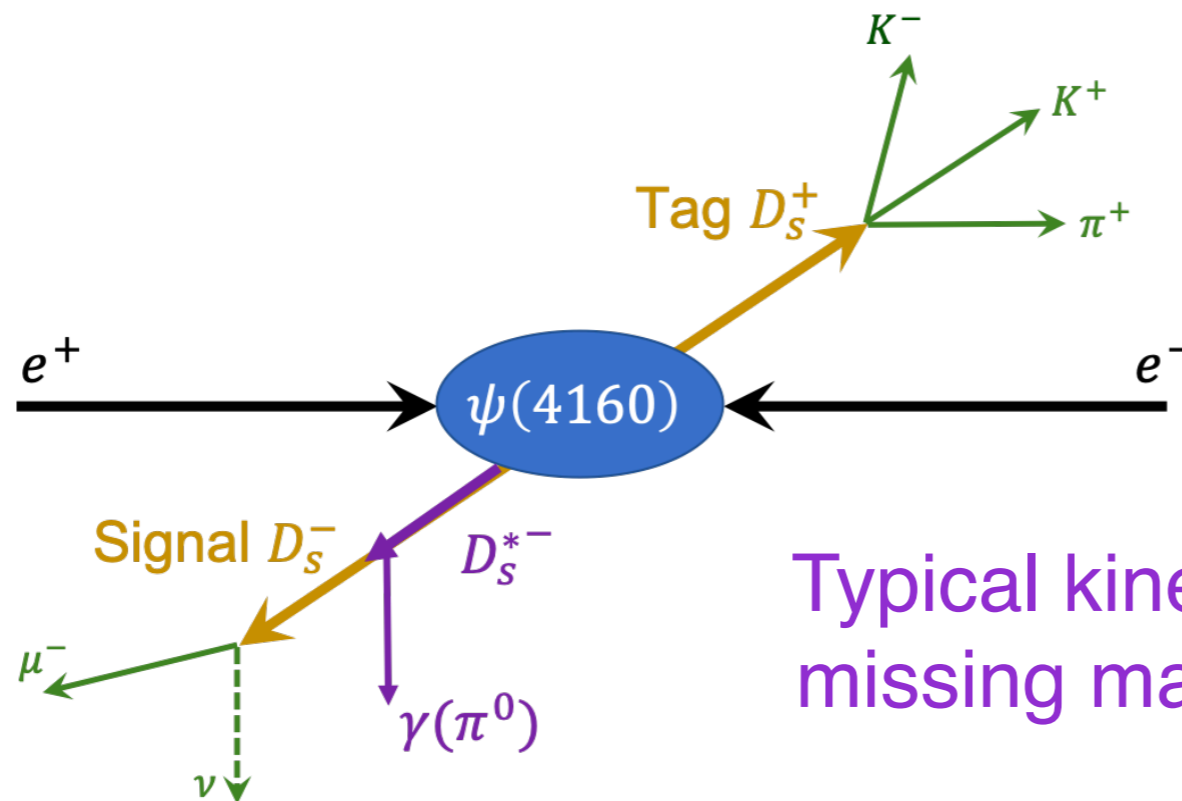
- **Single tag:** fully reconstruct the signal $D_{(s)}$: typically a clean mode
- **Double tag:**
 - Fully reconstruct the tag $D_{(s)}$ taking advantage of kinematic constraints
 - Search for the signal mode in the recoil system

@ threshold = full kinematic constraint of the decays

Excellent for neutral and invisible particles

Tagging method for charm@threshold

- **Single tag:** fully reconstruct the signal $D_{(s)}$: typically a clean mode
- **Double tag:**
 - Fully reconstruct the tag $D_{(s)}$ taking advantage of kinematic constraints
 - Search for the signal mode in the recoil system



Typical kinematic variables we use are the missing mass, M_{miss} , or $U_{\text{miss}} = E_{\text{miss}} - \rho_{\text{miss}}$

Absolute BF measurement at BESIII

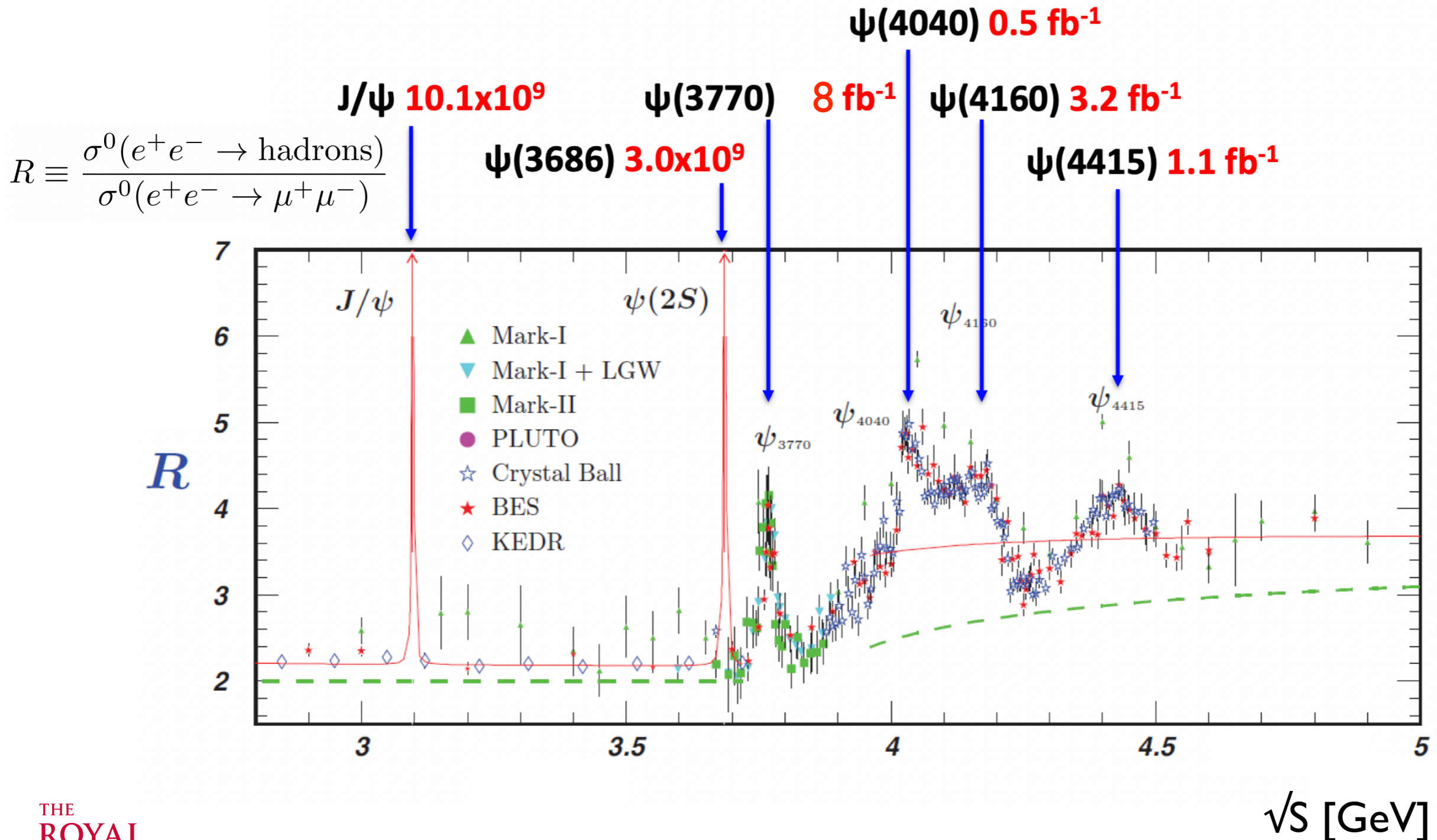
- Possible to measure absolute Branching Fraction

$$\mathcal{B}(D \rightarrow (X)\ell\nu_\ell) = \frac{N_{DT}}{\sum_i N_{tag}^i \cdot (\epsilon_{DT}^i / \epsilon_{tag}^i)}$$

- No need to know the total number of $D\bar{D}$ pairs
- A lot of systematic uncertainties cancel

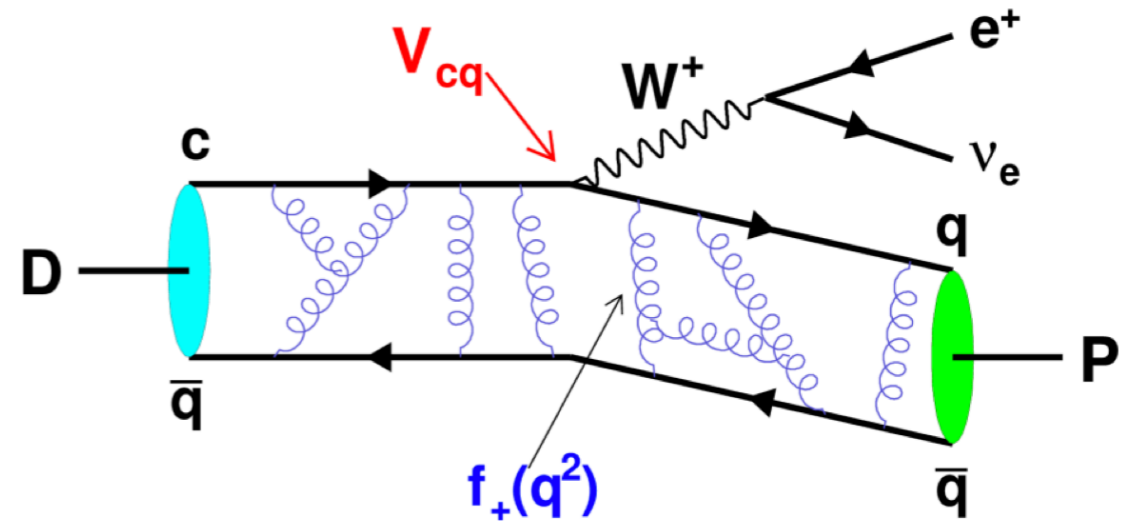
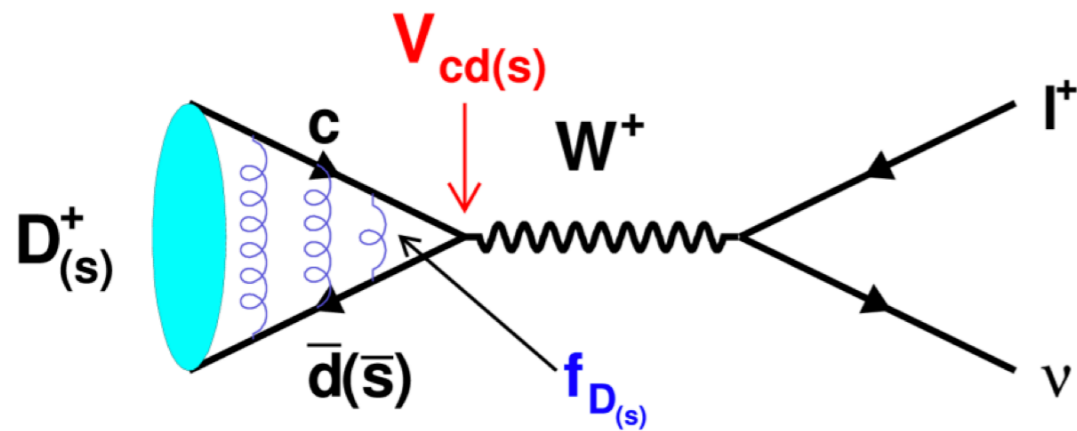
Collected datasets

Optimised for flavour physics in the tau-charm region



Leptonic and semi-leptonic charm meson decays

Leptonic and semileptonic charm decays



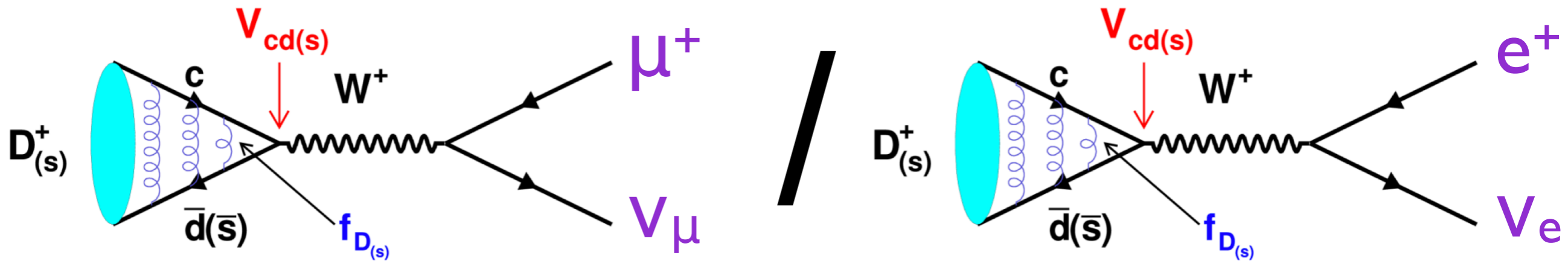
$$\Gamma(D_{(s)}^+ \rightarrow \ell \nu_{\ell}^+) = \frac{G_F^2 |V_{cs(d)}|^2}{8\pi} M_{D_{(s)}^+} \left(1 - \frac{m_{\ell}^2}{M_{D_{(s)}^+}^2}\right)^2 m_{\ell}^2 f_{D_{(s)}^+}^2$$

$$\frac{\Delta\Gamma(D \rightarrow P \ell^+ \nu_{\ell})}{dq^2} = \frac{G_F^2 |V_{cq}|^2}{24\pi^3} |f_+^2(q^2)|^2 p^3$$

- Test CKM unitarity
- Test LFU
- Compare decay constants and form-factors to theory predictions

Lepton universality tests

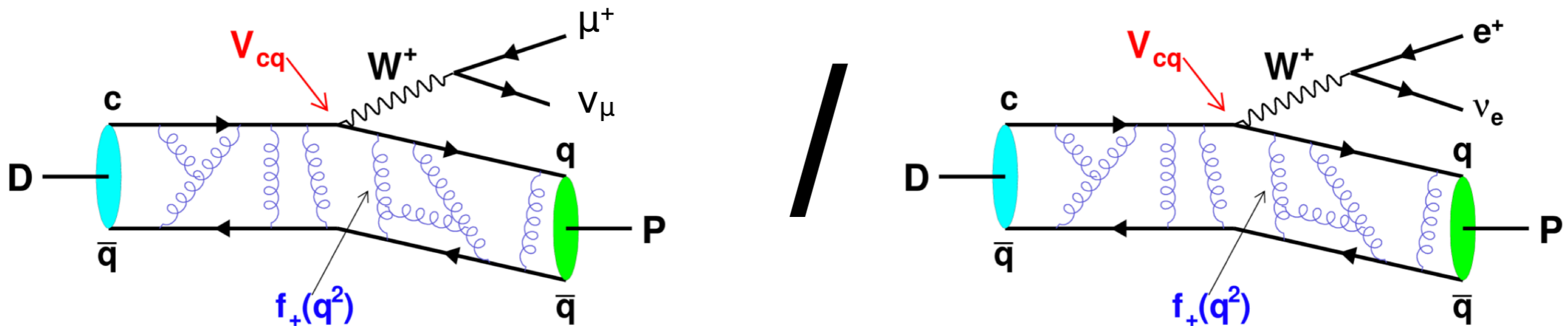
- Essential to understand the nature of the anomalies **Svjetlana Fajfer, Ivan Nišandžić, and Urša Rojec Phys. Rev. D 91, 094009*
- Standard Model Ratios of pure leptonic decays require no input from theory



$$R = \left(1 - \frac{m_\mu^2}{M_{D_{(s)}^+}^2}\right)^2 m_\mu^2 / \left(1 - \frac{m_e^2}{M_{D_{(s)}^+}^2}\right)^2 m_e^2$$

Lepton universality tests

- Essential to understand the nature of the anomalies **Svjetlana Fajfer, Ivan Nišandžić, and Urša Rojec Phys. Rev. D 91, 094009*
- SM Ratios of semileptonic decays are $\mathcal{O}(1)$, but require form factor-dependent phase-space corrections



LFU results with charm meson decays

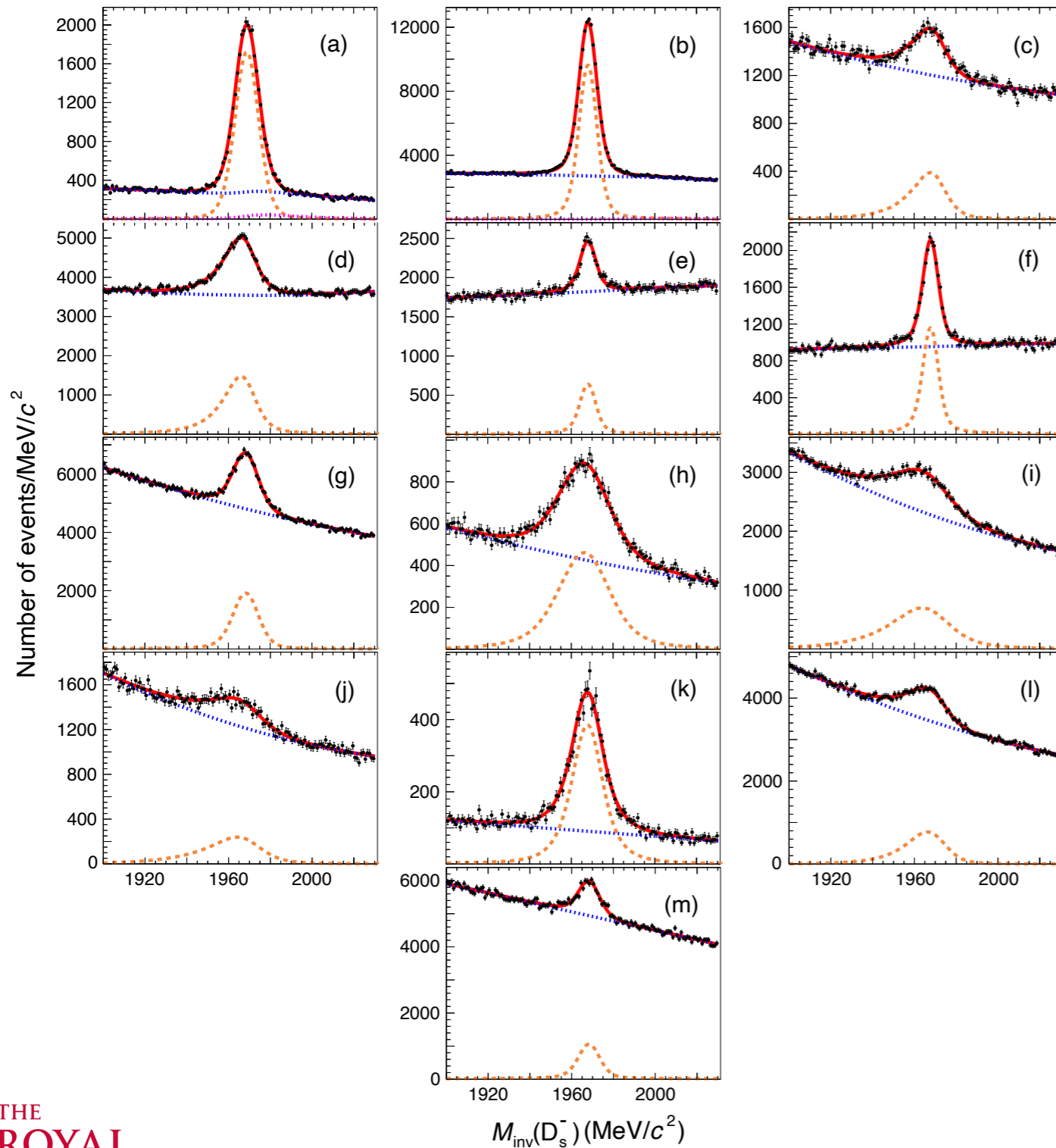
$D_s^+ \rightarrow \ell^+ \nu$ decays

PRD 104(2021)052009

- Using **BESIII** data @ $E_{\text{CM}} = 4.178 - 4.226$ GeV (6 energy points)
- Double tag with 13 D_s^+ tag modes
- Allow 1 charged track in addition to tag
- Event is fully reconstructed including γ from D_s^*
- Separate π^+ / μ^+ sample by energy deposition
- τ identified through $\pi^+ \nu$ decay

The tag modes for $D_s^+ \rightarrow \ell^+ \nu$ decays

PRD 104(2021)052009

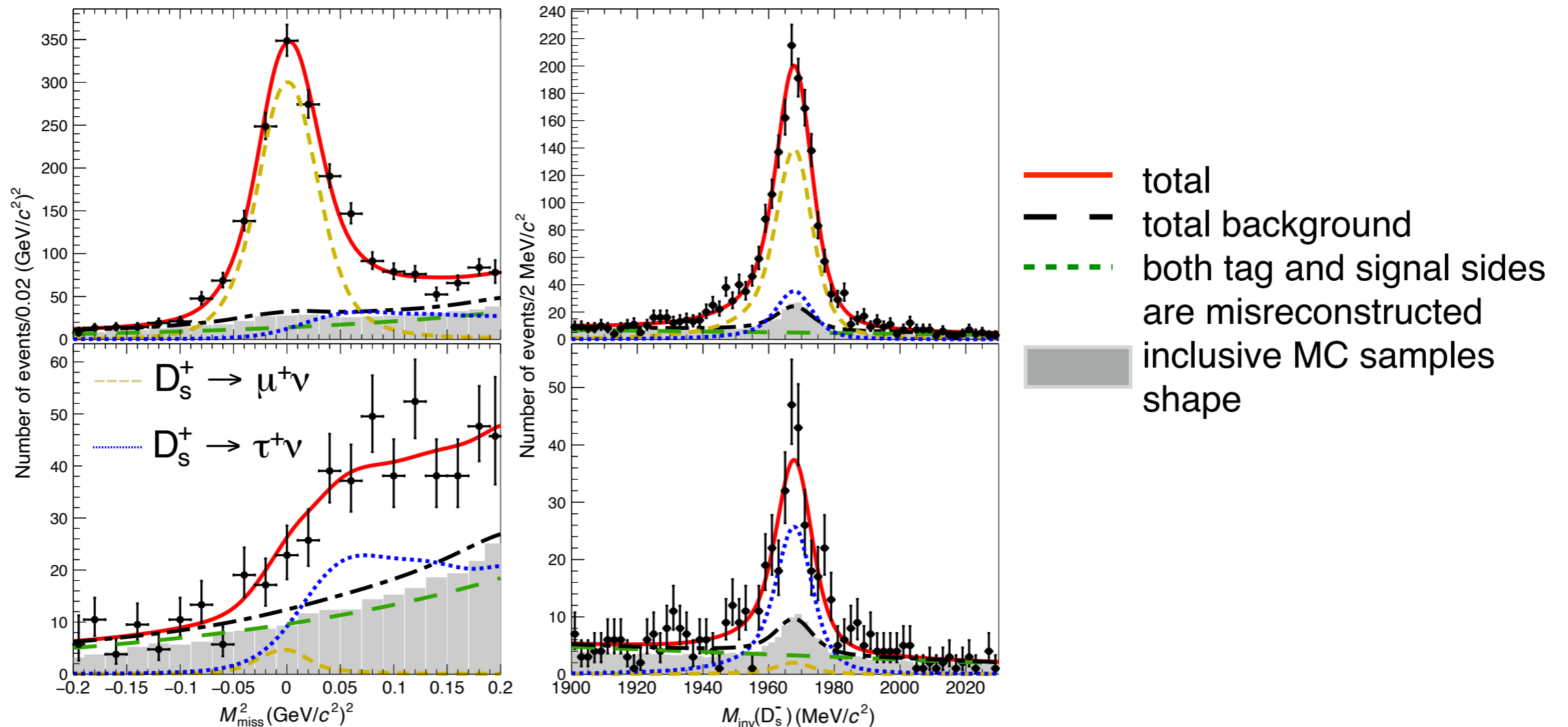


- (a) $K_S^0 K^-$,
- (b) $K^- K^+ \pi^-$,
- (c) $K_S^0 K^- \pi^0$,
- (d) $K^- K^+ \pi^- \pi^0$,
- (e) $K_S^0 K^- \pi^+ \pi^-$,
- (f) $K_S^0 K^+ \pi^- \pi^-$,
- (g) $\pi^- \pi^+ \pi^-$,
- (h) $\pi^- \eta$,
- (i) $\rho^- \eta$,
- (j) $\rho^- \eta_{3\pi}$,
- (k) $\pi^- \eta'$,
- (l) $\pi^- \eta'$,
- (m) $K^- \pi^+ \pi^-$.

LFU results for the $D_s^+ \rightarrow \ell^+ \nu$ decays

Simultaneous un-binned maximum likelihood fit to the two-dimensional distributions of the tag-side invariant mass M_{inv} versus M_{miss}^2 for the six E_{CM} samples.

PRD 104(2021)052009

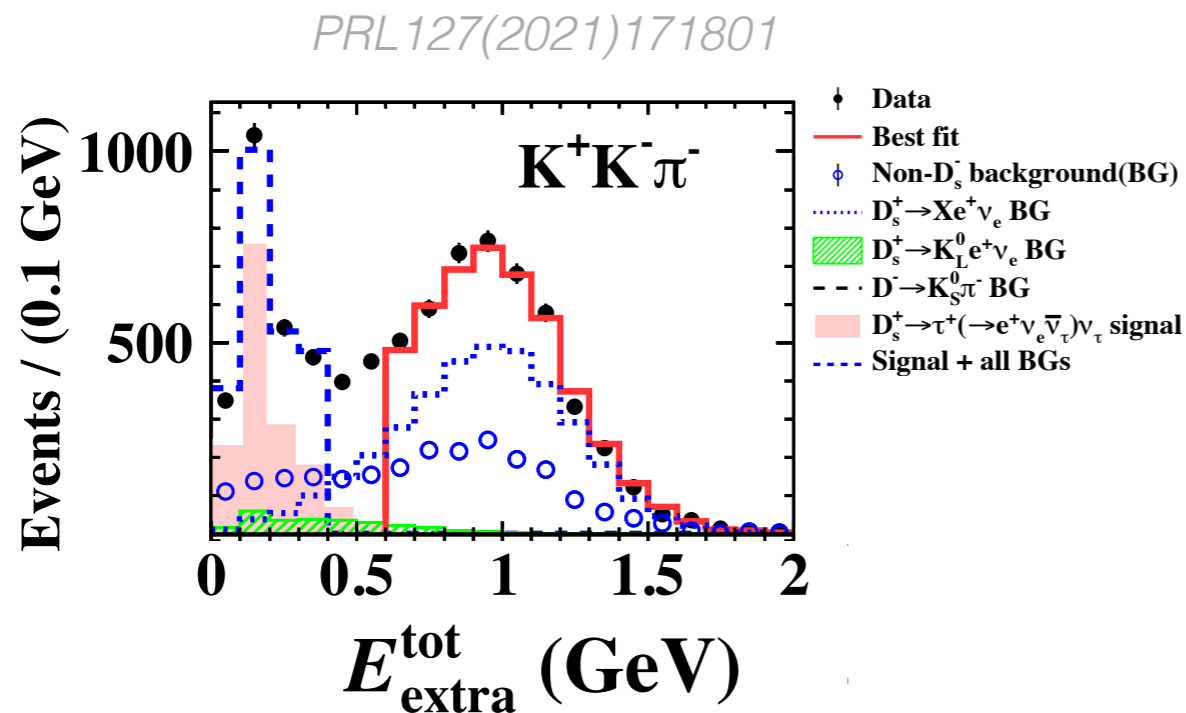


$$R = \frac{\Gamma(D_s^+ \rightarrow \tau^+ \nu_\tau)}{\Gamma(D_s^+ \rightarrow \mu^+ \nu_\mu)} = 9.73_{-0.58}^{+0.61} \pm 0.36$$

SM prediction of 9.75

With $D_s^+ \rightarrow \tau^+ \nu_\tau$ decays, $\tau^+ \rightarrow e^+ \nu_e \bar{\nu}_\tau$

- Using data @ $E_{CM} = 4.178 - 4.226$ GeV
- Double tag with 11 D_s^+ tag modes
- Event is fully reconstructed EXCEPT γ/π^0 from D_s^* decay
- Yields determined from fits to sum of extra energy in the calorimeter



$$\mathcal{B}(D_s^+ \rightarrow \tau^+ \nu_\tau) = (5.21 \pm 0.10 \pm 0.12) \%$$

Combined with WA results:

$$R = \frac{\Gamma(D_s^+ \rightarrow \tau^+ \nu_\tau)}{\Gamma(D_s^+ \rightarrow \mu^+ \nu_\mu)} = 9.72 \pm 0.37$$

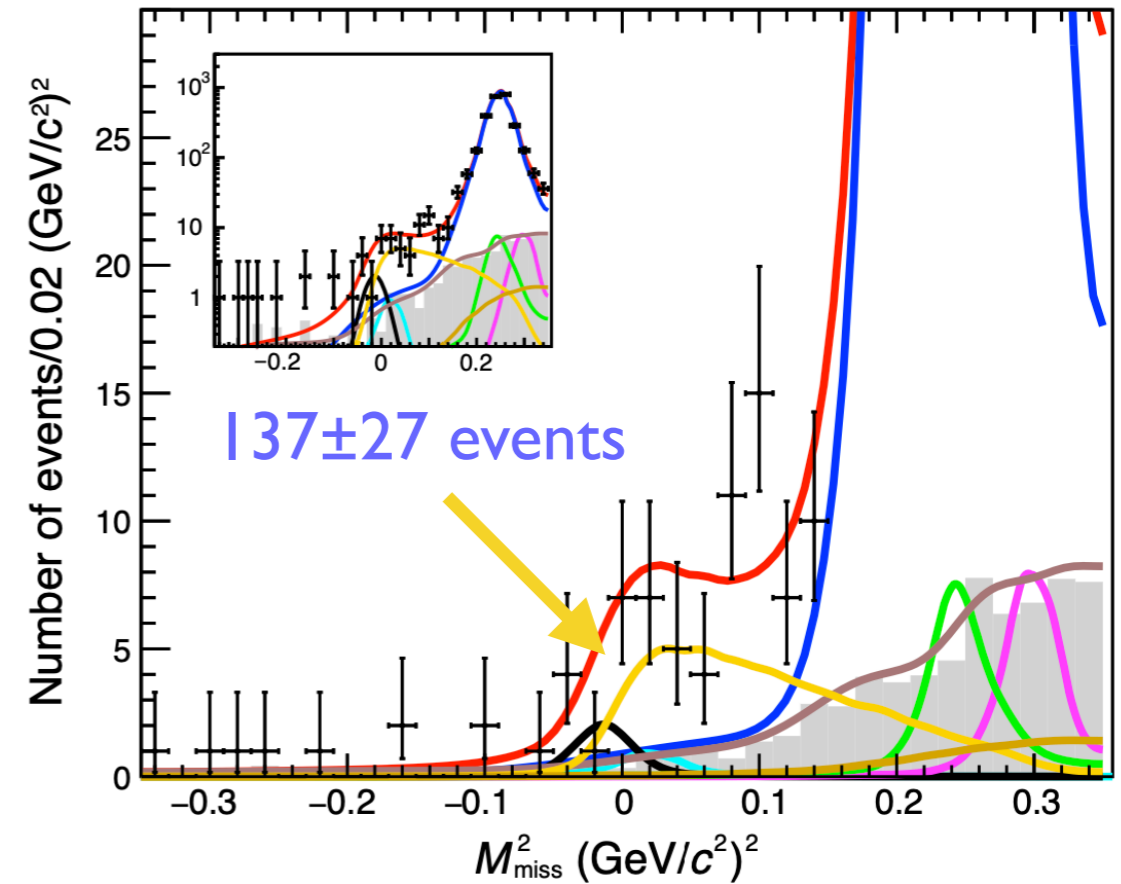
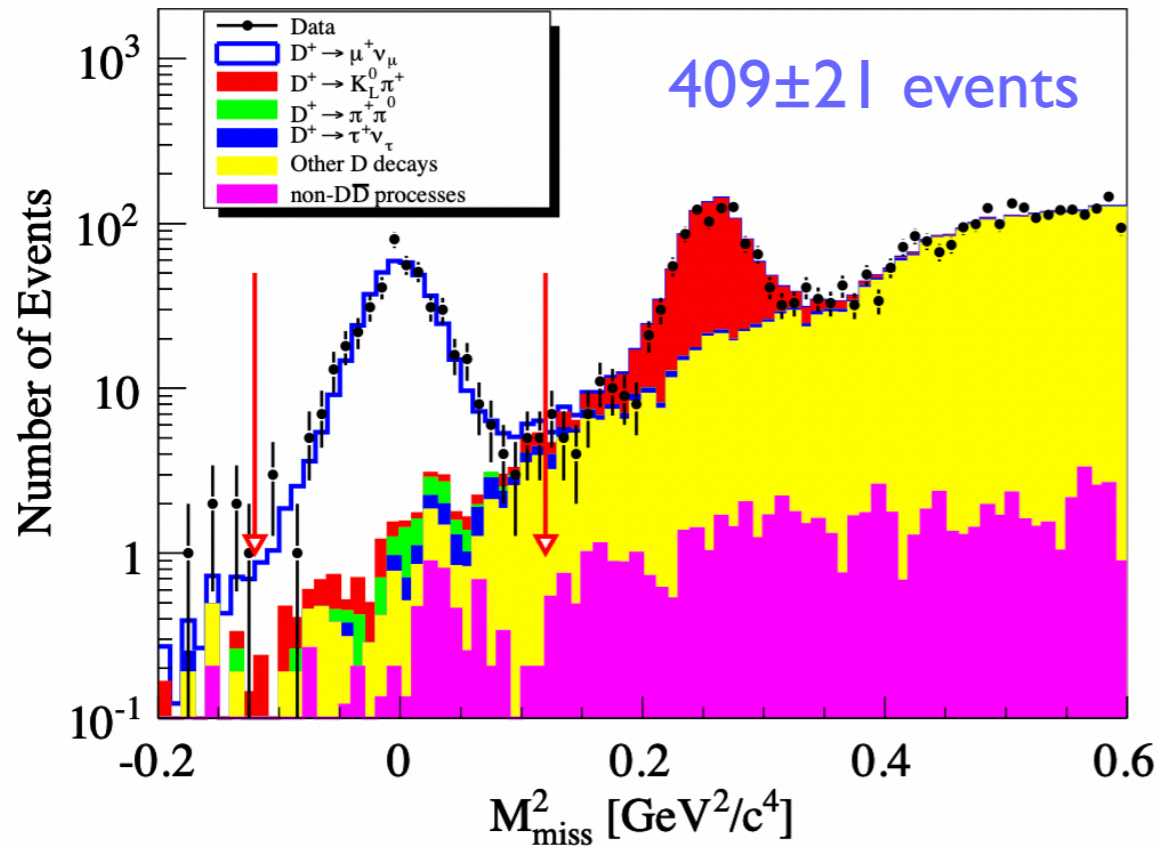
SM prediction of 9.75

$D^+ \rightarrow \ell^+ \nu$ decays

PRD89(2014)051104

2.93 fb⁻¹ @ 3.773 GeV

Phys. Rev. Lett. 123, 211802 (2019)



First observation with $> 5.1 \sigma$ significance

$$\mathcal{B}(D^+ \rightarrow \mu^+ \nu_\mu) = (3.71 \pm 0.19 \pm 0.06) \times 10^{-4}$$

$$\mathcal{B}(D^+ \rightarrow \tau^+ \nu_\tau) = (1.20 \pm 0.24 \pm 0.12) \times 10^{-3}$$

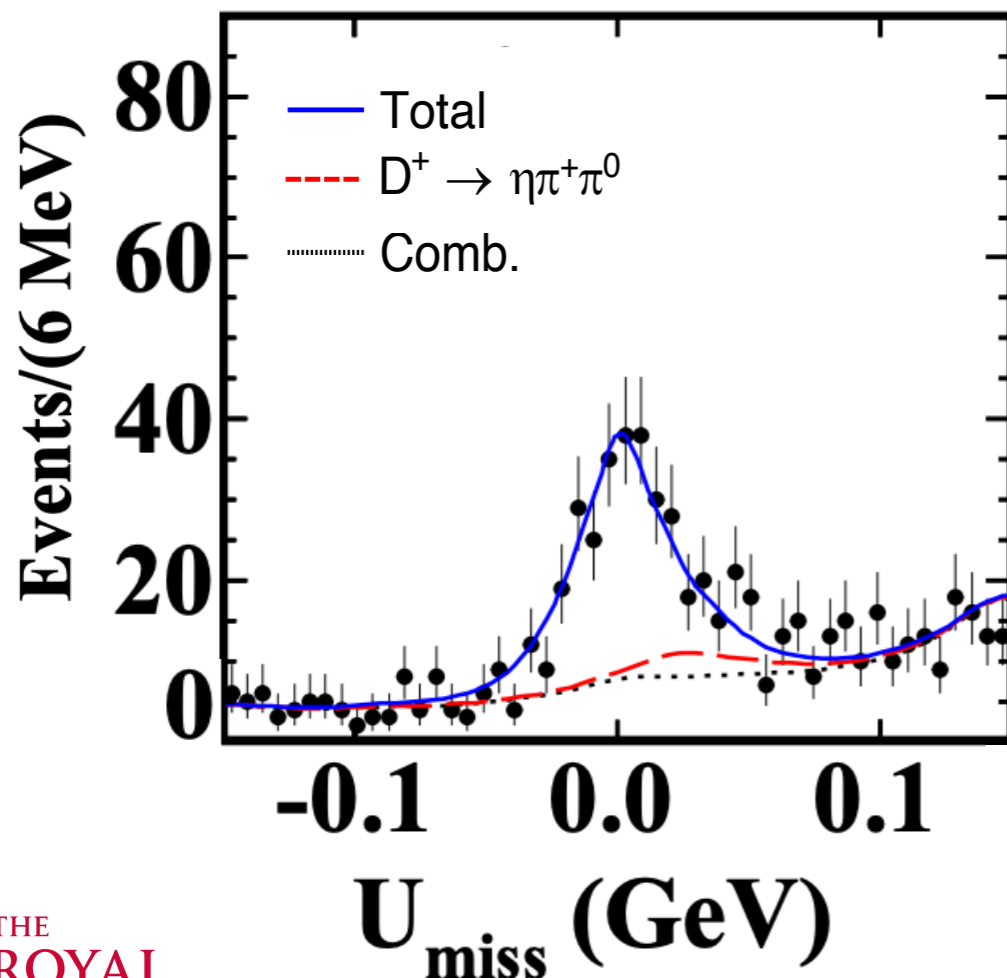
$$R = \frac{\mathcal{B}(D^+ \rightarrow \tau^+ \nu_\tau)}{\mathcal{B}(D^+ \rightarrow \mu^+ \nu_\mu)} = 3.21 \pm 0.64$$

SM expectation 2.66

$D^+ \rightarrow \eta \mu^+ \nu$ decays

- Using BESIII data @ $E_{\text{CM}} = 3.773 \text{ GeV}$
- Double tag with 6 D^+ tag modes
- Peaking background: $D^0 \rightarrow \eta \pi^+ \pi^0$

PRL 124(2020)231801



$$\frac{\mathcal{B}(D^+ \rightarrow \eta \mu^+ \nu)}{\mathcal{B}(D^+ \rightarrow \eta e^+ \nu)} = 0.91 \pm 0.13$$

with PDG2020 Average of
 $\mathcal{B}(D^+ \rightarrow \eta e^+ \nu)$

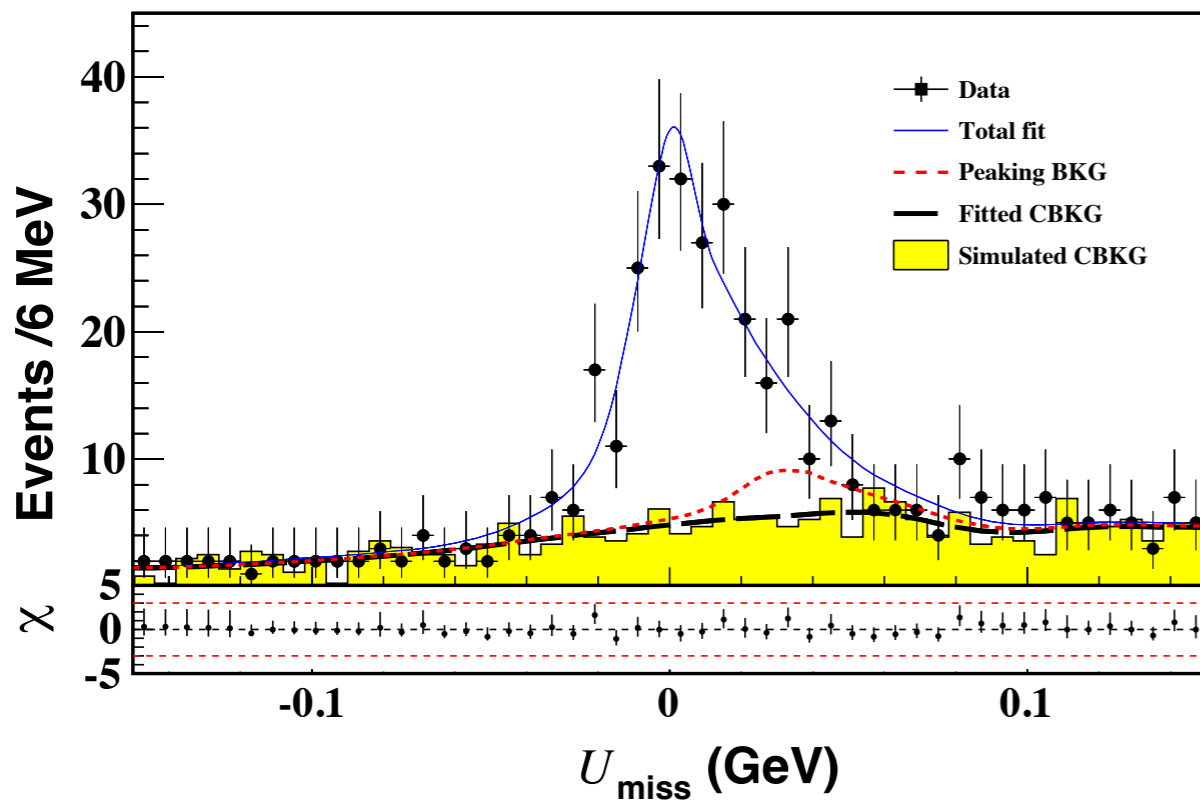
SM Prediction: 0.97 - 1.00

**for references, see the backup slides*

$D^+ \rightarrow \omega \mu^+ \nu$ decays

- Using BESIII data @ $E_{\text{CM}} = 3.773$ GeV
- Double tag with 6 D^+ tag modes
- Peaking background: $D^0 \rightarrow \omega \pi^+ \pi^0$

PRD101(2020)072005



$$\frac{\mathcal{B}(D^+ \rightarrow \omega \mu^+ \nu)}{\mathcal{B}(D^+ \rightarrow \omega e^+ \nu)} = 1.05 \pm 0.14$$

with PDG2020 Average of
 $\mathcal{B}(D^+ \rightarrow \omega e^+ \nu)$

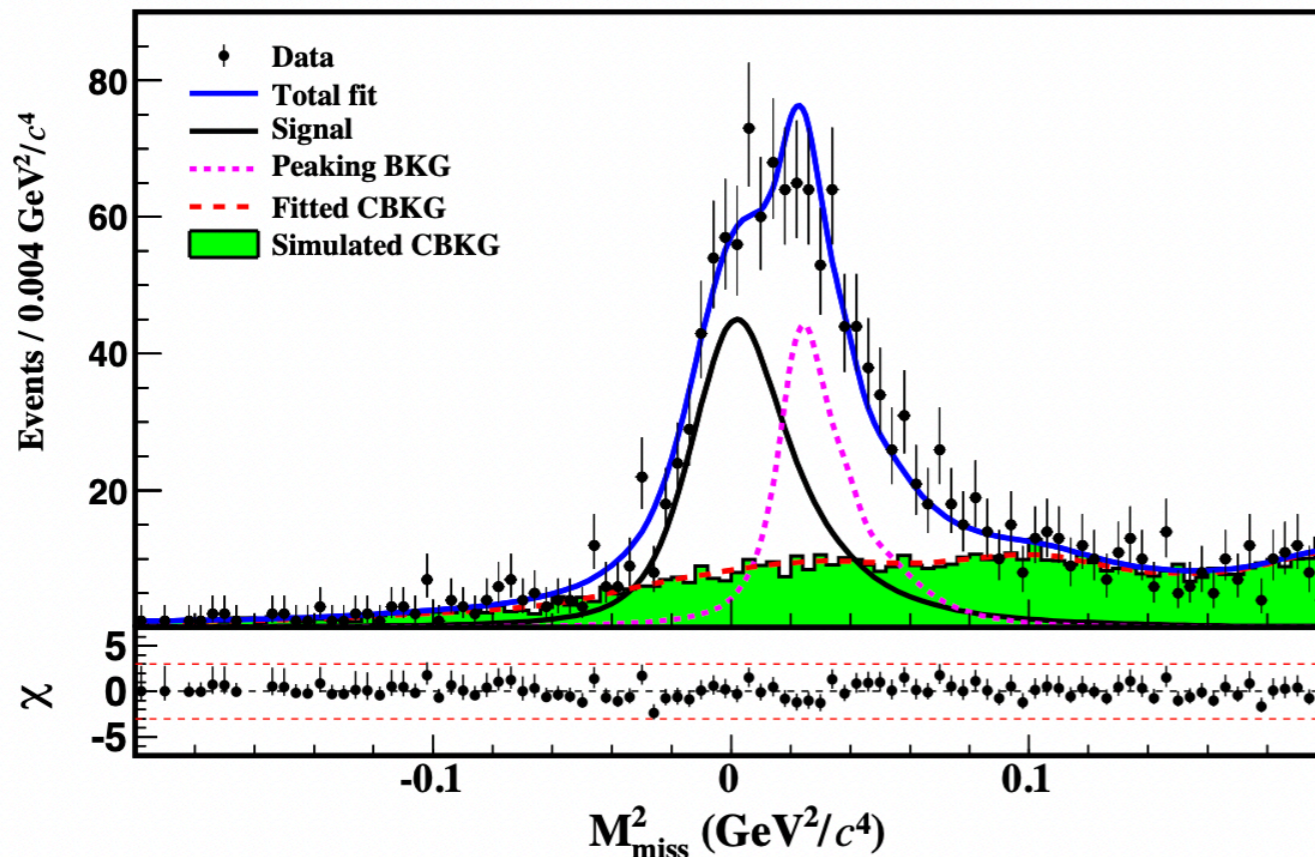
SM Prediction: 0.93 - 0.99 from

**for references, see the backup slides*

$D^0 \rightarrow \rho^- \mu^+ \nu$ decays

- Using BESIII data @ $E_{\text{CM}} = 3.773$ GeV
- Double tag with 3 \bar{D}^0 tag modes
- Peaking background: $D^0 \rightarrow \pi^+ \pi^- \pi^0 \pi^0$

Phys. Rev. D 104, L091103 (2021)



$$\frac{\mathcal{B}(D^0 \rightarrow \rho^- \mu^+ \nu)}{\mathcal{B}(D^0 \rightarrow \rho^- e^+ \nu)} = 0.90 \pm 0.11$$

with PDG2020 Average of
 $\mathcal{B}(D^0 \rightarrow \rho^- e^+ \nu)$

SM Prediction: 0.93 - 0.96 from

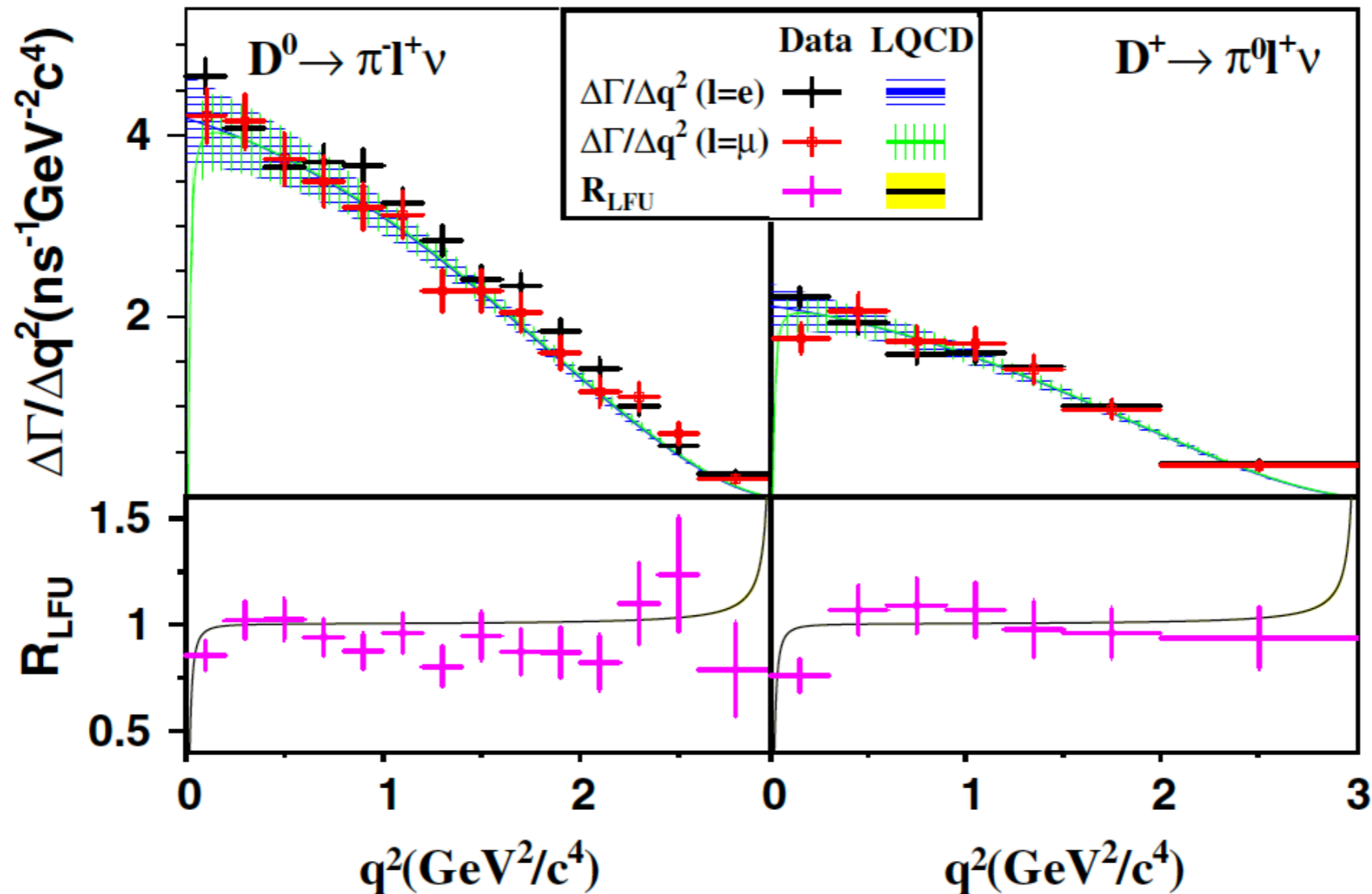
• **for references, see the backup slides*

$D \rightarrow \pi \ell^+ \nu$ decays

- Using BESIII data @ $E_{\text{CM}} = 3.773 \text{ GeV}$
- Double tag with 3 \bar{D}^0 and 6 D^- tag modes

μ / e ratios

BESIII, Phys. Rev. Lett. 121, 171803 (2018)



Overview of LFU results with charm meson decays

Mode	Measured $\mathcal{B}(\ell) / \mathcal{B}(\ell')$	SM Prediction
$D^+ \rightarrow \frac{\tau}{\mu} \nu$	3.21 ± 0.77	2.66
$D_s^+ \rightarrow \frac{\tau}{\mu} \nu$	9.72 ± 0.37	9.75
$D^0 \rightarrow \rho^- \frac{\mu}{e} \nu$	0.90 ± 0.11	0.93 – 0.96
$D^+ \rightarrow \eta \frac{\mu}{e} \nu$	0.91 ± 0.13	0.97 – 1.00
$D^+ \rightarrow \omega \frac{\mu}{e} \nu$	1.05 ± 0.14	0.93 – 0.99
$D^+ \rightarrow \pi^0 \frac{\mu}{e} \nu$	0.964 ± 0.045	~ 0.985
$D^0 \rightarrow \pi^+ \frac{\mu}{e} \nu$	0.922 ± 0.037	~ 0.985
$D^0 \rightarrow K^+ \frac{\mu}{e} \nu$	0.974 ± 0.014	~ 0.970

Credit Alex Gilman

Values deviate from 1 because of the different available phase space

- No tensions with the SM expectation within the current precision

LFU with semileptonic charm meson and baryon decays overview

SL charm baryon decays

$$\frac{B(\Omega_c^0 \rightarrow \Omega^- \mu^+ \nu)}{B(\Omega_c^0 \rightarrow \Omega^- e^+ \nu)}$$

Belle, Phys. Rev. D 105, L091101 (2022)

$$\frac{B(\Xi_c^0 \rightarrow \Xi^- \mu^+ \nu)}{B(\Xi_c^0 \rightarrow \Xi^- e^+ \nu)}$$

Belle, PRL127(2021)121803

$$\frac{B(\Lambda_c^+ \rightarrow \Lambda^0 \mu^+ \nu)}{B(\Lambda_c^+ \rightarrow \Lambda^0 e^+ \nu)}$$

BESIII, Phys. Lett. B, 767 (2017) p 42

SL charm meson decays

$$\frac{B(D^0 \rightarrow K^- \mu^+ \nu)}{B(D^0 \rightarrow K^- e^+ \nu)}$$

BESIII, Phys. Rev. Lett. 122, 011804 (2019)

$$\frac{B(D^0 \rightarrow \pi^- \mu^+ \nu)}{B(D^0 \rightarrow \pi^- e^+ \nu)}$$

BESIII, Phys. Rev. Lett. 121, 171803 (2018)

$$\frac{B(D^+ \rightarrow \pi^0 \mu^+ \nu)}{B(D^+ \rightarrow \pi^0 e^+ \nu)}$$

BESIII, Phys. Rev. Lett. 121, 171803 (2018)

$$\frac{B(D^+ \rightarrow \omega \mu^+ \nu)}{B(D^+ \rightarrow \omega e^+ \nu)}$$

$$\frac{B(D^+ \rightarrow \eta \mu^+ \nu)}{B(D^+ \rightarrow \eta e^+ \nu)}$$

$$\frac{B(D^0 \rightarrow \rho^- \mu^+ \nu)}{B(D^0 \rightarrow \rho^- e^+ \nu)}$$

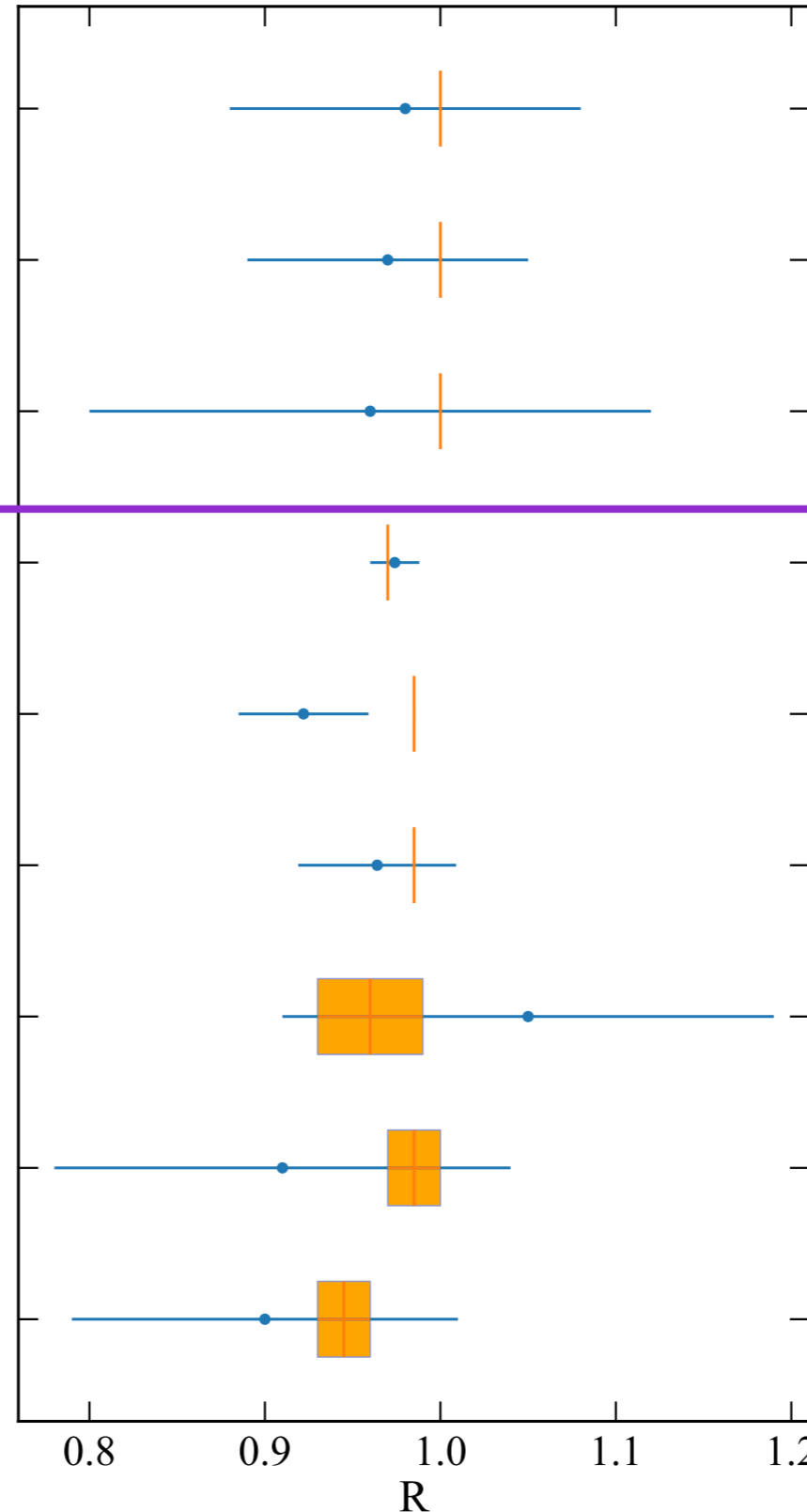


Image credit Adam Davis

Conclusions and prospects

- No evidence for LFUV in leptonic/ semileptonic charm decays
- Collection of $\sim 20 \text{ fb}^{-1}$ @ ψ (3770) well underway @ BESIII
- More detail on future prospects in BESIII white paper: Chin. Phys. C 44, 040001 (2020)
- Exciting prospects from Belle II, LHCb, and in a longer term from the future Tau-Charm factory

BACKUP

SM references

SM predictions for $\mathcal{B}(D^+ \rightarrow \eta \ell^+ \nu)$

SM Prediction: 0.97 - 1.00 from

- Y. L. Wu, M. Zhong, and Y. B. Zuo, *Int. J. Mod. Phys. A*21,6125 (2006)
- H. Y. Cheng and X. W. Kang, *Eur. Phys. J. C*77, 587(2017);77, 863(E) (2017)
- M. A. Ivanov, J. G. Korner, J. N. Pandya, P. Santorelli, N. R. Soni, and C. T. Tran, *Front. Phys.*14, 64401 (2019)

SM predictions for $\mathcal{B}(D^+ \rightarrow \omega \ell^+ \nu)$

SM Prediction: 0.93 - 0.99 from

- H.Y. Cheng and X. W. Kang, *Eur. Phys. J. C*77, 587(2017);77, 863(E) (2017)
- T. Sekihara and E. Oset, *Phys. Rev. D*92, 054038 (2015)
- N. R. Soni, M. A. Ivanov, J. G. Korner, J. N. Pandya, P. Santorelli, and C. T. Tran, *Phys. Rev. D*98, 114031 (2018)
- M. A. Ivanov, J. G. Korner, J. N. Pandya, P. Santorelli, N. R. Soni, and C. T. Tran, *Front. Phys.*14, 64401 (2019)
- H.B. Fu, W. Cheng, L. Zheng, D.D. Hu, T. Zhong, *Phys. Rev. Research* 2, 043129 (2020)
- R. N. Faustov, V. O. Galkin, and X. W. Kang, *Phys. Rev. D*101, 013004 (2020)

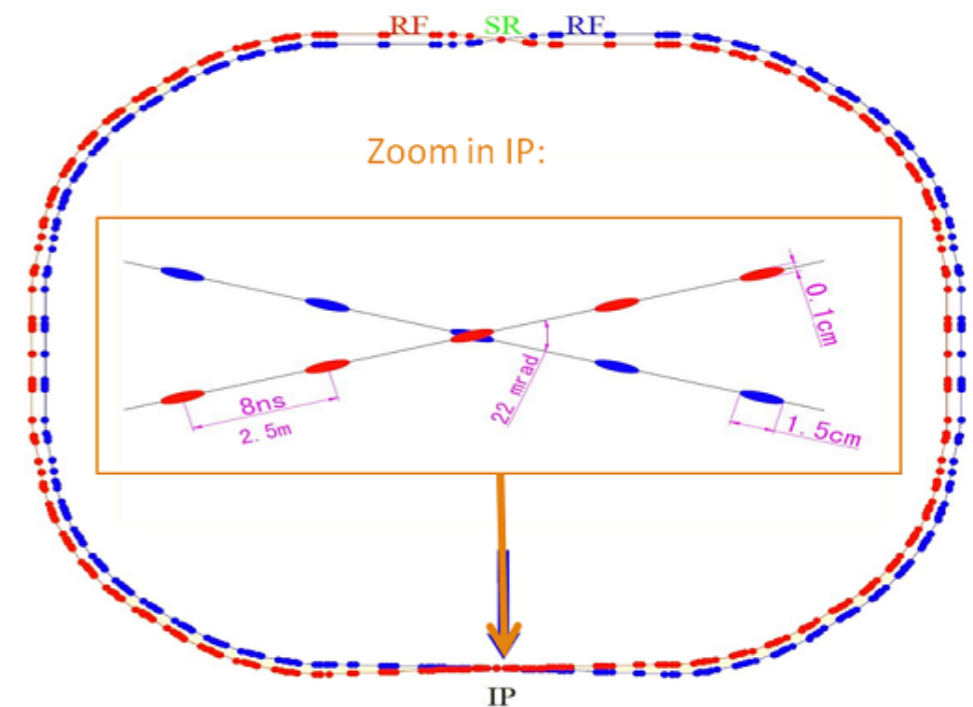
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- M. A. Ivanov, J. G. Korner, J. N. Pandya, P. Santorelli, N. R. Soni, and C. T. Tran, *Front. Phys.*14, 64401 (2019)
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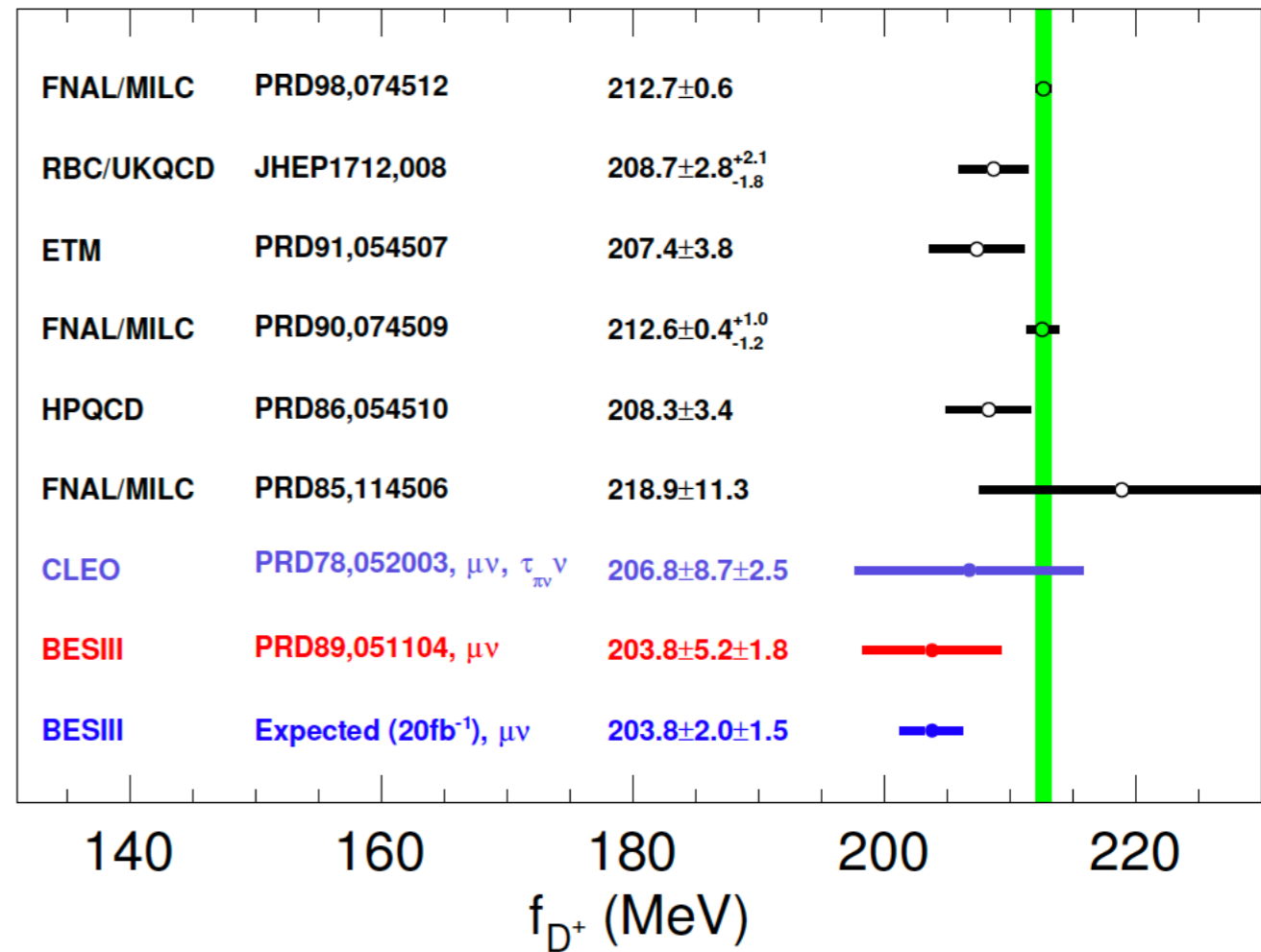
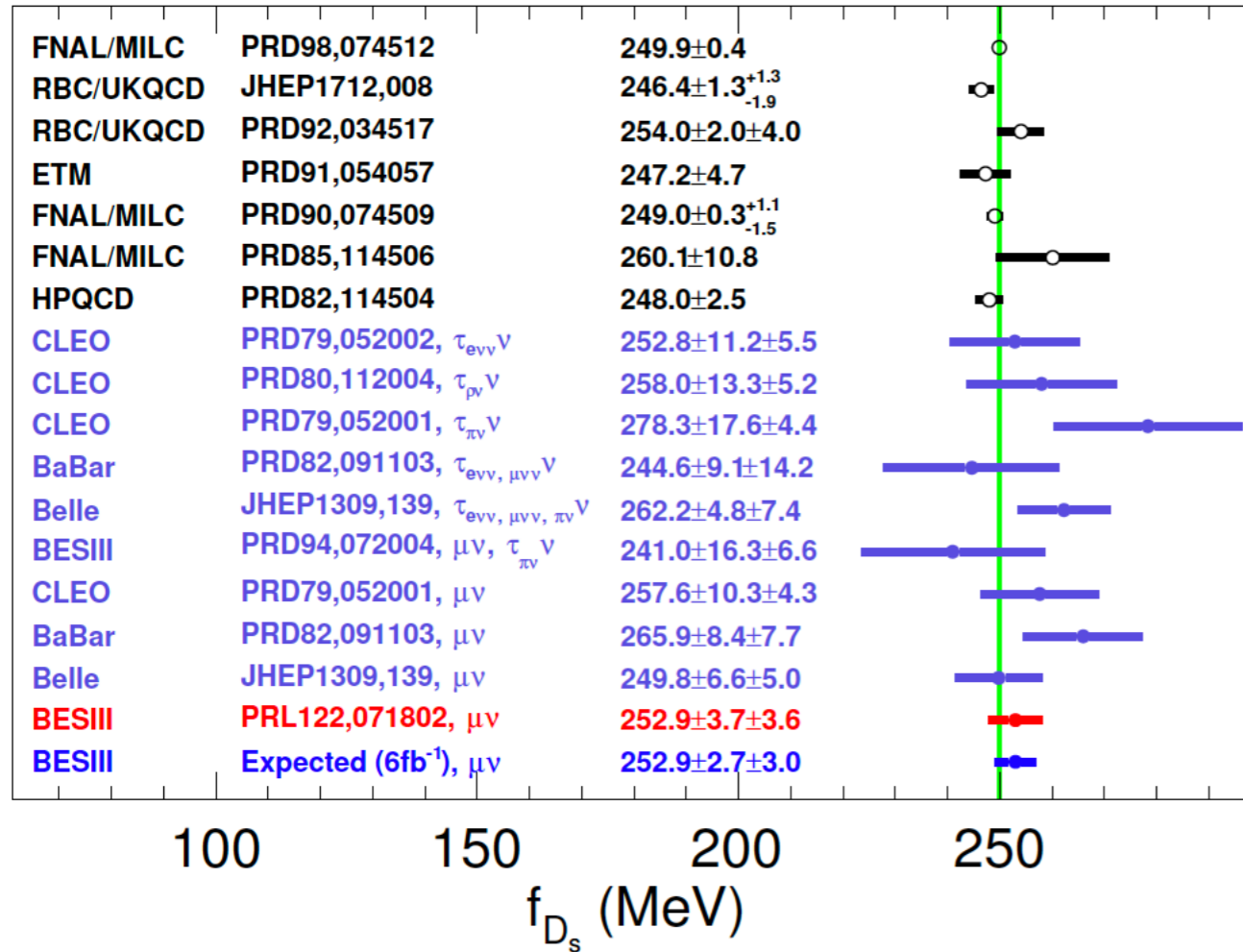
BEPCII: e⁺e⁻ collider

- Upgrade of BEPC
 - (started 2004, first beams 2008)
- Beam energy 1 to 2.3 GeV
 - Optimum energy 1.89 GeV
 - Single beam current 0.91 A
- Two ring machine
- Design luminosity is $10^{33} \text{ cm}^{-2} \text{ s}^{-1}$
 - Achieved in 2016
- Run: Typically 5-6 months per year



Comparing with the other experiments and the theory

BESIII white paper arXiv:1912.05983



Comparing with the other experiments and the theory

BESIII white paper arXiv:1912.05983

